

## Lecture 2 Discussion

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# Computability Theory

The main topics covered in the second NPTEL lecture:

- ▶ Two definitions of NP.
- ▶ Examples of languages in NP.

# Two definitions of NP

## Definition 1: Guess and Verify

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More formally:

A language  $L$  is in NP  $\iff \exists \text{ TM } M, \exists k \in \mathbb{N},:$

$$\forall x \in \{0, 1\}^n, x \in L \iff M \text{ accepts } x$$

and  $M$  runs in time  $n^k$  on inputs of length  $n$ .

## Definition 2: Certificate and Verifier

A language  $L$  is in NP if there is a **deterministic** Turing machine  $V$  such that for strings  $x \in L$ , there is a certificate  $y$  of polynomial length, and  $V$  accepts  $(x, y)$ . Further,  $V$  runs in polynomial time.

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More formally:

A language  $L$  is in NP  $\iff \exists \text{ TM } V, \exists k_1, k_2 \in \mathbb{N},$

$$\forall x \in \{0, 1\}^n, x \in L \iff \exists y \in \{0, 1\}^{n^{k_1}} : V \text{ accepts } (x, y)$$

and  $V$  runs in time  $n^{k_2}$  on inputs of length  $n$ .

Intuitively: For a string  $x \in L$ , the statement “ $x \in L$ ” is a **theorem**, and the string  $y$  is a **proof** of the theorem. The **verifier** checks if the theorem and proof given are valid.

# Equivalence of the two definitions

Defn 1  $\implies$  Defn 2:

We have a non-deterministic TM  $M$  deciding  $L$  in polynomial time.

We want to convert it to:

- ▶ a deterministic Turing machine  $V$ ,
- ▶ show a certificate  $y$  for each  $x \in L$

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The idea is this:

- ▶ A non-deterministic TM has several branches.
- ▶ For a string  $x \in L$ , there is an accepting branch.
- ▶ Define certificate  $y$  to be the non-det choices along an accepting branch.

The *verifier* TM  $V$  simply simulates  $M$  along the branch indicated by  $y$  and accepts if  $M$  accepts, and rejects otherwise.

**Note:** if  $x \notin L$ , then no branch accepts. Hence no certificate  $y$  exists.



# Equivalence of the two definitions

Defn 2  $\implies$  Defn 1:

Let  $L \in NP$  via a deterministic verifier TM  $V$ .

We want to construct:

- ▶ a non-deterministic TM  $N$  that decides  $L$
- ▶  $N$  should run in polynomial time.

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- ▶ a non-deterministic TM  $N$  that decides  $L$
- ▶  $N$  should run in polynomial time.

The idea is:

- ▶ Let input be  $x$
- ▶ Non-det *guess* a string  $y$  of length  $|x|^{k_1}$
- ▶ Run  $V$  on  $\langle x, y \rangle$
- ▶ Accept if  $V$  accepts, else reject.

**Note:** Details on how to guess the string  $y$  is important!

# Guessing a string

By “guess a string  $y$  of length  $m$ ”, we mean: Explore every possible  $y$  in parallel using non-determinism. We do this by working bit by bit

Assume we have a two tape non-det machine:

- ▶ First tape is the work tape.
- ▶ Second tape will be used for a length counter.

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Assume we have a two tape non-det machine:

- ▶ First tape is the work tape.
- ▶ Second tape will be used for a length counter.

The idea is to non-det branch into two copies:

- ▶ The first copy writes 0 on the next tape cell
- ▶ Increment the length counter
- ▶ If length counter is less than  $m$ , then repeat. Else stop.

The second copy is identical except it writes 1 on the tape cell.

# Guessing a string

The key observation is:

To guess a string, you need to know the length beforehand!

In the case of “Defn 2  $\implies$  Defn 1”, we should do:

- ▶ Read input  $x$  from left to right
- ▶ Maintain a counter on tape 2 to measure the length  $n$  of  $x$
- ▶ Compute  $m = n^{k_1}$  on tape 2

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Guessing string  $y$  of length  $m$  is done bit by bit while decrementing the value on tape 2.

# $k$ CLIQUE

Exercise:

$$k\text{CLIQUE} = \{\langle G \rangle \mid G \text{ is a graph with a clique of size } k\}$$

Show that  $k - \text{CLIQUE}$  is in NP using definitions 1 and 2.

# coNP

Towards the end of this lecture, he defines coNP:

$$\text{coNP} = \{L \mid \bar{L} \in \text{NP}\}$$

**Important:** coNP is **not** the complement of NP!!

coNP is the set of languages *whose complements* are in NP.

Easy to see that  $P \subseteq \text{NP} \cap \text{coNP}$ .

**Exercise:** Show that if  $\text{NP} \neq \text{coNP}$ , then  $P \neq \text{NP}$ .



## Exercise: Running time

Consider the BTech 1st year algorithm to test if a number is *prime*:

- ▶ Input  $n$
- ▶ FOR  $i = 0; i \leq n/2$ 
  - ▶ If  $i$  divides  $n$ , output “Not prime”
- ▶ Output “Prime”

What is the running time of the above algorithm?

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What is the running time of the above algorithm?

(*Hint: first write down the input size*)

Thank you!