

## **UNIT - 1**

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## UNIT 1

### INTRODUCTION

## 1. A first problem: Stable Matching

### 1.1 The problem:

- Designing a college admission process or job recruiting process that is self-enforcing.
- All juniors in college majoring in computer science begin applying to companies for summer internships.
- Application process is the interplay between two different types of parties.
  - 1. Companies (the employers)
  - 2. Students (the applicants)
- Each applicant has a preference ordering on companies and each company forms a preference ordering on its applicants.
- Based on these preferences, companies extend offers to some of their applicants, applicants choose which of their offers to accept.
- Gale and Shapely considered the sorts of things that could start going wrong with the process.
  - 1. "Raj" accepted job at company "CluNet".
  - 2. "WebExodus" offers job to "Raj".
  - 3. "Raj" now prefers "WebExodus" and rejects "CluNet".
  - 4. "Kiran" gets an offer from "CluNet".
  - 5. "Kiran" already had accepted offer from "BabelSoft".
  - 6. "Kiran" accepts offer from "CluNet" and rejects "BabelSoft".
  - 7. "Deepa" who has accepted the offer from "BabelSoft" calls up "WebExodus" to join them (i.e. she preferred WebExodus over BabelSoft.
  - 8. "WebExodus" rejects "Raj" and accept "Deepa" (i.e. WebExodus preferred Deepa over Raj).
- Situation like this creates chaos and both applicants and employers endup unhappy with the
  process as well as outcome as the process is not self-enforcing and people are not allowed to
  act in their self-interest.

• According to Gale and Shapley: Given a set of preferences among employers and applicants, we can assign applicants to employers so that for every employer E, and every applicant A who is not scheduled to work for E, at least one of the following two things should hold:

- 1. "E" prefers every one of its accepted applicants to "A".
- 2. "A" prefers her current situation over working for employer "E".

If this holds, the outcome is stable.

• Individual self-interest will prevent any applicant/employer deal from being made behind the scene.

### 1.2. Formulating the problem:

- Each applicant is looking for a single company. Each company is looking for many applicants. Each applicant does not typically apply to every company.
- Each of **napplicants** applies to each of **ncompanies** and each company wants to accept a single applicant.

(OR)

- nmen and n women can end up getting married, in this case everyone is seeking to be paired with exactly one individual of opposite gender.
  - $\triangleright$  M is a set of n men, M={m<sub>1</sub>,m<sub>2</sub>,...,m<sub>n</sub>}
  - $\triangleright$  W is a set of n women, W={w<sub>1</sub>,w<sub>2</sub>,...,w<sub>n</sub>}
  - ▶ M\*W, is the set of all possible ordered pairs of form (m,w), where m∈M and w∈W
- Matching: A matching "S" is a set of ordered pairs, each from M\*W, with the property that each member of M and each member of W appears in at most one pair in S.

# **Perfect matching**

- A perfect matching S<sup>1</sup> is a matching with the property that each member of M and each member of W appears in exactly one pair in S<sup>1</sup>.
- A perfect match is a way of pairing men with the women in such a way that everyone
  ends up married to somebody and nobody is married to more than one person. (i.e.
  neither singlehood nor polygamy).

#### **Instability:**

- Say there are 2 pairs (m,w) and (m<sup>1</sup>,w<sup>1</sup>) in S with property that
  - > m prefers w<sup>1</sup> to w
  - $\triangleright$  w<sup>1</sup> prefers m to m1

The pair (m,w<sup>1</sup>) is an instability with respect to S: (m,w<sup>1</sup>) does not belong to S.

- Our goal is a set of marriages with no instabilities. A matching S is stable if:
  - 1. It is perfect.
  - 2. There is no instability with respect to S.

### • Example 1:

We have a set of two men,  $\{m, m^1\}$  and a set of two women  $\{w, w^1\}$ . The preference lists are:

```
m prefers w to w<sup>1</sup>
m<sup>1</sup> prefers w to w<sup>1</sup>
w prefers m to m<sup>1</sup>
w<sup>1</sup> prefers m to m<sup>1</sup>
```

- $\triangleright$  There is a unique stable matching, consisting of pairs (m,w) and (m',w<sup>1</sup>).
- (m<sup>1</sup>,w) and (m,w<sup>1</sup>) would not be a stable match, because the pair (m,w) would form an instability with respect to this matching.

### • Example 2:

```
m prefers w to w<sup>1</sup>
m<sup>1</sup> prefers w<sup>1</sup> to w
w prefers m<sup>1</sup> to m
w<sup>1</sup> prefers m to m<sup>1</sup>
```

- (m,w) and (m<sup>1</sup>,w<sup>1</sup>) is stable, because both men are happy as neither would leave their matched partners.
- $\triangleright$  (m<sup>1</sup>,w) and (m,w<sup>1</sup>) is stable as both women are happy.
- > So its possible for an instance to have more than one stable matching.

# 1.3 Designing the Algorithm:

### **Basic steps:**

- **1.** Initially, everyone is unmarried.
  - ➤ If an unmarried man **m** chooses woman **w** who ranks highest on his preference list and proposes her.
  - $\triangleright$  A mam  $\mathbf{m}^1$  whom  $\mathbf{w}$  prefers,  $\mathbf{w}$  may or may not receive a proposal from  $\mathbf{m}^1$ .