



# Chapter 4 : Intermediate SQL

**Database System Concepts, 7<sup>th</sup> Ed.**

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# Chapter 4: Intermediate SQL

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Index Definition in SQL
- Authorization



# Joined Relations

- **Join operations** take two relations and return as a result another relation.
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the **from** clause
- Three types of joins:
  - Natural join
  - Inner join
  - Outer join



# Natural Join in SQL

- Natural join matches tuples with the same values for all common attributes, and retains only one copy of each common column.
- List the names of instructors along with the course ID of the courses that they taught
  - **select name, course\_id  
from students, takes  
where student.ID = takes.ID;**
- Same query in SQL with “natural join” construct
  - **select name, course\_id  
from student natural join takes;**



# Natural Join in SQL (Cont.)

- The **from** clause in can have multiple relations combined using natural join:

```
select A1, A2, ... An
from r1 natural join r2 natural join .. natural join rn
where P;
```



# Student Relation

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>tot_cred</i>
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120



# Takes Relation

<i>ID</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>	<i>grade</i>
00128	CS-101	1	Fall	2017	A
00128	CS-347	1	Fall	2017	A-
12345	CS-101	1	Fall	2017	C
12345	CS-190	2	Spring	2017	A
12345	CS-315	1	Spring	2018	A
12345	CS-347	1	Fall	2017	A
19991	HIS-351	1	Spring	2018	B
23121	FIN-201	1	Spring	2018	C+
44553	PHY-101	1	Fall	2017	B-
45678	CS-101	1	Fall	2017	F
45678	CS-101	1	Spring	2018	B+
45678	CS-319	1	Spring	2018	B
54321	CS-101	1	Fall	2017	A-
54321	CS-190	2	Spring	2017	B+
55739	MU-199	1	Spring	2018	A-
76543	CS-101	1	Fall	2017	A
76543	CS-319	2	Spring	2018	A
76653	EE-181	1	Spring	2017	C
98765	CS-101	1	Fall	2017	C-
98765	CS-315	1	Spring	2018	B
98988	BIO-101	1	Summer	2017	A
98988	BIO-301	1	Summer	2018	<i>null</i>



# *student natural join takes*

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>tot_cred</i>	<i>course_id</i>	<i>sec_id</i>	<i>semester</i>	<i>year</i>	<i>grade</i>
00128	Zhang	Comp. Sci.	102	CS-101	1	Fall	2017	A
00128	Zhang	Comp. Sci.	102	CS-347	1	Fall	2017	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2017	C
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2017	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2018	A
12345	Shankar	Comp. Sci.	32	CS-347	1	Fall	2017	A
19991	Brandt	History	80	HIS-351	1	Spring	2018	B
23121	Chavez	Finance	110	FIN-201	1	Spring	2018	C+
44553	Peltier	Physics	56	PHY-101	1	Fall	2017	B-
45678	Levy	Physics	46	CS-101	1	Fall	2017	F
45678	Levy	Physics	46	CS-101	1	Spring	2018	B+
45678	Levy	Physics	46	CS-319	1	Spring	2018	B
54321	Williams	Comp. Sci.	54	CS-101	1	Fall	2017	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2017	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2018	A-
76543	Brown	Comp. Sci.	58	CS-101	1	Fall	2017	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2018	A
76653	Aoi	Elec. Eng.	60	EE-181	1	Spring	2017	C
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2017	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2018	B
98988	Tanaka	Biology	120	BIO-101	1	Summer	2017	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2018	<i>null</i>



# Dangerous in Natural Join

- Beware of unrelated attributes with same name which get equated incorrectly
- Example -- List the names of students instructors along with the titles of courses that they have taken
  - Correct version

```
select name, title  
from student natural join takes, course  
where takes.course_id = course.course_id;
```

- Incorrect version

```
select name, title  
from student natural join takes natural join course;
```

- 
- This query omits all (student name, course title) pairs where the student takes a course in a department other than the student's own department.
  - The correct version (above), correctly outputs such pairs.



# Outer Join

- An extension of the join operation that **avoids loss of information**.
- Computes the join and then adds tuples from one relation that does not match tuples in the other relation to the result of the join.
- Uses *null* values.
- Three forms of outer join:
  - **left outer join**
  - **right outer join**
  - **full outer join**

|



# Outer Join Examples

- Relation *course*

<i>course_id</i>	<i>title</i>	<i>dept_name</i>	<i>credits</i>
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

- Relation *prereq*

<i>course_id</i>	<i>prereq_id</i>
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

- Observe that

course information is missing for CS-437

prereq information is missing for CS-315



# Left Outer Join

- course **natural left outer join** *prereq*

<i>course_id</i>	<i>title</i>	<i>dept_name</i>	<i>credits</i>	<i>prereq_id</i>
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	<i>null</i>

- In relational algebra: course  $\bowtie$  *prereq*



# Right Outer Join

- course **natural right outer join** *prereq*

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

- In relational algebra: course  $\bowtie$  *prereq*



# Full Outer Join

- course **natural full outer join** prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

- In relational algebra: course  $\bowtie$  prereq



# Joined Types and Conditions

- **Join operations** take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- **Join condition** – defines which tuples in the two relations match, and what attributes are present in the result of the join.
- **Join type** – defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

<i>Join types</i>
<b>inner join</b>
<b>left outer join</b>
<b>right outer join</b>
<b>full outer join</b>

<i>Join conditions</i>
<b>natural</b>
<b>on &lt; predicate &gt;</b>
<b>using (A<sub>1</sub>, A<sub>2</sub>, ..., A<sub>n</sub>)</b>



# Joined Relations – Examples

- **course natural right outer join prereq**

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

- **course full outer join prereq using (course\_id)**

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



# Joined Relations – Examples

- **course inner join prereq on**  
**course.course\_id = prereq.course\_id**

course_id	title	dept_name	credits	prereq_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

- 
- What is the difference between the above, and a natural join?
  - **course left outer join prereq on**  
**course.course\_id = prereq.course\_id**

course_id	title	dept_name	credits	prereq_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null



# Joined Relations – Examples

- course **natural right outer join** prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

- course **full outer join** prereq **using** (course\_id)

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



# Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

```
select ID, name, dept_name  
from instructor
```

- A **view** provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a “virtual relation” is called a **view**.



# View Definition

- A view is defined using the **create view** statement which has the form

**create view *v* as < query expression >**

where <query expression> is any legal SQL expression.  
The view name is represented by *v*.

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.



# View Definition and Use

- A view of instructors without their salary

```
create view faculty as  
    select ID, name, dept_name  
        from instructor
```

- Find all instructors in the Biology department

```
select name  
      from faculty  
     where dept_name = 'Biology'
```

- Create a view of department salary totals

```
create view departments_total_salary(dept_name, total_salary) as  
    select dept_name, sum (salary)  
        from instructor  
       group by dept_name;
```



# Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation  $v_1$  is said to *depend directly* on a view relation  $v_2$  if  $v_2$  is used in the expression defining  $v_1$
- A view relation  $v_1$  is said to *depend on* view relation  $v_2$  if either  $v_1$  depends directly to  $v_2$  or there is a path of dependencies from  $v_1$  to  $v_2$
- A view relation  $v$  is said to be *recursive* if it depends on itself.



# Views Defined Using Other Views

- **create view** *physics\_fall\_2017* **as**  
**select** course.course\_id, sec\_id, building, room\_number  
**from** course, section  
**where** course.course\_id = section.course\_id  
    **and** course.dept\_name = 'Physics'  
    **and** section.semester = 'Fall'  
    **and** section.year = '2017';
- **create view** *physics\_fall\_2017\_watson* **as**  
**select** course\_id, room\_number  
**from** *physics\_fall\_2017*  
**where** building= 'Watson';



# View Expansion

- Expand the view :

```
create view physics_fall_2017_watson as
    select course_id, room_number
  from physics_fall_2017
 where building= 'Watson'
```

- To:

```
create view physics_fall_2017_watson as
    select course_id, room_number
  from (select course.course_id, building, room_number
        from course, section
       where course.course_id = section.course_id
         and course.dept_name = 'Physics'
         and section.semester = 'Fall'
         and section.year = '2017')
    where building= 'Watson';
```



# View Expansion (Cont.)

- A way to define the meaning of views defined in terms of other views.
- Let view  $v_1$  be defined by an expression  $e_1$  that may itself contain uses of view relations.
- View expansion of an expression repeats the following replacement step:

**repeat**

    Find any view relation  $v_i$  in  $e_1$

    Replace the view relation  $v_i$  by the expression defining  $v_i$ ,

**until** no more view relations are present in  $e_1$

- As long as the view definitions are not recursive, this loop will terminate



# Materialized Views

- Certain database systems allow view relations to be physically stored.
  - Physical copy created when the view is defined.
  - Such views are called **Materialized view**:
- If relations used in the query are updated, the materialized view result becomes out of date
  - Need to **Maintain** the view, by updating the view whenever the underlying relations are updated.



# Update of a View

- Add a new tuple to *faculty* view which we defined earlier

**insert into faculty**

**values ('30765', 'Green', 'Music');**

- This insertion must be represented by the insertion into the *instructor* relation

- Must have a value for salary.

- Two approaches

- Reject the insert

- Insert the tuple

**('30765', 'Green', 'Music', null)**

into the *instructor* relation



# Some Updates Cannot be Translated Uniquely

- **create view** *instructor\_info* **as**  
**select** *ID, name, building*  
**from** *instructor, department*  
**where** *instructor.dept\_name= department.dept\_name*;
- **insert into** *instructor\_info*  
**values** ('69987', 'White', 'Taylor');
- Issues
  - Which department, if multiple departments in Taylor?
  - What if no department is in Taylor?



# And Some Not at All

- **create view** *history\_instructors* **as**  
**select** \*  
**from** *instructor*  
**where** *dept\_name*= 'History';
- What happens if we insert  
('25566', 'Brown', 'Biology', 100000)  
into *history\_instructors*?



# View Updates in SQL

- Most SQL implementations allow updates only on simple views
  - The **from** clause has only one database relation.
  - The **select** clause contains only attribute names of the relation, and does not have any expressions, aggregates, or **distinct** specification.
  - Any attribute not listed in the **select** clause can be set to **null**
  - The query does not have a **group by** or **having** clause.



# Transactions

- A **transaction** consists of a sequence of query and/or update statements and is a “unit” of work
- The SQL standard specifies that a transaction begins implicitly when an SQL statement is executed.
- The transaction must end with one of the following statements:
  - **Commit work.** The updates performed by the transaction become permanent in the database.
  - **Rollback work.** All the updates performed by the SQL statements in the transaction are undone.
- Atomic transaction
  - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions



# Integrity Constraints

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency.
  - A checking account must have a balance greater than \$10,000.00
  - A salary of a bank employee must be at least \$4.00 an hour
  - A customer must have a (non-null) phone number



# Constraints on a Single Relation

- **not null**
- **primary key**
- **unique**
- **check (P)**, where P is a predicate



# Not Null Constraints

- **not null**
  - Declare *name* and *budget* to be **not null**  
*name varchar(20) not null*  
*budget numeric(12,2) not null*



# Unique Constraints

- **unique** ( $A_1, A_2, \dots, A_m$ )
  - The unique specification states that the attributes  $A_1, A_2, \dots, A_m$  form a candidate key.
  - Candidate keys are permitted to be null (in contrast to primary keys).



# The check clause

- The **check** (P) clause specifies a predicate P that must be satisfied by every tuple in a relation.
- Example: ensure that semester is one of fall, winter, spring or summer

```
create table section
  (course_id varchar (8),
   sec_id varchar (8),
   semester varchar (6),
   year numeric (4,0),
   building varchar (15),
   room_number varchar (7),
   time_slot_id varchar (4),
   primary key (course_id, sec_id, semester, year),
   check (semester in ('Fall', 'Winter', 'Spring', 'Summer')))
```



# Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
  - Example: If “Biology” is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for “Biology”.
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a **foreign key** of R if for any values of A appearing in R these values also appear in S.



# Referential Integrity (Cont.)

- Foreign keys can be specified as part of the SQL **create table** statement  
**foreign key (dept\_name) references department**
- By default, a foreign key references the primary-key attributes of the referenced table.
- SQL allows a list of attributes of the referenced relation to be specified explicitly.  
**foreign key (dept\_name) references department (dept\_name)**



# Cascading Actions in Referential Integrity

- When a referential-integrity constraint is violated, the normal procedure is to reject the action that caused the violation.

- An alternative, in case of delete or update is to cascade

```
create table course (
    ...
    dept_name varchar(20),
    foreign key (dept_name) references department
        on delete cascade
        on update cascade,
    ...)
```

- Instead of cascade we can use :

- **set null**,
- **set default**



# Integrity Constraint Violation During Transactions

- Consider:

```
create table person (
    ID char(10),
    name char(40),
    mother char(10),
    father char(10),
    primary key ID,
    foreign key father references person,
    foreign key mother references person)
```

- How to insert a tuple without causing constraint violation?
  - Insert father and mother of a person before inserting person
  - OR, set father and mother to null initially, update after inserting all persons (not possible if father and mother attributes declared to be **not null**)
  - OR defer constraint checking



# Complex Check Conditions

- The predicate in the check clause can be an arbitrary predicate that can include a subquery.

**check (time\_slot\_id in (select time\_slot\_id from time\_slot))**

The check condition states that the `time_slot_id` in each tuple in the `section` relation is actually the identifier of a time slot in the `time_slot` relation.

- The condition has to be checked not only when a tuple is inserted or modified in `section`, but also when the relation `time_slot` changes



# Assertions

- An **assertion** is a predicate expressing a condition that we wish the database always to satisfy.
- The following constraints, can be expressed using assertions:
- For each tuple in the *student* relation, the value of the attribute *tot\_cred* must equal the sum of credits of courses that the student has completed successfully.
- An instructor cannot teach in two different classrooms in a semester in the same time slot
- An assertion in SQL takes the form:  
**create assertion <assertion-name> check (<predicate>);**



# Built-in Data Types in SQL

- **date:** Dates, containing a (4 digit) year, month and date
  - Example: **date** '2005-7-27'
- **time:** Time of day, in hours, minutes and seconds.
  - Example: **time** '09:00:30'      **time** '09:00:30.75'
- **timestamp:** date plus time of day
  - Example: **timestamp** '2005-7-27 09:00:30.75'
- **interval:** period of time
  - Example: **interval** '1' day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/timestamp values



# Large-Object Types

- Large objects (photos, videos, CAD files, etc.) are stored as a *large object*:
  - **blob**: binary large object -- object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - **clob**: character large object -- object is a large collection of character data
- When a query returns a large object, a pointer is returned rather than the large object itself.



# User-Defined Types

- **create type** construct in SQL creates user-defined type

```
create type Dollars as numeric (12,2) final
```

- Example:

```
create table department  
(dept_name varchar (20),  
building varchar (15),  
budget Dollars);
```



# Domains

- **create domain** construct in SQL-92 creates user-defined domain types

```
create domain person_name char(20) not null
```

- Types and domains are similar. Domains can have constraints, such as **not null**, specified on them.
- Example:

```
create domain degree_level varchar(10)
constraint degree_level_test
check (value in ('Bachelors', 'Masters', 'Doctorate'));
```



# Index Creation

- Many queries reference only a small proportion of the records in a table.
- It is inefficient for the system to read every record to find a record with particular value
- An **index** on an attribute of a relation is a data structure that allows the database system to find those tuples in the relation that have a specified value for that attribute efficiently, without scanning through all the tuples of the relation.
- We create an index with the **create index** command  
**create index <name> on <relation-name> (attribute);**



# Index Creation Example

- **create table student**  
*(ID varchar (5),  
name varchar (20) not null,  
dept\_name varchar (20),  
tot\_cred numeric (3,0) default 0,  
primary key (ID))*
- **create index studentID\_index on student(ID)**
- The query:

```
select *
  from student
 where ID = '12345'
```

can be executed by using the index to find the required record, without looking at all records of *student*



# Authorization

- We may assign a user several forms of authorizations on parts of the database.
  - **Read** - allows reading, but not modification of data.
  - **Insert** - allows insertion of new data, but not modification of existing data.
  - **Update** - allows modification, but not deletion of data.
  - **Delete** - allows deletion of data.
- Each of these types of authorizations is called a **privilege**. We may authorize the user all, none, or a combination of these types of privileges on specified parts of a database, such as a relation or a view.



# Authorization (Cont.)

- Forms of authorization to modify the database schema
  - **Index** - allows creation and deletion of indices.
  - **Resources** - allows creation of new relations.
  - **Alteration** - allows addition or deletion of attributes in a relation.
  - **Drop** - allows deletion of relations.



# Authorization Specification in SQL

- The **grant** statement is used to confer authorization  
**grant <privilege list> on <relation or view > to <user list>**
- <user list> is:
  - a user-id
  - **public**, which allows all valid users the privilege granted
  - A role (more on this later)
- Example:
  - **grant select on department to Amit, Satoshi**
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).



# Privileges in SQL

- **select**: allows read access to relation, or the ability to query using the view
  - Example: grant users  $U_1$ ,  $U_2$ , and  $U_3$  **select** authorization on the *instructor* relation:  
  
**grant select on instructor to  $U_1$ ,  $U_2$ ,  $U_3$**
- **insert**: the ability to insert tuples
- **update**: the ability to update using the SQL update statement
- **delete**: the ability to delete tuples.
- **all privileges**: used as a short form for all the allowable privileges



# Revoking Authorization in SQL

- The **revoke** statement is used to revoke authorization.  
**revoke <privilege list> on <relation or view> from <user list>**
- Example:  
**revoke select on student from U<sub>1</sub>, U<sub>2</sub>, U<sub>3</sub>**
- <privilege-list> may be **all** to revoke all privileges the revoker may hold.
- If <revoker-list> includes **public**, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantors, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.



# Roles

- A **role** is a way to distinguish among various users as far as what these users can access/update in the database.
- To create a role we use:  
**create a role <name>**
- Example:
  - **create role instructor**
- Once a role is created we can assign “users” to the role using:
  - **grant <role> to <users>**



# Roles Example

- **create role** instructor;
- **grant** *instructor* **to** Amit;
- Privileges can be granted to roles:
  - **grant select on** *takes* **to** *instructor*;
- Roles can be granted to users, as well as to other roles
  - **create role** teaching\_assistant
  - **grant** *teaching\_assistant* **to** *instructor*,
    - *Instructor* inherits all privileges of *teaching\_assistant*
- Chain of roles
  - **create role** dean;
  - **grant** *instructor* **to** dean;
  - **grant** dean **to** Satoshi;



# Authorization on Views

- **create view geo\_instructor as**  
**(select \***  
**from instructor**  
**where dept\_name = 'Geology');**
- **grant select on geo\_instructor to geo\_staff**
- Suppose that a *geo\_staff* member issues
  - **select \***  
**from geo\_instructor;**
- What if
  - *geo\_staff* does not have permissions on *instructor*?
  - creator of view did not have some permissions on *instructor*?



# Other Authorization Features

- **references** privilege to create foreign key
  - **grant reference** (*dept\_name*) **on** *department* **to** Mariano;
  - why is this required?
- transfer of privileges
  - **grant select on** *department* **to** Amit **with grant option**;
  - **revoke select on** *department* **from** Amit, Satoshi **cascade**;
  - **revoke select on** *department* **from** Amit, Satoshi **restrict**;
  - And more!



# End of Chapter 4