

## **FORM A3 - FIT & PROPER QUESTIONNAIRE (KEY INDIVIDUALS)**

(Issued Under Regulation 6.4 of the Anti-Money Laundering Registration Regulations 2025)

*This Form must be completed by every Key Individual of the Applicant, including the Chief Executive Officer, Chief Financial Officer, Compliance Officer, MLRO, Head of Internal Audit, Head of Risk Management, Head of Information Security, and any other individual performing a senior management function.*

*All information must be true, complete and accurate.*

*False or misleading declarations may result in refusal of AML Registration, removal of a Key Individual, or further regulatory action.*

### **SECTION 1 — PERSONAL INFORMATION**

<b>Section</b>	<b>Question</b>	<b>Applicant Response</b>
1.	Legal Name:	Ying Pok Cheung
2.	Key Individual Role:	Money Laundering Reporting Officer and Compliance Officer
3.	Former / Other Names (if any):	Wilson Cheung
4.	Gender:	Male
5.	Date of Birth (DD/MM/YYYY):	17/11/1982
6.	Nationality / Nationalities:	Chinese
7.	Passport Number:	HJ2149327
8.	Issuing Country:	Hong Kong
9.	Date of Issue:	03/05/2022
10.	Date of Expiry:	03/05/2032
11.	Residential Address:	12 The Cloisters, St Ives, NSW, 2075, Australia
12.	Correspondence Address (if different):	
13.	Email Address:	Yp.cheung@binance.com
14.	Phone Number:	+61 410766822

15.	Are you a tax resident of more than one country?:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list all jurisdictions and tax identification numbers:
16.	Employment Contract Attached:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Required Attachments:

- Certified CNIC/Passport copy
- Proof of residential address

## SECTION 2 — EMPLOYMENT HISTORY (LAST 10 YEARS)

For each role held in the last ten years, provide (please add more tables as needed):

Section	Question	Applicant Response
1.	Employer name:	Binance
2.	Country of employment:	Global
3.	Position held:	Regional Compliance Officer (APAC & MEASA)
4.	Dates of employment:	2023 – Present
5.	Key responsibilities:	Responsible for overseeing compliance activities within the region, ensuring adherence to applicable laws, regulations and internal policies, and providing guidance, monitoring and escalation support to business units on regulatory and compliance matters.
6.	AML/CFT responsibilities (if any):	Responsible for overseeing AML/CFT compliance within the region, including monitoring adherence to AML policies and procedures, supporting customer due-diligence and sanctions-screening frameworks, and ensuring prompt escalation of any identified AML/CFT risks.
7.	Reason for leaving each position:	NA – current employer

<b>Section</b>	<b>Question</b>	<b>Applicant Response</b>
8.	Employer name:	Amber Group
9.	Country of employment:	Australia
10.	Position held:	Head of Compliance and MLRO (Australia & Hong Kong)
11.	Dates of employment:	2022 – 2023
12.	Key responsibilities:	Responsible for overseeing compliance activities within the region, ensuring adherence to applicable laws, regulations and internal policies, and providing guidance, monitoring and escalation support to business units on regulatory and compliance matters.
13.	AML/CFT responsibilities (if any):	Responsible for overseeing AML/CFT compliance within the region, including monitoring adherence to AML policies and procedures, supporting customer due-diligence and sanctions-screening frameworks, and ensuring prompt escalation of any identified AML/CFT risks.
14.	Reason for leaving each position:	Moved to a new firm for career development

<b>Section</b>	<b>Question</b>	<b>Applicant Response</b>
15.	Employer name:	Deloitte HK
16.	Country of employment:	Hong Kong
17.	Position held:	Director in Conduct and Regulations Team
18.	Dates of employment:	2019 - 2022
19.	Key responsibilities:	Responsible for leading and overseeing the firm's conduct and

		regulatory compliance framework, including interpreting regulatory requirements, developing and maintaining conduct-related policies and standards, advising senior management and business units on regulatory obligations, and driving effective governance, monitoring and escalation processes to ensure adherence to conduct expectations.
20.	AML/CFT responsibilities (if any):	Responsible for providing oversight and advisory support on AML/CFT matters within the conduct and regulatory framework, including ensuring alignment of conduct standards with AML/CFT requirements, monitoring adherence to internal financial-crime policies, and escalating identified AML/CFT risks or issues to the appropriate financial-crime or compliance functions.
21.	Reason for leaving each position:	Moved to a new firm for career development

<b>Section</b>	<b>Question</b>	<b>Applicant Response</b>
22.	Employer name:	FXCM Australia Pty Limited / FXCM Global Services (HK) Limited
23.	Country of employment:	Asia (Australia & Hong Kong)
24.	Position held:	Head of Compliance and MLRO (Asia, Australia & Hong Kong)  Central Compliance
25.	Dates of employment:	2012-2019
26.	Key responsibilities:	Responsible for overseeing compliance activities within the region, ensuring adherence to applicable laws, regulations and internal policies, and providing guidance, monitoring and escalation

		support to business units on regulatory and compliance matters.
27.	AML/CFT responsibilities (if any):	Responsible for overseeing AML/CFT compliance within the region, including monitoring adherence to AML policies and procedures, supporting customer due-diligence and sanctions-screening frameworks, and ensuring prompt escalation of any identified AML/CFT risks.
28.	Reason for leaving each position:	Moved to a new firm for career development

Required Attachments:

- Updated CV
- Employment certificates or reference letters (if available)

If there are gaps in employment of **three months or more**, explain the reason for each gap.

### **SECTION 3 — QUALIFICATIONS, COMPETENCE & TRAINING**

#### **3.1 Academic Qualifications**

List all degrees, diplomas and relevant education.

- 2010 – 2012: Hong Kong University – Master in Economics
- 2007 – 2010: Manchester Metropolitan University – Bachelor of Laws
- 2001 – 2004: The Hong Kong Polytechnic University – Bachelor of Arts in Accountancy

Attach certified copies.

#### **3.2 Professional Certifications**

(e.g., CAMS, ICA, CFA, CPA, CISA, cybersecurity or risk-related certifications)

- 2007 – 2022: Hong Kong Institute of Certified Public Accountants (Certified Public Accountant)

Attach supporting certificates.

### 3.3 AML/CFT Training

Provide details of AML/CFT training completed in the last three years (if any):

- Training provider
- Course name
- Key topics covered
- Dates attended

The Key Individual has undertaken the full suite of mandatory AML/CFT training provided by their employer, including annual refresher programs, periodic thematic modules and ongoing professional development covering money-laundering and terrorist-financing risks, sanctions compliance and escalation procedures.

### 3.4 Special Skills

Describe any specialised skills relevant to your Key Individual role (risk, blockchain, cybersecurity, governance, legal, audit, etc.).

- The Key Individual is a seasoned compliance professional with over 20 years of experience in regulatory compliance and AML across a broad range of financial institutions, including retail banking, brokerages, and forex firms.
- The Key Individual has held senior roles, such as Head of Regional Compliance and MLRO at a global forex Broker, as well as regulatory consultant, assistant compliance manager, and MLRO at other reputable firms.
- Their expertise spans regulatory frameworks for securities, futures, forex broker-dealers, corporate financiers, asset managers, private equity, and venture capital funds. They have extensive experience engaging with “tier one” regulators in the UK, Hong Kong, China, Australia, other jurisdictions.

## SECTION 4 — REGULATORY & SUPERVISORY RECORD

Indicate whether you have ever been:

1. Investigated by a regulatory authority:  
 Yes  No
2. Subject to enforcement action by any regulator:  
 Yes  No
3. Issued a warning, reprimand, or supervisory restriction:  
 Yes  No
4. Refused a license, authorisation or registration:  
 Yes  No

5. Removed or suspended from a position by a regulator:  
 Yes  No
6. Associated with an entity that has been sanctioned, penalised or prosecuted:  
 Yes  No

If Yes to any item, provide full details including jurisdiction, dates, nature of action, outcome and supporting documentation.

Binance was involved in regulatory and criminal proceedings in the United States that concluded in April 2024 with a negotiated resolution. These matters related to historical compliance control deficiencies. All issues were fully addressed and resolved through the agreed settlement framework with US authorities. The company's founder, Mr. Zhao, was subsequently granted a presidential pardon in October 2025, which removed the remaining legal effects of the prior proceedings.

## **SECTION 5 — CRIMINAL RECORD & INVESTIGATIONS**

Indicate whether you have ever been:

7. Convicted of an offence:  
 Yes  No
8. Charged but not yet adjudicated:  
 Yes  No
9. Subject to plea negotiations or settlements:  
 Yes  No
10. Investigated for fraud, corruption, bribery, money laundering, terrorist financing or financial crime:  
 Yes  No
11. Arrested or detained for any offence:  
 Yes  No

Attach:

- Certified police clearance certificate(s) from all jurisdictions of residency in the past 10 years
- All relevant court or investigative documentation (where applicable)

## **SECTION 6 — FINANCIAL SOUNDNESS**

Indicate whether you have ever:

12. Been declared bankrupt or insolvent:

Yes  No

13. Entered into a debt restructuring or insolvency arrangement:

Yes  No

14. Been subject to a civil judgment for debt or damages:

Yes  No

15. Failed to meet financial obligations or defaulted on a loan:

Yes  No

16. Been subject to tax enforcement or penalties:

Yes  No

17. Been prohibited from acting as a director or officer due to financial misconduct:

Yes  No

If Yes to any, provide full details, including amounts, dates, circumstances and supporting documents.

## **SECTION 7 — CONFLICTS OF INTEREST**

Disclose any potential or actual conflicts, including:

18. Relationships with shareholders, Controllers, UBOs or senior management of the Applicant.

19. Financial interests in any entity that provides services to the Applicant.

20. Outside employment, directorships or advisory roles.

21. Any interest that may influence your judgment or objectivity in performing your Key Individual role.

Provide a narrative explaining how any identified conflict will be managed or mitigated.

N/A - no conflicts of interest have been identified.

## **SECTION 8 — INTEGRITY, ETHICS & PROFESSIONAL CONDUCT**

Confirm whether you have ever:

22. Been dismissed or asked to resign for misconduct:

Yes  No

23. Breached fiduciary duties in any role:

Yes  No

24. Been found negligent, dishonest or unethical by any court or tribunal:

Yes  No

25. Been subject to internal disciplinary actions of a serious nature:

Yes  No

26. Been involved in activities likely to bring disrepute to a regulated entity:

Yes  No

If Yes to any, provide full explanations and attach evidence.

## **SECTION 9 — HEALTH, FITNESS & CAPACITY**

27. Are you medically and mentally fit to perform the functions of the Key Individual role?

Yes  No

28. Do you suffer from any condition that may impair your ability to discharge responsibilities?

Yes  No

If Yes, provide details and mitigation measures.

## **SECTION 10 — PROFESSIONAL REFERENCES**

Provide the details of two independent professional references:

<b>Reference 1</b>	
Full Name:	N/A
Organisation:	N/A
Position/Title:	N/A
Relationship to You:	N/A

Email Address:	N/A
<b>Reference 2</b>	
Full Name:	N/A
Organisation:	N/A
Position/Title:	N/A
Relationship to You:	N/A
Email Address:	N/A
Phone Number:	N/A

References must not be from relatives, subordinates or individuals with conflicts of interest.

## **SECTION 11 — OTHER ROLES AND TIME COMMITMENTS**

List all other directorships, employment roles or advisory positions currently held. Provide an estimate of the time commitment required by each role and confirm your ability to dedicate sufficient time to the Key Individual position at the Applicant.

<b>Organisation</b>	<b>Role</b>	<b>Time commitment</b>
Binance	Head of Market Supervision	Full time
Binance	CO and MLRO	Full time

I confirm that I am able to dedicate sufficient time to the Key Individual position at the Applicant.

## **SECTION 12 — DECLARATION**

### **Declaration**

I hereby declare that all information provided in this Fit & Proper Questionnaire is true, correct and complete. I affirm that I have disclosed all material facts relevant to my suitability to act as a Key Individual of the Applicant.

I understand that providing false, misleading or incomplete information may result in my removal as a Key Individual, refusal of AML Registration, or regulatory action by PVARA or other competent authorities.

I agree that PVARA may verify the information provided, conduct background checks, and obtain information from domestic and foreign regulators, law enforcement authorities and financial institutions.

**Signature:**  Signed with eSign  
33c671876e...

**Full Name:** Yin Pok Cheung

**Date:** 12/09/2025

# Certificate of Completion

## 20251209 Nest Services Limited - A3 Forms (Ying Pok Cheung)

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Signatory	Email	Email Verification
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