

# 3D Object Detection for Autonomous Vehicles

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## 1. Introduction and Rationale

The dissertation is inspired by the vision of The School of Engineering, Computing and Mathematics Research “*Our focus is on user-inspired original research with real-world applications. We have a wide range of activities from model-driven system design and empirical software engineering through to web technologies, cloud computing and big data, digital forensics and computer vision.*” Students on the MSc Data Analytics Oxford Brookes course can be involved with research in the following research group: Artificial Intelligence and Vision Research Group. – Oxford Brookes University [75]

- Subject topic area

The rapid advancement of technology has significantly increased the level of automation in road vehicles in recent years. Compared to conventional vehicles, autonomous vehicles promise considerably improved universal access, safety, efficiency, convenience, and cost savings. In a short time, car manufacturers have moved from advertising Advanced Driver Assistance Systems or automated parking assistance systems to commercial vehicles that offer a high level of automation in roads. This advancement would not have been possible without the improvement of artificial intelligence algorithms, which allow to extract knowledge from large amounts of real-world driving data and develop more vigorous control and perception systems. The dissertation research on object detection for autonomous driving has mainly focused on 3D point cloud from LiDAR and 2D data from the camera.

- Key Issues

*“Rapid developments in CAV technology, and the new business models which will underpin their use, could fundamentally change the way people and goods move around in the future, offering huge potential benefits in safety, efficiency,*

*and productivity. AI is a critical enabler of this future, and Formula Student – Artificial Intelligence (FS-AI) will help nurture talent on which the UK’s industry, from exciting young start-ups to large corporations, will help realise this future for society.”* - Simon Shapcott, Head of Research & Development, Centre for Connected & Autonomous Vehicles [74].

FS-AI has been introduced to challenge student teams to develop an AI driver capable of controlling a purpose-designed FS car through a series of racing challenges [74].

The primary race consists of completing ten laps faster, around a track which is defined by small cones ( $228 \times 335$  mm). The left and right boundaries are marked with blue and yellow cones. The racetrack is a closed-circuit long up to 500 meters, minimum track width is 3 meters, and cones in the exact boundary line are spaced up to 5 meters apart. According to the FS-AI Ruleset, the racetrack might contain straight lines, chicanes, hairpin, multiple turns, and decreasing radius. This work aims to enable a race car to autonomously complete multiple laps of an unknown racetrack without any human intervention and in a single attempt.

- **Aims, Objectives and Risk Management**

**The goal** of the dissertation is to (1) detect the cones; (2) classify the colors (blue, yellow, orange); (3) measure the distance between the car and the cone. All the tasks must be completed in real-time end-to-end in the car.

**The aim** is to improve the performance of cones detection. This can be done by using LiDAR, Camera, or fused LiDAR and Camera.

**The objectives**

- studying literature review on LiDAR and camera cones detection

- setting simulation or actual car
- get the dataset to train algorithm(s) from simulation or actual car
- developing the algorithm(s)
- testing on simulation or actual car
- analysing performance

## **Risk management**

The algorithm's performance will depend on the dataset from LiDAR or Camera, the dataset will be considered to extract from both actual car and simulation. The FSOCO dataset [71] or KITTI dataset [76] might be used to train object detection in the access limitation of the Covid-19 context. The goal is to run the algorithm on actual car, however, it can be run on simulation to test performance.

- **The Ethics of Autonomous Vehicles**

The self-driving car is the most technologically advanced innovation. However, like any new technology, some ethical issues are surrounding it.

Self-Driving Cars Are Ethical because autonomous cars are safer than human-controlled automobiles. In a 2015 report, McKinsey and Company showed evidence that autonomous cars will decrease car accidents by 90%, save thousands of lives and save up to \$190 billion. The National Science Foundation found that with self-driving cars, improvements in traffic flow are boosted: "having a single self-driving car on the road can reduce congestion by influencing the traffic flow of at least 20 human-controlled automobiles around it". It leads to reduce total fuel consumption during traffic jams by 40% [73].

However, some people argue that arbitrary decisions are better than predetermined ones. Who holds the responsibility if the car inflicted accidents, the car manufacturer,

the software engineer, or the driver? Also, it raises many security issues as self-driving cars hold large amounts of data, including where the driver and passenger have been, driver communication when mobile phones are hooked, and conversations in the vehicle between drivers and passengers [72].

- Brief description of activity

For the racing car to be completely autonomous, it is necessary to add sensors that allow environmental perception. Since all perception, decision-making, and control algorithms had to be implemented onboard, additional computation units has to be added. A pipeline redundancy is required to make the primary system become robust and reliable. Thus, two independent cognitive pipelines operating with two sensing methods have been developed, enabling robust object detection (specific cone) and distance estimation.

LiDAR sensor is the first sensor modality which is mounted on a sensor plate in the vehicle's front center. This optimizes the points returns per cone, allowing more distant cones to be recognized. Because of its capacity to identify small plastic cones, a LiDAR was chosen over a Radar. The LiDAR sensor is chosen based on physical parameters such as horizontal, vertical resolution, and field-of-view. The sensor has a horizontal field-of-view of 360 degrees; however, the active field-of-view is only 270 degrees because the sensor plate and the vehicle obscure the remainder. The vertical resolution is the essential parameter in this application since it restricts the number of returns per cone, directly proportional to the distance at which cones can be observed.

For redundancy and robustness, a combination of sensors is applied to sense the surroundings accurately. A LiDAR-based algorithm is utilized to detect cones based on geometry data and a colour recognition algorithm based on LiDAR intensity.

Simultaneously, a camera-based algorithm is implemented to estimate the cone's location from stereo and monocular images.

The perception purpose is to deliver cone location and colour estimations in real-time. The SLAM module creates a map using these cone locations as landmarks, allowing the race-car to navigate autonomously.

- **Intended deliverables**

By the limited hardware, we will select which method is approachable and demonstrated by a racing scenario collected with RS 16 LiDAR and camera using Brookes autonomous racing car 2021.

## **2. Background Review**

Based on the implemented sensors, environmental perception can be solved using LIDAR, a camera, or a combination of the two.

### **2.1. LiDAR**

LIDAR refers to light detection and ranging device, which sends millions of light pulses per second in a well-designed pattern. With its rotating axis, it can create a dynamic, three-dimensional map of the environment. LIDAR is the heart of object detection for most existing autonomous vehicles [1]. The points returned by the LIDAR in a natural setting, however, are never perfect. Scanning point sparsity, missing points, and disorganized patterns are problems when dealing with LIDAR points. The surrounding environment contributes to the difficulty of perception by presenting random and irregular surfaces.

## *Representation*

Point clouds, features, and grids are the three common representations of the points.

The point cloud-based method uses the raw sensor data and processing further. This approach gives better environmental representation but increases processing time and reduces efficiency of memory. To mitigate this, a voxel-based filtering mechanism is usually used to reduce the amount of raw point cloud, e.g., [2,3].

Feature-based approaches start by extracting parametric aspects from the point cloud and then using those features to represent the environment. Lines [4] and surfaces [5] are two features that are often used. This technique uses the least amount of memory; however, it is frequently overly abstract, and the accuracy depends on the point cloud since not all environmental features can be accurately reproduced by the collection as mentioned above.

Grid-based method divides the area to small grids which are filled with information from the point cloud, forming a point neighbourhood [6]. This technique is memory-efficient and does not rely on predetermined features, as stated in [7]. The magnitude of the discretization, on the other hand, is not easy to assess. An adaptive octree was constructed in [8] to guide division from coarse to fine grids.

## *Segmentation Algorithms*

Point cloud segmentation is grouping points into similar groups. Edge-based [9,10,11], attributes-based [15,16,17,18], region-based [12,13,14], model-based [19,20,21], and graph-based [22,23,24] are the five types of 3D point cloud segmentation techniques.



### *Detection Algorithm*

VoxNet, a 3D convolutional neural network that classifies point clouds (in occupancy grid or volumetric representation), was proposed in [25]. In [26], the volumetric-based 3D CNN was enhanced by integrating data augmentation with multi-orientation pooling and putting auxiliary learning tasks on the portion of an object. In [27], from raw CAD data, a 3D Constitutional Deep Beliefs Network was suggested to acquire the distribution of complex 3D shapes through different object categories and arbitrary poses.

## 2.2. Vision

Road and on-road object detection are usually part of the perception system of for self-driving vehicle.

### *Lane Line Marking Detection*

There are three common steps of the lane line detection:

- (1) lane line feature extraction: colour [28,29] and edge detection [30,31], by 1 supervised learning algorithms [32], boost classification [33,34];
- (2) fitting the pixels into different models: hyperbolas [35,36,37], straight lines [38,39], parabolas [40,41], zigzag line [42];
- (3) vehicle posture estimation based on the fitted model. To ensure temporal continuity, a fourth-time integration steps may occur before the car pose estimate, in which the results of the current frame detection are used to drive the next search through filtering mechanisms, such as the particle filter [43,44,45] and the Kalman filter [46,47].

### *Road Surface Detection*

The autonomous car uses road surface sensing to determine empty space where it can travel without colliding. The methods can be separated into: feature-based learning [48], feature-based detection [49,50], and deep learning [51,52,53,54,55].

The top five road detection performances all come with deep learning, as shown in the well-known database KITTI [56]. However, the disadvantages of deep learning methods are obvious: high CPU and memory are required, long processing-time, non-traceability, and a time-consuming ground truth annotation procedure.

### *On-Road Object Detection*

For deep learning systems, the usual workflow is to produce a collection of proposal bounding boxes around the image, then send the proposal boxes through the CNN network to classify and fine-tune bounding box positions.

The first deep learning that combined the bounding box and detection into a single network and complete a training process was Faster-RCNN [57]. MS-CNN is a unified multi-scale deep learning network that the authors in [58] proposed. In [59], the authors trained the deep learning network to recover 2D and 3D information from the 2D image (Sub-CNN).

## 2.3. Fusion

The strengths and limitations of the sensors vary. To fully use the benefits of each sensor, sensors fusion approach is necessary. The mechanisms that have been used to fused LIDAR and camera are then followed by [60,61,62,63,64,65,66,67,68]. However, present fusion techniques are still in their infancy and cannot properly utilize all the data from both sensors. Moreover, those deep learning algorithms have not yet

operated on fused camera and LIDAR data, and this extension might give extensively performance improvements over single sensor data.

### 3. Methodology and Resources

#### 3.1. LiDAR-based

The LiDAR data is capitalized in two ways. First, the point cloud's 3D is used to detect cones on the racetrack and determine their distance with the car. Next, the intensity information is used to distinguish different coloured cones.

The sub-system is described as below.

- 1) Pre-Processing: The ground is removed based on the assumption of local flatness. Every point in a segment that is lower than the lowest point plus a threshold is eliminated.
- 2) Cone Reconstruction and Filtration: Remove the ground also remove about 64% of cone points. Thus, the Euclidean distance-based approach [69,70] reconstructs the area around the clusters. Those clusters are filtered to check if the number of the points in the cluster matches the predicted number of points at the distance. The clusters which gone through the filter are then transmitted to estimate colour.
- 3) Colour Estimation: The colour is classified by using Convolutional Neural Network (CNN) by the intensity of point cloud. It is limited at 5 meters because of the point cloud thinness.

#### 3.2. Camera-based

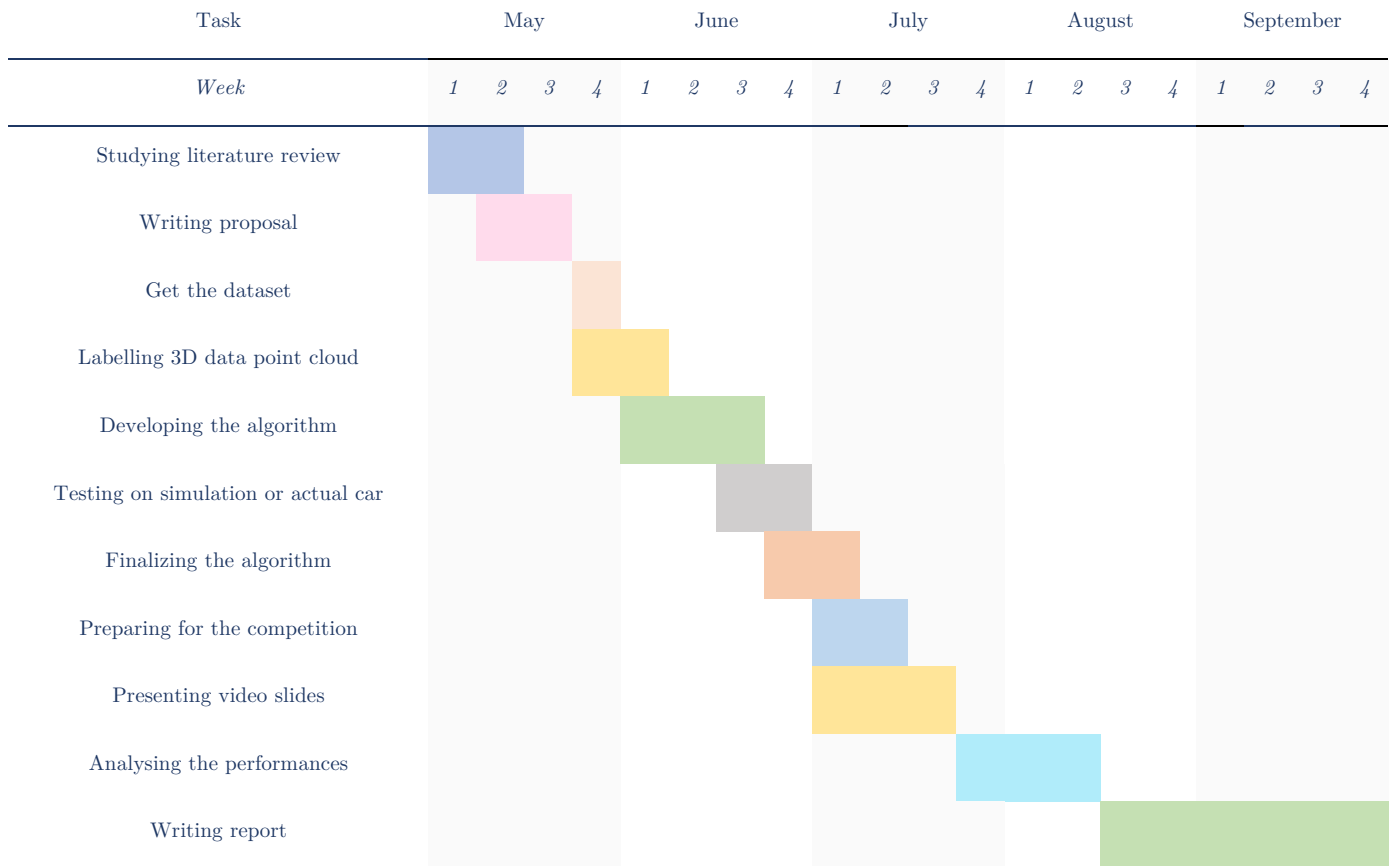
A real-time camera-based algorithm is deployed independent and parallel of the LiDAR to make the perception system robust. A real-time object detection YOLO or R-CNN

is trained on different types of cones. After input images, the YOLO/R-CNN returns the bounding box around the detected cones along with the confidence count for each detection. The YOLO object detection might be chosen by its robust outputs and the ability to be fine-tuned with fewer data and due to the existence of pre-trained weights, which act as good priors during training.

### 3.3. Resources

A machine that contained 1.2 GHz Dual-Core Intel Core m3 processor with 8 GB 1867 MHz memory and Intel HD Graphics 615 1536 graphics memory is used. Device memory configuration: 250 GB SSD. As for the software, python 3.6, PyTorch, OpenCV, TensorFlow, Matplotlib, e.g., are used implementation and experiments.

## 4. Project Plan



In May, most works will be focused on getting used to LiDAR sensor software and hardware, label and prepare the 3D data point cloud. The following month is crucial as we need to find the algorithm, test the car, and finalize it to prepare for the mid-July competition. Alongside the competition, the 10 minutes presentation video is completed. Entire August and September are for the final report.

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