# **ACSL** by Example

Towards a Formally Verified Standard Library

Version 22.0.0 for Frama-C 22.0 (Titanium) November 2020

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This document is hosted at

https://github.com/fraunhoferfokus/acsl-by-example

From there, you can also download the source code of all algorithms discussed here, their contracts, and the employed predicate definitions and lemmas. All examples are developed and proved with the Frama-C/WP [1] plugin.<sup>4</sup> We recommend using the GitHub issue tracker

https://github.com/fraunhoferfokus/acsl-by-example/issues

to report suggestions or errors. Alternatively, you can email them also to

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<sup>&</sup>lt;sup>3</sup>Project duration: 2009–2012

<sup>&</sup>lt;sup>4</sup>There is also full support for the Frama-C/AstraVer plugin which is developed at ISP RAS and can be installed with the instruction available on https://forge.ispras.ru/projects/astraver/wiki

# 1. Changes

For changes in previous versions we refer to Appendix B on Page 259.

# 1.1. New in Version 22.0.0 (Titanium, November 2020)

This release is intended for Frama-C [2, v22.1] issued in November 2020. We are also using for this release the Why3 platform [3, v1.3.3] and the provers listed in the following table.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.3	[4]
CVC4	automatic	1.7	[5]
<b>Z</b> 3	automatic	4.8.6	[6]
Coq	interactive	8.12.1	[7]

Table 1.1.: Information on automatic and interactive theorem provers

Note that all automatic provers use the Why3 interface. However, the interactive prover Coq still relies on the native interface provided by Frama-C/WP.

## **New examples**

None.

#### **Improvements**

Updated to Coq 8.12.1.

#### Open issues

• The contract of algorithm merge does not handle the reordering of the involved arrays.

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# Part I.

# **Basics**

# 2. Introduction

This report provides various examples for the formal specification, implementation, and deductive verification of C programs using the ANSI/ISO-C Specification Language (ACSL [8]) and the Frama-C/WP plug-in [1] of Frama-C [2] (Framework for Modular Analysis of C programs).

We have chosen our examples from the C++ Standard Library whose initial version is still known as the *Standard Template Library* (STL). The C++ Standard Library contains a broad collection of *generic* algorithms that work not only on C arrays but also on more elaborate container data structures. For the purposes of this document we have selected representative algorithms, and converted their implementation from C++ function templates to C functions that work on arrays of type int.

We will continue to extend and refine this report by describing additional STL algorithms and data structures. Thus, step by step, this document will evolve from an ACSL tutorial to a report on a formally specified and deductively verified Standard Library for ANSI/ISO-C. Moreover, as ACSL is extended to a C++ specification language, our work may be extended to a deductively verified C++ Standard Library.

We encourage you to check vigilantly whether our formal specifications capture the essence of the informal description of the STL algorithms. We appreciate your feedback<sup>5</sup> and hope that this document helps foster the adoption of deductive verification techniques.

## Acknowledgement

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<sup>&</sup>lt;sup>5</sup>We suggest GitHub's issue tracker: https://github.com/fraunhoferfokus/acsl-by-example/issues

<sup>6</sup>http://www-list.cea.fr/en

<sup>7</sup>http://trust-in-soft.com

<sup>8</sup>https://www.lri.fr/index\_en.php?lang=EN

<sup>9</sup>http://www.adacore.com

#### 2.1. Frama-C

The Framework for Modular Analyses of C, Frama-C [2], is a suite of software tools dedicated to the analysis of C source code. Its development efforts are conducted and coordinated at two French public institutions: CEA LIST [9], a laboratory of applied research on software-intensive technologies, and INRIA Saclay [10], the French National Institute for Research in Computer Science and Control in collaboration with LRI [11], the Laboratory for Computer Science at Université Paris-Sud.

ACSL (ANSI/ISO-C Specification Language) [8] is a formal language to express behavioral properties of C programs. This language can specify a wide range of functional properties by adding annotations to the code. It allows to create function contracts containing preconditions and postconditions. It is possible to define type and global invariants as well as logic specifications, such as predicates, lemmas, axioms or logic functions. Furthermore, ACSL allows statement annotations such as assertions or loop annotations.

Within Frama-C, the Frama-C/WP plug-in [1] enables deductive verification of C programs that have been annotated with ACSL. The Frama-C/WP plug-in uses Hoare-style weakest precondition computations to formally prove ACSL properties of C code. Verification conditions are generated and submitted to external automatic theorem provers or interactive proof assistants.

The Verification Group at Fraunhofer FOKUS [12] see the great potential for deductive verification using ACSL. However, we recognize that for a novice there are challenges to overcome in order to effectively use the Frama-C/WP plug-in for deductive verification. In order to help users gain confidence, we have written this tutorial that demonstrates how to write annotations for existing C programs. This document provides several examples featuring a variety of annotated functions using ACSL. For an in-depth understanding of ACSL, we strongly recommend users to read the official Frama-C introductory tutorial [13] first. The principles presented in this paper are also documented in the ACSL reference document [14].

#### 2.2. Structure of this document

The functions presented in this document were selected from the C++ Standard Library. The original C++ implementation was stripped from its generic implementation and mapped to C arrays of type value\_type.

Chapter 3 provides a short introduction into the Hoare Calculus. For a better understanding of Frama-C/WP and the theory behind it, we also recommend Allan Blanchard's ACSL tutorial [15].

We have grouped various standard algorithms in chapters as follows:

- non-mutating algorithms (Chapter 4)
- maximum/minimum algorithms (Chapter 5)
- binary search algorithms (Chapter 6)
- mutating algorithms (Chapter 7)
- numeric algorithms (Chapter 8)
- heap algorithms (Chapter 9)
- sorting algorithms and well-known classical implementations of sorting algorithms (Chapter 10)

The order of these chapters reflects their increasing complexity.

Using the example of a stack, we tackle in Chapter 11 the problem of how a data type and its associated C functions can be specified with ACSL and automatically verified with Frama-C.

Finally, Appendix A lists for each example the results of verification with Frama-C.

## 2.3. Types, arrays, ranges and valid indices

In order to keep algorithms and specifications as general as possible, we use abstract type names on almost all occasions. We currently defined the following types:

```
typedef int value_type;

typedef unsigned int size_type;

typedef int bool;
```

Programmers who know the types associated with C++ Standard Library containers will not be surprised that value\_type refers to the type of values in an array whereas size\_type will be used for the indices of an array.

This approach allows one to modify, say, an algorithm working on an **int** array to work on a **char** array by changing only one line of code, viz. the **typedef** of value\_type. Moreover, we believe in better readability as it becomes clear whether a variable is used as an index or as a memory for a copy of an array element, just by looking at its type.

The latter reason also applies to the use of **bool**. To denote values of that type, we defined the identifiers **false** and **true** to be 0 and 1, respectively. While any non-zero value is accepted to denote **true** in ACSL like in C the algorithms shown in this tutorial will always produce 1 for **true**. Due to the above definitions, the ACSL truth-value constant \false and \true can be used interchangeably with our **false** and **true**, respectively, in ACSL clauses, but not in C code.

#### 2.3.1. Array and ranges

The C Standard describes an array as a "contiguously allocated nonempty set of objects" [16,  $\S6.2.5.20$ ]. If n is a constant integer expression with a value greater than zero, then

```
int a[n];
```

describes an array of type **int**. In particular, for each i that is greater than or equal to 0 and less than n, we can dereference the pointer a+i.

Let the following prototype represent a function, whose first argument is the address to a range and whose second argument is the length of this range.

```
void example(value_type* a, size_type n);
```

To be very precise, we have to use the term range instead of array. This is due to the fact, that functions may be called with empty ranges, i.e., with n == 0. Empty arrays, however, are not permitted according to the definition stated above. Nevertheless, we often use the term array and range interchangeably.

### 2.3.2. Specification of valid ranges in ACSL

The following ACSL fragment expresses the precondition that the function example expects that for each i, such that  $0 \le i \le n$ , the pointer a+i may be safely dereferenced.

```
/*@
    requires 0 <= n;
    requires \valid(a + (0.. n-1));
*/
void example(value_type* a, size_type n);</pre>
```

In this case we refer to each index i with  $0 \le i \le n$  as a valid index of a.

ACSL's built-in predicates  $\valid(a + (0.. n))$  and  $\valid_read(a + (0.. n))$  refer to all addresses a+i where  $0 \le i \le n$ . However, the array notation **int** a [n] of the C programming language refers only to the elements a+i where i satisfies  $0 \le i \le n$ . Users of ACSL must therefore use the range notation a+(0.. n-1) in order to express a valid array of length n.

# 3. The Hoare calculus

In 1969, C.A.R. Hoare introduced a calculus for formal reasoning about properties of imperative programs [17], which became known as "Hoare Calculus".

The basic notion is

```
//@ assert P;
Q;
//@ assert R;
```

where P and R denote logical expressions and Q denotes a source-code fragment. Informally, this means

If P holds before the execution of Q, then R will hold after the execution.

Usually, P and R are called *precondition* and *postcondition* of Q, respectively. The syntax for logical expressions is described in [14, §2.2] in full detail. For the purposes of this tutorial, the notions shown in Table 3.1 are sufficient. Note that they closely resemble the logical and relational operators in C.

ACSL syntax	Name	Reading	
! P	negation	P is not true	
P && Q	conjunction	P is true and Q is true	
P    Q	disjunction	P is true or Q is true	
P ==> Q	implication	if P is true, then Q is true	
P <==> Q	equivalence	if, and only if, P is true, then Q is true	
x < y == z	relation chain	x is less than y and y is equal to z	
\forall int x; P(x)	universal quantifier	P(x) is true for every <b>int</b> value of x	
\exists int x; P(x)	existential quantifier	P(x) is true for some <b>int</b> value of x	

Table 3.1.: Some ACSL formula syntax

Here we show three example source-code fragments and annotations.

```
//@ assert x \% 2 == 1;

//@ assert x \% 2 == 0;

If x has an odd value before execution of the code ++x then x has an even value thereafter.
```

```
//@ assert 0 <= x <= y;
++x;
//@ assert 0 <= x <= y + 1;
If the value of x is in the range \{0, ..., y\} before execution of the same code, then x's value is in the range \{0, ..., y + 1\} after execution.
```

```
//@ assert true;
while (--x != 0)
    sum += a[x];
//@ assert x == 0;
Under any circumstances, the value of x is zero after execution of the loop code.
```

Any C programmer will confirm that these properties are valid. <sup>10</sup> The examples were chosen to demonstrate also the following issues:

- For a given code fragment, there does not exist one fixed pre- or postcondition. Rather, the choice of formulas depends on the actual property to be verified, which comes from the application context. The first two examples share the same code fragment, but have different pre- and postconditions.
- The postcondition need not be the most restricting possible formula that can be derived. In the second example, it is not an error that we stated only that 0 <= x although we know that even 1 <= x.
- In particular, pre- and postconditions need not contain all variables appearing in the code fragment. Neither sum nor a [] is referenced in the formulas of the loop example.
- We can use the predicate **true** to denote the absence of a properly restricting precondition, as we did before the **while** loop.
- It is not possible to express by pre- and postconditions that a given piece of code will always terminate. The loop example only states that *if* the loop terminates, then x == 0 will hold. In fact, if x has a negative value on entry, the loop will run forever. However, if the loop terminates, x == 0 will hold, and that is what the loop example claims.

Usually, termination issues are dealt with separately from correctness issues. Termination proofs may, however, refer to properties stated (and verified) using the Hoare Calculus.

Hoare provided the rules shown in Listing 3.2 to 3.12 in order to reason about programs. We will comment on them in the following sections.

<sup>&</sup>lt;sup>10</sup>We leave the important issues of overflow aside for a moment.

## 3.1. The assignment rule

We start with the rule that is probably the least intuitive of all Hoare-Calculus rules, viz. the assignment rule. It is depicted in Listing 3.2, where

$$P\{x \mapsto e\}$$

denotes the result of substituting each occurrence of the variable x in the predicate P by the expression e.

```
//@ assert P {x |--> e};
x = e;
//@ assert P;
```

Listing 3.2: The assignment rule

For example, if P is the predicate

```
x > 0 \&\& a[2*x] == 0
```

then  $P\{x \mapsto y + 1\}$  is the predicate

```
y+1 > 0 && a[2*(y+1)] == 0
```

Hence, we get Listing 3.3 as an example instance of the assignment rule. Note that parentheses are required in the index expression to get the correct 2 \* (y+1) rather than the faulty 2\*y+1.

```
//@ assert y+1 > 0 && a[2*(y+1)] == 0;
x = y+1;
//@ assert x > 0 && a[2*x] == 0;
```

Listing 3.3: An assignment rule example instance

Note that after a substitution several different predicates P may result in the same predicate  $P\{x \mapsto e\}$ . For example, after applying the substitution  $P\{x \mapsto y + 1\}$  each of the following four predicates

```
x > 0 \&\& a[2*x] == 0

x > 0 \&\& a[2*(y+1)] == 0

y+1 > 0 \&\& a[2*x] == 0

y+1 > 0 \&\& a[2*(y+1)] == 0
```

turns into

```
y+1 > 0 && a[2*(y+1)] == 0
```

For this reason, the same precondition and statement may result in several different postconditions (All four above expressions are valid postconditions in Listing 3.3, for example). However, given a postcondition and a statement, there is only one precondition that corresponds.

When first confronted with Hoare's assignment rule, most people are tempted to think of a simpler and more intuitive alternative, shown in Listing 3.4.

```
//@ assert P;
x = e;
//@ assert P && x == e;
```

Listing 3.4: Simpler, but faulty assignment rule

Listings 3.5–3.7 show some example instances of this faulty rule.

```
//@ assert y > 0;
x = y+1;
//@ assert y > 0 && x == y+1;
```

Listing 3.5: An example instance of the faulty rule from Listing 3.4

While Listing 3.5 happens to be ok, Listing 3.6 and 3.7 lead to postconditions that are obviously nonsensical formulas.

```
//@ assert true;
x = x+1;
//@ assert x == x+1;
```

Listing 3.6: An example instance of the faulty rule from Listing 3.4

The reason is that in the assignment in Listing 3.6 the left-hand side variable  $\times$  also appears in the right-hand side expression  $\in$ , while the assignment in Listing 3.7 just destroys the property from its precondition.

```
//@ assert x < 0;
x = 5;
//@ assert x < 0 && x == 5;
```

Listing 3.7: An example instance of the faulty rule from Listing 3.4

Note that the correct example Listing 3.5 can as well be obtained as an instance of the correct rule from Listing 3.2, since replacing x by y+1 in its postcondition yields y>0 && y+1=y+1 as precondition, which is logically equivalent to just y>0.

## 3.2. The sequence rule

The sequence rule, shown in Listing 3.8, combines two code fragments Q and S into a single one Q; S. Note that the postcondition for Q must be identical to the precondition of S. This just reflects the sequential execution ("first do Q, then do S") on a formal level. Thanks to this rule, we may "annotate" a program with interspersed formulas, as it is done in Frama-C.

```
//@ assert P;
Q;
//@ assert R;

and
//@ assert R;

//@ assert P;
Q; S;
//@ assert T;

//@ assert P;
Q; S;
//@ assert T;
```

Listing 3.8: The sequence rule

# 3.3. The implication rule

The implication rule, shown in Listing 3.9, allows us at any time to sharpen a precondition P and to weaken a postcondition P. More precisely, if we know that P' ==> P and P ==> P then the we can replace the left contract in of Listing 3.9 by the right one.

```
//@ assert P;
Q;
//@ assert R;

//@ assert P';
Q;
//@ assert R';
```

Listing 3.9: The implication rule

#### 3.4. The choice rule

The choice rule, depicted in Listing 3.10, is needed to verify conditional statements of the form

```
if (C) X;
else Y;
```

Both the then and else branch must establish the same postcondition, viz. S. The implication rule can be used to weaken differing postconditions S1 of a then-branch and S2 of an else-branch into a unified postcondition S1  $\mid \mid$  S2, if necessary. In each branch, we may use what we know about the condition C. For example, in the else-branch, we may use that C is false. If the else-branch is missing, it can be considered as consisting of an empty sequence, having the postcondition P && !C.

```
//@ assert P && C;
X;
//@ assert P && !C;
Y;
//@ assert S;

//@ assert P;
if (C) X;
else Y;
//@ assert S;
```

Listing 3.10: The choice rule

Listing 3.11 shows an example application of the choice rule.

```
//@ assert 0 <= i < n;
                                // given precondition
if (i < n-1) {
                                // using that i < n-1 holds in this branch
  //@ assert 0 <= i < n - 1;
                                // by the implication rule
  //@ assert 1 <= i+1 < n;
  i = i+1;
  //@ assert 1 <= i < n;
                                // by the assignment rule
                                // weakened by the implication rule
  //@ assert 0 <= i < n;
} else {
  //@ assert 0 <= i == n-1 < n; // using that !(i < n-1) holds in else part
  //@ assert 0 == 0 && 0 < n; // weakened by the implication rule
  i = 0;
  //@ assert i == 0 && 0 < n; // by the assignment rule
  //@ assert 0 <= i < n;
                                // weakened by the implication rule
//@ assert 0 <= i < n;
                                // by the choice rule from both branches
```

Listing 3.11: An example application of the choice rule

The variable i may be used as an index into a ring buffer int a[n]. The shown code fragment just advances the index i appropriately. We verified that i remains a valid index into a[] provided it was valid before. Note the use of the implication rule to establish preconditions for the assignment rule as needed, and to unify the postconditions of the then and else branches, as required by the choice rule.

## 3.5. The loop rule

The loop rule, shown in Listing 3.12, is used to verify a **while** loop. This requires to find an appropriate formula, P, which is preserved by each execution of the loop body. P is also called a loop invariant.

```
//@ assert P;
//@ assert P;
while (B) {
    S;
    //@ assert P;
    while (B) {
        S;
    }
    //@ assert P;
```

Listing 3.12: The loop rule

To find it requires some intuition in many cases; for this reason, automatic theorem provers usually have problems with this task.

As said above, the loop rule does not guarantee that the loop will always eventually terminate. It merely assures us that, if the loop has terminated, the postcondition holds. To emphasize this, the properties verifiable with the Hoare Calculus are usually called "partial correctness" properties, while properties that include program termination are called "total correctness" properties.

As an example application, let us consider an abstract ring-buffer. Listing 3.13 shows a verification proof for the index i lying always within the valid range [0..n-1] during, and after, the loop. It uses the proof from Listing 3.11 as a sub-part.

```
// given precondition
//@ assert 0 < n;
int i = 0;
//@ assert
           0 \le i \le n;
                                    // by the assignment rule
while (!done) {
  //@ assert 0 <= i < n && !done;
                                    // may be assumed by the loop rule
  a[i] = getchar();
  //@ assert 0 <= i < n && !done;
                                     // required property of getchar
                                     // weakened by the implication rule
  //@ assert 0 <= i < n;
  i = (i < n-1) ? i+1 : 0;
                                    // follows by the choice rule
  //@ assert 0 <= i < n;
  process(a, i, &done);
                                    // required property of process
  //@ assert 0 <= i < n;
//@ assert 0 <= i < n;
                                     // by the loop rule
```

Listing 3.13: An abstract ring buffer loop

To reuse the proof from Listing 3.11, we had to drop the conjunct !done, since we didn't consider it in Listing 3.11. In general, we may *not* infer

```
//@ assert P && S;
Q;
//@ assert R && S;

from

//@ assert P;
Q;
//@ assert R;
```

since the code fragment Q may just destroy the property S.

This is obvious for Q being the fragment from Listing 3.11, and S being e.g. i != 0.

Suppose for a moment that process had been implemented in a way such that it refuses to set done to **true** unless it is **false** at entry. In this case, we would really need that !done still holds after execution of Listing 3.11. We would have to do the proof again, looping-through an additional conjunct !done.

We have similar problems to carry the property  $0 \le i \le n$  && !done and  $0 \le i \le n$  over the statement a[i] = getchar() and process(a, i, &done), respectively. We need to specify that neither getchar nor process is allowed to alter the value of i or n. In ACSL, there is a particular language construct assigns for that purpose, which is introduced in §7.3 on Page 105.

In our example, the loop invariant can be established between any two statements of the loop body. However, this need not be the case in general. The loop rule only requires the invariant holds before the loop and at the end of the loop body. For example, process could well change the value of  $i^{11}$  and even n intermediately, as long as it re-establishes the property 0 <= i < n immediately prior to returning.

The loop invariant, 0 <= i < n, is established by the proof in Listing 3.11 also after termination of the loop. Thus, e.g., a final a  $[i] = ' \setminus 0'$  after the loop would be guaranteed not to lead to a bounds violation.

Even if we would need the property 0 <= i < n to hold only immediately before the assignment a [i] = getchar(), for example since process's body didn't use a or i, we would still have to establish 0 <= i < n as a loop invariant by the loop rule, since there is no other way to obtain any property inside a loop body. Apart from this formal reason it is obvious that 0 <= i < n wouldn't hold during the second loop iteration unless we re-established it at the end of the first one, and that is just what the while rule requires.

<sup>&</sup>lt;sup>11</sup>We would have to change the call to process (a, &i, &done) and the implementation of process appropriately. In this case we couldn't rely on the above-mentioned assigns clause for process.

#### 3.6. Derived rules

The above rules do not cover all kinds of statements allowed in C. However, missing C-statements can be rewritten into a form that is semantically equivalent and covered by the Hoare rules.

For example, if the expression E doesn't have side-effects, then

```
switch (E) {
    case E1: Q1; break; ...
    case En: Qn; break;
    default: Q0; break;
}
```

is semantically equivalent to

```
if (E == E1) {
    Q1;
} else ... if (E == En) {
    Qn;
} else {
    Q0;
}
```

While the **if-else** form is usually slower in terms of execution speed on a real computer, this doesn't matter for verification purposes, which are separate from execution issues.

Similarly, a loop statement of the form

```
for (P; Q; R) {
   S;
}
```

can be re-expressed as

```
P;
while (Q) {
    S;
    R;
```

and so on.

It is then possible to derive a Hoare rule for each kind of statement not previously discussed, by applying the classical rules to the corresponding re-expressed code fragment. However, we do not present these derived rules here

Although procedures cannot be re-expressed in the above way if they are (directly or mutually) recursive, it is still possible to derive Hoare rules for them. This requires the finding of appropriate "procedure invariants" similar to loop invariants. Non-recursive procedures can, of course, just be inlined to make the classical Hoare rules applicable.

Note that **goto** cannot be rewritten in the above way; in fact, programs containing **goto** statements cannot be verified with the Hoare Calculus. See [18] for a similar calculus that can deal with arbitrary flowcharts, and hence arbitrary jumps. In fact, Hoare's work was based on that calculus. Later calculi inspired from Hoare's work have been designed to re-integrate support for arbitrary jumps. However, in this tutorial, we will not discuss example programs containing a **goto**.

# Part II.

# Nonmutating and simple search algorithms

# 4. Non-mutating algorithms

In this chapter, we consider *non-mutating* algorithms of the C++ Standard Library [19, §28.5]. These algorithms neither change their arguments nor any objects outside their scope. This requirement can be formally expressed with the following *assigns clause*:

```
assigns \nothing;
```

Each algorithm in this chapter therefore uses this assigns clause in its specification.

The specifications of these algorithms are not very complex. Nevertheless, we have tried to arrange them so that the earlier examples are simpler than the later ones. Each algorithm works on one-dimensional arrays.

- find in §4.1 provides *sequential* or *linear search* and returns the smallest index at which a given value occurs in a given range. In §4.2, a user-defined ACSL predicate is introduced in order to simplify the reuse of various specification elements. We refer to the simplified version as find2. We provide in §4.3 a third specification of find (called find3) that relies on a user-defined ACSL function that expresses the ideas of linear search on the logic level.
- find\_if\_not in §4.4 is a small variation of find that searches the first occurrence where a given value does *not* occur.
- find\_first\_of in §4.5 provides similar to find a *sequential search*. However, unlike find it does not search for a particular value, but for an arbitrary member of a set.
- adjacent\_find in §4.6 can be used to find equal neighbors in an array.
- equal and mismatch in §4.7 are useful for comparing two ranges element-by-element and identifying where they differ.
- search and search\_n in §4.8 and §4.9 find a subsequence that is identical to a given sequence when compared element-by-element and returns the position of the first occurrence.
- count in §4.11 returns the number of occurrences of a given value in a range. Here we will explicitly define a logic function for elements counting and show that the implementation comply with it.
- count 2 in §4.12 contains different specification for the count function. In this case an inductive predicate defined for elements counting. The section allows one to compare different approaches of writing specifications and demonstrates the ACSL inductive predicates.

## 4.1. The find algorithm

The find algorithm in the C++ Standard Library [19, §28.5.5] implements *sequential search* for general sequences. We have modified the generic implementation, which relies heavily on C++ templates, to that of a range of type value\_type. The signature now reads:

```
size_type find(const value_type* a, size_type n, value_type v);
```

The function find returns the least *valid* index i of a where the condition a[i] = v holds. If no such index exists then find returns the length n of the array.

As an example, we consider in Figure 4.1 an array. The arrows indicate which indices will be returned by find for a given value. Note that the index 9 points *one past end* of the array. Values that are not contained in the array are colored in gray.

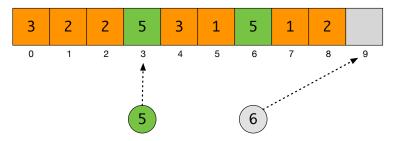


Figure 4.1.: Some simple examples for find

## 4.1.1. Formal specification of find

The following listing shows our first attempt specify find [4.2].

```
/ * @
 requires
             \valid_read(a + (0..n-1));
 assigns
             \nothing;
             0 <= \result <= n;</pre>
 ensures
 behavior some:
   assumes \exists integer i; 0 <= i < n && a[i] == v;</pre>
   assigns \nothing;
   ensures 0 <= \result < n;</pre>
   ensures a[\result] == v;
   ensures \forall integer i; 0 <= i < \result ==> a[i] != v;
 behavior none:
   assumes \forall integer i; 0 <= i < n ==> a[i] != v;
   assigns \nothing;
   ensures \result == n;
 complete behaviors;
 disjoint behaviors;
size type
find(const value_type* a, size_type n, value_type v);
```

Listing 4.2: Formal specification of find

The requires-clause indicates that n is non-negative and that the pointer a points to n contiguously allocated objects of type value\_type (see §2.3). The assigns-clause indicates that find (as a non-mutating algorithm), does not modify any memory location outside its scope (see Page 31).

Generally, we only know that find returns a non-negative index that is less or equal the length of the array. However, once we assume more specific situations, we can also make more precise statements about the returned valued. This is the reason why we have subdivided the specification of find into two behaviors (named some and none).

- The behavior some applies if the sought-after value is contained in the array. We express this condition by using the assumes-clause. The next line expresses that if the assumptions of the behavior are satisfied then find will return a valid index. The algorithm also ensures that the returned (valid) index i, a[i] == v holds. Therefore we define this property in the second postcondition of behavior some. Finally, it is important to express that find returns the smallest index i for which a[i] == v holds (see last postcondition of behavior some).
- The behavior none covers the case that the sought-after value is *not* contained in the array (see assumes-clause of behavior none in the contract offind [4.2]. In this case, find must return the length n of the range a.

Note that the formula in the assumes-clause of the behavior some is the negation of the assumes-clause of the behavior none. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

#### 4.1.2. Implementation of find

The noteworthy elements of our implementation of find [4.3] are the *loop annotations*. The first loop *invariant* is needed to prove that accesses to a only occur with valid indices. The second loop *invariant* is needed for the proof of the postconditions of the behavior some in the contract of find [4.2]. It expresses that for each iteration the sought-after value is not yet found up to that iteration step. Finally, the loop *variant* n-i is needed to generate correct verification conditions for the termination of the loop.

```
size_type
find(const value_type* a, size_type n, value_type v)
{
    /*@
    loop invariant 0 <= i <= n;
    loop invariant \forall integer k; 0 <= k < i ==> a[k] != v;
    loop assigns i;
    loop variant n-i;
    */
for (size_type i = 0u; i < n; i++) {
    if (a[i] == v) {
        return i;
     }
    }
    return n;
}</pre>
```

Listing 4.3: Implementation of find

## 4.2. The find2 algorithm—reuse of specification elements

In this section we specify find in a slightly different way. Our approach is motivated by a considerable number of closely related ACSL formulas in the contract find [4.2] and the implementation find [4.3].

Note that the first formula is the negation of the third one.

#### 4.2.1. The predicates SomeEqual and NoneEqual

In order to be more explicit about the commonalities of these formulas we define a predicate, called SomeEqual [4.4], which describes the situation that there is a valid index i where a [i] equals v.

```
axiomatic SomeNone
 predicate
 SomeEqual{A} (value_type* a, integer m, integer n, value_type v) =
   \exists integer i; m <= i < n && a[i] == v;
 predicate
 SomeEqual{A} (value_type* a, integer n, value_type v) =
   SomeEqual(a, 0, n, v);
 predicate
 NoneEqual(value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> a[i] != v;
 NoneEqual(value_type* a, integer n, value_type v) =
   NoneEqual(a, 0, n, v);
 lemma NotSomeEqual_NoneEqual:
    \forall value_type *a, v, integer m, n;
      !SomeEqual(a, m, n, v) ==> NoneEqual(a, m, n, v);
 lemma NoneEqual_NotSomeEqual:
    \forall value_type *a, v, integer m, n;
    NoneEqual(a, m, n, v) ==> !SomeEqual(a, m, n, v);
```

Listing 4.4: The logic definition(s) SomeNone

We first remark that the SomeEqual, its negation NoneEqual and the lemmas NotSomeEqual\_NoneEqual and NoneEqual\_NotSomeEqual are encapsulated in the *axiomatic block* SomeNone [4.4]. This is a *feeble* attempt to establish some modularization for the various predicates, logic functions and lemmas. We say *feeble* because axiomatic blocks are, in contrast to ACSL modules, *not* name spaces. ACSL modules, however, are not yet implemented by Frama-C.

We also remark that both predicates come in overloaded versions. The first of theses versions is a definition for array sections while the second definition is for the case of complete arrays.

Note that we have provided a label, viz. A, to the predicate SomeEqual. Its purposes to express that the evaluation of the predicate depends on a memory state, viz. the contents of a [0..n-1]. In general, we have to write

```
\exists integer i; 0 <= i < n && \at(a[i],A) == v;
```

in order to express that we refer to the value a[i] in the program state A. However, ACSL allows to abbreviate  $\at (a[i], A)$  by a[i] if, as in SomeEqual or NoneEqual, the label A is the only available label. In particular, we have omitted the label in the overloaded versions for complete arrays.

#### 4.2.2. Formal specification of find2

With the predicates SomeEqual [4.4] and NoneEqual [4.4] we are able to encapsulate all uses of the universal and existential quantifiers in both the specification and implementation of find2.

As a result, the revised contract find2 [4.5] is more concise than that of find [4.2]. In particular, it can be seen immediately that the conditions in the assumes clauses of the two behaviors some and none are mutually exclusive since one is the literal negation of the other. Moreover, the requirement that find returns the smallest index can also be expressed using the NoneEqual [4.4] predicate, as depicted with the last postcondition of behavior some.

```
/ * @
                    \valid_read(a + (0..n-1));
 requires valid:
 assigns
                    \nothing;
                   0 <= \result <= n;
 ensures result:
 behavior some:
   assumes
                    SomeEqual(a, n, v);
   assigns
                    \nothing;
   ensures bound: 0 <= \result < n;</pre>
   ensures result: a[\result] == v;
   ensures first: NoneEqual(a, \result, v);
 behavior none:
   assumes
                    NoneEqual(a, n, v);
                    \nothing;
   assigns
   ensures result: \result == n;
 complete behaviors;
 disjoint behaviors;
size type
find2(const value_type* a, size_type n, value_type v);
```

Listing 4.5: Formal specification of find2

We also enriched the specification of find by user-defined names (sometimes called *labels*, too, the distinction to program state identifiers being obvious) to refer to the requires and ensures clauses. We highly recommend this practice in particular for more complex annotations. For example, Frama-C can be instructed to verify only clauses with a given name.

#### 4.2.3. Implementation of find2

The predicate NoneEqual is also used in the loop annotation inside the implementation of find2 [4.6]. Note that, as in the case of the specification, we use labels to name individual annotations.

```
size_type
find2(const value_type* a, size_type n, value_type v)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant not_found: NoneEqual(a, i, v);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] == v) {
            return i;
        }
    }
    return n;
}</pre>
```

Listing 4.6: Implementation of find2

# 4.3. The find3 algorithm—using a logic function

In this section we specify linear search yet another way. This requires more preparing work but results in a more concise function contract.

#### 4.3.1. The logic function Find

We start with a *recursive* definition of the ACSL function Find. Due to the considerable number of associated lemmas of the function Find we split its definition into several listings. Note that Find comes as two *overloaded* functions. While the first version is defined for *array sections* the latter is intend for *complete arrays*.

The listings start with lemmas which express elementary properties directly related to an incremental increase of the array a[0..n-1]. The latter lemmas are somewhat more higher-level and will be useful for the verification of find3. It will be there that we also reuse the predicates SomeEqual [4.4]and NoneEqual [4.4]. At the end of this section we will also discuss in what sense the contracts of find2 and find3 are equivalent.

```
/ * @
 axiomatic Find
   logic integer
   Find(value_type* a, integer m, integer n, value_type v) =
      (n \le m)?
      0 : ((0 \le Find(a, m, n-1, v) \le n-m-1) ?
        Find(a, m, n-1, v) : ((a[n-1] == v) ? n-m-1 : n-m));
    logic integer
   Find(value_type* a, integer n, value_type v) = Find(a, 0, n, v);
   lemma Find_Empty:
     \forall value_type *a, v, integer m, n;
       n \le m ==> Find(a, m, n, v) == 0;
   lemma Find_Hit:
     \forall value_type *a, v, integer m, n;
       Find(a, m, n, v) < n-m ==>
       Find(a, m, n+1, v) == Find(a, m, n, v);
   lemma Find_MissHit:
     \forall value_type *a, v, integer m, n;
       m \le n
       a[n] == v
       Find(a, m, n, v) == n-m ==>
       Find(a, m, n+1, v) == n-m;
   lemma Find_MissMiss:
     \forall value_type *a, v, integer m, n;
       m \le n
       a[n] != v
                                   ==>
       Find(a, m, n, v) == n-m ==>
       Find(a, m, n+1, v) == (n+1)-m;
   lemma Find Lower:
      \forall value_type *a, v, integer m, n;
       0 \le Find(a, m, n, v);
    lemma Find_Upper:
      \forall value_type *a, v, integer m, n;
       m \ll n = \infty Find(a, m, n, v) \ll n-m;
   lemma Find_WeaklyIncreasing:
      \forall value_type *a, v, integer m, n;
       m \ll n \implies Find(a, m, n, v) \ll Find(a, m, n+1, v);
   lemma Find_Increasing:
      \forall value_type *a, v, integer k, m, n;
       m \ll k \ll n =>
       Find(a, m, k, v) \leftarrow Find(a, m, n, v);
    lemma Find_Extend:
     \forall value_type *a, v, integer k, m, n;
       m \le k \le n
                                  ==>
       a[k] == v
                                  ==>
       Find(a, m, k, v) == k-m
       Find(a, m, n, v) == k-m;
```

Listing 4.7: The logic function Find (1)

```
lemma Find_Limit:
  \forall value_type *a, v, integer k, m, n;
   m \le k \le n =>
    a[k] == v ==>
   Find(a, m, n, v) \leq k-m;
lemma Find_NoneEqual:
  \forall value_type *a, v, integer m, n;
   m \le n
                           ==>
    NoneEqual(a, m, n, v) ==>
   Find(a, m, n, v) == n-m;
lemma Find_SomeEqual:
  \forall value_type *a, v, integer k, m, n;
   m \le k \le n
    a[k] == v
    NoneEqual(a, m, k, v) ==>
    Find(a, m, n, v) == k-m;
lemma Find_ResultNoneEqual:
  \forall value_type *a, v, integer m, n;
    m \ll n \gg NoneEqual(a, m, m + Find(a, m, n, v), v);
lemma Find_ResultEqual:
  \forall value_type *a, v, integer m, n;
    0 \le Find(a, m, n, v) \le n-m ==>
    a[m + Find(a, m, n, v)] == v;
```

Listing 4.8: The logic function Find (2)

#### 4.3.2. Formal specification of find3

Using the logic function Find we can now give a third specification of linear search. The contract of find3 [4.9] is considerably shorter than that of find2 [4.5]. Of course, we had to put much more effort into the definition of the ACSL function Find [4.7].

Listing 4.9: Formal specification of find3

## 4.3.3. Implementation of find3

The following listing shows the implementation of find3 [4.10]. In order to achieve a complete verification we had to add the assertion found.

```
size_type
find3(const value_type* a, size_type n, value_type v)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant not_found: Find(a, i, v) == i;
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] == v) {
            //@ assert found: Find(a, n, v) == i;
            return i;
        }
    }
    return n;
}</pre>
```

Listing 4.10: Implementation of find3

A question that remains is in what sense the contract of find2 [4.5] is equivalent to the one of find3 [4.9]. We will answer this question in the following section.

#### 4.3.4. The equivalence of find2 and find3

We consider the contracts of find2 [4.5] and find3 [4.9] as *equivalent* if each one is sufficient to verify the other. To this end we introduce yet another two examples find4 and find5.

The implementation of find4 [4.11] consists just of a call to find3.

```
size_type
find4(const value_type* a, size_type n, value_type v)
{
   return find3(a, n, v);
}
```

Listing 4.11: Implementation of find4

The contract of find4 [4.12], however, is the same as the one of find2 [4.5].

```
requires valid: \valid_read(a + (0..n-1));
 assigns
                    \nothing;
 ensures result:
                   0 <= \result <= n;
 behavior some:
   assumes
                    SomeEqual(a, n, v);
   assigns
                    \nothing;
   ensures bound: 0 <= \result < n;</pre>
   ensures result: a[\result] == v;
   ensures first: NoneEqual(a, \result, v);
 behavior none:
                   NoneEqual(a, n, v);
   assumes
   assigns \nothing;
   ensures result: \result == n;
 complete behaviors;
 disjoint behaviors;
size_type
find4(const value_type* a, size_type n, value_type v);
```

Listing 4.12: Formal specification of find4

Analogously, the implementation of find5 [4.13] is simply a call to find2.

```
size_type
find5(const value_type* a, size_type n, value_type v)
{
   return find2(a, n, v);
}
```

Listing 4.13: Implementation of find5

On the other hand, the contract of find5 [4.14] is the same as the one of find3 [4.9]. The verification of the functions find4 and find5 (cf. Table A.2) then shows the equivalence of the respective contracts of find2 [4.5] and find3 [4.9].

Listing 4.14: Formal specification of find5

# 4.4. The find\_if\_not algorithm

Many algorithms in the C++ standard library can be parameterized not only by the type of sequence but also using so-called *function objects*. One example is the find\_if\_not algorithm that accepts a *predicate* function object P. The algorithm then returns the first position i in the input sequence where P(i) does not hold.

While function objects could be emulated in C with *pointers to functions*, we will not follow this road here. The main reason is that function pointers are, so far, only supported momentarily by Frama-C. Moreover, there is as of now no support for parameterized ACSL predicates. For these reasons our implementation of find\_if\_not only returns the first position in an array where a given value does *not* occur. The signature, thus, reads

```
size_type find_if_not(const value_type* a, size_type n, value_type v);
```

On the one hand, this is not a very exciting addition to our collections of verified algorithms. It gives us, however, an opportunity to introduce the predicates AllEqual [4.15] and SomeNotEqual [4.15] and more importantly the logic function FindNotEqual [4.16] that will later play an essential role in the specification of the algorithm remove\_copy, or more precisely, its variant remove\_copy3 [7.48].

```
/ * @
 axiomatic AllSomeNot
   predicate
   AllEqual(value_type* a, integer m, integer n, value_type v) =
     \forall integer i; m <= i < n ==> a[i] == v;
   predicate
   AllEqual(value_type* a, integer m, integer n) =
     AllEqual(a, m, n, a[m]);
   predicate
   AllEqual(value_type* a, integer n, value_type v) =
     AllEqual(a, 0, n, v);
   SomeNotEqual{A} (value_type* a, integer m, integer n, value_type v) =
     \exists integer i; m <= i < n && a[i] != v;
   SomeNotEqual(A) (value_type* a, integer n, value_type v) =
     SomeNotEqual(a, 0, n, v);
   lemma NotAllEqual_SomeNotEqual:
     \forall value_type *a, v, integer m, n;
       !AllEqual(a, m, n, v) ==> SomeNotEqual(a, m, n, v);
   lemma SomeNotEqual_NotAllEqual:
      \forall value_type *a, v, integer m, n;
      SomeNotEqual(a, m, n, v) ==> !AllEqual(a, m, n, v);
```

Listing 4.15: The logic definition(s) AllSomeNot

The predicate AllEqual expresses that each member of the array section

a [m..n-1] equals v. We also introduce the predicate SomeNotEqual which is the negation of AllEqual . Both predicates complement the predicates SomeEqual [4.4] and NoneEqual [4.4].

There are two additional overloaded versions of AllEqual. The first version uses the value a[m] as v. The second version is just a shortcut when the first index m equals 0.

#### 4.4.1. The logic function FindNotEqual

The definition of the overloaded logic function FindNotEqual is shown in Listings 4.16 and 4.17. This function is very similar to Find [4.7] except that it finds the first element in a sequence that *differs* from a given value. Note that in lemma FindNotEqual\_Unchanged we are using the predicate Unchanged [7.1] that will be defined in a later chapter.

```
/ * @
 axiomatic FindNotEqual
   logic integer
   FindNotEqual(value_type* a, integer m, integer n, value_type v) =
     (n \le m)?
      0 : ((0 \le FindNotEqual(a, m, n-1, v) \le n-m-1) ?
        FindNotEqual(a, m, n-1, v) : ((a[n-1] != v) ? n-m-1 : n-m));
   logic integer
   FindNotEqual(value_type* a, integer n, value_type v) =
     FindNotEqual(a, 0, n, v);
   lemma FindNotEqual_Empty:
      \forall value_type *a, v, integer m, n;
       n \ll m \gg FindNotEqual(a, m, n, v) == 0;
   lemma FindNotEqual_Hit:
      \forall value_type *a, v, integer m, n;
       FindNotEqual(a, m, n, v) < n-m ==>
       FindNotEqual(a, m, n+1, v) == FindNotEqual(a, m, n, v);
    lemma FindNotEqual_MissHit:
      \forall value_type *a, v, integer m, n;
       m <= n
       a[n] != v
       FindNotEqual(a, m, n, v) == n-m ==>
        FindNotEqual(a, m, n+1, v) == n-m;
    lemma FindNotEqual_MissMiss:
      \forall value_type *a, v, integer m, n;
       m \le n
       a[n] == v
       FindNotEqual(a, m, n, v) == n-m ==>
       FindNotEqual(a, m, n+1, v) == (n+1)-m;
   lemma FindNotEqual_Lower:
      \forall value type *a, v, integer m, n;
       0 <= FindNotEqual(a, m, n, v);</pre>
```

Listing 4.16: The logic function FindNotEqual (1)

```
lemma FindNotEqual_Upper:
    \forall value_type *a, v, integer m, n;
     m \le n = \infty FindNotEqual(a, m, n, v) \le n-m;
  lemma FindNotEqual_Unchanged{K,L}:
    \forall value_type *a, v, integer m, n;
      Unchanged\{K,L\}(a, m, n) ==>
      FindNotEqual\{K\} (a, m, n, v) == FindNotEqual\{L\} (a, m, n, v);
  lemma FindNotEqual_WeaklyIncreasing:
    \forall value_type *a, v, integer m, n;
     m \le n => FindNotEqual(a, m, n, v) \le FindNotEqual(a, m, n+1, v);
  lemma FindNotEqual_Extend:
    \forall value_type *a, v, integer k, m, n;
     m \le k \le n
     a[k] != v
                                         ==>
     FindNotEqual(a, m, k, v) == k-m
                                         ==>
     FindNotEqual(a, m, n, v) == k-m;
  lemma FindNotEqual_Increasing:
    \forall value_type *a, v, integer k, m, n;
     m \le k \le n => FindNotEqual(a, m, k, v) \le FindNotEqual(a, m, n, v);
  lemma FindNotEqual_Limit:
    \forall value_type *a, v, integer k, m, n;
     m \le k \le n =>
      a[k] != v ==>
      FindNotEqual(a, m, n, v) <= k-m;</pre>
  lemma FindNotEqual_AllEqual:
    \forall value_type *a, v, integer m, n;
     m <= n
     AllEqual(a, m, n, v) ==>
     FindNotEqual(a, m, n, v) == n-m;
  lemma FindNotEqual_SomeNotEqual:
    \forall value_type *a, v, integer k, m, n;
     m \le k \le n
      a[k] != v
                            ==>
      AllEqual(a, m, k, v) ==>
      FindNotEqual(a, m, n, v) == k-m;
  lemma FindNotEqual_ResultAllEqual:
    \forall value_type *a, v, integer m, n;
     m \le n = AllEqual(a, m, m + FindNotEqual(a, m, n, v), v);
  lemma FindNotEqual_ResultNotEqual:
    \forall value_type *a, v, integer m, n;
      0 \le FindNotEqual(a, m, n, v) < n-m ==>
      a[m + FindNotEqual(a, m, n, v)] != v;
}
```

Listing 4.17: The logic function FindNotEqual (2)

## 4.4.2. Formal specification of find\_if\_not

The contract of find\_if\_not [4.18] is, unsurprisingly, very similar to that of find3 [4.9]. The only difference is that we replaced Find [4.7] by FindNotEqual [4.16].

Listing 4.18: Formal specification of find\_if\_not

#### 4.4.3. Implementation of find\_if\_not

The implementation of find\_if\_not [4.19] also has a lot of similarities with of find3 [4.10]. Here again we have replaced Find by FindNotEqual and, of course, we check in the loop body that the value a [i] *differs* from the given value v.

```
size_type
find_if_not(const value_type* a, size_type n, value_type v)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant not_found: FindNotEqual(a, i, v) == i;
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] != v) {
            //@ assert found: FindNotEqual(a, n, v) == i;
            return i;
        }
    }
    return n;
}</pre>
```

Listing 4.19: Implementation of find\_if\_not

# 4.5. The find\_first\_of algorithm

The find\_first\_of algorithm [19, §28.5.7] is closely related to find (see §4.1 and §4.2).

Like find, it performs a sequential search. However, while find searches for a particular value, the function find\_first\_of returns the least index i such that a[i] is equal to one of the values b[0..n-1].

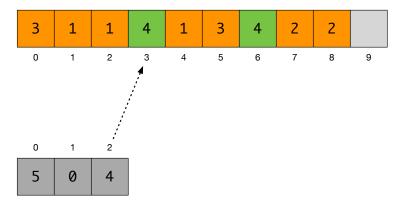


Figure 4.20.: A simple example for find\_first\_of

As an example, we consider in Figure 4.20 two arrays. The arrow indicates the smallest index where one of the elements of the three-element array occurs.

## 4.5.1. The predicate HasValueOf

Similar to our approach in §4.2, we define a predicate HasValueOf [4.21] that formalizes the fact that there are valid indices i and j of the respective arrays a and b such that a [i] == b[j] holds. We have chosen to reuse the predicate SomeEqual [4.4] to define HasValueOf.

Listing 4.21: The logic definition(s) HasValueOf

## 4.5.2. Formal specification of find\_first\_of

The following listing shows the formal specification of find\_first\_of. The function contract uses the predicates HasValueOf [4.21] and SomeEqual [4.4] thereby making it very similar the specification of find2 [4.5].

```
/ * @
 requires valid: \valid_read(a + (0..m-1));
requires valid: \valid_read(b + (0..n-1));
assigns \nothing;
  ensures result: 0 <= \result <= m;</pre>
 behavior found:
   assumes HasValueOf(a, m, b, n);
assigns \nothing;
    ensures bound: 0 <= \result < m;</pre>
    ensures result: SomeEqual(b, n, a[\result]);
    ensures first: !HasValueOf(a, \result, b, n);
 behavior not_found:
   assumes !HasValueOf(a, m, b, n);
    assigns
                     \nothing;
    ensures result: \result == m;
  complete behaviors;
  disjoint behaviors;
size_type
find_first_of(const value_type* a, size_type m,
               const value_type* b, size_type n);
```

Listing 4.22: Formal specification of find\_first\_of

## 4.5.3. Implementation of find\_first\_of

Our implementation of find\_first\_of [4.23] calls find2 [4.5], thereby emphasizing reuse. Besides, leading to a more concise implementation, we also have to write fewer loop annotations.

Listing 4.23: Implementation of find\_first\_of

# 4.6. The adjacent\_find algorithm

The adjacent\_find algorithm of the C++ Standard Library [19, §28.5.8]

```
size_type adjacent_find(const value_type* a, size_type n);
```

returns the smallest valid index i, such that i+1 is also a valid index and such that

```
a[i] == a[i+1]
```

holds. The adjacent\_find algorithm returns n if no such index exists.

The arrow in Figure 4.24 indicates the smallest index where two adjacent elements are equal.

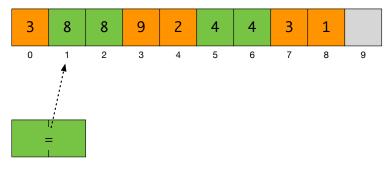


Figure 4.24.: A simple example for adjacent\_find

# 4.6.1. The predicate HasEqualNeighbors

As in the case of other search algorithms, we first define a predicate HasEqualNeighbors [4.25] that captures the essence of finding two adjacent indices at which the array holds equal values.

```
/*@
   axiomatic HasEqualNeighbors
{
    predicate
    HasEqualNeighbors{L} (value_type* a, integer n) =
        \exists integer i; 0 <= i < n-1 && a[i] == a[i+1];
}
*/</pre>
```

Listing 4.25: The predicate HasEqualNeighbors

#### 4.6.2. Formal specification of adjacent\_find

We use the predicate HasEqualNeighbors [4.25] to define the formal specification of adjacent\_find [4.26].

```
/ * @
                        \valid_read(a + (0..n-1));
 requires valid:
 assigns
                        \nothing;
 ensures result:
                        0 <= \result <= n;</pre>
 behavior some:
                       HasEqualNeighbors(a, n);
   assumes
   assigns
                        \nothing;
   ensures result: 0 <= \result < n-1;</pre>
   ensures adjacent: a[\result] == a[\result+1];
    ensures first:
                       !HasEqualNeighbors(a, \result);
 behavior none:
                        !HasEqualNeighbors(a, n);
   assumes
   assigns
                        \nothing;
   ensures result:
                       \result == n;
 complete behaviors;
 disjoint behaviors;
size_type
adjacent_find(const value_type* a, size_type n);
```

Listing 4.26: Formal specification of adjacent\_find

## 4.6.3. Implementation of adjacent\_find

In the implementation of adjacent\_find [4.27] we check whether the array contains at least two elements. Otherwise, there is no point in looking for adjacent neighbors. Note the use of the predicate HasEqualNeighbors [4.25] in the loop invariant to match the similar postcondition of behavior some.

```
size_type
adjacent_find(const value_type* a, size_type n)
{
   if (1u < n) {
        /*@
        loop invariant bound: 0 <= i < n;
        loop invariant none: !HasEqualNeighbors(a, i+1);
        loop assigns i;
        loop variant n-i;
        */
        for (size_type i = 0u; i + 1u < n; ++i) {
            if (a[i] == a[i + 1u]) {
                return i;
            }
        }
    }
   return n;
}</pre>
```

Listing 4.27: Implementation of adjacent\_find

# 4.7. The equal and mismatch algorithms

The algorithms equal [19, §28.5.11] and mismatch [19, §28.5.10] of the C++ Standard Library compare two generic sequences. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signatures read

```
equal(const value_type* a, size_type n, const value_type* b);
size_type mismatch(const value_type* a, size_type n, const value_type* b);
```

The function equal returns true if and only if a[i] == b[i] holds for each  $0 \le i \le n$ . Otherwise, equal returns false.

The mismatch algorithm is slightly more general than the negation of equal: it returns the smallest index where the two ranges a and b differ. If no such index exists, that is, if both ranges are equal, then mismatch returns the (common) length n of the two ranges.

## 4.7.1. The Equal predicate

The fact that two arrays a[0] ... a[n-1] and b[0] ... b[n-1] are equal when compared element by element, is a property we might need again in other specifications, as it describes a very basic property.

The motto *don't repeat yourself* is not just good programming practice.<sup>12</sup> It is also true for concise and easy to understand specifications. We will therefore introduce specification elements that we can apply to the equal algorithm as well as to other specifications and implementations with the described property.

We start with introducing several *overloaded* versions of the predicate Equal [4.28].

Listing 4.28: The logic definition(s) Equal

The letters K and L in the definition of Equal are so-called *labels*<sup>13</sup> that refer to program states in which the ranges a [..] and b [..] are evaluated. Frama-C defines several standard labels, e.g. Old and Post,

<sup>&</sup>lt;sup>12</sup>Compare http://en.wikipedia.org/wiki/Don't\_repeat\_yourself

<sup>&</sup>lt;sup>13</sup>Labels are used in C to name the target of the *goto* jump statement.

a programmer can use to refer to the pre-state or post-state, respectively, of a function. For more details on labels we refer to the ACSL specification [14, §2.6.9].

#### 4.7.2. Formal specification of equal and mismatch

Using predicate Equal [4.28] we can formulate the specification of equal [4.29] using the predefined label Here. When used in an ensures clause, the label Here refers to the post-state of a function. Note that the equivalence is needed in the ensures clause. Putting an equality instead is not legal in ACSL, because Equal is a predicate, not a function.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid_read(b + (0..n-1));
assigns \nothing;
ensures result: \result <==> Equal{Here, Here}(a, n, b);
*/
bool
equal(const value_type* a, size_type n, const value_type* b);
```

Listing 4.29: Formal specification of equal

The formal specification of mismatch [4.30] is more complex than that of equal [4.29] because the return value of mismatch provides more information than just reporting whether the two arrays are equal.

```
/ * @
 requires valid: \valid_read(a + (0..n-1));
 requires valid: \valid_read(b + (0..n-1));
                    \nothing;
 assions
 ensures result: 0 <= \result <= n;</pre>
 behavior all_equal:
            Equal{Here, Here} (a, n, b);
   assumes
   assigns
                   \nothing;
   ensures result: \result == n;
 behavior some_not_equal:
            !Equal{Here, Here}(a, n, b);
   assumes
                  \nothing;
   assigns
   ensures bound: 0 <= \result < n;</pre>
   ensures result: a[\result] != b[\result];
   ensures first: Equal{Here, Here} (a, \result, b);
 complete behaviors;
 disjoint behaviors;
size_type
mismatch(const value_type* a, size_type n, const value_type* b);
```

Listing 4.30: Formal specification of mismatch

On the other hand, the specification is conceptually quite similar to that of find2 [4.5]. While find2 returns the smallest index i where a[i] == v holds, mismatch finds the smallest index a[i] != b[i]. Note in particular the use of Equal in the specification of mismatch. As in the specification of find2 the completeness and disjointness of mismatch's behaviors is quite obvious, because the assumes clauses of all\_equal and some\_not\_equal are negations of each other.

## 4.7.3. Implementation of equal and mismatch

The implementation of equal [4.31] consists of a simple call of mismatch.

```
bool
equal(const value_type* a, size_type n, const value_type* b)
{
   return mismatch(a, n, b) == n;
}
```

Listing 4.31: Implementation of equal

The implementation of mismatch [4.32] has been enriched with some loop annotations to support the deductive verification.

```
size_type
mismatch(const value_type* a, size_type n, const value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal: Equal{Here, Here}(a, i, b);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] != b[i]) {
            return i;
        }
    }
    return n;
}</pre>
```

Listing 4.32: Implementation of mismatch

We use again the predicate Equal [4.28] in order to express that all indices k that are less than the current index i satisfy the condition a[k] == b[k]. This is necessary to prove that mismatch indeed returns the smallest index where the two ranges differ.

# 4.8. The search algorithm

The search algorithm in the C++ Standard Library [19, §28.5.13] finds a subsequence that is identical to a given sequence when compared element-by-element. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

The function search returns the first index s of the array a where the condition a[s+k] == b[k] holds for each index k with 0 <= k < p (see Figure 4.33). If no such index exists, then search returns the length n of the array a.

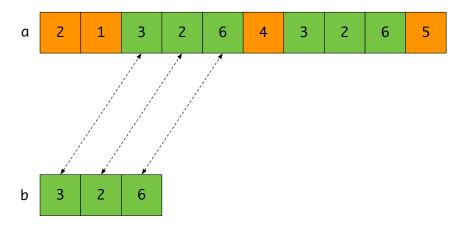


Figure 4.33.: Searching the first occurrence of b [0..p-1] in a [0..n-1]

#### 4.8.1. The predicate HasSubRange

Our specification of search starts with introducing the predicate HasSubRange [4.34]. This predicate formalizes, using the predicate Equal [4.28], that the sequence a contains a subsequence which equal the sequence b. Of course, in order to contain a subsequence of length p, a must be at least that large; this is expressed by lemma HasSubRange\_Sizes.

```
/*@
axiomatic HasSubRange
{
   predicate
   HasSubRange{L} (value_type* a, integer m, integer n, value_type* b, integer p) =
        \exists integer k; (m <= k <= n-p) && Equal{L,L}(a+k, p, b);

predicate
   HasSubRange{L} (value_type* a, integer n, value_type* b, integer p) =
        HasSubRange{L}(a, 0, n, b, p);

lemma HasSubRange_Sizes:
   \forall value_type *a, *b, integer m, n, p;
   HasSubRange(a, m, n, b, p) ==> p <= n-m;
}
*/</pre>
```

Listing 4.34: The logic definition(s) HasSubRange

## 4.8.2. Formal specification of search

The following listing shows the specification of search [4.35].

```
/ * @
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid_read(b + (0..p-1));
  assigns
                    \nothing;
  ensures result: 0 <= \result <= n;</pre>
 behavior has_match:
              HasSubRange(a, n, b, p);
   assumes
                    \nothing;
    assigns
    ensures bound: 0 <= \result <= n-p;</pre>
    ensures result: Equal{Here, Here} (a+\result, p, b);
    ensures first: !HasSubRange(a, \result+p-1, b, p);
 behavior no_match:
   assumes !HasSubRange(a, n, b, p);
assigns \nothing;
    ensures result: \result == n;
  complete behaviors;
  disjoint behaviors;
size_type
search(const value_type* a, size_type n,
      const value_type* b, size_type p);
```

Listing 4.35: Formal specification of search

Conceptually, the specification of search is very similar to that of find [4.2]. We therefore use again two behaviors to capture the essential aspects of search.

- The behavior has\_match applies if the sequence a contains a subsequence identical to b. We express this condition with assumes using the predicate HasSubRange [4.34].
  - The ensures clause bound of behavior has\_match indicates that the returned index value must be in the range [0..n-p]. The clause result expresses that search returns an index where a copy of b can be found in a. Clause first indicates that the least index with that property is returned, i.e. that b can't be found in a  $[0..\result+p-2]$ .
- The behavior no\_match covers the case that there is no subsequence a that equals b. In this case, search must return the length n of the range a. If the ranges a or b are empty then the return value will be 0.

The formula in the assumes clause of the behavior has\_match is the negation of the assumes clause of the behavior no match. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

#### 4.8.3. Implementation of search

The implementation of search [4.36] is relatively easy to understand, but needs an order of magnitude of n\*p operations. In contrast, the sophisticated algorithm from [20] needs only n+p operations.<sup>14</sup>

The loop invariant not\_found is needed for the proof of the postconditions of the behavior has\_match in the contract of search [4.35]. It expresses that the subsequence b has not been found up to the current iteration step. Neither p == 0 nor n == 0 need to be handled separately, not even for efficiency reasons: in the former case, equal (a+i, p, b) will succeed in the first iteration, while in the latter, p > n will apply.

```
size_type
search(const value_type* a, size_type n,
       const value_type* b, size_type p)
 if (p <= n) {
   /*@
     loop invariant bound:
                                 i \le n-p+1;
     loop invariant not_found: !HasSubRange(a, p+i-1, b, p);
     loop assigns i;
     loop variant n-i;
    for (size_type i = 0u; i <= n - p; ++i) {</pre>
      if (equal(a + i, p, b)) {
        //@ assert has_match: HasSubRange(a, n, b, p);
        return i;
      }
  }
  //@ assert no_match: !HasSubRange(a, n, b, p);
 return n;
```

Listing 4.36: Implementation of search

 $<sup>^{14}</sup>$  The efficiency question has been also discussed by the C++ standardization committee, see <code>http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2014/n3905.html</code>

# 4.9. The search\_n algorithm

The search\_n algorithm in the C++ Standard Library [19, §28.5.13] finds the first place where a given value starts to occur a given number of times in a given sequence. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type
search_n(const value_type* a, size_type n, size_type p, value_type v);
```

Note the similarity to the signature of search (§4.8). The only difference is that v now is a single value rather than an array.

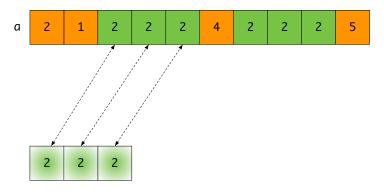


Figure 4.37.: Searching the first occurrence a given constant sequence in a [0..n-1]

The function search\_n returns the first index s of the array a where the condition a[s+k] == v holds for each index k with  $0 \le k \le p$  (see Figure 4.37). If no such index exists, then search\_n returns the length n of the array a.

## 4.9.1. The predicate HasConstantSubRange

Our specification of search\_n starts with introducing the predicate HasConstantSubRange [4.38].

Listing 4.38: The logic definition(s) HasConstantSubRange

This predicate formalizes that the sequence a of length n contains a subsequence of p times the value v. It thereby reuses the predicate AllEqual [4.15].

Similar to predicate HasSubRange [4.34], in order to contain p repetitions, the size of the array a [0.. n-1] must be at least that large; this is what lemma HasConstantSubRange\_Sizes [4.38] says.

#### 4.9.2. Formal specification of search\_n

Like for search [4.35], our specification of search\_n [4.39] is very similar to that of find2 [4.5].

```
/ * @
                      \valid_read(a + (0..n-1));
  requires valid:
  assigns
                        \nothing;
  ensures result:
                      0 <= \result <= n;</pre>
  behavior has_match:
                        HasConstantSubRange(a, n, v, p);
    assumes
    assigns
                        \nothing;
    ensures result: 0 <= \result <= n-p;</pre>
    ensures match: AllEqual(a, \result, \result+p, v);
ensures first: !HasConstantSubRange(a, \result+p-1, v, p);
  behavior no_match:
                      !HasConstantSubRange(a, n, v, p);
    assumes
    assigns
                      \nothing;
    ensures result: \result == n;
  complete behaviors;
  disjoint behaviors;
size_type
search_n(const value_type* a, size_type n, value_type v, size_type p);
```

Listing 4.39: Formal specification of search\_n

We again use two behaviors to capture the essential aspects of search\_n.

- The behavior has\_match applies if the sequence a contains an n-fold repetition of b. We express this condition with assumes by using the predicate HasConstantSubRange [4.38]. The result ensures clause of behavior has\_match indicates that the return value must be in the range [0..n-p]. The match ensures clause expresses that the return value of search\_n actually points to an index where b can be found p or more times in a. The first ensures clause expresses that the minimal index with this property is returned.
- The behavior no\_match covers the case that there is no matching subsequence in sequence a. In this case, search\_n must return the length n of the range a.

```
size_type
search_n(const value_type* a, size_type n, value_type v, size_type p)
  if (0u < p) {
    if (p <= n) {
      size_type start = 0u;
                                 AllEqual(a, start, i, v);

0 < start ==> a[start-1] != v;

start <= i + 1 <= start + p;
        loop invariant match:
        loop invariant start:
        loop invariant bound:
        loop invariant not_found: !HasConstantSubRange(a, i, v, p);
        loop assigns i, start;
        loop variant n - i;
      */
      for (size_type i = 0u; i < n; ++i) {</pre>
        if (a[i] != v) {
          start = i + 1u;
          //@ assert not_found: !HasConstantSubRange(a, i+1, v, p);
        else {
          //@ assert match: a[i] == v;
          //@ assert match: AllEqual(a, start, i+1, v);
          if (p == i + 1u - start) {
            //@ assert bound: start + p == i + 1;
             //@ assert match: AllEqual(a, start, start+p, v);
             //@ assert match: \exists integer k; 0 <= k <= n-p && AllEqual(a, k, k+p ^{\prime\prime}
             //@ assert match: HasConstantSubRange(a, n, v, p);
            return start;
          else {
            //@ assert bound: i + 1 < start + p;
            continue;
          }
        //@ assert not_found: !HasConstantSubRange(a, i+1, v, p);
      //@ assert not_found: !HasConstantSubRange(a, n, v, p);
      return n;
    else {
      //@ assert not_found: n < p;</pre>
      //@ assert not_found: !HasConstantSubRange(a, n, v, p);
      return n;
    }
  else {
    //@ assert bound: p == 0;
    //@ assert match: AllEqual(a, 0, 0, v);
    //@ assert match: HasConstantSubRange(a, n, v, 0);
    return Ou;
  }
}
```

Listing 4.40: Implementation of search\_n

## 4.9.3. Implementation of search\_n

Although the specification of search\_n [4.39] strongly resembles that of search [4.35], their implementations differ significantly. The implementation of search\_n [4.40] has a time complexity of O(n), whereas the implementation of search [4.36] employs an easy, but a non-optimal algorithm needing  $O(n \cdot p)$  time.

Our implementation maintains in the variable start the beginning of the most recent consecutive range of values v. The loop invariant not\_found states that we didn't find an p-fold repetition of b up to now; if we find one, we terminate the loop, returning start. We handle the boundary cases n < p and p == 0 in explicit else branches. We found this easier when trying to ensure a verification by automatic provers.

# 4.10. The find\_end algorithm

The find\_end algorithm in the C++ Standard Library [19, §28.5.6] searches for the last subsequence that is identical to a given sequence when compared element-by-element. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type
find_end(const value_type* a, size_type n, const value_type* b, size_type p);
```

The function find\_end returns the greatest index s of the array a where the condition a[s+k] == b[k] holds for each index k with 0 <= k < p (see Figure 4.41). If no such index exists, then find\_end returns the length n of the array a. One has to remark the special case p == 0. In this case the last position of the empty string is found (the length n) and returned.

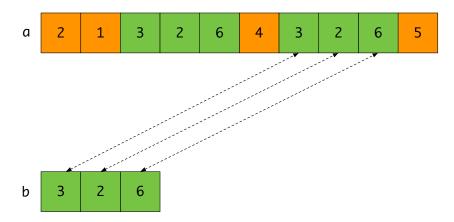


Figure 4.41.: Finding the last occurrence b[0..p-1] in a[0..n-1]

## 4.10.1. Formal specification of find\_end

The following listing shows the specification of find\_end [4.42]. Conceptually, the specification of the function find\_end is very similar to that of find2 [4.5]. We therefore use again behaviors to capture the essential aspects of find\_end. It is quite clear that these behaviors are *complete* and *disjoint*.

The behavior has\_match applies if the sequence a contains a subsequence identical to b. We express this condition with assumes using the predicate HasSubRange [4.34]. The ensures clause bound indicates that the return value must be in the range 0..n-p. The clause result of behavior has\_match expresses that find\_end returns an index where b can be found in a. Finally, the clause last indicates that the sequence a does not contain b beginning at a position larger than \result.

The behavior no\_match covers the case that there is no subsequence of a that equals b. In this case, find\_end must return the length n of the range a.

```
requires valid: \valid_read(a + (0..n-1));
 requires valid: \valid_read(b + (0..p-1));
                   \nothing;
 assigns
 ensures result: 0 <= \result <= n;</pre>
 behavior has_match:
   assumes HasSubRange(a, n, b, p);
   assigns
                    \nothing;
   ensures bound: 0 <= \result <= n-p;</pre>
    ensures result: Equal{Here,Here}(a + \result, p, b);
    ensures last: !HasSubRange(a, \result + 1, n, b, p);
 behavior no_match:
   assumes !HasSubRange(a, n, b, p);
assigns \nothing;
   ensures result: \result == n;
 complete behaviors;
 disjoint behaviors;
size_type
find_end(const value_type* a, size_type n,
         const value_type* b, size_type p);
```

Listing 4.42: Formal specification of find\_end

## 4.10.2. Implementation of find\_end

Our implementation of find\_end [4.43] is similar to the one of search [4.36].

```
size_type
find_end(const value_type* a, size_type n,
         const value_type* b, size_type p)
  size_type r = n;
  if ((0u < p) && (p <= n)) {
    /*@
      loop invariant bound : r \le n - p \mid \mid r == n;
      loop invariant not_found: r == n ==> !HasSubRange(a, p+i-1, b, p);
      loop invariant found: r < n ==> Equal{Here,Here}(a+r, p, b);
                               r < n ==> !HasSubRange(a, r+1, i+p-1, b, p);
      loop invariant last:
      loop assigns i, r;
      loop variant n - i;
    for (size_type i = 0u; i <= n - p; ++i) {</pre>
      if (equal(a + i, p, b)) {
       r = i;
    }
  }
  return r;
```

Listing 4.43: Implementation of find\_end

We maintain in the variable r the prospective value to be returned, according to the current knowledge. Initially, it is set to n, meaning "no occurrence of b found yet". Whenever an occurrence is found, r is updated to its starting position.

The invariant bound states that r either still has the value n or has a value up to n-p. For the former case, invariant not\_found indicates that no occurrence of b has been found. For the latter case, the loop invariant found indicates that an occurrence b[0..p-1] at r has indeed been found. The invariant last, on the other hand states that none was found *after* the index r.

# 4.11. The count algorithm

The count algorithm in the C++ Standard Library [19, §28.5.9] counts the frequency of occurrences for a particular element in a sequence. For our purposes we have modified the generic implementation to that of arrays of type value\_type. The signature now reads:

```
size_type
count(const value_type* a, size_type n, value_type v);
```

Informally, the function returns the number of occurrences of v in the array a.

#### 4.11.1. The logic function Count

When trying to specify count we are faced with the situation that ACSL does not provide a definition of counting a value in an array.<sup>15</sup> We therefore start with an axiomatic definition of *logic function* Count that captures the basic intuitive features of counting on an array section. The expression Count (a, m, n, v) returns the number of occurrences of v in a [m], ..., a [n-1].

The specification of count will then be fairly short because it employs our *logic function* Count whose (considerably) longer definition is given in the Listings 4.44 and 4.45.<sup>16</sup>

- The ACSL keyword axiomatic is used to structure the specification and gather the logic function Count and related lemmas. Note that the interval bounds m and n and the return value for Count are of type integer.
- The logic functions Count is recursively defined. It consist of two checks: whether the range is empty and for the value of the "current" element in the array. The recursion goes down on the range length. We also provide an overloaded version of Count that accepts only the length of an array, thus relieving the use the supply the argument m = 0 for the case of a complete array.
- Lemma Count Empty [4.44] covers the cases of empty ranges.
- Lemmas Count\_Hit [4.44] and Count\_Miss [4.44] reduce counting of a range of length n-m to a range of length n-m-1.
- Lemmas Count\_One [4.44] and Count\_Single [4.44] built on on top of Count\_Hit and Count\_Miss. Using them simplifies several Coq proofs. They also slightly change the induction scheme from  $n-1 \to n$  to  $n \to n+1$ .

<sup>&</sup>lt;sup>15</sup>This statement is not quite true because the ACSL documentation lists numof as one of several *higher order logic constructions* [14, §2.6.7]. However, these *extended quantifiers* are mentioned only as experimental features.

<sup>&</sup>lt;sup>16</sup>This definition of Count is a generalization of the *logic function* nb\_occ of the ACSL specification [14].

```
/ * @
  axiomatic Count
    logic integer
    Count (value_type * a, integer m, integer n, value_type v) =
      n \le m ? 0 : Count(a, m, n-1, v) + (a[n-1] == v ? 1 : 0);
    Count(value_type* a, integer n, value_type v) = Count(a, 0, n, v);
    lemma Count_Empty:
      \forall value_type *a, v, integer m, n;
        n \ll m \implies Count(a, m, n, v) == 0;
    lemma Count_Hit:
      \forall value_type *a, v, integer n, m;
        m < n
        a[n-1] == v ==>
        Count (a, m, n, v) == Count (a, m, n-1, v) + 1;
    lemma Count_Miss:
      \forall value_type *a, v, integer n, m;
        m < n
                      ==>
        a[n-1] != v ==>
        Count(a, m, n, v) == Count(a, m, n-1, v);
    lemma Count_One:
      \forall value_type *a, v, integer m, n;
        m \le n = \infty Count(a, m, n+1, v) == Count(a, m, n, v) + Count(a, n, n+1, v);
    lemma Count_Single{K,L}:
      \forall value_type *a, *b, v, integer m, n;
        \operatorname{at}(a[m], K) == \operatorname{at}(b[n], L) ==>
        Count\{K\}\ (a, m, m+1, v) == Count\{L\}\ (b, n, n+1, v);
    lemma Count_Equal {K, L}:
      \forall value_type *a, v, integer m, n, p;
        0 <= m <= n
        Equal\{K, L\} (a, m, n, p) ==>
        Count\{K\}(a, m, n, v) == Count\{L\}(a, p, p + (n-m), v);
    lemma Count_Unchanged{K, L}:
      \forall value_type *a, v, integer m, n;
        \label{eq:count_K} \mbox{Unchanged(K,L)(a, m, n) ==> } \mbox{Count(K)(a, m, n, v) == } \mbox{Count(L)(a, m, n, v);}
```

Listing 4.44: The logic function Count (1)

- The logic function Count depends only on the set a [m..n-1] of memory locations. Lemma Count\_Unchanged [4.44] makes this claim explicit by ensuring that Count produces the same result if the values a [0..n-1] do not change between two program states indicated by the labels K and L. We use here predicate Unchanged [7.1] to express the premise.
- Lemma Count\_Equal [4.44] is a generalization of lemma Count\_Unchanged for the case of comparing Count on two arrays.
- Lemmas Count\_Union [4.44] and Count\_Cut [4.44] allow to deal with partitions of arrays.

```
lemma Count_Union:
  \forall value_type *a, v, integer k, m, n;
    0 \ll k \ll m \ll n =>
    Count(a, k, n, v) == Count(a, k, m, v) + Count(a, m, n, v);
lemma Count_Cut:
  \forall value_type *a, v, integer k, m, n;
    0 \le k \le m \le n = > Count(a, k, n, v) ==
     Count (a, k, m, v) + Count (a, m, m+1, v) + Count (a, m+1, n, v);
lemma Count_Single_Bounds:
  \forall value_type *a, v, integer n;
    0 \le Count(a, n, n+1, v) \le 1;
lemma Count_Bounds:
  \forall value_type *a, v, integer m, n;
    0 \le m \le n => 0 \le Count(a, m, n, v) \le n-m;
lemma Count_Increasing:
  \forall value_type *a, v, integer m, n, p;
   m \ll n \ll p \implies Count(a, m, n, v) \ll Count(a, m, p, v);
lemma Count_Single_Shift:
  \forall value_type *a, v, integer n;
    0 \le n = \infty Count (a+n, 0, 1, v) == Count (a, n, n+1, v);
lemma Count_Shift:
  \forall value_type *a, v, integer m, n;
    0 \le m => 0 \le n => Count(a+m, 0, n, v) == Count(a, m, m+n, v);
```

Listing 4.45: The logic function Count (2)

- Lemmas Count\_Single\_Bounds [4.44] and Count\_Bounds [4.44] express lower and upper bounds of Count. Lemma Count\_Increasing [4.44] states that Count is a monotonically increasing.
- Finally, lemmas Count\_Single\_Shift [4.44] and Count\_Shift [4.44] state that Count is invariant under array shifts.

We mention here also lemma Count\_SomeEqual [4.46] which brings together properties of Count [4.44] and Find [4.7].

Listing 4.46: The logic definition(s) CountFind

## 4.11.2. Formal specification of count

In the contract of count [4.47] we use the logic function Count [4.44] Note that our specification also states that the result of count is non-negative and less than or equal the size of the array.

Listing 4.47: Formal specification of count

# 4.11.3. Implementation of count

The following listing shows a possible implementation of count [4.48]. Note that we refer to the logic function Count in one of the loop invariants.

```
size_type
count(const value_type* a, size_type n, value_type v)
{
    size_type counted = 0u;

    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant bound: 0 <= counted <= i;
    loop invariant count: counted == Count(a, i, v);
    loop assigns i, counted;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        if (a[i] == v) {
            counted++;
        }
    }
}
return counted;
</pre>
```

Listing 4.48: Implementation of count

# 4.12. The count 2 algorithm

In this section, we specify the count algorithm in a different way, namely using the *inductively* defined predicate Count Ind [4.49] from the following listing.

Listing 4.49: Inductive definition Count Ind

The definition consists of three cases.

- The Nil case states for arrays of negative pf zero length, the predicate only holds is sum is zero.
- The Hit and Miss define CountInd for arrays a[0..n-1] of size n referring to the array a[0..n-2] and the value a[n-1].

We remark that the cases are very similar to the lemmas Count\_Empty [4.44], Count\_Hit [4.44] and Count\_Miss [4.44], except we have use the additional argument sum to refer to the number of counted elements since CountInd is a predicate.

We have intentionally used the scheme  $n-1 \Rightarrow n$  instead of  $n \Rightarrow n+1$ . In this particular case, it allows theorem provers to match loop indices with premises without additional hints to prove loop invariants.

## 4.12.1. Additional lemmas for the inductive predicate

The lemmas of Count IndImplicit [4.50] complement the lemmas of Count [4.44]. They demonstrate how existing lemmas can be rewritten for an inductive predicate. These lemmas are not required to prove the count function, but we provide them to complete the illustrative example of how inductive predicates could be utilized in the specifications.

The inductive definition is the "complete" definition which means that the predicate does not hold for cases outside of its domain of definition. We state this property explicitly through lemma CountInd\_Inverse [4.51] in the following listing. Frama-C does not automatically generate this kind of property. The reason for not adding such a corresponding axiom apparently is that it "could confuse first-order theorem provers". 17

<sup>17</sup>https://stackoverflow.com/a/32457870

```
/ * @
 axiomatic CountIndImplicit
   lemma CountInd_Empty{L}:
      \forall value_type *a, v, integer n;
      n \ll 0 \implies CountInd(a, n, v, 0);
   lemma CountInd_Hit{L}:
      \forall value_type *a, v, integer n, sum;
       0 < n
                                  ==>
       a[n-1] == v
                                  ==>
        CountInd(a, n-1, v, sum) ==>
        CountInd(a,
                    n, v, sum+1);
   lemma CountInd_Miss{L}:
      \forall value_type *a, v, integer n, sum;
       0 < n
        a[n-1] != v
        CountInd(a, n-1, v, sum)
        CountInd(a,
                    n, v, sum);
   lemma CountInd_Unchanged{K,L}:
      \forall value_type *a, v, integer n, sum;
        Unchanged(K, L) (a, n) ==>
        (CountInd\{K\}(a, n, v, sum) \le CountInd\{L\}(a, n, v, sum));
 }
```

Listing 4.50: The logic definition(s) CountIndImplicit

There is also the lemma CountInd\_NonNegative [4.51] which states that the lower bound for the number of the counted elements is zero. The relation between the inductive definition CountInd and the explicit definition of Count [4.44] is expressed by lemma CountInd\_Count [4.51].

```
/*@
    axiomatic CountIndLemmas
{
    lemma CountInd_Inverse:
        \forall value_type *a, v, integer n, sum;
        CountInd(a, n, v, sum) ==>
            (n <= 0 && sum == 0) ||
            (0 < n && a[n-1] != v && CountInd(a, n-1, v, sum)) ||
            (0 < n && a[n-1] == v && CountInd(a, n-1, v, sum-1));

lemma CountInd_NonNegative{L}:
        \forall value_type *a, v, integer n, sum;
        CountInd(a, n, v, sum) ==> 0 <= sum;

lemma CountInd_Count{L}:
        \forall value_type *a, v, integer n;
        CountInd(a, n, v, Count(a, n, v));
}
*/</pre>
```

Listing 4.51: The logic definition(s) Count IndLemmas

## 4.12.2. Specification of count 2

The following listing contains the contracts of count2 [4.52]. It shows the use of the inductive predicate CountInd [4.49].

Listing 4.52: Formal specification of count 2

#### 4.12.3. Implementation of count 2

The only difference between the implementation of count 2 [4.53] and the implementation of count [4.48] is that we have to supply the value counted as an argument of the predicate CountInd [4.49].

```
size_type
count2(const value_type* a, size_type n, value_type v)
{
    size_type counted = 0u;

    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant bound: 0 <= counted <= i;
    loop invariant count: CountInd(a, i, v, counted);
    loop assigns i, counted;
    loop variant n-i;

    */
    for (size_type i = 0u; i < n; ++i) {
        if (a[i] == v) {
            counted++;
            //@ assert count: CountInd(a, i+1, v, counted);
        }
    return counted;
}</pre>
```

Listing 4.53: Implementation of count2

# 5. Maximum and minimum algorithms

In this chapter we discuss the formal specification of algorithms in the C++ Standard Library [19, §28.7.8] that compute the maximum or minimum values of their arguments. As the algorithms in Chapter 4, they also do not modify any memory locations outside their scope. The most important new feature of the algorithms in this chapter is that they compare values using binary operators such as <.

We consider in this chapter the following algorithms.

- We discuss some properties of relations operators in §5.1.
- We introduce in §5.2 various predicates that describe basic order properties for arrays whose elements are of value\_type.
- clamp, which is discussed in §5.3, is a very simple algorithms that "clamps" (or "clips") a value between a pair of boundary values.
- max\_element returns an index to a maximum element in a range. Similar to find it also returns the smallest of all possible indices. This algorithm is discussed in §5.5. In §5.6, we introduce an alternative specification max\_element2 which relies on user-defined predicates.
- max\_seq in §5.7 is very similar to max\_element and will serve as an example of *modular verification*. It returns the maximum value itself rather than an index to it.
- min\_element in §5.8 can be used to find the smallest element in an array.
- minmax\_element in §5.9 is used to find simultaneously the smallest and largest element in a given range. This algorithms relies on the auxiliary function make\_pair (§5.4).

First, however, we discuss in §5.1 general properties that must be satisfied by the relational operators.

# 5.1. A note on relational operators

Note that in order to compare values, algorithms in the C++ Standard Library [19, §28.7.8] usually rely solely on the *less than* operator < or special function objects. To be precise, the operator < must be a *partial order*, <sup>18</sup> which means that the following rules must hold.

```
irreflexivity \forall x : \neg(x < x)
asymmetry \forall x, y : x < y \implies \neg(y < x)
transitivity \forall x, y, z : x < y \land y < z \implies x < z
```

If you wish to check that the operator < of our value\_type<sup>19</sup> satisfies these properties one can formulate the lemmas of Less [5.1] and verify them with Frama-C.

<sup>18</sup>See http://en.wikipedia.org/wiki/Partially\_ordered\_set

<sup>&</sup>lt;sup>19</sup>See §2.3

```
/*@
axiomatic Less
{
  lemma Less_Irreflexivity:
    \forall value_type a; !(a < a);

  lemma Less_Antisymmetry:
    \forall value_type a, b; (a < b) ==> !(b < a);

  lemma Less_Transitivity:
    \forall value_type a, b, c; (a < b) && (b < c) ==> (a < c);

  lemma Greater_Less:
    \forall value_type a, b; (a > b) <==> (b < a);

  lemma LessOrEqual_Less:
    \forall value_type a, b; (a <= b) <==> !(b < a);

  lemma GreaterOrEqual_Less:
    \forall value_type a, b; (a >=> !(a < b);
}
*/</pre>
```

Listing 5.1: The logic definition(s) Less

It is of course possible to specify and implement the algorithms of this chapter by only using operator <. For example,  $a \le b$  can be written as  $a \le b \mid | a == b$ , or, for our particular ordering on value\_type, as ! ( $b \le a$ ). Listing Less [5.1] therefor also contains lemmas on representing the operator >, <=, and >= through operator <.

# 5.2. Predicates for bounds and extrema of arrays

We define in the following listing the predicates MaxElement [5.2] and MinElement [5.2] that we will use for the specification of various algorithms. We will discuss these predicates in more detail in §5.6 and §5.8.

```
/*@
  axiomatic ArrayExtrema
{
    predicate
    MaxElement{L}(value_type* a, integer n, integer max) =
        0 <= max < n && UpperBound(a, n, a[max]);

    predicate
    MinElement{L}(value_type* a, integer n, integer min) =
        0 <= min < n && LowerBound(a, n, a[min]);
}
*/</pre>
```

Listing 5.2: The logic definition(s) ArrayExtrema

The aforementioned predicates rely on the predicates LowerBound [5.3] and UpperBound [5.3] which are shown in the following listing together with the related predicates StrictUpperBound [5.3] and StrictLowerBound [5.3].

```
axiomatic ArrayBounds
 predicate
  LowerBound{L} (value_type* a, integer m, integer n, value_type v) =
   \forall integer i; m <= i < n ==> v <= a[i];
 predicate
 LowerBound{L} (value_type* a, integer n, value_type v) =
   LowerBound{L}(a, 0, n, v);
 predicate
  StrictLowerBound{L} (value_type * a, integer m, integer n, value_type v) =
   \forall integer i; m <= i < n ==> v < a[i];
 predicate
  StrictLowerBound{L} (value_type* a, integer n, value_type v) =
   StrictLowerBound(L)(a, 0, n, v);
 predicate
 UpperBound{L} (value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> a[i] <= v;
  predicate
  UpperBound{L} (value_type* a, integer n, value_type v) =
   UpperBound(L)(a, 0, n, v);
 predicate
  StrictUpperBound(L)(value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> a[i] < v;
 predicate
  StrictUpperBound{L} (value_type* a, integer n, value_type v) =
    StrictUpperBound(L)(a, 0, n, v);
```

Listing 5.3: The logic definition(s) ArrayBounds

These predicates concisely express the comparison of the elements in an array (segment) with a given value. We will heavily rely on these predicates both in this chapter and in Chapter 6.

# 5.3. The clamp algorithm

The clamp algorithm in the C++ Standard Library [19, §28.7.9] "clamps" a value between a pair of boundary values. The signature of our version of clamp reads:

```
value_type clamp(value_type v, value_type lower, value_type upper);
```

The function clamp returns v if the value is greater than lower and smaller than upper. Otherwise, if v is smaller than lower, then lower is returned. Finally, if v is greater than upper, upper is the returned.

# 5.3.1. Formal specification of clamp

The following listing contains the specification of clamp [5.4]. Note that we require that lower must be less or equal than upper.

```
/ * @
 requires bound: lower < upper;</pre>
 assigns \nothing;
ensures bound: lower <= \result <= upper;</pre>
 behavior lower_bound:
    assumes v < lower;
assigns \nothing;</pre>
    ensures result: \result == lower;
 behavior between:
    assumes lower <= v <= upper;
assigns \nothing;</pre>
    ensures result: \result == v;
 behavior upper_bound:
   assumes upper < v;
assigns \nothing;</pre>
    ensures result: \result == upper;
  complete behaviors;
 disjoint behaviors;
value_type
clamp(value_type v, value_type lower, value_type upper);
```

Listing 5.4: Formal specification of clamp

# 5.3.2. Implementation of clamp

The implementation of clamp [5.5] can be verified without any additional annotations.

```
value_type
clamp(value_type v, value_type lower, value_type upper)
{
   return (v < lower) ? lower : (upper < v) ? upper : v;
}</pre>
```

Listing 5.5: Implementation of clamp

# 5.4. The auxiliary function make\_pair

In order to be able to specify functions that work on pairs of indices we introduce in the following listing the type size\_type\_pair.

```
struct size_type_pair {
    size_type first;
    size_type second;
};

typedef struct size_type_pair size_type_pair;
```

Listing 5.6: The type size\_type\_pair

We will also use the auxiliary function make\_pair which turns two indices first and second into an object of size\_type\_pair. The specification and implementation of make\_pair [5.7] is shown here.

Listing 5.7: Formal specification of make\_pair

# 5.5. The max\_element algorithm

The  $max\_element$  algorithm in the C++ Standard Library [19, §28.7.8] searches the maximum of a general sequence. The signature of our version of  $max\_element$  reads:

```
size_type max_element(const value_type* a, size_type n);
```

The function finds the largest element in the range a [0..n-1]. More precisely, it returns the unique valid index i such that:

- 1. for each index k with  $0 \le k \le n$  the condition  $a[k] \le a[i]$  holds and
- 2. for each index k with  $0 \le k \le i$  the condition  $a[k] \le a[i]$  holds.

The return value of  $max\_element$  is n if and only if there is no maximum, which can only occur if n == 0.

#### 5.5.1. Formal specification of max\_element

The following listings shows the formal specification of max\_element [5.8]. Note that we have subdivided the specification of max\_element into the two behaviors empty and not\_empty. The behavior empty contains the specification for the case that the range contains no elements. The behavior not\_empty applies if the range has a positive length.

The ensures clause max of behavior not\_empty indicates that the returned valid index k refers to a maximum value of the array. The postcondition first expresses that k is indeed the *first* occurrence of a maximum value in the array.

```
requires valid: \valid_read(a + (0..n-1));
                  \nothing;
  assigns
  ensures result: 0 <= \result <= n;</pre>
 behavior empty:
                   n == 0;
   assumes
   assigns \nothing;
   ensures result: \result == 0;
 behavior not_empty:
   assumes 0 < n;</pre>
                   \nothing;
   assigns
   ensures result: 0 <= \result < n;</pre>
   ensures upper: \forall integer i; 0 <= i < n</pre>
                                                    ==> a[i] <= a[\result];
   ensures first: \forall integer i; 0 <= i < \result ==> a[i] < a[\result];</pre>
  complete behaviors;
 disjoint behaviors;
size_type
max_element(const value_type* a, size_type n);
```

Listing 5.8: Formal specification of max\_element

# 5.5.2. Implementation of max\_element

In our description, we concentrate on the *loop annotations* of the implementation of max\_element [5.9].

```
size_type
max_element(const value_type* a, size_type n)
 if (0u < n) {
    size_type max = 0u;
      loop invariant bound: 0 <= i <= n;</pre>
                              0 \ll \max \ll n;
      loop invariant max:
      loop invariant upper: \forall integer k; 0 <= k < i ==> a[k] <= a[max];</pre>
      loop invariant first: \forall integer k; 0 <= k < max ==> a[k] < a[max];</pre>
      loop assigns max, i;
      loop variant n-i;
    for (size_type i = 1u; i < n; i++) {</pre>
      if (a[max] < a[i]) {</pre>
        max = i;
      }
    return max;
  }
 return n;
```

Listing 5.9: Implementation of max\_element

The loop invariant max is needed to prove the postcondition result of the behavior not\_empty of max\_element [5.8]. Using loop invariant upper we prove the postcondition upper of the behavior not\_empty of max\_element [5.8]. Finally, the postcondition first of this behavior can be verified with the loop invariant first.

# 5.6. The max\_element algorithm with predicates

In this section we present another specification of the max\_element algorithm. The main difference is that we employ the predicate UpperBound [5.3] which basically expresses that a given value is greater or equal than all elements of a given array. Closely related to the predicate UpperBound is the predicate StrictUpperBound [5.3].

We also employ the predicate MaxElement [5.2]. This predicate states that the element at a given index max is an *upper bound* of the sequence a [0..n-1], and, by construction, a member of that sequence.

# 5.6.1. Formal specification of max\_element2

The formal specification of max\_element2 [5.10] is shown in the following listing. Note that we also use the predicate StrictUpperBound [5.3] in order to express that max\_element2 returns the *first* maximum position in a [0..n-1].

```
requires valid: \valid_read(a + (0..n-1));
  assigns
                    \nothing;
  ensures result: 0 <= \result <= n;</pre>
 behavior empty:
              n == 0;
   assumes
                     \nothing;
   assigns
   ensures result: \result == 0;
 behavior not_empty:
   assumes
                    0 < n;
   assigns
                     \nothing;
   ensures result: 0 <= \result < n;
ensures max: MaxElement(a, n, \result);</pre>
    ensures first: StrictUpperBound(a, \result, a[\result]);
  complete behaviors;
 disjoint behaviors;
size type
max_element2(const value_type* a, size_type n);
```

Listing 5.10: Formal specification of max\_element2

# 5.6.2. Implementation of max\_element2

The implementation of max\_element2 [5.11] is of course very similar to that of max\_element [5.9]—except that the loop invariants now also use the above mentioned predicates.

Listing 5.11: Implementation of max\_element2

# 5.7. The max\_seq algorithm

In this section we consider the function max\_seq [13, Ch. 3]) which is very similar to the function max\_element [5.8]. The main difference between max\_seq and max\_element is that max\_seq returns the maximum value (not just the index of it). Therefore, it requires a *non-empty* range as an argument.

Of course, max\_seq can easily be implemented using max\_element2 [5.11]. Moreover, relying only on the formal specification of max\_element2 [5.10], we are also able to deductively verify the correctness of this implementation. Thus, we have a simple example of *modular verification* in the following sense:

Any implementation of max\_element2 that is separately proven to implement the contract max\_element2 [5.10] makes max\_seq behave correctly. Once the contracts have been defined, the function max\_element2 could be implemented in parallel, or just after max\_seq, without affecting the verification of max\_seq.

# 5.7.1. Formal specification of max\_seq

The following listing shows the formal specification of max\_seq [5.12].

```
/*@
  requires 0 < n;
  requires \valid_read(p + (0..n-1));
  assigns \nothing;
  ensures \forall integer i; 0 <= i <= n-1 ==> \result >= p[i];
  ensures \exists integer e; 0 <= e <= n-1 && \result == p[e];
  */
  value_type
  max_seq(const value_type* p, size_type n);</pre>
```

Listing 5.12: Formal specification of max\_seq

Using the first requires-clause we express that max\_seq needs a *non-empty* range as input. Our post-conditions formalize that max\_seq indeed returns the maximum value of the range.

#### 5.7.2. Implementation of max\_seq

The implementation of max\_seq [5.13] consists of a simple call to max\_element2 [5.11]. Since max\_seq requires a non-empty range the call of max\_element2 returns an index to a maximum value in the range. The fact that max\_element2 returns the smallest index is of no importance in this context.

```
value_type
max_seq(const value_type* p, size_type n)
{
   return p[max_element2(p, n)];
}
```

Listing 5.13: Implementation of max\_seq

# 5.8. The min\_element algorithm

The min\_element algorithm in the C++ Standard Library [19, §28.7.8] searches the minimum in a general sequence. The signature of our version of min\_element reads:

```
size_type min_element(const value_type* a, size_type n);
```

The function  $min_element$  finds the smallest element in the range a[0..n-1]. More precisely, it returns the unique valid index i such that a[i] is minimal among the values a[0], ..., a[n-1], and i is the first position with that property. The return value of  $min_element$  is n if and only if n == 0.

We use the predicate LowerBound [5.3] that basically expresses that a given value is less or equal than all elements of a given array (section). Closely related to the predicate LowerBound is the predicate StrictLowerBound [5.3]. We also use the predicate MinElement [5.2] which states that the element at a given index min is a *lower bound* of the sequence a [0..n-1], and, by construction, a member of that sequence.

#### 5.8.1. Formal specification of min\_element

The following listing contains the specification of min\_element [5.14]. Note that we also use the predicate StrictLowerBound [5.3] in order to express that min\_element returns the *first* minimum position in a [0..n-1].

```
/ * @
 requires valid: \valid_read(a + (0..n-1));
 assigns
                  \nothing;
 ensures result: 0 <= \result <= n;</pre>
 behavior empty:
                  n == 0;
   assumes
   ensures result: \result == 0;
 behavior not_empty:
   \nothing;
   ensures result: 0 <= \result < n;</pre>
   ensures min: MinElement(a, n, \result);
   ensures first: StrictLowerBound(a, \result, a[\result]);
 complete behaviors;
 disjoint behaviors;
size type
min_element(const value_type* a, size_type n);
```

Listing 5.14: Formal specification of min\_element

# 5.8.2. Implementation of min\_element

The implementation of min\_element [5.15] uses the predicates LowerBound [5.3] and StrictLowerBound [5.3] in its loop annotations.

Listing 5.15: Implementation of min\_element

# 5.9. The minmax\_element algorithm

The minmax\_element algorithm in the C++ Standard Library [19, §28.7.8] searches *both* the minimum *and* the maximum in a sequence. The signature of our version of min\_element reads:

```
size_type_pair minmax_element(const value_type* a, size_type n);
```

Note that minmax\_element returns a *pair* of indices (see §5.4). This pair contains the *first* position where the minimum occurs in the sequence a[0..n-1] and the *last* position where maximum occurs.

The properties of the index for the minimum value are the same as the properties of min\_element [5.14]. However, the properties of the index that marks the maximum element, are slightly different from the properties of max\_element [5.8]. The max\_element algorithm returns the position of the *first* occurrence of the maximum element if it occurs multiple times in the sequence. The minmax\_element algorithm returns the position of the last occurrence of the maximum element.

#### 5.9.1. Formal specification of minmax element

The following listing shows the acsl specification of minmax\_element [5.16]. Note that we use the predicates StrictLowerBound [5.3] and StrictUpperBound [5.3] in order to express that the algorithm returns the positions of both the *first minimum* and the *last maximum*. We also use the predicates MinElement [5.2] and MaxElement [5.2]. Thus reflects of course the use of this predicates for the algorithms min\_element [5.14] and max\_element [5.8].

```
requires valid:
                     \valid_read(a + (0..n-1));
 assigns
                     \nothing;
 ensures result: 0 <= \result.first <= n;</pre>
 ensures result: 0 <= \result.second <= n;</pre>
 behavior empty:
    assumes
                     0 == n;
    assions
                     \nothing;
   ensures result: \result.first == 0;
ensures result: \result.second == 0;
 behavior not_empty:
    assumes 0 < n;
                     \nothing;
    assigns
    ensures result: 0 <= \result.first < n;</pre>
    ensures result: 0 <= \result.second < n;</pre>
    ensures min: MinElement(a, n, \result.first);
    ensures first: StrictLowerBound(a, \result.first, a[\result.first]);
   ensures max: MaxElement(a, n, \result.second);
    ensures last: StrictUpperBound(a, \result.second+1, n, a[\result.second]);
size_type_pair
minmax_element(const value_type* a, size_type n);
```

Listing 5.16: Formal specification of minmax\_element

The specification is similar to the specifications of min\_element and max\_element. The only difference lies in the postcondition last. Here the postcondition states that after the position of the maximum

element there is no value greater or equal the maximum element. This differs from the specification of max element, where the first occurrence of the maximum value has to be returned.

#### 5.9.2. Implementation of minmax\_element

The implementation of minmax\_element [5.17] uses the auxiliary function make\_pair [5.7] to construct a pair of indices. We will focus on the loop invariant last, because it is the only loop invariant that differs from the implementations of min\_element [5.15] and max\_element [5.9].

```
size_type_pair
minmax_element(const value_type* a, size_type n)
  if (0u < n) {
    size_type min = 0u;
    size_type max = 0u;
      loop invariant bound: 0 <= i</pre>
      loop invariant min: 0 <= min < n;</pre>
      loop invariant max: 0 <= max < n;</pre>
      loop invariant lower: LowerBound(a, i, a[min]);
      loop invariant upper: UpperBound(a, i, a[max]);
      loop invariant first: StrictLowerBound(a, min, a[min]);
      loop invariant last: StrictUpperBound(a, max+1, i, a[max]);
      loop assigns min, max, i;
      loop variant n-i;
    for (size_type i = 0u; i < n; i++) {</pre>
      if (a[i] >= a[max]) {
       max = i;
      if (a[i] < a[min]) {</pre>
        min = i;
    return make_pair(min, max);
  }
  return make_pair(n, n);
```

Listing 5.17: Implementation of minmax\_element

As already mentioned we had to alter the range for the predicate StrictUpperBound [5.3] to fit into the property of returning the last maximum position that occurred.

# 6. Binary search algorithms

In this chapter, we consider the four *binary search* algorithms of the C++ Standard Library [19, §28.7.3], namely

- lower\_bound in §6.1
- upper\_bound in §6.2
- two variants for the implementation of equal\_range in §6.3
- two variants for the formal specification of binary\_search in §6.4

As in the case of the of maximum/minimum algorithms from Chapter 5 the binary search algorithms primarily use the less-than operator < (and the derived operators <=, > and >=) to determine whether a particular value is contained in an increasing range. Thus, different to the find algorithm in §4.1, the equality operator == will play only a supporting part in the specification of binary search.

In order to make the specifications of the binary search algorithms more compact and (arguably) more readable we re-use the predicates LowerBound [5.3], StrictLowerBound [5.3], UpperBound [5.3], and StrictUpperBound [5.3].

All binary search algorithms require that their input array is arranged in increasing order. The following listing shows two versions of predicate Increasing [6.1]. The first one defines when a section of an array is in increasing order. The second version uses the first one to express that the whole array is in increasing order.

```
/*@
    axiomatic Increasing
{
    predicate
    Increasing{L} (value_type* a, integer m, integer n) =
        \forall integer i, j; m <= i < j < n ==> a[i] <= a[j];

    predicate
    Increasing{L} (value_type* a, integer n) = Increasing{L} (a, 0, n);
    }
*/</pre>
```

Listing 6.1: The logic definition(s) Increasing

There is also the overloaded predicate WeaklyIncreasing [6.2] that we will user for the verification of other algorithms.

```
/*@
    axiomatic WeaklyIncreasing
{
    predicate
    WeaklyIncreasing{L} (value_type* a, integer m, integer n) =
        \forall integer i; m <= i < n-1 ==> a[i] <= a[i+1];

    predicate
    WeaklyIncreasing{L} (value_type* a, integer n) = WeaklyIncreasing{L} (a, 0, n);
}
*/</pre>
```

Listing 6.2: The logic definition(s) WeaklyIncreasing

Users inexperienced in formal verification often have a blind spot at the difference between Increasing and WeaklyIncreasing. Both versions are logically equivalent, and proving that Increasing implies WeaklyIncreasing is even trivial. However, proving the converse direction is not, and requires an induction on the array size n, employing the transitivity of <= in the induction step. Humans are trained to perform such inductions unnoticed, but none of the automated provers supported by Frama-C is able to perform induction. The following Listing contains several lemmas on the relationship of WeaklyIncreasing and Increasing.

```
/ * @
 axiomatic IncreasingLemmas
    lemma Increasing_WeaklyIncreasing{L}:
      \forall value_type* a, integer m, n;
        0 \le m \le n
                                     ==>
        Increasing(a, m, n)
                                      ==>
        WeaklyIncreasing(a, m, n);
    \textbf{lemma} \ \ \textbf{WeaklyIncreasing\_Increasing\{L\}:}
      \forall value_type* a, integer m, n;
        0 \le m \le n
        WeaklyIncreasing(a, m, n) ==>
        Increasing(a, m, n);
    lemma Increasing_Shift{L}:
      \forall value_type *a, integer 1, r;
        0 <= 1 <= r
                                 ==>
        Increasing\{L\}(a, l, r) ==>
        Increasing{L}(a+l, r-l);
    lemma Increasing_Equal(K,L):
      \forall value_type* a, integer m, n, p;
        Increasing(K)(a, m, n)
        Equal(K,L)(a, m, n, m+p)
        Increasing{L}(a, m+p, n+p);
*/
```

Listing 6.3: The logic definition(s) Increasing Lemmas

We usually exploit the relationship of the predicates Increasing and WeaklyIncreasing in the following way:

- We use the predicate Increasing in the preconditions and postconditions of function contracts.
- The WeaklyIncreasing is employed for assertions and loop invariants whenever we have to verify that an algorithm (typically a sorting algorithm) produces an increasing array.
- Finally, to conclude that a *weakly increasing* array is in fact *increasing* we rely on lemma WeaklyIncreasing\_Increasing [6.3].

# 6.1. The lower\_bound algorithm

The lower\_bound algorithm is one of the four binary search algorithms of the C++ Standard Library [19, §28.7.3.1]. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type
lower_bound(const value_type* a, size_type n, value_type v);
```

As with the other binary search algorithms lower\_bound requires that its input array is in increasing order. The index lb, that lower\_bound returns satisfies the inequality

$$0 \le 1b \le n \tag{6.1}$$

and has the following properties for a valid index k of the array under consideration

$$0 \le k < 1b \implies a[k] < v$$
 (6.2)

$$1b \le k < n \qquad \Longrightarrow \qquad v \le a[k] \tag{6.3}$$

Conditions (6.2) and (6.3) imply that v can only occur in the array section a [lb..n-1]. In this sense lower\_bound returns a *lower bound* for the potential indices.

As an example, we consider in Figure 6.4 an increasingly ordered array. The arrows indicate which indices will be returned by lower\_bound for a given value. Note that the index 9 points *one past end* of the array. Values that are not contained in the array are colored in gray.

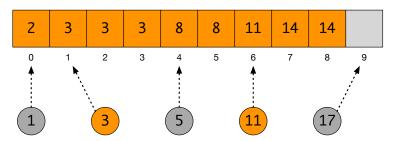


Figure 6.4.: Some examples for lower\_bound

Figure 6.4 also clarifies that care must be taken when interpreting the return value of lower\_bound. An important difference to the algorithms in Chapter 4 is that a return value of lower\_bound that is less than n does not necessarily implies a [lb] == v. We can only be sure that  $v \le a[lb]$  holds.

# 6.1.1. Formal specification of lower\_bound

The specification of lower\_bound [6.5] is shown in the following listing. The preconditions increasing expresses that the array values need to be in increasing order. The postconditions reflect the conditions listed above and can be expressed using the predicates LowerBound [5.3] and StrictUpperBound [5.3].

• Condition (6.1) becomes postcondition result

- Condition (6.2) becomes postcondition left
- Condition (6.3) becomes postcondition right

Listing 6.5: Formal specification of lower\_bound

#### 6.1.2. Implementation of lower\_bound

The following listing shows our implementation of lower\_bound [6.6]. Each iteration step narrows down the range that contains the sought-after result. The loop invariants express that in each iteration step all indices less than the temporary left bound left contain values that are less than v and all indices not less than the temporary right bound right contain values that are greater or equal than v. The expression to compute middle is slightly more complex than the naïve (left+right)/2, but it avoids potential overflows.

```
size_type
lower_bound(const value_type* a, size_type n, value_type v)
  size_type left = 0u;
  size_type right = n;
    loop invariant bound: 0 <= left <= right <= n;</pre>
    loop invariant left: StrictUpperBound(a, 0, left, v);
loop invariant right: LowerBound(a, right, n, v);
    loop assigns left, right;
    loop variant right - left;
  while (left < right) {</pre>
    const size_type middle = left + (right - left) / 2u;
    if (a[middle] < v) {</pre>
      left = middle + 1u;
    else {
      right = middle;
  }
  return left;
```

Listing 6.6: Implementation of lower\_bound

# 6.2. The upper\_bound algorithm

The upper\_bound algorithm of the C++ Standard Library [19, §28.7.3.2] is a variant of binary search and closely related to lower\_bound [6.5]. The signature reads:

```
size_type
upper_bound(const value_type* a, size_type n, value_type v)
```

As with the other binary search algorithms, upper\_bound requires that its input array is in increasing order. The index ub returned by upper\_bound satisfies the inequality

$$0 \le \mathsf{ub} \le n \tag{6.4}$$

and is involved in the following implications for a valid index k of the array under consideration

$$0 \le k < \text{ub} \qquad \Longrightarrow \qquad a[k] \le \text{v}$$
 (6.5)

$$ub \le k < n \implies v < a[k]$$
 (6.6)

Conditions (6.5) and (6.6) imply that v can only occur in the array section a[0.ub-1]. In this sense upper\_bound returns a *upper bound* for the potential indices where v can occur. It also means that the searched-for value v can *never* be located at the index ub.

Figure 6.7 is a variant of Figure 6.4 for the case of upper\_bound and the same example array. The arrows indicate which indices will be returned by upper\_bound for a given value. Note how, compared to Figure 6.4, only the arrows from values that *are present* in the array change their target index.

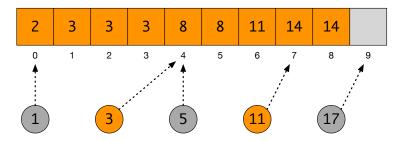


Figure 6.7.: Some examples for upper\_bound

#### 6.2.1. Formal specification of upper\_bound

The following listing shows the specification of upper\_bound [6.8] which is quite similar to the specification of lower\_bound [6.5]. The precondition increasing expresses that the array values need to be in increasing order.

The postconditions reflect the conditions listed above and can be expressed using predicates UpperBound [5.3] and StrictLowerBound [5.3], namely,

- Condition (6.4) becomes postcondition result
- Condition (6.5) becomes postcondition left
- Condition (6.6) becomes postcondition right

Listing 6.8: Formal specification of upper\_bound

# 6.2.2. Implementation of upper\_bound

Our implementation of upper\_bound [6.9] is shown in the following listing. The loop invariants express that for each iteration step all indices less than the temporary left bound left contain values not greater than v and all indices not less than the temporary right bound right contain values greater than v.

```
size_type
upper_bound(const value_type* a, size_type n, value_type v)
 size_type left = 0u;
 size_type right = n;
  / * a
   loop invariant bound: 0 <= left <= right <= n;</pre>
    loop invariant left: UpperBound(a, 0, left, v);
   loop invariant right: StrictLowerBound(a, right, n, v);
    loop assigns left, right;
    loop variant right - left;
 while (left < right) {</pre>
   const size_type middle = left + (right - left) / 2u;
    if (a[middle] <= v) {</pre>
      left = middle + 1u;
   else {
      right = middle;
  }
 return right;
```

Listing 6.9: Implementation of upper\_bound

# 6.3. The equal\_range algorithm

The equal\_range algorithm is one of the four binary search algorithms of the C++ Standard Library [19, §28.7.3.3]. As with the other binary search algorithms equal\_range requires that its input array is in increasing order. The specification of equal\_range states that it *combines* the results of the algorithms lower\_bound [6.5] and upper\_bound [6.8].

For our purposes we have modified equal\_range to take an array of type value\_type. Moreover, instead of a pair of iterators, our version returns a pair of indices. To be more precise, the return type of equal\_range is the struct size\_type\_pair from Listing 5.6. Thus, the signature of equal\_range now reads:

```
size_type_pair
equal_range(const value_type* a, size_type n, value_type v);
```

Figure 6.10 combines Figure 6.4 with Figure 6.7 in order visualize the behavior of equal\_range for select test cases. The two types of arrows  $\rightarrow$  and  $\rightarrow$  represent the respective fields first and second of the return value. For values that are not contained in the array, the two arrows point to the same index. More generally, if equal\_range returns the pair (1b, ub), then the difference ub – 1b is equal to the number of occurrences of the argument v in the array.

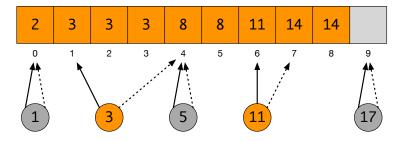


Figure 6.10.: Some examples for equal\_range

We will provide two implementations of equal\_range and verify both of them. The first implementation equal\_range [6.12] just straightforwardly calls lower\_bound [6.5] and upper\_bound [6.8] and simply returns the pair of their respective results. The second implementation equal\_range2 [6.13], which is more elaborate, follows the original C++ code by attempting to minimize duplicate computations. Let (1b, ub) be the return value equal\_range, then the conditions (6.1)–(6.6) can be merged into the inequality

$$0 \le 1b \le ub \le n \tag{6.7}$$

and the following three implications for a valid index k of the array under consideration

$$0 \le k < 1b \implies a[k] < v$$
 (6.8)

$$1b \le k < ub \implies a[k] = v$$
 (6.9)

$$ub \le k < n \implies a[k] > v$$
 (6.10)

Here are some justifications for these conditions.

- Conditions (6.8) and (6.10) are just the Conditions (6.2) and (6.6), respectively.
- The Inequality (6.7) follows from the Inequalities (6.1) and (6.4) and the following considerations: If ub were less than 1b, then according to (6.8) we would have a[ub] < v. One the other hand, we know from (6.10) that opposite inequality v < a[ub] holds. Therefore, we have  $1b \le ub$ .

• Condition (6.9) follows from the combination of (6.3) and (6.5) and the fact that ≤ is a total order on the integers.

## 6.3.1. Formal specification of equal\_range

The following listing show the specification of equal\_range [6.11] (and of equal\_range2).

Listing 6.11: Formal specification of equal\_range

The precondition increasing expresses that the array values need to be in increasing order.

The postconditions reflect the conditions listed above and can be expressed using the already introduced predicates AllEqual [4.15], StrictUpperBound [5.3] and StrictLowerBound [5.3].

- Condition (6.7) becomes postcondition result
- Condition (6.8) becomes postcondition left
- Condition (6.9) becomes postcondition middle
- Condition (6.10) becomes postcondition right

#### 6.3.2. Implementation of equal\_range

Our first implementation of equal\_range [6.12] is shown in the following listing. We just call the two functions lower\_bound [6.5] and upper\_bound [6.8] and return their respective results as a pair.

```
size_type_pair
equal_range(const value_type* a, size_type n, value_type v)
{
    size_type first = lower_bound(a, n, v);
    size_type second = upper_bound(a, n, v);
    //@ assert aux: second < n ==> v < a[second];
    return make_pair(first, second);
}</pre>
```

Listing 6.12: Implementation of equal\_range

In a very early version of this document we had proven the similar assertion first <= second with the interactive theorem prover Coq. After reviewing this proof we formulated the new assertion aux that uses a fact from the postcondition of upper\_bound [6.8]. The benefit of this reformulation is that both the assertion aux and the postcondition first <= second can now be verified automatically.

## 6.3.3. Implementation of equal\_range2

The first implementation of equal\_range [6.12] does more work than needed. In the following listing equal\_range2 [6.13] we show that it is possible to perform as much range reduction as possible before calling upper\_bound [6.8] and lower\_bound [6.5] on the reduced ranges.

```
size_type_pair
equal_range2(const value_type* a, size_type n, value_type v)
 size_type first = 0u;
 size_type middle = 0u;
 size_type last
                 = n;
   loop invariant bounds: 0 <= first <= last <= n;</pre>
   loop invariant left: StrictUpperBound(a, 0, first, v);
   loop invariant right: StrictLowerBound(a, last, n, v);
   loop assigns first, last, middle;
   loop variant last - first;
 while (last > first) {
   middle = first + (last - first) / 2u;
   if (a[middle] < v) {</pre>
     first = middle + 1u;
   else if (v < a[middle]) {</pre>
     last = middle;
   else {
     break;
  }
 if (first < last) {</pre>
    //@ assert increasing: Increasing(a, first, middle);
   size_type left = first + lower_bound(a + first, middle - first, v);
   //@ assert middle: LowerBound(a, left, middle, v);
    //@ assert left: StrictUpperBound(a, first, left, v);
    ++middle:
    //@ assert increasing: Increasing(a, middle, last);
   size_type right = middle + upper_bound(a + middle, last - middle, v);
    //@ assert middle: UpperBound(a, middle, right, v);
    //@ assert right: StrictLowerBound(a, right, last, v);
    //@ assert middle: AllEqual(a, left, right, v);
   return make_pair(left, right);
 else {
   return make_pair(first, first);
```

Listing 6.13: Implementation of equal\_range2

Due to the higher code complexity of the second implementation, additional assertions had to be inserted in order to ensure that Frama-C/WP is able to verify the correctness of the code. All of these assertions are related to pointer arithmetic and shifting base pointers. They fall into three groups and are briefly discussed below. In order to enable the automatic verification of these properties we added the following collection of ArrayBoundsShift [6.14].

```
/ * @
 axiomatic ArrayBoundsShift
   lemma LowerBound_Shift{L}:
     \forall value_type *a, val, integer b, c, d;
       LowerBound(L)(a+b, c, d, val)
       LowerBound(L)(a, c+b, d+b, val);
   lemma StrictLowerBound_Shift{L}:
     \forall value_type *a, val, integer b, c, d;
       StrictLowerBound{L}(a+b, c, d, val) ==>
       StrictLowerBound{L}(a, c+b, d+b, val);
   lemma UpperBound_Shift{L}:
     \forall value_type *a, val, integer b, c;
       UpperBound{L} (a+b, 0, c-b, val) ==>
       UpperBound{L} (a,
                          b, c,
                                   val);
   lemma StrictUpperBound_Shift{L}:
     \forall value_type *a, val, integer b, c;
       StrictUpperBound{L} (a+b, 0, c-b, val)
       StrictUpperBound{L}(a,
                              b, c,
                                        val);
 }
```

Listing 6.14: The logic definition(s) ArrayBoundsShift

## The increasing properties

Both lower\_bound [6.5] and upper\_bound [6.8] expect that they operate on increasingly ordered arrays. This is of course also true for equal\_range [6.11], however, inside our second implementation we need a more specific formulation, namely,

```
Increasing(a + middle, last - middle)
```

With the three-argument form of predicate Increasing [6.1] we can formulate out an intermediate step. This enables the provers to verify the preconditions of the call to lower\_bound [6.5] automatically. A similar assertion is present before the call to upper\_bound [6.8].

#### The strict and constant properties

Part of the post conditions of equal\_range [6.11] is that v is both a strict upper and a strict lower bound. However, the calls to lower\_bound and upper\_bound only give us

```
StrictUpperBound(a + first, 0, left - first, v)
StrictLowerBound(a + middle, right - middle, last - middle, v)
```

which is not enough to reach the desired post conditions automatically. One intermediate step for each of the assertions was sufficient to guide the prover to the desired result.

Conceptually similar to the strict properties the constant properties guide the prover towards

```
LowerBound(a, left, n, v)
```

```
UpperBound(a, 0, right, v)
```

Combining these properties allow the postcondition middle to be derived automatically.

# 6.4. The binary\_search algorithm

The binary\_search algorithm is one of the four binary search algorithms of the C++ Standard Library [19, §28.7.3.4]. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
bool binary_search(const value_type* a, size_type n, value_type v);
```

Again, binary\_search requires that its input array is in increasing order. It will return **true** if there exists an index i in a such that a[i] == v holds.<sup>20</sup>

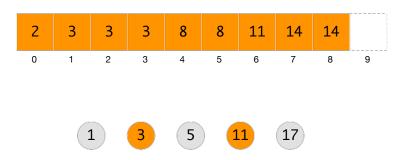


Figure 6.15.: Some examples for binary\_search

In Figure 6.15 we do not need to use arrows to visualize the effects of binary\_search. The colors orange and grey of the sought-after values indicate whether the algorithm returns true or false, respectively.

#### 6.4.1. Formal specification of binary\_search and binary\_search2

The ACSL specification of binary\_search [6.16] is shown in the following listing.

Listing 6.16: Formal specification of binary\_search

Note that instead of the somewhat lengthy existential quantification of binary\_search [6.16] we can use our previously introduced predicate SomeEqual [4.4] in order to achieve the following more concise formal specification binary\_search2 [6.17].

<sup>&</sup>lt;sup>20</sup>To be more precise: The C++ Standard Library requires that (a[i] <= v) && (v <= a[i]) holds. For our definition of value\_type (see §2.3) this means that v equals a[i].

Listing 6.17: Formal specification of binary\_search2

It is interesting to compare the specification of binary\_search [6.16] with that of find2 [4.5]. Both algorithms allow to determine whether a value is contained in an array. The fact that the C++ Standard Library requires that find has *linear* complexity whereas binary\_search must have a *logarithmic* complexity can currently not be expressed with ACSL.

#### 6.4.2. Implementation of binary\_search

Our implementation binary\_search2 [6.18] first calls lower\_bound [6.5]. Remember that if the latter returns an index  $0 \le i \le n$ , then we can be sure that  $v \le a[i]$  holds.

```
bool
binary_search2(const value_type* a, size_type n, value_type v)
{
   const size_type i = lower_bound(a, n, v);
   return (i < n) && (a[i] <= v);
}</pre>
```

Listing 6.18: Implementation of binary\_search2

# Part III. Mutating and numeric algorithms

# 7. Mutating algorithms

Let us now turn our attention to another class of algorithms, viz. *mutating* algorithms of the C++ Standard Library [19, §28.6], i.e., algorithms that change one or more ranges. In Frama-C, you can explicitly specify that, e.g., entries in an array a may be modified by a function f, by including the following *assigns clause* into the f's specification:

```
assigns a[0..length-1];
```

The expression length-1 refers to the value of length when f is entered, see [14, §2.3.2]. Below are the algorithms we will discuss in this chapter.

- In order to allow for a finer control of which parts of an array, we introduce in §7.1 the auxiliary predicate Unchanged.
- fill in §7.2 initializes each element of an array by a given fixed value.
- swap in §7.3 exchanges two values.
- swap\_ranges in §7.4 exchanges the contents of the arrays of equal length, element by element. We use this example to present "modular verification", as swap\_ranges reuses the verified properties of swap.
- copy in §7.5 copies a source array to a destination array.
- copy\_backward in §7.6 also copies a source array to a destination array. This version, however, uses another separation condition than copy.
- reverse\_copy and reverse in §7.7 and §7.8, respectively, reverse an array. Whereas reverse\_copy copies the result to a separate destination array, the reverse algorithm works in place.
- rotate\_copy in §7.9 rotates a source array by m positions and copies the results to a destination array.
- rotate in §7.10 rotates *in place* a source array by m positions.
- replace\_copy and replace in §7.11 and §7.12, respectively, substitute each occurrence of a value by a given new value. Whereas replace\_copy copies the result to a separate array, the replace algorithm works in place.
- remove\_copy and remove in §7.13-§7.16 filter all occurrences of a given value from an array. Whereas remove\_copy copies the result to a separate array, the remove algorithm works in place. Note that we provide altogether three versions of how to specify remove\_copy. This shall help the reader to understand that finding appropriate contracts is an iterative process and that it is usually a good idea to *not* strive for a "complete" contract right from the beginning.
- shuffle in §7.17 randomly reorders the elements of an array thereby relying on the simple random number generator random number in §7.18.

# 7.1. The predicate Unchanged

Many of the algorithms in this section iterate sequentially over one or several sequences. For the verification of such algorithms it is often important to express that a section of an array, or the complete array, have remained *unchanged*. As this cannot always be expressed by an assigns clause, we introduce in the following listing the overloaded predicate Unchanged [7.1]. The expression Unchanged  $\{K, L\}$  (a, m, n) is true if the range a [m..n-1] in state K is element-wise equal to that range in state L.

```
/*@
    axiomatic Unchanged
{
    predicate
    Unchanged{K,L} (value_type* a, integer m, integer n) =
        \forall integer i; m <= i < n ==> \at(a[i],K) == \at(a[i],L);

    predicate
    Unchanged{K,L} (value_type* a, integer n) = Unchanged{K,L} (a, 0, n);
}
*/
```

Listing 7.1: The logic definition(s) Unchanged

In some situations we use the predicate ArrayUpdate, which relies on the predicate Unchanged and the the logic function At [7.49], to concisely describe which parts of an array have changed or remained unchanged when updating an individual array element.

```
/ * @
 axiomatic ArrayUpdate
   predicate
     ArrayUpdate(K,L)(value_type* a, integer n, integer i, value_type v) =
       0 <= i < n
                                   23
       Unchanged(K,L)(a, 0, i)
                                   8.8
       Unchanged \{K,L\} (a, i+1, n) &&
                                   & &
       At\{K\}(a, i) != v
       At\{L\}(a, i) == v;
   lemma ArrayUpdate_Shrink(K,L):
      \forall value_type *a, v, integer n, i;
       0 \le i \le n-1
       ArrayUpdate(K,L)(a, n, i, v) ==>
       ArrayUpdate(K,L)(a, n-1, i, v);
   lemma ArrayUpdate_UpperBound{K,L}:
      \forall value_type *a, v, w, integer n, i;
       ArrayUpdate(K,L)(a, n, i, v) ==>
        v <= w
                                       ==>
        UpperBound(K)(a, n, w)
                                       ==>
        UpperBound(L)(a, n, w);
```

Listing 7.2: The logic definition(s) ArrayUpdate

In the following listing we show a few lemmas for Unchanged [7.1] that we need for the verification of various algorithms.

```
/ * @
 axiomatic UnchangedLemmas
    lemma Unchanged_Shrink{K,L}:
      \forall value_type *a, integer m, n, p, q;
        m \ll p \ll q \ll n
        Unchanged(K,L)(a, m, n) ==>
         Unchanged(K,L)(a, p, q);
   lemma Unchanged_Extend{K,L}:
      \forall value_type *a, integer n;
        Unchanged(K,L)(a, n)
        \operatorname{at}(a[n],K) == \operatorname{at}(a[n],L) ==>
        Unchanged \{K, L\} (a, n+1);
    lemma Unchanged_Shift{K,L}:
      \forall value_type *a, integer p, q, r;
        Unchanged\{K,L\}(a+p, q, r) ==> Unchanged\{K,L\}(a, p+q, p+r);
   lemma Unchanged_Symmetric{K,L}:
      \forall value_type *a, integer m, n;
        Unchanged(K,L)(a, m, n) ==>
        Unchanged{L,K}(a, m, n);
   lemma Unchanged_Transitive{K,L,M}:
      \forall value_type *a, integer m, n;
        Unchanged(K,L)(a, m, n) ==>
        Unchanged(L,M)(a, m, n) ==>
       Unchanged(K,M)(a, m, n);
```

Listing 7.3: The logic definition(s) UnchangedLemmas

- Lemma Unchanged\_Shrink [7.3] states that if the range a[m..n-1] does not change when going from state K to state L, then a[p..q-1] does not change either, provided the latter is a subrange of the former, i.e. provided  $0 \le m \le p \le q \le n$  holds.
- Lemma Unchanged\_Extend [7.3] expresses the simple fact that "unchangedness" is an inductive property.
- Lemma Unchanged\_Shift [7.3] states how Unchanged behaves under pointer additions.
- Lemmas Unchanged\_Symmetric [7.3] and Unchanged\_Transitive [7.3] express respectively the symmetry and transitivity of Unchanged with respect to program states.

# 7.2. The fill algorithm

The fill algorithm in the C++ Standard Library [19, §28.6.6] initializes general sequences with a particular value. The signature of our modified variant reads:

```
void fill(value_type* a, size_type n, value_type v);
```

#### 7.2.1. Formal specification of fill

The following listing shows the formal specification of fill [7.4]. We can express the postcondition of fill simply by using the overloaded predicate AllEqual [4.15].

```
/*@
  requires valid: \valid(a + (0..n-1));
  assigns      a[0..n-1];
  ensures constant: AllEqual(a, n, v);
  */
void
fill(value_type* a, size_type n, value_type v);
```

Listing 7.4: Formal specification of fill

The assigns-clauses formalize that fill modifies only the entries of the range a [0..n-1]. In general, when more than one *assigns clause* appears in a function's specification, it is permitted to modify any of the referenced memory locations. However, if no *assigns clause* appears at all, the function is free to modify any memory location, see [14, §2.3.2]. To forbid a function to do any modifications outside its scope, a clause assigns \nothing; must be used, as we practised in the example specifications in Chapter 4.

#### 7.2.2. Implementation of fill

The implementation of fill [7.5] comes with the loop invariant constant expresses that for each iteration the array is *filled* with the value of v up to the index i of the iteration. Note that we use here again the predicate AllEqual [4.15].

```
void
fill(value_type* a, size_type n, value_type v)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant constant: AllEqual(a, i, v);
    loop assigns i, a[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        a[i] = v;
    }
}</pre>
```

Listing 7.5: Implementation of fill

# 7.3. The swap algorithm

The swap algorithm [19, §28.6.3] in the C++ Standard Library exchanges the contents of two variables. Similarly, the iter\_swap algorithm [19, §28.6.3] exchanges the contents referenced by two pointers. Since C and hence ACSL, does not support an & type constructor ("declarator"), we will present an algorithm that processes pointers and refer to it as swap.

### 7.3.1. Formal specification of swap

The contract of swap [7.6] is shown in the following listing. The preconditions state that both pointer arguments of swap must be dereferenceable.

```
/*@
  requires valid: \valid(p);
  requires valid: \valid(q);
  assigns          *p;
  assigns          *q;
  ensures exchange: *p == \old(*q);
  ensures exchange: *q == \old(*p);
  */
void
swap(value_type* p, value_type* q);
```

Listing 7.6: Formal specification of swap

Upon termination of swap the entries must be mutually exchanged. The expression  $\old(*p)$  refers to the value of \*p before swap has be called. By default, a postcondition refers the values after the functions has been terminated.

#### 7.3.2. Implementation of swap

The following listing shows the straight-forward implementation of swap [7.7]. No interspersed ACSL annotations are needed achieve a verification by Frama-C/WP.

```
void
swap(value_type* p, value_type* q)
{
  value_type save = *p;
  *p = *q;
  *q = save;
}
```

Listing 7.7: Implementation of swap

# 7.4. The swap\_ranges algorithm

The swap\_ranges algorithm in the C++ Standard Library [19, §28.6.3] exchanges the contents of two expressed ranges element-wise. After translating C++ reference types and iterators to C, our version of the original signature reads:

```
void swap_ranges(value_type* a, size_type n, value_type* b);
```

We do not return a value since it would equal n, anyway.

#### 7.4.1. Formal specification of swap\_ranges

The following listing shows a specification for the swap\_ranges [7.8] algorithm.

Listing 7.8: Formal specification of swap\_ranges

The swap\_ranges algorithm works correctly only if a and b do not overlap. Because of that fact we use the clause sep to tell Frama-C that a and b must not overlap.

With the assigns-clause we postulate that the swap\_ranges algorithm alters the elements contained in two distinct ranges, modifying the corresponding elements and nothing else.

The postconditions of swap\_ranges specify that the content of each element in its post-state must equal the pre-state of its counterpart. We can use the predicate Equal [4.28] together with the label Old and Here to express the postcondition of swap\_ranges. In our specification, for example, we specify that the array a in the memory state that corresponds to the label Here is equal to the array b at the label Old. Since we are specifying a postcondition Here refers to the post-state of swap\_ranges whereas Old refers to the pre-state.

# 7.4.2. Implementation of swap\_ranges

The implementation of swap\_ranges [7.9] together with the necessary loop annotations is shown in the following listing. Unsurprisingly, we are repeatedly calling swap [7.6].

```
void
swap_ranges(value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal: Equal{Pre, Here}(a, i, b);
    loop invariant equal: Equal{Pre, Here}(b, i, a);

    loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
    loop invariant unchanged: Unchanged{Pre, Here}(b, i, n);

    loop assigns i, a[0..n-1], b[0..n-1];
    loop variant n-i;

*/
for (size_type i = 0u; i < n; ++i) {
    swap(a + i, b + i);
}
</pre>
```

Listing 7.9: Implementation of swap\_ranges

For the postcondition swap\_ranges [7.8] to hold, our loop invariants must ensure that at each iteration all of the corresponding elements that have already been visited are swapped.

Note that there are two additional loop invariants which claim that all the elements that have not visited yet equal their original values. This annotation allows us to prove the postconditions of swap\_ranges despite the fact that the loop assigns is coarser than it should be. The predicate Unchanged [7.1] is used to express this property.

# 7.5. The copy algorithm

The copy algorithm in the C++ Standard Library [19, §28.6.1] implements a duplication algorithm for general sequences. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void copy(const value_type* a, size_type n, value_type* b);
```

Informally, the function copies every element from the source range a [0..n-1] to the destination range b [0..n-1], as shown in Figure 7.10.

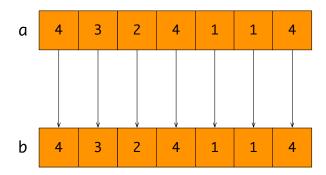


Figure 7.10.: Effects of copy

### 7.5.1. Formal specification of copy

Figure 7.10 might suggest that the ranges a[0..n-1] and b[0..n-1] must not overlap. However, since the informal specification requires that elements are copied in the order of increasing indices only a weaker condition is necessary. To be more specific, it is required that the pointer b does not refer to elements of a[0..n-1] as shown in the example in Figure 7.11.

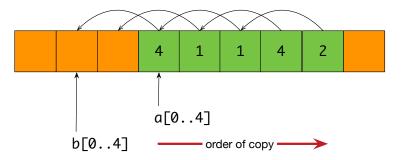


Figure 7.11.: Possible overlap of copy ranges

The specification of copy is shown in the following listing. The copy algorithm expects that the ranges a and b are valid for reading and writing, respectively. Note the precondition sep that expresses the previously discussed non-overlapping property.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b);
assigns b[0..n-1];
ensures equal: Equal{Old, Here}(a, n, b);
*/
void
copy(const value_type* a, const size_type n, value_type* b);
```

Listing 7.12: Formal specification of copy

Again, we can use the Equal [4.28] predicate to express that the array a equals b after copy has been called. Nothing else must be altered. To state this we use the assigns-clause.

## 7.5.2. Implementation of copy

The following listing shows an implementation of the copy function.

Listing 7.13: Implementation of copy

For the postcondition equal to be true, we must ensure that for every index i, the value a[i] must not yet have been changed before it is copied to b[i]. We express this by using the Unchanged predicate.<sup>21</sup>

The assigns clause ensures that nothing but the range b[0..n-1] and the loop variable i is modified. Keep in mind, however, that parts of the source range a[0..n-1] might change due to its potential overlap with the destination range.

<sup>&</sup>lt;sup>21</sup>Alternatively, this could also be expressed by changing the loop assigns clause to i, b[0..i-1]; however, Frama-C doesn't yet support loop assigns clauses containing the loop variable.

## 7.6. The copy\_backward algorithm

The copy\_backward algorithm in the C++ Standard Library [19, §28.6.1] implements another duplication algorithm for general sequences. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void copy_backward(const value_type* a, size_type n, value_type* b);
```

The main reason for the existence of copy\_backward is to allow copying when the start of the destination range a [0..n-1] is contained in the source range b [0..n-1]. In this case, copy can't be employed since its precondition sep is violated, as can be seen in the contract of copy [7.12].

The informal specification of <code>copy\_backward</code> states that copying starts at the end of the source range. For this to work, however, the pointer <code>b+n</code> must not be contained in the source range. Note that the order of operation (or procedure) calls cannot be specified in ACSL. A similar remark about order of operations tacitly applied to earlier functions as well, e.g. to <code>copy</code>, where the C++ order was prescribed by confining the signature to a <code>ForwardIterator</code>.

Figure 7.14 gives an example where copy\_backward, but not copy, can be applied.

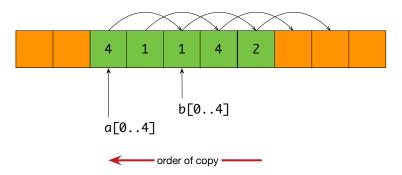


Figure 7.14.: Possible overlap of copy\_backward ranges

Note that in the original signature the argument b refers to one past the end of the destination range. Here, however, it refers to its start. The reason for this change is that in C++ copy\_backward is defined for bidirectional iterators which do not provide random access operations such as adding or subtracting an index. Since our C version works on pointers we do not consider it as necessary to use the one past the end pointer.

#### 7.6.1. Formal specification of copy\_backward

The specification of copy\_backward is shown in the following listing. The copy\_backward algorithm expects that the ranges a[0..n-1] and b[0..n-1] are valid for reading and writing, respectively. Precondition sep formalizes the constraints on the overlap of the source and destination ranges as discussed at the beginning of this section.

<sup>&</sup>lt;sup>22</sup>The Aoraï specification language and the corresponding Frama-C plugin are provided to specify and verify temporal properties of code; however, they are beyond the scope of this tutorial.

Listing 7.15: Formal specification of copy\_backward

The function <code>copy\_backward</code> assigns the elements from the source range a to the destination range b, modifying the memory of the elements pointed to by b. Again, we can use the <code>Equal</code> [4.28] predicate to express that the array a equals b after <code>copy\_backward</code> has been called.

#### 7.6.2. Implementation of copy\_backward

The following listing shows an implementation of the copy\_backward function.

Listing 7.16: Implementation of copy\_backward

We have loop invariants similar to copy, stating the loop variable's range (bound) and the area that has already been copied in each cycle (equal).

## 7.7. The reverse\_copy algorithm

The reverse\_copy algorithm of the C++ Standard Library [19, §28.6.10] inverts the order of elements in a sequence. reverse\_copy does not change the input sequence, and copies its result to the output sequence. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void reverse_copy(const value_type* a, size_type n, value_type* b);
```

Informally, reverse\_copy copies the elements from the array a into array b such that the copy is a reverse of the original array. In order to concisely formalize these conditions we define in the following listing the predicate Reverse [7.17] (see also Figure 7.18).

```
/ * @
 axiomatic Reverse
   predicate
   Reverse(K, L) (value_type* a, integer n, value_type* b) =
      \forall integer i; 0 \le i \le n == \lambda t(a[i], K) == \lambda t(b[n-1-i], L);
   predicate
   Reverse{K,L} (value_type* a, integer m, integer n,
                 value_type* b, integer p) = Reverse{K,L}(a+m, n-m, b+p);
   predicate
   Reverse{K,L}(value_type* a, integer m, integer n, value_type* b) =
     Reverse(K,L)(a, m, n, b, m);
   predicate
   Reverse(K,L)(value_type* a, integer m, integer n, integer p) =
      Reverse { K, L } (a, m, n, a, p);
   Reverse{K,L} (value_type* a, integer m, integer n) =
      Reverse(K,L)(a, m, n, m);
   Reverse(K,L)(value_type* a, integer n) = Reverse(K,L)(a, 0, n);
*/
```

Listing 7.17: The logic definition(s) Reverse

We also define several overloaded variants of Reverse that provide default values for some of the parameters. These overloaded versions enable us to write later more concise ACSL annotations.

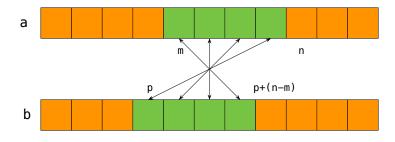


Figure 7.18.: Sketch of predicate Reverse

## 7.7.1. Formal specification of reverse\_copy

The specification of reverse\_copy [7.19] is shown in the following listing We use the second version of predicate Reverse [7.17] in order to formulate the postcondition of reverse\_copy.

Listing 7.19: Formal specification of reverse\_copy

## 7.7.2. Implementation of reverse\_copy

The implementation of reverse\_copy [7.20] is shown in the following listing. For the postcondition to be true, we must ensure that for every element i, the comparison b[i] == a[n-1-i] holds. This is formalized by the loop invariant reverse where we employ the first version of Reverse [7.17].

```
void
reverse_copy(const value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant reverse: Reverse{Here,Pre}(b, 0, i, a, n-i);
    loop assigns i, b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        b[i] = a[n - 1u - i];
    }
}</pre>
```

Listing 7.20: Implementation of reverse\_copy

## 7.8. The reverse algorithm

The reverse algorithm of the C++ Standard Library [19, §28.6.10] inverts the order of elements within a sequence. The signature of our version of reverse reads.

```
void reverse(value_type* a, size_type n);
```

#### 7.8.1. Formal specification of reverse

The specification for the reverse [7.21] function is shown in the following listing.

```
/*@
  requires valid: \valid(a + (0..n-1));
  assigns     a[0..n-1];
  ensures reverse: Reverse{Old, Here}(a, n);
  */
  void
  reverse(value_type* a, size_type n);
```

Listing 7.21: Formal specification of reverse

#### 7.8.2. Implementation of reverse

Since the implementation of reverse [7.22] operates *in place* we use swap [7.6] in order to exchange the elements of the first half of the array with the corresponding elements of the second half. We reuse the predicates Reverse [7.17] and Unchanged [7.1] in order to write concise loop invariants.

Listing 7.22: Implementation of reverse

## 7.9. The rotate\_copy algorithm

The rotate\_copy algorithm of the C++ Standard Library [19,  $\S 28.6.11$ ] copies, in a particular way, the elements of one sequence of length n into a separate sequence. More precisely,

- the first m elements of the first sequence become the last m elements of the second sequence, and
- the last n-m elements of the first sequence become the first n-m elements of the second sequence.

Figure 7.23 illustrates the effects of rotate\_copy by highlighting how the initial and final segments of the array a [0..n-1] are mapped to corresponding subranges of the array b [0..n-1].

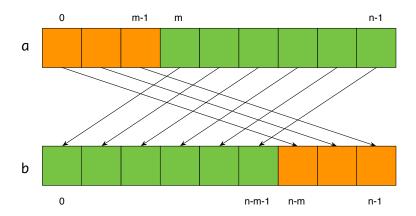


Figure 7.23.: Effects of rotate\_copy

For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void rotate_copy(const value_type* a, size_type m, size_type n, value_type* b);
```

#### 7.9.1. Formal specification of rotate\_copy

The specification of rotate\_copy is shown in the following listing. Note that we require explicitly that both ranges do not overlap and that we are only able to *read* from the range a[0.n-1].

```
requires bound:
                        0 <= m <= n;
 requires valid:
                        \vert valid_read(a + (0..n-1));
 requires valid:
                        \vert valid(b + (0..n-1));
                        \separated(a + (0..n-1), b + (0..n-1));
 requires sep:
                        b[0..(n-1)];
 assigns
                        Equal(Old, Here)(a, 0, m,
 ensures left:
                                                    b, n-m);
                        Equal{Old, Here} (a, m, n-m, b, 0);
 ensures right:
 ensures unchanged:
                        Unchanged{Old, Here} (a, n);
void
rotate copy (const value type* a, size type m, size type n, value type* b);
```

Listing 7.24: Formal specification of rotate\_copy

## 7.9.2. Implementation of rotate\_copy

The following listing shows an implementation of the rotate\_copy function. The implementation simply calls the function copy twice.

```
void
rotate_copy(const value_type* a, size_type m, size_type n, value_type* b)
{
  copy(a, m, b + (n - m));
  copy(a + m, n - m, b);
}
```

Listing 7.25: Implementation of rotate\_copy

## 7.10. The rotate algorithm

The algorithm rotate is an *in-place* variant of the algorithm rotate\_copy [7.24]. We have modified the generic specification of rotate [19, §28.6.11] such that it refers to a range of objects of value\_type. The signature now reads:

```
size_type rotate(const value_type* a, size_type m, size_type n);
```

#### 7.10.1. Formal specification of rotate

Figure 7.26 shows informally the behavior of rotate. The figure is of course very similar to the one for rotate\_copy (see Figure 7.23). The notable difference is that rotate operates *in place* of the array a [0..n-1].

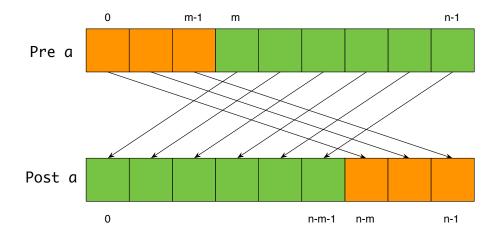


Figure 7.26.: Effects of rotate

The specification of rotate is shown in the following listing.

```
/*@
  requires valid: \valid(a + (0..n-1));
  requires bound: m <= n;
  assigns      a[0..n-1];
  ensures result: \result == n-m;
  ensures left: Equal{Old, Here}(a, 0, m, n-m);
  ensures right: Equal{Old, Here}(a, m, n, 0);
  */
  size_type
rotate(value_type* a, size_type m, size_type n);</pre>
```

Listing 7.27: Formal specification of rotate

#### 7.10.2. Implementation of rotate

The following listing shows an implementation of the rotate function together with several ACSL annotations. Actually, there are several ways to implement rotate. We have chosen a particularly simple one that is derived from an implementation of std::rotate for *bidirectional iterators* [19, §27.2.6] and which essentially consists of several calls to the algorithm reverse [7.21].

Note the statement contract of the final call of reverse [7.21]. Here we use both the labels Pre and Old which refer to the pre-states of reverse and the function rotate itself, respectively.

```
size_type
rotate(value_type* a, size_type m, size_type n)
 // if one subrange is empty, then nothings needs to be done
 if ((0u < m) && (m < n)) {
   reverse(a, m);
   reverse (a + m, n - m);
     requires left: Reverse{Pre, Here} (a, 0, m, 0);
     requires right: Reverse{Pre, Here} (a, m, n, m);
     assigns
                       a[0..n-1];
     ensures left: Reverse{Old, Here} (a, 0, m, n-m);
     ensures right: Reverse{Old, Here}(a, m, n, 0);
   */
   reverse(a, n);
    //@ assert left:
                       Equal(Pre, Here) (a, 0, m, n-m);
    //@ assert right: Equal{Pre,Here}(a, m, n, 0);
 return n - m;
```

Listing 7.28: Implementation of rotate

## 7.11. The replace\_copy algorithm

The replace\_copy algorithm of the C++ Standard Library [19, §28.6.5] substitutes specific elements from general sequences. Here, the general implementation has been altered to process value\_type ranges. The new signature reads:

The replace\_copy algorithm copies the elements from the range a[0..n] to range b[0..n], substituting every occurrence of v by w. The return value is the length of the range. As the length of the range is already a parameter of the function this return value does not contain new information.

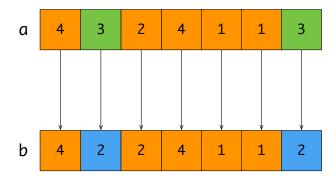


Figure 7.29.: Effects of replace

Figure 7.29 shows the behavior of replace\_copy at hand of an example where all occurrences of the value 3 in a [0..n-1] are replaced with the value 2 in b [0..n-1].

#### 7.11.1. The predicate Replace

We start with defining in the following listing the predicate Replace [7.30] that describes the intended relationship between the input array a [0..n-1] and the output array b [0..n-1]. Note the introduction of *local bindings* \let ai = ... and \let bi = ... in the definition of Replace (see [14, §2.2]).

Listing 7.30: The logic definition(s) Replace

This listing also contains a second, overloaded version of Replace which we will use for the specification of the related in-place algorithm replace [7.33].

#### 7.11.2. Formal specification of replace\_copy

Using predicate Replace the specification of replace\_copy [7.31] is as simple as shown in the following listing. Note that we also require that the input range a[0..n-1] and output range b[0..n-1] do not overlap.

Listing 7.31: Formal specification of replace\_copy

#### 7.11.3. Implementation of replace\_copy

The implementation (including loop annotations) of replace\_copy [7.32] is shown in the following listing. Note how the structure of the loop annotations resembles the specification of replace\_copy [7.31].

Listing 7.32: Implementation of replace\_copy

## 7.12. The replace algorithm

The replace algorithm of the C++ Standard Library [19, §28.6.5] substitutes specific values in a general sequence. Here, the general implementation has been altered to process value\_type ranges. The new signature reads

```
void replace(value_type* a, size_type n, value_type v, value_type w);
```

The replace algorithm substitutes all elements from the range a [0..n-1] that equal v by w.

#### 7.12.1. Formal specification of replace

Using the second predicate Replace [7.30] the specification of replace [7.33] can be expressed as in the following listing.

```
/*@
  requires valid: \valid(a + (0..n-1));
  assigns        a[0..n-1];
  ensures replace: Replace{Old, Here}(a, n, v, w);
  */
  void
  replace(value_type* a, size_type n, value_type v, value_type w);
```

Listing 7.33: Formal specification of replace

## 7.12.2. Implementation of replace

The implementation of replace [7.34] is shown in the following listing. The loop invariant unchanged expresses that when entering iteration i the elements a [i..n-1] have not yet changed.

```
void
replace(value_type* a, size_type n, value_type v, value_type w)
{
    /*@
    loop invariant bounds:    0 <= i <= n;
    loop invariant replace: Replace{Pre, Here} (a, i, v, w);
    loop invariant unchanged: Unchanged{Pre, Here} (a, i, n);
    loop assigns i, a[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        if (a[i] == v) {
            a[i] = w;
        }
    }
}</pre>
```

Listing 7.34: Implementation of replace

## 7.13. The remove\_copy algorithm (basic contract)

The remove\_copy algorithm of the C++ Standard Library [19, §28.6.8] copies all elements of a sequence other than a given value. Here, the general implementation has been altered to process value\_type ranges. The new signature reads:

```
size_type
remove_copy(const value_type* a, size_type n, value_type* b, value_type v);
```

The requirements of remove\_copy are:

Requirements	Description			
Remove Copy Size	The output range has to fit in all the elements of the input range,			
	except the ones that equal the value v by remove_copy.			
Remove Copy Separated	The input range and the output range do not overlap			
Remove Copy Elements	The remove_copy algorithm copies elements that are not			
	equal to v from range $a[0n-1]$ to the range $b[0]$			
	result-1].			
Remove Copy Stability	The algorithm is stable, that is, the relative order of the elements			
	in b is the same as in a.			
Remove Copy Return	The return value is the length of the resulting range.			
Remove Copy Complexity	The algorithm takes <i>n</i> comparisons in every case.			

Table 7.35.: Properties of remove\_copy

Figure 7.36 shows an example of how remove\_copy is supposed to copy elements that differ from 4 from the input range to the output range.

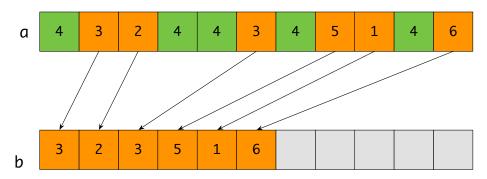


Figure 7.36.: Effects of remove\_copy

#### 7.13.1. Formal specification of remove\_copy

The following listing shows our first attempt to specify remove\_copy. In postcondition discard we use of the predicate NoneEqual [4.4] to show that the value v does not occur in the range b [0..\result].

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));
assigns b[0..n-1];
ensures bound: 0 <= \result <= n;
ensures discard: NoneEqual(b, \result, v);
ensures unchanged: Unchanged{Old, Here}(a, n);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);
*/
size_type
remove_copy(const value_type *a, size_type n, value_type *b, value_type v);</pre>
```

Listing 7.37: Formal specification of remove\_copy

One shortcoming of this specification is that the postcondition bound only makes very general and not very precise statements about the number of copied elements. We will address this problem in the contract of  $remove\_copy2$  [7.41]. A more serious shortcoming is, however, that we haven't specified what the relationship between the elements of the input range a [0..n-1] and the output range b [0..\result -1] looks like. This problem will be tackled in the contract of  $remove\_copy3$  [7.48].

#### 7.13.2. Implementation of remove\_copy

An implementation of remove\_copy is shown in the following listing.

```
size_type
remove_copy(const value_type *a, size_type n, value_type *b, value_type v)
 size_type k = 0u;
  / * @
   loop invariant bound:
                             0 <= k <= i <= n;
   loop invariant discard: NoneEqual(b, k, v);
   loop invariant unchanged: Unchanged{Pre, Here} (b, k, n);
   loop assigns k, i, b[0..n-1];
   loop variant n-i;
  */
 for (size_type i = 0u; i < n; ++i) {</pre>
   if (a[i] != v) {
     b[k++] = a[i];
 }
 return k;
```

Listing 7.38: Implementation of remove\_copy

Here we also need to add another loop invariant discard which basically checks if v occurs in b[0..k] for each iteration of the loop.

## 7.14. The remove\_copy2 algorithm (number of copied elements)

In this section we improve the contract of remove\_copy [7.37] by formally specifying the number \ result of elements copied by remove copy.

The number of copied elements equals of course the number of elements in the input range a [0..n-1] that are different from v. One can formally describe this number by relying on the logic function Count [4.44].

```
logic integer
CountNotEqual(value_type* a, integer n, value_type v) = n - Count(a, n, v);
```

In fact, we have used this kind of definition in earlier version of this document. We have found it, however, worthwhile to provide a separate definition of CountNotEqual and express the relationship with Count as a lemma. This definition is shown in the Listings 7.39 and 7.40.

```
axiomatic CountNotEqual
 logic integer
 CountNotEqual(value_type* a, integer m, integer n, value_type v) =
   n \le m ? 0 : CountNotEqual(a, m, n-1, v) + (a[n-1] == v ? 0 : 1);
 logic integer
 CountNotEqual(value_type* a, integer n, value_type v) =
   CountNotEqual(a, 0, n, v);
  lemma CountNotEqual_Empty:
    \forall value_type *a, v, integer m, n;
     n \le m => CountNotEqual(a, m, n, v) == 0;
  lemma CountNotEqual_Hit:
    \forall value_type *a, v, integer m, n;
     m <= n ==>
     a[n] != v ==>
     CountNotEqual(a, m, n+1, v) == CountNotEqual(a, m, n, v) + 1;
  lemma CountNotEqual_Miss:
    \forall value_type *a, v, integer m, n;
     m <= n ==>
     a[n] == v ==>
     CountNotEqual(a, m, n+1, v) == CountNotEqual(a, m, n, v);
  lemma CountNotEqual_Lower:
    \forall value_type *a, v, integer m, n;
     m \ll n \gg 0 \ll CountNotEqual(a, m, n, v);
  lemma CountNotEqual_Upper:
    \forall value_type *a, v, integer m, n;
     m \le n = \infty CountNotEqual(a, m, n, v) \le n-m;
```

Listing 7.39: The logic function CountNotEqual (1)

The above mentioned relationship with Count [4.44] is expressed as lemma CountNotEqual\_Count [7.39] in the following listing.

```
lemma CountNotEqual_WeaklyIncreasing:
     \forall value_type *a, v, integer m, n;
       m \le n = \infty CountNotEqual(a, m, n, v) \le CountNotEqual(a, m, n+1, v);
   lemma CountNotEqual_Increasing:
     \forall value_type *a, v, integer k, m, n;
       m \le k \le n = \infty CountNotEqual(a, m, k, v) <= CountNotEqual(a, m, n, v);
   lemma CountNotEqual_Unchanged(K,L):
     \forall value_type *a, v, integer m, n;
       Unchanged(K,L)(a, m, n) ==>
       CountNotEqual(K)(a, m, n, v) == CountNotEqual(L)(a, m, n, v);
   lemma CountNotEqual_Count:
     \forall value_type *a, v, integer m, n;
       m \le n = \infty CountNotEqual(a, m, n, v) == n - m - Count(a, m, n, v);
   lemma CountNotEqual_Union:
     \forall value_type *a, v, integer k, m, n;
       0 \le k \le m \le n =>
       CountNotEqual(a, k, n, v) ==
       CountNotEqual(a, k, m, v) + CountNotEqual(a, m, n, v);
*/
```

Listing 7.40: The logic function CountNotEqual (2)

#### 7.14.1. Formal specification of remove copy2

We extend our formal specification by using CountNotEqual [7.39] and add the new postcondition size, which states that the returning value of remove\_copy2 equals CountNotEqual. The following listing shows the formal specification of remove\_copy2 [7.41].

```
/ * @
 requires valid:
                     \valid_read(a + (0..n-1));
 requires valid:
                     \forall alid(b + (0..n-1));
                     \separated(a + (0..n-1), b + (0..n-1));
 requires sep:
 assigns
                    b[0..n-1];
                     \result == CountNotEqual(a, n, v);
 ensures size:
 ensures bound:
                   0 <= \result <= n;
 ensures discard: NoneEqual(b, \result, v);
 ensures unchanged: Unchanged{Old, Here}(a, n);
 ensures unchanged: Unchanged{Old, Here} (b, \result, n);
size_type
remove_copy2(const value_type* a, size_type n, value_type* b, value_type v);
```

Listing 7.41: Formal specification of remove\_copy2

## 7.14.2. Implementation of remove\_copy2

The following listing shows the implementation of our extended of remove\_copy2. Here we added the loop invariant size which corresponds to the postcondition in remove\_copy2 [7.41]. In order to ensure that the loop invariant size can be verified we have added the assertions size and unchanged.

```
size type
remove_copy2 (const value_type* a, size_type n, value_type* b, value_type v)
 size_type k = 0u;
 / * a
   loop invariant size:
                             k == CountNotEqual(a, i, v);
   loop invariant bound:
                            0 \le k \le i \le n;
   loop invariant discard: NoneEqual(b, k, v);
   loop invariant unchanged: Unchanged{Pre, Here} (b, k, n);
   loop assigns
                 k, i, b[0..n-1];
   loop variant
                 n-i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   if (a[i] != v) {
     b[k++] = a[i];
      //@ assert unchanged: Unchanged{LoopCurrent, Here}(a, n);
                       k == CountNotEqual(a, 0, i+1, v);
      //@ assert size:
  }
 return k;
```

Listing 7.42: Implementation of remove\_copy2

While we now can precisely speak of the number of copied elements, it is still not possible to say something about the exact relationship between the elements of range a[0..n-1] and range b[0..n-1]. We will address this question the contract of remove\_copy3 [7.48].

## 7.15. The remove\_copy3 algorithm (final contract)

In this section we extend the contracts of remove\_copy [7.37] and remove\_copy2 [7.41] by introducing a logic function, which describes the relationship between the elements of input range a [0..n-1] and the output range b [0..\result-1]. Note that we have shown in the previous section that \result equals CountNotEqual(a, n, v).

#### 7.15.1. A closer look on the properties of remove\_copy

Figure 7.43 shows a modified version of the Figure 7.36. We left out the indices of values that were not copied into the target array. Furthermore we have added a dashed arrow which points to the index that corresponds to the *one past the end* location of the input and output range.

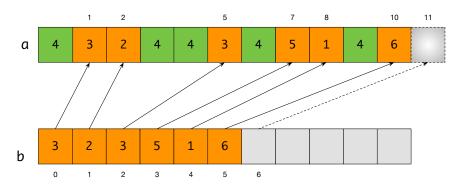


Figure 7.43.: Partitioning the input of remove\_copy

These arrows between the indices of the array b and array a define the following sequence p of seven indices. The index of the *one past the end* is underlined. p = (1, 2, 5, 7, 8, 10, 11)

More generally, we refer to the sequence p as partitioning sequence of remove\_copy for the array a [0...n-1]. For the **length of a partitioning sequence** m we get the following **strictly monotone increasing** sequence:

$$0 \le p_0 < \dots < p_m = n \tag{7.1}$$

and the open index intervals

$$(p_i, p_{i+1})$$
  $\forall i : 0 \le i < m$ 

mark **consecutive ranges** of the value v in the source array, that is,

$$a[k] = v \qquad \forall k : p_i < k < p_{i+1} \tag{7.2}$$

Additionally, the half open interval

$$[0,p_0)$$

also marks another **consecutive range** of the value v in the source array:

$$a[k] = v \qquad \forall k : 0 \le k < p_0 \tag{7.3}$$

Another observation is that

$$a[p_i] \neq v \qquad \forall i : 0 \le i < m \tag{7.4}$$

holds. Finally, we have

$$a[p_i] = b[i] \qquad \forall i : 0 \le i < m \tag{7.5}$$

which, together with the inequality (7.4) states, that the target does not contain the value v

$$b[i] \neq v$$
  $\forall i : 0 \le i < m$ 

## 7.15.2. More lemmas on CountNotEqual

Our formalization the properties of §7.15.1 relies on the logic function CountNotEqual [7.39]. We also rely on the logic function FindNotEqual [4.16] and the lemmas of CountFindNotEqual [7.44] in the following listing that provide more facts about CountNotEqual and FindNotEqual.

```
/ * @
 axiomatic CountFindNotEqual
   lemma CountNotEqual_AllEqual:
     \forall value_type *a, v, integer m, n;
       0 <= m <= n
       AllEqual(a, m, n, v)
                             ==>
       CountNotEqual(a, m, n, v) == 0;
   lemma CountNotEqual_SomeNotEqual:
     \forall value_type *a, v, integer m, n;
       0 <= m < n
       0 < CountNotEqual(a, m, n, v) ==>
       SomeNotEqual(a, m, n, v);
   lemma CountNotEqual_FindNotEqual:
     \forall value_type *a, v, integer m, n;
       0 <= m < n
       0 < CountNotEqual(a, m, n, v) ==>
       FindNotEqual(a, m, n, v) < n-m;</pre>
   lemma CountNotEqual_Zero:
     \forall value_type *a, v, integer m, n;
       0 \le m \le n =>
       CountNotEqual(a, m, m + FindNotEqual(a, m, n, v), v) == 0;
   lemma CountNotEqual_Decrement:
     \forall value_type *a, v, integer m, n;
       0 \le m \le n =>
       CountNotEqual(a, m + FindNotEqual(a, m, n, v), n, v) ==
       CountNotEqual(a, 0, n, v) - CountNotEqual(a, 0, m, v);
```

Listing 7.44: The logic definition(s) CountFindNotEqual

#### 7.15.3. Formalizing the properties of the partitions

The function RemovePartition, whose axiomatic definition is given in Listings 7.45 and 7.46 defines the partitioning sequence p from §7.15.1.

```
axiomatic RemovePartition
  logic integer
  RemovePartition(value_type* a, integer n, value_type v, integer p) =
    \let c = CountNotEqual(a, n, v);
    \let x = RemovePartition(a, n, v, p-1) + 1;
      p < 0 ? -1 : // 0 \le p
        (n \le 0 ? 0 : // 0 \le n)
         p < c ? x + FindNotEqual(a, x, n, v) : n
  lemma RemovePartition_Empty:
    \forall value_type *a, v, integer n, p;
      n \ll 0 \ll p \implies
      RemovePartition(a, n, v, p) == 0;
  lemma RemovePartition_Left:
    \forall value_type *a, v, integer n, p;
      p < 0 ==> RemovePartition(a, n, v, p) == -1;
  lemma RemovePartition_Right:
    \forall value_type *a, v, integer n, p;
      CountNotEqual(a, n, v) <= p ==> RemovePartition(a, n, v, p) == n;
  lemma RemovePartition_Next:
    \forall value_type *a, v, integer n, p;
      \let x = RemovePartition(a, n, v, p-1) + 1;
      0 <= n
      0 <= p < CountNotEqual(a, n, v) ==>
      RemovePartition(a, n, v, p) == x + FindNotEqual(a, x, n, v);
  lemma RemovePartition_Lower:
    \forall value_type *a, v, integer i, n, p;
      0 < n
      0 <= p < CountNotEqual(a, n, v) ==>
      0 <= RemovePartition(a, n, v, p);</pre>
  lemma RemovePartition_Core:
    \forall value_type *a, v, integer i, n, p;
      \let R = RemovePartition(a, n, v, p);
      0 < n
      0 <= p < CountNotEqual(a, n, v) ==>
      (R < n
                & &
       a[R] != v \&\&
       CountNotEqual(a, R, n, v) == CountNotEqual(a, 0, n, v) - p);
  lemma RemovePartition_Upper:
    \forall value_type *a, v, integer i, n, p;
      0 < n
      0 <= p < CountNotEqual(a, n, v) ==>
      RemovePartition(a, n, v, p) < n;</pre>
```

Listing 7.45: The logic function RemovePartition (1)

Before we begin to relate the various lemmas to the formulas from §7.15.1 we want to remind the reader that logic functions (and predicates) must be total that is they must be defined for all possible argument values.

```
lemma RemovePartition_NotEqual:
  \forall value_type *a, v, integer n, p;
   0 < n ==>
    0 <= p < CountNotEqual(a, n, v) ==>
   a[RemovePartition(a, n, v, p)] != v;
lemma RemovePartition_Count:
  \forall value_type *a, v, integer n, p;
   0 < n
                                    ==>
   0 <= p < CountNotEqual(a, n, v) ==>
   CountNotEqual(a, RemovePartition(a, n, v, p), n, v) ==
   CountNotEqual(a, 0, n, v) - p;
lemma RemovePartition_StrictlyWeakIncreasing:
 \forall value_type *a, v, integer n, p;
   0 
   RemovePartition(a, n, v, p-1) < RemovePartition(a, n, v, p);
lemma RemovePartition_Segment:
 \forall value_type *a, v, integer i, n, p;
   0 < n
   0 \ll p
                                    ==>
   p + 1 < CountNotEqual(a, n, v)</pre>
     AllEqual(a, RemovePartition(a, n, v, p) + 1,
                 RemovePartition(a, n, v, p+1), v);
lemma RemovePartition_Extend:
 \forall value_type *a, v, integer n, p;
   0 < n
   0 <= p < CountNotEqual(a, n, v) ==>
   RemovePartition(a, n, v, p) == RemovePartition(a, n+1, v, p);
lemma RemovePartition_Unchanged{K,L}:
 \forall value_type *a, v, integer n, p;
   Unchanged(K,L)(a, n)
   RemovePartition\{K\} (a, n, v, p) == RemovePartition\{L\} (a, n, v, p);
```

Listing 7.46: The logic function RemovePartition (2)

The lemmas for RemovePartition are related to the properties of §7.15.1 in the following way.

- Property (7.1) is expressed by the lemmas RemovePartition\_Empty, RemovePartition\_Left RemovePartition\_Right, and RemovePartition\_StrictlyWeakIncreasing
- Properties (7.2) and (7.3) are described by lemmas RemovePartition\_Segment.
- Property (7.4) is expressed by lemma RemovePartition\_NotEqual.
- Property (7.5) is formulated using the predicate Remove [7.47].

We would like to point out lemma RemovePartition\_Core which subsumes the statements of the subsequent lemmas RemovePartition\_Upper, RemovePartition\_NotEqual, and RemovePartition\_Count. While these three lemmas add nothing new we have kept them because they correspond directly to individual properties of §7.15.1. The question may arise why there is the lemma RemovePartition\_Core in the first place. The answer is that we found the individual properties so intertwined that we were not able to verify them separately but only their joint embodiment.

#### 7.15.4. The predicate Remove

The predicate Remove [7.47] primarily serves in order to improve the readability of our specification remove\_copy3 [7.48]. As mentioned before this predicate encapsulates the Property (7.5) from §7.15.1. Note that Remove [7.47] also contains an overloaded version of Remove which will be used for the specification of the *in-place* variant remove [7.52] of remove\_copy.

```
axiomatic Remove
    predicate
    Remove{K,L}(value_type* a, integer n, integer i, value_type* b, value_type v) =
      \forall integer k; 0 <= k < CountNotEqual(K)(a, i, v) ==>
        \let j = RemovePartition(K)(a, n, v, k);
        \hat{b[k],L} == \hat{a[j],K};
   predicate
    Remove{K,L} (value_type* a, integer n, value_type* b, value_type v) =
      Remove\{K,L\} (a, n, n, b, v);
    Remove{K,L} (value_type* a, integer n, integer i, value_type v) =
      \forall integer k; 0 <= k < CountNotEqual(K)(a, i, v) ==>
        \let j = RemovePartition(K)(a, n, v, k);
        \operatorname{at}(a[k], L) == \operatorname{at}(a[j], K);
   predicate
    Remove(K,L)(value_type* a, integer n, value_type v) =
      Remove\{K,L\} (a, n, n, v);
*/
```

Listing 7.47: The logic definition(s) Remove

#### 7.15.5. Formal specification of remove\_copy3

The following listing shows the formal specification of remove\_copy [7.37]. The additional postcondition remove makes use of the predicate Remove [7.47] which we have just described. Furthermore, we have again the postcondition unchanged which states that the source array a [0..n-1] does not change.

```
/ * @
                      \vert valid_read(a + (0..n-1));
  requires valid:
 requires valid:
                      \forall alid(b + (0..n-1));
 requires sep:
                      \separated(a + (0..n-1), b + (0..n-1));
 assigns
                      b[0..n-1];
 ensures size:
                      \result == CountNotEqual{Old}(a, n, v);
 ensures size: \result == countno
ensures bound: 0 <= \result <= n;</pre>
 ensures remove: Remove{Old, Here}(a, n, b, v);
 ensures discard: NoneEqual(b, \result, v);
 ensures unchanged: Unchanged(Old, Here)(a, n);
 ensures unchanged: Unchanged{Old, Here} (b, \result, n);
size_type
remove_copy3(const value_type* a, size_type n, value_type* b, value_type v);
```

Listing 7.48: Formal specification of remove\_copy3

#### 7.15.6. Implementation of remove\_copy3

We discuss now some aspects of the implementation of remove\_copy3 [7.50]. We introduce the loop invariant mapping. This invariant states that the variable i will always be smaller or equal to the result of RemovePartition(a, n, v, k). We also add the assertion mapping to our implementation as stepping stone for the provers to verify the correctness of this loop invariant.

Somewhat surprisingly, in order to reduce excessive verification times we had to add an else-branch to our implementation that besides the assertion unchanged is empty.

Regarding the assertion update, one might wonder why we do not simply write  $\at(a[i], Pre)$ . However, this expression would be wrong because the index i would then be interpreted as  $\at(i, Pre)$  which doesn't makes sense for a local variable. Frama-C/WP consequently rejects this expression with the following error message.

Warning: unbound logic variable i. Ignoring code annotation

We could explicitly refer to the current value of i by using the subexpression \at(i, Here) inside the assertion update. We felt, however, tow introduce the predicate At [7.49] to simplify the comparison of array elements in programme states where the particular index variable isn't visible.

```
/*@
  axiomatic At
  {
   logic value_type At{L}(value_type* x, integer i) = \at(x[i],L);
  }
*/
```

Listing 7.49: The logic definition(s) At

The second argument At is interpreted at the programme point here it appears, that is, Here. Using this auxiliary logic function the assertion update is arguably more readable.

```
size type
remove_copy3(const value_type* a, size_type n, value_type* b, value_type v)
 size_type k = 0u;
 / * @
   loop invariant discard: NoneEqual(b, k, v);
   loop invariant interval: RemovePartition{Pre}(a, n, v, k-1) <= i;</pre>
   loop invariant interval: i <= RemovePartition{Pre} (a, n, v, k);</pre>
   loop invariant unchanged: Unchanged{Pre, Here}(a, n);
   loop invariant unchanged: Unchanged{Pre, Here} (b, k, n);
   loop assigns
                 k, i, b[0..n-1];
   loop variant
                n−i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   if (a[i] != v) {
     b[k++] = a[i];
     //@ assert size:
                         k == CountNotEqual{Pre}(a, i+1, v);
     //@ assert update: b[k-1] == At{Pre}(a, i);
     //@ assert interval: i == RemovePartition{Pre}(a, n, v, k-1);
     //@ assert remove: Remove{Pre,Here}(a, n, i, b, v);
     //@ assert remove:
                          Remove{Pre, Here} (a, n, i+1, b, v);
     //@ assert unchanged: Unchanged{Pre, Here}(a, n);
     //@ assert unchanged: Unchanged{Pre, Here} (b, k, n);
   else {
     //@ assert unchanged: Unchanged{Pre, Here}(a, n);
   //@ assert unchanged: Unchanged{Pre,Here}(a, n);
 }
 return k;
```

Listing 7.50: Implementation of remove\_copy3

## 7.16. The remove algorithm

The C++ Standard Library also contains a function remove [19, 28.6.8] performing the same operation as remove\_copy as an in-place algorithm. Its signature is very similar to that of remove\_copy, except that there is no need for an output array.

```
size_type remove(value_type* a, size_type n, value_type v);
```

Figure 7.51 shows how remove is supposed to remove all occurrences of the given value 4 from a range.

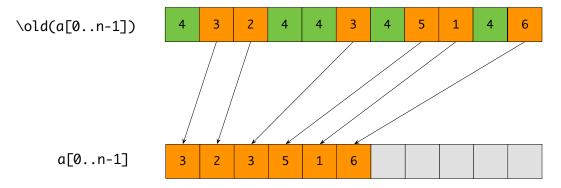


Figure 7.51.: Effects of remove

#### 7.16.1. Formal specification of remove

The following listing shows a formal specification of the function remove [7.52]. Our specification is very similar to the one of remove\_copy3 [7.48] except that we using a version of Remove [7.47] that takes only one pointer argument.

```
/ * @
 requires valid:
                     \forall a = (0..n-1);
 assigns
                     a[0..n-1];
                     \result == CountNotEqual{Old}(a, n, v);
  ensures size:
 ensures bound:
                    0 <= \result <= n;</pre>
 ensures remove:
                    Remove{Old, Here} (a, n, v);
 ensures discard:
                    NoneEqual(a, \result, v);
 ensures unchanged: Unchanged{Old, Here}(a, \result, n);
size_type
remove(value_type* a, size_type n, value_type v);
```

Listing 7.52: Formal specification of remove

#### 7.16.2. Implementation of remove

In the following listing we show our implementation of remove [7.53] together with the additional loop annotations. Again, the annotations are very similar to those of the implementation of remove\_copy3 [7.50].

```
size_type
remove(value_type* a, size_type n, value_type v)
  size_type k = 0u;
    loop invariant size: k == CountNotEqual\{Pre\} (a,i,v); loop invariant bound: 0 <= k <= i <= n; loop invariant remove: Remove\{Pre, Here\} (a, n, i, v);
    loop invariant discard: NoneEqual(a, k, v);
    loop invariant interval: RemovePartition{Pre} (a, n, v, k-1) \leftarrow i;
    loop invariant interval:
                                    i <= RemovePartition{Pre}(a, n, v, k);</pre>
    loop invariant unchanged: Unchanged{Pre, Here}(a, k, n);
loop invariant unchanged: a[k] == At{Pre}(a, k);
    loop assigns k, i, a[0..n-1];
    loop variant
                      n-i;
  for (size_type i = 0u; i < n; ++i ) {</pre>
    if (a[i] != v) {
       a[k++] = a[i];
                               k == CountNotEqual{Pre}(a, 0, i+1, v);
       //@ assert size:
       //@ assert update: a[k-1] == At\{Pre\}(a, i);
       //@ assert interval: i == RemovePartition{Pre}(a, n, v, k-1);
       //@ assert remove: Remove{Pre, Here}(a, n, i,
       //@ assert remove: Remove{Pre, Here} (a, n, i+1, v);
  }
  return k;
```

Listing 7.53: Implementation of remove

Also note the use of the predicate At [7.49] in the loop invariant unchanged and the assertion update.

## 7.17. The shuffle algorithm

The shuffle algorithm in the C++ Standard Library [19, §28.6.13] randomly rearranges the elements of a given range, that is, it randomly picks one of its possible orderings. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void shuffle(value_type* a, size_type n, unsigned short* rand);
```

The argument rand holds the state of a simple random number generator that is used in the implementation of shuffle.

Figure 7.54 illustrates an example run of shuffle. In this figure, the values 1, 2, 3, and 4 occur twice, once, once, and three times, respectively, both before and after the shuffle run. This expresses that the range has been reordered.

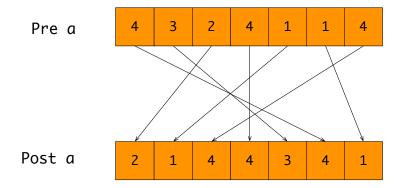


Figure 7.54.: Effects of shuffle

#### 7.17.1. The predicate MultisetReorder

The shuffle algorithm is the first example in this document where we have to specify a *rearrangement* or *reordering* of the elements of a given range. We say that an array has been reordered between two states if the number of each element in the array remains unchanged. In other words, reordering leaves the *multiset*<sup>23</sup> of elements in the range unchanged.

We use the predicate MultisetReorder [7.55] to formally describe this property. This predicate, which is given in two overloaded versions, relies on the logic function Count [4.44]. We list here several lemma with basic properties of MultisetReorder. We will use these lemmas during the verification of various algorithms.

```
/ * @
 axiomatic MultisetReorder
   predicate
   MultisetReorder(K,L)(value_type* a, integer m, integer n) =
     \forall value type v;
       Count\{K\}(a, m, n, v) == Count\{L\}(a, m, n, v);
   predicate
   MultisetReorder(K, L) (value_type* a, integer n) =
     MultisetReorder(K,L)(a, 0, n);
   lemma Unchanged_MultisetReorder(K,L):
     \forall value_type *a, integer k, n;
       Unchanged(K,L)(a, k, n) ==> MultisetReorder(K,L)(a, k, n);
   lemma MultisetReorder_DisjointUnion{K,L}:
      \forall value_type *a, integer i, k, n;
       0 <= i <= k <= n
       MultisetReorder(K, L)(a, i, k) ==>
       MultisetReorder(K, L)(a, k, n) ==>
       MultisetReorder(K,L)(a, i, n);
   lemma MultisetReorder_Symmetric{K,L}:
     \forall value_type *a, integer m, n;
       MultisetReorder(K,L)(a, m, n) ==> MultisetReorder(L,K)(a, m, n);
   lemma MultisetReorder_Transitive{K,L,M}:
     \forall value_type *a, integer m, n;
       MultisetReorder(K, L)(a, m, n) ==>
       MultisetReorder(L,M)(a, m, n)
       MultisetReorder(K,M)(a, m, n);
```

Listing 7.55: The logic definition(s) MultisetReorder

<sup>&</sup>lt;sup>23</sup>See http://en.wikipedia.org/wiki/Multiset

#### 7.17.2. Formal specification of shuffle

In the specification of the shuffle[7.56] algorithm we demand that the range a[0..n-1] is valid for reading and writing. We use the predicate MultisetReorder [7.55] to express that the contents of a [0..n-1] is just permuted, i.e., the number of occurrences of each of its members remains unchanged. The array rand contains a seed for the random number generator used to randomize the shuffle. By specifying that the function assigns to rand we capture that the function may return a different permutation every time.

Note that our specification only states that the resulting range is a reordering of the input range; nothing more and nothing less. Ideally, we would also specify that sequence of reorderings obtained by repeated calls of shuffle is required to be random. The informal specification [19, §28.6.13] of shuffle states that that each possible permutation of those elements has equal probability of appearance. However, ACSL does currently not support the specification of temporal properties related to repeated call results.

Listing 7.56: Formal specification of shuffle

More generally speaking, it is not trivial to capture the notion of randomness in a mathematically precise way. As a typical example, we refer to a paper [21, p.6–8], which just gives four statistical tests indicating the randomness of the permutations computed with their algorithm. From a theoretical point of view, a sequence of permutations can be called "random" if its Kolmogorov complexity exceeds a certain measure, however, this property is undecidable [22].

#### 7.17.3. Implementation of shuffle

The following listing shows our implementation of the function shuffle [7.57]. It repeatedly calls the function swap [7.6] to *transpose* (randomly) selected elements. For details of out source of randomness we refer to the function random\_number [7.60].

```
void
shuffle(value_type* a, size_type n, unsigned short* seed)
  if (0u < n) {
    / * a
      loop invariant bounds:
                               1 <= i <= n:
      loop invariant reorder: MultisetReorder{Pre, Here}(a, 0, i);
      loop invariant unchanged: Unchanged{Pre, Here} (a, i, n);
      loop assigns i, a[0..n-1], seed[0..2];
      loop variant  n - i;
    for (size_type i = 1u; i < n; ++i) {</pre>
      size_type k = random_number(seed, i) + 1u;
      //@ assert less: 0 <= k <= i;
      if (k < i) {
        swap(&a[k], &a[i]);
        //@ assert swapped: ArraySwap{LoopCurrent, Here}(a, k, i, n);
        //@ assert reorder: MultisetReorder{LoopCurrent, Here} (a, i+1);
        //@ assert reorder: MultisetReorder(Pre, Here)(a, i+1);
      else {
        //@ assert unchanged: Unchanged{LoopCurrent, Here}(a, i+1);
        //@ assert reorder:
                             MultisetReorder{Pre, Here} (a, i+1);
      //@ assert reorder: MultisetReorder{Pre, Here}(a, i+1);
    }
  }
```

Listing 7.57: Implementation of shuffle

The loop invariants reorder and unchanged of shuffle are necessary for the verification of the postcondition reorder: in the ith loop cycle, the subrange a[0..i-1] has been reordered, while the remaining subrange a[i..n-1] is yet unchanged. We also formulate several auxiliary assertions reorder which use the the predefined label LoopCurrent, to guide the automatic verification the loop invariant reorder. Please not the empty else-branch hat only contains an assertion reorder. We introduced this assertion to support the verification of the reorder property.

In addition, we rely on the predicate ArraySwap [7.58] rather than the literal postcondition of swap [7.6], since this leads to to more concise annotations and better a performance of the automatic provers.

Listing 7.58: The logic definition(s) ArraySwap

The lemma MultisetSwap\_Middle [7.59] states that swapping the elements a[i] and a[k] is a particular kind of reordering on the range a[i..k].

```
/*@
    axiomatic MultisetSwap
{
    lemma MultisetSwap_Middle{K,L}:
        \forall value_type* a, integer i, k, n;
        ArraySwap{K,L}(a, i, k, n) ==> MultisetReorder{K,L}(a, i, k+1);

    lemma MultisetSwap_FrontMiddle{K,L}:
        \forall value_type* a, integer i, k, n;
        ArraySwap{K,L}(a, i, k, n) ==> MultisetReorder{K,L}(a, 0, k+1);
}
*/
```

Listing 7.59: The logic definition(s) MultisetSwap

## 7.18. Verifying a random number generator

We describe in this section random\_number [7.60] which implements a simple random-number generator. As in the case of shuffle [7.56] itself, we do not formulate precise properties of randomness and only require its result to be in the specified range [0..n-1]. Again, the assigns clause to the array state models the dependency on an additional state.

Note that in the following listing, we also provide the rather simple specification of the function random\_init that is called to initialize the state of the random generator.

```
/ * @
  requires pos:
                    0 < n;
  requires valid: \valid(state + (0..2));
  assigns
                    state[0..2];
           result: 0 <= \result < n;
size_type
random_number(unsigned short* state, size_type n);
/ * @
  requires \valid(state + (0..2));
  assigns
            state[0..2];
*/
void
random_init(unsigned short* state);
```

Listing 7.60: Formal specification of random\_number

The implementations of random\_number and random\_init are shown in the following listing. Internally, we rely on a custom implementation of the POSIX.1 random number generator lrand48()<sup>24</sup> This random number generator is a linear congruence generator with a 48 bit state and the iteration procedure

$$x_{n+1} = ax_n + c \bmod 2^{48} (7.6)$$

where a = 25214903917 and c = 11 are relatively prime integers.

As a part of the iteration procedure in Equation (7.6) an unsigned overflow may occur. This does not affect the result as we are only interested in its lowest 48 bits. However, as one of the options we use, <code>-warn-unsigned-overflow</code>, causes Frama-C/WP assert the absence of unsigned overflow this algorithm does not verify under the same options used for the other algorithms. As an exception, we have therefore decided to disable <code>-warn-unsigned-overflow</code> for this function as the unsigned overflow is both benign and well-defined (cf. [16, §6.2.5, 9]).

 $<sup>^{24}</sup>$ See http://pubs.opengroup.org/onlinepubs/9699919799/functions/lrand48.html

```
// see IEEE 1003.1-2008, 2016 Edition for specification
/ * @
 requires valid: \valid(seed + (0..2));
 assigns seed[0..2];
 ensures lower: 0 <= \result;</pre>
 ensures upper: \result <= 0x7fffffff;</pre>
static long
my_lrand48 (unsigned short* seed)
 unsigned long long state = (unsigned long long) seed[0] << 32</pre>
                               | (unsigned long long) seed[1] << 16</pre>
                               (unsigned long long) seed[2];
 state = (0x5deece66dull * state + 0xbull) % (1ull << 48);</pre>
  //@ assert lower: state < (1ull << 48);
 long result = state / (1ull << 17);</pre>
  //@ assert lower: 0 <= result;</pre>
  seed[0u] = state >> 32 & 0xffff;
  seed[1u] = state >> 16 & 0xffff;
  seed[2u] = state >> 8 & 0xffff;
  return result;
size_type
random_number(unsigned short* state, size_type n)
  return my_lrand48(state) % n;
void
random_init(unsigned short* state)
  state[0] = 0x243f;
  state[1] = 0x6a88;
  state[2] = 0x85a3;
```

Listing 7.61: Implementation of random\_number

Note that we use the custom acsl lemma RandomNumberModulo [7.62] from the following listing to support the verification of some assertions.

```
/*@
  axiomatic C_Bit
  {
    lemma RandomNumberModulo:
     \forall unsigned long long a;
        (a % (1ull << 48)) < (1ull << 48);
    }
*/</pre>
```

Listing 7.62: The logic definition(s) C\_Bit

# 8. Numeric algorithms

The algorithms that we considered so far only *compared*, *read* or *copied* values in sequences. In this chapter, we consider so-called *numeric* algorithms of the C++ Standard Library [19, §29.8] that use arithmetic operations on value\_type to combine the elements of sequences.

```
#define VALUE_TYPE_MAX INT_MAX
#define VALUE_TYPE_MIN INT_MIN
```

Listing 8.1: Limits of value\_type

In order to refer to potential arithmetic overflows we introduce the two constants shown in Listing 8.1 which refer to the numeric limits of value\_type (see also §2.3).

We consider the following algorithms.

- iota writes sequentially increasing values into a range (§8.1)
- accumulate computes the sum of the elements in a range (§8.2)
- inner\_product computes the inner product of two ranges (§8.3)
- partial\_sum computes the sequence of partial sums of a range (§8.4)
- adjacent\_difference computes the differences of adjacent elements in a range (§8.5)
- Finally, in §8.6 we show that under appropriate preconditions the algorithms partial\_sum and adjacent\_difference are inverse to each other.

The formal specifications of these algorithms raise new questions. In particular, we now have to deal with arithmetic overflows in value\_type.

## 8.1. The iota algorithm

The iota algorithm in the C++ Standard Library [19, §29.8.12] assigns sequentially increasing values to a range, where the initial value is user-defined. Our version of the original signature reads:

```
void iota(value_type* a, size_type n, value_type v);
```

Starting at v, the function assigns consecutive integers to the elements of the range a. When specifying iota we must be careful to deal with possible overflows of the argument v.

#### 8.1.1. Formal specification of iota

The specification of iota relies on the logic function IotaGenerate [8.2] that is defined in the following listing.

```
/*@
   axiomatic IotaGenerate
{
    predicate
    IotaGenerate(value_type* a, integer n, value_type v) =
        \forall integer i; 0 <= i < n ==> a[i] == v+i;
}
*/
```

Listing 8.2: The logic definition(s) IotaGenerate

The specification of iota is shown in the following listing. It uses the logic function IotaGenerate [8.2] in order to express the postcondition increment.

Listing 8.3: Formal specification of iota

The specification of iota refers to VALUE\_TYPE\_MAX which is the maximum value of the underlying integer type (see Listing 8.1). In order to avoid integer overflows the sum v+n must not be greater than the constant VALUE\_TYPE\_MAX.

## 8.1.2. Implementation of iota

The following listing shows an implementation of the iota function.

Listing 8.4: Implementation of iota

The loop invariant increment describes that in each iteration of the loop the current value v is equal to the sum of the value v in state of function entry and the loop index i. We have to refer here to  $\at (v, Pre)$  which is the value on entering iota.

## 8.2. The accumulate algorithm

The accumulate algorithm in the C++ Standard Library [19, §29.8.2] computes the sum of an given initial value and the elements in a range. Our version of the original signature reads:

```
value_type
accumulate(const value_type* a, size_type n, value_type init);
```

The result of accumulate shall equal the value init +  $\sum_{i=0}^{n-1} a[i]$ . This implies that accumulate will return init for an empty range.

## 8.2.1. The logic function Accumulate

As in the case of count [4.47] we specify accumulate by first defining the *logic function* Accumulate [8.5] that formally defines the summation of elements in an array.

```
axiomatic Accumulate
 logic integer
 Accumulate{L}(value_type* a, integer n, integer init) =
   n \le 0? init : Accumulate(a, n-1, init) + a[n-1];
 predicate
 AccumulateBounds{L}(value_type* a, integer n, value_type init) =
    \forall integer i; 0 <= i <= n ==>
      VALUE_TYPE_MIN <= Accumulate(a, i, init) <= VALUE_TYPE_MAX;</pre>
 lemma Accumulate_Init:
    \forall value_type *a, init, integer n;
      n <= 0 ==> Accumulate(a, n, init) == init;
 lemma Accumulate_Unchanged{K,L}:
    \forall value_type *a, init, integer n;
     Unchanged(K, L) (a, n) ==>
     Accumulate(K)(a, n, init) == Accumulate(L)(a, n, init);
 lemma Accumulate_Unchanged_Shrink{K,L}:
    \forall value_type *a, init, integer m, n;
     0 <= m <= n
                            ==>
     Unchanged\{K, L\}(a, n) ==>
     Accumulate{K}(a, m, init) == Accumulate{L}(a, m, init);
 lemma AccumulateBounds_Unchanged{K,L}:
    \forall value_type *a, init, integer n;
     Unchanged(K, L)(a, n)
     AccumulateBounds{K}(a, n, init) ==>
     AccumulateBounds{L}(a, n, init);
```

Listing 8.5: The logic definition(s) Accumulate

With this definition the following equation holds for  $n \ge 0$ 

$$Accumulate(a, n, init) = init + \sum_{i=0}^{n-1} a[i]$$
 (8.1)

The predicate AccumulateBounds [8.5] that we will subsequently use in order to compactly express requirements that exclude numeric overflows while accumulating value. This predicate states that for  $0 \le i < n$  the partial sums

$$init + \sum_{k=0}^{i} a[k]$$
 (8.2)

do not overflow. If one of them did, one couldn't guarantee that the result of C implementation of accumulate equals the mathematical description of Accumulate.

#### 8.2.2. AccumulateDefault—a variant of Accumulate

The following listing shows another version of Accumulate [8.5], called AccumulateDefault [8.6].

```
axiomatic AccumulateDefault
 logic integer
 AccumulateDefault{L}(value type* a, integer n) =
   Accumulate(a, n, (value_type)(0));
 predicate
 AccumulateDefaultBounds{L} (value_type* a, integer n) =
   AccumulateBounds(a, n, (value_type)(0));
 lemma AccumulateDefault_Unchanged{K,L}:
    \forall value_type *a, integer n;
      0 <= n
     Unchanged(K,L)(a, n) ==>
     AccumulateDefault(K)(a, n) == AccumulateDefault(L)(a, n);
  lemma AccumulateDefault_Zero{L}:
    \forall value_type* a; AccumulateDefault(a, 0) == 0;
 lemma AccumulateDefault_One{L}:
    \forall value_type* a; AccumulateDefault(a, 1) == a[0];
 lemma AccumulateDefault_Next{L}:
    \forall value_type* a, integer n;
      0 <= n ==>
     AccumulateDefault(a, n+1) == AccumulateDefault(a, n) + a[n];
 lemma AccumulateDefaultBounds_Shrink{L}:
    \forall value_type* a, integer m, n;
     0 \le m \le n
     AccumulateDefaultBounds(a, m) ==> AccumulateDefaultBounds(a, m);
```

Listing 8.6: The logic definition(s) AccumulateDefault

The function AccumulateDefault uses a [0] as default value of init. Thus, for AccumulateDefault we have

AccumulateDefault(a,n) = 
$$\sum_{i=0}^{n-1} a[i]$$
 (8.3)

We will use this version for the specification of the algorithm partial\_sum [8.13].

This listing also includes additional properties of observable AccumulateDefault behavior, here given as a lemmas. It also contains the predicate AccumulateDefaultBounds [8.6] with corresponding numeric limits for the predicate AccumulateDefault.

## 8.2.3. Formal specification of accumulate

Using the logic function Accumulate and the predicate AccumulateBounds, the specification of accumulate is then as simple as shown in the following listing.

Listing 8.7: Formal specification of accumulate

## 8.2.4. Implementation of accumulate

The following listing shows an implementation of the accumulate function with corresponding loop annotations.

Listing 8.8: Implementation of accumulate

Note that loop invariant partial claims that in the *i*-th iteration step result equals the accumulated value of Equation (8.2). This depends on the property bounds of accumulate [8.7] which expresses that there is no numeric overflow when updating the variable init.

# 8.3. The inner\_product algorithm

The inner\_product algorithm in the C++ Standard Library [19, §29.8.4] computes the *inner product*<sup>25</sup> of two ranges. Our version of the original signature reads:

The result of inner\_product equals the value

$$init + \sum_{i=0}^{n-1} a[i] \cdot b[i]$$

thus, inner\_product will return init for empty ranges.

# 8.3.1. The logic function InnerProduct

As in the case of accumulate [8.7] we specify inner\_product by defining in the following listing the logic function InnerProduct that formally expresses the summation of the element-wise product of two arrays.

Predicate ProductBounds [8.9] expresses that for  $0 \le i < n$  the products

$$a[i] \cdot b[i] \tag{8.4}$$

do not overflow. Predicate InnerProductBounds [8.9], on the other hand, states that for  $0 \le i < n$  the following sums do not overflow. cc

$$init + \sum_{k=0}^{i} a[k] \cdot b[k]$$
 (8.5)

Otherwise, one cannot guarantee that the result of our implementation of inner\_product [8.11] equals the mathematical description of InnerProduct. Finally, Lemma InnerProduct\_Unchanged [8.9] states that the result of the InnerProduct only depends on the values of a [0..n-1] and b [0..n-1].

<sup>&</sup>lt;sup>25</sup>Also referred to as *dot product*, see http://en.wikipedia.org/wiki/Dot\_product

```
/ * @
 axiomatic InnerProduct
   logic integer
   InnerProduct{L}(value_type* a, value_type* b, integer n,
                    value_type init) =
     n \le 0? init : InnerProduct(a, b, n-1, init) + (a[n-1] * b[n-1]);
   predicate
   ProductBounds(value_type* a, value_type* b, integer n) =
     \forall integer i; 0 <= i < n ==>
       VALUE_TYPE_MIN <= a[i] * b[i] <= VALUE_TYPE_MAX;</pre>
   predicate
    InnerProductBounds(value_type* a, value_type* b, integer n,
                       value_type init) =
      \forall integer i; 0 <= i <= n ==>
       VALUE_TYPE_MIN <= InnerProduct(a, b, i, init) <= VALUE_TYPE_MAX;</pre>
   lemma InnerProduct_Unchanged{K,L}:
      \forall value_type *a, *b, init, integer n;
        Unchanged(K,L)(a, n) ==>
       Unchanged(K,L)(b, n) ==>
        InnerProduct(K)(a, b, n, init) == InnerProduct(L)(a, b, n, init);
*/
```

Listing 8.9: The logic definition(s) InnerProduct

## 8.3.2. Formal specification of inner product

Using the logic function InnerProduct [8.9], we specify inner\_product as shown in the following listing. Note that we needn't require that a and b are separated.

```
\valid_read(a + (0..n-1));
 requires valid:
 requires valid:
                    \valid_read(b + (0..n-1));
 requires bounds: ProductBounds(a, b, n);
 requires bounds:
                    InnerProductBounds(a, b, n, init);
 assigns
                     \nothing;
                    \result == InnerProduct(a, b, n, init);
 ensures result:
 ensures unchanged: Unchanged{Old, Here} (a, n);
 ensures unchanged: Unchanged{Old, Here} (b, n);
value_type
inner_product(const value_type* a, const value_type* b, size_type n,
              value_type init);
```

Listing 8.10: Formal specification of inner\_product

## 8.3.3. Implementation of inner\_product

The following listing shows an implementation of inner\_product with corresponding loop annotations.

Listing 8.11: Implementation of inner\_product

Note that the loop invariant inner claims that in the *i*-th iteration step the current value of init equals the accumulated value of Equation (8.5). This depends of course on the properties bounds in the contract of inner\_product [8.10], which express that there is no arithmetic overflow when computing the updates of the variable init.

# 8.4. The partial\_sum algorithm

The partial\_sum algorithm in the C++ Standard Library [19, §29.8.6] computes the sum of a given initial value and the elements in a range. Our version of the original signature reads:

```
size_type
partial_sum(const value_type* a, size_type n, value_type* b);
```

After executing the function partial\_sum the array b[0..n-1] holds the following values

$$b[i] = \sum_{k=0}^{i} a[k]$$
 (8.6)

for  $0 \le i < n$ . Equations (8.6) and (8.3) suggest that we define in the following listing the ACSL predicate PartialSum by using the logic function AccumulateDefault [8.6].

```
/ * @
 axiomatic PartialSum
   predicate
   PartialSum{L} (value_type* a, integer n, value_type* b) =
     \forall integer i; 0 <= i < n ==> b[i] == AccumulateDefault(a, i+1);
   lemma PartialSum_Section{K}:
     \forall value_type *a, *b, integer m, n;
     0 <= m <= n
     PartialSum{K}(a, n, b) ==>
     PartialSum{K}(a, m, b);
   lemma PartialSum_Step{L}:
     \forall value_type *a, *b, integer n;
       0 \le n
       PartialSum(a, n, b)
       b[n] == AccumulateDefault(a, n+1) ==>
       PartialSum(a, n+1, b);
   lemma PartialSum_Unchanged{K,L}:
     \forall value_type *a, *b, integer n;
       0 <= n ==>
       PartialSum{K}(a, n, b) ==>
       Unchanged{K, L}(a, n)
       Unchanged(K, L)(b, n)
       PartialSum{L}(a, n, b);
   lemma PartialSum_One{L}:
     \forall value_type *a, *b, integer n;
       b[0] == AccumulateDefault(a, 1) ==> PartialSum(a, 1, b);
```

Listing 8.12: The logic definition(s) PartialSum

## 8.4.1. Formal specification of partial\_sum

The specification of partial\_sum [8.13] demands that the arrays a[0..n-1] and b[0..n-1] are separated, that is, they do not overlap. Note that is a stricter requirement than in the case of the original C++ version of partial\_sum, which allows that a equals b, thus allowing the computation of partial sums in place.

Listing 8.13: Formal specification of partial\_sum

## 8.4.2. Implementation of partial\_sum

The following listing shows an implementation of partial\_sum with corresponding loop annotations.

```
size_type
partial_sum(const value_type* a, size_type n, value_type* b)
  if (0u < n) {
    //@ assert limits:
                             AccumulateDefaultBounds(a, n);
    b[0u] = a[0u];
    //@ assert unchanged: Unchanged{Pre,Here}(a, n);
//@ assert limits: AccumulateDefaultBounds(a, n);
    //@ assert accumulate: b[0] == AccumulateDefault(a, 1);
    //@ assert partialsum: PartialSum(a, 1, b);
    / * @
       loop invariant bound:
                                      1 \le i \le n;
       loop invariant unchanged: Unchanged{Pre, Here} (a, n);
       loop invariant accumulate: b[i-1] == AccumulateDefault(a, i);
       loop invariant limits: AccumulateDefaultBounds(a, n);
       loop invariant partialsum: PartialSum(a, i, b);
       loop assigns i, b[1..n-1];
       loop variant n - i;
    */
    for (size_type i = 1u; i < n; ++i) {</pre>
      b[i] = b[i - 1u] + a[i];
      //@ assert unchanged: Unchanged{LoopCurrent, Here}(b, i);
//@ assert unchanged: Unchanged{LoopCurrent, Here}(a, n);
      //@ assert partialsum: b[i] == AccumulateDefault(a, i+1);
      //@ assert limits:
                             AccumulateDefaultBounds(a, n);
  }
  return n;
```

Listing 8.14: Implementation of partial\_sum

# 8.5. The adjacent\_difference algorithm

The adjacent\_difference algorithm in the C++ Standard Library [19, §29.8.11] computes the differences of adjacent elements in a range. Our version of the original signature reads:

```
size_type
adjacent_difference(const value_type* a, size_type n, value_type* b);
```

After executing the function adjacent\_difference the array b[0..n-1] holds the following values

$$b[0] = a[0]$$

$$b[1] = a[1] - a[0]$$

$$\vdots$$

$$b[n-1] = a[n-1] - a[n-2]$$
(8.7)

## 8.5.1. The predicate AdjacentDifference

We start with the definition of the logic function Difference whose definition is shown in the following listing.

Listing 8.15: The logic definition(s) Difference

Building on top of Difference we now introduce the predicate AdjacentDifference. We also provide the predicate AdjacentDifferenceBounds that captures conditions that prevent numeric overflows while computing differences of the form a[i] - a[i-1].

```
axiomatic AdjacentDifference
 predicate
  AdjacentDifference {L} (value_type* a, integer n, value_type* b) =
    \forall integer i; 0 <= i < n ==> b[i] == Difference(a, i);
  predicate
  AdjacentDifferenceBounds(value_type* a, integer n) =
    \forall integer i; 1 <= i < n ==>
     VALUE_TYPE_MIN <= Difference(a, i) <= VALUE_TYPE_MAX;</pre>
  lemma AdjacentDifference_Step{K,L}:
    \forall value_type *a, *b, integer n;
      AdjacentDifference(K)(a, n, b)
      Unchanged(K,L)(b, n)
      Unchanged(K,L)(a, n+1)
                                          ==>
      \at(b[n], L) == Difference\{L\}(a, n) ==>
      AdjacentDifference(L)(a, n+1, b);
  lemma AdjacentDifference_Section{K}:
    \forall value_type *a, *b, integer m, n;
      0 <= m <= n
      AdjacentDifference(K)(a, n, b) ==>
      AdjacentDifference(K)(a, m, b);
```

Listing 8.16: The logic definition(s) AdjacentDifference

Lemmas AdjacentDifference\_Step [8.16] and AdjacentDifference\_Section [8.16] will help us later in the verification of adjacent\_difference\_inv [8.22].

## 8.5.2. Formal specification of adjacent\_difference

Using the predicates AdjacentDifference [8.16] and AdjacentDifferenceBounds [8.16] we can provide in the following listing a concise formal specification of adjacent\_difference. As in the case of the specification of partial\_sum [8.13] we require that the arrays a [0..n-1] and b [0..n-1] are separated.

Listing 8.17: Formal specification of adjacent\_difference

## 8.5.3. Implementation of adjacent\_difference

The following listing shows an implementation of adjacent\_difference with corresponding loop annotations. In order to achieve the verification of the loop invariant difference we rely on

- the assertions bound and difference
- the lemmas AdjacentDifference\_Step [8.16] and AdjacentDifference\_Section [8.16]
- a statement contract with the two postconditions labeled as step

```
size_type
adjacent_difference(const value_type* a, size_type n, value_type* b)
  if (0u < n) {
   b[0u] = a[0u];
    / * a
       loop invariant index: 1 <= i <= n;
loop invariant unchanged: Unchanged{Pre, Here} (a, n);</pre>
       loop invariant difference: AdjacentDifference(a, i, b);
       loop assigns i, b[1..n-1];
       loop variant n - i;
    for (size_type i = 1u; i < n; ++i) {</pre>
      //@ assert bound: VALUE_TYPE_MIN <= Difference(a, i) <= VALUE_TYPE_MAX;</pre>
        assigns b[i];
        ensures step: Unchanged{Old, Here}(b, i);
        ensures step: b[i] == Difference(a, i);
      b[i] = a[i] - a[i - 1u];
      //@ assert difference: AdjacentDifference(a, i+1, b);
  }
  return n;
```

Listing 8.18: Implementation of adjacent\_difference

# 8.6. Inverting partial\_sum and adjacent\_difference

In this section we show that under appropriate preconditions the algorithms partial\_sum and adjacent\_difference are inverse to each other.

## 8.6.1. Inverting partial\_sum

Let a[0..n-1] and b[0..n-1] be the respective input and output of partial\_sum. We have in other words

$$b[0] = a[0]$$

$$b[1] = a[0] + a[1]$$

$$\vdots$$

$$b[n-1] = a[0] + a[1] + ... + a[n-1]$$

If we apply now the algorithm adjacent\_difference to b[0..n-1], then we find for its output a' [0..n-1]

```
a'[0] = b[0] = a[0]

a'[1] = b[1] - b[0] = a[1]

\vdots

a'[n-1] = b[n-1] - b[n-2] = a[n-1]
```

Before we start show the ACSL lemmas of our claim, we present the predicate DefaultBounds [8.19] in order to express that the values in the input (and output!) array a [0..n-1] do not overflow.

```
/*@
    axiomatic DefaultBounds
{
    predicate
    DefaultBounds{L} (value_type* a, integer n) =
        \forall integer i; 0 <= i < n ==>
        VALUE_TYPE_MIN <= a[i] <= VALUE_TYPE_MAX;
}
*/</pre>
```

Listing 8.19: The logic definition(s) DefaultBounds

Lemma PartialSum\_Inverse from the following listing expresses as ACSL lemmas that the algorithms partial\_sum and adjacent\_difference are inverse to each other.

```
/ * @
 axiomatic NumericInverse
   lemma PartialSum_Inverse:
      \forall value_type *a, *b, integer n;
                             ==>
       PartialSum(a, n, b) ==>
       AdjacentDifference(b, n, a);
   lemma AdjacentDifference_Inverse:
     \forall value_type *a, *b, integer n;
       0 \le n
                                     ==>
       AdjacentDifference(a, n, b) ==>
       PartialSum(b, n, a);
   lemma AdjacentDifference_InverseBounds:
     \forall value_type *a, *b, integer n;
       0 \le n
       DefaultBounds(a, n)
       AdjacentDifference(a, n, b) ==>
       AccumulateDefaultBounds(b, n);
```

Listing 8.20: The logic definition(s) NumericInverse

The following listing now shows C function partial\_sum\_inv (both the contract and the implementation). This function calls first partial\_sum and then adjacent\_difference.

```
/ * @
 requires valid:
                     \valid(a + (0..n-1));
 requires valid:
                     \valid(b + (0..n-1));
                     \separated(a + (0..n-1), b + (0..n-1));
 requires sep:
 requires bounds: AccumulateDefaultBounds(a, n);
                    DefaultBounds(a, n);
 requires bounds:
 assigns
                     a[0..n-1], b[0..n-1];
 ensures unchanged: Unchanged{Pre, Here} (a, n);
void
partial_sum_inv(value_type* a, size_type n, value_type* b)
 partial_sum(a, n, b);
 adjacent_difference(b, n, a);
```

Listing 8.21: Implementation of partial\_sum\_inv

The contract of partial\_sum\_inv formulates preconditions that shall guarantee that during the computation neither arithmetic overflows (property bounds) nor unintended aliasing of arrays (property sep) occur. Under these precondition, Frama-C shall verify that the final call to adjacent\_difference [8.17] just restores the original contents of a [0..n-1] that we supplied for the initial call to partial\_sum [8.13].

## 8.6.2. Inverting adjacent\_difference

After executing the function  $adjacent\_difference$  [8.17] on the input array a[0..n-1] the output array b[0..n-1] holds the following values

```
b[0] = a[0]
b[1] = a[1] - a[0]
\vdots
b[n-1] = a[n-1] - a[n-2]
```

If we call now partial\_sum with the array b[0..n-1] as input, then we obtain for its output a' [0..n-1]

```
a'[0] = b[0] = a[0]

a'[1] = b[0] + b[1] = a[1]

\vdots

a'[n-1] = b[0] + b[1] + ... + b[n-1] = a[n-1]
```

which means that applying partial\_sum [8.13] on the output of adjacent\_difference produces the original input. Lemma AdjacentDifference\_Inverse [8.20] expresses this property as a lemma.

The function adjacent\_difference\_inv [8.22] first calls adjacent\_difference and then partial\_sum. The contract of this function formulates preconditions that shall guarantee that during the computation neither arithmetic overflows (property bound) nor unintended aliasing of arrays (property sep) occur. In order to improve the automatic verification of adjacent\_difference\_inv we also use lemma Unchanged\_Transitive [7.3]. Lemma AdjacentDifference\_InverseBounds [8.20] simplifies the verification of the precondition bounds of partial\_sum.

Listing 8.22: Implementation of adjacent\_difference\_inv

# Part IV. Sorting algorithms

# 9. Heap Algorithms

The heap algorithms of the C++ Standard Library [19, 28.7.7] were already part of *ACSL by Example* from 2010–2012. In this chapter we re-introduce them and discuss—based on the bachelor thesis of one of the authors—the verification efforts in some detail [23].

The C++ standard<sup>26</sup> introduces the concept of a *heap* as follows:

- 1. A *heap* is a particular organization of elements in a range between two random access iterators [a,b). Its two key properties are:
  - a) There is no element greater than \*a in the range and
  - b) \*a may be removed by pop\_heap(), or a new element added by push\_heap(), in  $O(\log(N))$  time.
- 2. These properties make heaps useful as priority queues.
- 3. make\_heap() converts a range into a heap and sort\_heap() turns a heap into an increasing sequence.

Figure 9.1 gives an overview on the five heap algorithms by means of an example. Algorithms, which in a typical implementation are in a caller-callee relation, have the same color.

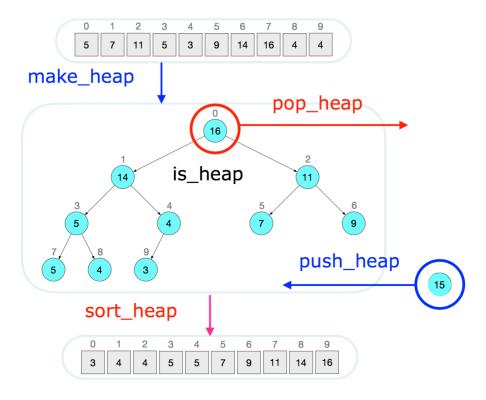


Figure 9.1.: Overview on heap algorithms

<sup>&</sup>lt;sup>26</sup>See http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2011/n3242.pdf

Roughly speaking, the algorithms from Figure 9.1 have the following behavior.

- In §9.1 we briefly recapitulate basic heap concepts.
- In §9.2 we show how these heap concepts can be described in ACSL.
- In §9.3 we verify two auxiliary heap functions.
- The algorithms is\_heap\_until and is\_heap from §9.4 and §9.5 allow to test at run time whether a given array is arranged as a heap
- The algorithm push\_heap from §9.7 adds an element to a given heap in such a way that resulting array is again a heap
- The algorithm pop\_heap from §9.8, on the other hand, *removes* an element from a given heap in such a way that the resulting array is again a heap
- The algorithm make\_heap from §9.9 rearranges a given array into a heap.
- Finally, the algorithm sort\_heap from §9.10 sorts a heap into an increasing range.

In §9.1 we present in more detail how heaps are defined. The ACSL logic functions and predicate that formalize the basic heap properties of heaps are introduced in §9.2.

# 9.1. Basic heap concepts

The description of heaps at the beginning of this chapter is of course fairly vague. It outlines only the most important properties of various operations but does not clearly state what specific and verifiable properties a range must satisfy such that it may be called a heap.

A more detailed description can be found in the Apache C++ Standard Library User's Guide:<sup>27</sup>

A heap is a binary tree in which every node is larger than the values associated with either child. A heap and a binary tree, for that matter, can be very efficiently stored in a vector, by placing the children of node i at positions 2i + 1 and 2i + 2.

We have, in other words, the following basic relations between indices of a heap:

left child for index 
$$i$$
 child<sub>1</sub>:  $i \mapsto 2i + 1$  (9.1)

right child for index 
$$i$$
 child<sub>r</sub>:  $i \mapsto 2i + 2$  (9.2)

and

parent index for index 
$$i$$
 parent :  $i \mapsto \frac{i-1}{2}$  (9.3)

These function are related through the following two equations that hold for all integers i. Note that in ACSL integer division rounds towards zero (cf. [14, §2.2.4]).

$$parent(child_1(i)) = i (9.4)$$

$$parent(child_r(i)) = i$$
 (9.5)

In order to given an example for the usefulness of heaps we consider the following multiset of integers X.

$$X = \{2, 3, 3, 3, 6, 7, 8, 8, 9, 11, 13, 14\} \tag{9.6}$$

<sup>&</sup>lt;sup>27</sup>See http://stdcxx.apache.org/doc/stdlibug/14-7.html

Figure 9.2 shows how the multiset from Equation (9.6) can, according to the parent-child relations of a heap, be represented as a tree.

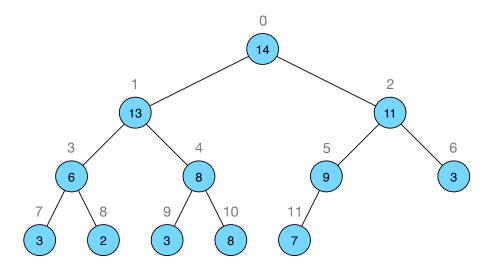


Figure 9.2.: Tree representation of the multiset X

The numbers outside the nodes in Figure 9.2 are the indices at which the respective node value is stored in the underlying array of a heap (cf. Figure 9.3).

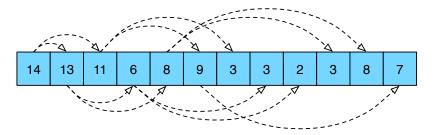


Figure 9.3.: Underlying array of a heap

It is important to understand that there can be various representations of a multiset as a heap. Figure 9.4, for example, arranges the elements of the multiset X as a heap in a different tree.

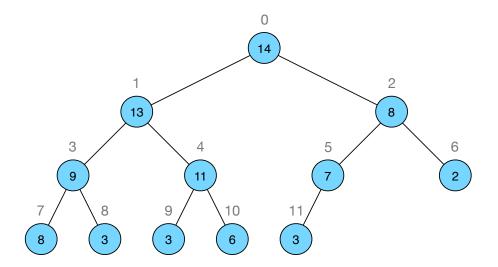


Figure 9.4.: An alternative representation of the multiset X

Figure 9.5 then shows the underlying array that corresponds to the tree in Figure 9.4.

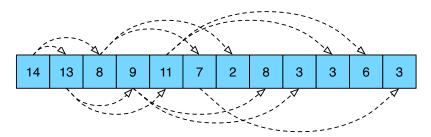


Figure 9.5.: Underlying array of the alternative representation

# 9.2. Representation of heap concepts in ACSL

The following listing shows three logic functions <code>HeapLeft</code>, <code>HeapRight</code> and <code>HeapParent</code> that correspond to the definitions (9.1), (9.2) and (9.3), respectively. This listing also contains a number of ACSL lemma that state among other things that

- the HeapParent function satisfies the equations (9.4) and (9.5) and
- the function HeapParent is the *left inverse* to the HeapLeft and HeapRight functions. 28

```
/ * @
 axiomatic HeapNodes
   logic integer HeapLeft(integer i) = 2*i + 1;
   logic integer HeapRight(integer i) = 2*i + 2;
   logic integer HeapParent(integer i) = (i-1) / 2;
   lemma HeapParent_Zero{L}: HeapParent(0) == 0;
   lemma Heap_ParentLeft:
     \forall integer p; 0 <= p ==> HeapParent(HeapLeft(p)) == p;
   lemma Heap_ParentRight:
     \forall integer p; 0 <= p ==> HeapParent(HeapRight(p)) == p;
   lemma Heap_ParentChild:
     \forall integer c, p;
       0 < c ==> HeapParent(c) == p ==>
        (c == HeapLeft(p) || c == HeapRight(p));
   lemma Heap_Childs:
     \forall integer a, b;
       0 < a => 0 < b
                                        ==>
       HeapParent(a) == HeapParent(b) ==>
       (a == b \mid \mid a+1 == b \mid \mid a == b+1);
   lemma Heap_ParentBounds:
     \forall integer c; 0 < c ==> 0 <= HeapParent(c) < c;
   lemma Heap_ChildBounds:
     \forall integer p; 0 <= p ==> p < HeapLeft(p) < HeapRight(p);
```

Listing 9.6: The logic definition(s) HeapNodes

<sup>&</sup>lt;sup>28</sup>See Section Left and right inverses at http://en.wikipedia.org/wiki/Inverse\_function

On top of these basic definitions we introduce the predicate Heap [9.7]. The fact that element at index 0 of a (maximum) heap, is always the largest element of the heap is express by Lemma Heap\_Maximum [9.7] using the predicate MaxElement [5.2].

```
axiomatic Heap
  predicate
  Heap{L} (value_type* a, integer n) =
    \forall integer i; 0 < i < n ==> a[i] <= a[HeapParent(i)];
  lemma Heap_Maximum{L} :
    \forall value_type* a, integer n;
      0 < n ==> Heap(a, n) ==> MaxElement(a, n, 0);
 lemma Heap_Shrink{L}:
   \forall value_type *a, integer m, n;
     0 \le m \le n = Meap(a, n) = Meap(a, m);
 lemma Heap_Unchanged{K,L}:
   \forall value_type *a, integer n;
     0 \le n = M  Unchanged{K,L}(a, n) = Meap{K}(a, n) = Heap{L}(a, n);
 predicate
 HeapCompatible{L} (value_type* a, integer n, integer m, value_type v) =
   (0 \le m \le n)
                                                           & &
   (0 <= HeapParent(m)</pre>
                          ==> v <= a[HeapParent(m)])
                                                           & &
   (\texttt{HeapLeft} \, (\texttt{m}) \quad < \quad \texttt{n} \quad ==> \quad \texttt{a} \, [\texttt{HeapLeft} \, (\texttt{m}) \, ] \quad <= \ \texttt{v})
   (HeapRight(m) < n
                          ==> a[HeapRight(m)] <= v);
  lemma HeapCompatible_Update{K,L}:
    \forall value_type *a, v, integer m, n;
       0 \le m \le n
       Heap\{K\}(a, n)
                                           ==>
       HeapCompatible{K} (a, n, m, v)
                                         ==>
       ArrayUpdate(K,L)(a, n, m, v)
                                           ==>
       Heap{L}(a, n);
```

Listing 9.7: The logic definition(s) Heap

The lemmas Heap\_Shrink and Heap\_Unchanged formulate simple rules to "transfer" the heap property from an array to a related (sub-)array.

The predicate HeapCompatible expresses under which conditions the changing of an individual heap element does maintain the heap property. This predicate together with lemma HeapCompatible\_Update will be useful in the verification of the algorithms push\_heap [9.22] and pop\_heap [9.29].

# 9.3. The auxiliary functions heap\_parent and heap\_child

This section features the two auxiliary heap functions We start with the function heap\_parent [9.8] which is in principle the C counterpart of the ACSL function HeapParent [9.6]. We say in principle because our definition avoids the border case of the parent node of 0.

Listing 9.8: Formal specification of heap\_parent

Neither do we provide exact C-counterparts for the logic functions HeapLeft [9.6] and HeapRight [9.6]. In fact, we have encountered only one situation (in the implementation of  $\texttt{pop\_heap}$  [9.29]), where such functions would have been useful. However, what we really need in  $\texttt{pop\_heap}$  is to determine for a given index p a child index c where the maximum of the respective values a [HeapLeft (p)] and a [HeapRight (p)] resides. This computation is performed by the function  $\texttt{heap\_child}$  [9.9].

```
/ * @
   requires bounds: 0 <= p < n;</pre>
  requires valid: \valid(a + (0..n-1));
assigns \nothing;
   ensures bounds: p < \result <= n;</pre>
   ensures parent: \result < n</pre>
                                     ==> p == HeapParent(\result);
   ensures parent: \result < n-1
                                      ==> HeapLeft(p) < n-1;
  ensures parent: \result < n-1 ==> HeapRight(p) < n;
   ensures left: HeapLeft(p) < n ==> \result < n;</pre>
  ensures right: HeapRight(p) < n ==> \result < n;</pre>
  ensures max: HeapLeft(p) < n ==> a[HeapLeft(p)] <= a[\result];
ensures max: HeapRight(p) < n ==> a[HeapRight(p)] <= a[\result];</pre>
   ==> n <= HeapRight(p);
size_type
heap_child(const value_type* a, size_type n, size_type p);
```

Listing 9.9: Formal specification of heap\_child

Note that in the implementation of heap\_child [9.10] we explicitly handle the case that the computation of child indices could overflow. If this occurs, the function heap\_child returns n.

```
size_type
heap_child(const value_type* a, size_type n, size_type p)
{
   if (p + lu <= n - p - lu) {
      const size_type left = 2u * p + lu;
      const size_type right = left + lu;

      if (right < n) {
        // case of two children: select child with maximum value
        return a[right] <= a[left] ? left : right;
      }
      else {
        // at most one child that comes before n-1 can exist
        return left;
      }
    else {
      return n;
    }
}</pre>
```

Listing 9.10: Implementation of heap\_child

## 9.4. The is\_heap\_until algorithm

The is\_heap\_until algorithm of the C++ Standard Library [19, §28.7.7.5] works on generic sequences. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type is_heap_until(const value_type* a, int n);
```

The algorithm is\_heap\_until returns the largest range of an array, beginning at the first position, where it still satisfies the heap properties we have semi-formally described in the beginning of this chapter. In particular, is\_heap\_until will return the size of the array, called with the array argument from Figure 9.3.

## 9.4.1. Formal specification of is\_heap\_until

The specification of is\_heap\_until is shown in the following listing. The index \result returned by is\_heap\_until indicates that the array a [0..\result-1] is a heap. In addition the postcondition last states, that for all indices greater than or equal to i the predicate Heap [9.7] is not satisfied.

Listing 9.11: Formal specification of is\_heap\_until

## 9.4.2. Implementation of is\_heap\_until

The following listing shows one way to implement the function is\_heap\_until.

```
size_type
is_heap_until(const value_type* a, size_type n)
  size_type parent = 0u;
    loop invariant bound: 0 <= parent < child <= n+1;</pre>
    loop invariant parent: parent == HeapParent(child);
loop invariant heap: Heap(a, child);
    loop invariant not_heap: a[parent] < a[child] ==> \forall integer i; child < i</pre>
        <= n ==> !Heap(a, i);
    loop assigns child, parent;
    loop variant n - child;
  for (size_type child = 1u; child < n; ++child) {</pre>
    if (a[parent] < a[child]) {</pre>
      return child;
    if ((child % 2u) == 0u) {
      ++parent;
  }
  return n;
```

Listing 9.12: Implementation of is\_heap\_until

The algorithms starts at the index 1, which is the smallest index, where a child node of the heap might reside. The algorithms checks for each (child) index whether the value at the corresponding parent index is greater than or equal to the value at the child index. If the value at a parent index is smaller than the value

at a (child) index, is\_heap\_until returns the (child) index. Otherwise, if the algorithm iterates through the whole array, the size of the array is returned.

# 9.5. The is\_heap algorithm

The is\_heap algorithm of the C++ Standard Library [19, §28.7.7.5] works on generic sequences. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
bool is_heap(const value_type* a, int n);
```

The algorithm is\_heap checks whether a given array satisfies the heap properties we have semi-formally described in the beginning of this chapter. In particular, is\_heap will return **true** called with the array argument from Figure 9.3.

## 9.5.1. Formal specification of is\_heap

The specification of is\_heap is shown in the following listing. The function returns **true** if and only if the input array satisfies the predicate Heap [9.7].

Listing 9.13: Formal specification of is\_heap

## 9.5.2. Implementation of is\_heap

Our implementation of is\_heap in the following listing utilizes the function is\_heap\_until [9.11].

```
bool
is_heap(const value_type* a, size_type n)
{
   return is_heap_until(a, n) == n;
}
```

Listing 9.14: Implementation of is\_heap

# 9.6. Reorderings and fluctuations

One particular challenge posed by heap algorithms is that while temporarily causing *small fluctuations* in the number of values within an array they essentially only *reorder* it, that is they leave the multiset of its values unchanged. In this section we will introduce various predicates that will help us mastering this challenge.

## 9.6.1. Formalizing small fluctuations

The predicate MultisetAdd in the following listing expresses that the number of occurrences of a specific element in an array has increased by one between two program points K and L.

```
/ * @
 axiomatic MultisetOperations
   predicate
   MultisetAdd{K,L} (value_type* a, integer n, value_type v) =
     Count\{L\}(a, 0, n, v) == Count\{K\}(a, 0, n, v) + 1;
   MultisetMinus{K,L} (value_type* a, integer n, value_type v) =
     MultisetAdd{L,K}(a, n, v);
   MultisetRetain{K,L} (value_type* a, integer n, value_type v) =
     Count\{K\}(a, 0, n, v) == Count\{L\}(a, 0, n, v);
   lemma MultisetAdd_Distinct{K,L}:
      \forall value_type *a, v, integer m, n;
       0 <= m < n
       At \{K\} (a, m) != v
                                          ==>
       At\{L\} (a, m) == v
                                          ==>
       MultisetReorder(K,L)(a, 0, m)
                                          ==>
       MultisetReorder(K,L)(a, m+1, n) ==>
       MultisetAdd(K,L)(a, n, v);
   lemma MultisetMinus_Distinct{K,L}:
      \forall value_type *a, v, integer m, n;
       0 <= m < n
       At\{K\}(a, m) == v
       At\{L\}(a, m) != v
                                          ==>
       MultisetReorder(K,L)(a, 0, m)
                                         ==>
       MultisetReorder(K,L)(a, m+1, n) ==>
       MultisetMinus{K,L}(a, n, v);
   lemma MultisetRetain Distinct{K,L}:
      \forall value_type *a, v, integer m, n;
       0 <= m < n
       At \{K\} (a, m) != v
       At \{L\} (a, m) != v
                                         ==>
       MultisetReorder(K, L) (a, 0, m)
                                         ==>
       MultisetReorder(K,L)(a, m+1, n) ==>
       MultisetRetain{K,L}(a, n, v);
```

Listing 9.15: The logic definition(s) MultisetOperations

The predicate MultisetMinus, on the other hand, expresses that the number of occurrences of a specific element in an array has decreased by one between two program points K and L. Note that we have defined MultisetMinus by calling MultisetAdd with the labels reversed. Finally, the predicate MultisetRetain expresses that a the number of occurrences of a given value does not change between two program points. In order to guide the automatic provers, we also provide some lemmas that formalize conditions under which the respective predicates hold.

Using the predicate MultisetReorder [7.55] and the logic function At [7.49] we also formulate a few simple lemmas that describe when the predicates from Listing MultisetOperations [9.15] hold.

## 9.6.2. Simple properties of fluctuations

The predicate MultisetRetainRest [9.16] uses MultisetRetain [9.15] in order to express that all values of an array, except the two given values u and v, occur as often in program point K and program point L.

The lemmas in this listing express conditions under which small fluctuations—expressed by the predicates MultisetAdd [9.15] and MultisetMinus [9.15]—in the number of occurrences between three program points even with each other.

```
/ * @
 axiomatic MultisetRetainRest
   predicate
   MultisetRetainRest{K,L} (value_type* a, integer n, value_type v, value_type w) =
     \forall value_type x;
       x != v ==> x != w ==> MultisetRetain{K, L}(a, n, x);
   lemma Multiset_AddMinusRetain{K,L,M}:
     \forall value_type *a, u, integer n;
       MultisetAdd{K,L}(a, n, u)
       MultisetMinus{L,M}(a, n, u) ==>
       MultisetRetain{K,M}(a, n, u);
   lemma Multiset_MinusAddRetain{K,L,M}:
     \forall value_type *a, u, integer n;
       MultisetMinus{K,L}(a, n, u) ==>
       MultisetAdd{L,M}(a, n, u)
       MultisetRetain{K,M}(a, n, u);
   lemma Multiset_AddMinusRetainReorder{K,L,M}:
     \forall value_type *a, u, v, integer n;
       11 != V
       MultisetAdd{K,L}(a, n, u)
       MultisetMinus{K,L}(a, n, v)
       MultisetRetainRest(K,L)(a, n, u, v)
       MultisetAdd{L,M}(a, n, v)
       MultisetMinus{L,M}(a, n, u)
       MultisetRetainRest(L,M)(a, n, v, u)
       MultisetReorder(K, M) (a, n);
```

Listing 9.16: The logic definition(s) MultisetRetainRest

## 9.6.3. Combining fluctuations

Small fluctuations are so prevalent in the central heap algorithms push\_heap [9.22] and pop\_heap [9.29] that it is worthwhile to introduce another predicate to concisely capture this feature. We refer to this predicate as MultisetParity [9.17] because it describes the situation where the number of occurrences

- of the first of two given values increases by one
- while that of the second value decreases by one
- and the remaining values retain their respective number of occurrences.

With this predicate we can formulate several lemmas that describe useful combinations of reorderings and fluctuations. For example, lemma MultisetParity\_MultisetReorder [9.17] describes the situation where two fluctuation cancel each other and consequently establish a reordering of an array.

```
/ * @
 axiomatic MultisetParity
   predicate
     MultisetParity(K,L)(value_type* a, integer n, value_type u, value_type v) =
       MultisetAdd{K,L}(a, n, u)
                                  & &
       MultisetMinus{K,L}(a, n, v) &&
       MultisetRetainRest(K,L)(a, n, u, v);
   lemma MultisetParity UnchangedFirst{K,L,M}:
     \forall value_type *a, u, v, integer n;
       u != v
       Unchanged(K,L)(a, n)
       MultisetParity{L,M}(a, n, u, v) ==>
       MultisetParity{K,M}(a, n, u, v);
   lemma MultisetParity_UnchangedSecond{K, L, M}:
     \forall value_type *a, u, v, integer n;
       u != v
       MultisetParity(K,L)(a, n, u, v)
       Unchanged(L,M)(a, n)
       MultisetParity{K,M}(a, n, u, v);
   lemma MultisetParity_MultisetReorder{K, L, M}:
     \forall value_type *a, u, v, integer n;
       u != v
                                         ==>
       MultisetParity(K,L)(a, n, u, v) ==>
       MultisetParity(L,M)(a, n, v, u) ==>
       MultisetReorder(K, M) (a, n);
   lemma MultisetParity_Combined{K,L,M}:
      \forall value_type *a, u, v, w, integer n;
       u != v
       u != w
                                         ==>
       v != w
       MultisetParity{K,L}(a, n, u, v) ==>
       MultisetParity(L,M)(a, n, w, u) ==>
       MultisetParity(K,M)(a, n, w, v);
 }
*/
```

Listing 9.17: The logic definition(s) MultisetParity

### 9.6.4. How do fluctuations arise?

The simplest way to creation a small fluctuation is to update an array element with a different value. Thus, similar to the predicate ArrayUpdate [7.2] we introduce predicate MultisetUpdate [9.18] which in turn relies on MultisetParity [9.17]. Lemma ArrayUpdate\_MultisetUpdate [9.18] then formalizes the claim that updating an array element with a different value creates a small fluctuation.

Listing 9.18: The logic definition(s) MultisetUpdate

# 9.7. The push\_heap algorithm

The push\_heap algorithm assumes that the first n-1 elements of an array of length n form already a heap and adds to it the element a [n-1].

Whereas in the C++ Standard Library [19, §28.7.7.1] push\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for push\_heap

```
void push_heap(value_type* a, size_type n);
```

The push\_heap algorithm expects that n is greater or equal than 1. It also assumes that the array a [0..n-2] forms a heap. The algorithms then *rearranges* the array a [0..n-1] such that the resulting array is a heap. In this sense the algorithm *pushes* the element a [n-1] on the given heap.

## 9.7.1. Formal specification of push\_heap

The following listing shows our specification of push\_heap. Note that the post condition reorder states that push\_heap is not allowed to change the number of occurrences of an array element. Without this post condition, an implementation that assigns 0 to each array element would satisfy the post condition heap—surely not what a user of the algorithm has in mind.

Listing 9.19: Formal specification of push\_heap

Pushing an element on a heap usually *rearranges* several elements of the array (cf. Figures 9.20 and 9.21). We therefore must be able express that push\_heap only reorders the elements of the array. We re-use the predicate MultisetReorder [7.55] to formally describe this property.

## 9.7.2. Implementation of push\_heap

The following two figures illustrate how push\_heap affects an array, which is shown as a tree with blue and grey nodes, representing heap and non-heap nodes, respectively. Figure 9.20 shows the heap from Figure 9.2 together with the additional element 12 that is to be pushed on the heap. To be quite clear about it: the new element 12 is the last element of the array and not yet part of the heap.

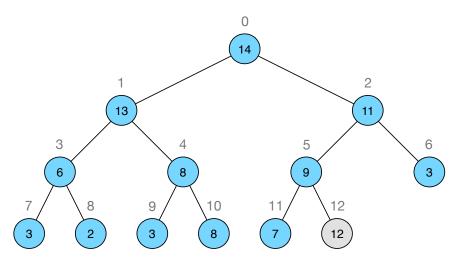


Figure 9.20.: Example heap before the call of push\_heap

Figure 9.21 shows the array after the call of push\_heap. We can see that now all nodes are colored in blue, i.e., they are part of the heap. The dashed nodes highlight which heap nodes have changed during the function call. The element to be pushed into the heap is now at its correct position. The arrows indicate the *cyclic reordering* of array elements to achieve the desired result.

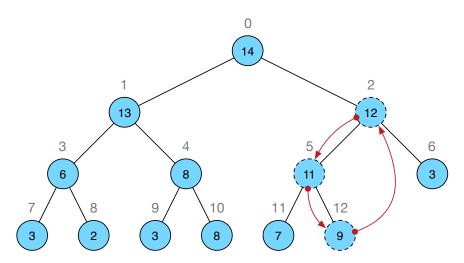


Figure 9.21.: Example heap after the call of push\_heap

Verifying our implementation of push\_heap [9.22] is a non-trivial undertaking. In order to better structure our discussion we refer to the central loop of the algorithm as the *main act* and the parts before and after it as *prologue* and *epilogue*.

We can establish the heap property of push\_heap [9.19] already in the prologue. The reorder property, however, only holds at the function boundaries and is violated while push\_heap manipulates the array. To be more precise: We loose the reorder property in the prologue and formally capture and maintain a slightly more general property in the main act. From this we will recover the reorder property in the epilogue.

We will illustrate the changes to the underlying array after each stage by figures of the array in tree form, based on the push\_heap example from Figure 9.20.

```
void
push_heap(value_type* a, size_type n)
 if (1u < n) { // otherwise nothings needs to be done</pre>
   size_type c = n - 1u;
   size_type p = heap_parent(c);
   //@ assert parent: p == HeapParent(c);
   if (a[p] < a[c]) {
     const value_type v = a[c];
     a[c] = a[p];
                        ArrayUpdate{Pre, Here}(a, n, c, a[p]);
     //@ assert update:
     //@ assert heap:
                         Heap(a, n);
     //@ assert reorder: MultisetParity{Pre,Here}(a, n, a[p], v);
       loop invariant bound:
                                  0 \le c \le n-1;
       loop invariant heap:
                                 Heap(a, n);
       loop invariant less:
                                 a[c] < v;
                                 p == HeapParent(c);
       loop invariant parent:
       loop invariant reorder:
                                 MultisetParity{Pre, Here}(a, n, a[c], v);
       loop invariant unchanged: Unchanged{Pre, Here} (a, c);
                                 c, p, a[0..n-1];
       loop assigns
       loop variant
                                  c;
     for (c = p, p = heap_parent(c); Ou < c && a[p] < v;</pre>
          c = p, p = heap_parent(c)) {
       //@ ghost value_type ac = a[c];
       if (a[c] < a[p]) {
         a[c] = a[p];
         //@ assert update:
                              ArrayUpdate{LoopCurrent, Here}(a, n, c, a[p]);
         //@ assert update:
                              MultisetUpdate{LoopCurrent, Here}(a, n, c, a[p]);
         //@ assert bound:
                               0 <= c < n;
         //@ assert less:
                               ac < a[c] < v;
         //@ assert reorder: MultisetParity{Pre,Here}(a, n, a[c], v);
       }
     }
     //@ ghost Epiloque: ;
     //@ assert heap: 0 == c || v <= a[HeapParent(c)];</pre>
     //@ ghost value_type ac = a[c];
     a[c] = v;
     //@ assert update: ArrayUpdate{Epiloque, Here}(a, n, c, v);
     //@ assert heap:
                        HeapCompatible(a, n, c, v);
                        Heap(a, n);
     //@ assert heap:
     //@ assert update: MultisetUpdate{Epiloque, Here}(a, n, c, v);
     //@ assert reorder: MultisetParity{Epiloque, Here}(a, n, v, ac);
     //@ assert reorder: MultisetReorder{Pre, Here} (a, n);
   }
 }
```

Listing 9.22: Implementation of push\_heap

#### **Prologue**

In the prologue we check whether the initial heap is nonempty, initialize some variables, *and* also check by comparing with the parent node whether a [c], which is the value to be pushed on the heap and which is the last element of the array, is by chance already at the right place. If not we set aside this value in the variable v and assign the parent value a [p] to a [c]. Note that this assignment only occurs if the respective values differ. This allows us to formally describe the effect of the assignment using the predicate ArrayUpdate [7.2]. Figure 9.23 highlights the main effects of the prologue. Here and in the following figures we highlight the currently active node.

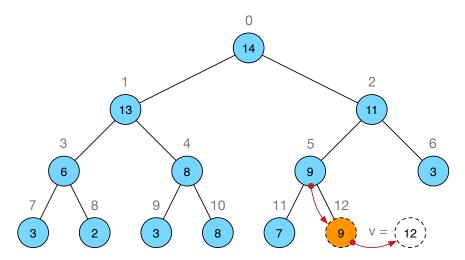


Figure 9.23.: Heap after the prologue of push\_heap

At this point we have achieved several things.

- 1. The array a[0..n-1] is now a heap.
- 2. Regarding their respective number of occurences in the array a [0..n-1]
  - the original value a [c] occurs one time less
  - the original value a [p] occurs one time more
  - whereas all other values have not changed their number of occurences.

The first observation is expressed in the assertion heap whereas the small fluctuation of array elements described in the second observation is expressed by using the predicate MultisetParity [9.17] in the assertion reorder.

#### Main act

In the main act, we start at the parent location, which is now stored in the variable c (*child*). Compared to the pre-state of push\_heap at the beginning of the main act the array a [0..n-1]

- contains the value v one time less
- contains the value a [c] one time more
- whereas all other values have not changed their number of occurences.

Now, as long as the index c is not yet the root of the heap and its consequently existing parent value a [p] is less than v, we haven't found yet an index c where we could insert v without violating the heap property.

In the loop body we proceed as follows.

• If a [c] is less than a [p] we copy the latter value on the former. Note that this assignment preserves the heap property of the array. The value a [p] now occurs one time more than in the pre-state whereas the now overwritten value a [c] occurs as often as in the pre-state. The value v continues to occur one time less. We then proceed to the next iteration by setting c to p.

The verification of tracking the number of occurences happens in smaller steps than just described. It relies on the predicates ArrayUpdate [7.2] and MultisetUpdate [9.18] which we can apply in this guarded assignment. Lemma MultisetParity\_Combined [9.17] also plays an important role here.

• Otherwise, since c is a child of p, we can conclude that a [c] equals a [p] and we continue with the next iteration after setting c to p.

This means that at the begin of the next iteration again the following conditions hold. Compared to the pre-state of push\_heap the array a [0..n-1]

- contains the value v one time less
- contains the value a [c] one time more
- whereas all other values have not changed their number of occurences.

Figure 9.24 shows the our example heap after the main act. For this particular heap, only one iteration is performed until a node is reached whose parent value a [p] is greater or equal than v. Note that assignments which have previously occurred are marked with dashed arrows.

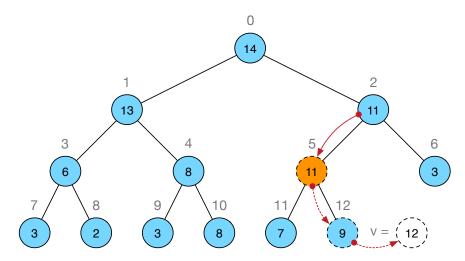


Figure 9.24.: Heap after the main act of push\_heap

## **Epilogue**

At this point, we have arrived at an index c where the assignment of the value v preserves the heap property. We express this formally using the predicate HeapCompatible [9.7].

Moreover, this assignment also corrects the imbalance in the number of occurences of the values a [c] and v and consequently establishes the desired property reorder of push\_heap. The verification that this correction leads to a proper reordering relies on lemma MultisetParity\_MultisetReorder [9.17].

Figure 9.25 shows the final assignment and highlights the completion of the cycle depicted in Figure 9.23. The figure also makes clear that the value v acts like an additional element in this assignment cycle.

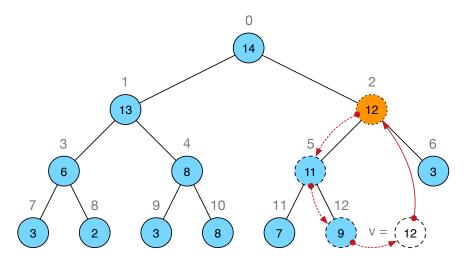


Figure 9.25.: Heap after the epilogue of push\_heap

## 9.8. The pop\_heap algorithm

The algorithm pop\_heap moves the first element of the heap, which holds the heap's largest value, and places it at the end of the underlying sequence. Whereas in the C++ Standard Library [19, §28.7.7.2] pop\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for pop\_heap

```
void pop_heap(value_type* a, size_type n);
```

The pop\_heap algorithm expects that n is greater or equal than 1 and that the array a[0..n-1] forms a heap. The algorithms then *rearranges* the array a[0..n-1] such that the resulting array satisfies the following properties.

- $a[n-1] = \old(a[0])$ , that is, the largest element of the original heap is transferred to the end of the array.
- the subarray a[0..n-2] is a heap

In this sense the algorithm *pops* the largest element from a heap.

#### 9.8.1. Formal specification of pop\_heap

Based on the above semi-formal description we propose the following function contract for pop\_heap [9.26].

```
/ * @
   requires bounds:
                       0 < n;
   requires valid:
                       \valid(a + (0..n-1));
   requires heap:
                       Heap(a, n);
  assigns
                       a[0..n-1];
                     Heap(a, n-1);
   ensures heap:
   ensures result:
                       a[n-1] == \old(a[0]);
                       MaxElement(a, n, n-1);
   ensures max:
                       MultisetReorder(Old, Here)(a, n);
   ensures reorder:
*/
void
pop_heap(value_type* a, size_type n);
```

Listing 9.26: Formal specification of pop\_heap

#### 9.8.2. Implementation of pop\_heap

In an abstract sense pop\_heap is quite similar to push\_heap. In push\_heap we started at the last array element and climbed from there up the tree until we would find a node where to insert the new value into the heap. Every time we had reached the next parent node we moved its value down to where we had just come from.

With pop\_heap its the other way round. We start at the root of the tree and descend from there by selecting an appropriate child. Every time we lift the value of the selected child to the node where just are. We repeat this process until we find a node where we can insert the last array element into the heap. Once this is done, we can safely place the maximum element (that is the the original root node) at the last element of the array.

The following two figures illustrate how pop\_heap affects an array, which is shown again as a tree with blue and grey nodes, representing heap and non-heap nodes, respectively. Figure 9.27 is in fact the same figure as Figure 9.2.

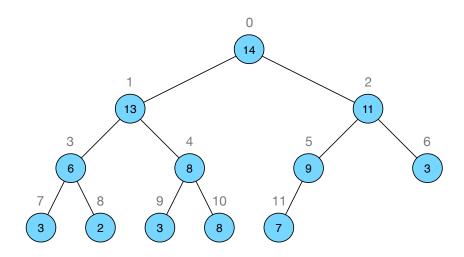


Figure 9.27.: Heap before the call of pop\_heap

Figure 9.28, on the other hand, shows the heap after the call of pop\_heap together with arrows that indicate how our implementation moves around elements in the underlying array. We can see that the first element of the original array, where the maximum of the heap resides, is now the last element of the array. Furthermore, the last array element is not part of the heap anymore. The dashed nodes highlight which heap nodes have changed during the call to pop\_heap. The arrows indicate the *cyclic reordering* of array elements to achieve the desired result.

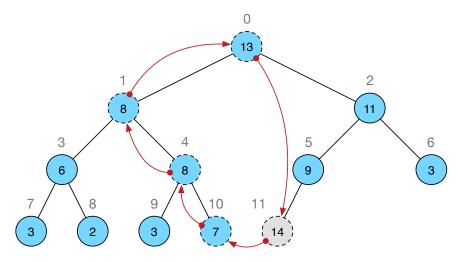


Figure 9.28.: Heap after the call of pop\_heap

As in the case of  $push\_heap$  [9.22] we will subdivide the discussion of the implementation of  $pop\_heap$  [9.29] into a prologue, main act, and epilogue.

```
void
pop_heap(value_type* a, size_type n)
  if (1u < n) {
    //@ assert max: MaxElement(a, n, 0);
    if (a[n - 1u] < a[0u])  { // otherwise a[0] == a[n-1] and nothing to be done
      size_type p = 0u;
      const value_type v = a[n - 1u];
      a[n - 1u] = a[p];
      //@ assert max:
                            a[n-1] == a[p];
                         ArrayUpdate{Pre, Here}(a, n, n-1, a[p]);
      //@ assert update:
                            Heap(a, n-1);
      //@ assert heap:
      //@ assert reorder: MultisetParity{Pre, Here}(a, n, a[p], v);
      size_type c = heap_child(a, n - 1u, p);
      / * @
        loop invariant bounds:
                                   0 \le p \le c \le n-1;
        loop invariant parent:
                                   c < n-1 ==> p == HeapParent(c);
                                   c < n-1 ==> HeapLeft(p) < n-1;
        loop invariant child:
                                   c == n-1 ==> n-1 <= HeapLeft(p);
        loop invariant child:
                                  HeapLeft(p) < n-1 ==> a[HeapLeft(p)] <= a[c];</pre>
        loop invariant child:
                                  HeapRight(p) < n-1 ==> a[HeapRight(p)] <= a[c];</pre>
        loop invariant child:
        loop invariant unchanged: Unchanged{LoopEntry, Here}(a, p, n);
        loop invariant update:
                                  a[p] == a[HeapParent(p)];
        loop invariant max:
                                  UpperBound(a, n, a[n-1]);
        loop invariant reorder: MultisetParity{Pre, Here}(a, n, a[p], v);
        loop invariant heap:
                                   v < a[p];
        loop invariant heap:
                                  Heap(a, n-1);
        loop assigns
                                   p, c, a[0..n-2];
        loop variant
                                   n - p;
       */
      for (; c < n - 1u \&\& v < a[c]; p = c, c = heap_child(a, n - 1u, p)) {
        //@ assert max:
                                 a[p] \le a[n-1];
        //@ assert heap:
                                 a[c] \leftarrow a[p];
        if (a[c] < a[p]) {
         a[p] = a[c];
                                 ArrayUpdate{LoopCurrent, Here}(a, n, p, a[c]);
          //@ assert update:
          //@ assert update:
                                 ArrayUpdate{LoopCurrent, Here}(a, n-1, p, a[c]);
          //@ assert update:
                                 MultisetUpdate{LoopCurrent, Here}(a, n, p, a[c]);
          //@ assert update:
                                 a[c] == At{LoopCurrent}(a, c);
          //@ assert unchanged: Unchanged{LoopEntry, Here} (a, p+1, n);
          //@ assert compatible: HeapCompatible(a, n-1, p, a[p]);
          //@ assert reorder: MultisetParity{Pre,Here}(a, n, a[c], v);
        }
      //@ ghost Epiloque: ;
      //@ assert child:
                            c == n-1 | | a[c] <= v;
      //@ assert child: c == n-1 \mid \mid a[c] \le v
//@ assert parent: p < n-1 \&\& v < a[p];
      //@ assert compatible: HeapCompatible(a, n-1, p, v);
      a[p] = v;
                           ArrayUpdate{Epilogue, Here}(a, n, p, v);
      //@ assert update:
      //@ assert update:
                           MultisetUpdate{Epilogue, Here}(a, n, p, v);
      //@ assert reorder: MultisetReorder(Pre, Here)(a, n);
      //@ assert heap:
                             Heap(a, n-1);
      //@ assert max:
                             UpperBound(a, n, a[n-1]);
    }
  }
```

Listing 9.29: Implementation of pop\_heap

#### 9.8.3. Prologue

In the prologue we check whether the initial heap contains at least two elements, initialize some variables, and also check whether the last array element is by chance equal to the maximum element of the heap, which resides at the index p == 0 of the array. If this is not the case, then we set aside for future reference the last array element in the variable v. Finally we copy the value a[p] to its final destination at the end of the array. Note that this assignment only occurs if the respective values differ. This allows us, as in the case of push\_heap [9.22], to formally describe the effect of the assignment using the predicate ArrayUpdate [7.2].

Figure 9.30 highlights the main effects of the prologue at the hand of our exemplary heap. Note that we have highlighted the root of the heap as the currently active node.

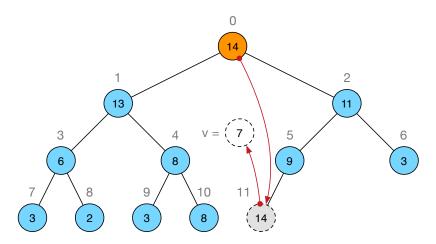


Figure 9.30.: Heap after the prologue of pop\_heap

#### 9.8.4. Main act

In the main act, we start at a child node c of the prologue's index p. This means that compared to the pre-state of pop\_heap at the beginning of the main act the array a [0..n-1]

- contains the value v one time less
- contains the value a [p] one time more
- whereas all other values have not change their number of occurences.

Moreover, the maximum element of the original heap is now at the end of the array and we can only guarantee that the first n-1 array elements got a heap. These observations are necessary reason for our loop invariants.

To be more precise, when we talk in the context of pop\_heap of a *child node* we usually mean one of the possibly two children where the maximum of the values resides. We do this because copying that larger value to its parent node guarantees that the resulting tree is still a heap. We compute the maximum child of a node using the function heap\_child [9.9].

Now, as long as the index c is not yet the index of the last array element of the heap and its value a[c] is less than v, we haven't found yet an index where we could insert v without violating the heap property.

In the loop body we proceed as follows.

• If a [c] is less than a [p] we copy the former value on the latter. As mentioned above, using the index c of the maximum child maintains heap property of the array. We use here the predicate HeapCompatible [9.7] to express that the insertion of the new value a [p] maintains the heap property of the array.

The value a [c] now occurs one time more than in the pre-state whereas the now overwritten value a [p] occurs as often as in the pre-state of pop\_heap. The value v continues to occur one time less than in the pre-state. We then proceed to the next iteration by setting p to c and computing the next maximum child node.

As in the case of push\_heap [9.22] the verification of the correct number of occurences of the involved values relies on the predicates ArrayUpdate [7.2] and MultisetUpdate [9.18] and on lemma MultisetParity\_Combined [9.17].

• Otherwise, the array being a heap, we can conclude that a [c] equals a [p] and we continue with the next iteration after setting p to c and computing the corresponding new maximum child node.

The following three figures depict how the main act of pop\_heap modifies step by step our example heap. In each step we highlight the currently active node c.

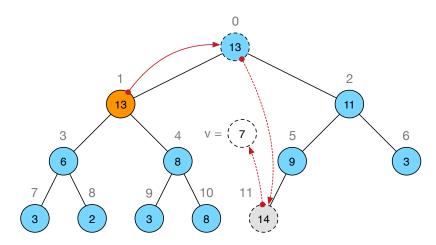


Figure 9.31.: Heap after the first iteration of of pop\_heap

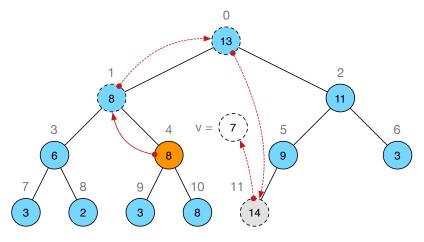


Figure 9.32.: Heap after the second iteration of pop\_heap

Note that in the final step no value is actually copied as the involved nodes hold the same value.

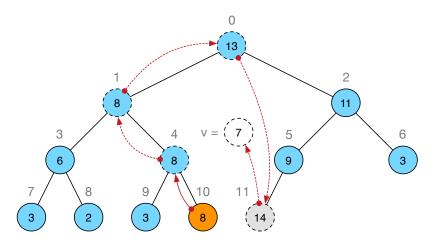


Figure 9.33.: Heap after the third iteration of pop\_heap

We finally remark that in the main act the last array element is never modified. Thus, the root element of the original element is still safely stored there.

## 9.8.5. Epilogue

After leaving the loop, we know that value v can be the inserted in the array at the index p without violating the heap property of the first n-1 elements. Moreover, compared to the pre-state of  $pop\_heap$  the array a [0..n-1] still

- contains the value v one time less
- contains the value a [p] one time more
- whereas all other values have not change their number of occurences.

In other words, assigning the value v to a [p] cancels this imbalance and establishes that  $pop\_heap$  only reorders the array elements.

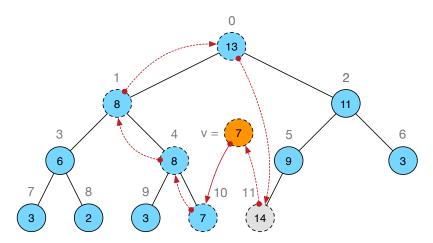


Figure 9.34.: Heap after the epilogue of pop\_heap

In Figure 9.34 we have marked the value v as the currently active node despite not being an array element.

## 9.9. The make\_heap algorithm

Whereas in the C++ Standard Library [19, §28.7.7.3] make\_heap works on a pair of generic random access iterators, our version operators on a range of value\_type. Thus the signature of make\_heap reads

```
void make_heap(value_type* a, size_type n);
```

The function  $make\_heap$  rearranges the elements of the given array a[0..n-1] such that they form a heap.

As an examples we look at the array in Figure 9.35. The elements of this array do not form a heap, as indicated by the grey colouring. Executing the make\_heap algorithm on this array rearranges its elements so that they form a heap as shown in Figure 9.3.



Figure 9.35.: Array before the call of make\_heap

## 9.9.1. Formal specification of make\_heap

The following listing shows the specification of make\_heap.

Listing 9.36: Formal specification of make\_heap

Like with push\_heap the formal specification of make\_heap must ensure that the resulting array is a heap of size n and contains the same multiset of elements as in the pre-state of the function. These properties are expressed by the heap and reorder postconditions respectively. The reorder postcondition uses the predicate MultisetReorder [7.55] to ensure that make\_heap only rearranges the array elements.

#### 9.9.2. Implementation of make\_heap

The implementation of make\_heap, shown in the next listing, is straightforward. From low to high the array's elements are pushed to the growing heap. We used i < n as loop condition, rather than the more tempting i <= n, in order to admit also  $n == SIZE_TYPE_MAX$ ; as a consequence, we had to call push\_heap [9.19] with i+1. The iteration starts at i+1 == 2, because an array with length one is a heap already.

```
void
make_heap(value_type* a, size_type n)
 if (0u < n) {
    / * @
      loop invariant bounds:
                                1 <= i <= n;
      loop invariant heap:
                                Heap(a, i);
      loop invariant reorder: MultisetReorder{Pre, Here} (a, n);
      loop invariant unchanged: Unchanged{Pre, Here}(a, i+1, n);
      loop assigns
                    i, a[0..n-1];
      loop variant n - i;
   for (size_type i = 1u; i < n; ++i) {</pre>
     push\_heap(a, i + 1u);
      //@ assert reorder:
                            MultisetReorder{LoopCurrent, Here}(a, i+1);
      //@ assert unchanged: Unchanged{LoopCurrent, Here}(a, i+1, n);
      //@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, n);
    //@ assert reorder:
                        MultisetReorder{Pre,Here}(a, n);
  //@ assert heap: Heap(a, n);
```

Listing 9.37: Implementation of make\_heap

Since the loop statement consists just of a call to push\_heap [9.19] we obtain the both loop invariants heap and reorder by simply lifting them from the contract of push\_heap.

The postcondition of push\_heap only specifies the multiset of elements from index 0 to i. We therefore also have to specify that the elements from index i+1 to n-1 are only reordered. This property can be derived from the unchanged property of push\_heap.

## 9.10. The sort\_heap algorithm

Whereas in the C++ Standard Library [19, §28.7.7.4] sort\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for sort\_heap

```
void sort_heap(value_type* a, size_type n);
```

The function sort\_heap rearranges the elements of a given heap a [0..n-1] in increasing order. Thus, applying sort\_heap to the heap in Figure 9.3 produces the increasing array in Figure 9.38.

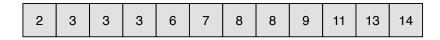


Figure 9.38.: Array after the call of sort\_heap

## 9.10.1. Formal specification of sort\_heap

The following listing shows our specification of sort\_heap. The formal specification of sort\_heap must ensure that the resulting array is increasing. Furthermore the multiset contained by the array must be the same as in the pre-state of the function. The postconditions increasing and reorder express these properties, respectively. The specification effort is relatively simple because we can reuse

Listing 9.39: Formal specification of sort\_heap

## 9.10.2. Implementation of sort\_heap

The implementation of sort\_heap is relatively simple because it relies on pop\_heap [9.26] performing essential work. Our implementation of sort\_heap repeatedly calls pop\_heap to extract the maximum of the shrinking heap and adding it to the part of the array that is already in increasing order. The loop invariants of sort\_heap describe the content of the array in two parts. The first i elements form a heap and are described by the heap invariant. The last n-i elements are already arranged in increasing order.

As already mentioned in the introduction of Chapter 6, we use the predicate WeaklyIncreasing [6.2] for the loop annotation increasing. Thus, after leaving the loop we have in fact "only" shown that WeaklyIncreasing (a, n) holds. In order to derive from this fact the final assertion increasing that uses the predicate Increasing [6.1] we rely on lemma WeaklyIncreasing\_Increasing [6.3].

```
void
sort_heap(value_type* a, size_type n)
  / * a
     loop invariant bound:
                                   0 \le i \le n;
     loop invariant heap:
loop invariant lower:
                                  Heap(a, i);
     LowerBound(a, i, n, a[0]);

loop invariant reorder:

MultisetReorder:

MultisetReorder:
                                  MultisetReorder{Pre,Here}(a, 0, n);
     loop invariant increasing: WeaklyIncreasing(a, i, n);
     loop assigns i, a[0..n-1];
     loop variant i;
  for (size_type i = n; i > 1u; --i) {
    / * @
        requires heap:
                           Heap(a, i);
        assigns a[0..i-1];
        ensures heap: Heap(a, i-1);
                           a[i-1] == \old(a[0]);
        ensures max:
        ensures max: MaxElement(a, i, i-1);
        ensures reorder: MultisetReorder{Old, Here}(a, 0, i);
        ensures reorder: Unchanged{Old, Here}(a, i, n);
    pop_heap(a, i);
    //@ assert lower: LowerBound(a, i, n, a[i-1]);
  //@ assert increasing: Increasing(a, n);
```

Listing 9.40: Implementation of sort\_heap

To verify the property reorder we rely on the lemmas MultisetReorder [7.55] that express that the properties

- MultisetReorder{K,L}(a, 0, i) and
- Unchanged(Old, Here)(a, i, n)

imply the desired loop invariant MultisetReorder(K, L) (a, 0, n).

# 10. Sorting Algorithms

Many issues in computer science can be exemplified in the field of sorting algorithms; see e.g. [24] for a famous textbook. Therefore we arrange some of the most common classic sorting algorithms. In this chapter, we present algorithms of the C++ Standard Library [19, §28.7.1] that are related to the task of sorting a linear array.

Following [25], we have also used (C rephrasings of) functions from the C++ Standard Library as far as possible to implement the different algorithmic approaches.

- is\_sorted in §10.1 is an algorithm that checks if a given array is already in increasing order.
- partial\_sort in §10.2 rearranges a given array into two parts. All elements in the first part are less or equal than those of the second part. Moreover, while the first part is sorted, the order of elements in the second part is unspecified.
- bubble\_sort in §10.3 describes a simple, well-known and sorting algorithm.<sup>29</sup>
- selection\_sort in §10.4 presents the classic selection sort algorithm. 30
- insertion\_sort in §10.5 the also well-known insertion sort algorithm. 31
- heap\_sort in §10.6 describes the quite efficient *heap sort*, which relies on the algorithms presented in Chapter 9.<sup>32</sup>
- merge in §10.7 the merge algorithm from merge sort.<sup>33</sup>

While heap\_sort achieves a run-time complexity upper bound of  $O(n \cdot \log(n))$  due to the efficiency of the heap data structure, both selection\_sort and insertion\_sort need  $O(n^2)$  in the average case, and also in the worst case.

Note that the sort algorithm from the C++ Standard Library is not handled here because it typically relies on *introspection sort* which is sophisticated mix of various classic algorithms.<sup>34</sup> In future releases we plan to handle the more algorithms related sorting.

<sup>29</sup> See https://en.wikipedia.org/wiki/Bubble\_sort

<sup>30</sup>See https://en.wikipedia.org/wiki/Selection\_sort

<sup>&</sup>lt;sup>31</sup>See https://en.wikipedia.org/wiki/Insertion\_sort

<sup>32</sup> See https://en.wikipedia.org/wiki/Heapsort

<sup>&</sup>lt;sup>33</sup>See https://en.wikipedia.org/wiki/Merge\_sort

<sup>&</sup>lt;sup>34</sup>See https://en.wikipedia.org/wiki/Introsort

The sorting algorithms in this chapter essentially share the following contract; it is their implementations that differ fundamentally.

```
/*@
    requires valid: \valid(a + (0..n-1));

    assigns a[0..n-1];

    ensures increasing: Increasing(a, n);
    ensures reorder: MultisetReorder{Old, Here}(a, n);
*/
void xxx_sort(value_type* a, size_type n);
```

As mentioned in the introduction of Chapter 6, we use the predicate Increasing [6.1] in the contracts of our sorting algorithms but often resort to the simpler predicate WeaklyIncreasing [6.2] in the loop invariants and assertions. In order to conclude that the desired postcondition Increasing (a, n) holds, we rely on lemma WeaklyIncreasing\_Increasing [6.3].

# 10.1. The is\_sorted algorithm

Our version of the is\_sorted algorithm compared to the C++ Standard Library [19, §28.7.1.5] has the signature

```
bool is_sorted(const value_type* a, size_type n);
```

It returns **true** if the given array is in increasing order, and **false** otherwise.

## 10.1.1. Formal specification of is\_sorted

The following listing shows the acsl specification of is\_sorted. In the contract, we use the predicate Increasing [6.1], which states that any array element is always less or equal to any other element right of it. We'll use an easier-to-handle predicate in the implementation of is\_sorted [10.2].

Listing 10.1: Formal specification of is\_sorted

## 10.1.2. Implementation of is\_sorted

The implementation of is\_sorted is shown in the next Listing. As usual, is\_sorted doesn't compare every array element to all that are right to it, but only to the immediately adjacent one, which is of course more efficient. For this, we use the predicate WeaklyIncreasing [6.2] in the loop invariant of the implementation.

```
bool
is_sorted(const value_type* a, size_type n)
{
    if (0u < n) {
        /*@
            loop invariant increasing: WeaklyIncreasing(a, i+1);
            loop assigns i;
            loop variant n - i;
            */
        for (size_type i = 0u; i < n - 1u; ++i) {
            if (a[i] > a[i + 1u]) {
                return false;
            }
        }
     }
    return true;
}
```

Listing 10.2: Implementation of is\_sorted

Since our implementation uses WeaklyIncreasing in its loop invariant, and follows the same principle in its code, its verification is straight-forward—except for the final reasoning that WeaklyIncreasing (a, n) implies Increasing (a, n).

We have the lemma WeaklyIncreasing\_Increasing [6.3] for that step, which needs to be proven manually with Coq. The converse lemma Increasing\_WeaklyIncreasing [6.3] is proven automatically, but isn't actually needed to verify our is\_sorted implementation. Alternatively, we could have dragged the predicate Increasing along the loop, which happens to cause no particular problems in this case.

# 10.2. The partial\_sort algorithm

Our version of the partial\_sort algorithm compared to the C++ Standard Library [19, §28.7.1.3] has the signature

```
void partial_sort(value_type* a, size_type m, size_type n);
```

The algorithm *reorders* the given array a in such a way that it represents a *partition*: each member of the left part a[0..m-1] is less or equal to each member of the right part a[m..m-1]. Moreover, the algorithm *sorts* the left part in increasing order. The order of elements in the right part, however, is *unspecified*. Figure 10.3 uses a bar chart to depict a typical result of a call partial\_sort(a, m, n). In the post-state, the left and the right part is colored in green and orange, respectively.

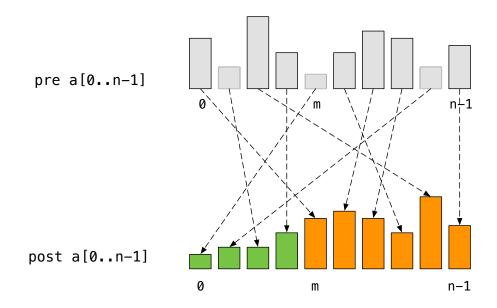


Figure 10.3.: Effects of partial\_sort

#### 10.2.1. The predicate Partition

We start by introducing the new predicate Partition [10.4] which formalizes the partitioning property.

```
/*@
  axiomatic Partition
{
    predicate
    Partition{L} (value_type* a, integer m, integer n) =
        \forall integer i, k; 0 <= i < m <= k < n ==> a[i] <= a[k];
}
*/</pre>
```

Listing 10.4: The logic definition(s) Partition

The lemmas in the following listing are used in proofs of properties and annotations related to the loop invariants upper, lower, and partition of partial\_sort.

```
/ * @
 axiomatic PartitionLemmas
   lemma MultisetReorder_SomeEqual(K, L):
     \forall value_type *a, integer n, i;
       0 < n
       0 \le i \le n
       MultisetReorder(K,L)(a, n) ==>
       SomeEqual\{K\}(a, n, \lambda(a[i],L));
   lemma MultisetReorder_LowerBound{K,L}:
     \forall value_type* a, integer n, value_type v;
       0 \le n
                                    ==>
       MultisetReorder(K,L)(a, n) ==>
       LowerBound(K)(a, n, v)
       LowerBound{L} (a, n, v);
   lemma MultisetReorder_UpperBound{K,L}:
     \forall value_type* a, integer n, value_type v;
       MultisetReorder(K,L)(a, n)
                                      ==>
       UpperBound(K)(a, n, v)
                                      ==>
       UpperBound(L)(a, n, v);
   lemma MultisetReorder_PartitionLowerBound{K, L}:
      \forall value_type* a, integer m, n;
       0 < m <= n
       MultisetReorder(K, L) (a, 0, m) ==>
       Partition{K}(a, m, n)
       Unchanged(K,L)(a, m, n)
       LowerBound{L}(a, m, n, \Delta(a[0],L));
```

Listing 10.5: The logic definition(s) PartitionLemmas

- Lemma MultisetReorder\_SomeEqual states that a value a[i] taken from a range a[0..n -1] after some reordering must have been in that range already before reordering. It is used to prove the subsequent lemmas.
- Lemma MultisetReorder\_LowerBound informally says that a lower bound v of a range a [0..n-1] keeps its property even after the range is reordered.
- Dually, lemma MultisetReorder\_UpperBound says that reordering a range doesn't affect any of its upper bounds.
- Lemma MultisetReorder\_PartitionLowerBound describes a more particular situation: if each element in a [0..m-1] is known to be a less or equal than element a [m..n-1] and the former range is reordered while the latter is kept untouched, then a [0] will still be a lower bound of a [m..n-1]. We employ this lemma to infer that, after push\_heap [9.19] was called, the new heap maximum a [0], is a lower bound of a [m..i],

The proof of MultisetReorder\_SomeEqual [10.5] relies on the lemma Count\_SomeEqual [4.46]. We also rely on the lemma MultisetSwap\_Middle [7.59] in order to verify that the loop invariant reorder is preserved.

## 10.2.2. Formal specification of partial\_sort

The formal specification of the partial\_sort function is shown in the following listing. It uses the just introduced predicate Partition and reuses the previously defined predicates Increasing [6.1] and MultisetReorder [7.55].

Listing 10.6: Formal specification of partial\_sort

#### 10.2.3. Implementation of partial\_sort

Our implementation of partial\_sort is shown the next listing. It initially calls make\_heap [9.36] to rearrange the left part a [0..m-1] into a heap. After that, it scans the right part, from left to right, for elements that are too small; each such element is exchanged for the left part's maximum, by applying pop\_heap [9.26] and push\_heap [9.19] appropriately. When the scan is done, the smallest elements are collected in the left part. We finally convert it from a heap into an increasingly ordered range, by sort\_heap (9.10).

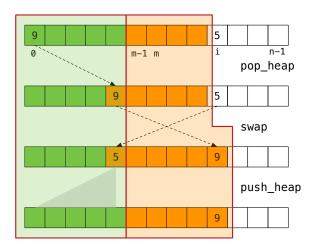


Figure 10.7.: An iteration of partial\_sort

In the scan loop, we maintain as invariants

- that the left part is a heap (invariant heap);
- that its maximal element, a [0], is a "separating element" between the left part a [0..m-1] and the right part a [m..i-1], i.e., an upper bound of the left (invariant upper) and a lower bound of the right part (invariant lower), respectively;
- that a [i..m-1] is yet unchanged (invariant unchanged); and
- that only permutation operations have been applied to a [0..i-1] (invariant reorder).

In order to preserve the loop invariants after i is incremented, nothing has to be done if a [0] happens to be also a lower bound for a [i]. Otherwise, let us follow the algorithm through the then part code, depicting the intermediate states in Figure 10.7. The elements considered so far are shown colored similar to Figure 10.3; in particular the heap part is shown in green.

The overlaid transparent red shape indicates the ranges to which Partition applies, in each state. The figure assumes the initial contents of a [0] and a [i] to be 9 and 5, for sake of generality, let us call them p and q, respectively.

After pop\_heap and swap, we have p at a [i], and q at a [m-1]. At that point we know

- 1.  $q for each <math>m \le k < i$ , since p was a lower bound for a [m.i-1];
- 2. q
- 3.  $a[j] \le p \le a[k]$  for each  $0 \le j < m-1$  and each  $m \le k < i$ , since this held on loop entry, and we didn't more than reordering inside the parts; and
- 4.  $a[j] \le p = a[i]$  since p was the heap maximum on loop entry.

```
void
partial_sort(value_type* a, size_type m, size_type n)
  if (m > 0u) {
    make_heap(a, m);
    //@ assert reorder: Unchanged{Pre, Here} (a, m, n);
     ... . . . . = n;
Loop invariant heap: Heap(a, m);
loop invariant lower: UpperBound(a, 0, m, a[0]);
loop invariant lower: LowerBound(a m)
      loop invariant reorder: MultisetReorder{Pre, Here}(a, i);
      loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
                               i, a[0..n-1];
      loop assigns
      loop variant
                                n-i;
    for (size_type i = m; i < n; ++i) {</pre>
      if (a[i] < a[0u]) {</pre>
        /*@
         assigns
                               a[0..m-1];
                              Heap(a, m-1);
          ensures heap:
                              a[m-1] == \old(a[0]);
          ensures max:
                              MaxElement(a, m, m-1);
          ensures max:
          ensures reorder: MultisetReorder{Old, Here}(a, m);
          ensures unchanged: Unchanged{Old, Here}(a, m, i);
         ensures unchanged: Unchanged{Old, Here}(a, m, n);
        pop_heap(a, m);
        //@ assert lower:
                             a[0] \le a[m-1];
        //@ assert lower:
                              a[i] < a[m-1];
        //@ assert lower:
                              LowerBound(a, m, i, a[m-1]);
        //@ assert partition: Partition(a, m, i);
        //@ assert reorder: MultisetReorder{Pre,Here}(a, i);
        //@ assert unchanged: Unchanged{Pre, Here}(a, i, n);
```

Listing 10.8: Implementation of partial\_sort (1)

Altogether, we have a  $[j] \le p \le a[k]$  for each  $0 \le j < m$  and each  $m \le k < i + 1$ . That is, Partition (a, m, i+1) holds, although we cannot name a separating element of a here.

After calling push\_heap, which just performs some more reorderings of the left part, this property is preserved. We can't and we needn't tell which position q is moved to; the former is indicated in Figure 10.3 by the vague grey triangle. Moreover, we now know again that a [0] has become an upper bound of the left part, and hence a separating element between a [0..m-1] and a [m..i]; that is, the loop invariants upper and lower have been re-established. These two invariants together are eventually used to prove the property partition of the contract.

Compared to its size, the algorithm makes a lot of procedure calls; in this respect it is closer to real-life software than most other algorithms of this tutorial. Therefore, we use it to illustrate a methodical point: For almost every procedure call, we give the callee's contract, tailored to its actual parameters, as a statement contract of the call. For example, everything we know from the pop\_heap contract, instantiated to the particular situation, is documented in the first statement contract. In contrast, we use assert clauses to indicate intermediate reasoning to obtain subsequently needed properties.

```
//@ ghost Before: ;
    //@ assert reorder:
                          MultisetReorder{Pre, Here}(a, i+1);
    swap(a + m - 1u, a + i);
   //@ assert swapped:
                        ArraySwap{Before, Here}(a, m-1, i, n);
   //@ assert unchanged: Unchanged{Before, Here} (a, m-1);
   //@ assert reorder: MultisetReorder{Before, Here}(a, m-1, i+1);
   //@ assert reorder: MultisetReorder{Before, Here} (a, i+1);
    //@ assert reorder: MultisetReorder{Pre,Here}(a, i+1);
    //@ assert unchanged: Unchanged{Pre, Here}(a, i+1, n);
   //@ assert lower: a[m-1] < a[i];
   //@ assert partition: Partition(a, m, i+1);
   //@ assert upper: UpperBound(a, 0, m-1, a[0]);
   / * @
                          a[0..m-1];
     assigns
     ensures heap:
                          Heap(a, m);
     ensures reorder:
                          MultisetReorder{Old, Here} (a, m);
     ensures unchanged:
                          Unchanged{Old, Here} (a, m, i+1);
     ensures unchanged: Unchanged{Old, Here}(a, i+1, n);
   push_heap(a, m);
                         MultisetReorder{Pre, Here}(a, i+1);
    //@ assert reorder:
   //@ assert upper:
                         UpperBound(a, 0, m, a[0]);
    //@ assert lower:
                         LowerBound(a, m, i+1, a[0]);
//@ assert partition: Partition(a, m, n);
 assigns
                         a[0..m-1];
 ensures reorder:
                         MultisetReorder{Old, Here}(a, m);
 ensures unchanged:
                         Unchanged{Old, Here} (a, m, n);
 ensures increasing:
                         Increasing(a, m);
sort_heap(a, m);
//@ assert reorder:
                    MultisetReorder(Pre, Here)(a, n);
//@ assert partition: Partition(a, m, n);
```

Listing 10.9: The Implementation of partial\_sort (2)

Our implementation has a worst-case time complexity of  $O((n+m) \cdot \log m)$ . On the other hand, an implementation that ignores m and just sorts a [0.n-1] also satisfies the contract of partial\_sort [10.6], and may have  $O(n \cdot \log n)$  complexity. Some arithmetic shows that partial\_sort performs better than plain sort if, and only if,  $\log m < \frac{n}{m} \cdot \log \left(\frac{n}{m}\right)$ , that is, if n is sufficiently larger than m.

## 10.3. The bubble\_sort algorithm

The bubble\_sort algorithm traverses the given array a[0..n-1] from left to right, maintaining a right-adjusted, constantly growing range a[n-i..n-1] that is already in increasing order. We achieve this range by iterating through the array and swapping two adjacent elements, if their respective value are in the wrong order.

#### 10.3.1. Formal specification of bubble\_sort

The following listing shows our (generic sorting) contract for bubble\_sort.

Listing 10.10: Formal specification of bubble\_sort

#### 10.3.2. Implementation of bubble\_sort

Our implementation of bubble\_sort is shown in the next listing. As it is typical for bubble\_sort, the implementation uses two nested loops.

We first discuss the verification of the fact that bubble\_sort produces an increasing array. For this we introduce for the *outer loop* the invariant increasing. This loop annotation states that the subrange a[n-i+1..n-1] is in increasing order. An important ingredient on the verification of the increasing property is the claim that the first element a[n-i+1] of the already sorted subrange is an upper bound of *all* elements left of it. This claim is encoded in the loop invariant upper of the outer bound. In order to support this claim up we exploit the fact that the index j of the inner loop points to the maximum element of the subrange a[0..j]. We formalize this last property in the loop invariant max.

Note that the loop invariants increasing and upper occur also in the inner loop. This shall "assure" the outer loop that the inner loop really preserves these properties.

```
void
bubble_sort(value_type* a, size_type n)
 if (0 < n) {
    / * a
                                      1 \le i \le n;
      loop invariant bound:
                                    WeaklyIncreasing(a, n-i+1, n);
      loop invariant increasing:
      loop invariant upper:
                                     1 < i \Longrightarrow UpperBound(a, n-i+1, a[n-i+1]);
      loop invariant reorder:
                                     MultisetReorder{Pre, Here}(a, n);
      loop assigns i, a[0..n-1];
      loop variant n-i;
    for (size_type i = 1u; i < n; ++i) {</pre>
      / * @
        loop invariant bound:
                                     0 <= j <= n-i;
        loop invariant increasing: WeaklyIncreasing(a, n-i+1, n);
        loop invariant upper:
                                     1 < i \Longrightarrow UpperBound(a, n-i+1, a[n-i+1]);
        loop invariant max:
                                     MaxElement(a, j+1, j);
        loop invariant reorder:
                                     MultisetReorder{LoopEntry, Here} (a, j+1);
                                    Unchanged{LoopEntry, Here}(a, j+1, n);
        loop invariant reorder:
        loop assigns
                                      j, a[0..n-1];
        loop variant n-j;
      for (size_type j = 0u; j < n - i; ++j) {</pre>
        if (a[j] > a[j + 1u]) {
          //@ assert max:
                                MaxElement(a, j+1, j);
          //@ assert reorder:
                                MultisetReorder{LoopEntry, Here}(a, 0, j+1);
          //@ assert reorder:
                                MultisetReorder{LoopEntry, Here}(a, 0, j+2);
          swap(&a[j], &a[j + 1u]);
          //@ assert max:
                                MaxElement (a, j+2, j+1);
          //@ assert swap:
                                ArraySwap{LoopCurrent, Here}(a, j, j+1, n);
          //@ assert unchanged: Unchanged{LoopCurrent, Here}(a, j);
          //@ assert reorder: a[j+1] == At{LoopCurrent}(a, j);
                                      == At{LoopCurrent}(a, j+1);
          //@ assert reorder: a[j]
          //@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, j, j+2);
      }
  }
  //@ assert increasing: Increasing(a, n);
```

Listing 10.11: Implementation of bubble\_sort

We now discuss briefly the verification of the postcondition reorder. In each iteration of the outer loop various elements of the not yet sorted subrange a [0..n-1] are swapped with their respective neighbour. More specifically, we know for the iteration j of the *inner loop* that while subrange a [0..j] has been rearranged, the subrange a [j+1..n-1] has not been modified yet. Together this ensures that the loop invariant reorder holds for the *outer loop*.

## 10.4. The selection\_sort algorithm

Our version of the selection\_sort algorithm has the signature

```
void selection_sort(value_type* a, size_type n);
```

The selection\_sort algorithm sorts an array in increasing order, left to right, by selecting in each step the minimum element of the remaining segment and *swaps* it with its first element. This implies that each member of the increasingly ordered initial segment is less or equal than each member of the remaining segment.

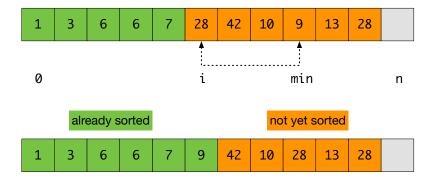


Figure 10.12.: An iteration of selection\_sort

Figure 10.12 shows a typical situation in an example run. The algorithm will swap the 28 at position i with the 9 at position min to extend the increasingly ordered initial segment one field to the right.

#### 10.4.1. Formal specification of selection\_sort

The following listing shows the specification of selection\_sort.

Listing 10.13: Formal specification of selection\_sort

#### 10.4.2. Implementation of selection\_sort

The implementation of selection\_sort is shown in the next listing. We use min\_element [5.14] to find the minimum element of the remaining array segment.

```
void
selection_sort(value_type* a, size_type n)
  / * @
    loop invariant bound:
                                0 \le i \le n;
    loop invariant reorder:
                              MultisetReorder (Pre, Here) (a, n);
    loop invariant increasing: WeaklyIncreasing(a, i);
    loop invariant increasing: 0 < i ==> LowerBound(a, i, n, a[i-1]);
                  i, a[0..n-1];
    loop assigns
    loop variant
                  n - i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   const size_type sel = i + min_element(a + i, n - i);
    if (i < sel) {
      / * @
         assigns
                          a[sel], a[i];
         ensures swapped: ArraySwap{Old, Here}(a, i, sel, n);
      swap(a + sel, a + i);
    //@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, n);
    //@ assert reorder: MultisetReorder{Pre, Here}(a, n);
  //@ assert increasing: Increasing(a, n);
```

Listing 10.14: Implementation of selection\_sort

The loop invariants increasing and lower establish that the initial segment a[0..i-1] is in increasing order and, respectively, state that a[i-1] is a lower bound of the remaining segment a[i..n-1]. Since the min\_element call uses an address offset, we had to employ again the *shift lemmas* from the collection ArrayBoundsShift [6.14].

The loop invariant reorder, on the other hand, states that the multiset of values in the array a are only rearranged during the algorithm. While this is intuitively most obvious (as the call to the swap [7.6] routine, is the only code that modifies a), it took considerable effort to prove it formally; including a statement contract that captures the effects of calling swap.

The main reason for introducing the statement contract is that it *transforms* the postcondition of the call to swap [7.6] into the hypotheses for the lemma MultisetSwap\_Middle [7.59]. This lemma, which relies on the lemmas about MultisetReorder [7.55], captures the fact that *swapping two elements of an array* is a *reordering*.

## 10.5. The insertion\_sort algorithm

Like selection\_sort, the algorithm insertion\_sort traverses the given array a [0..n-1] left to right, maintaining a left-adjusted, constantly increasing range a [0..i-1] that is already in increasing order.

Unlike selection\_sort, however, insertion\_sort adds a[i] to the initial segment in the ith step (see Figure 10.15). It determines the (rightmost) appropriate position to insert a[i] by a call to upper\_bound [6.8] and then uses rotate [7.27] to perform a *circular shift* to establish the insertion.

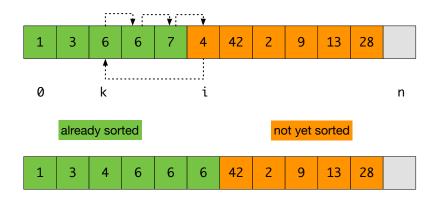


Figure 10.15.: An iteration of insertion\_sort

## 10.5.1. Formal specification of insertion\_sort

The following listing shows our (generic sorting) contract for insertion\_sort.

Listing 10.16: Formal specification of insertion\_sort

#### 10.5.2. Implementation of insertion\_sort

The implementation of insertion\_sort is shown in the next listing. We used an ACSL statement contract to specify those aspects of the rotate contract that are needed here. Properties related to the result of insertion\_sort being in increasing order are labelled increasing. Properties related to the rearrangement of elements are labelled reorder and, whenever their order isn't changed, unchanged.

```
void
insertion_sort(value_type* a, size_type n)
  / * @
                                      0 \le i \le n;
    loop invariant bound:
                                      MultisetReorder{Pre,Here}(a, 0, i);
    loop invariant reorder:
                                     Unchanged{Pre,Here}(a, i, n);
    loop invariant unchanged:
    loop invariant increasing:
                                      WeaklyIncreasing(a, i);
                    i, a[0..n-1];
    loop assigns
    loop variant
                    n - i;
  for (size_type i = 0u; i < n; ++i) {</pre>
    const size_type k = upper_bound(a, i, a[i]);
    //@ assert bound:
                          0 <= k <= i;
       requires increasing: UpperBound(a, k, a[i]);
       requires increasing: StrictLowerBound(a, k, i, a[i]);
       requires increasing: WeaklyIncreasing(a, k, i);
       assigns
                               a[k..i];
       ensures unchanged: Unchanged{Old, Here}(a, 0, k);
       ensures unchanged: Unchanged{Old, Here} (a, i+1, n);
       ensures reorder: Equal{Old, Here}(a, k, i, k+1);
       ensures reorder: Equal{Old, Here}(a, i, i+1, k);
       ensures increasing: WeaklyIncreasing(a, 0, k);
    //@ assert increasing: UpperBound(a, k, a[i]);
    rotate(a + k, i - k, i - k + 1u);
    //@ assert increasing: UpperBound(a, k, a[k]);
    //@ assert increasing: StrictLowerBound(a, k+1, i+1, a[k]);
    //@ assert increasing: WeaklyIncreasing(a, k+1, i+1);
    //@ assert increasing: WeaklyIncreasing(a, i+1);
    //@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, 0, k);
//@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, k, i+1);
//@ assert reorder: MultisetReorder{LoopCurrent, Here}(a, 0, i+1);
//@ assert reorder: MultisetReorder{Pre, Here}(a, i+1);
  //@ assert increasing: Increasing(a, n);
```

Listing 10.17: Implementation of insertion\_sort

When we originally implemented and verified rotate, we hadn't yet in mind to use that function inside of insertion\_sort. Consequently, the properties needed for the latter aren't directly provided by the former. One approach to solve this problem is to add the new properties to the contract of rotate [7.27] and repeat its verification proof. However, if rotate is assumed to be part of a pre-verified library, this approach isn't feasible, since rotate's implementation may not be available for re-verification. Therefore, we used another approach, viz. to prove that rotate's original specification *implies* all the properties we need in insertion\_sort. This is another use of the Hoare calculus' implication rule (§3.3). We used several lemmas, shown below, to make the necessary implications explicit, and to help the provers to establish them. Some of them needed manual proofs by induction.

Lemma Increasing\_Equal [6.3] in the following listing assumes an ordered range a[m..n-1] and claims that every (elementwise) equal range range a[m+p..n+p-1] is ordered, too. It is needed to establish that the call to rotate [7.27] preserves the order of those elements that are shifted upwards (cf. Figure 10.15).

Similarly, lemma Count\_Equal [4.44] says that two elementwise equal ranges a [m..n-1] and a [p..p+n-m-1] will result in the same occurrence count, for each value v. This lemma is useful in the proof of the lemma CircularShift\_MultisetReorder [10.18] (discussed below), since the predicate MultisetReorder [7.55] is defined via the logic function Count [4.44].

Lemma CircularShift\_StrictLowerBound [10.18] in the next listing is used to prove that the range a[k..i-1] having a[i] as strict lower bound before our call to rotate ensures that it has a[k] as such a bound after the call. Note that this lemma reflects that rotate is uses as a *circular shift* at the call site. Similarly, lemma CircularShift\_MultisetReorder establishes that a circular shift just reorders the range it is applied to.

```
/*@
    axiomatic CircularShiftLemmas
{
    lemma CircularShift_StrictLowerBound{K,L}:
        \forall value_type* a, integer m, n;
        StrictLowerBound{K} (a, m, n, \at(a[n],K)) ==>
        Equal{K,L} (a, m, n, m+1) ==>
        Equal{K,L} (a, n, n+1, m) ==>
        StrictLowerBound{L} (a, m+1, n+1, \at(a[m],L));

lemma CircularShift_MultisetReorder{K,L}:
    \forall value_type* a, integer m, n;
    0 <= m <= n ==>
        Equal{K,L} (a, m, n, m+1) ==>
        Equal{K,L} (a, m, n, m+1);
    }
    */
```

Listing 10.18: The logic definition(s) CircularShiftLemmas

# 10.6. The heap\_sort algorithm

The heap\_sort algorithm has the signature

```
void heap_sort(value_type* a, size_type n);
```

It relies upon the heap algorithms discussed in Chapter 9 to efficiently transform the array into increasing order.

## 10.6.1. Formal specification of heap\_sort

The following Listing shows the specification of heap\_sort.

Listing 10.19: Formal specification of heap\_sort

## 10.6.2. Implementation of heap\_sort

The implementation of heap\_sort, shown in the next listing is straightforward. Given the input array a [0..n-1], we use make\_heap [9.36] to arrange it into a heap; after that, we call sort\_heap [9.39] to sort this heap into increasing order.

```
void
heap_sort(value_type* a, size_type n)
{
  make_heap(a, n);
  sort_heap(a, n);
}
```

Listing 10.20: Implementation of heap\_sort

## 10.7. The merge algorithm

Our version of the merge algorithm from the C++ standard library [19, 28.7.5] has the following signature.

The merge algorithm is a part of the *merge sort* algorithm. It operates on the second step to merge two increasingly ordered sub-arrays into a new one. The algorithm merges two increasingly ordered arrays a [0..n-1] and b [0..m-1], respectively. The merged values are stored in the output array that starts at result which must be able to hold m + n values of both input arrays.

#### 10.7.1. Formal specification of merge

The following listing 10.21 shows the specification of merge. The specification expects the input arrays of the proper size and in increasing order and the output array of enough size to contain all the input elements. The input arrays should not overlap with the output array. In the current edition of this guide, we prove only that the resulting array is in increasing order. Future editions will contain additional postconditions stating that the result array consists of reordered input elements and the stability of the algorithm, i.e., the same elements of the input arrays preserve their order in the output array.

```
\valid_read(a + (0..m-1));
                       \valid_read(b + (0..n-1));
                       \forall alid(c + (0..m+n-1));
 requires sep:
                       \ensuremath{\mbox{\sc separated(a + (0..m-1), c + (0..m+n-1));}}
                \separated(b + (0..n-1), c + (0..m+n-1));
 requires sep:
 requires increasing: Increasing(a, m);
 requires increasing: Increasing(b, n);
 assigns
                      c[0 .. m+n-1];
 ensures increasing: Increasing(c, m + n);
  ensures unchanged: Unchanged{Old, Here} (a, m);
  ensures unchanged: Unchanged{Old, Here} (b, n);
 \star /
void
merge(const value_type* a, size_type m,
     const value_type* b, size_type n, value_type* c);
```

Listing 10.21: Formal specification of merge

#### 10.7.2. More Lemmas on WeaklyIncreasing

We introduce in the following listing several lemmas about WeaklyIncreasing [6.2] that are helpful for the verification of merge.

- Lemma WeaklyIncreasing\_Shrink [10.22] allows to restrict the property weakly increasing onto a sub-array.
- Lemma WeaklyIncreasing\_AddElement [10.22] defines the way a weakly increasing array can be constructed.

- Lemma WeaklyIncreasing\_Shift [10.22] is used to handle pointer arithmetic with respect to the WeaklyIncreasing property.
- Lemmas WeaklyIncreasing\_Unchanged [10.22] and WeaklyIncreasing\_Equal [10.22] state that if an array is weakly increasing, then another array (or the same array at another program point), whose elements are in a one-to-one correspondence with the original array, is also weakly increasing.
- Lemma WeaklyIncreasing\_Join [10.22] defines the conditions that two consequent weakly increasing ranges can be viewed as merged weakly increasing range.

```
axiomatic WeaklyIncreasingLemmas
  lemma WeaklyIncreasing_Shrink{L}:
    \forall value_type *a, integer m, n, p, q;
     m \le p \le q \le n = >
     WeaklyIncreasing(a, m, n) ==>
     WeaklyIncreasing(a, p, q);
  lemma WeaklyIncreasing_AddElement{L}:
    \forall value_type *a, integer n;
     1 < n
                               ==>
      a[n-2] <= a[n-1]
      WeaklyIncreasing(a, n-1) ==>
      WeaklyIncreasing(a, n);
  lemma WeaklyIncreasing_Shift{L}:
    \forall value_type *a, integer m, n;
      WeaklyIncreasing(a + m, 0, n) <==>
      WeaklyIncreasing(a, m, n + m);
  lemma WeaklyIncreasing_Equal(K, L):
    \forall value_type *a, *b, integer m, n;
     Equal(K,L)(a, m, n, b)
      WeaklyIncreasing(K)(a, m, n) ==>
     WeaklyIncreasing{L}(b, m, n);
  lemma WeaklyIncreasing_Unchanged{K,L}:
    \forall value_type *a, integer m, n;
      WeaklyIncreasing(K)(a, m, n) ==>
      Unchanged(K,L)(a, m, n)
      WeaklyIncreasing{L}(a, m, n);
  lemma WeaklyIncreasing_Join{L}:
    \forall value_type *a, integer m, n;
      0 < m < n
      WeaklyIncreasing(a, m)
      WeaklyIncreasing(a, m, n)
                                ==>
      a[m-1] <= a[m]
                                 ==>
      WeaklyIncreasing(a, n);
```

Listing 10.22: The logic definition(s) WeaklyIncreasingLemmas

#### 10.7.3. Implementation of merge

The implementation of merge, shown in the next listings is straightforward. The algorithm operates by traversing both input arrays. On each iteration it writes the smaller of both elements into the result array, thus constructing an increasingly ordered array. If the algorithm reaches the end of one of the input arrays, it just copies the rest elements of the other array to the result array. The listing contains a number of assertions to trigger an application of lemmas by the provers. The while loop traverses the input arrays and constructs, in accordance with WeaklyIncreasing\_AddElement [10.22], the resulting weakly increasing array. After the loop, the algorithm copies the remaining elements to the resulting array.

```
void
merge(const value_type* a, size_type m,
     const value_type* b, size_type n, value_type* c)
 //@ assert increasing: WeaklyIncreasing(a, 0, m);
 size_type i = 0;
 size_type j = 0;
 size_type x = 0;
 if (0 < m || 0 < n) {
   loop invariant increasing: WeaklyIncreasing(c, x);
       loop assigns i, j, x, c[0 .. m+n-1];
       loop variant (m+n) - (i+j);
   while (i < m && j < n) {
     if (a[i] < b[j]) {
      c[x++] = a[i++];
      //@ assert increasing: WeaklyIncreasing(c, 0, x);
                           i < m ==> UpperBound(c, 0, x, a[i]);
      //@ assert upper:
     else {
       c[x++] = b[j++];
       //@ assert increasing: WeaklyIncreasing(c, 0, x);
       //@ assert upper: j < n ==> UpperBound(c, 0, x, b[j]);
     //@ assert increasing: WeaklyIncreasing(c, 0, x);
```

Listing 10.23: Implementation of merge (1)

We also use the following lemmas to support the verification of several properties.

- Lemma WeaklyIncreasing\_Equal [10.22] is used to show that the copied elements from one of the input arrays preserve the WeaklyIncreasing property.
- Lemma WeaklyIncreasing\_Join [10.22] is used to extend the WeaklyIncreasing property of the two sub-ranges of the resulting array over the whole range. In order to deal with pointer arithmetic we employ Lemma WeaklyIncreasing\_Shift.
- Finally, Lemma WeaklyIncreasing\_Increasing [6.3] is used to prove the output array is in increasing order.

```
}
    //@ ghost Epilogue: ;
    //@ assert index: x == i+j;
                              i == m ^^ j == n;
i < m ^^ j < n;
    //@ assert index:
    //@ assert index:
    //@ assert increasing: WeaklyIncreasing(c, 0, x);
    //@ assert unchanged:
                               Unchanged{Pre, Here}(a, 0, m);
    //@ assert increasing: WeaklyIncreasing(a, 0, m);
    if (i < m) {
      //@ assert upper:
                                 0 < x ==> c[x-1] <= a[i];
      //@ assert increasing: WeaklyIncreasing(a, i, m);
      //@ assert increasing: WeaklyIncreasing(a+i, 0, m-i);
      / * @
           assigns
                                  c[x..x+m-i-1];
           ensures equal:
                                 Equal{Epilogue, Here} (a+i, m-i, c+x);
      copy(a + i, m - i, c + x);
      //@ assert equal: c[x] == At{Epilogue}(a, i);
      //@ assert equal:
                                 a[i] == At{Epilogue}(a, i);
      //@ assert equal: c[x] == a[i];
//@ assert equal: Equal{Epilogu
                                 Equal{Epilogue, Here} (a+i, m-i, c+x);
      //@ assert increasing: WeaklyIncreasing(c+x, 0, m-i);
      //@ assert index: m-i+x == m+n;
      //@ assert increasing: WeaklyIncreasing(c, x, m+n);
    else {
                                  0 < x ==> c[x-1] <= b[j];
      //@ assert upper:
      //@ assert unchanged: Unchanged{Pre, Here} (b, 0, n);
      //@ assert increasing: WeaklyIncreasing(b, 0, n);
      //@ assert increasing: WeaklyIncreasing(b+j, 0, n-j);
      /*@
           assigns
                                  c[x..x+n-j-1];
           ensures equal:
                                 Equal{Epilogue, Here} (b+j, n-j, c+x);
      copy(b + j, n - j, c + x);
      copy (b) j, //@ assert equal: c[x] == At\{Epilogue\}(b, j); b[j] == At\{Epilogue\}(b, j);
      //@ assert equal:
                                c[x] == b[j];
      //@ assert equal:
                                 Equal{Epilogue, Here} (b+j, n-j, c+x);
      //@ assert increasing: WeaklyIncreasing(c+x, 0, n-j);
      //@ assert index:
                                 n-j+x == m+n;
      //@ assert increasing: WeaklyIncreasing(c, x, m+n);
    //@ assert unchanged:
                               Unchanged{Epilogue, Here}(c, 0, x);
    //e assert unchanged: Unchanged Epriogue, here; (c, 0, x);

//e assert increasing: WeaklyIncreasing(c, 0, x);

//e assert increasing: WeaklyIncreasing(c, x, m+n);

//e assert increasing: 0 < x ==> c[x-1] <= c[x];

//e assert increasing: 0 < x ==> WeaklyIncreasing(c, x-1, m+n);
    //@ assert increasing: WeaklyIncreasing(c, 0, m+n);
 }
}
```

Listing 10.24: The Implementation of merge (2)

## Part V. Verification of data structures

## 11. The stack data type

So far we have used the ACSL specification language for the task of specifying and verifying one single C function at a time. However, in practice we are also faced with the task to implement a family of functions, usually around some sophisticated data structure, which have to obey certain rules of interdependence. In this kind of task, we are not only interested in the properties of a single function but also in properties describing how several function play together.

The C++ Standard Library provides a generic container adaptor stack [19, §26.6.6] whose signature and behavior we try to follow as far as our C implementation it allows. For a more detailed discussion of our approach to the formal verification of stack we refer to Kim Völlinger's thesis [26].

A *stack* is a data type that can hold objects and has the property that, if an object *a* is *pushed* on a stack *before* object *b*, then *a* can only be removed (*popped*) after *b*. A stack is, in other words, a *first-in*, *last-out* data type (see Figure 11.1). The *top* function of a stack returns the last element that has been pushed on a stack.

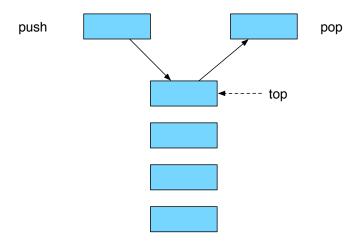


Figure 11.1.: Push and pop on a stack

We consider only stacks that have a finite capacity, that is, that can only hold a maximum number c of elements that is constant throughout their lifetime. This restriction allows us to define a stack without relying on dynamic memory allocation. When a stack is created or initialized, it contains no elements, i.e., its size is 0. The function push and pop increases and decreases the size of a stack by at most one, respectively.

#### 11.1. Methodology overview

Figure 11.2 gives an overview of our methodology to specify and verify abstract data types (verification of one axiom shown only).

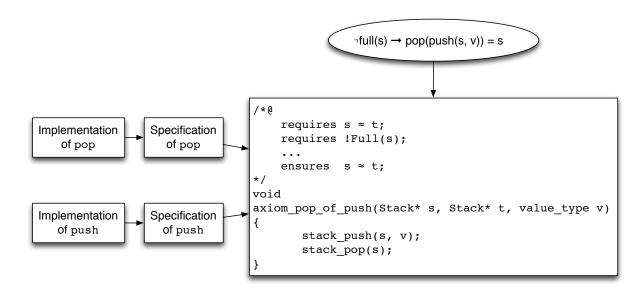


Figure 11.2.: Methodology Overview

What we will basically do is:

- 1. specify axioms about how the stack functions should interact with each other (§11.2),
- 2. define a basic implementation of C data structures (only one in our example, viz. struct Stack; see §11.3) and some invariants the instances of them have to obey (§11.4),
- 3. provide for each stack function an ACSL contract and a C implementation (§11.6),
- 4. verify each function against its contract (§11.6),
- 5. transform the axioms into ACSL-annotated C code (§11.7), and
- 6. verify that code, using access function contracts and data-type invariants as necessary (§11.7).

§11.5 provides an ACSL-predicate deciding whether two instances of a **struct** Stack are considered to be equal (indication by " $\approx$ " in Figure 11.2), while §11.6.1 gives a corresponding C implementation. The issue of an appropriate definition of equality of data instances is familiar to any C programmer who had to replace a faulty comparison **if** (s1 == s2) by the correct **if** (strcmp(s1, s2) == 0) to compare two strings **char** \*s1, \*s2 for equality.

#### 11.2. Stack axioms

To specify the interplay of the stack access functions, we use a set of axioms<sup>35</sup>, all but one of them having the form of a conditional equation.

Let V denote an arbitrary type. We denote by  $S_c$  the type of stacks with capacity c > 0 of elements of type V. The aforementioned functions then have the following signatures.

init: 
$$S_c \rightarrow S_c$$
,  
push:  $S_c \times V \rightarrow S_c$ ,  
pop:  $S_c \rightarrow S_c$ ,  
top:  $S_c \rightarrow V$ ,  
size:  $S_c \rightarrow \mathbb{N}$ .

With  $\mathbb{B}$  denoting the *boolean* type we will also define two auxiliary functions

empty : 
$$S_c \to \mathbb{B}$$
,  
full :  $S_c \to \mathbb{B}$ .

To qualify as a stack these functions must satisfy the following rules which are also referred to as *stack axioms*.

#### 11.2.1. Stack initialization

After a stack has been initialized its size is 0.

$$size(init(s)) = 0. (11.1)$$

The auxiliary functions empty and full are defined as follows

$$empty(s)$$
, iff  $size(s) = 0$ , (11.2)

$$full(s)$$
, iff  $size(s) = c$ . (11.3)

We expect that for every stack s the following condition holds

$$0 \le \operatorname{size}(s) \le c. \tag{11.4}$$

<sup>&</sup>lt;sup>35</sup>There is an analogy in geometry: Euclid (e.g. [27]) invented the use of axioms there, but still kept definitions of *point*, *line*, *plane*, etc. Hilbert [28] recognized that the latter are not only unformalizable, but also unnecessary, and dropped them, keeping only the formal descriptions of relations between them.

#### 11.2.2. Adding an element to a stack

To push an element v on a stack the stack must not be full. If an element has been pushed on an eligible stack, its size increases by 1

$$\operatorname{size}(\operatorname{push}(s, v)) = \operatorname{size}(s) + 1,$$
 if  $\neg \operatorname{full}(s)$ . (11.5)

Moreover, the element pushed on a stack is the top element of the resulting stack

$$top(push(s, v)) = v, if \neg full(s). (11.6)$$

#### 11.2.3. Removing an element from a stack

An element can only be removed from a non-empty stack. If an element has been removed from an eligible stack the stack size decreases by 1

$$\operatorname{size}(\operatorname{pop}(s)) = \operatorname{size}(s) - 1,$$
 if  $\neg\operatorname{empty}(s)$ . (11.7)

If an element is pushed on a stack and immediately afterwards an element is removed from the resulting stack then the final stack is equal to the original stack

$$pop(push(s, v)) = s, if \neg full(s). (11.8)$$

Conversely, if an element is removed from a non-empty stack and if afterwards the top element of the original stack is pushed on the new stack then the resulting stack is equal to the original stack.

$$push(pop(s), top(s)) = s, if \neg empty(s). (11.9)$$

#### 11.2.4. A note on exception handling

We don't impose a requirement on push (s, v) if s is a full stack, nor on pop(s) or top(s) if s is an empty stack. Specifying the behavior in such *exceptional* situations is a problem by its own; a variety of approaches is discussed in the literature. We won't elaborate further on this issue, but only give an example to warn about "innocent-looking" exception specifications that may lead to undesired results.

If we'd introduce an additional error value err in the element type V and require top(s) = err if s is empty, we'd be faced with the problem of specifying the behavior of push(s, err). At first glance, it would seem a good idea to have err just been ignored by push, i.e. to require

$$push(s, err) = s. (11.10)$$

However, we then could derive for any non-full and non-empty stack s, that

$$size(s) = size(pop(push(s, err)))$$
 by 11.8  
=  $size(pop(s))$  as assumed in 11.10  
=  $size(s) - 1$  by 11.7

i.e. no such stacks could exist, or all int values would be equal.

#### 11.3. The structure stack and its associated functions

We now introduce one possible C implementation of the above axioms. It is centred around the C structure stack shown in the following listing.

```
struct Stack
{
  value_type* obj;

  size_type capacity;

  size_type size;
};

typedef struct Stack Stack;
```

Listing 11.3: Definition of type stack

This struct holds an array obj of positive length called capacity. The capacity of a stack is the maximum number of elements this stack can hold. The field size indicates the number elements that are currently in the stack. See also Figure 11.4 which attempts to interpret this definition according to Figure 11.1.

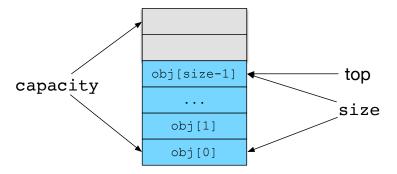


Figure 11.4.: Interpreting the data structure stack

Based on the stack functions from §11.2, we declare in the next listing the following functions as part of our stack data type.

Listing 11.5: Declaration of functions of type stack

Most of these functions directly correspond to methods of the  $C^{++}$  std::stack template class [19, §26.6.6.1]. The function stack\_equal corresponds to the comparison operator ==, whereas one use of stack\_init is to bring a stack into a well-defined initial state. The function stack\_full has no counterpart in std::stack. This reflects the fact that we avoid dynamic memory allocation, while std::stack does not.

#### 11.4. Stack invariants

Not every possible instance of type stack is considered a valid one, e.g., with our definition of stack in Listing 11.3, Stack  $s = \{\{0,0,0,0,0\},4,5\}$  is not. In the following listing, we present basic logic functions and predicates that we will use throughout this chapter In particular, we define the predicate StackInvariant [11.6] that discriminates valid and invalid instances.

```
axiomatic StackInvariant
  logic integer
  StackCapacity{L}(Stack* s) = s->capacity;
  logic integer
  StackSize(L) (Stack* s) = s->size;
  logic value_type*
  StackStorage(L)(Stack* s) = s->obj;
  logic integer
  StackTop\{L\} (Stack* s) = s->obj[s->size-1];
  predicate
  StackEmpty{L}(Stack* s) = StackSize(s) == 0;
  predicate
  StackFull{L}(Stack* s) = StackSize(s) == StackCapacity(s);
  predicate
  StackInvariant(L)(Stack* s) =
    0 < StackCapacity(s) &&</pre>
    0 <= StackSize(s) <= StackCapacity(s) &&</pre>
    \valid(StackStorage(s) + (0..StackCapacity(s)-1)) &&
    \separated(s, StackStorage(s) + (0..StackCapacity(s)-1));
```

Listing 11.6: The logic definition(s) StackInvariant

We start, with the auxiliary logic function <code>StackCapacity</code>, <code>StackSize</code> and <code>StackStorage</code> which we can use in specifications to refer to the fields <code>capacity</code>, <code>size</code> and <code>obj</code> of <code>stack</code>, respectively. This listing also contains the logic function <code>StackTop</code> which defines the array element with index <code>size - 1</code> as the top place of a stack.

The reader can consider this as an attempt to hide implementation details from the specification. We intentionally use here integer as a return value of these logic functions. Inaccurate use of logic functions with bounded types in axioms with arithmetic operations may lead to inconsistencies.

We also introduce the predicates StackEmpty [11.6] and StackFull [11.6] that express the concepts of empty and full stacks by referring to a stack's size and capacity (see Equations (11.2) and (11.3)).

There are some obvious invariants that must be fulfilled by every valid object of type stack:

- The stack capacity shall be strictly greater than zero (an empty stack is ok but a stack that cannot hold anything is not useful).
- The pointer obj shall refer to an array of length capacity.
- The number of elements size of a stack the must be non-negative and not greater than its capacity.

These invariants are all formalized in the predicate StackInvariant [11.6].

Note how the use of the previously defined logic functions and predicates allows us to define the stack invariant without directly referring to the fields of stack.

We sometimes wish to express that there is no *memory aliasing* between two stacks. If there were aliasing, then modifying one stack could modify the other stack in unexpected ways. In order to express that there is no aliasing between two stacks, we define the predicate StackSeparated in the next listing.

Listing 11.7: The logic definition(s) StackUtility

This listing also contains the predicate StackUnchanged [11.7] that we will use to describe cases that the contents of a stack hasn't changed.

#### 11.5. Equality of stacks

Defining equality of instances of non-trivial data types, in particular in object-oriented languages, is not an easy task. The book *Programming in Scala* [29, Chapter 28] devotes to this topic a whole chapter of more than twenty pages. In the following two sections we give a few hints how ACSL and Frama-C can help to correctly define equality for a simple data type.

We consider two stacks as equal if they have the same size and if they contain the same objects. To be more precise, let s and t two pointers of type stack, then we define the predicate StackEqual as in the following listing.

```
axiomatic StackEquality
 predicate
 StackEqual(S,T)(Stack* s, Stack* t) =
   StackSize(S)(s) == StackSize(T)(t) &&
   Equal(S,T)(StackStorage(S)(s), StackSize(S)(s), StackStorage(T)(t));
 lemma StackEqual_Reflexive{S} :
    \forall Stack* s; StackEqual(S,S)(s, s);
 lemma StackEqual_Symmetric{S,T} :
    \forall Stack *s, *t;
      StackEqual(S,T)(s, t)
                            ==> StackEqual{T,S}(t, s);
 lemma StackEqual_Transitive{S,T,U}:
    \forall Stack *s, *t, *u;
     StackEqual(S,T)(s, t) ==>
     StackEqual{T,U}(t, u)
      StackEqual(S,U)(s, u);
```

Listing 11.8: The logic definition(s) StackEquality

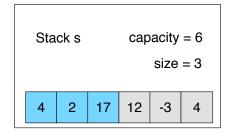
Our use of labels in this listing makes the specification somewhat hard to read (in particular in the last line where we reuse the predicate Equal [4.28]. However, this definition of StackEqual will allow us later to compare the same stack object at different points of a program. The logical expression StackEqual {A,B} (s,t) reads informally as: The stack object \*s at program point A equals the stack object \*t at program point B.

The reader might wonder why we exclude the capacity of a stack into the definition of stack equality. This approach can be motivated with the behavior of the method capacity of the class std::vector<T>. There, equal instances of type std::vector<T> may very well have different capacities.<sup>36</sup>

If equal stacks can have different capacities then, according to our definition of the predicate StackFull [11.6], we can have to equal stacks where one is full and the other one is not.

A finer, but very important point in our specification of equality of stacks is that the elements of the arrays s->obj and t->obj are compared only up to s->size and not up to s->capacity. Thus the two stacks s and t in Figure 11.9 are considered equal although there is are obvious differences in their internal arrays.

 $<sup>^{36}</sup>$ See http://www.cplusplus.com/reference/vector/vector/capacity



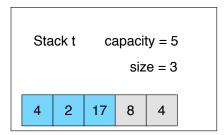


Figure 11.9.: Example of two equal stacks

If we define an equality relation (=) of objects for a data type such as stack, we have to make sure that the following rules hold.

reflexivity 
$$\forall s \in S : s = s,$$
 (11.11a)

symmetry 
$$\forall s, t \in S : s = t \implies t = s,$$
 (11.11b)

transitivity 
$$\forall s, t, u \in S : s = t \land t = u \implies s = u.$$
 (11.11c)

Any relation that satisfies the conditions (11.11) is referred to as an *equivalence relation*. The mathematical set of all instances that are considered equal to some given instance s is called the equivalence class of s with respect to that relation.

Our formalization of StackEquality [11.8] shows these three rules for the relation StackEqual; it can be automatically verified that they are a consequence of the definition of StackEqual.

The two stacks in Figure 11.9 show that an equivalence class of StackEqual can contain more than one element.<sup>37</sup> The stacks s and t in Figure 11.9 are also referred to as two *representatives* of the same equivalence class. In such a situation, the question arises whether a function that is defined on a set with an equivalence relation can be defined in such a way that its definition is *independent of the chosen representatives*.<sup>38</sup> We ask, in other words, whether the function is *well-defined* on the set of all equivalence classes of the relation StackEqual.<sup>39</sup> The question of well-definition will play an important role when verifying the functions of the stack (see §11.6).

<sup>&</sup>lt;sup>37</sup>This is a common situation in mathematics. For example, the equivalence class of the rational number  $\frac{1}{2}$  contains infinitely many elements, viz.  $\frac{1}{2}$ ,  $\frac{2}{4}$ ,  $\frac{7}{14}$ , ....

This is why mathematicians know that  $\frac{1}{2} + \frac{3}{5}$  equals  $\frac{7}{14} + \frac{3}{5}$ .

<sup>&</sup>lt;sup>39</sup>See http://en.wikipedia.org/wiki/Well-definition.

#### 11.6. Verification of stack functions

In this section we verify the functions

- stack\_equal (§11.6.1)
- stack\_init (§11.6.2)
- stack\_size(§11.6.3)
- stack\_full (§11.6.4)
- stack\_empty (§11.6.5)
- stack\_top (§11.6.6)
- stack\_push (§11.6.7)
- stack\_pop (§11.6.8)

of the data type stack. To be more precise, we provide for each of function stack\_foo:

- an ACSL specification of stack\_foo
- a C implementation of stack\_foo
- a C function stack\_foo\_wd<sup>40</sup> accompanied by a an ACSL contract that expresses that the implementation of stack\_foo is well-defined. Figure 11.10 shows our methodology for the verification of well-definition in the pop example, (a) again indicating the user-defined stack equality.

```
/*@
    requires s ≈ t;
    requires !Empty(s);
    ...
    ensures s ≈ t;

*/
void stack_pop_wd(Stack *s, Stack *t)
{
    stack_pop(s);
    stack_pop(t);
}
```

Figure 11.10.: Methodology for the verification of well-definition

Note that the specifications of the various functions will explicitly refer to the *internal state* of stack. In §11.7 we will show that the *interplay* of these functions satisfy the stack axioms from §11.2.

<sup>&</sup>lt;sup>40</sup>The suffix \_wd stands for well definition

#### 11.6.1. The function stack\_equal

The function stack\_equal in the following listing is the runtime counterpart for the StackEqual [11.8] predicate. Note that this specifications explicitly refers to valid stacks.

Listing 11.11: Formal specification of stack\_equal

The implementation of stack\_equal in the next listing compares two stacks according to the same rules of predicate StackEqual.

```
bool
stack_equal(const Stack* s, const Stack* t)
{
   return (s->size == t->size) && equal(s->obj, s->size, t->obj);
}
```

Listing 11.12: Implementation of stack\_equal

#### 11.6.2. The function stack init

The following listing shows the specification of stack\_init. Note that our specification of the post-conditions contains a redundancy because a stack is empty if and only if its size is zero.

Listing 11.13: Formal specification of stack\_init

The next listing shows the implementation of stack\_init. It simply initializes obj and capacity with the respective value of the array and sets the field size to zero.

Listing 11.14: Implementation of stack\_init

#### 11.6.3. The function stack size

The function stack\_size is the runtime version of the logic function StackSize [11.6]. The specification of stack\_size in the following listing simply states that stack\_size produces the same result as StackSize.

Listing 11.15: Formal specification of stack\_size

As in the definition of the logic function <code>StackSize</code> the implementation of <code>stack\_size</code> in the next listing simply returns the field <code>size</code>.

```
size_type
stack_size(const Stack* s)
{
   return s->size;
}
```

Listing 11.16: Implementation of stack size

The next listing shows our check whether stack\_size is well-defined. Since stack\_size neither modifies the state of its stack argument nor that of any global variable we only check whether it produces the same result for equal stacks. Note that we simply may use operator == to compare integers since we didn't introduce a nontrivial equivalence relation on that data type.

Listing 11.17: Implementation of stack\_size\_wd

#### 11.6.4. The function stack\_full

The function stack\_full is the runtime version of the predicate StackFull [11.6].

Listing 11.18: Formal specification of stack\_full

As in the definition of the predicate StackFull the implementation of stack\_full in the next listing simply checks whether the size of the stack equals its capacity.

```
bool
stack_full(const Stack* s)
{
   return stack_size(s) == s->capacity;
}
```

Listing 11.19: Implementation of stack\_full

Note that with our definition of stack equality (§11.5) there can be equal stack with different capacities. As a consequence, there can are equal stacks where one is full while the other is not. In other words, stack\_full is not well-defined!

#### 11.6.5. The function stack\_empty

The function stack\_empty is the runtime version of the predicate StackEmpty [11.6].

Listing 11.20: Formal specification of stack\_empty

As in the definition of the predicate StackEmpty the implementation of  $stack\_empty$  in the next listing simply checks whether the size of the stack is zero.

```
bool
stack_empty(const Stack* s)
{
   return stack_size(s) == 0u;
}
```

Listing 11.21: Implementation of stack\_empty

The following listing shows our check whether stack\_empty is well-defined.

Listing 11.22: Implementation of stack\_empty\_wd

#### 11.6.6. The function stack\_top

The function stack\_top is the runtime version of the logic function StackTop [11.6]. The specification of stack\_top in the following listing simply states that for non-empty stacks stack\_top produces the same result as StackTop which in turn just returns the element obj[size-1] of stack.

Listing 11.23: Formal specification of stack\_top

For a non-empty stack the implementation of <code>stack\_top</code> in the next listing simply returns the element <code>obj[size-1]</code>. Note that our implementation of <code>stack\_top</code> does not crash when it is applied to an empty stack. In this case we return the first element of the internal, non-empty array <code>obj</code>. This is consistent with our specification of <code>stack\_top</code> which only refers to non-empty stacks.

```
value_type
stack_top(const Stack* s)
{
   if (!stack_empty(s)) {
      return s->obj[s->size - 1u];
   }
   else {
      return s->obj[0u];
   }
}
```

Listing 11.24: Implementation of stack\_top

The next listing shows our check whether stack\_top is well-defined. Since our axioms in §11.2 did not impose any behavior on the behavior of stack\_top for empty stacks, we prove the well-definition of stack\_top only for nonempty stacks.

Listing 11.25: Implementation of stack\_top\_wd

#### 11.6.7. The function stack\_push

The following listing shows the specification of the function stack\_push. In accordance with Axiom (11.5), stack\_push is supposed to increase the number of elements of a non-full stack by one. The specification also demands that the value that is pushed on a non-full stack becomes the top element of the resulting stack (see Axiom (11.6)).

```
/ * @
 requires valid:
                    \valid(s) && StackInvariant(s);
 assigns
                     s->size, s->obj[s->size];
 behavior full:
   assumes
                    StackFull(s);
   assigns
                     \nothing;
   ensures valid:
                    \valid(s) && StackInvariant(s);
   ensures full:
                    StackFull(s);
   ensures unchanged: StackUnchanged{Old, Here}(s);
 behavior not_full:
                    !StackFull(s);
   assumes
   assigns
                    s->size;
   assigns
                    s->obj[s->size];
   ensures top: StackTop(s) == v;
   ensures storage: StackStorage(s) == StackStorage(Old)(s);
   ensures capacity: StackCapacity(s) == StackCapacity{Old}(s);
   ensures not_empty: !StackEmpty(s);
   ensures unchanged: Unchanged{Old, Here} (StackStorage(s), StackSize{Old}(s));
 complete behaviors;
 disjoint behaviors;
void
stack_push(Stack* s, value_type v);
```

Listing 11.26: Formal specification of stack\_push

The implementation of stack\_push is shown in the next listing. It checks whether its argument is a non-full stack in which case it increases the field size by one but only after it has assigned the function argument to the element obj[size].

```
void
stack_push(Stack* s, value_type v)
{
   if (!stack_full(s)) {
      //@ assert not_full: s->size < s->capacity;
      s->obj[s->size++] = v;
   }
}
```

Listing 11.27: Implementation of stack\_push

The following listing shows our formalization of the well-definition for stack\_push. The function stack\_push does not return a value but rather modifies its argument. For the well-definition of stack\_push we therefore check whether it turns equal stacks into equal stacks.

```
/ * @
 requires not_full: !StackFull(s) && !StackFull(t);
 requires sep: StackSeparated(s, t);
                  s->size, s->obj[s->size];
 assigns
 assigns
                  t->size, t->obj[t->size];
 ensures valid:
                  StackInvariant(s) && StackInvariant(t);
 ensures equal:
                  StackEqual{Here, Here}(s, t);
*/
void
stack_push_wd(Stack* s, Stack* t, value_type v)
 stack_push(s, v);
 stack_push(t, v);
                StackTop(s) == v;
StackTop(t) == v;
 //@ assert top:
 //@ assert top:
 //@ assert equal: Equal{Here,Here}(StackStorage(s), StackSize{Pre}(s),
     StackStorage(t));
```

Listing 11.28: Implementation of stack\_push\_wd

However, equality of the stack arguments is not sufficient for a proof that stack\_push is well-defined. We must also ensure that there is no *aliasing* between the two stacks. Otherwise modifying one stack could modify the other stack in unexpected ways. In order to express that there is no aliasing between two stacks, we use the predicate StackSeparated [11.7].

In order to achieve an automatic verification of stack\_push\_wd [11.28] we have added the assertions top and equal and introduced the lemma StackPush\_Equal [11.29] in the following listing.

Listing 11.29: The logic definition(s) StackLemmas

#### 11.6.8. The function stack\_pop

The following listing shows the specification of the function stack\_pop. In accordance with Axiom (11.7), stack\_pop is supposed to reduce the number of elements in a non-empty stack by one. In addition to the requirements imposed by the axioms, our specification demands that stack\_pop changes no memory location if it is applied to an empty stack.

```
requires valid: \valid(s) && StackInvariant(s);
 assigns
               s->size;
 ensures valid: \valid(s) && StackInvariant(s);
 behavior empty:
   assumes
                       StackEmpty(s);
                       \nothing;
   assigns
                    StackEmpty(s);
   ensures empty:
    ensures unchanged: StackUnchanged(Old, Here)(s);
 behavior not_empty:
    assumes
                       !StackEmpty(s);
   assigns
                       s->size;
   ensures size: StackSize(s) == StackSize{Old}(s) - 1;
ensures full: !StackFull(s);
   ensures storage: StackStorage(s) == StackStorage{Old}(s);
   ensures capacity: StackCapacity(s) == StackCapacity{Old}(s);
   ensures unchanged: Unchanged{Old, Here} (StackStorage(s), StackSize(s));
 complete behaviors;
 disjoint behaviors;
void
stack_pop(Stack* s);
```

Listing 11.30: Formal specification of stack\_pop

The implementation of stack\_pop is shown in the next listing. It checks whether its argument is a non-empty stack in which case it decreases the field size by one.

```
void
stack_pop(Stack* s)
{
   if (!stack_empty(s)) {
     --s->size;
   }
}
```

Listing 11.31: Implementation of stack\_pop

The next listing shows our check whether <code>stack\_pop</code> is well-defined. As in the case of <code>stack\_push</code> we use the predicate <code>StackSeparated</code> [11.7] in order to express that there is no aliasing between the two stack arguments.

Listing 11.32: Implementation of stack\_pop\_wd

#### 11.7. Verification of stack axioms

In this section we show that the stack functions defined in §11.6 satisfy the stack Axioms of §11.2.

The annotated code has been obtained from the axioms in a fully systematical way. In order to transform a condition equation  $p \rightarrow s = t$ :

- Generate a clause requires p.
- Generate a clause requires  $x1 == \dots == xn$  for each variable x with n occurrences in s and t.
- Change the *i*-th occurrence of x to xi in s and t.
- Translate both terms *s* and *t* to reversed polish notation.
- Generate a clause ensures y1 == y2, where y1 and y2 denote the value corresponding to the translated s and t, respectively.

This makes it easy to implement a tool that does the translation automatically, but yields a slightly longer contract in our example.

#### 11.7.1. Resetting a stack

Our formulation in ACSL/C of the axiom in Equation (11.1) is shown in the following listing.

Listing 11.33: Implementation of axiom\_size\_of\_init

#### 11.7.2. Adding an element to a stack

Axioms (11.5) and (11.6) describe the behavior of a stack when an element is added.

Listing 11.34: Implementation of axiom\_size\_of\_push

Except for the assigns clauses, the ACSL specification refers only to encapsulating logic functions and predicates defined in §11.4. If ACSL would provide a means to define encapsulating logic functions returning also sets of memory locations, the expressions in assigns clauses would not need to refer to the details of our stack implementation. As an alternative, assigns clauses could be omitted, as long as the proofs are only used to convince a human reader.

Listing 11.35: Implementation of axiom\_top\_of\_push

<sup>&</sup>lt;sup>41</sup>In [14, §2.3.4], a powerful sublanguage to build memory location set expressions is defined. We will explore its capabilities in a later version.

#### 11.7.3. Removing an element from a stack

This section shows the Listings for Axioms 11.7, 11.8 and 11.9 which describe the behavior of a stack when an element is removed.

Listing 11.36: Implementation of axiom\_size\_of\_pop

Listing 11.37: Implementation of axiom\_pop\_of\_push

Listing 11.38: Implementation of axiom\_push\_of\_pop\_top

# Part VI. Appendices

### A. Results of formal verification with Frama-C

In this chapter we introduce the formal verification tools used in this tutorial. We will afterwards present to what extent the examples from Chapters 4–11 could be deductively verified.

Within Frama-C, the Frama-C/WP plug-in [1] enables deductive verification of C programs that have been annotated with the ANSI/ISO-C Specification Language (ACSL) [8]. The Frama-C/WP plug-in uses weakest precondition computations to generate proof obligations. To formally prove the ACSL properties, these proof obligations can be submitted to external automatic theorem provers or interactive proof assistants. For the precise settings for Frama-C/WP we employed in this release we refer to Chapter 1.

In §A.2 and §A.3 we show detailed verification results for different scenarios how the provers are called.

#### A.1. Verification settings

Here are the most important options of Frama-C that we used in for almost all functions.<sup>42</sup>

```
-pp-annot
-no-unicode
-wp
-wp-rte
-wp-model Typed
-warn-unsigned-overflow
-warn-unsigned-downcast
-wp-timeout 1
-wp-coq-timeout 5
```

Note that we use a relative small timeout value for the provers. For a couple of algorithms, however, we had to use a larger timeout.

For the precise versions of the employed provers we refer to Table 1.1 on Page 3.

 $<sup>^{42}</sup>$ For the my\_lrand48() function in shuffle, the option -warn-unsigned-overflow is disabled as explained in  $\S7.18$ .

#### A.2. Verification results (sequential)

In the *sequential verification scenario* each proof obligation is processed by a set of automatic and interactive theorem provers that are arranged as a *pipe*.<sup>43</sup> This means that each prover passes on to the next prover only those proof obligations that it could not verify. This *verification pipeline* is shown in Figure A.1.

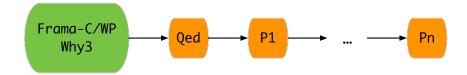


Figure A.1.: Verification pipeline of automatic and interactive theorem provers

For each algorithm we list in the following tables the number of generated verification conditions (VC), the percentage of proven verification conditions, and the number of VC proven by each prover. The value zero is indicated by an empty cell. The tables show that all verification conditions could be verified. Please note that the number of proven verification conditions do *not* reflect on the quality/strength of the individual provers. The reason for that is that we "pipe" each verification condition sequentially through a list of provers (see Figure A.1).

Algorithm		Verif	Individual Provers					
Algorithm		Cond	litions	QD	AE	Z3	C4	CQ
find	§4.1	25/25	(100%)	16	9	•		
find2	§4.2	27/27	(100%)	14	13	•	•	
find3	§4.3	31/31	(100%)	8	19	•	•	4
find4	§4.3.4	33/33	(100%)	11	18	•	•	4
find5	§4.3.4	22/22	(100%)	5	13	•	•	4
find_if_not	§4.4	37/37	(100%)	8	23		•	6
find_first_of	§4.5	41/41	(100%)	30	11	•	•	
adjacent_find	§4.6	28/28	(100%)	16	12	•	•	
mismatch	§4.7	26/26	(100%)	16	10	•	•	
equal	§4.7	7/7	(100%)	6	1	•	•	
search	§4.8	44/44	(100%)	32	12	•	•	
search_n	§4.9	93/93	(100%)	61	32	•	•	•
find_end	§4.10	34/34	(100%)	21	13	•	•	
count	§4.11	34/34	(100%)	7	20	•	•	7
count2	§4.12	42/42	(100%)	7	25	•	•	10

Table A.2.: Results for non-mutating algorithms

<sup>&</sup>lt;sup>43</sup>Sequential processing is achieved by passing the option -wp-par 1 to Frama-C/WP.

Algorithm		Verification		Individual Provers					
Algorithm		Conc	litions	QD	AE	Z3	C4	CQ	
clamp	§5.3	28/28	(100%)	22	6			•	
make_pair	§5.4	4/4	(100%)	4	•	•	•	•	
max_element	§5.5	30/30	(100%)	19	11	•	•	•	
max_element2	§5.6	30/30	(100%)	18	12	•	•	•	
max_seq	§5.7	8/8	(100%)	5	3	•	•	•	
min_element	§5.8	30/30	(100%)	18	12	•	•	•	
minmax_element	§5.9	60/60	(100%)	43	17	•	•		

Table A.3.: Results for maximum and minimum algorithms

Algorithm		Verification		Individual Provers				
Algorithm		Cond	QD	AE	Z3	C4	CQ	
lower_bound	§6.1	19/19	(100%)	5	14	•	•	•
upper_bound	§6.2	19/19	(100%)	7	12	•	•	•
equal_range	§6.3	22/22	(100%)	17	5	•	•	•
equal_range2	§6.3	70/70	(100%)	24	39	•	•	7
binary_search	§6.4	10/10	(100%)	8	2	•	•	•
binary_search2	§6.4	12/12	(100%)	8	4	•	•	

Table A.4.: Results for binary search algorithms

A locarithms		Verific	<b>Individual Provers</b>					
Algorithm		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
fill	§7.2	15/ 15	(100%)	6	9			
swap	§7.3	8/ 8	(100%)	5	3	•	•	
swap_ranges	§7.4	23/ 23	(100%)	8	15	•	•	
сору	§7.5	16/ 16	(100%)	6	10	•	•	
copy_backward	§7.6	17/ 17	(100%)	7	10	•	•	
reverse_copy	§7.7	18/ 18	(100%)	5	13	•	•	
reverse	§7.8	24/ 24	(100%)	5	19	•	•	
rotate_copy	§7.9	17/ 17	(100%)	5	12	•	•	
rotate	§7.10	24/ 24	(100%)	10	14	•	•	
replace_copy	§7.11	19/ 19	(100%)	7	12	•	•	
replace	§7.12	16/ 16	(100%)	6	10	•	•	
remove_copy	§7.13	24/ 24	(100%)	9	15	•	•	
remove_copy2	§7.14	75/ 75	(100%)	10	46	•	1	18
remove_copy3	§7.15	109 / 109	(100%)	12	74	•	•	23
remove	§7.16	104/104	(100%)	10	70	•	•	24
shuffle	§7.17	56/ 56	(100%)	13	34	•	•	9
random_number	§7.18	33/ 33	(100%)	19	14	•	•	•

Table A.5.: Results for mutating algorithms

Algorithm		Verifi	<b>Individual Provers</b>					
Algorithm	Cond	QD	AE	Z3	<b>C</b> 4	CQ		
iota	§8.1	17/17	(100%)	9	8	•		
accumulate	§8.2	23/23	(100%)	6	15	•		2
inner_product	§8.3	25/25	(100%)	6	17	•	•	2
partial_sum	§8.4	56/56	(100%)	16	38	•	•	2
adjacent_difference	§8.5	35/35	(100%)	11	24	•	•	•
partial_sum_inv	§8.6	39/39	(100%)	8	28	•		3
adjacent_difference_inv	§8.6	39/39	(100%)	8	28	•	•	3

Table A.6.: Results for numeric algorithms

Algorithm		Verific	Individual Provers					
Algorithm		Condi	Conditions		AE	<b>Z</b> 3	C4	CQ
heap_parent	§9.3	11/ 11	(100%)	3	8	•		•
heap_child	§9.3	38/ 38	(100%)	7	30	•	•	1
is_heap_until	§9.4	34/ 34	(100%)	6	27	•	•	1
is_heap	§9.5	19/ 19	(100%)	5	13	•	•	1
push_heap	§9.7	117/117	(100%)	31	73	1	1	11
pop_heap	§9.8	134/134	(100%)	35	86		2	11
make_heap	§9.9	64/64	(100%)	17	38		•	9
sort_heap	§9.10	73 / 73	(100%)	17	44	•	•	12

Table A.7.: Results for heap algorithms

Algorithm		Verific	Individual Provers					
Algorithm		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
is_sorted	§10.1	18/ 18	(100%)	7	8	•	•	3
partial_sort	§10.2	146/146	(100%)	39	88	•	•	19
bubble_sort	§10.3	85/85	(100%)	22	51	•	•	12
selection_sort	§10.4	67/67	(100%)	15	36	•	•	16
insertion_sort	§10.5	81/81	(100%)	18	50	•	•	13
heap_sort	§10.6	45 / 45	(100%)	8	28	•	•	9
merge	§10.7	111/111	(100%)	30	72	3	1	5

Table A.8.: Results for algorithms related to sorting

Algorithm		Verifi	Individual Provers						
Algorithm		Conditions		QD	AE	<b>Z</b> 3	C4	CQ	
stack_equal	§11.6.1	18/18	(100%)	7	11	•	•		
stack_init	§11.6.2	14/14	(100%)	4	10	•	•	•	
stack_size	§11.6.3	6/ 6	(100%)	1	5	•	•	•	
stack_full	§11.6.4	11/11	(100%)	5	6	•	•	•	
stack_empty	§11.6.5	10/10	(100%)	5	5	•	•	•	
stack_top	§11.6.6	16/16	(100%)	6	10	•	•		
stack_push	§11.6.7	41/41	(100%)	25	16	•	•	•	
stack_pop	§11.6.8	29/29	(100%)	17	12	•	•	•	

Table A.9.: Results for stack functions

Algorithm		Verifi	Individual Provers						
Algorithm		Conc	litions	QD	AE	<b>Z</b> 3	C4	CQ	
stack_size_wd	§11.6.3	12/12	(100%)	8	4	•	•	•	
stack_empty_wd	§11.6.5	12/12	(100%)	8	4	•		•	
stack_top_wd	§11.6.6	12/12	(100%)	8	4	•		•	
stack_push_wd	§11.6.7	15/15	(100%)	3	12	•	•	•	
stack_pop_wd	§11.6.8	12/12	(100%)	6	6	•	•	•	

Table A.10.: Results for the well-definition of the stack functions

Algorithm	Verif	Individual Provers						
Algorithm	Conditions		QD	AE	<b>Z</b> 3	C4	CQ	
axiom_size_of_init	§11.7.1	15/15	(100%)	11	4	•		•
axiom_size_of_push	§11.7.2	12/12	(100%)	9	3	•		•
axiom_top_of_push	§11.7.2	11/11	(100%)	8	3			•
axiom_size_of_pop	§11.7.3	11/11	(100%)	8	3			•
axiom_pop_of_push	§11.7.3	10/10	(100%)	6	4			•
axiom_push_of_pop_top	§11.7.3	15/15	(100%)	9	6	•		•

Table A.11.: Results for stack axioms

## A.3. Verification results (parallel)

In the *parallel verification scenario* each proof obligation is first passed to Frama-C/WP's built-in simplifier Qed. If Qed cannot discharge a proof obligation it is submitted in parallel to *all* the other provers from Table 1.1.<sup>44</sup> Figure A.12 depicts this arrangement of provers. This arrangement of theorem provers makes it a little bit easier to quantify their strength.

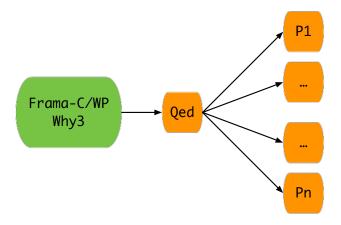


Figure A.12.: Parallel execution of theorem provers

Note that in this scenario we used Frama-C/WP only for the generation and simplification of the proof obligations. For the parallel execution we developed our own (shell) scripts that pass the proof obligations directly through Why3 to the individual provers.

Algorithm	Verification		Individual Provers					
Algorium		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
find	§4.1	25/25	(100%)	16	9	9	9	1
find2	§4.2	27/27	(100%)	14	13	6	13	1
find3	§4.3	31/31	(100%)	8	19	13	19	5
find4	§4.3.4	33/33	(100%)	11	18	7	18	4
find5	§4.3.4	22/22	(100%)	5	13	7	13	4
find_if_not	§4.4	37/37	(100%)	8	23	15	23	7
find_first_of	§4.5	41/41	(100%)	30	11	5	11	1
adjacent_find	§4.6	28/28	(100%)	16	12	8	12	2
mismatch	§4.7	26/26	(100%)	16	10	7	10	1
equal	§4.7	7/7	(100%)	6	1	1	1	•
search	§4.8	44/44	(100%)	32	12	9	12	1
search_n	§4.9	93/93	(100%)	61	32	25	27	5
find_end	§4.10	34/34	(100%)	21	13	7	13	1
count	§4.11	34/34	(100%)	7	20	16	20	8
count2	§4.12	42/42	(100%)	7	25	22	24	11

Table A.13.: Results for non-mutating algorithms

<sup>&</sup>lt;sup>44</sup>We did not include the interactive theorem prover Coq in this setting.

Algorithm		Verifi	Individual Provers					
		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
clamp	§5.3	28/28	(100%)	22	6	6	6	3
make_pair	§5.4	4/4	(100%)	4	•	•	•	
max_element	§5.5	30/30	(100%)	19	11	11	11	3
max_element2	§5.6	30/30	(100%)	18	12	9	12	1
max_seq	§5.7	8/8	(100%)	5	3	3	3	
min_element	§5.8	30/30	(100%)	18	12	9	12	1
minmax_element	§5.9	60/60	(100%)	43	17	13	17	1

Table A.14.: Results for maximum and minimum algorithms

Algorithm		Verifi	Individual Provers					
Aigorium		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
lower_bound	§6.1	19/19	(100%)	5	14	11	14	1
upper_bound	§6.2	19/19	(100%)	7	12	10	12	•
equal_range	§6.3	22/22	(100%)	17	5	2	5	
equal_range2	§6.3	70/70	(100%)	24	39	23	39	12
binary_search	§6.4	10/10	(100%)	8	2	1	2	
binary_search2	§6.4	12/12	(100%)	8	4	1	4	•

Table A.15.: Results for binary search algorithms

Algorithm		Verific	Individual Provers					
Algoriumi		Condi	QD	AE	<b>Z</b> 3	C4	CQ	
fill	§7.2	15/ 15	(100%)	6	9	6	9	1
swap	§7.3	8/ 8	(100%)	5	3	3	3	
swap_ranges	§7.4	23/ 23	(100%)	8	15	11	15	1
сору	§7.5	16/ 16	(100%)	6	10	8	10	1
copy_backward	§7.6	17/ 17	(100%)	7	10	7	10	1
reverse_copy	§7.7	18/ 18	(100%)	5	13	11	13	2
reverse	§7.8	24/ 24	(100%)	5	19	15	19	2
rotate_copy	§7.9	17/ 17	(100%)	5	12	10	12	
rotate	§7.10	24/ 24	(100%)	10	14	6	13	
replace_copy	§7.11	19/ 19	(100%)	7	12	10	12	1
replace	§7.12	16/ 16	(100%)	6	10	8	10	1
remove_copy	§7.13	24/ 24	(100%)	9	15	10	15	•
remove_copy2	§7.14	75/ 75	(100%)	10	46	33	45	18
remove_copy3	§7.15	109 / 109	(100%)	12	74	53	72	24
remove	§7.16	104/104	(100%)	10	70	50	67	25
shuffle	§7.17	56/ 56	(100%)	13	34	24	35	9
random_number	§7.18	33/ 33	(100%)	19	14	13	14	1

Table A.16.: Results for mutating algorithms

Algorithm	Verifi	Individual Provers						
Algorithm	Cond	QD	AE	Z3	<b>C</b> 4	CQ		
iota	§8.1	17/17	(100%)	9	8	7	8	1
accumulate	§8.2	23/23	(100%)	6	15	11	15	4
inner_product	§8.3	25/25	(100%)	6	17	15	17	3
partial_sum	§8.4	56/56	(100%)	16	38	24	35	4
adjacent_difference	§8.5	35/35	(100%)	11	24	21	24	1
partial_sum_inv	§8.6	39/39	(100%)	8	28	16	28	6
adjacent_difference_inv	§8.6	39/39	(100%)	8	28	16	28	6

Table A.17.: Results for numeric algorithms

Algorithm		Verific	Individual Provers						
Algorithm		Condi	QD	AE	Z3	C4	CQ		
heap_parent	§9.3	11/ 11	(100%)	3	8	8	8	1	
heap_child	§9.3	38/ 38	(100%)	7	30	27	30	2	
is_heap_until	§9.4	34/ 34	(100%)	6	27	23	26	5	
is_heap	§9.5	19/ 19	(100%)	5	13	10	13	2	
push_heap	§9.7	117/117	(100%)	31	73	50	77	14	
pop_heap	§9.8	134/134	(100%)	35	86	58	90	16	
make_heap	§9.9	64/64	(100%)	17	38	30	39	10	
sort heap	§9.10	73 / 73	(100%)	17	44	33	45	18	

Table A.18.: Results for heap algorithms

Algorithm		Verific	Individual Provers					
Aigorium	Condi	QD	AE	<b>Z</b> 3	C4	CQ		
is_sorted	§10.1	18/ 18	(100%)	7	8	5	8	4
partial_sort	§10.2	146/146	(100%)	39	88	56	88	22
bubble_sort	§10.3	85/85	(100%)	22	51	39	46	18
selection_sort	§10.4	67/67	(100%)	15	36	33	35	17
insertion_sort	§10.5	81/81	(100%)	18	50	31	46	16
heap_sort	§10.6	45 / 45	(100%)	8	28	22	29	10
merge	§10.7	111/111	(100%)	30	72	43	72	17

Table A.19.: Results for algorithms related to sorting

Algorithm		Verifi	Individual Provers					
Algorithm		Conditions		QD	AE	Z3	C4	CQ
stack_equal	§11.6.1	18/18	(100%)	7	11	7	11	•
stack_init	§11.6.2	14/14	(100%)	4	10	8	10	
stack_size	§11.6.3	6/ 6	(100%)	1	5	3	5	1
stack_full	§11.6.4	11/11	(100%)	5	6	4	6	
stack_empty	§11.6.5	10/10	(100%)	5	5	3	5	
stack_top	§11.6.6	16/16	(100%)	6	10	8	10	1
stack_push	§11.6.7	41/41	(100%)	25	16	14	16	
stack_pop	§11.6.8	29/29	(100%)	17	12	10	12	

Table A.20.: Results for stack functions

Algorithm		Verifi	Individual Provers					
Algorithm		Cond	litions	QD	AE	<b>Z</b> 3	C4	CQ
stack_size_wd	§11.6.3	12/12	(100%)	8	4	2	4	•
stack_empty_wd	§11.6.5	12/12	(100%)	8	4	2	4	
stack_top_wd	§11.6.6	12/12	(100%)	8	4	1	4	
stack_push_wd	§11.6.7	15/15	(100%)	3	12	6	11	
stack_pop_wd	§11.6.8	12/12	(100%)	6	6	3	6	•

Table A.21.: Results for the well-definition of the stack functions

Algorithm		Verification		Individual Provers				
		Conditions		QD	AE	<b>Z</b> 3	C4	CQ
axiom_size_of_init	§11.7.1	15/15	(100%)	11	4	2	4	1
axiom_size_of_push	§11.7.2	12/12	(100%)	9	3	1	3	
axiom_top_of_push	§11.7.2	11/11	(100%)	8	3	1	3	•
axiom_size_of_pop	§11.7.3	11/11	(100%)	8	3	1	3	•
axiom_pop_of_push	§11.7.3	10/10	(100%)	6	4	2	4	•
axiom_push_of_pop_top	§11.7.3	15/15	(100%)	9	6	4	6	

Table A.22.: Results for stack axioms

# B. Changes in previous releases

This chapter describes the changes in previous versions of this document. For the most recent changes we refer to Chapter 1.

The version numbers of this document are related to the versioning of Frama-C [2]. The versions of Frama-C are named consecutively after the elements of the periodic table. Therefore, our version numbering (X.Y.Z) are constructed as follows:

- **X** the major number of our tutorial is the atomic number<sup>45</sup> of the chemical element after which Frama-C is named.
- Y the Frama-C subrelease number
- **Z** the subrelease number of this tutorial

## B.1. New in Version 21.1.1 (Scandium, September 2020)

This release is intended for Frama-C [2, v21.1] issued in June 2020. We are also using for this release the Why3 platform [3, v1.3.3] and the provers listed in the following table.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.3	[4]
CVC4	automatic	1.7	[5]
<b>Z</b> 3	automatic	4.8.6	[6]
Coq	interactive	8.9.1	[7]

Table B.1.: Information on automatic and interactive theorem provers

Note that all automatic provers use the Why3 interface. However, the interactive prover Coq still relies on the native interface provided by Frama-C/WP.

#### **New examples**

None.

- general changes
  - disable CVC3 and switch back to Z3 4.8.6
  - refactor Coq proofs
  - reduce general timeout to 1s (Coq timeout 5s)

<sup>&</sup>lt;sup>45</sup>See http://en.wikipedia.org/wiki/Atomic\_number

- improve loop invariants of remove\_copy3 and remove to reduce timeout
- add lemma AdjacentDifference\_InverseBounds to reduce timeout of adjacent\_difference\_inv robust
- add lemmas Count\_Single, Count\_Single\_Bounds, Count\_Single\_Shift and Count\_Cut
- heap algorithms
  - rework push\_heap and add more assertions to reduce timeout
  - rework pop\_heap and finally verify property reorder
  - add predicates ArrayUpdate, MultisetParity, MultisetUpdate and supporting lemmas
  - remove predicate PushHeapAdjust and accompanying lemmas
  - rename heap\_child\_max to heap\_child and improve both contract and implementation
  - add lemma HeapParent\_Zero
- sorting and reordering
  - rework contract and annotations of merge
  - no need more for option -wp-split
  - add lemma WeaklyIncreasing\_Shrink
  - add lemma WeaklyIncreasing\_Unchanged
  - add more annotations to bubble\_sort to reduce timeout
  - add lemma MultisetSwap\_FrontMiddle

### Open issues

• The contract of algorithm merge does not handle the reordering of the involved arrays.

### Renaming of ACSL definitions

We sometimes rename predicates, logic functions or lemmas in order to make them more precise or make the naming more consistent.

• rename suffix \_Read to \_Unchanged in names of lemmas

Old name	New name
EqualRanges	Equal
Equal_Increasing	Increasing_Equal
Equal_Count	Count_Equal
MultisetUnchanged	MultisetReorder
MultisetUnchanged_Union	MultisetReorder_DisjointUnion
Reorder_Match	MultisetReorder_SomeEqual
Reorder_LowerBound	MultisetReorder_LowerBound
Reorder_LowerBounds	MultisetReorder_PartitionLowerBound
Reorder_UpperBound	MultisetReorder_UpperBound
SwappedInside	ArraySwap
SwappedInside_Reorder	MultisetSwap_Middle

## B.2. New in Version 21.1.0 (Scandium, July 2020)

This release is intended for Frama-C [2, v21.1] issued in June 2020. We are also using for this release the Why3 platform [3, v1.3.1] and the provers listed in the following table.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.2	[4]
CVC4	automatic	1.7	[5]
CVC3	automatic	2.4.1	[30]
<b>Z</b> 3	automatic	4.8.8	[6]
Coq	interactive	8.9.1	[7]

Table B.2.: Information on automatic and interactive theorem provers

Note that all automatic provers use the Why3 interface. However, the interactive prover Coq still relies on the native interface provided by Frama-C/WP.

### **New examples**

None.

- Improve many code annotations in order to maintain the verification rate and to reduce timeout values.
- Use predicate WeaklyIncreasing instead of Increasing in the assertions and invariants of sorting algorithms. This allows the removal of lemma IncreasingUpperBound.
- Add Cog to parallel verification
- Replace an ACSL lemma on integer division by a Coq lemma in driver.
- remove\_copy and remove
  - Remove logic helper function NextNotEqual for RemovePartition which served as a workaround in Frama-C 20.
  - Remove lemma RemovePartition\_StrictlyIncreasing.
  - Make the definition of predicate Remove more flexible.
  - Remove lemma Remove\_Update.
- heap algorithms
  - Simplify definition of predicates MultisetRetainRest and MultisetMinus.
  - Add predicate PushHeapAdjust.
  - Add lemmas PushHeapAdjust\_Init and PushHeapAdjust\_Finish.
  - Rename lemma MultisetPushHeapRetain to PushHeapAdjust\_Retain.
  - Add predicate HeapCompatible and lemmas Heap\_Shrink, Heap\_Unchanged and Heap\_Update.
  - Improve annotations of push\_heap and pop\_heap.

- Remove predicate HeapChildMax and simplify the contract of heap\_child\_max.
- Remove lemma SwappedInside\_Preserve which was of limited usefulness.
- Add lemmas Accumulate\_Init, AccumulateBounds\_Read and Accumulate\_Read\_Shrink, which were suggested by Allan Blanchard, in to simplify the verification of partial\_sum and adjacent\_difference\_inv.
- Add lemmas Unchanged\_Symmetric and MultisetUnchanged\_Symmetric.

**Open issues** The following algorithms and/or lemmas are not completely verified

- pop\_heap
- merge

## B.3. New in Version 20.0.2 (Calcium, April 2020)

This release is intended for Frama-C [2, v20.0] issued in December 2019. We are also using for this release the Why3 platform [3, v1.2.1] and the provers listed in the following table.

Type	Version	Reference
automatic	2.3.2	[4]
automatic	1.6	[5]
automatic	2.4.1	[30]
automatic	4.8.6	[6]
interactive	8.9.1	[7]
	automatic automatic automatic automatic	automatic 2.3.2 automatic 1.6 automatic 2.4.1 automatic 4.8.6

Table B.3.: Information on automatic and interactive theorem provers

Note that all automatic provers use the Why3 interface. However, the interactive prover Coq still relies on the native interface provided by Frama-C/WP.

#### New examples

- Add examples find4 and find5 that verify the equivalence of the contracts of find2 and find3.
- Add example find\_if\_not.

- Add indices for examples and logic definitions.
- Re-add results of running all provers in parallel. Thanks to Allan Blanchard for explaining how Frama-C/WP's *session* mechanism can be used in the implementation.
- Fix a ghost label in partial\_sort. Thanks to Virgile Prevosto for pointing out stricter checks in upcoming releases of Frama-C.
- Reduce very long verification times of several examples.
  - Add assertion unchanged to empty else branch of remove\_copy3.
  - Add assertion reorder to empty else branch of shuffle.

- Rewrite assertion update of remove.
- Add another assertion heap to push\_heap.
- Remove chapter on unique\_copy because on its reliance on axioms. Moreover, the main ideas are already extensively discussed in the sections on remove\_copy and remove.
- Verify properties of operator < within example clamp.
- Improve admitted Coq proof of Reorder\_Match.
- Fix misplaced arrow in figure of equal\_range algorithm

**Open issues** The following algorithms and/or lemmas are not completely verified

- pop\_heap (property reorder)
- merge (property reorder)
- Reorder\_Match

## B.4. New in Version 20.0.1 (Calcium, March 2020)

This release is intended for Frama-C [2, v20.0] issued in December 2019. We are also using for this release the Why3 platform [3, v1.2.1] and the provers listed in the following table.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.1	[4]
CVC4	automatic	1.6	[5]
CVC3	automatic	2.4.1	[30]
<b>Z</b> 3	automatic	4.8.6	[6]
Coq	interactive	8.9.1	[7]

Table B.4.: Information on automatic and interactive theorem provers

Note that all automatic provers use the Why3 interface. However, the interactive prover Coq still relies on the native interface provided by Frama-C/WP.

### **New examples**

• add a third version of find that is specified using the new logic function Find

- improve text in many places
- improve specification of remove\_copy and remove
  - provide an explicit definition of RemovePartition that allows to replace axioms by lemmas
  - rename predicate ConstantRange to AllEqual and add its negation SomeNotEqual
  - add logic functions CountNotEqual and FindNotEqual

- place all logic definitions in axiomatic blocks to better control generated names
- make names of ACSL predicates, functions and lemmas more uniform and place them together in files where appropriate
- among the renamed ACSL entities are
  - rename predicate HasValue to SomeEqual and add its negation NoneEqual
  - rename lemma HasValueImpliesPositiveCount to SomeEqualCount
  - rename lemma PositiveCountImpliesHasValue to Count\_SomeEqual
  - rename RotatePreservesStrictLowerBound to CircularShift\_StrictLowerBound
  - rename RotateImpliesMultisetUnchanged to CircularShiftMultisetUnchanged

### Open issues

The following algorithms and/or lemmas are not completely verified

- pop\_heap
- Reorder\_Match

## B.5. New in Version 20.0.0 (Calcium, December 2019)

Aside from the above-mentioned version of Frama-C we are using for this release the Why3 platform [3, v1.2.1] and the provers listed in the following table. Note that all automatic provers are use the Why3 interface. In other words, we do not use anymore the native interface for Alt-Ergo.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.0	[4]
CVC4	automatic	1.6	[5]
CVC3	automatic	2.4.1	[30]
<b>Z</b> 3	automatic	4.8.6	[6]
Coq	interactive	8.9.1	[7]

Table B.5.: Information on automatic and interactive theorem provers

### **New examples**

• add bubble sort

- remove Why3 and Alt-Ergo lemmas from driver
- switch from memory model 'Typed+Ref' to 'Typed'
- the E theorem prover is not yet supported by this version of Frama-C
- no results on parallel verification are reported in this release

- rewrite random\_shuffle to shuffle
  - adapt signature of random\_number
  - add auxiliary function random\_init
- replace, where applicable, ghost labels by loop labels or statement labels
- remove lemma SwapImpliesMultisetUnchanged by using predicate SwappedInside and its related lemmas
- improve specification and verification rate of numeric algorithms
  - resolve overloaded version of Accumulate into AccumulateDefault
  - resolve overloaded version of AccumulateBounds into AccumulateDefaultBounds
  - improve definition of predicate PartialSum
  - add lemmas Difference\_Zero and Difference\_Next
  - add predicate DefaultBounds
- add assigns in behaviors of maxmin and non-mutating algorithms
  - find, find2, find\_first\_of, adjacent\_find, mismatch, search, find\_end
  - max\_element, max\_element2, min\_element, minmax\_element
- rename predicate Sorted to Increasing; also rename related logic names
  - rename EqualRangesPreservesSorted → EqualRangesPreservesIncreasing
  - rename SortedUpperBound → IncreasingUpperBound
  - rename WeaklySortedAddElement → WeaklyIncreasingAddElement
  - rename WeaklySortedShift → WeaklyIncreasingShift
  - rename EqualRangesWeaklySorted → EqualRangesWeaklyIncreasing
  - rename WeaklySortedJoin → WeaklyIncreasingJoin
  - rename WeaklySortedLemmas → WeaklyIncreasingLemmas
  - rename SortedIFFWeaklySorted → IncreasingIFFWeaklyIncreasing
  - rename SortedImpliesWeaklySorted → IncreasingImpliesWeaklyIncreasing
  - rename WeaklySortedImpliesSorted → WeaklyIncreasingImpliesIncreasing
  - rename WeaklySorted → WeaklyIncreasing
  - rename SortedShift → Increasing\_Shift
- remove lemma SortedDownIsHeap

### Open issues

The following algorithms and/or lemmas are not completely verified

- adjacent\_difference\_inv
- pop\_heap
- random\_number
- ReorderImpliesMatch

### B.6. New in Version 19.1.0 (Potassium, October 2019)

This release is intended for Frama-C 19.1 (*Potassium*), issued in September 2019. [2]

Aside from the above-mentioned version of Frama-C we are using for this release the Why3 platform [3, v1.2.0] and the provers listed in the following table. Note that all automatic provers are use the Why3 interface. In other words, we do not use anymore the native interface for Alt-Ergo.

Prover	Type	Version	Reference
Alt-Ergo	automatic	2.3.0	[4]
CVC4	automatic	1.6	[5]
CVC3	automatic	2.4.1	[30]
<b>Z</b> 3	automatic	4.8.6	[6]
Е	automatic	2.3	[31]
Coq	interactive	8.9.1	[7]

Table B.6.: Information on automatic and interactive theorem provers

#### **Improvements**

- Rename arguments of search and find\_end and improve also the description of these algorithms.
- Rename and reorder arguments of search\_n, make the verification more robust and improve its description.
- Make verification of property size of remove\_copy2 more robust.
- Explain role of lemma RemoveImpliesNotHasValue in remove\_copy3 and remove.
- Simplify definition of RemoveSize and RemovePartition.
- Make verification of property reorder of partial\_sort more robust.
- Strengthen precondition of replace\_copy.
- Rename lemma random\_number\_modulo into RandomNumberModulo.
- Differentiate between properties unique and solitary for unique\_copy examples.
- Simplify the implementation of is\_heap by calling the new function is\_heap\_until.
- Replace remaining instances of label Pre in contracts by Old.
- Unify use of Unchanged predicate for mutating algorithms.

#### **New examples**

- Add the algorithm clamp which "clips" a value between a pair of boundary values.
- Add the algorithm minmax\_element and improve description of other algorithms related to finding minimum and maximum values.
- Add new example is\_heap\_until that generalizes is\_heap.
- The following examples are not new since they were implicitly used as helper functions for other examples. They are now explicitly listed as examples.
  - make\_pair
  - random\_number
  - heap\_parent
  - heap\_child\_max (formerly known as heap\_maximum\_child

### Open issues

The following algorithms and/or lemmas are not completely verified

- adjacent\_difference\_inv
- partial\_sum\_inv
- pop\_heap
- ReorderImpliesMatch

### B.7. New in Version 19.0.0 (Potassium, June 2019)

- Structure of document
  - The document is now structured into several parts.
  - The chapter on classic sorting algorithms has been merged into the chapter on sorting.
  - The various variants of unique\_copy are now grouped into a separate chapter.
- Fix various inconsistencies
  - Change the return types of the logic functions Accumulate, Difference, Capacity, Size, Top from bounded one (e.g., value\_type, size\_type) to integer. A combination of bounded type for a logic function with an arithmetic operations in the logical definitions may lead to inconsistency. This fixes the inconsistencies in the accumulate, stack and stack\_wd examples.
  - Fix an inconsistency in DifferenceRead axiom: restriction on the array size added to premises.
- Various improvements
  - An important change is the rewriting of the implicit, *axiomatic* definitions of Accumulate, Count, Difference, InnerProduct and UniqueSize logic functions to explicit, *recursive* ones. Accordingly, all axioms in the respective examples have been rewritten as lemmas.
  - Generalize CountSectionMonotonic, UnchangedSection lemmas: remove restriction on lower bound for the range.

- Fix typo in postcondition of find.
- Rewrite specifications of remove\_copy and remove examples.
- Rename predicate RemoveCount to RemoveSize.
- Gather all versions of MultisetRetainRest in section on push\_heap.
- Add another figure to highlight simple contract for unique\_copy.
- Adapt Coq proofs to the fact that the Z scope is not available by default.

### • New examples

- Add count 2 example with an inductive predicate instead of a logic function in count.
- Add merge example.

#### • Infrastructure

- Travis-CI configuration for the GitHub repository added as an illustrative example of how the verification results could be reproduced.
- Add support for Frama-C/AstraVer plugin.

### B.8. New in Version 18.0.0 (Argon, December 2018)

- Replace the links to the (now abandoned) original site of *Standard Template Library* (STL) by references to the C++ standard.
- Add new algorithm unique\_copy (two versions).
- Add another assertion half for reverse.
- Add two overloaded versions of predicate ConstantRange and use them for the algorithms fill and unique\_copy, respectively.

## B.9. New in Version 17.1.0 (Chlorine, July 2018)

The exact version number of Frama-C originally was Chlorine-20180502. This version number was changed in October 2018 to 17.1

- Slightly change the definition of predicate HasEqualNeighbors and its use in the specification of adjacent\_find.
- Remove the algorithm remove and the more elaborate version of remove\_copy. We are currently working on new specifications of these algorithms.
- Adapt some Coq proofs related to the logic function Count in order to reflect changes in output of Frama-C/WP.
- Remove table on ACSL lemmas that had to be proved by Coq.

### **B.10.** New in Version 16.1.1 (Sulfur, March 2018)

- fix several errors reported by Aaron Rocha, including,
  - fix an error in figure for upper\_bound algorithms
- fix merging of contracts in second version of binary\_search
- improve and justify the retain annotations of in the implementation of remove
- Alt-Ergo is now directly called in the parallel setting (instead of going through Why3) to be compatible with the sequential setting
- add a third assertion reorder in the random\_shuffle body to keep verification rate at 100% after prover upgrade

## B.11. New in Version 16.1.0 (Sulfur, December 2017)

- special thanks to Aaron Rocha who provided various improvements for Chapters 4, 5, and 6
- improve some mutating algorithms
  - add more assertions to reverse to reduce reliance on CVC3
  - improve structure and ACSL annotations of remove\_copy and remove
    - \* add overloaded version of predicate MultisetRetainRest
    - \* add lemma HasValueImpliesPositiveCount
    - \* add lemma PositiveCountImpliesHasValue
    - \* remove lemma HasValueShiftInversion
    - \* remove lemma HasValueCountInversion
  - add custom lemma random\_number\_modulo for random\_shuffle
- add new Chapter 10 with more algorithms related to sorting
  - add algorithm is\_sorted including predicate WeaklyIncreasing
    - \* add lemma IncreasingImpliesWeaklyIncreasing
    - \* add lemma WeaklyIncreasingImpliesIncreasing
  - add algorithm partial\_sort including predicate Partition
    - \* add lemma ReorderImpliesMatch
    - \* add lemma ReorderPreservesUpperBound
    - \* add lemma ReorderPreservesLowerBound
    - \* add lemma PartialReorderPreservesLowerBounds
    - \* add lemma SwappedInside
    - \* add lemma SwappedInsideMultisetUnchanged
    - \* add lemma SwappedInsidePreservesMultisetUnchanged

- improve various lemmas
  - rename lemma SortedUp to IncreasingUpperBound
  - generalize lemma UnchangedSection
  - refactor lemma HeapBounds into C\_Division\_Two

## B.12. New in Version 15.1.2 (Phosphorus, October 2017)

- fix several typos reported by seniorlackey@github (thanks a lot!)
- add a new chapter on classic sorting algorithms which comprises
  - selection\_sort including lemma SwapImpliesMultisetUnchanged
  - insertion\_sort including lemmas
    - \* RotatePreservesStrictLowerBound
    - \* RotateImpliesMultisetUnchanged
    - \* EqualRangesPreservesIncreasing
    - \* EqualRangesPreservesCount
  - heap\_sort
- heap algorithms
  - remove length requirements in pop\_heap, sort\_heap, make\_heap, and heap\_sort
    - \* introduce SIZE\_TYPE\_MAX to catch border cases in ACSL and C
  - improve description of pop\_heap
    - \* add predicate HeapChildMax
    - \* provide the auxiliary function heap\_child\_max
    - \* the postcondition reorder is still not verified
  - improve description of push\_heap
  - other, minor improvements
    - \* add auxiliary function heap\_parent
    - \* add predicate SortedDown and lemma SortedDownIsHeap
    - \* add lemmas HeapParentChild and HeapChilds
    - \* add lemmas HeapParentBounds and HeapChildBounds

## **B.13.** New in Version 15.1.1 (Phosphorus, September 2017)

- add ensures clause to default behavior of the following algorithms
  - find, find\_first\_of, adjacent\_find, mismatch, search, search\_n, find\_end

- max\_element, min\_element
- rewrite axiomatic definitions to ensure disjoint guards which is better suited for E-ACSL
  - concerns the axiomatic definitions of Count, Accumulate, InnerProduct and Difference
  - some Coq proofs related to Count had to be adapted as well
- shorten names of some auxiliary algorithms
  - adjacent\_difference\_inverse → adjacent\_difference\_inv
  - partial\_sum\_inverse → partial\_sum\_inv
- heap algorithms
  - fix a typo in Figure 9.3
  - fix a typo in Figure 9.38
  - explain that there can be multiple representations of an array as a heap
  - add a version of pop\_heap that is, however, not completely verified

## B.14. New in Version 15.1.0 (Phosphorus, June 2017)

- The verification results are now part of the appendix.
- Fix an error in the specification of the well-definition of stack\_size.
- This release of Frama-C/WP could not discharge some of our assertions of push\_heap. We therefore have completely rewritten the annotations and also tweaked the implementation of push\_heap. We also added some new predicates and lemmas to maintain a concise specification that can easily be verified by automatic provers.
  - add predicate MultisetAdd and lemma MultisetAdd\_Distinct
  - add predicate MultisetMinus and lemma MultisetMinus\_Distinct
  - add predicate MultisetRetain and lemma MultisetPushHeapRetain
  - provide an additional version of predicate MultisetRetainRest
  - and lemma MultisetPushHeapClosure

## B.15. New in Version 14.1.1 (Silicon, April 2017)

- changes in verification infrastructure
  - add verification results for the case where each proof obligation is submitted to all automatic theorem provers
- changes in algorithms
  - simplify loop invariants of search\_n and improve description
  - rename predicate CountOneHit to CountHit

- rename predicate CountOneMiss to CountMiss
- rewrite predicates EqualRanges and Reverse in order to simplify the task for automatic theorem provers
- remove lemmas on Reverse that were necessary for rotate but are not needed anymore
- rename predicate Valid(Stack\*) to Invariant(Stack\*) and remove \valid from Invariant(Stack\*)
- add a simple random number generator to random\_shuffle and verify it
- fix an inconsistency in the axioms for Count (thanks to Denis Efremov for reporting this issue)
  - add more guards to axioms CountSectionHit and CountSectionMiss
  - add corresponding guards to lemmas
    - \* CountSectionOne, CountHit, CountMiss and CountOne
    - \* RemoveCountHit and RemoveCountMiss
  - add lemma Unchanged\_Shift and add more assertions to remove in order to simplify the task for automatic theorem provers

## B.16. New in Version 14.1.0 (Silicon, January 2017)

- use label Old instead of Pre in function contracts
- add algorithm rotate
- rewrite definition of predicates EqualRanges and Reverse and provide more overloaded versions
- add figures for algorithms rotate and replace\_copy
- update figure for predicate Reverse
- update Coq proofs and add a table with more information on the ACSL lemmas that had to be verified with Coq

## B.17. New in Version 13.1.1 (Aluminium, November 2016)

- improve layout of tables of verification results
- use two additional automatic theorem provers (CVC3 and E)
- non-mutating algorithms
  - add algorithm find\_end
  - add definition of predicate HasSubRange on subranges
  - add definition of predicate EqualRanges on subranges
  - rename lemma HasSubRange\_fit\_size to HasSubRangeSize
  - rename lemma HasConstantSubRange\_fit\_size to HasSubRangeSize
  - rename logic function Count Section to Count (using overloading in ACSL)

- add lemma HasValueCountInversion
- add lemma HasValueShiftInversion
- add lemma Count\_Shift
- mutating algorithms
  - add algorithm copy\_backward
  - relax precondition on separation of copy, replace\_copy and remove\_copy
  - provide a more sophisticated implementation of remove
  - re-introduce a second version of remove\_copy that also specifies the *stability* of the algorithm
  - add algorithm random\_shuffle

## B.18. New in Version 13.1.0 (Aluminium, August 2016)

The most notable changes of this version are the re-introduction of heap algorithms in Chapter 9. This new description of heap algorithms is based to a large extend on the bachelor thesis of one of the authors [23].

- provide names ("labels") for more ACSL annotations
- non-mutating algorithms
  - reorder and improve description in chapter on non-mutating algorithms
  - add more figures to describe algorithms
  - add non-mutating algorithm search n
  - rewrite logic function Count with new logic function CountSection
  - move lemmas Count\_Bounds and CountMonotonic to separate files
  - use integer instead of size\_type in HasSubRange
  - change index computation in HasEqualNeighbors
- maximum and minimum algorithms
  - isolate predicate ConstantRange from predicates on lower and upper bounds
  - fix typo in precondition of first version of max\_element
- binary search algorithms
  - add version Sorted for subranges
  - add second (more efficient) version of equal\_range
    - \* add lemmas SortedShift, LowerBound\_Shift, StrictLowerBound\_Shift, UpperBound\_Shift and StrictUpperBound\_Shift to support the automatic verification of this version of equal range
  - add figures to binary search algorithms and improve description
- mutating algorithms
  - greatly reduce the number of assertions needed to verify the first version remove\_copy

- temporarily remove the second version of remove\_copy which also specified the stability of the algorithm
- add remove, an in-place variant of remove\_copy
- rename predicate RetainAllButOne to MultisetRetainRest
- re-introduce chapter on heap algorithms
  - includes the heap algorithms is\_heap, push\_heap, make\_heap and sort\_heap
  - for pop\_heap only a function contract is provided in this version
  - add lemma SortedUp to support verification of sort\_heap
  - add several lemmas to combine the predicates Unchanged and MultisetUnchanged

## B.19. New in Version 12.1.0 (Magnesium, February 2016)

A main goal of this release is to reduce the number of proof obligations that cannot be verified automatically and therefore must be tackled by an interactive theorem prover such as Coq. To this end, we analyzed the proof obligations (often using Coq) and devised additional assertions or ACSL lemmas to guide the automatic provers. Often we succeeded in enabling automatic provers to discharge the concerned obligations. Specifically, whereas the previous version 11.1.1 of *ACSL by Example* listed *nine* proof obligations that could only be discharged with Coq, the document at hand (version 12.1.0) only counts *five* such obligations. Moreover, all these remaining proof obligations are associated to ACSL lemmas, which are usually easier to tackle with Coq than proof obligations directly related to the C code. The reason for this is that ACSL lemmas usually have a much smaller set of hypotheses.

Adding assertions and lemmas also helps to alleviate a problem in Frama-C/WP Magnesium and Sodium where prover processes are not properly terminated.<sup>46</sup> Left-over "zombie processes" lead to a deterioration of machine performance which sometimes results in unpredictable verification results.

- mutating algorithms
  - simplify annotations of replace\_copy and add new algorithm replace
    - \* add predicate Replace to write more compact post conditions and loops invariants
  - add several lemmas for predicate Unchanged and use predicate Unchanged in postconditions of mutating and numeric algorithms
  - simplify annotations of reverse
    - \* rename Reversed to Reverse (again) and provide another overloaded version
    - \* add figure to support description of the Reverse predicate
  - changes regarding remove\_copy
    - \* rename PreserveCount to RetainAllButOne
    - \* rename StableRemove to RemoveMapping
    - \* add statement contracts for both versions of remove\_copy such that only ACSL lemmas require Coq proofs

<sup>&</sup>lt;sup>46</sup>See https://bts.frama-c.com/view.php?id=2154

- numeric algorithms
  - define limits VALUE\_TYPE\_MIN and VALUE\_TYPE\_MAX
  - simplify specification of iota by using new logic function Iota
  - simplify implementation of accumulate
    - \* add overloaded predicates AccumulateBounds
    - \* add lemmas AccumulateDefault0, AccumulateDefault1, AccumulateDefaultNext, and AccumulateDefault\_Read
  - simplify implementation of inner\_product
    - \* add predicates ProductBounds and InnerProductBounds
  - enable automatic verification of partial\_sum
    - \* add lemmas PartialSumSection, PartialSumUnchanged, PartialSum\_Step , and PartialSumStep2 to automatically discharge loop invariants
  - enable automatic verification of adjacent\_difference
    - \* add logic function Difference and predicate AdjacentDifference
    - \* add predicate AdjacentDifferenceBounds
    - \* add lemmas AdjacentDifference\_Step and AdjacentDifference\_Section to automatically discharge proof obligation
  - add two auxiliary functions partial\_sum\_inverse and adjacent\_difference\_inverse in order to verify that partial\_sum and adjacent\_difference are inverse to each other
    - \* add lemmas PartialSumInverse and AdjacentDifferenceInverse to support the automatic verification of the auxiliary functions
- stack functions
  - add lemma StackPush\_Equal to enable the automatic verification of the well-definition of stack\_push

## **B.20.** New in Version 11.1.1 (Sodium, June 2015)

- add Chapter on numeric algorithms
  - move iota algorithm to numeric algorithms (§8.1)
  - add accumulate algorithm (§8.2)
  - add inner\_product algorithm (§8.3)
  - add partial\_sum algorithm (§8.4)
  - add adjacent\_difference algorithm (§8.5)

### **B.21.** New in Version 11.1.0 (Sodium, March 2015)

- Use built-in predicates \valid and \valid\_read instead of valid\_range.
- Simplify loop invariants of find\_first\_of.
- Replace two loop invariants of remove\_copy by ACSL lemmas.
- Rename several predicates
  - IsEqual → EqualRanges.
  - IsMaximum → MaxElement.
  - IsMinimum → MinElement.
  - Reverse → Reversed.
  - IsSorted → Sorted.
- Several changes for stack:
  - Rename stack functions from foo\_stack to stack\_foo.
  - Equality of stacks now ignores the capacity field. This is similar to how equality for objects
    of type std::vector<T> is defined. As a consequence stack\_full is not well-defined
    any more. Other stack functions are not effected.
  - Remove all assertions from stack functions (including in axioms).
  - Describe predicate Separated in text.

## **B.22.** New in Version 10.1.1 (Neon, January 2015)

- use option -wp-split to create simpler (but more) proof obligations
- simplify definition of predicate Count
- add new predicates for lower and upper bounds of ranges and use it in
  - max\_element
  - min\_element
  - lower\_bound
  - upper\_bound
  - equal\_range
  - fill
- use a new auxiliary assertion in equal\_range to enable the complete *automatic* verification of this algorithm
- add predicate Unchanged and use it to simplify the specification of several algorithms
  - swap\_ranges
  - reverse
  - remove\_copy

- stack\_push and stack\_push\_wd
- stack\_pop and stack\_pop\_wd
- add predicate Reverse and use it for more concise specifications of
  - reverse\_copy
  - reverse
- several changes in the two versions of remove\_copy
  - use predicate HasValue instead of logic function Count
  - add predicate PreserveCount
  - reformulate logic function RemoveCount
  - add predicate StableRemove
  - add predicate RemoveCountMonotonic
  - add predicate RemoveCountJump
- use overloading in ACSL to create shorter logic names for stack
- remove unnecessary labels in several stack functions

## B.23. New in Version 10.1.0 (Neon, September 2014)

- remove additional labels in the assumes clauses of some stack function that were necessary due to an error in Oxygen
- provide a second version of remove\_copy in order to explain the specification of the *stability* of the algorithms
- coarsen loop assigns of mutating algorithms
- temporarily remove the unique\_copy algorithm

## B.24. New in Version 9.3.1 (Fluorine, not published)

- specify bounds of the return value of count and fix reads clause of Count predicate
- use an auxiliary function make\_pair in the implementation of equal\_range
- provide more precise loop assigns clauses for the mutating algorithms
  - simplify implementation of fill
  - removed the ensures \valid(p) clause in specification of swap
  - simplify implementation of swap\_ranges
  - simplify implementation of copy
  - fix implementation of reverse\_copy after discovering an undefined behavior
  - new implementation of reverse that uses a simple for-loop

- simplify implementation of replace\_copy
- refactor specification and simplify implementation of remove\_copy
- remove work-around with Pre-label in assumes clauses of stack\_push and stack\_pop

### B.25. New in Version 9.3.0 (Fluorine, December 2013)

- adjustments for *Fluorine* release of Frama-C
- swap now ensures that its pointer arguments are valid after the function has been called
- change definition of size\_type to unsigned int
- change implementation of the iota algorithm. The content of the field a is calculated by increasing the value val instead of sum val+i.
- change implementation of fill.
- The specification/implementation of stack has been revised by Kim Völlinger [26] and now has a much better verification rate.

## B.26. New in Version 8.1.0 (Oxygen, not published)

- simplified specification and loop annotations of replace\_copy
- add binary search variant equal\_range
- greatly simplified specification of remove\_copy by using the logic function Count
- remove chapter on heap operations

## B.27. New in Version 7.1.1 (Nitrogen, August 2012)

- improvements with respect to several suggestions and comments of Yannick Moy, e.g., specification refinements of remove\_copy, reverse\_copy and iota
- restricted verification of algorithms to Frama-C/WP with Alt-Ergo
- replaced deprecated \valid\_range by \valid
- fixed inconsistencies in the description of the stack data type
- binary search algorithms can now be proven without additional axioms for integer division
- changed axioms into lemmas to document that provability is expected, even if not currently granted
- adopted new Fraunhofer logo and contact email

## B.28. New in Version 7.1.0 (Nitrogen, December 2011)

• changed to Frama-C Nitrogen

- changed to Why 2.30
- discussed both plug-ins Frama-C/WP and Jessie
- removed swap\_values algorithm

### B.29. New in Version 6.1.0 (Carbon, not published)

- changed definition of stack
- renamed reset\_stack to init\_stack

### B.30. New in Version 5.1.1 (Boron, February 2011)

- prepared algorithms for checking by the new Frama-C/WP plug-in of Frama-C
- changed to Alt-Ergo Version 0.92, Z3 Version 2.11 and Why 2.27
- added List of user-defined predicates and logic functions
- added remarks on the relation of logical values in C and ACSL
- rewrote section on equal and mismatch
- used a simpler logical function to count elements in an array
- added search algorithm
- added chapter to unite the maximum/minimum algorithms
- added chapter for the new lower\_bound, upper\_bound and binary\_search algorithms
- added swap\_values algorithm
- used IsEqual predicate for swap\_ranges and copy
- added reverse\_copy and reverse algorithms
- added rotate\_copy algorithm
- added unique\_copy algorithm
- added chapter on specification of the data type stack

## **B.31.** New in Version 5.1.0 (Boron, May 2010)

- adaption to Frama-C Boron and Why 2.26 releases
- changed from the -jessie-no-regions command-line option to using the pragma SeparationPolicy (value)

## B.32. New in Version 4.2.2 (Beryllium, May 2010)

• changed to latest version of CVC3 2.2

- added additional remarks to our implementation of find\_first\_of
- changed size\_type (int) to integer in all specifications
- removed casts in fill and iota
- renamed is\_valid\_range as IsValidRange
- renamed has\_value as HasValue
- renamed predicate all\_equal as IsEqual
- extended timeout to 30 sec.

## B.33. New in Version 4.2.1 (Beryllium, April 2010)

- added alternative specification of remove\_copy algorithm that uses ghost variables
- added Chapter on heap operations
- added mismatch algorithm
- moved algorithms adjacent\_find and min\_element from the appendix to chapter on non-mutating algorithms
- added typedefs size\_type and value\_type and used them in all algorithms
- renamed is\_valid\_int\_range as is\_valid\_range

## B.34. New in Version 4.2.0 (Beryllium, January 2010)

- complete rewrite of pre-release
- adaption to Frama-C Beryllium 2 release

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