

# ATLAS: Adaptive Task-aware Federated Learning with LoRA-based Heterogeneous Splitting

Supervisor Update — MIRA-aligned pipeline, fixes, and latest results

Advanced Master's Project

February 4, 2026

# Agenda

- ① What changed since midterm
- ② Literature-grounded improvements (MIRA / HSplitLoRA alignment)
- ③ Engineering issues encountered & how we fixed them
- ④ Latest end-to-end results (Quick ATLAS run)
- ⑤ Next experiments (publication-quality evaluation plan)

# What changed since January 7

**Goal:** move from toy runs (few clients/rounds) to a publishable, MIRA-faithful pipeline.

## Major updates delivered:

- Real training on HF models + GLUE tasks (no synthetic curves)
- 9-client multi-task setup ( $3 \text{ tasks} \times 3 \text{ clients}$ ) with device heterogeneity
- Task-pure clustering from gradient fingerprints (privacy-preserving)
- Importance-aware per-layer LoRA ranks under memory budgets
- MIRA RBF adjacency + Laplacian personalization with block-diagonal graph

**Current state:** end-to-end ATLAS runs in ~14 min for 3 rounds on a T4; ready for longer sweeps.

# Quick run configuration (latest)

- Model: distilbert-base-uncased
- Tasks: sst2, mrpc, cola
- Clients: 9 total, clients\_per\_task=3
- Device types: [2GB CPU, 4GB tablet, 8GB laptop, 16GB GPU]
- Rounds:  $T = 3$ , local epochs  $R = 2$ , batch size 16
- Fingerprinting: 64 batches, PCA target 64D (uses 9 comps with 9 clients)
- Graph: mira\_rbf,  $\eta = 0.1$ , block-diagonal, ensure connectivity

Repro command: `python experiments/atlas_integrated.py --quick --num-rounds 3`

# Phase 1: Literature-grounded fingerprinting & clustering

**Motivation (MIRA-style):** cluster clients without seeing data, using task-informative gradients.

## Implemented improvements:

- Extract gradients from last transformer layers + classifier (more task-specific)
- Increase fingerprint samples to reduce noise (64 batches)
- Per-layer L2 normalization to avoid domination by a single layer
- Multi-metric k-selection (Silhouette / Davies-Bouldin / Calinski-Harabasz)
- **Singleton penalty** to avoid fragmented clusters (prefer 1 cluster per task)

# Phase 1: Latest clustering result (from quick run)

**PCA:** 9 samples, 14.8M features, 9 components (top-3 explain 0.472).

**k-search (singleton penalty active):**

k	Combined	Silhouette	DB	Singletons
2	0.363	0.051	1.994	0
<b>3</b>	<b>0.382</b>	<b>0.071</b>	<b>1.639</b>	<b>0</b>
4	0.244	0.052	1.300	1
5	0.106	0.040	1.061	2

**Selected:**  $k = 3$  with **task-pure clusters** (purity = 1.0)

- Cluster 0: MRPC clients [3,4,5]
- Cluster 1: CoLA clients [6,7,8]
- Cluster 2: SST-2 clients [0,1,2]

## Phase 2: What went wrong & the fix

**Problem we hit:** we computed per-layer importance scores correctly, but ranks stayed uniform (e.g., [8,8,8,8,8,8]).

**Root cause:** incremental greedy upgrades often let every layer reach the same ceiling rank if the memory check is permissive.

**Fix implemented: budget-proportional allocation**

- Find max *uniform* rank that fits memory budget (baseline)
- Convert that to a *total rank budget*
- Allocate per-layer ranks proportional to importance, then round to candidates
- Validate memory; if needed, downgrade least-important layers

Reference: HSplitLoRA constraint  $\sum_{\ell} 2dr_{\ell} b \leq C_{mem}$  with  $C_{mem} = M_{device}(1 - \alpha_{base} - \alpha_{act} - \alpha_{opt})$ .

## Phase 2: Budget-proportional allocator (pseudo-code)

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**Algorithm 1** Importance-aware rank allocation (budget-proportional)

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```
1: Compute adapter budget  $C_{mem}$  from device memory and  
   ( $\alpha_{base}, \alpha_{act}, \alpha_{opt}$ )  
2: Find best uniform rank  $r^*$  s.t.  $n_{alloc} \cdot M(r^*) \leq C_{mem}$   
3: Total rank budget:  $B \leftarrow n_{alloc} \cdot r^*$   
4: for each layer  $\ell$  do  
5:    $\tilde{r}_\ell \leftarrow \text{importance}_\ell \cdot B$   
6:    $r_\ell \leftarrow \text{round\_to\_candidates}(\tilde{r}_\ell)$   
7: end for  
8: if  $\sum_\ell M(r_\ell) > C_{mem}$  then  
9:   Downgrade least-important layers until feasible  
10: end if  
11: return  $\{r_\ell\}$ 
```

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## Phase 2: Latest per-layer ranks by device (examples)

Device	Example ranks (6 LoRA layers)	Adapter mem	Notes
2GB CPU	[4, 8, 8, 8, 4, 4]	0.21MB	lowest comm cost
4GB tablet	[8, 16, 16, 16, 4, 4]	0.38MB	moderate capacity
8GB laptop	[16, 32, 32, 32, 4, 4]	0.70MB	higher ranks mid/late
16GB GPU	[32, 64, 64, 64, 4, 4]	1.36MB	highest capacity

**Observed importance pattern (client 0):** layer\_3 > layer\_2 > layer\_1 > layer\_0  
» layer\_4 > layer\_5.

# Communication scaling with heterogeneity (per round)

**Observation:** communication cost scales with rank and device capacity.

Device type	Upload (bytes)	Download (bytes)
2GB CPU	5,621,776	1,769,472
4GB tablet	6,506,512	3,538,944
8GB laptop	8,275,984	7,077,888
16GB GPU	11,814,928	7,077,888

**Interpretation:** split + LoRA keeps costs far below full-model FL; larger devices contribute more.

## Phase 4: MIRA RBF adjacency + Laplacian personalization

**MIRA adjacency (implemented):**

$$a_{k\ell} = \exp(-\alpha \|f_k - f_\ell\|^2), \quad \sum_{\ell \in N_k} a_{k\ell} = 1$$

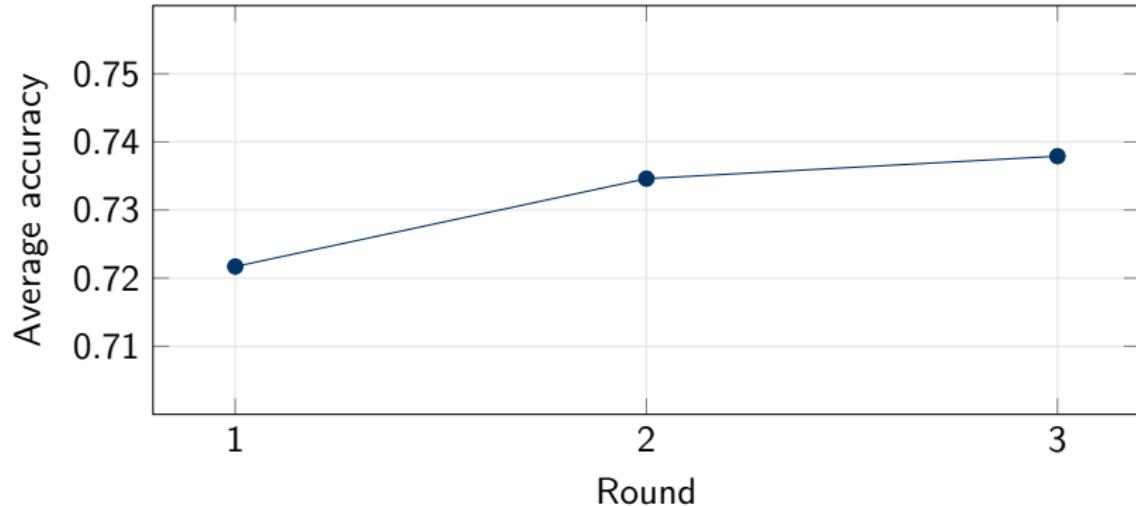
**Personalized update (per client):**

$$W_k^{(t+1)} = W_k^{(t,R)} - \eta \sum_{\ell \in N_k} a_{k\ell} \left( W_k^{(t,R)} - W_\ell^{(t,R)} \right)$$

**Latest run:**

- Block-diagonal graph (no cross-task mixing)
- Full intra-cluster connectivity with  $k = 3$  and clusters of size 3
- **18 directed adjacency weights** computed (6 per cluster)

## End-to-end results: accuracy improves each round



**Runtime:** ~203 sec/round on T4; total ~13.9 minutes for 3 rounds.

# Final accuracy snapshot (Quick ATLAS run)

## Final per-client accuracy (round 3):

- SST-2 (clients 0–2): 0.826, 0.828, 0.827 (avg  $\sim$ 0.827)
- MRPC (clients 3–5): 0.711, 0.689, 0.684 (avg  $\sim$ 0.695)
- CoLA (clients 6–8): 0.692, 0.694, 0.691 (avg  $\sim$ 0.693)

Overall average accuracy: 0.738

**Note:** MRPC/CoLA are harder tasks; expect larger gains with  $T \geq 20$  rounds and  $\eta$  sweep.

# Engineering issues we faced (and resolved)

- ➊ **Toy setup / weak clustering:** too few clients, too few fingerprint batches
  - Fix: 9 clients ( $3 \text{ tasks} \times 3$ ), 64 fingerprint batches
- ➋ **Cluster fragmentation:** k-search picked  $k=5$  with singleton clusters
  - Fix: singleton penalty in clustering score  $\Rightarrow k=3$  selected
- ➌ **Uniform ranks despite importance:** allocator upgraded all layers equally
  - Fix: budget-proportional rank allocation (per-layer heterogeneity)
- ➍ **MIRA graph connectivity:** needed consistent neighborhoods
  - Fix: full intra-cluster connectivity; ensure connectivity enabled
- ➎ **Real-world debugging:** configuration drift + JSON formatting bugs during iteration
  - Fix: config logging + strict result saving; quick mode stabilized

# Next experiments (Feb 2026 evaluation plan)

**Goal: quantify benefit of Laplacian personalization and hetero ranks.**

- **Longer runs:**  $T = 20$  and optionally  $T = 60$  (MIRA shows clearer gains after  $\sim 20$ )
- **$\eta$  (lambda) sweep:**  $\eta \in \{0.0, 0.01, 0.1, 0.5, 1.0\}$
- **Ablations:**
  - (i) no Laplacian ( $\eta = 0$ ), (ii) FedAvg-in-cluster baseline, (iii) full ATLAS
- **Robustness:** 3 random seeds, report mean  $\pm$  std and worst-client accuracy
- **Rank quantization study:** denser rank candidates to reduce ties (e.g., 4/6/8/12/16/24/32/48/64)
- **Metrics:** track per-task accuracy, F1 (MRPC), and fairness (worst client)

# Discussion points for supervisors

- Target evaluation: more tasks/clients vs deeper tuning on 3 GLUE tasks?
- Preferred baselines: FedAvg + LoRA, per-task FedAvg, or local-only?
- Desired reporting: comm cost (bytes/round), wall-clock time, and accuracy tradeoffs
- What constitutes “publishable” scale for this project (clients/rounds/seeds)?

# Thank You

Questions & Feedback