

## Sample Questions and Answers-III

Q & A 3

11 October 2024

## Example B1

### Question:

Consider the function of

$$f(x) = (x^2 - 1)(x^2 - 5)$$

- a) find the critical and inflection points for the function
- b) use sign chart where the function increases and decreases
- c) use sign chart where the function is concave down and concave up
- d) draw the graph of function
- e) identify the local maximum and minimum
- f) investigate whether the optimal points are global or not

## a) calculate the first derivative and find critical points

### Step 1

First, compute the first derivative of the function using the product rule:

$$f'(x) = 4x(x^2 - 3)$$

### Step 2

Critical points occur where  $f'(x) = 0$ . Solve:

$$4x(x^2 - 3) = 0$$

This gives:

$$x = 0, \quad x = \pm\sqrt{3}$$

Therefore, the critical points are:

$$x = 0, \pm\sqrt{3}$$

## a) calculate the second derivative and find the inflection points

## Step 3

Next, compute the second derivative  $f''(x)$ :

$$f''(x) = 12(x^2 - 1)$$

## Step 4

Inflection points occur where  $f''(x) = 0$ . Solve:

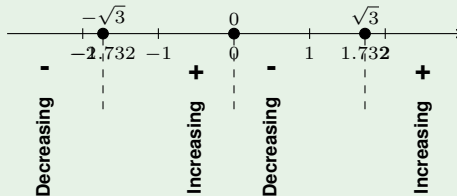
$$12(x^2 - 1) = 0 \quad \Rightarrow \quad x = \pm 1$$

Therefore, the inflection points are:

$$x = \pm 1$$

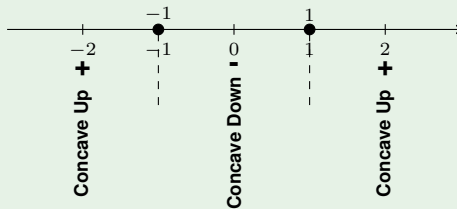
b) use sign chart where the function increases and decreases

### Sign Chart for Increasing/Decreasing



c) use sign chart where the function is concave down and concave up

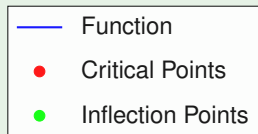
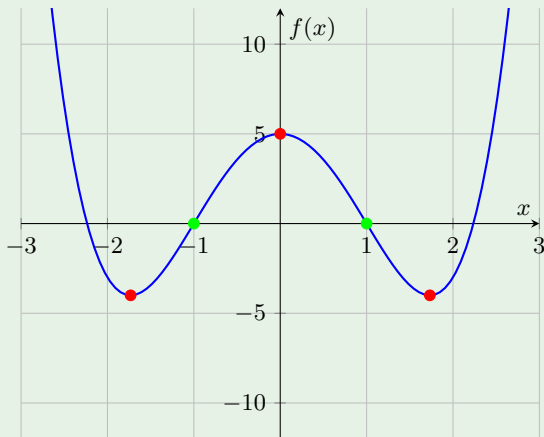
### Sign Chart for Concavity



## d) draw the graph of the function

Graph of  $f(x) = (x^2 - 1)(x^2 - 5)$ 

$$f(x) = (x^2 - 1)(x^2 - 5)$$



## e) identify the local maximum and minimum

### Determining Local Maxima and Minima

We have found the critical points at  $x = -\sqrt{3}, 0, \sqrt{3}$  by setting  $f'(x) = 0$ . Now we apply the first derivative test:

- At  $x = -\sqrt{3}$ : The derivative changes from negative to positive, so  $x = -\sqrt{3}$  is a **local minimum**.
- At  $x = 0$ : The derivative changes from positive to negative, so  $x = 0$  is a **local maximum**.
- At  $x = \sqrt{3}$ : The derivative changes from negative to positive, so  $x = \sqrt{3}$  is a **local minimum**.

The function values at these critical points are:

$$f(0) = 5, \quad f(\pm\sqrt{3}) = -4$$

Therefore, the local maxima and minima are:

$$\text{Local maximum at } x = 0, f(0) = 5$$

$$\text{Local minima at } x = \pm\sqrt{3}, f(\pm\sqrt{3}) = -4$$



## f) investigate whether the optimal points are global or not

Global Extrema of  $f(x) = (x^2 - 1)(x^2 - 5)$ 

We already know that the function has local extrema at the critical points:

- Local maximum at  $x = 0$ ,  $f(0) = 5$ .
- Local minima at  $x = \pm\sqrt{3}$ ,  $f(\pm\sqrt{3}) = -4$ .

Now, we analyze the end behavior of the function:

$$f(x) = x^4 - 6x^2 + 5$$

As  $x \rightarrow \infty$  or  $x \rightarrow -\infty$ , the leading term  $x^4$  dominates, so:

$$f(x) \rightarrow \infty \quad \text{as} \quad x \rightarrow \pm\infty$$

**Conclusion:**

- The function has no global maximum because  $f(x) \rightarrow \infty$  as  $x \rightarrow \pm\infty$ .
- The function has a global minimum at  $x = -\sqrt{3}$  and  $x = \sqrt{3}$ , where  $f(x) = -4$ .

## Example B2

### Question:

Consider the function

$$f(x) = x^3 - 6x^2 + 9x + 1$$

- Find the critical and inflection points for the function.
- Use a sign chart to determine where the function is increasing and decreasing.
- Use a sign chart to determine where the function is concave down and concave up.
- Sketch the graph of the function showing the critical and inflection points.
- Identify the local maximum and minimum.
- Investigate whether the optimal points are global or not.

## a) Calculate the first derivative and find critical points

### Step 1

First, compute the first derivative of the function:

$$f'(x) = 3x^2 - 12x + 9$$

### Step 2

Critical points occur where  $f'(x) = 0$ . Solve:

$$3x^2 - 12x + 9 = 0$$

This factors as:

$$3(x^2 - 4x + 3) = 0 \quad \Rightarrow \quad (x - 1)(x - 3) = 0$$

Therefore, the critical points are:

$$x = 1, x = 3$$

## a) Calculate the second derivative and find inflection points

### Step 3

Next, compute the second derivative  $f''(x)$ :

$$f''(x) = 6x - 12$$

### Step 4

Inflection points occur where  $f''(x) = 0$ . Solve:

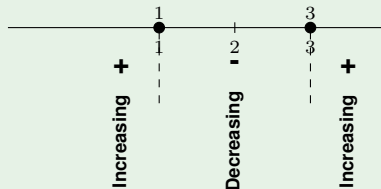
$$6x - 12 = 0 \quad \Rightarrow \quad x = 2$$

Therefore, the inflection point is:

$$x = 2$$

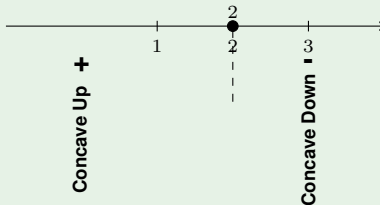
## b) Use sign chart where the function increases and decreases

### Sign Chart for Increasing/Decreasing



c) Use sign chart where the function is concave down and concave up

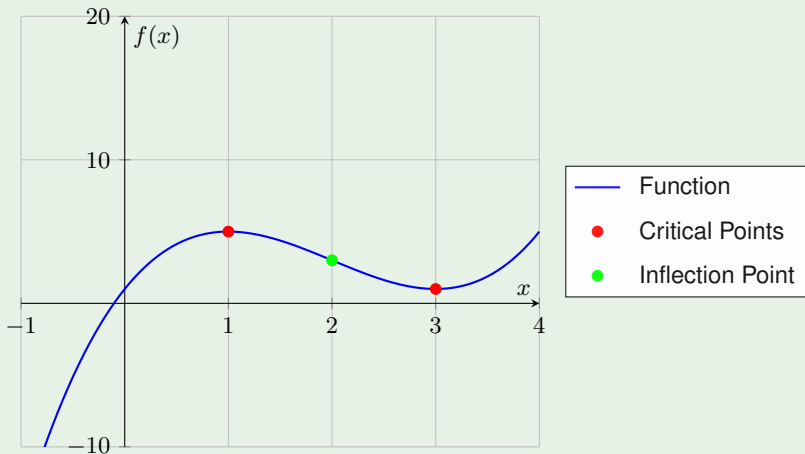
### Sign Chart for Concavity



## d) Sketch the graph of the function

Graph of  $f(x) = x^3 - 6x^2 + 9x + 1$ 

$$f(x) = x^3 - 6x^2 + 9x + 1$$



## e) Identify the local maximum and minimum

### Local Maximum and Minimum

We have found the critical points at  $x = 1$  and  $x = 3$ . Now, we apply the first derivative test:

- At  $x = 1$ : The function changes from increasing to decreasing, so  $x = 1$  is a **local maximum**.
- At  $x = 3$ : The function changes from decreasing to increasing, so  $x = 3$  is a **local minimum**.

The function values at these critical points are:

$$f(1) = 1, \quad f(3) = 1$$

Therefore, the local maximum and minimum are:

Local maximum at  $x = 1, f(1) = 1$

Local minimum at  $x = 3, f(3) = 1$



## f) Investigate Global Extrema

### Global Extrema of $f(x) = x^3 - 6x^2 + 9x + 1$

We already know:

- The function has a local maximum at  $x = 1$ , with  $f(1) = 5$ .
- The function has a local minimum at  $x = 3$ , with  $f(3) = 1$ .

- As  $x \rightarrow \infty$ ,

$$f(x) \rightarrow \infty \quad \text{as} \quad x \rightarrow \infty.$$

- As  $x \rightarrow -\infty$ ,

$$f(x) \rightarrow -\infty \quad \text{as} \quad x \rightarrow -\infty.$$

### Conclusion:

- There is no global maximum because the function increases without bound as  $x \rightarrow \infty$ .
- There is no global minimum because the function decreases without bound as  $x \rightarrow -\infty$ .

## Example 3.5

### Question:

Consider the function

$$f(x) = x^4 - 4x^3 + 4x^2 + 4$$

- Find the critical and inflection points for the function
- Use a sign chart to determine where the function increases and decreases
- Use a sign chart to determine where the function is concave up and concave down
- Draw the graph of the function
- Identify the local maximum and minimum
- Investigate whether the optimal points are global or not

## a) Calculate the first derivative and find critical points

### Step 1: First Derivative

Compute the first derivative of the function:

$$f'(x) = 4x^3 - 12x^2 + 8x$$

### Step 2: Critical Points

Critical points occur when  $f'(x) = 0$ . Solve the equation:

$$4x(x^2 - 3x + 2) = 0$$

Factor the quadratic:

$$4x(x - 1)(x - 2) = 0$$

Therefore, the critical points are:

$$x = 0, 1, 2$$

## a) Calculate the second derivative and find inflection points

### Step 3: Second Derivative

Compute the second derivative of the function:

$$f''(x) = 12x^2 - 24x + 8$$

### Step 4: Inflection Points

Inflection points occur when  $f''(x) = 0$ . Solve the equation:

$$12x^2 - 24x + 8 = 0$$

Divide by 4:

$$3x^2 - 6x + 2 = 0$$

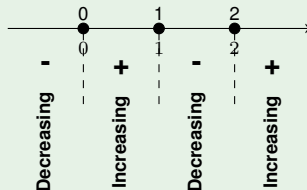
Using the quadratic formula:

$$x = \frac{-(-6) \pm \sqrt{(-6)^2 - 4(3)(2)}}{2(3)} = \frac{6 \pm \sqrt{12}}{6} = \frac{6 \pm 2\sqrt{3}}{6}$$

$$x = 1 + \frac{\sqrt{3}}{3}, \quad x = 1 - \frac{\sqrt{3}}{3}$$

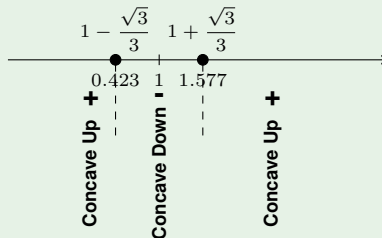
## b) Use sign chart where the function increases and decreases

### Sign Chart for Increasing/Decreasing

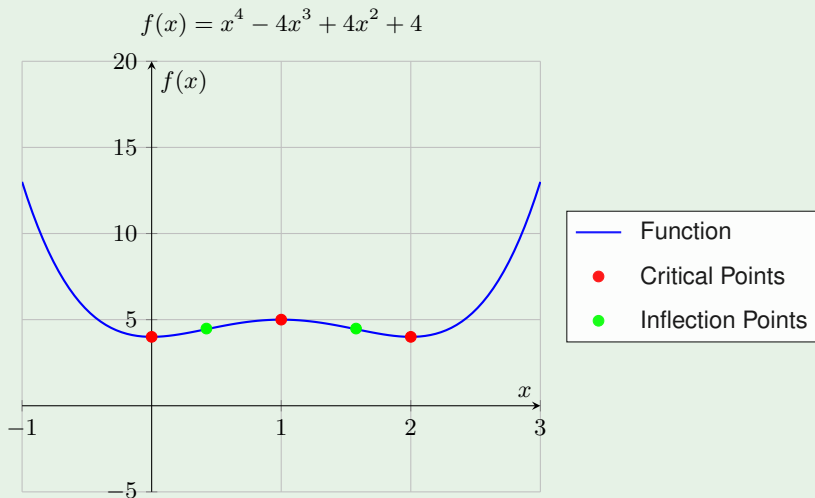


c) Use sign chart where the function is concave down and concave up

### Sign Chart for Concavity



## d) Sketch the graph of the function

Graph of  $f(x) = x^4 - 4x^3 + 4x^2 + 4$ 

## e) Local Maxima and Minima

### Step 1: Apply the Second Derivative Test

To determine whether the critical points correspond to local maxima or minima, we apply the second derivative test:

$$f''(x) = 12x^2 - 24x + 8$$

**At  $x = 0$ :**

$$f''(0) = 12(0)^2 - 24(0) + 8 = 8 \quad (\text{positive, so local minimum at } x = 0)$$

**At  $x = 1$ :**

$$f''(1) = 12(1)^2 - 24(1) + 8 = -4 \quad (\text{negative, so local maximum at } x = 1)$$

**At  $x = 2$ :**

$$f''(2) = 12(2)^2 - 24(2) + 8 = 8 \quad (\text{positive, so local minimum at } x = 2)$$

If  $f''(x) > 0$ , it's a local minimum. If  $f''(x) < 0$ , it's a local maximum. If  $f''(x) = 0$ , the test is inconclusive.



## f) Investigate whether the optimal points are global or not

### Global Minima or Maxima

Note that  $x = 0$  and  $x = 2$  are global minimum of  $f$ . However,  $x = 1$  is not definitely a global maximum, since  $f$  eventually takes on arbitrarily large values as  $x \rightarrow \infty$

The function has global minimum at:

- **Global minimum at**  $x = 0$  and  $x = 2$  with  $f(0) = f(2) = 4$

$f(x) \rightarrow \infty$  as  $x \rightarrow \infty$  and  $x \rightarrow -\infty$ , the function has no global maximum.

The function increases without bound as  $x \rightarrow \infty$  or  $x \rightarrow -\infty$ , so there are no global maximum.

## Example B3

### Question:

Consider the function

$$f(x) = x^3 - 3x^2 + 2x + 5$$

- Find the critical points and inflection points of the function
- Use a sign chart to determine where the function is increasing and decreasing
- Use a sign chart to determine where the function is concave up and concave down
- Sketch the graph of the function
- Identify the local maximum and minimum
- Investigate whether the optimal points are global or not

## a) Calculate the first derivative and find critical points

### Step 1: First Derivative

Compute the first derivative of the function:

$$f'(x) = 3x^2 - 6x + 2$$

### Step 2: Critical Points

Critical points occur when  $f'(x) = 0$ . Solve the equation:

$$3x^2 - 6x + 2 = 0$$

Divide by 3:

$$x^2 - 2x + \frac{2}{3} = 0$$

Using the quadratic formula:

$$x = \frac{-(-2) \pm \sqrt{(-2)^2 - 4(1)(\frac{2}{3})}}{2(1)} = \frac{2 \pm \sqrt{4 - \frac{8}{3}}}{2}$$

## a) Calculate the first derivative and find critical points

## Step 2: Critical Points

$$x = \frac{2 \pm \sqrt{\frac{12}{3} - \frac{8}{3}}}{2} = \frac{2 \pm \sqrt{\frac{4}{3}}}{2}$$
$$x = 1 \pm \frac{\sqrt{3}}{3}$$

Therefore, the critical points are:

$$x = 1 + \frac{\sqrt{3}}{3}, \quad x = 1 - \frac{\sqrt{3}}{3}$$

## b) Calculate the second derivative and find inflection points

### Step 3: Second Derivative

Compute the second derivative of the function:

$$f''(x) = 6x - 6$$

### Step 4: Inflection Points

Inflection points occur when  $f''(x) = 0$ . Solve the equation:

$$6x - 6 = 0$$

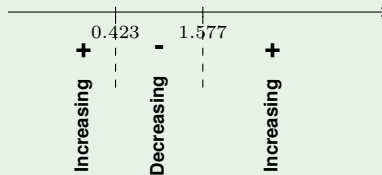
$$x = 1$$

Therefore, the inflection point is:

$$x = 1$$

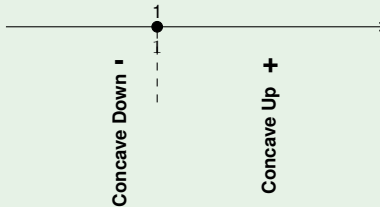
## c) Use sign chart where the function is increasing and decreasing

## Sign Chart for Increasing/Decreasing

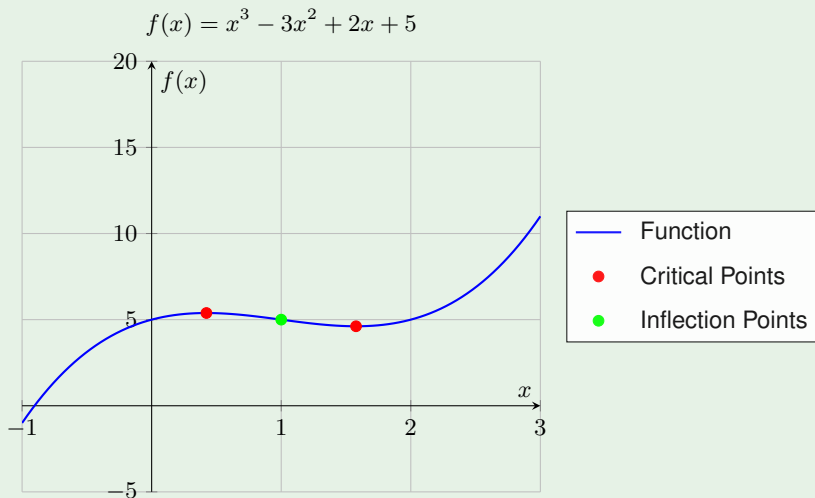


d) Use sign chart where the function is concave up and concave down

### Sign Chart for Concavity



## e) Sketch the graph of the function

Graph of  $f(x) = x^3 - 3x^2 + 2x + 5$ 



## f) Local Maxima and Minima

### Apply the Second Order Condition

To determine whether the critical points correspond to local maxima or minima, we apply the second derivative test:

$$f''(x) = 6x - 6$$

**At**  $x = 1 + \frac{\sqrt{3}}{3}$ :

$$f''\left(1 + \frac{\sqrt{3}}{3}\right) = 6\left(1 + \frac{\sqrt{3}}{3}\right) - 6 = \text{positive value, so local minimum.}$$

**At**  $x = 1 - \frac{\sqrt{3}}{3}$ :

$$f''\left(1 - \frac{\sqrt{3}}{3}\right) = 6\left(1 - \frac{\sqrt{3}}{3}\right) - 6 = \text{negative value, so local maximum.}$$

## g) Investigate whether the optimal points are global or not

### Global Extrema

Any strictly increasing or strictly decreasing function whose domain is an *open interval* will not have a maximum or a minimum in its domain

- As  $x \rightarrow +\infty$ ,  $f(x) \rightarrow +\infty$ .
- As  $x \rightarrow -\infty$ ,  $f(x) \rightarrow -\infty$ .

### Conclusion:

- The function does **not have a global maximum** because as  $x \rightarrow +\infty$ , the function increases without bound.
- The function does **not have a global minimum** because as  $x \rightarrow -\infty$ , the function decreases without bound.

Therefore, while the function has a local maximum and a local minimum, it does not have any global extrema.

## Example 3.6

### Question:

The membership of the Association of Smart Statisticians is given by the function

$$f(x) = 2x^3 - 45x^2 + 300x + 500$$

where  $x$  is the number of years after 1960. Find the largest and smallest membership between 1960 and 1980, i.e., for  $x \in [0, 20]$ , and determine when these extreme values occur. Mathematically, this is the problem of maximizing

$$f(x) = 2x^3 - 45x^2 + 300x + 500 \text{ for } x \text{ in the closed interval } [0, 20].$$

# First Derivative and Critical Points

## First Derivative

To find the critical points, we first compute the first derivative of the function:

$$f'(x) = 6x^2 - 90x + 300$$

## Critical Points

Critical points occur where  $f'(x) = 0$ . Solve the equation:

$$6x^2 - 90x + 300 = 0$$

Dividing through by 6:

$$x^2 - 15x + 50 = 0$$

Using the quadratic formula:

$$x = \frac{-(-15) \pm \sqrt{(-15)^2 - 4(1)(50)}}{2(1)} = \frac{15 \pm \sqrt{225 - 200}}{2} = \frac{15 \pm \sqrt{25}}{2} = \frac{15 \pm 5}{2}$$

The solutions are:

$$x = 10 \quad \text{or} \quad x = 5$$

## Evaluate the Function

### Evaluate at Critical Points and Endpoints

Next, we evaluate the function  $f(x)$  at the critical points and the endpoints of the interval  $[0, 20]$ :

$$f(0) = 2(0)^3 - 45(0)^2 + 300(0) + 500 = 500$$

$$f(5) = 2(5)^3 - 45(5)^2 + 300(5) + 500 = 1125$$

$$f(10) = 2(10)^3 - 45(10)^2 + 300(10) + 500 = 0$$

$$f(20) = 2(20)^3 - 45(20)^2 + 300(20) + 500 = 500$$

# Sign Chart for Increasing/Decreasing

## Sign Chart for Increasing/Decreasing

To determine where the function is increasing or decreasing, we use the first derivative:

$$f'(x) = 6x^2 - 90x + 300$$

Critical points are  $x = 5$  and  $x = 10$ . We now test the sign of  $f'(x)$  in the intervals  $(-\infty, 5)$ ,  $(5, 10)$ , and  $(10, \infty)$ .



## Second Derivative and Concavity

### Second Derivative

To determine where the function is concave up or concave down, we compute the second derivative:

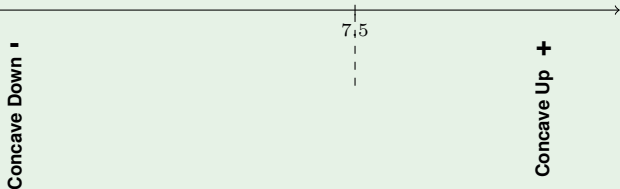
$$f''(x) = 12x - 90$$

Setting  $f''(x) = 0$ , we find the inflection point:

$$12x - 90 = 0 \Rightarrow x = 7.5$$

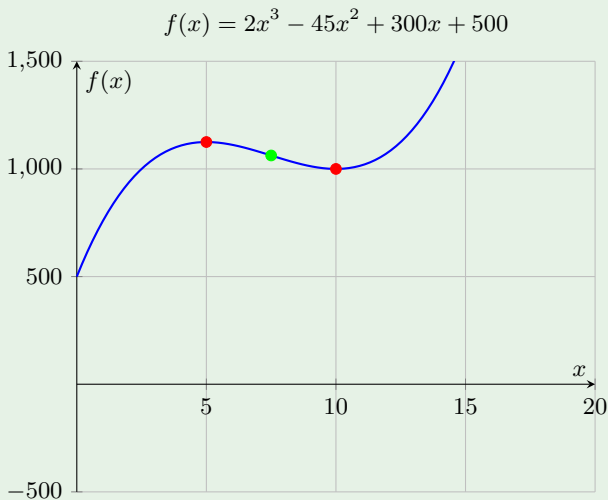
### Sign Chart for Concavity

We now analyze the concavity by testing the sign of  $f''(x)$  in the intervals  $(-\infty, 7.5)$  and  $(7.5, \infty)$ .



# Graph of the Function

Graph of  $f(x) = 2x^3 - 45x^2 + 300x + 500$





# Global Maximum and Minimum

## Global Maximum and Minimum

The function values at the critical points and endpoints are:

$$f(0) = 500, \quad f(5) = 1125, \quad f(10) = 0, \quad f(20) = 500$$

- The global maximum occurs at  $x = 5$  with  $f(5) = 1125$ . - The global minimum occurs at  $x = 10$  with  $f(10) = 0$ .