

# QUANTUM COMPUTING

ADVANCED TOPICS IN ~~CYBERSECURITY~~ CRYPTOGRAPHY (7CCSMATC)

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# OUTLINE

Qubits

Many Qubits

Grover's Algorithm

Shor's Algorithm

Commitment Schemes

Teach you just enough quantum computing to get a sense of how it affects cryptography.

## REFERENCES

- Thomas Debris-Alazard. *Lecture 1: Introduction to Quantum Computing*. INF587 Quantum computer science and applications <https://tdalazard.io/S1.pdf>
- Noson S Yanofsky and Mirco A Mannucci. **Quantum Computing for Computer Scientists**. Cambridge University Press, 2008, esp. Chapters 1, 2, 3 and 6
- Fermi Ma's talk Quantum Secure Commitments and Collapsing Hash Functions delivered as part of the *Quantum Cryptography for Dummies* reading group at the *Lattices: Algorithms, Complexity, and Cryptography* special semester at the Simons Institute, 2020

# QUBITS

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$$b \in \{0, 1\}$$

# PROBABILISTIC BIT

- Probabilistic bit:  $\begin{pmatrix} p \\ q \end{pmatrix}$  where  $p := \Pr(b = 0)$  and  $q := \Pr(b = 1)$
- Computing on probabilistic bits

$$\begin{pmatrix} p \\ q \end{pmatrix} \rightarrow \begin{pmatrix} p' \\ q' \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} p \\ q \end{pmatrix} \text{ where } \begin{cases} a + c = 1 \\ b + d = 1 \end{cases} \text{ and } a, b, c, d \geq 0$$

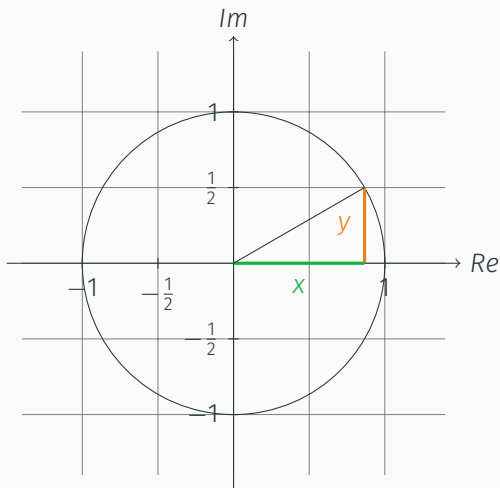
**Example:**  $b \rightarrow b \oplus b$

$$\begin{pmatrix} p \\ q \end{pmatrix} \rightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} p \\ q \end{pmatrix}$$

**Example:**  $b \rightarrow b \oplus 1$

$$\begin{pmatrix} p \\ q \end{pmatrix} \rightarrow \begin{pmatrix} q \\ p \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} p \\ q \end{pmatrix}$$

# COMPLEX NUMBERS



- $i := \sqrt{-1}$
- $z := x + iy$
- $Re(z) := x$
- $Im(z) := y$
- $|z| = \sqrt{x^2 + y^2}$



## QUANTUM BIT (QUBIT): “PROBABILISTIC BITS WITH COMPLEX PROBABILITIES”

- A **qubit**  $|\psi\rangle$  is an element of  $\mathbb{C}^2$  with Euclidean norm 1:

$$|\psi\rangle = \alpha \cdot |0\rangle + \beta \cdot |1\rangle \text{ with } \alpha, \beta \in \mathbb{C} \text{ (called amplitude) and } |\alpha|^2 + |\beta|^2 = 1$$

- $|0\rangle, |1\rangle$  is an orthonormal basis of  $\mathbb{C}^2$ . Usually defined as

$$|0\rangle := \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ and } |1\rangle := \begin{pmatrix} 0 \\ 1 \end{pmatrix} \text{ which then implies } \alpha \cdot |0\rangle + \beta \cdot |1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}.$$

- We call this a **superposition** of  $|0\rangle$  and  $|1\rangle$ .

# MEASUREMENTS

We cannot “see” qubits, we can only measure in their classical states.

**Measurement:** probabilistic orthogonal projection. Given  $|0\rangle, |1\rangle \in \mathbb{C}^2$ :

$$|\psi\rangle = \alpha \cdot |0\rangle + \beta \cdot |1\rangle \xrightarrow{\text{measure}} \begin{cases} |0\rangle & \text{with probability } |\alpha|^2 \\ |1\rangle & \text{with probability } |\beta|^2 \end{cases}$$

- A **unitary matrix in  $\mathbb{C}^{2 \times 2}$**  is any matrix such that  $\mathbf{U} \cdot \mathbf{U}^\dagger = \mathbf{U}^\dagger \cdot \mathbf{U} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  where  $\mathbf{U}^\dagger$  is the conjugate transpose of  $\mathbf{U}$ :

$$\mathbf{U} = \begin{pmatrix} u_{00} + v_{00} \cdot i & u_{01} + v_{01} \cdot i \\ u_{10} + v_{10} \cdot i & u_{11} + v_{11} \cdot i \end{pmatrix}, \quad \mathbf{U}^\dagger := \begin{pmatrix} u_{00} - v_{00} \cdot i & u_{10} - v_{10} \cdot i \\ u_{01} - v_{01} \cdot i & u_{11} - v_{11} \cdot i \end{pmatrix}$$

- **Computation:**  $|\psi\rangle \rightarrow \mathbf{U} \cdot |\psi\rangle$ 
  - All quantum computations are reversible:

$$|\psi\rangle \xrightarrow{\mathbf{U}} \mathbf{U} \cdot |\psi\rangle \xrightarrow{\mathbf{U}^\dagger} \mathbf{U}^\dagger \cdot \mathbf{U} \cdot |\psi\rangle = |\psi\rangle$$

- We call  $\mathbf{U}$  **quantum gates**

... are linear-algebra machines.

... are linear-algebra machines.

*Mathematics is the art of reducing  
any problem to linear algebra.*

— William Stein



## EXAMPLES OF QUANTUM GATES

Example NOT-gate  $b \rightarrow "b \oplus 1"$

$$|\psi\rangle = \alpha |0\rangle + \beta \cdot |1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \longrightarrow \begin{pmatrix} \beta \\ \alpha \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \cdot |\psi\rangle$$

## EXAMPLES OF QUANTUM GATES

Example NOT-gate  $b \rightarrow "b \oplus 1"$

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Example  $b \rightarrow b \oplus b$

Computation is not reversible!

## HADAMARD-GATE H I

$$\mathbf{H} \cdot |\psi\rangle = \mathbf{H} \cdot (\alpha |0\rangle + \beta \cdot |1\rangle) = \mathbf{H} \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} \alpha + \beta \\ \alpha - \beta \end{pmatrix}$$



## HADAMARD-GATE H I

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$$\cdot \mathbf{H} \cdot |0\rangle = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1+0 \\ 1-0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix}$$

• measure  $|0\rangle$  or  $|1\rangle$  with probability 1/2!

## HADAMARD-GATE H I

$$\mathbf{H} \cdot |\psi\rangle = \mathbf{H} \cdot (\alpha |0\rangle + \beta \cdot |1\rangle) = \mathbf{H} \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} \alpha + \beta \\ \alpha - \beta \end{pmatrix}$$

$$\cdot \mathbf{H} \cdot |0\rangle = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1+0 \\ 1-0 \end{pmatrix} = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix}$$

• measure  $|0\rangle$  or  $|1\rangle$  with probability  $1/2$ !

$$\cdot \mathbf{H} \cdot \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1/\sqrt{2} + 1/\sqrt{2} \\ 1/\sqrt{2} - 1/\sqrt{2} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = |0\rangle$$

• and we're back!

## HADAMARD-GATE H II

The outputs of the Hadamard gate applied to  $|0\rangle$  and  $|1\rangle$  are so important we give them names:

$$|+\rangle := \frac{1}{\sqrt{2}} \cdot (|0\rangle + |1\rangle) = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$
$$|-\rangle := \frac{1}{\sqrt{2}} \cdot (|0\rangle - |1\rangle) = \frac{1}{\sqrt{2}} \cdot \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

We cannot achieve the Hadamard gate with probabilistic bits  $\Rightarrow$  quantum advantage

## MANY QUBITS

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# TENSOR PRODUCTS

Let  $\mathbf{v} \in \mathbb{C}^n$  and  $\mathbf{w} \in \mathbb{C}^m$ , their tensor product is  $\mathbf{v} \otimes \mathbf{w} := (v_0 \cdot \mathbf{w}, \dots, v_{n-1} \cdot \mathbf{w})$

- This is the same as the rows of:

$$\begin{pmatrix} v_0 \\ v_1 \\ \vdots \\ v_{n-1} \end{pmatrix} \cdot \begin{pmatrix} w_0 & w_1 & \cdots & w_{m-1} \end{pmatrix} = \begin{pmatrix} v_0 \cdot w_0 & v_0 \cdot w_1 & \cdots & v_0 \cdot w_{m-1} \\ v_1 \cdot w_0 & v_1 \cdot w_1 & \cdots & v_1 \cdot w_{m-1} \\ \vdots & \vdots & \ddots & \vdots \\ v_{n-1} \cdot w_0 & v_{n-1} \cdot w_1 & \cdots & v_{n-1} \cdot w_{m-1} \end{pmatrix}$$

# TENSOR PRODUCTS

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- For any scalar  $z$ , we have  $z \cdot (\mathbf{v} \otimes \mathbf{w}) = (z \cdot \mathbf{v}) \otimes \mathbf{w} = \mathbf{v} \otimes (z \cdot \mathbf{w})$
- For any  $\mathbf{v}_0, \mathbf{v}_1 \in \mathbb{C}^n$ , we have  $(\mathbf{v}_0 + \mathbf{v}_1) \otimes \mathbf{w} = \mathbf{v}_0 \otimes \mathbf{w} + \mathbf{v}_1 \otimes \mathbf{w}$
- For any  $\mathbf{w}_0, \mathbf{w}_1 \in \mathbb{C}^m$ , we have  $\mathbf{v} \otimes (\mathbf{w}_0 + \mathbf{w}_1) = \mathbf{v} \otimes \mathbf{w}_0 + \mathbf{v} \otimes \mathbf{w}_1$

# TENSOR PRODUCTS OF SPACES

Consider

- $\mathbb{C}^n = \text{Span}_{\mathbb{C}}(\mathbf{v}_0, \dots, \mathbf{v}_{n-1})$  where e.g.  $\mathbf{v}_0 := (1, 0, \dots, 0)$  etc, or some other basis of  $\mathbb{C}^n$
- $\mathbb{C}^m = \text{Span}_{\mathbb{C}}(\mathbf{w}_0, \dots, \mathbf{w}_{m-1})$  where e.g.  $\mathbf{w}_0 := (1, 0, \dots, 0)$  etc, or some other basis of  $\mathbb{C}^m$

We have:

- $\mathbb{C}^n \otimes \mathbb{C}^m := \text{Span}_{\mathbb{C}}(\mathbf{v}_i \otimes \mathbf{w}_j : 0 \leq i < n; 0 \leq j < m)$
- $\mathbb{C}^n \otimes \mathbb{C}^m$  has dimension  $n \times m$
- $\mathbf{x} \in \mathbb{C}^n \otimes \mathbb{C}^m \iff \exists \alpha_{i,j} : \sum_{0 \leq i < n, 0 \leq j < m} \alpha_{i,j} \cdot \mathbf{v}_i \otimes \mathbf{w}_j$

# TENSOR PRODUCTS OF MATRICES

$$A := \begin{pmatrix} a_{0,0} & \cdots & a_{0,n-1} \\ \vdots & \ddots & \vdots \\ a_{m-1,0} & \cdots & a_{m-1,n-1} \end{pmatrix}, \quad B := \begin{pmatrix} b_{0,0} & \cdots & b_{0,q-1} \\ \vdots & \ddots & \vdots \\ b_{p-1,0} & \cdots & b_{p-1,q-1} \end{pmatrix}$$
$$A \otimes B := \begin{pmatrix} a_{0,0} \cdot B & \cdots & a_{0,m-1} \cdot B \\ \vdots & \ddots & \vdots \\ a_{n-1,0} \cdot B & \cdots & a_{n-1,m-1} \cdot B \end{pmatrix} \in \mathbb{C}^{mp \times nq}$$



## EXAMPLES

$$1. \begin{pmatrix} 1 \\ 2 \end{pmatrix} \otimes \begin{pmatrix} 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 1 \cdot 2 \\ 1 \cdot 3 \\ 2 \cdot 2 \\ 2 \cdot 3 \end{pmatrix} = \begin{pmatrix} 2 \\ 3 \\ 4 \\ 6 \end{pmatrix}$$

$$2. \mathbf{X} \otimes \mathbf{H} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & -1 \\ 1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \end{pmatrix}$$

# $n$ QUBIT STATES

- Recall that a qubit  $|\psi\rangle$  is an element in  $\mathbb{C}^2$  with norm 1.

- A **register of  $n$  qubits**  $|\psi\rangle$  is an element in  $\underbrace{\mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2}_{n \text{ times}} = \mathbb{C}^{2^n}$ .

- Let  $|0\rangle, |1\rangle$  be an orthonormal basis of  $\mathbb{C}^2$ , then

$$(|b_0\rangle \otimes |b_1\rangle \otimes \dots \otimes |b_{n-1}\rangle : b_0, \dots, b_{n-1} \in \{0, 1\})$$

is an orthonormal basis of  $\underbrace{\mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2}_{n \text{ times}} = \mathbb{C}^{2^n}$ .

```
ket0 = matrix(2, 1, [1,0])
ket1 = matrix(2, 1, [0,1])
ket0.tensor_product(ket0), \
ket0.tensor_product(ket1), \
ket1.tensor_product(ket0), \
ket1.tensor_product(ket1)
```

```
(
[1] [0] [0] [0]
[0] [1] [0] [0]
[-] [-] [-] [-]
[0] [0] [1] [0]
[0], [0], [0], [1]
)
```

# NOTATION

- For  $b_0, \dots, b_{n-1} \in \{0, 1\}$  we write

$$|b_0 b_1 \dots b_{n-1}\rangle := |b_0\rangle \otimes |b_1\rangle \otimes \dots \otimes |b_{n-1}\rangle$$

- For  $|\psi_0\rangle, |\psi_1\rangle, \dots, |\psi_{n-1}\rangle \in \mathbb{C}^2$ , we write

$$|\psi_0\rangle |\psi_1\rangle \dots |\psi_{n-1}\rangle := |\psi_0\rangle \otimes |\psi_1\rangle \otimes \dots \otimes |\psi_{n-1}\rangle$$

- Any  $|\psi\rangle \in \mathbb{C}^{2^n}$  of  $n$  qubits can be written as

$$|\psi\rangle = \sum_{\mathbf{x} \in \{0,1\}^n} \alpha_{\mathbf{x}} |\mathbf{x}\rangle \quad \text{where } \alpha_{\mathbf{x}} \in \mathbb{C} \text{ (called amplitude) and } \sum_{\mathbf{x} \in \{0,1\}^n} |\alpha_{\mathbf{x}}|^2 = 1$$

# SEPARABLE STATES

## Definition

An  $n$ -qubit state  $|\psi\rangle$  is called **separable** if it can be decomposed as  $|\psi\rangle = |\psi_0\rangle \otimes |\psi_1\rangle$ .

## Examples

- $|00\rangle = |0\rangle \otimes |0\rangle$
- $\frac{1}{2} \cdot (|00\rangle + |01\rangle + |10\rangle + |11\rangle) = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) \otimes \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$

```
ket0 = matrix(2, 1, [1,0]); ket1 = matrix(2, 1, [0,1])  
(1/sqrt(2)*ket0 + 1/sqrt(2)*ket1).tensor_product(1/sqrt(2)*ket0 + 1/sqrt(2)*ket1)
```

```
[1/2]  
[1/2]  
[...]  
[1/2]  
[1/2]
```

# ENTANGLED STATES

## Definition

An  $n$ -qubit state  $|\psi\rangle$  is called **entangled** if it cannot be decomposed as  $|\psi\rangle = |\psi_0\rangle \otimes |\psi_1\rangle$ .

## Example

$$\frac{1}{\sqrt{2}} \cdot (|00\rangle + |11\rangle)$$

```
ket0 = matrix(2, 1, [1,0]); ket1 = matrix(2, 1, [0,1])  
(1/sqrt(2)) * (ket0.tensor_product(ket0) + ket1.tensor_product(ket1))
```

```
[1/2*sqrt(2)]  
[          0]  
[          0]  
[1/2*sqrt(2)]
```

# MEASURING $n$ QUBIT STATES

Measuring the state:

$$|\psi\rangle = \sum_{i_0, \dots, i_{n-1} \in \{0,1\}^n} \alpha_{i_0 \dots i_{n-1}} |e_{i_0} \dots e_{i_{n-1}}\rangle \xrightarrow{\text{measure}} |e_{j_0} \dots e_{j_{n-1}}\rangle \text{ with probability } |\alpha_{j_0 \dots j_{n-1}}|^2$$

Measuring the first register:

$$|\psi\rangle = \alpha_0 \cdot |e_0\rangle |\psi_0\rangle + \alpha_1 \cdot |e_1\rangle |\psi_1\rangle \xrightarrow{\text{measure}} \begin{cases} |e_0\rangle |\psi_0\rangle & \text{with prob. } |\alpha_0|^2 \\ |e_1\rangle |\psi_1\rangle & \text{with prob. } |\alpha_1|^2 \end{cases}$$

We necessarily have  $|\alpha_0|^2 + |\alpha_1|^2 = 1$

- A unitary matrix  $\mathbf{U} \in \mathbb{C}^{2^n \times 2^n}$ , i.e.  $\mathbf{U}^\dagger \cdot \mathbf{U} = \mathbf{I}_{2^n}$  is called a **quantum circuit**
- Any classical circuit  $f$  on  $n$ -bits can be written as a unitary  $\mathbf{U}_f$  on  $2n$ -qubits

$$\mathbf{U}_f \cdot |\psi\rangle |0\rangle = |\psi\rangle |f(\psi)\rangle$$

## MEASURING ENTANGLED REGISTERS

Consider  $U_f \cdot |x, y\rangle = |x, f(x) \oplus y\rangle$  for some  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ .

- Measure the last register  $|f(x) \oplus y\rangle$  to obtain  $v$
- The first register  $|x\rangle$  **collapses** to those  $x \in \{0, 1\}^n$  s.t.  $f(x) \oplus y = v$ .
- The first  $n$  registers hold a superposition of the preimages of  $v$  under  $f$ .
- Measurements must stay consistent. Turns out this is quite powerful!



# NO-CLONING THEOREM

## Cannot copy a quantum state

- We can “cut” and “paste” a quantum state, but we cannot “copy” and “paste”.
- “Move is possible. Copy is impossible.”

## Proof Sketch:

- There is no linear map  $C$  from  $|\psi\rangle \otimes |0\rangle$  to  $|\psi\rangle \otimes |\psi\rangle$ .
- It would need to map  $\frac{|x\rangle+|y\rangle}{\sqrt{2}} \otimes |0\rangle$  to  $\frac{|x\rangle+|y\rangle}{\sqrt{2}} \otimes \frac{|x\rangle+|y\rangle}{\sqrt{2}}$
- By linearity, we'd need:  $C\left(\frac{|x\rangle+|y\rangle}{\sqrt{2}} \otimes |0\rangle\right) =$ 
  - $\frac{1}{\sqrt{2}} \cdot C((|x\rangle + |y\rangle) \otimes |0\rangle)$
  - $\frac{1}{\sqrt{2}} \cdot (C(|x\rangle \otimes |0\rangle) + C(|y\rangle \otimes |0\rangle))$
  - $\frac{1}{\sqrt{2}} \cdot (|x\rangle \otimes |x\rangle + |y\rangle \otimes |y\rangle)$
  - $\neq \frac{|x\rangle+|y\rangle}{\sqrt{2}} \otimes \frac{|x\rangle+|y\rangle}{\sqrt{2}}$

# GROVER'S ALGORITHM

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## PROBLEM STATEMENT

Given some function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , we want to find some special element  $x_0$ .

- For example, given an plaintext-ciphertext pair  $(p, c)$  for AES, we might write  $f : k \rightarrow \text{AES}(k, p) \stackrel{?}{=} c$ .
- Classically, we'd need to call  $f$  about  $2^n$  times to find  $x_0$
- Grover's algorithm only needs  $\sqrt{2^n} = 2^{n/2}$  queries

## EXAMPLE

•  $n = 2; x_0 = 10; \mathbf{U}_f \cdot |x, y\rangle = |x, f(x) \oplus y\rangle$

$$\mathbf{U}_f := \begin{array}{c} \begin{matrix} & 00,0 & 00,1 & 01,0 & 01,1 & 10,0 & 10,1 & 11,0 & 11,1 \end{matrix} \\ \begin{matrix} 00,0 \\ 00,1 \\ 01,0 \\ 01,1 \\ 10,0 \\ 10,1 \\ 11,0 \\ 11,1 \end{matrix} \left( \begin{array}{cccccccc} 1 & & & & & & & \\ & 1 & & & & & & \\ & & 1 & & & & & \\ & & & 1 & & & & \\ & & & & 1 & & & \\ & & & & & 1 & & \\ & & & & & & 1 & \\ & & & & & & & 1 \end{array} \right) \end{array}$$

## FIRST ATTEMPT

Let's apply the Hadamard gate on the first  $n$  registers, apply  $U_f$  and measure

$$|\psi_0\rangle = |\mathbf{0}, 0\rangle$$

$$|\psi_1\rangle = (H^{\otimes n} \otimes I) \cdot |\mathbf{0}, 0\rangle = \left[ \frac{\sum_{\mathbf{x} \in \{0,1\}^n} |\mathbf{x}\rangle}{\sqrt{2^n}} \right] |0\rangle$$

$$|\psi_2\rangle = U_f \cdot \left[ \frac{\sum_{\mathbf{x} \in \{0,1\}^n} |\mathbf{x}\rangle}{\sqrt{2^n}} \right] |0\rangle = \frac{\sum_{\mathbf{x} \in \{0,1\}^n} |\mathbf{x}, f(\mathbf{x})\rangle}{\sqrt{2^n}}$$

Measuring the last qubit will produce 1 with probability  $1/2^n$ . **If that event happens, then measuring the first  $n$  qubits will output the correct answer  $x_0$ .**

## TRICK 1: PHASE INVERSION I

Apply the Hadamard gate on the **last** register and apply  $U_f$

$$|\psi_0\rangle = |\mathbf{x}, 1\rangle$$

$$|\psi_1\rangle = (I_n \otimes H) \cdot |\mathbf{x}, 1\rangle = |\mathbf{x}\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] = \left[ \frac{|\mathbf{x}, 0\rangle - |\mathbf{x}, 1\rangle}{\sqrt{2}} \right]$$

$$|\psi_2\rangle = U_f \cdot \left( |\mathbf{x}\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] \right) = |\mathbf{x}\rangle \left[ \frac{|f(\mathbf{x}) \oplus 0\rangle - |f(\mathbf{x}) \oplus 1\rangle}{\sqrt{2}} \right] = |\mathbf{x}\rangle \left[ \frac{|f(\mathbf{x})\rangle - |\overline{f(\mathbf{x})}\rangle}{\sqrt{2}} \right]$$

$$= (-1)^{f(\mathbf{x})} \cdot |\mathbf{x}\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right] = \begin{cases} -1 |\mathbf{x}\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right], & \text{if } \mathbf{x} = \mathbf{x}_0 \\ +1 |\mathbf{x}\rangle \left[ \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right], & \text{if } \mathbf{x} \neq \mathbf{x}_0 \end{cases}$$

## TRICK 1: PHASE INVERSION II

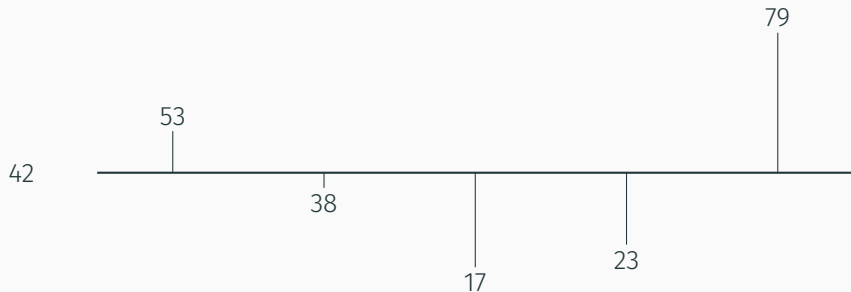
Applying:

$$(I_n \otimes XH) \cdot U_f \cdot (I_n \otimes H) \cdot \begin{pmatrix} 1/2 \\ 1/2 \\ 1/2 \\ 1/2 \end{pmatrix} \otimes |0\rangle = (I_n \otimes XH) \cdot \begin{pmatrix} 1/2 \\ 1/2 \\ -1/2 \\ 1/2 \end{pmatrix} \otimes \frac{|0\rangle - |1\rangle}{\sqrt{2}} = \begin{pmatrix} 1/2 \\ 1/2 \\ -1/2 \\ 1/2 \end{pmatrix} \otimes |0\rangle$$

Useless?

$$|(-1/2)|^2 = |1/2|^2$$

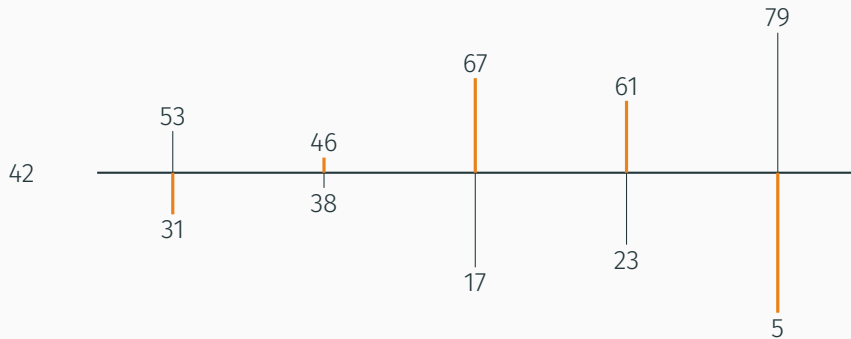
## TRICK 2: INVERSION ABOUT THE MEAN (EXAMPLE)



$$y = \mu + (\mu - x) = -x + 2\mu$$



## TRICK 2: INVERSION ABOUT THE MEAN (EXAMPLE)



$$y = \mu + (\mu - x) = -x + 2\mu$$

## TRICK 2: INVERSION ABOUT THE MEAN I

Computing the mean:

$$M = \begin{pmatrix} 1/2^n & 1/2^n & \dots & 1/2^n & 1/2^n \\ 1/2^n & 1/2^n & \dots & 1/2^n & 1/2^n \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1/2^n & 1/2^n & \dots & 1/2^n & 1/2^n \\ 1/2^n & 1/2^n & \dots & 1/2^n & 1/2^n \end{pmatrix}$$

$$M \cdot v = \begin{pmatrix} 1/2^n \cdot \sum_{i=0}^{2^n} v_i \\ 1/2^n \cdot \sum_{i=0}^{2^n} v_i \\ \vdots \\ 1/2^n \cdot \sum_{i=0}^{2^n} v_i \\ 1/2^n \cdot \sum_{i=0}^{2^n} v_i \end{pmatrix}$$

## TRICK 2: INVERSION ABOUT THE MEAN II

Inversion about the mean:

$$-I + 2M = \begin{pmatrix} (-1 + 2/2^n) & 2/2^n & \dots & 2/2^n & 2/2^n \\ 2/2^n & (-1 + 2/2^n) & \dots & 2/2^n & 2/2^n \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 2/2^n & \dots & 2/2^n & (-1 + 2/2^n) & 2/2^n \\ 2/2^n & \dots & 2/2^n & 2/2^n & (-1 + 2/2^n) \end{pmatrix}$$
$$(-I + 2M) \cdot \mathbf{v} = \begin{pmatrix} v_0 + 2/2^n \cdot \sum_{i=0}^{2^n-1} v_i \\ v_1 + 2/2^n \cdot \sum_{i=0}^{2^n-1} v_i \\ \vdots \\ v_{2^n-2} + 2/2^n \cdot \sum_{i=0}^{2^n-1} v_i \\ v_{2^n-1} + 2/2^n \cdot \sum_{i=0}^{2^n-1} v_i \end{pmatrix}$$

## TRICK 2: INVERSION ABOUT THE MEAN III

A straight-forward calculation shows that  $-I + 2M$  is indeed unitary and thus a quantum circuit!

## COMBINING THE TWO TRICKS

```
def phasef(v, i):  
    v = enumerate(v)  
    v = [v_ * (-1)**int(i==j) for j,v_ in v]  
    return vector(v)
```

```
phasef(vector(ZZ, 4, [1,1,1,1]), 2)
```

(1, 1, -1, 1)

```
def imeanf(v):  
    N = ZZ(len(v))  
    M = matrix(QQ, len(v), len(v), [1/N]*N**2)  
    I = identity_matrix(N)  
    return (-I + 2*M)*v
```

```
imeanf(vector(ZZ, 5, [53,38,17,23,79]))
```

(31, 46, 67, 61, 5)

## COMBINING THE TWO TRICKS

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    v = enumerate(v)  
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    return (-I + 2*M)*v
```

```
imeanf(vector(ZZ, 5, [53,38,17,23,79]))
```

(31, 46, 67, 61, 5)

```
v = vector(RealField(prec=12), 5, [10]*5)  
print(f" input: {v}")  
v = phasef(v, i=3); print(f"step 1a: {v}")  
v = imeanf(v); print(f"step 1b: {v}, Δ: {max(v)-min(v)}")  
v = phasef(v, i=3); print(f"step 2a: {v}")  
v = imeanf(v); print(f"step 2b: {v}, Δ: {max(v)-min(v)}")  
v = phasef(v, i=3); print(f"step 3a: {v}")  
v = imeanf(v); print(f"step 3b: {v}, Δ: {max(v)-min(v)}")
```

```
input: (10.0, 10.0, 10.0, 10.0, 10.0)  
step 1a: (10.0, 10.0, 10.0, -10.0, 10.0)  
step 1b: (2.00, 2.00, 2.00, 22.0, 2.00), Δ: 20.0  
step 2a: (2.00, 2.00, 2.00, -22.0, 2.00)  
step 2b: (-7.60, -7.60, -7.60, 16.4, -7.60), Δ: 24.0  
step 3a: (-7.60, -7.60, -7.60, -16.4, -7.60)  
step 3b: (-11.1, -11.1, -11.1, -2.33, -11.1), Δ: 8.80
```

## COMBINING THE TWO TRICKS

```
def phasef(v, i):  
    v = enumerate(v)  
    v = [v_ * (-1)**int(i==j) for j,v_ in v]  
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```

```
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step 3b: (-11.1, -11.1, -11.1, -2.33, -11.1), Δ: 8.80
```

The optimal number of repetitions is  $\sqrt{\dim(v)}$

# GROVER'S ALGORITHM

1. Start with  $|0\rangle$
2. Apply  $H^{\otimes n}$
3. Repeat  $\sqrt{2^n}$  times:
  - 3.1 Apply phase inversion  $U_f \cdot (I \otimes H)$
  - 3.2 Apply inversion about the mean  $-I + 2M$
4. Measure the qubits



## RECAP: GROVER VS AES

Best known quantum algorithms for attacking symmetric cryptography are based on Grover's algorithm.

- Search key space of size  $2^n$  in  $2^{n/2}$  operations: AES-256  $\rightarrow$  128 “quantum bits of security”.
- Taking all costs into account:  $> 2^{152}$  classical operations for AES-256.<sup>1</sup>
- Assuming a max depth of  $2^{96}$  for a quantum circuit: overall AES-256 cost is  $\approx 2^{190}$ .
- Does not parallelise: have to wait for  $2^x$  steps, cannot buy  $2^{32}$  quantum computers and wait  $2^x/2^{32}$  steps.

---

<sup>1</sup>Samuel Jaques, Michael Naehrig, Martin Roetteler, and Fernando Virdia. **Implementing Grover Oracles for Quantum Key Search on AES and LowMC**. In: *EUROCRYPT 2020, Part II*. ed. by Anne Canteaut and Yuval Ishai. Vol. 12106. LNCS. Springer, Cham, May 2020, pp. 280–310. DOI: 10.1007/978-3-030-45724-2\_10.

# SHOR'S ALGORITHM

---

## TASK

Given  $N = p \cdot q$  for  $p, q$  prime find  $p$  or  $q$ .

# A MAGICAL NEW OPERATION

Consider a function  $f_{a,N}(x)$  for any  $0 < a < N$ , which computes  $f_{a,N}(x) := a^x \bmod N$

Example:

```
p, q = 13, 15  
N = p*q  
a = 2
```

```
def f(x):  
    return power_mod(a, x, N)
```

```
f(13)
```

```
p, q = 3, 5  
N = p*q  
a = 2
```

```
[list(range(N)), None, [f(i) for i in range(N)]]
```

2

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
1	2	4	8	1	2	4	8	1	2	4	8	1	2	4

# A MAGICAL NEW OPERATION

## Theorem (Euler's Theorem)

*For any modulus  $N$  and any coprime integer  $a$ , it holds that*

$$a^{\phi(n)} \equiv 1 \pmod{N}$$

*where  $\phi(n)$ , Euler's totient function, counts the integers up to  $n$  relatively prime to  $n$ .*

- So  $f_{a,N}(\cdot)$  should have some period  $r$ :  $f_{a,N}(x) \equiv f_{a,N}(x + r)$ .
- We can implement  $f_{a,N}(\cdot)$  efficiently on classical and on quantum computers
- On a quantum computer, we can find this period efficiently but this assumed hard on classical computers.

## A Magical New Operation

Let  $\mathcal{P}(a, N)$  be an oracle that outputs  $r$  s.t.  $f_{a,N}(x) \equiv f_{a,N}(x + r)$ .

## FACTORING WITH THAT MAGICAL NEW OPERATION

1. Pick a random  $2 \leq a < N$ .
2. If  $\gcd(a, N) \neq 1$ , output  $a$  as a factor of  $N$ .
3. Call  $\mathcal{P}(a, N)$  and retrieve  $r$ .
4. If  $r$  is not even, start over.
5. We have  $a^r \equiv 1 \pmod N$  and thus  $N \mid (a^r - 1)$ .
6. Write  $a^r - 1 = (\sqrt{a^r} + 1) \cdot (\sqrt{a^r} - 1)$ .<sup>2</sup>
7. So we get  $N \mid (a^{r/2} - 1) \cdot (a^{r/2} + 1)$ , i.e. any factor of  $N$  is a factor of  $(a^{r/2} - 1)$ ,  $(a^{r/2} + 1)$  or both
  - 7.1 It can't be that  $N \mid a^{r/2} - 1$  because the period is  $r$  and not  $r/2$
  - 7.2 It could be that  $N \mid a^{r/2} + 1$  and then the algorithm fails
8. Compute  $d := \gcd(N, a^{r/2} + 1)$

---

<sup>2</sup> $x^2 - y^2 = (x - y) \cdot (x + y)$

# THE MAGICAL NEW OPERATION

1. We can implement  $f_{a,N}(\cdot)$  as a quantum circuit  $U_{f_{a,N}(\cdot)}$  acting on  $m := \lceil \log N^2 \rceil$  qubits
2. We can apply Hadamard gates on the inputs before applying  $U_{f_{a,N}(\cdot)}$
3. This gives us a state<sup>3</sup>

$$|\phi_2\rangle := \frac{\sum_{\mathbf{x} \in \{0,1\}^m} |\mathbf{x}, f_{a,N}(\mathbf{x})\rangle}{\sqrt{2^m}} = \frac{\sum_{\mathbf{x} \in \{0,1\}^m} |\mathbf{x}, a^{\mathbf{x}} \bmod N\rangle}{\sqrt{2^m}}.$$

4. The final ingredient is a **Quantum Fourier Transform** (QFT) which more or less extracts the period from such a state.<sup>4</sup>

---

<sup>3</sup>I'm identifying the binary representation  $\mathbf{x}$  of  $x$  with  $x$  here.

<sup>4</sup>I have yet to find a simple way of explaining it :(

## RECAP: SHOR VS RSA, DH, ...

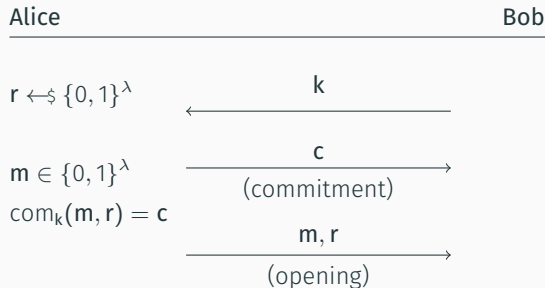




# COMMITMENT SCHEMES

---

# COMMITMENT SCHEMES



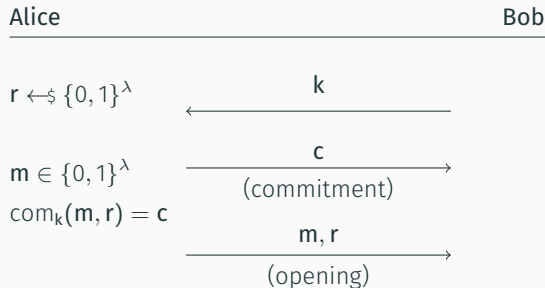
Statistically Hiding:

$$\Pr \left[ b' = b \mid \begin{array}{l} (m_0, m_1) \leftarrow \mathcal{A}(k) \\ b \leftarrow \{0, 1\} \\ r \leftarrow \{0, 1\}^\lambda \\ c \leftarrow \text{com}_k(m_b, r) \\ b' \leftarrow \mathcal{A}(c) \end{array} \right] = \frac{1}{2}$$

for any  $\mathcal{A}$ .

**Computationally Binding:** “PPT adversary cannot change its mind after sending  $c$ ”

# COMMITMENT SCHEMES



Statistically Hiding:

$$\Pr \left[ b' = b \mid \begin{array}{l} (m_0, m_1) \leftarrow \mathcal{A}(k) \\ b \leftarrow \{0, 1\} \\ r \leftarrow \{0, 1\}^\lambda \\ c \leftarrow \text{com}_k(m_b, r) \\ b' \leftarrow \mathcal{A}(c) \end{array} \right] = \frac{1}{2}$$

for any  $\mathcal{A}$ .

**Computationally Binding:** “PPT adversary cannot change its mind after sending  $c$ ”

How should we formalise this?

## CLASSICAL DEFINITION

“PPT adversary cannot change its mind after sending  $c$ ”

### Classical Definition

PPT  $\mathcal{A}$  cannot find  $(\mathbf{m}, r, \mathbf{m}', r')$  where  $\mathbf{m} \neq \mathbf{m}'$  and

$$\text{com}_k(\mathbf{m}, r) = \text{com}_k(\mathbf{m}', r').$$

In particular, any collision-resistant hash function implies a binding commitment scheme.

- A commitment scheme cannot be statistically hiding and statistically binding at the same time
  - If it is statistically hiding this means that for any  $\mathbf{c} = \text{com}_k(\mathbf{m}, \mathbf{r})$  there exists some  $\mathbf{r}'$  such that  $\mathbf{c} = \text{com}_k(\mathbf{m}', \mathbf{r}')$  for any  $\mathbf{m}'$ .
  - If it is statistically binding this means that for any  $\mathbf{c} = \text{com}_k(\mathbf{m}, \mathbf{r})$  there exists no  $\mathbf{r}'$  such that  $\mathbf{c} = \text{com}_k(\mathbf{m}', \mathbf{r})$  for any  $\mathbf{m}' \neq \mathbf{m}$ .
- Any IND-CPA secure encryption scheme is a hiding commitment scheme
- Any perfectly-correct encryption scheme is a binding commitment scheme, otherwise decryption might fail

## CLASSICAL DEFINITION

“PPT adversary cannot change its mind after sending  $c$ ”

### Classical Definition

PPT  $\mathcal{A}$  cannot find  $(\mathbf{m}, r, \mathbf{m}', r')$  where  $\mathbf{m} \neq \mathbf{m}'$  and

$$\text{com}_k(\mathbf{m}, r) = \text{com}_k(\mathbf{m}', r').$$

In particular, any collision-resistant hash function implies a binding commitment scheme.

### Punchline

This is not true if  $\mathcal{A}$  is a quantum adversary.

# ATTACK ON CLASSICAL DEFINITION I

There exists a quantum-secure collision-resistant hash function  $H$  where  $\mathcal{A}$  can open  $\text{com}_k(\mathbf{m}, \mathbf{r}) := H(\mathbf{m}, \mathbf{r})$  to any  $\mathbf{m}$ .<sup>5</sup>

- Quantum adversary cannot find two pairs  $(\mathbf{m}, \mathbf{r}), (\mathbf{m}', \mathbf{r}')$  that agree on  $\text{com}_k(\mathbf{m}, \mathbf{r}) = \text{com}_k(\mathbf{m}', \mathbf{r}')$
- But it can open to some message  $\mathbf{m}$  even if it learns it after sending  $\mathbf{c}$ .

## Caveat

The attack depends on an oracle that we do not know how to build. But even with this oracle collision resistance holds.

---

<sup>5</sup>Andris Ambainis, Ansis Rosmanis, and Dominique Unruh. **Quantum Attacks on Classical Proof Systems: The Hardness of Quantum Rewinding**. In: *55th FOCS*. IEEE Computer Society Press, Oct. 2014, pp. 474–483. DOI: 10.1109/FOCS.2014.57; Dominique Unruh. **Computationally Binding Quantum Commitments**. In: *EUROCRYPT 2016, Part II*. ed. by Marc Fischlin and Jean-Sébastien Coron. Vol. 9666. LNCS. Springer, Berlin, Heidelberg, May 2016, pp. 497–527. DOI: 10.1007/978-3-662-49896-5\_18.

## ATTACK ON CLASSICAL DEFINITION II

1. Prepare a quantum state

$$|\phi\rangle := \left[ \frac{\sum_{\mathbf{m}, \mathbf{r} \in \{0,1\}^\lambda \times \{0,1\}^\lambda} |\mathbf{m}\rangle |\mathbf{r}\rangle}{\sqrt{2^{2\lambda}}} \right] |0\rangle$$

2. Apply  $H$  on the first two registers and add result to the third

$$|\phi\rangle := \frac{\sum_{\mathbf{m}, \mathbf{r} \in \{0,1\}^\lambda \times \{0,1\}^\lambda} |\mathbf{m}\rangle |\mathbf{r}\rangle |H(\mathbf{m}, \mathbf{r})\rangle}{\sqrt{2^{2\lambda}}}$$

3. Measure the third register to obtain some value  $\mathbf{h}$

$$|\phi\rangle := \frac{\sum_{(\mathbf{m}, \mathbf{r}) \mid \mathbf{h} = H(\mathbf{m}, \mathbf{r})} |\mathbf{m}\rangle |\mathbf{r}\rangle}{\sqrt{|\{(\mathbf{m}, \mathbf{r}) \mid \mathbf{h} = H(\mathbf{m}, \mathbf{r})\}|}} |\mathbf{h}\rangle$$

The first register now contains **all** preimages of  $\mathbf{h}$ .



4. Use the magic oracle<sup>6</sup> to filter  $\left\{ (m, r) \mid h = H(m, r) \right\}$  to

$$\left\{ (m, r) \mid h = H(m, r) \wedge m = m_0 \right\}$$

for any chosen  $m_0$ .

5. Measure the first register to obtain  $(m_0, r)$  and submit as an opening.

### Collision Resistance

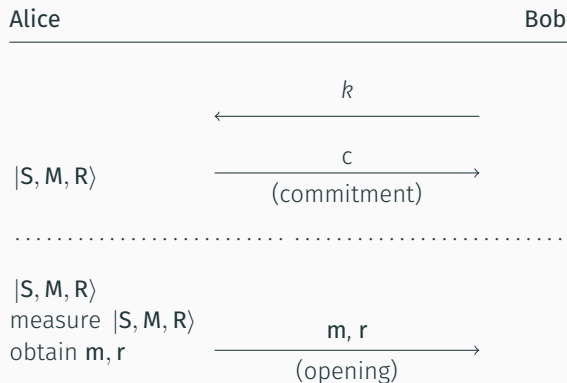
This does not violate collision resistance because we are “using up” our state, i.e. we can only measure once, still.

---

<sup>6</sup>This is a variant of Grover’s algorithm but we don’t know how to implement the required steps.

## CORRECTED DEFINITION: FORMALISING THE ATTACKER

Can write down our attacker like this:



# CORRECTED DEFINITION: WHAT DOES IDEAL LOOK LIKE?

## Collapse-binding Commitment

---

```
1 :  $b \leftarrow \{0, 1\}; k \leftarrow \{0, 1\}^\lambda$ 
2 :  $c, |S, M, R\rangle \leftarrow \mathcal{A}(k)$ 
3 : compute  $|S, M, R, V_c(M, R)\rangle$  //  $V_c(M, R) = 1$  iff  $\text{com}_k(M, R) = c$ 
4 : measure  $|V_c(M, R)\rangle = v$ 
5 : // measurement has no effect if  $|M\rangle = |m\rangle$ , i.e. “collapsed”
6 : if  $v = 1 \wedge b = 0$  then measure  $|M\rangle$ 
7 :  $b' \leftarrow \mathcal{A}(|S, M, R, V_c(M, R)\rangle)$ 
8 : return  $b = b'$ 
```

Dominique Unruh.

**Computationally Binding Quantum Commitments.** In:  
*EUROCRYPT 2016, Part II.* ed. by  
Marc Fischlin and  
Jean-Sébastien Coron.  
Vol. 9666. LNCS. Springer,  
Berlin, Heidelberg, May 2016,  
pp. 497–527. DOI: 10.1007/978-  
3-662-49896-5\_18

# COLLAPSING HASH FUNCTIONS

## Collapsing Hash Function $H$

---

```
1:  $b \leftarrow \{0, 1\}$ 
2:  $|\psi\rangle_0 := |S\rangle \sum_x |x, 0\rangle \leftarrow \mathcal{A}(H)$ 
3:  $|\psi\rangle_1 := |S\rangle \sum_x |x, H(x)\rangle$ 
4: if  $b = 0$  then
5:   measure  $|x\rangle \in |\psi\rangle_1 \rightarrow |\psi\rangle_2$ 
6: else
7:   measure  $|H(x)\rangle \in |\psi\rangle_1 \rightarrow |\psi\rangle_2$ 
8:  $b' \leftarrow \mathcal{A}(|\psi\rangle_2)$ 
9: return  $b = b'$ 
```

Figure 1: Collapsing Hash Function

## Game indeed differs:

- $b = 0$ : collapses to a single input-output pair
- $b = 1$ : collapses to all preimages of measured value  $H(x)$

---

[Unr16]: This implies collapse-binding commitments.

## A NEW CLASSICAL DEFINITION

Any **somewhere statistically binding** hash function is collapsing.

## SOMEWHERE STATISTICALLY BINDING (SSB)

- Consider  $H(\mathbf{x}_0 \mid \mathbf{x}_1 \mid \dots \mid \mathbf{x}_{\ell-1})$
  - There are “modes”  $H^{(i)}(\mathbf{x}_0 \mid \mathbf{x}_1)$  that are **statistically binding** to block  $\mathbf{x}_i$
  - We also have “index hiding”:  $H \approx_c H^{(i)} \approx_c H^{(j)}$  for any  $i, j$ .
- 
- Since  $H()$  is compressing it it cannot be statistically binding to its input
  - But it can be statistically binding for one small block
  - If cannot tell which block it is statistically binding to, have an SSB hash function
  - Can build this from a perfectly correct fully-homomorphic encryption scheme

FIN

IF YOU TAKE NOTHING ELSE FROM THIS LECTURE: QUANTUM  
COMPUTERS WON'T SOLVE HARD PROBLEMS INSTANTLY BY JUST  
TRYING ALL SOLUTIONS IN PARALLEL.

CREDIT: <https://scottaaronson.blog/>

- [ARU14] Andris Ambainis, Ansis Rosmanis, and Dominique Unruh. **Quantum Attacks on Classical Proof Systems: The Hardness of Quantum Rewinding**. In: *55th FOCS*. IEEE Computer Society Press, Oct. 2014, pp. 474–483. DOI: 10.1109/FOCS.2014.57.
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- [Unr16] Dominique Unruh. **Computationally Binding Quantum Commitments**. In: *EUROCRYPT 2016, Part II*. Ed. by Marc Fischlin and Jean-Sébastien Coron. Vol. 9666. LNCS. Springer, Berlin, Heidelberg, May 2016, pp. 497–527. DOI: 10.1007/978-3-662-49896-5\_18.



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