

# Lecture Outline



1. NFA TO DFA (Subset Construction Method)
2. Subset Construction Algorithm
3. DFA Designing
4. Example
5. Exercise
6. References

# Objective and Outcome



## Objective:

- To explain the subset construction algorithm/method for converting a Non deterministic machine to deterministic machine.
- Provide necessary example and explanation of NFA to DFA conversion method using subset construction method.
- To explain and practice Deterministic Finite Automata (DFA) Machine Design for a given Grammar.

## Outcome:

- After this lecture the students will be capable of demonstrating the subset construction algorithm
- After this lecture the student will be able to convert an NFA to relevant DFA by following subset construction method.
- After this class student will be able to design and demonstrate DFA construction from a given Grammar.

# NFA to DFA Conversion

Subset Construction Algorithm



**Input:** An NFA  $N$

**Output:** A DFA  $D$  accepting the same language

**Method:** Constructs a transition table  $D_{tran}$  for  $D$ . Each DFA state is a set of NFA states and construct  $D_{tran}$  so that  $D$  will simulate "in parallel" all possible moves  $N$  can make on a given input string

OPERATION	DESCRIPTION
$\epsilon\text{-closure}(s)$	Set of NFA states reachable from NFA state $s$ on $\epsilon$ -transitions alone.
$\epsilon\text{-closure}(T)$	Set of NFA states reachable from some NFA state $s$ in $T$ on $\epsilon$ -transitions alone.
$move(T, a)$	Set of NFA states to which there is a transition on input symbol $a$ from some NFA state $s$ in $T$ .

# NFA to DFA Conversion

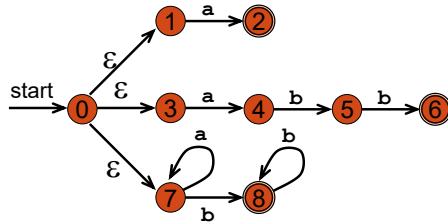
Subset Construction Algorithm



```
initially,  $\epsilon\text{-closure}(s_0)$  is the only state in  $Dstates$  and it is unmarked;  
while there is an unmarked state  $T$  in  $Dstates$  do begin  
    mark  $T$ ;  
    for each input symbol  $a$  do begin  
         $U := \epsilon\text{-closure}(move(T, a))$ ;  
        if  $U$  is not in  $Dstates$  then  
            add  $U$  as an unmarked state to  $Dstates$ ;  
         $D_{tran}[T, a] := U$   
    end  
end
```

# NFA to DFA Conversion

$\epsilon$ -closure and move Examples



Alphabet / Symbol = {a, b}

$\epsilon$ -closure( $\{0\}$ ) =  $\{0, 1, 3, 7\}$   
 $move(\{0, 1, 3, 7\}, a) = \{2, 4, 7\}$   
 $\epsilon$ -closure( $\{2, 4, 7\}$ ) =  $\{2, 4, 7\}$   
 $move(\{2, 4, 7\}, a) = \{7\}$   
 $\epsilon$ -closure( $\{7\}$ ) =  $\{7\}$   
 $move(\{7\}, b) = \{8\}$   
 $\epsilon$ -closure( $\{8\}$ ) =  $\{8\}$   
 $move(\{8\}, a) = \emptyset$

# Subset Construction Algorithm

Subset Construction Algorithm

The *subset construction algorithm* converts an NFA into a DFA using:

$\epsilon$ -closure( $s$ ) =  $\{s\} \cup \{t \mid s \rightarrow_{\epsilon} \dots \rightarrow_{\epsilon} t\}$   
 $\epsilon$ -closure( $T$ ) =  $\bigcup_{s \in T} \epsilon$ -closure( $s$ )  
 $move(T, a) = \{t \mid s \rightarrow_a t \text{ and } s \in T\}$

The algorithm produces:

- $D_{states}$  is the set of states of the new DFA consisting of sets of states of the NFA
- $D_{tran}$  is the transition table of the new DFA

# Subset Construction Algorithm

Algorithm Explained

- Create the start state of the DFA by taking the  $\epsilon$ -closure of the start state of the NFA
- Perform the following for the DFA state:
  - Apply move to the newly-created state and the input symbol; this will return a set of states.
  - Apply the  $\epsilon$ -closure to this set of states, possibly resulting in a new set.  
This set of NFA states will be a single state in the DFA.
- Each time we generate a new DFA state, we must apply step 2 to it. The process is complete when applying step 2 does not yield any new states.
- The finish states of the DFA are those which contain any of the finish states of the NFA

# Subset Construction Algorithm

Algorithm with while Loop

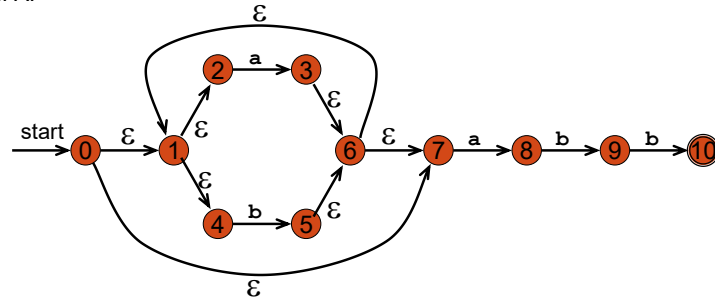
```

fun nfa2dfa start edges =
  let val chars = nodup(sigma edges)
      val s0 = eclosure edges (start)
      val worklist = ref [s0]
      val work = ref []
      val old = ref []
      val newEdges = ref []
  in while (not (null (!worklist))) do
      (work := hd(!worklist)
       ; old := (!work) :: (!old)
       ; worklist := tl(!worklist)
       ; let fun nextOn c = (Char.toString c
                           , eclosure edges (nodesOnFromMany (Char c) (!work) edges))
           val possible = map nextOn chars
           fun add ((c, l)::xs) es = add xs es
             | add ((c, ss)::xs) es = add xs ((!work, c, ss)::es)
             | add [] es = es
           fun ok [] = false
             | ok xs = not(exists (fn ys => xs=ys) (!old)) andalso
               not(exists (fn ys => xs=ys) (!worklist))
           val new = filter ok (map snd possible)
           in worklist := new @ (!worklist);
             newEdges := add possible (!newEdges)
           end
       );
      (s0, !old, !newEdges)
  end;
end;
    
```

# NFA to DFA Conversion

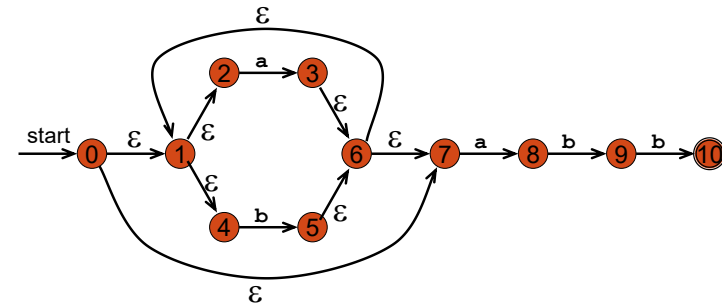
Subset Construction Method (Example-1)

NFA:



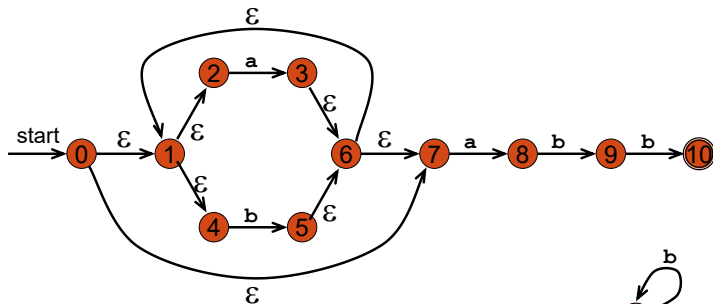
Regular Expression:  $(a | b)^* abb$

## Subset Construction Method (Example-1)

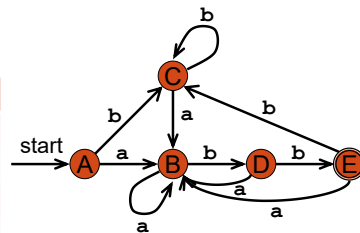


DFA State	E-closure of	E-closure outcome states	NFA States	DFA State	a	b
A	E-closure ({0})	0, 1, 2, 4, 7	0, 1, 2, 4, 7	A	B	C
B	E-closure ({3, 8})	1, 2, 3, 4, 6, 7, 8	1, 2, 3, 4, 6, 7, 8	B	B	D
C	E-closure ({5})	1, 2, 4, 5, 6, 7	1, 2, 4, 5, 6, 7	C	B	C
D	E-closure ({5, 9})	1, 2, 4, 5, 6, 7, 9	1, 2, 4, 5, 6, 7, 9	D	B	E
E	E-closure ({5, 10})	1, 2, 4, 5, 6, 7, 10	1, 2, 4, 5, 6, 7, 10	E	B	C

## Subset Construction Method (Example-1 Cont.)

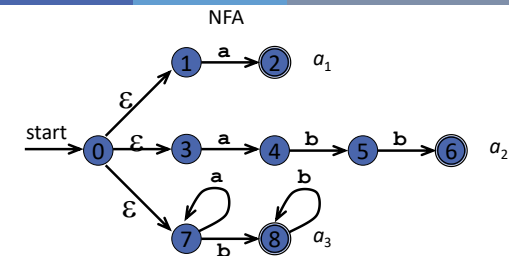


NFA State	DFA State	a	b
0, 1, 2, 4, 7	A	B	C
1, 2, 3, 4, 6, 7, 8	B	B	D
1, 2, 4, 5, 6, 7	C	B	C
1, 2, 4, 5, 6, 7, 9	D	B	E
1, 2, 4, 5, 6, 7, 10	E	B	C



# NFA to DFA Conversion

Subset Construction Method (Exercise 1)



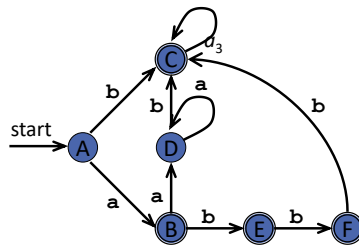
Converted DFA in the next Slide

# NFA to DFA Conversion

Subset Construction Method (Exercise 1)



DFA



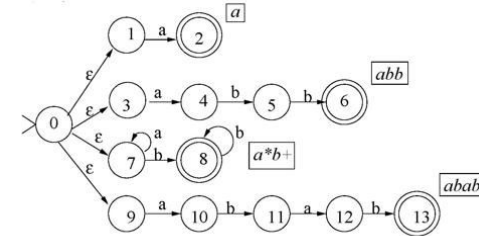
Dstates

A = {0,1,3,7}  
B = {2,4,7}  
C = {8}  
D = {7}  
E = {5,8}  
F = {6,8}

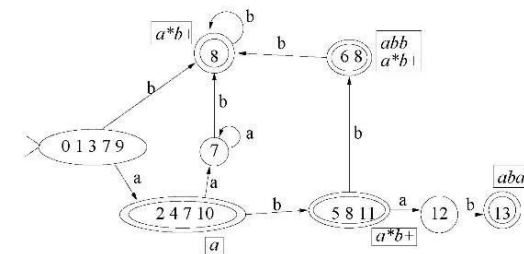
# NFA to DFA / Subset Construction Method (Exercise 2)



NFA



DFA  
Hints



# Deterministic Finite Machine

DFA DESIGN



- A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where
  - $Q$  is a finite set called the **states**,
  - $\Sigma$  is a finite set called the **alphabet**,
  - $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,
  - $q_0 \in Q$  is the **start state**,
  - $F \subseteq Q$  is the set of **accept (final) states**.
- If  $A$  is the set of all strings that a machine  $M$  accepts, we say that  $A$  is the **language of machine  $M$**  and write  $L(M)=A$ ,  **$M$  recognizes  $A$**  or  **$M$  accepts  $A$** .

# Deterministic Finite Machine

DFA Example 1

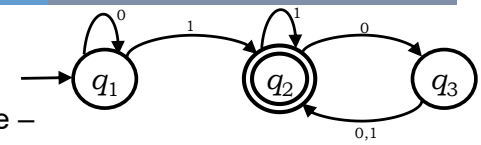


Figure: Finite Automaton  $M_1$

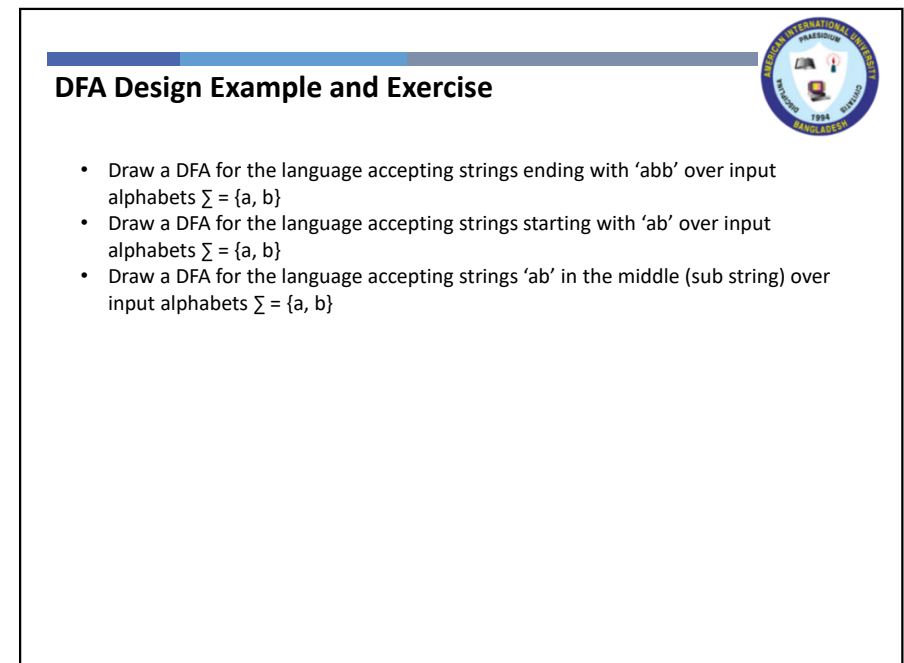
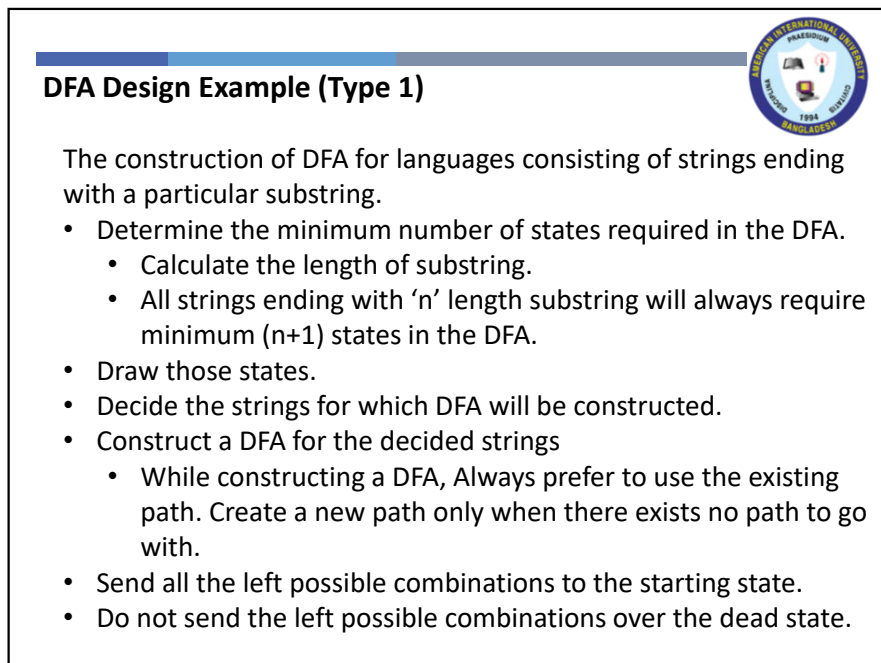
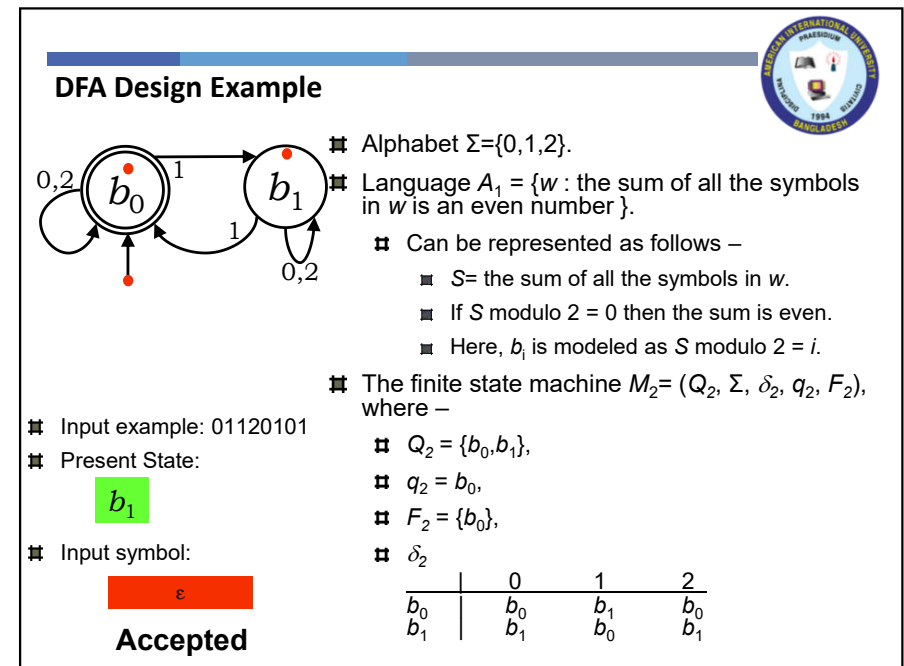
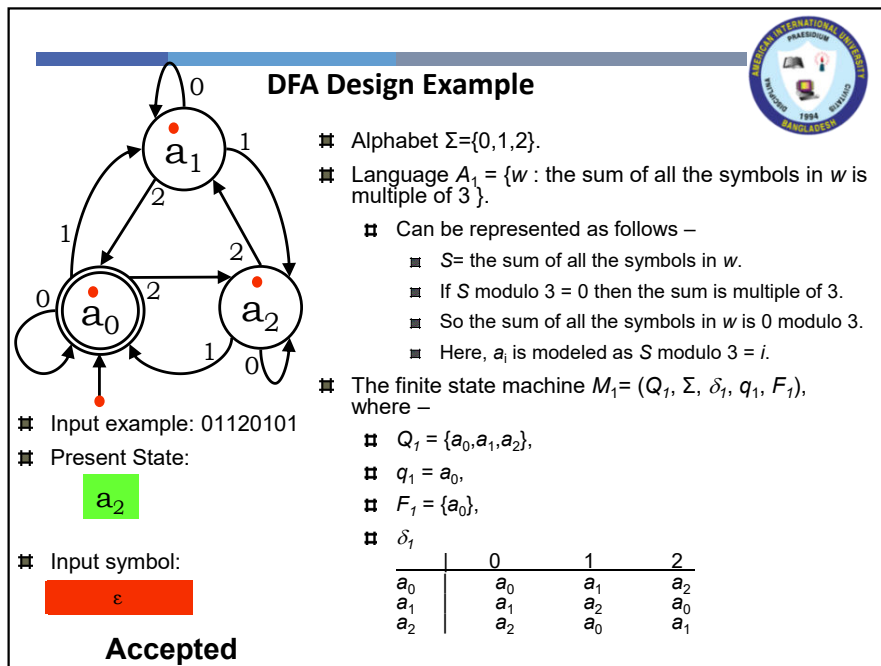
$M_1 = (Q, \Sigma, \delta, q_0, F)$ , where –

- $Q = \{q_1, q_2, q_3\}$ ,
- $\Sigma = \{0, 1\}$ ,
- $\delta$  is describe as –
- $q_0 = q_1$ ,
- $F = \{q_2\}$ .

$\delta$	0	1
$q_1$	$q_1$	$q_2$
$q_2$	$q_3$	$q_2$
$q_3$	$q_2$	$q_2$

or

$\delta(q_1, 0) = q_1, \delta(q_1, 1) = q_2,$
$\delta(q_2, 0) = q_3, \delta(q_2, 1) = q_2,$
$\delta(q_3, 0) = q_2, \delta(q_3, 1) = q_2.$



## Lecture References



- Portland State University Lectures ([Link](#))
- Power set Construction Wikipedia ([Link](#))
- Maynooth University Lectures ([Link](#))

## References/Books



- 1. Compilers-Principles, techniques and tools (2nd Edition) V. Aho, Sethi and D. Ullman
- 2. Principles of Compiler Design (2nd Revised Edition 2009) A. A. Puntambekar
- 3. Basics of Compiler Design Torben Mogensen

## FIRST and FOLLOW

Course Code: CSC3220

Course Title: Compiler Design



**Dept. of Computer Science**  
**Faculty of Science and Technology**

Lecture No:	9.1	Week No:	9	Semester:	Summer 2020-2021
Lecturer:	MAHFUJUR RAHMAN, <a href="mailto:mahfuj@aiub.edu">mahfuj@aiub.edu</a>				

## Lecture Outline



1. Review of Subset Construction Rule (NFA to DFA conversion)
2. Overview of First and Follow
3. First and Follow set Rules
4. Examples
5. Exercises

## Objective and Outcome



### Objective:

- To Explain the necessity or requirement of FIRST and FOLLOW set calculation.
- To elaborate the method/algorithm of FIRST and FOLLOW calculation from a given CFG.
- To provide necessary example and exercise of FIRST and FOLLOW calculation from a given CFG

### Outcome:

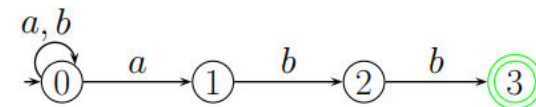
- After this class the students will know the necessity of FIRST and FOLLOW calculation
- After this class the students will be able to demonstrate the FIRST and FOLLOW calculation method.
- The students will also be capable of calculating FIRST and FOLLOW set from a given CFG

## Review on NFA to DFA



### Example

A NFA for the language,  $L_3 = \{a, b\}^* \{abb\}$ .



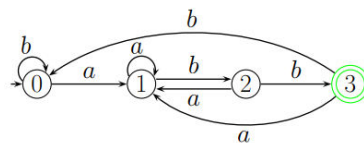
Given NFA

## Review on NFA to DFA



### Example

names	states	a	b
A	{0}	B	A
B	{0, 1}	B	C
C	{0, 2}	B	D
D	{0, 3}	B	A



Converted DFA

## FIRST and FOLLOW Overview



The basic problem in parsing is choosing which production rule to use at any stage during a derivation.

### • Lookahead

Means attempting to analyze the possible production rules which can be applied, in order to pick the one most likely to derive the current symbol(s) on the input.

### • FIRST and FOLLOW

We formalize the task of picking a production rule using two functions, FIRST and FOLLOW. we need to find FIRST and FOLLOW sets for a given grammar, so that the parser can properly apply the needed rule at the correct position.

# FIRST Set Calculation

## Rules

1. If  $X$  is terminal,  $FIRST(X) = \{X\}$ .
2. If  $X \rightarrow \epsilon$  is a production, then add  $\epsilon$  to  $FIRST(X)$ .
3. If  $X$  is a non-terminal, and  $X \rightarrow Y_1 Y_2 \dots Y_k$  is a production, and  $\epsilon$  is in all of  $FIRST(Y_1), \dots, FIRST(Y_k)$ , then add  $\epsilon$  to  $FIRST(X)$ .
4. If  $X$  is a non-terminal, and  $X \rightarrow Y_1 Y_2 \dots Y_k$  is a production, then add  $a$  to  $FIRST(X)$  if for some  $i$ ,  $a$  is in  $FIRST(Y_i)$ , and  $\epsilon$  is in all of  $FIRST(Y_1), \dots, FIRST(Y_{i-1})$ .

Applying rules 1 and 2 is obvious. Applying rules 3 and 4 for  $FIRST(Y_1 Y_2 \dots Y_k)$  can be done as follows:

Add all the non- $\epsilon$  symbols of  $FIRST(Y_1)$  to  $FIRST(Y_1 Y_2 \dots Y_k)$ . If  $\epsilon \in FIRST(Y_1)$ , add all the non- $\epsilon$  symbols of  $FIRST(Y_2)$ . If  $\epsilon \in FIRST(Y_1)$  and  $\epsilon \in FIRST(Y_2)$ , add all the non- $\epsilon$  symbols of  $FIRST(Y_3)$ , and so on. Finally, add  $\epsilon$  to  $FIRST(Y_1 Y_2 \dots Y_k)$  if  $\epsilon \in FIRST(Y_i)$ , for all  $1 \leq i \leq k$ .



# First Set

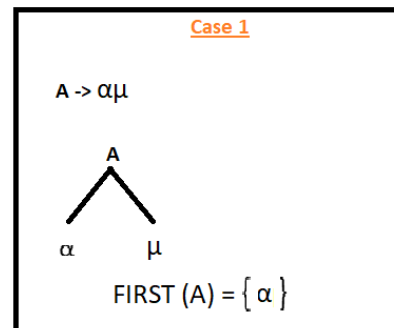
The algorithm to compute the firsts set of a symbol  $X$ :

```
if (X is a terminal symbol):
    first(X) = X;
    break;
if (X -> ε ∈ productions of the grammar):
    first(X).add({ ε });
foreach (X -> Y1...Yn ∈ productions of the grammar):
    j = 1;
    while (j <= n):
        first(X).add({ b }, ∀ b ∈ first(Yj) );
        if ( ε ∈ first(Yj) ):
            j ++;
        else:
            break;
    if (j = n+1):
        first(X).add({ ε });
```



## First Set (Case 1)

- For a Production, if the first things is terminals that terminal (left most) would be considered as a 'First'
- If the Left most thing is a terminals then that terminals will be 'First'
- Don't worry about the rest of the things residing on the right side of the first terminals



## First Set (Case 2)

- For a Production, if the first things is epsilon ( $\epsilon$ ) then 'FIRST' is epsilon ( $\epsilon$ )





## First Set (Case 3)

- For a Production, if the first things is Non-Terminals, then we should continue until we found a terminals.
- Look for the next production and next until we encounter a terminals



## First Set (Example 1)

### Problem

```
E -> TE'
E' -> +T E' | ε
T -> FT'
T' -> *F T' | ε
F -> (E) | id
```

### Solution

```
FIRST(E) = FIRST(T) = { ( , id }
FIRST(E') = { +, ε }
FIRST(T) = FIRST(F) = { ( , id }
FIRST(T') = { *, ε }
FIRST(F) = { ( , id }
```

## First Set (Example 2)

### Problem

```
S -> ACB | Cbb | Ba
A -> da | BC
B -> g | ε
C -> h | ε
```

### Solution

```
FIRST sets
FIRST(S) = FIRST(A) U FIRST(B) U FIRST(C)
          = { d, g, h, ε, b, a }
FIRST(A) = { d } U FIRST(B) = { d, g, h, ε }
FIRST(B) = { g, ε }
FIRST(C) = { h, ε }
```



## Follow Set

### Rules

- Follow should be look for right side of anything
- Follow always starts with \$
- **Follow(X)** to be the set of terminals that can appear immediately to the right of Non-Terminal X in some sentential form.
- FOLLOW (S) = { S } // where S is the starting Non-Terminal
- If A -> pBq is a production, where p, B and q are any grammar symbols, then everything in FIRST (q) except ε is in FOLLOW (B)
- If A -> pB is a production, then everything in FOLLOW(A) is in FOLLOW (B)
- If A -> pBq is a production and FIRST(q) contains ε, then FOLLOW (B) contains { FIRST(q) - ε } U FOLLOW (A)



# Follow Set

## Rules

Apply the following rules:

1. If  $\$$  is the input end-marker, and  $S$  is the start symbol,  $\$ \in \text{FOLLOW}(S)$ .
2. If there is a production,  $A \rightarrow \alpha\beta$ , then  $(\text{FIRST}(\beta) - \epsilon) \subseteq \text{FOLLOW}(A)$ .
3. If there is a production,  $A \rightarrow \alpha\beta$ , or a production  $A \rightarrow \alpha\beta\gamma$ , where  $\epsilon \in \text{FIRST}(\beta)$ , then  $\text{FOLLOW}(A) \subseteq \text{FOLLOW}(\gamma)$ .

**Note** that unlike the computation of FIRST sets for non-terminals, where the focus is *on what a non-terminal generates*, the computation of FOLLOW sets depends upon *where the non-terminal appears on the RHS of a production*



## Follow Set (Case 1-a)

- Follow means something right behind of it.
- Follow means the next one
- If the next of a thing (whos Follow should be calculated) **terminal**/nonterminal then we must find the 'FIRST' of that terminal/nonterminal
- That particular 'FIRST' would be the designated 'FOLLOW' of the things (whos Follow should be calculated)



## Follow Set (Case 1-b)

- Follow means something right behind of it.
- Follow means the next one
- If the next of a thing (whos Follow should be calculated) terminal/**nonterminal** then we must find the 'FIRST' of that terminal/nonterminal
- That particular 'FIRST' would be the designated 'FOLLOW' of the things (whos Follow should be calculated)



## Follow Set (Case 2)

- We never write epsilon ( $\epsilon$ ) in 'FOLLOW'
- If we do not have anything on right side
- That is, if we do not have an 'FOLLOW' then we will take the 'FOLLOW' (all FOLLOW) of its parent (non-terminal) (from which the production came)





## Follow Set (Example 1)

### Problem

#### Production Rules:

$E \rightarrow TE'$   
 $E' \rightarrow +T E' \mid \epsilon$   
 $T \rightarrow F T'$   
 $T' \rightarrow *F T' \mid \epsilon$   
 $F \rightarrow (E) \mid id$

### Solution

#### FIRST set

$FIRST(E) = FIRST(T) = \{ (, id \}$   
 $FIRST(E') = \{ +, \epsilon \}$   
 $FIRST(T) = FIRST(F) = \{ (, id \}$   
 $FIRST(T') = \{ *, \epsilon \}$   
 $FIRST(F) = \{ (, id \}$

#### FOLLOW Set

$FOLLOW(E) = \{ \$, ) \}$  // Note ')' is there because of 5th rule  
 $FOLLOW(E') = FOLLOW(E) = \{ \$, ) \}$  // See 1st production rule  
 $FOLLOW(T) = \{ FIRST(E') - \epsilon \} \cup FOLLOW(E') \cup FOLLOW(E) = \{ +, \$, ) \}$   
 $FOLLOW(T') = FOLLOW(T) = \{ +, \$, ) \}$   
 $FOLLOW(F) = \{ FIRST(T') - \epsilon \} \cup FOLLOW(T') \cup FOLLOW(T) = \{ *, +, \$, ) \}$

## Follow Set (Example 2)

### Problem

#### Production Rules:

$S \rightarrow ACB \mid Cbb \mid Ba$   
 $A \rightarrow da \mid BC$   
 $B \rightarrow g \mid \epsilon$   
 $C \rightarrow h \mid \epsilon$

### Solution

#### FIRST set

$FIRST(S) = FIRST(A) \cup FIRST(B) \cup FIRST(C) = \{ d, g, h, \epsilon, b, a \}$   
 $FIRST(A) = \{ d \} \cup FIRST(B) = \{ d, g, \epsilon \}$   
 $FIRST(B) = \{ g, \epsilon \}$   
 $FIRST(C) = \{ h, \epsilon \}$

#### FOLLOW Set

$FOLLOW(S) = \{ \$ \}$   
 $FOLLOW(A) = \{ h, g, \$ \}$   
 $FOLLOW(B) = \{ a, \$, h, g \}$   
 $FOLLOW(C) = \{ b, g, \$, h \}$



## First and Follow Set

### Example

Grammar	First	Follow
$S \rightarrow ABCDE$	$\{a, b, c\}$	$\{ \$ \}$
$A \rightarrow a/\epsilon$	$\{a, \epsilon\}$	$\{b, c\}$
$B \rightarrow b/\epsilon$	$\{b, \epsilon\}$	$\{c\}$
$C \rightarrow c$	$\{c\}$	$\{d, e, \$\}$
$D \rightarrow d/\epsilon$	$\{d, \epsilon\}$	$\{e, \$\}$
$E \rightarrow e/\epsilon$	$\{e, \epsilon\}$	$\{ \$ \}$

## Lecture References

- Online Tool:  
<http://jsmachines.sourceforge.net/machines/ll1.html>
- Online Tutorial  
<https://www.geeksforgeeks.org/why-first-and-follow-in-compiler-design/>
- Maynooth University Material  
<http://www.cs.nuim.ie/~jpower/Courses/Previous/parsing/node48.html>
- StackOverflow Explanation  
<https://stackoverflow.com/questions/3720901/what-is-the-precise-definition-of-a-lookahead-set>

## References/ Books



- 1. Compilers-Principles, techniques and tools (2nd Edition) V. Aho, Sethi and D. Ullman
- 2. Principles of Compiler Design (2nd Revised Edition 2009) A. A. Puntambekar
- 3. Basics of Compiler Design Torben Mogensen

## Parsing and Parsing Table

Course Code: CSC3220

Course Title: Compiler Design



Dept. of Computer Science  
Faculty of Science and Technology

Lecture No:	10.1	Week No:	10	Semester:	Summer 2020-2021
Lecturer:	MAHFUJUR RAHMAN, <a href="mailto:mahfuj@aiub.edu">mahfuj@aiub.edu</a>				

## Lecture Outline



1. Parsing
2. Parsing Technique (LL1 Grammar)
3. Parsing Table Construction Technique
4. Examples
5. Exercises

## Objective and Outcome



### Objective:

- To provide an overview of parsing and parsing types.
- To give an overview of predictive parser
- To demonstrate the predictive parsing table construction for predictive / LL(1) parser from a given CFG

### Outcome:

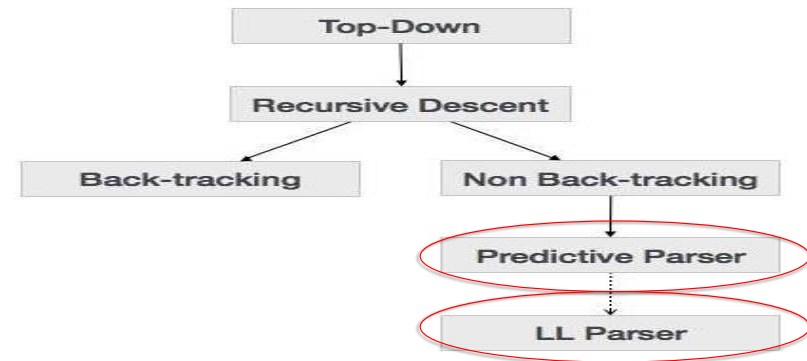
- After this lecture the students will be able to understand basics of predictive and LL (1) parser.
- The students will be capable of constructing a predictive parsing table from given CFG

# Parsing



- The process of determining if **a string of terminals (tokens) can be generated by a grammar.**
- Time complexity:
  - For any CFG there is a parser that takes at most  $O(n^3)$  time to parse a string of  $n$  terminals.
  - Linear algorithms suffice to parse essentially all languages that arise in practice.
- Two kinds of methods:
  - **Top-down:** constructs a parse tree **from root to leaves**
  - **Bottom-up:** constructs a parse tree **from leaves to root**

# Types of Parsing



# Parsing Table Overview



- A Parsing table collects information from **FIRST** and **FOLLOW** set.
- A Parsing table provides a direction/predictive guideline for generating a **parse tree** from a grammar.
- A Parsing table provide information to create moves made by a predictive parser on a specific input.

# LL(k) LL(1) Parser Design Prerequisite



- Make the grammar **suitable for top-down parser**. By performing the **elimination of left recursion**. And by **performing left factoring**.
- Find the **FIRST** and **FOLLOW** of the **variables**.
- Create Parsing table based on the **information from FIRST and FOLLOW sets**.

## Predictive (LL<sub>1</sub>) Parsing Table Construction Rule



- Collect information from FIRST and FOLLOW sets into a predictive parsing Table  $M[A, a]$
- $M[A, a]$  is a 2D array where
  - A nonterminal
  - A is a terminal or the symbol  $\$,$  the input end-marker
- The Production  $A \rightarrow a$  is chosen if the next input symbol  $a$  is in First (a).
- If  $a = \epsilon$ , we should again choose  $A \rightarrow a$ , if the current input symbol is in FOLLOW (A) or if the  $\$$  on the input has been reached and  $\$$  is in the FOLLOW(A)

## Predictive (LL<sub>1</sub>) Parsing Table Construction Rule



- From a Grammar Find out First and Follow
- Take a production; Row should be left hand side and column should be first of right and side
- If we see epsilon in first of right hand side, place the production in follow also
- If first of right hand side terminal, directly place in table
- If the first of right hand side is epsilon, directly place in follow of left hand side

```
E -> TE'
E' -> +T E' | ε
T -> FT'
T' -> *F T' | ε
F -> (E) | id
```



```
E -> TE'
E' -> +T E' | ε
T -> FT'
T' -> *F T' | ε
F -> (E) | id
```



```
FIRST set
FIRST(E) = FIRST(T) = { (, id }
FIRST(E') = { +, ε }
FIRST(T) = FIRST(F) = { (, id }
FIRST(T') = { *, ε }
FIRST(F) = { (, id }

FOLLOW Set
FOLLOW(E) = { $, ) } // Note ')' is there because of 5th rule
FOLLOW(E') = FOLLOW(E) = { $, ) } // See 1st production rule
FOLLOW(T) = { FIRST(E') - ε } U FOLLOW(E') U FOLLOW(E) = { +, $, ) }
FOLLOW(T') = FOLLOW(T) = { +, $, ) }
FOLLOW(F) = { FIRST(T') - ε } U FOLLOW(T') U FOLLOW(T) = { *, +, $, ) }
```



```

E -> TE'
E' -> +T E' | ε
T -> FT'
T' -> *F T' | ε
F -> (E) | id

```

First Set	Follow Set	Variables	+	*	(	)	id	\$
{(, id}	{\$, )}	E						
{+, ε}	{\$, )}	E'						
{(, id}	{+, \$, )}	T						
{*, ε}	{+, \$, )}	T'						
{(, id}	{*, +, \$, )}	F						



## Parsing Table Construction (Example)

LL(1) grammar ('' is ε):

```

E -> T E'
E' -> + T E'
E' -> ''
T -> F T'
T' -> * F T'
T' -> ''
F -> ( E )
F -> id

```

FIRST	FOLLOW	Nonterminal	+	*	(	)	id	\$
{(, id}	{\$, )}	E			E -> T E'		E -> T E'	
{+, ''}	{\$, )}	E'	E' -> + T E'			E' -> ''		E' -> ''
{(, id}	{+, \$, )}	T			T -> F T'		T -> F T'	
{*, ''}	{+, \$, )}	T'	T' -> ''	T' -> * F T'		T' -> ''		T' -> ''
{(, id}	{*, +, \$, )}	F			F -> ( E )		F -> id	

## Predictive parsing table for the grammar (Example 1)



$S \rightarrow +SS \mid *SS \mid a;$

$\text{FIRST}(s) = \{+, *, a\}$

$\text{FOLLOW}(s) = \{+, *, a, \$\}$

Nonterminal	Input Symbol			
	a	+	*	\$
S	$S \rightarrow a$	$S \rightarrow +SS$	$S \rightarrow *SS$	error



## Predictive parsing table for the grammar (Example 2)

$S \rightarrow ( S ) S \mid \epsilon$

$\text{FIRST}(s) = \{ (, \epsilon \}$

$\text{FOLLOW}(s) = \{ ), \$ \}$

Nonterminal	Input Symbol		
	(	)	\$
S	$S \rightarrow (S)S$	$S \rightarrow \epsilon$	$S \rightarrow \epsilon$

### Predictive parsing table for the grammar (Example 3)



$S \rightarrow S ( S ) \mid \epsilon$   
 $\text{FIRST}(S) = \{ (, \epsilon \}$   
 $\text{FOLLOW}(S) = \{ (, ), \$ \}$

Nonterminal	Input Symbol		
	(	)	\$
S	$S \rightarrow S(S)$	$S \rightarrow \epsilon$	$S \rightarrow \epsilon$
	$S \rightarrow \epsilon$		

### Parsing Table Construction (Problem)



Consider the following LL(1) grammar, which has the set of terminals  $T = \{a, b, ep; +, *, (, )\}$ . This grammar generates regular expressions over  $fa, bg$ , with  $+$  meaning the RegExp OR operator, and  $ep$  meaning the  $\epsilon$  symbol. (Yes, this is a context free grammar for generating regular expressions!)

$E \rightarrow TE'$   
 $E' \rightarrow +E \mid \epsilon$   
 $T \rightarrow FT'$   
 $T' \rightarrow T \mid \epsilon$   
 $F \rightarrow PF'$   
 $F' \rightarrow *F' \mid \epsilon$   
 $P \rightarrow (E) \mid a \mid b \mid ep$

### Parsing Table Construction (Solution)



FIRST and FOLLOW sets

$\text{First}(E) = \{ (, a, b, ep \}$      $\text{Follow}(E) = \{ ), \$ \}$   
 $\text{First}(E') = \{ +, \epsilon \}$      $\text{Follow}(E') = \{ ), \$ \}$   
 $\text{First}(T) = \{ (, a, b, ep \}$      $\text{Follow}(T) = \{ +, ), \$ \}$   
 $\text{First}(T') = \{ (, a, b, ep, \epsilon \}$      $\text{Follow}(T') = \{ +, ), \$ \}$   
 $\text{First}(F) = \{ (, a, b, ep \}$      $\text{Follow}(F) = \{ (, a, b, ep, +, ), \$ \}$   
 $\text{First}(F') = \{ *, \epsilon \}$      $\text{Follow}(F') = \{ (, a, b, ep, +, ), \$ \}$   
 $\text{First}(P) = \{ (, a, b, ep \}$      $\text{Follow}(P) = \{ (, a, b, ep, +, ), *, \$ \}$

### Parsing Table Construction (Solution)



LL (1) Parsing Table

	(	)	a	b	ep	+	*	\$
E	TE'		TE'	TE'	TE'			
E'		$\epsilon$				+E		$\epsilon$
T	FT'		FT'	FT'	FT'			
T'	T	$\epsilon$	T	T	T	$\epsilon$		$\epsilon$
F	PF'		PF'	PF'	PF'			
F'	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	*F'	$\epsilon$
P	(E)		a	b	ep			



## Lecture References



- Carnegie Mellon University Material  
<https://www.cs.cmu.edu/~fp/courses/15411-f09/lectures/08-predictive.pdf>
- Columbia University Material  
<http://www1.cs.columbia.edu/~aho/cs4115/lectures/13-02-20.htm>
- Online Material  
<https://www.quora.com/p/8960/construct-predictive-passing-table-for-following-2/>
- Online Tutorial  
[https://www.tutorialspoint.com/compiler\\_design/compiler\\_design\\_top\\_down\\_parser.htm](https://www.tutorialspoint.com/compiler_design/compiler_design_top_down_parser.htm)

## References/ Books



- 1. Compilers-Principles, techniques and tools (2nd Edition) V. Aho, Sethi and D. Ullman
- 2. Principles of Compiler Design (2nd Revised Edition 2009) A. A. Puntambekar
- 3. Basics of Compiler Design Torben Mogensen

## Stack Movement Predictive parser

Course Code: CSC3220

Course Title: Compiler Design



**Dept. of Computer Science**  
**Faculty of Science and Technology**

Lecture No:	11.1	Week No:	11	Semester:	Summer 2020-2021
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## Lecture Outline



1. First, Follow and Parsing Table Exercise and Practice
2. Non-Recursive predictive parsing
3. Stack Movement of Predictive parser

# Objective and Outcome



## Objective:

- To review predictive parsing table construction with example
- To elaborate the necessity of stack movement by a predictive parser
- To explain non-recursive predictive parsing algorithm
- Demonstrate stack movement of a predictive parser for a certain input with example

## Outcome:

- The student will improve their ability of FIRST, FOLLOW and parsing table construction skills.
- After this class the students will understand non-recursive predictive parsing algorithm
- The students will be capable of demonstrating stack movement of a predictive parser for a certain given input string from given Grammar (CFG)

## Predictive parsing table for the grammar (Example)



### Example:

$S \rightarrow A$   
 $A \rightarrow aB \mid Ad$   
 $B \rightarrow bBC \mid f$   
 $C \rightarrow g$

### Step 1:

$A \rightarrow Ad/aB$   
 LR  
 $A \rightarrow aBA'$   
 $A' \rightarrow dA' \mid \epsilon$   
 $S \rightarrow A$   
 $B \rightarrow bBC \mid f$   
 $C \rightarrow g$

## Predictive parsing table for the grammar (Example)



### Step 2

#### FIRST

(S)	{a}
(A)	{a}
(A')	{d, $\epsilon$ }
(B)	{b, f}
(C)	{g}

#### FOLLOW

(S)	{ $\$$ }
(A)	{ $\$$ }
(A')	{ $\$$ }
(B)	{d, g, $\$$ }
(C)	{d, g, $\$$ }

## Predictive parsing table for the grammar (Example)



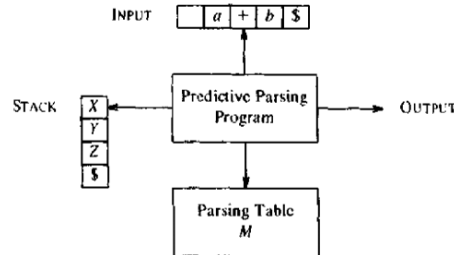
### Step 3

-	a	b	d	g	f	\$
S	$S \rightarrow A$					-
A	$A \rightarrow aBA'$					-
A'			$A' \rightarrow dA', \epsilon$			$A' \rightarrow \epsilon$
B		$B \rightarrow bBC$			$B \rightarrow f$	-
C			$C \rightarrow g$			-

# Non Recursive Predictive Parsing

## Algorithm

- It is possible to build a non recursive predictive parser by maintaining a stack.
- The key problem during predictive parsing is that determining the production to be applied for a nonterminal.
- The non recursive parser looks up the production to be applied in the parsing table.



# Non Recursive Predictive Parser

## Algorithm

**Input:** A String (input)  $w$ , a parsing table  $M$  and a grammar  $G$

**Output:** If  $w$  is in  $L(G)$ , a leftmost derivation of  $w$ ; or error

**Method:** Initially, the parser is in a configuration in which it has  $SS$  on the stack with  $S$ , the start symbol of  $G$  on top, and  $w\$$  in the input buffer. The program that utilizes the predictive parsing table  $M$  to produce a parse for the input

```

set  $ip$  to point to the first symbol of  $w\$$ ;
repeat
    let  $X$  be the top stack symbol and  $a$  the symbol pointed to by  $ip$ ;
    if  $X$  is a terminal or  $\$$  then
        if  $X = a$  then
            pop  $X$  from the stack and advance  $ip$ 
        else
            error()
    else /*  $X$  is a nonterminal */
        if  $M[X, a] = X \rightarrow Y_1 Y_2 \dots Y_k$  then begin
            pop  $X$  from the stack;
            push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;
            output the production  $X \rightarrow Y_1 Y_2 \dots Y_k$ 
        end
    else error()
until  $X = \$$  /* stack is empty */
    
```

# Stack Movement

## Non Recursive Predictive Parser Method

- With the help of FIRST, FOLLOW and associated Parse Table predictive parser makes moves
- With a certain input string the predictive parser makes the sequence of moves
- The input pointer points to the leftmost symbol of the string in the input column
- It is tracing out a leftmost derivation for the input, the productions output are those of a leftmost derivation
- The input symbols that have already been scanned, followed by the grammar symbols on the stack (from top to bottom), make up the left-sentential forms in the derivation.

## Parsing Table Construction (Example 1)

LL(1) grammar (' is  $\epsilon$ ):

```

E -> T E'
E' -> + T E'
E' -> ' '
T -> F T'
T' -> * F T'
T' -> ' '
F -> ( E )
F -> id
    
```

FIRST	FOLLOW	Nonterminal	+	*	(	)	id	\$
{(, id}	{S, )}	E			E -> T E'		E -> T E'	
{+, '}	{S, )}	E'	E' -> + T E'			E' -> ' '		E' -> ' '
{(, id}	{+, S, )}	T			T -> F T'		T -> F T'	
{*, '}	{+, S, )}	T'	T' -> ' '	T' -> * F T'		T' -> ' '		T' -> ' '
{(, id}	{*, +, S, )}	F			F -> ( E )		F -> id	

## Stack Movement a Predictive Parser (Example 1)

Given input String: id + id

Trace			Tree	
Stack	Input	Rule	E	
\$ E	id + id \$		E	
\$ E' T	id + id \$	$E \rightarrow T E'$	E	
\$ E' T' F	id + id \$	$T \rightarrow F T'$	E	
\$ E' T' id	id + id \$	$F \rightarrow id$	E	
\$ E' T'	+ id \$		E	
\$ E'	+ id \$	$T' \rightarrow \epsilon$	E	
\$ E' T +	+ id \$	$E' \rightarrow + T E'$	E	
\$ E' T	id \$		E	
\$ E' T' F	id \$	$T \rightarrow F T'$	E	
\$ E' T' id	id \$	$F \rightarrow id$	E	
\$ E' T'	\$		E	
\$ E'	\$	$T' \rightarrow \epsilon$	E	
\$	\$	$E' \rightarrow \epsilon$	E	

## Parsing Table Construction (Example 2)

Consider the following LL(1) grammar, which has the set of terminals  $T = \{a, b, ep; +, *, (, )\}$ . This grammar generates regular expressions over  $fa, bg$ , with  $+$  meaning the RegExp OR operator, and  $ep$  meaning the  $\epsilon$  symbol. (Yes, this is a context free grammar for generating regular expressions!)

$$\begin{aligned}
 E &\rightarrow TE' \\
 E' &\rightarrow +E \mid \epsilon \\
 T &\rightarrow FT' \\
 T' &\rightarrow T \mid \epsilon \\
 F &\rightarrow PF' \\
 F' &\rightarrow *F' \mid \epsilon \\
 P &\rightarrow (E) \mid a \mid b \mid ep
 \end{aligned}$$

## Parsing Table Construction (Example 2)

FIRST and FOLLOW sets

$\text{First}(E) = \{ (, a, b, ep \}$	$\text{Follow}(E) = \{ ), \$ \}$
$\text{First}(E') = \{ +, \epsilon \}$	$\text{Follow}(E') = \{ ), \$ \}$
$\text{First}(T) = \{ (, a, b, ep \}$	$\text{Follow}(T) = \{ +, ), \$ \}$
$\text{First}(T') = \{ (, a, b, ep, \epsilon \}$	$\text{Follow}(T') = \{ +, ), \$ \}$
$\text{First}(F) = \{ (, a, b, ep \}$	$\text{Follow}(F) = \{ (, a, b, ep, +, ), \$ \}$
$\text{First}(F') = \{ *, \epsilon \}$	$\text{Follow}(F') = \{ (, a, b, ep, +, ), \$ \}$
$\text{First}(P) = \{ (, a, b, ep \}$	$\text{Follow}(P) = \{ (, a, b, ep, +, ), *, \$ \}$

## Parsing Table Construction (Example 2)

LL (1) Parsing Table

	(	)	a	b	ep	+	*	\$
E	TE'		TE'	TE'	TE'			
E'		$\epsilon$				+E		$\epsilon$
T	FT'		FT'	FT'	FT'			
T'	T	$\epsilon$	T	T	T	$\epsilon$		$\epsilon$
F	PF'		PF'	PF'	PF'			
F'	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	$\epsilon$	*F'	$\epsilon$
P	(E)		a	b	ep			



## Parsing Table Construction (Example 2)

operation of an LL(1) parser on the input string  $ab^*$ .

Stack	Input	Action
$E\$$	$ab * \$$	$TE'$
$TE'\$$	$ab * \$$	$FT'$
$FT'E'\$$	$ab * \$$	$PF'$
$PF'T'E'\$$	$ab * \$$	$a$
$aF'T'E'\$$	$ab * \$$	<i>terminal</i>
$F'T'E'\$$	$b * \$$	$\epsilon$
$T'E'\$$	$b * \$$	$T$
$TE'\$$	$b * \$$	$FT'$
$FT'E'\$$	$b * \$$	$PF'$
$PF'T'E'\$$	$b * \$$	$b$
$bF'T'E'\$$	$b * \$$	<i>terminal</i>
$F'T'E'\$$	$*\$$	$*F'$
$*F'T'E'\$$	$*\$$	<i>terminal</i>
$F'T'E'\$$	$\$$	$\epsilon$
$T'E'\$$	$\$$	$\epsilon$
$E'\$$	$\$$	$\epsilon$
$\$$	$\$$	<i>ACCEPT</i>



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