

FORM A3 - FIT & PROPER QUESTIONNAIRE (KEY INDIVIDUALS)

(Issued Under Regulation 6.4 of the Anti-Money Laundering Registration Regulations 2025)

This Form must be completed by every Key Individual of the Applicant, including the Chief Executive Officer, Chief Financial Officer, Compliance Officer, MLRO, Head of Internal Audit, Head of Risk Management, Head of Information Security, and any other individual performing a senior management function.

All information must be true, complete and accurate.

False or misleading declarations may result in refusal of AML Registration, removal of a Key Individual, or further regulatory action.

SECTION 1 — PERSONAL INFORMATION

Section	Question	Applicant Response
1.	Legal Name:	Kaiser Ying Kit Ng
2.	Key Individual Role:	Chief Financial Officer
3.	Former / Other Names (if any):	N/A
4.	Gender:	Male
5.	Date of Birth (DD/MM/YYYY):	22/11/1980
6.	Nationality / Nationalities:	Canada
7.	Passport Number:	P763427PC
8.	Issuing Country:	Canada
9.	Date of Issue:	09/08/2024
10.	Date of Expiry:	09/08/2034
11.	Residential Address:	943 Bukit Timah Road, #10-49, Singapore, 589659
12.	Correspondence Address (if different):	
13.	Email Address:	Kaiser.ng@binance.com
14.	Phone Number:	+65 83600802

15.	Are you a tax resident of more than one country?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list all jurisdictions and tax identification numbers:
16.	Employment Contract Attached:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Required Attachments:

- Certified CNIC/Passport copy
- Proof of residential address

SECTION 2 — EMPLOYMENT HISTORY (LAST 10 YEARS)

For each role held in the last ten years, provide (please add more tables as needed):

Section	Question	Applicant Response
1.	Employer name:	Binance
2.	Country of employment:	Dubai, UAE
3.	Position held:	Chief Financial Officer
4.	Dates of employment:	2022 – Present
5.	Key responsibilities:	Responsible for overseeing the firm's financial management, including financial reporting, budgeting, capital and liquidity planning, and ensuring the integrity of financial controls and compliance with applicable accounting and regulatory requirements
6.	AML/CFT responsibilities (if any):	Provides senior-level oversight in ensuring that finance-related processes adhere to the firm's AML/CFT and sanctions requirements, including supporting financial-crime controls within finance operations, ensuring accurate regulatory reporting, and escalating any identified AML/CFT concerns to the appropriate compliance function

7.	Reason for leaving each position:	N/A - current employer
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Section	Question	Applicant Response
8.	Employer name:	Kraken Digital Assets Exchange
9.	Country of employment:	USA
10.	Position held:	Chief Financial Officer
11.	Dates of employment:	2017 – 2022
12.	Key responsibilities:	Responsible for overseeing the firm's financial management, including financial reporting, budgeting, capital and liquidity planning, and ensuring the integrity of financial controls and compliance with applicable accounting and regulatory requirements.
13.	AML/CFT responsibilities (if any):	Provides senior-level oversight in ensuring that finance-related processes adhere to the firm's AML/CFT and sanctions requirements, including supporting financial-crime controls within finance operations, ensuring accurate regulatory reporting, and escalating any identified AML/CFT concerns to the appropriate compliance function.
14.	Reason for leaving each position:	Headhunted

Section	Question	Applicant Response
15.	Employer name:	DeNA
16.	Country of employment:	USA and Canada
17.	Position held:	Head of Studio Operations and HR (US, Canada, Chile)
18.	Dates of employment:	2015 – 2017

19.	Key responsibilities:	Responsible for managing the firm's studio operations and HR functions, including day-to-day operational oversight, staffing and recruitment, performance management, development and implementation of HR policies, and coordination of workplace and operational processes to support business effectiveness and compliance.
20.	AML/CFT responsibilities (if any):	Responsible for ensuring that HR and operational practices support the firm's AML/CFT framework, including maintaining appropriate employee screening processes, promoting staff awareness of AML/CFT obligations, and escalating any concerns in accordance with internal procedures.
21.	Reason for leaving each position:	Headhunted

Section	Question	Applicant Response
22.	Employer name:	DeNA
23.	Country of employment:	USA and Canada
24.	Position held:	Senior Director, Finance and Operations (Canada)
25.	Dates of employment:	2012 – 2015
26.	Key responsibilities:	Responsible for overseeing the firm's finance and operations functions, including financial reporting, budgeting, operational processes, internal controls and ensuring that finance and operational activities are conducted in accordance with internal policies and applicable regulatory requirements.
27.	AML/CFT responsibilities (if any):	Responsible for supporting the effective implementation of AML/CFT controls within finance and operational processes, ensuring that

		relevant policies are followed, that operational teams understand escalation procedures, and that any suspected AML/CFT issues identified through operational oversight are promptly escalated to the compliance function.
28.	Reason for leaving each position:	Headhunted

Required Attachments:

- Updated CV
- Employment certificates or reference letters (if available)

If there are gaps in employment of **three months or more**, explain the reason for each gap.

SECTION 3 — QUALIFICATIONS, COMPETENCE & TRAINING

3.1 Academic Qualifications

List all degrees, diplomas and relevant education.

- 1998 – 2004: Simon Fraser University, British Columbia, Canada – BBA in Finance and Management Information Systems

Attach certified copies.

3.2 Professional Certifications

(e.g., CAMS, ICA, CFA, CPA, CISA, cybersecurity or risk-related certifications)

- 2009 – 2011: Association of Chartered Certified Accountants (FCCA)
- 2005 – 2007: Chartered Professional Accountants of British Columbia, Canada (CPA and CGA)

Attach supporting certificates.

3.3 AML/CFT Training

Provide details of AML/CFT training completed in the last three years (if any):

- Training provider
- Course name
- Key topics covered
- Dates attended

The Key Individual completes mandatory AML/CFT training on an annual basis through their employer. The program covers core topics including money-laundering and terrorist-financing risks, red-flag indicators, sanctions compliance, reporting obligations, customer due diligence, and the firm's internal policies and escalation procedures

3.4 Special Skills

Describe any specialised skills relevant to your Key Individual role (risk, blockchain, cybersecurity, governance, legal, audit, etc.).

The Key Individual has strong technical competence for the Head of Finance role, underpinned by their Bachelor's degree in Finance and professional qualifications as a Certified Accountant. During their 20+ year career in the financial sector, the Key Individual has developed deep expertise in corporate finance, accounting, and strategic financial management.

SECTION 4 — REGULATORY & SUPERVISORY RECORD

Indicate whether you have ever been:

- Investigated by a regulatory authority:
☐ Yes ☒ No
- Subject to enforcement action by any regulator:
☐ Yes ☒ No
- Issued a warning, reprimand, or supervisory restriction:
☐ Yes ☒ No
- Refused a license, authorisation or registration:
☐ Yes ☒ No
- Removed or suspended from a position by a regulator:
☐ Yes ☒ No
- Associated with an entity that has been sanctioned, penalised or prosecuted:
☒ Yes ☐ No

If Yes to any item, provide full details including jurisdiction, dates, nature of action, outcome and supporting documentation.

Binance was involved in regulatory and criminal proceedings in the United States that concluded in April 2024 with a negotiated resolution. These matters related to historical

compliance control deficiencies. All issues were fully addressed and resolved through the agreed settlement framework with US authorities. The company's founder, Mr. Zhao, was subsequently granted a presidential pardon in October 2025, which removed the remaining legal effects of the prior proceedings.

SECTION 5 — CRIMINAL RECORD & INVESTIGATIONS

Indicate whether you have ever been:

7. Convicted of an offence:
☐ Yes ☒ No
8. Charged but not yet adjudicated:
☐ Yes ☒ No
9. Subject to plea negotiations or settlements:
☐ Yes ☒ No
10. Investigated for fraud, corruption, bribery, money laundering, terrorist financing or financial crime:
☐ Yes ☒ No
11. Arrested or detained for any offence:
☐ Yes ☒ No

Attach:

- Certified police clearance certificate(s) from all jurisdictions of residency in the past 10 years
- All relevant court or investigative documentation (where applicable)

SECTION 6 — FINANCIAL SOUNDNESS

Indicate whether you have ever:

12. Been declared bankrupt or insolvent:
☐ Yes ☒ No
13. Entered into a debt restructuring or insolvency arrangement:
☐ Yes ☒ No
14. Been subject to a civil judgment for debt or damages:
☐ Yes ☒ No

15. Failed to meet financial obligations or defaulted on a loan:

☐ Yes ☒ No

16. Been subject to tax enforcement or penalties:

☐ Yes ☒ No

17. Been prohibited from acting as a director or officer due to financial misconduct:

☐ Yes ☒ No

If Yes to any, provide full details, including amounts, dates, circumstances and supporting documents.

SECTION 7 — CONFLICTS OF INTEREST

Disclose any potential or actual conflicts, including:

18. Relationships with shareholders, Controllers, UBOs or senior management of the Applicant.

19. Financial interests in any entity that provides services to the Applicant.

20. Outside employment, directorships or advisory roles.

21. Any interest that may influence your judgment or objectivity in performing your Key Individual role.

Provide a narrative explaining how any identified conflict will be managed or mitigated.

N/A - no conflicts of interest have been identified.
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SECTION 8 — INTEGRITY, ETHICS & PROFESSIONAL CONDUCT

Confirm whether you have ever:

22. Been dismissed or asked to resign for misconduct:

☐ Yes ☒ No

23. Breached fiduciary duties in any role:

☐ Yes ☒ No

24. Been found negligent, dishonest or unethical by any court or tribunal:

☐ Yes ☒ No

25. Been subject to internal disciplinary actions of a serious nature:

☐ Yes ☒ No

26. Been involved in activities likely to bring disrepute to a regulated entity:

☐ Yes ☒ No

If Yes to any, provide full explanations and attach evidence.

SECTION 9 — HEALTH, FITNESS & CAPACITY

27. Are you medically and mentally fit to perform the functions of the Key Individual role?

☒ Yes ☐ No

28. Do you suffer from any condition that may impair your ability to discharge responsibilities?

☐ Yes ☒ No

If Yes, provide details and mitigation measures.

SECTION 10 — PROFESSIONAL REFERENCES

Provide the details of two independent professional references:

Reference 1	
Full Name:	N/A
Organisation:	N/A
Position/Title:	N/A
Relationship to You:	N/A
Email Address:	N/A
Reference 2	
Full Name:	N/A
Organisation:	N/A
Position/Title:	N/A
Relationship to You:	N/A

Email Address:	N/A
Phone Number:	N/A

References must not be from relatives, subordinates or individuals with conflicts of interest.

SECTION 11 — OTHER ROLES AND TIME COMMITMENTS

List all other directorships, employment roles or advisory positions currently held. Provide an estimate of the time commitment required by each role and confirm your ability to dedicate sufficient time to the Key Individual position at the Applicant.

Organisation	Role	Time commitment
Binance	Chief Financial Officer	Full time

I confirm that I am able to dedicate sufficient time to the Key Individual position at the Applicant.

SECTION 12 — DECLARATION

Declaration

I hereby declare that all information provided in this Fit & Proper Questionnaire is true, correct and complete. I affirm that I have disclosed all material facts relevant to my suitability to act as a Key Individual of the Applicant.

I understand that providing false, misleading or incomplete information may result in my removal as a Key Individual, refusal of AML Registration, or regulatory action by PVARA or other competent authorities.

I agree that PVARA may verify the information provided, conduct background checks, and obtain information from domestic and foreign regulators, law enforcement authorities and financial institutions.

Signature: Kaiser Ng

Full Name: Kaiser Ng

Date: 12/09/2025