

Lattice Codes in Information Theory

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What a Lattice Means?...

For my kid :



For a physicist / crystallographer :



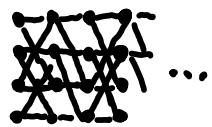
For a mathematician :



For a Computer Scientist :



For a coding theorist : $\Lambda_8, \Lambda_{24}, \dots$



For an Information Theorist:

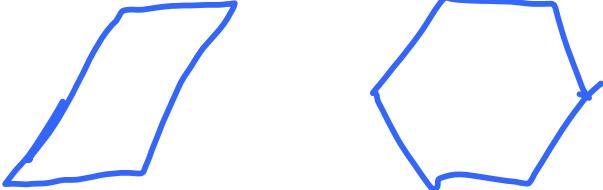
$$n \rightarrow \infty$$

We'll talk about ...

1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

1. Representation & Partition

$\text{Vol}(\Lambda)$



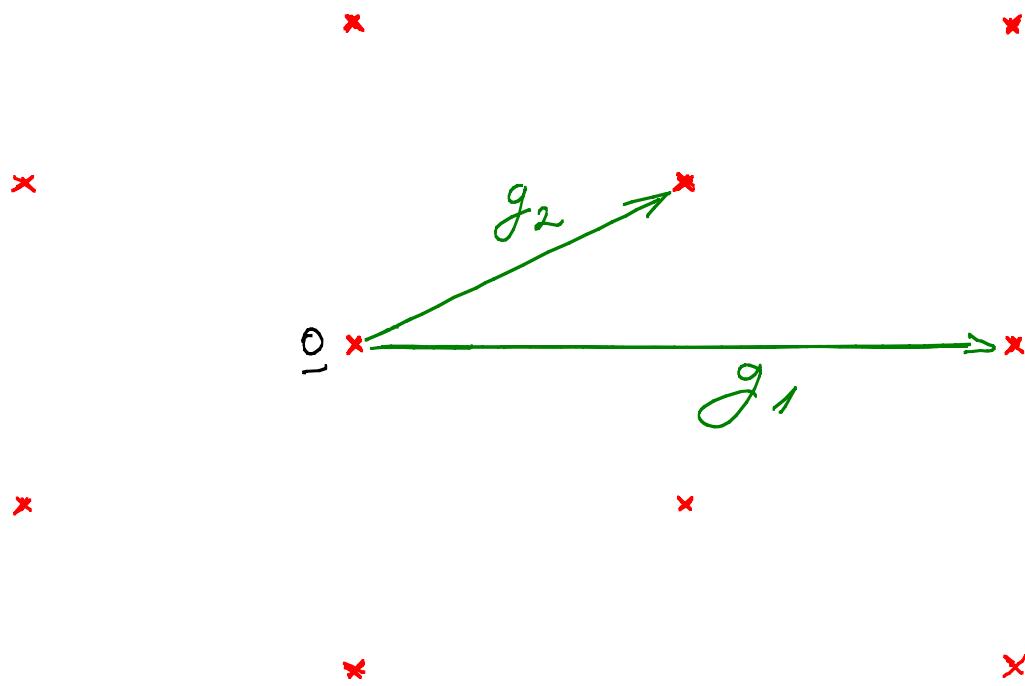
modulo Λ

Lattice : Definition

Let $\underline{g}_1, \dots, \underline{g}_n$ - linearly independent vectors in \mathbb{R}^n

$$\underline{\underline{G}} = \left(\begin{array}{|c| \cdots |c|} \hline \underline{g}_1 & & \underline{g}_n \\ \hline \end{array} \right) = \text{generator matrix}$$

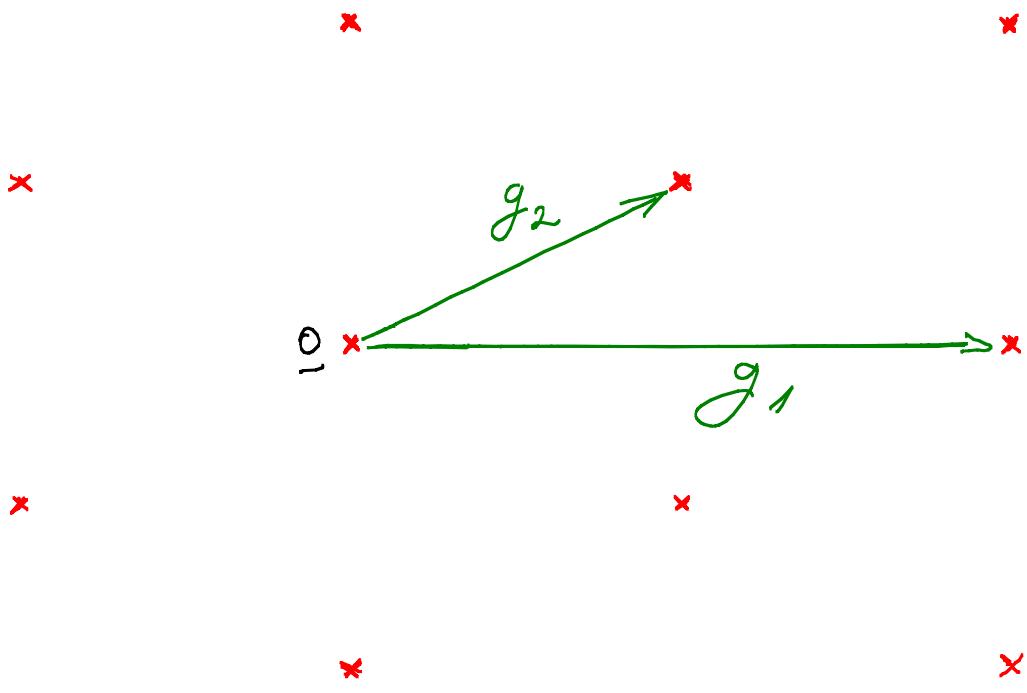
$$\begin{aligned}\mathcal{N}(G) &= \left\{ i_1 \cdot \underline{g}_1 + \dots + i_n \cdot \underline{g}_n : i_1, \dots, i_n \in \mathbb{Z} \right\} \\ &= \left\{ \underline{\underline{G}} \cdot \underline{i} : \underline{i} \in \mathbb{Z}^n \right\} \\ &= \underline{\underline{G}} \cdot \mathbb{Z}^n\end{aligned}$$



n -dimensional lattice: Definition

Let $\underline{g}_1, \dots, \underline{g}_n$ - linearly independent vectors in \mathbb{R}^n

$$\underline{G} = \begin{pmatrix} \underline{g}_1 & | & \dots & | & \underline{g}_n \end{pmatrix} = \text{generator matrix}$$



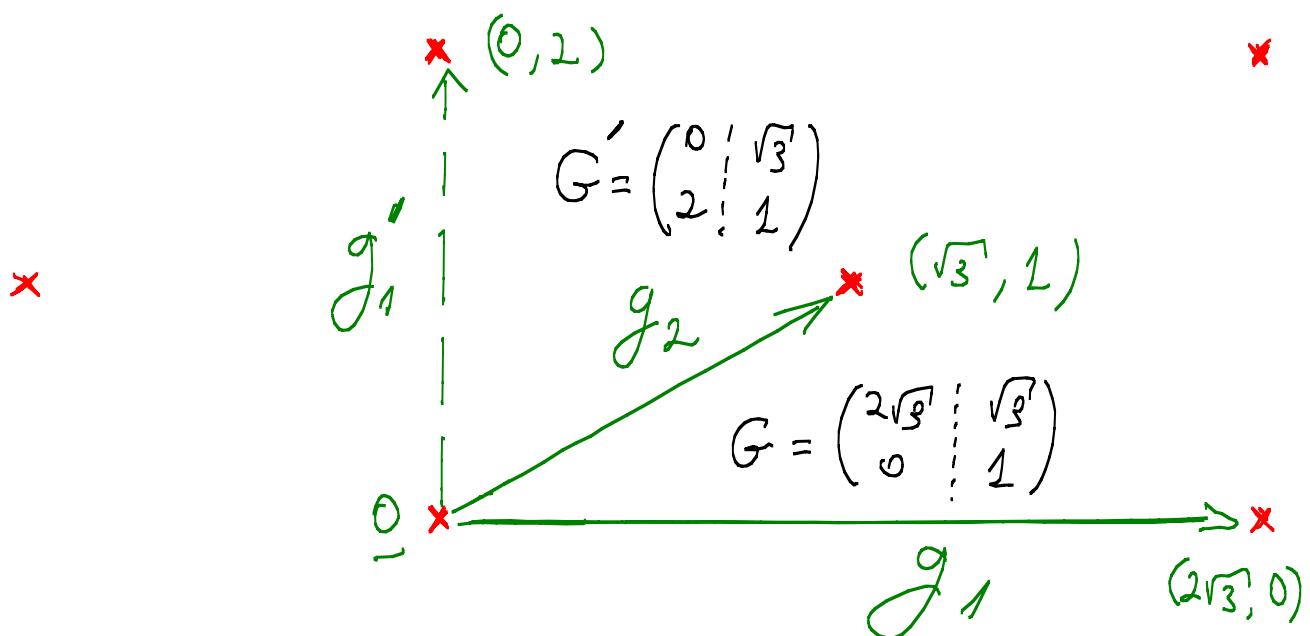
Linearity:

$$\lambda_1, \lambda_2 \in \mathbb{Z} \Rightarrow \lambda_1 \pm \lambda_2 \in \mathbb{Z}$$

Lattice : Equivalent Representations

$T = \text{unimodular matrix}$
(integer elements, $\det(T) = \pm 1$)

$$\Rightarrow \mathcal{L}(G \cdot T) = \mathcal{L}(G)$$

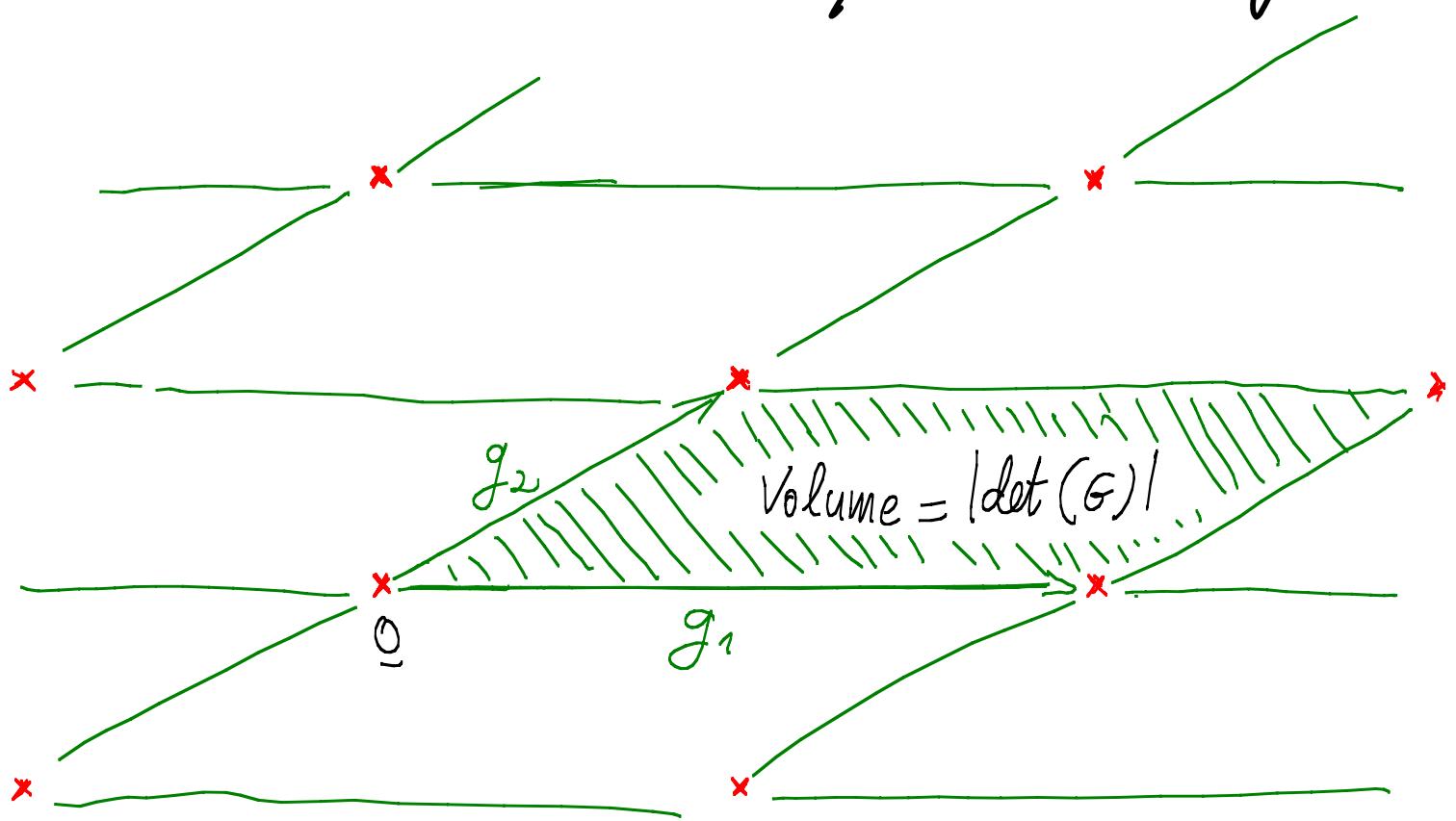


On-Board Calculation...



$$\therefore \det(\lambda) \triangleq |\det(G)| = \text{basis invariant}$$

Lattice Partition: Quantization / Decision Regions

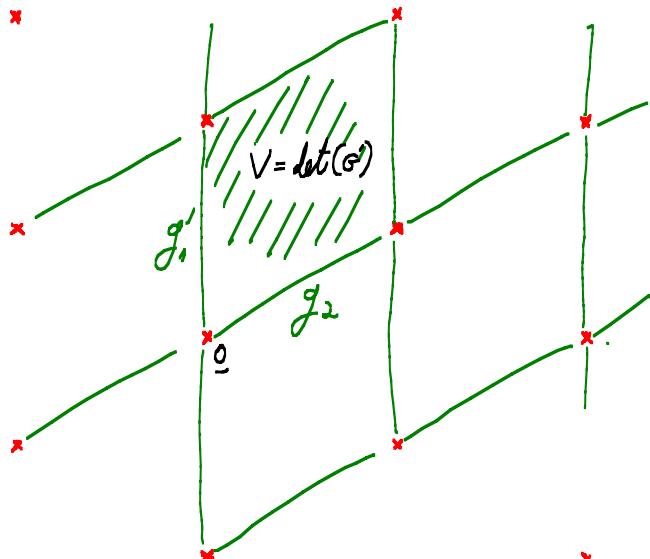


* Parallelopipeds

$$P_0 = \left\{ \alpha_1 g_1 + \alpha_2 g_2 : 0 \leq \alpha_1, \alpha_2 \leq 1 \right\}$$

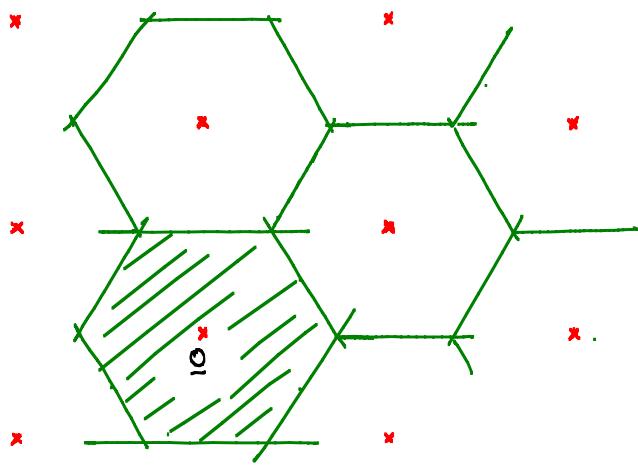
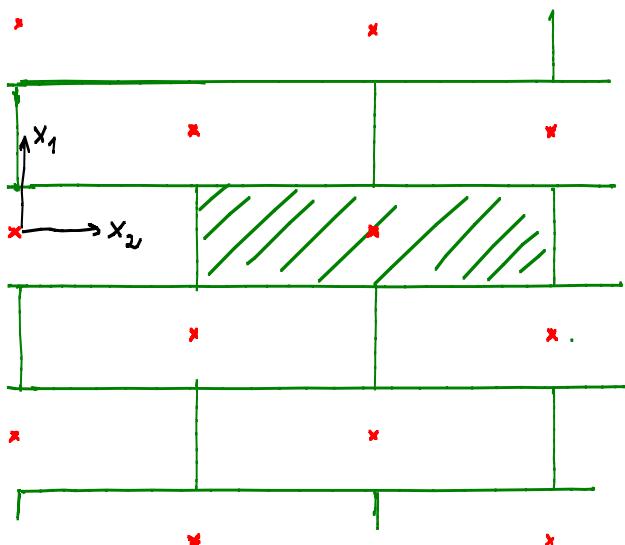
$$\mathbb{R} + P_0 = \mathbb{R}^n$$

Partitions, Fundamental Cells



Other Basis \Rightarrow
Other Parallelepiped
 \Rightarrow Cell Volume V is
invariant of partition

Sequential Quantization

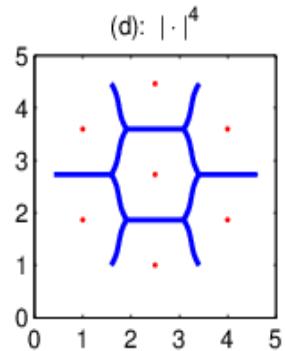
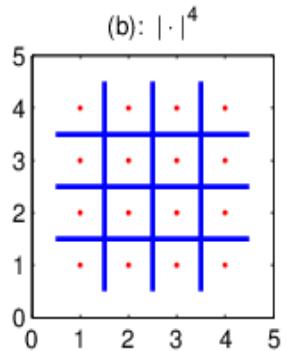
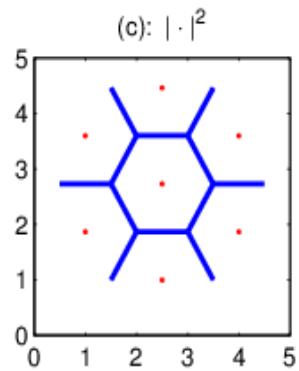
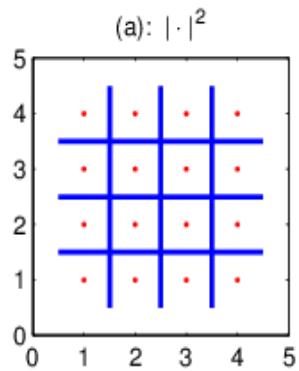


Voronoi Partition

$$P_0 = \left\{ x : \|x\| \leq \|x - l_i\| \right\}$$

$\forall l_i \in L$

Non-Euclidean Voronoi Partition



Lattice Quantization, Modulo Lattice

Given lattice Λ and fund. cell P_0 :

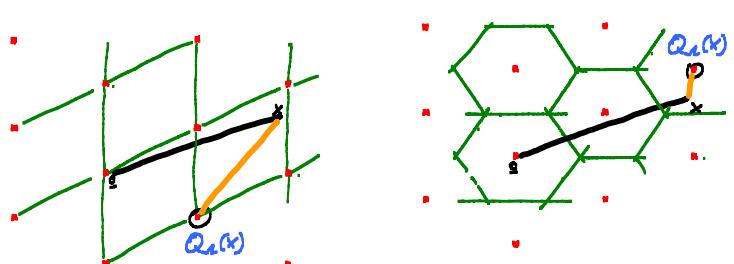
$$Q(x) = \lambda \quad \text{if} \quad x \in (\lambda + P_0)$$

$$x \bmod \Lambda = x - Q(x)$$

$\Rightarrow x \in \mathbb{R}^n$ uniquely written as $Q_\Lambda(x) + (x \bmod \Lambda)$

quantization

error



Modulo Laws:

- * $a \bmod \Lambda = a + \lambda(a), \quad \lambda(a) \in \Lambda$
- * $(a + \lambda) \bmod \Lambda = a \bmod \Lambda, \quad \forall \lambda \in \Lambda$
- * $[(a \bmod \Lambda) + b] \bmod \Lambda = (a + b) \bmod \Lambda$
- * $(a \bmod_{P_0} \Lambda) \bmod_{Q_0} \Lambda = a \bmod_{Q_0} \Lambda$

On-Board Calculation...



$\therefore V(\Lambda) \triangleq \text{cell volume} = \det(\Lambda)$
 $= \text{invariant of partition}$

Similarity

$\Lambda(G')$ is similar to $\Lambda(G)$ if

$$G' = \alpha \cdot A \cdot G \cdot T$$

Scaling Orthonormal transformation (rotation) unimodular transformation (basis change)

Example: E8 lattice

Definition 1: all all-integer or all half-integer vectors in \mathbb{R}^8 whose coordinate sum is even.

Definition 2 (construction A): $\left\{ \underline{x} \in \mathbb{R}^8 : \underline{x} \bmod 2 \in \mathbb{C}_H \right\}$

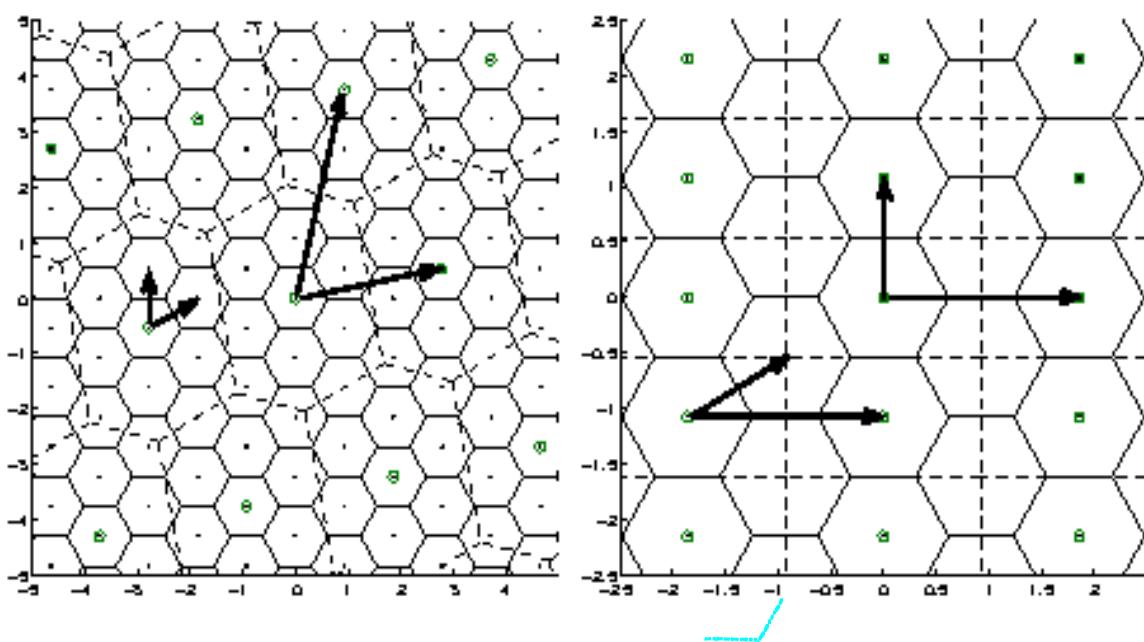
$\mathbb{C}_H = (8, 4, 4)$ extended Hamming code = ...

Nested Lattices

$$\mathcal{L}_2 \subset \mathcal{L}_1 \Rightarrow \underline{G}_2 = \underline{G}_1 \cdot \underline{J}$$

Course Lattice fine lattice integer matrix

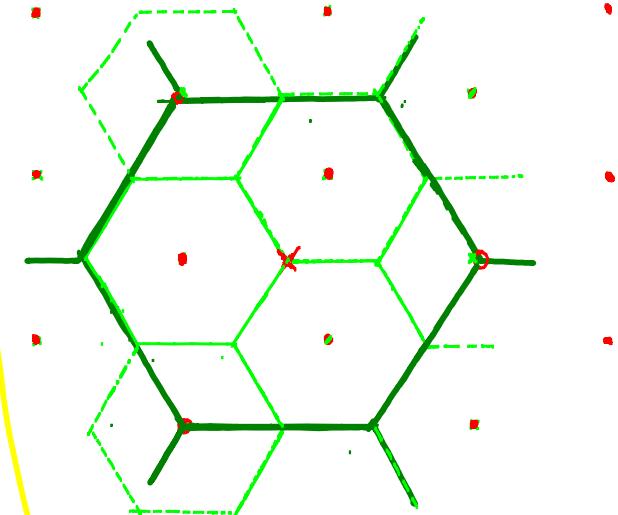
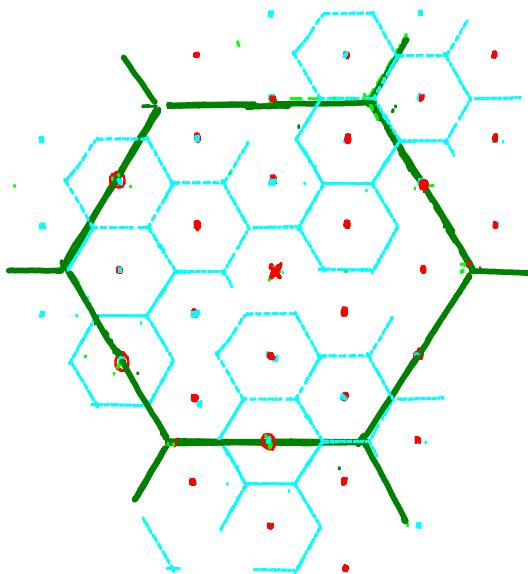
$$\text{Nesting Ratio} = \left(\frac{V(\mathcal{L}_2)}{V(\mathcal{L}_1)} \right)^{\frac{1}{n}} = |\det(\underline{J})|^{\frac{1}{n}}$$



Not necessarily "Self Similar"!

$$\Rightarrow V_{02} \times V_{01}$$

Nested & Self Similar



Relatively Periodic
(non nested)

Diagonal Form

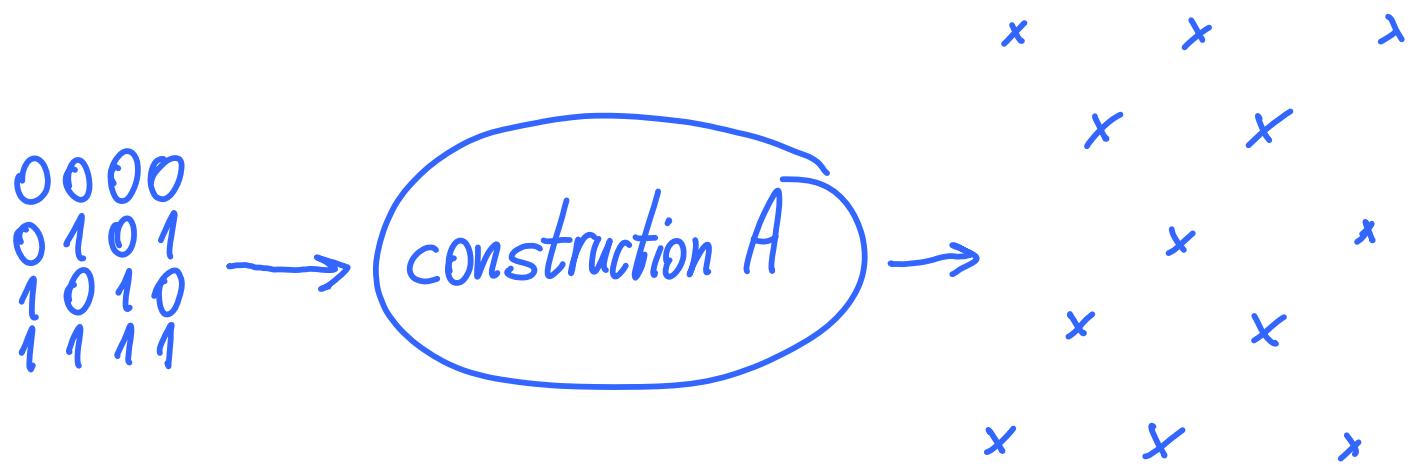
If $\Lambda_2 \subset \Lambda_1$, then \exists generator matrices G_1, G_2
s.t. the nesting matrix J is diagonal

$$J = \begin{pmatrix} j_1 & 0 \\ 0 & j_n \end{pmatrix}$$

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2. Construction from Linear Codes



Construction A

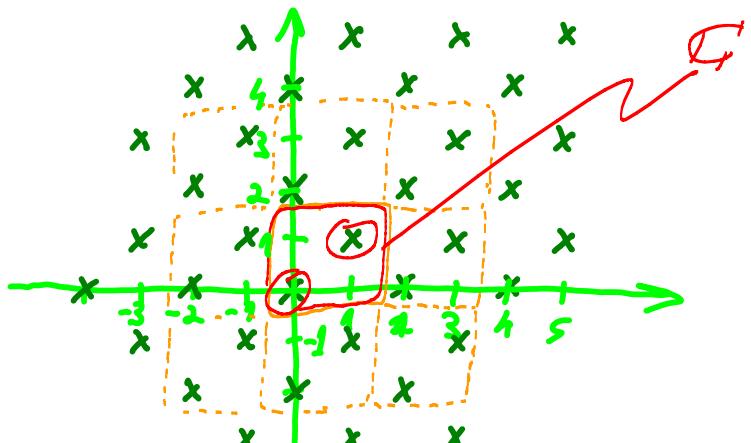
Let \mathcal{C} be an (n, M, d) binary code:

$$\mathcal{C} = \{\underline{s} \in \mathbb{Z}_{i=1}^M, \underline{s} \in \{0,1\}^n, d = \text{minimum Hamming distance}\}$$

Construction A lifts \mathcal{C} to \mathbb{R}^n periodically:

Def. I $\Gamma_{\mathcal{C}} = \{\underline{x} \in \mathbb{Z}^n : \underline{x} \bmod 2 \in \mathcal{C}\}$

integer vectors modulo 2 per each component



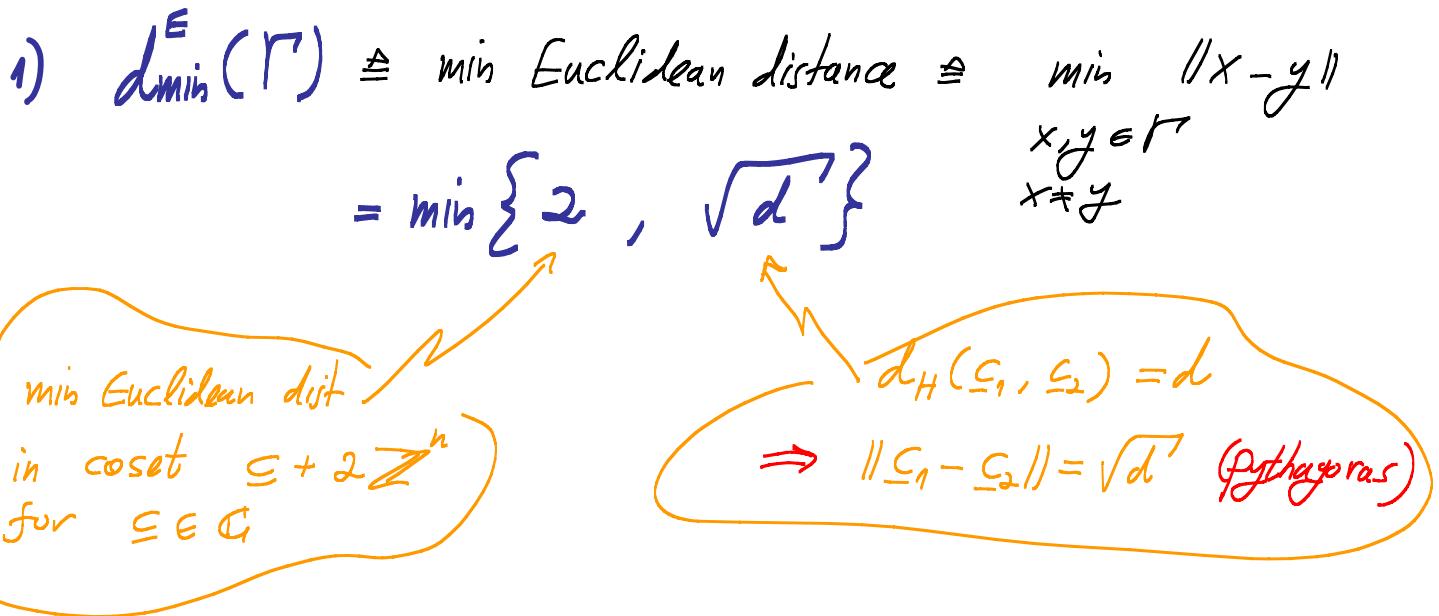
Equivalent definitions:

1) $\Gamma_{\mathcal{C}} = \mathcal{C} + 2 \cdot \mathbb{Z}^n$ **Def. II**

2) Let $z = (\text{LSB}(z), \text{MSB}_1(z), \text{MSB}_2(z), \dots) =$ binary expansion of z

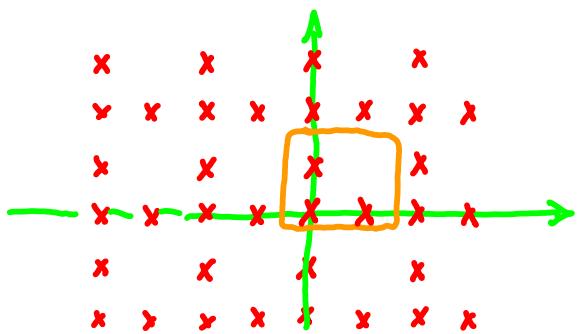
$\Gamma_{\mathcal{C}} = \{\underline{x} \in \mathbb{Z}^n : \text{LSB}(\underline{x}) \in \mathcal{C}\}$ **Def. III**

Construction A : Properties



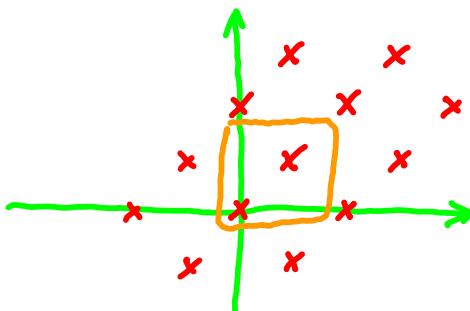
2) If \mathcal{C} is a linear (n, k, d) code ($M = 2^k$)

$\Rightarrow \Gamma_{\mathcal{C}} = \Lambda_{\mathcal{C}}$ is a modulo-2 lattice.



non lattice

$$\mathcal{G} = \{(00), (01), (10)\}$$



lattice

$$\mathcal{G} = \{(00), (11)\}$$

We'll talk about ...

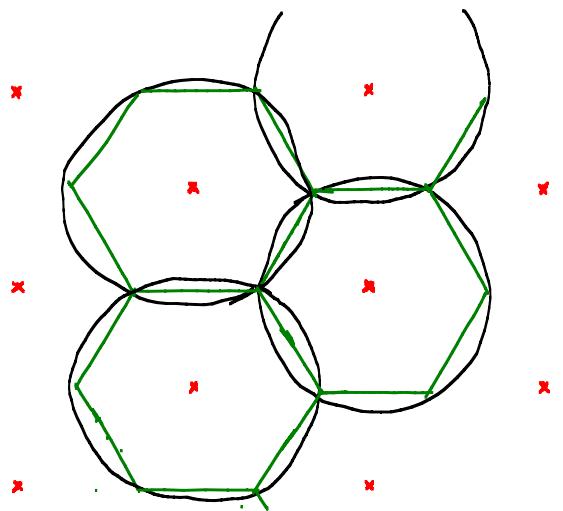
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3. Figures of merit

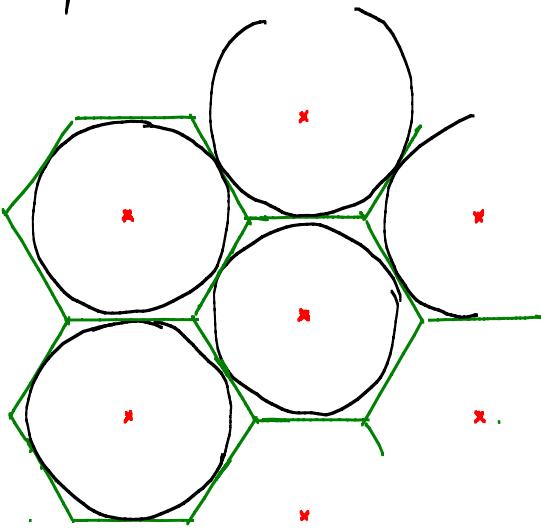
$$G(\lambda), \mu(\lambda, p_e)$$

Covering, Packing, kissing Number & More ...

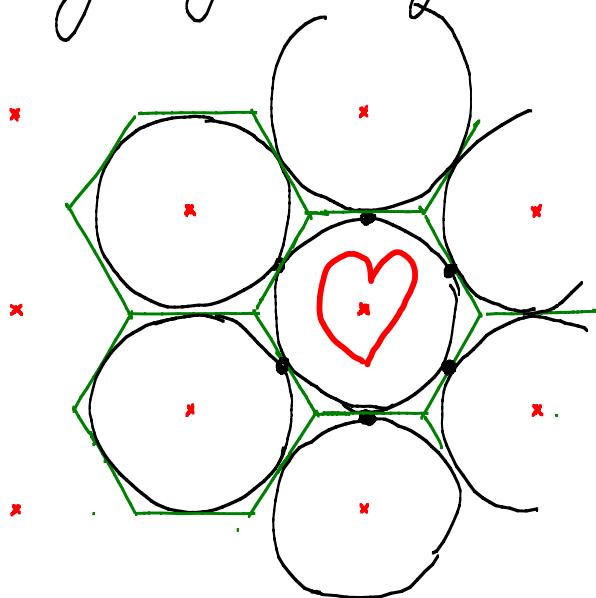
Covering \mathbb{R}^n with (few) Spheres



Packing (many) spheres in \mathbb{R}^n

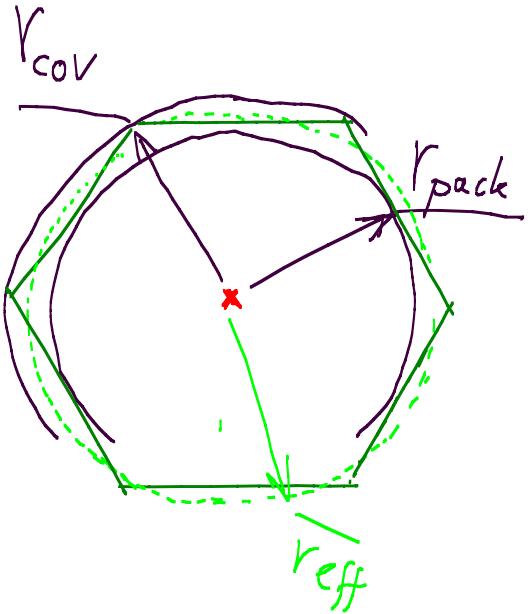


Kissing by (many) Spheres



&
good arrangements
for quantization
and AWGN channel
Coding

Figures of Merit



Radiuses:

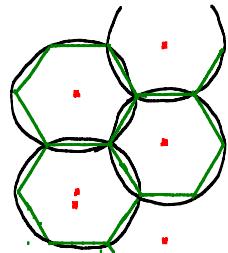
r_{cov} = min sphere containing V_0

r_{pack} = max sphere contained in V_0
 $= d_{min} / 2$

r_{eff} = Sphere with same volume

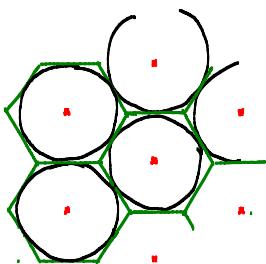
Covering efficiency:

$$\rho_{cov}(1) = \frac{r_{cov}}{r_{eff}} > 1$$



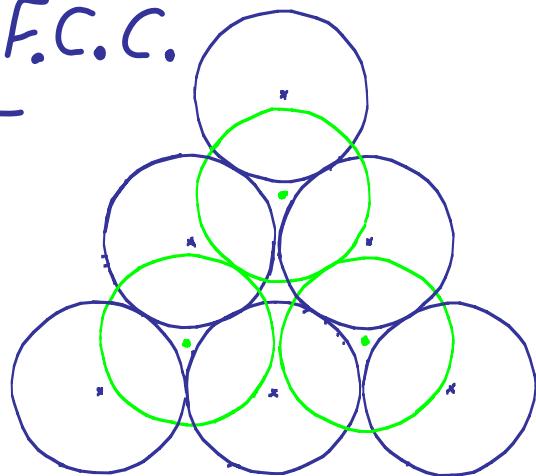
Packing efficiency:

$$\rho_{pack}(1) = \frac{r_{pack}}{r_{eff}} < 1$$



Not an "All-Purpose" Lattice!

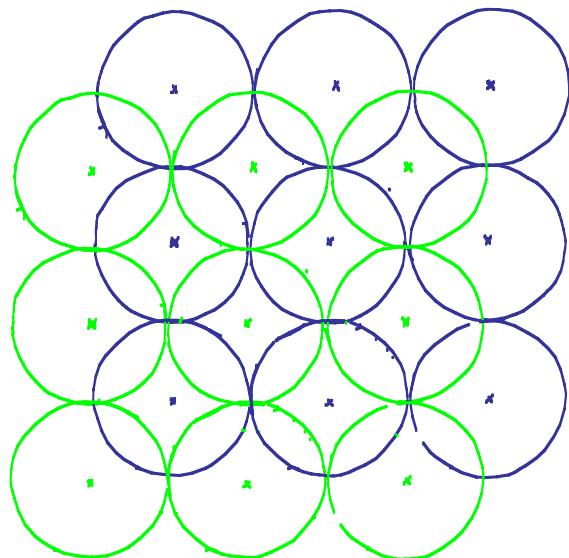
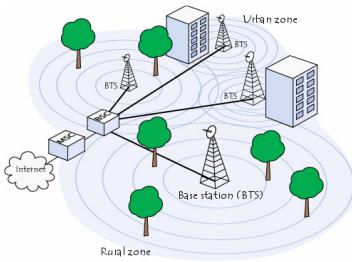
* Best 3-dim Packing: F.C.C.



each layer = hexagonal
layers are staggered

* Best 3-dim Covering: B.C.C.

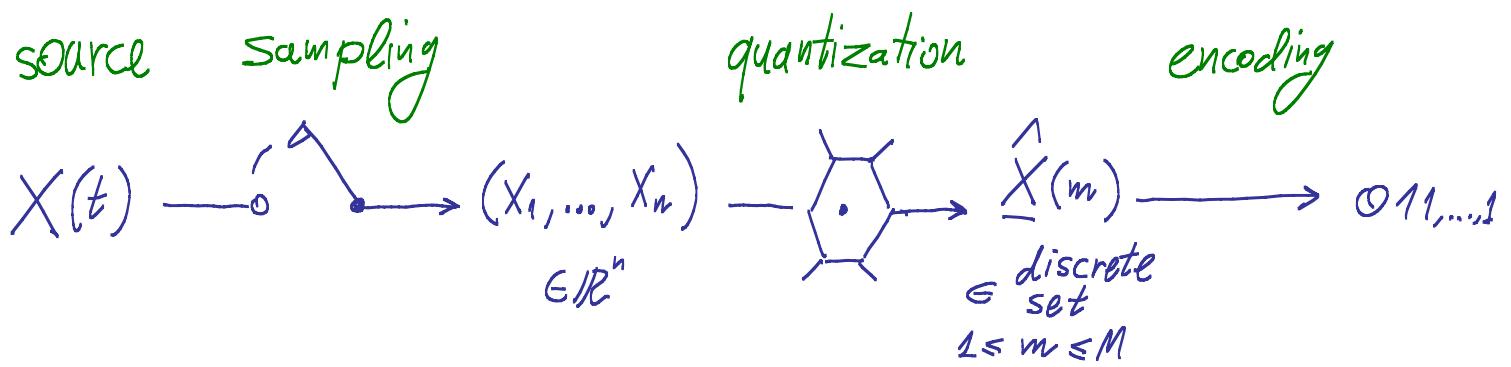
each layer = cubic
layers are staggered



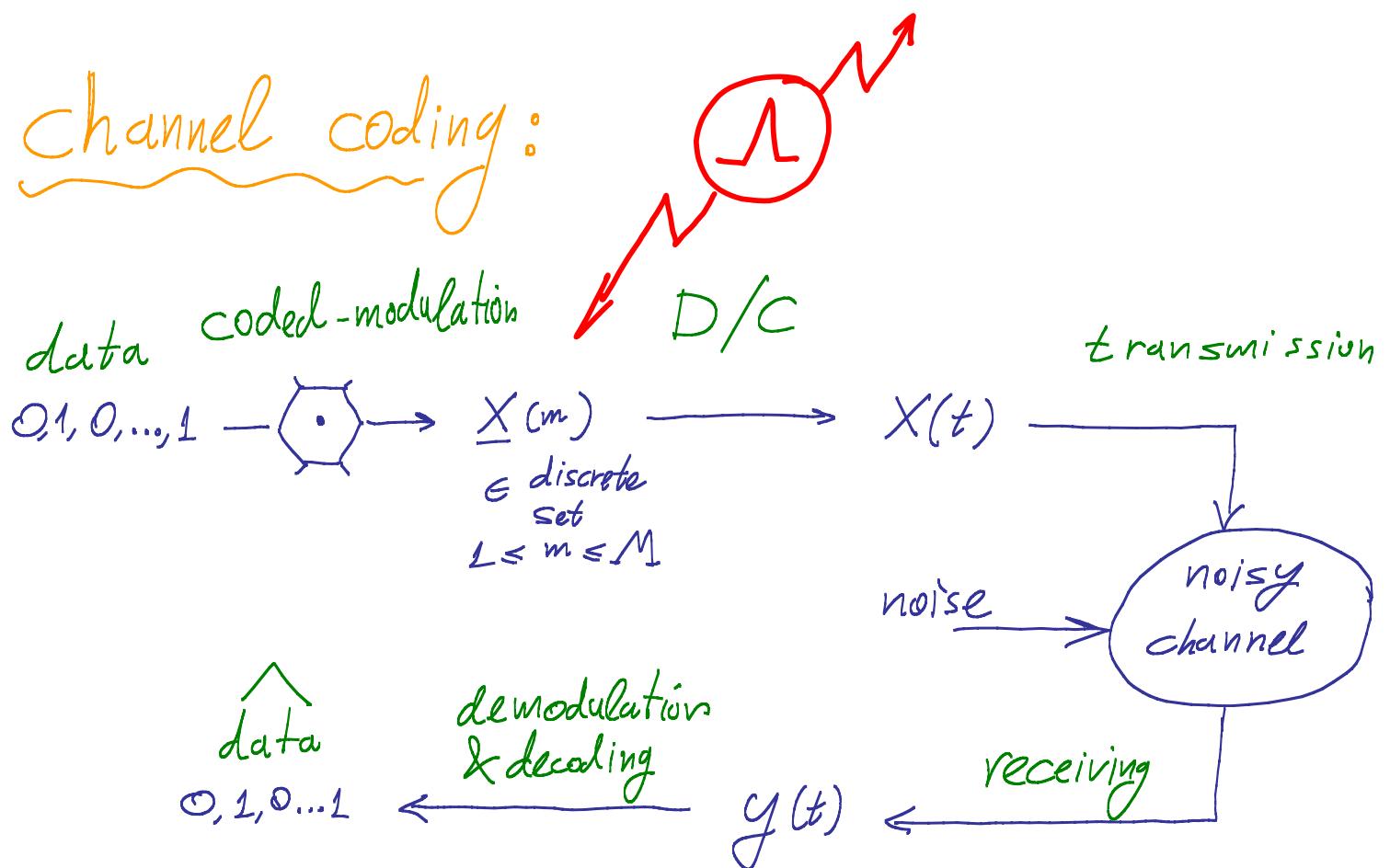
Source coding (quantization)

& Channel coding (modulation)

Source coding:

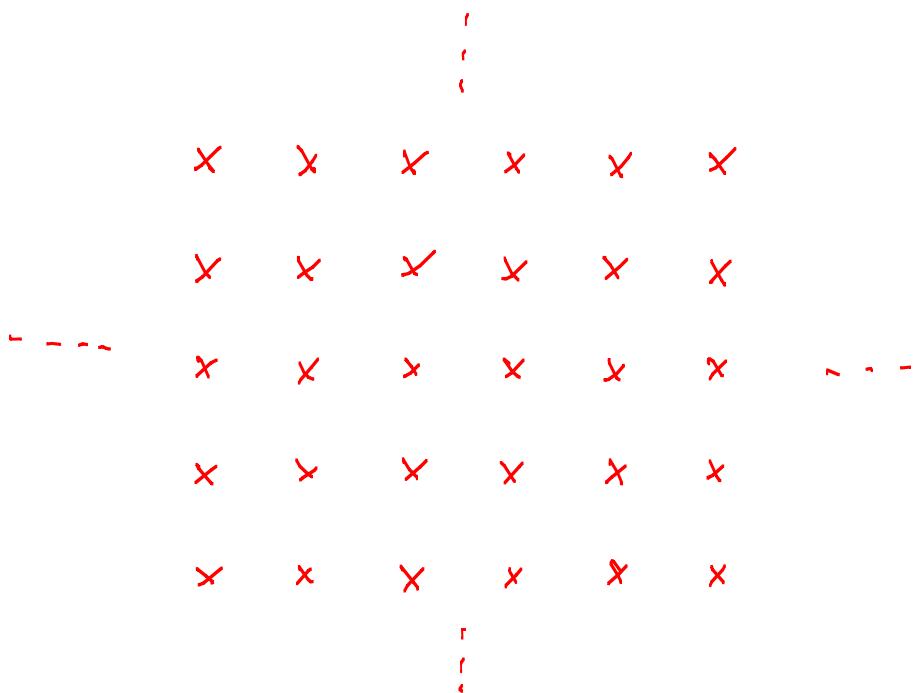


channel coding:

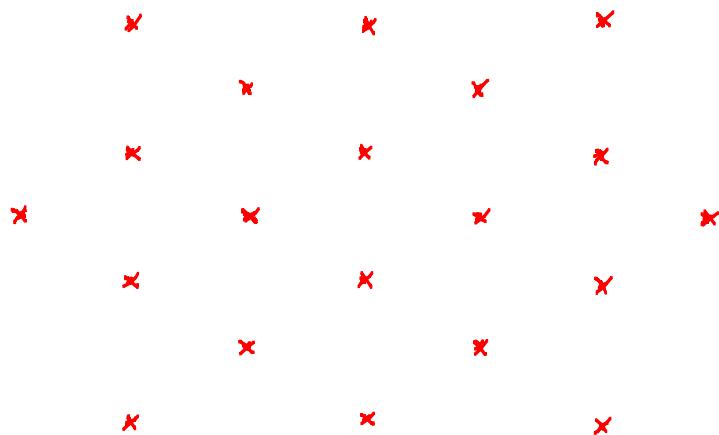


Lattice Codes in Signal Space

square (\mathbb{Z})-lattice \Rightarrow uncoded constellation



More "interesting" lattice \Rightarrow coded constellation



Figures of Merit (Continued)

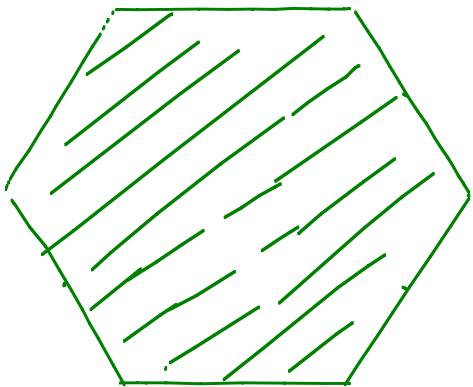
• Quantization efficiency:

$X \sim \text{uniform}(V_0)$

$$\tilde{\sigma}^2(\mathcal{L}) \triangleq \frac{1}{n} E \|X\|^2$$

$$G(\mathcal{L}) \triangleq \frac{\tilde{\sigma}^2(\mathcal{L})}{\sqrt{2/n}}$$

= normalized second moment

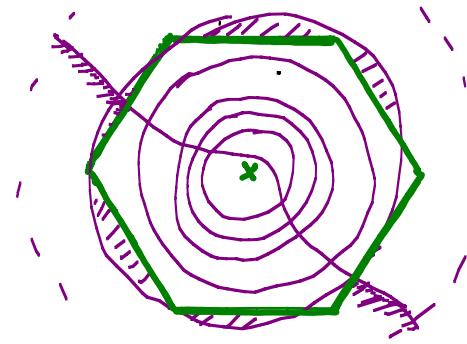


Figures of Merit (Continued)

• AWGN Coding efficiency: $\Xi \sim \text{AWGN } N(0, \sigma_z^2)$

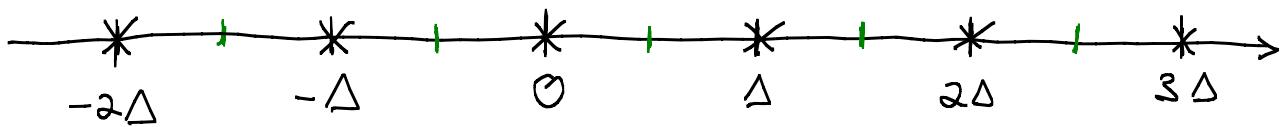
$$\mu(\lambda, \sigma^2) \triangleq \frac{V^{2/n}}{\sigma_z^2} = \underbrace{\text{Volume-to-Noise Ratio}}$$


$$P_e \triangleq \Pr\{\Xi \notin V_0\}$$



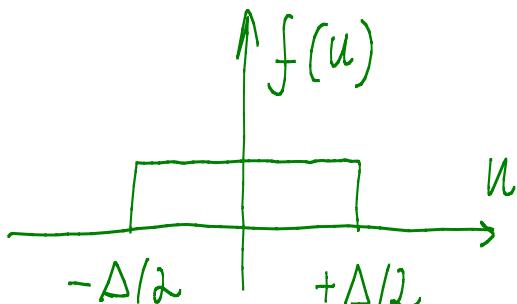
$$\mu(\lambda, P_e) \triangleq \frac{V^{2/n}}{\sigma_z^2} / @ P_e$$

Example: One dimensional lattice (Voronoi cell = interval)



1. NSM

u = dither
uniform
on Voronoi cell
 $= (-\Delta/2, +\Delta/2)$



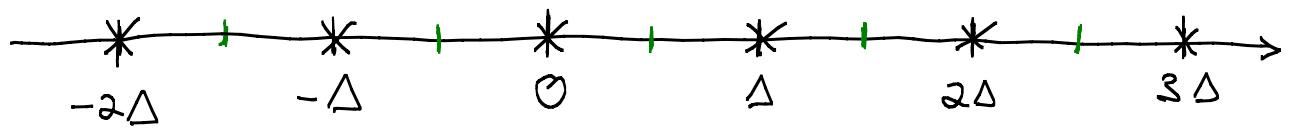
$$V(\mathcal{L}) = \Delta$$

$$E u^2 = \frac{\Delta^2}{12}$$

$$\Rightarrow G\left(\text{rect}\right) = \frac{E u^2}{V^2(\mathcal{L})} = \frac{\Delta^2/12}{\Delta^2} = \frac{1}{12}$$

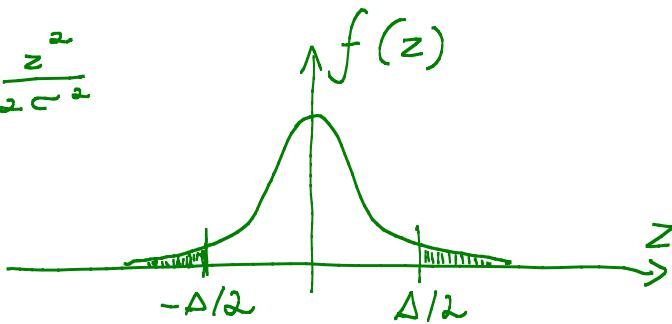
invariant of Δ

Example: One dimensional lattice
(Voronoi cell = interval)



2. NVNR

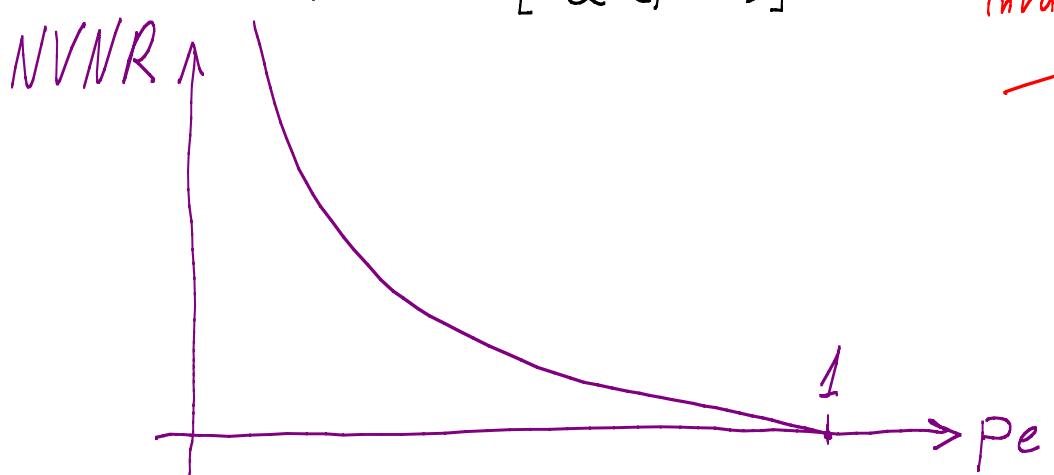
$$Z \sim \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{z^2}{2\sigma^2}}$$



$$P_e = \Pr\left\{|Z| > \frac{\Delta}{2}\right\} = 2 \cdot Q\left(\frac{\Delta/2}{\sigma}\right)$$

$$\Rightarrow M(\lambda, P_e) = \frac{V^2(\lambda)}{\sigma_{P_e}^2} = \left[\frac{\Delta}{\frac{\Delta/2}{Q^{-1}(P_e/2)}} \right]^2 = \left[2 \cdot Q^{-1}\left(\frac{P_e}{2}\right) \right]^2$$

invariant of Δ



Coding Gains (w.r.t. \mathbb{Z} -lattice)

* Lattice vector quantizer gain :

$$\triangleq \frac{\tilde{\sigma}^2(\mathbb{Z})}{\tilde{\sigma}^2(\Lambda)} = \frac{G(\mathbb{Z})}{G(\Lambda)}$$

@ same V

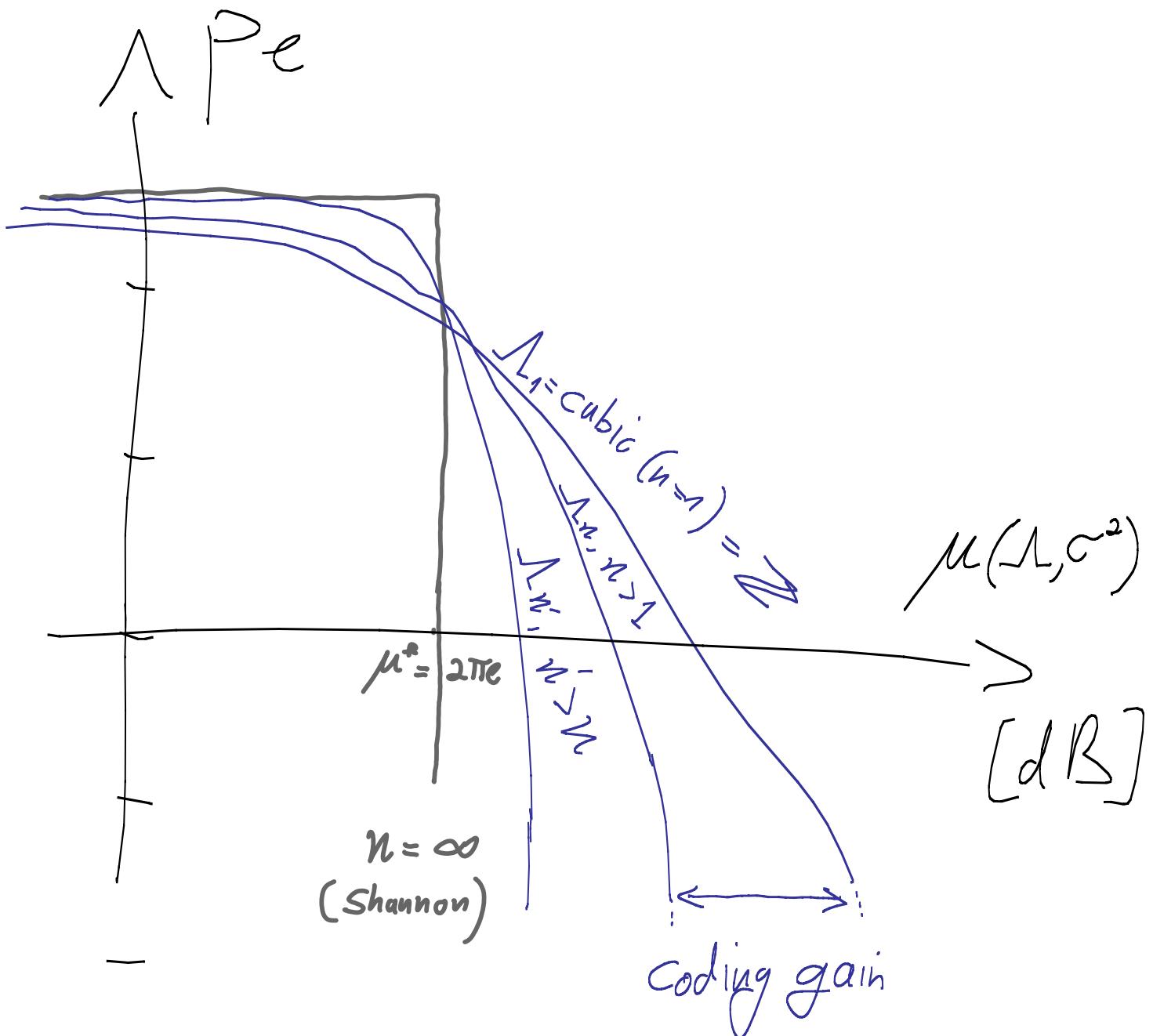
* Coding gain @ AWGN channel :

$$\triangleq \frac{\tilde{\sigma}_z^2 @ \Lambda}{\tilde{\sigma}_z^2 @ \mathbb{Z}} = \frac{\mu(\mathbb{Z}^n, p_e)}{\mu(\Lambda, p_e)} \xrightarrow{p_e \rightarrow 0} \frac{d_{\min}^2(\Lambda)}{d_{\min}^2(\mathbb{Z})}$$

@ same p_e
Same V

@ same
 V

P_e versus V.N.R. for fixed V
 (~ "Pe versus SNR @ fixed Rate")



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4. Asymptotic goodness

dimension $\rightarrow \infty$

$$G(\lambda_n) \xrightarrow{?} \frac{1}{2\pi e}, \text{ as } n \rightarrow \infty$$

$$M(\lambda_n, p_0) \xrightarrow{?} 2\pi e, \text{ as } n \rightarrow \infty \quad \text{if } p_0 > 0$$

$G(\lambda_n)$ and $\mu(\lambda_n, p_e)$ as a function of dimension n

n.

[Conway & Sloane Book 1988]

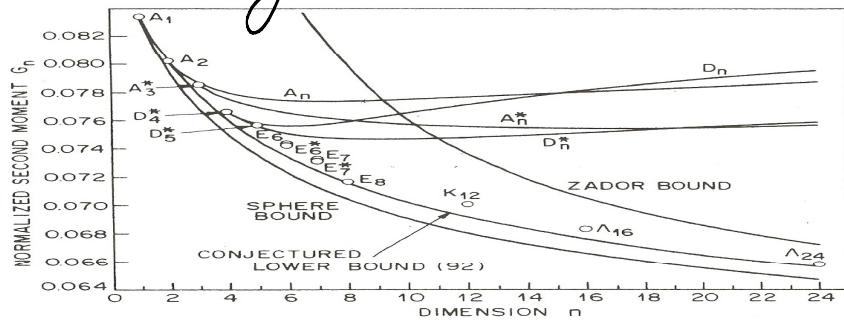
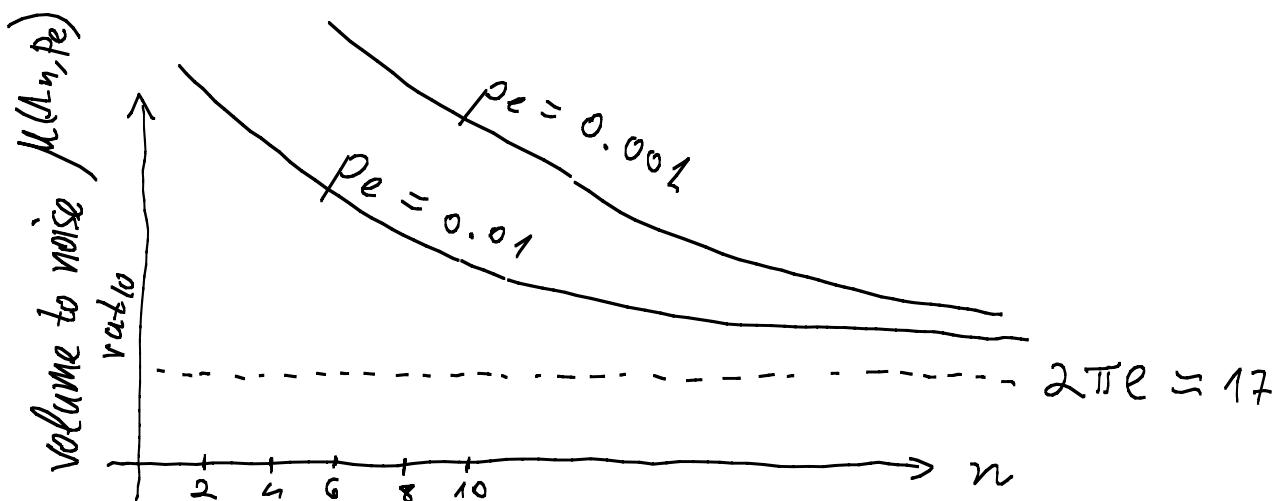
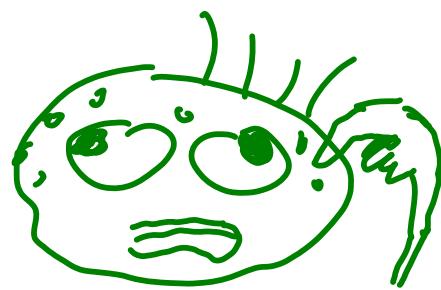


Figure 2.9. The best quantizers known in dimensions $n \leq 24$.

$$-\cdots-\frac{1}{2\pi e} \approx 0.058$$



$\begin{matrix} \text{opt} \\ \Delta_k \end{matrix}$ → ?
 $G_k \rightarrow ?$
 $\mu_k \rightarrow ?$



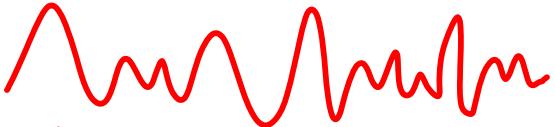
Vector Quantization Gain of Λ_n , for $n=1, 2, 3, \dots$

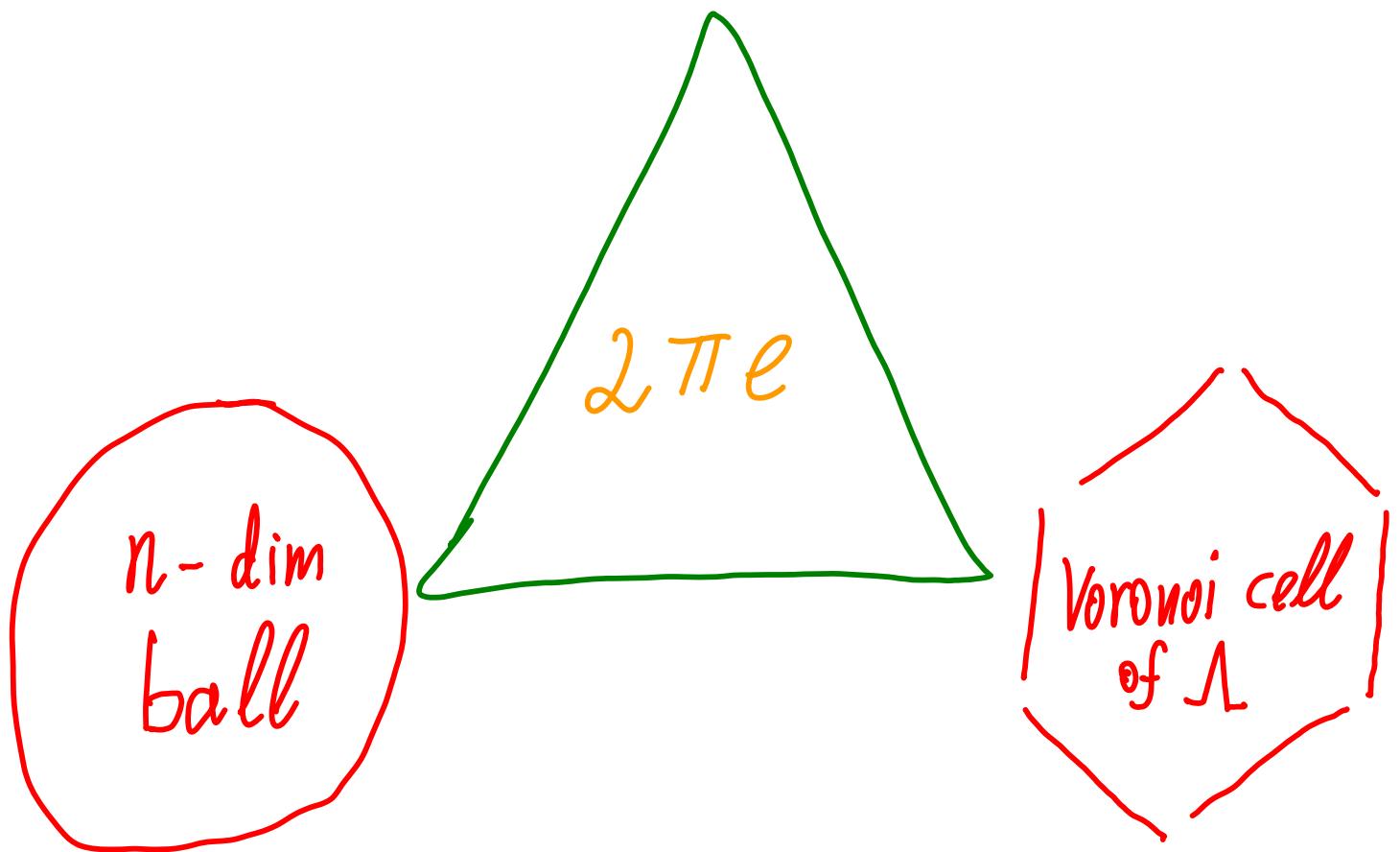
Dimension	Lattice		Γ_q [dB]	Sphere Bound
1	\mathbb{Z}	integer	0	0
2	A_2	hexagonal	0.17	0.20
3	A_3	FCC	0.24	0.34
3	A_3^*	BCC	0.26	0.34
4	D_4	(Example 2.4.2)	0.36	0.45
5	D_5^*		0.42	0.54
6	E_6^*		0.50	0.61
7	E_7^*		0.57	0.67
8	E_8^*	Gosset*	0.65	0.72
12	K_{12}		0.75	0.87
16	BW_{16}	Barnes-Wall	0.86	0.97
24	Λ_{24}^*	Leech*	1.03	1.10
∞	?	?	1.53	1.53

Coding Gain of Λ_n , for $n=1, 2, 3, \dots$

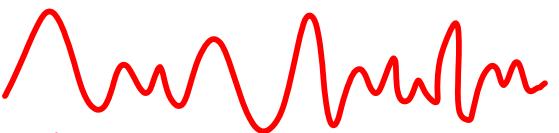
SER		10^{-1}	10^{-2}	10^{-3}	10^{-4}	10^{-5}
Dim.	Lattice					
1	\mathbb{Z}^1	0	0	0	0	0
2	A_2	0.14 (0.16)	0.27 (0.33)	0.33 (0.45)	0.42 (0.54)	0.46 (0.6)
3	A_3	0.20 (0.27)	0.42 (0.56)	0.55 (0.78)	0.65 (0.93)	0.72 (1.05)
	A_3^*	0.20 (0.27)	0.40 (0.56)	0.52 (0.78)	0.59 (0.93)	0.61 (1.05)
4	D_4	0.29 (0.36)	0.60 (0.75)	0.82 (1.03)	0.95 (1.24)	1.00 (1.40)
8	E_8	0.50 (0.56)	1.08 (1.2)	1.49 (1.68)	1.80 (2.04)	2.00 (2.30)
16	BW_{16}	0.63 (0.75)	1.47 (1.63)	2.09 (2.32)	2.52 (2.83)	2.80 (3.22)
24	Λ_{24}	0.75 (0.84)	1.76 (1.85)	2.51 (2.65)	3.08 (3.25)	3.50 (3.71)
∞	?	-2.0	1.9	4.0	5.5	6.6

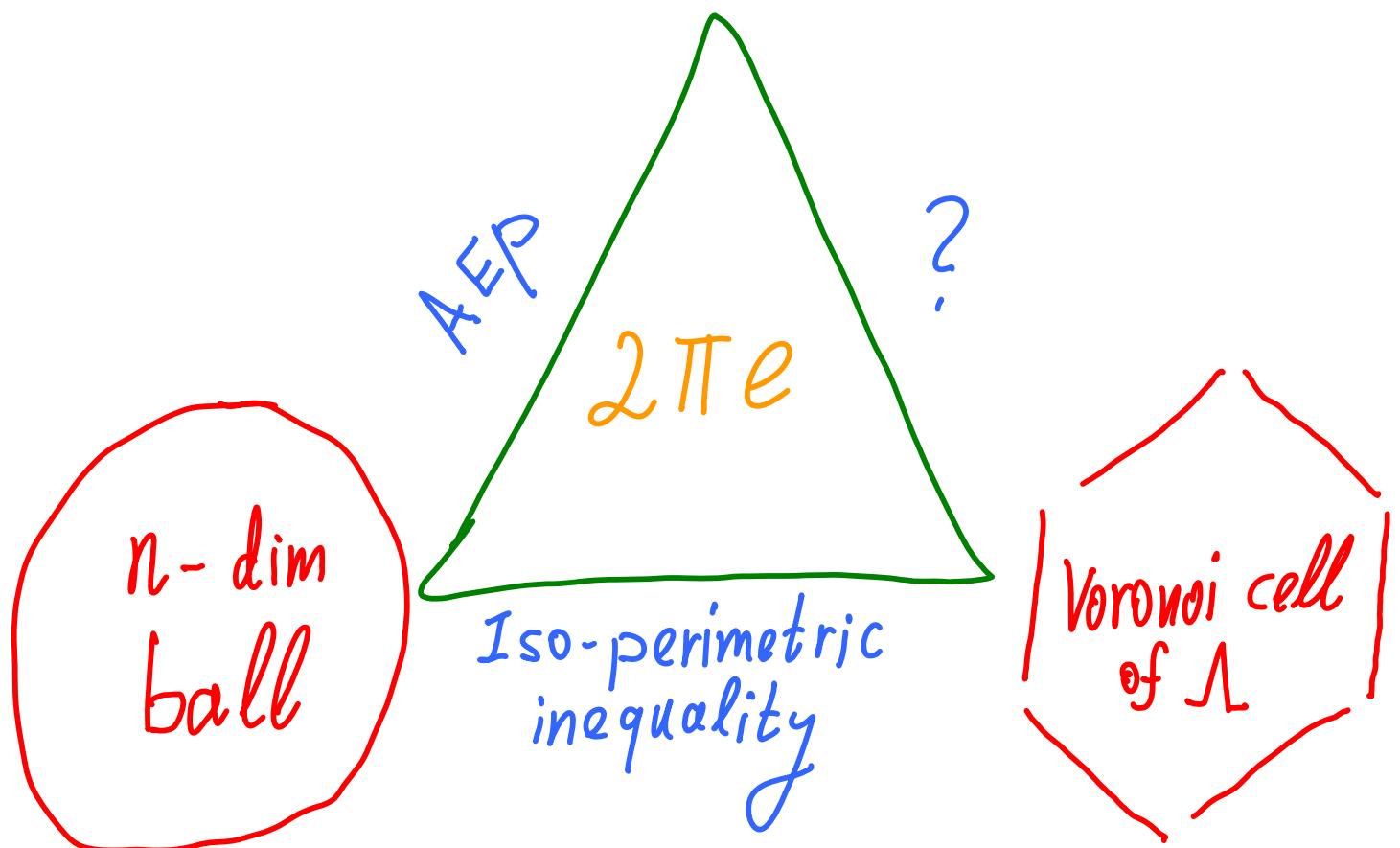
W.G.N. \leftrightarrow Ball \leftrightarrow 


white Gaussian noise



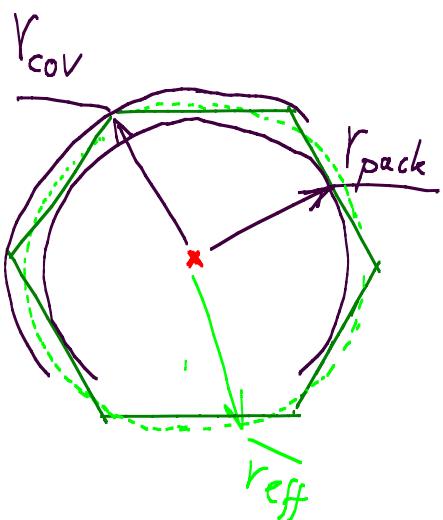
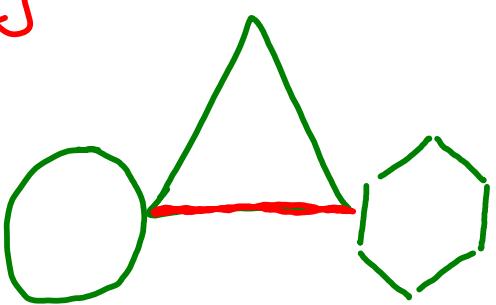
W.G.N. \leftrightarrow Ball \leftrightarrow 


white Gaussian noise



Iso-perimetric Inequalities (Sphere bounds)

waveform



Ball minimizes

over all bodies
of a fixed volume ?

$$\sigma^2(\mathcal{L}) \geq \sigma^2(\text{ball with radius } r_{\text{eff}})$$

$$P_e(\mathcal{L}) \geq P_e(\text{ " " " " })$$



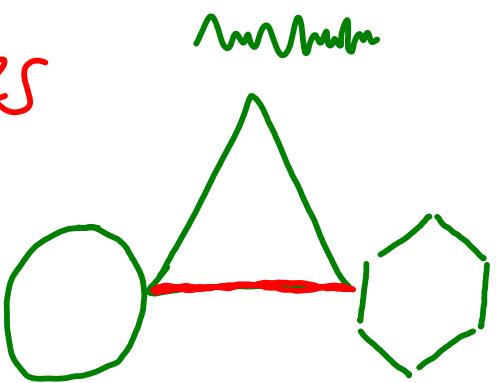
$$G(\mathcal{L}) \geq \text{N.S.M. of } n\text{-dim ball}$$

$$\mu(\mathcal{L}, p_e) \geq \text{V.N.R. " " " }$$

Iso-perimetric Inequalities

$$G(\mathcal{L}) \geq G_n(\text{Ball})$$

$$\mu(\mathcal{L}, \rho_e) \geq \mu_n(\text{Ball}, \rho_e)$$



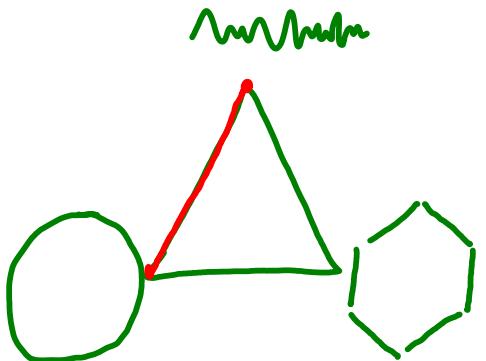
Sphere Limits:

$$G_n(\text{Ball}) \rightarrow \frac{1}{2\pi e} \quad \text{as } n \rightarrow \infty$$

$$\mu_n(\text{Ball}, \rho_e) \rightarrow 2\pi e \quad \text{as } n \rightarrow \infty$$

Shannon's AEP:

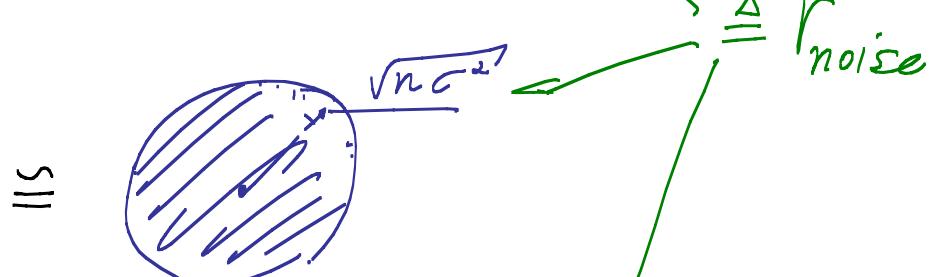
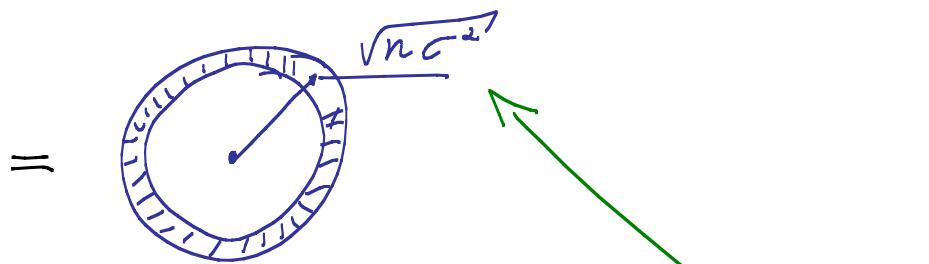
W.G.N. \rightarrow ball



$$z_1, \dots, z_n \sim AWGN \quad N(0, \sigma^2)$$

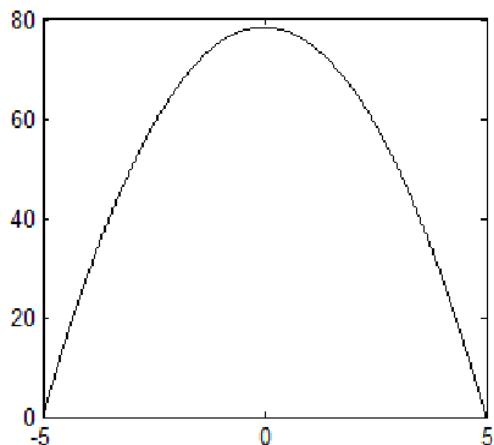
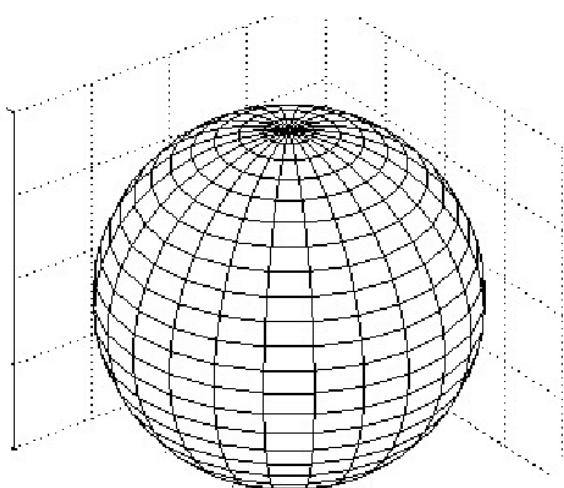
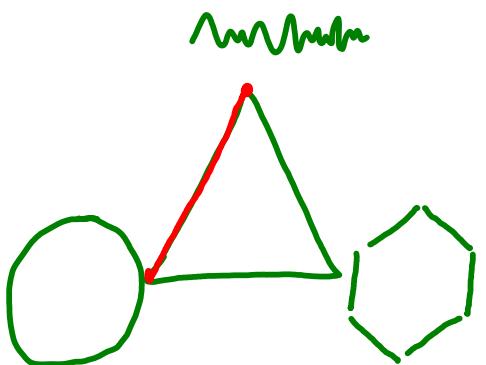
$$A_\epsilon = \left\{ z : \frac{1}{n} \log f_z(z) = h \pm \epsilon \right\}$$

$$\frac{\text{AWGN}}{f_z e^{-\frac{\|z\|^2}{2\sigma^2}}} = \left\{ z : \|z\| = \sqrt{n(\sigma^2 \pm \epsilon)} \right\}$$



Thm. [AEP]: AWGN \cong Unif($B(0, \sqrt{n\sigma^2})$)

"Reverse" AEP:
 W.G.N. \leftarrow ball



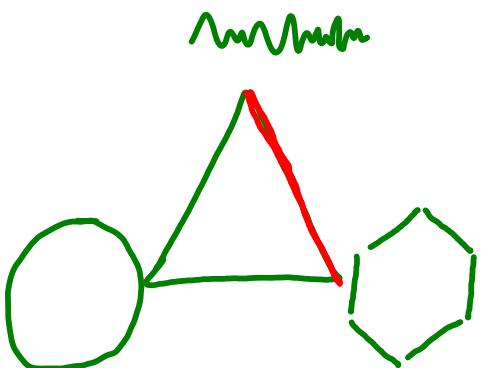
Thm. [Reverse AEP]:

If $(Z_1, \dots, Z_n) \sim \text{Unif}(\text{Ball}(0, \sqrt{n}\sigma^2))$,

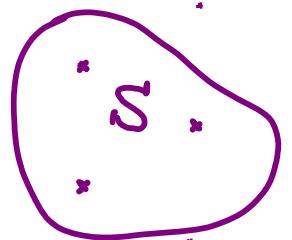
then $Z_i \xrightarrow{\text{dist}} N(0, \sigma^2)$ as $n \rightarrow \infty$

A Random Lattice Ensemble:

Minkowski - Hlawka - Siegel



$N_L(S) \triangleq$ number of nonzero points of L
inside a body S



Theorem: For every dimension n ,
there exists an ensemble $\{L\}$
of lattices with a constant point density
(= a prob. measure over all generator matrices G
with determinant $1/r$) such that for every
bounded body S

$$\gamma^n = \frac{1}{r^n}$$

$$E_{MHS} \{ N_L(S) \} = \gamma^n \cdot \text{Vol}(S)$$

Just like a uniformly distributed random code!

Simultaneous Goodness

Thm. [Erez - Litsyn - Z 2004]

There exists a sequence of lattices \mathcal{L}_n in dim. $n = 1, 2, \dots$, such that as $n \rightarrow \infty$

$$f_{\text{cov}}(\mathcal{L}_n) \rightarrow 1$$

$$\lim f_{\text{pack}}(\mathcal{L}_n) \geq \frac{1}{2}$$

$$G(\mathcal{L}_n) \rightarrow \frac{1}{2\pi e}$$

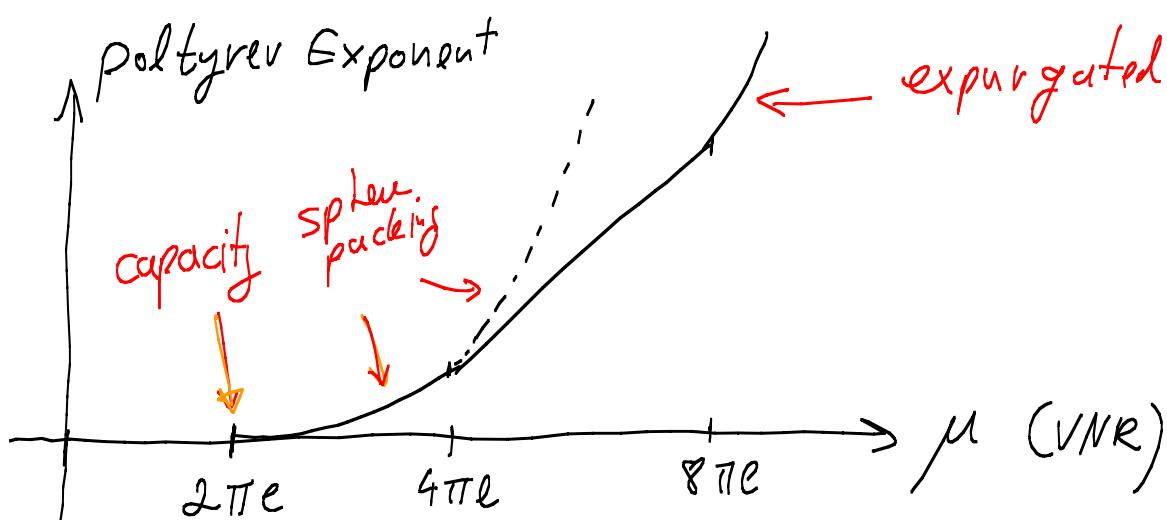
$$\mu(\mathcal{L}_n, p_e) \rightarrow 2\pi e \quad \forall p_e > 0$$

Error Exponents

$$P_e^{ML} = \int_0^{\infty} P(\|Z\|=r) \cdot P_{\text{in}} \left(\begin{array}{c} \text{nonzero codeword} \\ \text{in Ball}(Z, r) \end{array} \right) dr$$

[Gallager 1962]

$$E_{MHS} \{ \dots \} \Rightarrow \frac{N_r(\text{Ball}(Z, r))}{\sigma \cdot V_n \cdot r^n}$$

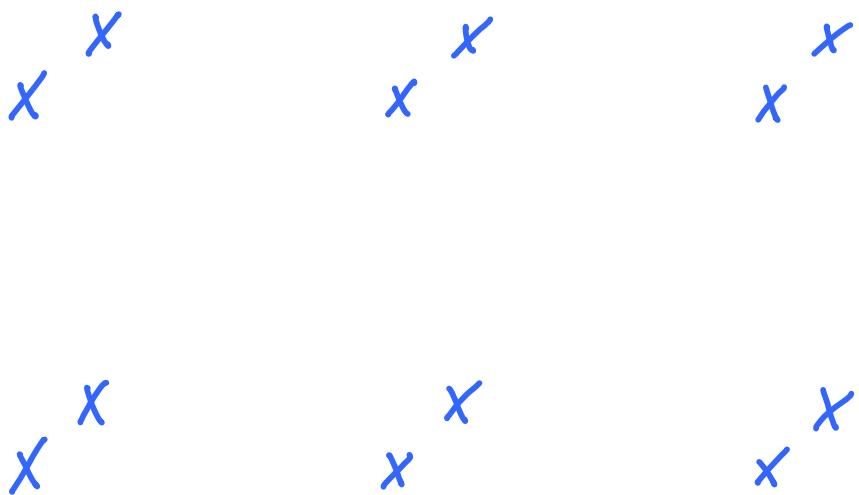


$$\therefore \exists \lambda_n : \mu(\lambda_n, p_e) \xrightarrow[n \rightarrow \infty]{} 2\pi e \quad \forall p_e > 0$$

We'll talk about ...

1. lattices : representation & partition
 2. Construction from linear codes
 3. figures of merit
 4. asymptotic goodness
-
5. multi-level constructions
 6. dithering (lattice randomization)
 7. side-information problems
 8. distributed lattice coding

5. Multi-level Constructions



Construction C

- * "Multi-level coded modulation"
- * Natural extension (?) of construction A to L levels
- * Bound on minimum distance $2 \rightarrow 2^{L-1}$
- * Super-position of L binary codes: $\mathcal{C}_1, \dots, \mathcal{C}_L$

$$\underline{x} = \underbrace{\mathcal{C}_1 + 2 \cdot \mathcal{C}_2 + 4 \cdot \mathcal{C}_3 + \dots + 2^{L-1} \cdot \mathcal{C}_L}_{\text{coded levels}} + 2^L \cdot \underline{Z}^n \underbrace{\quad}_{\text{uncoded levels}}$$

* Equivalent definitions:

binary expansion

$$\left\{ \underline{x} \in \mathbb{Z}^n : \text{LSB}(\underline{x}) \in \mathcal{C}_1, \text{MSB}_1(\underline{x}) \in \mathcal{C}_2, \dots, \text{MSB}_{L-1}(\underline{x}) \in \mathcal{C}_{L-1} \right\}$$

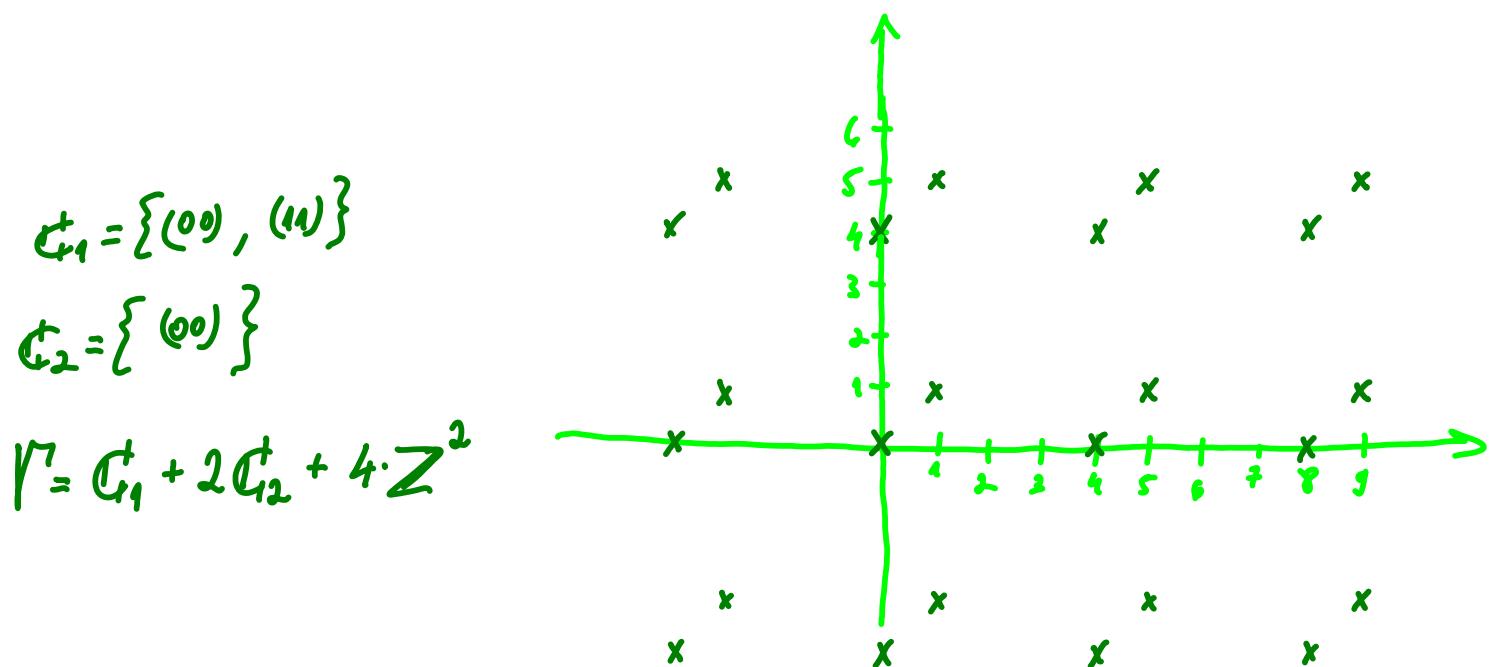


recursive law

$$\left\{ \underline{x} \in \mathbb{Z}^n : \begin{array}{l} \hat{c}_1 \triangleq \underline{x} \bmod 2 \in \mathcal{C}_1 \\ \hat{c}_2 \triangleq \frac{1}{2}(\underline{x} - \hat{c}_1) \bmod 2 \in \mathcal{C}_2 \\ \hat{c}_3 \triangleq \frac{1}{4}(\underline{x} - \hat{c}_1 - 2\hat{c}_2) \bmod 2 \in \mathcal{C}_3 \\ \vdots \\ \hat{c}_L \triangleq \frac{1}{2^{L-1}}(\underline{x} - \hat{c}_1 - 2\hat{c}_2 - 4\hat{c}_3 - \dots) \bmod 2 \in \mathcal{C}_L \end{array} \right\}$$

Construction C : general context

- * Single level ($L=1$) \Rightarrow Construction A
- * Multiple levels ($L > 1$) \Rightarrow not necessarily a lattice even if all component codes are linear!



- * Multi-level coset codes [Forney - Trott - Chang 2000] :

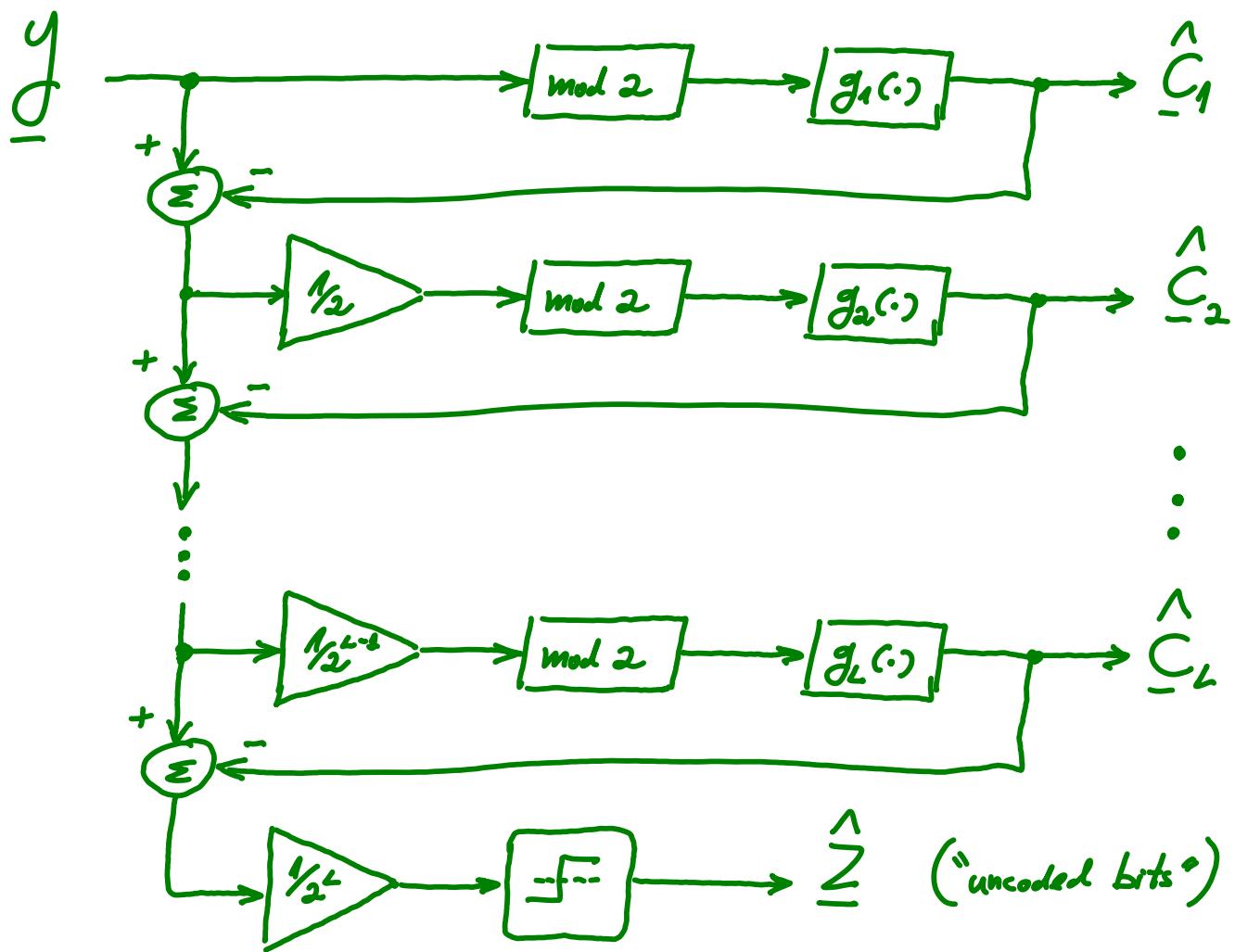
Special case where

$$\mathbb{N}_1 / \mathbb{N}_2 / \dots / \mathbb{N}_L = \mathbb{Z} / 2\mathbb{Z} / \dots / 2^{L-1}\mathbb{Z}$$

Multi - Stage Decoding

$$Y = X + N \quad , \quad X \in \mathbb{R}$$

Let $g_i(\cdot)$ = "soft-decision" decoder for $C_i \in \mathcal{C}_i$
 in a modulo-2 channel: $\hat{Y} = [C_i + N/2^{i-1}] \bmod 2$.



Construction D

- * Multi-level lattice construction
- * Natural extension (?) of construction A (Def. II)
- * Similar to (non-lattice) construction C
(same d_{\min} , allows MSD)
- * Based on a chain of nested linear binary codes:
 $\mathcal{C}_1 \subset \dots \subset \mathcal{C}_L$, where $\mathcal{C}_j = (n, k_j, d_j)$ code, $k_1 \leq \dots \leq k_L$
- * Super-position of basis vectors (rather than of the codes)
- * Let $\underline{g}_1, \dots, \underline{g}_n$ be a basis for $\{0,1\}^n$, such that the $k_j \times n$ matrix $\underline{G}_j = \begin{bmatrix} -\underline{g}_1 & \dots & -\underline{g}_{k_j} \end{bmatrix}$ is a generator matrix for \mathcal{C}_j , $j=1\dots L$.

real (not modulo 2) multiplication

$$\Lambda_D = \left\{ \sum_{j=1}^L 2^{j-1} \cdot \underline{w}_j \cdot \underline{G}_j + 2^L \cdot z : \underline{w}_j \in \{0,1\}^{k_j}, z \in \mathbb{Z}^n \right\}$$

Code nesting \Rightarrow closed under mod-2 addition $\Rightarrow \Lambda_D$ is a lattice

Uniformity Properties of Construction C

Maiara Bollauf & RZ

* ISIT 2016 *

Classification of "almost"-lattice codes (infinite constellations)

Lattice Λ



Geometrically Uniform



Equi-Distance Spectrum



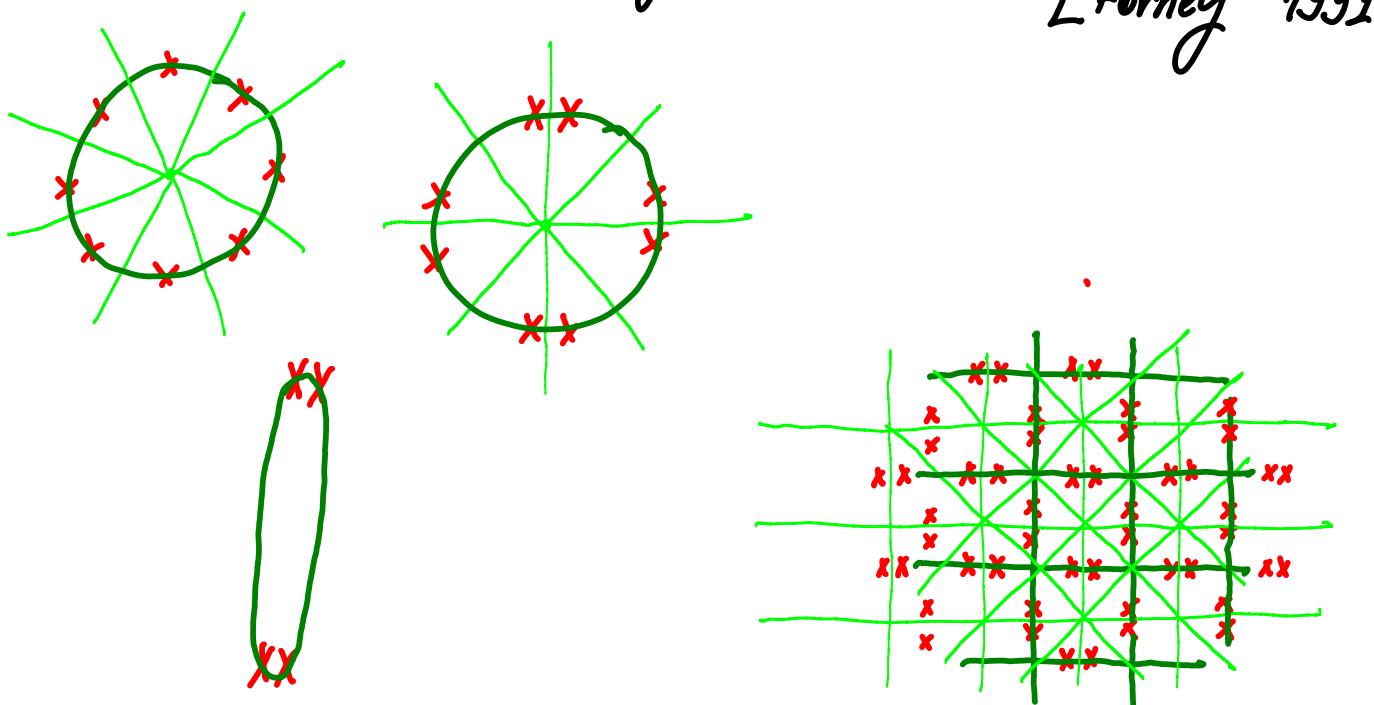
Equi-Minimum distance

(& Equi-kissing number)

⋮

Random , $n \rightarrow \infty$

Reminder : Geometrically Uniform Constellation [Forney 1991]



Definition: Γ is GU if for any two codewords $c, c' \in \Gamma$, there exists a distance-preserving transformation T (translation, reflection, rotation) such that

$$c' = T(c) \quad \text{and} \quad T(\Gamma) = \Gamma.$$

⇒ The world seen by any codeword is the same, up to rotation and reflection.

⇒ Same Voronoi cells (Euclidean distance)
Same $P_e(c)$ (under AWGN).

Assume that G_1, \dots, G_L are linear,
then ...

Construction G is ^{always} geometrically uniform

for $L \leq 2$

Construction G is ^{not always} geometrically uniform

for $L \geq 3$

We'll talk about ...

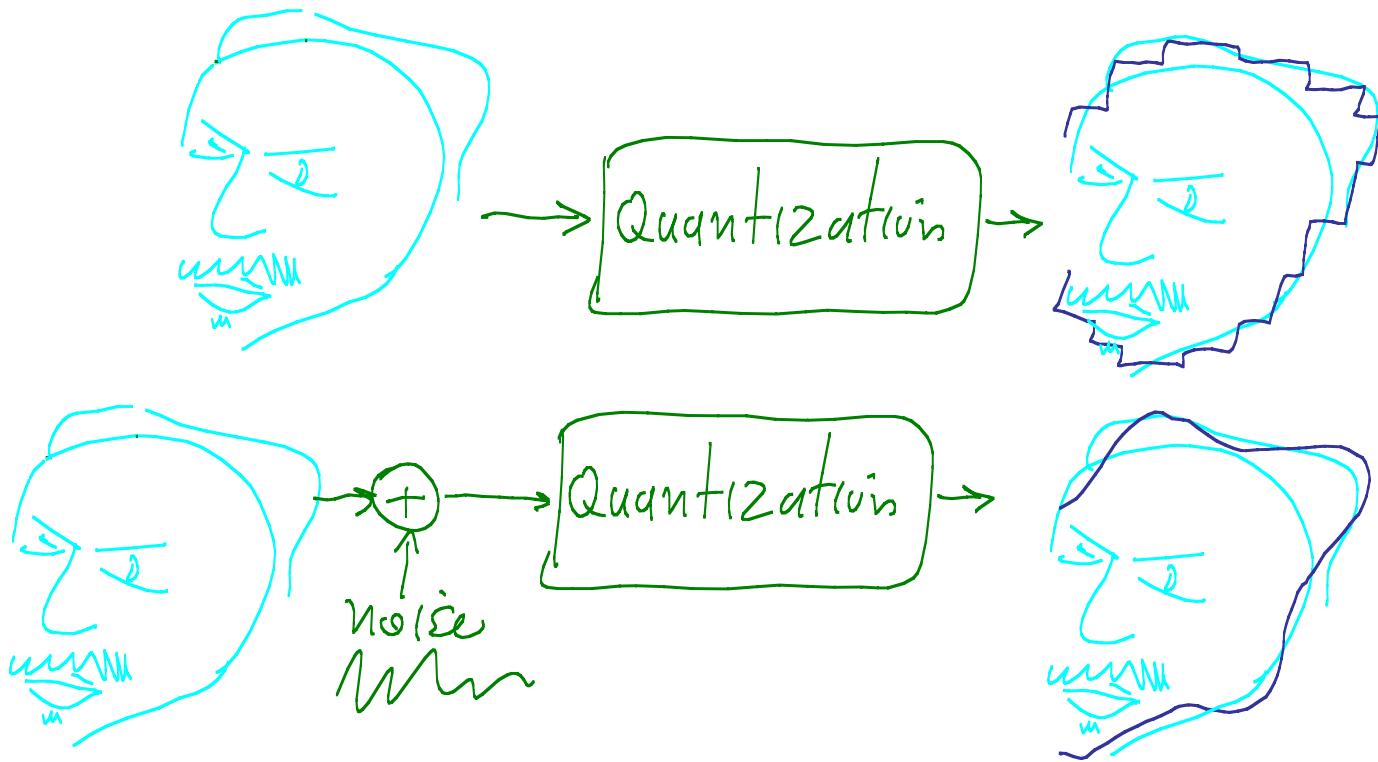
1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
- (6. dithering (lattice randomization))
7. side-information problems
8. distributed lattice coding

6. Dither & estimation

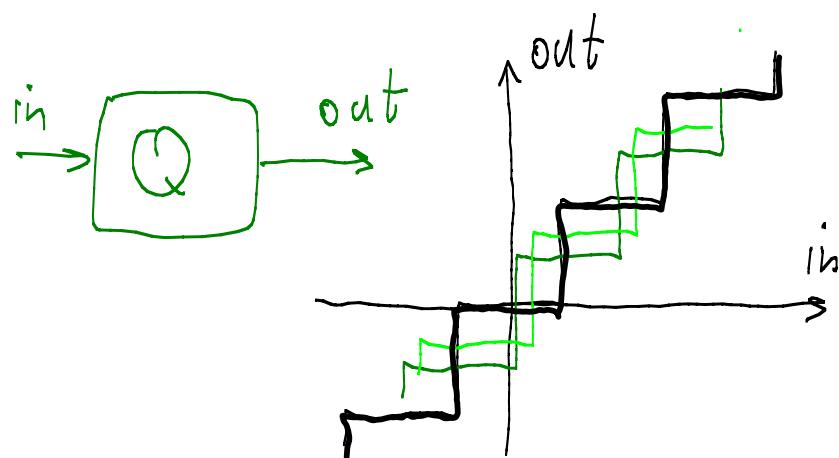
noise (\mathcal{N})

Dithered Quantization

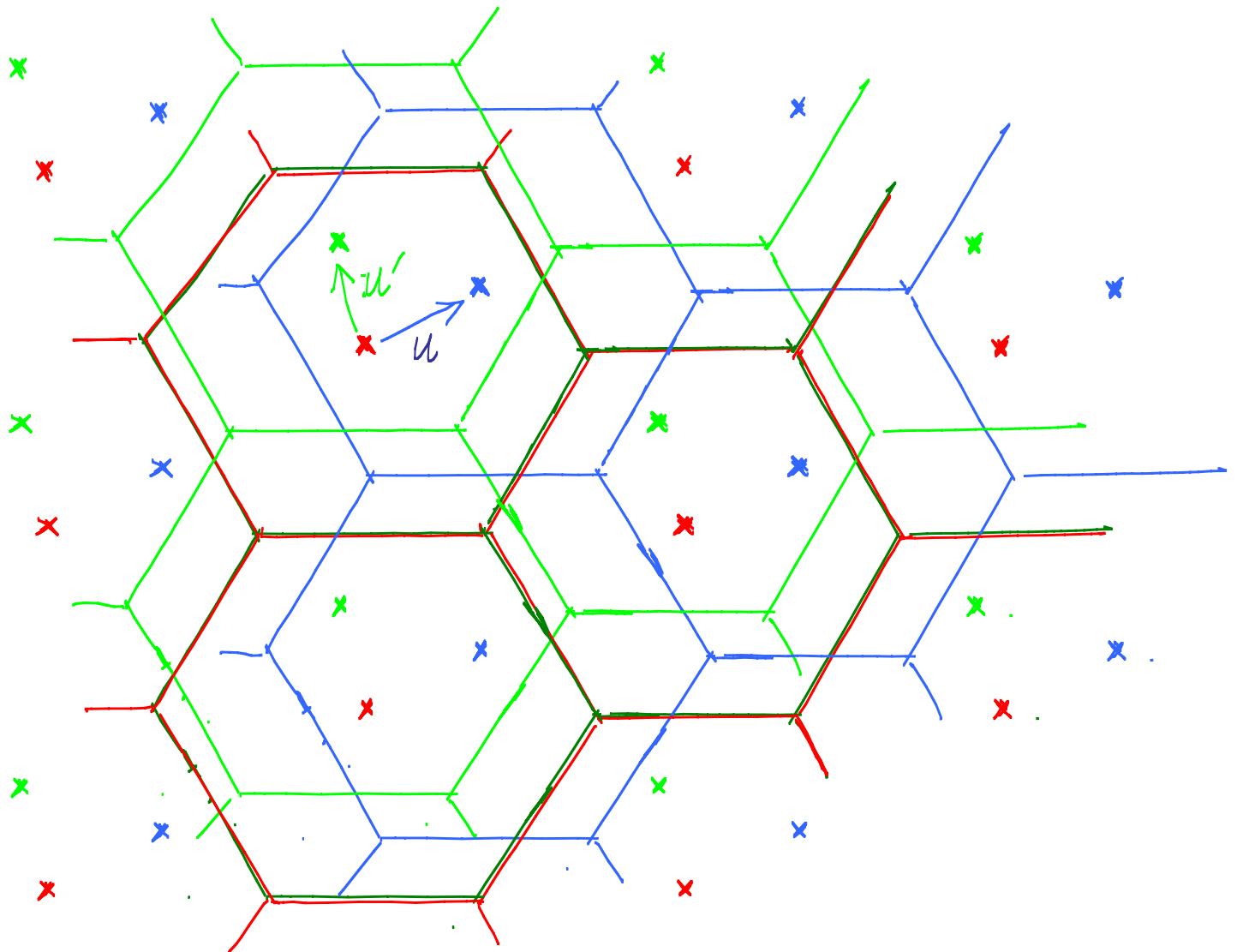
- dither for perceptual reasons:



- dither for analytical reasons:



$$Q_n(x+u) - u$$



⇒ Random shift of the lattice quantizer

The Crypto-Lemma

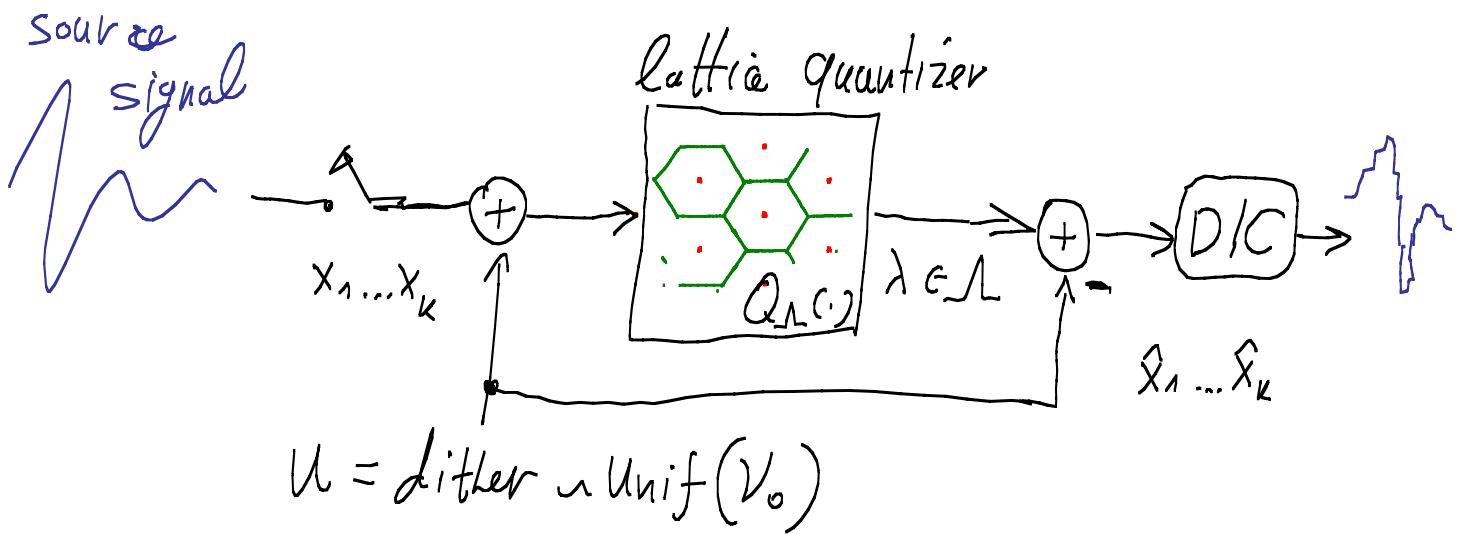
Let $x \bmod \lambda \triangleq x - Q_\lambda(x)$

If $U \sim \text{unif}(P_0)$, then

$(x+U) \bmod \lambda \sim \text{unif}(P_0)$, $\forall x$

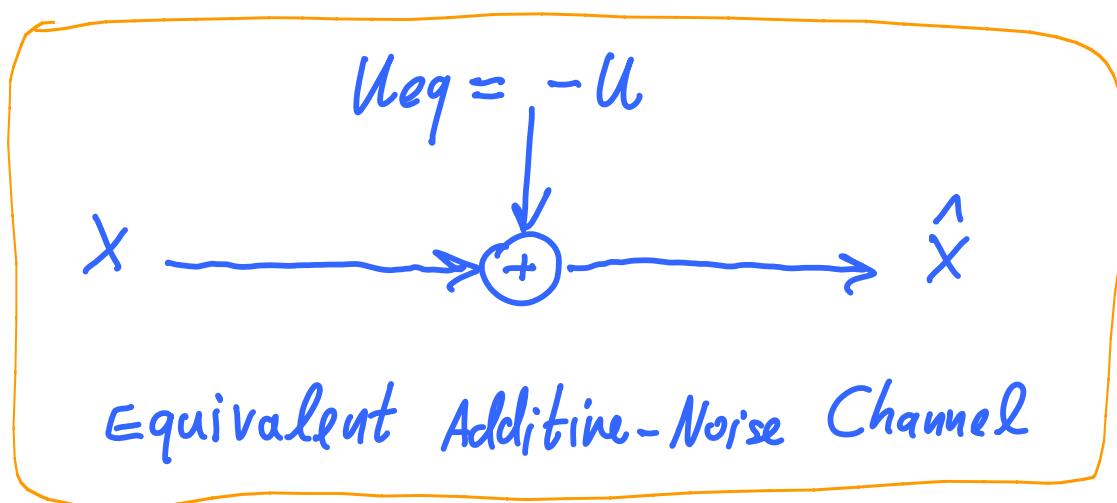
Proof: View as a modulo-additive noise channel, with a uniform noise,

Dithered Quantization Error



Crypto Lemma \Rightarrow

Thm. 1: quantization error $Q(x+u) - x - u$
 is independent of input x , and
uniform over (reflection of) lattice cell:



Generalized Dither

Def. U is G.D. if $(s+U) \bmod N \sim \text{Unif}(D_0)$ $\forall s$

Necessary condition on $f_u(\cdot)$ for G.D. ?

Generalized Dither

Def. U is G.D. if $(s+U) \bmod \Lambda \sim \text{Unif}(\rho_0)$ $\forall s$

Necessary condition for G.D.?

1. U is G.D. iff $U \bmod \Lambda \sim \text{Unif}(\rho_0)$

2. U is G.D. iff $f_{\text{rep}}(x) = \text{constant}$

where,

$$f_{\text{rep}}(x) \triangleq \text{periodic replication } f(x) \triangleq \sum_{\lambda \in \Lambda} f(x - \lambda)$$


3. U is G.D. iff its characteristic function is zero on the dual lattice:

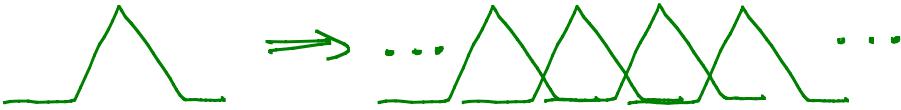
$$\mathcal{F}\{f_U(\cdot)\} = 0 \quad \text{on } \Lambda^* \setminus \{0\}$$

where $\Lambda^* = \text{dual lattice} = \Lambda(G^{-t})$

Generalized Dither

Def. U is G.D. if $(s+U) \bmod \Lambda \sim \text{Unif}(P_0)$

Necessary condition for G.D.?

$$f_{\text{rep}}(x) \triangleq \text{periodic replication } f(x) \triangleq \sum_{\lambda \in \Lambda} f(x - \lambda)$$


Claims

1. $f_{\text{rep}}(x)$ is periodic- Λ in space
2. If $X \sim f(x)$, and P_0 = fundamental cell of Λ , then

$$f_{X \bmod \Lambda}(x) = \begin{cases} f_{\text{rep}}(x), & x \in P_0 \\ 0, & \text{o.w.} \end{cases}$$

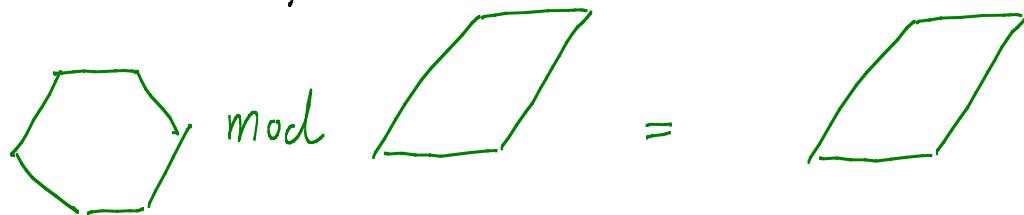
3. $X \bmod \Lambda \sim \text{Unif}(P_0)$ iff $f_{\text{rep}}(x) = \text{constant}$
4. U is generalized dither iff $f_{\text{rep}}(x) = \text{constant}$

Generalized Dither: Examples

1. Uniform over any fundamental cell

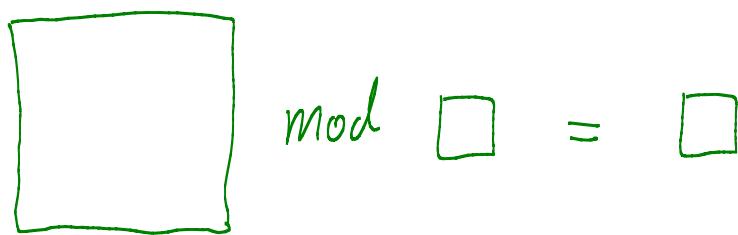
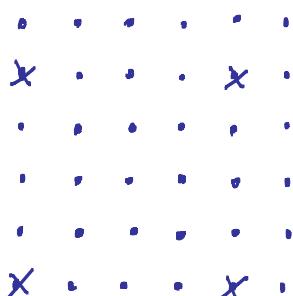
$$\text{Unif}(Q_0) \bmod_{P_0} \Lambda \sim \text{Unif}(P_0)$$

where Q_0, P_0 = fundamental cells of Λ .



2. Uniform over a nested coarse lattice cell

$$Q_0 = \text{fundamental cell of } \Lambda_C \subset \Lambda$$



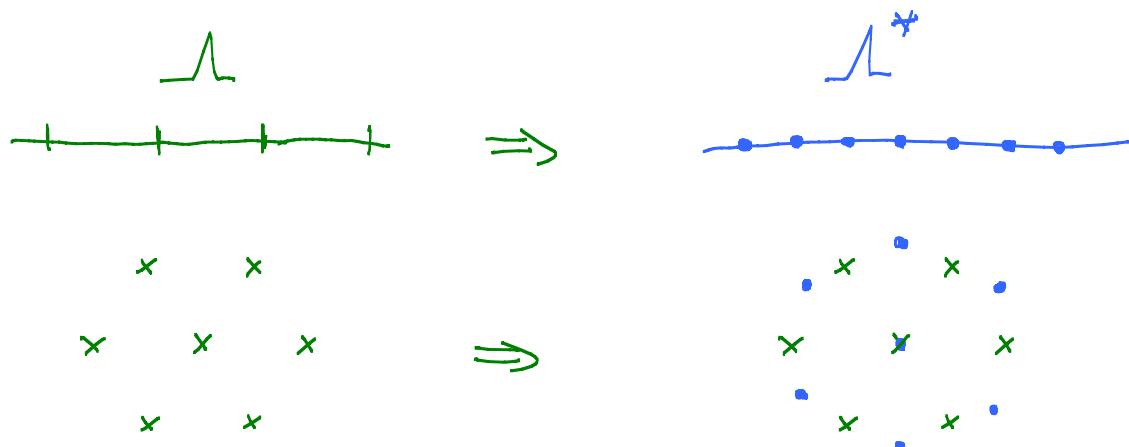
3. Spreading

$$\left\{ f_u(\cdot) \right\}_{\text{rep}} = \text{constant} \Rightarrow \left\{ f_u(\cdot) * \tilde{f}(\cdot) \right\}_{\text{rep}} = \text{constant}$$

$$[\square] * [\curve] \Rightarrow \dots$$

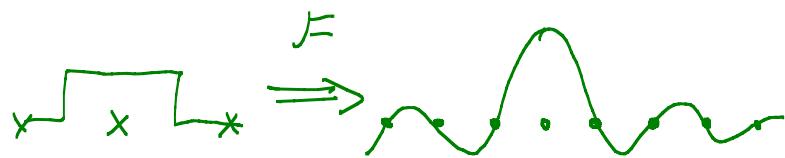
Generalized Dither \Rightarrow Zeroes on Dual Lattice

Def. Λ^* = dual lattice of $\Lambda(G)$
 $= \Lambda(G^{-t})$



Claim: \mathcal{U} is G.D. iff its characteristic function is zero on the dual lattice:

$$\mathcal{F}\{f_{\mathcal{U}}(\cdot)\} = 0 \quad \text{on } \Lambda^* \setminus 0$$



Good lattice \Rightarrow white dither

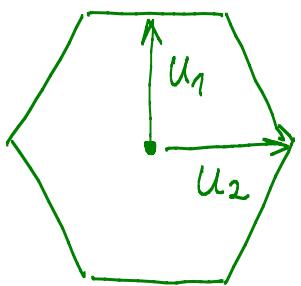
$$\underline{R}_Q \triangleq \text{dither auto-correlation matrix} = E\{\underline{u} \cdot \underline{u}^t\}$$

$$M_u \triangleq \frac{1}{n} \text{trace}\{\underline{R}_Q\} \geq \sigma^2(\mathcal{L})$$

equality if Voronoi cells

Thm.: If \mathcal{L} is an optimal lattice quantizer in \mathbb{R}^n (minimizes N.S.M. $G(\mathcal{L})$), then \underline{u} is white:

$$\underline{R}_Q = \sigma^2(\mathcal{L}) \cdot \mathbb{I}_n$$



u_1 and u_2 are dependent

but $\text{Var}(u_1) = \text{Var}(u_2)$

$$E\{u_1 \cdot u_2\} = 0$$

Proof:

1. $\mathcal{L}, \mathcal{V}_o \rightarrow$ whitening (orthonormal)
transformation $\rightarrow \mathcal{L}', \mathcal{V}'_o$

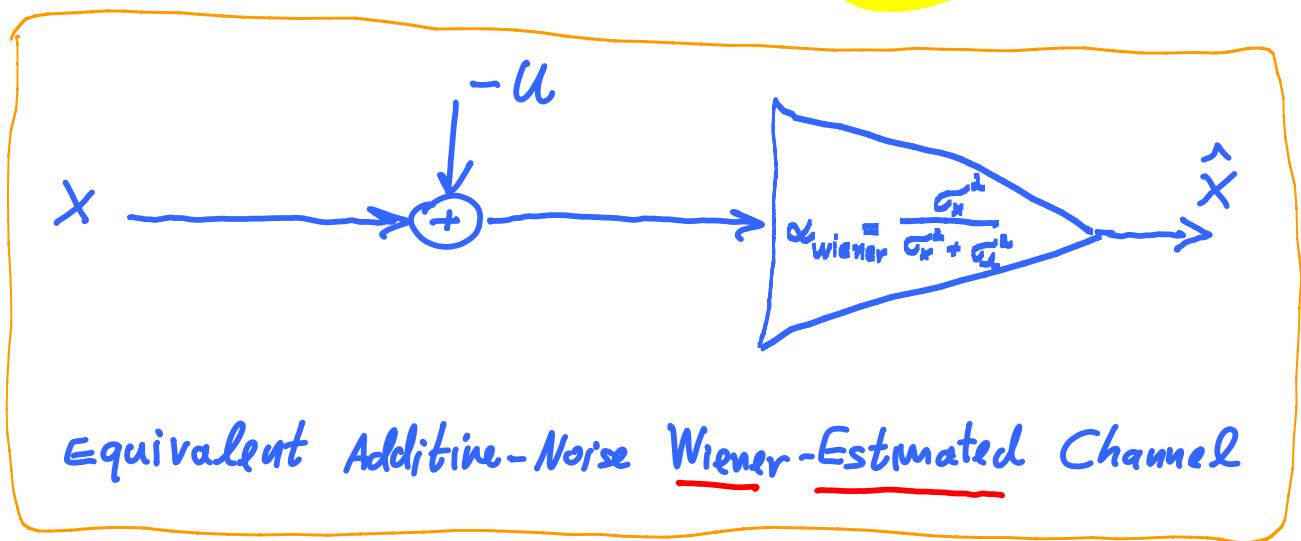
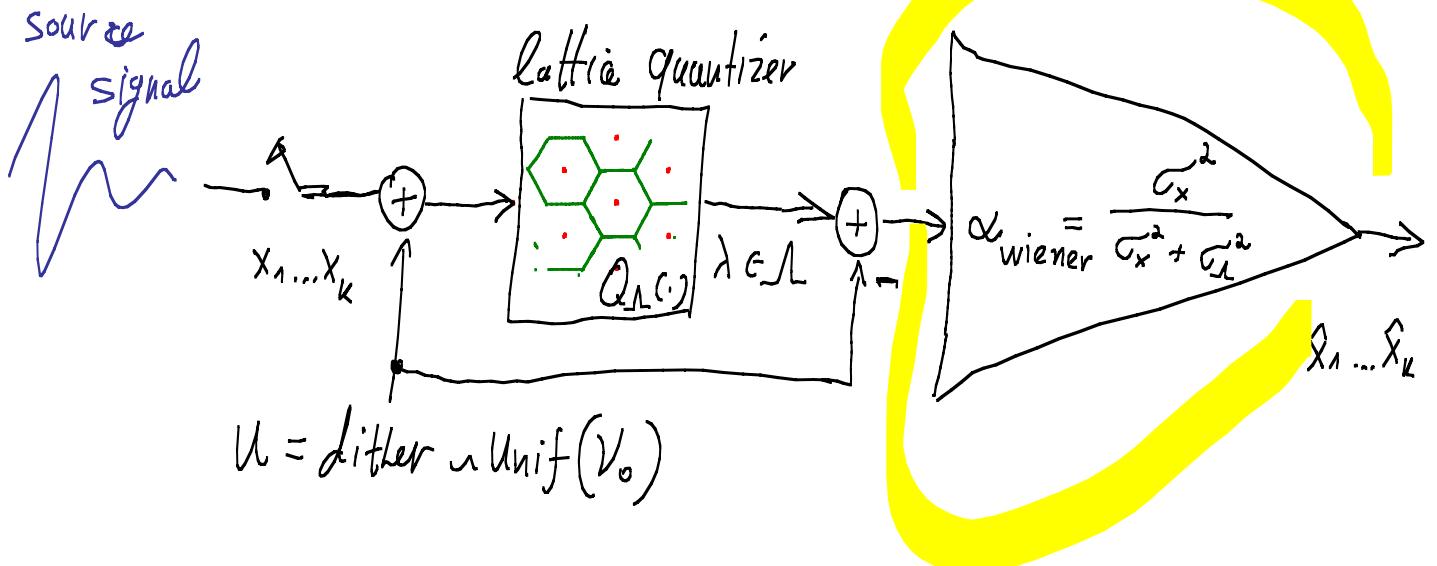
2. $\mathcal{L}', \mathcal{V}'_o \rightarrow$ Voronoi Partition $\rightarrow \mathcal{L}'', \mathcal{V}''_o$

and repeat ...

$\Rightarrow G(\mathcal{L}) \geq G(\mathcal{L}') \geq G(\mathcal{L}'') \geq \dots$

w. equality iff \mathcal{L} is white?

Wiener Estimation



$$\Rightarrow \text{distortion : } \tilde{\sigma}_n^2 \rightarrow \frac{\tilde{\sigma}_x^2 \tilde{\sigma}_n^2}{\tilde{\sigma}_x^2 + \tilde{\sigma}_n^2}$$

We'll talk about ...

1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

7. Side-information problems

Modulo (\mathbb{Z})

Why Lattices in Communication?

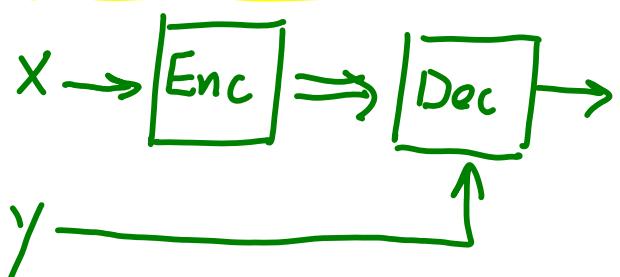
① a bridge from $n=1$ to $n=\infty$
= non-asymptotic analysis per dimension

② Algebraic (low complexity) Binning
= structured coding schemes for networks

③ bridge from Analog - to - Digital
= Robust joint source - channel coding

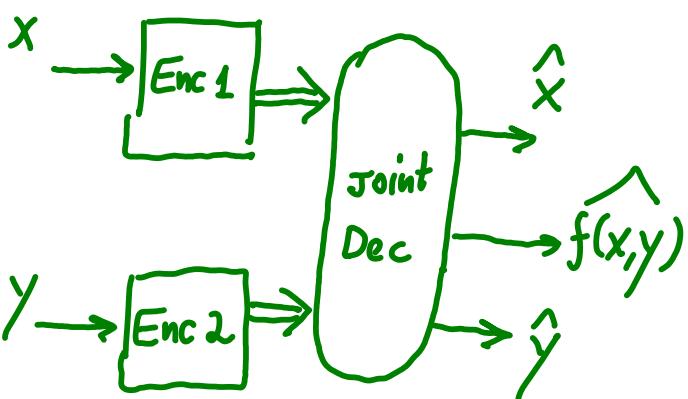
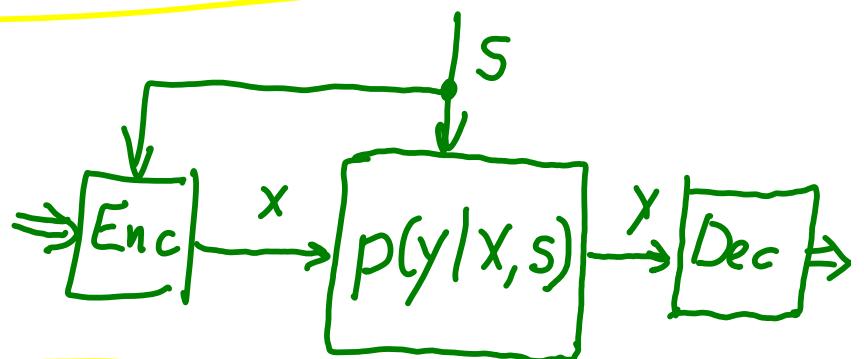
④ Better than Random-Coding!
in distributed side-information problems

Lattices in Multi-Terminal Problems

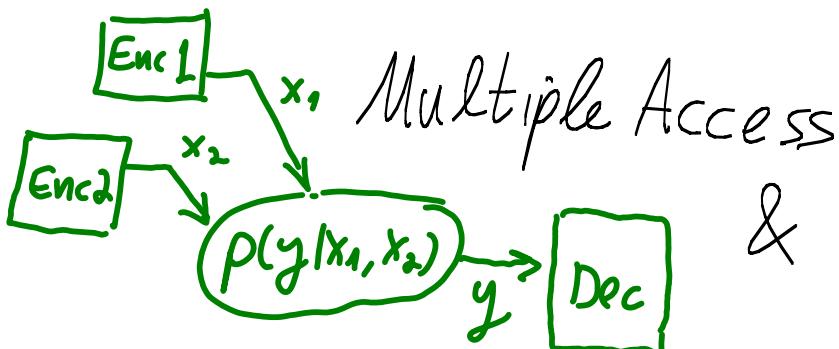


SOURCE coding with Side Information

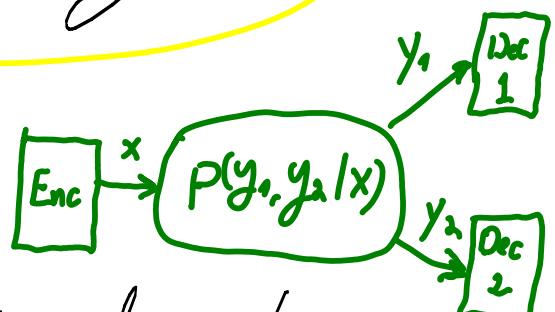
Channel Coding with Side Information



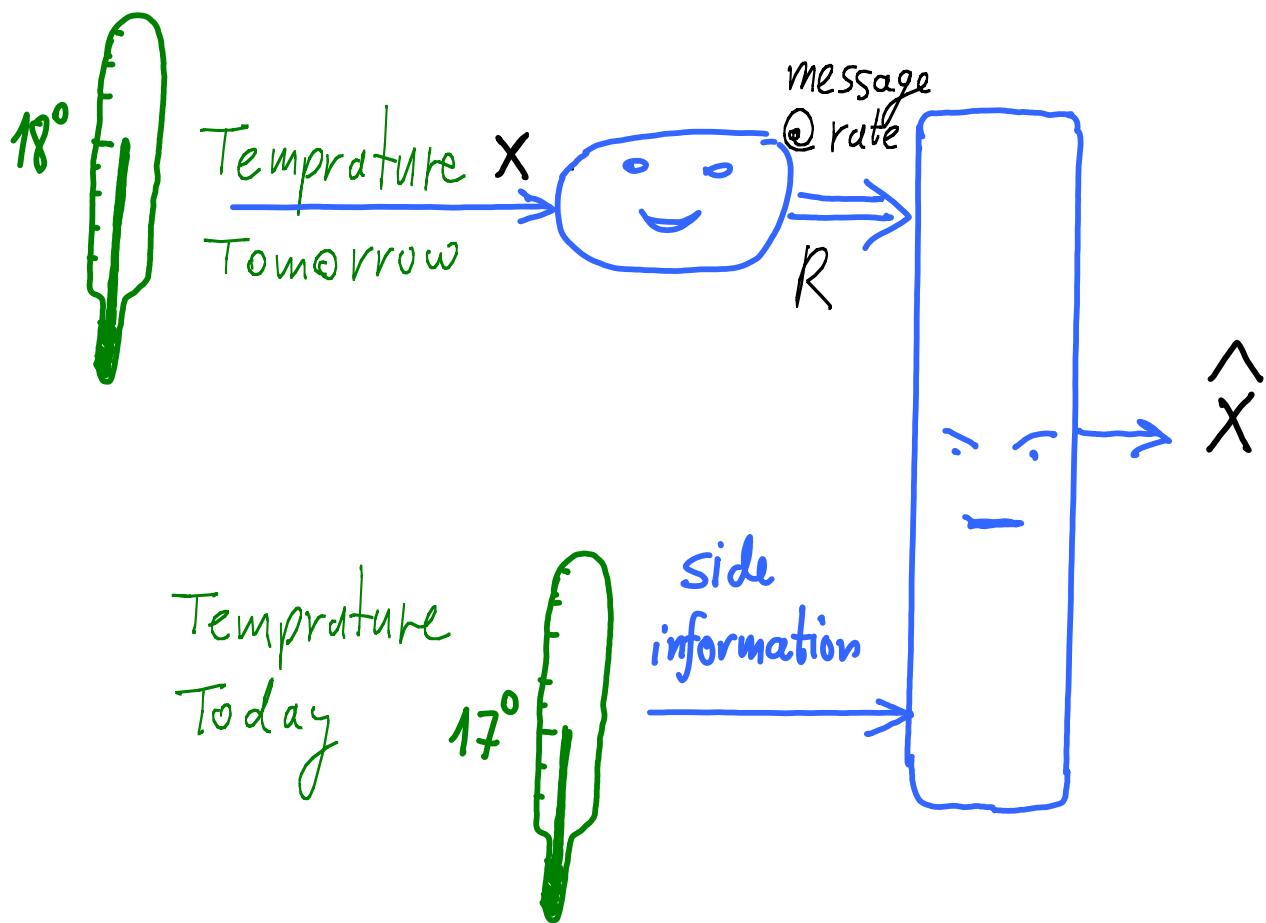
Multi-terminal Source coding



& Broadcast Channels



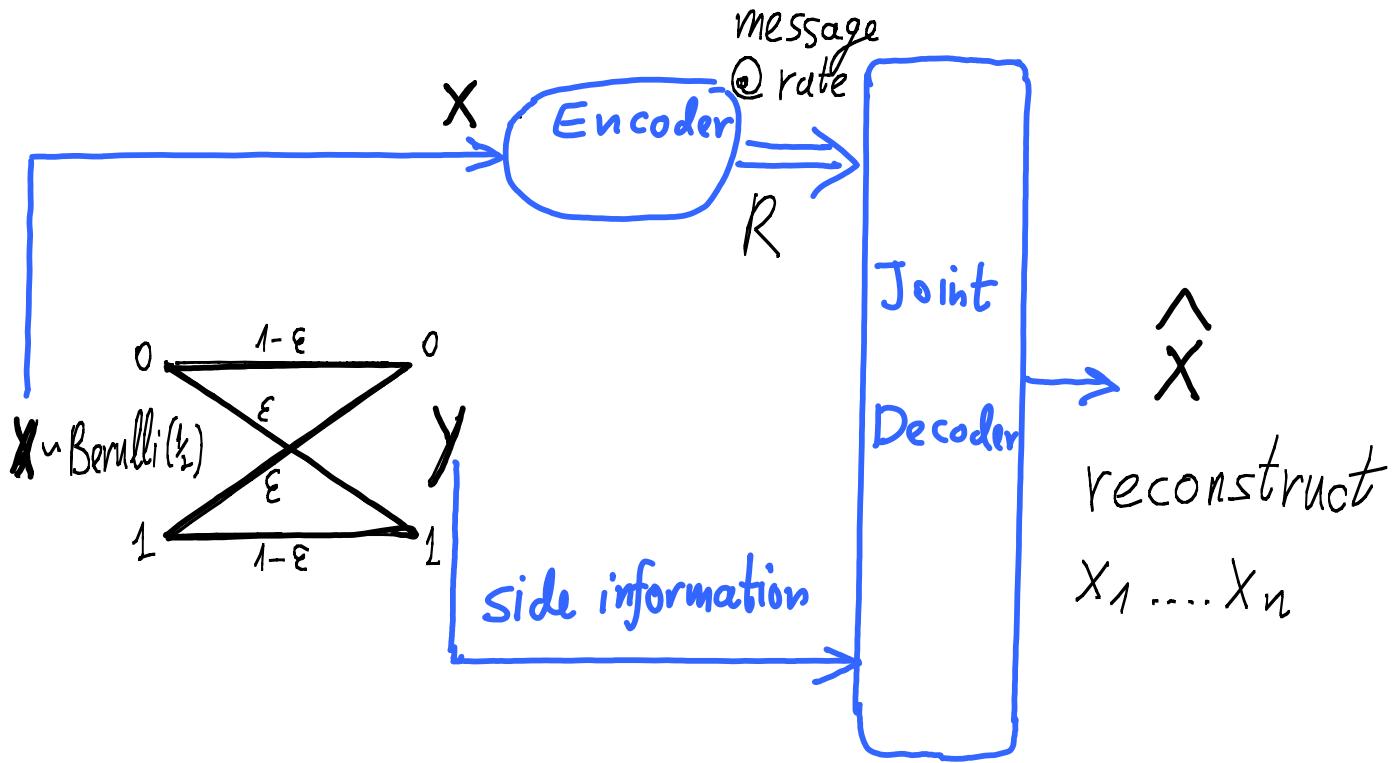
The Slepian-Wolf Problem



$$\underline{T_{\text{tomorrow}} = T_{\text{today}}} \pm 1^{\circ}\text{C} \underline{\hspace{10em}}$$

Can we send T_{tomorrow} using
only one bit?

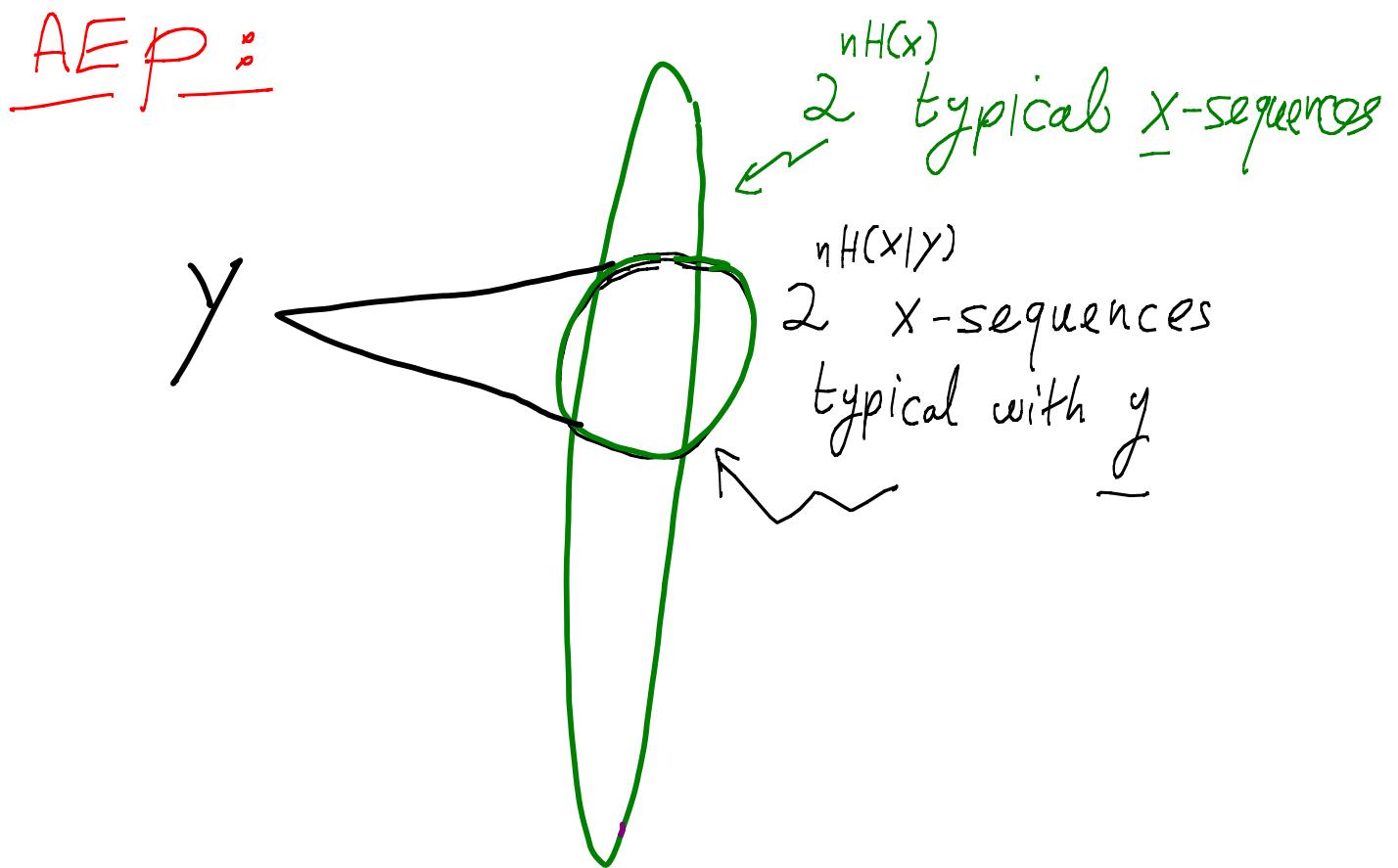
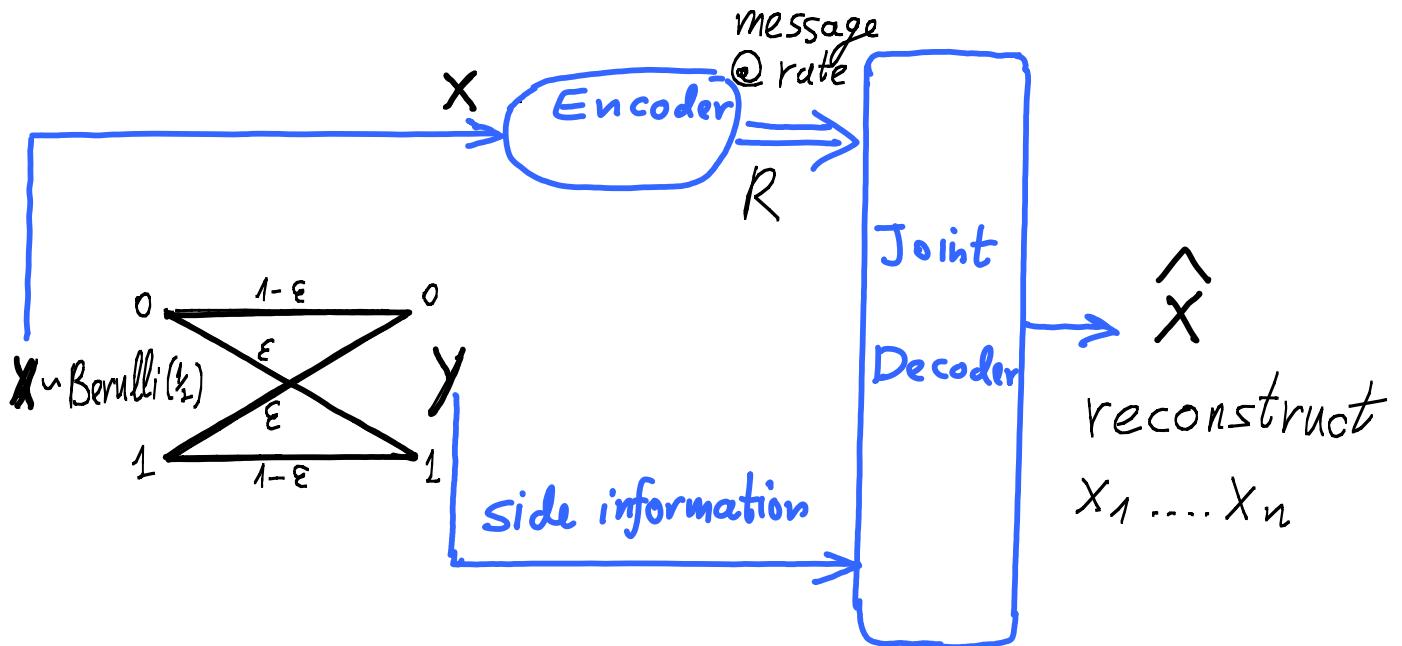
The Slepian-Wolf Problem



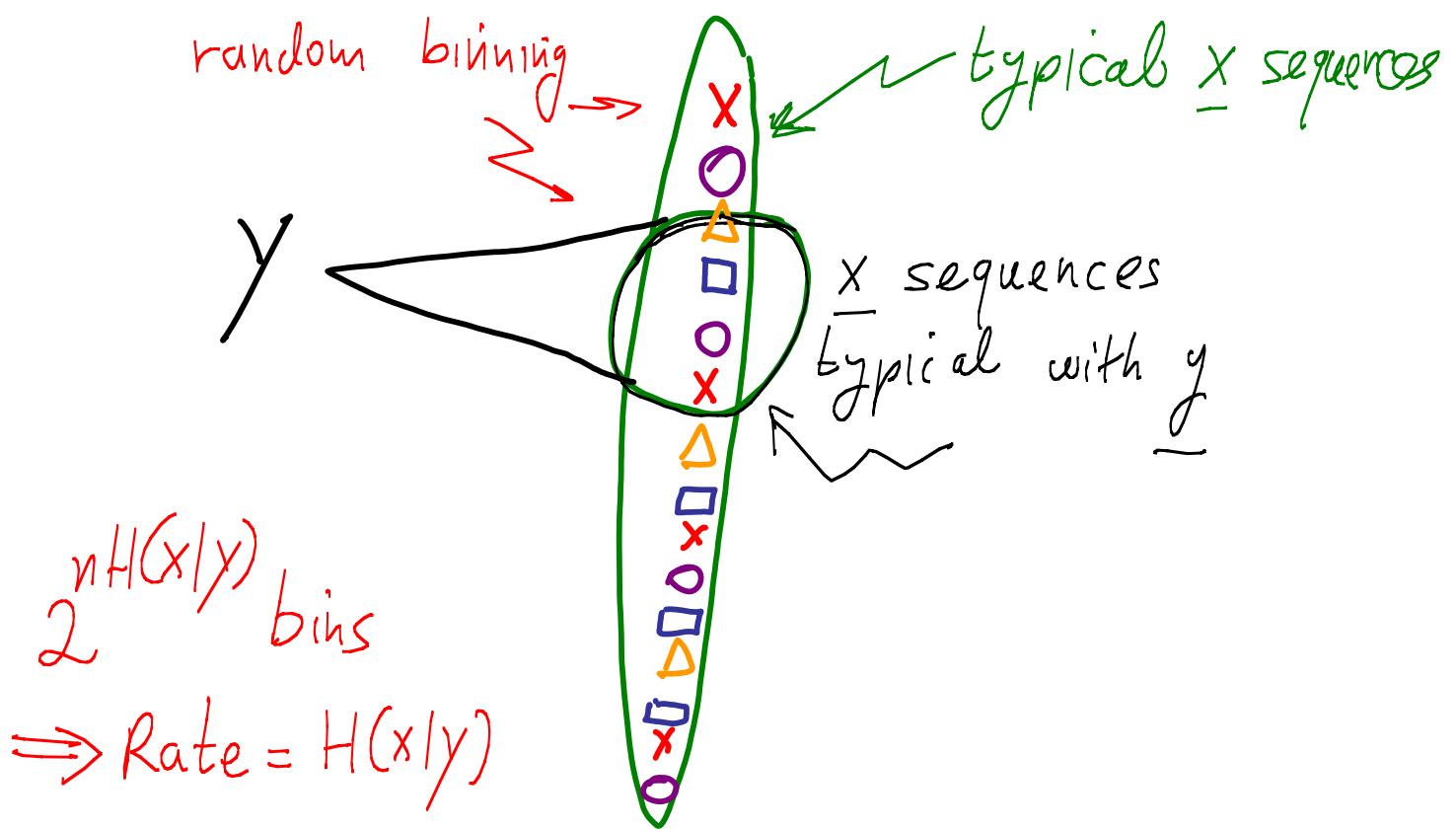
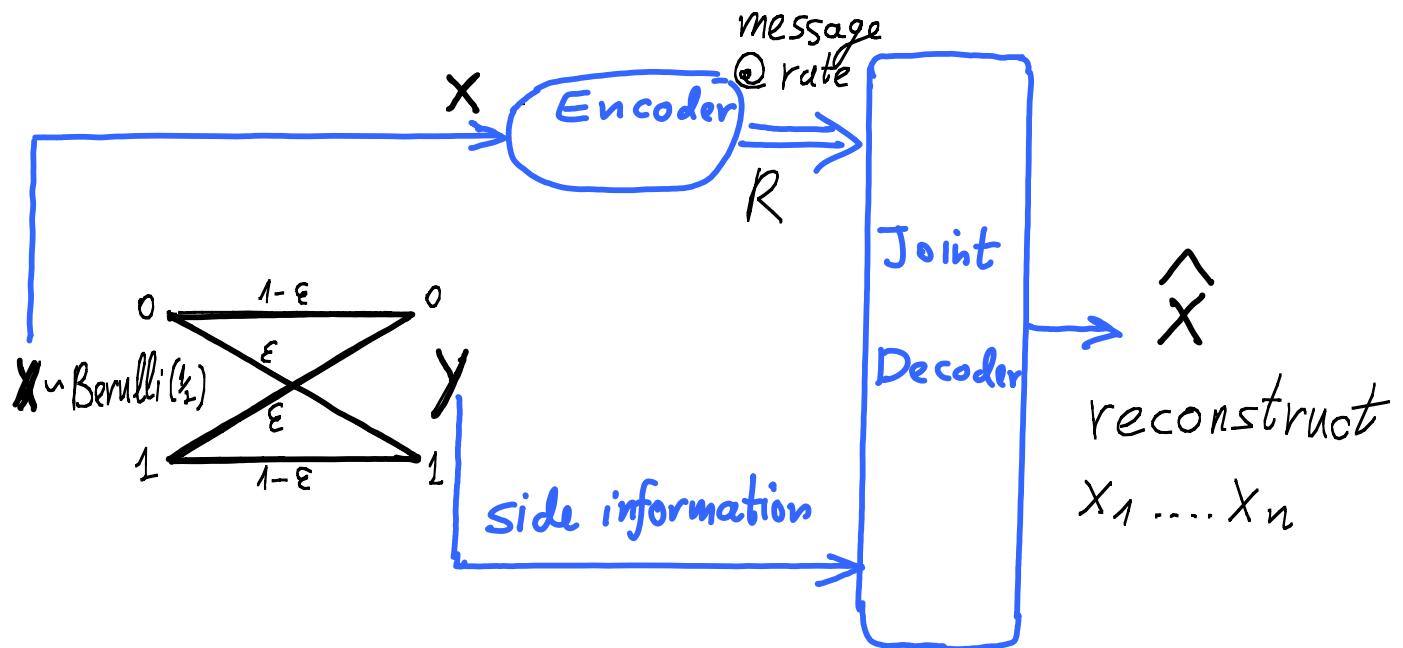
$$R = H(X|Y) = H(Z) = H_B(\varepsilon) = 0.1 \text{ Bit}$$

as if y were available @ both encoder + decoder!

The SW Problem : Random Binning



The SW Problem : Random Binning



From "random"

back to "Structure" ...

(i) Hamming space 

(ii) Euclidean space

Syndrome Coding

1. Good Linear binary codes:

$\mathbb{C} = (n, k)$ linear code for BSC(ϵ)

general properties:

generator matrix

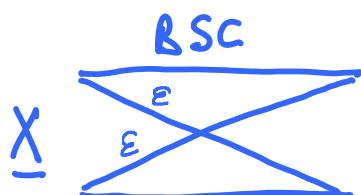
$$\underline{x} = \underline{G} \cdot \underline{i}$$

$n \times 1$ $n \times k$ $k \times 1$

parity-check

$$\underline{H} \cdot \underline{x} = \underline{0} \quad \text{for } \underline{x} \in \mathbb{C}$$

$(n-k) \times n$ $n \times 1$



$$\underline{y} = \underline{x} \oplus \underline{z}, \quad \underline{z} \sim \text{Bernoulli}(\epsilon)$$

$$\text{Syndrome} = \underline{H} \cdot \underline{y} \quad (\text{$n-k$ dimensional})$$

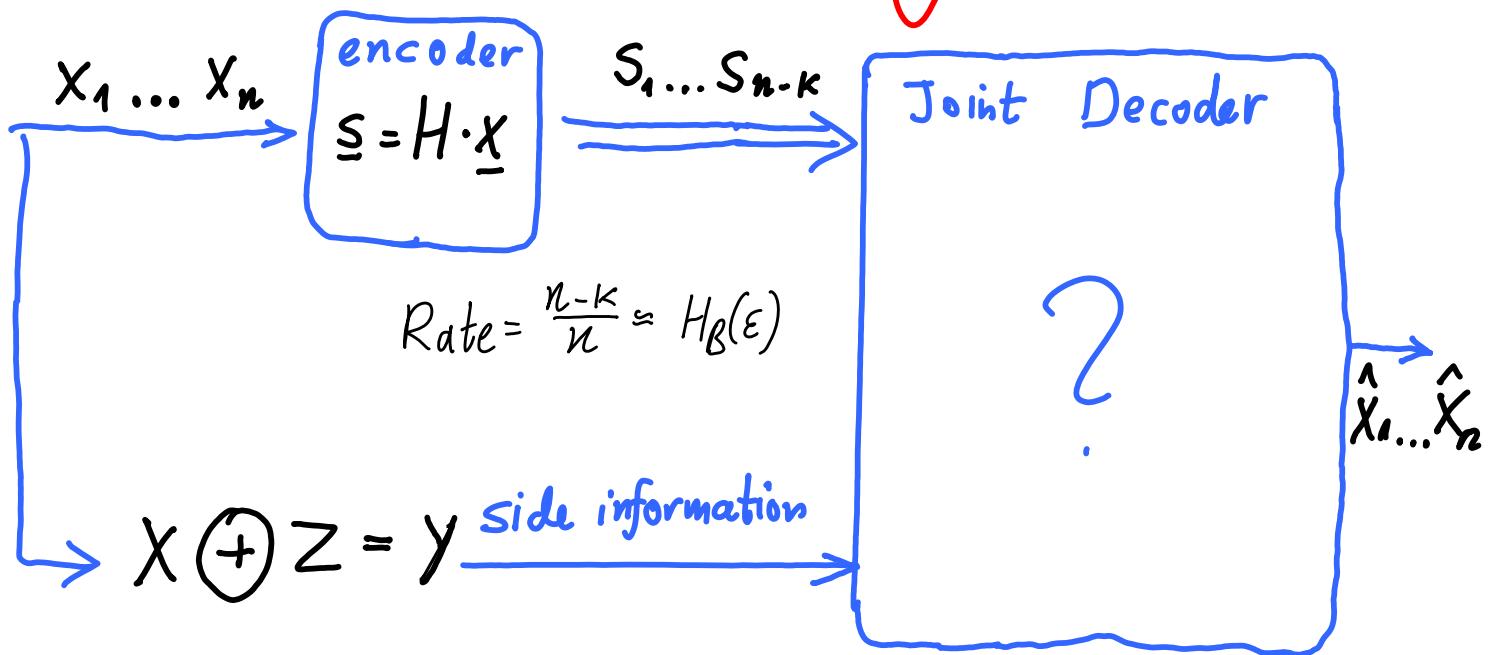
$$\hat{\underline{z}}_{\text{ML}} = \text{error}(\underline{y}, \mathbb{C}) = f(\underline{H} \cdot \underline{y}) \triangleq \underline{y} \bmod \mathbb{C}$$

$$P_e = \Pr\left\{\hat{\underline{z}}_{\text{ML}} \neq \underline{z}\right\} \longrightarrow 0 \quad \text{for "good" codes}$$

$$n \rightarrow \infty @ \frac{k}{n} < 1 - H_B(\epsilon)$$

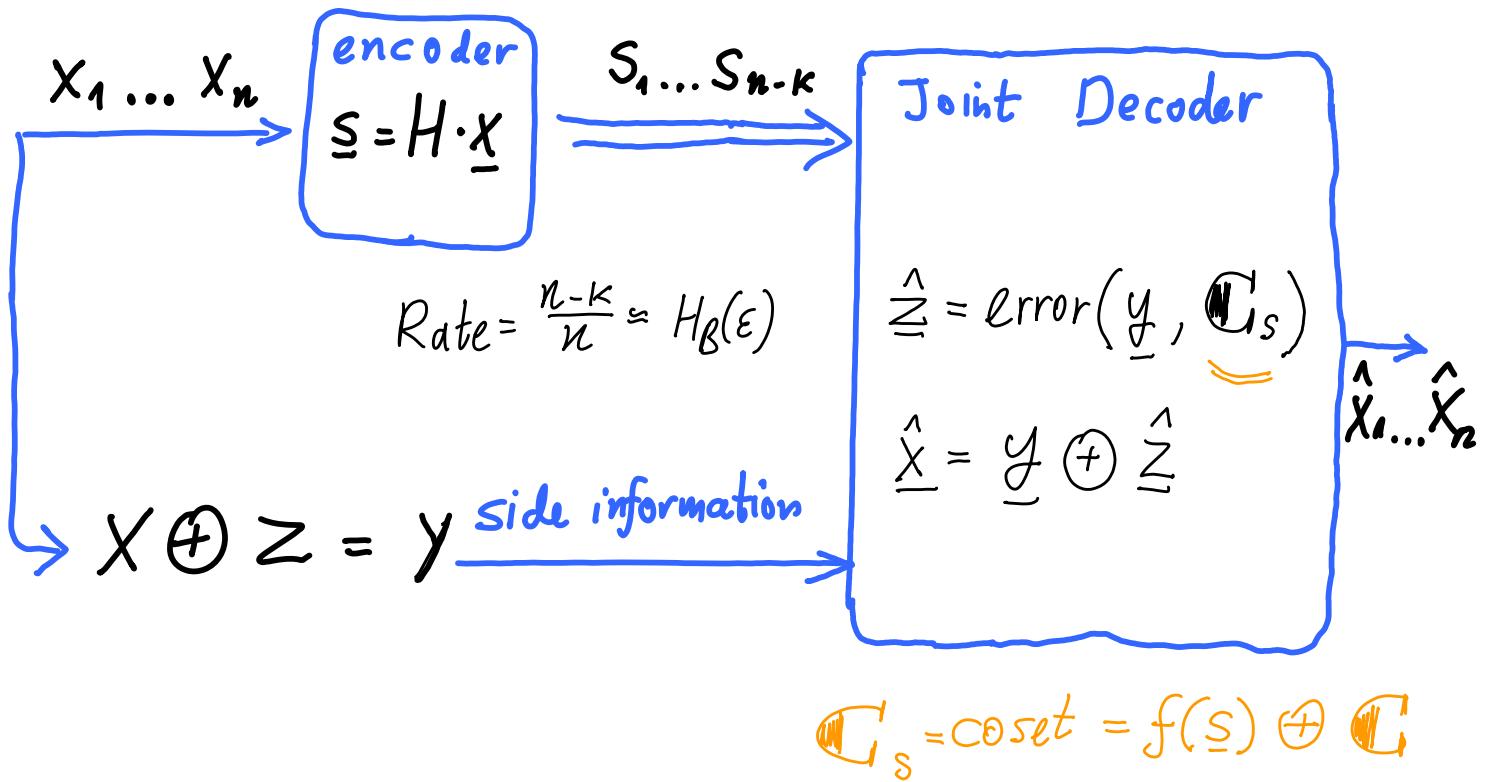
Syndrome Coding

2. -- -- -- -- for binary Slepian-Wolf:



$$\mathbb{C}_s = \text{coset} \triangleq f(\underline{S}) \oplus \mathbb{C}$$

Syndrome Coding



Equivalent scheme

- encoder : message $= \underline{s} \iff \underline{x} \bmod \mathcal{C}$

- decoder : $\hat{\underline{z}} = [(\underline{x} \bmod \mathcal{C}) \oplus \underline{y}] \bmod \mathcal{C}$

$$\begin{aligned}
 & \xrightarrow{\text{distributive law}} = (\underline{x} \oplus \underline{y}) \bmod \mathcal{C} \\
 & = \underline{z} \bmod \mathcal{C} \\
 & = \underline{z} \quad \text{w. h. prob.}
 \end{aligned}$$

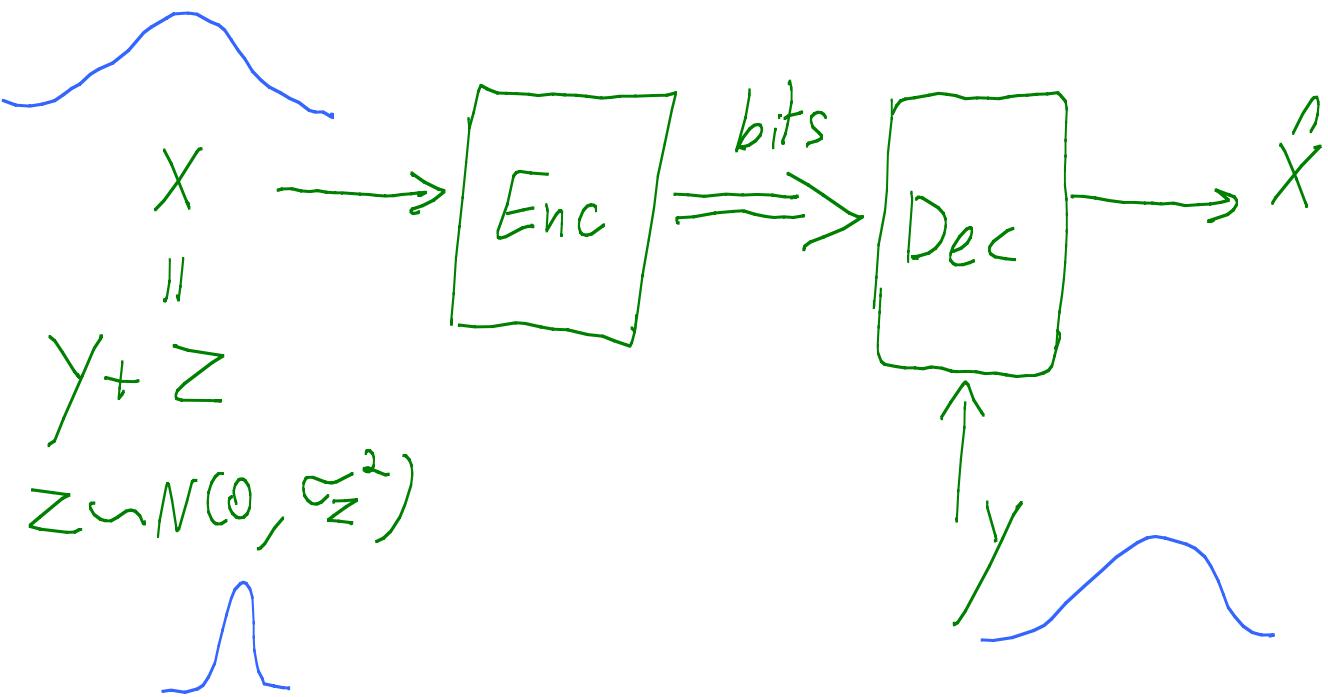
From "random"

back to "Structure" ...

(i) Hamming space

(ii) Euclidean space \Leftarrow

The Wyner - Ziv Problem (Lossy Source Coding with S.I. @ Decoder)



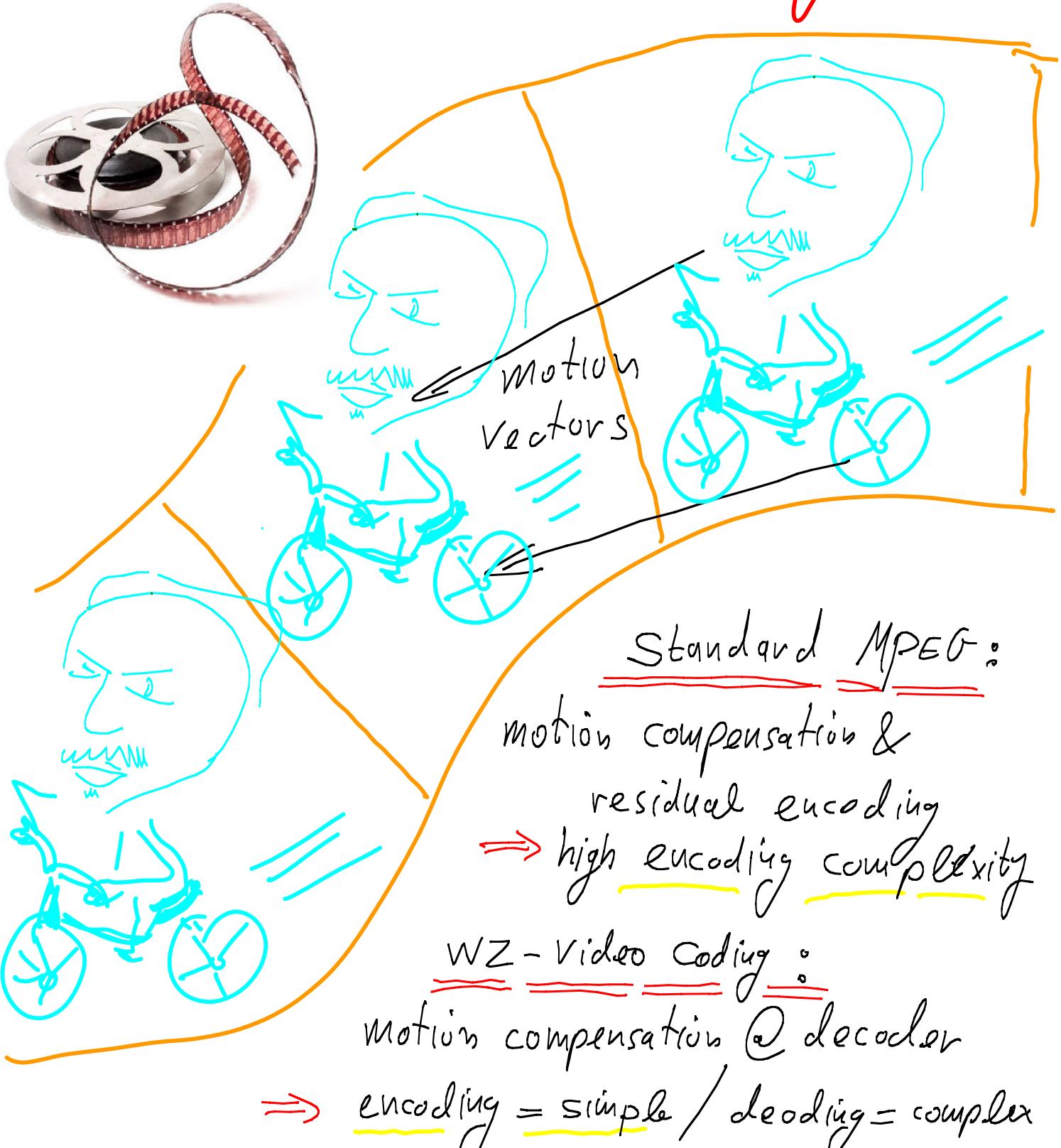
* The information-theoretic limit:

$$R_{x|y}^{WZ}(D) = R_z(D) = \frac{1}{2} \log\left(\frac{\sigma_z^2}{D}\right) \frac{\text{bit}}{\text{source sample}}$$

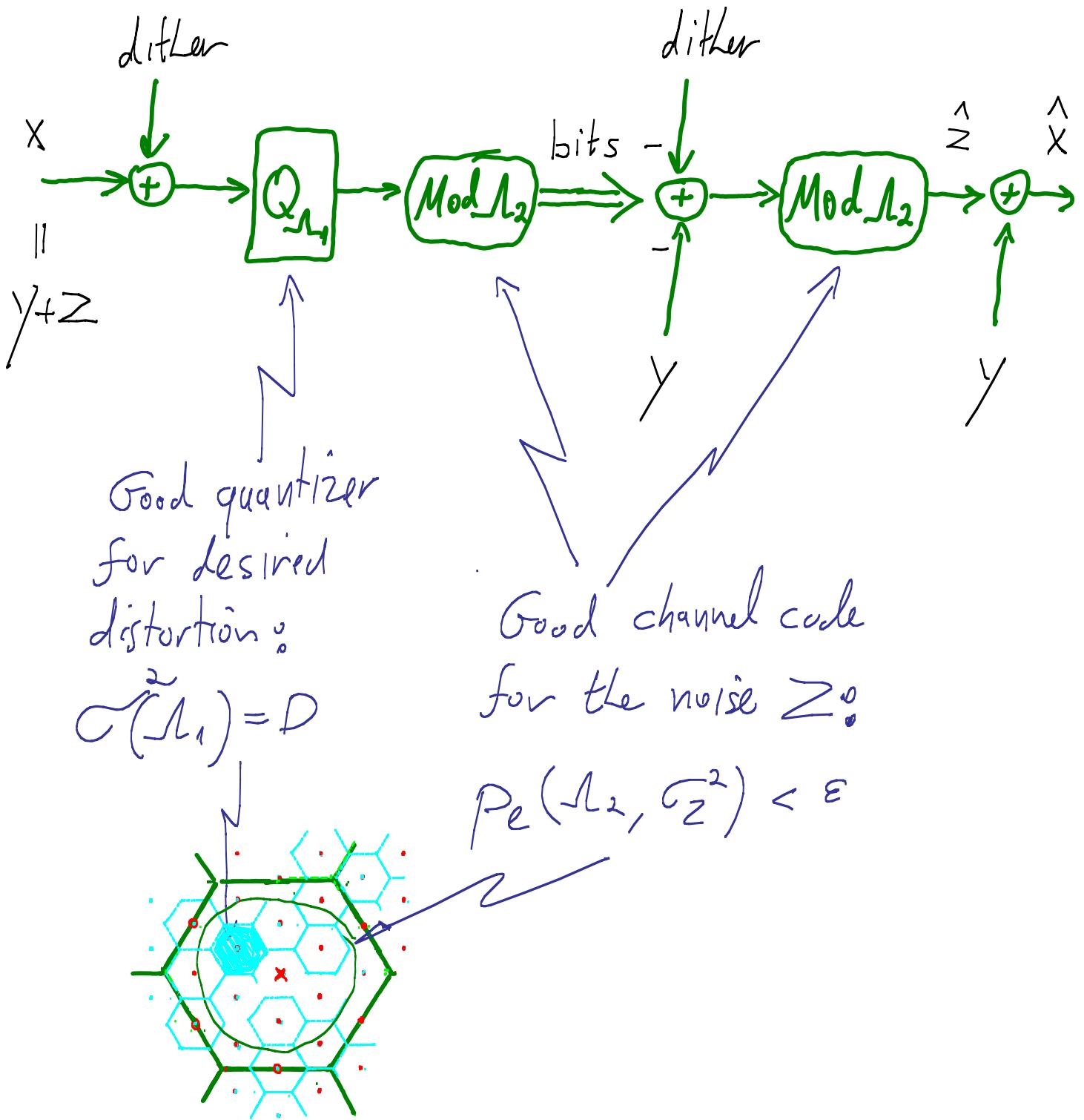
Wyner-Ziv 1976

Wyner 1978

Wyner-Ziv Video Coding



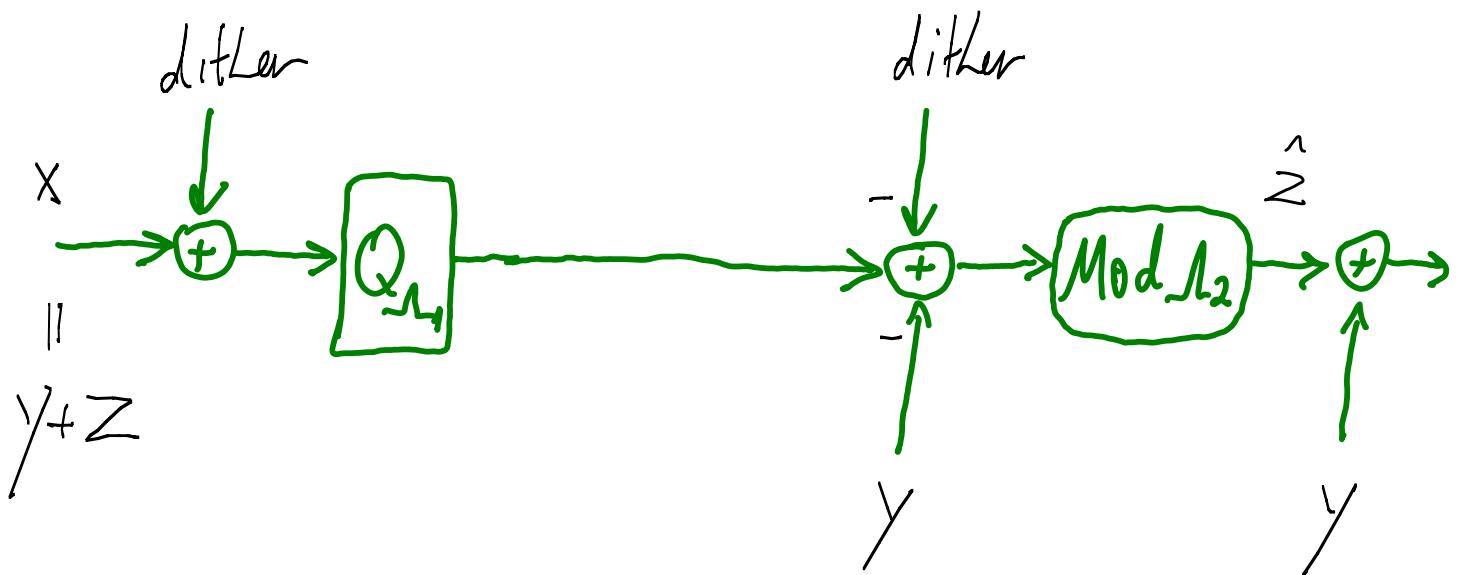
Lattice Wyner-Ziv Coding [Z & Shamai Verdu]



Lattice Wyner-Ziv Coding

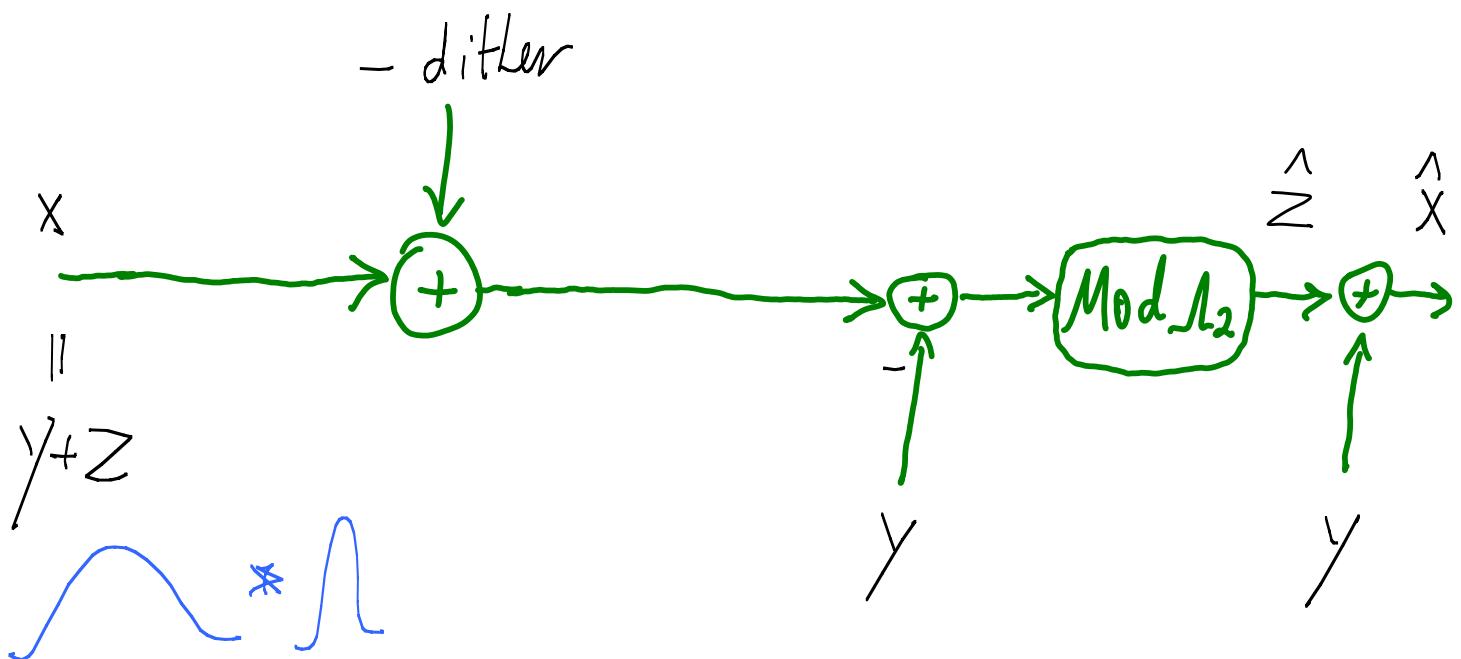
$$(A \bmod \mathcal{N} + B) \bmod \mathcal{N} = (A+B) \bmod \mathcal{N}$$

⇒



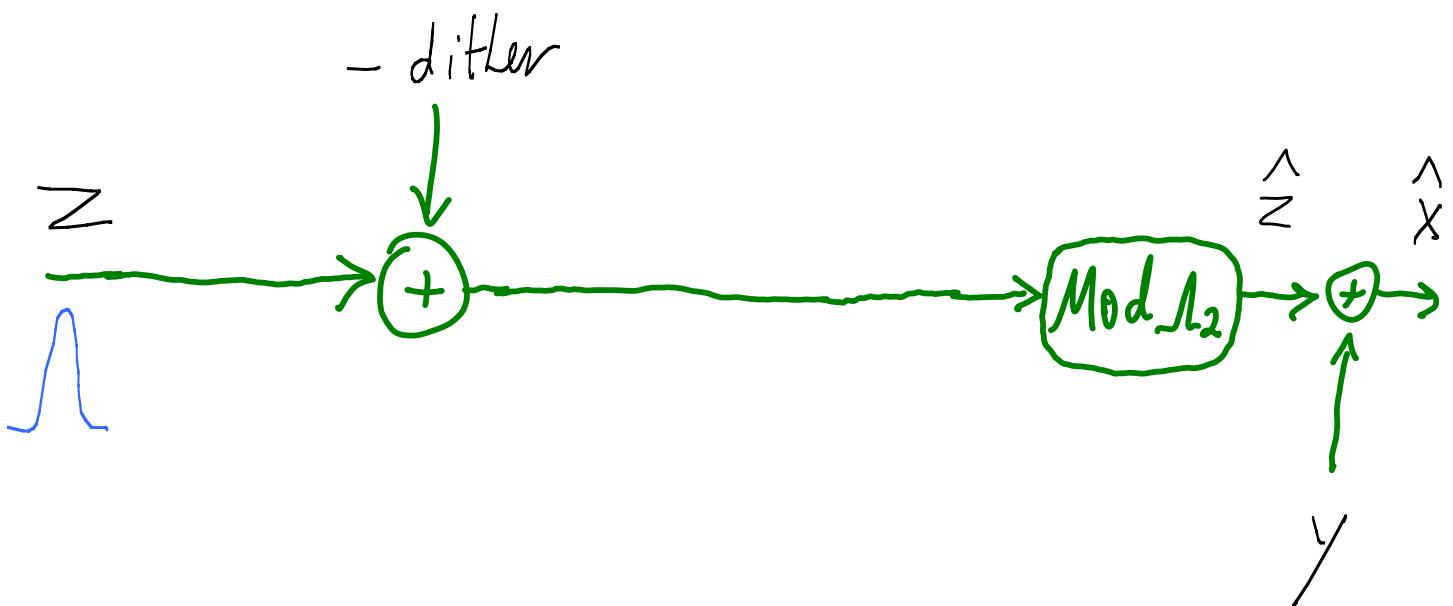
Lattice Wyner-Ziv Coding

dithered quantization \equiv additive noise



Lattice Wyner-Ziv Coding

dithered quantization \equiv additive noise

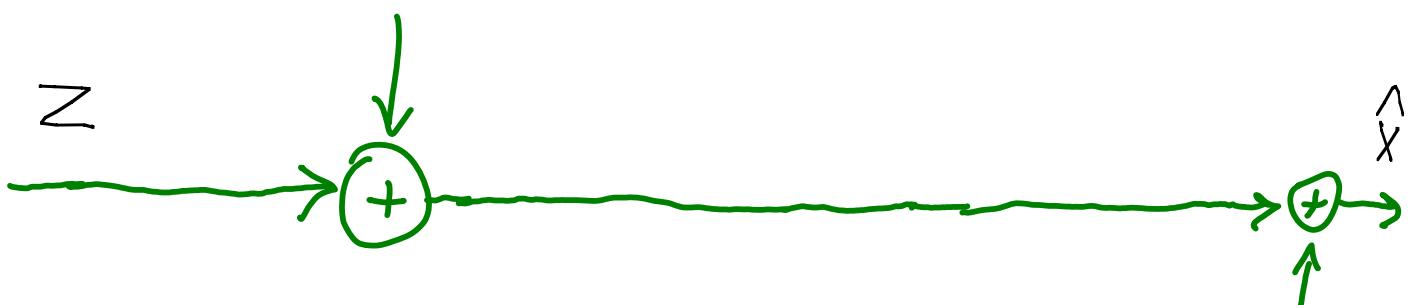


Lattice Wyner-Ziv Coding

Λ_2 = good channel code for $Z \sim N(0, \sigma_z^2)$.
 $D \ll \sigma_z^2$.

\Rightarrow with prob. $> 1 - \varepsilon$,

- dither



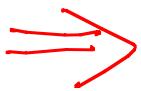
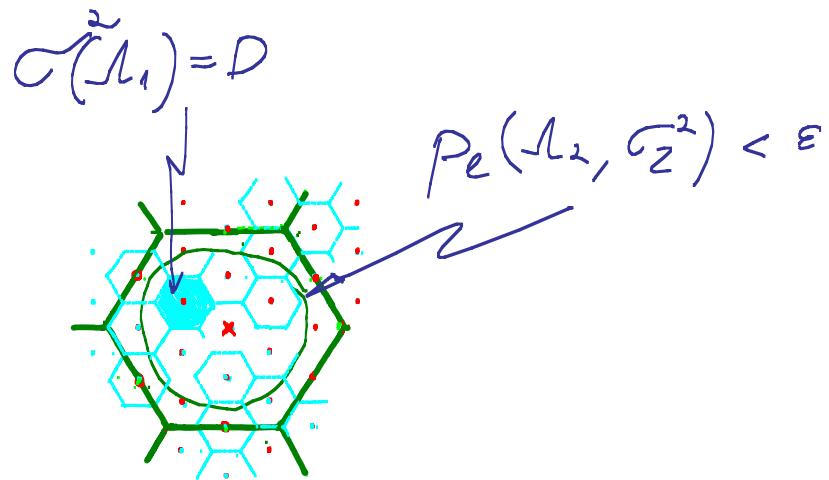
\Rightarrow

$$\hat{X} = X - \text{dither}, \text{ w.p. } > 1 - \varepsilon$$

$$\Rightarrow \text{distortion} = \sigma^2(\Lambda_1) = D$$

Lattice Wyner-Ziv Coding

Nesting Ratio:



$$\text{Rate} = \frac{1}{n} \log\left(\frac{V_2}{V_1}\right) \text{ bit/sample}$$

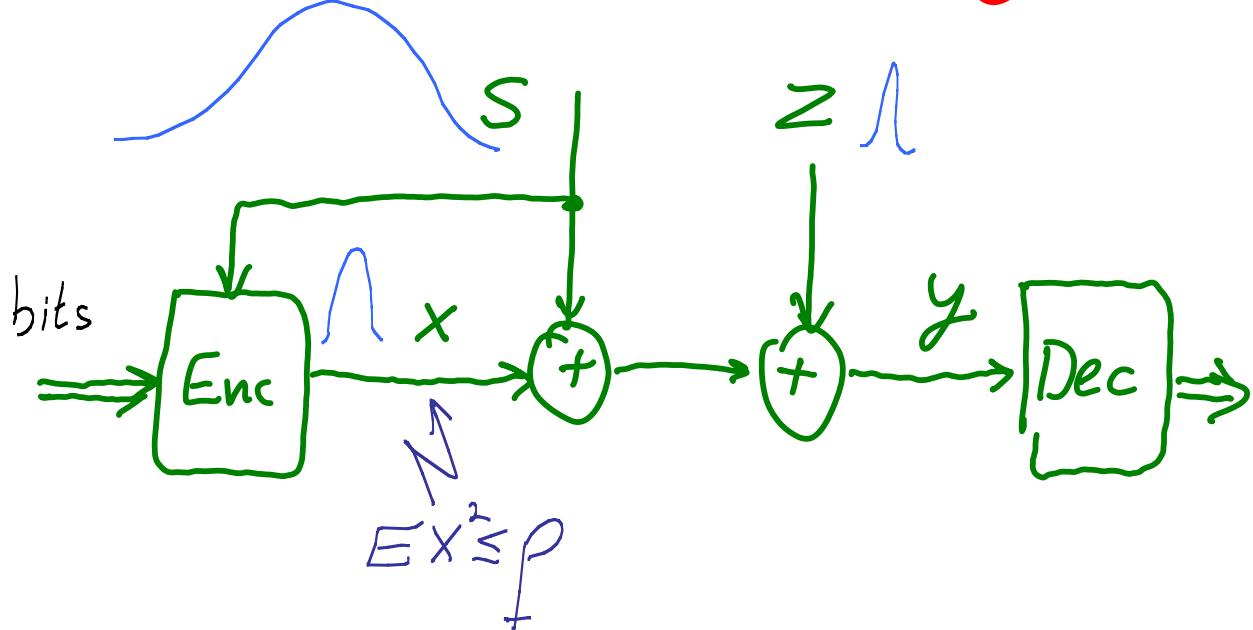
$$= \frac{1}{2} \log\left(\frac{\sigma_z^2}{D}\right) + \frac{1}{2} \log(G(\lambda_1) \cdot M(\lambda_2, \epsilon))$$

$NSM(\lambda_1)$
 $VNR(\lambda_2)$

$R_Z(D)$
 Redundancy → 0
 $n \rightarrow \infty$
 for good lattices ...

"Writing on Dirty Paper"

(AWGN channel coding with Interference known @ transmitter)



* The information-theoretic limit:

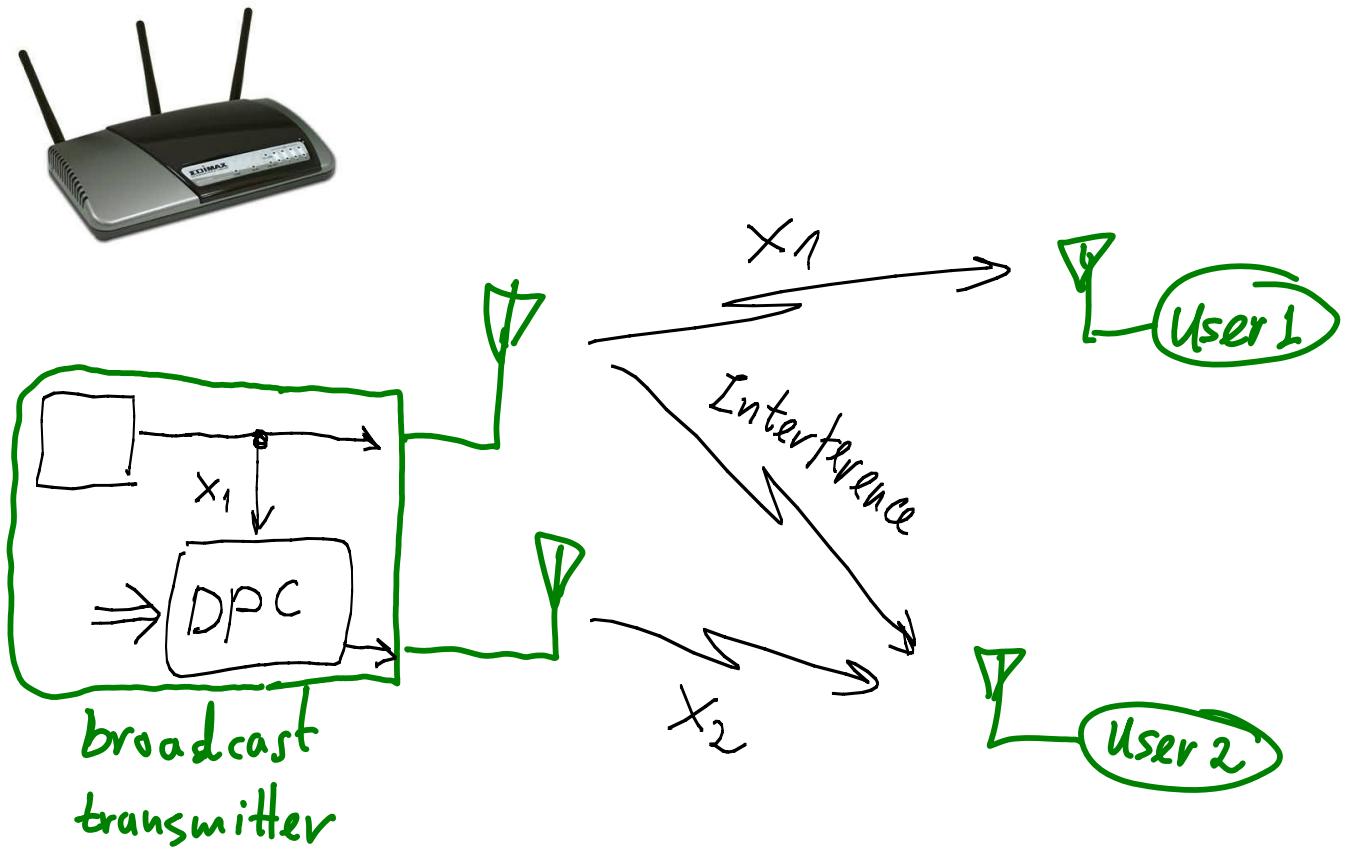
$$C_{SI@Tx} = \frac{1}{2} \log \left(1 + \frac{P}{\sigma_z^2} \right) = C_{AWGN}$$

Gelfand-Pinsker 1980

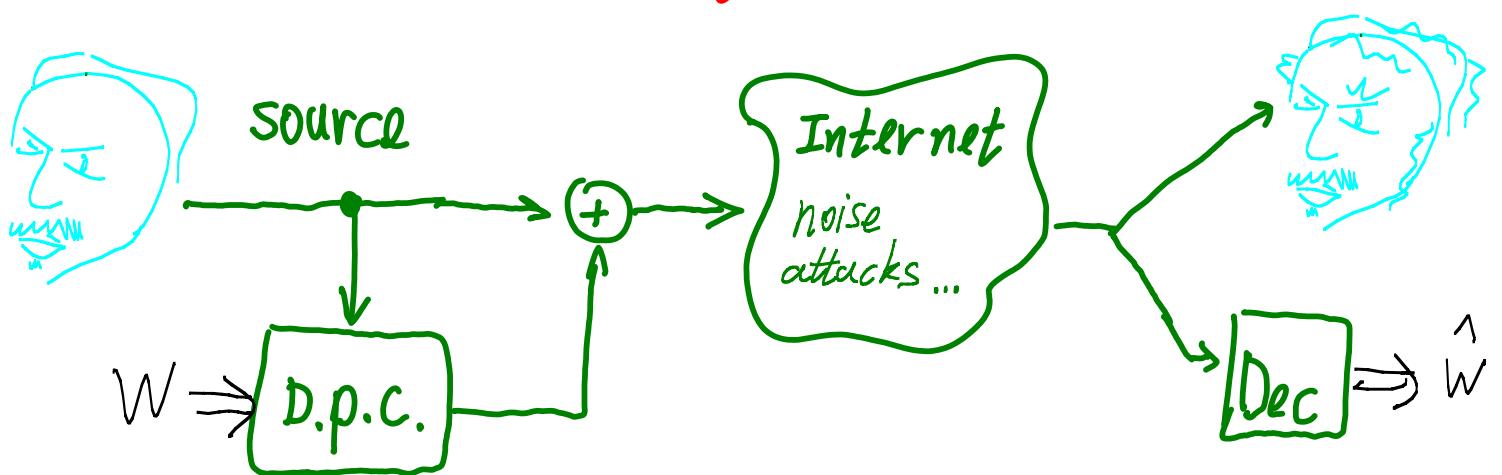
Costa 1983

Surprising: interference cancellation with no power penalty?

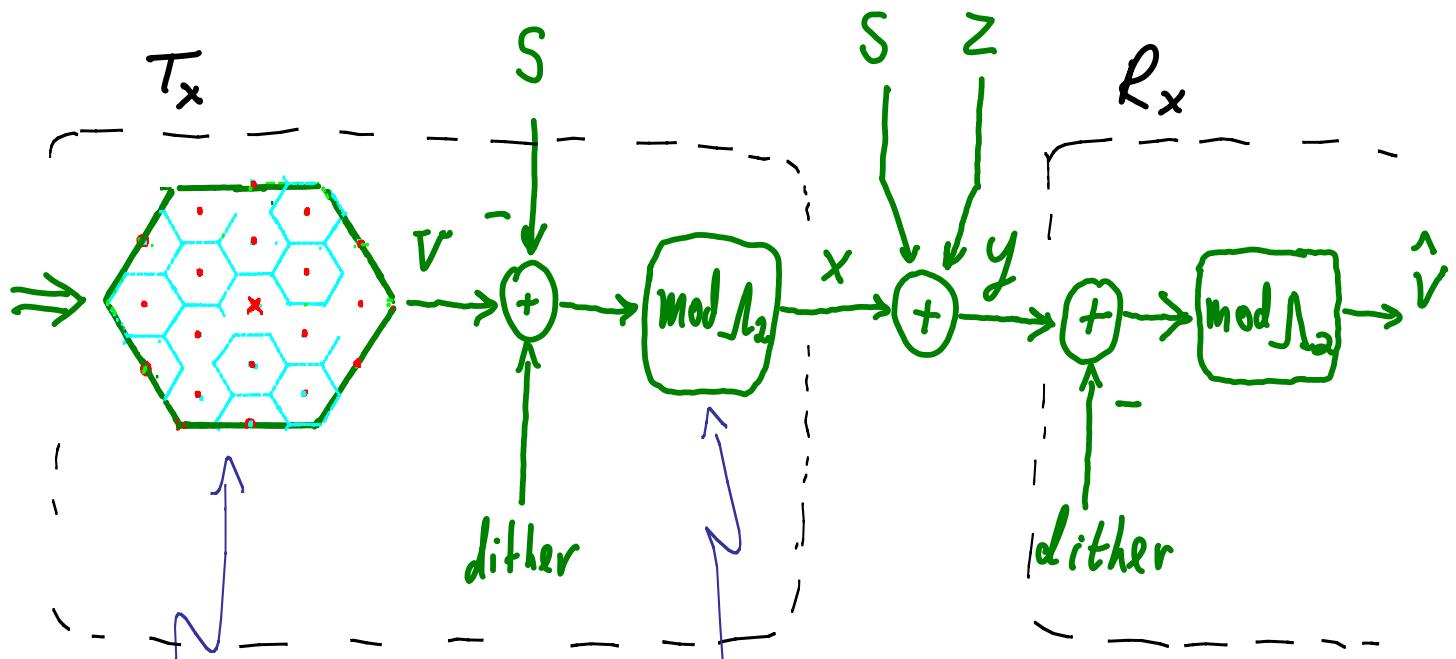
MIMO - Broadcast using D.P.C



Information Embedding (Watermarking)



Lattice Dirty Paper Coding



Λ_1 / Λ_2
Voronoi
Constellations

Λ_1 = good channel
code for $N(0, \sigma^2)$

Good quantizer

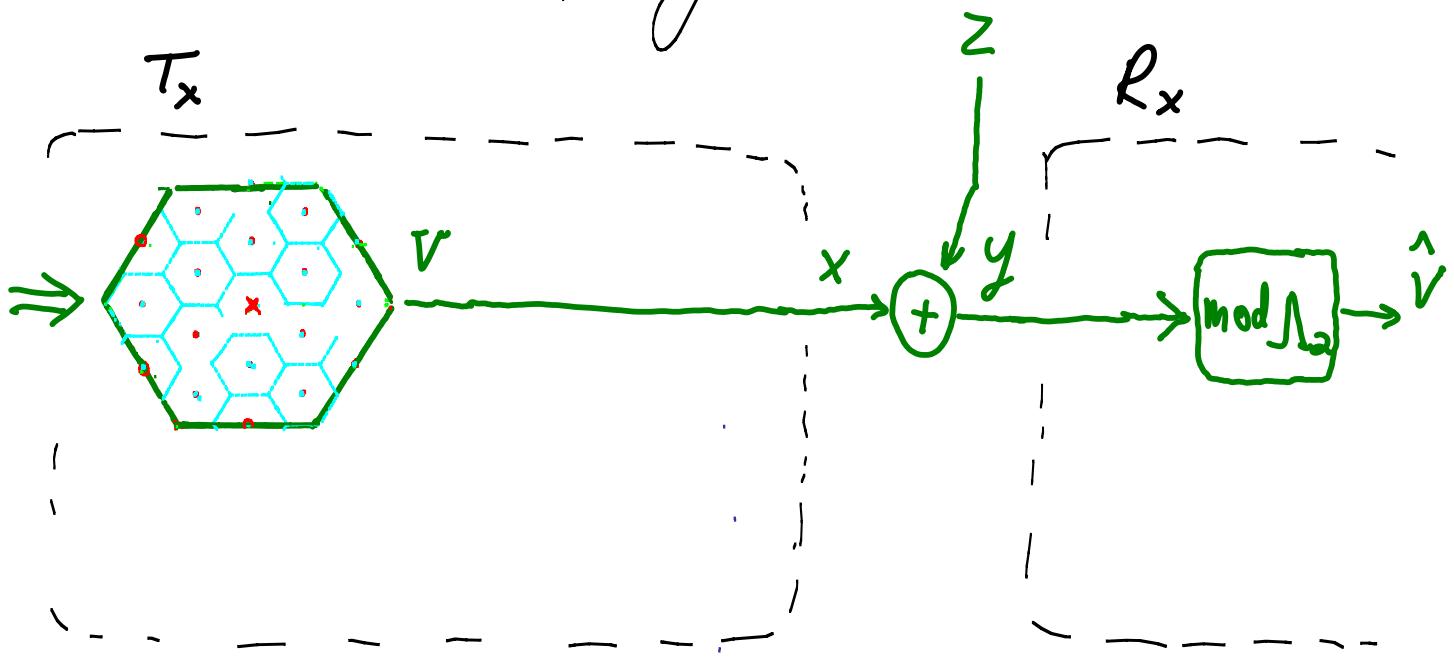
$$\mathcal{Q}(\Lambda_2) = P + \text{dither}$$

$$\mathbb{E} \frac{1}{k} \|X\|^2 = P$$

for any codeword!

Lattice Dirty Paper Coding

Modulo property \Rightarrow



We'll talk about ...

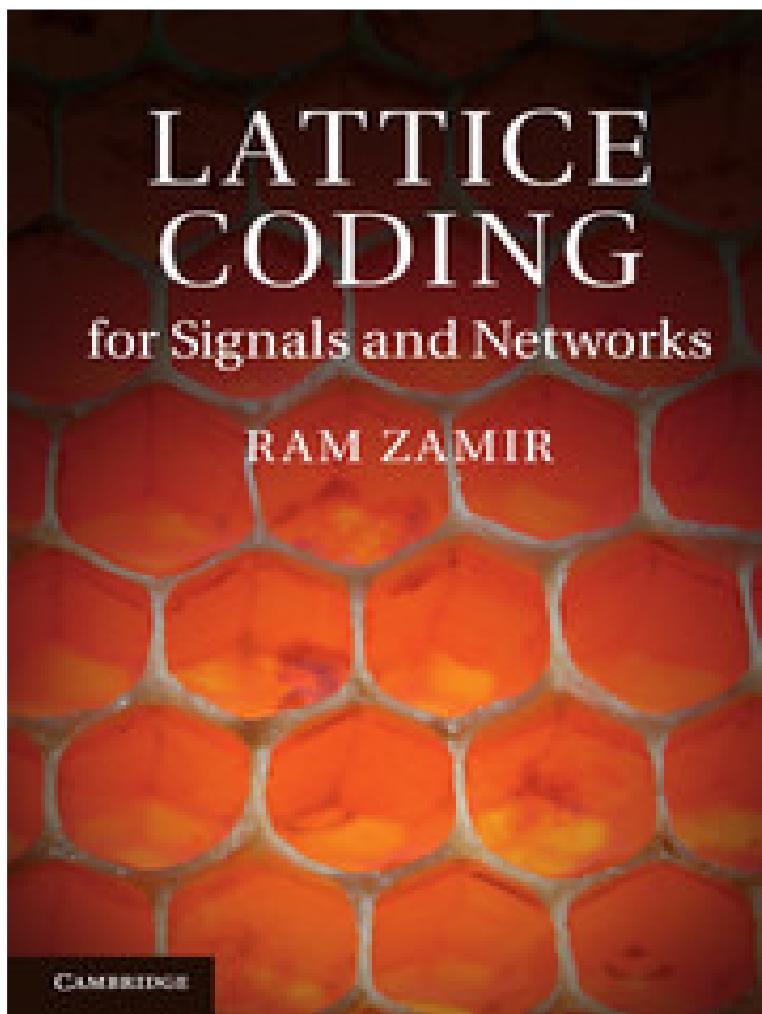
1. lattices : representation & partition
2. Construction from linear codes
3. figures of merit
4. asymptotic goodness
5. multi-level constructions
6. dithering (lattice randomization)
7. side-information problems
8. distributed lattice coding

8. Distributed lattice coding

Moduloⁿ(L)

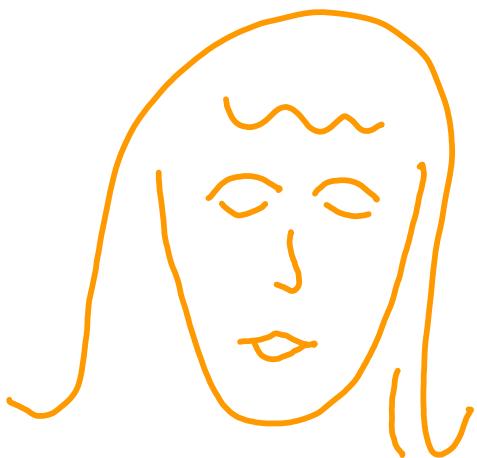
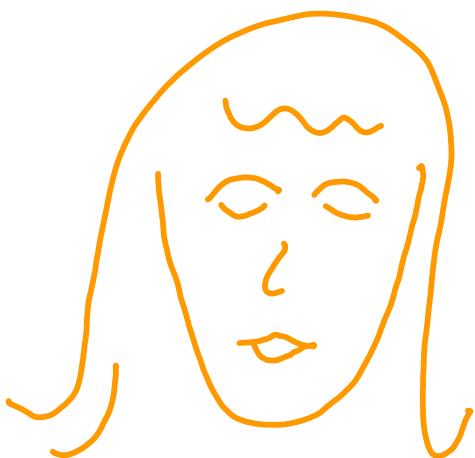
Lattices in

Network Information Theory



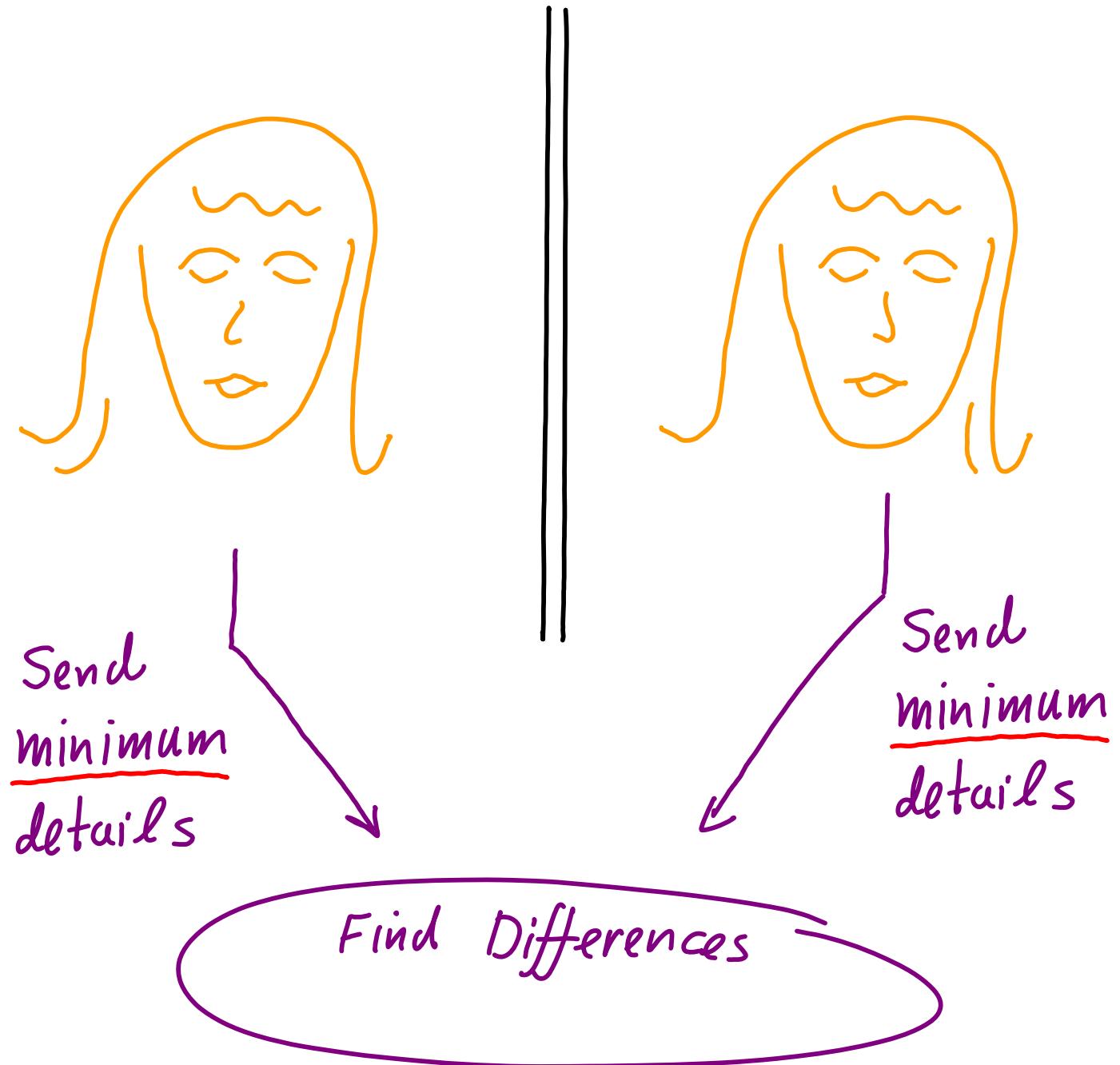
Can structure beat random ? ...

Find the Differences

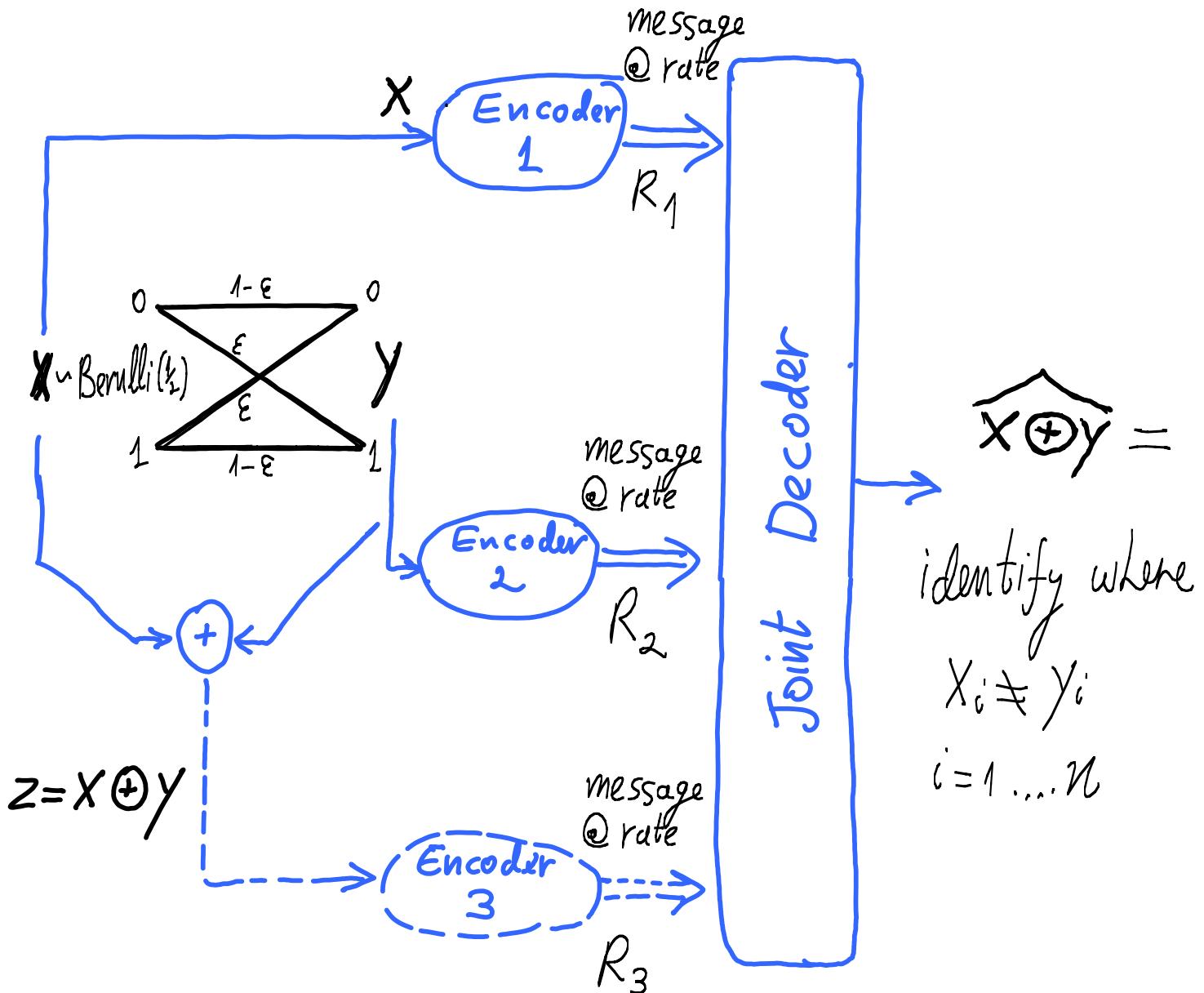


?

Communicate the Differences

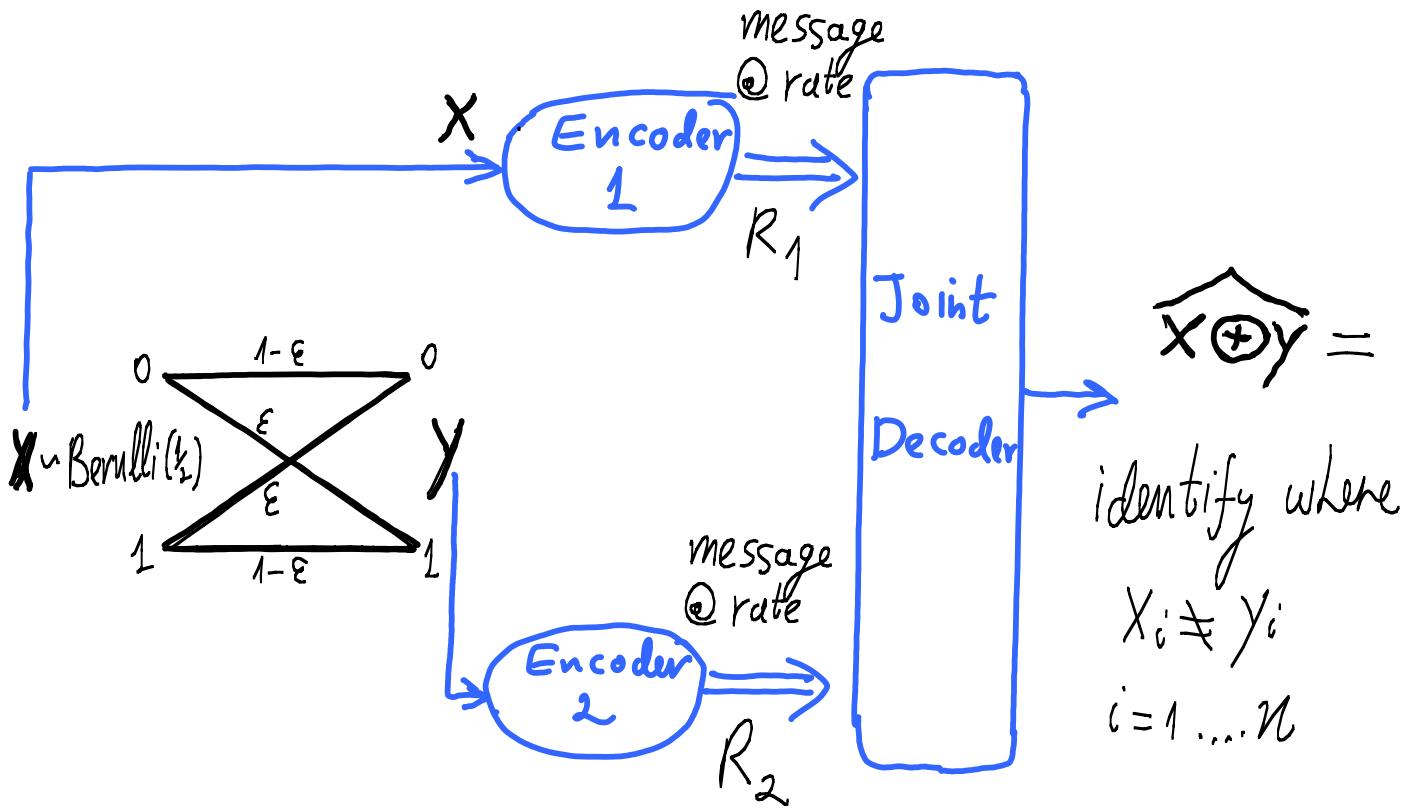


The Körner - Marton Problem



“Two help one” $\Rightarrow R_3 = 0$

The Körner - Marton Problem



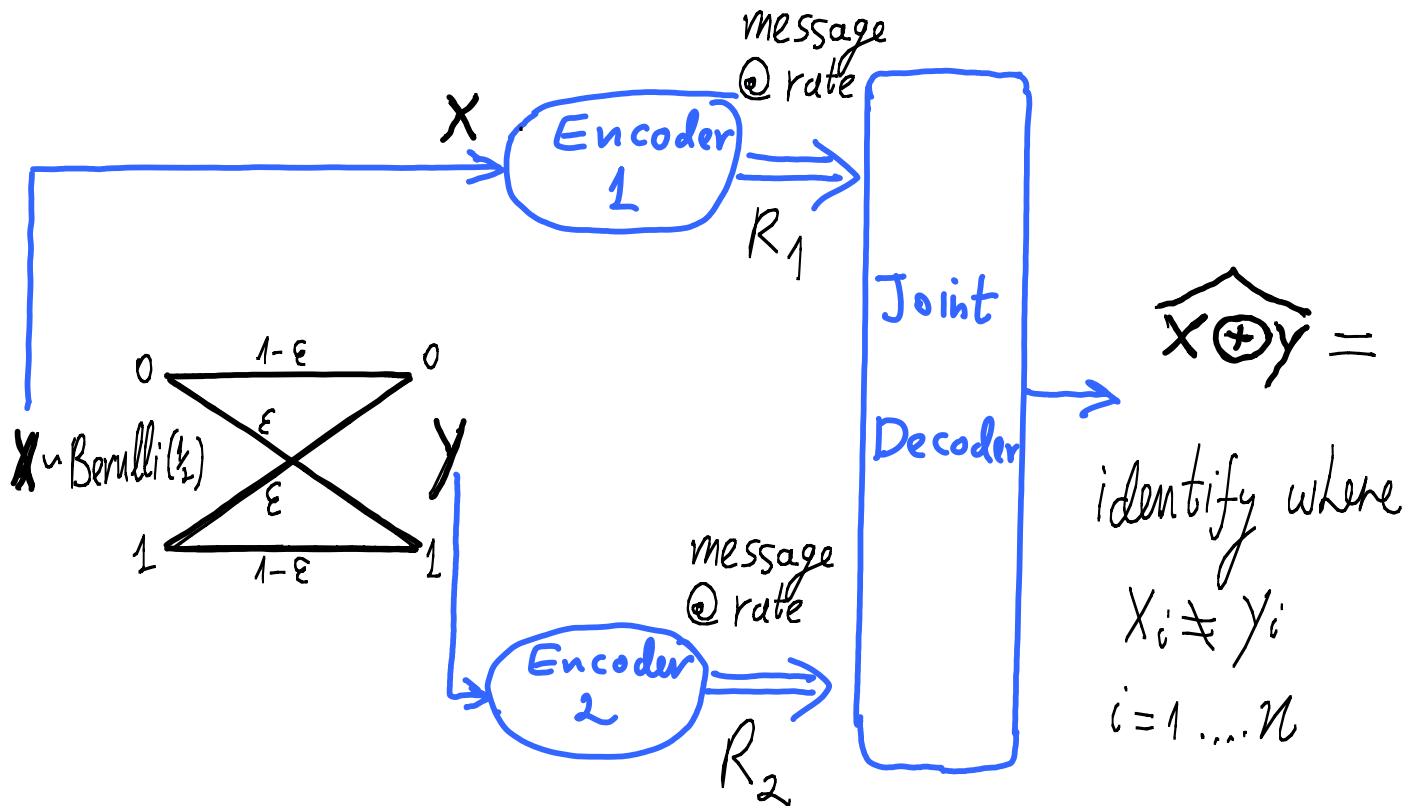
$$z = x \oplus y$$

Compress & estimate:

$$H(X) + H(Y) = 1 + 1 = 2 \text{ bit}$$

Rate = ?

The Körner - Marton Problem



$$z = x \oplus y$$

compress & estimate:

$$H(X) + H(Y) = 1 + 1 = 2 \text{ bit}$$

Rate = ?

compress well & estimate \Rightarrow Slepian-Wolf:

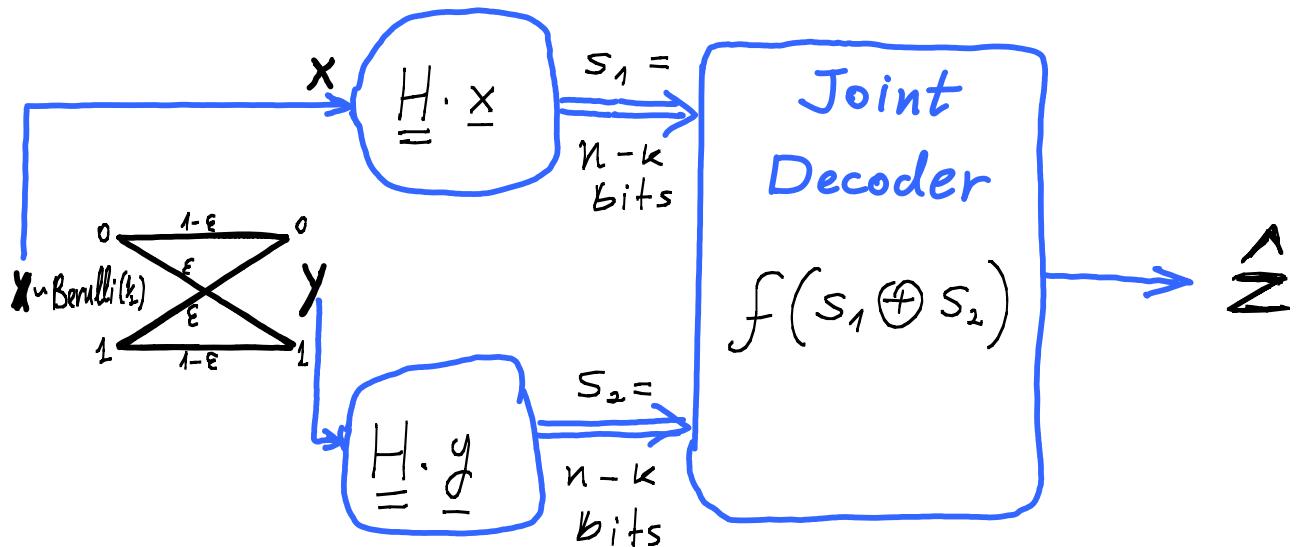
$$H(X, Y) = H(X) + H(Z) = 1 + H_B(\epsilon) = 1.1 \text{ bit}$$

estimate & compress:

$$H(Z) = H_B(\epsilon) = 0.1 \text{ bit}$$

A syndrome - Coding Solution [KM 1979]:

$\mathbf{C} = (n, k)$ linear code for BSC(ϵ)



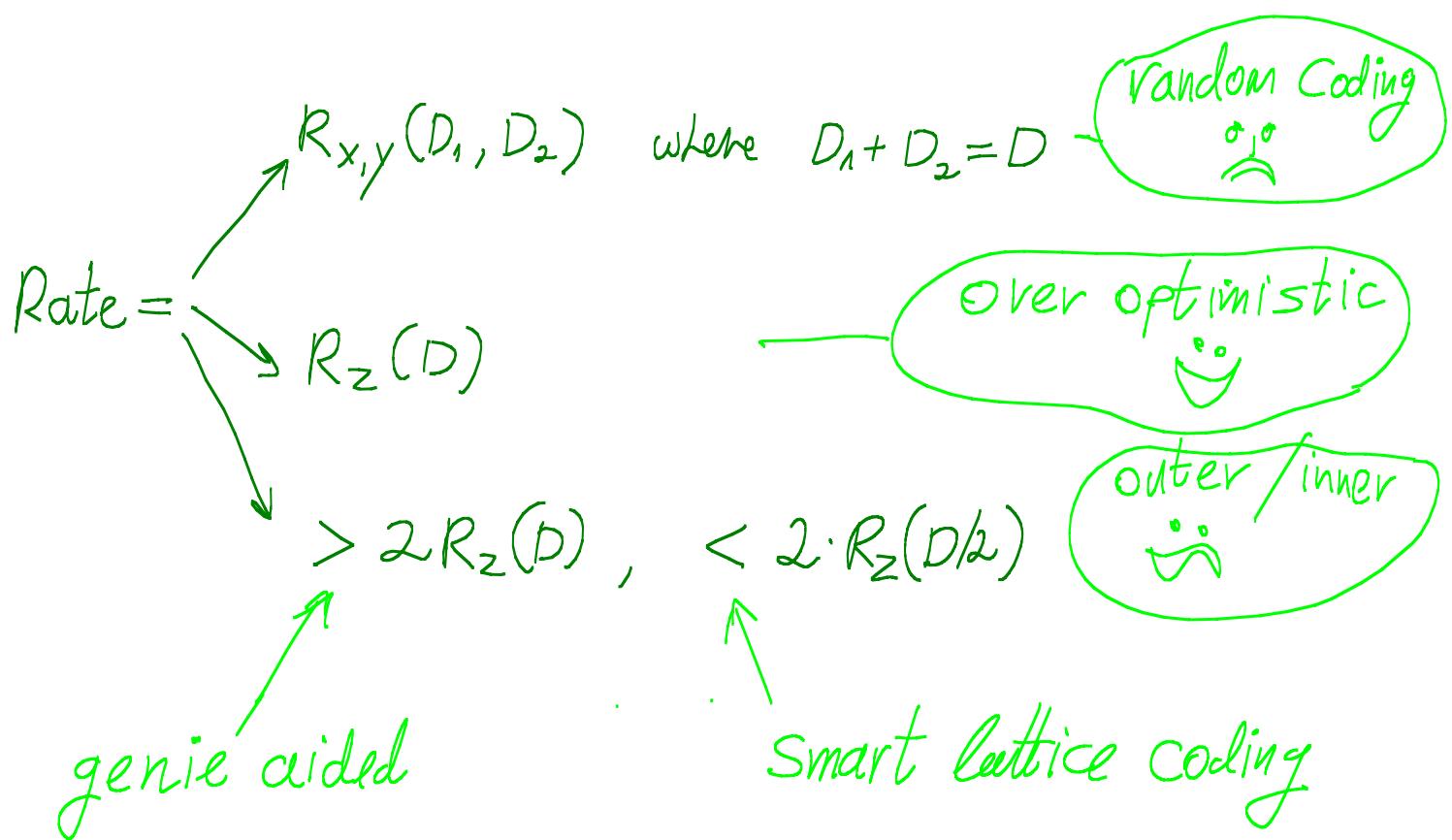
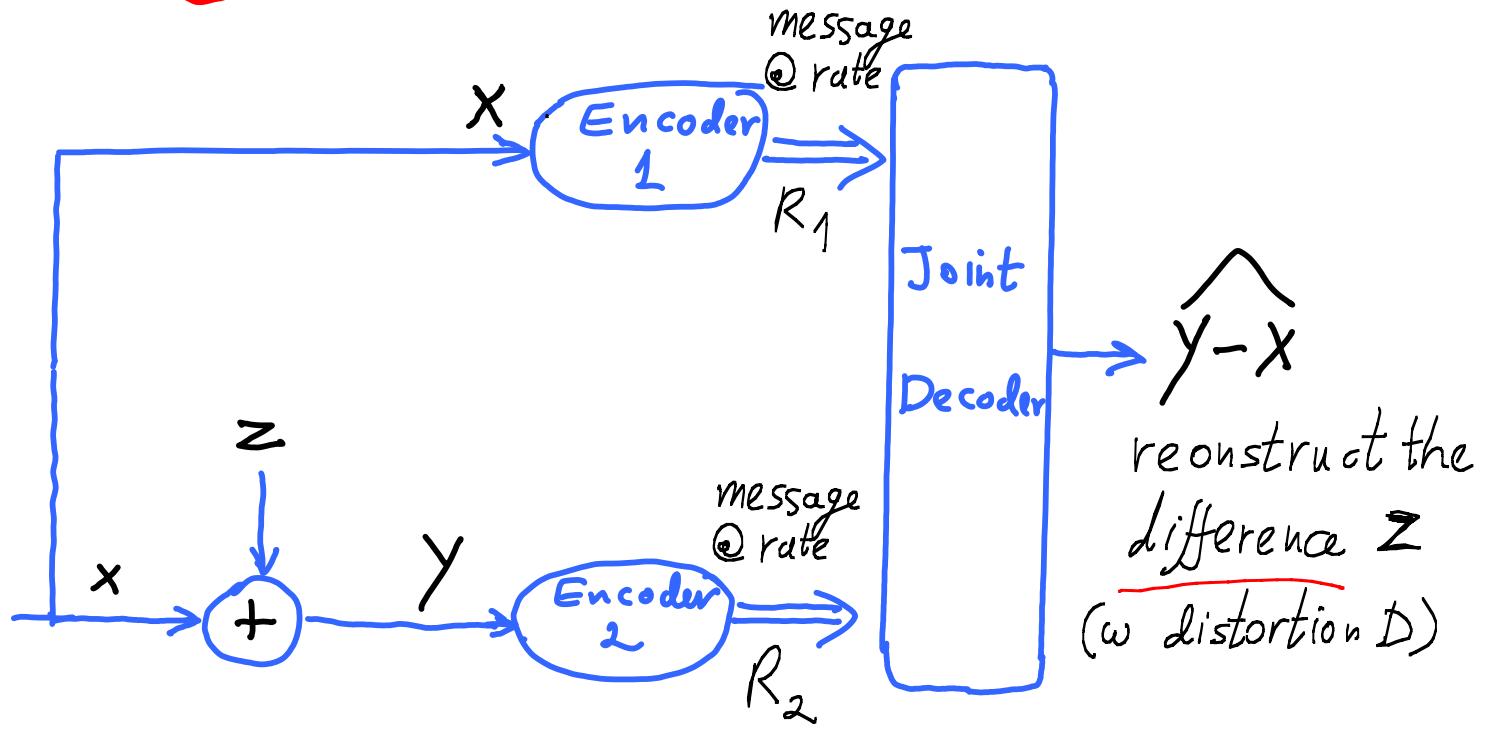
$$\left. \begin{array}{l} S_1 \Leftrightarrow X \bmod \mathbf{G} \\ S_2 \Leftrightarrow Y \bmod \mathbf{G} \end{array} \right\} \Rightarrow \begin{aligned} \hat{Z} &= (X \bmod \mathbf{G} \oplus Y \bmod \mathbf{G}) \bmod \mathbf{G} \\ &= (X \oplus Y) \bmod \mathbf{G} \\ &= Z \bmod \mathbf{G} = Z \text{ w.h.p.} \end{aligned}$$

Total

$$\text{Rate} = 2 \times \frac{n-k}{n} = 2 * H_B(\epsilon) = 0.2 \text{ bits}$$

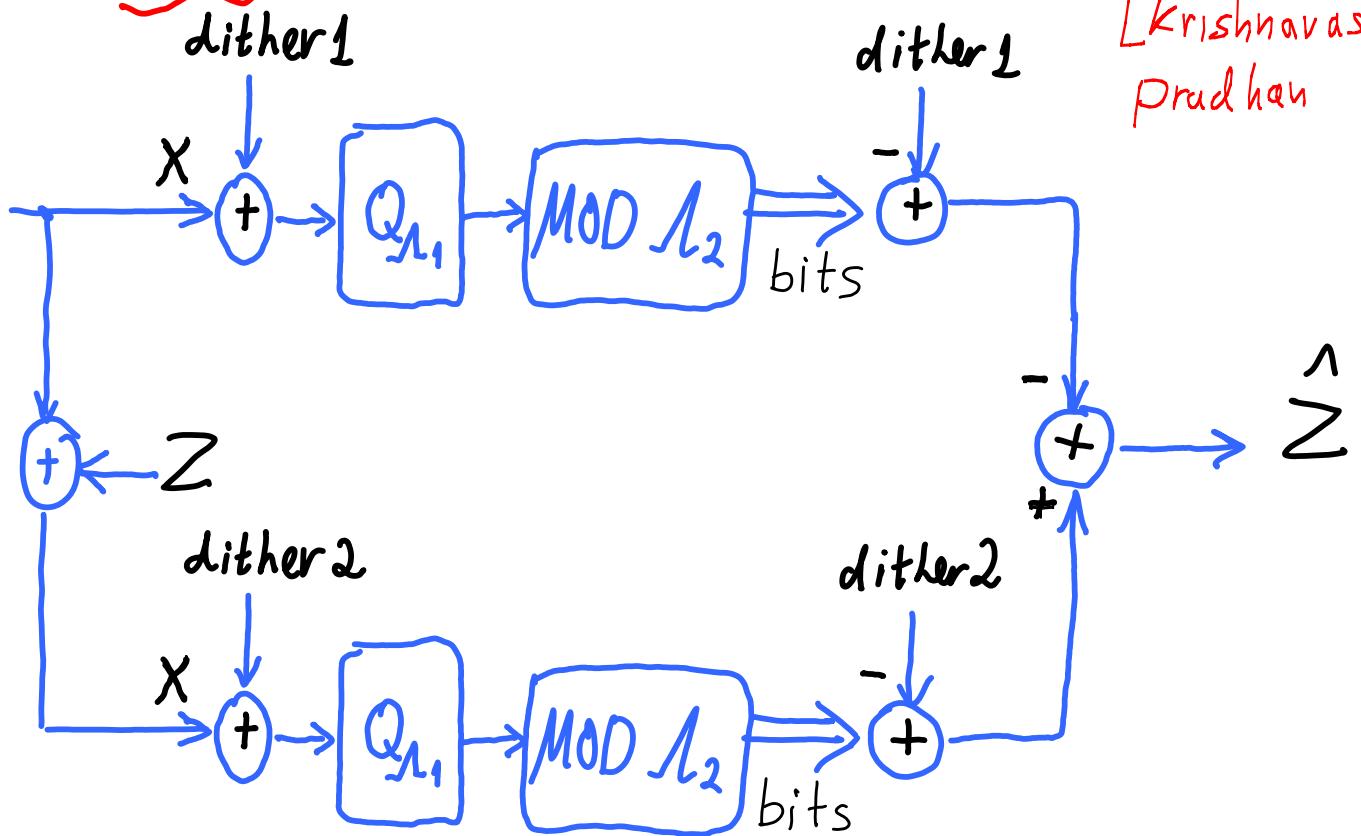
A comment by KM: best known random coding solution ("single letter" solution) = Slepian Wolf \Rightarrow Rate = 1.1 bit

The Gaussian Korner - Marton Problem



The Gaussian Korner - Marton Problem

[Krishnavasan
Pradhan]



* modulo distributive law \Rightarrow

$$\hat{Z} = Z + \overbrace{\text{dither 1}}^{\sim} + \overbrace{\text{dither 2}}^{\sim} \quad \text{w.h.p}$$

$$\Rightarrow R_1 = R_2 = R_Z(D/2) + \frac{1}{2} \log(NSM_1 * VNR_2)$$

gap of $\frac{1}{2}$ bit
from outer bound

redundancy $\rightarrow 0$
 $\text{@ dim } \rightarrow \infty$

Distributed Lattice Coding Problems

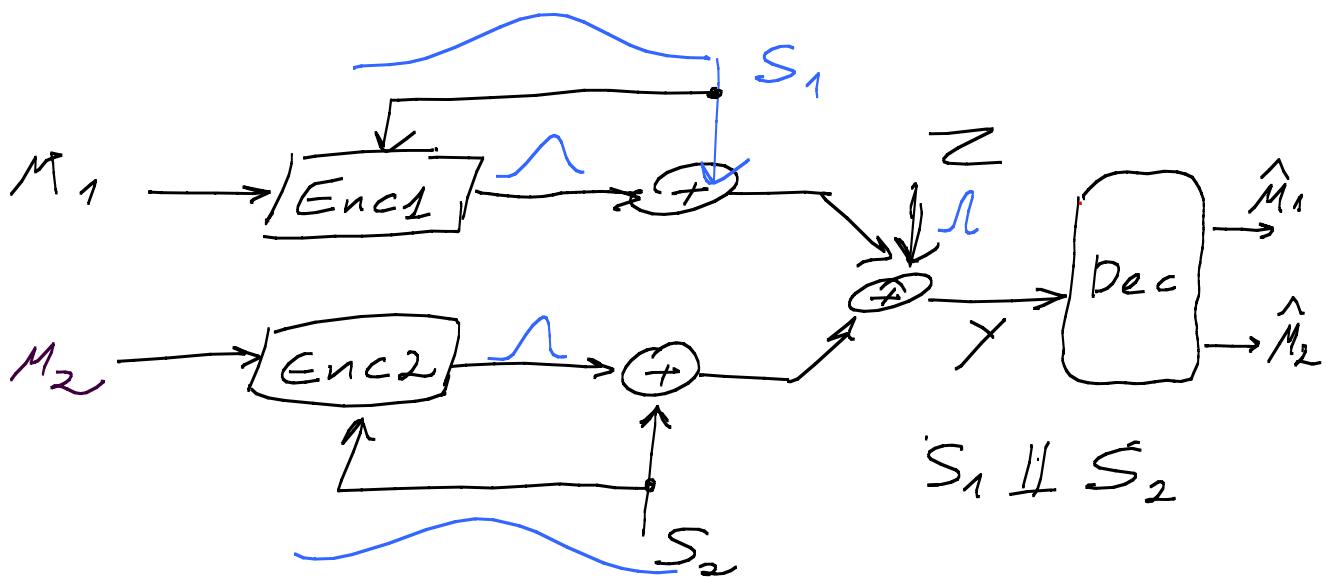
1. Körner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)
4. Lattice interference alignment

\Rightarrow Structure $\not\rightarrow$ random ?

Distributed Lattice Coding Problems

1. Körner-Marton (distributed computation)

2. Dirty Multiple-Access channel (distributed state)
@ Encoders



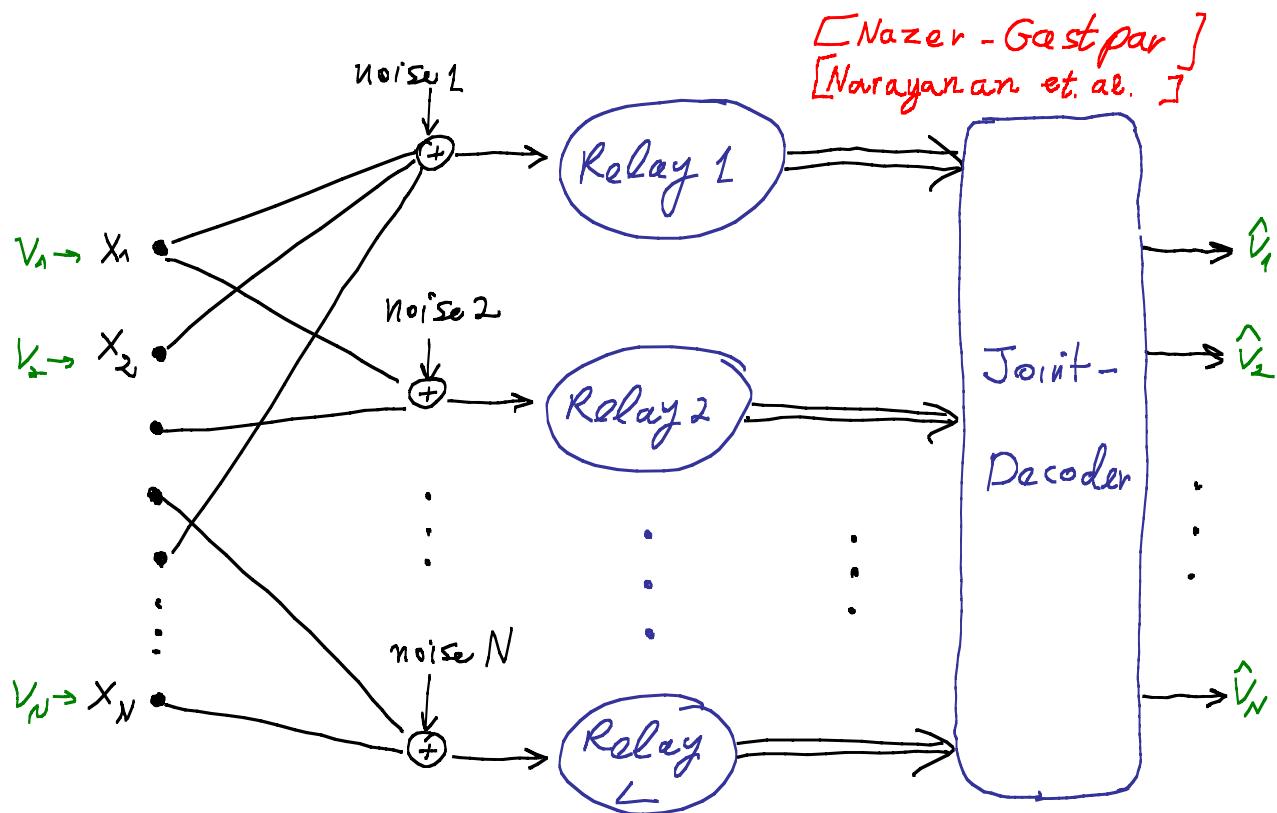
Knowledge of the interference (S_1, S_2)
is split between two independent encoders

3. Lattice network coding (distributed relaying)

4. Lattice interference alignment

Distributed Lattice Coding Problems

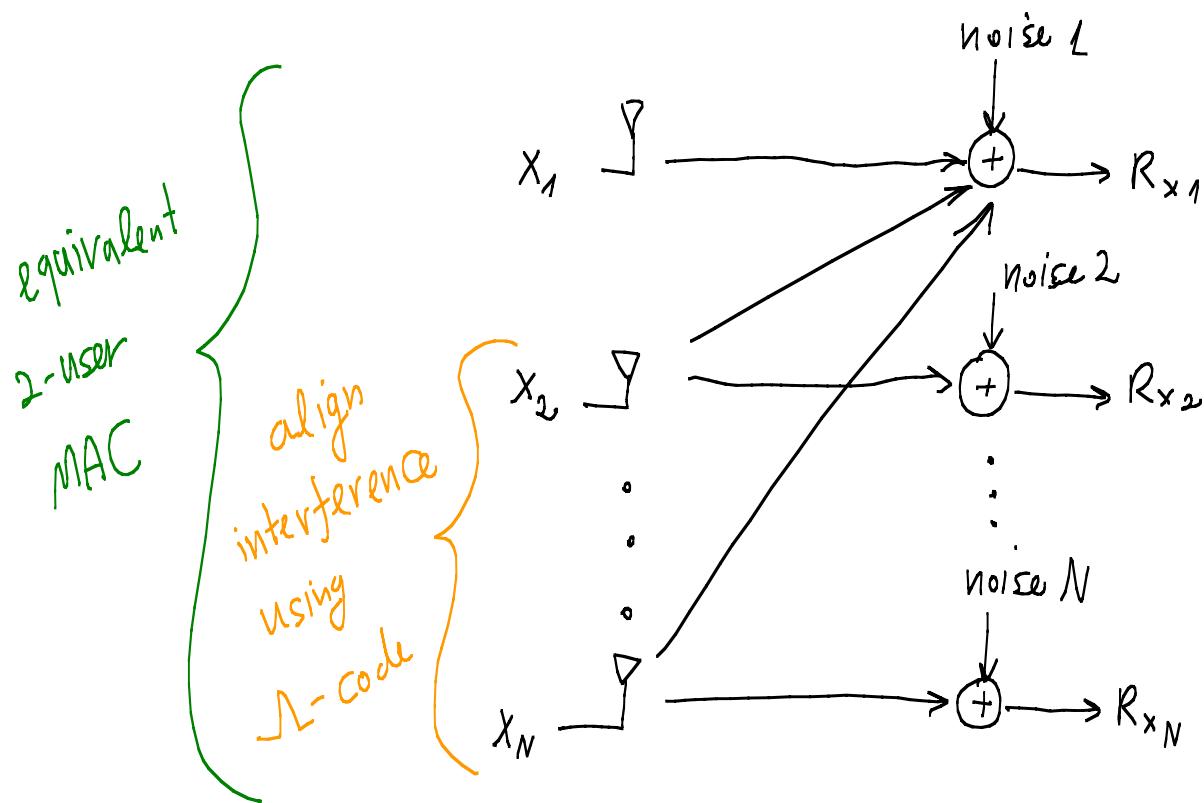
1. Körner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)



4. Lattice interference alignment

Distributed Lattice Coding Problems

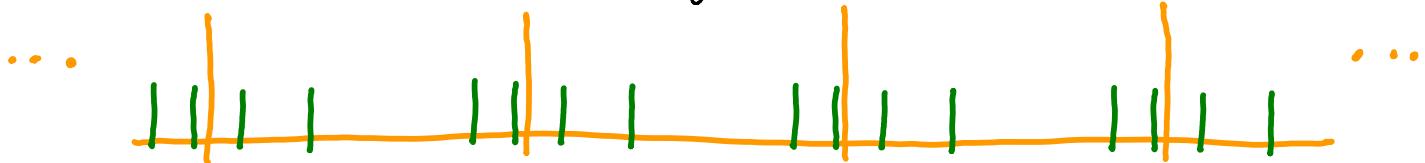
1. Körner-Marton (distributed computation)
2. Dirty Multiple-Access channel (distributed state)
@ Encoders
3. Lattice network coding (distributed relaying)
4. Lattice interference alignment



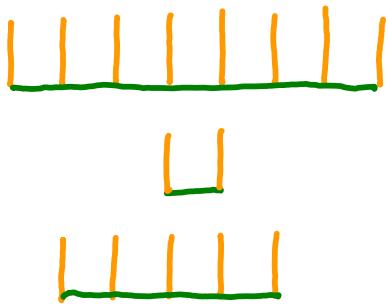
Lattice Alignment

	Align	must be linear	can be random
KM	reference signals =>	coarse lattice	fine (quantize) code
DMAC	i concentration points =>	coarse lattice	fine (channel) code
CO&F	desired codewords =>	fine lattice	coarse (shaping) code
IC	interfer codewords =>	fine lattice	coarse (shaping) code

- Coarse lattice alignment :



- fine lattice alignment :



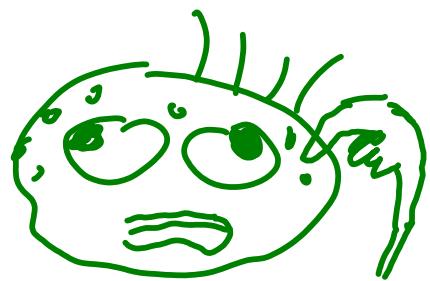
Lattice Alignment

	Align	must be linear	can be random
KM	reference signals =>	coarse lattice	fine (quantize) code
DMAC	i concentration points =>	coarse lattice	fine (channel) code
CO&F	desired codewords =>	fine lattice	coarse (shaping) code
IC	interfer codewords =>	fine lattice	coarse (shaping) code

Open Q :

More cases ? ...

?



Thank You!

The image shows the handwritten text "Thank You!" in green ink. A large green arrow originates from the top of the letter "T" and points towards the exclamation mark. A red exclamation mark is positioned at the end of the "u". Below the text, there are several orange arrows forming a curved path from the left side of the "T" towards the right side of the "u".

Appendix

On-Board Calculation...



Minkowski - Hlawka - Siegel

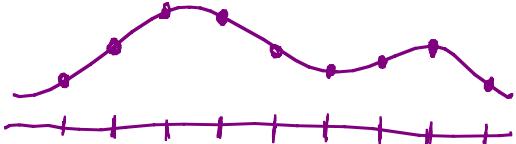
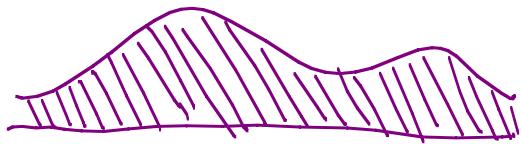


- For any Riemann integrable function $f(\cdot)$

$$\text{integral} = \frac{1}{\delta^n} \cdot E_{MHS} \left\{ \begin{array}{l} \text{lattice-samples} \\ \text{sum} \end{array} \right\}$$

$$\int_{\mathbb{R}^n} f(x) dx$$

$$\sum_{x \in L} f(x)$$

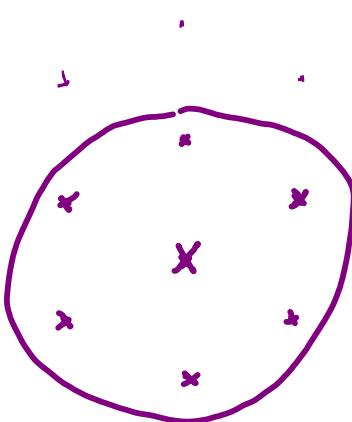


- There exists (at least one) lattice which is (at least) as "good" as (1.)

Implication 1 : packing Goodness

$$S = \text{Ball}(0, r)$$

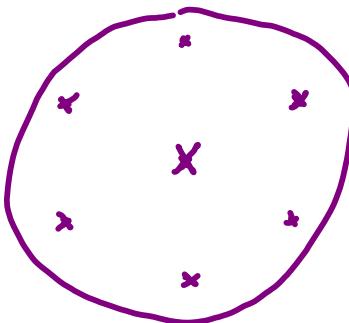
$$E_{MHS} \{ N_n(\text{Ball}) \} = \pi \cdot V_n \cdot r^n$$



Implication 1 : Packing Goodness

$$S = \text{Ball}(0, r)$$

$$\boxed{E_{MHS}\{N_L(\text{Ball})\} = \pi \cdot V_n \cdot r^n}$$



$$\text{If } \text{Vol}(\text{Ball}) = V_n \cdot r^n < 1/\pi$$

$$\iff r < r_{\text{eff}} \quad (*)$$

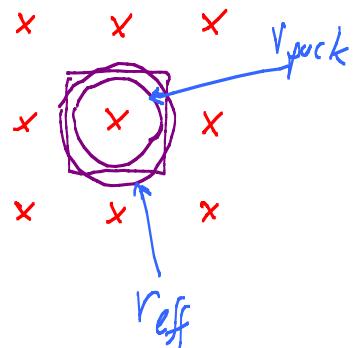
$$\implies E\{N_L\} < 1$$

But $N_L = \text{integer}$

$$\implies N_L = 0 \quad \text{for some } L^* \in MHS$$

$$\implies d_{\min} = \|\text{shortest vector}\| > r$$

$$\implies r_{\text{pack}} > r/2 \quad (***)$$



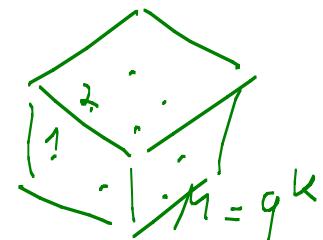
$$(*) + (***) \implies \text{packing efficiency of } L^* = \frac{r_{\text{pack}}}{r_{\text{eff}}} \geq 1/2 \quad (\text{for each dim n})$$

Alternative Ensemble:

Random Construction A (Loeliger97, Erez et al) 2005

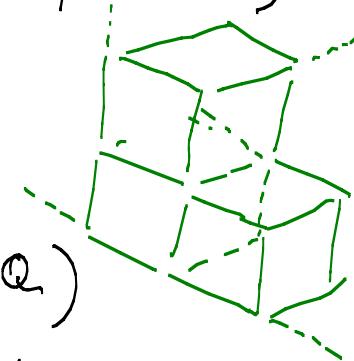
Let $\mathcal{C} = q\text{-ary } (n, k) \text{ linear code over } \mathbb{Q} = \{0, \dots, q-1\}$

$$= \left\{ \underbrace{\mathbf{G} \cdot \underline{i}}_{n \times k} : \underline{i} \in \mathbb{Q}^k \right\}$$



Let $\Lambda_{\mathcal{C}}$ = modulo- q lattice

$$= \left\{ \lambda \in \mathbb{R}^n : \lambda \bmod q \in \mathcal{C} \right\}$$



\mathbf{G} random (iid uniform on \mathbb{Q})

$\Rightarrow \Lambda_{\mathcal{C}}$ = random lattice

$\therefore G(\Lambda_{\mathcal{C}})$, $\mu(\Lambda_{\mathcal{C}}, P_e) = \text{func}\{q, k, n\}$

* $\Lambda_{\mathcal{C}} \rightarrow MHS$ property as $q \rightarrow \infty$!

