

## The Church-Rosser Theorem

## Recap from Last Time

### Grammar of $\lambda$ -terms:

$$M, N ::= x \mid \lambda x. M \mid M N$$

**$\beta$ -reduction:** The computation rule:

$$(\lambda x. M) N \rightarrow_{\beta} M[x := N]$$

**Compatibility:**  $\beta$ -reduction applies anywhere in a term:

- ▶  $M \rightarrow_{\beta} M'$  implies  $\lambda x. M \rightarrow_{\beta} \lambda x. M'$
- ▶  $M \rightarrow_{\beta} M'$  implies  $M N \rightarrow_{\beta} M' N$  and  $N M \rightarrow_{\beta} N M'$

**Multi-step reduction:**  $M \rightarrow_{\beta} N$  means zero or more  $\beta$ -steps.

### Notation

$\rightarrow_{\beta}$  always means *exactly one* reduction step. For example,  $M \rightarrow_{\beta} M$  is never true, but  $M \rightarrow_{\beta} M$  is always true.

**$\beta$ -equivalence:**  $M =_{\beta} N$  means  $M$  and  $N$  are connected by  $\beta$ -steps (in either direction).

# Overview

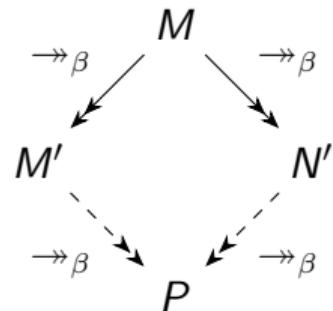
The goal of this lecture is to prove the **Church-Rosser theorem**.

## Theorem (Church-Rosser)

If  $M \rightarrow_{\beta} M'$  and  $M \rightarrow_{\beta} N'$ , then there exists a  $\lambda$ -term  $P$  such that

$$M' \rightarrow_{\beta} P \quad \text{and} \quad N' \rightarrow_{\beta} P$$

This property is called **confluence**: any two reduction paths from the same term can eventually be rejoined. The characteristic shape gives it the alternative name **diamond property**.



## Consequences of Church-Rosser

**Recall:** A term is in  **$\beta$ -normal form** if it contains no redex, i.e., no subterm of the form  $(\lambda x.M) N$ . We view  $\beta$ -normal forms as the *final outcomes of computations*.

Most important consequence of Church-Rosser: for any  $\lambda$ -term  $M$ , either

- ▶ there exists a *unique*  $P$  in  $\beta$ -normal form such that  $M \twoheadrightarrow_{\beta} P$ , or
- ▶ no such  $P$  exists (and the computation runs forever no matter which reduction path is taken).

### Note

Even in the first case, the computation could run forever if a wrong reduction path is chosen. Only **strongly normalizing** terms terminate regardless of the evaluation order.

**Example:** Let  $\Omega = (\lambda x.x\,x)(\lambda x.x\,x)$ . The term  $(\lambda y.z)\Omega$  has a normal form  $z$  (reduce the outer redex), but reducing the inner redex first gives  $(\lambda y.z)\Omega \rightarrow_{\beta} (\lambda y.z)\Omega \rightarrow_{\beta} \dots$

## Recap: Single-Step Reduction

**Single-step reduction:**  $M \rightarrow_{\beta} N$

Exactly one  $\beta$ -redex is contracted.

**Example:**

$$(\lambda x.x y) z \rightarrow_{\beta} z y$$

**Non-example:** This is *not* a single step:

$$(\lambda x.x y)((\lambda y.y u) k) \not\rightarrow_{\beta} k u y$$

(This requires *two*  $\beta$ -reductions.)

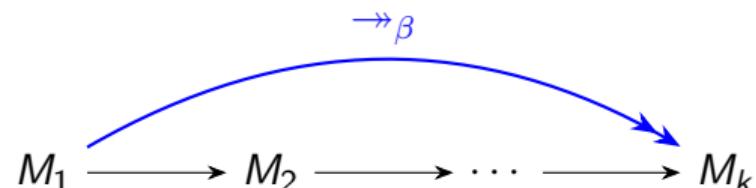
## Recap: Multi-Step Reduction

When we chain several  $\beta$ -reductions:

$$M_1 \rightarrow_{\beta} M_2 \rightarrow_{\beta} \cdots \rightarrow_{\beta} M_k$$

We write:

$$M_1 \twoheadrightarrow_{\beta} M_k$$



**Example:**

$$(\lambda x. x y) ((\lambda y. y u) k) \twoheadrightarrow_{\beta} k u y$$

## Proof Strategy: What We Need

**Strategy for proving Church-Rosser:** Find an auxiliary relation  $\rightarrow_R$  satisfying:

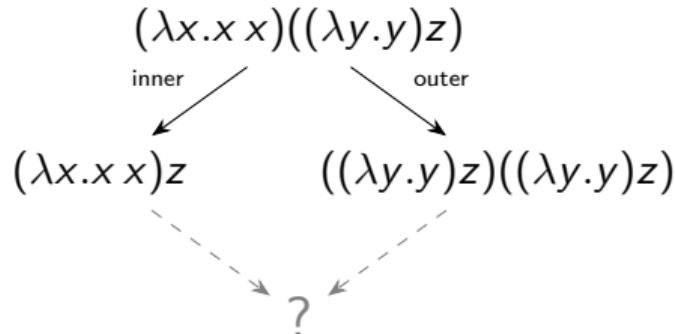
1.  $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \twoheadrightarrow_\beta$
2.  $\rightarrow_R$  is reflexive, i.e.,  $A \rightarrow_R A$  for any  $A$
3.  $\rightarrow_R$  has the diamond property, i.e., if  $M \rightarrow_R M'$  and  $M \rightarrow_R N'$ , then there exists  $P$  such that  $M' \rightarrow_R P$  and  $N' \rightarrow_R P$

If such  $\rightarrow_R$  exists, Church-Rosser for  $\twoheadrightarrow_\beta$  follows (next slides).

## What Doesn't Work

- ▶  $\rightarrow_R = \rightarrow_\beta$ ? No: not reflexive (contracting a redex never returns the same term), and no diamond (see below).
- ▶  $\rightarrow_R = \rightarrow_\beta$ ? This *would* work—but that's what we're trying to prove!

So  $\rightarrow_R$  must be strictly between  $\rightarrow_\beta$  and  $\rightarrow\!\!\rightarrow_\beta$ .



$L \rightarrow_\beta z\,z$  only;     $R \rightarrow_\beta z((\lambda y.y)z)$  or  $((\lambda y.y)z)z$

No common  $P$  reachable in one step!

## How These Properties Imply Church-Rosser

Since  $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \twoheadrightarrow_\beta$ , any  $M \twoheadrightarrow_\beta M'$  can be written as a sequence of  $\rightarrow_R$ -steps:

$$M = M_0 \rightarrow_R M_1 \rightarrow_R \cdots \rightarrow_R M_n = M'$$

Since  $\rightarrow_R$  is reflexive, we can “pad” shorter paths to make them the same length.

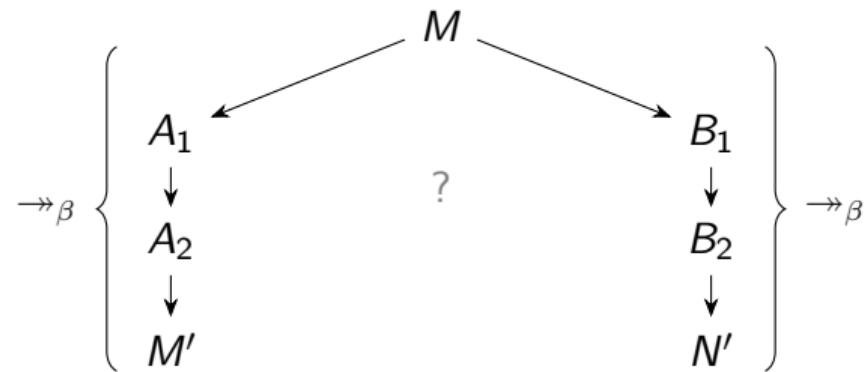
Since  $\rightarrow_R$  has the diamond property, we can *tile* any two diverging  $\twoheadrightarrow_\beta$  paths with diamonds, eventually reaching a common term.

We illustrate this tiling argument on the following slides.

## Diamond Tiling: Setup

Suppose  $M \twoheadrightarrow_{\beta} M'$  in 3 steps and  $M \twoheadrightarrow_{\beta} N'$  in 3 steps.

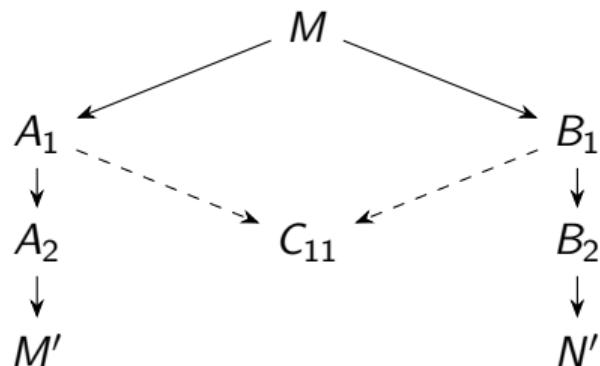
Since  $\rightarrow_{\beta} \subseteq \rightarrow_R$ , we can express these as  $\rightarrow_R$ -paths. (If one path is shorter, we can pad it with reflexive steps  $P \rightarrow_R P$ .)



**Goal:** Find  $P$  with  $M' \twoheadrightarrow_{\beta} P$  and  $N' \twoheadrightarrow_{\beta} P$ .

## Diamond Tiling: Step 1

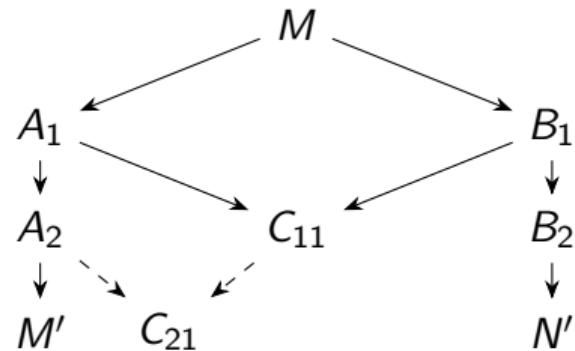
Apply diamond to the first divergence from  $M$ :



By diamond:  $\exists C_{11}$  with  $A_1 \rightarrow_R C_{11}$  and  $B_1 \rightarrow_R C_{11}$ .

## Diamond Tiling: Step 2

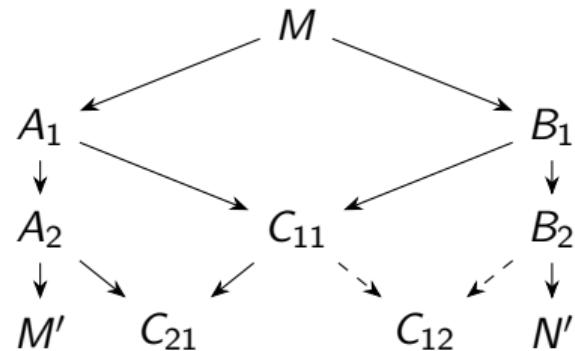
Apply diamond to  $A_1, A_2, C_{11}$ :



By diamond:  $\exists C_{21}$  with  $A_2 \rightarrow_R C_{21}$  and  $C_{11} \rightarrow_R C_{21}$ .

## Diamond Tiling: Step 3

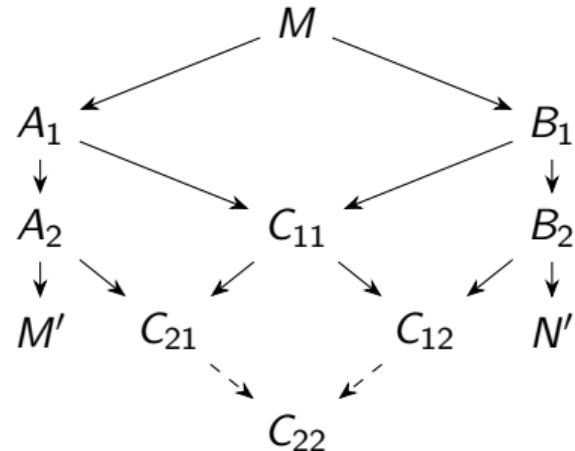
Apply diamond to  $B_1, B_2, C_{11}$ :



By diamond:  $\exists C_{12}$  with  $C_{11} \rightarrow_R C_{12}$  and  $B_2 \rightarrow_R C_{12}$ .

## Diamond Tiling: Step 4

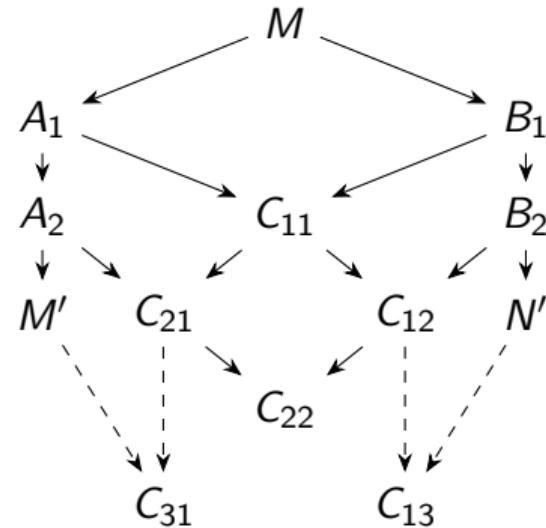
Apply diamond to  $C_{21}, C_{12}$ :



By diamond:  $\exists C_{22}$  with  $C_{21} \rightarrow_R C_{22}$  and  $C_{12} \rightarrow_R C_{22}$ .

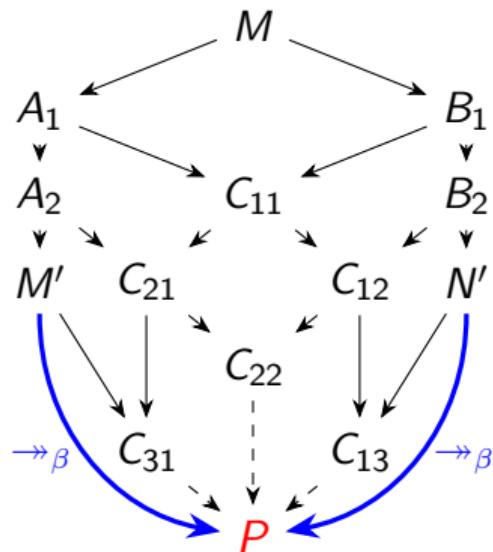
## Diamond Tiling: Steps 5–6

Continue with diamonds involving  $M'$  and  $N'$ :



Diamonds 5 and 6 give us  $C_{31}$  and  $C_{13}$ .

## Diamond Tiling: Final Step



We have  $M' \rightarrow_R C_{31} \rightarrow_R P$  and  $N' \rightarrow_R C_{13} \rightarrow_R P$ .

Since  $\rightarrow_R \subseteq \twoheadrightarrow_\beta$ :  $M' \twoheadrightarrow_\beta P$  and  $N' \twoheadrightarrow_\beta P$ . Church-Rosser proved!

## Parallel Reduction: The Solution

We have shown that *any* relation  $\rightarrow_R$  satisfying the three properties implies Church-Rosser. Now we construct one.

The relation must satisfy:

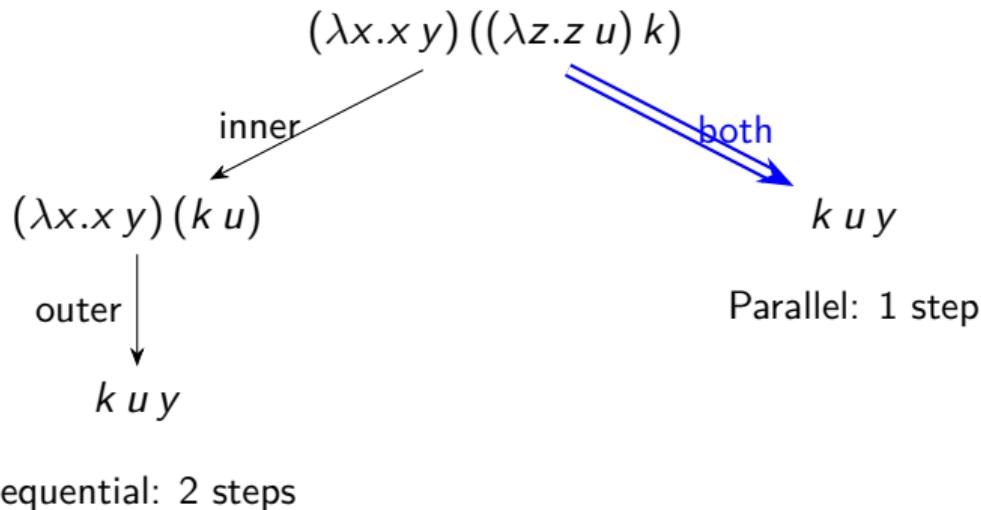
1.  $\rightarrow_\beta \subseteq \rightarrow_R \subseteq \rightarrowtail_\beta$
2.  $\rightarrow_R$  is reflexive, i.e.,  $A \rightarrow_R A$  for any  $A$
3.  $\rightarrow_R$  has the diamond property

Such a relation exists! It is called **parallel  $\beta$ -reduction**, written  $\Rightarrow_\beta$ .

Intuitively,  $M \Rightarrow_\beta M'$  means  $M'$  is obtained from  $M$  by contracting *any subset* of the redexes that *already exist* in  $M$ —but *not* redexes created by other contractions in the same step.

(In particular, choosing the empty subset gives  $M \Rightarrow_\beta M$ , so  $\Rightarrow_\beta$  is reflexive.)

## Parallel vs. Sequential Reduction



(Here  $y$ ,  $u$ ,  $k$  are free variables.)

Parallel reduction is *more powerful* than single-step, but *less powerful* than multi-step (can't reduce newly created redexes).

## Parallel Reduction Cannot Reduce New Redexes

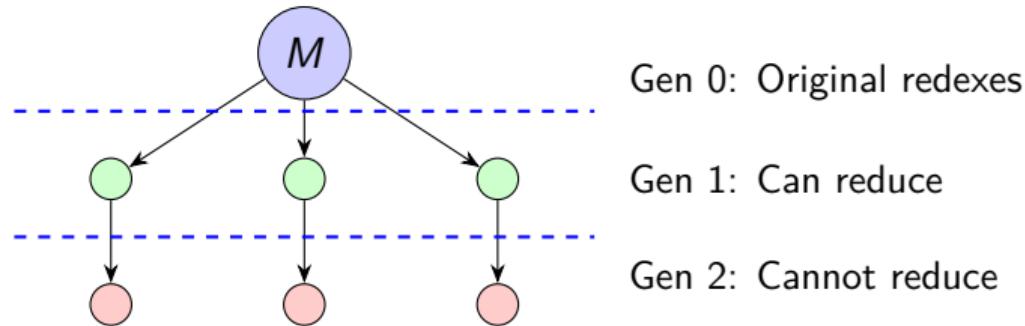
**Example:** Consider  $M = (\lambda x.x x) (\lambda y.y)$

$$\begin{array}{c} (\lambda x.x x) (\lambda y.y) \\ \downarrow \rightarrow_{\beta} \\ \underbrace{(\lambda y.y) (\lambda y.y)}_{\text{new redex!}} \\ \downarrow \rightarrow_{\beta} \\ \lambda y.y \end{array}$$

- ▶ **Sequential:** Can reach  $\lambda y.y$  in two steps.
- ▶ **Parallel:** From  $M$ , we can only reach  $(\lambda y.y)(\lambda y.y)$  in one step.

The redex  $(\lambda y.y)(\lambda y.y)$  was *created* by the first reduction—it didn't exist in  $M$ . Parallel reduction cannot contract it in the same step!

## Parallel Reduction: One Generation



$\Rightarrow_{\beta}$  reduces any subset of *existing* redexes simultaneously, but *cannot* reduce newly created redexes.

## Parallel Reduction: Definition

### Definition (Parallel reduction)

$\Rightarrow_\beta$  is defined inductively:

1. **Variables:**  $x \Rightarrow_\beta x$
2. **Abstraction:** If  $M \Rightarrow_\beta M'$  then  $\lambda x.M \Rightarrow_\beta \lambda x.M'$
3. **Application:** If  $M \Rightarrow_\beta M'$  and  $N \Rightarrow_\beta N'$  then  $MN \Rightarrow_\beta M'N'$
4.  **$\beta$ -contraction:** If  $M \Rightarrow_\beta M'$  and  $N \Rightarrow_\beta N'$  then  $(\lambda x.M)N \Rightarrow_\beta M'[x := N']$

## Rule Overlap: The Key to “Any Subset”

When  $M \equiv (\lambda x.P) Q$  is a  $\beta$ -redex, **both Rules 3 and 4 apply**:

- ▶ **Rule 3** gives  $(\lambda x.P) Q \Rightarrow_{\beta} (\lambda x.P') Q'$  (head redex *not* contracted)
- ▶ **Rule 4** gives  $(\lambda x.P) Q \Rightarrow_{\beta} P'[x := Q']$  (head redex *contracted*)

This overlap is intentional! For each redex in  $M$ , we independently choose whether to contract it (Rule 4) or keep it (Rule 3).

**Example:**  $(\lambda x.x)((\lambda y.y)z)$  has two redexes. We can:

- ▶ Contract neither:  $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda x.x)((\lambda y.y)z)$  (reflexivity)
- ▶ Contract inner only:  $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda x.x)z$
- ▶ Contract outer only:  $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} (\lambda y.y)z$
- ▶ Contract both:  $(\lambda x.x)((\lambda y.y)z) \Rightarrow_{\beta} z$

## Congruence: What Rules 1–3 Say

Rules 1–3 make  $\Rightarrow_\beta$  a **congruence**: a relation compatible with the inductive grammar of  $\lambda$ -calculus.

Using *only* Rules 1–3, we can *only* derive  $M \Rightarrow_\beta M$  for any term—that is, reflexivity. This is proved by induction on  $M$ :

- ▶ **Base case:** Rule 1 gives  $x \Rightarrow_\beta x$  for variables.
- ▶ **Abstraction:** If  $M \Rightarrow_\beta M$  (IH), then Rule 2 gives  $\lambda x.M \Rightarrow_\beta \lambda x.M$ .
- ▶ **Application:** If  $M \Rightarrow_\beta M$  and  $N \Rightarrow_\beta N$  (IH), then Rule 3 gives  $M N \Rightarrow_\beta M N$ .

**Rule 4** is what allows the relation to be non-trivial: it permits  $M \Rightarrow_\beta M'$  with  $M' \neq M$ .

## Proving the Diamond Property for $\Rightarrow_\beta$

We have shown (via diamond tiling) that Church-Rosser follows from:

- ▶  $\rightarrow_\beta \subseteq \Rightarrow_\beta \subseteq \rightarrowtail_\beta$
- ▶  $\Rightarrow_\beta$  is reflexive, i.e.,  $M \Rightarrow_\beta M$  for any  $M$
- ▶  $\Rightarrow_\beta$  has the diamond property

The inclusions and reflexivity follow easily from the definition. For now, we accept:

- ▶ **Substitution Lemma:** If  $M \Rightarrow_\beta M'$  and  $N \Rightarrow_\beta N'$  then  
 $M[x := N] \Rightarrow_\beta M'[x := N']$

We now focus on proving the diamond property for  $\Rightarrow_\beta$ .

# Main Idea: Complete Development

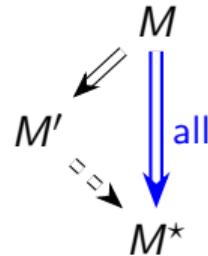
To prove the diamond property, we define the **complete development**  $M^*$  of a term  $M$ .

## Theorem (Complete Development)

For each  $\lambda$ -term  $M$ , there exists  $M^*$  such that:

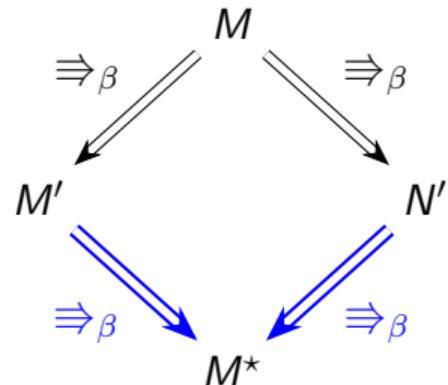
If  $M \Rightarrow_{\beta} M'$  then  $M' \Rightarrow_{\beta} M^*$

$M^*$  is the *maximally parallel reduced* form: it reduces *all* generation-0 redexes at once.



## Complete Development $\Rightarrow$ Diamond

If  $M \Rightarrow_{\beta} M'$  and  $M \Rightarrow_{\beta} N'$ , take  $P = M^*$ :



Both  $M'$  and  $N'$  reduce to the *same*  $M^*$ . Diamond is immediate!

## Definition: Complete Development $M^*$

### Definition

The **complete development**  $M^*$  is defined inductively:

1.  $x^* = x$  (variables)
2.  $(\lambda x.M)^* = \lambda x.M^*$  (abstractions)
3.  $(M N)^* = M^* N^*$  if  $M$  is not an abstraction
4.  $((\lambda x.M) N)^* = M^*[x := N^*]$  ( $\beta$ -redex: contract it!)

## Understanding the Definition

Rules 1–3 just recurse through the structure—no reduction happens:

- ▶  $x^* = x$  (nothing to do)
- ▶  $(\lambda x.M)^* = \lambda x.M^*$  (recurse into body)
- ▶  $(M N)^* = M^* N^*$  (recurse into both parts)

All the reduction happens in Rule 4:

- ▶  $((\lambda x.M) N)^* = M^*[x := N^*]$

This rule detects a  $\beta$ -redex and contracts it. Notice: we compute  $M^*$  and  $N^*$  *before* substituting—so the definition recurses into subterms first, then performs the substitution.

## Example: Computing $M^*$ (Part 1)

Let  $M = (\lambda x.x y)((\lambda z.z) w)$ . This term has two redexes: outer and inner.

### Step 1: Spread the stars using the definition rules

$$\begin{aligned} M^* &= ((\lambda x.x y)((\lambda z.z) w))^* \\ &= (x y)^*[x := ((\lambda z.z) w)^*] && \text{(Rule 4: outer redex)} \\ &= (x^* y^*)[x := ((\lambda z.z) w)^*] && \text{(Rule 3: } x \text{ not abstraction)} \\ &= (x^* y^*)[x := z^*[z := w^*]] && \text{(Rule 4: inner redex)} \end{aligned}$$

Now all the stars are on variables.

## Example: Computing $M^*$ (Part 2)

We have:  $M^* = (x^* y^*)[x := z^*[z := w^*]]$

**Step 2: Evaluate the leaves (all variables, so Rule 1)**

$$x^* = x, \quad y^* = y, \quad z^* = z, \quad w^* = w$$

**Step 3: Substitute back from inside out**

$$= (x y)[x := z[z := w]]$$

$$= (x y)[x := w]$$

$$= w y$$

So  $M^* = w y$ .

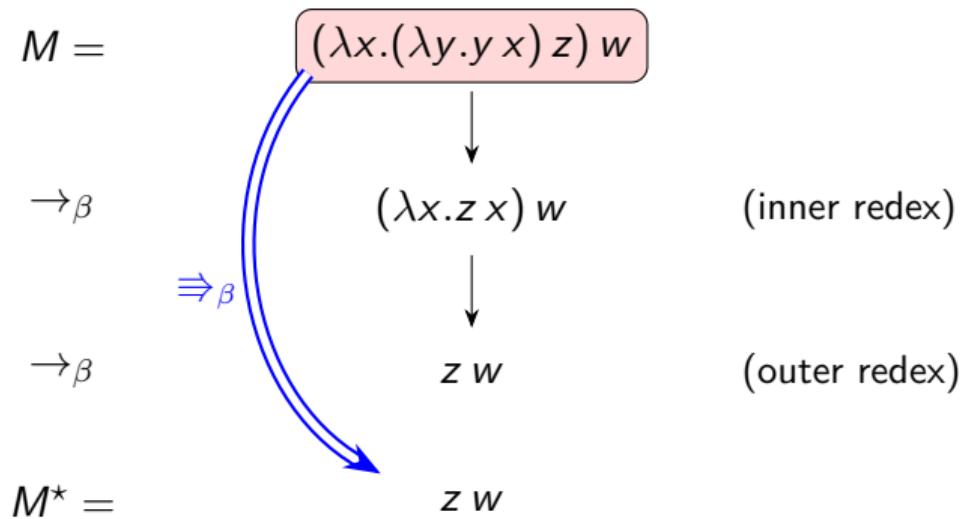
## Warm-up: Why $M \Rightarrow_{\beta} M^*$ ?

Before proving the main theorem, let's verify that  $M \Rightarrow_{\beta} M^*$  for any  $M$ .

**Proof:** By induction on the structure of  $M$ :

- ▶ **Case  $M \equiv x$ :** We have  $x^* = x$  and  $x \Rightarrow_{\beta} x$  by Rule 1. ✓
- ▶ **Case  $M \equiv \lambda x. M_1$ :** By IH,  $M_1 \Rightarrow_{\beta} M_1^*$ . By Rule 2,  $\lambda x. M_1 \Rightarrow_{\beta} \lambda x. M_1^* = (\lambda x. M_1)^*$ . ✓
- ▶ **Case  $M \equiv M_1 M_2$  where  $M_1$  is not an abstraction:** By IH,  $M_i \Rightarrow_{\beta} M_i^*$ . By Rule 3,  $M_1 M_2 \Rightarrow_{\beta} M_1^* M_2^* = (M_1 M_2)^*$ . ✓
- ▶ **Case  $M \equiv (\lambda x. M_1) M_2$  (a  $\beta$ -redex):** By IH,  $M_i \Rightarrow_{\beta} M_i^*$ . By Rule 4,  $(\lambda x. M_1) M_2 \Rightarrow_{\beta} M_1^*[x := M_2^*] = M^*$ . ✓

# Visualizing Complete Development



Sequential: two  $\rightarrow_\beta$  steps. Parallel: one  $\Rightarrow_\beta$  step to  $M^*$ .

## Main Theorem

### Theorem

If  $M \Rightarrow_{\beta} M'$  then  $M' \Rightarrow_{\beta} M^*$ .

**Meaning:** Whatever subset of redexes we choose to reduce (getting  $M'$ ), we can always “catch up” to the complete development  $M^*$  by reducing the remaining redexes.

**Proof:** By induction on the structure of  $M$ .

We consider what form  $M$  can take: variable, abstraction, or application.

## Proof: Case 1 (Variable)

**Case:**  $M \equiv x$ .

Given that  $x \Rightarrow_{\beta} M'$ , what does this imply about  $M'$ ? Specifically, which rule could have led from  $x$  to  $M'$ ?

- ▶ Rule 2 ( $\lambda y.A \Rightarrow \lambda y.B$ ): only for abstractions — does not apply
- ▶ Rule 3 ( $A B \Rightarrow A' B'$ ): only for applications — does not apply
- ▶ Rule 4 ( $(\lambda y.A) B \Rightarrow A'[y := B']$ ): only for redexes — does not apply
- ▶ Rule 1 ( $x \Rightarrow x$ ): applies!

So  $M' \equiv x$ .

This proof technique is called **rule inversion**: given a derivation, we ask which rule(s) could have produced it. It is reminiscent of what one does with sequent calculus.

By definition:  $x^* = x$ .

Therefore  $M' \equiv x \Rightarrow_{\beta} x = M^*$  (by Rule 1).      $\checkmark$

## Proof: Case 2 (Abstraction)

**Case:**  $M \equiv \lambda x.M_1$ .

By rule inversion on  $\lambda x.M_1 \Rightarrow_{\beta} M'$ :

- ▶ Rule 1 ( $y \Rightarrow y$ ): only for variables — does not apply
- ▶ Rule 3 ( $AB \Rightarrow A' B'$ ): only for applications — does not apply
- ▶ Rule 4 ( $(\lambda y.A)B \Rightarrow A'[y := B']$ ): only for redexes — does not apply
- ▶ Rule 2 ( $\lambda y.A \Rightarrow \lambda y.B$  if  $A \Rightarrow B$ ): applies!

So  $M' \equiv \lambda x.N_1$  for some  $N_1$  with  $M_1 \Rightarrow_{\beta} N_1$ .

By IH on  $M_1$ :  $N_1 \Rightarrow_{\beta} M_1^*$ .

Hence by Rule 2:

$$M' = \lambda x.N_1 \Rightarrow_{\beta} \lambda x.M_1^* = (\lambda x.M_1)^* = M^* \quad \checkmark$$

## Proof: Case 3 (Application, non-redex)

**Case:**  $M \equiv M_1 M_2$  where  $M_1$  is not an abstraction.

By rule inversion on  $M_1 M_2 \Rightarrow_{\beta} M'$ :

- ▶ Rule 1 ( $x \Rightarrow x$ ): only for variables — does not apply
- ▶ Rule 2 ( $\lambda y. A \Rightarrow \lambda y. B$ ): only for abstractions — does not apply
- ▶ Rule 4 ( $(\lambda y. A) B \Rightarrow A'[y := B']$ ): requires  $M_1$  to be an abstraction — does not apply
- ▶ Rule 3 ( $A B \Rightarrow A' B'$  if  $A \Rightarrow A'$ ,  $B \Rightarrow B'$ ): applies!

So  $M' \equiv N_1 N_2$  for some  $N_1, N_2$  with  $M_1 \Rightarrow_{\beta} N_1$  and  $M_2 \Rightarrow_{\beta} N_2$ .

By IH:  $N_1 \Rightarrow_{\beta} M_1^*$  and  $N_2 \Rightarrow_{\beta} M_2^*$ .

Hence by Rule 3 (since  $M_1$  not an abstraction implies  $M_1^*$  not an abstraction):

$$M' = N_1 N_2 \Rightarrow_{\beta} M_1^* M_2^* = (M_1 M_2)^* = M^* \quad \checkmark$$

## Proof: Case 4 ( $\beta$ -redex)

**Case:**  $M \equiv (\lambda x.M_1) M_2$  (a  $\beta$ -redex).

By rule inversion on  $(\lambda x.M_1) M_2 \Rightarrow_{\beta} M'$ :

- ▶ Rule 1 ( $y \Rightarrow y$ ): only for variables — does not apply
- ▶ Rule 2 ( $\lambda y.A \Rightarrow \lambda y.B$ ): only for abstractions — does not apply
- ▶ Rule 3 ( $A B \Rightarrow A' B'$ ): applies! Gives  $M' \equiv (\lambda x.N_1) N_2$  with  $M_1 \Rightarrow_{\beta} N_1$ ,  $M_2 \Rightarrow_{\beta} N_2$
- ▶ Rule 4 ( $(\lambda y.A) B \Rightarrow A'[y := B']$ ): applies! Gives  $M' \equiv N_1[x := N_2]$  with  $M_1 \Rightarrow_{\beta} N_1$ ,  $M_2 \Rightarrow_{\beta} N_2$

So we have two subcases depending on which rule was used.

## Proof: Case 4, Subcase 4.1

Recall:  $M \equiv (\lambda x.M_1) M_2$ .

**Subcase 4.1:**  $M' \equiv (\lambda x.N_1) N_2$  with  $M_1 \Rightarrow_{\beta} N_1$  and  $M_2 \Rightarrow_{\beta} N_2$  (Rule 3 used; head redex not contracted).

By IH:  $N_1 \Rightarrow_{\beta} M_1^*$  and  $N_2 \Rightarrow_{\beta} M_2^*$ .

Hence by Rule 4:

$$M' = (\lambda x.N_1) N_2 \Rightarrow_{\beta} M_1^*[x := M_2^*] = ((\lambda x.M_1) M_2)^* = M^* \quad \checkmark$$

## Proof: Case 4, Subcase 4.2

Recall:  $M \equiv (\lambda x.M_1) M_2$ .

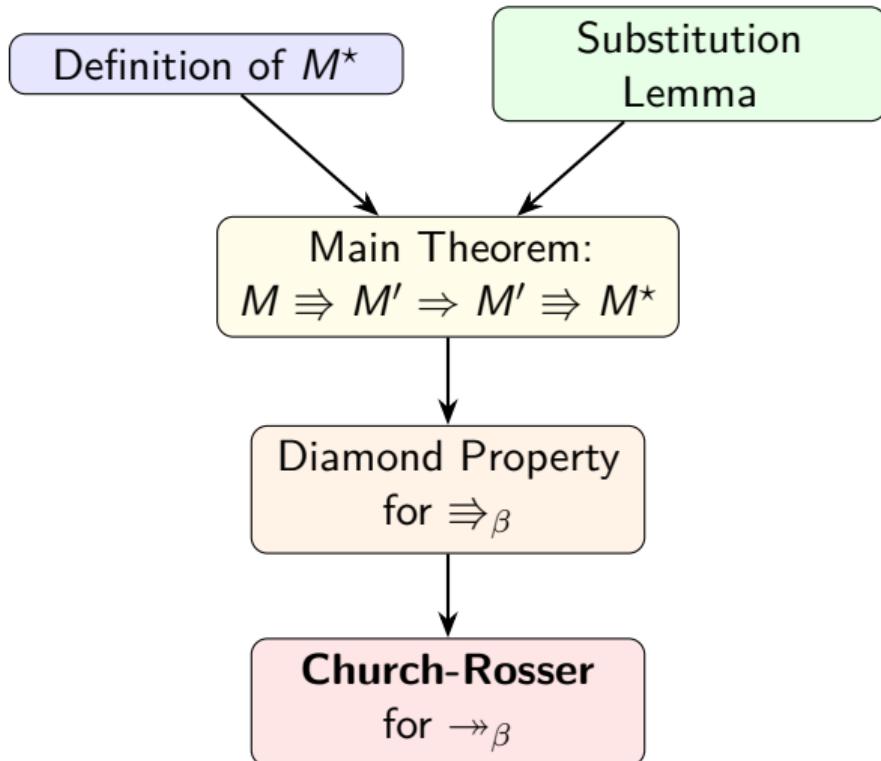
**Subcase 4.2:**  $M' \equiv N_1[x := N_2]$  with  $M_1 \Rightarrow_{\beta} N_1$  and  $M_2 \Rightarrow_{\beta} N_2$  (Rule 4 used; head redex contracted).

By IH:  $N_1 \Rightarrow_{\beta} M_1^*$  and  $N_2 \Rightarrow_{\beta} M_2^*$ .

Hence by the Substitution Lemma:

$$M' = N_1[x := N_2] \Rightarrow_{\beta} M_1^*[x := M_2^*] = ((\lambda x.M_1) M_2)^* = M^* \quad \checkmark \quad \square$$

## Church-Rosser: Complete Proof Summary



This is the **Takahashi proof** (1995), based on the Tait–Martin-Löf method (1970s).

## Corollary: Uniqueness of Normal Forms

### Corollary

If  $M \rightarrow_{\beta} N_1$  and  $M \rightarrow_{\beta} N_2$  where  $N_1$  and  $N_2$  are both in  $\beta$ -normal form, then  $N_1 = N_2$ .

**Proof:** By Church-Rosser, there exists  $P$  with  $N_1 \rightarrow_{\beta} P$  and  $N_2 \rightarrow_{\beta} P$ .

But  $N_1$  and  $N_2$  are normal forms, so  $N_1 = P = N_2$ .  $\square$

## Corollary: Consistency

### Corollary

*Not all  $\lambda$ -terms are  $\beta$ -equivalent. In particular,  $x \neq_\beta y$  for distinct variables  $x, y$ .*

**Proof:** Both  $x$  and  $y$  are in  $\beta$ -normal form (no redexes).

If  $x =_\beta y$ , then by Church-Rosser there exists  $P$  with  $x \twoheadrightarrow_\beta P$  and  $y \twoheadrightarrow_\beta P$ .

Since  $x$  and  $y$  are normal:  $x = P = y$ . Contradiction.  $\square$

This ensures the  $\lambda$ -calculus is a *sensible* system—not everything is equal!

## Parallel Reduction and Concurrency

Beyond proving Church-Rosser, parallel reduction has a natural interpretation:

**Concurrent computation:**  $\Rightarrow_{\beta}$  identifies which redexes can be reduced *simultaneously*—they are the redexes that exist in the original term.

- ▶ Redexes in generation 0 are *independent*: contracting one does not affect the others
- ▶ They can in principle be computed in parallel
- ▶ Newly created redexes (generation 1+) must wait for the previous reductions to complete

This gives a formal basis for reasoning about which parts of a computation are independent.

## Summary

1. **Parallel reduction**  $\Rightarrow_{\beta}$  reduces multiple redexes simultaneously (but only existing ones)
2. Key properties:  $\rightarrow_{\beta} \subseteq \Rightarrow_{\beta} \subseteq \rightarrow_{\beta}$  and the diamond property
3. **Complete development**  $M^*$  is the maximally parallel reduced form
4. **Main theorem:** Any partial development can be completed to the full development  $M^*$
5. Diamond + inclusions  $\Rightarrow$  **Church-Rosser** for  $\rightarrow_{\beta}$
6. **Consequences:** Unique normal forms, consistency of  $\lambda$ -calculus

## Appendix: Proof of Property (1)

### Lemma

If  $M \rightarrow_{\beta} N$  then  $M \Rightarrow_{\beta} N$ .

**Proof idea:** A single  $\beta$ -reduction is a special case of parallel reduction where we reduce exactly one redex and leave everything else unchanged.

The congruence rules (1–3) let us “do nothing” to parts of the term (since  $P \Rightarrow_{\beta} P$  for any  $P$ ).

## Appendix: Proof of Property (2)

### Lemma

If  $M \Rightarrow_{\beta} N$  then  $M \rightarrow_{\beta} N$ .

**Proof:** By induction on the structure of  $M$ :

- ▶ **Case  $M \equiv x$ :** Only Rule 1 applies, so  $N \equiv x$ . Then  $x \rightarrow_{\beta} x$ . ✓
- ▶ **Case  $M \equiv \lambda x. M_1$ :** Only Rule 2 applies, so  $N \equiv \lambda x. N_1$  with  $M_1 \Rightarrow_{\beta} N_1$ . By IH,  $M_1 \rightarrow_{\beta} N_1$ . By compatibility,  $\lambda x. M_1 \rightarrow_{\beta} \lambda x. N_1$ . ✓
- ▶ **Case  $M \equiv M_1 M_2$  where  $M_1$  is not an abstraction:** Only Rule 3 applies, so  $N \equiv N_1 N_2$  with  $M_i \Rightarrow_{\beta} N_i$ . By IH,  $M_i \rightarrow_{\beta} N_i$ . By compatibility,  $M_1 M_2 \rightarrow_{\beta} N_1 N_2$ . ✓
- ▶ **Case  $M \equiv (\lambda x. M_1) M_2$ :** Both Rules 3 and 4 apply. Either  $N \equiv (\lambda x. N_1) N_2$  or  $N \equiv N_1[x := N_2]$ , with  $M_i \Rightarrow_{\beta} N_i$ . By IH,  $M_i \rightarrow_{\beta} N_i$ . In both subcases,  $M \rightarrow_{\beta} N$ . ✓

## Appendix: Substitution Lemma

Lemma (Substitution Lemma)

If  $M \Rightarrow_{\beta} M'$  and  $N \Rightarrow_{\beta} N'$  then

$$M[x := N] \Rightarrow_{\beta} M'[x := N']$$

**Proof:** By induction on the structure of  $M$ . We unpack  $M$  into four cases.

## Appendix: Substitution Lemma (Case 1 – Variable)

**Case**  $M \equiv y$ :

By rule inversion on  $y \Rightarrow_{\beta} M'$ : only Rule 1 applies.

So  $M' \equiv y$ .

**Subcase**  $y = x$ :

$$M[x := N] = N \quad \text{and} \quad M'[x := N'] = N'$$

Since  $N \Rightarrow_{\beta} N'$  by assumption, we are done. ✓

**Subcase**  $y \neq x$ :

$$M[x := N] = y \quad \text{and} \quad M'[x := N'] = y$$

By Rule 1,  $y \Rightarrow_{\beta} y$ . ✓

## Appendix: Substitution Lemma (Case 2 – Abstraction)

**Case**  $M \equiv \lambda y. M_1$ :

By rule inversion on  $\lambda y. M_1 \Rightarrow_{\beta} M'$ : only Rule 2 applies.

So  $M' \equiv \lambda y. M'_1$  with  $M_1 \Rightarrow_{\beta} M'_1$ .

(Assume  $y \neq x$  and  $y \notin FV(N) \cup FV(N')$ , renaming if necessary.)

$$M[x := N] = \lambda y. M_1[x := N]$$

$$M'[x := N'] = \lambda y. M'_1[x := N']$$

By IH on  $M_1$ :  $M_1[x := N] \Rightarrow_{\beta} M'_1[x := N']$ .

By Rule 2:  $\lambda y. M_1[x := N] \Rightarrow_{\beta} \lambda y. M'_1[x := N']$ . ✓

## Appendix: Substitution Lemma (Case 3 – Non-redex Application)

**Case  $M \equiv M_1 M_2$  where  $M_1$  is not an abstraction:**

By rule inversion: only Rule 3 applies.

So  $M' \equiv M'_1 M'_2$  with  $M_i \Rightarrow_{\beta} M'_i$ .

$$M[x := N] = M_1[x := N] M_2[x := N]$$

$$M'[x := N'] = M'_1[x := N'] M'_2[x := N']$$

By IH on  $M_1$ :  $M_1[x := N] \Rightarrow_{\beta} M'_1[x := N']$ .

By IH on  $M_2$ :  $M_2[x := N] \Rightarrow_{\beta} M'_2[x := N']$ .

By Rule 3:  $M_1[x := N] M_2[x := N] \Rightarrow_{\beta} M'_1[x := N'] M'_2[x := N']$ . ✓

## Appendix: Substitution Lemma (Case 4 – Redex, Subcase a)

**Case**  $M \equiv (\lambda y.P) Q$ :

By rule inversion: both Rules 3 and 4 apply, giving two subcases.

**Subcase (a):**  $M' \equiv (\lambda y.P') Q'$  with  $P \Rightarrow_{\beta} P'$  and  $Q \Rightarrow_{\beta} Q'$  (Rule 3; head redex not contracted).

(Assume  $y \neq x$  and  $y \notin FV(N) \cup FV(N')$ .)

$$M[x := N] = (\lambda y.P[x := N]) Q[x := N]$$

$$M'[x := N'] = (\lambda y.P'[x := N']) Q'[x := N']$$

By IH:  $P[x := N] \Rightarrow_{\beta} P'[x := N']$  and  $Q[x := N] \Rightarrow_{\beta} Q'[x := N']$ .

By Rule 2:  $\lambda y.P[x := N] \Rightarrow_{\beta} \lambda y.P'[x := N']$ .

By Rule 3:  $(\lambda y.P[x := N]) Q[x := N] \Rightarrow_{\beta} (\lambda y.P'[x := N']) Q'[x := N']$ . ✓

## Appendix: Substitution Lemma (Case 4 – Redex, Subcase b)

**Subcase (b):**  $M' \equiv P'[y := Q']$  with  $P \Rightarrow_{\beta} P'$  and  $Q \Rightarrow_{\beta} Q'$  (Rule 4; head redex contracted).

(Assume  $y \neq x$  and  $y \notin FV(N) \cup FV(N')$ .)

$$\begin{aligned} M[x := N] &= (\lambda y. P[x := N]) Q[x := N] \\ M'[x := N'] &= P'[y := Q'][x := N'] \end{aligned}$$

By IH:  $P[x := N] \Rightarrow_{\beta} P'[x := N']$  and  $Q[x := N] \Rightarrow_{\beta} Q'[x := N']$ .

By Rule 4:

$$(\lambda y. P[x := N]) Q[x := N] \Rightarrow_{\beta} P'[x := N'][y := Q'[x := N']]$$

By the **substitution commutation lemma** (since  $y \neq x$ ,  $y \notin FV(N')$ ):

$$P'[x := N'][y := Q'[x := N']] = P'[y := Q'][x := N'] = M'[x := N']$$

Hence  $M[x := N] \Rightarrow_{\beta} M'[x := N']$ .  $\square$

Thank You

Questions?

