# Lecture 03: Language models, Recurrent Neural Networks

MADE, Moscow 08.04.2020

Radoslav Neychev

#### Outline

- 1. RNN intuitions
- 2. LSTM
- 3. Names generation from scratch
- 4. Q & A

Optional: Vanishing gradient and attention outro

#### RNNs generating...

#### Shakespeare

#### PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

#### Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

#### DUKE VINCENTIO:

Well, your wit is in the care of side and that.

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They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

#### Clown:

Come, sir, I will make did behold your worship.

#### VIOLA:

I'll drink it.

## Algebraic Geometry (Latex)

```
Proof. Omitted.
Lemma 0.1. Let C be a set of the construction.
   Let C be a gerber covering. Let F be a quasi-coherent sheaves of O-modules. We
have to show that
                                   \mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})
Proof. This is an algebraic space with the composition of sheaves \mathcal{F} on X_{Oute} we
have
                          O_X(F) = \{morph_1 \times_{O_X} (G, F)\}
where G defines an isomorphism F \to F of O-modules.
Lemma 0.2. This is an integer Z is injective.
Proof. See Spaces, Lemma ??.
Lemma 0.3. Let S be a scheme. Let X be a scheme and X is an affine open
covering. Let U \subset X be a canonical and locally of finite type. Let X be a scheme.
Let X be a scheme which is equal to the formal complex.
The following to the construction of the lemma follows.
Let X be a scheme. Let X be a scheme covering. Let
                      b: X \rightarrow Y' \rightarrow Y \rightarrow Y \rightarrow Y' \times_X Y \rightarrow X.
be a morphism of algebraic spaces over S and Y.
Proof. Let X be a nonzero scheme of X. Let X be an algebraic space. Let F be a
quasi-coherent sheaf of O_X-modules. The following are equivalent

 F is an algebraic space over S.

   (2) If X is an affine open covering.
Consider a common structure on X and X the functor O_X(U) which is locally of
finite type.
```

## Linux kernel (source code)

```
* If this error is set, we will need anything right after that BSD.
static void action new function(struct s stat info *wb)
 unsigned long flags;
 int lel idx bit = e->edd, *sys & -((unsigned long) *FIRST COMPAT);
 buf[0] = 0xFFFFFFFF & (bit << 4);
 min(inc, slist->bytes);
 printk(KERN WARNING "Memory allocated %02x/%02x, "
   "original MLL instead\n"),
   min(min(multi run - s->len, max) * num data in),
   frame pos, sz + first seg);
 div u64 w(val, inb p);
 spin unlock(&disk->queue lock);
 mutex unlock(&s->sock->mutex);
 mutex unlock(&func->mutex);
 return disassemble(info->pending bh);
```

Proof. Omitted.

Lemma 0.1. Let C be a set of the construction.

Let C be a gerber covering. Let F be a quasi-coherent sheaves of O-modules. We have to show that

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$$

.

Proof. This is an algebraic space with the composition of sheaves F on  $X_{\acute{e}tale}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{morph_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$$

where G defines an isomorphism  $F \to F$  of O-modules.

**Lemma 0.2.** This is an integer Z is injective.

Proof. See Spaces, Lemma ??.

**Lemma 0.3.** Let S be a scheme. Let X be a scheme and X is an affine open covering. Let  $U \subset X$  be a canonical and locally of finite type. Let X be a scheme. Let X be a scheme which is equal to the formal complex.

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Let X be a scheme. Let X be a scheme covering. Let

$$b: X \to Y' \to Y \to Y \to Y' \times_X Y \to X.$$

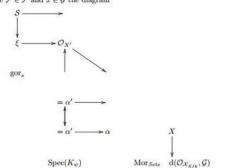
be a morphism of algebraic spaces over S and Y.

*Proof.* Let X be a nonzero scheme of X. Let X be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- F is an algebraic space over S.
- (2) If X is an affine open covering.

Consider a common structure on X and X the functor  $\mathcal{O}_X(U)$  which is locally of finite type.

This since  $F \in F$  and  $x \in G$  the diagram



is a limit. Then G is a finite type and assume S is a flat and F and G is a finite type  $f_*$ . This is of finite type diagrams, and

- the composition of G is a regular sequence,
- O<sub>X'</sub> is a sheaf of rings.

*Proof.* We have see that  $X = \operatorname{Spec}(R)$  and  $\mathcal{F}$  is a finite type representable by algebraic space. The property  $\mathcal{F}$  is a finite morphism of algebraic stacks. Then the cohomology of X is an open neighbourhood of U.

**Proof.** This is clear that G is a finite presentation, see Lemmas ??. A reduced above we conclude that U is an open covering of C. The functor F is a "field

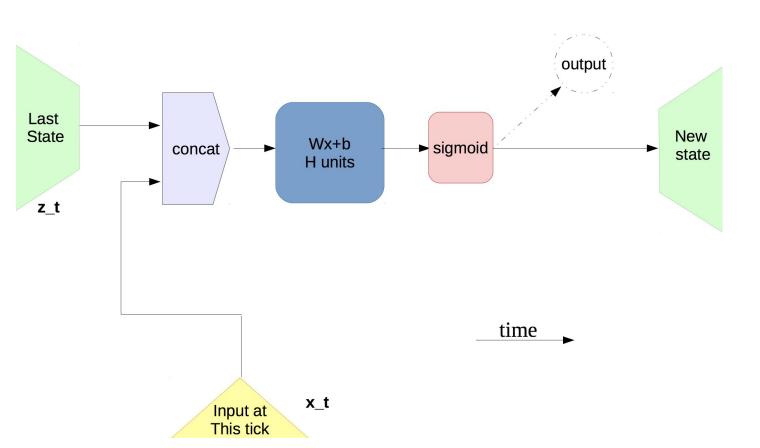
$$\mathcal{O}_{X,x} \longrightarrow \mathcal{F}_{\overline{x}} -1(\mathcal{O}_{X_{\text{étale}}}) \longrightarrow \mathcal{O}_{X_{\epsilon}}^{-1}\mathcal{O}_{X_{\lambda}}(\mathcal{O}_{X_{n}}^{\overline{v}})$$

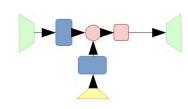
is an isomorphism of covering of  $\mathcal{O}_{X_i}$ . If  $\mathcal{F}$  is the unique element of  $\mathcal{F}$  such that X is an isomorphism.

The property  $\mathcal{F}$  is a disjoint union of Proposition ?? and we can filtered set of presentations of a scheme  $\mathcal{O}_{X}$ -algebra with  $\mathcal{F}$  are opens of finite type over S. If  $\mathcal{F}$  is a scheme theoretic image points.

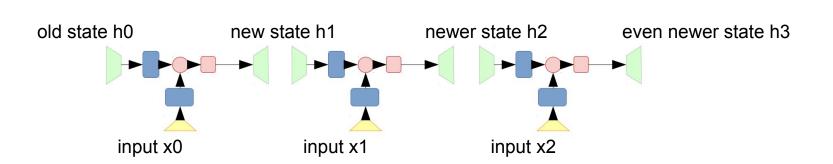
If  $\mathcal F$  is a finite direct sum  $\mathcal O_{X_\lambda}$  is a closed immersion, see Lemma  $\ref{Lemma}$ . This is a sequence of  $\mathcal F$  is a similar morphism.

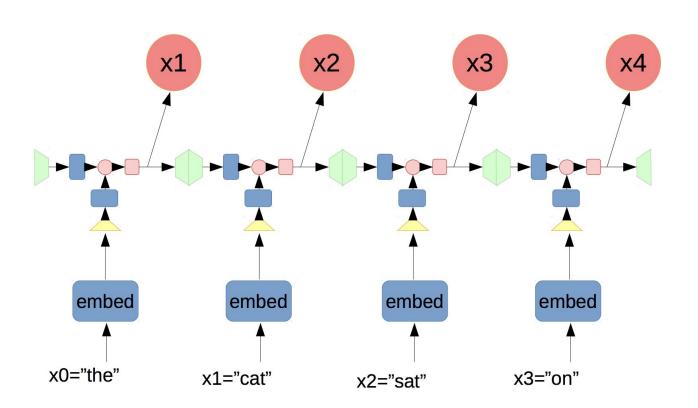
```
#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system info.h>
#include <asm/setew.h>
#include <asm/pgproto.h>
#define REG_PG vesa slot addr pack
#define PFM NOCOMP AFSR(0, load)
#define STACK DDR(type)
                         (func)
#define SWAP ALLOCATE(nr)
                             (e)
#define emulate sigs() arch get unaligned child()
#define access rw(TST) asm volatile("movd %%esp, %0, %3" :: "r" (0)); \
 if ( type & DO READ)
static void stat PC SEC read mostly offsetof(struct seg argsqueue, \
          pC>[1]);
static void
os prefix(unsigned long sys)
#ifdef CONFIG PREEMPT
 PUT PARAM RAID(2, sel) = get state state();
  set pid sum((unsigned long)state, current state str(),
           (unsigned long)-1->lr full; low;
}
```





#### We use same weight matrices for all steps





#### Now with formulas

$$h_{0} = \bar{0}$$

$$h_{1} = \sigma(\langle W_{\text{hid}}[h_{0}, x_{0}] \rangle + b)$$

$$h_{2} = \sigma(\langle W_{\text{hid}}[h_{1}, x_{1}] \rangle + b) = \sigma(\langle W_{\text{hid}}[\sigma(\langle W_{\text{hid}}[h_{0}, x_{0}] \rangle + b, x_{1}] \rangle + b)$$

$$h_{i+1} = \sigma(\langle W_{\text{hid}}[h_{i}, x_{i}] \rangle + b)$$

$$P(x_{i+1}) = \operatorname{softmax}(\langle W_{\text{out}}, h_{i} \rangle + b_{\text{out}})$$

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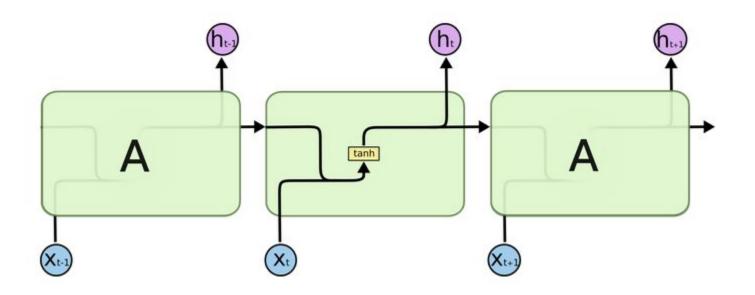
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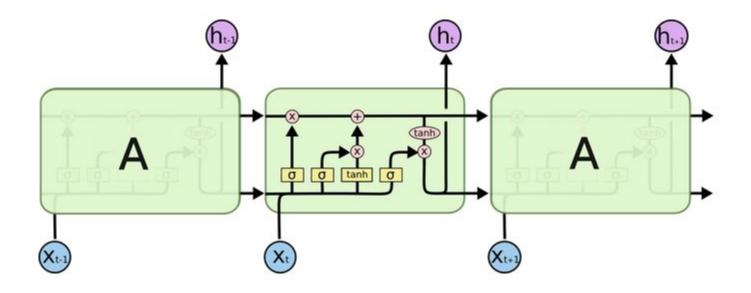
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 printk(KERN WARNING "Memory allocated %02x/%02x, "
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 div u64 w(val, inb p);
 spin unlock(&disk->queue lock);
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 mutex unlock(&func->mutex);
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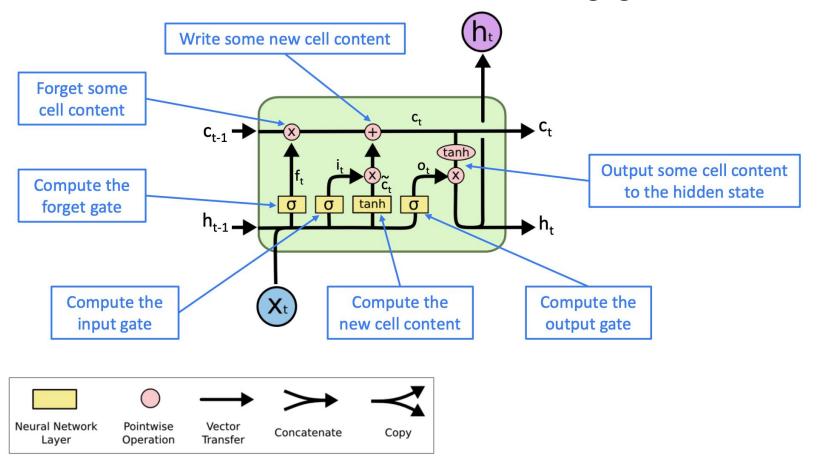
#### Vanilla RNN



#### LSTM



#### Vanishing gradient: LSTM



**Input gate:** controls what parts of the new cell content are written to cell

Output gate: controls what parts of cell are output to hidden state

**New cell content:** this is the new content to be written to the cell

**Cell state**: erase ("forget") some content from last cell state, and write ("input") some new cell content

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Sigmoid function: all gate values are between 0 and 1

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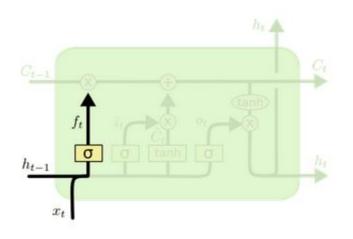
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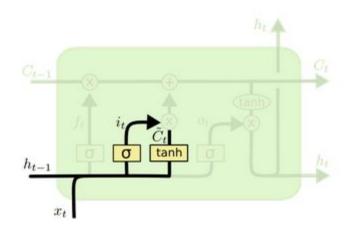
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Gates are applied using element-wise product

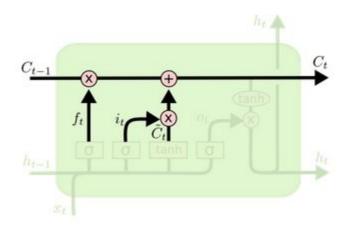
All these are vectors of same length *n* 



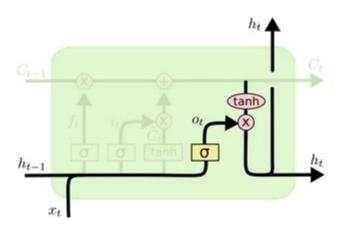
$$f_t = \sigma\left(W_f \cdot [h_{t-1}, x_t] + b_f\right)$$



$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
  
$$h_t = o_t * \tanh (C_t)$$

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$$m{ ilde{\phi}} m{h}^{(t)} = m{o}^{(t)} \circ anh m{c}^{(t)}$$

Gates are applied using element-wise product

All these are vectors of same length *n* 

## Tips

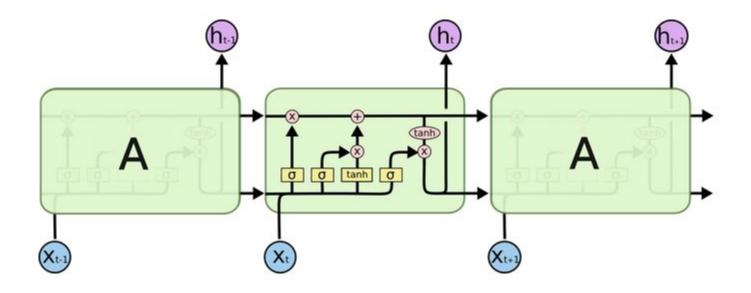
- Do not forget about dropout. It really rocks.
- Other regularization approaches are welcome as well (see previous lectures).
- Combining RNN and CNN worlds? Coming soon

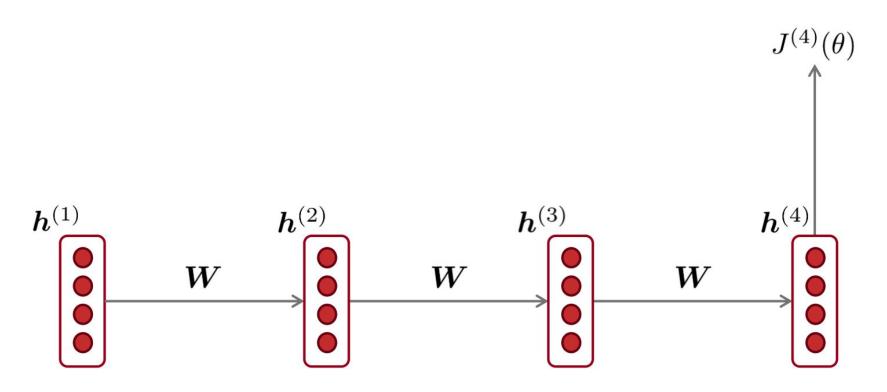
That's all. Feel free to ask any questions.

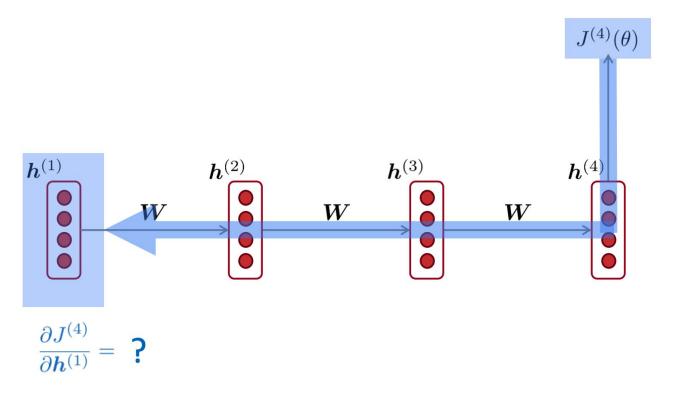
RNNs, we are coming. Time to generate some names!

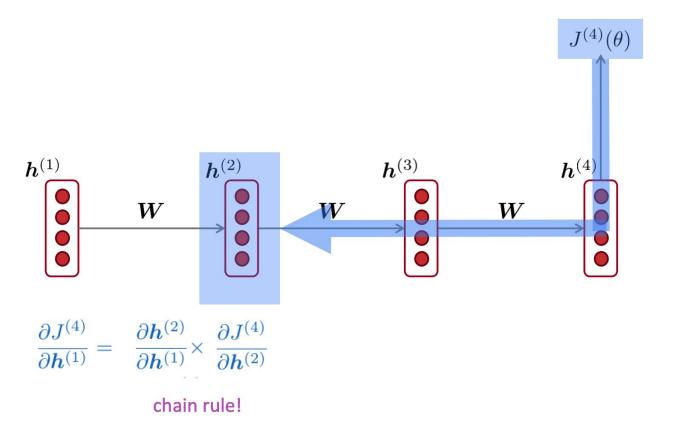
## Backlog

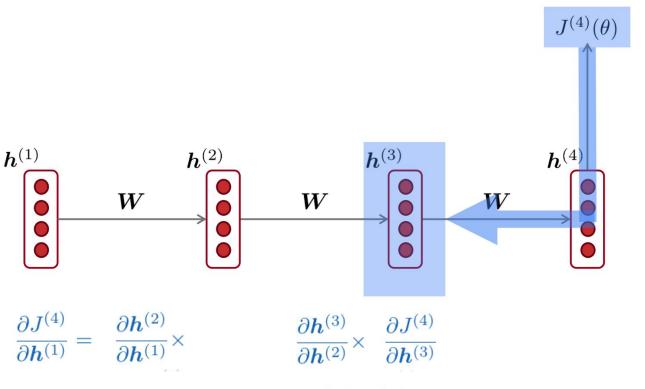
## Recap: LSTM



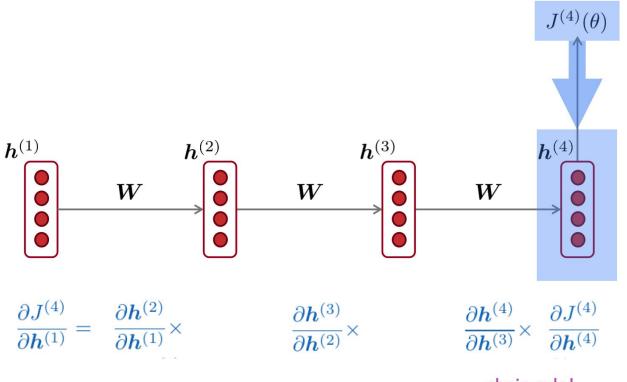








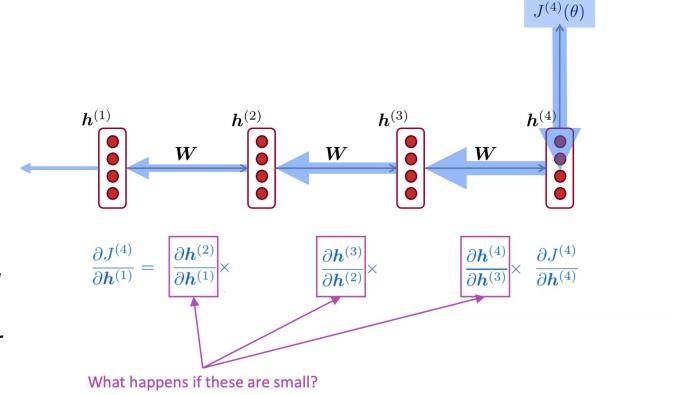
chain rule!



chain rule!

Vanishing gradient problem:

When the derivatives are small, the gradient signal gets smaller and smaller as it backpropagates further

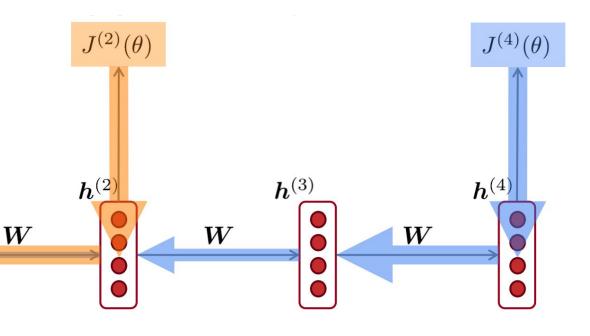


More info: "On the difficulty of training recurrent neural networks", Pascanu et al, 2013 <a href="http://proceedings.mlr.press/v28/pascanu13.pdf">http://proceedings.mlr.press/v28/pascanu13.pdf</a>

Gradient signal from far away is lost because it's much smaller than from close-by.

So model weights updates will be based only on short-term effects.

## Vanishing gradient problem



 $oldsymbol{h}^{(1)}$ 

## Exploding gradient problem

 If the gradient becomes too big, then the SGD update step becomes too big:

$$heta^{new} = heta^{old} - \overbrace{lpha}^{ ext{learning rate}} \int_{ ext{gradient}}^{ ext{learning rate}} \int_{ ext{gradient}}^{ ext{gradient}} d\theta^{new}$$

- This can cause bad updates: we take too large a step and reach a bad parameter configuration (with large loss)
- In the worst case, this will result in Inf or NaN in your network (then you have to restart training from an earlier checkpoint)

#### Exploding gradient solution

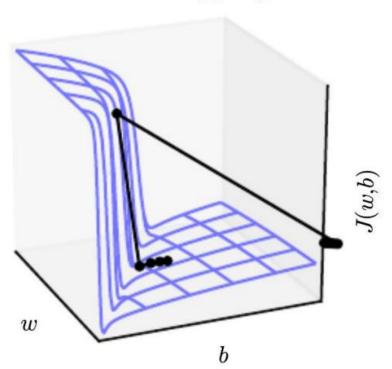
 Gradient clipping: if the norm of the gradient is greater than some threshold, scale it down before applying SGD update

## Algorithm 1 Pseudo-code for norm clipping $\hat{\mathbf{g}} \leftarrow \frac{\partial \mathcal{E}}{\partial \theta} \\ \mathbf{if} \quad ||\hat{\mathbf{g}}|| \geq threshold \ \mathbf{then} \\ \hat{\mathbf{g}} \leftarrow \frac{threshold}{||\hat{\mathbf{g}}||} \hat{\mathbf{g}} \\ \mathbf{end} \quad \mathbf{if}$

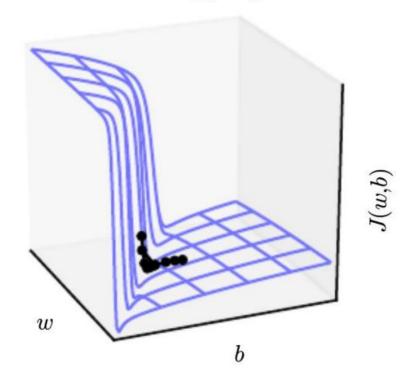
 Intuition: take a step in the same direction, but a smaller step

## Exploding gradient solution

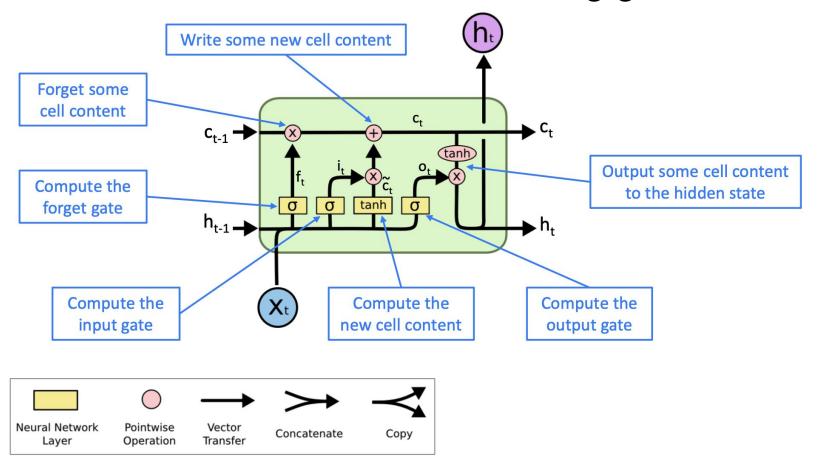
Without clipping



With clipping



#### Vanishing gradient: LSTM



Forget gate: controls what is kept vs forgotten, from previous cell state

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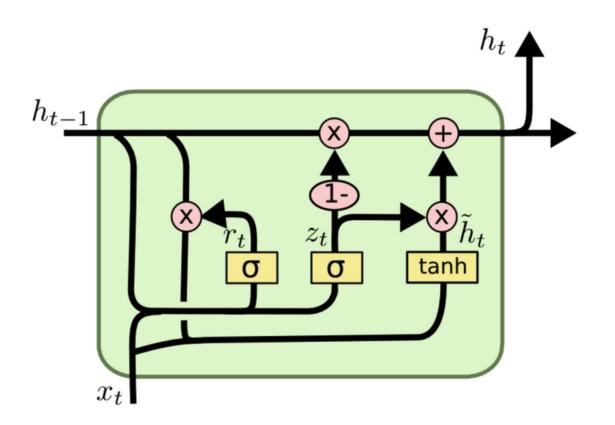
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$$m{a} m{h}^{(t)} = m{o}^{(t)} \circ anh m{c}^{(t)}$$

Gates are applied using element-wise product

## Vanishing gradient: GRU



#### Vanishing gradient: GRU

<u>Update gate:</u> controls what parts of hidden state are updated vs preserved

Reset gate: controls what parts of previous hidden state are used to compute new content

New hidden state content: reset gate selects useful parts of prev hidden state. Use this and current input to compute new hidden content.

Hidden state: update gate simultaneously controls what is kept from previous hidden state, and what is updated to new hidden state content

$$m{u}^{(t)} = \sigma \left( m{W}_u m{h}^{(t-1)} + m{U}_u m{x}^{(t)} + m{b}_u 
ight)$$
 $m{r}^{(t)} = \sigma \left( m{W}_r m{h}^{(t-1)} + m{U}_r m{x}^{(t)} + m{b}_r 
ight)$ 

$$m{ ilde{h}}^{(t)} = anh\left(m{W}_h(m{r}^{(t)} \circ m{h}^{(t-1)}) + m{U}_hm{x}^{(t)} + m{b}_h
ight)$$
 $m{h}^{(t)} = (1 - m{u}^{(t)}) \circ m{h}^{(t-1)} + m{u}^{(t)} \circ m{ ilde{h}}^{(t)}$ 

How does this solve vanishing gradient?
Like LSTM, GRU makes it easier to retain info long-term (e.g. by setting update gate to 0)

#### Vanishing gradient: LSTM vs GRU

- LSTM and GRU are both great
  - GRU is quicker to compute and has fewer parameters than LSTM
  - There is no conclusive evidence that one consistently performs better than the other
  - LSTM is a good default choice (especially if your data has particularly long dependencies, or you have lots of training data)

**Rule of thumb**: start with LSTM, but switch to GRU if you want something more efficient

#### Vanishing gradient in non-RNN

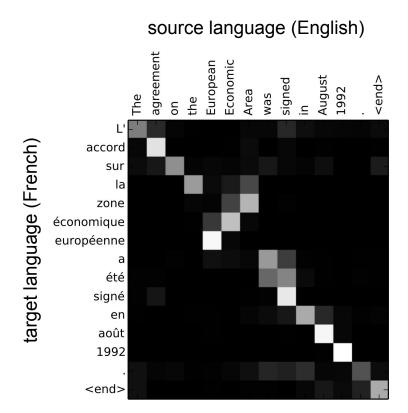
Vanishing gradient is present in all deep neural network architectures.

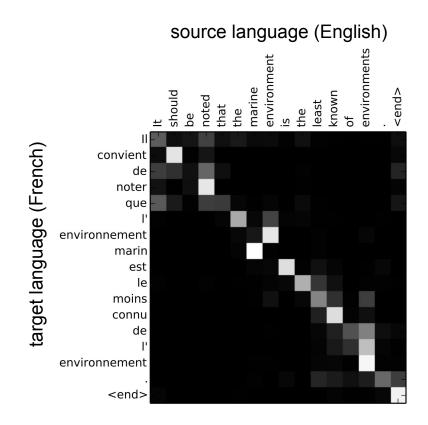
- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- Potential solution(but not actually for that problem): dense connections (just like in DenseNet)

#### **Conclusion:**

Though vanishing/exploding gradients are a general problem, RNNs are particularly unstable due to the repeated multiplication by the same weight matrix [Bengio et al, 1994]. Gradients magnitude drops exponentially with connection length.

#### Attention maps in translation





## Very Deep Backlog

#### Vanishing gradient in non-RNN

Vanishing gradient is present in all deep neural network architectures.

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- Potential solution: direct (or skip-) connections (just like in ResNet)

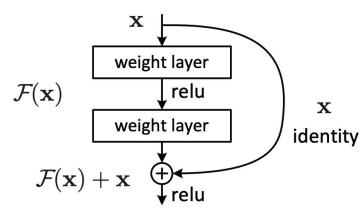


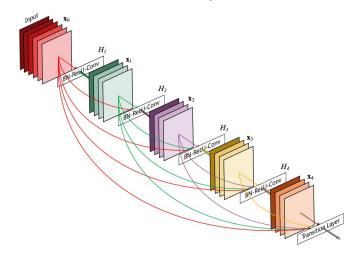
Figure 2. Residual learning: a building block.

Source: "Deep Residual Learning for Image Recognition", He et al, 2015. https://arxiv.org/pdf/1512.03385.pdf

#### Vanishing gradient in non-RNN

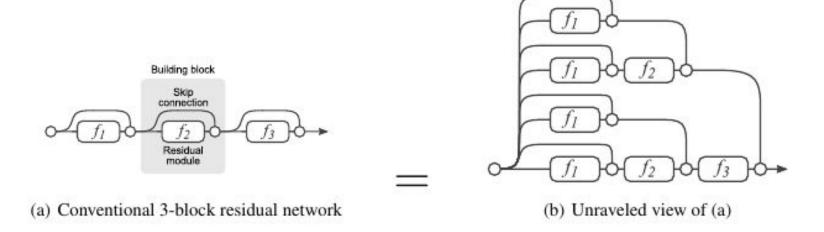
Vanishing gradient is present in all deep neural network architectures.

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- Potential solution: dense connections (just like in DenseNet)



#### Another view on ResNets and vanishing gradient

"Residual Networks Behave Like Ensembles of Relatively Shallow Networks"



Source: <a href="https://arxiv.org/pdf/1605.06431.pdf">https://arxiv.org/pdf/1605.06431.pdf</a>