

# ENPM809X: Data and Algorithms: Homework #1

Due on February 09, 2023 at 4:00pm

*Section 0101*

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## Problem 1

**2.2(b-d)** Bubble Sort and related three parts(refer to HW1 for full questions).

### Solution

#### PART B

Loop invariant for loop in lines 2-4 is as follows:

At the start of each iteration, the sub-array  $A[j\dots n]$  consists of elements originally in that sub-array before entering the loop but in a different order and the first element is the smallest among them.

1. **Verify initialization:** Initially, array  $A[1]$  is the single original element and it is sorted.
2. **Verify iteration:** In each iteration,  $A[j]$  is compared with  $A[j-1]$  making  $A[j-1]$  the smallest in the sub-array. After the  $j$ th iteration, the largest  $j$  elements are moved to the end of the array making  $A.size() - i - 1$  element sorted.
3. **Verify termination:** This loop terminates when  $j = i + 1$  and the sorted array is  $A[1\dots n]$  which is the entire input.

#### PART C

Loop invariant for loop in lines 1-4 is as follows:

At the start of each iteration for the first for loop, the sub-array  $A[1\dots i-1]$  consists of elements that are smaller than elements in sub-array  $A[i\dots n]$  in sorted order(first  $i-1$  numbers will be sorted after  $i$  iterations).

1. **Verify initialization:** Initially, array  $A[1\dots i-1]$  is empty and hence, the smallest element of the sub-array.
2. **Verify iteration:** After execution of the inner loop,  $A[i]$  will be smallest of the sub-array  $A[i\dots n]$  and in the start of the outer loop,  $A[1\dots i-1]$  sub-array consists of elements smaller than elements of  $A[i\dots n]$  in sorted order.
3. **Verify termination:** This loop terminates when  $i = A.length - 1$  and the sorted array is  $A[1\dots n]$  which is the entire input.

**PART D** The Worst-case running time of Bubble Sort is  $\Theta(n^2)$  if the array is reversed. Insertion Sort has a running time that varies from  $\Theta(n)$  to  $\Theta(n^2)$ . Comparing both, Insertion Sort is slightly better than bubble sort as insertion sort has fewer swaps as compared to bubble sort.

## Problem 2

**2.3-4(a-c)** Recursive process for Insertion Sort including pseudocode, running time, and solving recurrence to find the complexity(refer to HW1 for full questions).

### Solution

#### Part A

Pseudocode implementation of the recursive algorithm:

**Insertion-Sort-Recursion**(*Array*, *n*)

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#### Algorithm 1 Recursive Insertion Sort

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```

function INSERTION-SORT-RECURSION(Array, n)
  if  $n \geq 1$  then
    INSERTION-SORT-RECURSION(Array,  $n - 1$ )
    SUBSTITUTE(Array, n)
  end if
end function
function SUBSTITUTE(Array, m)
   $key \leftarrow Array[m]$ 
   $idx \leftarrow m - 1$ 
  while  $idx > 1 \text{ AND } Array[idx] > key$  do
     $Array[idx + 1] \leftarrow Array[idx]$ 
     $idx \leftarrow idx - 1$ 
  end while
   $Array[idx + 1] \leftarrow key$ 
end function

```

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#### Part B

Recurrence for the running time of the recursive insertion sort:

$$T(n) = \begin{cases} \Theta(1), & \text{if } n = 1. \\ T(n-1) + \Theta(n), & \text{if } n > 1. \end{cases} \quad (1)$$

#### Part C

Solving the recurrence, time complexity comes out to be:  $\Theta(n^2)$ . Let  $\Theta(n) = n$ . Substituting this value in the equation above, we get

$$\begin{aligned}
 T(n) &= T(n-1) + n \\
 T(n-1) &= T(n-2) + n-1 \\
 T(n) &= T(n-2) + 2n-1 \\
 &\vdots \\
 T(n) &= T(n-k) + kn - \frac{(k-1)k}{2}
 \end{aligned}$$

Setting  $k = n - 1$ ,

$$\begin{aligned}
 T(n) &= T(n - n + 1) + (n - 1)n - \frac{(n - 1 - 1)(n - 1)}{2} \\
 T(n) &= T(1) + (n - 1)n - \frac{(n - 2)(n - 1)}{2} \\
 T(n) &= T(1) + \frac{(n + 2)(n - 1)}{2} \\
 T(n) &= \mathcal{O}(n^2)
 \end{aligned}$$

### Problem 3

**4.1-5** Use the following ideas to develop a non-recursive, linear-time algorithm for the maximum sub-array problem: Start at the left end of the array, and progress toward the right, keeping track of the maximum sub-array seen so far. Knowing a maximum sub-array of  $A[1\dots j]$ , extend the answer to find a maximum sub-array ending at index  $j+1$  by using the following observation: A maximum sub-array of  $A[1.. j+1]$  is either a maximum sub-array of  $A[1.. j]$  or a sub-array  $A[i.. j+1]$ , for some  $1 \leq i \leq j + 1$ . Determine a maximum sub-array of the form  $A[i.. j+1]$  in constant time based on knowing a maximum sub-array ending at index  $j$ .

### Solution

We can use Kadane's Algorithm to get a linear running time. The algorithm is as follows:

**find-max-subarray( $A$ )**

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**Algorithm 2** Kadane's Algorithm for Linear Time

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```

1: function FIND-MAX-SUBARRAY( $A$ )
2:    $maxSum \leftarrow nums[0]$ 
3:    $i \leftarrow 1$ 
4:   while  $i < A.length$  do
5:      $nums[i] \leftarrow \max(nums[i], nums[i] + nums[i - 1])$ 
6:      $maxSum \leftarrow \max(nums[i], maxSum)$ 
7:   end while
8: return  $maxSum$ 
9: end function

```

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This algorithm returns the max sub-array for a given array in Linear time. The max sum is stored in the variable called  $maxSum$  and after each iteration, it updates the  $[i-1]$  value with the latest sum. It keeps comparing this  $[i-1]$  value with the  $maxSum$  and stores the greater value in the same variable, hence, always keeping track of max-subarray in  $A[1\dots j]$ .

## Problem 4

**4.5-1** Use the master method to give tight asymptotic bounds for the following recurrences. **Solution**

### Part A

$$T(n) = 2T(n/4) + 1$$

The answer is  $\Theta(\sqrt{n})$ .

Since  $f(n) = 1$  and  $T(n) = n^{\log_b a}$  where  $a = 2$  and  $b = 4$ . Therefore,

$$\begin{aligned} f(n) &= n^{\log_4 2 - 1/2} = 1 \\ T(n) &= n^{\log_4 2} = \sqrt{n} \end{aligned}$$

Thus Case I of the Master Method applies where  $T(n)$  is more dominant than  $f(n)$ .

### Part B

$$T(n) = 2T(n/4) + \sqrt{n}$$

The answer is  $\Theta(\sqrt{n} \log n)$ .

Since  $f(n) = \sqrt{n}$  and  $T(n) = n^{\log_b a}$  where  $a = 2$  and  $b = 4$ . Therefore,

$$\begin{aligned} f(n) &= n^{\log_4 2} = \sqrt{n} \\ T(n) &= n^{\log_4 2} = \sqrt{n} \end{aligned}$$

Thus Case II of the Master Method applies where  $T(n)$  equals  $f(n)$ .

### Part C

$$T(n) = 2T(n/4) + n$$

The answer is  $\Theta(n)$ .

Since  $f(n) = n$  and  $T(n) = n^{\log_b a}$  where  $a = 2$  and  $b = 4$ . Therefore,

$$\begin{aligned} f(n) &= n^{\log_4 2 + 1/2} = n \\ T(n) &= n^{\log_4 2} = \sqrt{n} \end{aligned}$$

Thus Case III of the Master Method applies where  $f(n)$  is more dominant than  $T(n)$ .

### Part D

$$T(n) = 2T(n/4) + n^2$$

The answer is  $\Theta(n^2)$ .

Since  $f(n) = n^2$  and  $T(n) = n^{\log_b a}$  where  $a = 2$  and  $b = 4$ . Therefore,

$$\begin{aligned} f(n) &= n^{\log_4 2 + 3/2} = n^2 \\ T(n) &= n^{\log_4 2} = \sqrt{n} \end{aligned}$$

Thus Case III of the Master Method applies where  $f(n)$  is more dominant than  $T(n)$ .