

# Chapter 5: Logical Database Design and the Relational Model

*Modern Database Management*

*6<sup>th</sup> Edition*

*Jeffrey A. Hoffer, Mary B. Prescott, Fred R.  
McFadden*

# Relation

Definition: A relation is a named, two-dimensional table of data

- Table is made up of rows (records), and columns (attribute or field)

Not all tables qualify as relations

Requirements:

- Every relation has a unique name.
- Every attribute value is atomic (not multivalued, not composite)
- Every row is unique (can't have two rows with exactly the same values for all their fields)
- Attributes (columns) in tables have unique names
- The order of the columns is irrelevant
- The order of the rows is irrelevant

**NOTE:** all relations are in *1<sup>st</sup> Normal form*

# Correspondence with ER Model

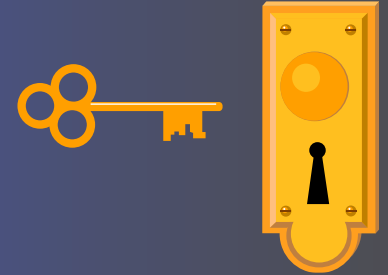
Relations (tables) correspond with entity types and with many-to-many relationship types

Rows correspond with entity instances and with many-to-many relationship instances

Columns correspond with attributes

**NOTE:** The word *relation* (in relational database) is NOT the same as the word *relationship* (in ER model)

# Key Fields



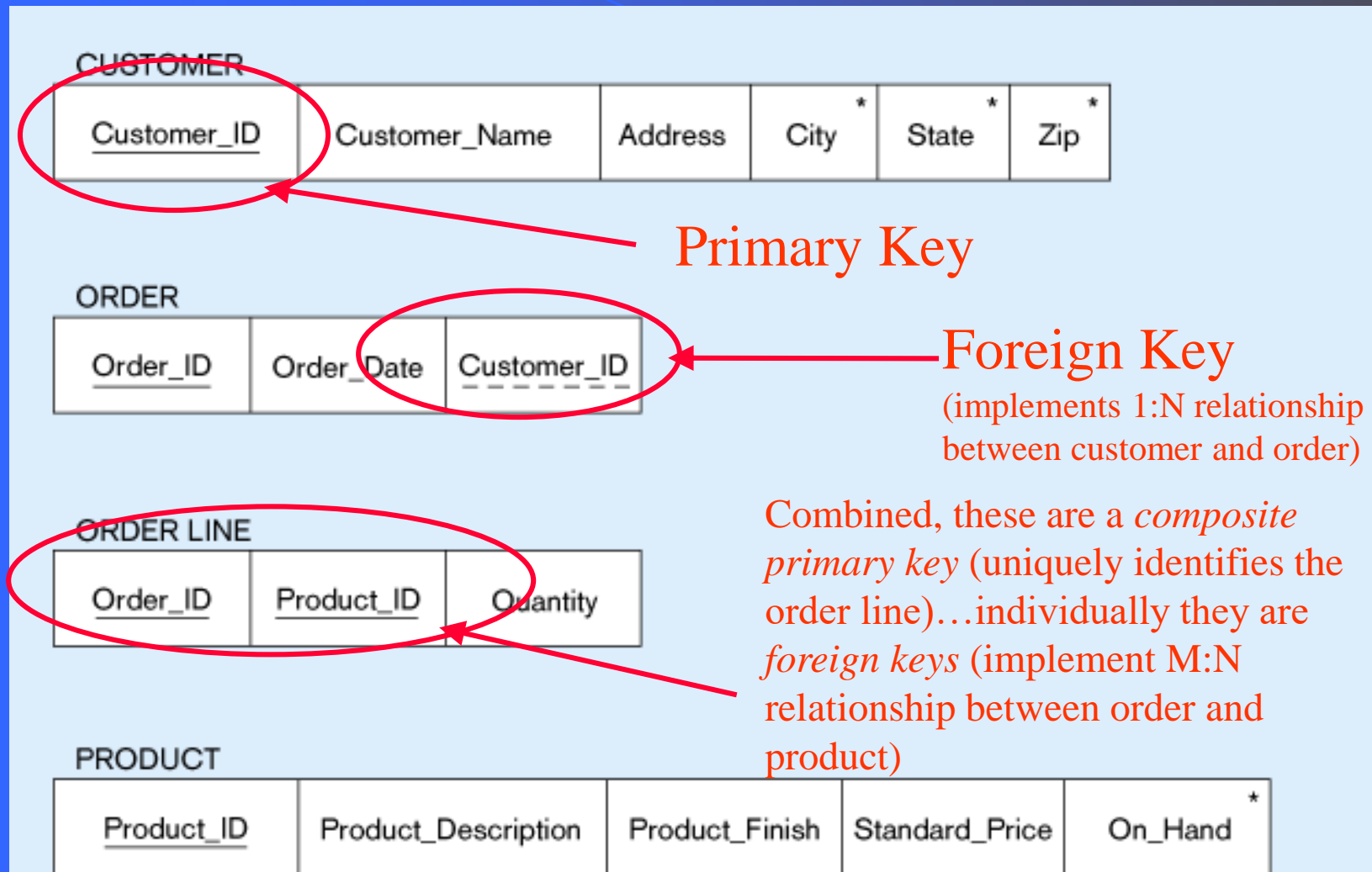
Keys are special fields that serve two main purposes:

- **Primary keys** are unique identifiers of the relation in question. Examples include employee numbers, social security numbers, etc. *This is how we can guarantee that all rows are unique*
- **Foreign keys** are identifiers that enable a dependent relation (on the many side of a relationship) to refer to its parent relation (on the one side of the relationship)

Keys can be **simple** (a single field) or **composite** (more than one field)

Keys usually are used as **indexes** to speed up the response to user queries (More on this in Ch. 6)

Figure 5-3 -- Schema for four relations (Pine Valley Furniture)



\* Not in Figure 3-22 for simplicity.

# Integrity Constraints

## Domain Constraints

- Allowable values for an attribute. See Table 5-1

## Entity Integrity

- No primary key attribute may be null. All primary key fields **MUST** have data

## Action Assertions

- Business rules. Recall from Ch. 4

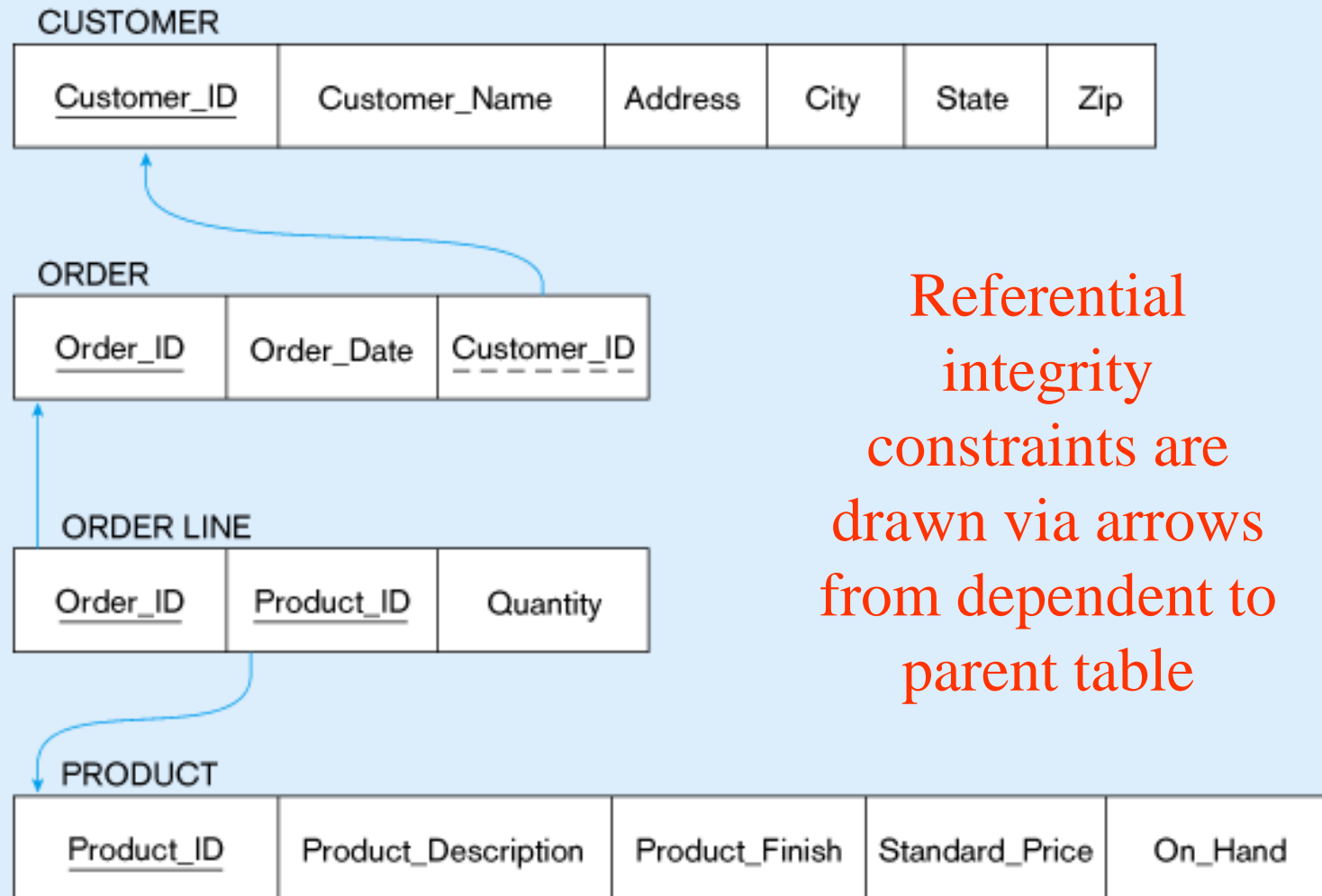
# Integrity Constraints

Referential Integrity – rule that states that any foreign key value (on the relation of the many side) **MUST** match a primary key value in the relation of the one side. (Or the foreign key can be null)

## – For example: Delete Rules

- Restrict – don't allow delete of “parent” side if related rows exist in “dependent” side
- Cascade – automatically delete “dependent” side rows that correspond with the “parent” side row to be deleted
- Set-to-Null – set the foreign key in the dependent side to null if deleting from the parent side → not allowed for weak entities

Figure 5-5:  
Referential integrity constraints (Pine Valley Furniture)



Referential  
integrity  
constraints are  
drawn via arrows  
from dependent to  
parent table



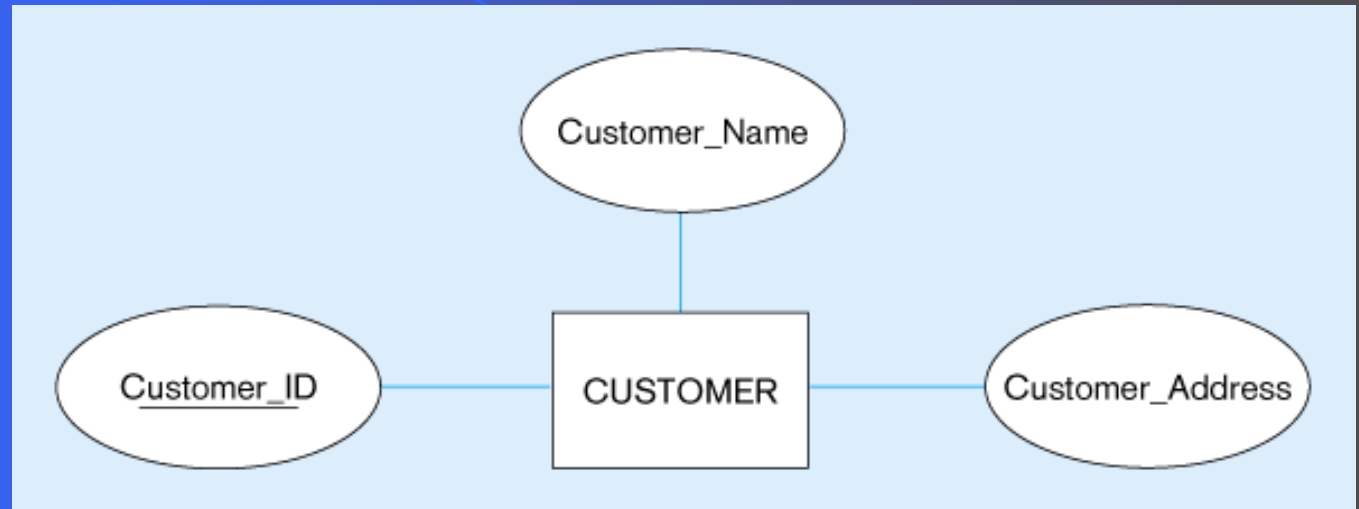
# Transforming EER Diagrams into Relations

## Mapping Regular Entities to Relations

1. Simple attributes: E-R attributes map directly onto the relation
2. Composite attributes: Use only their simple, component attributes
3. Multi-valued Attribute - Becomes a separate relation with a foreign key taken from the superior entity

Figure 5-8: Mapping a regular entity

**(a) CUSTOMER entity type with simple attributes**



**(b) CUSTOMER relation**

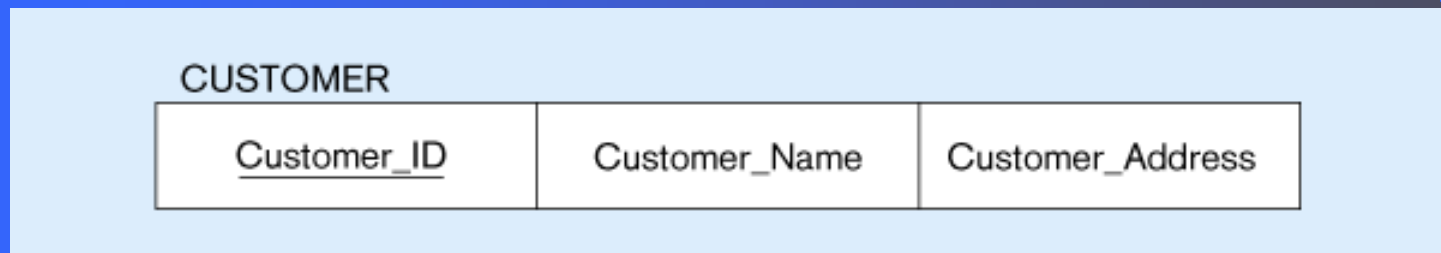
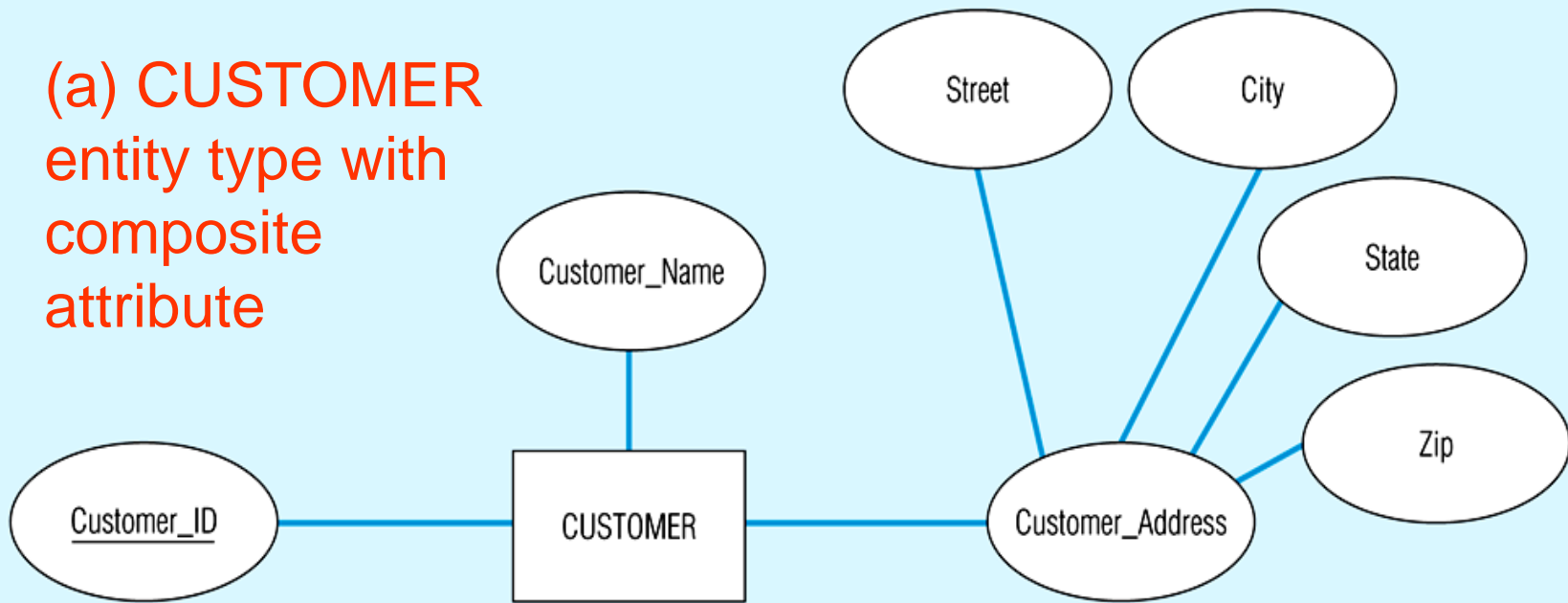


Figure 5-9: Mapping a composite attribute

(a) CUSTOMER entity type with composite attribute

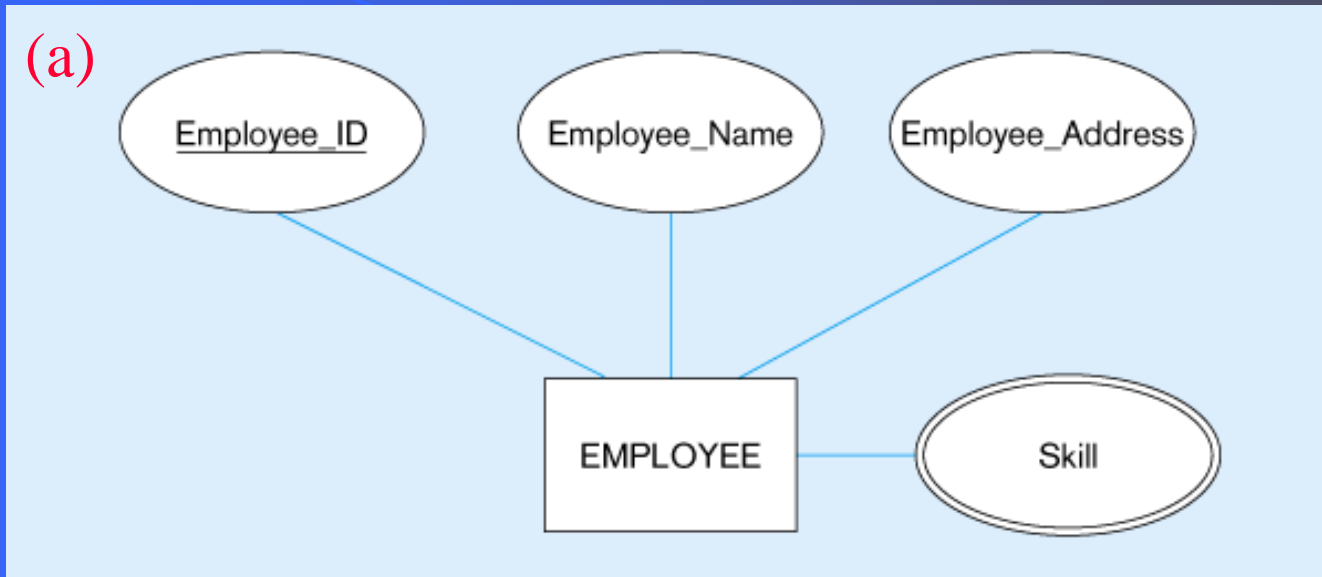


CUSTOMER

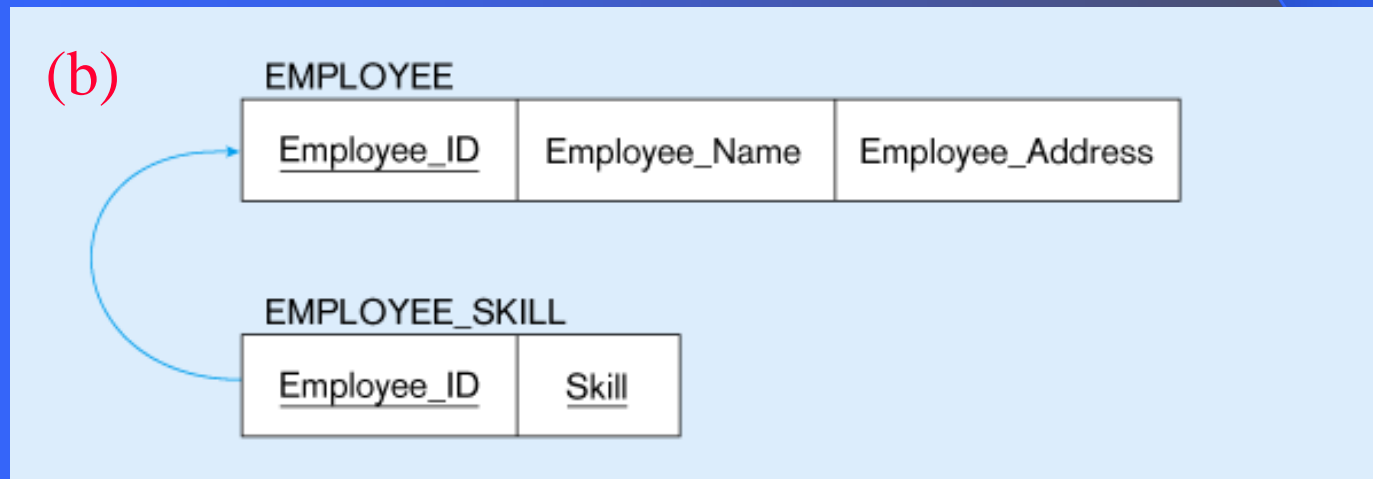
(b) CUSTOMER relation with address detail

<u>Customer_ID</u>	Customer_Name	Street	City	State	Zip
--------------------	---------------	--------	------	-------	-----

Figure 5-10: Mapping a multivalued attribute



**Multivalued attribute becomes a separate relation with foreign key**



**1 – to – many relationship between original entity and new relation**

# Transforming EER Diagrams into Relations

## Mapping Weak Entities

- Becomes a separate relation with a foreign key taken from the superior entity
- Primary key composed of:
  - Partial identifier of weak entity
  - Primary key of identifying relation (strong entity)

Figure 5-11: Example of mapping a weak entity

(a) Weak entity DEPENDENT

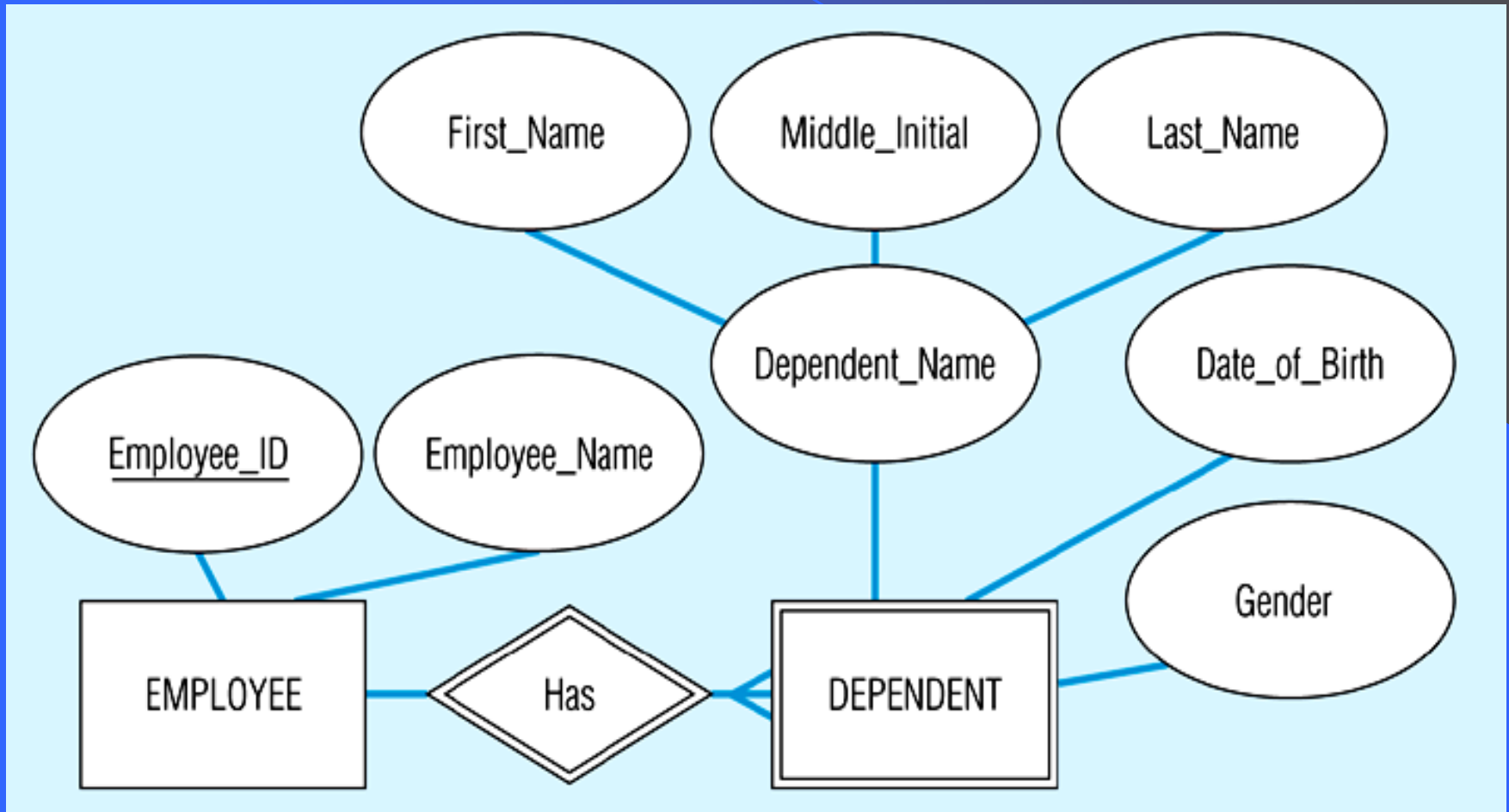
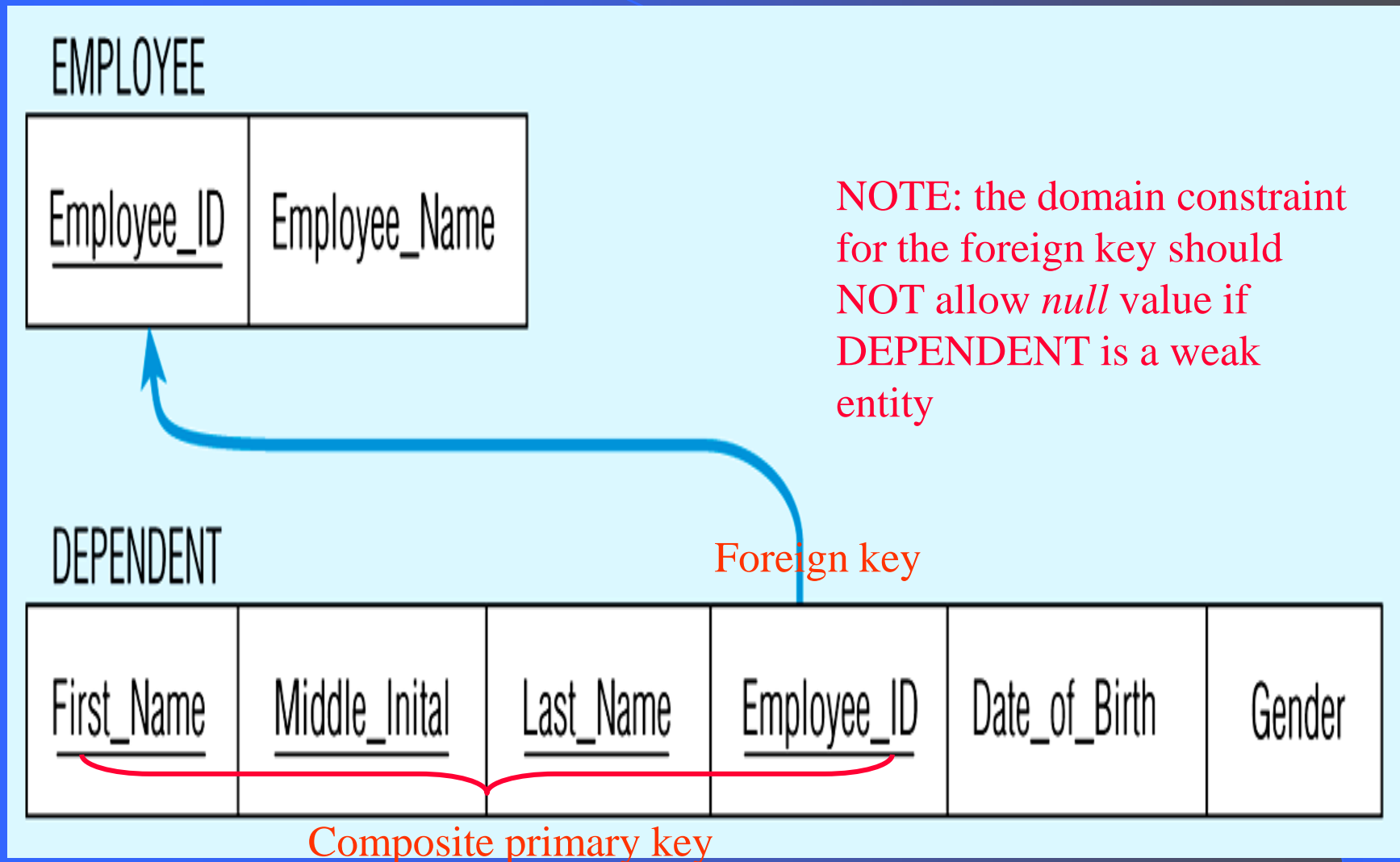


Figure 5-11(b) Relations resulting from weak entity



# Transforming EER Diagrams into Relations

## Mapping Binary Relationships

- One-to-Many - Primary key on the one side becomes a foreign key on the many side
- Many-to-Many - Create a ***new relation*** with the primary keys of the two entities as its primary key
- One-to-One - Primary key on the mandatory side becomes a foreign key on the optional side



Figure 5-12: Example of mapping a 1:M relationship

(a) Relationship between customers and orders

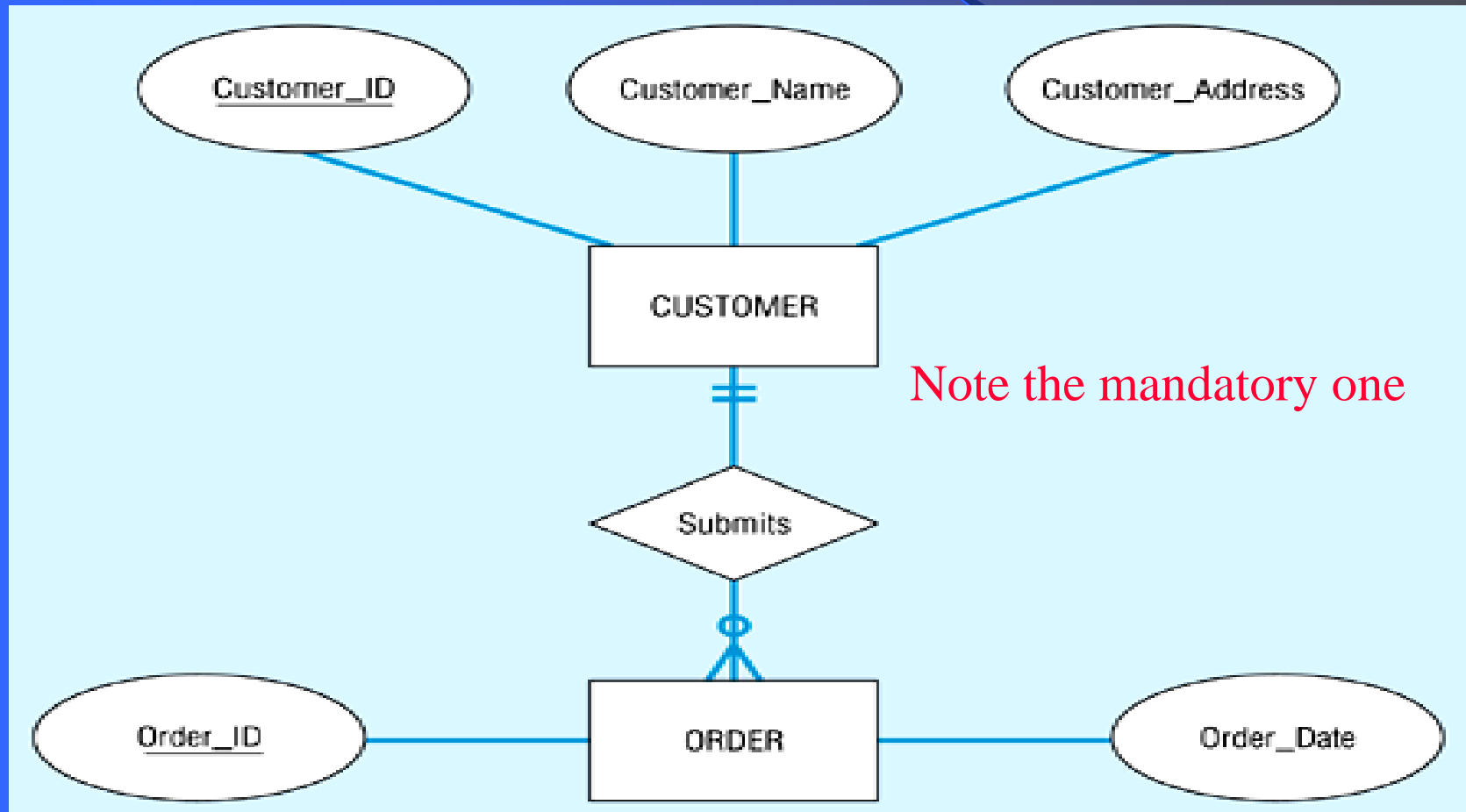


Figure 5-12(b) Mapping the relationship

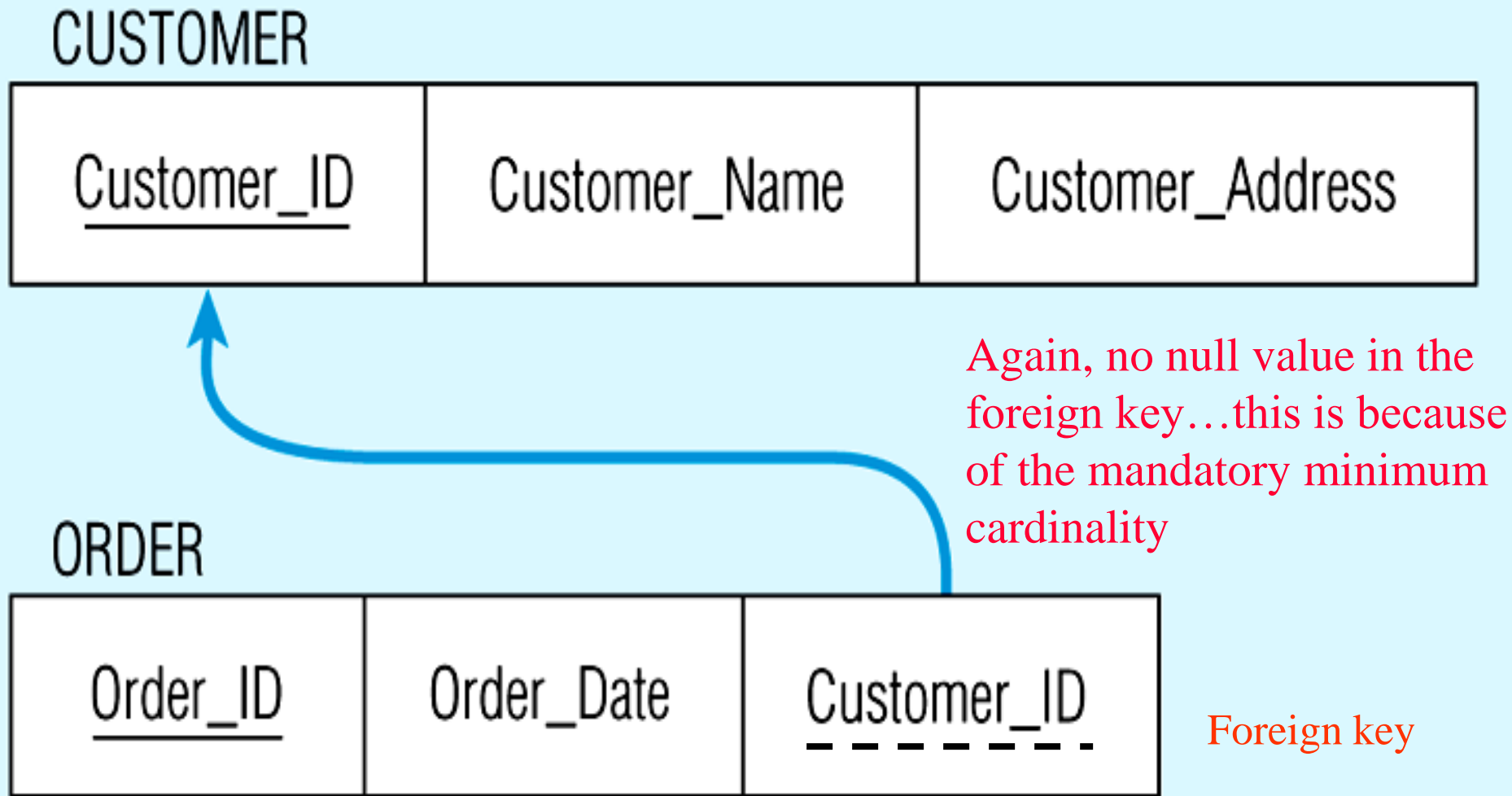
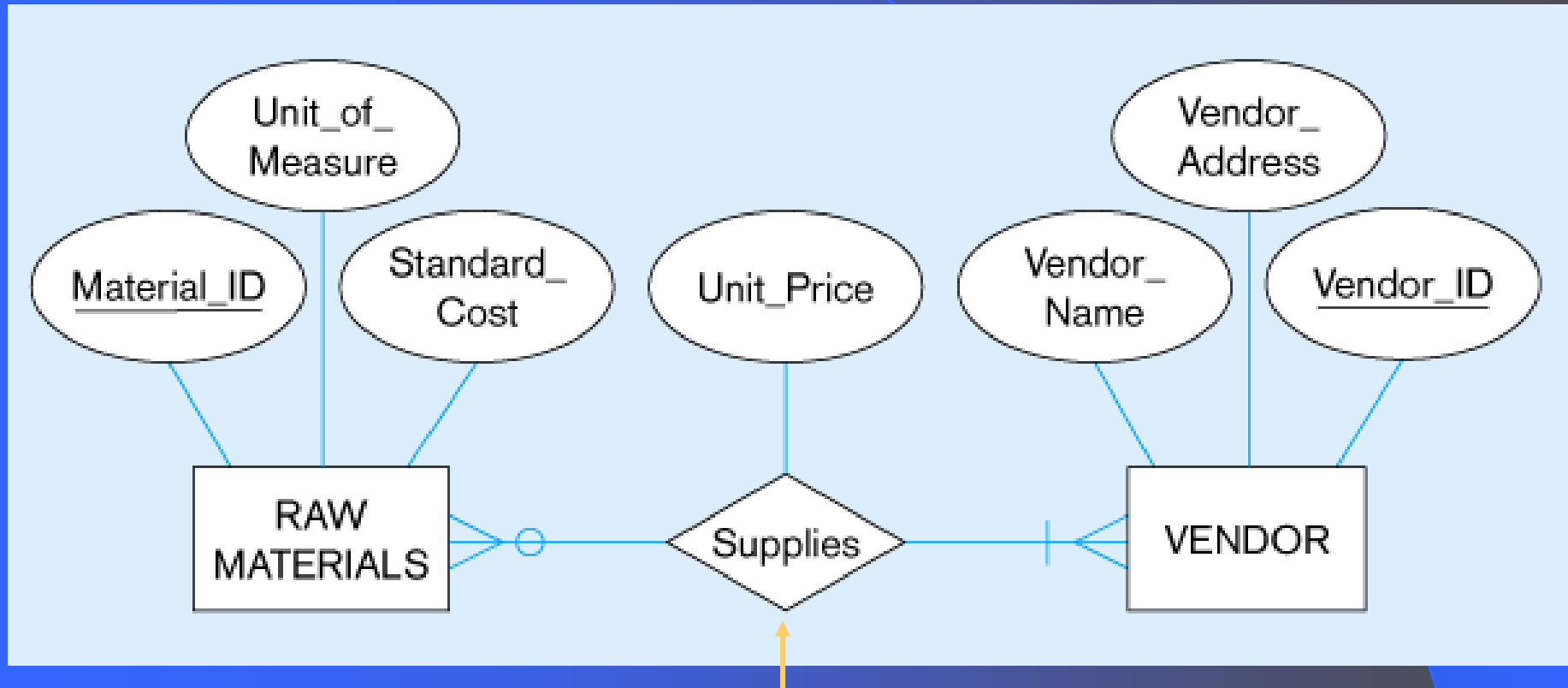


Figure 5-13: Example of mapping an M:N relationship  
(a) ER diagram (M:N)



*The Supplies relationship will need to become a separate relation*

Figure 5-13(b) Three resulting relations

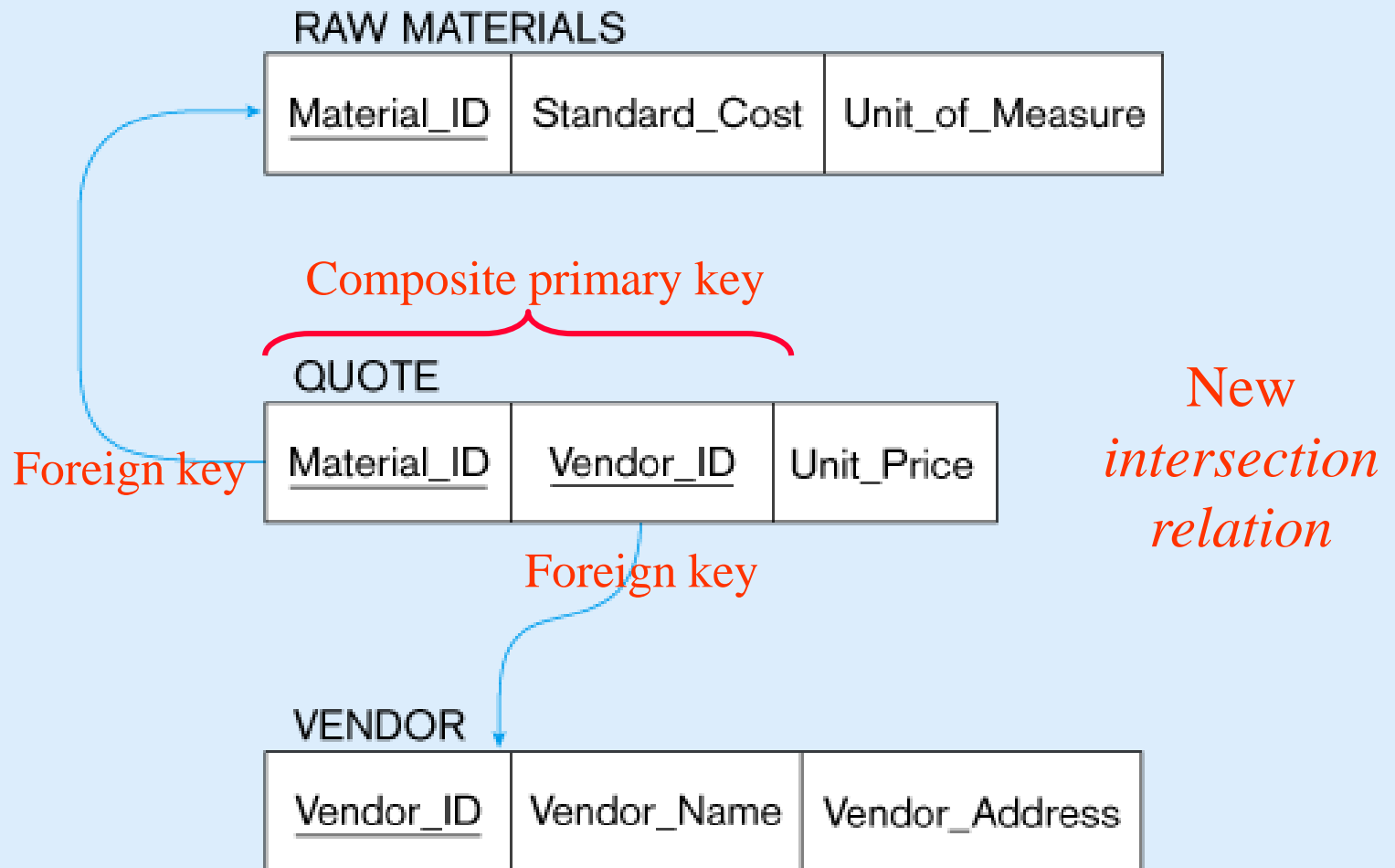


Figure 5-14: Mapping a binary 1:1 relationship

(a) Binary 1:1 relationship

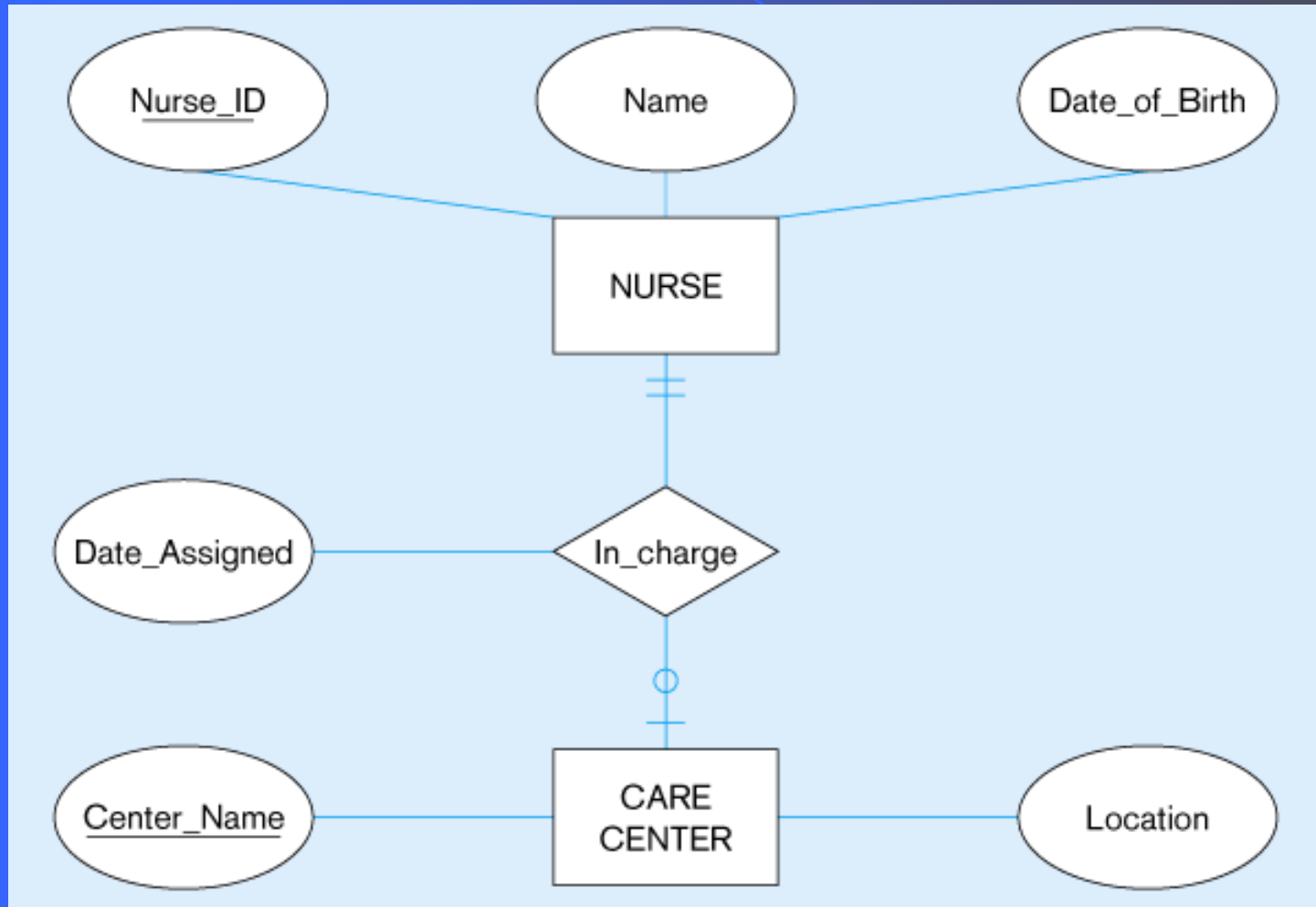
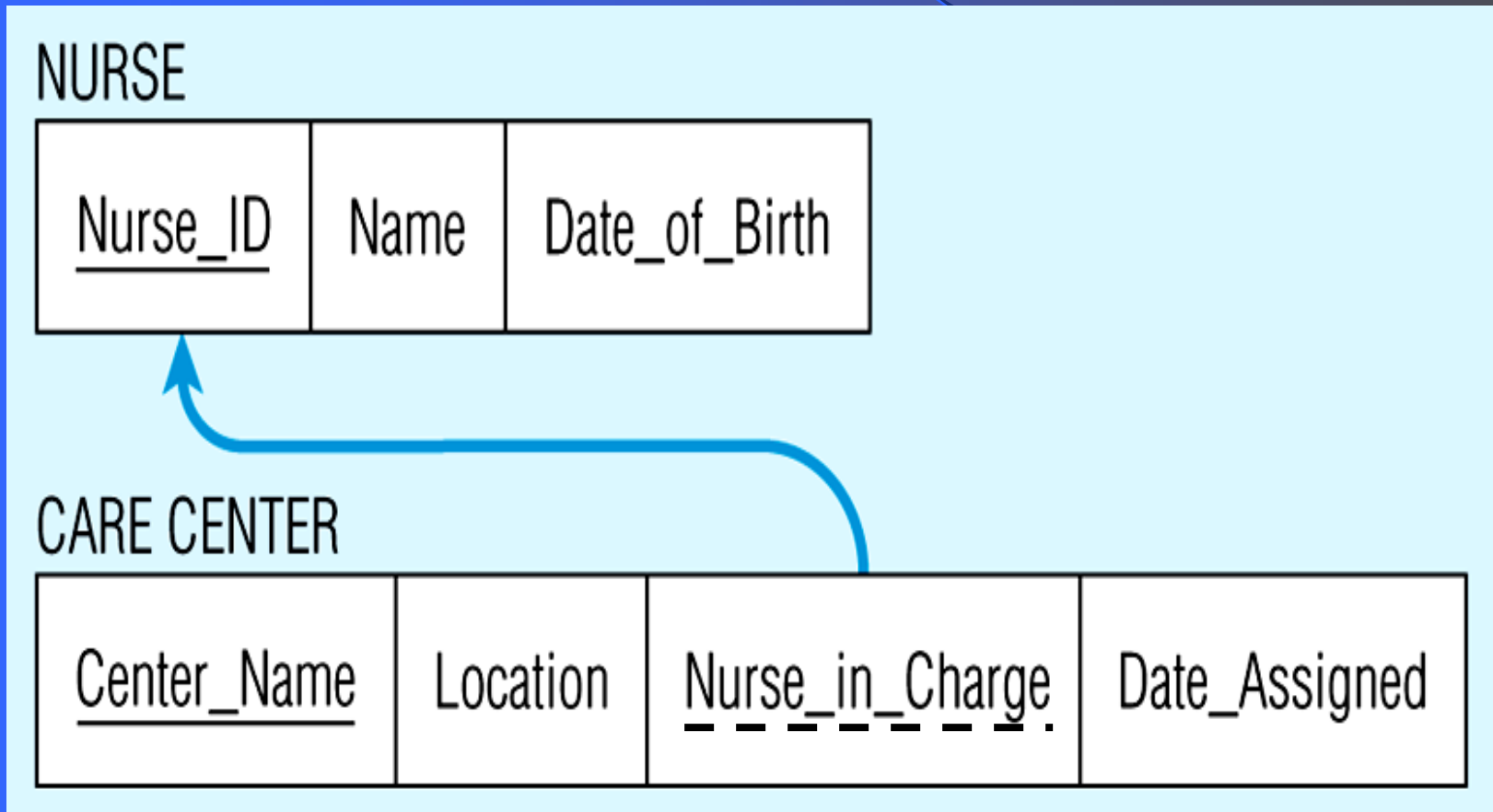


Figure 5-14(b) Resulting relations



# Transforming EER Diagrams into Relations

## Mapping Associative Entities

- Identifier Not Assigned
  - Default primary key for the association relation is composed of the primary keys of the two entities (as in M:N relationship)
- Identifier Assigned
  - It is natural and familiar to end-users
  - Default identifier may not be unique

Figure 5-15: Mapping an associative entity  
(a) Associative entity

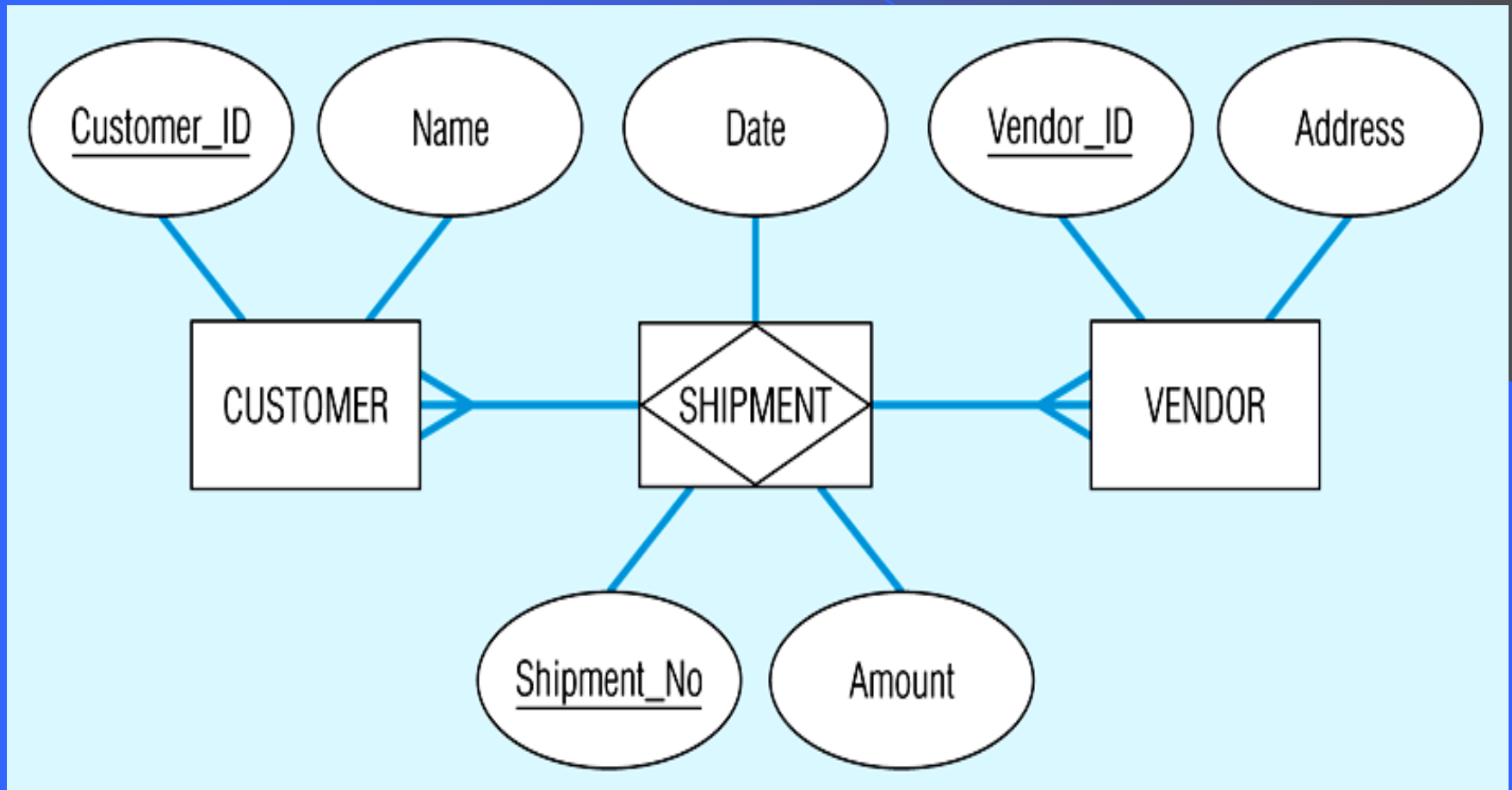




Figure 5-15(b) Three resulting relations

### CUSTOMER

<u>Customer_ID</u>	Name	(Other Attributes)
--------------------	------	--------------------

### SHIPMENT

<u>Shipment_No</u>	<u>Customer_ID</u>	<u>Vendor_ID</u>	Date	Amount
--------------------	--------------------	------------------	------	--------

### VENDOR

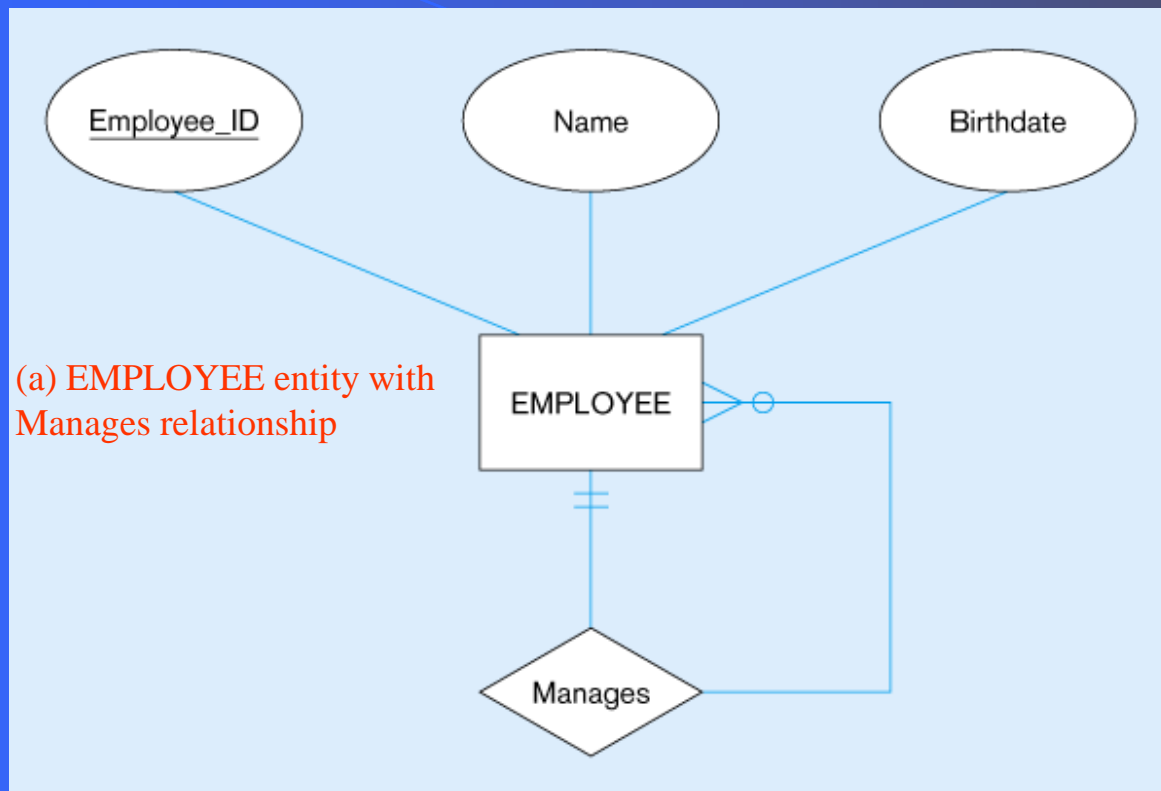
<u>Vendor_ID</u>	Address	(Other Attributes)
------------------	---------	--------------------

# Transforming EER Diagrams into Relations

## Mapping Unary Relationships

- One-to-Many - Recursive foreign key in the same relation
- Many-to-Many - Two relations:
  - One for the entity type
  - One for an associative relation in which the primary key has two attributes, both taken from the primary key of the entity

Figure 5-17: Mapping a unary 1:N relationship



(b) EMPLOYEE relation with recursive foreign key

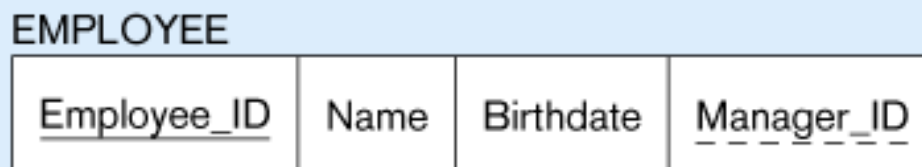
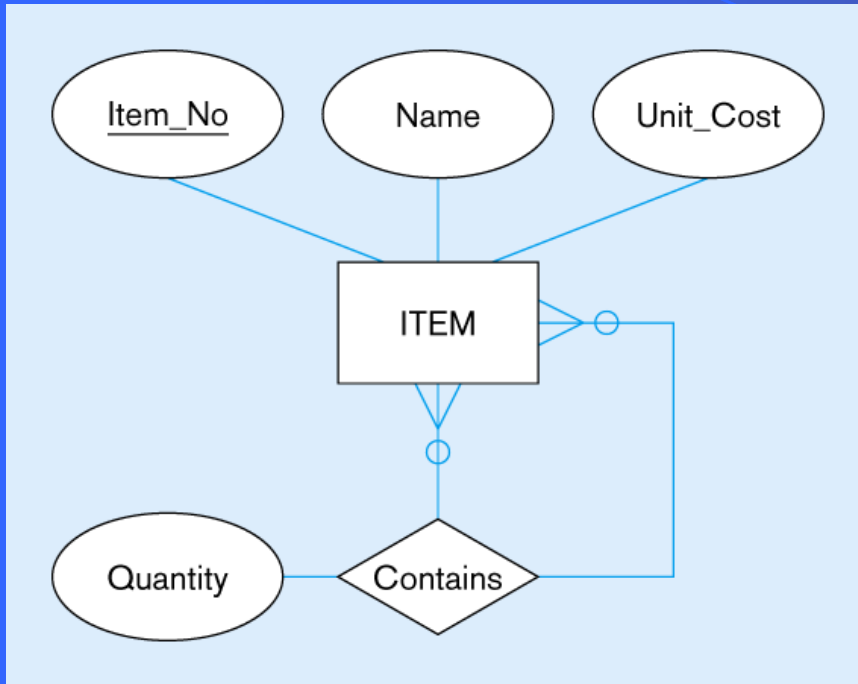
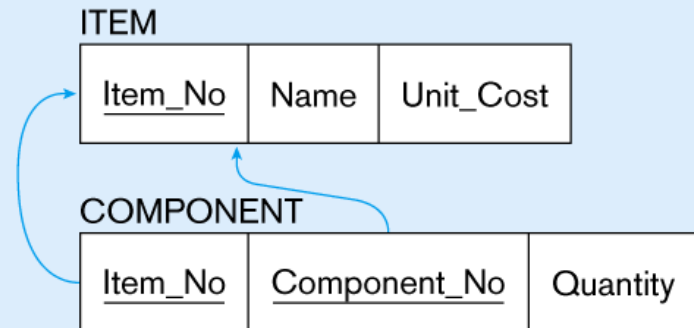


Figure 5-18: Mapping a unary M:N relationship



(a) Bill-of-materials relationships (M:N)

(b) ITEM and COMPONENT relations



# Transforming EER Diagrams into Relations

## Mapping Ternary (and n-ary) Relationships

- One relation for each entity and one for the associative entity
- Associative entity has foreign keys to each entity in the relationship

Figure 5-19: Mapping a ternary relationship  
(a) Ternary relationship with associative entity

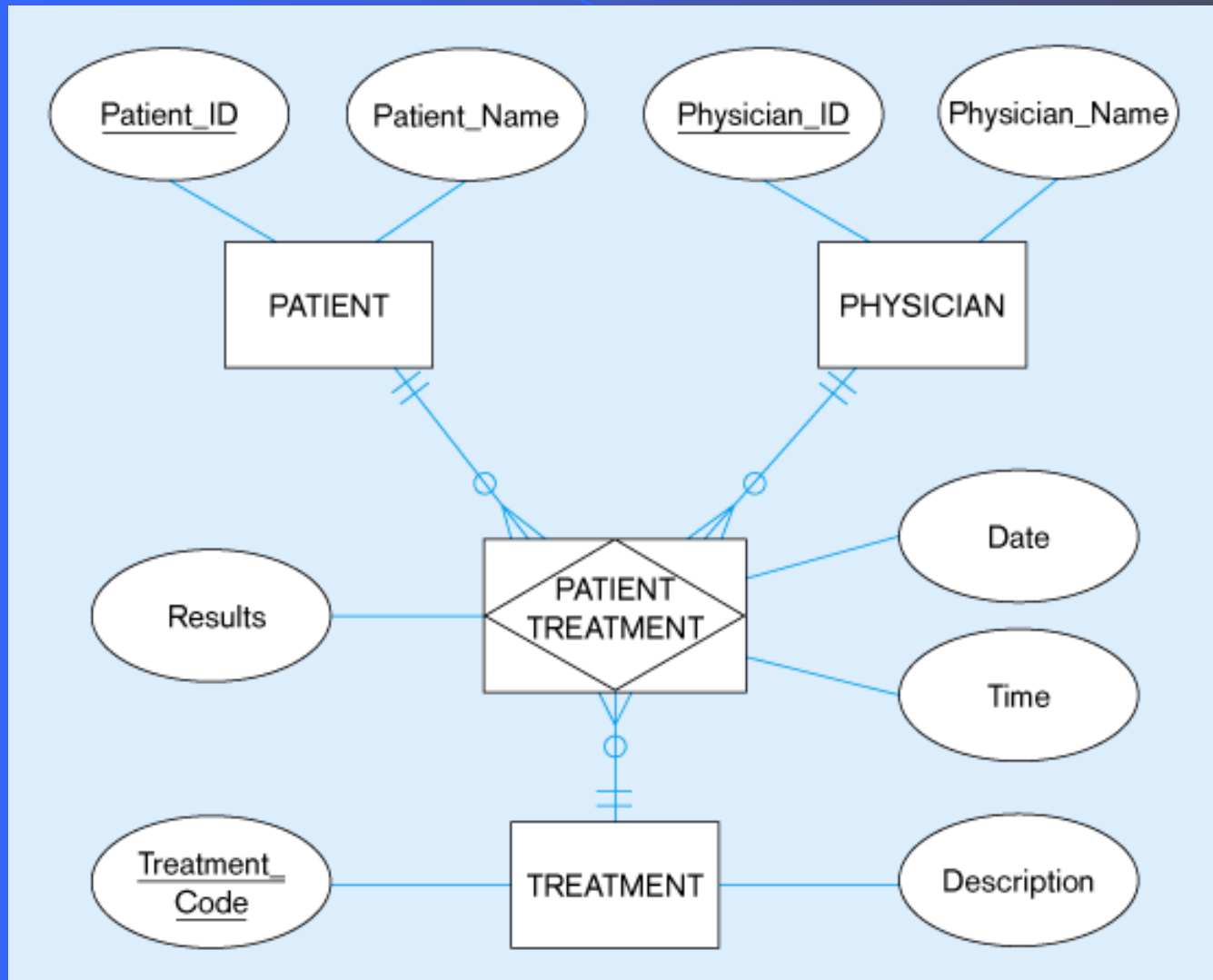
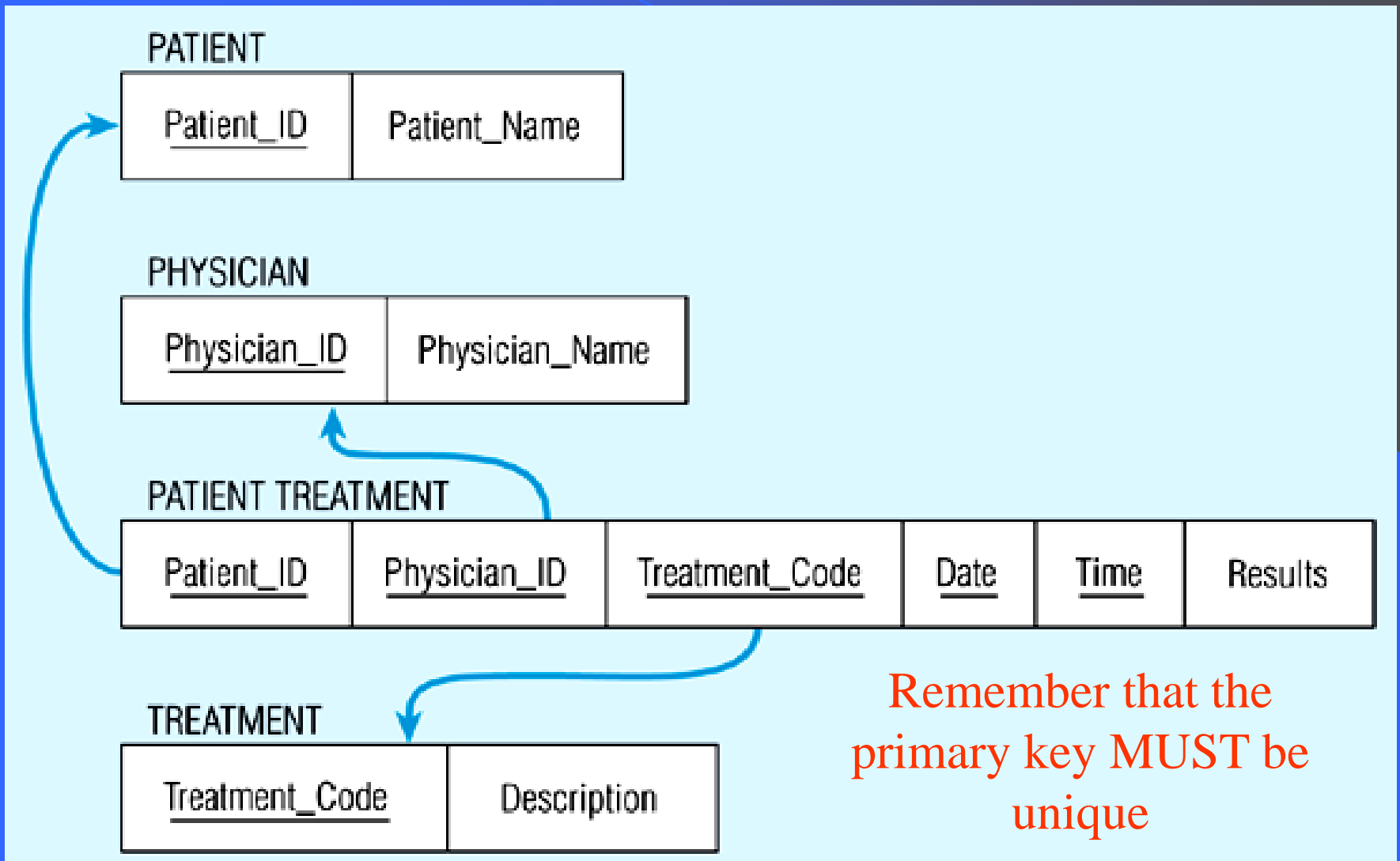


Figure 5-19(b) Mapping the ternary relationship



# Transforming EER Diagrams into Relations

## Mapping Supertype/Subtype Relationships

- One relation for supertype and for each subtype
- Supertype attributes (including identifier and subtype discriminator) go into supertype relation
- Subtype attributes go into each subtype; primary key of supertype relation also becomes primary key of subtype relation
- 1:1 relationship established between supertype and each subtype, with supertype as primary table



Figure 5-20: Supertype/subtype relationships

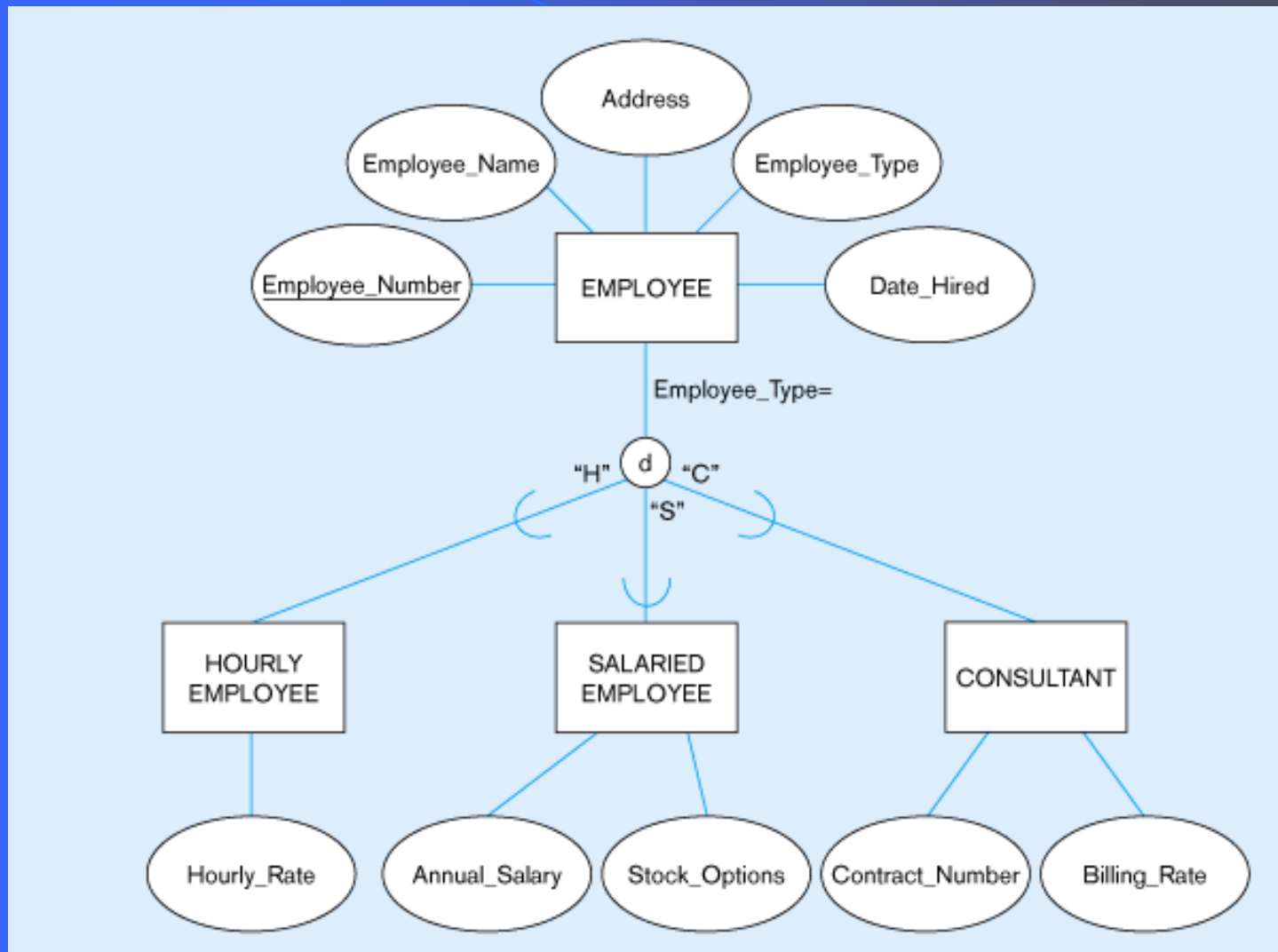
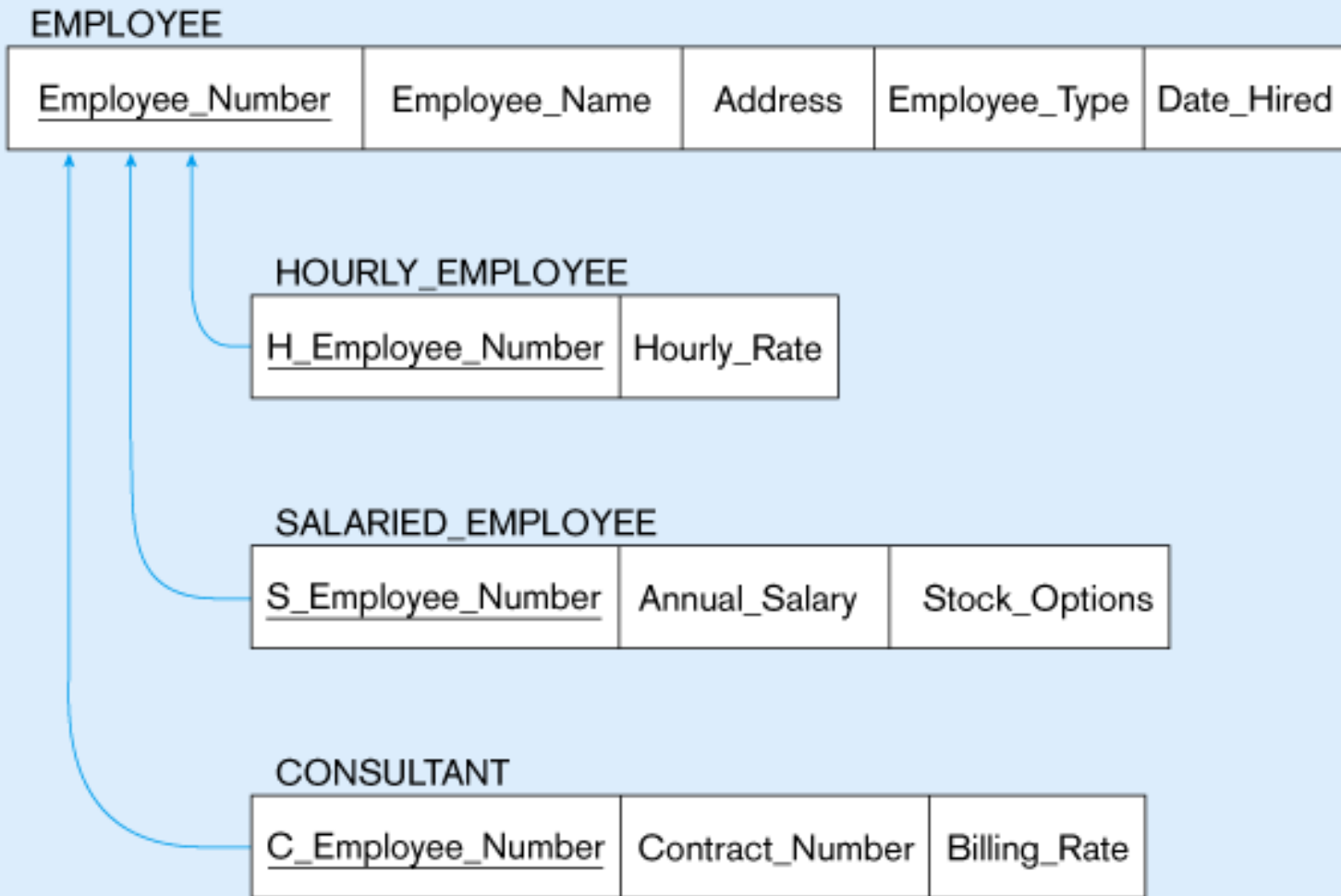


Figure 5-21:  
Mapping Supertype/subtype relationships to relations



# Data Normalization

Primarily a tool to validate and improve a logical design so that it satisfies certain constraints that *avoid unnecessary duplication of data*

The process of decomposing relations with anomalies to produce smaller, *well-structured* relations

# Well-Structured Relations

A relation that contains minimal data redundancy and allows users to insert, delete, and update rows without causing data inconsistencies

Goal is to avoid anomalies

- **Insertion Anomaly** – adding new rows forces user to create duplicate data
- **Deletion Anomaly** – deleting rows may cause a loss of data that would be needed for other future rows
- **Modification Anomaly** – changing data in a row forces changes to other rows because of duplication

**General rule of thumb: a table should not pertain to more than one entity type**

# Example – Figure 5.2b

EMPLOYEE2

<u>Emp_ID</u>	Name	Dept_Name	Salary	<u>Course_Title</u>	Date_Completed
100	Margaret Simpson	Marketing	48,000	SPSS	6/19/200X
100	Margaret Simpson	Marketing	48,000	Surveys	10/7/200X
140	Alan Beeton	Accounting	52,000	Tax Acc	12/8/200X
110	Chris Lucero	Info Systems	43,000	SPSS	1/12/200X
110	Chris Lucero	Info Systems	43,000	C++	4/22/200X
190	Lorenzo Davis	Finance	55,000		
150	Susan Martin	Marketing	42,000	SPSS	6/19/200X
150	Susan Martin	Marketing	42,000	Java	8/12/200X

Question – Is this a relation?

Answer – Yes: unique rows and no multivalued attributes

Question – What's the primary key?

Answer – Composite: Emp\_ID, Course\_Title

# Anomalies in this Table

**Insertion** – can't enter a new employee without having the employee take a class

**Deletion** – if we remove employee 140, we lose information about the existence of a Tax Acc class

**Modification** – giving a salary increase to employee 100 forces us to update multiple records

Why do these anomalies exist?

Because we've combined two themes (entity types) into one relation. This results in duplication, and an unnecessary dependency between the entities

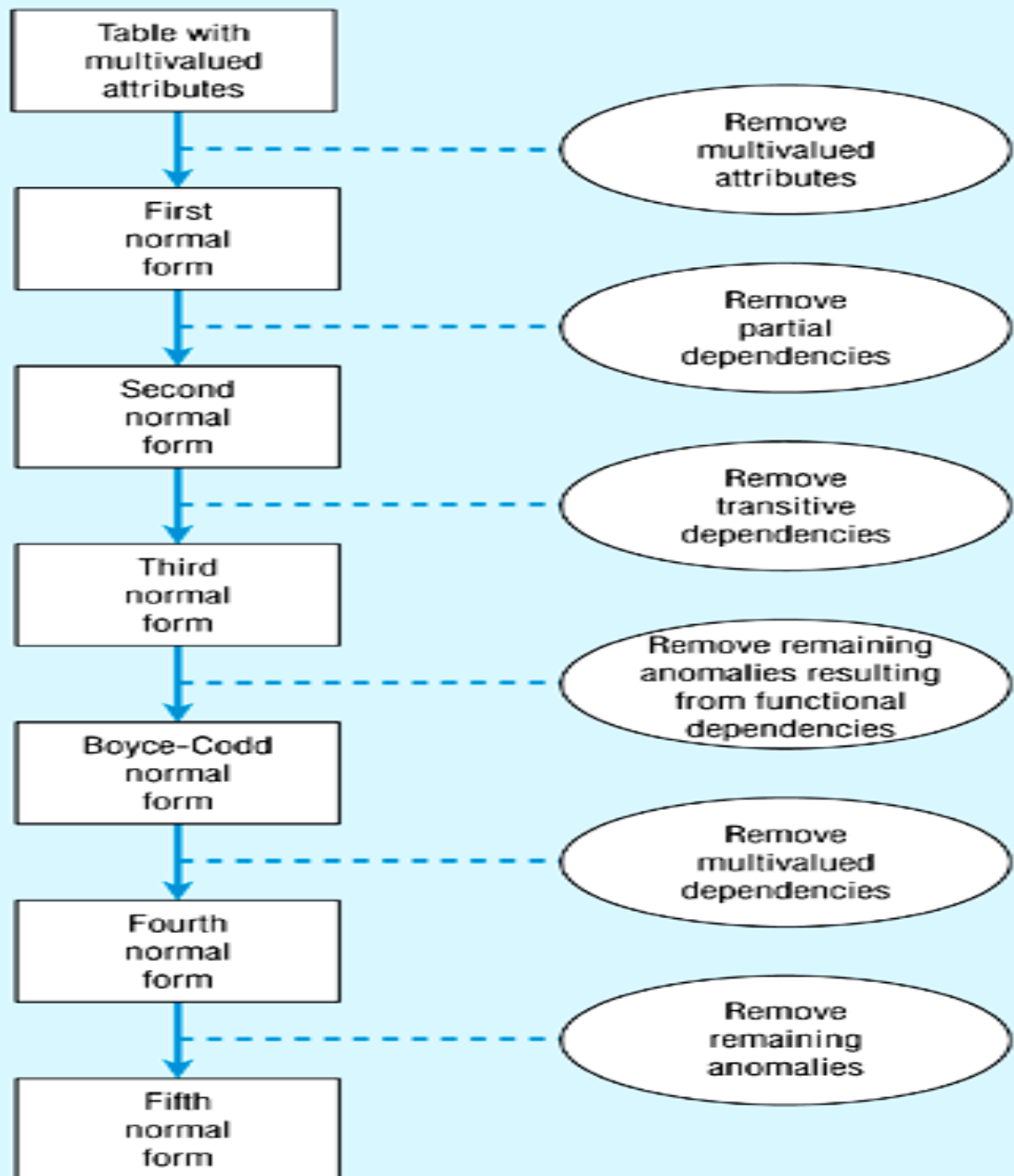
# Functional Dependencies and Keys

Functional Dependency: The value of one attribute (the *determinant*) determines the value of another attribute

Candidate Key:

- A unique identifier. One of the candidate keys will become the primary key
  - E.g. perhaps there is both credit card number and SS# in a table...in this case both are candidate keys
- Each non-key field is functionally dependent on every candidate key

## 5.22 -Steps in normalization





# First Normal Form

No multivalued attributes

Every attribute value is atomic

Fig. 5-2a *is not* in 1<sup>st</sup> Normal Form  
(multivalued attributes) → it is not a  
relation

Fig. 5-2b *is* in 1<sup>st</sup> Normal form

***All relations are in 1<sup>st</sup> Normal Form***

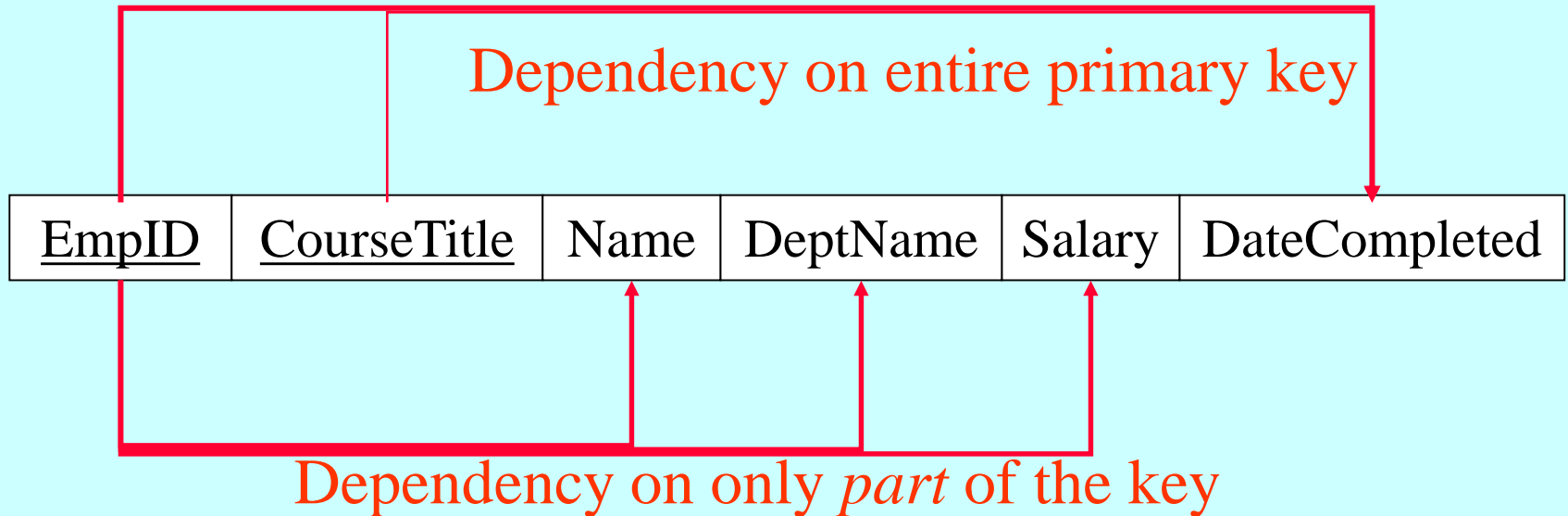
# Second Normal Form

1NF *plus* every non-key attribute is fully functionally dependent on the ENTIRE primary key

- Every non-key attribute must be defined by the entire key, not by only part of the key
- No partial functional dependencies

Fig. 5-2b is NOT in 2<sup>nd</sup> Normal Form (see fig 5-23b)

# Fig 5.23(b) – Functional Dependencies in EMPLOYEE2



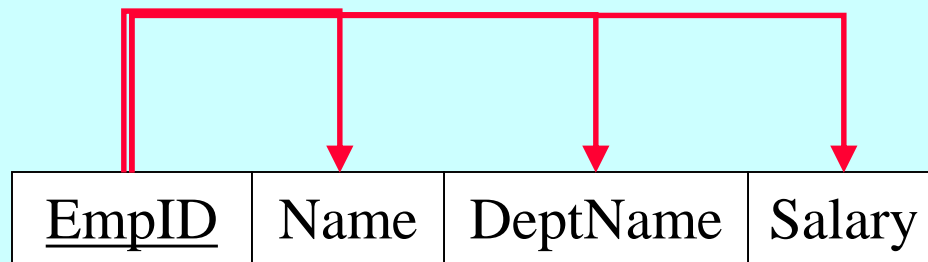
**EmpID, CourseTitle → DateCompleted**

**EmpID → Name, DeptName, Salary**

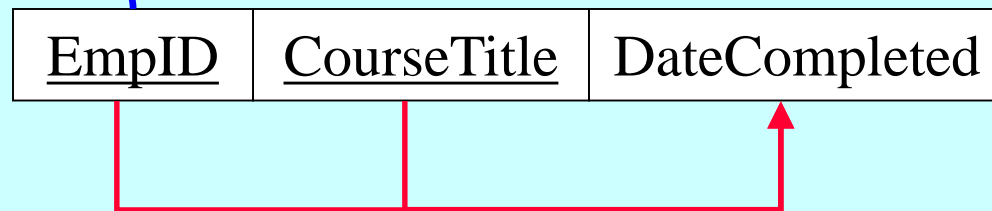
**Therefore, NOT in 2<sup>nd</sup> Normal Form!!**

# Getting it into 2<sup>nd</sup> Normal Form

See p193 – decomposed into two separate relations



Both are full functional dependencies



# Third Normal Form

2NF PLUS *no transitive dependencies*  
(one attribute functionally determines a second, which functionally determines a third)

Fig. 5-24, 5-25

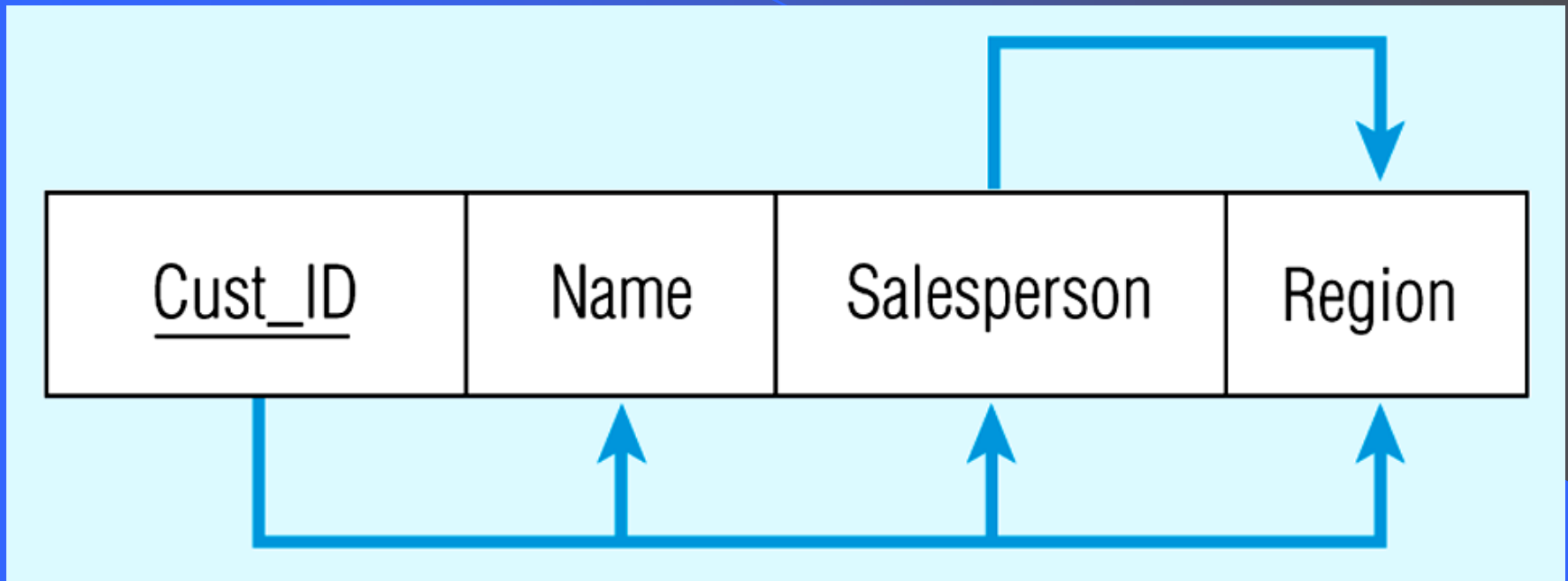
## Figure 5-24 -- Relation with transitive dependency

### (a) SALES relation with simple data

#### SALES

Cust_ID	Name	Salesperson	Region
8023	Anderson	Smith	South
9167	Bancroft	Hicks	West
7924	Hobbs	Smith	South
6837	Tucker	Hernandez	East
8596	Eckersley	Hicks	West
7018	Arnold	Faulb	North

Figure 5-24(b) Relation with transitive dependency



**CustID → Name**

**CustID → Salesperson**

**CustID → Region**

**BUT**

**CustID → Salesperson → Region**

**All this is OK**

**(2<sup>nd</sup> NF)**

*Transitive dependency  
(not 3<sup>rd</sup> NF)*

Figure 5.25 -- Removing a transitive dependency  
(a) Decomposing the SALES relation

SALES1

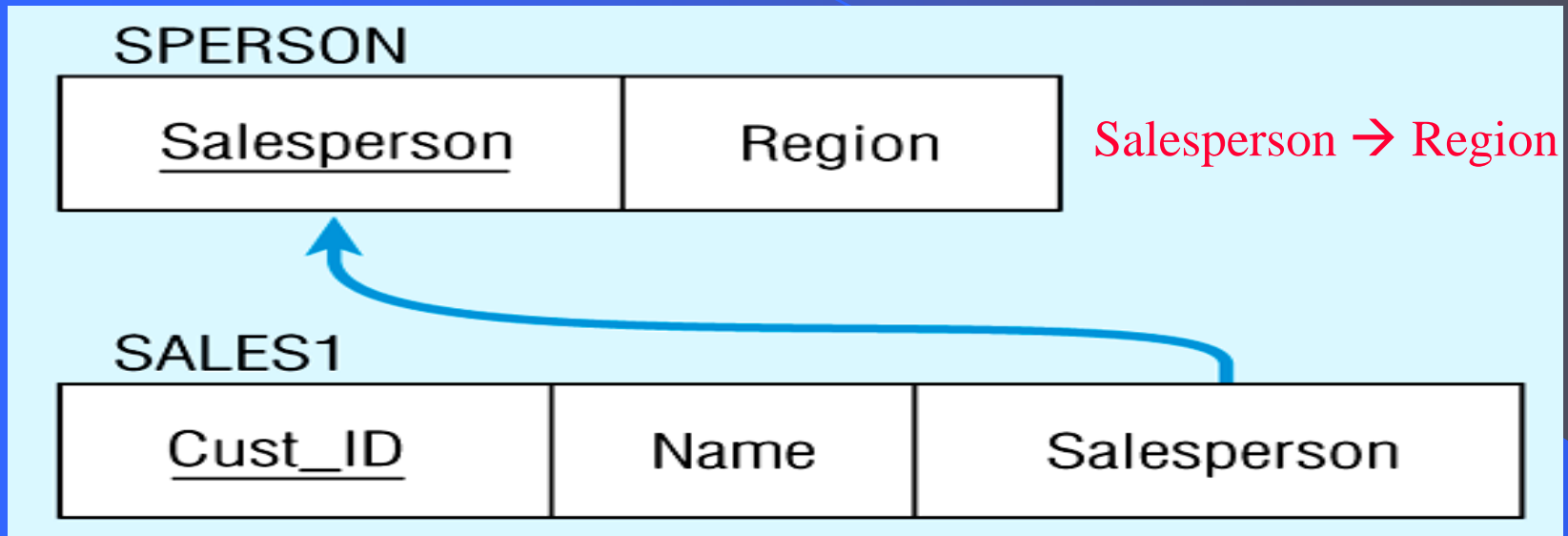
Cust_ID	Name	Salesperson
8023	Anderson	Smith
9167	Bancroft	Hicks
7924	Hobbs	Smith
6837	Tucker	Hernandez
8596	Eckersley	Hicks
7018	Arnold	Faulb

SPERSON

Salesperson	Region
Smith	South
Hicks	West
Hernandez	East
Faulb	North



Figure 5.25(b) Relations in 3NF



$\text{CustID} \rightarrow \text{Name}$

$\text{CustID} \rightarrow \text{Salesperson}$

**Now, there are no transitive dependencies...**  
**Both relations are in 3<sup>rd</sup> NF**

# Other Normal Forms (from Appendix B)

## Boyce-Codd NF

- All determinants are candidate keys...there is no determinant that is not a unique identifier

## 4<sup>th</sup> NF

- No multivalued dependencies

## 5<sup>th</sup> NF

- No “lossless joins”

## Domain-key NF

- The “ultimate” NF...perfect elimination of all possible anomalies