

# **Nonlinear Equations in One Variable**

## **Lecture-1**

## **Objective:**

To find the root of a nonlinear equation in one variable with the help of different methods .

## **Methodologies:**

Five methods can be used to find roots of nonlinear equation in one variable.

They are-

**1. Graphical Method (to find the nature of the roots)**

**2. Bisection Method**

**3. The Secant Method**

**4. Newton-Raphson Method**

**5. Fixed Point Iteration Method**

## What is nonlinear equation?

**An equation in which one or more terms have a variable of degree 2 or higher is called a nonlinear equation.**

### Example of nonlinear equations

1.  $x^3 + 4x - 3 = 0$

2.  $x^2 + x + 2 = 25$

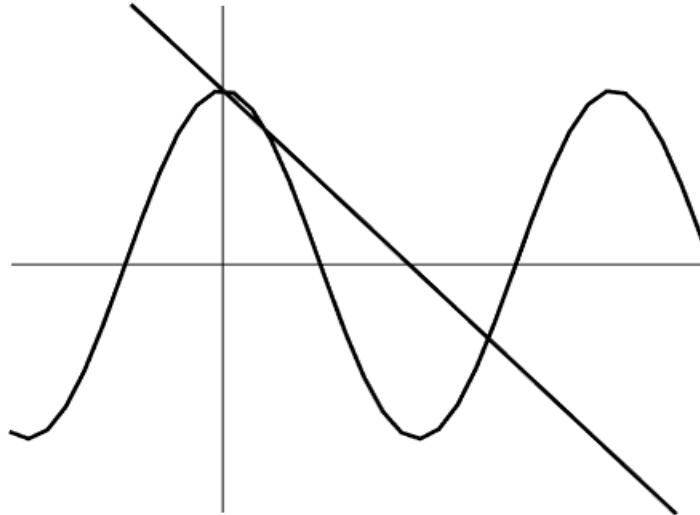
## Number of Real Roots by Graphical Method

- ➡ A polynomial equation  $a_0 + a_1x + a_2x^2 + \cdots + a_nx^n = 0$  of degree  $n$  has exactly  $n$  roots.
- ➡ Some of them are real and others are complex.
- ➡ Geometrically, if the graph of  $y = f(x)$  crosses the x-axis at  $x = a$ , then  $x = a$  is a real root of  $f(x) = 0$
- ➡ Now we shall consider graphically to find the number of real roots and its location.

➡ Rewrite the equation  $f(x) = 0$  as  $f_1(x) = f_2(x)$

➡ At the point of intersection  $x = x_1$  (say) of the graphs  $y = f_1(x)$  and  $y = f_2(x)$  that is  $f_1(x_1) = f_2(x_1)$  and hence  $x = x_1$  is a root of the equation.

➡ Thus the number of intersections of the two graphs will be the number of real roots (See the following figure)



# Problems and Solutions

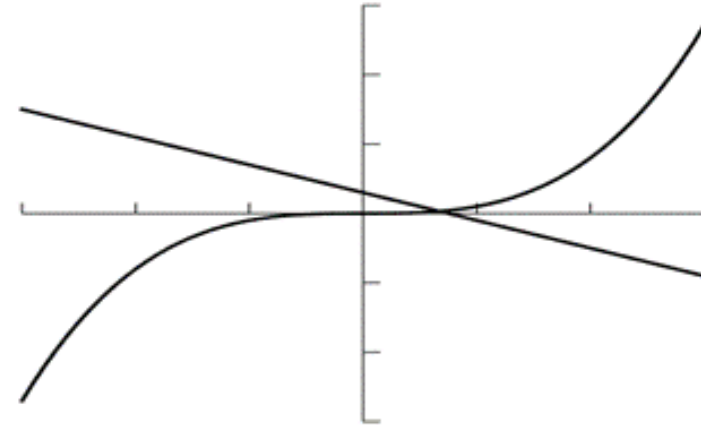
- Example:** (a) Find the number of real roots of  $x^3 + 4x - 3 = 0$  by graphical method  
(b) Find the number of complex roots, if any.  
(c) Use MATLAB function “roots” to find all the roots including complex roots.

(a)  $x^3 + 4x - 3 = 0$

$$\Rightarrow x^3 = 3 - 4x$$

Let,  $f_1(x) = x^3$  and  $f_2(x) = 3 - 4x$

There is one point of intersection here in the plot. So the number of real root is 1



(b) It is a polynomial equation of degree three and hence the total number of roots is three.

So, number of complex roots = Total number of roots - Number of real roots  
 $= 3 - 1 = 2$ .

(c) `>> p=[1 0 4 -3]`      % entry of cubic polynomial

`p =    1    0    4   -3`

`>> Roots = roots(p)`

`Roots =`

`-0.3368 + 2.0833i`

`-0.3368 - 2.0833i`

`0.6736 + 0.0000i`

# Exercise

Given the following polynomial equations and an interval.

a.  $x^3 - 5x + 1 = 0$  ;  $[2, 3]$ ,

c.  $x^4 - 2x - 5 = 0$ ;  $[0, 2]$ ,

b.  $x^3 + x^2 - 2x - 5 = 0$ ;  $[1, 2]$ ,

d.  $x^4 + x^2 - 80 = 0$ ;  $[2.90, 2.92]$ .

- Find the number of real roots of the equation by graphical method. Find also the number of complex roots, if any.
- Write MATLAB commands “**roots**” to find all the roots including complex roots.



## Location of Roots

- ➡ To locate the roots of  $f(x) = 0$  first study the graph of  $y = f(x)$  as shown below (Fig-4.1) .
- ➡ If we can find two values of  $x$ , one for which  $f(x)$  is positive, and one for which  $f(x)$  is negative, then the curve must have crossed the  $x$ -axis and so must have passed through a root of the equation  $f(x) = 0$
- ➡ In general, if  $f(x) = 0$  is continuous in  $[a, b]$  and  $f(a)$  and  $f(b)$  are opposite in signs i.e.,  $f(a)f(b) < 0$  , then there exists odd number of real roots (at least one root) of  $f(x) = 0$  in  $(a, b)$
- ➡ But the only exception where it does not work is when curve touches the  $x$ -axis. For this case, the existence of a root can be determined by the sign of  $f'(x)$  in the interval  $(a, b)$  containing the root and it will satisfy the condition  $f'(a)f'(b) < 0$

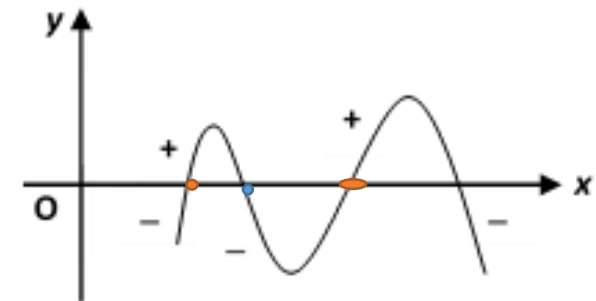


Fig 4.1

**Example:** The equation  $(x-1)e^x = x$  has two real roots. For each root, find an interval where it lies.

Solution: Consider the values of function  $f(x) = (x-1)e^x - x = y$  for different values of  $x$ :

$x$	$f(x)$
-2	1.59
-1	0.26
0	-1
1	-1
2	5.39

From the above table, we see that  $f(-1)f(0) = -0.26 < 0$ , a root lies in  $(-1, 0)$ ,

and  $f(1)f(2) = -5.39 < 0$ , a root lies in  $(1,2)$

## Techniques to find real roots

### Method of Bisection

#### Algorithm:

➡ **Step-1:** If  $f(x)$  be continuous in  $[a, b]$ , Choose two approximations  $a$  and  $b$  such that  $f(a)f(b) < 0$ .

➡ **Step-2:** Evaluate the mid-point  $c$  of  $[a, b]$  such that  $c = (a + b) / 2$

➡ **Step-3:** If  $f(c) = 0$  we conclude that  $c$  is a root of  $f(x) = 0$ . If  $f(c) \neq 0$  and

(i) If  $f(a)f(c) < 0$  the root is in  $(a, c)$  or

(ii) If  $f(c)f(b) < 0$  the root is in  $(c, b)$

➡ **Step-4:** By designating the new interval of root as  $[a_1, b_1]$  we can calculate the next iterate  $x_1$

by the formula  $x_{n+1} = \frac{a_n + b_n}{2}$ ,  $n = 1, 2, 3, \dots$

➡ **Step-5:** Repeat the process until  $|x_{n+1} - x_n| \leq \varepsilon$ , where  $\varepsilon$  is the specified accuracy

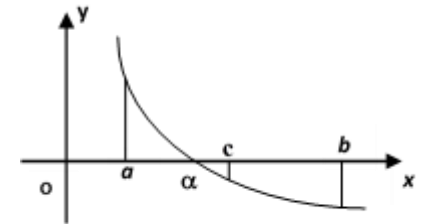


Fig 4.2 Bisection Method

## Problems and Solutions

**Ex 1(a):** Find the root of  $x^3 - 5x + 1 = 0$  using bisection method (3steps) in the interval  $[2, 3]$  and find the updated interval.

Solution: Given  $f(x) = x^3 - 5x - 1$

a	b	f(a)	f(b)	$c = \frac{a+b}{2}$	f(c)	update
2	3	-1	13	$c = \frac{2+3}{2} = 2.5$	4.125	b=c
2	2.5	-1	4.125	$c = \frac{2+2.5}{2} = 2.25$	1.14	b=c
2	2.25	-1	1.14	$c = \frac{2+2.25}{2} = 2.125$	-0.029	a=c
2.125	2.25					

So the updated interval is  $[2.125, 2.25]$

## Class work

**Ex 1(c):** Find the root of  $x^4 - 2x - 5 = 0$  using bisection method (2steps) in the interval  $[0, 2]$  and find the updated interval (new smaller interval).

Solution: Given  $f(x) = x^4 - 2x - 5$

a	b	f(a)	f(b)	$c = \frac{a+b}{2}$	f(c)	update
				$c =$		
				$c =$		

So the updated interval is [ , ]

# Problems and Solutions

**Example:** Find the root of  $f(x) = x^2 - 3$  using bisection method with accuracy  $\varepsilon = 0.01$  in the interval  $[1, 2]$ .

**Solution:**

$A$	$b$	$f(a)$	$f(b)$	$c = (a + b)/2$	$f(c)$	$f(a)*f(c)$	$Update$	$b - a$
1.0	2.0	-2.0	1.0	1.5	-0.75	$> 0$	$a = c$	0.5
1.5	2.0	-0.75	1.0	1.75	0.062	$< 0$	$b = c$	0.25
1.5	1.75	-0.75	0.0625	1.625	-0.359	$> 0$	$a = c$	0.125
1.625	1.75	-0.3594	0.0625	1.6875	-0.1523	$> 0$	$a = c$	0.0625
1.6875	1.75	-0.1523	0.0625	1.7188	-0.0457	$> 0$	$a = c$	0.0313
1.7188	1.75	-0.0457	0.0625	1.7344	0.0081	$< 0$	$b = c$	0.0156
1.71988	1.7344	-0.0457	0.0081	1.7266	-0.0189	$> 0$	$a = c$	0.0078

# Exercise

Given the following polynomial equations and an interval.

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c.  $x^4 - 2x - 5 = 0$ ;  $[0, 2]$ ,

b.  $x^3 + x^2 - 2x - 5 = 0$ ;  $[1, 2]$ ,

d.  $x^4 + x^2 - 80 = 0$ ;  $[2.90, 2.92]$ .

Apply bisection method two times in the given interval to find the new smaller interval of this root.

## Advantages and Drawbacks: Bisection Method

### Advantages:

- ☐ Always Convergent.

### Drawbacks:

- ☐ Slow convergence.
- ☐ If one of the initial guess is closer to the root , the convergence is slower.



## Outcome

By applying **Bisection method**, nonlinear equations in one variable can be solved to find roots (approximately), although it has few drawbacks.

## Multiple Choice Questions

1. Find the degree of the polynomial  $x^4 + x^2 - 80 = 0$ .

- a) 3 ,      b) 2 ,      c) 4 ,      d) 5

2. Find the number of real roots of the polynomial  $x^3 + x^2 - 2x - 5 = 0$ .

- a) 3 ,      b) 1 ,      c) 4 ,      d) 5

3. Find the number of complex roots of the polynomial  $x^4 + x^2 - 80 = 0$

- a) 3 ,      b) 2 ,      c) 4 ,      d) 5

4. If  $f(x) = x^3 - 5x + 1 = 0$  is continuous on the interval  $[2, 3]$ , What is the value of  $f(2)$ ?

- a) -3 ,      b) -1 ,      c) 4 ,      d) 0

**5. If  $f(x)$  is continuous in  $[a, b]$  then what is the condition that there exists odd number of real roots(at least one root) of  $f(x)=0$  ?**

**a)  $f(a)f(b) < 0$  , b)  $f(a)f(b) > 0$  , c)  $f(a) < 0$  ,d) Neither**