



# Chen Ling

CFA, CQF, FRM

Innovative and results-driven professional with extensive experience across cryptocurrency, DeFi, and traditional financial sectors. Expertise in quantitative analysis, crypto project research, liquidity management, portfolio management, asset-liability strategies, and capital markets. Strong understanding of blockchain technology, cryptocurrency markets, and regulatory landscapes. Adept at leveraging data analytics and financial modelling to drive investment decisions and strategy development.

## Key Skills

- Crypto Market & Trading
- Blockchain & Defi
- Quantitative Analysis
- Portfolio Management
- Liquidity Risk Management
- Asset-liability Management
- Data Analytics (Python, R)
- Regulatory

## Professional Experience

### **Binance**

Global Liquidity Manager

*Jan 2023 – Present*

- Lead a team of treasury analysts, overseeing the corporate portfolio and liquidity risk management.
- Developed and implemented comprehensive liquidity risk management policies and frameworks, aligning with regulatory requirements and industry best practices.
- Partner with senior leadership to integrate liquidity management into strategic planning and corporate decision-making.
- Conduct in-depth research and market analysis to optimize corporate portfolio management strategies.
- Conduct comprehensive stress test for capital and liquidity to support effective capital management.
- Primary point of contact for regulatory matters related to financial risk, capital, and balance sheet management.
- Led department-wide system development initiatives for portfolio and risk management, enhancing efficiency and analytical capabilities.

### **BNP Paribas**

Global Markets ALM Treasury

*May 2014 – Jan2023*

- Led liquidity management strategies for Global Markets, ensuring optimal funding positions and regulatory compliance, including LCR and NSFR requirements.
- Designed and executed asset-liability management (ALM) strategies to align balance sheets with market risks and capital requirements.
- Optimized capital and funding structures through debt issuance, repurchase agreements, and securitizations.



- 
- Led treasury's cross-functional collaboration with risk, finance, and regulatory teams for effective capital management and liquidity planning.
  - Coordinated with regulatory bodies on liquidity risk management, ensuring full compliance with capital adequacy and stress-testing frameworks.

### **Credit-Suisse**

Fixed Income Exotics Valuation Control

*Jun 2010 - May 2014*

- Manage and oversee IPV and fair value reserves process for exotics interest rate/FX derivatives business.
- Work closely with trading, risk management and quantitative research to engineer the reserving and price testing methodology.
- Obtained CFA designation in 2013.

### **JP Morgan**

Equity Exotics Trader Assistant

*Oct 2007 - Jun 2010*

- Support equity exotic desk with risk management and daily trading activities.
- Obtained FRM designation in 2010.

### **Education & Qualifications**

**Bristol University**, United Kingdom (2007)

MSc (Investment and Finance)

**Cass Business School**, City University, London (2003)

BSc (Financial Risk Management)

Certificate in Quantitative Finance

CQF - Qualified with Distinction

Chartered Financial Analyst Institution

CFA - Qualified

Global Association of Risk Professionals

FRM - Qualified

### **Languages**

Fluent in English, Mandarin

### **Interests & hobbies & Achievement**

- Swimming
- Football
- Ski

# Job Description: Head of Risk

## Overview

The Head of Risk is a senior management role within regulated financial services firms in the Abu Dhabi Global Market (**ADGM**). The Head of Risk is responsible for the development, implementation, and ongoing oversight of the firm's enterprise-wide risk management framework. The Head of Risk ensures that material risks are identified, assessed, monitored, and reported in line with the firm's risk appetite and regulatory obligations under the Financial Services Regulatory Authority (**FSRA**)'s GEN, PRU and MIR rulebooks, and other applicable regulations.

The Head of Risk is expected to operate independently from revenue-generating functions and to provide objective risk oversight and challenge to executive management and the board. The role is a Controlled Function subject to FSRA approval.

## Key Responsibilities

### 1. Risk Management Framework

- Design, implement, and maintain a comprehensive risk management framework covering all key risk categories, including operational, market, credit, liquidity, legal, compliance, and reputational risks (GEN 3.3.4, PRU 3.2.1).
- Define and maintain the firm's risk appetite statement in consultation with senior management and the board, and ensure effective risk policies and procedures are in place to enforce it.

### 2. Risk Monitoring and Reporting

- Oversee ongoing risk monitoring and produce regular risk reports for senior management and the board.
- Ensure timely escalation and mitigation of emerging or material risks, and support the firm's internal governance processes through risk reviews and scenario analysis.
- Contribute to the Internal Capital Adequacy Assessment Process (ICAAP) and any required stress testing exercises, as applicable under PRU requirements.

### 3. Regulatory Engagement and Compliance

- Act as the firm's primary risk liaison with the FSRA, ensuring that regulatory risk requirements are fully met, and that the firm maintains a proactive and transparent relationship with the regulator (GEN 8.2.1, GEN 8.10.1).

- Provide input into the firm's regulatory submissions related to risk, capital adequacy, and financial resilience.

#### **4. Risk Governance and Internal Control**

- Ensure that the firm's risk management function is independent, adequately resourced, and fully integrated into governance and decision-making structures (GEN 4.2.3, GEN 5.5.1).
- Participate in relevant committees (e.g., Risk Committee, ALCO) and support the CEO and board in fulfilling their risk oversight responsibilities.
- Review new business initiatives, products, or changes in operating models to assess associated risk impacts.

#### **5. Accountability**

- The role carries personal accountability for the design and effectiveness of the risk framework and may give rise to regulatory consequences in the event of material failures in oversight or reporting.