

The Church-Rosser Theorem

Recap from Last Time

Grammar of λ -terms:

$$M, N ::= x \mid \lambda x.M \mid M N$$

β -reduction: The computation rule:

$$(\lambda x.M) N \rightarrow_{\beta} M[x := N]$$

Compatibility: β -reduction applies anywhere in a term:

- ▶ $M \rightarrow_{\beta} M'$ implies $\lambda x.M \rightarrow_{\beta} \lambda x.M'$
- ▶ $M \rightarrow_{\beta} M'$ implies $M N \rightarrow_{\beta} M' N$ and $N M \rightarrow_{\beta} N M'$

Multi-step reduction: $M \twoheadrightarrow_{\beta} N$ means zero or more β -steps.

Notation

\rightarrow_{β} always means *exactly one* reduction step. For example, $M \rightarrow_{\beta} M$ is never true, but $M \twoheadrightarrow_{\beta} M$ is always true.

β -equivalence: $M =_{\beta} N$ means M and N are connected by β -steps (in either direction).

Overview

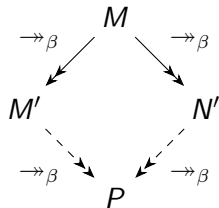
The goal of this lecture is to prove the **Church-Rosser theorem**.

Theorem (Church-Rosser)

If $M \twoheadrightarrow_{\beta} M'$ and $M \twoheadrightarrow_{\beta} N'$, then there exists a λ -term P such that

$$M' \twoheadrightarrow_{\beta} P \quad \text{and} \quad N' \twoheadrightarrow_{\beta} P$$

This property is called **confluence**: any two reduction paths from the same term can eventually be rejoined. The characteristic shape gives it the alternative name **diamond property**.



Consequences of Church-Rosser

Recall: A term is in β -**normal form** if it contains no redex, i.e., no subterm of the form $(\lambda x.M) N$. We view β -normal forms as the *final outcomes of computations*.

Most important consequence of Church-Rosser: for any λ -term M , either

- ▶ there exists a *unique* P in β -normal form such that $M \twoheadrightarrow_{\beta} P$, or
- ▶ no such P exists (and the computation runs forever no matter which reduction path is taken).

Note

Even in the first case, the computation could run forever if a wrong reduction path is chosen. Only **strongly normalizing** terms terminate regardless of the evaluation order.

Example: Let $\Omega = (\lambda x.x x)(\lambda x.x x)$. The term $(\lambda y.z) \Omega$ has a normal form z (reduce the outer redex), but reducing the inner redex first gives $(\lambda y.z) \Omega \rightarrow_{\beta} (\lambda y.z) \Omega \rightarrow_{\beta} \dots$

Recap: Single-Step Reduction

Single-step reduction: $M \rightarrow_{\beta} N$

Exactly one β -redex is contracted.

Example:

$$(\lambda x. x y) z \rightarrow_{\beta} z y$$

Non-example: This is *not* a single step:

$$(\lambda x. x y) ((\lambda y. y u) k) \not\rightarrow_{\beta} k u y$$

(This requires *two* β -reductions.)

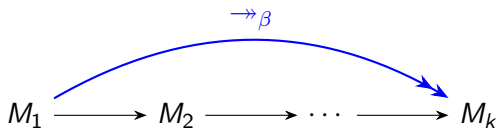
Recap: Multi-Step Reduction

When we chain several β -reductions:

$$M_1 \rightarrow_{\beta} M_2 \rightarrow_{\beta} \cdots \rightarrow_{\beta} M_k$$

We write:

$$M_1 \twoheadrightarrow_{\beta} M_k$$



Example:

$$(\lambda x. x y) ((\lambda y. y u) k) \rightarrow_{\beta} k u y$$

Proof Strategy: What We Need

Strategy for proving Church-Rosser: Find an auxiliary relation \rightarrow_R satisfying:

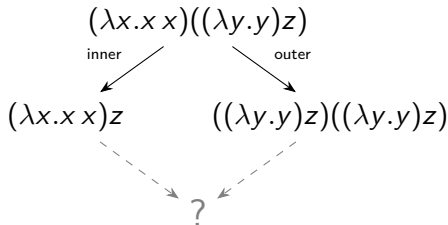
1. $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \twoheadrightarrow_\beta$
2. \rightarrow_R is reflexive, i.e., $A \rightarrow_R A$ for any A
3. \rightarrow_R has the diamond property, i.e., if $M \rightarrow_R M'$ and $M \rightarrow_R N'$, then there exists P such that $M' \rightarrow_R P$ and $N' \rightarrow_R P$

If such \rightarrow_R exists, Church-Rosser for \twoheadrightarrow_β follows (next slides).

What Doesn't Work

- ▶ $\rightarrow_R = \rightarrow_\beta$? No: not reflexive (contracting a redex never returns the same term), and no diamond (see below).
- ▶ $\rightarrow_R = \twoheadrightarrow_\beta$? This *would* work—but that's what we're trying to prove!

So \rightarrow_R must be strictly between \rightarrow_β and \twoheadrightarrow_β .



$L \rightarrow_\beta z z$ only; $R \rightarrow_\beta z((\lambda y. y)z)$ or $((\lambda y. y)z)z$

No common P reachable in one step!

How These Properties Imply Church-Rosser

Since $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \twoheadrightarrow_\beta$, any $M \twoheadrightarrow_\beta M'$ can be written as a sequence of \rightarrow_R -steps:

$$M = M_0 \rightarrow_R M_1 \rightarrow_R \cdots \rightarrow_R M_n = M'$$

Since \rightarrow_R is reflexive, we can “pad” shorter paths to make them the same length.

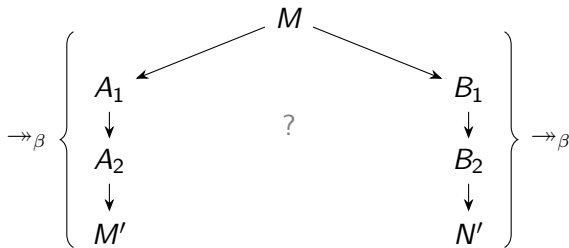
Since \rightarrow_R has the diamond property, we can *tile* any two diverging \twoheadrightarrow_β paths with diamonds, eventually reaching a common term.

We illustrate this tiling argument on the following slides.

Diamond Tiling: Setup

Suppose $M \rightarrow_{\beta} M'$ in 3 steps and $M \rightarrow_{\beta} N'$ in 3 steps.

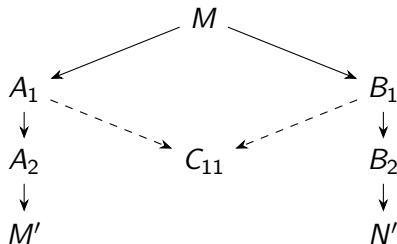
Since $\rightarrow_{\beta} \subseteq \rightarrow_R$, we can express these as \rightarrow_R -paths. (If one path is shorter, we can pad it with reflexive steps $P \rightarrow_R P$.)



Goal: Find P with $M' \rightarrow_{\beta} P$ and $N' \rightarrow_{\beta} P$.

Diamond Tiling: Step 1

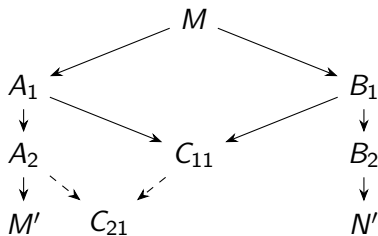
Apply diamond to the first divergence from M :



By diamond: $\exists C_{11}$ with $A_1 \rightarrow_R C_{11}$ and $B_1 \rightarrow_R C_{11}$.

Diamond Tiling: Step 2

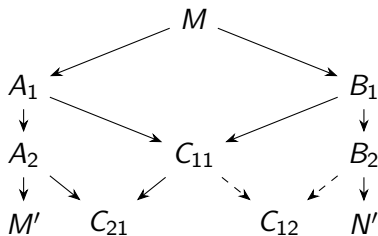
Apply diamond to A_1, A_2, C_{11} :



By diamond: $\exists C_{21}$ with $A_2 \rightarrow_R C_{21}$ and $C_{11} \rightarrow_R C_{21}$.

Diamond Tiling: Step 3

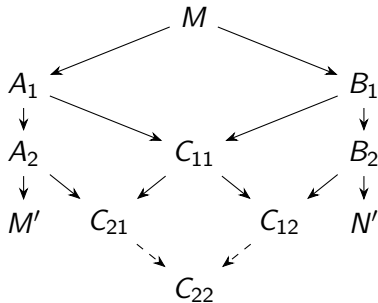
Apply diamond to B_1, B_2, C_{11} :



By diamond: $\exists C_{12}$ with $C_{11} \rightarrow_R C_{12}$ and $B_2 \rightarrow_R C_{12}$.

Diamond Tiling: Step 4

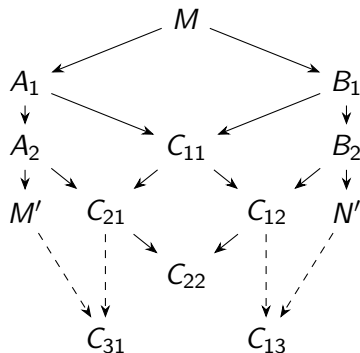
Apply diamond to C_{21}, C_{12} :



By diamond: $\exists C_{22}$ with $C_{21} \rightarrow_R C_{22}$ and $C_{12} \rightarrow_R C_{22}$.

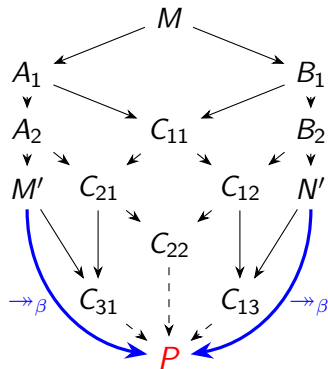
Diamond Tiling: Steps 5–6

Continue with diamonds involving M' and N' :



Diamonds 5 and 6 give us C_{31} and C_{13} .

Diamond Tiling: Final Step



We have $M' \rightarrow_R C_{31} \rightarrow_R P$ and $N' \rightarrow_R C_{13} \rightarrow_R P$.

Since $\rightarrow_R \subseteq \twoheadrightarrow_\beta$: $M' \twoheadrightarrow_\beta P$ and $N' \twoheadrightarrow_\beta P$. Church-Rosser proved!

Parallel Reduction: The Solution

We have shown that *any* relation \rightarrow_R satisfying the three properties implies Church-Rosser. Now we construct one.

The relation must satisfy:

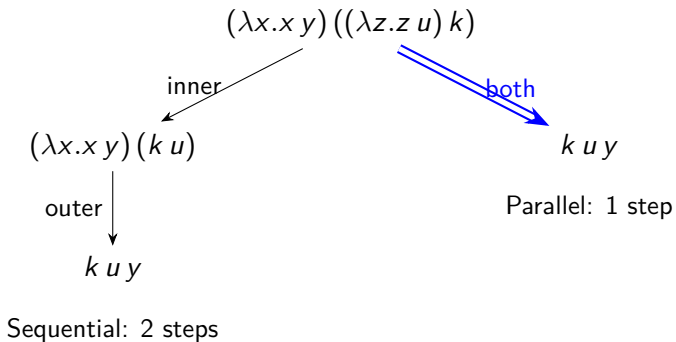
1. $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \twoheadrightarrow_\beta$
2. \rightarrow_R is reflexive, i.e., $A \rightarrow_R A$ for any A
3. \rightarrow_R has the diamond property

Such a relation exists! It is called **parallel β -reduction**, written \Rightarrow_β .

Intuitively, $M \Rightarrow_\beta M'$ means M' is obtained from M by contracting *any subset* of the redexes that *already exist* in M —but *not* redexes created by other contractions in the same step.

(In particular, choosing the empty subset gives $M \Rightarrow_\beta M$, so \Rightarrow_β is reflexive.)

Parallel vs. Sequential Reduction



(Here y , u , k are free variables.)

Parallel reduction is *more powerful* than single-step, but *less powerful* than multi-step (can't reduce newly created redexes).

Parallel Reduction Cannot Reduce New Redexes

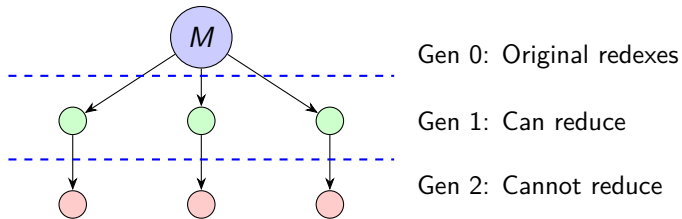
Example: Consider $M = (\lambda x.x x) (\lambda y.y)$

$$\begin{array}{c} (\lambda x.x x) (\lambda y.y) \\ \downarrow \rightarrow_{\beta} \\ \underbrace{(\lambda y.y) (\lambda y.y)}_{\text{new redex!}} \\ \downarrow \rightarrow_{\beta} \\ \lambda y.y \end{array}$$

- ▶ **Sequential:** Can reach $\lambda y.y$ in two steps.
- ▶ **Parallel:** From M , we can only reach $(\lambda y.y)(\lambda y.y)$ in one step.

The redex $(\lambda y.y)(\lambda y.y)$ was *created* by the first reduction—it didn't exist in M . Parallel reduction cannot contract it in the same step!

Parallel Reduction: One Generation



\Rightarrow_β reduces any subset of *existing* redexes simultaneously, but *cannot* reduce newly created redexes.

Parallel Reduction: Definition

Definition (Parallel reduction)

\Rightarrow_β is defined inductively:

1. **Variables:** $x \Rightarrow_\beta x$
2. **Abstraction:** If $M \Rightarrow_\beta M'$ then $\lambda x.M \Rightarrow_\beta \lambda x.M'$
3. **Application:** If $M \Rightarrow_\beta M'$ and $N \Rightarrow_\beta N'$ then $M N \Rightarrow_\beta M' N'$
4. **β -contraction:** If $M \Rightarrow_\beta M'$ and $N \Rightarrow_\beta N'$ then $(\lambda x.M) N \Rightarrow_\beta M'[x := N']$

Rule Overlap: The Key to “Any Subset”

When $M \equiv (\lambda x.P) Q$ is a β -redex, **both Rules 3 and 4 apply**:

- ▶ **Rule 3** gives $(\lambda x.P) Q \Rightarrow_{\beta} (\lambda x.P') Q'$ (head redex *not* contracted)
- ▶ **Rule 4** gives $(\lambda x.P) Q \Rightarrow_{\beta} P'[x := Q']$ (head redex *contracted*)

This overlap is intentional! For each redex in M , we independently choose whether to contract it (Rule 4) or keep it (Rule 3).

Example: $(\lambda x.x)((\lambda y.y)z)$ has two redexes. We can:

- ▶ Contract neither: $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda x.x)((\lambda y.y)z)$ (reflexivity)
- ▶ Contract inner only: $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda x.x)z$
- ▶ Contract outer only: $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda y.y)z$
- ▶ Contract both: $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} z$

Congruence: What Rules 1–3 Say

Rules 1–3 make \Rightarrow_β a **congruence**: a relation compatible with the inductive grammar of λ -calculus.

Using *only* Rules 1–3, we can *only* derive $M \Rightarrow_\beta M$ for any term—that is, reflexivity. This is proved by induction on M :

- ▶ **Base case:** Rule 1 gives $x \Rightarrow_\beta x$ for variables.
- ▶ **Abstraction:** If $M \Rightarrow_\beta M$ (IH), then Rule 2 gives $\lambda x.M \Rightarrow_\beta \lambda x.M$.
- ▶ **Application:** If $M \Rightarrow_\beta M$ and $N \Rightarrow_\beta N$ (IH), then Rule 3 gives $M N \Rightarrow_\beta M N$.

Rule 4 is what allows the relation to be non-trivial: it permits $M \Rightarrow_\beta M'$ with $M' \neq M$.

Proving the Diamond Property for \Rightarrow_β

We have shown (via diamond tiling) that Church-Rosser follows from:

- ▶ $\rightarrow_\beta \subseteq \Rightarrow_\beta \subseteq \twoheadrightarrow_\beta$
- ▶ \Rightarrow_β is reflexive, i.e., $M \Rightarrow_\beta M$ for any M
- ▶ \Rightarrow_β has the diamond property

The inclusions and reflexivity follow easily from the definition. For now, we accept:

- ▶ **Substitution Lemma:** If $M \Rightarrow_\beta M'$ and $N \Rightarrow_\beta N'$ then
 $M[x := N] \Rightarrow_\beta M'[x := N']$

We now focus on proving the diamond property for \Rightarrow_β .

Main Idea: Complete Development

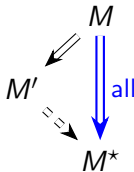
To prove the diamond property, we define the **complete development** M^* of a term M .

Theorem (Complete Development)

For each λ -term M , there exists M^* such that:

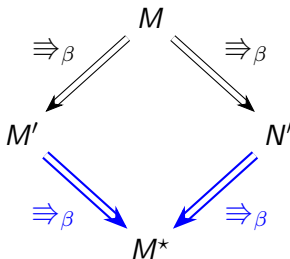
$$\text{If } M \Rightarrow_{\beta} M' \text{ then } M' \Rightarrow_{\beta} M^*$$

M^* is the *maximally parallel reduced* form: it reduces *all* generation-0 redexes at once.



Complete Development \Rightarrow Diamond

If $M \Rightarrow_{\beta} M'$ and $M \Rightarrow_{\beta} N'$, take $P = M^*$:



Both M' and N' reduce to the *same* M^* . Diamond is immediate!

Definition: Complete Development M^*

Definition

The **complete development** M^* is defined inductively:

1. $x^* = x$ (variables)
2. $(\lambda x.M)^* = \lambda x.M^*$ (abstractions)
3. $(M N)^* = M^* N^*$ if M is not an abstraction
4. $((\lambda x.M) N)^* = M^*[x := N^*]$ (β -redex: contract it!)

Understanding the Definition

Rules 1–3 just recurse through the structure—no reduction happens:

- ▶ $x^* = x$ (nothing to do)
- ▶ $(\lambda x.M)^* = \lambda x.M^*$ (recurse into body)
- ▶ $(M N)^* = M^* N^*$ (recurse into both parts)

All the reduction happens in Rule 4:

- ▶ $((\lambda x.M) N)^* = M^*[x := N^*]$

This rule detects a β -redex and contracts it. Notice: we compute M^* and N^* *before* substituting—so the definition recurses into subterms first, then performs the substitution.

Example: Computing M^* (Part 1)

Let $M = (\lambda x.x\ y)\ ((\lambda z.z)\ w)$. This term has two redexes: outer and inner.

Step 1: Spread the stars using the definition rules

$$\begin{aligned} M^* &= ((\lambda x.x\ y)\ ((\lambda z.z)\ w))^* \\ &= (x\ y)^*[x := ((\lambda z.z)\ w)^*] && \text{(Rule 4: outer redex)} \\ &= (x^*\ y^*)[x := ((\lambda z.z)\ w)^*] && \text{(Rule 3: } x \text{ not abstraction)} \\ &= (x^*\ y^*)[x := z^*[z := w^*]] && \text{(Rule 4: inner redex)} \end{aligned}$$

Now all the stars are on variables.

Example: Computing M^* (Part 2)

We have: $M^* = (x^* y^*)[x := z^*[z := w^*]]$

Step 2: Evaluate the leaves (all variables, so Rule 1)

$$x^* = x, \quad y^* = y, \quad z^* = z, \quad w^* = w$$

Step 3: Substitute back from inside out

$$= (x y)[x := z[z := w]]$$

$$= (x y)[x := w]$$

$$= w y$$

So $M^* = w y$.

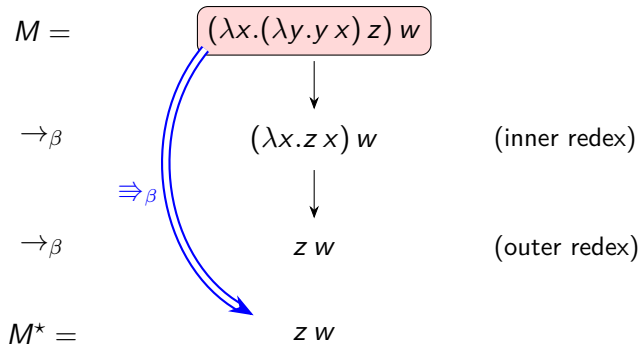
Warm-up: Why $M \Rightarrow_{\beta} M^*$?

Before proving the main theorem, let's verify that $M \Rightarrow_{\beta} M^*$ for any M .

Proof: By induction on the structure of M :

- ▶ **Case $M \equiv x$:** We have $x^* = x$ and $x \Rightarrow_{\beta} x$ by Rule 1. ✓
- ▶ **Case $M \equiv \lambda x.M_1$:** By IH, $M_1 \Rightarrow_{\beta} M_1^*$. By Rule 2, $\lambda x.M_1 \Rightarrow_{\beta} \lambda x.M_1^* = (\lambda x.M_1)^*$. ✓
- ▶ **Case $M \equiv M_1 M_2$ where M_1 is not an abstraction:** By IH, $M_i \Rightarrow_{\beta} M_i^*$. By Rule 3, $M_1 M_2 \Rightarrow_{\beta} M_1^* M_2^* = (M_1 M_2)^*$. ✓
- ▶ **Case $M \equiv (\lambda x.M_1) M_2$ (a β -redex):** By IH, $M_i \Rightarrow_{\beta} M_i^*$. By Rule 4, $(\lambda x.M_1) M_2 \Rightarrow_{\beta} M_1^*[x := M_2^*] = M^*$. ✓

Visualizing Complete Development



Sequential: two \rightarrow_{β} steps. Parallel: one \Rightarrow_{β} step to M^* .

Main Theorem

Theorem

If $M \Rightarrow_{\beta} M'$ then $M' \Rightarrow_{\beta} M^$.*

Meaning: Whatever subset of redexes we choose to reduce (getting M'), we can always “catch up” to the complete development M^* by reducing the remaining redexes.

Proof: By induction on the structure of M .

We consider what form M can take: variable, abstraction, or application.

Proof: Case 1 (Variable)

Case: $M \equiv x$.

Given that $x \Rightarrow_{\beta} M'$, what does this imply about M' ? Specifically, which rule could have led from x to M' ?

- ▶ Rule 2 ($\lambda y.A \Rightarrow \lambda y.B$): only for abstractions — does not apply
- ▶ Rule 3 ($AB \Rightarrow A'B'$): only for applications — does not apply
- ▶ Rule 4 ($(\lambda y.A) B \Rightarrow A'[y := B']$): only for redexes — does not apply
- ▶ Rule 1 ($x \Rightarrow x$): applies!

So $M' \equiv x$.

This proof technique is called **rule inversion**: given a derivation, we ask which rule(s) could have produced it. It is reminiscent of what one does with sequent calculus.

By definition: $x^{\star} = x$.

Therefore $M' \equiv x \Rightarrow_{\beta} x = M^{\star}$ (by Rule 1). ✓

Proof: Case 2 (Abstraction)

Case: $M \equiv \lambda x.M_1$.

By rule inversion on $\lambda x.M_1 \Rightarrow_\beta M'$:

- ▶ Rule 1 ($y \Rightarrow y$): only for variables — does not apply
- ▶ Rule 3 ($A B \Rightarrow A' B'$): only for applications — does not apply
- ▶ Rule 4 ($(\lambda y.A) B \Rightarrow A'[y := B']$): only for redexes — does not apply
- ▶ Rule 2 ($\lambda y.A \Rightarrow \lambda y.B$ if $A \Rightarrow B$): applies!

So $M' \equiv \lambda x.N_1$ for some N_1 with $M_1 \Rightarrow_\beta N_1$.

By IH on M_1 : $N_1 \Rightarrow_\beta M_1^*$.

Hence by Rule 2:

$$M' = \lambda x.N_1 \Rightarrow_\beta \lambda x.M_1^* = (\lambda x.M_1)^* = M^* \quad \checkmark$$

Proof: Case 3 (Application, non-redex)

Case: $M \equiv M_1 M_2$ where M_1 is not an abstraction.

By rule inversion on $M_1 M_2 \Rightarrow_\beta M'$:

- ▶ Rule 1 ($x \Rightarrow x$): only for variables — does not apply
- ▶ Rule 2 ($\lambda y.A \Rightarrow \lambda y.B$): only for abstractions — does not apply
- ▶ Rule 4 ($(\lambda y.A) B \Rightarrow A'[y := B']$): requires M_1 to be an abstraction — does not apply
- ▶ Rule 3 ($A B \Rightarrow A' B'$ if $A \Rightarrow A'$, $B \Rightarrow B'$): applies!

So $M' \equiv N_1 N_2$ for some N_1, N_2 with $M_1 \Rightarrow_\beta N_1$ and $M_2 \Rightarrow_\beta N_2$.

By IH: $N_1 \Rightarrow_\beta M_1^*$ and $N_2 \Rightarrow_\beta M_2^*$.

Hence by Rule 3 (since M_1 not an abstraction implies M_1^* not an abstraction):

$$M' = N_1 N_2 \Rightarrow_\beta M_1^* M_2^* = (M_1 M_2)^* = M^* \quad \checkmark$$

Proof: Case 4 (β -redex)

Case: $M \equiv (\lambda x.M_1) M_2$ (a β -redex).

By rule inversion on $(\lambda x.M_1) M_2 \Rightarrow_\beta M'$:

- ▶ Rule 1 ($y \Rightarrow y$): only for variables — does not apply
- ▶ Rule 2 ($\lambda y.A \Rightarrow \lambda y.B$): only for abstractions — does not apply
- ▶ Rule 3 ($A B \Rightarrow A' B'$): applies! Gives $M' \equiv (\lambda x.N_1) N_2$ with $M_1 \Rightarrow_\beta N_1$, $M_2 \Rightarrow_\beta N_2$
- ▶ Rule 4 ($(\lambda y.A) B \Rightarrow A'[y := B']$): applies! Gives $M' \equiv N_1[x := N_2]$ with $M_1 \Rightarrow_\beta N_1$, $M_2 \Rightarrow_\beta N_2$

So we have two subcases depending on which rule was used.

Proof: Case 4, Subcase 4.1

Recall: $M \equiv (\lambda x.M_1) M_2$.

Subcase 4.1: $M' \equiv (\lambda x.N_1) N_2$ with $M_1 \Rightarrow_\beta N_1$ and $M_2 \Rightarrow_\beta N_2$ (Rule 3 used; head redex not contracted).

By IH: $N_1 \Rightarrow_\beta M_1^*$ and $N_2 \Rightarrow_\beta M_2^*$.

Hence by Rule 4:

$$M' = (\lambda x.N_1) N_2 \Rightarrow_\beta M_1^*[x := M_2^*] = ((\lambda x.M_1) M_2)^* = M^* \quad \checkmark$$

Proof: Case 4, Subcase 4.2

Recall: $M \equiv (\lambda x. M_1) M_2$.

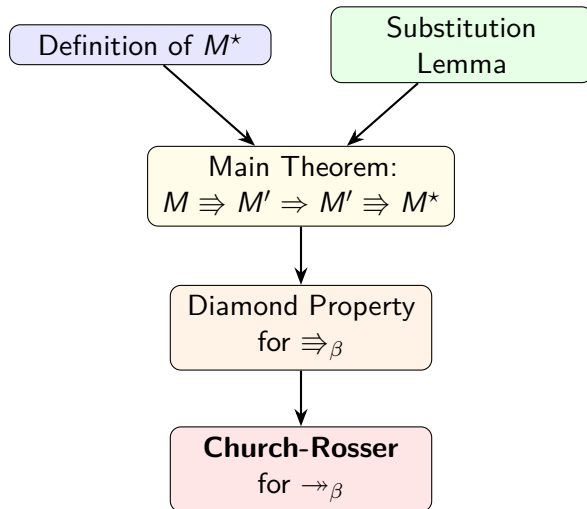
Subcase 4.2: $M' \equiv N_1[x := N_2]$ with $M_1 \Rightarrow_\beta N_1$ and $M_2 \Rightarrow_\beta N_2$ (Rule 4 used; head redex contracted).

By IH: $N_1 \Rightarrow_\beta M_1^*$ and $N_2 \Rightarrow_\beta M_2^*$.

Hence by the Substitution Lemma:

$$M' = N_1[x := N_2] \Rightarrow_\beta M_1^*[x := M_2^*] = ((\lambda x. M_1) M_2)^* = M^* \quad \checkmark \quad \square$$

Church-Rosser: Complete Proof Summary



This is the **Takahashi proof** (1995), based on the Tait–Martin-Löf method (1970s).

Corollary: Uniqueness of Normal Forms

Corollary

If $M \rightarrow_{\beta} N_1$ and $M \rightarrow_{\beta} N_2$ where N_1 and N_2 are both in β -normal form, then $N_1 = N_2$.

Proof: By Church-Rosser, there exists P with $N_1 \twoheadrightarrow_{\beta} P$ and $N_2 \twoheadrightarrow_{\beta} P$.

But N_1 and N_2 are normal forms, so $N_1 = P = N_2$. \square

Corollary: Consistency

Corollary

Not all λ -terms are β -equivalent. In particular, $x \neq_\beta y$ for distinct variables x, y .

Proof: Both x and y are in β -normal form (no redexes).

If $x =_\beta y$, then by Church-Rosser there exists P with $x \rightarrow_\beta P$ and $y \rightarrow_\beta P$.

Since x and y are normal: $x = P = y$. Contradiction. \square

This ensures the λ -calculus is a *sensible* system—not everything is equal!

Parallel Reduction and Concurrency

Beyond proving Church-Rosser, parallel reduction has a natural interpretation:

Concurrent computation: \Rightarrow_β identifies which redexes can be reduced *simultaneously*—they are the redexes that exist in the original term.

- ▶ Redexes in generation 0 are *independent*: contracting one does not affect the others
- ▶ They can in principle be computed in parallel
- ▶ Newly created redexes (generation 1+) must wait for the previous reductions to complete

This gives a formal basis for reasoning about which parts of a computation are independent.

Summary

1. **Parallel reduction** \Rightarrow_{β} reduces multiple redexes simultaneously (but only existing ones)
2. Key properties: $\rightarrow_{\beta} \subseteq \Rightarrow_{\beta} \subseteq \twoheadrightarrow_{\beta}$ and the diamond property
3. **Complete development** M^* is the maximally parallel reduced form
4. **Main theorem:** Any partial development can be completed to the full development M^*
5. Diamond + inclusions \Rightarrow **Church-Rosser** for $\twoheadrightarrow_{\beta}$
6. **Consequences:** Unique normal forms, consistency of λ -calculus

Appendix: Proof of Property (1)

Lemma

If $M \rightarrow_{\beta} N$ then $M \Rightarrow_{\beta} N$.

Proof idea: A single β -reduction is a special case of parallel reduction where we reduce exactly one redex and leave everything else unchanged.

The congruence rules (1–3) let us “do nothing” to parts of the term (since $P \Rightarrow_{\beta} P$ for any P).

Appendix: Proof of Property (2)

Lemma

If $M \Rightarrow_{\beta} N$ then $M \rightarrow_{\beta} N$.

Proof: By induction on the structure of M :

- ▶ **Case $M \equiv x$:** Only Rule 1 applies, so $N \equiv x$. Then $x \rightarrow_{\beta} x$. ✓
- ▶ **Case $M \equiv \lambda x.M_1$:** Only Rule 2 applies, so $N \equiv \lambda x.N_1$ with $M_1 \Rightarrow_{\beta} N_1$. By IH, $M_1 \rightarrow_{\beta} N_1$. By compatibility, $\lambda x.M_1 \rightarrow_{\beta} \lambda x.N_1$. ✓
- ▶ **Case $M \equiv M_1 M_2$ where M_1 is not an abstraction:** Only Rule 3 applies, so $N \equiv N_1 N_2$ with $M_i \Rightarrow_{\beta} N_i$. By IH, $M_i \rightarrow_{\beta} N_i$. By compatibility, $M_1 M_2 \rightarrow_{\beta} N_1 N_2$. ✓
- ▶ **Case $M \equiv (\lambda x.M_1) M_2$:** Both Rules 3 and 4 apply. Either $N \equiv (\lambda x.N_1) N_2$ or $N \equiv N_1[x := N_2]$, with $M_i \Rightarrow_{\beta} N_i$. By IH, $M_i \rightarrow_{\beta} N_i$. In both subcases, $M \rightarrow_{\beta} N$. ✓

Appendix: Substitution Lemma

Lemma (Substitution Lemma)

If $M \Rightarrow_{\beta} M'$ and $N \Rightarrow_{\beta} N'$ then

$$M[x := N] \Rightarrow_{\beta} M'[x := N']$$

Proof: By induction on the structure of M . We unpack M into four cases.

Appendix: Substitution Lemma (Case 1 – Variable)

Case $M \equiv y$:

By rule inversion on $y \Rightarrow_{\beta} M'$: only Rule 1 applies.

So $M' \equiv y$.

Subcase $y = x$:

$$M[x := N] = N \quad \text{and} \quad M'[x := N'] = N'$$

Since $N \Rightarrow_{\beta} N'$ by assumption, we are done. ✓

Subcase $y \neq x$:

$$M[x := N] = y \quad \text{and} \quad M'[x := N'] = y$$

By Rule 1, $y \Rightarrow_{\beta} y$. ✓

Appendix: Substitution Lemma (Case 2 – Abstraction)

Case $M \equiv \lambda y.M_1$:

By rule inversion on $\lambda y.M_1 \Rightarrow_\beta M'$: only Rule 2 applies.

So $M' \equiv \lambda y.M'_1$ with $M_1 \Rightarrow_\beta M'_1$.

(Assume $y \neq x$ and $y \notin FV(N) \cup FV(N')$, renaming if necessary.)

$$M[x := N] = \lambda y.M_1[x := N]$$

$$M'[x := N'] = \lambda y.M'_1[x := N']$$

By IH on M_1 : $M_1[x := N] \Rightarrow_\beta M'_1[x := N']$.

By Rule 2: $\lambda y.M_1[x := N] \Rightarrow_\beta \lambda y.M'_1[x := N']$. ✓

Appendix: Substitution Lemma (Case 3 – Non-redex Application)

Case $M \equiv M_1 M_2$ where M_1 is not an abstraction:

By rule inversion: only Rule 3 applies.

So $M' \equiv M'_1 M'_2$ with $M_i \Rightarrow_\beta M'_i$.

$$M[x := N] = M_1[x := N] M_2[x := N]$$

$$M'[x := N'] = M'_1[x := N'] M'_2[x := N']$$

By IH on M_1 : $M_1[x := N] \Rightarrow_\beta M'_1[x := N']$.

By IH on M_2 : $M_2[x := N] \Rightarrow_\beta M'_2[x := N']$.

By Rule 3: $M_1[x := N] M_2[x := N] \Rightarrow_\beta M'_1[x := N'] M'_2[x := N']$. ✓

Appendix: Substitution Lemma (Case 4 – Redex, Subcase a)

Case $M \equiv (\lambda y.P) Q$:

By rule inversion: both Rules 3 and 4 apply, giving two subcases.

Subcase (a): $M' \equiv (\lambda y.P') Q'$ with $P \Rightarrow_\beta P'$ and $Q \Rightarrow_\beta Q'$ (Rule 3; head redex not contracted).

(Assume $y \neq x$ and $y \notin FV(N) \cup FV(N')$.)

$$\begin{aligned} M[x := N] &= (\lambda y.P[x := N]) Q[x := N] \\ M'[x := N'] &= (\lambda y.P'[x := N']) Q'[x := N'] \end{aligned}$$

By IH: $P[x := N] \Rightarrow_\beta P'[x := N']$ and $Q[x := N] \Rightarrow_\beta Q'[x := N']$.

By Rule 2: $\lambda y.P[x := N] \Rightarrow_\beta \lambda y.P'[x := N']$.

By Rule 3: $(\lambda y.P[x := N]) Q[x := N] \Rightarrow_\beta (\lambda y.P'[x := N']) Q'[x := N']$. ✓

Appendix: Substitution Lemma (Case 4 – Redex, Subcase b)

Subcase (b): $M' \equiv P'[y := Q']$ with $P \Rightarrow_\beta P'$ and $Q \Rightarrow_\beta Q'$ (Rule 4; head redex contracted).

(Assume $y \neq x$ and $y \notin FV(N) \cup FV(N')$.)

$$\begin{aligned} M[x := N] &= (\lambda y. P[x := N]) Q[x := N] \\ M'[x := N'] &= P'[y := Q'][x := N'] \end{aligned}$$

By IH: $P[x := N] \Rightarrow_\beta P'[x := N']$ and $Q[x := N] \Rightarrow_\beta Q'[x := N']$.

By Rule 4:

$$(\lambda y. P[x := N]) Q[x := N] \Rightarrow_\beta P'[x := N'][y := Q'[x := N']]$$

By the **substitution commutation lemma** (since $y \neq x$, $y \notin FV(N')$):

$$P'[x := N'][y := Q'[x := N']] = P'[y := Q'][x := N'] = M'[x := N']$$

Hence $M[x := N] \Rightarrow_\beta M'[x := N']$. \square

Thank You

Questions?

