

Two problems in combinatorics

A Thesis

Submitted to the
Tata Institute of Fundamental Research, Mumbai
for the degree of Doctor of Philosophy
in Mathematics

by

Pritam Majumder

School of Mathematics
Tata Institute of Fundamental Research
Mumbai

July 2020

Declaration

This thesis is a presentation of my original research work. Wherever contributions of others are involved, every effort is made to indicate this clearly, with due reference to the literature, and acknowledgement of collaborative research and discussions.

The work was done under the guidance of Dr. Amitava Bhattacharya, at the Tata Institute of Fundamental Research, Mumbai.

Pritam Majumder

In my capacity as supervisor of the candidate's thesis, I certify that the above statements are true to the best of my knowledge.

Dr. Amitava Bhattacharya

Date:

Contents

1	Introduction	1
1.1	Characterizing line graphs	1
1.2	Balanced decomposition of colored graphs	6
2	Characterizing line graphs of hypergraphs	15
2.1	Proof of the theorem	16
3	Balanced decomposition of colored graphs	25
3.1	Alternating reachability	28
3.2	Balanced decomposition	37

Chapter 1

Introduction

In this thesis we study two problems, one on characterizing line graph of uniform hypergraphs and another on efficiently decomposing an edge weighted bi-colored graph as a sum of closed alternating trails.

1.1 Characterizing line graphs

Finite graphs and hypergraphs have been extensively studied. Quite often one needs to consider an edge-to-vertex dual called a “line graph” (Whitney 1932, Krausz 1943). Given a simple graph $G(V, E)$ where V is a finite set of vertices and $E \subseteq \binom{V}{2}$, its *line graph* is $L_G(V, E)$, where $L_G(V) = E(G) =: \{e_1, e_2, \dots, e_m\}$ and $\{e_i, e_j\} \in L_G(E)$ if the intersection of the two edges e_i and e_j is non empty. For an example, consider Figure 1.1, where Figure 1.1b is the line graph of Figure 1.1a.

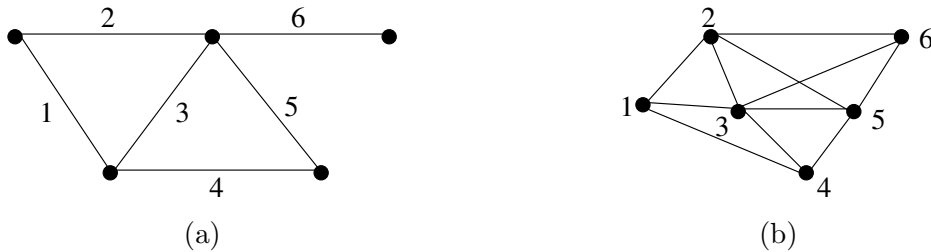


Figure 1.1

It is a natural question to ask: Given a simple graph $G_1(V, E)$, is it isomorphic to line graph of a simple graph $G_2(V, E)$? If so, how many such graphs $G_2(V, E)$ are there?

It is easy to see that the 3-claw graph (Figure 1.2a) is not the line graph of any simple graph. The line graph of a 3-claw is a triangle (Figure 1.2b) and the line graph of a triangle is also a triangle. It turns out that this is the only case where the line graph L_G does not uniquely determine G . It is also clear that any graph that contains a 3-claw as an induced subgraph cannot be the line graph of a simple graph.

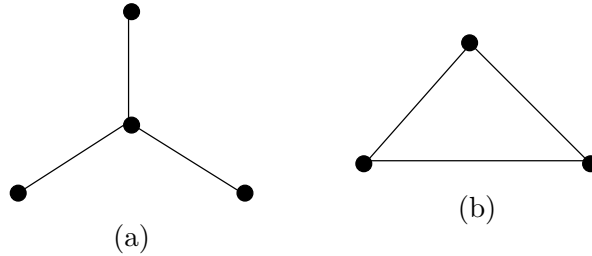


Figure 1.2

In 1968, Beineke in [3] characterized L_G by a finite list of forbidden induced subgraphs (such a characterization is called “finite characterization”). In particular he showed that any graph with at least 4 vertices, that does not contain the list of 9 forbidden subgraphs in Figure 1.3 is the line graph of a unique (up to isomorphism) simple graph. In 1973, Bermond and Mayer in [5] obtained finite characterization for finite graphs with multiple edges.

Krausz in [10] also gave a characterization of line graphs. He showed that there exists a collection of *cliques* (complete graphs) C_1, C_2, \dots, C_k in the line graph L_G such that vertices of G are in one-to-one correspondence with the cliques C_1, C_2, \dots, C_k and two vertices in G lie on an edge if the corresponding cliques intersect. For example, Figure 1.4b gives the clique partition (4 different cliques are indicated by different types of lines) of the line graph of Figure 1.4a.

We now consider the k -uniform hypergraph generalization of this problem.

Let $H = (X, E)$ be a simple hypergraph, where X is a finite set (called *vertices*) and E is a collection of subsets (called *edges* or *hyper-edges*) of X . We say that H is k -uniform if $E \subseteq \binom{X}{k}$. We define *line graph* of a hypergraph $H = (X, E)$ (denoted by $L(H)$) to be a graph where $V(L(H)) = E$ and $E(L(H))$ is the set of all unordered

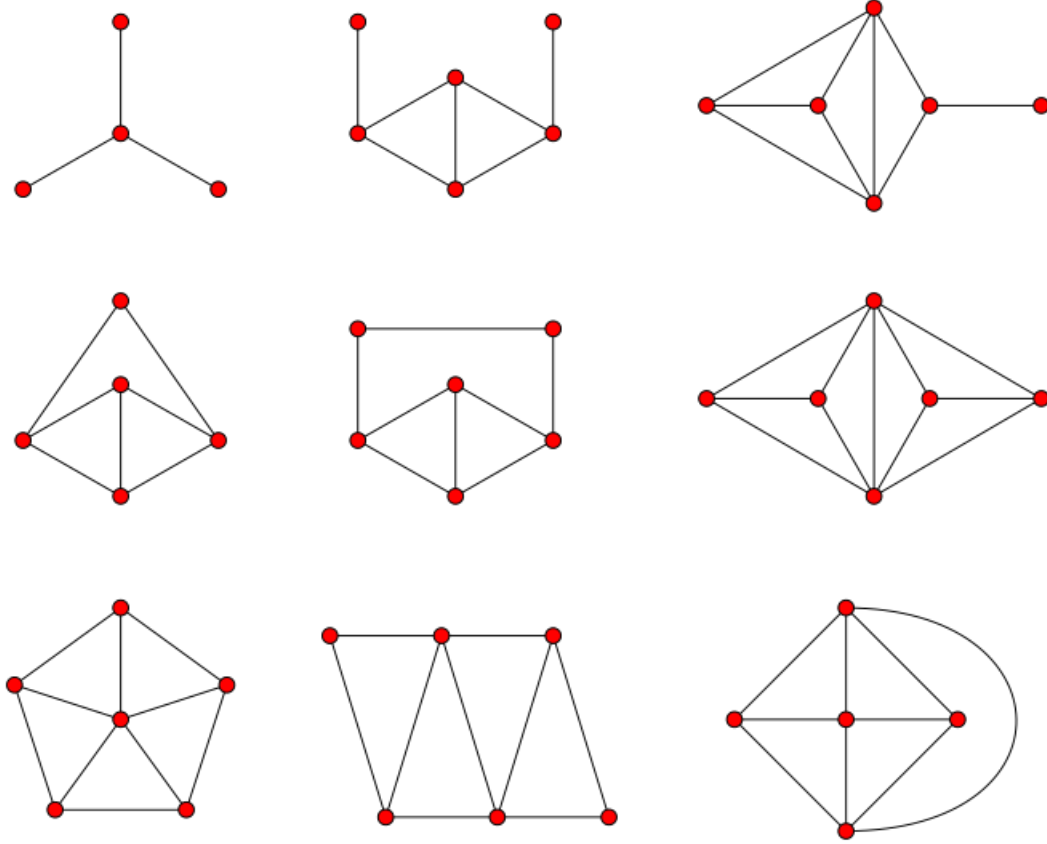
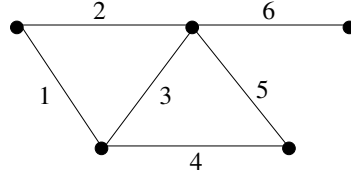


Figure 1.3

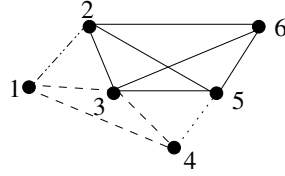
pairs $\{e, e'\}$ of distinct elements of E such that $e \cap e' \neq \emptyset$ in H . Let L_k denote the set of line graphs of k -uniform hypergraphs. It is a classical problem to characterize these families. It seems that this problem is very difficult. A simpler case seems to be the class of “linear hypergraphs”.

A hypergraph H is called *linear* hypergraph if every pair of distinct vertices of H is in at most one edge of H (i.e. *pair degree* is at most 1). It may be observed that 2-uniform linear hypergraphs are simple graphs. An example of a linear hypergraph and its line graph is given in Figure 1.5.

As in the case of graphs, it may be observed that $k+1$ -claw cannot be the line graph of a k -uniform linear hypergraph. It may be observed that the graph in Figure 1.6 is

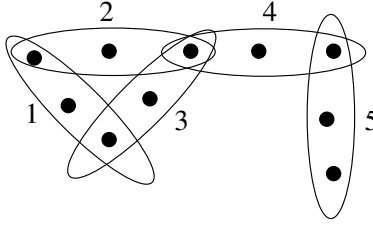


(a)

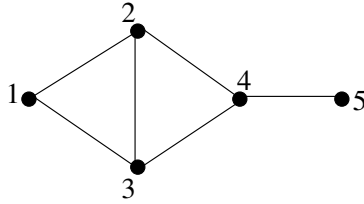


(b)

Figure 1.4



(a)



(b)

Figure 1.5

also forbidden. This idea can be extended to construct an infinite family (Figure 1.7) that is forbidden.

In 1977, Lovász in [11] showed that for $k \geq 3$, the class L_k^l (line graphs of k -uniform linear hypergraphs) has no finite forbidden graph characterization. Niak et al. ([17]) in 1982 obtained finite characterization for L_3^l with $\delta \geq 69$, where δ

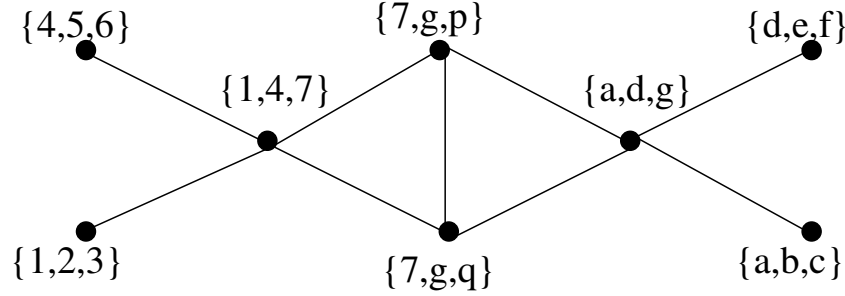


Figure 1.6

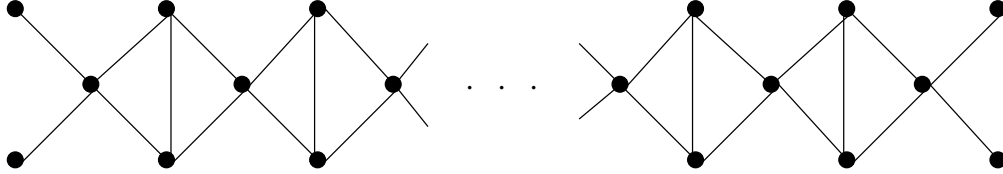


Figure 1.7

represents minimum vertex degree in graph. Skums et al. ([21]) in 2009 obtained finite characterization for L_3^l with $\delta \geq 16$. Metelsky ([15]) in 2017 proved that for $k \geq 4$ and $a \in \mathbb{Z}_{>0}$, L_k^l with $\delta > a$ has no finite characterization.

In this work we consider *non linear* hypergraphs with pair degree of the vertices bounded by some integer greater than 1. We show that a finite characterization is possible if we consider line graphs with a certain minimum *edge-degree* (where edge-degree of an edge in a graph is the number of distinct triangles containing that edge). We show this for more general families than L_k^l . For a hypergraph $H = (X, E)$ we define

$$\Delta_2(H) = \max_{\{x,y\} \subseteq X} d_H(\{x,y\}),$$

where $d_H(\{x,y\})$ is the number of edges in H containing x, y . Let $L_k^{(p)}$ denote the set of line graphs of k -uniform hypergraphs with $\Delta_2(H) \leq p$. Note that $L_k^{(1)} = L_k^l$, since H is linear if $\Delta_2(H) = 1$.

By observing some of the infinite families of the forbidden graphs we define three forbidden families.

1. $\mathcal{F}_1(p, k)$ denote the set of graphs G of order $pk^2 + 3$ with two non-adjacent

vertices u, v such that $N(\{v, w\}) = V(G) \setminus \{v, w\}$,

2. $\mathcal{F}_2(p, k)$ denote the set of graphs G of order $pk^2 + (p - 2)k + 3$ containing a maximal clique K of size $pk^2 + (p - 2)k + 2$ and a $v \notin K$ such that v is adjacent to at least $pk + 1$ vertices of K ,
3. $\mathcal{F}_3(p, k)$ denote the set of graphs G of order less than $2(pk^2 + (p - 2)k + 2)$ containing a pair of distinct maximal cliques K_1, K_2 of size $pk^2 + (p - 2)k + 2$ such that $|V(K_1) \cap V(K_2)| \geq p + 1$.

It may be checked that these families are indeed forbidden. We show the converse. In particular we prove the following theorem.

Theorem 1.1.1. *There is a polynomial $f(k, p)$ of degree at most 4 with the property that, given any pair k, p , there exists a finite family $\mathcal{F}(k, p)$ of forbidden graphs such that any graph G with minimum edge-degree at least $f(k, p)$ is a member of $L_k^{(p)}$ if and only if G has no induced subgraph isomorphic to a member of $\mathcal{F}(k, p)$.*

Let $\mathcal{F}(p, k) = \mathcal{F}_1(p, k) \cup \mathcal{F}_2(p, k) \cup \mathcal{F}_3(p, k) \cup \{k+1\text{-claw}\}$. We prove our theorem with this $\mathcal{F}(p, k)$ and $f(k, p) = pk^3 + (p - 3)k + 1$. To show the other direction we use the idea of “clique partition” for line graphs due to Krausz. This is given by the following characterization of line graphs: A graph $G \in L_k^{(p)}$ if and only if there is a set of cliques $\mathcal{K} : K_1, K_2, \dots, K_r$ of G such that (1) every edge belongs to at least one member of \mathcal{K} , (2) every vertex belongs to at most k members of \mathcal{K} , (3) if K_i, K_j are distinct elements of \mathcal{K} , then $|V(K_i) \cap V(K_j)| \leq p$. To prove our theorem we define \mathcal{K} to be the set of all maximal cliques in G of size at least $pk^2 + (p - 2)k + 2$ and show that \mathcal{K} satisfies the conditions (1), (2) and (3).

This is a joint work with Amitava Bhattacharya, Aloysius Godinho and Navin M. Singhi.

1.2 Balanced decomposition of colored graphs

One of the central topics in combinatorial optimization is network flows. It has multiple formulations. We present the formulation that motivated this work. A directed graph $D(V, E)$ has finite set of vertices V , finite set of edge labels $\{e_1, e_2, \dots, e_m\}$

and each edge label corresponds to an element of $V \times V - \Delta$ (where $\Delta := \{(v, v) : v \in V\}$). We refer to the first coordinate of an edge as *start* and second coordinate as *end* vertex. Note that “multiple” edges are allowed in this definition.

A *network* is a quadruple $N(D, c, s, t)$, where $D = D(V, E)$ is a directed graph, $c : E(D) \rightarrow \mathbb{Q}_{\geq 0}$ is a map, s a special vertex call *source* and t a special vertex called *sink*. By a *circuit* we mean $C = (v_0, e_1, v_1, e_2, v_2, \dots, e_m, v_0)$, $m \geq 0$, where $v_i \in V$ are distinct for all i , $e_j = (v_{j-1}, v_j)$ for all $j < m$, $e_m = (v_{m-1}, v_0)$. For undirected graphs the $e_j = \{v_{j-1}, v_j\}$, for all $j < m$, $e_m = \{v_{m-1}, v_0\}$.

An equivalent form of the Flow Theorem is the Hoffman Circulation Theorem. It may be stated as below.

Theorem 1.2.1. (*Hoffman*) Let $D = (V, E)$ be a directed graph and let \mathcal{C} be its collection of directed circuits. Let $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfy $u \geq \ell \geq 0$; then the following are equivalent:

1. there exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{c \in \mathcal{C}} \alpha(C) f_C \geq \ell$;
2. for each $X \subseteq V$, $u(\partial^+(X)) \geq \ell(\partial^+(V - X))$.

where $f_C : E \rightarrow \mathbb{Q}_{\geq 0}$ is the characteristic function on C , i.e. $f_C(e) = 1$ if $e \in C$, 0 otherwise and $\partial^+(S) := \{(x, y) \in E : x \in S \text{ and } y \notin S\}$, for every $S \subseteq V$.

For example, for the graph in Figure 1.8a with u, ℓ as mentioned, the assignment α as in Figure 1.8b satisfies both conditions of the above theorem.



Figure 1.8

Seymour in [20] considered undirected version of this Theorem. Given an undirected graph $G(V, E)$, and u, ℓ maps from $E \rightarrow \mathbb{Q}_{\geq 0}$ when can we write it as a “sum

of circuits” that is: There exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{c \in \mathcal{C}} \alpha(C) f_C \geq \ell$, where \mathcal{C} is the set of all circuits in $G(V, E)$.

Let $V = V_1 \cup V_2$ be a partition of the vertex set. Let $B \subseteq E(G)$ be the set of edges that have one end point in V_1 and another in V_2 . This set B is call a *cut*. For any graph that can be written as a sum of circuits, it is clearly necessary that for every cut B and every edge $e \in B$ the following inequality holds (see Figure 1.9):

$$\ell(e) \leq \sum_{f \in B - \{e\}} u(f).$$

Seymour proved that it is also sufficient.

Theorem 1.2.2. (*Seymour*) *Let $G = (V, E)$ be a simple graph and let \mathcal{C} be its collection of circuits. Let $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfy $u \geq \ell \geq 0$ and f_C denote the characteristic function on C ; then the following are equivalent:*

1. *there exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{c \in \mathcal{C}} \alpha(C) f_C \geq \ell$;*
2. *for each cut B and each $e \in B$; $u(B - \{e\}) \geq \ell(e)$.*

For example, the graph in Figure 1.9 can be written as sum of circuits as in Figure 1.10.

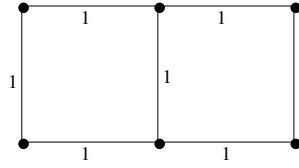


Figure 1.9

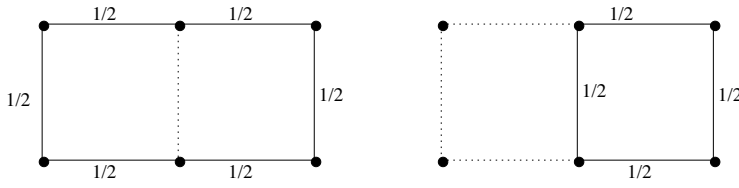


Figure 1.10

We consider this question in 2-colored weighted graphs. Let $G(V, E)$ be a graph (the graph may have multiple edges) with $\mathcal{C} : E \rightarrow \{R, B\}$ (the edges are colored red or blue) and two functions $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$. In this case there is no direction on the edges but one may define “balance at a vertex”. More formally, at every vertex the sum of weights of the blue edges is equal to the sum of weights of the red edges. This is similar to the notion of *conservation of flow*, where at every vertex inflow is equal to outflow. Motivated by the network flow results and the Seymour’s Theorem on “Sums of Circuits” we consider the following natural question.

Question 1.2.1. *Given $G(V, E)$ a graph (may have multiple edges) with $\mathcal{C} : E \rightarrow \{R, B\}$, two functions $\ell, u : E \rightarrow \mathbb{Q}_{\geq 0}$ with $\ell(e) \leq u(e)$ does there exist $w : E \rightarrow \mathbb{Q}_{\geq 0}$ with*

1. $\ell(e) \leq w(e) \leq u(e)$ and
2. *for all vertices $v \in V$, $\sum_{e \in E_R(v)} w(e) = \sum_{e \in E_B(v)} w(e)$, where $E_R(v)$, $E_B(v)$ denotes the set of R , B colored edges incident on v respectively.*

The key step in proving Hoffman circulation theorem is characterization of reachability from a vertex u to a vertex v by a directed path. In particular if a vertex v is reachable from vertex u then there is a simple algorithm that can produce such a path. If v is not reachable from u then there exists a partition of $V = V_1 \cup V_2$ such that $u \in V_1$, $v \in V_2$ and there are no edges are there starting from a vertex in V_1 and ending in a vertex in V_2 . A similar theory is also needed to solve the above Question.

Let $G = (V, E)$ be a graph with vertex set V and edge set $E \subseteq \binom{V}{2}$. The *coloring* of the edges is given by the map $c : E \rightarrow \{R, B\}$. Let $S \subseteq V$, called *terminals*. An *alternating trail* connecting $s, t \in V$ is defined as the sequence

$$W = (v_0 = s, e_1, v_1, e_2, v_2, \dots, e_m, v_m = t), \quad m \geq 0,$$

where $v_i \in V$ for all i , $e_j \in E$ for all j , e_j s are distinct, and $c(e_j) \neq c(e_{j+1})$ for each $j = 1, \dots, m-1$. The alternating trail W is called *closed* if $v_0 = v_m$ and $c(e_m) \neq c(e_1)$.

We are interested in the question: When can we find alternating trail connecting distinct terminals? Next we define Tutte Sets which are the obstacles to such trails.

A subset $A \subseteq (V - S)$ is a *Tutte set* when

- (i) each component of $G - A$ has at most one terminal.
- (ii) A can be written as a disjoint union $A = \dot{\bigcup}_{c \in C} A(c)$

such that conditions (a), (b), and (c) below hold.

A vertex $u \in A$ is said to have *color* c if $u \in A(c)$. An edge $e \in E$ is said to be *mismatched* if e connects a vertex $u \in A$ with a vertex $v \in V - A$ and e is different from the color of u , or e connects two vertices $u, v \in A$ and e is different from both the colors of u and of v .

Conditions (a), (b), and (c) are as follows:

- (a) if H is a component of $G - A$ containing a terminal, then there is no mismatched edge with an endpoint in H .
- (b) if H is a component of $G - A$ containing no terminals, then there is at most one mismatched edge with an endpoint in H .
- (c) there are no mismatched edges with both endpoints in A .

Figure 1.11 gives an example of Tutte set.

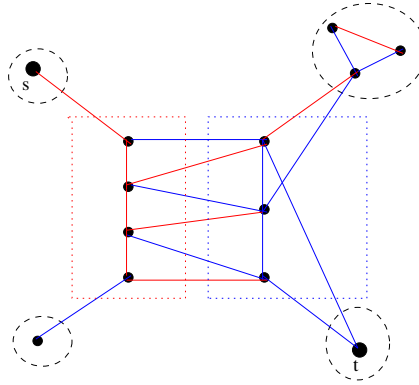


Figure 1.11

Then leads to the following theorem.

Theorem 1.2.3. (*[8],[22],[23]*) *There is no alternating trail connecting distinct terminals in G if and only if there is a Tutte Set in G .*

Suppose there is a Tutte set A in G . Let T be an alternating $s - t$ trail in G . Then from the definition of Tutte set it follows that, the first time T enters A , it must be via an edge that is not mismatched and every time T leaves A , it must be via a mismatched edge. Thus T can never reach the destination component containing t , a contradiction. For example, in Figure 1.11 one can never reach from s to t via an alternating trail. To prove the converse we reduce this problem to the special case of finding maximum matching in a graph. For this we define a new graph $G' = (V', E')$ together with a matching M such that G has an alternating trail if and only if G' has an M -augmenting path. This construction is due to Jácint Szabó (private communication). See Figure 1.12 for an example. Then if G has no alternating trail, the matching M in G' is maximum. Using Edmonds' algorithm (see [12]), we get a “maximal blossom forest” w.r.t. M in G' . From this maximal blossom forest in G' we extract our desired Tutte set of G . The converse part can also be proved using a colored generalization of Edmonds' blossom forest algorithm, but the above approach is shorter.

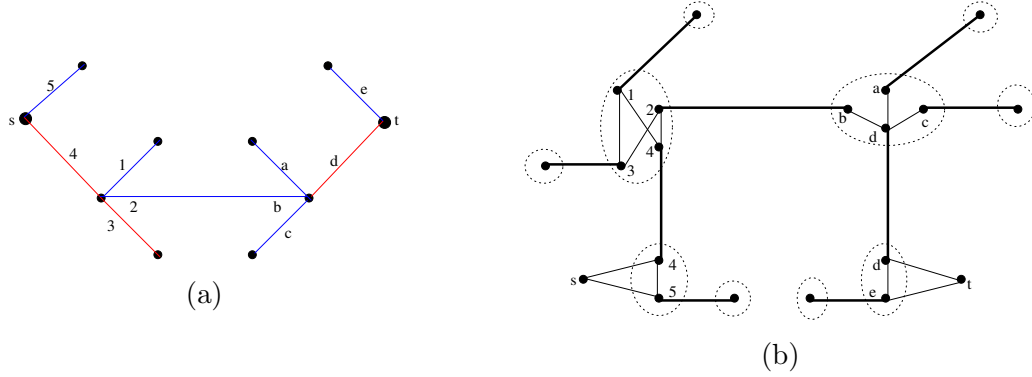


Figure 1.12

Let $G = (V, E)$ be a bi-coloured graph with colouring $c : E \rightarrow \{R, B\}$. Then we define:

- *Alternating cone* ($\mathcal{A}(G, c)$) to be the set of all assignments of non-negative real weights to every edge so that at every vertex, the sum of weights of the incident red edges equals the sum of weights of the incident blue edges.

- *Cone of closed alternating trails* ($\mathcal{T}(G, c)$) to be the cone generated by the characteristic vectors of closed alternating trails (CAT) in (G, c) .
- *Cycle cone* ($\mathcal{L}(G, c)$) to be the cone generated by the characteristic vectors of the cycles in G .

The cone $\mathcal{A}(G, c)$ (namely its dimension, extreme rays, facets etc.) was studied in [6]. Note that $\mathcal{T}(G, c) \subseteq \mathcal{A}(G, c) \cap \mathcal{L}(G, c)$: Clearly every characteristic vector of CAT is in $\mathcal{A}(G, c)$ and if we ignore the colours, the edge-set of the CAT is a disjoint union of the edge-sets of some cycles. Conversely, let $w \in \mathcal{A}(G, c) \cap \mathcal{L}(G, c)$. Then w satisfies:

1. *balance condition*: $\sum_{e \in E_R(v)} w(e) = \sum_{e \in E_B(v)} w(e)$, at each vertex $v \in V$ (where $E_R(v), E_B(v)$ denotes the set of R, B colored edges incident on v respectively),
2. *cut condition*: $w(e) \leq w(B - \{e\})$ for each cut B and for each edge e in B (where a *cut* is the set of edges between X and $V \setminus X$ for some $\emptyset \neq X \subsetneq V$).

Then we want to show that $w \in \mathcal{T}(G, c)$. This follows from the following theorem.

Theorem 1.2.4. *Let $G(V, E)$ be a 2-colored simple graph with coloring c and let \mathcal{T} be the collection of closed alternating trails in G . Let $w : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfies the balance and the cut conditions. Then we can find, in polynomial time, an assignment $\alpha : \mathcal{T} \rightarrow \mathbb{Q}_{\geq 0}$ such that $\sum_{T \in \mathcal{T}} \alpha(T) f_T = w$, where $f_T : \mathcal{T} \rightarrow \mathbb{Q}_{\geq 0}$ denotes characteristic function on T (i.e. $f_T(e) = 1$ if $e \in T$ and 0 otherwise).*

In particular, as a corollary, we get that $\mathcal{T}(G, c) = \mathcal{A}(G, c) \cap \mathcal{L}(G, c)$.

The uncolored version of the above theorem was considered in [2]. In [8] it was shown that a balanced sum of cycles is a fractional sum of balanced subgraphs.

The main ideas of the proof of the theorem are as follows.

First, we try to find a *tight cut* i.e. a cut D with an edge (called *tight edge*) $e \in D$ satisfying $w(e) = w(D - \{e\})$. This is done by finding minimum cut for the graph $(V, E \setminus \{e\})$ for every edge e . This takes time $O(|E||V|^3)$.

Suppose we found a tight cut D between X and $V \setminus X$ for some $X \subsetneq V$. Then we define two edge-weighted bi-colored graphs (G_X, c_1, w_1) and $(G_{V \setminus X}, c_2, w_2)$ and divide our problem into two subproblems for these graphs with fewer vertices. We

recursively solve the problem for (G_X, c_1, w_1) and $(G_{V \setminus X}, c_2, w_2)$ and combine their solution for a solution of the overall problem.

Now suppose no such tight cut is found. Then we find a CAT using alternate reachability. Using Edmonds Blossom approach this can be done in $O(|V|^3)$ time. A key result needed in this step is the following.

Theorem 1.2.5. ([8]) *Let $G(V, E)$ be a bridgeless graph where every edge is colored either red or blue. Suppose at every vertex there is at least one red edge and at least one blue edge incident on it. Then it contains a closed alternating trail.*

After we have chosen a closed alternating trail T , we subtract the maximum “possible” we can from the weights of each edge of T and we recursively solve the problem for resulting graph. To find the maximum weight that can be subtracted without violating the cut condition we need to solve the following ratio minimization problem.

$$\text{minimize } \frac{a_0 + a_1x_1 + \cdots + a_nx_n}{b_0 + b_1x_1 + \cdots + b_nx_n} \quad \text{subject to } x \in F,$$

where $a_0 = -2w(e)$, $b_0 = -2$; for each edge f , $a_f = w(f)$ and $b_f = 1$ if $f \in T$, $b_f = 0$ otherwise. F is the set of $|E|$ -dimensional 0-1 vectors such that edges corresponding to 1 form a cut.

This step requires care. This ratio optimization can be solved in time $O(|V|^7)$ using a general algorithm due to Megiddo ([14]).

Using these two algorithms a graph $G(V, E, w, c)$ satisfying cut and balance conditions can be written as a rational sum of CAT's in time $O(|V|^9)$.

This is a joint work with Amitava Bhattacharya, Uri N. Peled and Murali K. Srinivasan.

Chapter 2

Characterizing line graphs of hypergraphs

Let $X = \{x_1, x_2, \dots, x_n\}$ be a finite set. A *hypergraph* on X is a family $E = \{E_1, E_2, \dots, E_m\}$ of non-empty subsets of X . Elements of X are called the vertices, while those of E are called the edges of H .

A hypergraph $H = (X, E)$ is said to be *k-uniform* if $E \subseteq \binom{X}{k}$, the set of all k -subsets of X , where $k \geq 2$. A hypergraph H is said to be *linear* if every pair of distinct vertices of H is in at most one edge of H . A 2-uniform linear hypergraph is called a graph.

Definition 2.0.1. *The line graph of a hypergraph $H = (X, E)$, denoted by $L(H)$, is the graph where $V(L(H)) = E$ and $E(L(H))$ is the set of all unordered pairs $\{e, e'\}$ of distinct elements of E such that $e \cap e' \neq \emptyset$ in H .*

We denote the set of line graphs of k -uniform hypergraphs and k -uniform linear hypergraphs by L_k and L_k^l respectively.

For a graph G , we shall denote its vertex set by $V(G)$, while the edge set will be denoted by $E(G)$. The degree of an edge xy is defined to be the number of distinct triangles in G containing the edge. The minimum edge degree in the graph is denoted by $\delta_e(G)$ or simply δ_e . For $W \subset V(G)$, $N(W)$ denotes the subset of vertices in G which are adjacent to every vertex in W . A clique in G refers to both a set of pairwise adjacent vertices and the corresponding induced complete subgraph. The size of a clique is the number of vertices in the clique.

The problem of characterizing the class of graphs L_k has been studied for a very long time. Beineke [3] in his classical work on line graphs characterized the class L_2^l by a finite list of forbidden induced subgraphs (finite characterization). This was later expanded upon by Bermond and Meyer [5] who obtained a finite characterization for the class L_2 (intersection graphs of multigraphs). Lovász [11] showed that for $k \geq 3$ the class L_k^l has no finite characterization. Niai *et al.* [17] obtained a finite characterization for the set of graphs in L_3^l with $\delta \geq 69$, where δ represents the minimum vertex degree in a graph. This was further improved by Skums *et al.* [21] who obtained a finite characterization for graphs in L_3^l with $\delta \geq 16$. Metelsky [15] proved that for $k \geq 4$ and any positive integer a , the set of graphs in L_k^l with $\delta \geq a$ has no finite characterization.

For a hypergraph $H = (X, E)$ and $z \in X$, the degree $d_H(z)$ of z is defined to be the number of edges of H containing z , the maximum degree of the hypergraph H is denoted by $\Delta(H) = \max_{z \in X} d_H(z)$. Similarly, for a pair of vertices $\{x, y\} \subset X$, we define the pair degree $d_H(\{x, y\})$ to be the number of edges in H containing the pair $\{x, y\}$. We denote the maximum pair degree in H by $\Delta_2(H) = \max_{\{x, y\} \subset X} d_H(\{x, y\})$. $\Delta_2(H)$ is called the *multiplicity* of the hypergraph H . A hypergraph is linear if $\Delta_2(H) = 1$. Denote the set of intersection graphs of k -uniform hypergraphs with $\Delta_2(H) \leq p$ by $L_k^{(p)}$. Observe that for $p > 2$, $L_k^l \subset L_k^{(2)} \subset \dots \subset L_k^{(p)}$.

In this chapter we prove the following main theorem:

Theorem 2.0.1. *There is a polynomial $f(k, p)$ of degree at most 4 with the property that, given any pair k, p , there exists a finite family $\mathcal{F}(k, p)$ of forbidden graphs such that any graph G with minimum edge-degree at least $f(k, p)$ is a member of $L_k^{(p)}$ if and only if G has no induced subgraph isomorphic to a member of $\mathcal{F}(k, p)$.*

2.1 Proof of the theorem

Lemma 2.1.1. *If $G \in L_k^{(p)}$ then the G does not contain a $k + 1$ claw.*

Proof. Let $H = (X, E)$ be a k -uniform hypergraph with $\Delta_2(H) \leq p$ such that $G = L(H)$. Let $\langle x; y_1, y_2, \dots, y_r \rangle$ be a claw in G . If $x = \{x_1, x_2, \dots, x_k\} \in E$, then $x \cap y_i \neq \emptyset$ for every $i = 1, 2, \dots, r$. Since each distinct pair y_i, y_j are non-adjacent in G , $y_i \cap y_j = \emptyset$ in E . Therefore it follows that $r \leq k$. \square

Figure 2.1 and Figure 2.2 illustrates the above lemma. Note that the hyperedge 0 has $k + 1$ vertices and hence the hypergraph fails to be k -uniform.

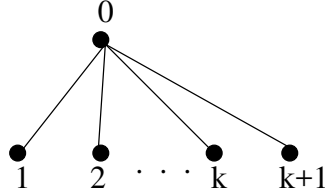


Figure 2.1

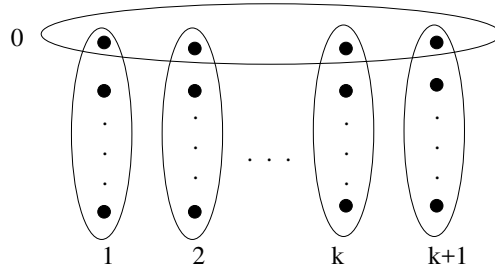


Figure 2.2

Lemma 2.1.2. *If $G \in L_k^{(p)}$ and $a, b \in V(G)$ such that $ab \notin E(G)$, then $|N(\{a, b\})| \leq pk^2$ (where $N(\{a, b\})$ denotes the set of vertices adjacent to both a and b).*

Proof. Let $H = (X, E)$ be a k -uniform hypergraph with $\Delta_2(H) \leq p$ such that $G = L(H)$. Let $a = \{a_1, a_2, \dots, a_k\}, b = \{b_1, b_2, \dots, b_k\} \in E$ and $a \cap b = \emptyset$. Now for every $v \in N(\{a, b\})$, $v \cap a, v \cap b \neq \emptyset$ in E . Therefore there is an $a_i \in a$ and $b_j \in b$ such that the pair $\{a_i, b_j\} \subset x$ in E . The number of such pairs is k^2 and given that each pair can appear in at most p edges, the result follows. See Figure 2.3 and Figure 2.4. \square

Next we prove the following lemma, which says that “large cliques” (Figure 2.5a) in the line graph come from structures like Figure 2.5b in the hypergraph.

Lemma 2.1.3. *Let $G \in L_k^{(p)}$ such that $G = L(H)$, $H = (X, E)$. If K is a clique of size at least $pk^2 + (p - 2)k + 2$ in G , then there is an $x \in X$ such that $x \in v$ for every $v \in K$.*

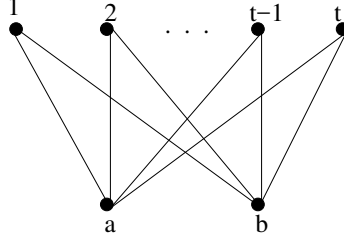


Figure 2.3

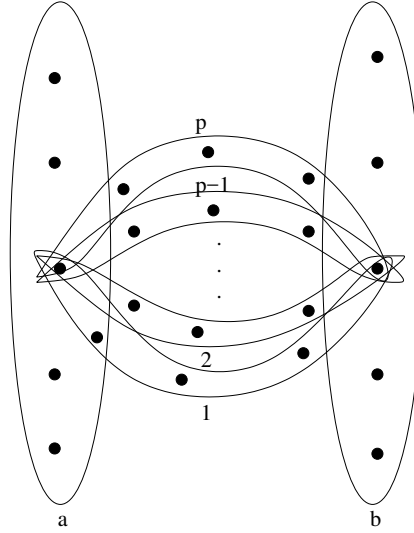


Figure 2.4

Proof. Let $x = \{x_1, x_2, \dots, x_k\} \in E$ be a vertex in K . Since K is a clique, every vertex in K contains at least one element of x . Now using a pigeon hole argument, given that $|V(K)| \geq pk^2 + (p-2)k + 2$ and $p \geq 1$, it follows that there is an $x_t \in x$ such that x_t is in at least $kp + 1$ vertices of K (including x). Let $L = \{v_1, v_2, \dots, v_{kp+1}\}$ be a set of $kp + 1$ vertices such that $x_t \in v_i$ for every $1 \leq i \leq kp + 1$. Let $y = \{y_1, y_2, \dots, y_k\} \in V(K) \setminus L$ such that $x_t \notin y$. Since y is adjacent to every vertex in L , $y \cap v_i \neq \emptyset$ for every i . Now for each i , the pair x_t, y_i can appear in at most p edges and the number of such pairs is k . Hence it follows that a pair x_t, y_l appears at least $p + 1$ vertices of L . \square

Lemma 2.1.4. *Let $G \in L_k^{(p)}$ and K be a maximal clique of size at least $pk^2 + (p-2)k + 2$. If $v \in V(G)$ such that $v \notin K$, then v can be adjacent to at most pk vertices*



Figure 2.5

in K .

Figure 2.6 illustrates this lemma.

Proof. Let $G \in L_k^{(p)}$ such that $G = L(H)$, $H = (X, E)$. Since $|V(K)| \geq pk^2 + (p - 2)k + 2$, by Lemma 2.1.3 there is an $x \in X$ such that $x \in v$ for every $v \in K$. Suppose $y \notin K$ is adjacent to $pk + 1$ vertices in K then using a similar argument as in the previous proof it follows that $x \in y$. This contradicts the maximality of K . \square

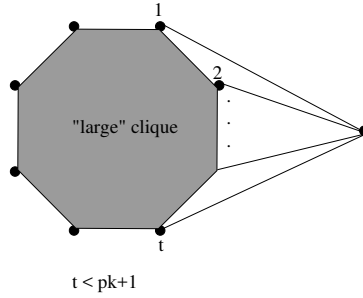
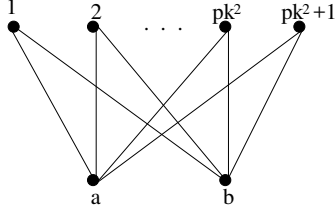


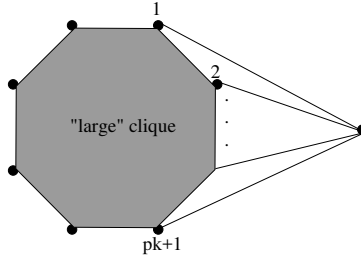
Figure 2.6

Next we define the following three forbidden families.

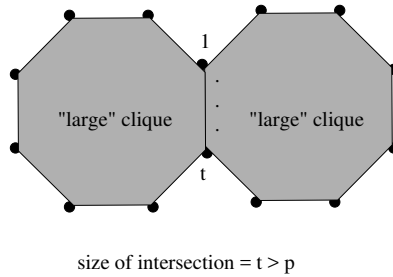
1. $\mathcal{F}_1(p, k)$ denote the set of graphs G of order $pk^2 + 3$ with two non-adjacent vertices u, v such that $N(\{v, w\}) = V(G) \setminus \{v, w\}$,

Figure 2.7: $\mathcal{F}_1(p, k)$

2. $\mathcal{F}_2(p, k)$ denote the set of graphs G of order $pk^2 + (p - 2)k + 3$ containing a maximal clique K of size $pk^2 + (p - 2)k + 2$ and a $v \notin K$ such that v is adjacent to at least $pk + 1$ vertices of K ,

Figure 2.8: $\mathcal{F}_2(p, k)$

3. $\mathcal{F}_3(p, k)$ denote the set of graphs G of order less than $2(pk^2 + (p - 2)k + 2)$ containing a pair of distinct maximal cliques K_1, K_2 of size $pk^2 + (p - 2)k + 2$ such that $|V(K_1) \cap V(K_2)| \geq p + 1$.

Figure 2.9: $\mathcal{F}_3(p, k)$

Let $\mathcal{F}(p, k) = \mathcal{F}_1(p, k) \cup \mathcal{F}_2(p, k) \cup \mathcal{F}_3(p, k) \cup \{k + 1\text{-claw}\}$.

Lemma 2.1.5. *If $G \in L_k^{(p)}$, then G does not contain an induced subgraph from the set $\mathcal{F}(p, k)$.*

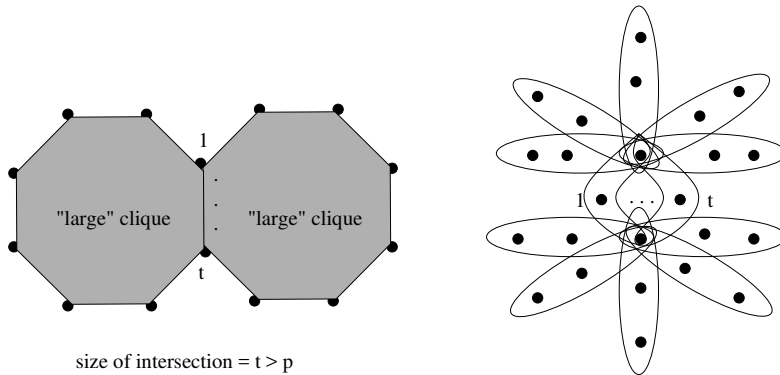
Proof. Suppose $G_1 \in \mathcal{F}_1(p, k)$ is an induced subgraph of G . Let x, y be non-adjacent vertices in G_1 such that $N_{G_1}(\{x, y\}) = V(G_1) \setminus \{x, y\}$. Then it follows that

$$|N_G(\{x, y\})| \geq pk^2 + 1.$$

Since $xy \notin E(G)$, this contradicts Lemma 2.1.2.

Let $G_2 \in \mathcal{F}_2(p, k)$ be an induced subgraph of G . Let K be a maximal clique of size $pk^2 + (p - 2)k + 2$ in G_2 and let $w \in V(G_2) \setminus K$ such that w is adjacent to at least $pk + 1$ vertices of K . There exists a maximal clique K' in G such that $K \subset K'$. Since $|K'| \geq pk^2 + (p - 2)k + 2$, by Lemma 2.1.3 there exists a $x \in X$ such that $x \in v$ for every $v \in K'$. Now since $w \notin K$ and both K and K' are maximal cliques, it follows that $w \notin K'$. Further w is adjacent to at least $pk^2 + 1$ vertices of K' this contradicts Lemma 2.1.4.

Let $G_3 \in \mathcal{F}_3(p, k)$ be an induced subgraph of G . Let K_1, K_2 be maximal cliques in G_3 of order $pk^2 + (p - 2)k + 2$ such that $|V(K_1) \cap V(K_2)| \geq kp + 1$. There exists maximal cliques K'_1, K'_2 in G such that $K_1 \subset K'_1$ and $K_2 \subset K'_2$. By Lemma 2.1.3 there exists $x_1, x_2 \in X$ such that $x_1 \in v$ for every $v \in K_1$ and $x_2 \in v$ for every $v \in K_2$. Since K'_1, K'_2 are distinct maximal cliques in G it follows that $x_1 \neq x_2$. Therefore if $|V(K'_1) \cap V(K'_2)| \geq |V(K_1) \cap V(K_2)| \geq p + 1$ it follows that the pair x_1, x_2 appears in at least $p + 1$ distinct vertices in G which is a contradiction (see the following figure). \square



To show the other direction of the Theorem, we use the idea of “clique partition” for line graphs due to Krausz. We present a characterization of the members of the family $L_k^{(p)}$ which is a generalization of the criterion described in Berge [4].

Proposition 2.1.1. *A graph $G \in L_k^{(p)}$ if and only if there is a collection of cliques $\mathcal{K} : K_1, K_2, \dots, K_r$ of G such that;*

- (i) *Every edge belongs to at least one member of \mathcal{K} .*
- (ii) *Every vertex belongs to at most k members of \mathcal{K} .*
- (iii) *If K_i, K_j are distinct elements of \mathcal{K} , then $|V(K_i) \cap V(K_j)| \leq p$.*

Proof. Let G be a graph and $\mathcal{K} : K_1, K_2, \dots, K_r$ be a collection of cliques in G satisfying (i)-(iii). For every $v \in V(G)$, let $g(v)$ denote the number of cliques in \mathcal{K} containing the vertex v . From (ii) $g(v) \leq k$. Construct \mathcal{K}' from \mathcal{K} by adding $k - g(v)$ copies of $\{v\}$, for every $v \in V$. For $x \in V$, define $E_x = \{K \in \mathcal{K}' \mid x \in K\}$. Let $H = (X, E)$ be the hypergraph with $X = \mathcal{K}'$ and $E = \{E_x \mid x \in V\}$. Since each E_x has cardinality k , it follows that H is k -uniform. Suppose ab is an edge in G , from (i) the edge ab appears in at least one element of \mathcal{K} , therefore $E_a \cap E_b \neq \emptyset$. Finally for every distinct pair $K_1, K_2 \in \mathcal{K}'$, $|V(K_1) \cap V(K_2)| \leq p$, therefore the pair K_1, K_2 appear in at most p edges of H . Hence $\Delta_2(H) \leq p$.

For the converse, let $H = (X, E)$ be a k -uniform hypergraph with $\Delta_2(H) \leq p$. For every $x \in X$ define $E_x = \{e \in E \mid x \in e\}$. Let \mathcal{K} be the collection of all such sets E_x which are non-empty. Let G be the intersection graph of H . It is clear that \mathcal{K} defines a collection of cliques in G . We shall show that this collection satisfies (i)-(iii). Let $e_1, e_2 \in E$ such that $e_1 e_2$ is an edge in G . Hence $e_1 \cap e_2 \neq \emptyset$. Let $a \in e_1 \cap e_2$, then the edge $e_1 e_2$ appears in the clique $E_a \in \mathcal{K}$ this proves (i). To show (ii), let $x \in V(G)$. Let $\{x_1, \dots, x_k\} \in E$ represent the edge corresponding to x . Then the vertex x appears in exactly k cliques $E_{x_1}, \dots, E_{x_k} \in \mathcal{K}$. Finally if $e_1, e_2 \in E_a \cap E_b$, then $a, b \in e_1 \cap e_2$ and since the pair a, b can occur in at most p elements of E , it follows that $|E_a \cap E_b| \leq p$ this proves (iii). \square

Now we complete the proof of Theorem 2.0.1. For Lemmas 2.1.6 - 2.1.9 we will assume that G is a graph with at least one edge which has no induced subgraph isomorphic to a member of $\mathcal{F}(p, k)$ and the minimum edge-degree of G is at least

$f(k, p) = pk^3 + (p - 3)k + 1$. We then show that $G \in L_k^{(p)}$. Define \mathcal{K} to be the set of all maximal cliques in G of size at least $pk^2 + (p - 2)k + 2$.

Lemma 2.1.6. *Every edge in G occurs in a clique of size at least $pk^2 + (p - 2)k + 2$.*

Proof. Let x, y be an edge in G . Let $\langle x; y, w_1, w_2, \dots, w_r \rangle$ be a maximal claw at x containing y .

Case 1. $r > 0$: Let $\langle x; w_1, w_2, \dots, w_r, v_1, v_2, \dots, v_s \rangle$ be a maximal claw at x containing $\langle x; w_1, w_2, \dots, w_r \rangle$ as a subclaw. If one of v_i is y then $s = 1$. Since G does not contain a $k + 1$ -claw $r + s \leq k$. Now let $v_s = z$. Consider $N(\{x, z\})$. From our assumption about the minimum edge degree in G , $|N(\{x, z\})| \geq f(p, k) = pk^3 + (p - 3)k + 1$. Now some vertices in $N(\{x, z\})$ may be adjacent to vertices in the set $\{w_1, w_2, \dots, w_r, v_1, v_2, \dots, v_{s-1}\}$ we shall discard these. Since G does not contain any induced subgraph from the set $\mathcal{F}_1(p, k)$, it follows that $|N(\{z, w_i\})| \leq pk^2$ and $|N(\{z, v_j\})| \leq pk^2$, $1 \leq i \leq r$, $1 \leq j \leq s - 1$. Hence there are at least $f(p, k) - (k - 1)(pk^2 - 1) - 1 = pk^2 + (p - 2)k - 1$ (if $z = y$) or $f(p, k) - (k - 1)(pk^2 - 1) = pk^2 + (p - 2)k$ (if $z \neq y$) vertices in $N(\{x, z\})$ which are not adjacent to any vertex in the set $\{w_1, w_2, \dots, w_r, v_1, v_2, \dots, v_{s-1}\}$. Since $\langle x; w_1, w_2, \dots, w_r, v_1, v_2, \dots, v_s \rangle$ is a maximal claw, these vertices together with x, y and z must form a clique. Hence we have constructed a clique with at least $pk^2 + (p - 2)k + 2$ vertices.

Case 2. $r = 0$: Suppose that $\langle x; y \rangle$ is the maximum size claw at x in this case $N(x)$ is a clique of size at least $pk^3 + (p - 3)k + 1$. Since $p \geq 1$, $k \geq 2$, it follows that $|N(x)| \geq pk^3 + (p - 3)k + 1 \geq pk^2 + (p - 2)k + 2$, we are through. Otherwise let $\langle x; y_1, y_2, \dots, y_r \rangle$ be a maximal claw at x . Then repeating the same argument as in case 1 for the claw $\langle x; y_1, y_2, \dots, y_r \rangle$ we obtain a clique of size at least $pk^2 + (p - 2)k + 2$ containing the edge xy . \square

Lemma 2.1.7. *If $K \in \mathcal{K}$ and $x \notin K$, then x is joined to at most pk vertices of K .*

Proof. If there is a vertex $x \in V(G) - V(K)$ such that x is joined to at least $kp + 1$ vertices in K then G will contain a member of $\mathcal{F}_2(p, k)$ as an induced subgraph. \square

Lemma 2.1.8. *Every vertex of G is in at most k distinct members of \mathcal{K} .*

Proof. Suppose that the result is not true and let x be a vertex of G which is in $k + 1$ distinct elements K_1, \dots, K_{k+1} of \mathcal{K} . Now let $a_1 \in K_1$ and $a_1 \neq x$. By Lemma

2.1.7, it follows that a_1 is joined to at most $pk - 1$ vertices of K_2 other than x . Now since $|K_1 \cap K_2| \leq p$, there exists an $a_2 \in K_2$ such that $\langle x; a_1, a_2 \rangle$ is a 2-claw. Suppose that we have constructed an r -claw $\langle x; a_1, \dots, a_r \rangle$, $r \leq k$, in G such that $a_i \in K_i$. Then each a_i is joined to at most $pk - 1$ vertices distinct from x of K_{r+1} and $\left| K_{r+1} \cap \left(\bigcup_{i=1}^r K_i \right) \right| \leq rp$. Now $|K_{r+1}| \geq pk^2 + (p - 2)k + 2 > r(kp - 1) + r(p - 1) + 1$, hence there exists an $a_{r+1} \in K_{r+1}$ such that $\langle x; a_1, \dots, a_{r+1} \rangle$ is an $(r + 1)$ -claw in G . Taking $r = k$, we get a $(k + 1)$ -claw in G , contradicting the hypothesis. \square

Lemma 2.1.9. *If $K_1, K_2 \in \mathcal{K}$ then $|V(K_1) \cap V(K_2)| \leq p$.*

Proof. If there exist $K_1, K_2 \in \mathcal{K}$ such that $|V(K_1) \cap V(K_2)| \geq p + 1$ then G will contain a member of $\mathcal{F}_3(p, k)$ as an induced subgraph. \square

Proof of Theorem 1.1: The necessity that G has no induced subgraph isomorphic to $\mathcal{F}(p, k)$ follows from Lemma 2.1.5. The sufficiency that $G \in L_k^{(p)}$ follows from Lemmas 2.1.6, 2.1.8, 2.1.9 and Proposition 2.1.1.

Chapter 3

Balanced decomposition of colored graphs

Let $D(V, E)$ be a directed graph with finite set of vertices $V(D)$, finite set of edge labels $E(D) = \{e_1, e_2, \dots, e_m\}$ where each edge label corresponds to an element of $V \times V - \Delta$ (where $\Delta := \{(v, v) : v \in V\}$). We refer to the first coordinate of an edge as *start* and second coordinate as *end* vertex. Note that “multiple” edges are allowed in this definition.

A *network* is a quadruple $N(D, c, s, t)$, where $D = D(V, E)$ is a directed graph, *capacity* $c : E(D) \rightarrow \mathbb{Q}_{\geq 0}$, s a special vertex called *source* and t a special vertex called *sink*. By a *circuit* we mean $C = (v_0, e_1, v_1, e_2, v_2, \dots, e_m, v_0)$, $m \geq 0$, where $v_i \in V$ is distinct for all i , $e_j = (v_{j-1}, v_j)$ for all $j < m$, $e_m = (v_{m-1}, v_0)$. For undirected graphs the $e_j = \{v_{j-1}, v_j\}$, for all $j < m$, $e_m = \{v_{m-1}, v_0\}$.

A flow is a mapping $f : E \rightarrow \mathbb{Q}^+$, denoted by f_{uv} , subject to the following two constraints:

1. *Capacity Constraint*: For every edge (u, v) in E , $f_{uv} \leq c_{uv}$,
2. *Conservation of Flows*: For each vertex v apart from s and t , the equality $\sum_{\{u:(u,v) \in E\}} f_{uv} = \sum_{\{w:(v,w) \in E\}} f_{vw}$ holds.

Let $\partial^+(U)$ be the set of directed edges leaving the set U . That is, the edges which have the start vertex in U and the end vertex outside U .

The *value* of a flow f is defined by

$$|f| = \sum_{e \in \partial^+(s)} f_e - \sum_{e \in \partial^+(V-s)} f_e.$$

The maximum flow problem asks to maximize $|f|$ on a given network, that is, to route as much flow as possible from s to t .

An $s - t$ cut $C = (S, T)$ is a partition of V such that $s \in S$ and $t \in T$. That is, $s - t$ cut is a division of the vertices of the network into two parts, with the source in one part and the sink in the other. The cut-set X_C of a cut C is the set of edges that connect the source part of the cut to the sink part:

$$X_C := \{(u, v) \in E : u \in S, v \in T\} = (S \times T) \cap E.$$

The capacity of an $s - t$ cut is the total weight of its edges,

$$c(S, T) = \sum_{e \in \partial^+(S)} c_e$$

It can be seen that the value of a flow is equal to the net flow out of an $s - t$ cut. This implies that the value of any flow f is always less than capacity of any $s - t$ cut.

Then the *max-flow min-cut theorem* asserts that for the maximal flow the value of the flow is equal to the capacity of a $s - t$ cut. cut that is equal to the flow.

Theorem 3.0.1. *The maximum value of an $s - t$ flow is equal to the minimum capacity over all $s - t$ cuts.*

An equivalent form of the above theorem is the Hoffman Circulation Theorem. It may be stated as below.

Theorem 3.0.2. (Hoffman) *Let $D = (V, E)$ be a directed graph and let \mathcal{C} be its collection of directed circuits. Let $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfy $u \geq \ell \geq 0$; then the following are equivalent:*

1. *there exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{c \in \mathcal{C}} \alpha(c) f_c \geq \ell$;*
2. *for each $X \subset V$, $u(\partial^+(X)) \geq \ell(\partial^+(V - X))$.*

where $f_C : E \rightarrow \mathbb{Q}_{\geq 0}$ is the characteristic function on C , i.e. $f_C(e) = 1$ if $e \in C$, 0 otherwise and $\partial^+(S) := \{(x, y) \in E : x \in S \text{ and } y \notin S\}$, for every $S \subseteq V$.

Seymour in [20] considered undirected version of this Theorem. Given an undirected graph $G(V, E)$, and u, ℓ maps from $E \rightarrow \mathbb{Q}_{\geq 0}$ when can we write it as a “sum of circuits” that is: There exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{C \in \mathcal{C}} \alpha(C) f_C \geq \ell$, where \mathcal{C} is the set of all circuits in $G(V, E)$.

Let $V = V_1 \cup V_2$ be a partition of the vertex set. Let $B \subset E(G)$ be the set of edges that have one end point in V_1 and another in V_2 . This set B is call a *cut*. For any graph that can be written as a sum of circuits it is clearly necessary that for every cut B and every edge $e \in B$ the following inequality holds:

$$\ell(e) \leq \sum_{f \in B - \{e\}} u(f).$$

Seymour proved that it is also sufficient.

Theorem 3.0.3. (Seymour [20]) *Let $V = (V, E)$ be a simple graph and let \mathcal{C} be its collection of circuits. Let $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfy $u \geq \ell \geq 0$ and f_C denote the characteristic function on C ; then the following are equivalent:*

1. *there exists $\alpha : \mathcal{C} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{C \in \mathcal{C}} \alpha(C) f_C \geq \ell$;*
2. *for each cut B and each $e \in B$; $u(B - \{e\}) \geq \ell(e)$.*

We consider this question in 2-colored weighted graphs. Let $G(V, E)$ be a graph (the graph may have multiple edges) with $c : E \rightarrow \{R, B\}$ and two functions $u, \ell : E \rightarrow \mathbb{Q}_{\geq 0}$. Note that for colored graphs we are using c to denote the color map on the edges. We consider the following natural question.

Question 3.0.1. *Given $G(V, E)$ a graph (may have multiple edges) with $c : E \rightarrow \{R, B\}$, two functions $\ell, u : E \rightarrow \mathbb{Q}_{\geq 0}$ with $\ell(e) \leq u(e)$ does there exist $w : E \rightarrow \mathbb{Q}_{\geq 0}$ with*

1. *$\ell(e) \leq w(e) \leq u(e)$ and*
2. *for all vertices $v \in V$, $\sum_{e \in E_R(v)} w(e) = \sum_{e \in E_B(v)} w(e)$, where $E_R(v)$, $E_B(v)$ denotes the set of R , B colored edges incident on v respectively.*

The key step in proving Hoffman circulation theorem is characterization of reachability from a vertex u to a vertex v by a directed path. In particular if a vertex v

3.1 Alternating reachability

An *alternating trail* connecting $s, t \in V$ is defined as the sequence

where $v_i \in V$ for all i , $e_j \in E$ for all j , e_j 's are distinct, and $c(e_j) \neq c(e_{j+1})$ for each $j = 1, \dots, m-1$. The alternating trail W is called *closed* if $v_0 = v_m$ and $c(e_m) \neq c(e_1)$. See Figure 3.1b for an example.



Definition 3.1.1. A subset $A \subseteq (V - S)$ is a Tutte set when

- (i) each component of $G - A$ has at most one terminal.

(ii) A can be written as a disjoint union (denoted $\dot{\cup}$, empty blocks allowed)

$$A = \dot{\bigcup}_{c \in C} A(c)$$

such that conditions (a), (b), and (c) below hold.

A vertex $u \in A$ is said to have color c if $u \in A(c)$ (there is a unique such c). An edge $e \in E$ is said to be mismatched if e connects a vertex $u \in A$ with a vertex $v \in V - A$ and $c(e)$ is different from the color of u , or e connects two vertices $u, v \in A$ and $c(e)$ is different from both the colors of u and of v .

Conditions (a), (b), and (c) are as follows:

- (a) if H is a component of $G - A$ containing a terminal, then there is no mismatched edge with an endpoint in H .
- (b) if H is a component of $G - A$ containing no terminals, then there is at most one mismatched edge with an endpoint in H .
- (c) there are no mismatched edges with both endpoints in A .

Figure 3.2 gives an example of Tutte set.

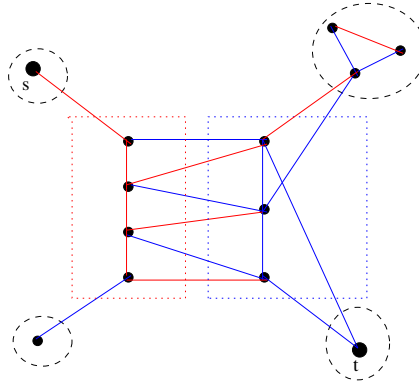


Figure 3.2

The next theorem shows that a Tutte set is an obstruction to the existence of an alternating trail connecting distinct terminals.

Theorem 3.1.1. *Suppose there is no alternating trail starting at s and ending in t . Then a Tutte set A exists such that s and t lie in distinct components of $G - A$ with no ‘mismatched’ edge.*

Proof. Sufficiency:

Let A be a Tutte set and S_1 and S_2 be two components of $G - A$, without mismatched edges. Then there cannot be any alternating trail between a vertex of S_1 and a vertex of S_2 .

Suppose an alternating trail T starts at $s \in S_1$. If this alternating trail leaves the component S_1 then it must first reach a vertex in A . Since the component S_1 does not have any mismatched edge it must reach the vertex $v \in A$ with an edge of same color as the vertex v . Since the trail is alternating the next vertex cannot be from a matched component of $G - A$. So the next vertex must be a vertex from A with color different from $c(v)$ or from a component with a mismatched edge. If it is from a component with a mismatched edge then the trail may enter with the mismatched edge and continue inside that component or it will eventually re-enter the set A . In particular, whenever a vertex u in the alternating trail is in A , the edge e used to reach it, is colored same as the vertex u in A i.e. $c(e) = c(u)$. So the alternating trail can never enter a component of $G - A$ without mismatched edges. Hence there can never be an alternating trail connecting a vertex of S_1 and a vertex of S_2 .

This completes the sufficiency part. \square

To prove the converse we reduce this problem to the special case of finding maximum matching in a graph. For this we define a new graph $G' = (V', E')$ together with a matching M such that G has an alternating trail if and only if G' has an M -augmenting path. This construction is due to Jácint Szabó. Then if G has no alternating trail, the matching M in G' is maximum. Using Edmond’s algorithm (see [9]), we get a “maximal blossom forest” w.r.t. M in G' . From this maximal blossom forest in G' we extract our desired Tutte set of G .

First we recall some basic notions connected with Edmond’s algorithm. Our purpose here is only to set down terminology and we omit all details. Our main reference for Edmonds’ algorithm is Chapter 9 of Lovász and Plummer [12] to which we refer for all unexplained terminology. Consider a graph together with a matching. Edmond’s algorithm maintains a (*rooted*) *blossom forest* with respect to the matching. The blossom forest contains vertex disjoint factor critical subgraphs called *blossoms*

such that the given matching contains a near-perfect matching of every blossom and, upon shrinking every blossom to a single vertex, we obtain an alternating forest with respect to the image of the matching. Moreover, the images of the blossoms are outer points of this alternating forest. It follows that every blossom has a unique vertex which is either unmatched by the matching or matched to a vertex outside the blossom. This vertex is called the *base* of the blossom. In case the base is matched to a vertex outside the blossom we call this matched edge the *leading edge* into the base. We classify the vertices of the graph as follows: vertices not in the blossom forest are called *out of forest* and the other vertices are *in forest*. The in forest vertices are further classified as *inner vertices* and *blossom vertices* (in particular, the exposed vertices (w.r.t the matching) are blossom vertices). A blossom vertex is *trivial* if it is the only vertex in its blossom, otherwise it is *nontrivial*. Likewise, a blossom is *trivial* if it contains only one vertex and is *nontrivial* if it contains more than one vertex. Thus, for a matched edge exactly one of the following three possibilities hold: both endpoints are out of forest or both endpoints belong to the same nontrivial blossom or one of the endpoints is the base of a blossom and the edge is the leading edge into this base. The *height* of a blossom is the distance of the blossom from the corresponding root blossom in the blossom forest (so the root blossoms, i.e., the blossoms containing the exposed vertices have height zero). At any stage of the algorithm we can perform three operations: *growing*, *shrinking*, and *augmenting*. If the matching were maximum then we will never perform an augmenting operation and only apply the other two operations. When even those two operations cannot be performed we obtain a *maximal blossom forest* with respect to the maximum matching.

Construction of Szabó

Given a graph $G(V, E)$, a coloring $c : E \rightarrow \{R, B\}$ of the edges and a set S of terminals we define a graph $G' = (V', E')$ as follows:

$$V' = S \dot{\cup} \{e_u, e_v : e \in E \text{ and } e \text{ has endpoints } u \text{ and } v\}.$$

The edges in E' and their endpoints are as follows:

- Every $e \in E$ is also an edge in E' . If its endpoints in G are u and v its endpoints in G' are e_u and e_v .
- For every $v \in V$, $e, f \in \nabla_G(v)$ with $c(e) \neq c(f)$, there is an edge $(ef)_v \in E'$ with endpoints e_v and f_v .

- For every $s \in S$ and every $e \in \nabla_G(s)$, there is an edge $se \in E'$ with endpoints s and e_s .

Note that the subset $M = \{e : e \in E\}$ of E' is a matching in G' . Also note that, for $u, v \in V$, there is a 1-1 correspondence between alternating $u - v$ trails in G with first edge e and last edge f and M -alternating $e_u - f_v$ paths in G' with first edge e and last edge f . It follows that, for $s, t \in S, s \neq t$, there is a $s - t$ alternating trail in G iff there is an $s - t$ M -augmenting path in G' . Thus, by our assumption (of no alternating trails connecting distinct terminals) M is a maximum matching in G' .

Figure 3.3 illustrates the above construction, where G' for the colored graph G as in Figure 3.3a is given by the graph in Figure 3.3b (with a matching given by the thick edges).

We shall now extract a Tutte set in G from information provided by a maximal blossom forest F in G' with respect to the matching M . The terminology out of forest vertex, blossom vertex etc. will be with respect to F .

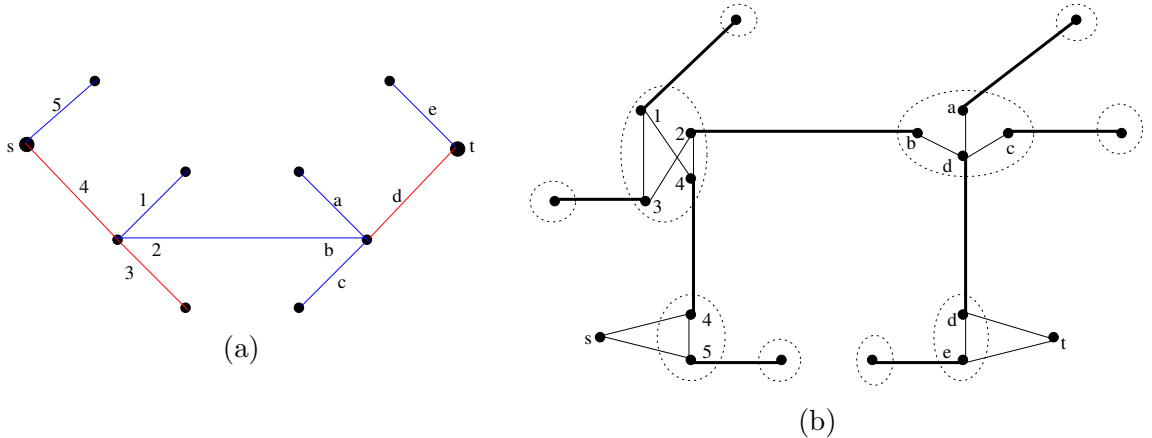


Figure 3.3

Lemma 3.1.1. (i) Let $v \in V - S$. If there exists $e \in \nabla_G(v)$ such that e_v is an in forest vertex then there exists $f \in \nabla_G(v)$ such that f_v is a blossom vertex.

(ii) Let $v \in V - S$. Define $\Gamma_G(v) = \{e \in \nabla_G(v) : e_v \text{ is a blossom vertex}\}$. Then exactly one of the following three possibilities hold:

- (a) All vertices $e_v, e \in \nabla_G(v)$ are out of forest (note that, from part (i), this is equivalent to $\Gamma_G(v)$ being empty).

- (b) $\Gamma_G(v)$ is not empty, each $e_v, e \in \Gamma_G(v)$ is a trivial blossom vertex and all edges in $\Gamma_G(v)$ have the same color.
- (c) $\#\Gamma_G(v) \geq 2$, each $e_v, e \in \Gamma_G(v)$ is a nontrivial blossom vertex and all of them belong to the same nontrivial blossom of F .

Proof. (i) Suppose e_v is an inner vertex. Consider the (unique) path P from e_v to a root in F . Since $v \in V - S$, the other endpoint (in V') of the edge of P incident to e_v must be a vertex of the form f_v , which is a blossom vertex.

(ii) Assume that (a) does not hold, i.e., some $e_v, e \in \nabla_G(v)$ is in forest. From part (i) it follows that $\Gamma_G(v) \neq \emptyset$. Now assume that each $e_v, e \in \Gamma_G(v)$ is a trivial blossom vertex. If $e, f \in \Gamma_G(v)$ have different colors then there will be an edge (in G') between the blossom vertices e_v and f_v , contradicting the fact that F is a maximal blossom forest. Thus all edges in $\Gamma_G(v)$ have the same color.

Now assume that both (a) and (b) do not hold, i.e., $\Gamma_G(v) \neq \emptyset$ and some $e_v, e \in \Gamma_G(v)$ is a nontrivial blossom vertex. Since $v \in V - S$, it follows that there is a $f \in \Gamma_G(v)$ with $c(e) \neq c(f)$ and such that f_v belongs to the same nontrivial blossom as e_v . Thus $\#\Gamma_G(v) \geq 2$. If $h_v, h \in \Gamma_G(v)$ were to belong to some other blossom of F then, since h would have a different color from one of e or f , there would be an edge (in G') between vertices in two different blossoms, a contradiction. Thus (c) holds. \square

We now classify the vertices of $V - S$ as follows:

- $N(S) = \{v \in V - S : v \text{ satisfies condition (a) in Lemma 3.1.1(ii)}\},$
- $I(S) = \{v \in V - S : v \text{ satisfies condition (b) in Lemma 3.1.1(ii)}\},$
- $T(S) = \{v \in V - S : v \text{ satisfies condition (c) in Lemma 3.1.1(ii)}\}.$

For $c \in C$, define $I(S, c) = \{v \in I(S) : c(e) = c \text{ for some (equivalently, all) } e \in \Gamma_G(v)\}$. If $v \in I(S, c)$, we say that v has *color* c . Elements of $N(S)$, $I(S)$, and $T(S)$ are called *achromatic*, *monochromatic*, and *bichromatic* vertices respectively. This terminology is justified by the next result which gives a Gallai-Edmonds type decomposition of $V - S$. For $v \in T(S)$, we denote by B_v the nontrivial blossom of F containing all the vertices $e_v, e \in \Gamma_G(v)$. Similarly, for $s \in S$, we let B_s denote the blossom of F containing the vertex s . Note that B_s either consists of the single vertex s or is equal to B_v for some $v \in T(S)$.

Theorem 3.1.2. ([8]) (i) $N(S)$ equals the set of all vertices $t \in V - S$ such that, for all $s \in S$, there is no alternating s - t trail in G .

(ii) $T(S)$ equals the set of all vertices $t \in V - S$ such that, for some $s \in S$, there are two alternating s - t trails in G whose last edges have different colors.

(iii) $I(S, c)$ equals the set of all vertices $t \in V - S$ satisfying the following property: there are alternating trails starting from S and ending in t , and the last edges of all such alternating trails have the color c .

Proof. The sets $N(S)$, $T(S)$, and $I(S, c)$, $c \in C$ partition $V - S$ and the sets on the right hand side of the three statements above are clearly pairwise disjoint. Thus the result will follow if we show that each left hand side is contained in the corresponding right hand side.

Let $v \in N(S)$. Suppose that, for some $s \in S$, there is a $s - v$ alternating trail in G with last edge $e \in \nabla_G(v)$. Then there is a $s - e_v$ M -alternating path in G' . Since e_v is out of forest, this contradicts the maximality of F . Thus there is no $s - v$ alternating trail in G , for any $s \in S$.

Let $v \in T(S)$. Choose $e \in \Gamma_G(v)$. Like in the proof of part (c) of Lemma 3.1.1(ii) above there exists $f \in \Gamma_G(v)$ with $c(e) \neq c(f)$. Both f_v and e_v belong to B_v . Let $s \in S$ be the base of the root blossom of the component of F containing B_v . It follows that there are M -alternating $s - e_v$ and $s - f_v$ paths in G' with last edges e and f respectively. Thus there are $s - v$ alternating trails in G with last edges of different colors.

Let $v \in I(S, c)$ and let $f \in \Gamma_G(v)$. Then $c(f) = c$. Let $s \in S$ be the base of the root blossom of the component of F containing the trivial blossom vertex f_v . Clearly there is a $s - f_v$ M -alternating path in G' with last edge f and thus there is a $s - v$ alternating trail in G with last edge f of color c .

Now suppose that, for some $s' \in S$, there is a $s' - v$ alternating trail in G with last edge e . Then there is a $s' - e_v$ M -alternating path in G' with last edge e . Since F is maximal it is easy to see that e_v is a blossom vertex. Since $v \in I(S, c)$, it follows that $c(e) = c$. \square

We shall now show that the monochromatic vertices form a Tutte set (with coloring as given above). We begin with the following result, which places restrictions on the edges connecting the four different types of vertices in G : the terminals and the achromatic, monochromatic, and bichromatic vertices.

Lemma 3.1.2. (i) No edge in G connects a vertex of $S \cup T(S)$ to a vertex of $N(S)$.

(ii) If an edge e in G connects a vertex u in $I(S, c)$ to a vertex in $N(S)$ then $c(e) = c$.

(iii) If an edge e in G has endpoints $u, v \in S \cup T(S)$ then either $B_u = B_v$ or one of e_u, e_v is a base in F and e is the leading edge into this base.

(iv) If an edge e in G has endpoints $u \in S \cup T(S)$ and $v \in I(S, c)$ and $c(e) \neq c$ then e_u is a base in F and e is the leading edge into e_u .

(v) If an edge e in G has endpoints $u \in I(S, c)$ and $v \in I(S, d)$ then $c(e) = c$ or d .

Proof. (i) Let $e \in E$ with endpoints $u \in S \cup T(S)$ and $v \in N(S)$. Consider the edge $e \in M$ with endpoints e_u, e_v . By Lemma 3.1.1(ii)(a) e_v is out of forest and thus so is e_u . If $u \in S$ then there is an edge $ue \in E'$ connecting u to e_u , contradicting the maximality of F . If $u \in T(S)$ then it follows (like in Lemma 3.1.1(ii)(c)) that there exist $f, g \in \Gamma_G(u)$ with $c(f) \neq c(g)$ and such that f_u, g_u both belong to B_u . One of f or g has color different from that of e and thus there is an edge in E' connecting a blossom vertex (f_u or g_u) to an out of forest vertex e_u , a contradiction to the maximality of F .

(ii) Let $v \in N(S)$ be the other endpoint of e and consider the edge $e \in M$ with endpoints e_u and e_v . As in part (i) e_u, e_v are out of forest. Let $f \in \Gamma_G(u)$. Then $c(f) = c$. If $c(e) \neq c$ then there is an edge in E' connecting the (trivial) blossom vertex f_u to the out of forest vertex e_u , a contradiction to the maximality of F . Thus $c(e) = c$.

(iii) Consider $e \in M$ with endpoints e_u, e_v . If e_u, e_v are out of forest then we can get a contradiction like in part (i). So e_u, e_v are in forest. Thus, either both endpoints of e are in the same blossom (in which case this blossom must be $B_u = B_v$) or one of e_u, e_v is a base in F and e is the leading edge into this base.

(iv) Consider $e \in M$ with endpoints e_u, e_v . Like in part (i) we can show that e_u, e_v are in forest. If both endpoints of e were in the same blossom it would follow that $v \notin I(S)$. Thus one of e_u, e_v is a base in F and e is the leading edge into this base. If e_v were the base then, since $v \in I(S, c)$, it would follow that $c(e) = c$, a contradiction. Thus e_u is the base.

(v) Consider $e \in M$ with endpoints e_u, e_v . First suppose that e_u, e_v are out of forest. Let $f \in \Gamma_G(u)$. Since F is maximal there cannot be an edge in E' with endpoints f_u and e_u . Thus $c = c(f) = c(e)$. Now suppose that e_u, e_v are in forest. If

both endpoints of e were in the same blossom it would follow that $u, v \notin I(S)$. Thus e is a leading edge in F connecting the base of a blossom (e_u or e_v) to an inner vertex (e_v or e_u). Thus $c(e) = c$ or d . \square

We now identify the connected components of $G[S \cup T(S)]$ (the induced subgraph of G on the vertex set $S \cup T(S)$). Define a map $\phi : V' \rightarrow V$ by $\phi(s) = s$ ($s \in S$) and $\phi(e_v) = v$ ($e \in E$ with v as an endpoint). Consider the blossoms B_u , $u \in S \cup T(S)$ (note that any two of these blossoms are either identical or vertex disjoint). Enumerate the distinct blossoms among these as $B_{u_1}, B_{u_2}, \dots, B_{u_k}$. For $i = 1, \dots, k$ set $V_i = \phi(\text{vertex set of } B_{u_i})$. It follows by Lemma 3.1.1(ii)(c) that the V_i are pairwise disjoint and $S \cup T(S) = V_1 \cup \dots \cup V_k$. Let $G_i = G[V_i]$, $1 \leq i \leq k$. It is easy to see that G_1, \dots, G_k are connected but they need not be the connected components of $G[S \cup T(S)]$. There could be edges in G with endpoints in two distinct G_i 's. Let $X = \{e \in E : e \text{ has endpoints in } G_i \text{ and } G_j, \text{ for some } i \neq j\}$. Thus we obtain $G[S \cup T(S)]$ by taking the disjoint union of G_1, G_2, \dots, G_k and adding the edges in X . According to Lemma 3.1.2(iii) each edge e in X is a leading edge in F (into the base of one of the blossoms B_{u_1}, \dots, B_{u_k}). Among the blossoms B_{u_1}, \dots, B_{u_k} choose one, say B_{u_l} , of maximum height and consider G_l . It follows that there can be at most one edge in X incident with G_l . Applying this argument inductively we see that adding the edges in X to the disjoint union of the G_i 's yields, informally speaking, a disjoint union of rooted trees on the G_i 's. More precisely, we make the following observations:

(x) Take a connected component C of $G[S \cup T(S)]$. Since each G_i is connected, C will consist of certain G_i 's together with a certain subset $Y \subseteq X$ of edges in X . Shrinking each G_i contained in C to a single vertex we get a rooted tree with edge set Y . Consider the G_l corresponding to the root of this tree. The image under ϕ of the base of B_{u_l} will be a vertex in G_l and is called the *base* of C . Note that here we are defining a base in V and not all images of the bases of the blossoms B_{u_i} are bases in V . Also note the following.

(y) If e is a leading edge in F one of whose endpoints in G is in C and the other not in C then the endpoint in C must be the base of C .

(z) Each $s \in S$ is a base in V . In particular, no two vertices in S are in the same component of $G[S \cup T(S)]$.

Theorem 3.1.3. ([8]) *Suppose that G has no alternating trails connecting distinct*

terminals in S . Then $I(S)$ is a Tutte set with coloring given by $I(S) = \cup_{c \in C} I(S, c)$.

Proof. From Lemma 3.1.2(i) we see that $G - I(S)$ is the disjoint union of $G[S \cup T(S)]$ and $G[N(S)]$. We now check the conditions in Definition 3.1.1. Condition (i) follows from observation (z) above and condition (ii)(c) follows from Lemma 3.1.2(v). We are left to verify conditions (ii)(a) and (ii)(b).

It follows from Lemma 3.1.2(ii) that there is no mismatched edge with an endpoint in one of the components of $G[N(S)]$. Now consider a mismatched edge e with an endpoint u in one of the components C of $G[S \cup T(S)]$. From Lemma 3.1.2(iv) and observations (y),(z) above we see that u is the base of C , e is the leading edge (in F) into e_u , and C contains no terminal (as there are no leading edges in F into vertices in S). This shows that there can be at most one mismatched edge with an endpoint in C , verifying condition (ii)(b) and that a mismatched edge cannot have an endpoint in a component of $G[S \cup T(S)]$ containing a terminal, verifying condition (ii)(a). \square

Alternating reachability problem was first considered (in a non algorithmic form) by Tutte (in a slightly different version) who calls the obstructions to the existence of alternating trails r -barriers [23, 22, page 331]. There is a very minor error in Tutte's formulation. If we were to apply his definition of r -barrier to the version of alternating reachability considered in this paper, then condition (c) in Definition 3.1.1(ii) would read:

(c) If an edge e connects two vertices of A , then these vertices have different colors and one of them has the color $c(e)$,

Our condition (c) paraphrased reads: if an edge e connects two vertices of A , then one of them has the color $c(e)$. Thus every r -barrier is a Tutte set but not conversely. It is easy to find instances of the alternating reachability problem where there are no alternating trails connecting distinct terminals, but all obstructions are Tutte sets and not r -barriers.

Since, since finding alternating trails reduces to finding matching in graphs, it can be found in $O(|V'| |E'|) = O(|E|^3)$. In particular it can be found in polynomial time.

3.2 Balanced decomposition

In this section we state an application of alternating reachability. Let $G(V, E)$ be a graph and $c : E \rightarrow \{R, B\}$ be a map that colors the edges red or blue. Consider the

set of all weights $w : E \rightarrow \mathbb{R}_{\geq 0}$ on the edges such that at each vertex the sum of the edges colored blue is equal to the sum of the weights of the edges colored red. Set of all such weights w form a cone and we call it *alternating cone*. This is analogous to the cone of circulations for directed graphs. In [6] basic properties of this cone has been studied. In [6] it was also showed how the search for an integral vector in the alternating cone of a 2-colored graph satisfying given lower and upper bounds on the edges can be reduced to the alternating reachability problem in a 2-colored graph.

A *cut* in G is the set of edges between X and $V - X$, for some nonempty proper subset X of V . Consider a weight $w : E \rightarrow \mathbb{Q}_{\geq 0}$ on the edges. It is said to satisfy (a) the *balance condition* if $\sum_{e \in E_R(v)} w(e) = \sum_{e \in E_B(v)} w(e)$, at each vertex $v \in V$, and (b) the *cut condition* if for each cut B and for each edge e in B , $w(e) \leq w(B - \{e\})$. Here $E_R(v)$ (respectively $E_B(v)$) denotes the set of R colored (respectively B colored) edges incident on v .

In case of circulations in weighted directed graphs we have inflow equal to out flow at each vertex. In 2-colored graphs it is captured by the notion balanced. That is, at every vertex the weight of the blue colored edges is equal to the weight of the red colored edges.

In existence of circulations is given by Hoffman circulation theorem (3.0.2) and that is proved using the network flow techniques. Seymour (3.0.3) proved the analogous theorem for undirected graphs using a tricky lemma due to Seymour and Giles.

Circulation in a network can be found in polynomial time using Edmond's hueristics. Decomposing a weighted undirected graph as a sum of circuits can also be found in polynomial time. This was first shown by Arkin and Papadimitriou in [2].

Let \mathcal{T} be the collection of closed alternating trails in G . In this section we give a polynomial time algorithm to find an assignment $\alpha : \mathcal{T} \rightarrow \mathbb{Q}_{\geq 0}$ such that $\sum_{T \in \mathcal{T}} \alpha(T) f_T = w$, where f_T denotes characteristic function on T .

In [7] it was shown that

Theorem 3.2.1. ([7]) *Let $V = (V, E)$ be a two colored simple graph with coloring $c : E(G) \rightarrow \{R, B\}$, $w : E(G) \rightarrow \mathbb{Q}_{\geq 0}$ and let \mathcal{T} be its collection of closed alternating trails. Let f_T , $T \in \mathcal{T}$ denote the characteristic function on T ; then the following are equivalent:*

1. *there exists $\alpha : \mathcal{T} \rightarrow \mathbb{Q}_{\geq 0}$ such that $u \geq \sum_{T \in \mathcal{T}} \alpha(T) f_C \geq \ell$;*
2. *(a) each cut B and each $e \in B$; $u(B - \{e\}) \geq \ell(e)$.*

(b) for all vertices v , $\sum_{e \in E_R(v)} w(e) = \sum_{e \in E_B(v)} w(e)$.

Here we show the following.

Theorem 3.2.2. *Let $G(V, E)$ be a 2-colored simple graph with coloring c and let \mathcal{T} be the collection of closed alternating trails in G . Let $w : E \rightarrow \mathbb{Q}_{\geq 0}$ satisfies the balance and the cut condition. Then we can find, in polynomial time, an assignment $\alpha : \mathcal{T} \rightarrow \mathbb{Q}_{\geq 0}$ such that $\sum_{T \in \mathcal{T}} \alpha(T) f_T = w$.*

To prove this theorem we also use the network flow algorithm and a ratio optimization problem. In general ratio optimization problems are hard. In this case we can use an idea by Megiddo ([14]) to solve it in polynomial time.

For $X, Y \subseteq V$, we denote by $\nabla_G(X, Y)$ the set of all edges of G with one endpoint in X and the other endpoint in Y . Let D be a tight cut in G with sides X and $V - X$ of sizes at least 3, and let $e \in D$, an edge between $u_1 \in X$ and $u_2 \in V - X$ be the tight edge. That is, $w(D - e) = w(e)$. Now, we define two edge-weighted 2-colored graphs G_X (respectively, G_{V-X}) by doing the following:

- Delete all edges in $\nabla_G(X, X)$ (respectively, $\nabla_G(V - X, V - X)$).
- Replace X (respectively, $V - X$) with $\{u_1, u'_1\}$ (respectively, $\{u_2, u'_2\}$), where $u'_1, u'_2 \notin V$ are two new vertices.
- The endpoints of each edge in $\nabla_G(V - X, V - X) \cup \{e\}$ (respectively, $\nabla_G(X, X) \cup \{e\}$) remain the same.
- The endpoint of each edge $f \in D - e$ in $V - X$ (respectively, X) is the same as before, and the endpoint in X (respectively, $V - X$) is u_1 (respectively, u_2) if $c(f) \neq c(e)$ and is u'_1 (respectively, u'_2) if $c(f) = c(e)$.
- Add a new edge f_1 (respectively, f_2) between u_1 and u'_1 (respectively, u_2 and u'_2). The color of f_1 (respectively, f_2) is opposite $c(e)$. All other edges retain their original color.
- Define a weight function w_1 on the edges of G_X by setting $w_1(f_1) = \sum_h w(h)$, where the sum is over all $h \in D - e$ with $c(h) = c(e)$, and $w_1(h) = w(h)$ for all other edges h of G_X . Similarly, define a weight function w_2 on the edges of G_{V-X} by setting $w_2(f_2) = \sum_h w(h)$, where the sum is over all $h \in D - e$ with $c(h) = c(e)$, and $w_2(h) = w(h)$ for all other edges h of G_{V-X} .

It can be verified that w_1 (respectively, w_2) satisfies the balance condition at every vertex of G_X (respectively, G_{V-X}) and the cut condition for G_X (respectively, G_{V-X}).

Now we describe the algorithm for decomposing $G(V, E, w, c)$ as a rational sum of closed alternating trails.

Algorithm 1

1. Find a tight cut D in G with both the sides having size at least 3. This is done by finding minimum cut for the graph $(V, E \setminus \{e\})$ for every edge e by using the max-flow algorithm. Let $e \in D$ be the tight edge, i.e. $w(e) = w(D - \{e\})$. Now we divide our problem into two subproblems having fewer vertices. This can be done by solving the problem for (G_X, c_1, w_1) and (G_{V-X}, c_2, w_2) and combining their solutions for a solution of an overall problem ([7]). Similar idea was also used in [19] (The matching polytope, section 8.11, page 109).
2. If no such tight cut is found, we construct one. We choose a closed alternating trail T and subtract the maximum we can from the weights of each edge of T .
3. Stop when no longer step 1 or step 2 is possible.

In the algorithm we did not separately state the case when we have a tight cut with one side having 2 or 1 vertex. In this case we can continue to execute step 2. This follows from the following two observations.

1. Suppose there is a tight cut B with $e \in B$, $w(e) = w(B - \{e\})$ and one of the sides has exactly 1 vertex. Then from balance condition it follows that all edges in $B - \{e\}$ have color different from $c(e)$.
2. Suppose there is a tight cut B with $e \in B$, $w(e) = w(B - \{e\})$ and one of the sides has exactly 2 vertices. Let the two vertices be u_1 and u_2 and u_1 be the endpoint of e . Then from balance condition it follows that color of all edges $\partial(u_1) - e$ is different from $c(e)$. It also follows that all edges incident on u_2 other than $\{u_1, u_2\}$ must have color equal to $c(e)$.

These color restrictions imply that if any trail uses any edge in a tight cut B that has a side with at most 2 vertices, then it must use the tight edge e for which $w(e) = w(B - e)$. Hence, step 2 takes care of this case.

The algorithm is an iteration of (1) and (2) till it is no longer possible. To guarantee that the algorithm will eventually terminate we need to show that progress is always made in step 2. We will show this later. In [2] they had to use a tricky Seymour-Giles Lemma to ensure that progress is always made in a similar step of their result.

To perform step 2 of Algorithm 1, we need a polynomial time algorithm to find a closed alternating trail.

Theorem 3.2.3. *Let $G(V, E, c)$ a 2-colored bridgeless graph, where every vertex has at least one incident red colored edge and at least one incident blue colored edge. Then we can find a closed alternating trail T in polynomial time.*

Proof. We find a CAT as follows. Fix an edge $e = \{u, v\}$, and consider the graph G_e with $V(G_e) = V(G) \cup \{s, t\}$ and $E(V(G_e)) = (E \setminus e) \cup \{\{u, s\}, \{v, t\}\}$. We also set $c(\{s, u\}) = c(\{t, v\}) = c(e)$.

If there is an alternating $s - t$ trail for the graph G_e , then we can construct a CAT in G by deleting the edges $\{u, s\}, \{v, t\}$ and adding back the edge e .

Otherwise we have a maximal (by set inclusion) Tutte set T with s and t in distinct components of $G_e - T$. Let O_x be the component of $G_e - T$ that contain the vertex s . Now we construct a closed alternating trail that will not include any vertex from the component O_s . Since G_e is connected there must be a vertex x in the component O_s containing s connected to a vertex in T . Also T is maximal, so every vertex in $O_s - s$ is reachable from s by two alternating trails with the last edge of different colors (Theorem 3.1.2, (ii)). One of these two alternating trails ending at x can be extended to include a vertex x_1 in T . Now we consider a maximal alternating trail starting at s that includes the vertex x_1 and ends with a vertex in T . The trail cannot stop at a vertex x_1 because it has at least two incident edges of different colors. The next vertex is either a vertex in T or a vertex in a component with exactly one mismatched edge. If the next vertex x_2 is in T then it reached x_2 with an edge e_2 with $c(e) = c(x_2)$. If the next vertex is in a component O_1 with exactly one mismatched edge, then the alternating trail must enter O_1 with the mismatched edge (since the edge colors need to alternate). The component O_1 must have at least another edge connected to T as G is bridgeless and v or u cannot be in it. Hence, the alternating trail can be extended to another vertex in T (Theorem 3.1.2, (ii) and maximality of

T), say x_2 . This means we have an alternating trail of the type

$$s = x_0, T_1, x_1, T_2, x_2 \dots x_i \dots,$$

where T_j are alternating trails starting at a vertex in $x_{j-1} \in T$ and ending at a vertex $x_j \in T$. The internal vertices of T_j may be empty or from a component of $G_e - T$ that have exactly one mismatched edge. This trail cannot stop when a vertex $x_j \in T$ is visited for the first time. Since there are finitely many vertices in T the alternating trail will eventually repeat a vertex in T and that will give the desired closed alternating trail. \square

It may be noted that this also implies the Seymour-Giles Lemma as a corollary. In [7] it was shown that they are equivalent. For completeness we state Seymour-Giles Lemma.

Suppose that we are given a graph $G(V, E)$, and a function $F : V \rightarrow E$ mapping each vertex to one of its incident edges. We say a circuit respects F , if for every vertex u in the circuit, the edge $F(u)$ is also in the circuit.

Lemma 3.2.1. (*Seymour-Giles [20]*) *Given a bridgeless graph $G(V, E)$ and a function F as described above, there exists a circuit respecting the function F .*

Proof. We construct a new two colored graph $G(V', E', c)$ as follows. We start with the graph G . If an edge $e = \{u, v\} \in E(G)$ appears as the image of F only for the vertex u , then add a new vertex u_e , remove the edge e , and add two new edges $\{u, u_e\}$ and $\{u_e, v\}$. Color the first edge red and the latter edge blue. If $e = \{u, v\} \in E(G)$ appears as the image of F for the vertex u and the vertex v as well, then color it red. All other remaining uncolored edges are colored blue. In this graph by we can apply Theorem 3.2.3, and the closed alternating trail gives the desired F respecting circuit. \square

Seymour-Giles Lemma can be proved using shrinking or matching theory techniques. In [2] Seymour-Giles original “shrinking” proof converted into an polynomial time algorithm.

In Step (2) of the algorithm, once we have a positive length closed alternating trail T , we next need to compute the maximum amount t that can be subtracted from the weights of the edges of T . Let $w_t : E \rightarrow \mathbb{Q}_{\geq 0}$ be the weight function after

subtracting t from the weights of the edges in T . The maximum value of t should satisfy the following conditions:

1. $w_t(e) \geq 0$ for each $e \in E$
2. w_t satisfy the balance condition
3. w_t satisfy the cut condition

Since T is a closed alternating trail, the balance condition will be automatically satisfied. Let t_1 be the minimum weight of the edges in T . In order to satisfy condition (a), the value of t should be at most t_1 . If w_{t_1} satisfies the cut condition, then t_1 is the required maximum value.

Suppose w_{t_1} does not satisfy the cut condition. Then we compute the maximum t which does not violate the cut condition. For each edge e we perform the following step: If $e \in T$, then for any cut D containing e , $w_t(e) = w(e) - t$ and $w_t(D - e) = w(D) - w(e) - (|D \cap T| - 1)t$. Thus w_t satisfies the cut condition if for all cuts D containing e , $w(e) - t \leq w(D) - w(e) - (|D \cap T| - 1)t$. For maximum value of t , we need to minimize the following ratio for all cuts D containing e :

$$\frac{w(D) - 2w(e)}{|D \cap T| - 2}. \quad (3.2.0.1)$$

(Similarly, if $e \notin T$, then we need to minimize the ratio $\frac{w(D) - 2w(e)}{|D \cap T|}$ for all cuts D containing e .)

To minimize (3.2.0.1), we use a technique by Megiddo (see [14]).

Now we consider two minimization problems, Problem A and Problem B.

Problem A:

Minimize $c_1x_1 + \cdots + c_nx_n$
 subject to $x := (x_1, x_2, \dots, x_n) \in F$, where F is a set of feasible

solutions.

Problem B:

Minimize $\frac{a_0 + a_1x_1 + \cdots + a_nx_n}{b_0 + b_1x_1 + \cdots + b_nx_n}$

subject to $x \in F$ as in Problem A and denominator is always positive.

Main result of Megiddo is the following.

Theorem 3.2.4. (Megiddo [14]) *If Problem A is solvable within $O(p(n))$ comparisons and $O(q(n))$ additions then Problem B is solvable in time $O(p(n)(p(n) + q(n)))$.*

A natural idea is to consider Problem B and solve for a given parameter t , Problem A with $c_i = a_i - tb_i$. If v is the optimal value of Problem A and $v = tb_0 - a_0$ then t is the optimum value of Problem B and the x^* is optimum for both problems A and B. If $v < tb_0 - a_0$ then smaller t should be tested. If $v > tb_0 - a_0$ then smaller t should be tested.

This leads to a natural algorithm to find optimum t . Start with a t then increase or decrease t according to the value obtained by solving Problem A. This can lead to solving Problem A arbitrary large number of times. The key idea is to limit the number of times Problem A needs to be solved to find the optimum t . Megiddo showed that the number of calls to Problem A is not more than number of comparisons made by the algorithm for solving Problem A.

Megiddo's algorithm simulates A-algorithm where the goal is a linear parametric function in t . Initially $t \in [-\infty, \infty]$. Then the feasible interval reduces throughout the execution and finally produces the optimum value. At each "comparison" or branching point reached in the execution of the A-algorithm, the corresponding critical value of t is tested by running the A-algorithm with t fixed at the critical value. Then the appropriate interval is selected and the next comparison point is considered. At the end, the optimal value of problem A will be given in the form of a linear function $v(t)$ defined over an interval $[e, f]$ which contains the optimum value. The optimum value is then calculated by solving $v(t) = tb_0 - a_0$. In particular only when optimum values are to be compared the A-algorithm needs to run with a numerical value. So the number of times the A-algorithm needs to run is equal to the number of comparisons that are used in the A-algorithm.

In our case for a given cut B , $T \in \mathcal{T}$ and edge $e \in T$, we have Problem B with:

1. $a_0 = w(e)$, and $a_f = w(f)$ for all edges $f \in E(G)$
2. $b_0 = -2$, $b_f = 1$ if $f \in T$, otherwise $b_f = 0$.
3. The set F is the set of cuts ($|E|$ -dimensional 0-1 vectors such that edges corresponding to 1 form a cut).

This means, Problem A is the min-cut problem with capacities $c_j = a_j - tb_j$, which may include some negative c_j 's. If the capacities are negative, then we have to solve

the max-cut problem, which is NP-complete. However, we already have an upper bound for the value of the minimum ratio, namely t_{\min} weight of the bottleneck edge in T . Hence, we may start by restricting t in the interval $I := [0, t_{\min}]$. In this way, all the c_j 's will be nonnegative and we will be able to solve the minimum cut problem required in Megiddo's algorithm. Min-cut can be solved using network flow algorithms in time order $O(|V|^3)$ and it uses at most $O(|V|^3)$ comparisons. Using binary search on the interval we can get a polynomial time algorithm in the size of the input. Using Megiddo's idea we get a strongly polynomial time algorithm in time $O(|V|^6)$.

Now we can solve Problem B for all edges in T using the above procedure. This can be done in time $O(|V|^6|E|)$. Let the minimum value of t over all these edges be t_1 .

We also have to ensure that edges outside the trail T do not violate any cut. This is also a ratio minimization problem as in the previous case. The only change in Problem B will be $b_0 = 0$. This can be done in time $O(|V|^6)$ for each edge. Hence, for all edges not in T it will take time $O(|V|^6|E|)$. Let t_2 be the upper bound for t obtained by minimizing over all the edges not in T .

Let $t = \min(t_1, t_2)$. This is the t that we need to use in step 2 of Algorithm 1.

Now we need a few lemmas to find the time taken by Algorithm 1.

Lemma 3.2.2. *The time taken to verify the balance condition is $O(|V||E|)$.*

Proof. To check balance condition at a vertex it takes time $O(|E|)$. Repeating this for all vertices takes time $O(|V||E|)$. \square

Lemma 3.2.3. *The time taken to verify the cut condition for the graph $G(E, V, w, c)$ is $O(|E||V|^3)$.*

Proof. We need to check for each edge $e = \{u, v\} \in E$ and every cut B with $e \in B$, that it does not violate cut condition. There are exponentially many such inequalities to verify. We accomplish this in polynomial time by finding the min weight $u - v$ cut B in $G - e$ using network flow algorithm. If $2w(e) \leq w(B)$ then the edge e satisfies the the cut condition for every $u-v$ cut. This we need to do for every edge in G . Max-flow algorithm can find min-cut in time $O(|V|^3)$ ([13]). So this verification can be done in $O(|E||V|^3)$. \square

Next we bound the time taken by step 2 of Algorithm 1.

Lemma 3.2.4. *The time taken to find a closed alternating trail in $G(V, E, w, c)$ is $O(|E|^3)$.*

Proof. Using the construction of Szabó we have a graph $G'(V', E')$ with number of vertices of $O(|E|)$ and edges $O(|E|^2)$. In this graph G' we need to find augmenting paths or a Tutte set. This takes time at most $|V'||E'|$ ([16]). Thus, we can find a closed alternating trail using Theorem 3.2.3 in time $O(|E|^3)$. \square

Now, we can find how long it takes to execute step 2 of the algorithm once. To find a closed alternating trail it takes time $O(|E|^3)$. To find the maximum possible weight that can be subtracted we need time $O(|V|^6|E|)$. So the total time taken for executing step 2 once is $O(|V|^6|E|)$.

Each time step 2 is executed either the weight of an edge is reduced to zero or a tight cut with at least 3 vertices on each side is formed. If such a tight cut is formed we go to Step 1. Else we continue with step 2. So step 2 can be executed at most $O(|E|)$ times before step 1 is executed or the algorithm stops.

In step 1 to find a tight cut we use max-flow algorithm on $G - e$. This may be repeated $O(E)$ times. So this part in step 1 may take time $|V|^3|E|$. If the tight cut is found then two smaller problems are solved.

Let $T(n)$ be the time taken for the algorithm to run, where $n = |V|$. We may bound $|E|$ by n^2 . Then the following recurrence relation is satisfied.

$$\begin{aligned} T(n) &= T(n - k + 1) + T(k + 1) + O(|V|^6|E|) \\ &= T(n - k + 1) + T(k + 1) + O(n^8). \end{aligned}$$

This shows that $T(n) = O(n^9)$.

The overall time can be improved by a factor of n by using appropriate data structures and speedup of Megiddo's algorithm.

This completes the proof of Theorem 3.2.2.

An illustration of the algorithm is given in the Figure 3.4. In this example, we first remove the outer CAT. Then the resulting graph has a tight-cut. Then we reduce our problem to two smaller graphs and solve them recursively. Finally we join the solutions for smaller graphs to solve the problem for the original graph.

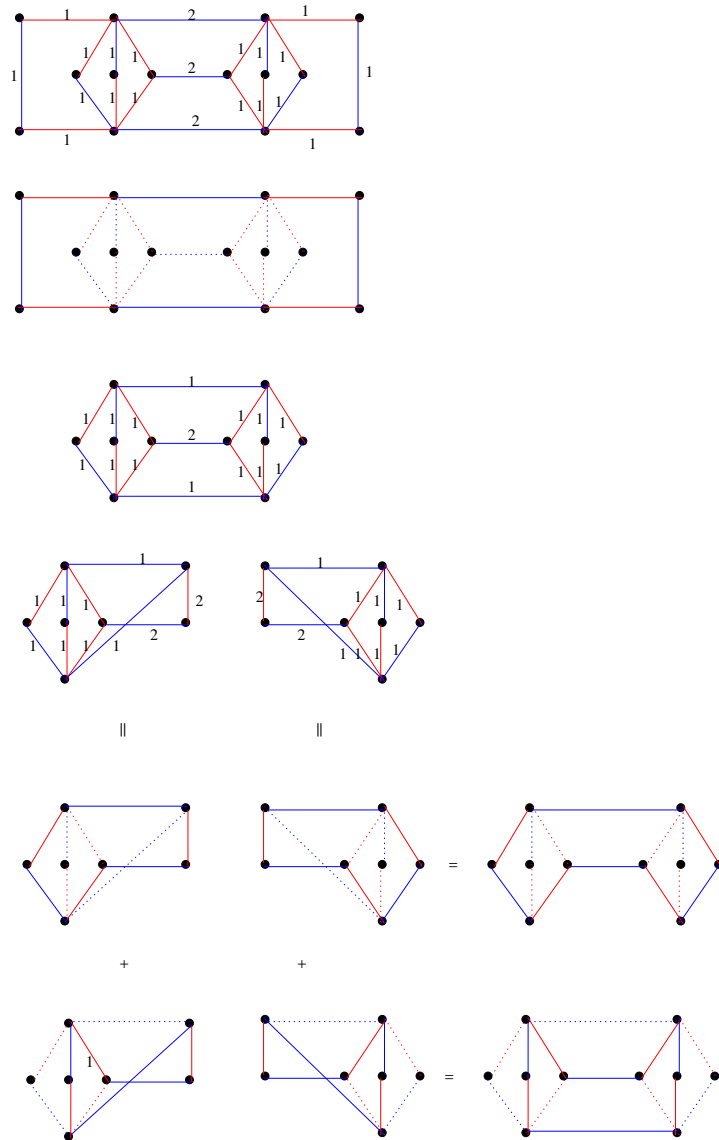


Figure 3.4

In [7] it was conjectured that if G is a integer sum of circuits and balanced then it is also a sum of closed alternating trails. In [18] it was pointed out that it is indeed the case.

It is natural to consider integer sum of closed alternating trails. Seymour considered the question integer sum of circuits. It seems that if all weights are integers and weight of every cut is even then the graph can be written as an integer sum of circuits. This is not so. For example consider the Peterson graph with weights and colors as follows. Let the unique perfect matching have weight 2 and all other edges have weight 1. Color the edges in the matching red and all other edges blue. See Figure 3.5.

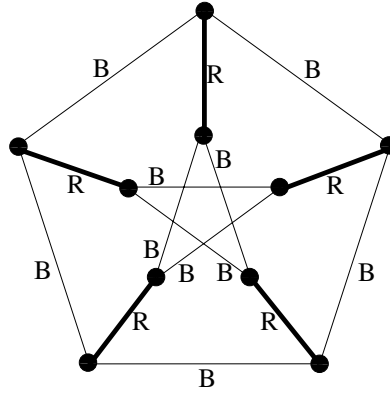


Figure 3.5: The bold edges have weight 2 and the rest of the edges have weight 1.

Seymour used four color theorem to show that if a graph is planar with integer weights, and satisfies the cut condition and all cuts have even weight then it can be written as a sum of circuits.

It seems that Petersen graph is the main obstacle to integer sum of circuits. In [1] it was shown that if a graph has integer weights, satisfies the cut conditions, every cut has even weight and does not contain a blistered Peterson graph (essentially the example given) as a minor then it can be written as an integer sum of circuits.

Using observations in [18] it follows that the corresponding result will hold for colored graphs.

We end with the following conjecture.

Conjecture 1. *If a graph is balanced, all edge weights are even and satisfies the cut*

condition then it is integral sum of closed alternating trails.

A simple case of this is the cycle double cover conjecture, where the weight of every edge is 2. In this case itself the problem reduces to ‘snarks’ and it gets very difficult to solve. Possibly the algebraic or integer programming ideas outlined in [18] will be more tractable.

Bibliography

- [1] B. Alspach, L. Goddyn and C. Zhang, Graphs with the Circuit Cover Property, *Transactions of the American Mathematical Society*, Vol. 344, No. 1 (Jul., 1994), pp. 131-154. [48](#)
- [2] E. M. Arkin and C. H. Papadimitriou. On the complexity of circulations, *J. Algorithms*, 7:134-145, 1986. [12](#), [38](#), [41](#), [42](#)
- [3] L. W. Beineke, Derived graphs and digraphs, *Beiträge zur Graphentheorie, Leipzig*, (1968) 17–33. [2](#), [16](#)
- [4] C. Berge, *Hypergraphs. Combinatorics of Finite Sets*, North-Holland Mathematical Library, Amsterdam, 1989. [22](#)
- [5] J. C. Bermond and J. C. Meyer, Graphs representatif des arêtes dun multigraphe, *J. Math. Pures Appl.*, **52** (1973) 299–308. [2](#), [16](#)
- [6] A. Bhattacharya, U. N. Peled, and M. K. Srinivasan, Cones of closed alternating walks and trails, *Linear Algebra and its Applications* **423**: 351–365, (2007). [12](#), [38](#)
- [7] A. Bhattacharya, U. N. Peled, and M. K. Srinivasan, The cone of balanced subgraphs, *Linear Algebra and its Applications* **431**: 266–273, (2009). [38](#), [40](#), [42](#), [48](#)
- [8] A. Bhattacharya, U. N. Peled, and M. K. Srinivasan, Alternating Reachability, *arXiv:math/0511675v2*. [10](#), [12](#), [13](#), [34](#), [36](#)
- [9] J. Edmonds, Paths, trees, and flowers, *Canadian Journal of Mathematics* **17**: 449–467, (1965). [30](#)

- [10] J. Krausz, Demonstration nouvelle d'un théorème de Whitney sur les réseaux, *Mat. Fiz. Lapok*, 50 (1943), pp. 75–89. [2](#)
- [11] L. Lovász, Problem 9, *Beiträge zur Graphentheorie und deren Anwendungen: Vorgetragen auf dem international kolloquium*, Oberhof (1977) P. 313. [4](#), [16](#)
- [12] L. Lovász and M. D. Plummer, *Matching theory*, Annals of Discrete Mathematics **29** (1986). [11](#), [30](#)
- [13] V. M. Malhotra, M. Pramodh Kumar, S. N. Maheshwari, An $O(V^3)$ algorithm for finding maximum flows in networks *Information Processing Letters*, Volume 7, Issue 6, October 1978, Pages 277–278. [45](#)
- [14] N. Megiddo, Combinatorial optimization with rational objective functions, *Mathematics of Operations Research* **4** (4) : 414–424, (1979). [13](#), [39](#), [43](#), [44](#)
- [15] Y. Metelsky and R. Tyshkevich, *On Line Graphs of Linear 3-Uniform Hypergraphs*, On line graphs of linear 3-uniform hypergraphs, *J. Graph Theory*, 25 (1997) 243–251. [5](#), [16](#)
- [16] S. Micali, V. Vazirani, An $O(V^{\frac{1}{2}}E)$ algorithm for finding maximum matching in general graphs, *21st Annual Symposium on Foundations of Computer Science*, 1980 IEEE Computer Society Press, New York. pp. 17–27. [46](#)
- [17] R. N. Naik, S. B. Rao, S. S. Shrikhande and N. M. Singhi, Intersection graphs of k-uniform linear hypergraphs, *European J. Combin.*, **3** (1982)159–172. [4](#), [16](#)
- [18] S. Petrović, A survey of discrete methods in (algebraic) statistics for networks, *arXiv:1510.02838*. [48](#), [49](#)
- [19] A. Schrijver, *Theory of Linear and Integer Programming*, Wiley, 1998. [40](#)
- [20] P. D. Seymour, Sums of Circuits, *Graph Theory and Related Topics*, Eds: J. A. Bondy and U. S. R. Murty, Academic Press, New York, 341–355, (1979). [7](#), [27](#), [42](#)
- [21] P. V. Skums, S. V. Suzdal and R. I. Tyshkevich, Edge intersection graphs of linear 3-uniform hypergraphs, *Discrete Mathematics*, **309** (2009) 3500–3517. [5](#), [16](#)
- [22] W. T. Tutte, *Graph theory*, Addison-Wesley, (1984). [10](#), [37](#)

- [23] W. T. Tutte, The method of alternating paths, *Combinatorica* **2** (3) : 325–332, (1982). [10](#), [37](#)