

# A Boosted Decision Tree approach to the search for dark photons from Higgs boson decays in events with a photon and missing transverse momentum with the ATLAS detector

Bachelor Thesis defense by: Giulia Maineri

Supervisors: Prof. Marcello Fanti (Unimi), Dott.ssa Silvia Resconi (INFN), Dott.ssa Federica Piazza (Unimi)

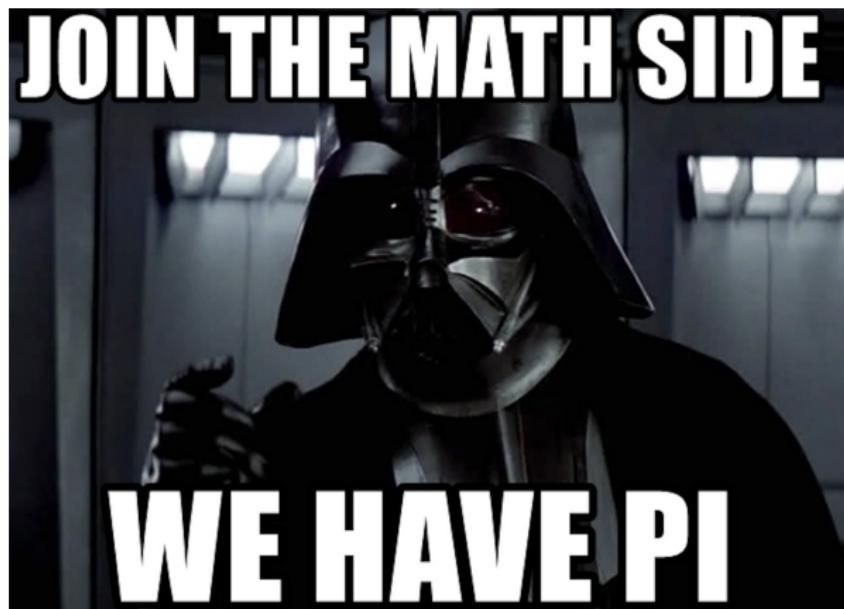


UNIVERSITÀ DEGLI STUDI DI MILANO  
FACOLTÀ DI SCIENZE E TECNOLOGIE

# About



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## Education

- Bachelor's Degree in **Physics** at University of Milan (October 2019-October 2022)



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- Master in **Particle Physics** at University of Milan (October 2022 - October 2024? 🤞 )



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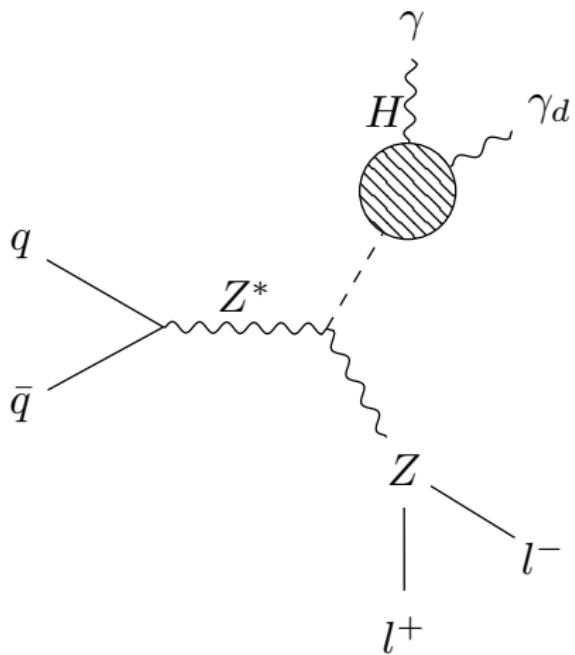


Final thesis:

A Boosted Decision Tree approach to the search for dark photons from Higgs boson decays in events with a photon and missing transverse momentum with the ATLAS detector



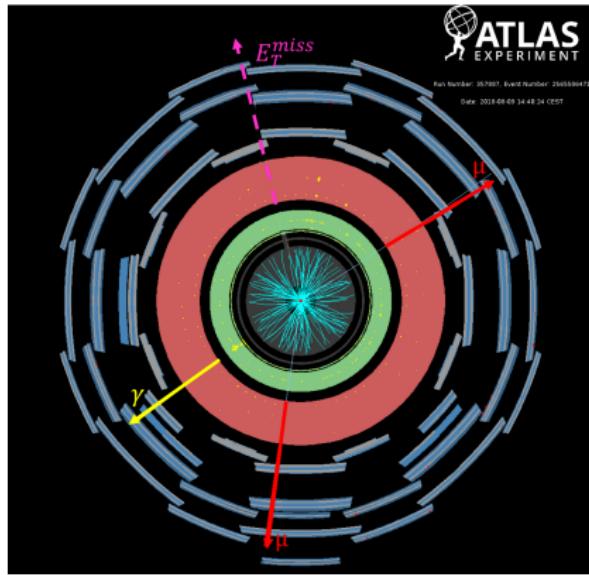
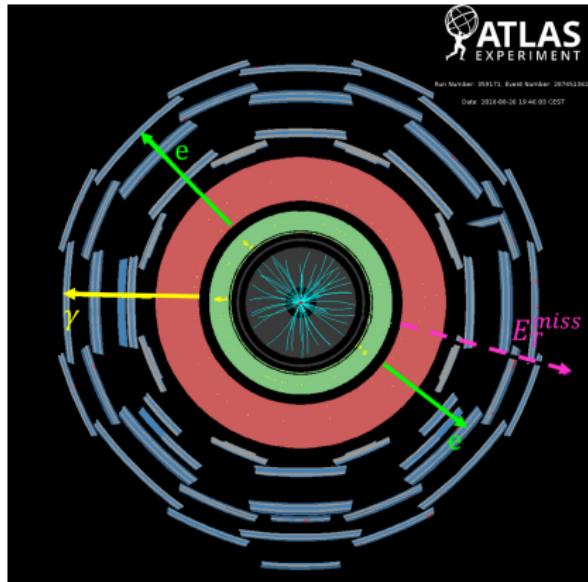
# Signal Region



**Signal:**  $Z(\rightarrow l^+ l^-)H(\rightarrow \gamma\gamma_d), l \in \{e, \mu\}$

- 1 photon  $N_\gamma = 1$
- 2 leptons,  $N_e = 2$  or  $N_\mu = 2$
- $60 \text{ GeV} \leq m_{ll} \leq 116 \text{ GeV}$
- missing transverse momentum  $E_T^{miss} > 60 \text{ GeV}$

# Signal Region

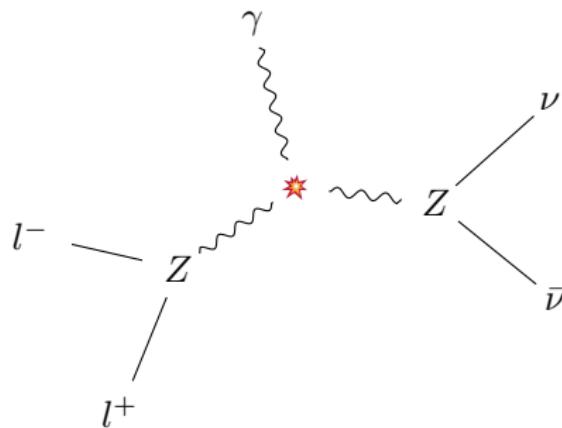


$$\vec{E}_T^{miss} = - \left[ \sum_e \vec{p}_T^{(e)} + \sum_\mu \vec{p}_T^{(\mu)} + \sum_\gamma \vec{p}_T^{(\gamma)} + \sum_\tau \vec{p}_T^{(\tau)} + \sum_{jet} \vec{p}_T^{(jet)} + \sum_x \vec{p}_T^{(x)} \right]$$

# Backgrounds

## Backgrounds:

- irreducible:  $V\gamma$ ,  $V \in \{Z, W\}$

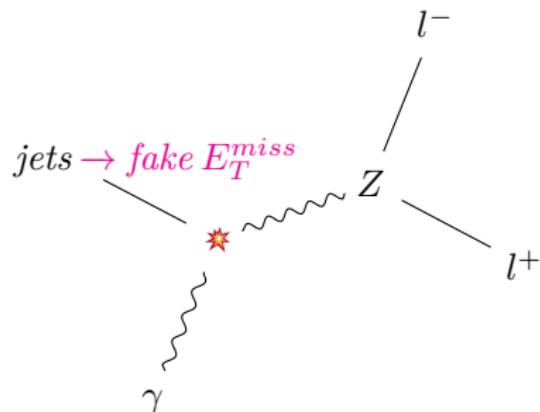


★ represents the collision point or primary vertex

# Backgrounds

## Backgrounds:

- irreducible:  $VV\gamma$ ,  $V \in \{Z, W\}$
- reducible: fake  $E_T^{miss}$  ( $Z\gamma + \text{jets}$ ,  $Z + \text{jets}$ , etc.),  $e \rightarrow \gamma$  ( $VV$ ,  $VVV$ ,  $Vtll$ ,  $t\bar{t}VV$ ), top backgrounds ( $Wt\gamma$ ,  $t$ ,  $t\bar{t}$ ,  $ttV$ ), Higgs ( $ttH \rightarrow Z\gamma$ ,  $VH \rightarrow Z\gamma$ ) and  $W\gamma$

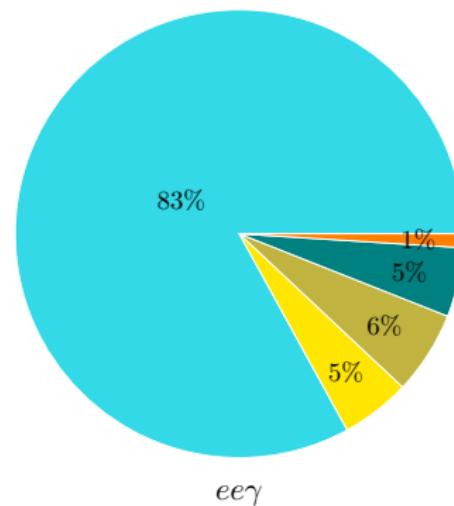
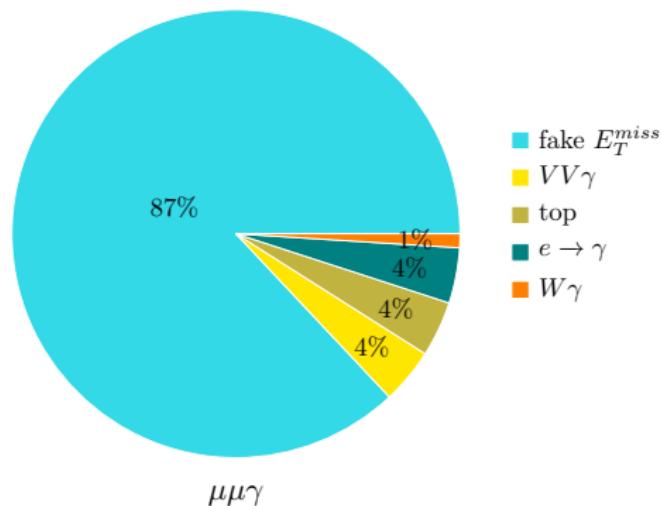


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# MultiVariate Analysis

Different variables distributions can be exploited to separate signal from backgrounds

⇒ MultiVariate Analysis

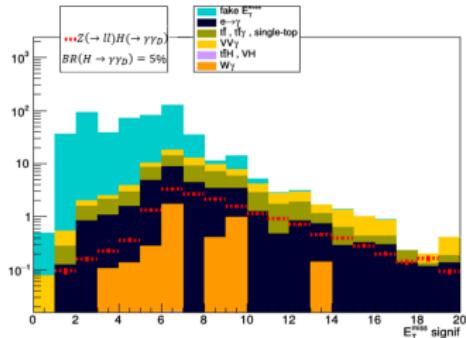
⇒ Boosted Decision Trees

accepted as: truly is:	Sig	Bkg
Sig		Type-2 error
Bkg	Type-1 error	

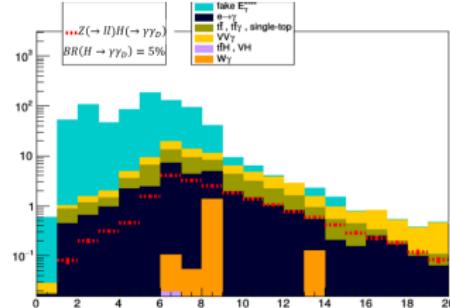
# MultiVariate Analysis

$$E_T^{\text{miss}} \text{ significance } S = \frac{|\vec{E}_T^{\text{miss}}|}{\sigma_{E_T^{\text{miss}}}}$$

ee $\gamma$   
chan-  
nel

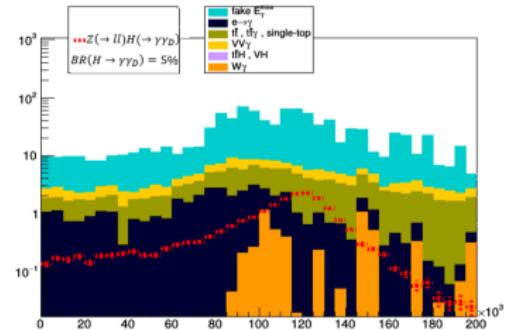
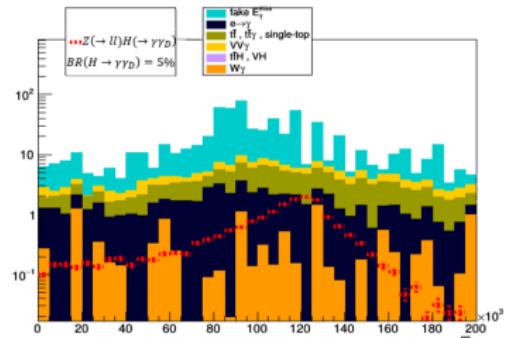


mu mu gamma  
chan-



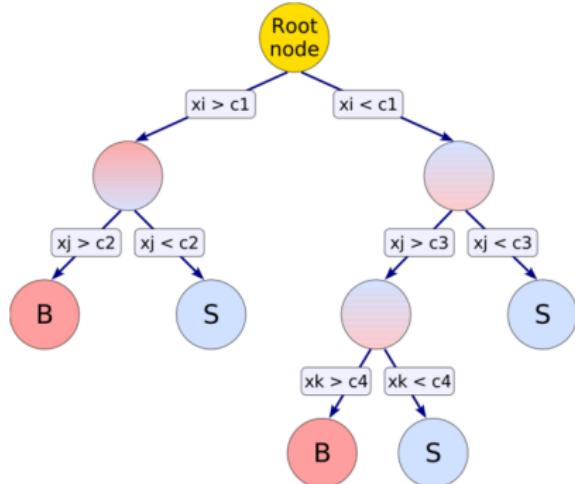
## Transverse mass

$$m_T = \sqrt{2p_T^\gamma E_T^{\text{miss}}(1 - \cos(\Phi^\gamma - \Phi^{E_T^{\text{miss}}}))}$$



# Decision Tree

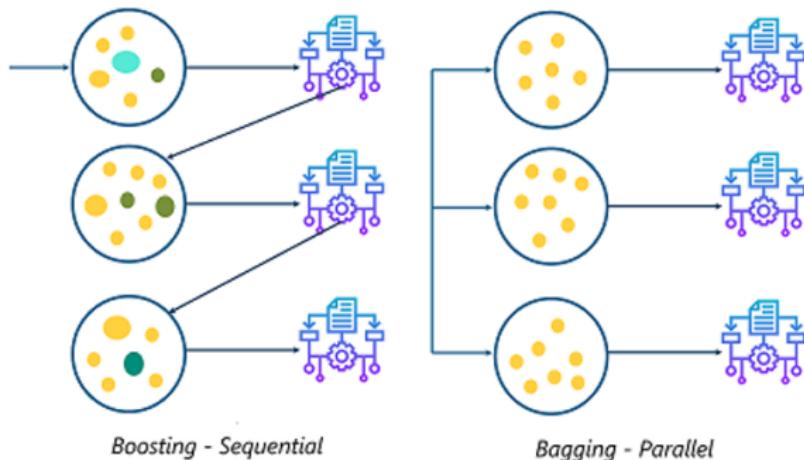
- is a binary tree structured **classifier**
- can distinguish data of two or more **different types**
- uses **one** discriminating variable at each node
- ends with **leaves** when a **stopping criterion** is fulfilled
- needs to be **trained** on a known dataset
- needs a known dataset to **test** the performance
- suffers from **instability** due to statistical fluctuations in the training sample



# Boosted Decision Tree

The sum of weak learners results in a stronger and more stable learner  $\Rightarrow$   
Boosting procedure

- generates a **forest** from one single tree
- subsequently modifies the events **weights** in the sample
- can be done with different **algorithms** (AdaBoost, Gradient Boost + Bagging)



BDTs have been implemented in **TMVA** environment

# BDT optimization

## Optimized parameters:

- BoostType
- Bagging
- Learning rate
- Number of folds
- Number of trees
- Separation Index
- Max Depth
- Min Node Size
- Number of cut values

## Input features:

9 kinematic variables

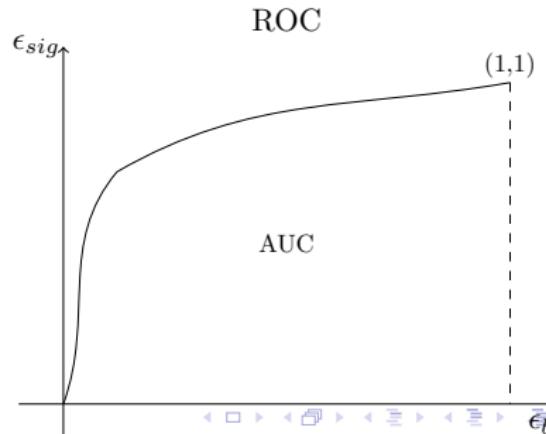
## Adopted Figures of Merit:

- Approximate Median Significance

$$AMS = \sqrt{2 \left[ (s + b) \log \left( 1 + \frac{s}{b} \right) - s \right]}$$

$s$ =signal yield,  $b$ =background yield.

- Receiver Operating Characteristic



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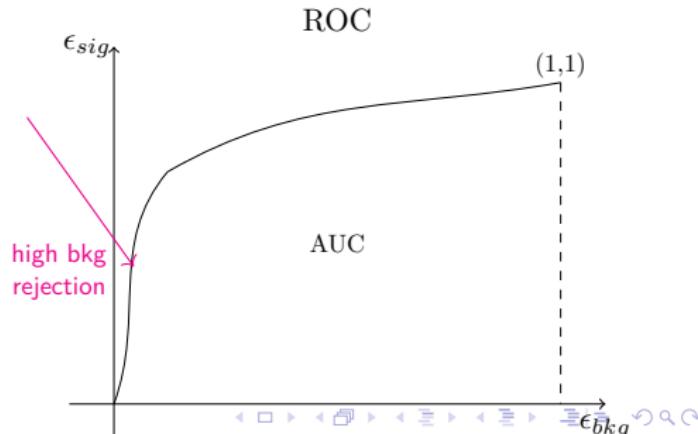
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# Variable Ranking

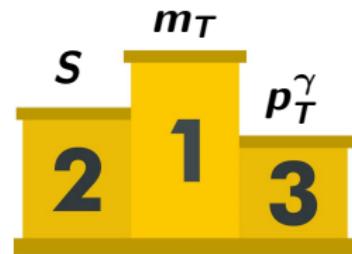
Variables have been deleted one at a time to see their contribution to AMS; the least discriminant has been removed at each step.

- ① Transverse mass  $m_T \equiv \sqrt{2p_\gamma^T E_T^{miss} (1 - \cos \Delta\Phi(\vec{p}_T^\gamma, \vec{E}_T^{miss}))}$
- ②  $E_T^{miss}$  significance  $S \equiv \frac{E_T^{miss}}{\sigma_{E_T^{miss}}}$
- ③ Photon transverse momentum  $p_T^\gamma$
- ④  $p_T^{balance} \equiv \frac{p_T^{\gamma+E_T^{miss}}}{p_T''}$

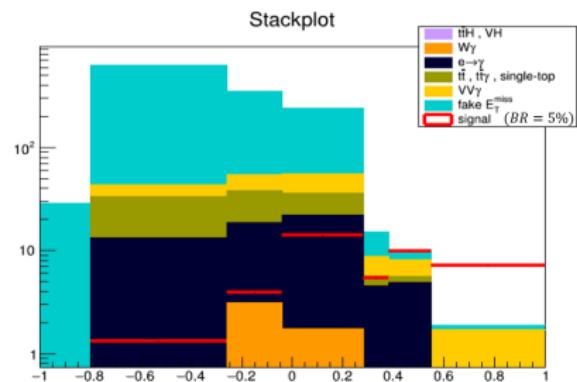
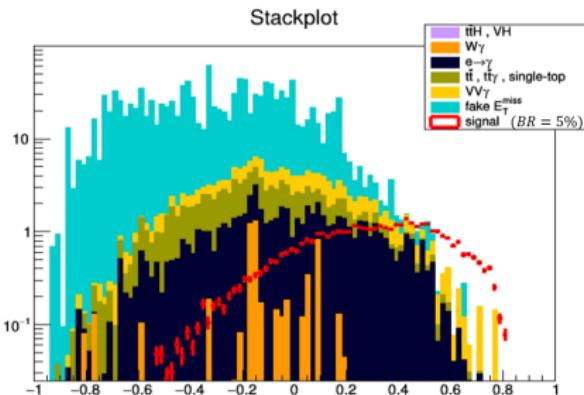
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variable	AMS	$\Delta AMS$
<i>all</i>	3.36141	
$m_T$	2.26116	-1.10025
$S$	2.26925	-1.09216
$p_T^\gamma$	2.69211	-0.66930
$p_T^{balance}$	3.15523	-0.20618

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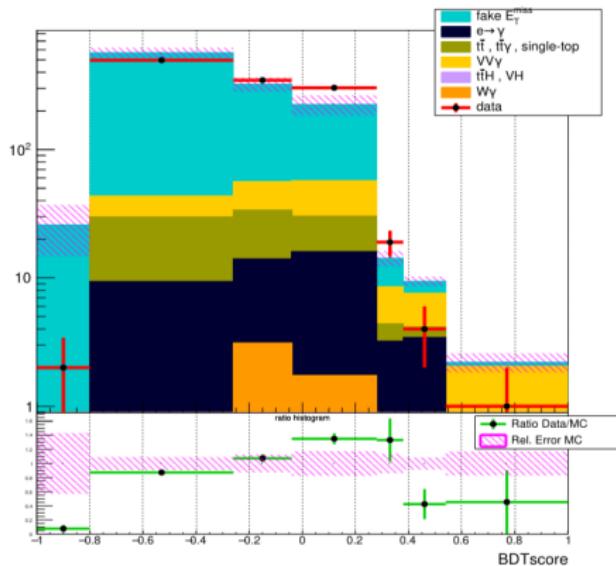
# BDT score distributions - Monte Carlo



The MC simulations provide weighted events samples and suffer by large statistical fluctuations  $\Rightarrow$  rebinning to 7 bins to optimize the signal sensitivity

# BDT score distributions - Data

Log scale

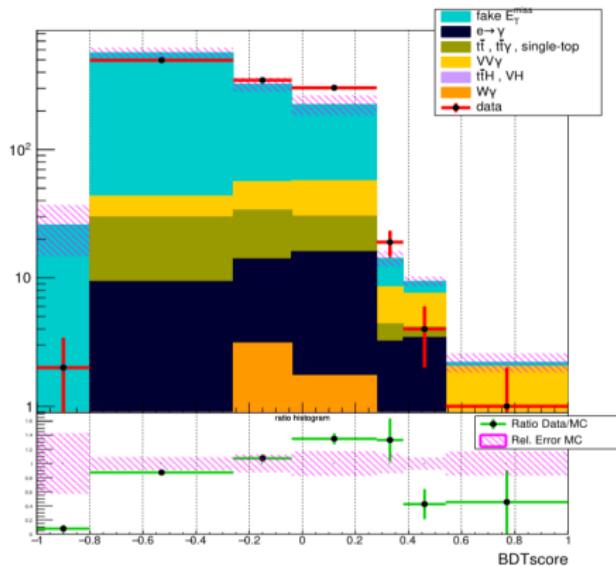


- **data** from Run 2 at ATLAS  
( $\mathcal{L} = 139 \text{ fb}^{-1}$ )

only statistical uncertainties included

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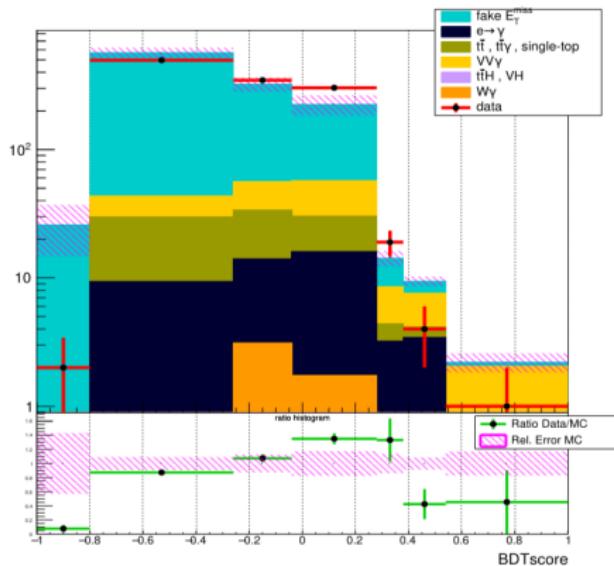


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- template fit  
⇒ upper limit for  $BR(H \rightarrow \gamma\gamma_d)$

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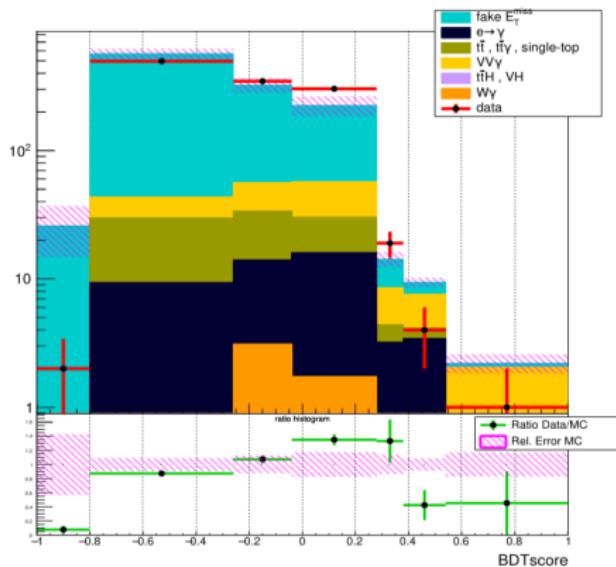


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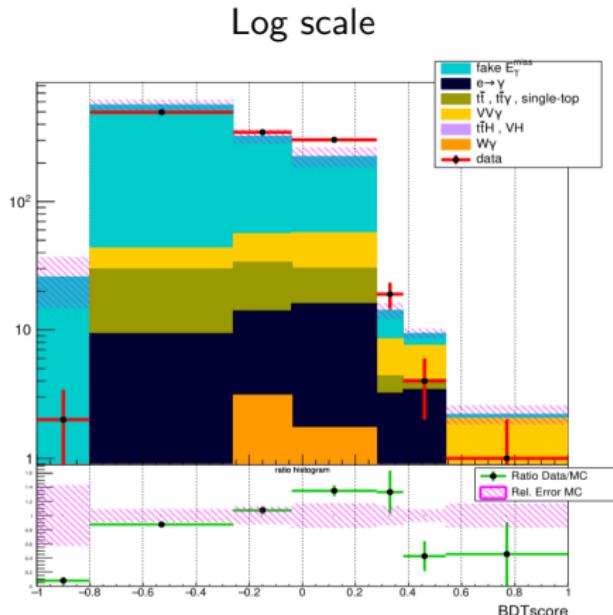
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$BR(H \rightarrow \gamma\gamma_d)$ this analysis	
expected	2.25%
observed	1.79%

---

# BDT score distributions - Data



only statistical uncertainties included

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 $\implies$  upper limit for  $BR(H \rightarrow \gamma\gamma_d)$
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---

	$BR(H \rightarrow \gamma\gamma_d)$	official <sup>1</sup>
this analysis	2.25%	2.41%
expected	2.25%	2.41%
observed	1.79%	1.87%

---

<sup>1</sup><https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CONFNOTES/ATLAS-CONF-2022-064/>

# Conclusions

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- Settings and parameters of the BDT algorithms have been properly **optimized**; the most discriminant input variables have been found.

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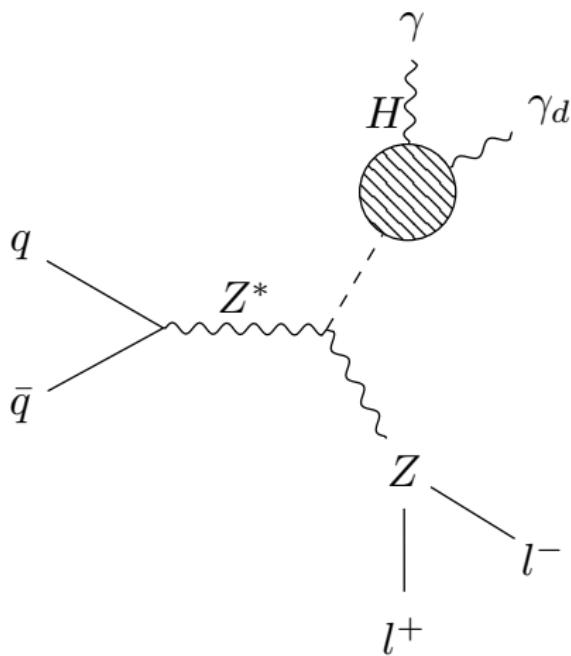
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- A fit to the data in the Signal Region has been performed in order to get the **exclusion limit** on the branching ratio of the decay  $H \rightarrow \gamma\gamma_d$ .

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- A fit to the data in the Signal Region has been performed in order to get the **exclusion limit** on the branching ratio of the decay  $H \rightarrow \gamma\gamma_d$ .
- Results are consistent with the ones obtained in the official analysis.

# Backup

# Signal Region

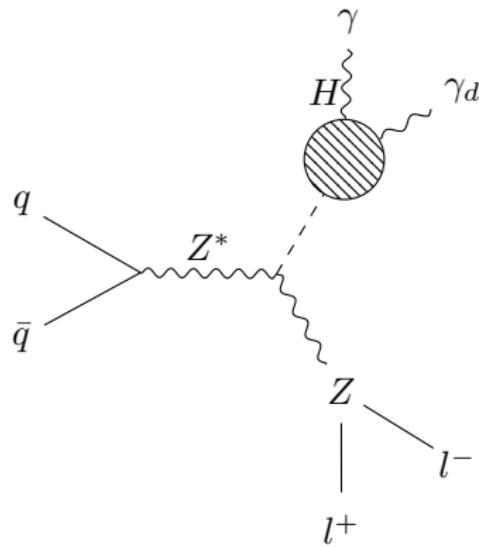


**Signal:**  $Z(\rightarrow l^+ l^-)H(\rightarrow \gamma\gamma_d), l \in \{e, \mu\}$

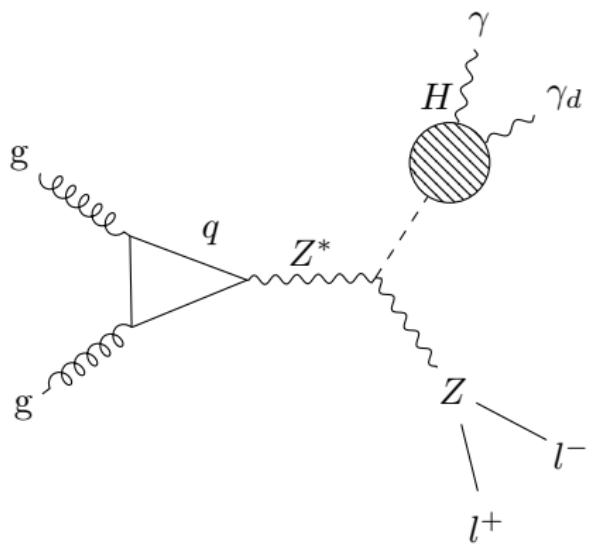
- 1 photon  $N_\gamma = 1$  with  $p_T^\gamma > 25 \text{ GeV}$
- 2 leptons,  $N_e = 2$  or  $N_\mu = 2$ 
  - one leading lepton with  $p_T^{l_1} > 27 \text{ GeV}$
  - one subleading lepton with  $p_T^{l_2} > 20 \text{ GeV}$
- $60 \text{ GeV} \leq m_{ll} \leq 116 \text{ GeV}$
- $m_{ll\gamma} > 100 \text{ GeV}$
- missing transverse momentum  $E_T^{miss} > 60 \text{ GeV}$
- $\Delta\Phi(E_T^{miss}, \vec{p}_T^{\gamma ll}) > 2.4$
- $N_{jets} \leq 2$
- $N_{bjets} = 0$

# Signal production

ZH production via quark

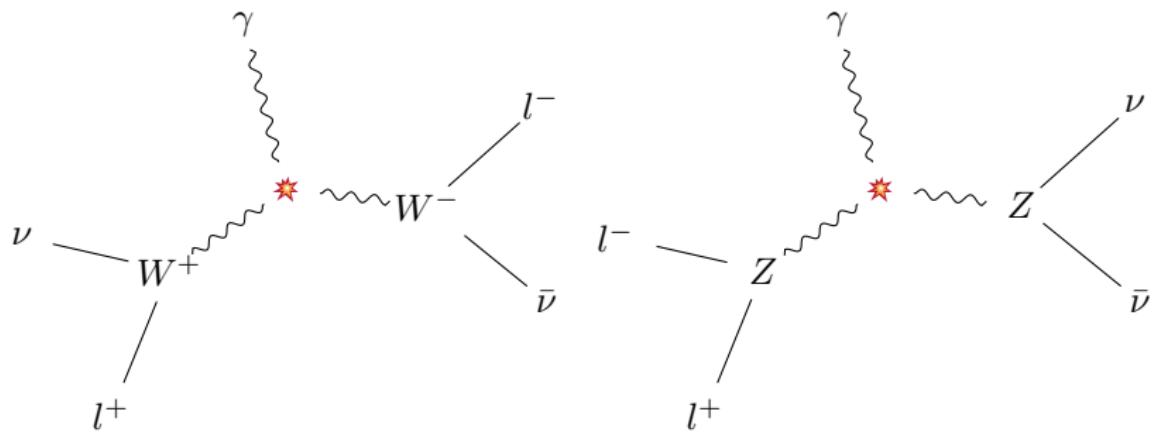


ZH production via gluons fusion



# Backgrounds

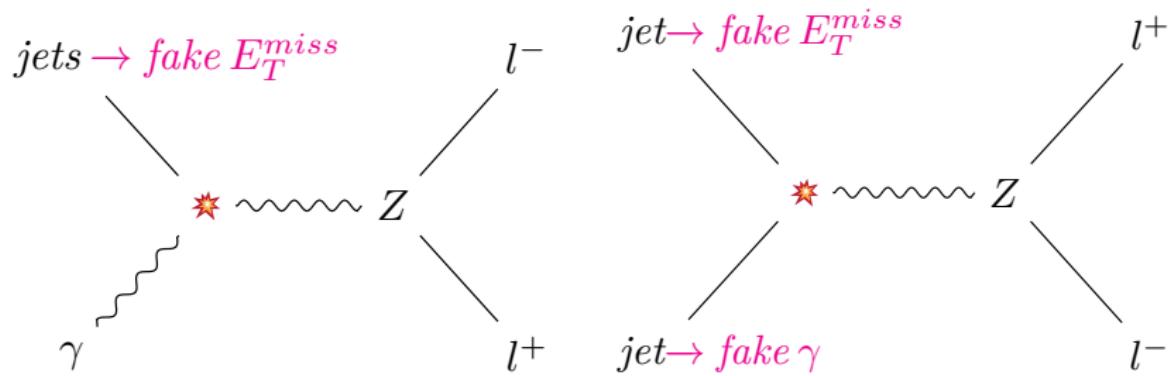
- irreducible:  $V\gamma$ ,  $V \in \{Z, W\}$



★ represents the collision point or primary vertex

# Backgrounds

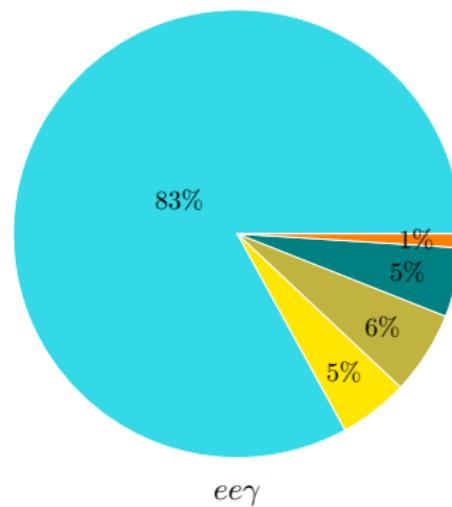
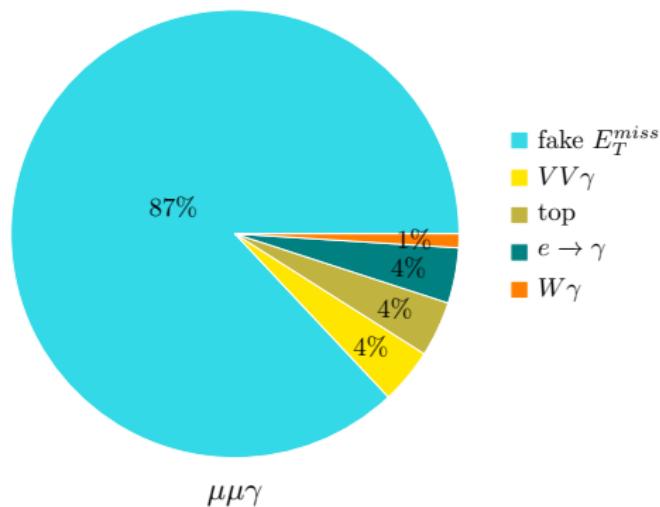
- irreducible:  $VV\gamma$ ,  $V \in \{Z, W\}$
- reducible: fake  $E_T^{miss}$  ( $Z\gamma + \text{jets}$ ,  $Z + \text{jets}$ , etc.),  $e \rightarrow \gamma$  ( $VV$ ,  $VVV$ ,  $Vtll$ ,  $t\bar{t}VV$ ), top backgrounds ( $Wt\gamma$ ,  $t$ ,  $t\bar{t}$ ,  $ttV$ ), Higgs ( $ttH \rightarrow Z\gamma$ ),  $VH \rightarrow Z\gamma$ ) and  $W\gamma$



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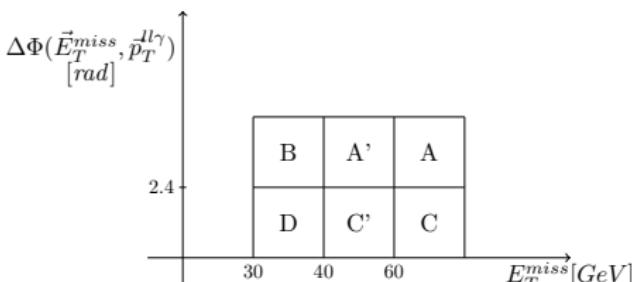


# Backgrounds

- $VV\gamma$ ,  $V \in \{Z, W\}$ : shape from MC and normalization data-driven estimated
- fake  $E_T^{miss}$ : shape from MC and normalization data-driven estimated  $\Rightarrow$  ABCD method
- $e \rightarrow \gamma$ : pure data-driven estimates  $\Rightarrow f_{e \rightarrow \gamma}$  applied to  $eee/\mu\mu e$  CRs
- top backgrounds: MC + 20% uncertainty
- Higgs,  $W\gamma$ : pure MC

## ABCD method

- ①  $\vec{E}_T^{miss}$  and  $\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{\ell\ell\gamma})$  used to define 6 regions;
- ② Signal will be mostly located in A; fake  $\vec{E}_T^{miss}$  be located between A and B as between C and D;
- ③ Fake  $\vec{E}_T^{miss}$  events can be estimated and used to rescale events in Signal Region

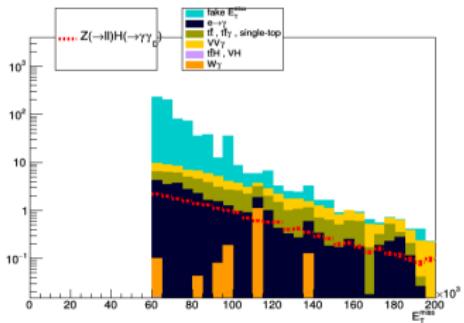
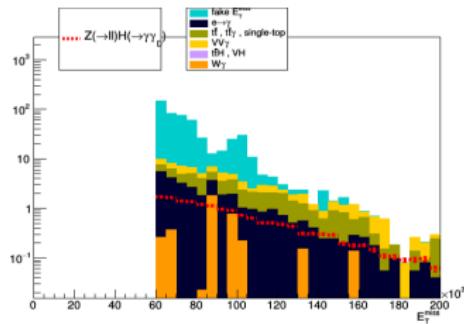


$$\frac{N_A}{N_B} = \frac{N_C}{N_D}$$

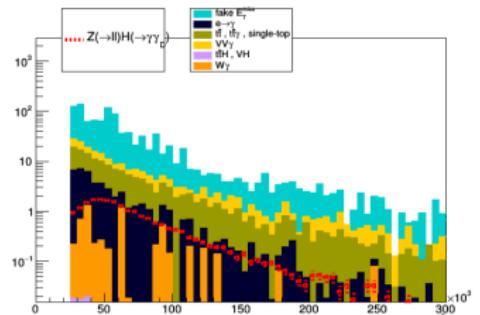
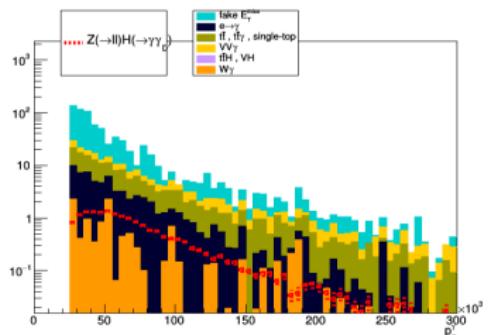
# Variables distributions

## Missing transverse momentum $E_T^{miss}$

ee $\gamma$   
chan-  
nel

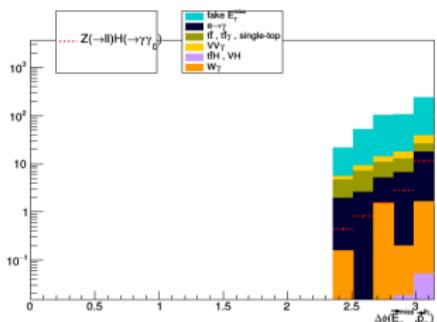


## Photon transverse momentum $p_T^\gamma$

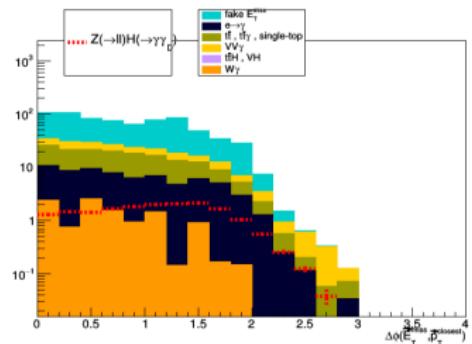


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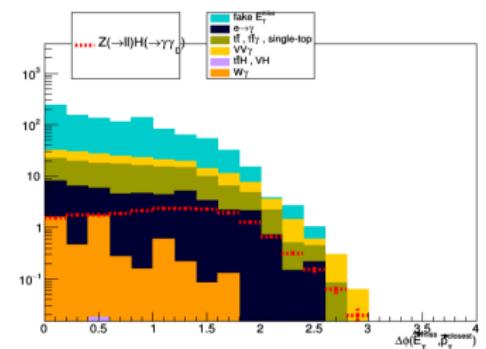
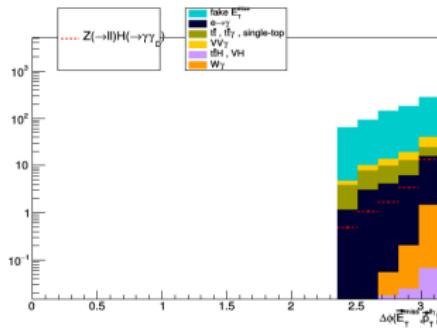
$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{\gamma II})$$



$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{closest})$$



ee $\gamma$   
channel

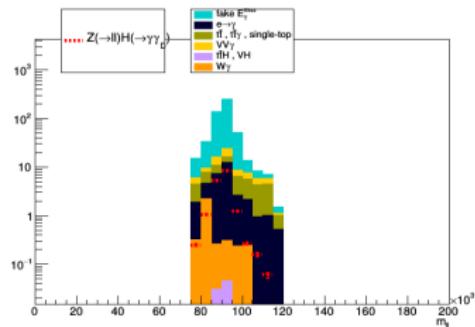


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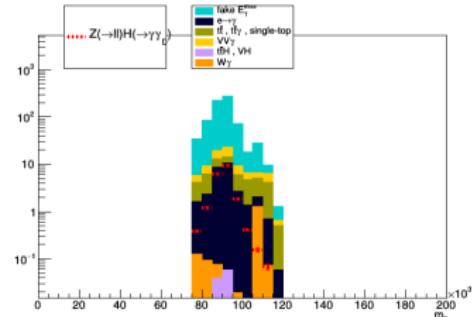
## Invariant mass

$$m_{ll} = \sqrt{2 p_T^{l_1} p_T^{l_2} [\cosh \Delta\eta - \cos \Delta\Phi]}$$

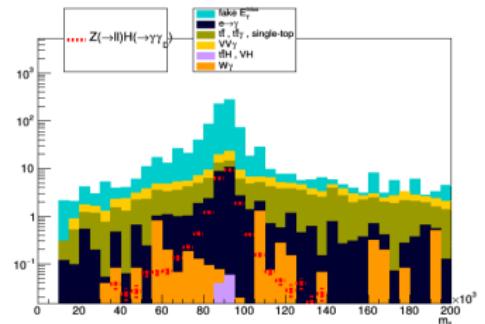
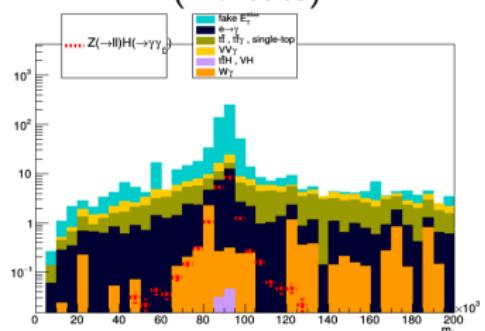
ee $\gamma\gamma$   
chan-  
nel



mu mu  
 $\gamma\gamma$   
chan-  
nel



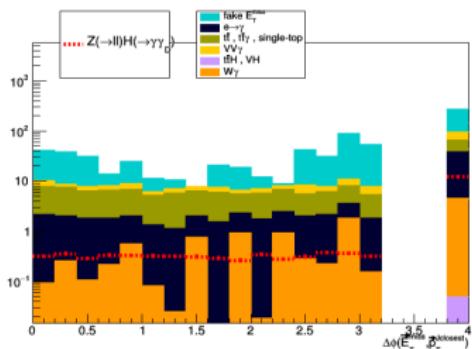
(No cuts)



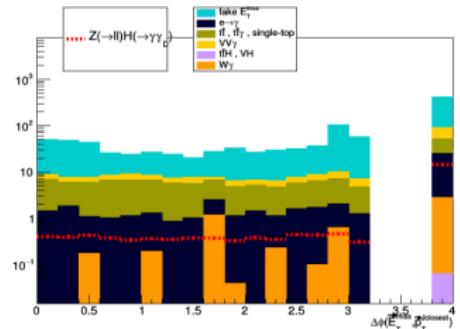
# Variables distributions

$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{closest\ jet})$$

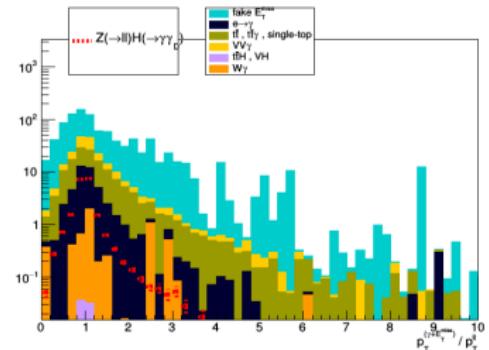
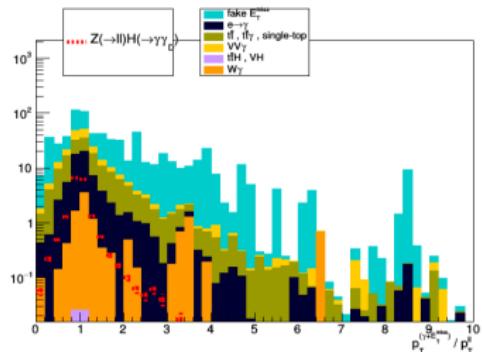
ee $\gamma\gamma$   
channel



μμ $\gamma\gamma$   
channel



$$p_T^{balance} = p_T^{\gamma+E_T^{miss}} / p_T^{ll}$$



# TMVA Analysis

BDTs have been implemented in  (a Toolkit for MultiVariate Analysis) environment

## ① Pre-Analysis

## ② Training

- ① root node
- ② one single variable and cut
- ③ stopping criterion
- ④ leaf nodes
- ⑤ classification according to purity  
 $p = \frac{s}{s+b}$

## ③ Applying

### ⚠ Overtraining

accepted as: truly is:	Sig	Bkg
Sig		Type-2 error
Bkg	Type-1 error	

# AdaBoost and Gradient Boost

## AdaBoost

- A boost weight  $\alpha$  is assigned to each new tree:

$$\alpha = \frac{1 - E}{E}$$

- The new added tree will focus on events mis-classified by the previous tree
- The boost weight can be given a power  $\beta$ , the learning rate
- The output of the classifier is a weighted sum of DTs votes:

$$y(\vec{x}) = \frac{1}{\sum_i^{N_{trees}} \ln(\alpha_i)} \sum_i^{N_{trees}} \ln(\alpha_i) h_i(\vec{x})$$

## Gradient Boost

- The idea is to approximate the final output as a expansion series of DTs output:

$$F(\vec{x}, P) = \sum_{m=0}^M \gamma_m f(x; \alpha_m)$$

$$P \in \{\gamma_m; \alpha_m\}_0^M$$

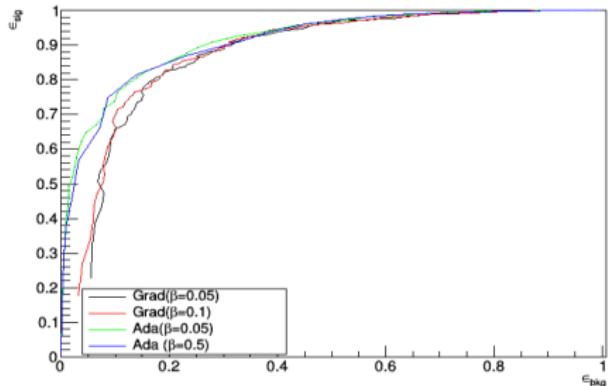
- The weights  $P$  are chosen such that  $F(\vec{x}, P)$  minimizes the loss function:

$$L(F, y) = \log(1 + e^{-2yF(\vec{x})})$$

# BDT optimization

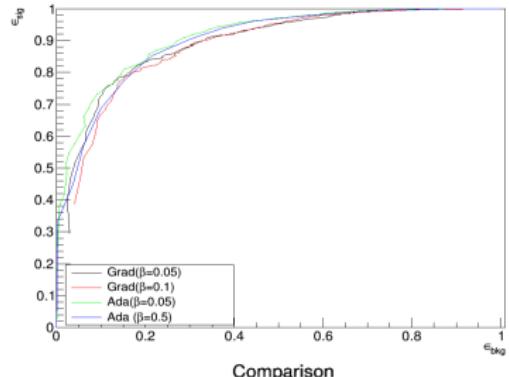
## BoostType

Comparison (No Bagging)

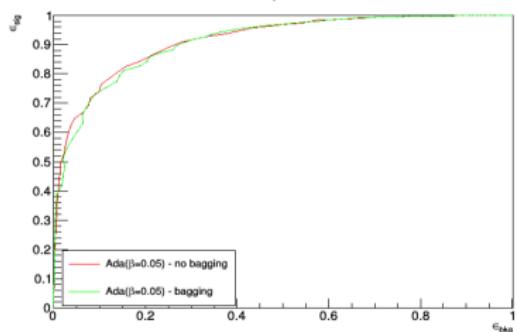


## Bagging

Comparison (Bagging)



Comparison



# BDT optimization

**Number of folds**

AMS		
$N_{trees}$	$N_{folds} = 2$	$N_{folds} = 5$
150	3.76654	3.69253
850	3.66992	3.64944

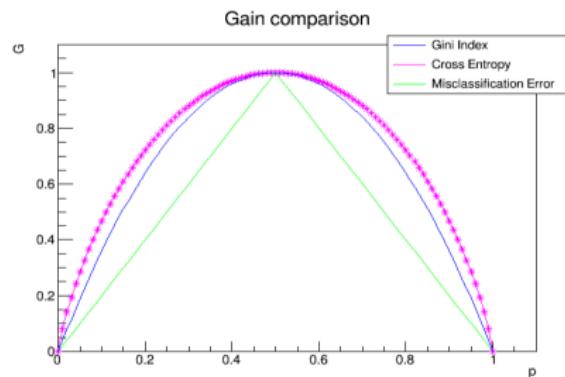
**Number of trees**

$N_{trees}$	AMS
50	3.31854
100	3.53190
150	3.76654
350	3.65642
450	3.60072
850	3.66992
1550	3.57516

# BDT optimization

## Separation Index

Gain definition	AMS
Gini Index	3.76654
Cross Entropy	3.63069
Misclassification Error	3.61978



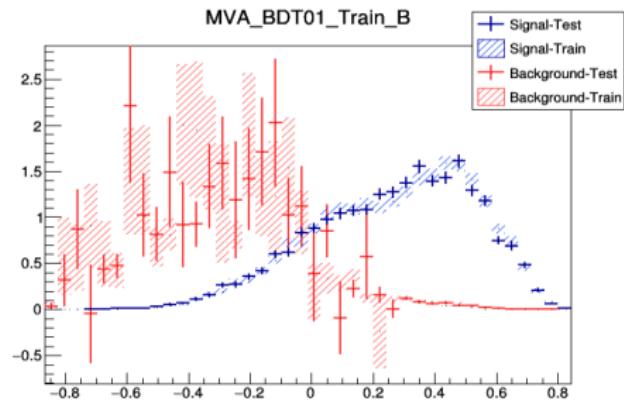
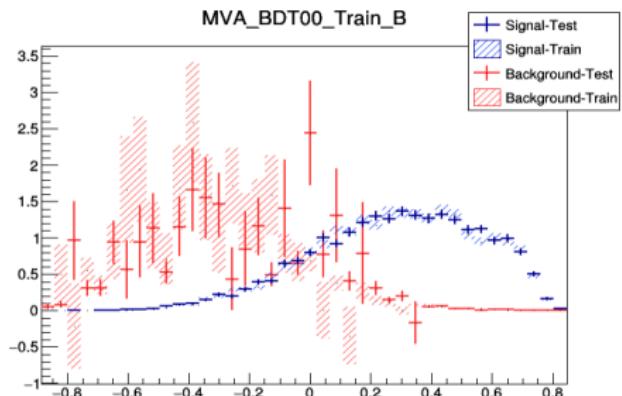
$$G_{Gini} = p(1 - p)$$

$$G_{entropy} = -[p \log p + (1 - p) \log (1 - p)]$$

$$G_{mis} = 1 - \max \{p, (1 - p)\}$$

# Check overtraining

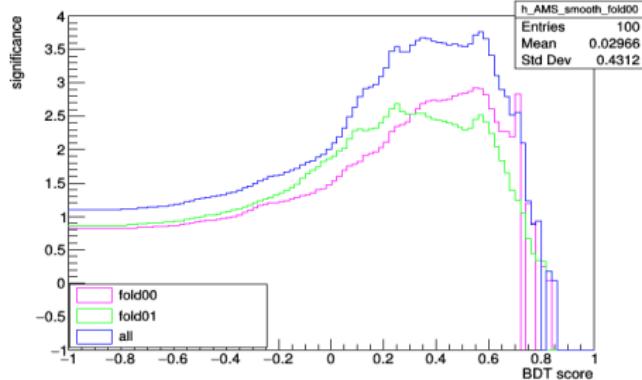
BDT score distributions for the final configuration (AdaBoost, No Bagging,  $\beta = 0.2$ , 2 folds, 150 trees, Gini Index)



	$\chi^2$	Ndf	p – value
sig	39.4	37	0.36
bkg	50.5	39	0.10

	$\chi^2$	Ndf	p – value
sig	36.6	38	0.53
bkg	30.7	38	0.79

# AMS distributions

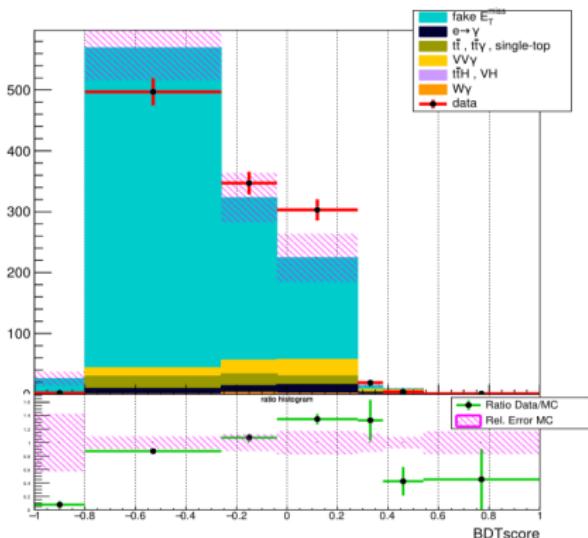


The curve is built moving from left to right, cutting step by step the BDT score and taking only the integrals of signal and background distributions at the right of the cut.

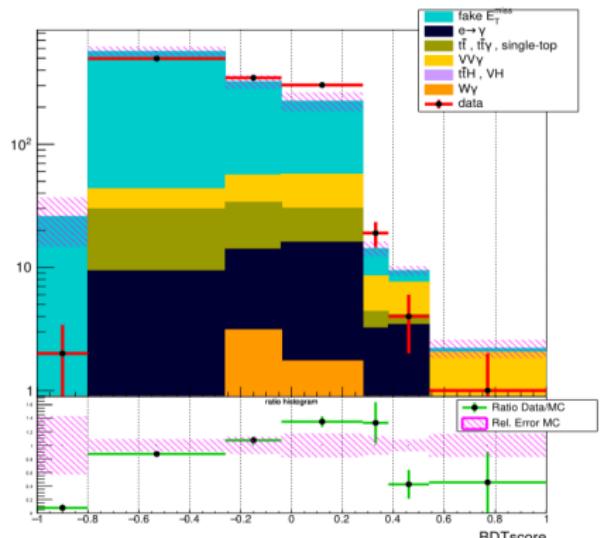
It starts from 1 at the left (all signal and all background included) and ends at 0 at the right (no signal included).

# BDT score distributions - Data

Linear scale



Log scale



only statistical uncertainties included