

# More applications of the Local Lemma

[Jukna, Chapter 19]

① The k-SAT problem

$$\underbrace{x_1, x_2, \dots, x_n}_{\text{variables}} \in \{0, 1\}$$

$$\phi = (x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_2 \vee x_3 \vee \bar{x}_4) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_4) \wedge (x_5 \vee x_1 \vee \bar{x}_2)$$

clause  
# literals in each clause  
3-CNF form  
Conjunctive Normal Form  
(AND of ORs)

$$\begin{matrix} x_1 & x_2 & x_3 & x_4 & x_5 \\ \bar{x}_1 & \bar{x}_2 & \bar{x}_3 & \bar{x}_4 & x_5 \end{matrix}$$

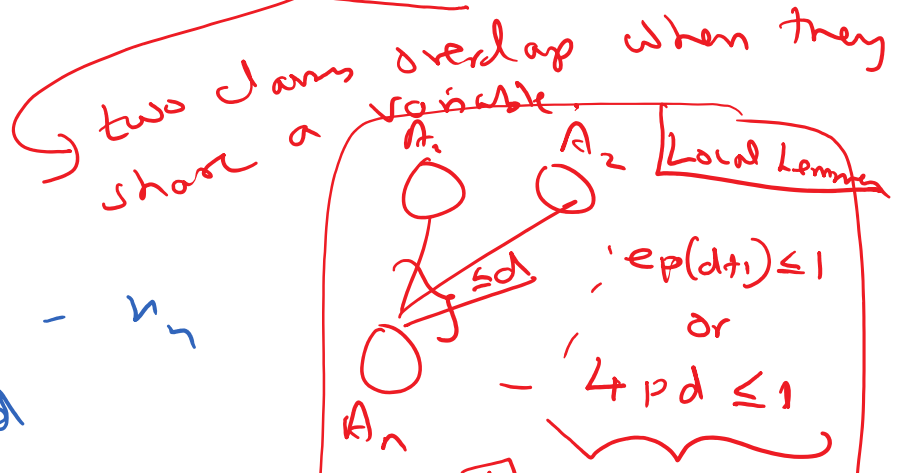
literals

Theorem let  $\phi$  be a k-CNF formula.  
If each of its clauses overlaps with  
at most  $2^{k-2}$  clauses, then  $\phi$  is  
satisfiable.

Proof:

$$x_1, x_2, \dots, x_n$$

independently and



Independently and uniformly at random assign each  $x_i$  a value from  $\{0, 1\}$ .

$$\Pr[A] - 4pd \leq 1$$

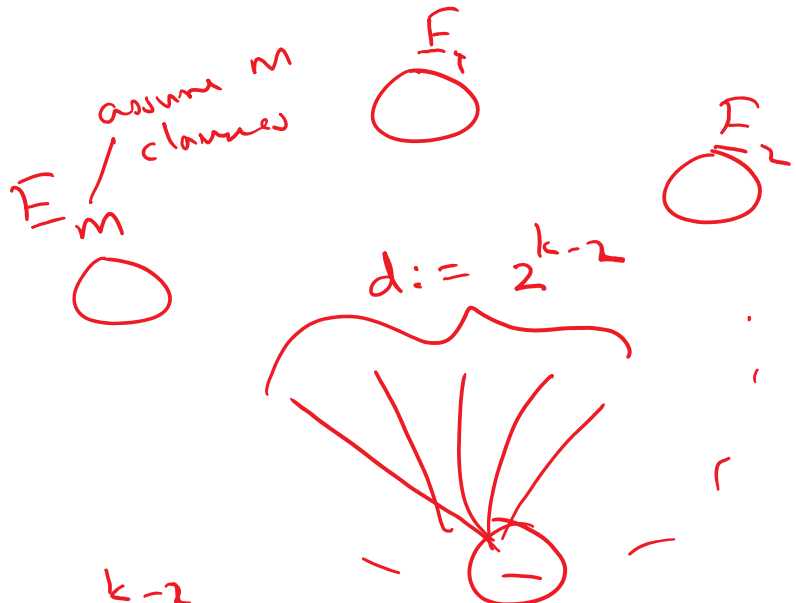
Then,

$$\Pr[\bigwedge \bar{A}_i] > 0.$$

$$\phi = \underbrace{(\quad)}_{C_1} \wedge \underbrace{(\underbrace{x_1 \bar{x}_2 \bar{x}_3 \bar{x}_4 \bar{x}_5}_{\text{k literals}})}_{C_2} \wedge \underbrace{(\quad)}_{C_3} \wedge \dots$$

$E_i$ : the bad event the clause  $C_i$  is not satisfied.

$$\Pr[E_i] = \frac{1}{2^k} =: p.$$



$$p = \frac{1}{2^k}, \quad d = 2^{k-2}.$$

Now, we have

$$1 \quad 1 \quad 1 \quad 1 \quad \dots \quad k-2$$

$$4pd = 4p \cdot \frac{1}{2^{1c}} \cdot 2^{k-2} \leq 1$$

Then, by Local Lemma,  $\Pr[\bigwedge_i \overline{E_i}] > 0$ .

□

set system or a family of sets  
Hypergraph coloring

A hypergraph  $H = (V, E)$  is 2-colorable if there is a way to color the points/elements in  $V$  such that  $\forall e \in E$ ,  $e$  sees two colors.

$$V = \{1, 2, 3, 4, 5\} \quad H = (V, E) \text{ is } 3\text{-uniform}$$

$$E = \left\{ \underbrace{\{1, 2, 3\}}, \underbrace{\{2, 3, 4\}}, \underbrace{\{1, 4, 5\}}, \underbrace{\{1, 3, 5\}} \right\}$$

We had seen,

Lemma. Let  $H$  be a  $k$ -uniform hypergraph of less than  $2^{k-1}$  hyperedges. Then,  $H$  is always 2-colorable.

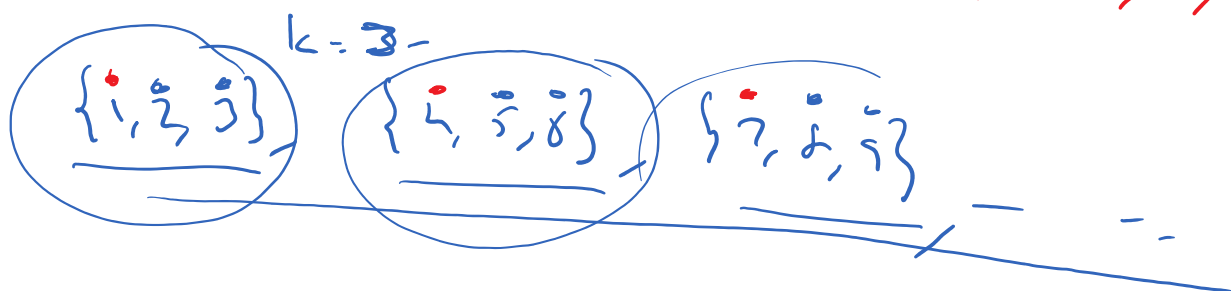
*means every set is of size  $= k$*

*family of sets*  
hyperedges  
*set*

$$k = 3$$

$$\# \text{ edges} < \underline{\underline{2^{k-1}}} = 2^2 = 4.$$

$$\{1, 2, 5\}, \{3, 3, 4\}, \{1, 3, 5\}$$



Theorem, let  $H$  be a  $k$ -uniform hypergraph where every set/hyperedge intersects with at most  $2^{k-3}$  other hyperedges. Then,  $H$  is 2-colorable.

Proof:

$$H = (V, E)$$

$$V = \{1, 2, \dots, n\}$$

with  $\frac{1}{2} \text{ prob RED}$   
 $\frac{1}{2} \text{ prob BLUE}$

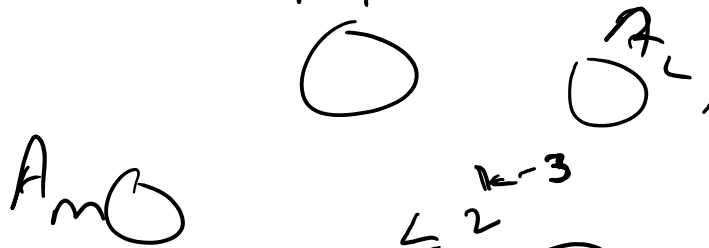
$$E = \{e_1, e_2, e_3, \dots, e_m\}$$

$\forall i, 1 \leq i \leq m$ ,  $A_i$  is the bad event that hyperedge  $e_i$  is not 2-colored.

$k$ -sized subset of  $V$ .

$$\Pr(A_i) = \frac{1}{2} + \frac{1}{2} = 1$$

$$\Pr(A_i) = \frac{1}{2^k} + \frac{1}{2^k} = \frac{1}{2^{k-1}} = p$$



$$d = 2^{k-3}$$



$$4pd \rightarrow 4 \cdot \frac{1}{2^{k-1}} \cdot 2^{k-3} \leq 1$$

Therefore, by Local lemma

$$\Pr\left(\bigwedge_{i=1}^m \overline{A_i}\right) > 0.$$



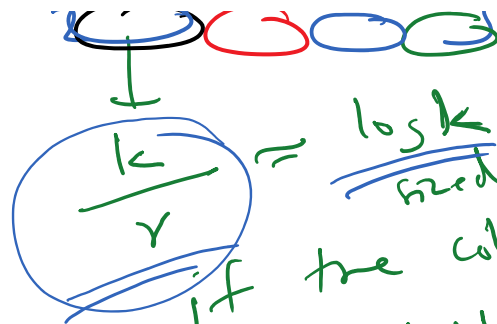
Theorem Let  $k$  be sufficiently large.  
 Let  $\mathcal{F}$  be a  $k$ -uniform family of  
 sets. It is given that no element  
belongs to more than  $k$  sets of  $\mathcal{F}$ .

Then it's possible to color the  
 points/elements in  $r = \left\lceil \frac{k}{\log k} \right\rceil$  colors  
 such that every set in  $\mathcal{F}$   
 contains at most  $v = \left\lceil 2e \log k \right\rceil$   
 points/elements of the same color.

Proof:



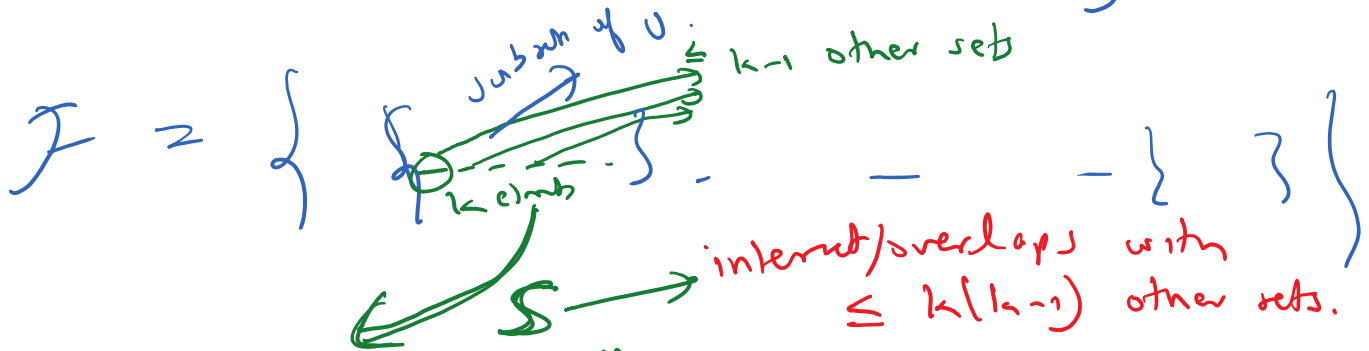
Lemma



indep. and uniformly  
at random size  
if a color from  
 $\{c_1, c_2, \dots, c_r\}$

$\approx \frac{\log k}{r}$   
if the coloring  
is "equitable"

$$U = \{1, 2, \dots, n\}$$



$A(s, c_i)$ : the "bad" event that  
there are more than  
 $r = \lfloor 2e \log k \rfloor$  points in  $S$   
having color  $c_i$ .

$A(s, c_1)$

$A(s, c_2)$

$r$  events

$\leq k(k-1)$

$A(s, c_r)$

$A(s', c_1)$

$A(s', c_2)$



$$d := r k(k-1) \leq k^3 \quad (\text{bcuz } r = \lfloor \frac{k}{10 \log k} \rfloor)$$

(1)

If  $4 \cdot p \cdot d \leq 1$ , then we are done.

This is true only when

$$p \leq \frac{1}{4d} = \frac{1}{4k^3}$$

$$\Pr \left[ \bigwedge_{\substack{s \in \mathcal{S}, \\ i \in \{1, \dots, r\}}} \overline{A(s, c_i)} \right] > 0.$$

by the Local Lemma.

To show:

$$p \leq \frac{1}{4k^3} \rightarrow \Pr[A(s, c_i)]_{1 \leq i \leq r, s \in \mathcal{S}}.$$

Do it yourself.

□