

EXERCISES

CHAPTER 4

SEAN LI ¹

1. Reducted

Definition Some rules for reference.

$$\begin{array}{c} \frac{}{\emptyset \vdash * : \square} \text{Sort} \qquad \frac{\Gamma \vdash A : s \quad x \notin \text{dom } \Gamma}{\Gamma, x : A \vdash x : A} \text{Var} \\[10pt] \frac{\Gamma \vdash A : B \quad \Gamma \vdash C : s \quad x \notin \text{dom } \Gamma}{\Gamma, x : C \vdash A : B} \text{Weak} \qquad \frac{\Gamma \vdash A : s \quad \Gamma \vdash B : s}{\Gamma \vdash A \rightarrow B : s} \text{Form} \\[10pt] \frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : B} \text{App} \\[10pt] \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash A \rightarrow B : s}{\Gamma \vdash \lambda x : A . M : A \rightarrow B} \text{Abst} \\[10pt] \frac{\Gamma \vdash A : B \quad \Gamma \vdash B' : s \quad B \stackrel{\beta}{=} B'}{\Gamma \vdash A : B'} \text{Conv} \end{array}$$

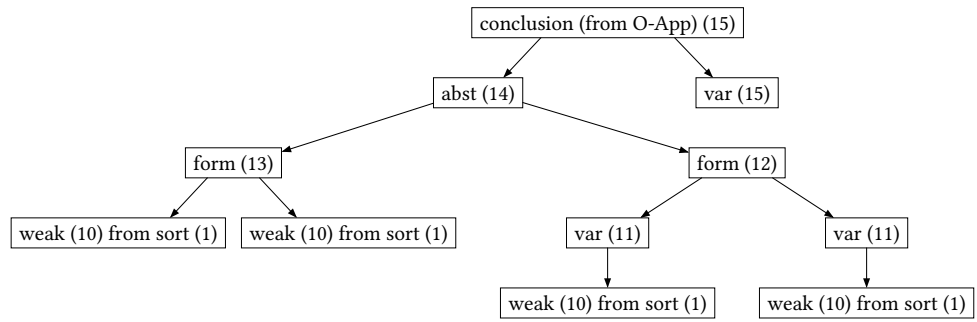
Previously an alternative version of the flag derivation was used, only putting up a flag for a local premise (abstraction unwrapping) to save horizontal space.

Currently, the standard flag derivation format will be used since now single lines will not be as long.

Problem

(4.1) Give a complete tree diagram of the derivation in section 4.5 (95)

Solution.



Problem

(4.2 a) Give a complete $\lambda\omega$ derivation in flag format of

$$\emptyset \vdash (* \rightarrow *) \rightarrow * : \square$$

Solution.

- | | |
|--|-----------------|
| 1. $* : \square$ | Sort |
| 2. $* \rightarrow * : \square$ | 1,1 Form |
| 3. $(* \rightarrow *) \rightarrow * : \square$ | 2,1 Form |

Problem

(4.2 b) Give a complete $\lambda\omega$ derivation in flag format of

$$\alpha : *, \beta : * \vdash (\alpha \rightarrow \beta) \rightarrow \alpha : *$$

Solution.

| | | |
|----|---|-----------------|
| 1. | $\emptyset \vdash * : \square$ | Sort |
| 2. | $\alpha : *$ | |
| 3. | $\alpha : *$ | 1 Var |
| 4. | $* : \square$ | 1,1 Weak |
| 5. | $\beta : *$ | |
| 6. | $\alpha : *$ | 3,4 Weak |
| 7. | $\beta : *$ | 4 Var |
| 8. | $\alpha \rightarrow \beta : *$ | 6,7 Form |
| 9. | $(\alpha \rightarrow \beta) \rightarrow \alpha : *$ | 8,6 Form |

Problem

(4.3 a) Give a complete $\lambda\omega$ derivation in flag format of

$$\alpha, \beta : *, x : \alpha, y : \alpha \rightarrow \beta \vdash y x : \beta$$

Solution.

| | | |
|-----|--------------------------------|-------------------|
| 1. | $* : \square$ | Sort |
| 2. | $\alpha : *$ | |
| 3. | $\alpha : *$ | 1 Var |
| 4. | $* : \square$ | 1,1 Weak |
| 5. | $\beta : *$ | |
| 6. | $\beta : *$ | 4 Var |
| 7. | $\alpha : *$ | 3,4 Weak |
| 8. | $* : \square$ | 4,4 Weak |
| 9. | $x : \alpha$ | |
| 10. | $x : \alpha$ | 7 Var |
| 11. | $\alpha : *$ | 7,7 Weak |
| 12. | $\beta : *$ | 6,7 Weak |
| 13. | $\alpha \rightarrow \beta : *$ | 11,12 Form |
| 14. | $y : \alpha \rightarrow \beta$ | |
| 15. | $y : \alpha \rightarrow \beta$ | 13 Var |
| 16. | $x : \alpha$ | 10,13 Weak |
| 17. | $y x : \beta$ | 15,16 App |

Problem

(4.3 b) Give a shortened λ_{ω} derivation in flag format of

$$\alpha, \beta : *, x : \alpha, y : \alpha \rightarrow \beta, z : \beta \rightarrow \alpha \vdash z (y x) : \alpha$$

(4.3 b) Give a shortened λ_{ω} derivation in flag format of

$$\alpha, \beta : *, x : \alpha, y : \alpha \rightarrow \beta, z : \beta \rightarrow \alpha \vdash z (y x) : \alpha$$

Solution.

| | | |
|-----|--------------------------------|----------------|
| 1. | $\alpha : *$ | |
| 2. | $\beta : *$ | |
| 3. | $x : \alpha$ | |
| 4. | $y : \alpha \rightarrow \beta$ | |
| 5. | $x : \alpha$ | 3 Weak |
| 6. | $z : \beta \rightarrow \alpha$ | |
| 7. | $x : \alpha$ | 5 Weak |
| 8. | $y : \alpha \rightarrow \beta$ | 4 Weak |
| 9. | $y\ x : \beta$ | 8,7 App |
| 10. | $z\ (y\ x) : \alpha$ | 6,9 App |

Problem

(4.4 a) Give a shortened $\lambda_{\underline{\omega}}$ derivation in flag format of

$$\alpha : *, \beta : * \rightarrow * \vdash \beta(\beta\alpha) : *$$

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$$\alpha : *, \beta : * \rightarrow * \vdash \beta(\beta\alpha) : *$$

Solution.

$$\begin{array}{ll}
1. & \alpha : * \\
2. & \left| \beta : * \rightarrow * \right. \\
3. & \left| \left| \beta\alpha : * \right. \right. \quad \mathbf{2,1 \text{ App}} \\
4. & \left| \left| \beta(\beta\alpha) : * \right. \right. \quad \mathbf{2,4 \text{ App}}
\end{array}$$

Problem

(4.4 b) Give a shortened $\lambda\underline{\omega}$ derivation in flag format of

$$\alpha : *, \beta : * \rightarrow *, x : \beta(\beta\alpha) \vdash \lambda y : \alpha. x : \alpha \rightarrow \beta(\beta\alpha)$$

(4.4 b) Give a shortened $\lambda\underline{\omega}$ derivation in flag format of

$$\alpha : *, \beta : * \rightarrow *, x : \beta(\beta\alpha) \vdash \lambda y : \alpha. x : \alpha \rightarrow \beta(\beta\alpha)$$

Solution.

$$\begin{array}{lcl}
 1. & \alpha : * & \\
 2. & \left| \beta : * \rightarrow * \right. & \\
 3. & \left| \left| x : \beta(\beta\alpha) \right. \right. & \\
 4. & \left| \left| \left| y : \alpha \right. \right. \right. & \\
 5. & \left| \left| \left| \left| x : \beta(\beta\alpha) \right. \right. \right. & \mathbf{3 \text{ Var}} \\
 6. & \left| \left| \left| \left| \lambda y : \alpha. x : \alpha \rightarrow \beta(\beta\alpha) \right. \right. \right. & \mathbf{5 \text{ Abst}}
 \end{array}$$

Problem

(4.4 c) Give a shortened $\lambda\omega$ derivation in flag format of

$$\emptyset \vdash \lambda\alpha : *. \lambda\beta : * \rightarrow *. \beta(\beta\alpha) : * \rightarrow (* \rightarrow *) \rightarrow *$$

Solution.

$$\begin{array}{lcl}
 1. & \alpha : * & \\
 2. & \left| \beta : * \rightarrow * \right. & \\
 3. & \left| \left| \beta\alpha : * \right. \right. & \mathbf{2,1 \text{ App}} \\
 4. & \left| \left| \left| \beta(\beta\alpha) : * \right. \right. \right. & \mathbf{2,3 \text{ App}} \\
 5. & \left| \left| \left| \lambda\beta : * \rightarrow *. \beta(\beta\alpha) : (* \rightarrow *) \rightarrow * \right. \right. \right. & \mathbf{4 \text{ Abst}} \\
 6. & \lambda\alpha : *. \lambda\beta : * \rightarrow *. \beta(\beta\alpha) : * \rightarrow (* \rightarrow *) \rightarrow * & \mathbf{5 \text{ Abst}}
 \end{array}$$

Problem

(4.4 d) Give a shortened $\lambda\omega$ derivation in flag format of

$$\mathbf{nat} : * \vdash (\lambda\alpha : *. \lambda\beta : * \rightarrow *. \beta(\beta\alpha)) \mathbf{nat} (\lambda\gamma : *. \gamma) : *$$

Solution.

$$\begin{array}{lcl}
 1. & \mathbf{nat} : * & \\
 2. & \left| \alpha : * \right. & \\
 3. & \left| \left| \beta : * \rightarrow * \right. \right. & \\
 4. & \left| \left| \left| \beta\alpha : * \right. \right. \right. & \mathbf{3,2 \text{ App}} \\
 5. & \left| \left| \left| \left| \beta(\beta\alpha) : * \right. \right. \right. & \mathbf{3,4 \text{ App}} \\
 6. & \left| \left| \left| \lambda\beta : * \rightarrow *. \beta(\beta\alpha) : (* \rightarrow *) \rightarrow * \right. \right. \right. & \mathbf{5 \text{ Abst}}
 \end{array}$$

| | | |
|-----|---|-----------------|
| 7. | $\lambda\alpha : * . \lambda\beta : * \rightarrow * . \beta(\beta\alpha) : * \rightarrow (* \rightarrow *) \rightarrow *$ | 6 Abst |
| 8. | $(\lambda\alpha : * . \lambda\beta : * \rightarrow * . \beta(\beta\alpha)) \text{ nat} : (* \rightarrow *) \rightarrow *$ | 7,1 App |
| 9. | $\gamma : *$ | |
| 10. | $\boxed{\gamma : *}$ | 9 Var |
| 11. | $\lambda\gamma : * . \gamma : * \rightarrow *$ | 10 Abst |
| 12. | $(\lambda\alpha : * . \lambda\beta : * \rightarrow * . \beta(\beta\alpha)) \text{ nat} (\lambda\gamma : * . \gamma) : *$ | 8,11 App |

Problem

(4.5) Give a shortened $\lambda\omega$ derivation in flag format of

$$\alpha : * . x : \alpha \vdash \lambda y : \alpha . x : (\lambda\beta : * . \beta \rightarrow \beta)\alpha$$

Solution.

| | | |
|-----|---|-----------------|
| 1. | $\alpha : *$ | |
| 2. | $x : \alpha$ | |
| 3. | $y : \alpha$ | |
| 4. | $\boxed{x : \alpha}$ | 2 Weak |
| 5. | $\lambda y : \alpha . x : \alpha \rightarrow \alpha$ | 4 Abst |
| 6. | $\beta : *$ | |
| 7. | $\boxed{\beta \rightarrow \beta : *}$ | 6,6 Form |
| 8. | $\lambda\beta : * . \beta \rightarrow \beta : * \rightarrow *$ | 7 Abst |
| 9. | $(\lambda\beta : * . \beta \rightarrow \beta)\alpha : *$ | 8,1 App |
| 10. | $\boxed{\lambda y : \alpha . x : (\lambda\beta : * . \beta \rightarrow \beta)\alpha}$ | 5,9 Conv |

Problem

(4.6 a) Show that no such context Γ and term M in $\lambda\omega$ such that

$$\Gamma \vdash \square : M$$

is derivable.

Solution. Proof by induction on inference rules. Rules like Sort, Var, Form, Abst, App has syntactically or semantic different conclusions than $\square : M$.

Case 1 : Rule Weak. Let $\Gamma', C : s \equiv \Gamma$. Therefore this derivation requires a premise $\Gamma' \vdash \square : M$. By the inductive hypothesis this is impossible. ■

Case 2 : Rule Conv. This derivation requires a premise $\Gamma \vdash \square : M'$ such that $M \equiv_{\beta} M'$. By the inductive hypothesis this is impossible. ■

By the principle of induction this proves that there's no derivation that could give $\Gamma \vdash \square : M$.

Problem

(4.6 b) Prove there are no such context Γ and terms M and N in $\lambda\omega$ such that $\Gamma \vdash M \rightarrow \square : N$

Solution. Proof by induction on inference rules. Rules like Sort, Var, Abst, App has syntactically or semantically conclusions than $M \rightarrow \square : N$.

Case 1 : Rule Weak. Let $\Gamma', C : s \equiv \Gamma$. The derivation requires a premise $\Gamma' \vdash M \rightarrow \square : N$. By the inductive hypothesis this is impossible. ■

Case 2 : Rule Form. This requires a derivation with premise $\Gamma \vdash \square : N$, which by 4.6 a is not possible. ■

Case 3 : Rule Conv. This requires a premise $\Gamma \vdash M \rightarrow \square : N'$ such that $N \equiv N'$. By the inductive hypothesis this is impossible. ■

By the principle of induction this proves there's no derivation that could give $\Gamma \vdash M \rightarrow \square : N$.

Problem

(4.7 a) Give $\lambda\omega$ definition of the notion legal term, $\lambda\omega$ context and domain.

Solution.

Definition *Legal Term* are typable terms. That is, a term M is legal iff there exists a context Γ and a legal higher-sorted term α under Γ such that $\Gamma \vdash M : \alpha$.

Definition $\lambda\omega$ Context.

1. \emptyset is a $\lambda\omega$ context.
 2. When Γ is a valid $\lambda\omega$ context, α is valid under Γ , and type of x is α , and $x \notin \text{dom } \Gamma$, then the context $\Gamma, x : \alpha$ is valid in $\lambda\omega$.
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Definition *Domain*

1. $\text{dom } \emptyset = \{\}$
 2. $\text{dom } \Gamma, x : s = \text{dom } \Gamma \cup \{x\}$
-

Problem

(4.7 b) Formulate the Free Variables Lemma, Thinning Lemma, and Substitution Lemma for $\lambda\omega$.

Solution.

Lemma 1. **FV Lemma ($\lambda\omega$).** For any legal term M under Γ , $\text{FV } M \subseteq \text{dom } \Gamma$.

More specifically,

$$\begin{aligned} \forall M, \alpha \in \Lambda_{\omega}, \Gamma \vdash \alpha : s, \Gamma \vdash M : \alpha &\implies \text{FV } M \subseteq \text{dom } \Gamma \\ M \equiv \square &\implies \text{FV } M \equiv \emptyset \subseteq \text{dom } \Gamma \end{aligned}$$

Lemma 2. **Thinning Lemma ($\lambda\omega$).** For any legal term M in Γ' and $\Gamma' \subseteq \Gamma$, M is legal under Γ .

Lemma 3. **Substitution Lemma ($\lambda\omega$).** Assume term $\kappa : s$ under context Γ' . Under another context Γ'' given a term $\Gamma'' \vdash N : \kappa$ and another context Γ such that $\Gamma, x : \kappa, \Gamma' \vdash M : A$ for some type $A : s$ under Γ . Then

$$\Gamma, \Gamma', \Gamma'' \vdash M [x := N] : A$$

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Completed Dec 20 1:23 am.