

# TOPOLOGICAL MODULAR FORMS

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## Abstract

The topological modular forms spectrum gives an amazing intersection between abstract, conceptual mathematics and actual calculations; it is both interesting enough to need some form of derived algebraic geometry, yet tractable enough that we can actually calculate its homotopy groups. The  $tmf$  spectrum gives us information about the homotopy groups of spheres (and so exotic spheres), and so leads to some very important results. These notes are born out of the author's attempt to put together various higher-categorical tools to understand both the  $tmf$  spectrum and these higher-categorical tools. We discuss the abstract tools needed to define  $tmf$  and the Adams(-Novikov) spectral sequences used to calculate its homotopy groups.

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# INTRODUCTION

Desar's chosen field in mathematics was so esoteric that nobody in the institute or the Maths Federative could really check his progress

*The Dispossessed, Ursula K. Le Guin*

# THE ADAMS SPECTRAL SEQUENCE

“It has been suggested that the name ‘spectral’ was given because, like spectres, spectral sequences are terrifying, evil, and dangerous. I have heard no one disagree with this interpretation, which is perhaps not surprising since I just made it up”

*‘Spectral Sequences, Friends or foe?’, Ravi Vakil*

This section is a short introduction to the Adams spectral sequence, which is a tool that computes the homotopy groups  $\pi_n(X)$  of a spectrum  $R$  from its  $\mathcal{E}$ -(co)homology for some (co)homology theory  $\mathcal{E}$  (usually  $\mathcal{E} = H\mathbb{F}_2$ , i.e. ordinary (co)homology with  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$  coefficients). The first step is to give an Adams’s filtration of our spectrum  $R$ , i.e. a sequence

$$R_n \rightarrow R_{n-1} \rightarrow \cdots \rightarrow R_1 \rightarrow R_0 := R$$

such that  $R_n$  maps onto a space  $K_n$  given by the wedge of suspensions of the spectrum  $\mathcal{E}$ , with this map  $R_n \rightarrow K_n$  inducing an injection on  $\mathcal{E}$ -homology (resp. a surjection on *mathcal{E}*-cohomology) and with  $X_{n+1} = \text{fib}(X_n \rightarrow K_n)$ . We will start by giving several different interpretations of the Adams Spectral sequence, each have their own benefits and the reader may decide which one they prefer, it shouldn’t matter as the author will avoid any spectral sequence calculations anyway, but it’s nice to know that we could.

## IDEA: ASS AS DESCENT

We first recall what descent is in terms of descent for monads of a category  $\mathcal{C}$  (see for instance Hess, 2018 or Mesablishvili, 2006). Given a monad<sup>1</sup>  $(T, \mu, \eta)$  recall the Eilenberg-Moore category  $\text{Alg}_{\mathcal{C}}(T)$  (or ‘category of  $T$ -algebras’) is the category of pairs  $(c, g)$  with  $c \in \text{ob}\mathcal{C}$  and an associative and unital  $g \in \text{Hom}_{\mathcal{C}}(Tc, c)$  (i.e.  $g \circ Tg = g \circ \mu_c$  and  $g \circ \eta_c = 1_c$ ) and morphisms  $(c, g) \rightarrow (c', g')$  given by linear  $f : c \rightarrow c'$ , i.e.  $g' \circ Tg = g \circ m$ . The forgetful functor  $U : \text{Alg}_{\mathcal{C}}(T) \rightarrow \mathcal{C}$  admits a right adjoint  $F : \mathcal{C} \rightarrow \text{Alg}_{\mathcal{C}}(T)$ , allowing us to talk about the ‘free algebra’  $(Fc, \mu_c)$  generated by an object  $c \in \text{ob}(\mathcal{C})$ .

The Eilenberg-Moore category  $\text{Alg}_{\mathcal{C}}(T)$  allows us to realise any monad as coming from the associated forgetful-free adjunction just described, thus we can think of monads and adjunctions as being one in the same, but we can now come up with ‘comparison functors’ between a category  $\mathcal{D}$  and the monad associated to an adjunction  $F : \mathcal{C} \rightleftarrows \mathcal{D} : U$  in hope that  $\mathcal{D}$  might be a nicer category to work with than the category  $\text{Alg}_{\mathcal{C}}^T$  of  $T = UF$ -algebras, this is the content of Beck’s monadicity theorems. We can get a dual theory of *comonads* and *coalgebras* by reading the last two paragraphs backwards, or something like that.

### DEFINITION 1

The *descent category*  $\mathbb{D}(T)$  of a monad  $T : \mathcal{C} \rightarrow \mathcal{C}$  is the category  $\text{CoAlg}(\text{Alg}(T))$  of  $K^T$ -coalgebras of  $T$ -algebras.

Unpacking that a bit we have that we can associate an adjunction  $F^T : \mathcal{C} \rightleftarrows \text{Alg}_{\mathcal{C}}(T) : U^T$  to  $T$  and consider the comonad  $K := F^T U^T$ , objects of  $\mathbb{D}(T)$  are coalgebras of the comonad  $K$ . Objects of  $\mathbb{D}(T)$  are called *descent data* and  $T$  is said to *satisfy descent* if  $\mathcal{C}$  sits inside  $\mathbb{D}(T)$ , i.e. if the functor  $\mathcal{C} \rightarrow \mathbb{D}(T)$  given by  $c \mapsto (F^T c, F^T \eta_c)$  is fully-faithful. Thus descent datum for  $(T, \mu, \eta)$  consists of a triple  $(c, f, g)$  with  $c \in \text{ob}\mathcal{C}$ , associative and unital  $f : Tc \rightarrow c$  and coassociative and counital  $g : c \rightarrow Tc$  fitting into a diagram

$$\begin{array}{ccc} Tc & \xrightarrow{f} & c \\ Tg \downarrow & & \downarrow g \\ T^2c & \xrightarrow{\mu_c} & Tc \end{array}$$

Note that every object  $c \in \text{ob}\mathcal{C}$  has *canonical descent data* given by  $(Tc, \mu_c, T\eta_c)$ .

### EXAMPLE 2: SHEAVES AND DESCENT

Let  $X$  be a topological space and  $\mathcal{U}$  be a cover of  $X$ ;  $X = \bigcup_{U \in \mathcal{U}} U$ . We let  $\mathcal{C} = \text{PSh}(X) := \text{Fun}(\text{open}(X)^{\text{op}}, \text{Set})$  be the category of presheaves of sets on  $X$ . We get a monad  $T^{\mathcal{U}} : \text{PSh}(X) \rightarrow \text{PSh}(X)$  from the cover  $\mathcal{U}$  by sending a presheaf  $\mathcal{F}$  to  $T^{\mathcal{U}}(\mathcal{F}) : V \mapsto \text{colim}_{\mathcal{U} \ni U \subseteq V} \mathcal{F}(U)$ . The category of algebras  $\text{Alg}_{\text{PSh}(X)}(T^{\mathcal{U}})$  is the category of sheaves since sheafifying a sheaf has no effect.

The descent datum of the ‘ $\mathcal{U}$ -sheafification monad’  $T^{\mathcal{U}}$  is given by a triple  $(\mathcal{F}, f, g)$  with  $\mathcal{F}$  a presheaf,  $f : T^{\mathcal{U}}(\mathcal{F}) \rightarrow \mathcal{F}$  given by maps

$$\left\{ T^{\mathcal{U}}(\mathcal{F})(U) \xrightarrow{f|_U} \mathcal{F}(U) : U \in \mathcal{U} \right\} = \{ (X_U \in \mathcal{F}(U))_{U \in \mathcal{U}} : X_U = X_V \text{ on } U \cap V \},$$

and a map  $g : \mathcal{F} \rightarrow T^{\mathcal{U}}(\mathcal{F})$  which, along with the commutative square above, translates into the sheaf condition (exercise; n.B.  $Tg = \mu_{\mathcal{F}}$  are the identity since sheafifying a sheaf gives a sheaf). We think of  $f$  as ‘breaking’  $\mathcal{F}$  up into parts determined by  $\mathcal{U}$ , and  $g$  as gluing these pieces back together again.

We may extend this to the world of  $\infty$ -categories by taking Adam’s (co)bar construction associating a simplicial object  $T^{\bullet}$  in  $\mathcal{C}$  to the monad  $T$ , but we leave that discussion to Hess, 2018, and give instead a ‘proof by example’ for the Adams spectral sequence.

<sup>1</sup>i.e. and endofunctor  $T : \mathcal{C} \rightarrow \mathcal{C}$  along with ‘composition’  $\mu : T^2 \Rightarrow T$  and ‘unit’  $\eta : 1_{\mathcal{C}} \rightarrow T$  natural transformations.

Let  $\mathcal{E}$  be an  $\mathbb{E}_\infty$ -ring so that the unique map  $\mathbb{S} \rightarrow \mathcal{E}$  makes  $\mathcal{E}$  into an  $\mathbb{S}$ -module where  $\mathbb{S}$  is the sphere spectrum. The category  $\mathrm{Sp}_{\geq 0}$  of  $\mathbb{E}_\infty$ -rings has a monoid structure given by the smash product of spectra  $- \otimes_{\mathbb{S}} - := - \wedge -$ , giving us a map  $- \otimes_{\mathbb{S}} \mathcal{E} : \mathrm{Mod}_{\mathbb{S}} \rightarrow \mathrm{Mod}_{\mathcal{E}}$  with right adjoint given by the forgetful functor. The adjunction  $- \otimes_{\mathbb{S}} \mathcal{E} : \mathrm{Mod}_{\mathbb{S}} \rightleftarrows \mathrm{Mod}_{\mathcal{E}} : U$  leads to a monad  $U(- \otimes_{\mathbb{S}} \mathcal{E})$ , so we can use the above paradigm to find descent data.

This is a good idea since typically it is hard to compute the homotopy groups  $\pi_*(R)$  of an  $\mathbb{E}_\infty$ -ring  $R$ , so by tensoring with  $\mathcal{E}$  and taking homotopy groups we are reduced to finding the  $\mathcal{E}$ -homology  $\mathcal{E}_*R := \pi_*(\mathcal{E} \otimes R)$  of  $R$ . The question remains; can we recover the homotopy groups  $\pi_*(R)$  from the  $\mathcal{E}$ -homology of  $R$ ? The answer is given by the Adams spectral sequence - in short it says “yes, up to  $\mathcal{E}$ -completion”.

To do this we create a cosimplicial resolution via the cobar  $\mathrm{Bar}_n(R) := R^{\otimes n+1} \otimes \mathcal{E}$ , and our hope is that the (homotopy) limit  $\mathrm{Tot}_\bullet^\mathcal{E}(R) := \lim_{n \geq 0} \mathrm{Bar}_n(R)$  is equivalent to our  $\mathbb{E}_\infty$ -ring  $R$ . If  $\mathcal{E} = H\mathbb{F}_p$  is ordinary  $\mathbb{F}_p$ -homology then this is the *classical Adams Spectral sequence*, and if  $R = MU$  is complex cobordism then this recovers the *Adams-Novikov Spectral sequence*.

**Note:** This section comes from [this blog post](#). I will supplant it later with Mike Hopkin’s notes on ‘Complex Oriented Cohomology Theories and the Language of Stacks’ (Course 18.917, notes [here](#)) and on Hess’ paper ‘A general framework for homotopic descent and codescent’ ([arXiv:1001.1556](#))

## IDEA: ASS AS EXACT COUPLES

This section is based on [this blog post](#). Classically the Adams's spectral sequence comes from 'derived couples', and we'll see a bit of that in this section but it's easy for the bulk of the work to be obfuscated in the details and to lose track of what's going on. In this section we'll see spectral sequences for what they are; a mild generalisation of exact sequences.

The notion of spectral sequences as descent from the previous section is a useful way of understanding what spectral sequences *do*, but it's not so clear (at least for this author) how one takes that perspective and applies it to make computations. The idea essentially comes down to the interplay between filtrations  $\cdots F_2R \subseteq F_1R \subseteq F_0R =: R$  of a ring  $R$  and the associated graded ring  $\bigoplus_{n=0}^{\infty} F_nR$ , after all, filtrations are something we can get a hold of in algebraic topology.

Of course, we have a filtration already; given our spectrum  $R$  and a cohomology theory  $\mathcal{E}$  we have a filtration  $\mathrm{Tot}_{\bullet}^{\mathcal{E}}(R) := \lim_{n \geq 0} (R^{\otimes n} \otimes \mathcal{E})$ .

## IDEA: ASS FROM THE HEART

Lurie's higher algebra Lurie, 2017 gives us a new way to view spectral sequences again.

## IDEA: ASS AS A GRADED RING

see (not sure actually, wiebel? eisenbud?)

## IDEA: ASS VIA SYNTHETIC SPECTRA

## BRINGING IT ALL TOGETHER

This has been fun, but now we look at how to relate all these different ways of understanding the Adams spectral sequence before moving on to some example usages of it and, eventually, using it to calculate the homotopy groups of the  $tmf$  spectral sequence.



# OUR FIRST INTRODUCTION TO SPECTRA

## WHAT ARE SPECTRA?

### HOMOLOGY AND SPECTRA

### GROUP COMPLETION AND THE BARRAT-PRIDDY-QUILLEN THEOREM

## THOM SPECTRA AND COMPLEX COBORDISM

We want to focus on a particularly interesting spectrum; that of complex cobordism  $MU$ . We will construct  $MU$  in the usual way, via Thom spectra, following the more modern account of (Ando et al., 2008) and (Ando et al., 2013) to achieve this.

As described in Ando et al., 2008, Thom spectra define the origin of  $\mathbb{E}_\infty$ -rings and the accompanying phrase ‘brave new algebras’, where it was realised that units of ring-spectra play an essential role in obstruction theory; the Thom isomorphism  $\Phi : H^k(B; \mathbb{Z}/2\mathbb{Z}) \cong \tilde{H}^{k+n}(T(E), \mathbb{Z}/2\mathbb{Z})$  gives that, since Stiefel-Whitney classes can be generated via the Steenrod operations (namely as  $w_i(p) = \Phi^{-1}(Sq^i(\Phi(1)))$ ) they are stable homotopy invariants. This isn’t true for other characteristic classes, and since they give an obstruction to finding a linearly independent set of sections of a bundle (i.e. an orientation) they give a homological approach to studying sections. So we aim to follow the analogy of Steifel-Whitney classes, to whith we must understand analogies of principal bundles, sections and Thom spaces.

We can think of a vector bundle  $E \rightarrow X$  as a space  $X$  parametrising some vectorspaces  $\{E_x : x \in X\}$  in some interesting way, which is what we want to emulate now. Our first analogy is that of replacing vectorspaces with  $R$ -modules for some ring spectrum  $R$ . We should understand locally free rank-one modules as modules  $L$  with a specified equivalence  $L \xrightarrow{\sim} R$ , which will play the role of sections. Letting  $R\text{Line} \subseteq R\text{Mod}$  denote the subcategory of locally free rank-one bundles sitting inside the category of  $R$ -modules we may define a *bundle of  $R$ -modules* over a space  $X$  as a map<sup>2</sup>  $X^{\text{op}} \rightarrow R\text{Mod}$ . We want to know to what extent we can understand this bundle of  $R$ -modules as a collection of ‘sections’, i.e. maps  $X^{\text{op}} \rightarrow R\text{Line}$ , and the linear-independence can be understood as an ‘optimal’ set of such sections, i.e. we want to recover the bundle from the left Kan extension

$$\begin{array}{ccc} R\text{Line} & \xrightarrow{\iota} & R\text{Mod} \\ \downarrow \mathfrak{z}_X & \nearrow M & \\ \text{Fun}(X^{\text{op}}, R\text{Line}) & & \end{array}$$

where  $\mathfrak{z}_X$  is the yoneda embedding  $\mathfrak{z}_X(Y) := \text{Fun}(X^{\text{op}}, Y)$  and  $\iota$  is the inclusion. Using the colimit formula for left Kan extensions (since  $R\text{Line}$  is small and  $R\text{Mod}$  is cocomplete) this becomes

$$M(f) = \text{colim}_{\eta: \mathfrak{z}_X L \rightarrow f} \iota(L) = \text{colim}(X^{\text{op}} \xrightarrow{f} R\text{Line} \rightarrow R\text{Mod}). \quad (1)$$

If we understand the bundle  $\mathfrak{z}_X L$  as the trivial bundle then this is exactly seen as gluing trivial bundles together to get  $M(f)$ , just as in the classical story with local charts for principal bundles.

### DEFINITION 1: THOM SPECTRUM

We call  $M(f)$  the **Thom spectrum** associated to the bundle  $f : X^{\text{op}} \rightarrow R\text{Line}$ .

<sup>2</sup>We note here that straightening-unstraightening gives us the more intuitive notion of a bundle as an element of  $R\text{Mod}/X$ , but ths definition is more useful.

# COMPLEX ORIENTED COHOMOLOGY THEORIES

## GOERSS-HOPKINS OBSTRUCTION THEORY

Our main reference for this section will be (Mazel-Gee, [2018](#)).

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