



Deadlock

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❖ System Model :

- A **system consists of a finite number of resources** to be distributed among a number of competing processes.
- The **resources** may be partitioned into several **types**:
 - CPU cycles, memory space, I/O devices (such as printers and DVD drives ())
- Each process utilizes a resource as: **Request → Use → Release**

❖ Deadlock can arise if four conditions hold simultaneously (لازم الأربعة يتحققوا مع بعض):

- **Mutual exclusion**: **only one process at a time** can use a resource
- **Hold and wait**: a process **holding at least one resource** is **waiting to acquire additional resources** held by other processes
- **No preemption**: a resource can **be released only voluntarily** by the process holding it, after that process has completed its task
- **Circular wait**: there exists a set $\{P_0, P_1, P_2\}$ of waiting processes such that P_0 is waiting for a resource that is held by P_1 , P_1 is waiting for a resource that is held by P_2 , ..., P_{n-1} is waiting for a resource that is held by P_n , and P_n is waiting for a resource that is held by P_0 .

❖ Resource-Allocation Graph :

- Deadlocks can be described more precisely in terms of a directed **graph** called a **system resource-allocation graph** (A set of vertices V and a set of edges E .)
- **V is partitioned into two types:**
 - $P = \{P_1, P_2, \dots, P_n\}$ the set **consisting of all the processes** in the system
 - $R = \{R_1, R_2, \dots, R_m\}$, the set **consisting of all resource types** in the system
- **E is partitioned into two types:**
 - **request edge** — directed edge $P_i \rightarrow R_j$
 - **assignment edge** — directed edge $R_j \rightarrow P_i$

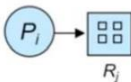
Process



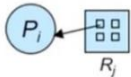
Resource Type with 4 instances



P_i requests instance of R_j



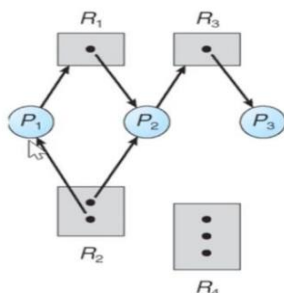
P_i is holding an instance of R_j



❖ Basic Facts :

- If graph contains **no cycles no deadlock**
- If graph contains a cycle :
 - **if only one instance** per resource type, then **deadlock**
 - **if several instances** per resource type, **possibility of deadlock**

❖ Example of a Resource Allocation :

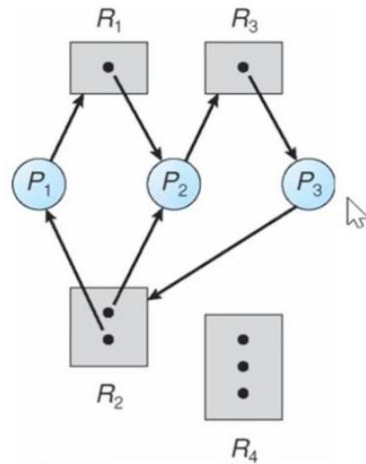


The sets P , R , and E :

- $P = \{P_1, P_2, P_3\}$
- $R = \{R_1, R_2, R_3, R_4\}$
- $E = \{P_1 \rightarrow R_1, P_2 \rightarrow R_3, R_1 \rightarrow P_2, R_2 \rightarrow P_2, R_2 \rightarrow P_1, R_3 \rightarrow P_3\}$

No Deadlock

There is no cycles So no deadlock

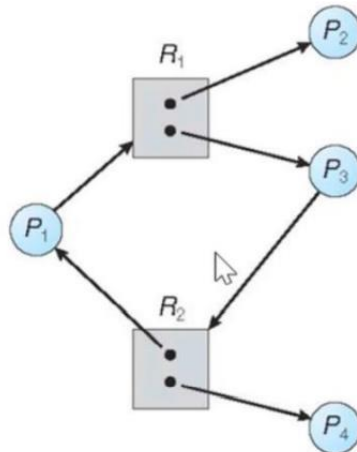


There is cycle and only **one instance** per resource type, then **deadlock**
So there is **Dead Lock**

Two cycles exist in the system:

$P_1 \rightarrow R_1 \rightarrow P_2 \rightarrow R_3 \rightarrow P_3 \rightarrow R_2 \rightarrow P_1$

$P_2 \rightarrow R_3 \rightarrow P_3 \rightarrow R_2 \rightarrow P_2$



There is cycle But **several instances** per resource type So There is no deadlock

a cycle is exist:

$P_1 \rightarrow R_1 \rightarrow P_3 \rightarrow R_2 \rightarrow P_1$

❖ Methods for Handling Deadlocks:

- Ensure that the system will **never enter a deadlock state**:

- Deadlock prevention
- Deadlock avoidance

- **Deadlock detection and recovery**: Allow the system to enter a deadlock state and then recover
- **Ignore the problem** and pretend that deadlocks never occur in the system; used by most operating systems, including UNIX

❖ Deadlock Prevention:

- **Mutual Exclusion** not required for sharable resources (e.g., read only files); must hold for non-sharable resources
- **Hold and Wait** must guarantee that whenever a process requests a resource, it does not hold any other resources:
 - Require process to request and be allocated all its resources before it begins execution,
 - allow process to request resources **only when the process has none allocated to it.**
 - **Low resource utilization; starvation possible**

➤ **No Preemption:**

- If a process that is holding some resources requests another resource that cannot be immediately allocated to it, then all resources currently being held are released
- **Preempted resources are added to the list of resources** for which the process is **waiting**
- **Process will be restarted only when it can regain its old resources**, as well as the new ones that it is requesting

➤ **Circular Wait** impose a total ordering of all resource types, and require that each process requests resources in an increasing order of enumeration

❖ **Deadlock Avoidance:**

- Requires that the system has some additional a priori information available
- Simplest and most useful model requires that **each process declare the maximum number of resources of each type that it may need** The deadlock-avoidance algorithm dynamically examines the resource-allocation state to ensure that there can never be a circular wait condition
- **Resource-allocation** state is defined by the number of available and allocated resources, and the maximum demands of the processes

❖ **Safe State:**

- When a **process requests an available resource**, system **must decide if immediate allocation leaves** the system in a safe state
- System is in **safe state** if there exists a sequence $\langle P_1, P_2, \dots, P_n \rangle$ of ALL the processes in the systems such that for each P_i , the resources that P_i can still request can be satisfied by currently available resources + resources held by all the P_j , with $j < i$

- If P_i resource needs are not immediately available, then **P_i can wait until all P_j have finished**
- When **P_j is finished**, P_i can obtain needed resources, execute, return allocated resources, and terminate
- When P_i terminates, P_{i+1} can obtain its needed resources, and so on

❖ **Basic Facts :**

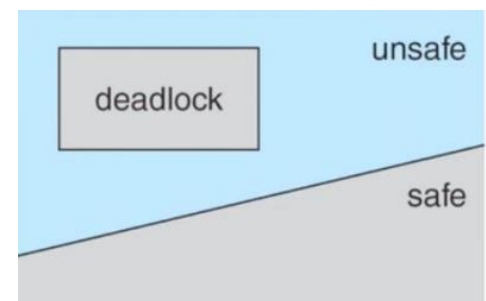
- If a system is in **safe state** no deadlocks
- If a system is in **unsafe state** possibility of deadlock
- **Avoidance ensure that a system will never enter an unsafe state.**

❖ **Avoidance Algorithms:**

- **Single instance** of a resource type → Use a **resource-allocation graph**
- **Multiple instances** of a resource type → Use the **banker's algorithm**

❖ **Resource-Allocation Graph Scheme:**

- **Claim edge** $P_i \rightarrow R_j$ indicated that **process P_i may request resource R_j** , represented by a dashed line
- **Claim edge converts to request** edge when a process requests a resource



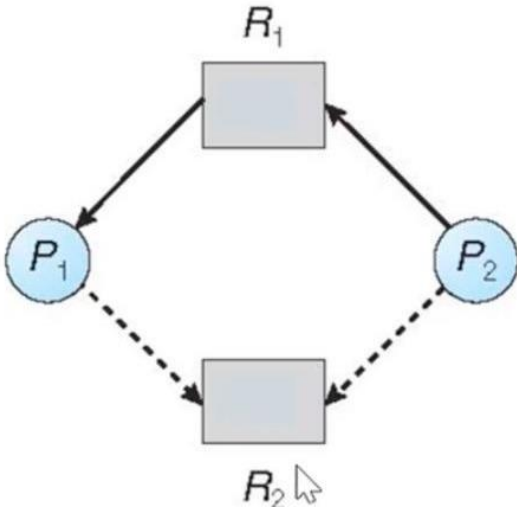
➤ **Request edge converted to an assignment** edge when the resource is allocated to the process

➤ When a resource is released by a process, **assignment edge reconverts to a claim edge**

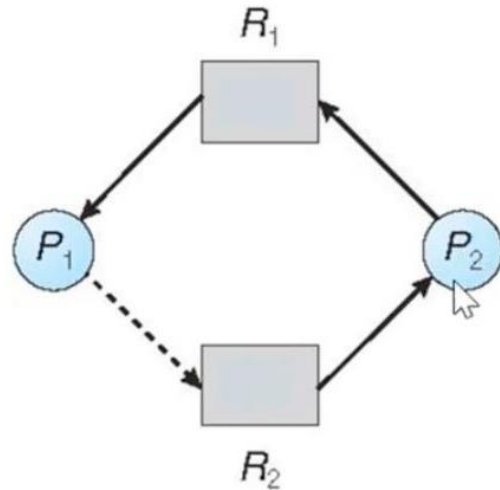
➤ Resources must be claimed a priori (مسبقاً) in the system

❖ **Resource-Allocation Graph Algorithm :**

➤ The request can be granted only if converting the request edge to an assignment edge does not result in the formation of a cycle in the resource allocation graph



Resource-Allocation Graph



Unsafe State In Resource-Allocation Graph

❖ **Banker's Algorithm:**

➤ Multiple instances

➤ Each process must a priori claim maximum use

➤ When a process requests a set of resources, the system must determine whether the allocation of these resources will leave the system in a safe state

➤ If it will, the resources are allocated; otherwise, the process must wait until some other process releases enough resources

❖ **Data Structures for the Banker's Algorithm:**

n = number of processes

m = number of resources types.

➤ **Available:** Vector of length m . If available $[j] = k$, there are k instances of resource type R_j available

➤ **Max:** $n \times m$ matrix. If Max $[i,j] = k$, then process P_i may request at most k instances of resource type R_j

➤ **Allocation:** $n \times m$ matrix. If Allocation $[i,j] = k$ then P_i is currently allocated k instances of R_j

➤ **Need:** $n \times m$ matrix. If Need $[i,j] = k$, then P_i may need k more instances of R_j to complete its task

$$\text{Need } [i,j] = \text{Max}[i,j] - \text{Allocation } [i,j]$$

❖ **Safety Algorithm:**

- 5 processes P_0 through P_4 ;
- 3 resource types:
A (10 instances), B (5 instances), and C (7 instances)
- Snapshot at time T_0 :

	<u>Allocation</u>			<u>Max</u>			<u>Available</u>		
	A	B	C	A	B	C	A	B	C
P_0	0	1	0	7	5	3	3	3	2
P_1	2	0	0	3	2	2			
P_2	3	0	2	9	0	2			
P_3	2	1	1	2	2	2			
P_4	0	0	2	4	3	3			

اول حاجه هنعملها هنجيب need عن طريق

$$\text{Need} = \text{Max} - \text{Allocation}$$

	<u>Allocation</u>			<u>Max</u>			<u>Need</u>			<u>Available</u>		
	A	B	C	A	B	C	A	B	C	A	B	C
P_0	0	1	0	7	5	3	7	4	3	3	3	2
P_1	2	0	0	3	2	2	1	2	2			
P_2	3	0	2	9	0	2	6	0	0			
P_3	2	1	1	2	2	2	0	1	1			
P_4	0	0	2	4	3	3	4	3	1			

بعد كده هنشوف need < available لو لقينا هنعمل update لـ available

$$\text{Available} = \text{Available} + \text{Allocation}$$

- $P_0 \Rightarrow 743 < 332$
- $P_1 \Rightarrow 112 < 332 \rightarrow \text{update variable} \rightarrow \text{Available} = 200 + 332 = 532$
- $P_2 \Rightarrow 600 < 532$
- $P_3 \Rightarrow 011 < 532 \text{ update variable} \rightarrow \text{Available} = 211 + 532 = 743$
- $P_4 \Rightarrow 431 < 743 \text{ update variable} \rightarrow \text{Available} = 002 + 743 = 745$
- $P_0 \Rightarrow 743 < 745 \text{ update variable} \rightarrow \text{Available} = 010 + 745 = 755$
- $P_2 \Rightarrow 600 < 755 \text{ update variable} \rightarrow \text{Available} = 302 + 755 = 1057$

System is safe state since the sequence is $\langle p_1, p_3, p_4, p_0, p_2 \rangle$

احنا هنا فضلنا نكرر لحد ما الشرط اتحقق ف بقت safety لو فضلنا نكرر ان need < available و متحققش كده هيكون unsafe

❖ Resource Request Algorithm:

- If **Request** \leq **Need** go to step 2. Otherwise, raise error condition, since process has exceeded its maximum claim
- If **Request** \leq **Available**, go to step 3. Otherwise P_i must wait, since resources are not available
- Pretend to allocate requested resources to P_i by modifying the state as:
 - $\text{Available} = \text{Available} - \text{Request};$
 - $\text{Allocation}_i = \text{Allocation}_i + \text{Request}$
 - $\text{Need}_i = \text{Need}_i - \text{Request};$
- If **safe** the resources are allocated to P_i
- If **unsafe** P_i must **wait**, and the old resource-allocation state is restored

- Check that $\text{Request} \leq \text{Need}_i$ (that is, $(1,0,2) \leq (1,2,2) \Rightarrow \text{true}$)
- Check that $\text{Request} \leq \text{Available}$ (that is, $(1,0,2) \leq (3,3,2) \Rightarrow \text{true}$)

	<u>Allocation</u>			<u>Need</u>			<u>Available</u>		
	A	B	C	A	B	C	A	B	C
P_0	0	1	0	7	4	3	3	3	2
P_1	2	0	0	1	2	2			
P_2	3	0	2	6	0	0			
P_3	2	1	1	0	1	1			
P_4	0	0	2	4	3	1			

$$\text{Available} = \text{Available} - \text{Request};$$

بيتحقق $102 < 122$

بيتحقق $102 < 200$

كده تمام الشرطين اتحققوا نخش ع الخطوة 3

$$\text{Available} = 332 - 102 = 230$$

$$\text{Allocation} = 200 + 102 = 302$$

$$\text{Need} = 122 - 102 = 20$$

كده بعد ما عملنا update لـ available, allocation, need

هنكرر نفس الخطوات اللي كانت في safty

و نشوف هل هيكون في وضع safty و لا

وهيطلع عندنا $\langle p_1, p_3, p_4, p_0, p_2 \rangle$

P_1 can request (1,0,2)

	<u>Allocation</u>			<u>Need</u>			<u>Available</u>		
	A	B	C	A	B	C	A	B	C
P_0	0	1	0	7	4	3	2	3	0
P_1	3	0	2	0	2	0			
P_2	3	0	2	6	0	0			
P_3	2	1	1	0	1	1			
P_4	0	0	2	4	3	1			