

# Relational Calculus

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# Example 1: A declaration of a Power Set type

- Consider the set **Name** = {John, Myriam, Mike, Suzan}.
- Consider the power set of **Name**:

P Name =

{

$\emptyset$ ,

{John}, {Myriam}, {Mike}, {Suzan},

{John, Myriam}, {John, Mike}, {John, Suzan},

{Myriam, Mike}, {Myriam, Suzan}, {Mike, Suzan},

{John, Myriam, Mike}, {John, Myriam, Suzan},

{John, Mike, Suzan}, {Myriam, Mike, Suzan},

{John, Myriam, Mike, Suzan}

}

- The power set of **Name** is a set that contains, as its elements, all subsets of **Name**.

# Type declarations

- How do we interpret a type declaration?
- The expression  $v : \text{Type}$  is interpreted as “The variable  $v$  can assume any value supported by  $\text{Type}$ .”
- Examples:
  - In  $x : \mathbb{N}$ , variable  $x$  can assume values 1, 2, 3, ...
  - In  $z : \text{Boolean}$ , variable  $z$  can assume values `true`, or `false`.

# Declaring a variable to hold a set

$P\ Name = \{ \emptyset, \\ \{John\}, \{Myriam\}, \{Mike\}, \{Suzan\}, \\ \{John, Myriam\}, \{John, Mike\}, \{John, Suzan\}, \\ \{Myriam, Mike\}, \{Myriam, Suzan\}, \{Mike, Suzan\}, \\ \{John, Myriam, Mike\}, \{John, Myriam, Suzan\}, \\ \{John, Mike, Suzan\}, \{Myriam, Mike, Suzan\}, \\ \{John, Myriam, Mike, Suzan\} \}$

- The declaration **known : P Name** is interpreted as “The variable **known** can assume any value supported by **P Name**.”

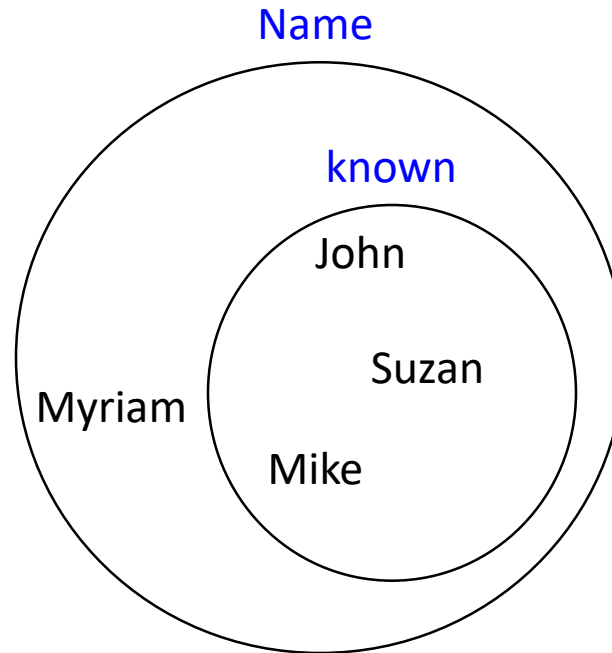
Since the values of **P Name** are sets, this implies that **known** is declared to be a set.

# Reasoning about legitimate values for the variable

$P\ Name = \{ \emptyset,$   
     $\{John\}, \{Myriam\}, \{Mike\}, \{Suzan\},$   
     $\{John, Myriam\}, \{John, Mike\}, \{John, Suzan\},$   
     $\{Myriam, Mike\}, \{Myriam, Suzan\}, \{Mike, Suzan\},$   
     $\{John, Myriam, Mike\}, \{John, Myriam, Suzan\},$   
     $\{John, Mike, Suzan\}, \{Myriam, Mike, Suzan\},$   
     $\{John, Myriam, Mike, Suzan\} \}$

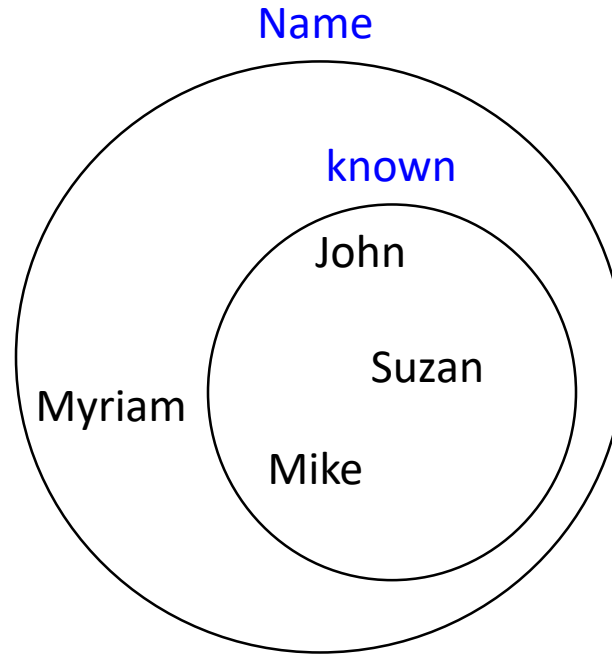
- The declaration  $known : P\ Name$  is interpreted as “The variable  $known$  can assume any value supported by  $P\ Name$ .”
- Is  $\{John, Mike, Suzan\}$  a legitimate value for  $known$ ? Yes.

# Visualizing set relations



Is {John, Mike, Suzan} a legitimate value for **known**? Yes.

# Variable declaration vs. set relation



- Note that  $\text{known} : P \text{ Name}$ , and  $\text{known} \subseteq \text{Name}$  are both correct, but they mean a different thing.
- The expression  $\text{known} : P \text{ Name}$  is a variable declaration.
- The expression  $\text{known} \subseteq \text{Name}$  describes a set relation.

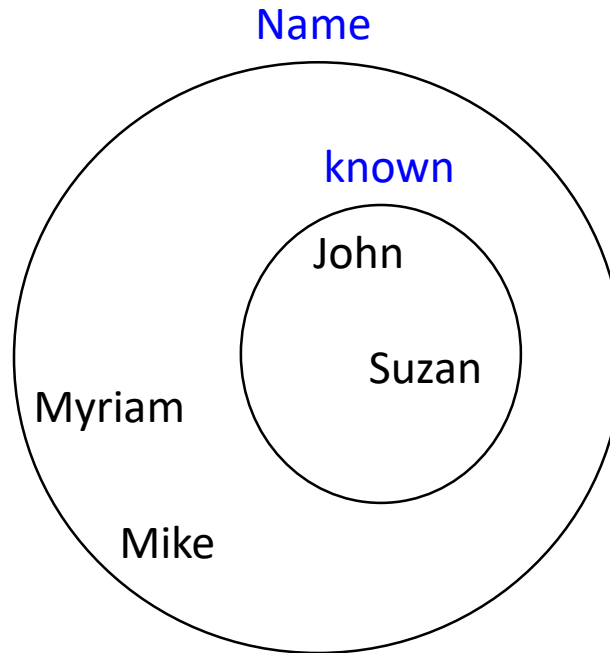
# Reasoning about legitimate values for the variable /cont.

P Name = {  $\emptyset$ ,  
    {John}, {Myriam}, {Mike}, {Suzan},  
    {John, Myriam}, {John, Mike}, {John, Suzan},  
    {Myriam, Mike}, {Myriam, Suzan}, {Mike, Suzan},  
    {John, Myriam, Mike}, {John, Myriam, Suzan},  
    {John, Mike, Suzan}, {Myriam, Mike, Suzan},  
    {John, Myriam, Mike, Suzan} }

- If we now removed Mike from known, we will have {John, Suzan}.
- Is {John, Suzan} a legitimate value for known? Yes.



# Visualizing set relations /cont.



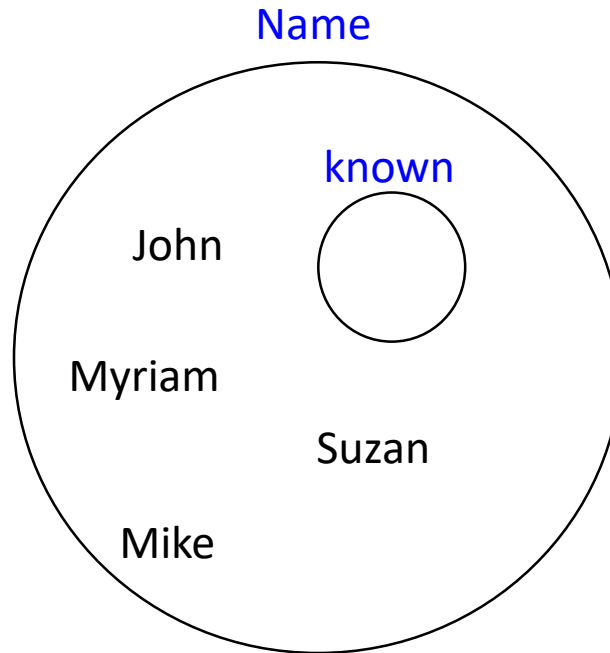
Is  $\{\text{John}, \text{Suzan}\}$  a legitimate value for **known**? Yes.

# Reasoning about legitimate values for the variable /cont.

P Name = {  $\emptyset$ ,  
    {John}, {Myriam}, {Mike}, {Suzan},  
    {John, Myriam}, {John, Mike}, {John, Suzan},  
    {Myriam, Mike}, {Myriam, Suzan}, {Mike, Suzan},  
    {John, Myriam, Mike}, {John, Myriam, Suzan},  
    {John, Mike, Suzan}, {Myriam, Mike, Suzan},  
    {John, Myriam, Mike, Suzan} }

- If we now removed all elements from **known**, we will have  $\emptyset$ .
- Is  $\emptyset$  a legitimate value for **known**? Yes.

# Visualizing set relations /cont.



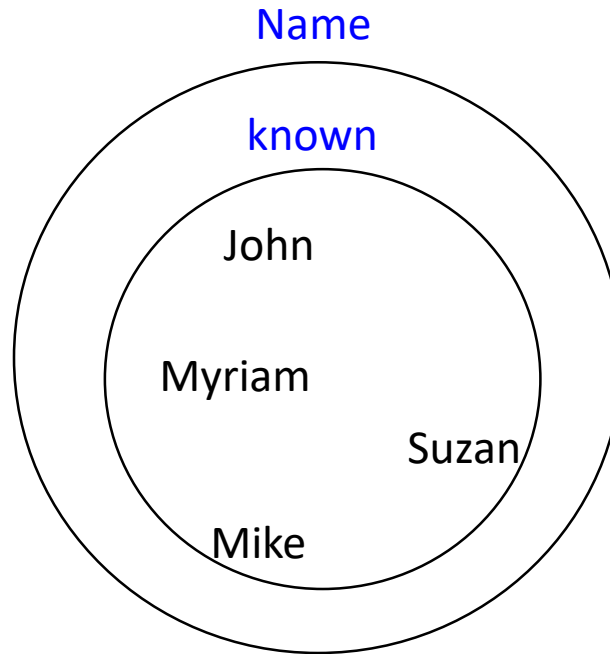
Is  $\emptyset$  a legitimate value for **known**? Yes.

# Reasoning about legitimate values for the variable /cont.

P Name = {  $\emptyset$ ,  
    {John}, {Myriam}, {Mike}, {Suzan},  
    {John, Myriam}, {John, Mike}, {John, Suzan},  
    {Myriam, Mike}, {Myriam, Suzan}, {Mike, Suzan},  
    {John, Myriam, Mike}, {John, Myriam, Suzan},  
    {John, Mike, Suzan}, {Myriam, Mike, Suzan},  
    {John, Myriam, Mike, Suzan} }

- Let us now add all names into **known**.
- Is {John, Myriam, Mike, Suzan} a legitimate value for **known**? Yes.

# Visualizing set relations /cont.



Is {John, Myriam, Mike, Suzan} a legitimate value for **known**? Yes.

## Example 2: A database table

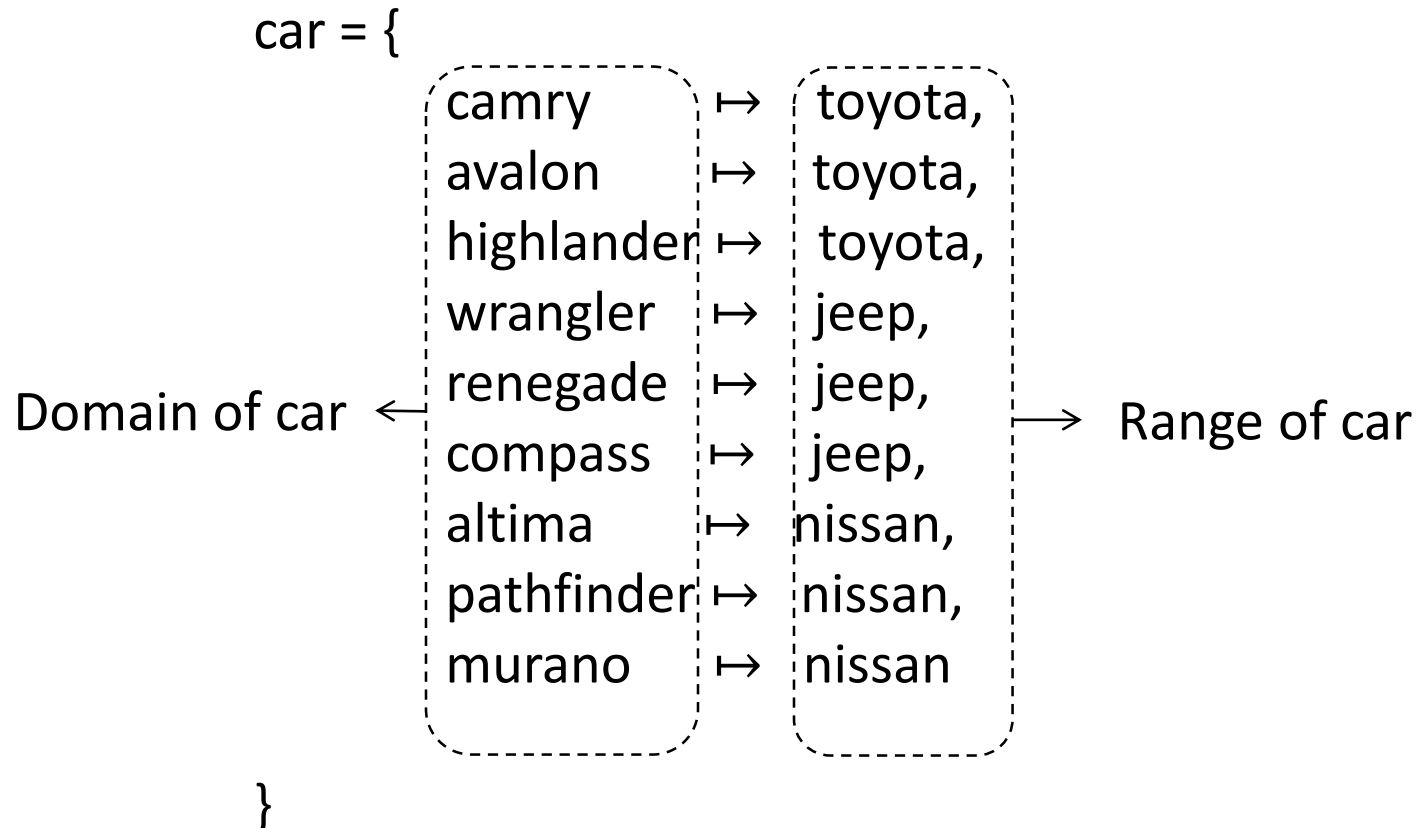
- Let binary relation **car** : **Model**  $\leftrightarrow$  **Make**
- The relation **car** can be used to model a database table.

car =

```
{  
  camry      ↦  toyota,  
  avalon     ↦  toyota,  
  highlander ↦  toyota,  
  wrangler   ↦  jeep,  
  renegade   ↦  jeep,  
  compass    ↦  jeep,  
  altima     ↦  nissan,  
  pathfinder ↦  nissan,  
  murano     ↦  nissan  
}
```

# Domain and range

- Domain and range are sets of first and second elements respectively.
- $\text{dom car} = \{\text{camry, avalon, highlander, wrangler, renegade, compass, altima, pathfinder, murano}\}$
- $\text{ran car} = \{\text{toyota, jeep, nissan}\}$



# Model queries (1/2)

- Restriction operators can be used to model database queries.
- For example: “Select the pairs based on ‘wrangler’ and ‘pathfinder’.”

$$\{\text{wrangler}, \text{pathfinder}\} \triangleleft \text{car} =$$
$$\begin{cases} \text{wrangler} \mapsto \text{jeep}, \\ \text{pathfinder} \mapsto \text{nissan} \end{cases}$$

Domain restriction selects pairs based on the first element.
--



# Model queries (2/2)

- Restriction operators can be used to model database queries.
- For example: “Select the pair(s) based on ‘jeep’.”

car  $\triangleright$  {jeep} =  
  {  
    wrangler  $\mapsto$  jeep,  
    renegade  $\mapsto$  jeep,  
    compass  $\mapsto$  jeep  
  }

Range restriction selects pairs based on the second element.

# Model updates (1/3)

- Relational overriding can be used to model database updates.
- We have 2 types of updates: Insertions and modifications.
- For example, this update is an insertion:

car  $\oplus$  {cherokee  $\mapsto$  jeep} =  
{  
 camry  $\mapsto$  toyota,  
 avalon  $\mapsto$  toyota,  
 highlander  $\mapsto$  toyota,  
 wrangler  $\mapsto$  jeep,  
 renegade  $\mapsto$  jeep,  
 compass  $\mapsto$  jeep,  
 altima  $\mapsto$  nissan,  
 pathfinder  $\mapsto$  nissan,  
 murano  $\mapsto$  nissan,  
 cherokee  $\mapsto$  jeep  
}

All pairs of set on LHS  
are added to the set  
on RHS.

# Model updates (2/3)

- Variable `car'` holds the state of the set upon successful evaluation of the RHS expression.

$$\boxed{\text{car}'} = \boxed{\text{car} \oplus \{\text{cherokee} \mapsto \text{jeep}\}} =$$

camry	$\mapsto$	toyota,
avalon	$\mapsto$	toyota,
highlander	$\mapsto$	toyota,
wrangler	$\mapsto$	jeep,
renegade	$\mapsto$	jeep,
compass	$\mapsto$	jeep,
altima	$\mapsto$	nissan,
pathfinder	$\mapsto$	nissan,
murano	$\mapsto$	nissan,
cherokee	$\mapsto$	jeep
		}

# Model updates (3/3)

- Relational overriding can be used to model database updates.
- We have 2 types of updates: Insertions and modifications.
- For example, this update is a modification:

$$\text{car}' = \text{car} \oplus \{\text{avalon} \mapsto \text{lexus}\} =$$

{

avalon  $\mapsto$  lexus,  
camry  $\mapsto$  toyota,  
highlander  $\mapsto$  toyota,  
wrangler  $\mapsto$  jeep,  
renegade  $\mapsto$  jeep,  
compass  $\mapsto$  jeep,  
altima  $\mapsto$  nissan,  
pathfinder  $\mapsto$  nissan,  
murano  $\mapsto$  nissan,  
cherokee  $\mapsto$  jeep

All pairs from set on LHS  
except avalon  $\mapsto$  toyota,  
are added to the set on  
the set of the RHS.

# Model deletions (1/2)

- “Remove all pairs where model is ‘camry’, ‘murano’, or ‘altima’.”

$\text{car}' = \{\text{camry}, \text{murano}, \text{altima}\} \triangleleft \text{car} =$   
 $\{$   
    avalon  $\mapsto$  lexus,  
    ~~camry  $\mapsto$  toyota,~~  
    highlander  $\mapsto$  toyota,  
    wrangler  $\mapsto$  jeep,  
    renegade  $\mapsto$  jeep,  
    compass  $\mapsto$  jeep,  
    ~~altima  $\mapsto$  nissan,~~  
    pathfinder  $\mapsto$  nissan,  
    ~~murano  $\mapsto$  nissan,~~  
    cherokee  $\mapsto$  jeep  
 $\}$

Domain subtraction removes all elements from the domain of the relation.

# Model deletions (2/2)

- “Remove all pairs where make is ‘jeep’.”

$\text{car}' = \text{car} \triangleright \{\text{jeep}\} =$   
 $\{$   
    avalon  $\mapsto$  lexus,  
    highlander  $\mapsto$  toyota,  
    ~~wrangler  $\mapsto$  jeep,~~  
    ~~renegade  $\mapsto$  jeep,~~  
    ~~compass  $\mapsto$  jeep,~~  
    pathfinder  $\mapsto$  nissan,  
    ~~cherokee  $\mapsto$  jeep~~  
 $\}$

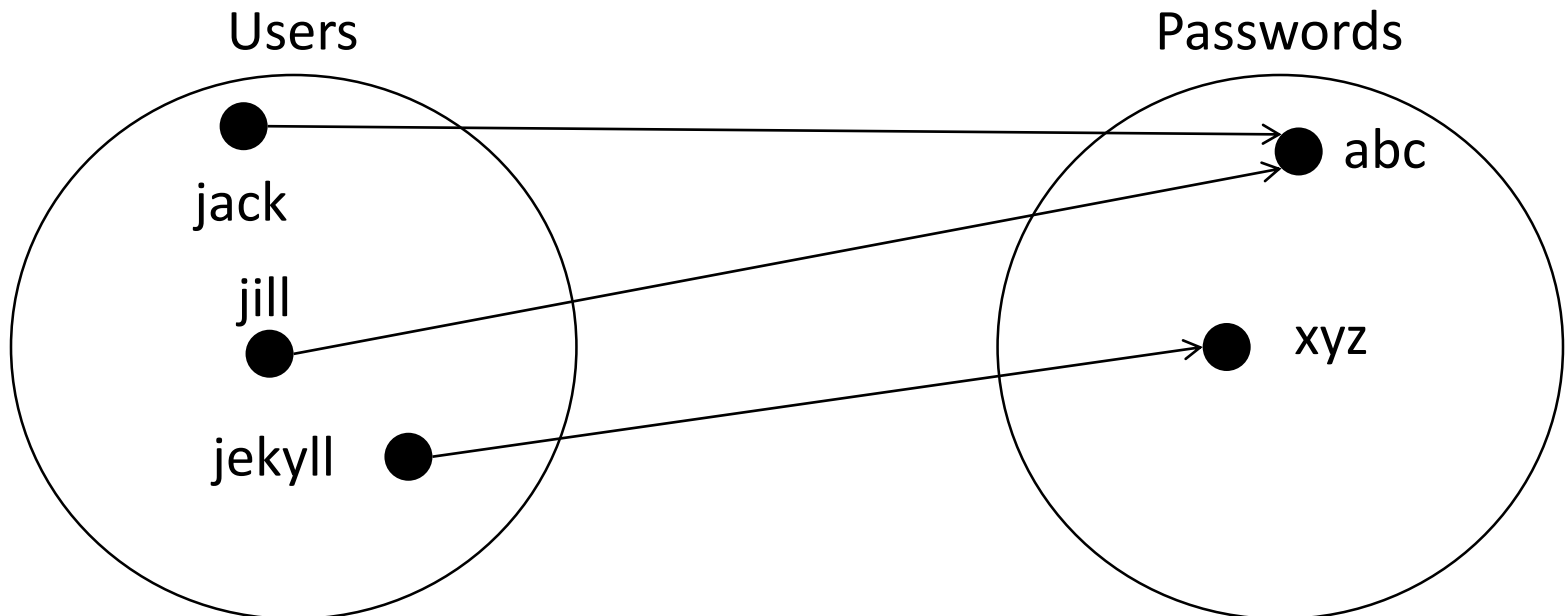
Range subtraction removes all elements from the range of the relation.

# Binary relations and functions revisited

- In the example, the binary relation `car` is expressed as a set of ordered pairs.
- Formally, `car` is defined as `car: Model ↔ Make`
- Alternatively we can formally define `car` as `car ⊆ Model x Make`
- The relation `car` is also a function, and it can be formally defined as `car: Model → Make`
- Both relations and functions define relationships between sets.
- Both relations and functions can be represented as sets.
- Not all relations are functions, but all functions are relations.

# Example 3: A password file

- Requirements:
  1. Each user has a unique user-id.
  2. Each user-id is associated with only one password.
  3. Users may have a common password.
- We define types **Users** and **Passwords**.
- A possible state of the system is shown below:





# Mapping and visualization

- The two types **Users** and **Passwords** are represented as sets.
- We capture the mapping from **Users** to **Passwords** by a set of ordered pairs which we will call **password**.
- The initial state of the system can thus be expressed as  
$$\text{password} = \{\text{jack} \mapsto \text{abc}, \text{jill} \mapsto \text{abc}, \text{jeekyll} \mapsto \text{xyz}\}$$
- Note that **password** is a (binary) relation, since  
$$\text{password} \subseteq \text{Users} \times \text{Passwords}$$
- The relation **password** is also a function, i.e.  
$$\text{password}: \text{Users} \rightarrow \text{Passwords}$$

# Properties of function 'password'

- Function password is partial (as opposed to total) as it maps a subset of the domain set (**Users**). Formally this will be denoted as  
 $\text{password: Users} \mapsto \text{Passwords}$
- Is not injective (one-to-one) because it is not the case that  
$$\forall \text{user}_1, \text{user}_2: \text{Users} \\ (\text{user}_1 \neq \text{user}_2 \rightarrow \\ \text{password}(\text{user}_1) \neq \text{password}(\text{user}_2))$$
- Is not surjective (onto) as it is not the case that  
$$\forall \text{passwd: Passwords} \exists \text{user: Users} \\ (\text{password}(\text{user}) = \text{passwd})$$
- By definition it is not bijective (one-to-one correspondence).

# Case 1: Adding a new user (with a precondition)

password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc,  
  jekyll  ↦ xyz,  
  hyde    ↦ psw  
}
```

pre: user  $\notin$  dom password

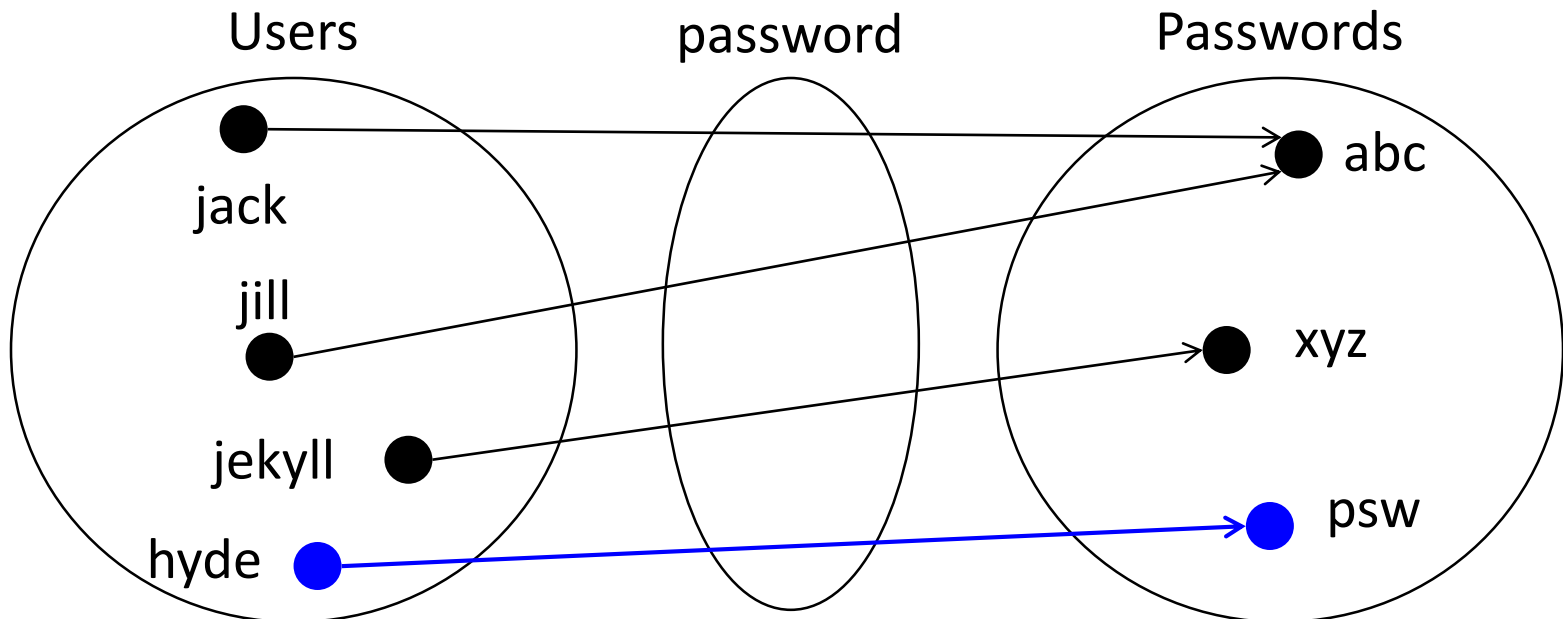
**Precondition successful**

password' =

password  $\cup$  {hyde  $\mapsto$  psw}

or

password  $\oplus$  {hyde  $\mapsto$  psw}



# Case 1: Adding a new user (with a precondition) /cont.

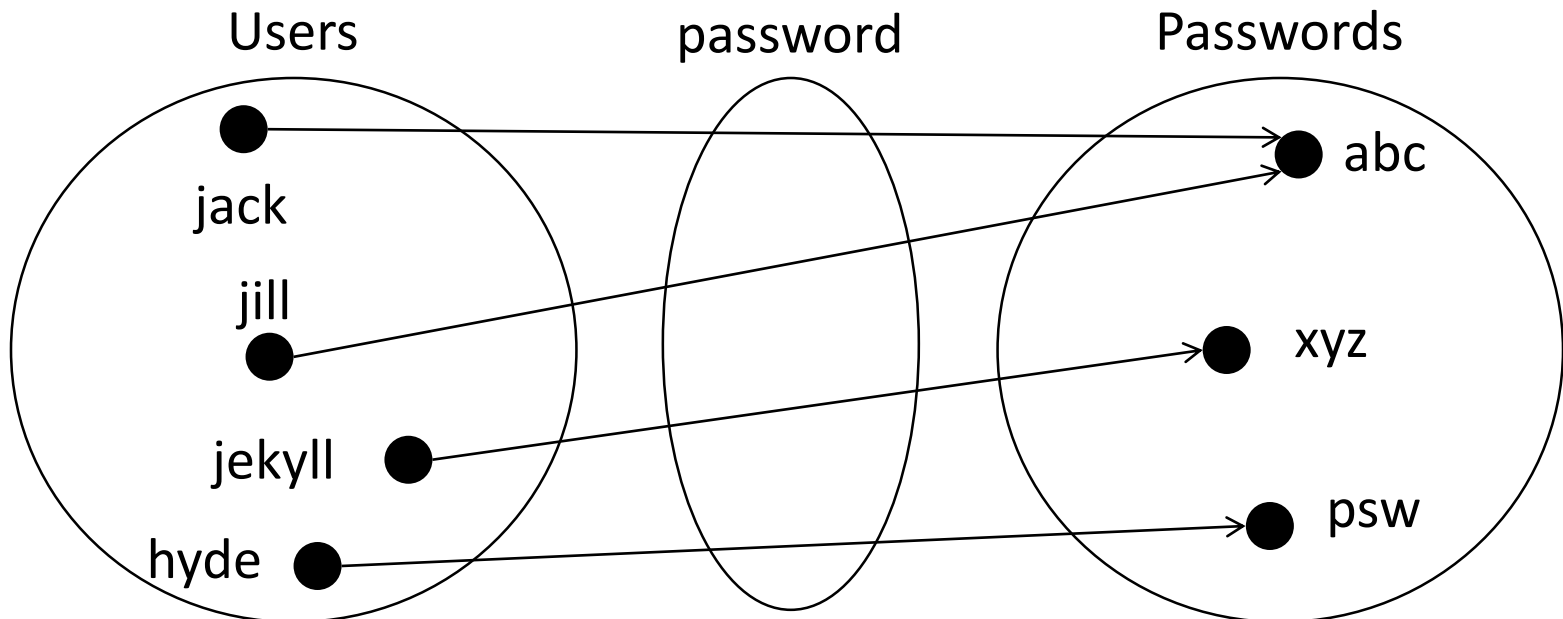
password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc,  
  jekyll  ↦ xyz,  
  hyde    ↦ psw  
}
```

pre: user  $\notin$  dom password

Wish to add: jekyll  $\mapsto$  psw

**Precondition failure**



## Case 2: Adding a new user with set union (without a precondition)

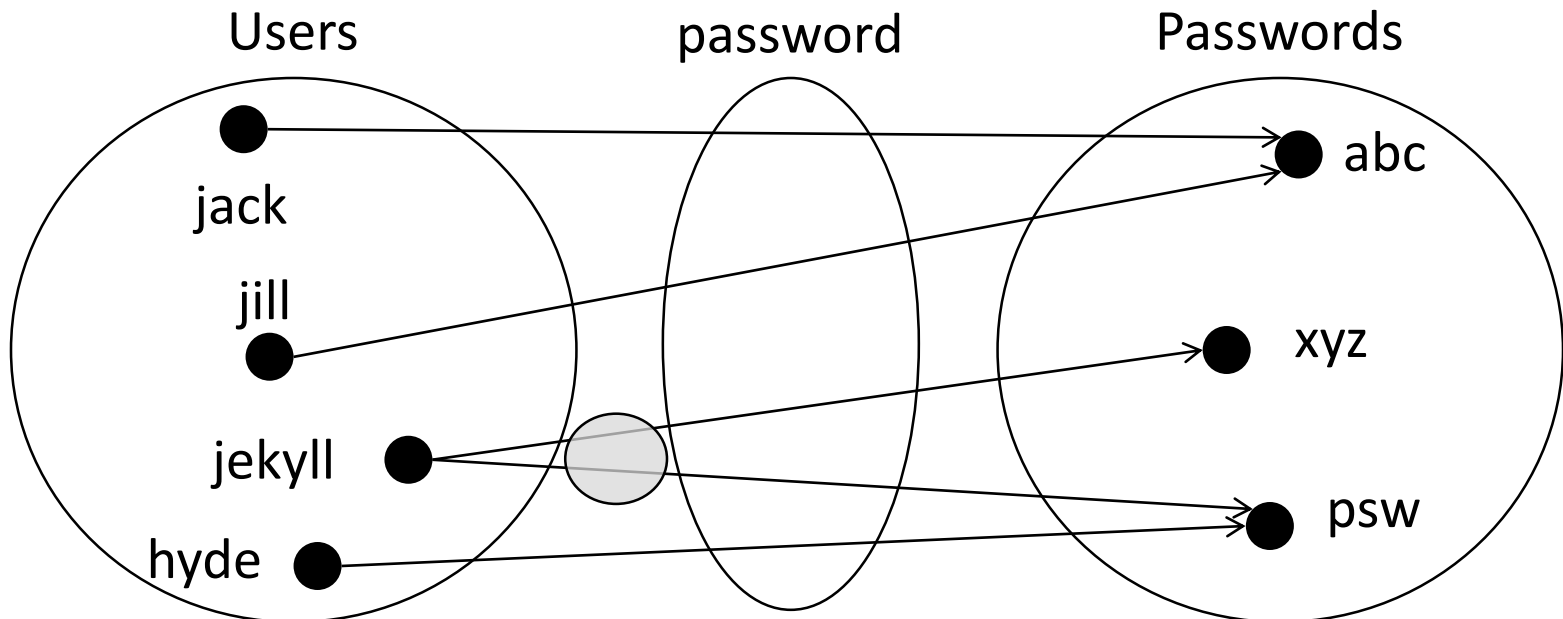
password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc,  
  jekyll  ↦ xyz,  
  jekyll  ↦ psw,  
  hyde    ↦ psw  
}
```

password' =

password  $\cup$  {jekyll  $\mapsto$  psw}

**Violation of requirements**



## Case 2: Adding a new user with set union (without a precondition) /cont.

password =

{  
jack      $\mapsto$    abc,  
jill      $\mapsto$    abc,  
jekyll    $\mapsto$    xyz,  
hyde     $\mapsto$    psw  
}

password' =

password  $\cup$  {jekyll  $\mapsto$  psw}

- In the absence of a precondition, will there always be a violation of requirements with set union?
- If there exists no such user, then the user-password pair will be added to the file. No violation of requirements.
- If there already exists such user, then the existing user-password pair will also be added to the file. Violation of requirements (duplicate user).

## Case 2: Adding a new user with relational override (without a precondition)

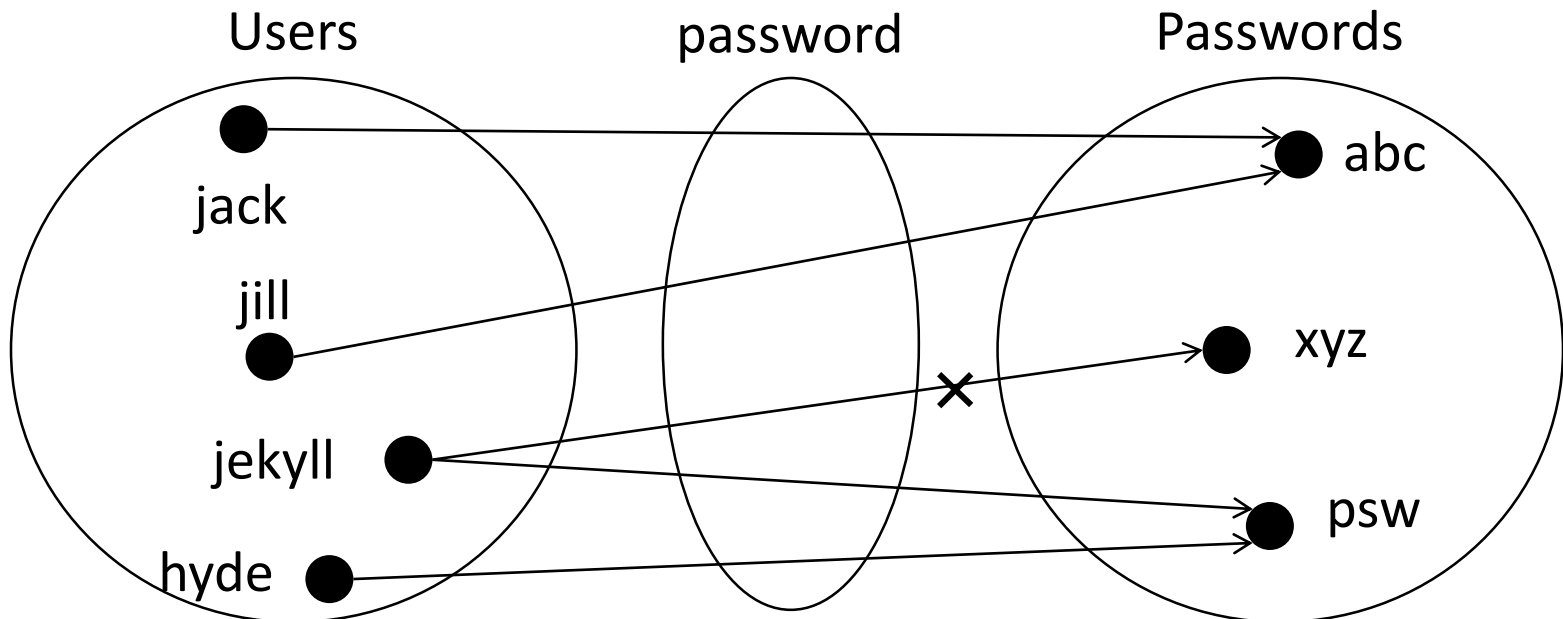
password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc,  
  jekyll ↦ xyz,  
  jekyll ↦ psw,  
  hyde    ↦ psw  
}
```

password' =

password  $\oplus$  {jekyll  $\mapsto$  psw}

**Violation of requirements**



## Case 2: Adding a new user with relational override (without a precondition) /cont.

password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc,  
  jekyll  ↦ xyz,  
  hyde    ↦ psw  
}
```

password' =

password  $\oplus$  {jekyll  $\mapsto$  psw}

- In the absence of a precondition, will there always be a violation of requirements with relational override?
- If there exists no such user, then the user-password pair will be added to the file. No violation of requirements.
- However, if there already exists such user, then the existing user-password pair will be replaced by a new such pair. Violation of requirements.



# Case 3: Modifying the password of an existing user (with a precondition)

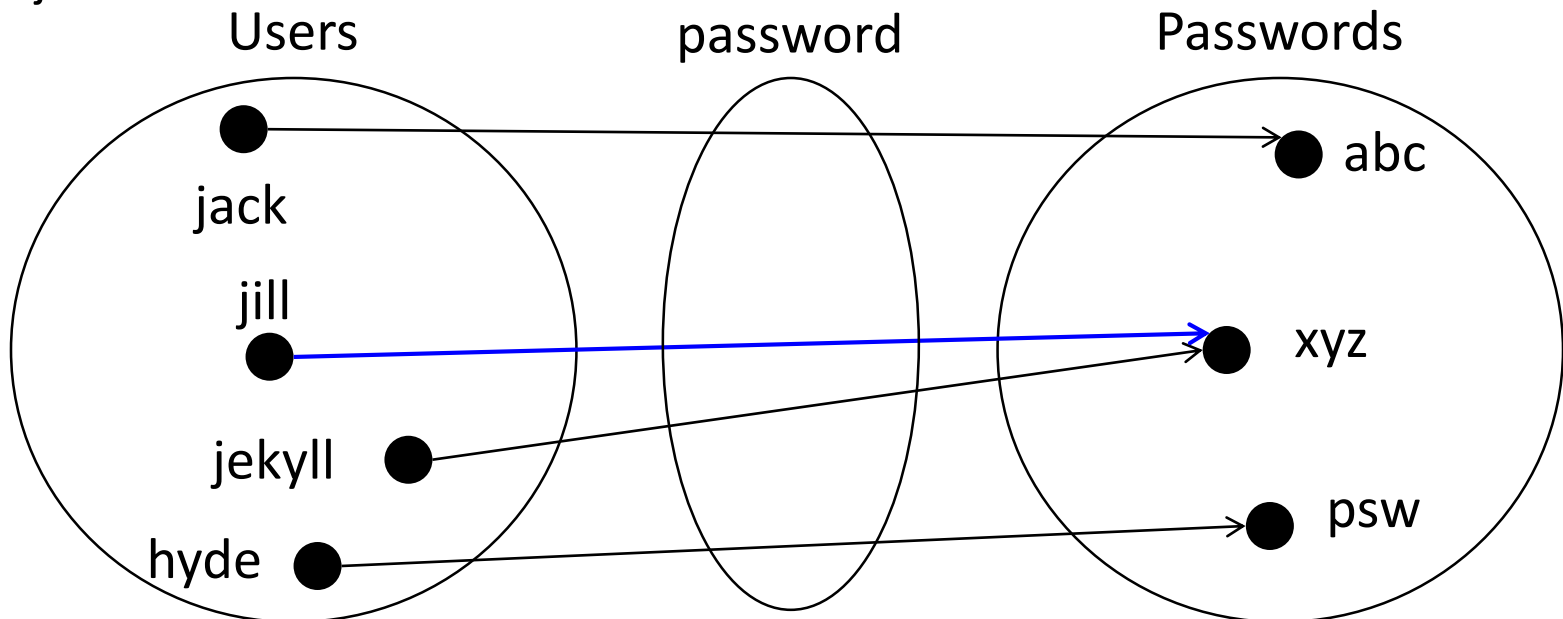
password =

```
{  
  jack   ↦ abc,  
  jill   ↦ abc,  
  jill   ↦ xyz,  
  jekyll ↦ xyz,  
  hyde   ↦ psw  
}
```

pre: user  $\in$  dom password

Wish to modify jill's password to xyz

password' = password  $\oplus$  {jill  $\mapsto$  xyz}



# Case 3: Modifying the password of an existing user (with a precondition) /cont.

password =

```
{  
  jack    ↦ abc,  
  jill    ↦ abc, Should be  
  jill    ↦ xyz, excluded !  
  jekyll  ↦ xyz,  
  hyde    ↦ psw  
}
```

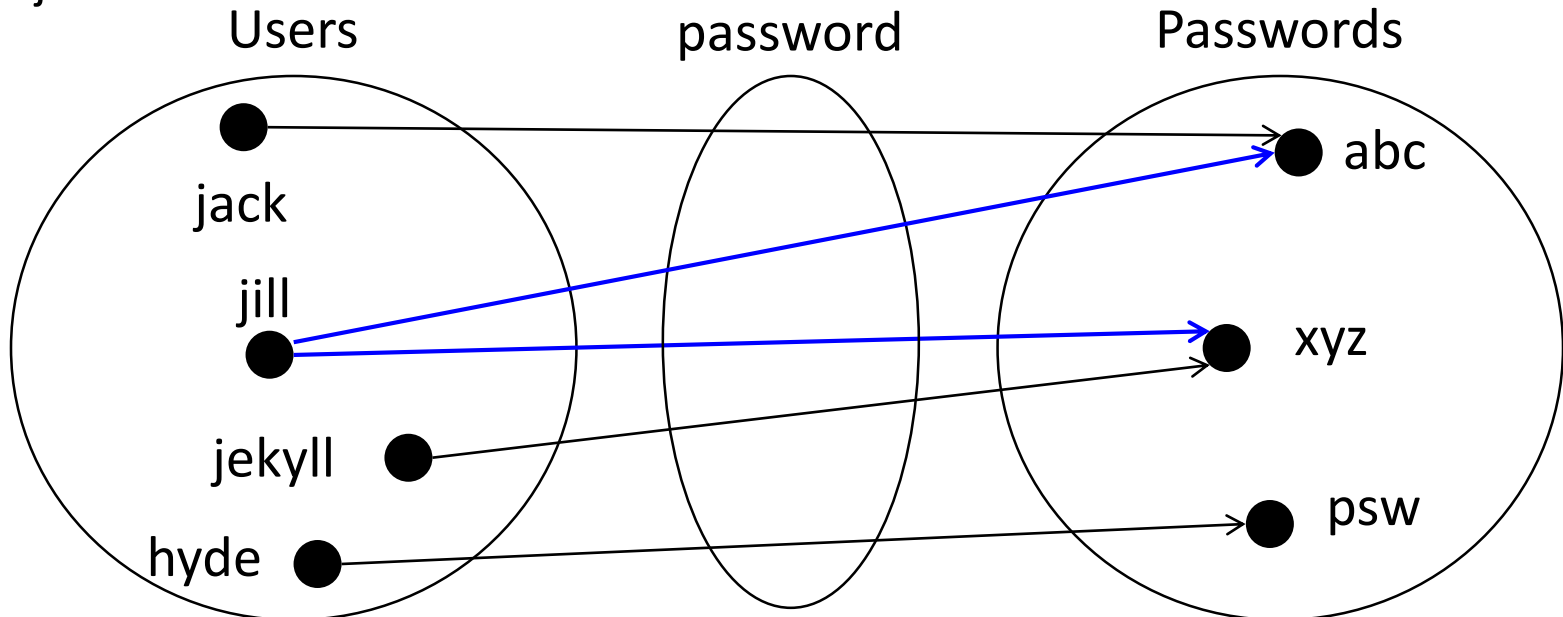
pre: user  $\in$  dom password

Wish to modify jill's password to xyz

How about

password' = password  $\cup$  {jill  $\mapsto$  xyz} ?

**Violation of requirements**



# Example 4: Revisiting Sets, Binary Relations and Functions

- Recall that:
  1. **Binary relations are sets.**  
(though not all sets are binary relations)
  2. **Functions are binary relations.**  
(though not all binary relations are functions)

# Relational overriding

- Consider the following relations:

$$\mathbf{R} = \{ (\text{Mike}, 20), (\text{Roger}, 18), (\text{Anne}, 23) \}$$

$$\text{dom } \mathbf{R} = \{ \text{Mike}, \text{Roger}, \text{Anne} \}, \text{ran } \mathbf{R} = \{ 20, 18, 23 \}$$

$$\mathbf{S} = \{ (\text{Anne}, 20), (\text{Yang}, 19) \}$$

$$\text{dom } \mathbf{S} = \{ \text{Anne}, \text{Yang} \}, \text{and } \text{ran } \mathbf{S} = \{ 20, 19 \}$$

- Relational override is a binary operation on relations.

$\mathbf{R} \oplus \mathbf{S}$  is defined as a set that contains all pairs of  $\mathbf{S}$  plus those pairs from  $\mathbf{R}$  whose first coordinates do not belong to the domain of  $\mathbf{S}$ .

$R \oplus S$  is defined as a set that contains all pairs of  $S$  plus those pairs from  $R$  whose first coordinates do not belong to the domain of  $S$ .

$R = \{ (\text{Mike}, 20), (\text{Roger}, 18), (\text{Anne}, 23) \}$

$S = \{ (\text{Anne}, 20), (\text{Yang}, 19) \}$

$\text{dom } S = \{ \text{Anne}, \text{Yang} \}$

- Will  $(\text{Mike}, 20)$  be added to the resulting set?

Yes, because **Mike** is not in  $\text{dom } S$ .

Result (so far):

$\{ (\text{Anne}, 20), (\text{Yang}, 19), (\text{Mike}, 20) \}$

$R \oplus S$  is defined as a set that contains all pairs of  $S$  plus those pairs from  $R$  whose first coordinates do not belong to the domain of  $S$ .

$R = \{ (Mike, 20), (\text{Roger}, 18), (Anne, 23) \}$

$S = \{ (Anne, 20), (Yang, 19) \}$

$\text{dom } S = \{ Anne, Yang \}$

- Will  $(\text{Roger}, 18)$  be added to the resulting set?

Yes, because  $\text{Roger}$  is not in  $\text{dom } S$ .

Result (so far):

$\{ (Anne, 20), (Yang, 19), (Mike, 20), (Roger, 18) \}$

$R \oplus S$  is defined as a set that contains all pairs of  $S$  plus those pairs from  $R$  whose first coordinates do not belong to the domain of  $S$ .

$R = \{ (Mike, 20), (Roger, 18), (Anne, 23) \}$

$S = \{ (Anne, 20), (Yang, 19) \}$

$\text{dom } S = \{ Anne, Yang \}$

- Will  $(Anne, 23)$  be added to the resulting set?

No, because Anne is in  $\text{dom } S$ .

Final result:

$\{ (Anne, 20), (Yang, 19), (Mike, 20), (Roger, 18) \}$

- In this example, the two binary relations are both functions:

$R = \{ (Mike, 20), (Roger, 18), (Anne, 23) \}$ , and

$S = \{ (Anne, 20), (Yang, 19) \}$

- Is  $R \cup S$  a function? No.
- Is  $R \oplus S$  or  $S \oplus R$  a function? Yes.



# Example 5: Set Union vs. Relational Override

- Consider the following relations:

$S = \{ (\text{Ali}, 555), (\text{Ellie}, 100), (\text{Bruce}, 430) \}$

$\text{dom } S = \{ \text{Ali}, \text{Ellie}, \text{Bruce} \}, \text{ran } S = \{ 555, 100, 430 \}$

$T = \{ (\text{Bruce}, 400), (\text{Bruce}, 300), (\text{Ellie}, 999) \}$

$\text{dom } T = \{ \text{Bruce}, \text{Ellie} \}, \text{and } \text{ran } T = \{ 400, 300, 999 \}$

- Need to calculate  $S \oplus T$
- Will  $(\text{Ali}, 555)$  be added to the resulting set?  
Yes, because **Ali** is not in  $\text{dom } T$ .

Result (so far):

$\{ (\text{Bruce}, 400), (\text{Bruce}, 300), (\text{Ellie}, 999), (\text{Ali}, 555) \}$

- Consider the following relations:

$S = \{ (Ali, 555), (Ellie, 100), (Bruce, 430) \}$

$\text{dom } S = \{ Ali, Ellie, Bruce \}, \text{ran } S = \{ 555, 100, 430 \}$

$T = \{ (Bruce, 400), (Bruce, 300), (Ellie, 999) \}$

$\text{dom } T = \{ Bruce, Ellie \}, \text{and } \text{ran } T = \{ 400, 300, 999 \}$

- Need to calculate  $S \oplus T$
- Will  $(Ellie, 100)$  be added to the resulting set?  
No, because Ellie is in  $\text{dom } T$ .

Result (so far):

$\{ (Bruce, 400), (Bruce, 300), (Ellie, 999), (Ali, 555) \}$

- Consider the following relations:

$S = \{ (Ali, 555), (Ellie, 100), (\text{Bruce}, 430) \}$

$\text{dom } S = \{ Ali, Ellie, Bruce \}, \text{ran } S = \{ 555, 100, 430 \}$

$T = \{ (Bruce, 400), (Bruce, 300), (Ellie, 999) \}$

$\text{dom } T = \{ Bruce, Ellie \}, \text{ and } \text{ran } T = \{ 400, 300, 999 \}$

- Need to calculate  $S \oplus T$
- Will  $(\text{Bruce}, 430)$  be added to the resulting set?  
No, because Bruce is in  $\text{dom } T$ .

Final result:

$\{ (Bruce, 400), (Bruce, 300), (Ellie, 999), (Ali, 555) \}$

- In this example, it is not the case that both binary relations are functions:

$$\mathbf{S} = \{ (\text{Ali}, 555), (\text{Ellie}, 100), (\text{Bruce}, 430) \}$$

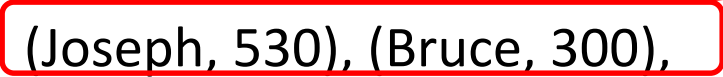
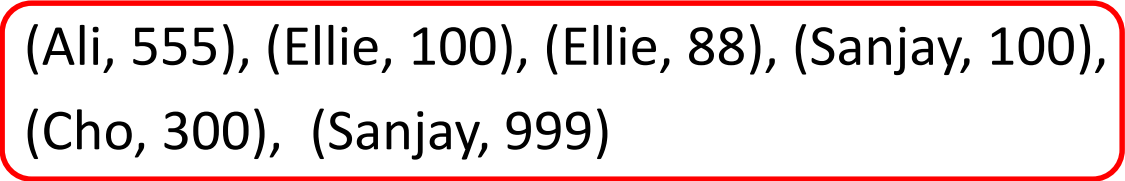
$$\mathbf{T} = \{ (\text{Bruce}, 400), (\text{Bruce}, 300), (\text{Ellie}, 999) \}$$

# Example 6: A final example on Relational Override

- Consider the following relations:

$$\mathbf{S} = \{ (\text{Ali}, 555), (\text{Ellie}, 100), (\text{Ellie}, 88), (\text{Sanjay}, 100), (\text{Cho}, 300), \\ (\text{Sanjay}, 999), (\text{Bruce}, 001) \}$$

$$\mathbf{T} = \{ (\text{Joseph}, 530), (\text{Bruce}, 300) \}$$

- $\mathbf{S} \oplus \mathbf{T} = \{$ 
  - $(\text{Joseph}, 530), (\text{Bruce}, 300),$   All the pairs of  $\mathbf{T}$ , ...
  - $(\text{Ali}, 555), (\text{Ellie}, 100), (\text{Ellie}, 88), (\text{Sanjay}, 100),$   
 $(\text{Cho}, 300), (\text{Sanjay}, 999)$   $\}$   
...plus those pairs from  $\mathbf{S}$   
whose first coordinate  
is not in the domain of  $\mathbf{T}$ .