

# CRYPTOGRAPHIC ASSUMPTIONS

ADVANCED TOPICS IN CYBERSECURITY CRYPTOGRAPHY (7CCSMATC)

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# OUTLINE

Digital Signatures

Cryptographic Assumptions

Minicrypt vs Cryptomania

The Poverty of Public-Key Cryptography

Post-Quantum Era

## DIGITAL SIGNATURES

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## DIGITAL SIGNATURES: SYNTAX AND CORRECTNESS I

A signature scheme  $\Sigma$  consists of three PPT algorithms ( $\text{KeyGen}$ ,  $\text{Sign}$ ,  $\text{Verify}$ ) such that:

**KeyGen** The key generation algorithm is a randomised algorithm that takes as input a security parameter  $1^\lambda$  and outputs a pair  $(\text{vk}, \text{sk})$ , the **verification key** and **signing key**, respectively.

- We write  $(\text{vk}, \text{sk}) \leftarrow \text{KeyGen}(1^\lambda)$ .

**Sign** The signing algorithm takes as input a signing key  $sk$ , a message  $m$  and outputs a signature  $\sigma$ . The signing algorithm may be randomised or deterministic.

- We write  $\sigma \leftarrow \text{Sign}(sk, m)$ .
- We may write  $\sigma \leftarrow \text{Sign}(sk, m; r)$  to unearth the used randomness explicitly.

**Verify** The verification algorithm takes as input a verification key  $\text{vk}$ , a signature  $\sigma$  and a message  $m$  and outputs a bit  $b$ , with  $b = 1$  meaning the signature is valid and  $b = 0$  meaning the signature is invalid. Verify is a deterministic algorithm.

- We write  $b \leftarrow \text{Verify}(\text{vk}, \sigma, m)$ .

We require that except with negligible probability over  $(vk, sk) \leftarrow \text{KeyGen}(1^\lambda)$  and potentially the randomness used in  $\text{Sign}$  that:

$$\text{Verify}(vk, \text{Sign}(sk, m), m) = 1 \text{ for all } m.$$

## DIGITAL SIGNATURES: WHAT SHOULD THEY DO?

What do you think should be the security goal of a digital signature scheme?

## DIGITAL SIGNATURES: SECURITY ((E/S)UF-CMA, TRADITIONAL)

“... unforgeability under chosen message attacks”

| (E/S)UF-CMA  | $S(m)$  |
|--|---|
| 1 : $\mathcal{Q} \leftarrow \emptyset;$  | 1 : $\sigma \leftarrow \Sigma.\text{Sign}(\text{sk}, m)$      |
| 2 : $\text{vk}, \text{sk} \leftarrow \Sigma.\text{KeyGen}(1^\lambda);$                                     | 2 : $\mathcal{Q} \leftarrow \mathcal{Q} \cup \{(m, \sigma)\}$ |
| 3 : $(m^*, \sigma^*) \leftarrow \mathcal{A}^S(\text{vk});$   | 3 : <b>return</b> $\sigma$                                    |
| 4 : $\text{sig}_\checkmark \leftarrow \Sigma.\text{Verify}(\text{vk}, \sigma^*, m^*) = 1$                  |   |
| 5 : <b>return</b> $\text{sig}_\checkmark \wedge (m^*, \cdot) \notin \mathcal{Q}$ // EUF-CMA: “existential” |   |
| 6 : <b>return</b> $\text{sig}_\checkmark \wedge (m^*, \sigma^*) \notin \mathcal{Q}$ // SUF-CMA: “strong”   |   |

$$\text{Adv}_{\mathcal{A}, \Sigma}^{(\text{e/s})\text{uf-cma}}(\lambda) := \Pr[(\text{E/S})\text{UF-CMA}_\Sigma^{\mathcal{A}}(\lambda) \Rightarrow 1]$$

## INDISTINGUISHABILITY-BASED DEFINITION

| SUF-CMA  | $S(m)$  | $V(m, \sigma)$   |
|--|---|--|
| 1 : $\mathcal{Q} \leftarrow \emptyset;$                                | 1 : $\sigma \leftarrow \Sigma.\text{Sign}(\text{sk}, m)$      | 1 : <b>if</b> $b = 1$ <b>then</b>                              |
| 2 : $b \leftarrow \{0, 1\}$  | 2 : $\mathcal{Q} \leftarrow \mathcal{Q} \cup \{(m, \sigma)\}$ | 2 : <b>return</b> $\Sigma.\text{Verify}(\text{vk}, \sigma, m)$ |
| 3 : $\text{vk}, \text{sk} \leftarrow \Sigma.\text{KeyGen}(1^\lambda);$ | 3 : <b>return</b> $\sigma$                                    | 3 : <b>else</b>  |
| 4 : $b' \leftarrow \mathcal{A}^{S, V}(\text{vk});$                     |   | 4 : <b>return</b> $(m, \sigma) \in \mathcal{Q}$                |
| 5 : <b>return</b> $b = b'$   |   |  |

Mike Rosulek. **The Joy of Cryptography**. <https://joyofcryptography.com>. self published, 2021

## CRYPTOGRAPHIC ASSUMPTIONS

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# SANDCASTLES?

'Cryptographers seldom sleep well' (Silvio Micali). Their careers are frequently based on very precise complexity-theoretic assumptions, which could be shattered the next morning. A polynomial time algorithm for factoring would certainly prove more crushing than any paltry fluctuation of the Dow Jones." — Joe Kilian. **Founding Cryptography on Oblivious Transfer.** In: *20th ACM STOC*. ACM Press, May 1988, pp. 20–31. DOI: [10.1145/62212.62215](https://doi.org/10.1145/62212.62215)



- 1997** Gödel Prize
- 2004** RSA Award for Excellence in Mathematics
- 2007** Member of the National Academy of Sciences
- 2007** Fellow of the IACR
- 2012** Turing Award
- 2017** ACM Fellow

# CRYPTOGRAPHIC PRIMITIVES

## Symmetric Primitives

- Block and stream ciphers (AES, ChaCha20, ...)
- Authentication codes (HMAC, Poly1305, ...)
- Hash functions (SHA-2, SHA-3, ...)

## Asymmetric Primitives

- Key agreement and public-key encryption (RSA, DH, ECDH, ...)
- Digital signatures (RSA, DSA, ECDSA, ...)

## MINICRYPT VS CRYPTOMANIA

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# ONE-WAY FUNCTIONS

## Definition (OWF)

A function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$  is **one-way** if the following two conditions hold:

1. **(Easy to compute)** There exists a polynomial-time algorithm  $\mathcal{A}_f(x)$  computing  $f$ , i.e.  $\mathcal{A}_f(x) = f(x)$  for all  $x$ .
2. **(Hard to invert)** for every probabilistic polynomial-time  $\mathcal{B}$ , we have:

$$\Pr_{x \leftarrow \{0,1\}^n} [\mathcal{B}(1^n, f(x)) \in f^{-1}(f(x))] < 1/\text{poly}(n).$$

## OWF<sub>f</sub>

- 
- 1:  $x \leftarrow \{0, 1\}^n$
  - 2:  $x' \leftarrow \mathcal{A}^{f(x)}$
  - 3: **return**  $f(x') = f(x)$

## Assumption

We do not know if one-way functions exist!

# ONE-WAY FUNCTIONS IMPLY A LOT

- OWFs  $\Rightarrow$  PRGs<sup>1</sup>
- PRGs  $\Rightarrow$  PRFs<sup>2</sup>
- PRFs  $\Rightarrow$  PRPs<sup>3</sup>
- OWFs  $\Rightarrow$  Digital Signatures (sketch this lecture)
- OWFs  $\xrightarrow{?}$  Key Exchange/Public Key Encryption (unlikely!)

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<sup>1</sup>Manuel Blum and Silvio Micali. **How to Generate Cryptographically Strong Sequences of Pseudorandom Bits**. In: *SIAM Journal on Computing* 13.4 (1984), pp. 850–864.

<sup>2</sup>Oded Goldreich, Shafi Goldwasser, and Silvio Micali. **How to Construct Random Functions**. In: *Journal of the ACM* 33.4 (Oct. 1986), pp. 792–807. DOI: [10.1145/6490.6503](https://doi.org/10.1145/6490.6503).

<sup>3</sup>Michael Luby and Charles Rackoff. **How to construct pseudorandom permutations from pseudorandom functions**. In: *SIAM Journal on Computing* 17.2 (1988).

## ONE-TIME DIGITAL SIGNATURES FROM OWFs

- KeyGen  $f(\cdot)$  is a one-way function. Sample random numbers
$$(a_{0,0}, a_{0,1}), (a_{1,0}, a_{1,1}), \dots, (a_{|m|-1,0}, a_{|m|-1,1}).$$

Publish  $f(a_{i,j})$  for all  $a_{i,j}$ .

- **Sign** Let  $b_i$  be the bits of  $m$ . For each bit  $b_i$ , publish  $a_{i,b_i}$ .
- **Verify** Check that  $a_{i,b_i}$  indeed maps to  $f(a_{i,j})$  in the public key.

Leslie Lamport. **Constructing Digital Signatures from a One-way Function**. Technical Report SRI-CSL-98. SRI International Computer Science Laboratory, Oct. 1979

# TOY IMPLEMENTATION

```
from hashlib import sha256
from secrets import token_bytes as U
H = lambda m: sha256(m).digest()

def key_gen():
    sk = [(U(secpar//8), U(secpar//8)) for i in range(secpar)]
    vk = [(H(sk[i][0]), H(sk[i][1])) for i in range(secpar)]
    return vk, sk

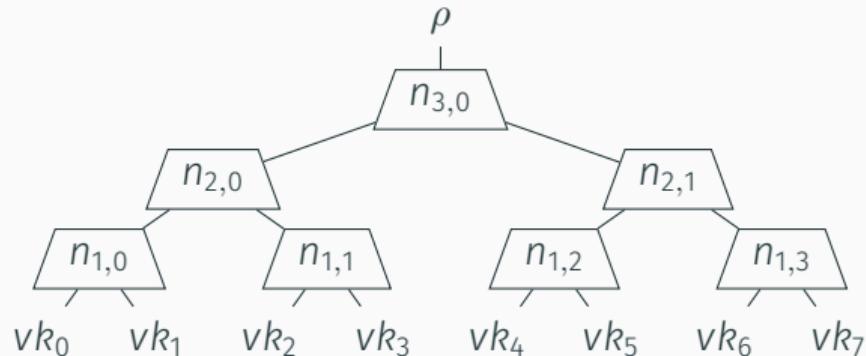
def sign(sk, m):
    sig = []
    for i in range(secpar//8):
        for j in range(8):
            sig.append(sk[8*i+j][(m[i] >> j) % 2])
    return tuple(sig)

def verify(vk, sig, m):
    for i in range(secpar//8):
        for j in range(8):
            assert(vk[8*i+j][(m[i] >> j) % 2] == H(sig[8*i+j]))
    return True
```

```
secpar = 256
vk, sk = key_gen()
m = U(secpar//8)
sig = sign(sk, m)
verify(vk, sig, m)
```

True

# MERKLE TREES



- $n_{1,0} := f(vk_0, vk_1)$  etc.
- publish  $n_{3,0}$  as the  $vk$
- pick a one-time keypair, e.g.  $(vk_0, sk_0)$ , and sign with Lamport to produce  $\sigma$ .
- signature is  $(\sigma, vk_0, vk_1, n_{1,1}, n_{2,1})$

## References

- Ralph Charles Merkle. **Secrecy, authentication, and public key systems**. PhD thesis. Stanford university, 1979 (requires collision resistance)
- Moni Naor and Moti Yung. **Universal One-Way Hash Functions and their Cryptographic Applications**. In: 21st ACM STOC. ACM Press, May 1989, pp. 33–43. DOI: [10.1145/73007.73011](https://doi.org/10.1145/73007.73011) (based on OWFs)

# “BLOCKCHAIN”

Blockchains are degenerated Merkle trees.

# WHO WOULD DO SUCH A THING?



**SPHINCS<sup>+</sup>**  
Stateless hash-based signatures

Home    Resources    Software    Credits

## SPHINCS<sup>+</sup>

SPHINCS<sup>+</sup> is a stateless hash-based signature scheme, which was submitted to the [NIST post-quantum crypto project](#). The design advances the SPHINCS signature scheme, which was presented at [EUROCRYPT 2015](#). It incorporates multiple improvements, specifically aimed at reducing signature size. For a quick overview of the changes from SPHINCS to SPHINCS<sup>+</sup> see the [blog post by Andreas Hülsing](#). The submission proposes three different signature schemes:

- SPHINCS<sup>+</sup>-SHAKE256
- SPHINCS<sup>+</sup>-SHA-256
- SPHINCS<sup>+</sup>-Haraka

These signature schemes are obtained by instantiating the SPHINCS<sup>+</sup> construction with SHAKE256, SHA-256, and

### SPHINCS<sup>+</sup> Team Leader and Primary Submitter

- [Andreas Hülsing, Eindhoven University of Technology \(NL\)](#)

### SPHINCS<sup>+</sup> Team

- [Jean-Philippe Aumasson](#)
- [Daniel J. Bernstein, University of Illinois at Chicago \(US\)](#) and [Ruhr University Bochum \(DE\)](#) and [Academia Sinica \(TW\)](#)
- [Ward Beullens, KU Leuven \(BE\)](#)
- [Christoph Dobraunig, Graz University of Technology \(AT\)](#)

# WHO WOULD DO SUCH A THING?

The screenshot shows the official website of the National Institute of Standards and Technology's Computer Security Resource Center (CSRC). The top navigation bar includes the NIST logo, a search bar, and a menu icon. Below the header, the Information Technology Laboratory and CSRC logos are displayed. A green 'PUBLICATIONS' button is visible. The main content area features a large title 'FIPS 205' and 'Stateless Hash-Based Digital Signature Standard'. Below the title are social media sharing icons (Facebook, X, LinkedIn, Email) and a date published: August 13, 2024. The 'Author(s)' section lists 'National Institute of Standards and Technology'. The 'Abstract' section describes the standard as specifying a stateless hash-based digital signature algorithm (SLH-DSA) used for detecting unauthorized modifications and authenticating signatory identity. It mentions SLH-DSA is based on SPHINCS+, selected for standardization as part of the NIST Post-Quantum Cryptography Standardization process. The 'Keywords' section includes terms like computer security, cryptography, digital signatures, Federal Information Processing Standards, hash-based signatures, post-quantum, and public-key cryptography. To the right, a 'DOCUMENTATION' sidebar provides links to the publication (DOI), download URL, federal register notice, NIST news article, and PQC standardization project. It also lists related NIST publications (FIPS 203, FIPS 204) and document history entries for FIPS 205 (Draft and Final versions).

**PUBLICATIONS**

## FIPS 205

### Stateless Hash-Based Digital Signature Standard

f x in e

Date Published: August 13, 2024

**Author(s)**

National Institute of Standards and Technology

**Abstract**

This standard specifies the stateless hash-based digital signature algorithm (SLH-DSA). Digital signatures are used to detect unauthorized modifications to data and to authenticate the identity of the signatory. In addition, the recipient of signed data can use a digital signature as evidence in demonstrating to a third party that the signature was, in fact, generated by the claimed signatory. This is known as non-repudiation since the signatory cannot easily repudiate the signature at a later time. SLH-DSA is based on SPHINCS<sup>+</sup>, which was selected for standardization as part of the NIST Post-Quantum Cryptography Standardization process.

**Keywords**

computer security; cryptography; digital signatures; Federal Information Processing Standards; hash-based signatures; post-quantum; public-key cryptography

**DOCUMENTATION**

**Publication:**

<https://doi.org/10.6028/NIST.FIPS.205>

[Download URL](#)

**Supplemental Material:**

[Federal Register Notice](#)

[NIST News article](#)

[PQC Standardization Project](#)

**Related NIST Publications:**

[FIPS 203](#)

[FIPS 204](#)

**Document History:**

08/24/23: [FIPS 205 \(Draft\)](#)

08/13/24: [FIPS 205 \(Final\)](#)

## KEY EXCHANGE FROM OWFs?

- Bob constructs  $n$  puzzles, each being an encryption of two random numbers  $p_i := \text{Enc}(k_i, (x_i, y_i, 0))$ , and sends them to Alice.
- Alice picks a puzzle at random, say  $p_j$ , and brute-forces the decryption.<sup>4</sup>
- Alice encrypts her message using  $y_j$  as the key and sends  $x_j$  along in the clear.
- Bob uses  $x_j$  to identify which  $y_j$  to use for decryption.

Ralph C Merkle. **Secure communications over insecure channels.** In: *Communications of the ACM* 21.4 (1978), pp. 294–299

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<sup>4</sup>We assume this can be done in  $n$  steps and we assume Alice can decide when she guessed the correct decryption, e.g. by checking that zero is the last entry of the plaintext.

## “KEY EXCHANGE” FROM OWFs

- Cost for Alice and Bob:  $\mathcal{O}(n)$ .
- Cost for an adversary:  $\mathcal{O}(n^2)$ : brute-force many puzzles to find the correct one

Boaz Barak and Mohammad Mahmoody-Ghidary. **Merkle’s Key Agreement Protocol is Optimal: An  $\mathcal{O}(n^2)$  Attack on Any Key Agreement from Random Oracles.** In: *Journal of Cryptology* 30.3 (July 2017), pp. 699–734. DOI: [10.1007/s00145-016-9233-9](https://doi.org/10.1007/s00145-016-9233-9)<sup>5</sup>

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<sup>5</sup>The gap goes away completely against a quantum adversary.

# RALPH MERKLE



- 1996** Paris Kanellakis Award (from the ACM) for the Invention of public key cryptography.
- 2000** RSA Award for Excellence in Mathematics for the invention of public key cryptography.
- 2008** International Association for Cryptographic Research (IACR) fellow for the invention of public key cryptography
- 2010** IEEE Hamming Medal for the invention of public key cryptography
- 2011** National Inventors Hall of Fame, for the invention of public key cryptography

# FIVE WORLDS



- Algorithmica**  $P = NP$  or something “morally equivalent” like fast probabilistic algorithms for  $NP$ .
- Heuristica**  $NP$  problems are hard in the worst case but easy on average.
- Pessiland**  $NP$  problems hard on average but no one-way functions exist. We can easily create hard  $NP$  problems, but not hard  $NP$  problems where we know the solution.
- Minicrypt** One-way functions exist but we do not have public-key cryptography.
- Cryptomania** Public-key cryptography is possible, i.e. two parties can exchange secret messages over open channels.

Credit: <https://blog.computationalcomplexity.org/2004/06/impagliazzos-five-worlds.html>

Russell Impagliazzo. **A Personal View of Average-Case Complexity**. In: *Proceedings of the Tenth Annual Structure in Complexity Theory Conference*. IEEE Computer Society, 1995, pp. 134–147. DOI: [10.1109/SCT.1995.514853](https://doi.org/10.1109/SCT.1995.514853).  
URL: <https://doi.org/10.1109/SCT.1995.514853>

# ESSENTIAL CRYPTOGRAPHIC PRIMITIVES: THEORETICAL PERSPECTIVE

## Minicrypt

- Block and stream ciphers
- Hash functions<sup>a</sup>
- Authentication codes
- Digital signatures

## Cryptomania

- Key agreement and public-key encryption
- ...

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<sup>a</sup>Collision resistance is not known to be in Minicrypt and evidence exists to the contrary: Nir Bitansky and Akshay Degwekar. [On the Complexity of Collision Resistant Hash Functions: New and Old Black-Box Separations](#). In: *TCC 2019, Part I*. ed. by Dennis Hofheinz and Alon Rosen. Vol. 11891. LNCS. Springer, Cham, Dec. 2019, pp. 422–450. DOI: [10.1007/978-3-030-36030-6\\_17](https://doi.org/10.1007/978-3-030-36030-6_17)

## PUBLIC-KEY ENCRYPTION (PKE)

A Public-Key Encryption (PKE) scheme is a triple of PPT algorithms ( $\text{KeyGen}$ ,  $\text{Enc}$ ,  $\text{Dec}$ ) with the following syntax and operation:

**KeyGen** The key generation algorithm is a randomised algorithm taking as input a security parameter  $1^\lambda$  and outputs a public/secret key-pair  $(\text{pk}, \text{sk})$ , the **public key** and the **secret key** respectively.

**Enc** The encryption algorithm is a randomised algorithm taking as input a public-key  $\text{pk}$  and a message  $m$  and outputs an encryption of  $m$  under  $\text{pk}$ .

**Dec** The decryption algorithm is a deterministic algorithm taking as input a ciphertext  $c$  and a secret-key  $\text{sk}$ , and outputs a message  $m$  (or an error message indicating a decryption failure).

We require that except with negligible probability over  $(\text{pk}, \text{sk}) \leftarrow \text{KeyGen}(1^\lambda)$  and potentially the randomness used in  $\text{Enc}$ , that it holds:

$$\text{Dec}(\text{sk}, \text{Enc}(\text{pk}, m)) = m \text{ for all } m.$$

## KEY ENCAPSULATION MECHANISM (KEM)

A Key Encapsulation Mechanism (KEM) is a triple of PPT algorithms ( $\text{KeyGen}$ ,  $\text{Encap}$ ,  $\text{Decap}$ ) with the following syntax and operation:

**KeyGen** The key generation algorithm is a randomised algorithm taking as input a security parameter  $1^\lambda$  and outputs a public/secret key-pair  $(\text{pk}, \text{sk})$ , the **public key** and the **secret key** respectively.

**Encap** The encapsulation algorithm is a randomised algorithm taking as input a public-key  $\text{pk}$  and outputs a key  $k \in \mathcal{K}$  and an encapsulation of  $k$  under  $\text{pk}$ .

**Decap** The decapsulation algorithm is a deterministic algorithm taking as input a ciphertext  $c$  and a secret-key  $\text{sk}$ , and outputs a key  $k$  (or an error message indicating a decapsulation failure).

We require that except with negligible probability over  $(\text{pk}, \text{sk}) \leftarrow \text{KeyGen}(1^\lambda)$  and potentially the randomness used in  $\text{Encap}$ , that it holds:

$$c, k \xleftarrow{\$} \text{Encap}(\text{pk}), \text{Decap}(\text{sk}, c) = k.$$

## IND-CPA OF PKE

| IND-CPA <sub>PKE</sub>   | C( $m_0, m_1$ )                                 |
|--|---|
| 1: $\text{pk}, \text{sk} \leftarrow \$ \text{KeyGen}(1^\lambda)$ | 1: <b>if</b> $ m_0  \neq  m_1 $ <b>then</b>     |
| 2: $b \leftarrow \$ \{0, 1\}$                                    | 2: <b>return</b> $\perp$                        |
| 3: $b' \leftarrow \mathcal{D}^C(\text{pk})$                      | 3: $c \leftarrow \$ \text{Enc}(\text{pk}, m_b)$ |
| 4: <b>return</b> $b = b'$  | 4: <b>return</b> $c$                            |

Figure 1: IND-CPA Security Game (PKE).

$$\text{Adv}_{\text{PKE}}^{\text{ind-cpa}}(\mathcal{D}) = |\Pr[\text{IND-CPA}^{\mathcal{D}} = 1] - 1/2|$$

## IND-CPA OF KEMs

| IND-CPA <sub>KEM</sub>  | C()  |
|---|--|
| 1: $\text{pk}, \text{sk} \leftarrow \text{KeyGen}(1^\lambda)$ | 1: $k_0 \leftarrow \mathcal{K}$                |
| 2: $b \leftarrow \{0, 1\}$                                    | 2: $c, k_1 \leftarrow \text{Encap}(\text{pk})$ |
| 3: $b' \leftarrow \mathcal{D}^C(\text{pk})$                   | 3: <b>return</b> $c, k_b$                      |
| 4: <b>return</b> $b = b'$                                     |  |

Figure 2: IND-CPA Security Game (KEM).

$$\text{Adv}_{\text{KEM}}^{\text{ind-cpa}}(\mathcal{D}) = |\Pr[\text{IND-CPA}^{\mathcal{D}} = 1] - 1/2|$$

## PKE $\Leftrightarrow$ KEM

**PKE  $\rightarrow$  KEM** Encrypt a random message.

**KEM+Minicrypt  $\rightarrow$  PKE** Encrypt a key for a symmetric encryption scheme.

# THE POVERTY OF PUBLIC-KEY CRYPTOGRAPHY

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# CRYPTOGRAPHIC PRIMITIVES

## Symmetric Primitives

- Block and stream ciphers (AES, ChaCha20, ...)
- Authentication codes (HMAC, Poly1305, ...)
- Hash functions (SHA-2, SHA-3, ...)

## Asymmetric Primitives

- Key agreement and public-key encryption (RSA, DH, ECDH, ...)
- Digital signatures (RSA, DSA, ECDSA, ...)

# THE WEALTH OF SYMMETRIC CRYPTOGRAPHY



*Indeed, it seems that “you can’t throw a rock without hitting a one-way function” in the sense that, once you cobble together a large number of simple computational operations then, unless the operations satisfy some special property such as linearity, you will typically get a function that is hard to invert.<sup>a</sup>*

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<sup>a</sup>Boaz Barak. **The Complexity of Public-Key Cryptography**. Cryptology ePrint Archive, Report 2017/365. 2017. URL: <https://eprint.iacr.org/2017/365>.

# THE WEALTH OF SYMMETRIC CRYPTOGRAPHY?



Orr Dunkelman  
@CryptoOrrDun

...

Unless you use Monkey Duplex, there is a lot of science involved in determining how many rounds are needed for security.

This tweet not only rude, it is ill-informed (and would help people believe they should write their own crypto, because if a monkey can, why can't they)



Boaz Barak ✅ @boazbaraktcs · Oct 25, 2021

Replying to @boazbaraktcs

2/20 Private key encryption often boils down to simply combining many non-local and non-linear operations. Making it efficient is challenging but if willing to lose some, a Monkey with a typewriter could probably construct a block cipher. See [cambridge.org/core/journals/...](https://cambridge.org/core/journals/) by @wtgowers

12:25 PM · Oct 30, 2021

# THE POVERTY OF PUBLIC-KEY CRYPTOGRAPHY

The Internet runs on factoring and discrete logarithms

# RIVEST-SHAMIR-ADLEMAN (RSA)

## Factoring

Let  $p, q$  be primes of  $\lambda$  bits. Given  $n := p \cdot q$ , find  $p$ .

## RSA

Let  $n := p \cdot q$  be the product of two  $\lambda$ -bit primes. Let  $e \nmid (p - 1) \cdot (q - 1)$ . Given  $n, e$  and  $c := m^e \bmod n$  for  $m \leftarrow \mathbb{Z}_n$ , find  $m$ .

Ronald L. Rivest, Adi Shamir, and Leonard M. Adleman. A **Method for Obtaining Digital Signatures and Public-Key Cryptosystems**. In: *Communications of the Association for Computing Machinery* 21.2 (Feb. 1978), pp. 120–126.  
DOI: 10.1145/359340.359342

- We'd **like** to say that RSA encryption/decryption is based on factoring, but such a reduction is not known
  - If factoring is easy then RSA is insecure
  - RSA could be insecure and factoring hard<sup>a</sup>
- Rabin encryption ( $\approx$  RSA with  $e = 2$ ) is based on factoring

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<sup>a</sup>... on a classical computer, we'll get to that shortly

# DIFFIE-HELLMAN (DH)

## Discrete Logarithms

Let  $p$  be a  $\lambda$ -bit prime and let  $g$  be a generator of the multiplicative group  $\mathbb{Z}_p^*$ . Given  $g^a \bmod p$  find  $a$ .

## DH

Let  $p$  be a  $\lambda$ -bit prime and let  $g$  be a generator of the multiplicative group  $\mathbb{Z}_p^*$ . Given  $g^a \bmod p$ ,  $g^b \bmod p$  and  $u$ , decide if  $u = g^{ab}$  or random.

Whitfield Diffie and Martin E. Hellman. [New Directions in Cryptography](#). In: *IEEE Transactions on Information Theory* 22.6 (1976), pp. 644–654. DOI: [10.1109/TIT.1976.1055638](https://doi.org/10.1109/TIT.1976.1055638)

- We'd **like** to say that the DH key exchange is based on discrete logarithms, but such a reduction is not known
  - If discrete logs are easy, DH is insecure
  - DH could be easy and discrete logarithms hard<sup>a</sup>

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<sup>a</sup>... on a classical computer, we'll get to that shortly

## DIFFIE-HELLMAN (DH)

- We didn't use any properties of  $\mathbb{Z}_p^*$  except that it is a group where discrete logarithms are hard.
- Elliptic curves are also groups where it is believed to be hard to compute discrete logarithms<sup>6</sup>
  - Indeed, it is believed only generic algorithms apply, in contrast to  $\mathbb{Z}_p^* \Rightarrow$  much smaller parameters
  - Elliptic curves are usually written additively and not multiplicative.

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<sup>6</sup>on a classical computer ...

# DIFFIE-HELLMAN (DH)

## Discrete Logarithms

Let  $p$  be prime and let  $g$  be a generator of the multiplicative group  $\mathbb{Z}_p^*$ . Given  $g^a \bmod p$  find  $a$ .

## DH

Let  $p$  be prime and let  $g$  be a generator of the multiplicative group  $\mathbb{Z}_p^*$ . Given  $g^a \bmod p$ ,  $g^b \bmod p$  and  $u$ , decide if  $u = g^{ab}$  or random.

## Discrete Logarithms

Let  $\mathcal{G}$  be a group of order  $p$  and let  $G$  be a generator of  $\mathcal{G}$ . Given  $a \cdot G$  for  $a \in \mathbb{Z}_p$  find  $a$ .<sup>a</sup>

## DH

Let  $\mathcal{G}$  be a group of order  $p$  and let  $G$  be a generator of  $\mathcal{G}$ . Given  $(G, a \cdot G, b \cdot G, U)$  for  $a, b \in \mathbb{Z}_p$  decide if  $U = a \cdot b \cdot G$  or random in  $\mathcal{G}$ .

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<sup>a</sup>Here,  $a \cdot G$  means to add  $G$  to itself  $a$  times.

## POST-QUANTUM ERA

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# QUANTUM COMPUTERS

- A quantum computer makes use of quantum effects (superpositions and entanglement) to perform computations.
  - Quantum computers are not **faster** than classical computers, they are **different**.
  - Some computations are easy on a quantum computer that are – as far as we know – hard on a classical computer.
- 
- Small universal quantum computers exist.
  - Key challenge is to scale them up by making them more stable.
  - There is a critical point where we can scale up further using error correction.



The screenshot shows a news article from the Financial Times. The header includes the FT logo, a search bar, and navigation links for HOME, WORLD, UK, COMPANIES, TECH, MARKETS, GRAPHICS, OPINION, WORK & CAREERS, LIFE & ARTS, and HOW TO SPEND IT. Below the header, a sub-header reads "Latest on Quantum technologies". The main headline is "Google claims to have reached quantum supremacy". Sub-headlines include "Rivals rubbish Google's claim of quantum supremacy" and "Quantum computing builds on Turing's legacy". The article summary states: "Researchers say their quantum computer has calculated an impossible problem for ordinary machines". The author is Madhurita Murgia and Richard Waters, with a publication date of SEPTEMBER 20 2019. The article has 162 comments. The text of the article continues below the summary.

Quantum technologies [+ Add to myFT](#)

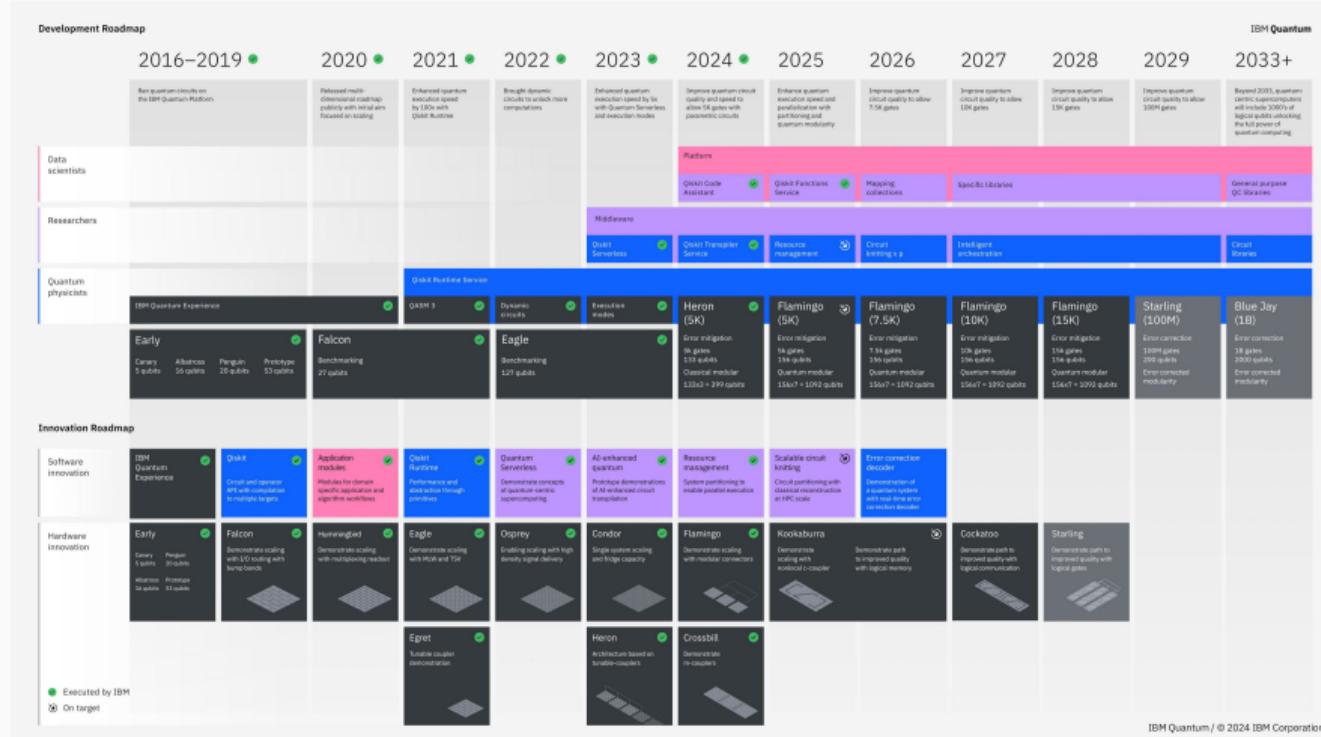
Google claims to have reached quantum supremacy

Researchers say their quantum computer has calculated an impossible problem for ordinary machines

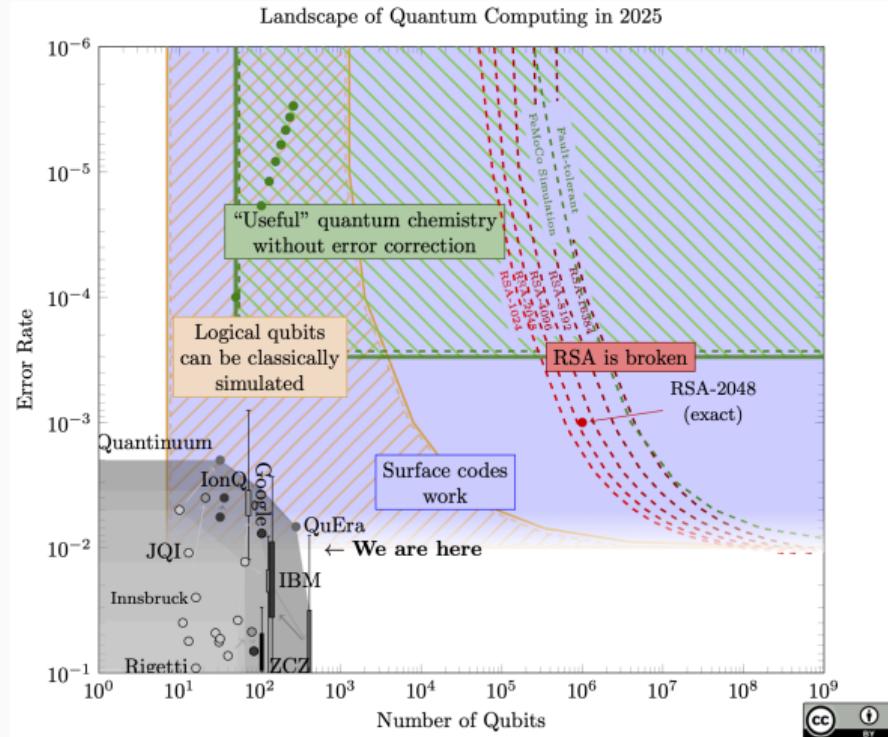
Madhurita Murgia and Richard Waters SEPTEMBER 20 2019

Google claims to have built the first [quantum computer](#) that can carry out calculations beyond the ability of today's most powerful supercomputers, a landmark moment that has been hotly anticipated by researchers.

# IBM QUANTUM COMPUTING TIMELINE



# LANDSCAPE OF QUANTUM COMPUTING IN 2025



## SYMMETRIC PRIMITIVES: QUANTUM COMPUTING PERSPECTIVE (GOOD NEWS)

Best known quantum algorithms for attacking symmetric cryptography are based on Grover's algorithm.

- Search key space of size  $2^n$  in  $2^{n/2}$  operations: AES-256 → 128 “quantum bits of security”.
- Taking all costs into account:  $> 2^{152}$  classical operations for AES-256.<sup>7</sup>
- Assuming a max depth of  $2^{96}$  for a quantum circuit: overall AES-256 cost is  $\approx 2^{190}$ .
- Does not parallelise: have to wait for  $2^X$  steps, cannot buy  $2^{32}$  quantum computers and wait  $2^X/2^{32}$  steps.

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<sup>7</sup>Samuel Jaques, Michael Naehrig, Martin Roetteler, and Fernando Virdia. **Implementing Grover Oracles for Quantum Key Search on AES and LowMC**. In: *EUROCRYPT 2020, Part II*. ed. by Anne Canteaut and Yuval Ishai. Vol. 12106. LNCS. Springer, Cham, May 2020, pp. 280–310. DOI: [10.1007/978-3-030-45724-2\\_10](https://doi.org/10.1007/978-3-030-45724-2_10).

“BASED ON VERY PRECISE COMPLEXITY-THEORETIC ASSUMPTIONS, WHICH COULD BE SHATTERED THE NEXT MORNING”

# Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer\*

Peter W. Shor<sup>†</sup>

## Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

“BASED ON VERY PRECISE COMPLEXITY-THEORETIC ASSUMPTIONS, WHICH COULD BE SHATTERED THE NEXT MORNING”



# POST-QUANTUM STANDARDISATION OF PRIMITIVES

**NIST** Post Quantum Competition Process

**ETSI** Cyber Working Group for Quantum Safe Cryptography

**ISO** WG2 Standing Document 8 (SD8): Survey

**IETF** Standardisation of **stateful** hash-based signatures, nothing further

**CSA** Quantum-safe Security Working Group: position papers

**NIST** Post Quantum Process: Digital Signatures

# POST-QUANTUM STANDARDISATION OF PRIMITIVES

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**NIST** Post Quantum Process: Digital Signatures

## Bottom Line

Essentially, everyone was waiting for NIST.

# NIST PQC COMPETITION PROCESS

## Timeline

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|                               |               |
|-------------------------------|---------------|
| Submission                    | November 2017 |
| Round 2 Selection             | January 2019  |
| Round 3 Selection             | July 2020     |
| Winners and Round 4 Selection | July 2022     |
| 3/4 Final Standards           | August 2024   |
| Additional KEM Standard       | March 2025    |
| Final Standard for Falcon     | ???           |

---

### “Key Establishment”/Key Encapsulation

- $(pk, sk) \leftarrow \text{KeyGen}()$
- $(c, k) \leftarrow \text{Encap}(pk)$
- $k \leftarrow \text{Decap}(c, sk)$

### Digital Signature

- $(vk, sk) \leftarrow \text{KeyGen}()$
- $s \leftarrow \text{Sig}(m, sk)$
- $\{0,1\} \leftarrow \text{Verify}(vk, s, m)$

# NIST PQC OUTCOME

NIST selected:

**Kyber** A lattice-based KEM (MLWE Problem)

**Dilithium** A lattice-based signature scheme (MSIS/MLWE Problems)

**Falcon** A lattice-based signature scheme (NTRU Problem)

**SPHINCS+** A hash-based signature scheme

**HQC** A code-based KEM (decoding random quasi-cyclic codes)

## “BASED ON VERY PRECISE COMPLEXITY-THEORETIC ASSUMPTIONS, WHICH COULD BE SHATTERED THE NEXT MORNING” (SIKE ATTACK)

Wouter Castryck and Thomas Decru. [An efficient key recovery attack on SIDH \(preliminary version\)](#). Cryptology ePrint Archive, Report 2022/975. 2022. URL:  
<https://eprint.iacr.org/2022/975>

- SIDH was “A decade unscathed” [Cos21]
- SIKE even *lowered* parameters during NIST PQC (following [JS19])
- qualified researchers tried to break it (e.g. [MP19])

### Total Break

All SIKE parameters can be broken in about 2 hours on a single-core laptop now [OP22].

## INTERPRETATION

This was an earthquake.

# "BASED ON VERY PRECISE COMPLEXITY-THEORETIC ASSUMPTIONS, WHICH COULD BE SHATTERED THE NEXT MORNING" (RAINBOW ATTACK)

## Breaking Rainbow Takes a Weekend on a Laptop

Ward Beullens 

IBM Research, Zurich, Switzerland  
[wbe@zurich.ibm.com](mailto:wbe@zurich.ibm.com)

**Abstract.** This work introduces new key recovery attacks against the Rainbow signature scheme, which is one of the three finalist signature schemes still in the NIST Post-Quantum Cryptography standardization project. The new attacks outperform previously known attacks for all the parameter sets submitted to NIST and make a key-recovery practical for the SL 1 parameters. Concretely, given a Rainbow public key for the SL 1 parameters of the second-round submission, our attack returns the corresponding secret key after on average 53 hours (one weekend) of computation time on a standard laptop.

- Rainbow was a NIST finalist<sup>a</sup>
- Can be remedied by increasing parameters, but makes it inefficient

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<sup>a</sup>Ward Beullens. **Breaking Rainbow Takes a Weekend on a Laptop**. In: *CRYPTO 2022, Part II*. ed. by Yevgeniy Dodis and Thomas Shrimpton. Vol. 13508. LNCS. Springer, Cham, Aug. 2022, pp. 464–479. DOI: [10.1007/978-3-031-15979-4\\_16](https://doi.org/10.1007/978-3-031-15979-4_16).

# INTERPRETATION

This was surprising.

# NIST SIGNATURE SUBMISSIONS WITH VULNERABILITIES IN SPECIFICATION (2024)

3Wise<sup>8</sup>, AlMer, ALTEQ, Ascon-Sign, Biscuit<sup>9</sup>, CROSS, DME-Sign<sup>10</sup>, EHT<sup>11</sup>, EagleSign<sup>12</sup>, Enhanced pqsigRM<sup>13</sup>, FAEST, FuLeeca<sup>14</sup>, HAETAE, HAWK, HPPC<sup>15</sup>, HuFu<sup>16</sup>, KAZ-SIGN<sup>17</sup>, LESS<sup>18</sup>, MAYO, MEDS<sup>19</sup>, MIRA, MQOM, MiRitH, PERK, PROV, Preon, QR-UOV, RYDE, Raccoon, SDiTH<sup>20</sup>, SNOVA, SPHINCS-alpha, SQISign, SQUIRRELS, TUOV, UOV, VOX, Wave, Xifrat1-Sign.I<sup>21</sup>, eMLE-Sig 2.0

<sup>8</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/fsfGqHCgGvY>

<sup>9</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/sw8NueiNek0>

<sup>10</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/E0mMMGI5eWE>

<sup>11</sup>[https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/mF1\\_5Rq6-RU](https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/mF1_5Rq6-RU)

<sup>12</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/zas5PLiBe6A>

<sup>13</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/yQ1CK0LbGng>

<sup>14</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/KvIege2EbuM>

<sup>15</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/KRh8w03PW4E>

<sup>16</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/Hq-wRFDbIaU>

<sup>17</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/aCbi4BMDeUs>

<sup>18</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/Z36SPZJI80k>

<sup>19</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/CtCe8WXUoXI>

<sup>20</sup>[https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/d\\_BcUffFGl5o](https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/d_BcUffFGl5o)

<sup>21</sup><https://groups.google.com/a/list.nist.gov/g/pqc-forum/c/9FXtBZKWueA>

# INTERPRETATION

This is to be expected.

# NIST SIGNATURE SUBMISSIONS STATUS, ROUND 2

**Codes** CROSS, LESS

**Isogenies** SQISign

**Lattice Isomorphisms** HAWK

**MPC-in-the-Head** Mirath, MQOM, PERK, RYDE, SDitH

**MQ** MAYO, QR-UOV, SNOVA, UOV

**Symmetric** FAEST

# QKD?

*“Given the specialised hardware requirements of QKD over classical cryptographic key agreement mechanisms and the requirement for authentication in all use cases, the NCSC does not endorse the use of QKD for any government or military applications, and cautions against sole reliance on QKD for business-critical networks, especially in Critical National Infrastructure sectors. [...] NCSC advice is that the best mitigation against the threat of quantum computers is quantum-safe cryptography.”<sup>22</sup>*

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<sup>22</sup><https://www.ncsc.gov.uk/whitepaper/quantum-security-technologies>

FIN

THANK YOU

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