# HOLLOW LWE: A NEW SPIN — UNBOUNDED UPDATABLE ENCRYPTION FROM LWE AND PCE

<u>Martin R. Albrecht</u><sup>1</sup> (King's College London and SanboxAQ), Benjamin Benčina (Royal Holloway, University of London) and Russell W. F. Lai (Aalto University)

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<sup>&</sup>lt;sup>1</sup>Slides heavily based on Benjamin's slides.

# Public-Key Encryption (PKE)

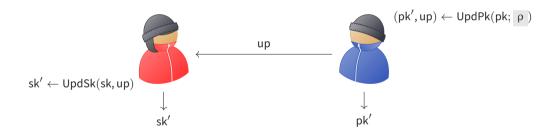
#### Properties:

- Decryption Correctness: msg' = msg.
- IND-CPA Security:

$$(\mathsf{pk}, \mathsf{Enc}(\mathsf{pk}, \mathsf{msg}_0)) \approx (\mathsf{pk}, \mathsf{Enc}(\mathsf{pk}, \mathsf{msg}_1)).$$

# **UPDATABLE PUBLIC-KEY ENCRYPTION (UPKE)**

Let (KGen, Enc, Dec) be a correct PKE scheme.



• Update correctness: Dec. cor. holds for updated keys (pk', sk').

# IND-CR-CPA SECURITY EXPERIMENT

| $\overline{IND\text{-CR\text{-}CPA}_{\Pi,\mathcal{A}}(1^\lambda)}$ | Oracle UpdO( $\rho$ )                           |  |  |  |
|--|---|--|--|--|
| $i := 0;  b \Longleftrightarrow \{0, 1\}$                          | / Update honestly using                         |  |  |  |
| $(pk_0, sk_0) \leftarrow KGen(1^{\lambda})$                        | / potentially malicious randomness.             |  |  |  |
| $(st, msg_0, msg_1) \leftarrow \mathcal{A}^{UpdO}(pk_0)$           | $(pk_{i+1}, up_i) \leftarrow UpdPk(pk_i; \rho)$ |  |  |  |
| $ctxt \leftarrow Enc(pk_i, msg_b)$                                 | $sk_{i+1} \leftarrow UpdSk(sk_i, up_i)$         |  |  |  |
| $st \leftarrow \mathcal{A}^UpdO(ctxt, st)$                         | i := i + 1                                      |  |  |  |
| j := i   |   |  |  |  |
| $(pk_{j+1}, up_j) \leftarrow UpdPk(pk_j)$                          |   |  |  |  |
| $sk_{j+1} \leftarrow UpdSk(sk_j, up_j)$                            |   |  |  |  |
| $b' \leftarrow \mathcal{A}(pk_{j+1}, sk_{j+1}, up_j, st)$          |   |  |  |  |
| return b = b'  |   |  |  |  |

# IND-CR-CPA SECURITY

$$(pk, Enc(pk, msg_0), pk', sk', up) \underset{c}{\approx} (pk, Enc(pk, msg_1), pk', sk', up)$$
  
 $\Rightarrow$  "forward secrecy."

# DUAL-REGEV ENCRYPTION [REG05, GPV08]

| $KGen(1^\lambda)$   | $Enc(pk,msg \in \{0,1\})$   |
|---|---|
| $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times k}$   | $\mathbf{x} \leftarrow \mathbb{Z}_q^k;  \mathbf{e} \leftarrow \mathbb{X}^n;  e' \leftarrow \mathbb{X}$    |
| $\mathbf{r} \leftarrow \$ \{\pm 1\}^n$  | $\mathbf{c}_0\coloneqq \mathbf{A}\cdot\mathbf{x}+\mathbf{e}\ mod\ q$                                      |
| $\mathbf{u}^{\mathrm{T}}\coloneqq\mathbf{r}^{\mathrm{T}}\cdot\mathbf{A}\ \mathrm{mod}\ q$ | $c_1 := \langle \mathbf{u}, \mathbf{x}  angle + e' + \lfloor rac{q}{2}  ceil \cdot msg \ mod \ q$        |
| $pk \coloneqq (\mathbf{A}, \mathbf{u})$   | $\textbf{return}ctxt \coloneqq (\textbf{c}_0, c_1)$   |
| $sk \coloneqq \mathbf{r}$   |   |
| return (pk, sk)   | Dec(sk, ctxt)   |
| ,. ,  | <b>return</b> $\left  \frac{2}{q} \cdot (c_1 - \langle \mathbf{r}, \mathbf{c}_0 \rangle \bmod q) \right $ |

- Correctness:  $\mathbf{r}$ ,  $\mathbf{e}$ ,  $\mathbf{e}'$  are short enough  $\Rightarrow$  Dual-Regev has decryption correctness.
- Security: LWE assumption  $\Rightarrow$  Dual-Regev is IND-CPA secure.

# PRIOR LWE KEY-UPDATE MECHANISM [DKW21]

| UpdPk(pk)   | UpdSk(sk, up)                       |  |  |
|---|-------------------------------------|--|--|
| $(\mathbf{A}, \mathbf{u}) \leftarrow pk$                        | $\mathbf{r} \leftarrow sk$          |  |  |
| $\delta \leftarrow x_{\mathbf{r}}^{n}$                          | $\delta \leftarrow Dec(sk,up)$      |  |  |
| $pk' := (\textbf{A}, \textbf{u}^T + \delta^T \cdot \textbf{A})$ | $sk' \coloneqq \mathbf{r} + \delta$ |  |  |
| $up \leftarrow Enc(pk, \delta)$                                 | <b>return</b> $sk'$                 |  |  |
| return (pk', up)  |                                     |  |  |

#### Issues

- Updated secret key  $\mathbf{r}' = \mathbf{r} + \delta$  has increased norm.
- · To maintain correctness with many updates, either
  - restrict number of updates to be fixed a-priori, or
  - for poly( $\lambda$ ) many updates, set super-poly. modulus  $q > \lambda^{\omega(1)} \Rightarrow \text{large ctxt}$ .

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# **Prior method: Adding noise**

 $\mathsf{sk} \xrightarrow{+\delta_1} \mathsf{sk} \xrightarrow{+\delta_2} \cdots \xrightarrow{+\delta_t}$ 

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$$\mathsf{SK} \xrightarrow{+\delta_1} \mathsf{Sk} \xrightarrow{+\delta_2} \cdots \xrightarrow{+\delta_t}$$

#### **Our Approach: Rotating keys**

$$\mathsf{sk} \xrightarrow{\varphi_1} \overset{\varphi_2}{\longrightarrow} \overset{\varphi_2}{\longrightarrow} \overset{\varphi_t}{\longrightarrow} \mathsf{sk}$$

# q-ARY LATTICES

A lattice  $\Lambda \subseteq \mathbb{R}^n$  is a discrete additive subgroup of  $\mathbb{R}^n$ , i.e.

$$\Lambda = \mathbf{B} \cdot \mathbb{Z}^k$$

for some basis  $\mathbf{B} \in \mathbb{R}^{n \times k}$  where  $k \leq n$ . All bases  $\mathbf{B}, \mathbf{B}' \in \mathbb{R}^{n \times k}$  are related by unimodular  $\mathbf{U} \in \mathbb{Z}^{k \times k}$  via  $\mathbf{B}' = \mathbf{B} \cdot \mathbf{U}$ .

Define the Construction A lattice of a full-rank  $\mathbf{A} \in \mathbb{Z}_a^{n \times k}$  as

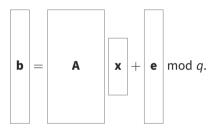
$$\Lambda_q(\mathbf{A}) = \mathbf{A} \cdot \mathbb{Z}^k + q \cdot \mathbb{Z}^n.$$

Note that  $\Lambda_q(\mathbf{A})$  is q-ary, i.e.

$$q \cdot \mathbb{Z}^n \subseteq \Lambda_a(\mathbf{A}) \subseteq \mathbb{Z}^n$$
.

# LWE AND DUAL-REGEV: LATTICE POINT OF VIEW

For  $\mathbf{A} \leftrightarrow \mathbb{Z}_{q}^{n \times k}$ ,  $\mathbf{x} \leftrightarrow \mathbb{Z}_{q}^{k}$ , short noise  $\mathbf{e} \leftrightarrow \mathbf{x}^{n}$ , consider



LWE assumption: for  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times k}$ ,  $\mathbf{x} \leftarrow \mathbb{Z}_q^k$ ,  $\mathbf{e} \leftarrow \mathbb{Z}_q^k$ ,  $\mathbf{e} \leftarrow \mathbb{Z}_q^n$ ,  $\mathbf{u} \leftarrow \mathbb{Z}_q^n$  we have  $(\mathbf{A}, \mathbf{A} \cdot \mathbf{x} + \mathbf{e} \bmod q) \approx (\mathbf{A}, \mathbf{u})$ .

Dual-Regev key-pair:  $(\mathbf{A}, \mathbf{r}^{\mathrm{T}} \cdot \mathbf{A}) \approx (\mathbf{A}, \mathbf{u}^{\mathrm{T}} \longleftrightarrow \mathbb{Z}_q^k)$  for short  $\mathbf{r}$  by LHL, or  $\approx$  by LWE.

# LATTICE ISOMORPHISM PROBLEM (LIP)

**Lattice Isomorphism:** Lattices  $\Lambda$ ,  $\Lambda'$  are isomorphic, denoted  $\Lambda \sim \Lambda'$ , if there exists an orthogonal matrix  $\mathbf{O} \in \mathcal{O}_n(\mathbb{R})$ , i.e.

$$\mathbf{O} \in \mathbb{R}^{n \times n}$$
 with  $\mathbf{O}^{\mathrm{T}} \cdot \mathbf{O} = \mathbf{I}_n$ ,

such that

$$\Lambda' = \mathbf{O} \cdot \Lambda$$
,

i.e.  $\Lambda'$  can be obtained by rotating and reflecting  $\Lambda$ . If **B** and **B**' are bases of  $\Lambda$  and  $\Lambda'$ , then it means  $\mathbf{B}' = \mathbf{O} \cdot \mathbf{B} \cdot \mathbf{U}$  for some unimodular  $\mathbf{U} \in \mathbb{Z}^{k \times k}$ .

**Lattice Isomorphism Problem** ( $\Delta$ LIP) [DvW22]: Given lattices  $\Lambda_0, \Lambda_1, \Lambda \subseteq \mathbb{R}^n$ , decide if

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# **ROTATE KEYS WITH LIP?**

#### The idea, more concretely:

- Rotate the lattice:  $\mathbf{A} \mapsto \mathbf{A}' := \mathbf{O} \cdot \mathbf{A} \cdot \mathbf{U} \mod q$ .
- Rotate the key:  $\mathbf{r} \mapsto \mathbf{r}' := \mathbf{0} \cdot \mathbf{r} \mod q$ .
- Update the syndrome:  $\mathbf{u} \mapsto \mathbf{u}' := \mathbf{U}^{\mathrm{T}} \cdot \mathbf{u} \mod q$ , so that:

$$\mathbf{r}^{\mathrm{T}} \cdot \mathbf{A} = \mathbf{u}^{\mathrm{T}} \qquad \Rightarrow \qquad \mathbf{r'}^{\mathrm{T}} \cdot \mathbf{A'} = \mathbf{u'}^{\mathrm{T}}$$

One can think of it as re-randomising a SIS commitment.

$$\text{Upshot: } \left\| \mathbf{r}' \right\|_2 = \sqrt{\langle \mathbf{O} \cdot \mathbf{r}, \mathbf{O} \cdot \mathbf{r} \rangle} = \sqrt{\langle \mathbf{r}, \mathbf{r} \rangle} = \left\| \mathbf{r} \right\|_2.$$

**Issue:** Orthogonal  $O \in \mathcal{O}_n(\mathbb{R})$  are real-valued  $\Rightarrow O \cdot A \cdot U$  and  $O \cdot r$  may not be integral.

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# LATTICE AUTOMORPHISM OF $\mathbb{Z}^n$

- The automorphism group  $\operatorname{Aut}(\Lambda)$  of a lattice  $\Lambda$  is the group of all isomorphisms from  $\Lambda$  to itself.
- It is well-known that  $\operatorname{Aut}(\mathbb{Z}^n)=\mathcal{O}_n(\mathbb{Z})$ , i.e. the group of signed permutations

$$\mathcal{O}_n(\mathbb{Z}) = \{ \mathbf{D} \cdot \mathbf{P} \; ; \; \mathbf{D} \in \text{diag}(\{\pm 1\}^n), \; \mathbf{P} \in \mathcal{P}_n \}.$$

Since

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we have

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#### CODING THEORY POINT OF VIEW

- The Construction A lattice of  $\mathbf{A} \in \mathbb{Z}_q^{n \times k}$  defined by  $\Lambda_q(\mathbf{A}) = \mathbf{A} \cdot \mathbb{Z}^k + q \cdot \mathbb{Z}^n$  is isomorphic to the [n,k]-linear code  $\mathfrak{C} = \mathbf{A} \cdot \mathbb{Z}_q^k$  over  $\mathbb{Z}_q$  generated by  $\mathbf{A}$ .
- The (Signed) Permutation Code Equivalence ((S)PCE) problem is to decide if two codes 

   e and 

   e' are
   (signed) permutation equivalent, i.e. whether

$$\mathfrak{C}' = \mathbf{O} \cdot \mathfrak{C}$$

for some (signed) permutation matrix  $\mathbf{O} \in \mathcal{O}_n(\mathbb{Z})$ 

• SPCE is essentially decision LIP with  $\Lambda$ 's restricted to q-ary lattices and  $\mathbf{0}$ 's restricted to signed permutations.

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# PCE-BASED KEY-UPDATE MECHANISM

| UpdPk(pk)   | UpdSk(sk, up)                        |
|---|--------------------------------------|
| $(\mathbf{A},\mathbf{u})\leftarrowpk$                         | $\bm{r} \leftarrow sk$               |
| $\mathbf{O} \leftarrow \mathcal{S} \mathcal{O}_n(\mathbb{Z})$ | $\textbf{0} \leftarrow Dec(sk,up)$   |
| $\mathbf{A}',\mathbf{U}:=SF(\mathbf{O}\cdot\mathbf{A})$       | $sk' := \mathbf{O} \cdot \mathbf{r}$ |
| $pk' := (\mathbf{A}', \mathbf{u}^T \cdot \mathbf{U})$         | <b>return</b> $sk'$                  |
| $up \leftarrow Enc(pk, 0)$                                    |                                      |
| return (pk', up)  |                                      |

Update correctness:

$$\mathbf{r}'^{\mathrm{T}} \cdot \mathbf{A}' = \mathbf{r}^{\mathrm{T}} \cdot \underbrace{\mathbf{O}^{\mathrm{T}} \cdot \mathbf{O}}_{\mathbf{I}_{a}} \cdot \mathbf{A} \cdot \mathbf{U} = \underbrace{\mathbf{r}^{\mathrm{T}} \cdot \mathbf{A}}_{\mathbf{u}^{\mathrm{T}}} \cdot \mathbf{U} = \mathbf{u}^{\mathrm{T}} \cdot \mathbf{U} = \mathbf{u}'^{\mathrm{T}} \pmod{q}.$$

#### CAUTION - MIND THE HULL

- The hardness of (S)PCE, depends on the hull of the code  $\mathfrak{C} = \mathbf{A} \cdot \mathbb{Z}_q^k$ .
- The hull  $\mathcal{H}(\mathfrak{C}) := \mathfrak{C} \cap \mathfrak{C}^{\perp} = \{ \mathbf{x} \in \mathfrak{C} \; ; \; \mathbf{x}^{\mathsf{T}} \cdot \mathfrak{C} = \mathbf{0} \}$  is a subcode of  $\mathfrak{C}$ .
- Random codes have small hull dimension [Sen97], most likely 0.
- Existing attacks against (S)PCE run in time  $\mathcal{O}\left(q^h \cdot \mathsf{poly}(n,k)\right)$  or  $\mathcal{O}\left(n^h \cdot \mathsf{poly}(n,k,q)\right)$ , i.e. efficient when h is small [Sen00, BOST19].
- Up to now, only LCD (h = 0) and self-orthogonal (h = k) codes have been treated in the literature, and not algorithmically.

# SampCode(n, k, h, q)

We give an algorithm SampCode(n, k, h, q) that samples **A** generating a uniformly random [n, k]-linear code over  $\mathbb{Z}_q$  with hull dimension h. We call such codes and matrices "h-hollow".

# SAMPLE SELF-DUAL VECTORS

**Definition:** A vector  $\mathbf{v} \in \mathfrak{C}$  is self-orthogonal if  $\langle \mathbf{v}, \mathbf{v} \rangle = 0$ .

**Observation:**  $\mathbf{v} = \mathbf{A} \cdot \mathbf{x} \in \text{Span}(\mathbf{A})$  is self-orthogonal iff  $\mathbf{x}^T \cdot \mathbf{A}^T \cdot \mathbf{A} \cdot \mathbf{x} = 0$ .

Warning's Second Theorem [CW35] implies there are at least  $q^{k-2}$  self-orthogonal vectors in any code.

#### Algorithm idea:

- Sample  $\mathbf{x}_i \leftarrow \mathbb{Z}_q$  for  $i = 1, \dots, k-2$ .
- Solve the conic equation  $\mathbf{x}^{\mathrm{T}} \cdot \mathbf{A} \cdot \mathbf{x} = 0$  for  $(\mathbf{x}_{k-1}, \mathbf{x}_k)$ .
- Complete x with a random solution (and adjust the probability).

# **SOLVING CONICS OVER FINITE FIELDS**

**Definition:** A smooth affine conic is an equations of the form

$$A \cdot x^2 + B \cdot xy + C \cdot y^2 + D \cdot x + E \cdot y + F = 0,$$

where  $\Delta = B^2 - 4 \cdot A \cdot C \neq 0$ .

A conic over a finite field  $\mathbb{F}$  of odd characteristic always has a solution. If  $\Delta \in QR(\mathbb{Z}_q)$  then the number of solutions  $S \in \{q-1, 2\cdot q-1\}$  and if  $\Delta \notin QR(\mathbb{Z}_q)$  then  $S \in \{1, q+1\}$ .

# ADJUSTING PROBABILITIES WITH REJECTION SAMPLING

Discriminant  $\Delta$  depends on **A** and is fixed at the beginning of execution. So we know the maximal number of solutions  $M(\Delta)$  is either  $2 \cdot q - 1$  or q + 1.

If the conic has S solutions, we have to accept  $\mathbf{v}$  with probability  $\frac{S}{M}$ , since

$$\Pr[\mathbf{v} = \mathbf{A} \cdot \mathbf{x} \text{ sampled}] = \frac{1}{q^{k-2}} \cdot \frac{1}{S} \cdot \Pr\left[u \le \frac{S}{M}\right] = \frac{1}{q^{k-2}} \cdot \frac{1}{M},$$

is then independent of *S*, hence the distribution is uniform.

# STEALING FROM THE DUAL

#### Algorithm:

- Sample a 0-hollow matrix  $\mathbf{A}_0$  from  $\mathbb{Z}_a^{n\times(k-h)}$ .
- Sample  $\mathbf{y} \Longleftrightarrow \mathsf{SSO}(\mathsf{Span}(\mathbf{A}_0)^{\perp})$  and define  $\mathbf{A}_1 = [\mathbf{A}_0, \mathbf{y}].$
- ...
- Sample  $\mathbf{y} \leftarrow SSO(\operatorname{Span}(\mathbf{A}_{h-1})^{\perp})$  and return  $\mathbf{A}_h = [\mathbf{A}_{h-1}, \mathbf{y}] \in \mathbb{Z}_q^{n \times k}$ .

The output distribution of this algorithm is negligibly close to the uniform distribution on [n, k]-linear h-hollow codes over  $\mathbb{Z}_a$ . It succeeds with prob.

$$\varepsilon \ge \left(1 - \frac{1}{q} - \frac{1}{q^2}\right) \cdot \left(1 - \mathsf{negl}(n)\right)$$

if  $2 \cdot k \le n$  and  $2 \cdot h \le k$ .

# HOLLOW LATTICE PROBLEMS

**Upshot:** Now that we know how to sample h-hollow codes, we can rely on PCE for h-hollow codes with  $n^h \ge 2^{\lambda}$  and  $q^h \ge 2^{\lambda}$  (+ other conditions), which should now be hard.

Question: Does this somehow make LWE easy?

# **HOLLOW LATTICE PROBLEMS**

**Hollow-LWE:**  $\mathbf{A} \hookleftarrow \mathbb{Z}_q^{n \times k} \ h$ -hollow,  $\mathbf{x} \hookleftarrow \mathbb{Z}_q^k$ ,  $\mathbf{e} \hookleftarrow \mathbf{x}^n$ ,  $\mathbf{u} \hookleftarrow \mathbb{Z}_q^n$ , distinguish

$$(\mathbf{A}, \mathbf{A} \cdot \mathbf{x} + \mathbf{e})$$
 from  $(\mathbf{A}, \mathbf{u})$ .

#### Theorem (LWE $\rightarrow$ Hollow-LWE)

If there exists a  $(t, \varepsilon)$ -algorithm  $\mathcal A$  for LWE $_{k,n,q,\chi}^h$  then there exists a  $(t+\operatorname{poly}(\lambda), \varepsilon')$ -algorithm  $\mathcal B$  for LWE $_{k-h,n,q,\chi}$  where

$$\varepsilon' \geq \varepsilon \cdot \underbrace{\left(1 - \frac{1}{q} - \frac{1}{q^2}\right)}_{\textit{triv. hull}} \cdot \underbrace{\left(1 - \frac{h}{e^n}\right)}_{\textit{sub-sampler}} \cdot \underbrace{\prod_{i=0}^{k-h} \left(1 - q^{i-n}\right)}_{\textit{full rank}} \cdot \underbrace{\prod_{i=1}^{h} \left(1 - q^{k+i-n}\right)}_{\textit{lin. dep. in hull}}.$$

# HOLLOW LATTICE PROBLEMS

# Theorem (Hollow-LHL)

Let n, k, h, q integers with

$$n \ge \underbrace{(1+c) \cdot k \cdot \log_2(q)}_{LHL} + \underbrace{k+h}_{extra}$$

for a positive real constant c > 0,  $h \le \frac{k}{2}$ , and q an odd prime. Let  $\mathbf{A} \longleftrightarrow \mathbb{Z}_q^{n \times k}$  h-hollow matrix,  $\mathbf{r} \longleftrightarrow \{\pm 1\}^n$ , and  $\mathbf{u} \longleftrightarrow \mathbb{Z}_q^k$ . Then the pairs

$$(\mathbf{A}, \mathbf{r}^{\mathrm{T}} \cdot \mathbf{A})$$
 and  $(\mathbf{A}, \mathbf{u}^{\mathrm{T}})$ 

are statistically close in k.

# **OUR CONSTRUCTION**

| $KGen(1^{\pmb{\lambda}})$  | $Enc(pk,msg \in \mathbb{Z} \cap [-p/2,p/2))$   | UpdPk(pk)   | $\frac{UpdSk(sk,up)}{UpdSk(sk,up)}$                 |
|--|--|---|---|
| <b>A</b> $\leftarrow$ \$ SampCode $(n, k, h, q)$                                 | $\mathbf{x} \leftarrow \mathfrak{Z}_q^k;  \mathbf{e} \leftarrow \mathfrak{x}^n;  e' \leftarrow \mathfrak{x}$ | $\rho \leftarrow \$ \{0,1\}^{\lambda}$  | $\rho \leftarrow Dec(sk,up)$                        |
| $\mathbf{r} \longleftrightarrow \{\pm 1\}^n$                                     | $\mathbf{c}_0 \coloneqq \mathbf{A} \cdot \mathbf{x} + \mathbf{e} mod q$                                      | $\textbf{0} \coloneqq H(\rho)$  | $\boldsymbol{0} \coloneqq H(\rho)$                  |
| $\mathbf{u}^{\mathtt{T}}\coloneqq\mathbf{r}^{\mathtt{T}}\cdot\mathbf{A}\ mod\ q$ | $c_1 := \langle \mathbf{u}, \mathbf{x}  angle + e' + \left\lfloor rac{q}{p}  ight ceil \cdot msg \ mod \ q$ | $(\textbf{A}',\textbf{U})\coloneqq \text{SF}(\textbf{O}\cdot\textbf{A})$          | $\mathbf{r}' \coloneqq \mathbf{O} \cdot \mathbf{r}$ |
| $pk := (\mathbf{A}, \mathbf{u})$   | $returnctxt \coloneqq (\mathbf{c}_0, c_1)$   | $\mathbf{u'}^{\mathtt{T}}\coloneqq\mathbf{u}^{\mathtt{T}}\cdot\mathbf{U}\ mod\ q$ | $\textbf{return} \ sk' \coloneqq \textbf{r'}$       |
| $sk \coloneqq \mathbf{r}$  |  | $pk' := (\mathbf{A}', \mathbf{u}')$   |   |
| return (pk, sk)  | Dec(sk, ctxt)  | $up \leftarrow Enc(pk, \rho)$   |   |
|  | <b>return</b> $\left  \frac{p}{q} \cdot (c_1 - \langle \mathbf{r}, \mathbf{c}_0 \rangle \bmod q) \right $    | return (pk', up)  |   |

# **SECURITY THEOREM**

Our construction is the Dual-Regev PKE with

- $\mathbf{A} \leftarrow \text{sampCode}(n, k, h, q)$ ,
- $\mathbf{r} \leftarrow \$ \{\pm 1\}^n$ , and
- the above PCE-based update mechanism.

#### Theorem

Let n, k, h, q be positive integers parametrised by  $\lambda$  with  $n \ge (1 + c) \cdot k \cdot \log_2(q) + k + h$  for a positive real constant c > 0,  $2 \cdot h \le k$  and q prime.

Assuming the advantage of any PPT adversary in distinguishing  $LWE_{k,n,q,\chi}^h$  and in distinguishing  $PCE_{n,k,q}^h$  is negligible in  $\lambda$ , our construction is IND-CR-CPA secure in the ROM.

# $\mathsf{Game}_4 \stackrel{?}{pprox} \mathsf{Game}_5$

 $\mathbf{O} \leftarrow \mathcal{S} \mathcal{O}_n(\mathbb{Z})$ 

```
pk_0 = (\mathbf{A}, \mathbf{u}),
                                                                                                                                                                       pk_0 = (\mathbf{A}, \mathbf{u}),
   \mathsf{pk} = (\mathbf{O} \cdot \mathbf{A} \cdot \mathbf{U}, \, \mathbf{U}^{\mathsf{T}} \cdot \mathbf{u}),
                                                                                                                                                                          pk = (\mathbf{B}, \mathbf{v}),
                                                                                                                                                                           sk = r'.
    sk = \mathbf{0} \cdot \mathbf{r}.
                                                                                                                                                                      \mathsf{ctxt} \leftarrow \mathsf{Enc}((\mathbf{A}, \mathbf{u}), \mathsf{msg}_b),
\mathsf{ctxt} \leftarrow \mathsf{Enc}((\mathbf{A}, \mathbf{u}), \mathsf{msg}_h),
  up = Enc((\mathbf{A}, \mathbf{u}), \rho^*);
                                                                                                                                                                          up = Enc((\mathbf{A}, \mathbf{u}), \rho^*);
                                                                                                                                                                      \mathbf{r}, \mathbf{r}' \leftarrow \$ \{\pm 1\}^n
        \mathbf{r} \leftarrow \$ \{\pm 1\}^n,
                                                                                                                                                                         \mathbf{u}^{\mathrm{T}} = \mathbf{r}^{\mathrm{T}} \cdot \mathbf{A}.
   \mathbf{u}^{\mathrm{T}} = \mathbf{r}^{\mathrm{T}} \cdot \mathbf{A}.
```

 $\mathbf{v}^{\mathrm{T}} = \mathbf{r}'^{\mathrm{T}} \cdot \mathbf{B}$ 

# $GAME_4 \approx GAME_5$ : The stars just about align

- Take a h-hollow PCE instance (**A**, **B**). Compute  $\mathbf{a}^T = \sum_{i=1}^n \mathbf{A}_i$  and  $\mathbf{b}^T = \sum_{i=1}^n \mathbf{B}_i$ . Then  $[1]^n$  is a valid secret for (**A**, **a**) and (**B**, **b**).
- Sample  $O_A$ ,  $O_B \longleftrightarrow \mathcal{O}_n(\mathbb{Z})$ ,  $U_A$ ,  $U_B \longleftrightarrow \mathcal{GL}_k(\mathbb{Z}_q)$ , and compute

$$\begin{aligned} \textbf{A}' &= \textbf{O}_{\textbf{A}} \cdot \textbf{A} \cdot \textbf{U}_{\textbf{A}} & \textbf{a}'^T &= \textbf{a}^T \cdot \textbf{U}_{\textbf{A}} & \textbf{r}_{\textbf{A}} &= \textbf{O}_{\textbf{A}} \cdot [1] \\ \textbf{B}' &= \textbf{O}_{\textbf{B}} \cdot \textbf{B} \cdot \textbf{U}_{\textbf{B}} & \textbf{b}'^T &= \textbf{b}^T \cdot \textbf{U}_{\textbf{B}} & \textbf{r}_{\textbf{B}} &= \textbf{O}_{\textbf{B}} \cdot [1] \end{aligned}$$

- If  $\mathbf{B} = \mathsf{SF}(\mathbf{P} \cdot \mathbf{A})$ , then  $\mathbf{O}_{\mathbf{B}} \cdot \mathbf{P} \cdot \mathbf{O}_{\mathbf{A}}^{-1}$  updates  $((\mathbf{A}', \mathbf{a}'), \mathbf{r}_{\mathbf{A}})$  to  $((\mathbf{B}', \mathbf{b}'), \mathbf{r}_{\mathbf{B}})$ , since for any  $\mathbf{P}$  we have  $[1]^n = \mathbf{P} \cdot [1]^n$ , otherwise random.
- Thus any distinguisher  $\mathcal{D}_{4,5}$  also distinguishes PCE

# $GAME_4 \approx GAME_5$ : The stars just about align

- Take a h-hollow PCE instance (**A**, **B**). Compute  $\mathbf{a}^T = \sum_{i=1}^n \mathbf{A}_i$  and  $\mathbf{b}^T = \sum_{i=1}^n \mathbf{B}_i$ . Then  $[1]^n$  is a valid secret for (**A**, **a**) and (**B**, **b**).
- Sample  $O_A$ ,  $O_B \longleftrightarrow \mathcal{O}_n(\mathbb{Z})$ ,  $U_A$ ,  $U_B \longleftrightarrow \mathcal{GL}_k(\mathbb{Z}_q)$ , and compute

$$\mathbf{A}' = \mathbf{O}_{\mathbf{A}} \cdot \mathbf{A} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{a'}^{\mathrm{T}} = \mathbf{a}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{r}_{\mathbf{A}} = \mathbf{O}_{\mathbf{A}} \cdot [1]^{n}$$

$$\mathbf{B}' = \mathbf{O}_{\mathbf{B}} \cdot \mathbf{B} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{b'}^{\mathrm{T}} = \mathbf{b}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{r}_{\mathbf{B}} = \mathbf{O}_{\mathbf{B}} \cdot [1]^{n}$$

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- Take a h-hollow PCE instance (**A**, **B**). Compute  $\mathbf{a}^T = \sum_{i=1}^n \mathbf{A}_i$  and  $\mathbf{b}^T = \sum_{i=1}^n \mathbf{B}_i$ . Then  $[1]^n$  is a valid secret for (**A**, **a**) and (**B**, **b**).
- Sample  $O_A$ ,  $O_B \longleftrightarrow \mathcal{O}_n(\mathbb{Z})$ ,  $U_A$ ,  $U_B \longleftrightarrow \mathcal{GL}_k(\mathbb{Z}_q)$ , and compute

$$\mathbf{A}' = \mathbf{O}_{\mathbf{A}} \cdot \mathbf{A} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{a}'^{\mathrm{T}} = \mathbf{a}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{r}_{\mathbf{A}} = \mathbf{O}_{\mathbf{A}} \cdot [1]^{n}$$

$$\mathbf{B}' = \mathbf{O}_{\mathbf{B}} \cdot \mathbf{B} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{b}'^{\mathrm{T}} = \mathbf{b}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{r}_{\mathbf{B}} = \mathbf{O}_{\mathbf{B}} \cdot [1]^{n}$$

- If  $\mathbf{B} = \mathsf{SF}(\mathbf{P} \cdot \mathbf{A})$ , then  $\mathbf{O}_{\mathbf{B}} \cdot \mathbf{P} \cdot \mathbf{O}_{\mathbf{A}}^{-1}$  updates  $((\mathbf{A}', \mathbf{a}'), \mathbf{r}_{\mathbf{A}})$  to  $((\mathbf{B}', \mathbf{b}'), \mathbf{r}_{\mathbf{B}})$ , since for any  $\mathbf{P}$  we have  $[1]^n = \mathbf{P} \cdot [1]^n$ , otherwise random.
- Thus any distinguisher  $\mathcal{D}_{4,5}$  also distinguishes PCE

# GAME<sub>4</sub> ≈ GAME<sub>5</sub>: THE STARS JUST ABOUT ALIGN

- Take a h-hollow PCE instance (**A**, **B**). Compute  $\mathbf{a}^T = \sum_{i=1}^n \mathbf{A}_i$  and  $\mathbf{b}^T = \sum_{i=1}^n \mathbf{B}_i$ . Then  $[1]^n$  is a valid secret for (**A**, **a**) and (**B**, **b**).
- Sample  $O_A$ ,  $O_B \leftarrow \mathcal{S}(\mathcal{O}_n(\mathbb{Z}))$ ,  $U_A$ ,  $U_B \leftarrow \mathcal{SL}_k(\mathbb{Z}_q)$ , and compute

$$\mathbf{A}' = \mathbf{O}_{\mathbf{A}} \cdot \mathbf{A} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{a'}^{\mathrm{T}} = \mathbf{a}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{A}} \qquad \qquad \mathbf{r}_{\mathbf{A}} = \mathbf{O}_{\mathbf{A}} \cdot [1]^{n}$$

$$\mathbf{B}' = \mathbf{O}_{\mathbf{B}} \cdot \mathbf{B} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{b'}^{\mathrm{T}} = \mathbf{b}^{\mathrm{T}} \cdot \mathbf{U}_{\mathbf{B}} \qquad \qquad \mathbf{r}_{\mathbf{B}} = \mathbf{O}_{\mathbf{B}} \cdot [1]^{n}$$

- If  $\mathbf{B} = \mathsf{SF}(\mathbf{P} \cdot \mathbf{A})$ , then  $\mathbf{O}_{\mathbf{B}} \cdot \mathbf{P} \cdot \mathbf{O}_{\mathbf{A}}^{-1}$  updates  $((\mathbf{A}', \mathbf{a}'), \mathbf{r}_{\mathbf{A}})$  to  $((\mathbf{B}', \mathbf{b}'), \mathbf{r}_{\mathbf{B}})$ , since for any  $\mathbf{P}$  we have  $[1]^n = \mathbf{P} \cdot [1]^n$ , otherwise random.
- Thus any distinguisher  $\mathcal{D}_{4,5}$  also distinguishes PCE.

# SOME PARAMETERS AND SIZES

**Table 1:** Parameters for the given  $\lambda$  and p with c=0.25 and s=8.

| λ                             | р  | n     | k    | $\log_2(q)$ | h  | ctxt                | up                    |
|-------------------------------|----|-------|------|-------------|----|---------------------|-----------------------|
| 128                           | 2  | 7313  | 450  | 13          | 27 | $11.6\mathrm{KiB}$  | $1485.7\mathrm{KiB}$  |
| 128                           | 16 | 11000 | 550  | 16          | 26 | $21.5\mathrm{KiB}$  | $687.6~\mathrm{KiB}$  |
| 192                           | 32 | 20250 | 900  | 18          | 37 | $44.5~\mathrm{KiB}$ | $1708.7~\mathrm{KiB}$ |
| 256                           | 32 | 29688 | 1250 | 19          | 48 | $68.9~\mathrm{KiB}$ | $3525.6~\mathrm{KiB}$ |
| [HPS23] with $2^{20}$ updates |    |       |      |             |    |                     |                       |
| 128                           | _  | _     | _    | 36          | _  | 9.1 KiB             | 27 KiB                |

# **FUTURE WORK**

- Replace the Hollow LHL with a computational assumption.
- Switch from LWE to MLWE.
- Consider the model from [AFM24].
- ...

# Thank you! Read the full version at ia.cr/2025/340:



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