

Audit:  
Date:

Data Backup  
12/6/22

Procedures:

- 1
- 2
- 3

Verify Data backup is configured  
Verify that data backup is being monitored  
Verify that Data backup has encryption

Sample #	Server Names	Frequency	Sample Backup Date	Backup Completion Status	Procedures		
					1	2	3
1	SASLINUX	Daily	2/28/05	Successful	✓	✓	E
2		Daily	4/3/22	Unsuccessful	✓	✓	E
3		Daily	4/11/22	Successful	✓	✓	E
4		Daily	4/15/22	Unsuccessful	✓	✓	E
5		Daily	4/26/22	Successful	✓	✓	E
6		Daily	4/12/22	Successful	✓	✓	E
7		Daily	4/7/22	Not Executed	E	E	E
8		Daily	8/23/22	Unsuccessful	✓	✓	E
9	LANGRP	Daily	4/1/22	Not Executed	E	E	E
10		Daily	4/3/22	Successful	✓	✓	E
11		Daily	4/6/22	Successful	✓	✓	E
12		Daily	4/10/22	Unsuccessful	✓	✓	E
13		Daily	4/15/22	Successful	✓	✓	E
14		Daily	4/17/22	Incomplete	✓	✓	E
15	AWS	Daily	7/5/22	Incomplete	✓	✓	E
16		Monthly	1/13/22	Successful	✓	✓	✓
17		Monthly	3/23/22	Successful	✓	E	E
18		Monthly	4/23/22	Incomplete	✓	E	E
19		Monthly	6/29/22	Incomplete	✓	E	E
20		Monthly	8/31/22	Not Executed	✓	E	E
21		Monthly	10/12/22	Not Executed	✓	E	E
22		Monthly	12/2/22	Successful	✓	✓	✓

Tickmark Legend		Report Reference	
✓	No exception noted	N/A	
E	Exception noted	N/A	

Audit: IT Disaster Recovery Plan  
Date:

Procedures:  
1 Verify an approved IT DRP is documented and approved.  
2  
3

				Procedures		
Sample #	IT DRP	Approver/Date	Test Date	1	2	3
1	AWS Cloud Backup Report	AWS -	10-12-2022	E1	X	X

Tickmark Legend		Report Reference
✓	No exception noted.	N/A
E1	Exception noted	N/A