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Pour être valide, ce passeport doit être signé ci-dessus par le titulaire, sauf si le titulaire est un enfant âgé de moins de 16 ans.

CANADA

Issuing Country/Pays émetteur

Passport No./N° de passeport

CAN

P763427PC

Surname/Nom

NG

Given names/Prénoms

KAISER YING KIT

Nationality/Nationalité

CANADIAN/CANADIENNE

Date of birth/Date de naissance

Sex/Sexe

22 NOV / NOV 1980

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Place of birth/Lieu de naissance

HONG KONG

Date of issue/Date de délivrance: \_\_\_\_\_

09 AUG/AOÛT 202

Date of expiry/Date d'expiration

09 AUG/AOÛT 2023

Authority/Autorité

SINGAPORE

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## Contact

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## Accounting Designations

FCCA (UK)

CPA, CGA (Canada)

## Languages

English (Full Professional)

Mandarin (Full Professional)

Cantonese (Native or Bilingual)

# Kaiser Ng, CPA, CGA, FCCA

CFO at Binance  
Singapore

## Summary

Over 20 years of experience in finance, accounting, strategies, analytics, reporting tools, internal controls, audit readiness, and corporate governance.

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## Experience

### Binance

Chief Financial Officer

January 2022 - Present (3 years 5 months)

Singapore, Abu Dhabi

### Kraken Digital Asset Exchange

Chief Financial Officer

January 2017 - January 2022 (5 years 1 month)

San Francisco

### DeNA

4 years 6 months

Head of Studio Operations and HR (US, Canada, Chile)

July 2015 - January 2017 (1 year 7 months)

San Francisco

Sr. Director, Finance and Operations (Canada) August

2012 - July 2015 (3 years)

Vancouver, Canada area

### TIO Networks Corp (acquired by Paypal)

Director of Finance

November 2010 - August 2012 (1 year 10 months)

Vancouver, Canada Area

## BC Hydro

2 years 11 months

### Treasury Manager

March 2010 - November 2010 (9 months)

Vancouver, Canada Area

Employed by BC Transmission Corporation (integrated into BC Hydro in 2010).

### Financial Reporting Analyst

January 2008 - March 2010 (2 years 3 months)

Vancouver, Canada Area

## Royal Canadian Mounted Police

### Sr. Financial Analyst / Sr. Internal Control Analyst

January 2004 - January 2008 (4 years 1 month)

Vancouver, Canada Area

## TD

Various roles in FX, Secure Desk, and Customer Service

2000 - 2004 (4 years)

Vancouver, Canada Area

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## Education

### Simon Fraser University

BBA, Finance & Management Information Systems

# Job Description: Head of Finance

## Overview

The Head of Finance (**HOF**) is a senior management and Controlled Function role within an Abu Dhabi Global Market (**ADGM**)-regulated financial services firm. The designated HOF must be fit and proper for the role and approved by the regulator, i.e. the Financial Services Regulatory Authority (**FSRA**).

Under the FSRA General Rulebook (GEN Rule 5.4.1 and Rule 5.5.1), the HOF is responsible for overseeing the firm's financial management and ensuring ongoing compliance with applicable prudential, financial reporting, and capital adequacy requirements as outlined in the FSRA's Prudential (PRU), General (GEN) and Market Infrastructure (MIR) Rulebooks. The HOF plays a key role in safeguarding the financial soundness of the firm and maintaining transparency with the regulator.

## Key Responsibilities

### 1. Financial Management and Oversight

- Lead all financial operations of the firm, including budgeting, forecasting, cash flow management, and cost control.
- Ensure that all financial reporting is accurate, timely, and aligned with International Financial Reporting Standards (IFRS), as required by GEN Rule 8.4.
- Maintain and monitor internal financial controls to support sound financial management and prevent misuse of funds (GEN 4.2.3).

### 2. Capital Adequacy and Prudential Compliance

- Monitor and ensure compliance with the firm's ongoing capital adequacy, liquidity, and solvency requirements under the PRU Rulebook, including PRU 3.3 (Capital Requirement) and PRU 4.2 (Liquidity).
- Prepare and oversee submission of periodic prudential returns and financial statements to the FSRA in line with regulatory deadlines (GEN 8.4.1, PRU 10).
- Ensure appropriate financial planning is in place to maintain sufficient regulatory capital at all times.

### 3. External Audit Coordination

- Lead the coordination of the annual external audit, including the preparation of statutory financial statements (GEN 8.4).

- Work with the Board of Directors / the Audit and Finance Committee to assist in the selection and appointment of the external auditor.
- Serve as the primary liaison with the external auditor during the audit process.
- Ensure that audited financial statements are submitted to the FSRA within the prescribed timelines and in accordance with applicable requirements.

#### **4. Regulatory Reporting and Liaison**

- Act as the primary liaison with the FSRA on all finance-related matters, including responding to information requests, audits, or inspections (GEN 8.2.1, GEN 8.10.1).
- Notify the FSRA immediately of any material financial concerns, including capital shortfalls or breaches of financial obligations.

#### **5. Governance and Accountability**

- Serve as a key member of the senior management team and contribute to broader governance, operational, and risk management decisions (GEN 3.2.2, GEN 5.5.1).
- Attend and report to the Board / Audit and Finance Committee regularly on the firm's financial condition, capital position, and financial risks.