

備註 / OBSERVATIONS

HJ2149327



中華人民共和國香港特別行政區  
HONG KONG SPECIAL ADMINISTRATIVE REGION, PEOPLE'S REPUBLIC OF CHINA

護照  
PASSPORT.

類別 / TYPE

簽發國代碼 / CODE OF ISSUING STATE

護照號碼 / PASSPORT NO.

P CHN

HJ2149327

姓 / SURNAME

張 / CHEUNG

名 / GIVEN NAMES

彥博 / YIN POK

國籍 / NATIONALITY

CHINESE



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出生地點 / PLACE OF BIRTH

HONG KONG

有效期至 / DATE OF EXPIRY

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簽發日期 / DATE OF ISSUE

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簽發機關 / AUTHORITY



香港特別行政區入境事務處  
IMMIGRATION DEPARTMENT, HONG KONG SPECIAL ADMINISTRATIVE REGION

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UNITED ARAB EMIRATES  
FEDERAL AUTHORITY FOR IDENTITY &  
CITIZENSHIP, CUSTOMS & PORT SECURITY

Resident Identity Card



الإمارات العربية المتحدة  
الهيئة الاتحادية للهوية  
والجنسية والجمارك وأمن المنافذ

بطاقة هوية مقيم



ID Number / رقم الهوية  
784-1982-4733873-8

الاسم: ين بورك تشونغ

Name: Yin Pok Cheung

Date of Birth: 17/11/1982 تاريخ الميلاد:

الجنسية: هونغ كونغ

Nationality: Hong Kong

Issuing Date / تاریخ الاصدار /  
03/10/2025

الجنس: ذكر

Expiry Date / تاریخ الانتهاء /  
02/10/2027

Sex: M

Signature / التوقيع



# **Wilson Cheung**

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## **Overview**

Acts as Regional Compliance Officer for APAC and MEASA. Compliance professional with 20 years experience in regulatory compliance and AML in various financial institutions including sectors in retail banking, brokerage and forex exchange market. Held position in Head of APAC compliance, MLRO in a global Forex Broker, regulatory consultant (director) in Deloitte Hong Kong, Assistant Compliance Manager in HSBC HK Broking, etc. My specialities is on relevant rules and regulations related to securities, futures and forex dealer/brokers, corporate financiers, asset managers, private equities and venture capital funds. Wilson has extensive compliance experience in dealing with global regulators in UK, China, Hong Kong Australia and South East Asia.

## **Education Background**

2010 - 2012	Master in Economics Hong Kong University
2007-2010	Bachelor of Laws (LL.B.) Manchester Metropolitan University
2001-2004	BA (Hons) in Accountancy The Hong Kong Polytechnic University

## **Professional Qualification**

2007-2022	Certified Public Accountant Hong Kong Institute of Certified Public Accountants
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## **Working Experiences**

### **02/23 - Present Binance**

Regional Compliance Officer – APAC & MEASA

- *Drive all compliance and regulatory matters with local and international regulatory bodies*
- *Prepare regular reports and strategic direction to senior management regarding compliance matters*
- *Develop compliance policies and audit program procedures*
- *Assist in compliance review, internal audit and ad hoc review*
- *Ensure compliance with global and local Anti-Money Laundering procedures*

### **06/22 – 01/23 Amber Group**

Head of Compliance and MLRO Australia and Hong Kong

- *Led on the compliance and AML program for AU subsidiary - AUSTRAC registered digital currency exchange (DCE) and*

- several HK licensed entities including SFC licensed firm (Type 1, 2, 4, 5 & 9) and money lender license and trust company services provider license.*
- Key engagements: Design and maintain the APAC AML program , registration of AUSTRAC DEC, preparation of HK virtual asset services providers (VASPs) license, global regulators engagement*

#### 10/19- 06/22 **Deloitte HK**

Director in Conduct and Regulations team

- Specialized in HKMA/SFC regulatory compliance advisory and assurance for HKMA's authorized institutions/SFC's licensed corporations in Hong Kong*
- Key engagements: Digital Asset firms license application, regulatory advisory, P&P review, smart contract audit etc*
- Key clients: HKbitex, OSL, Hashkey, HEX Trust, HKVAX, Amber, Huobi, Guotai Junan, Huatai Financial, Jane Street, etc*

#### 09/12- 10/19 **FXCM Australia Pty. Limited/ FXCM Global Services (HK) Limited**

Head of Compliance/MLRO in Asia (Australia & HK) and Central Compliance

- Head both the Asia compliance team and Central compliance in Europe and UK*
- Business advisory, Regulatory Research, Policy Setting, Compliance monitoring and control function, Financial Promotional Review, Audit and Examination and Group Training*
- Provide legal advisory services and manage routine compliance review, trade monitoring and in-house compliance training*
- Deal with client complaints and litigation matters*
- Review and manage replies to enquires from regulators such as SFC, ASIC, Austrac, PBOC, SAFE, etc*

#### 08/09- 09/12 **HSBC Broking Securities (Asia) Limited**

**Legal and Compliance** Assistant Manager

- Provide legal advisory services and manage routine compliance review, trade monitoring and in-house compliance training*
- Foster compliance culture, handle client's complaints and optimize relationship with regulatory bodies such as SFC, HKEx*

#### 01/06- 07/09 **Ernst & Young (Global Financial Services)** Senior Accountant

- Extensive clients base with Banks, Securities firms, Fund Managers, Hedge Funds and Private Equity Funds, etc*

#### 09/04- 01/06 **Deloitte Touche Tohmatsu** Staff Accountant

- Responsible in performing audit assurance such as preparing accountancy reports in IPO Prospectus*

# **Job Description: Compliance Officer & Money Laundering Reporting Officer**

## **Overview**

The Compliance Officer and Money Laundering Reporting Officer (**CO/MLRO**) is a mandatory Controlled Function.

The CO/MLRO plays a critical role in safeguarding the firm's integrity, ensuring that it maintains an effective compliance framework and fulfils its obligations under applicable rules, including in relation to detecting and mitigating financial crime risk.

## **Key Responsibilities**

### **1. Regulatory Compliance Oversight**

- Establish, maintain, and monitor a compliance framework to ensure that the firm meets all applicable ADGM and FSRA regulations, including those under MIR, GEN, COBS, PRU, and the AML Rulebook.
- Review and advise on policies, procedures, controls, and governance arrangements to ensure regulatory alignment and support a culture of compliance across the firm.
- Act as the principal point of contact with the FSRA for all compliance-related matters and lead the firm's response to regulatory audits, RFIs, and inspections.
- Prepare and submit all necessary regulatory returns, notifications, and periodic reports as required under the rules and/or by the regulator.

### **2. Anti-Money Laundering / Counter-Terrorism Financing (AML/CTF)**

- Fulfil the role of MLRO under the AML Rulebook, including oversight of the firm's AML/CTF policies and procedures, and ensuring their effectiveness in detecting and preventing financial crime.
- Receive, assess, and investigate internal suspicious activity reports (**SARs**), and, where appropriate, submit external SARs to the UAE Financial Intelligence Unit (**FIU**).
- Provide AML/CTF reporting and regular updates to the board and senior management on emerging risks and the firm's AML controls.
- Ensure proper record-keeping and ongoing risk-based customer due diligence (**CDD**), enhanced due diligence (**EDD**), and transaction monitoring are conducted in line with the AML Rulebook.

### **3. Training and Culture**

- Lead the development and delivery of compliance and AML training programs for all staff, including onboarding training and annual refreshers, tailored to employees' roles.
- Foster a culture of integrity, accountability, and compliance throughout the organisation.

#### **4. Monitoring, Testing and Reporting**

- Conduct ongoing compliance monitoring and internal reviews of business activities to assess adherence to regulatory requirements and firm policies.
- Maintain a compliance monitoring plan, document findings, and escalate material issues to senior management and the board.
- Keep abreast of changes in ADGM FSRA regulations and advise the business on implications and required updates to internal frameworks.

#### **5. Regulatory Considerations**

- This is a Controlled Function that must be approved by the FSRA under GEN 5.4.1.
- The CO/MLRO must be independent of business lines, have sufficient authority, resources, and direct access to senior management and the board.
- The individual must meet the fit and proper requirements under GEN 5.5.1 and has personal liability for regulatory breaches in their areas of responsibility.
- The combined function is permitted in ADGM provided that the individual has the competence, capacity, and independence to carry out both roles effectively and without conflicts of interest.

# **Job Description: Compliance Officer & Money Laundering Reporting Officer**

## **Overview**

The Head of Market Supervision is responsible for ensuring the transparent, fair, and orderly operation of the exchange and the efficiency and integrity of associated clearing operations. This role encompasses ownership of the Exchange and Clearing Rulebooks, supervisory oversight of market activity and clearing processes, and ultimate accountability for maintaining compliant, well-functioning markets within the ADGM regulatory framework.

## **Key Responsibilities**

- Ensure transparent, fair, and orderly markets and efficient clearing operations across all market segments.
- Own and maintain the Exchange and Clearing Rulebooks; regularly review and update processes, controls, and rules to identify and implement improvements.
- Supervise trading, clearing, and market operations to ensure adherence to applicable rules and procedures.
- Oversee the orderly functioning of markets through continuous monitoring of trading activity, supported by market surveillance tools and the Head of Market Surveillance (who reports to this role).
- Make enforcement decisions in relation to market participants, end-users, brokers, or local exchanges for breaches of rules or disorderly conduct.
- Serve as the primary point of contact with the FSRA on all matters related to market supervision, rules, and enforcement, supported by subject-matter experts.
- Oversee clearing-related risk management, including margin requirements, portfolio margin programs, collateral management, and default management processes.
- Supervise suspension, liquidation, and close-out procedures in the event of participant default, and oversee the operation and stress-testing of default funds in coordination with Treasury and Risk teams.
- Coordinate with technology and security teams to ensure the resilience and reliability of trading, clearing, and settlement systems.
- Provide clear communications to users regarding listings, delistings, contract specifications, and other market notices.

- Report regularly to the SEO, the Board, and the Audit, Risk, Compliance and Market Supervision Committee on market conduct, rulebook compliance, incidents, and operational integrity.