

Arora-GB: Algebraic Algorithms for LWE Problems

Martin R. Albrecht²

Information Security Group, Royal Holloway, University of London

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²joint work with L. Perret, C. Cid, J.-C. Faugère and R. Fitzpatrick

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Learning with Errors

Definition (LWE)

Let $n \geq 1$ be an integer, q be an odd integer, χ be a probability distribution on \mathbb{Z}_q and $\mathbf{s} \in \mathbb{Z}_q^n$ be a secret vector. We denote by $L_{\mathbf{s}, \chi}^{(n)}$ the probability distribution on $\mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m$ obtained by choosing $G \in \mathbb{Z}_q^{n \times m}$ uniformly at random, sampling \mathbf{e} according to $\chi_{\alpha, q}^m$, and returning

$$(G, \mathbf{s} \times G + \mathbf{e}) = (G, \mathbf{c}) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m.$$

LWE is the problem of finding $\mathbf{s} \in \mathbb{Z}_q^n$ from $(G, \mathbf{s} \times G + \mathbf{e})$ sampled according to $L_{\mathbf{s}, \chi}^{(n)}$.

Noise Distribution

- ▶ $\chi_{\alpha,q}$ is a discrete Gaussian distribution over \mathbb{Z} with standard deviation

$$\sigma = \frac{\alpha q}{\sqrt{2\pi}}$$

considered modulo q .

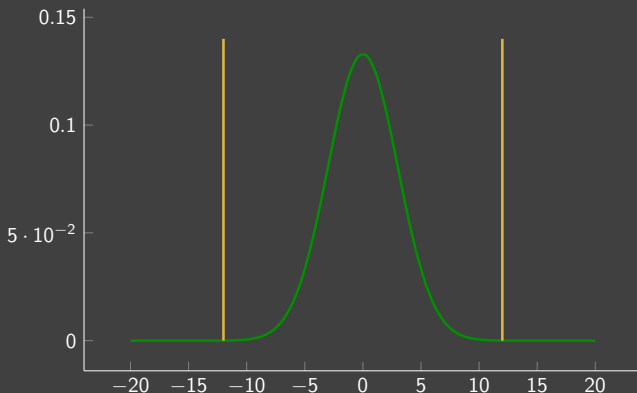
- ▶ A typical setting for the standard deviation is $\sigma = n^\epsilon$, with $0 \leq \epsilon \leq 1$.
- ▶ As soon as $\epsilon > 1/2$, (worst-case) GAPSVP $-\tilde{O}(n/\alpha)$ classically reduces to (average-case) LWE

Any algorithm solving LWE (when $\epsilon > 1/2$) can be used to solve GAPSVP $-\tilde{O}(n/\alpha)$.

Arora-Ge Idea I

The noise follows a discrete Gaussian distribution, we have:

$$\Pr[e \leftarrow_{\$} \chi : |e| > C \cdot \sigma] \leq \frac{2}{C\sqrt{2\pi}} e^{-C^2/2} \in e^{\mathcal{O}(-C^2)}.$$



Arora-Ge Idea II

If $e \leftarrow_{\S} \chi$ and

$$P(X) = X \prod_{i=1}^{C \cdot \sigma} (X + i)(X - i),$$

we have $P(e) = 0$ with probability at least $1 - e^{\mathcal{O}(-C^2)}$.

So if $(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$, and $e \leftarrow_{\S} \chi$, then

$$P\left(-c + \sum_{j=1}^n \mathbf{a}_{(j)} x_j\right) = 0, \tag{1}$$

with probability at least $1 - e^{\mathcal{O}(-C^2)}$.

Arora-Ge Idea III

Each $(\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) = (\mathbf{a}, c)$ generates a **non-linear equation** of degree $2C\sigma + 1$ in the n components of the secret \mathbf{s} which holds with probability $1 - e^{\mathcal{O}(-c^2)}$.

Arora-Ge Idea

Solve this non-linear system of equations to solve LWE.

From Arora-Ge to Arora-GB

Arora & Ge solve the non-linear system using linearisation which requires $O(n^d)$ samples to solve equations at degree d .

However, this might not be optimal, as more samples

1. increase the **number of equations** \rightarrow solving is **easier**.
2. increase the required interval $C\sigma$ and hence the **degree** \rightarrow solving is **harder**.

Our idea

Solve this non-linear system of equations using Gröbner bases.

BinaryError-LWE

Theorem (BinaryError-LWE)

Let $n, m = n(1 + \Omega(1/\log(n)))$ be integers, and $q \geq n^{\mathcal{O}(1)}$ be a sufficiently large polynomially bounded (prime) modulus.

Then, solving LWE with parameters n, m, q and independent uniformly random binary errors is at least as hard as approximating lattice problems in the worst-case on $\Theta(n/\log(n))$ -dimensional lattices within a factor $\tilde{\mathcal{O}}(\sqrt{n} \cdot q)$.

From Arora-Ge we know that this problem is easy if $m = \mathcal{O}(n^2)$. But what about

$$n(1 + \Omega(1/\log(n))) < m < \mathcal{O}(n^2)?$$

Fröberg's Conjecture

- ▶ All our results depend on assumptions that the generated systems are **semi-regular**.
- ▶ These assumptions are related to Fröberg's conjecture in algebraic geometry.
- ▶ We cannot prove our assumptions or his conjecture but we report on some progress in that direction.

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Arora & Ge Complexity

- ▶ The analysis of the Arora-Ge algorithm hides constants **in the exponent** and logarithm factors.
- ▶ The overall complexity is that of Gaussian elimination on a matrix of size

$$M_{AG} \times \begin{pmatrix} n + D_{AG} \\ D_{AG} \end{pmatrix}.$$

- ▶ Gaussian elimination on an $m \times n$ matrix of rank r has complexity

$$\mathcal{O}(mnr^{\omega-2}).$$

Arora & Ge Complexity

$$\mathcal{O}\left(M_{AG} \cdot \begin{pmatrix} n + D_{AG} \\ D_{AG} \end{pmatrix}^{\omega-1}\right) = \mathcal{O}\left(M_{AG} \cdot \begin{pmatrix} n + 2 C_{AG} \sigma + 1 \\ 2 C_{AG} \sigma + 1 \end{pmatrix}^{\omega-1}\right).$$

Bounding C_{AG} I

Lemma

Let $n, q, \sigma = \alpha \cdot q$ be parameters of an $\text{LWE}_{\chi_{\alpha, q}}$ instance where $q = \text{poly}(n)$. Let $p'_f \in [0, 1]$ be a constant upper bound on the probability of failure and

$$C_{AG} \leq 2 \sigma \log n + a^{1/2} \approx 4 \sigma \log n,$$

with

$$a = 4(\sigma \log n)^2 + 2 \log(\sigma q \log q) - 2 \log p'_f + 2 \log n.$$

Finally, let also $D_{AG} = 2 C_{AG} \sigma + 1$. Then, the system obtained by linearizing

$$\binom{n + D_{AG}}{D_{AG}} \sigma q \log q$$

equations of degree as in (1) is such that the secret is a zero of all the polynomials, with probability bigger than $1 - p'_f$.

Bounding C_{AG} II

Proof.

1. The probability of failure is upper bounded by:

$$\begin{aligned} p_f &= M_{AG} \times \Pr[e \stackrel{s}{\leftarrow} \chi_{\alpha,q} : |e| > C_{AG} \cdot \sigma] \\ &< \frac{\binom{n+D_{AG}}{D_{AG}} \sigma q \log q}{C_{AG} \cdot e^{C_{AG}^2/2}} = p'_f. \end{aligned}$$

2. Bound $\binom{n+D_{AG}}{D_{AG}}$ by $n^{D_{AG}}$ and solve for C_{AG} . We get

$$C_{AG} = 2\sigma \cdot \log(n) + a^{1/2},$$

with $a = 4(\sigma \log n)^2 + 2 \log(\sigma q \log q) - 2 \log p'_f + 2 \log n$.

3. For $q \in \text{poly}(n)$, p'_f a constant and n big enough:

$$a \approx 4(\sigma \log n)^2.$$

Result

Theorem

*Let $n, q, \sigma = \alpha \cdot q$ be parameters of an $\text{LWE}_{\chi_{\alpha, q}}$ instance.
If $n \in o(\sigma^2 \log(n))$ then the Arora & Ge algorithm solves the
computational LWE problem in time complexity*

$$\mathcal{O}\left(2^{\omega n \log(8 \sigma^2 \log n) - n \log n} \cdot \text{poly}(n)\right) = \mathcal{O}\left(2^{\omega n \log \frac{D_{\text{AG}}}{n}} \cdot \sigma q \log q\right) =$$

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Gröbner Bases I

Definition (Gröbner Basis)

Let \mathcal{I} be an ideal of $\mathbb{Z}_q[x_1, \dots, x_n]$ and fix a monomial ordering. A finite subset

$$G = \{g_1, \dots, g_m\} \subset \mathcal{I}$$

is said to be a **Gröbner basis** of \mathcal{I} if

$$\langle \text{LM}(g_1), \dots, \text{LM}(g_m) \rangle = \langle \text{LM}(\mathcal{I}) \rangle.$$

If a system of equations has one common root, the Gröbner basis of the ideal spanned by its polynomials is $[x_1 - s_1, \dots, x_n - s_n]$ where $\mathbf{s} = (s_1, \dots, s_n)$ is the common root.

Gröbner Bases II

Theorem

Let q be a prime and let $\mathbf{f} = (f_1, \dots, f_m) \in (\mathbb{Z}_q[x_1, \dots, x_n])^m$ be homogeneous polynomials and \prec be a monomial ordering. There exists a positive integer D for which Gaussian elimination on all $\mathcal{M}_{d,m}^{\text{macaulay}}(f_1, \dots, f_m)$ matrices for $d, 1 \leq d \leq D$ computes a Gröbner basis of $\langle f_1, \dots, f_m \rangle$ w.r.t. to \prec .

The complexity of computing a Gröbner basis is bounded by the complexity of performing Gaussian elimination on the Macaulay matrices up to some degree D .

Gröbner Bases III

In general, computing the maximum degree in a Gröbner computation is a difficult problem, but is known for a specific family of systems.

Definition (Semi-regular Sequence)

Let $m \geq n$, and $f_1, \dots, f_m \in \mathbb{Z}_q[x_1, \dots, x_n]$ be homogeneous polynomials of degrees d_1, \dots, d_m respectively and $\mathcal{I} = \langle f_1, \dots, f_m \rangle$. The system is said to be a **semi-regular sequence** if the Hilbert polynomial associated to \mathcal{I} w.r.t. the grevlex order is:

$$\text{HP}(z) = \left[\frac{\prod_{i=1}^m (1 - z^{d_i})}{(1 - z)^n} \right]_+, \quad (2)$$

with $[S]_+$ being the polynomial obtained by truncating the series S before the index of its first non-positive coefficient.

Gröbner Bases IV

Lemma

Let $\mathbf{f} = (f_1, \dots, f_m) \in (\mathbb{Z}_q[x_1, \dots, x_n])^m$ be affine polynomials with $m > n$. If f_1, \dots, f_m is semi-regular, then the number of operations in \mathbb{Z}_q required to compute a Gröbner basis for any admissible order is bounded by:

$$\mathcal{O} \left(m D_{\text{reg}} \binom{n + D_{\text{reg}}}{D_{\text{reg}}}^{\omega} \right), \text{ as } D_{\text{reg}} \rightarrow \infty, \quad (3)$$

where $2 \leq \omega < 3$ is the linear algebra constant and D_{reg} is the **degree of regularity** of $\langle f_1, \dots, f_m \rangle$: $1 + \deg(\text{HP}(z))$.

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BinaryError-LWE I

If $\mathbf{e} = (e_1, \dots, e_m) \in \{0, 1\}^m$ and $P(X) = X(X - 1)$, then we have $P(e_i) = 0$, for all $i, 1 \leq i \leq m$.

The secret $\mathbf{s} \in \mathbb{Z}_q^n$ is a solution to:

$$f_1 = P\left(c_1 - \sum_{j=1}^n s_j G_{j,1}\right) = 0, \quad \dots, \quad f_m = P\left(c_m - \sum_{j=1}^n s_j G_{j,m}\right) = 0. \quad (4)$$

This is an algebraic system of m quadratic equations in $\mathbb{Z}_q[x_1, \dots, x_n]$.

BinaryError-LWE II

We make the following assumption about the structure of the generated polynomials:

Assumption

Let $(G, \mathbf{s} \times G + \mathbf{e}) = (G, \mathbf{c}) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m$ be sampled according to $L_{\mathbf{s}, \mathcal{U}(\mathbb{F}_2)}^{(n)}$, and let $P(x) = X(X - 1)$. We define:

$$f_1 = P\left(c_1 - \sum_{j=1}^n s_j G_{j,1}\right) = 0, \quad \dots, \quad f_m = P\left(c_m - \sum_{j=1}^n s_j G_{j,m}\right) = 0.$$

It holds that $\langle f_1, \dots, f_m \rangle$ is semi-regular.

BinaryError-LWE III

Theorem

- (i) Let $m = C \cdot n$, with $C > 1$, and let $f_1, \dots, f_m \in \mathbb{Z}_q[x_1, \dots, x_n]$ be a semi-regular system of equations. The degree of regularity of f_1, \dots, f_m behaves asymptotically as

$$D_{\text{reg}} = \left(C - \frac{1}{2} - \sqrt{C(C-1)} \right) n - \frac{a_1}{2(C(C-1))^{1/6}} n^{\frac{1}{3}} - \left(2 - \frac{2C-1}{4(C(C-1))^{1/2}} \right) + \mathcal{O}\left(\frac{1}{n^{\frac{1}{3}}}\right),$$

where $a_1 \approx 2.3381$ is the largest zero of the classical Airy function.

- (ii) Let $m = n \cdot \log^{1/\epsilon}(n)$, for any constant $\epsilon > 0$, or $m = n \log \log n$. The degree of regularity of f_1, \dots, f_m behaves asymptotically as:

$$D_{\text{reg}} = \frac{n^2}{8m} (1 + o(1)).$$

BinaryError-LWE IV

Theorem

Let $\omega, 2 \leq \omega < 3$, be the linear algebra constant. Under our assumption:

- (i) If $m = n \left(1 + \frac{1}{\log(n)}\right)$, then there is an algorithm solving BinaryError-LWE in

$$\mathcal{O} \left(n^2 2^{1.37 \omega n} \right) \text{ operations.}$$

...

- (iv) If $m = \mathcal{O}(n \log \log n)$, in

$$\mathcal{O} \left(m^2 2^{\frac{\omega n \log \log \log n}{8 \log \log n}} \right) \text{ operations.}$$

Summary

- ▶ Given access to $m \geq 6.6 n$ samples we can solve BinaryError-LWE in time

$$\mathcal{O}\left(n^2 2^{0.344 n}\right)$$

- ▶ Given access to $m = \mathcal{O}(n \log \log n)$ samples we can solve BinaryError-LWE in **subexponential** time:

$$\mathcal{O}\left(2^{\frac{\omega n \log \log \log n}{8 \log \log n}}\right).$$

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Arora-GB I

To analyse the complexity of solving LWE with Arora-Ge and Gröbner bases, we make use of the following simple technical lemma:

Lemma

Let $(\mathbf{a}_1, b_1), \dots, (\mathbf{a}_m, b_m)$ be elements of $\mathbb{F}_q^n \times \mathbb{F}_q$ sampled according to $\text{LWE}_{\chi_{\alpha}, q}$. If $C = \sqrt{2 \log(m)}$ then, the equations generated as in (1) vanish with probability at least:

$$p_g = 1 - \sqrt{\frac{1}{\pi \cdot \log(m)}}.$$

Arora-GB II

We assume that $\sigma = n^\epsilon$, with $0 \leq \theta \leq \epsilon \leq 1$. We consider a number of samples of the following form:

$$M_{\text{GB}} = e^{\gamma_\theta}, \text{ with } \gamma_\theta = n^{2 \cdot (\epsilon - \theta)}.$$

Note that $\theta = 0$ corresponds up to polylog factors to the basic Arora-Ge approach.

Arora-GB III

We can then deduce the degree D_{GB} required for $M_{\text{GB}} = e^{\gamma_\theta}$ equations. We have to fix $C_{\text{GB}} = \sqrt{2 \cdot \log(M_{\text{GB}})} = \sqrt{2 \cdot \gamma_\theta}$, giving us:

$$\begin{aligned} D_{\text{GB}} &= 2 \sqrt{2 \cdot \log(M_{\text{GB}})} \cdot \sigma + 1 \in \mathcal{O} \left(\sqrt{\log(M_{\text{GB}})} \cdot \sigma \right) \\ &= \mathcal{O} \left(\sqrt{\gamma_\theta} \cdot \sigma \right) = \mathcal{O} \left(n^{2\epsilon - \theta} \right) = \mathcal{O} \left(\gamma_\theta \cdot n^\theta \right). \end{aligned}$$

But to ease the analysis below, we further simplify D_{GB} to:

$$D_{\text{GB}} \approx \gamma_\theta \cdot n^\theta = \log(M_{\text{GB}}) \cdot n^\theta.$$

Arora-GB IV

Again, our results depend crucially on an assumption about the structure of the generated equations:

Assumption

Let $(\mathbf{a}_1, b_1), \dots, (\mathbf{a}_{M_{\text{GB}}}, b_{M_{\text{GB}}})$ be elements of $\mathbb{F}_q^n \times \mathbb{F}_q$ sampled according to $\text{LWE}_{\chi_{\alpha}, q}$. Let $P(X) = X \prod_{i=1}^{C_{\text{GB}} \cdot \sigma} (X + i)(X - i)$. We define:

$$f_i = P\left(-b + \sum_{j=1}^n (\mathbf{a}_i)_{(j)} x_j\right) = 0, \forall i, 1 \leq i \leq M_{\text{GB}}. \quad (7)$$

Then, $\langle f_1, \dots, f_m \rangle$ is semi-regular.

From D_{GB} and M_{GB} we now need to establish the degree of regularity.

Lemma

Let $A \geq 1$, and $f_1, \dots, f_m \in \mathbb{Z}_q[x_1, \dots, x_n]$ be semi-regular polynomials of degree $\frac{n}{A}$, and D_{reg} be the degree of regularity of these polynomials. If $m = e^{\frac{\pi \cdot n}{4 \cdot A^2}}$, then it holds that D_{reg} behaves asymptotically as

$C_A \cdot n$, where C_A is a constant which depends on A .

Complexity

Arora-Ge (Linearisation) with $\sigma = \sqrt{n}$

$$\mathcal{O}\left(2^{8\omega n \log n (\log n - \log(8 n \log n))}\right)$$

Gröbner Bases with $\sigma = \sqrt{n}$

$$\mathcal{O}\left(2^{n(2.35\omega + 1.13)}\right)$$

under some regularity assumption.

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Fröberg's Conjecture I

- ▶ Our results depend on two similar assumptions, i.e. that our systems behave like semi-regular sequences.
- ▶ We cannot prove that this holds.
- ▶ We **experimentally** verified our assumptions for up to non-trivial problem sizes.
- ▶ We note that our assumptions are related to a famous conjecture in algebraic geometry known as **Fröberg's conjecture**.
- ▶ It states that that a property – i.e. the rank of some linear map associated to Macaulay matrices is maximal – holds **generically**.
- ▶ Genericity means that a property holds except for the vanishing set of some polynomial.

Fröberg's Conjecture II

- ▶ A matrix has full rank if its determinant is not zero.
- ▶ Matrices have full rank except when their determinant polynomial vanishes.
- ▶ This happens with low probability by the Schwartz - Zippel - DeMillo - Lipton lemma:

Lemma (Schwartz, Zippel, DeMillo, Lipton)

Let \mathbb{K} be a field and $P \in \mathbb{K}[x_1, \dots, x_n]$ be a non-zero polynomial. Select r_1, \dots, r_n uniformly at random from a finite subset \mathcal{X} of \mathbb{K} . Then, the probability that $P(r_1, \dots, r_n) = 0$ is less than $\deg(P)/|\mathcal{X}|$.

Fröberg's Conjecture III

- ▶ The main difficulty in Fröberg's conjecture is to prove that the determinant polynomial is not always identically zero.
- ▶ If you try a random example, it will almost always work. But what about as n goes to infinity?
- ▶ To prove Fröberg's conjecture, we must find **one** explicit family of equations for which we can be proven semi-regular for any m and n .

Fröberg's Conjecture IV

- ▶ Proving our assumptions would provide such family and hence solve Fröberg's conjecture.
- ▶ Furthermore, any non-trivial partial results on our assumptions would lead to progress on the general Fröberg's conjecture.
- ▶ Indeed, Fröberg and Hollman already investigated the genericity of squares of linear forms, i.e. a problem very close to ours, in order to make progress on Fröberg's conjecture.

We report some progress towards proving Fröberg conjecture by investigating our assumptions. We prove

- ▶ that the equations f_1, \dots, f_m generated for BinaryError-LWE are linearly independent with high probability;
- ▶ that for BinaryError-LWE f_1, \dots, f_m with $m \leq n + \lfloor \frac{n-2}{2} \rfloor$ is semigeneric, i.e. $\{x_i \cdot f_j\}_{1 \leq j \leq n \atop 1 \leq i \leq n}$ spans a vector space of maximal dimension;
- ▶ that the assumption holds for BinaryError-LWE for $m = n + 1$ and a sufficiently big field.

An Example I

Lemma

For all $i, 1 \leq i \leq n$, construct a $n \times (n - (i - 1))$ matrix G_i as follows. All the coefficients of G_i are zero except:

- ▶ $G_i[i, j] = 1$, for all $j, 1 \leq j \leq (n - (i - 1))$.
- ▶ $G_i[j + (i - 1), j] = 1$, for all $j, 1 \leq j \leq (n - (i - 1))$.

Now, let $G^* = G_1 \| G_2 \| \cdots \| G_n$ be a block matrix, $\mathbf{s} \in \mathbb{Z}_q^n$ chosen uniformly at random, and $\mathbf{e} \in \{0, 1\}^m$ sampled uniformly. We set $\mathbf{c} = \mathbf{s} \times G^* + \mathbf{e}$ and $P(x) = X(X - 1)$ and define:

$$f_1 = P(c_1 - \sum_{j=1}^n x_j G_{j,1}^*), \dots, f_m = P(c_m - \sum_{j=1}^n x_j G_{j,m}^*).$$

Then, the homogeneous components f_1^H, \dots, f_m^H of degree 2 are linearly independent.

An Example II

For $n = 4$, and $m = n(n + 1)/2 = 10$ the matrix G^* is as follows:

$$\begin{bmatrix} 1 & 1 & 1 & 1 & & & & & & \\ & 1 & & & 1 & 1 & 1 & & & \\ & & 1 & & & 1 & & 1 & 1 & \\ & & & 1 & & & 1 & & 1 & 1 \end{bmatrix}.$$

An Example III

The generated equations are

$$0 = x_1^2 + 15 \cdot x_1 + 5,$$

$$0 = x_1^2 + 2 \cdot x_1 \cdot x_2 + x_2^2 + 4,$$

$$0 = x_1^2 + 2 \cdot x_1 \cdot x_3 + x_3^2 + 10 \cdot x_1 + 10 \cdot x_3 + 12,$$

$$0 = x_1^2 + 2 \cdot x_1 \cdot x_4 + x_4^2 + 9 \cdot x_1 + 9 \cdot x_4 + 3,$$

$$0 = x_2^2 + 5 \cdot x_2 + 6,$$

$$0 = x_2^2 + 2 \cdot x_2 \cdot x_3 + x_3^2 + 4,$$

$$0 = x_2^2 + 2 \cdot x_2 \cdot x_4 + x_4^2 + 16 \cdot x_2 + 16 \cdot x_4,$$

$$0 = x_3^2 + 13 \cdot x_3 + 8,$$

$$0 = x_3^2 + 2 \cdot x_3 \cdot x_4 + x_4^2 + 7 \cdot x_3 + 7 \cdot x_4 + 12,$$

$$0 = x_4^2 + 14 \cdot x_4 + 2.$$

An Example IV

By performing the reductions, we get:

$$0 = x_1^2 + 15 \cdot x_1 + 5,$$

$$0 = 2 \cdot x_1 \cdot x_2 + x_2^2 + 2 \cdot x_1 + 16,$$

$$0 = 2 \cdot x_1 \cdot x_3 + x_3^2 + 12 \cdot x_1 + 10 \cdot x_3 + 7,$$

$$0 = 2 \cdot x_1 \cdot x_4 + x_4^2 + 11 \cdot x_1 + 9 \cdot x_4 + 15,$$

$$0 = x_2^2 + 5 \cdot x_2 + 6,$$

$$0 = 2 \cdot x_2 \cdot x_3 + x_3^2 + 12 \cdot x_2 + 15,$$

$$0 = 2 \cdot x_2 \cdot x_4 + x_4^2 + 11 \cdot x_2 + 16 \cdot x_4 + 11,$$

$$0 = x_3^2 + 13 \cdot x_3 + 8,$$

$$0 = 2 \cdot x_3 \cdot x_4 + x_4^2 + 11 \cdot x_3 + 7 \cdot x_4 + 4,$$

$$0 = x_4^2 + 14 \cdot x_4 + 2$$

Fin

Questions?