

NORTH CAROLINA
WAKE COUNTY

BEFORE THE
GRIEVANCE COMMITTEE
OF THE
NORTH CAROLINA STATE BAR
07G0083

IN THE MATTER OF

Stephen J. Batten,
Attorney At Law

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REPRIMAND

On October 23, 2008 the Grievance Committee of the North Carolina State Bar met and considered the grievance filed against you by the North Carolina State Bar.

Pursuant to Section .0113(a) of the Discipline and Disability Rules of the North Carolina State Bar, the Grievance Committee conducted a preliminary hearing. After considering the information available to it, including your response to the letter of notice, the Grievance Committee found probable cause. Probable cause is defined in the rules as "reasonable cause to believe that a member of the North Carolina State Bar is guilty of misconduct justifying disciplinary action."

The rules provide that after a finding of probable cause, the Grievance Committee may determine that the filing of a complaint and a hearing before the Disciplinary Hearing Commission are not required, and the Grievance Committee may issue various levels of discipline depending upon the misconduct, the actual or potential injury caused, and any aggravating or mitigating factors. The Grievance Committee may issue an admonition, a reprimand, or a censure to the respondent attorney.

A reprimand is a written form of discipline more serious than an admonition issued in cases in which an attorney has violated one or more provisions of the Rules of Professional Conduct and has caused harm or potential harm to a client, the administration of justice, the profession, or a member of the public, but the misconduct does not require a censure.

The Grievance Committee was of the opinion that a censure is not required in this case and issues this reprimand to you. As chairman of the Grievance Committee of the North Carolina State Bar, it is now my duty to issue this reprimand.

You represented L.G.B. in connection with two immigration matters in 2004 and 2005. You collected funds from Mr. B. and his wife representing both a flat attorney's fee and filing fees. You did not deposit the filing fees into your trust account in violation of Rules 1.15-2 (a) and (m). Further, you did not complete the work for which you were retained and you did not

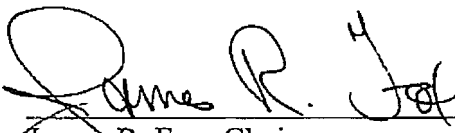
refund the unearned fees to Mr. B. Therefore, you collected an excessive fee in violation of Rule 1.5(a) and failed to diligently represent you client in violation of Rule 1.3.

In issuing this Reprimand, the Grievance Committee was mindful of the substance abuse problems you had at the time of the conduct, and they took into consideration the steps you have taken since then to address those problems, including undergoing rehabilitation treatment and ceasing the practice of law.

You are hereby reprimanded by the North Carolina State Bar for your professional misconduct. The Grievance Committee trusts that you will heed this reprimand, that it will be remembered by you, that it will be beneficial to you, and that you will never again allow yourself to depart from adherence to the high ethical standards of the legal profession.

In accordance with the policy adopted October 15, 1981 by the Council of the North Carolina State Bar regarding the taxing of the administrative and investigative costs to any attorney issued a reprimand by the Grievance Committee, the costs of this action in the amount of \$100.00 are hereby taxed to you.

Done and ordered, this the 26th day of November, 2008



James R. Fox, Chair
Grievance Committee

JRF/lr