

FORM A3 - FIT & PROPER QUESTIONNAIRE (KEY INDIVIDUALS)

(Issued Under Regulation 6.4 of the Anti-Money Laundering Registration Regulations 2025)

This Form must be completed by every Key Individual of the Applicant, including the Chief Executive Officer, Chief Financial Officer, Compliance Officer, MLRO, Head of Internal Audit, Head of Risk Management, Head of Information Security, and any other individual performing a senior management function.

All information must be true, complete and accurate.

False or misleading declarations may result in refusal of AML Registration, removal of a Key Individual, or further regulatory action.

SECTION 1 — PERSONAL INFORMATION

Section	Question	Applicant Response
1.	Legal Name:	Jimmy Zhigang Su
2.	Key Individual Role:	Head of Information Security
3.	Former / Other Names (if any):	Jimmy Su
4.	Gender:	Male
5.	Date of Birth (DD/MM/YYYY):	02/01/1979
6.	Nationality / Nationalities:	United States of America
7.	Passport Number:	547017342
8.	Issuing Country:	USA
9.	Date of Issue:	31/05/2016
10.	Date of Expiry:	30/05/2026
11.	Residential Address:	6551 Clarendon Street, Vancouver, BC, Canada, V5S 2K2
12.	Correspondence Address (if different):	
13.	Email Address:	Jimmy.su@binance.com
14.	Phone Number:	+16725332028

15.	Are you a tax resident of more than one country?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list all jurisdictions and tax identification numbers:
16.	Employment Contract Attached:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Required Attachments:

- Certified CNIC/Passport copy
- Proof of residential address

SECTION 2 — EMPLOYMENT HISTORY (LAST 10 YEARS)

For each role held in the last ten years, provide (please add more tables as needed):

Section	Question	Applicant Response
1.	Employer name:	Binance
2.	Country of employment:	Global
3.	Position held:	Chief Security Officer
4.	Dates of employment:	2020 – Present
5.	Key responsibilities:	Responsible for the strategic leadership and oversight of the firm's information security and cyber-security programmes, including the development and implementation of security policies, the management of security operations and incident-response capabilities, and ensuring the protection and resilience of the firm's systems, data and technology infrastructure.
6.	AML/CFT responsibilities (if any):	N/A
7.	Reason for leaving each position:	NA – current employer

Section	Question	Applicant Response

8.	Employer name:	Jingdong
9.	Country of employment:	California, USA Beijing, China
10.	Position held:	Head of JD Security Research Center, Senior Director
11.	Dates of employment:	2015 – 2020
12.	Key responsibilities:	Responsible for leading the firm's security research center, overseeing research and development into emerging cybersecurity threats, vulnerabilities and defence strategies, and ensuring that insights from security research inform the design, enhancement and governance of the firm's security architecture and operational resilience capabilities.
13.	AML/CFT responsibilities (if any):	N/A
14.	Reason for leaving each position:	New opportunity

Section	Question	Applicant Response
15.	Employer name:	Fire Eye Inc
16.	Country of employment:	California, USA
17.	Position held:	Director of Threat Research
18.	Dates of employment:	2012 – 2017
19.	Key responsibilities:	Responsible for leading the firm's threat research function, including identifying, analysing and assessing emerging cyber, operational and technology risks; developing threat-intelligence capabilities; advising senior stakeholders on evolving threat landscapes; and supporting the design and enhancement of security controls and incident-response strategies.

20.	AML/CFT responsibilities (if any):	Supports the firm's AML/CFT framework by identifying and escalating cyber-enabled threats, fraud patterns or system behaviours that may indicate potential money-laundering or terrorist-financing risks, and by ensuring that relevant intelligence is shared with the financial-crime and compliance functions.
21.	Reason for leaving each position:	New opportunity

Required Attachments:

- Updated CV
- Employment certificates or reference letters (if available)

If there are gaps in employment of **three months or more**, explain the reason for each gap.

SECTION 3 — QUALIFICATIONS, COMPETENCE & TRAINING

3.1 Academic Qualifications

List all degrees, diplomas and relevant education.

- 2005 – 2010: UC Berkeley – PhD Computer Science
 - 2002 – 2005: UC Berkeley – MS Computer Science
 - 1997 – 2001: UC Berkeley – BS Electrical Engineering and Computer Science

Attach certified copies.

3.2 Professional Certifications

(e.g., CAMS, ICA, CFA, CPA, CISA, cybersecurity or risk-related certifications)

N/A

Attach supporting certificates.

3.3 AML/CFT Training

Provide details of AML/CFT training completed in the last three years (if any):

- Training provider
- Course name
- Key topics covered
- Dates attended

The Key Individual completes mandatory AML/CFT training on an annual basis through their employer. The program covers core topics including money-laundering and terrorist-financing risks, red-flag indicators, sanctions compliance, reporting obligations, customer due diligence, and the firm's internal policies and escalation procedures.

3.4 Special Skills

Describe any specialised skills relevant to your Key Individual role (risk, blockchain, cybersecurity, governance, legal, audit, etc.).

- An experienced and passionate global Cyber Security executive with a demonstrated track record of aligning security with business objectives and delivering world-class level cybersecurity capabilities across products and enterprise. They have delivered exceptional results from both the security of business and business of security settings. They have an excellent track record in building and leading highly motivated and productive teams in both start-up and large-scale organizations.
- The Key Individual has successfully led development of numerous information security products from concept through production.

SECTION 4 — REGULATORY & SUPERVISORY RECORD

Indicate whether you have ever been:

1. Investigated by a regulatory authority:
 Yes No
2. Subject to enforcement action by any regulator:
 Yes No
3. Issued a warning, reprimand, or supervisory restriction:
 Yes No
4. Refused a license, authorisation or registration:
 Yes No
5. Removed or suspended from a position by a regulator:
 Yes No
6. Associated with an entity that has been sanctioned, penalised or prosecuted:
 Yes No

If Yes to any item, provide full details including jurisdiction, dates, nature of action, outcome and supporting documentation.

Binance was involved in regulatory and criminal proceedings in the United States that concluded in April 2024 with a negotiated resolution. These matters related to historical compliance control deficiencies. All issues were fully addressed and resolved through the agreed settlement framework with US authorities. The company's founder, Mr. Zhao, was subsequently granted a presidential pardon in October 2025, which removed the remaining legal effects of the prior proceedings.

SECTION 5 — CRIMINAL RECORD & INVESTIGATIONS

Indicate whether you have ever been:

7. Convicted of an offence:
 Yes No
8. Charged but not yet adjudicated:
 Yes No
9. Subject to plea negotiations or settlements:
 Yes No
10. Investigated for fraud, corruption, bribery, money laundering, terrorist financing or financial crime:
 Yes No
11. Arrested or detained for any offence:
 Yes No

Attach:

- Certified police clearance certificate(s) from all jurisdictions of residency in the past 10 years
- All relevant court or investigative documentation (where applicable)

SECTION 6 — FINANCIAL SOUNDNESS

Indicate whether you have ever:

12. Been declared bankrupt or insolvent:
 Yes No
13. Entered into a debt restructuring or insolvency arrangement:
 Yes No
14. Been subject to a civil judgment for debt or damages:

Yes No

15. Failed to meet financial obligations or defaulted on a loan:

Yes No

16. Been subject to tax enforcement or penalties:

Yes No

17. Been prohibited from acting as a director or officer due to financial misconduct:

Yes No

If Yes to any, provide full details, including amounts, dates, circumstances and supporting documents.

SECTION 7 — CONFLICTS OF INTEREST

Disclose any potential or actual conflicts, including:

18. Relationships with shareholders, Controllers, UBOs or senior management of the Applicant.
19. Financial interests in any entity that provides services to the Applicant.
20. Outside employment, directorships or advisory roles.
21. Any interest that may influence your judgment or objectivity in performing your Key Individual role.

Provide a narrative explaining how any identified conflict will be managed or mitigated.

N/A - no conflicts of interest have been identified.

SECTION 8 — INTEGRITY, ETHICS & PROFESSIONAL CONDUCT

Confirm whether you have ever:

22. Been dismissed or asked to resign for misconduct:

Yes No

23. Breached fiduciary duties in any role:

Yes No

24. Been found negligent, dishonest or unethical by any court or tribunal:

Yes No

25. Been subject to internal disciplinary actions of a serious nature:

Yes No

26. Been involved in activities likely to bring disrepute to a regulated entity:

Yes No

If Yes to any, provide full explanations and attach evidence.

SECTION 9 — HEALTH, FITNESS & CAPACITY

27. Are you medically and mentally fit to perform the functions of the Key Individual role?

Yes No

28. Do you suffer from any condition that may impair your ability to discharge responsibilities?

Yes No

If Yes, provide details and mitigation measures.

SECTION 10 — PROFESSIONAL REFERENCES

Provide the details of two independent professional references:

Reference 1	
Full Name:	N/A
Organisation:	N/A
Position/Title:	N/A
Relationship to You:	N/A
Email Address:	N/A
Reference 2	
Full Name:	N/A
Organisation:	N/A

Position/Title:	N/A
Relationship to You:	N/A
Email Address:	N/A
Phone Number:	N/A

References must not be from relatives, subordinates or individuals with conflicts of interest.

SECTION 11 — OTHER ROLES AND TIME COMMITMENTS

List all other directorships, employment roles or advisory positions currently held. Provide an estimate of the time commitment required by each role and confirm your ability to dedicate sufficient time to the Key Individual position at the Applicant.

Organisation	Role	Time commitment
Binance	Head of Information Security	Full time

I confirm that I am able to dedicate sufficient time to the Key Individual position at the Applicant.

SECTION 12 — DECLARATION

Declaration

I hereby declare that all information provided in this Fit & Proper Questionnaire is true, correct and complete. I affirm that I have disclosed all material facts relevant to my suitability to act as a Key Individual of the Applicant.

I understand that providing false, misleading or incomplete information may result in my removal as a Key Individual, refusal of AML Registration, or regulatory action by PVARA or other competent authorities.

I agree that PVARA may verify the information provided, conduct background checks, and obtain information from domestic and foreign regulators, law enforcement authorities and financial institutions.

Signature: Jimmy Su

Full Name: Jimmy Su

Date: 12/09/2025