

## **FORM A3 - FIT & PROPER QUESTIONNAIRE (KEY INDIVIDUALS)**

*(Issued Under Regulation 6.4 of the No Objection Certificate Regulations 2025)*

*This Form must be completed by every Key Individual of the Applicant, including the Chief Executive Officer, Chief Financial Officer, Compliance Officer, MLRO, Head of Internal Audit, Head of Risk Management, Head of Information Security, and any other individual performing a senior management function of the applicant and the proposed local entity.*

*All information must be true, complete and accurate.*

*False or misleading declarations may result in refusal of AML Registration, removal of a Key Individual, or further regulatory action.*

### **SECTION 1 — PERSONAL INFORMATION**

Section	Question	Applicant Response
1.	Legal Name:	Sze Chi Yeung
2.	Key Individual Role	Chief Financial Officer/Director
3.	Former / Other Names (if any):	NIL
4.	Gender:	Male
5.	Date of Birth (DD/MM/YYYY):	26/08/1979
6.	Nationality / Nationalities:	Chinese
7.	Passport Number:	KJ0680208
8.	Issuing Country:	Hong Kong Special Administrative Region, People's Republic of China
9.	Date of Issue:	18/03/2018
10.	Date of Expiry:	18/03/2028
11.	Residential Address:	Room A, 23/F., Block 8, The Reach, 11 Shap Pat Heung Road, Yuen Long, N.T. Hong Kong
12.	Correspondence Address (if different):	
13.	Email Address:	<a href="mailto:ryan.sze@yzh6888.com">ryan.sze@yzh6888.com</a>
14.	Phone Number:	852-93315419
15.	Are you a tax resident of more than one country?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list all jurisdictions and tax identification numbers:
16.	Employment Contract Attached:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Required Attachments:

- Certified CNIC/Passport copy
- Proof of residential address

## SECTION 2 — EMPLOYMENT HISTORY (LAST 10 YEARS)

For each role held in the last ten years, provide (please add more tables as needed):

Section	Question	Applicant Response
1.	Employer name	HTX
2.	Country of employment	Hong Kong
3.	Position held	Financial Business Partner
4.	Dates of employment	August 2020 to now
5.	Key responsibilities	Financial Information Provide & Management
6.	AML/CFT responsibilities (if any)	NIL
7.	Reason for leaving each position	Employed until now

Section	Question	Applicant Response
1.	Employer name	Glorious Sun Group
2.	Country of employment	Hong Kong
3.	Position held	Senior Account Supervisor
4.	Dates of employment	September 2000 to August 2020
5.	Key responsibilities	Financial Analysis & Reporting for Financial Investment Division ; Provide Financial Return Report for Management review and file to The Securities and Futures Commission
6.	AML/CFT responsibilities (if any)	NIL
7.	Reason for leaving each position	Recruited by HTX

Required Attachments:

- Updated CV
- Employment certificates or reference letters (if available)

If there are gaps in employment of **three months or more**, explain the reason for each gap.

## SECTION 3 — QUALIFICATIONS, COMPETENCE & TRAINING

### 3.1 Academic Qualifications

List all degrees, diplomas and relevant education.

The University of Hull - Bachelor of Science (Hons) in Accounting  
HKU School Professional and Continuing Education - Advanced Diploma in Accounting

Attach certified copies.

### 3.2 Professional Certifications

(e.g., CAMS, ICA, CFA, CPA, CISA, cybersecurity or risk-related certifications)

Completed in HKIAAT Examination  
LCCI – Accounting (Third Level)  
LCCI – Business Statistics (Second Level)

Attach supporting certificates.

### 3.3 AML/CFT Training

Provide details of AML/CFT training completed in the last three years (if any):

- Training provider
- Course name
- Key topics covered
- Dates attended

1. ACAMS annual training. Complete relevant AML topic and earned credits throughout the year 2023,2024 and 2025.

2. AML Training  
Training provider: HTX - International Compliance Department  
Course name: HTX Annual AML Training (2023/2024/2025)  
Key topics covered:

- What are the basic concepts in AML?
- What are HTX's KYC control?
- Why has the Virtual Asset become high AML risk industry?
- Methods of money laundering with virtual assets and AML techniques in blockchain
- AML and CFT regulation for Virtual Asset
- What is Money Laundering and Terrorist Finance?
- What are the basic concepts in AML?
- What are HTX's KYC control?
- Why has the Virtual Asset become high AML risk industry?
- Methods of money laundering with virtual assets and AML techniques in blockchain
- AML and CFT regulation for Virtual Asset

Dates attended: Dec of 2023, 2024, 2025 respectively'

### 3.4 Special Skills

Describe any specialised skills relevant to your Key Individual role (risk, blockchain, cybersecurity, governance, legal, audit, etc.).

Financial working experience at Crypto platform entity - HTX  
Over 20 years experience to solving auditor requirements and providing financial information for annual financial audit

## **SECTION 4 — REGULATORY & SUPERVISORY RECORD**

Indicate whether you have ever been:

1. Investigated by a regulatory authority:  
☐ Yes ☒ No
2. Subject to enforcement action by any regulator:  
☐ Yes ☒ No
3. Issued a warning, reprimand, or supervisory restriction:  
☐ Yes ☒ No
4. Refused a license, authorisation or registration:  
☐ Yes ☒ No
5. Removed or suspended from a position by a regulator:  
☐ Yes ☒ No
6. Associated with an entity that has been sanctioned, penalised or prosecuted:  
☐ Yes ☒ No

If Yes to any item, provide full details including jurisdiction, dates, nature of action, outcome and supporting documentation.

## SECTION 5 — CRIMINAL RECORD & INVESTIGATIONS

Indicate whether you have ever been:

1. Convicted of an offence:  
☐ Yes ☒ No
2. Charged but not yet adjudicated:  
☐ Yes ☒ No
3. Subject to plea negotiations or settlements:  
☐ Yes ☒ No
4. Investigated for fraud, corruption, bribery, money laundering, terrorist financing or financial crime:  
☐ Yes ☒ No
5. Arrested or detained for any offence:  
☐ Yes ☒ No

Attach:

- Certified police clearance certificate(s) from all jurisdictions of residency in the past 10 years
- All relevant court or investigative documentation (where applicable)

## SECTION 6 — FINANCIAL SOUNDNESS

Indicate whether you have ever:

1. Been declared bankrupt or insolvent:  
☐ Yes ☒ No

2. Entered into a debt restructuring or insolvency arrangement:  
☐ Yes ☒ No
3. Been subject to a civil judgment for debt or damages:  
☐ Yes ☒ No
4. Failed to meet financial obligations or defaulted on a loan:  
☐ Yes ☒ No
5. Been subject to tax enforcement or penalties:  
☐ Yes ☒ No
6. Been prohibited from acting as a director or officer due to financial misconduct:  
☐ Yes ☒ No

If Yes to any, provide full details, including amounts, dates, circumstances and supporting documents.

## SECTION 7 — CONFLICTS OF INTEREST

Disclose any potential or actual conflicts, including:

1. Relationships with shareholders, Controllers, UBOs or senior management of the Applicant.
2. Financial interests in any entity that provides services to the Applicant.
3. Outside employment, directorships or advisory roles.
4. Any interest that may influence your judgment or objectivity in performing your Key Individual role.

Provide a narrative explaining how any identified conflict will be managed or mitigated.

NIL

## SECTION 8 — INTEGRITY, ETHICS & PROFESSIONAL CONDUCT

Confirm whether you have ever:

1. Been dismissed or asked to resign for misconduct:  
☐ Yes ☒ No

2. Breached fiduciary duties in any role:  
☐ Yes ☒ No
3. Been found negligent, dishonest or unethical by any court or tribunal:  
☐ Yes ☒ No
4. Been subject to internal disciplinary actions of a serious nature:  
☐ Yes ☒ No
5. Been involved in activities likely to bring disrepute to a regulated entity:  
☐ Yes ☒ No

If Yes to any, provide full explanations and attach evidence.

## SECTION 9 — HEALTH, FITNESS & CAPACITY

1. Are you medically and mentally fit to perform the functions of the Key Individual role?  
☒ Yes ☐ No
2. Do you suffer from any condition that may impair your ability to discharge responsibilities?  
☐ Yes ☒ No  
 If Yes, provide details and mitigation measures.

## SECTION 10 — PROFESSIONAL REFERENCES

Provide the details of two independent professional references:

Reference 1	
Full Name:	Poon Tsz Cheong
Organisation:	Kinson CPA & Co.
Position/Title:	Audit Senior



Relationship to You:	Friend
Email Address:	samsonpoon51@gmail.com
Phone Number:	852-62223065
<b>Reference 2</b>	
Full Name:	Tsui, Ming Hay Paul
Organisation:	Forward Alliance Consultants Limited
Position/Title:	Director
Relationship to You:	Friend
Email Address:	<a href="mailto:paul@fac.com.hk">paul@fac.com.hk</a>
Phone Number:	852-96715945

References must not be from relatives, subordinates or individuals with conflicts of interest.

## SECTION 11 — OTHER ROLES AND TIME COMMITMENTS

1. List all other directorships, employment roles or advisory positions currently held.
2. Provide an estimate of the time commitment required by each role.
3. Confirm your ability to dedicate sufficient time to the Key Individual position at the Applicant.

1. Director & Company Secretary - Love Styles.net Limited.
2. No time commitment for this company as the company is planning to be deregistration.
3. I am confirming that I have sufficient time for the Key Individual position.


## SECTION 12 — DECLARATION

### Declaration

I hereby declare that all information provided in this Fit & Proper Questionnaire is true, correct and complete. I affirm that I have disclosed all material facts relevant to my suitability to act as a Key Individual of the Applicant.

I understand that providing false, misleading or incomplete information may result in my removal as a Key Individual, refusal of AML Registration, or regulatory action by PVARA or other competent authorities.

I agree that PVARA may verify the information provided, conduct background checks, and obtain information from domestic and foreign regulators, law enforcement authorities and financial institutions.

Signature:  \_\_\_\_\_

Full Name: Sze Chi Yeung

Date: 11/12/2025