

Case Study: Fine Tuning Text2SQL Model

TL;DR (Executive Summary)

- **Objective:** Fine-tune a ≤ 3 B parameter LLM to generate **executable SQL** from natural-language questions under **limited GPU resources (6GB VRAM)**.
- **Approach:** Used **Falcon3-1B-Base** and **Qwen2.5-Coder-1.5B-Instruct** with **QLoRA (NF4 + double quantization)** and **LoRA adapters (r=32)** to enable efficient fine-tuning.
- **Dataset:** Trained on **BIRD (bird23-train-filtered)**, leveraging the provided **evidence** field and applying **distribution-preserving sampling (40% - 50%)** based on evidence length.
- **Training Setup:** Small batch size with gradient accumulation (effective batch size = 16), conservative learning rate ($2e-5$), and 3 epochs to ensure stability.
- **Results:** Finetuned Qwen model performs better than finetuned Falcon3 model. For Qwen, the **SQL syntax validity improved from 0.19% (baseline) to 89.02%** on unseen test data, as measured using `sqlglot`.
- **Key Limitation:** The model still exhibits **schema hallucination** (e.g., incorrect table/column names), despite syntactically valid SQL.
- **Future Improvements:** Execution-based evaluation, AST-level equivalence checks, higher LoRA capacity, inclusion of `k_proj`, and exploration of larger models (e.g., StarCoder2-3B).

Objective

The goal is to train an LLM model (< 3 B parameters) for Text2SQL purposes using QLoRA. The notebook is designed to run with **a minimum of 6GB RAM of GPU**.

Although accuracy is not the primary goal, we expect the model **to generate syntactically valid and executable SQL queries** from natural-language questions.

Model Preparation

QLoRA is a variant of LoRA in which we strictly set the weight data types to a given precision to reduce RAM usage during training.

LoRA itself is an optimization finetuning method where we freeze the weight pretrained model \mathcal{W} , add a weight matrix $\Delta \mathcal{W}$ with rank r (which should be much smaller than d_{in} and d_{out}) and only train the matrix $\Delta \mathcal{W}$.

Practical Setup

1. Model

a. Qwen/Qwen2.5-Coder-1.5B-Instruct

Link: <https://huggingface.co/Qwen/Qwen2.5-Coder-1.5B-Instruct>

Reason: This model has been previously trained and popularly used for coding tasks, and the size parameter itself is relatively small compared to the specified requirements in the PDF file.

b. tiuae/Falcon3-1B-Base

Link: <https://huggingface.co/tiuae/Falcon3-1B-Base>

Reason: This model contains smaller parameters than Qwen. If we use smaller parameters, we can allocate more memory for data training.

2. QLoRA: BitAndBytes configuration

Link: <https://medium.com/@niraj.h/deep-dive-into-quantization-in-qlora-1f82e04a4673>

Reason:

- `bnb_4bit_quant_type="nf4"` : The weight distribution of a neural network tends to follow a normal distribution. Therefore, NF4 is a preferred choice because it provides memory efficiency through its distribution, offering 16-bit performance via a non-linear mapping with 4-bit memory.
- `bnb_4bit_use_double_quant=True` : This saves additional VRAM by quantizing the quantization constants themselves from 32-bit down to 8-bit. Often paired with NF4 quantization.

3. LoRA Configuration

Link: <https://www.cognativ.com/blogs/post/what-is-lora-llm-low-rank-adaptation-in-language-models/310>

Reason:

- `r=32` : The model can be categorized as a small LLM; therefore, choosing rank = 32 is a good start.
- `lora_alpha=64` : Setting `lora_alpha` to $2 \times r$ is a commonly adopted heuristic that balances training stability and adaptation strength. In this setup, `lora_alpha=64` ensures that the injected LoRA updates are large enough to meaningfully steer the model toward the Text2SQL task, while still maintaining stable optimization under mixed-precision and quantized weights.

- `target_modules` : In a small RAM setup, `q_proj` and `v_proj` is the practical choice, as they retrain the model's attention mechanism. Ideally, we should also include `k_proj` in the fine-tuning to achieve better results.
- `lora_dropout=0.05` : A small value such as 0.05 helps prevent overfitting, especially given the reduced dataset size after sampling. This is particularly important in low-rank fine-tuning, where the adapter capacity is limited and the model can otherwise over-specialize to the training data.

Attention Setup

The objective of the finetuning is to have a model that produces executable SQL syntax. Therefore, we need to define the labels as valid SQL syntax only. This will prevent from echoing the input due to the nature of `AutoModelForCausalLM`.

Dataset Preparation

Link: <https://huggingface.co/datasets/birdsql/bird23-train-filtered>

To train the model in Text2SQL task, we pick `birdsql/bird23-train-filtered`. This dataset is a subset of the original BIRD dataset (retaining 70% of the original dataset).

The dataset is appropriate for the fintech use case because it includes `evidence` as additional context.

EDA Analysis

We check the data distribution across categories, SQL query complexity, and `evidence`. This analysis is crucial because our setup has limited resources, meaning we need to sample the data while preserving its distribution. Therefore, we sample 40 - 50% from the dataset.

Based on the quick analysis on each aspect, maintaining the distribution of the data based on `evidence` is crucial because it reveals the difficulty of the task. We bucket the evidence lengths and sample from each bucket at 40-50%, preserving the data distribution.

Training Setup Preparation

1. Batch Size

- `TRAINING_BATCH_SIZE = 2`
- `VALIDATION_BATCH_SIZE = 2`

A small per-device batch size is required due to GPU memory limitations when fine-tuning a 1.5B-parameter model, even with QLoRA and quantization. A batch size of 2 allows the model, optimizer states, and LoRA adapters to fit within memory without triggering out-of-memory errors.

Using the same batch size for training and validation ensures consistent memory usage and avoids unexpected failures during evaluation.

2.Gradient Accumulation

- `TRAINING_GRADIENT_ACCUMULATION_STEPS = 8`

To compensate for the small per-device batch size, we apply gradient accumulation. This effectively simulates a larger batch size without increasing memory usage.

The effective batch size becomes:

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$2 \times 8 = 16$

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This improves gradient stability and convergence behavior while remaining compatible with the available hardware.

3.Learning Rate

- `TRAINING_LEARNING_RATE = 2e-5`

A conservative choice for adjusting the learning rate in a deep learning model. A higher learning rate could lead to overshooting during training.

4. Number of Epochs

- `TRAINING_NUMBER_EPOCH = 3`

Given a reduced dataset and the task's scope, 3 epochs should be sufficient for model fine-tuning. This should illustrate the stability of the training.

5. Logging Strategy

- `TRAINING_LOGGING_STEP = 10`

Logging every 10 steps provides sufficient visibility, allowing early detection of divergence or instability while keeping training efficient.

6. Checkpointing and Evaluation Strategy

- `TRAINING_SAVE_STRATEGY = "epoch"`
- `TRAINING_EVALUATION_STRATEGY = "epoch"`

Given the size of the training set, it is reasonable to save checkpoints and perform a validation test after each epoch, allowing us to monitor the trend of the loss function.

Test Data Evaluation

We are using test data to evaluate the model's performance after finetuning.

Example - Finetuned Qwen Model

Question: What is the cheapest order price of the book "The Little House"?

Evidence: "The Little House" is the title of book; cheapest order price refers to Min(price)

```
-- Expected SQL
SELECT MIN(T2.price)
FROM book AS T1
INNER JOIN order_line AS T2
ON T1.book_id = T2.book_id
WHERE T1.title = 'The Little House'
```

```
-- Generated SQL
SELECT T1.price
FROM books AS T1
INNER JOIN orders AS T2
ON T1.book_id = T2.book_id
WHERE T1.title = 'The Little House'
ORDER BY T2.price LIMIT 1
```

Based on the results, the model already has some understanding of how to fetch certain data (such as the minimum price or start date); however, it still exhibits schema hallucination.

SQL Syntax Validation

Link: <https://sqlglot.com/sqlglot.html>

To check the validity of the generated SQL syntax, we use the `sqlglot` library.

As a baseline, we can use a pretrained model for comparison. Based on the result:

Model	Result
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Model	Result
Baseline Qwen/Qwen2.5-Coder-1.5B-Instruct	0.19% valid SQL generation
Finetuned Qwen/Qwen2.5-Coder-1.5B-Instruct	89.02% valid SQL generation

Based on the syntax, the finetuned model has significantly improved the correctness of the syntax.

Future Work

Several directions can be explored to further improve model performance and robustness in Text2SQL generation:

- **Model Exploration: StarCoder2-3B** is a model alternative compared to Qwen2.5-Coder-1.5B-Instruct. Theoretically, the larger model should have a better capacity for learning. See <https://huggingface.co/bigcode/starcoder2-3b>.
- **Evaluation Metrics Enhancement**
 - **AST-based syntax equivalence:** Instead of string matching, abstract syntax tree (AST) comparisons can be used to account for semantically equivalent SQL queries
 - **Execution-based Metrics:**
 - **Execution Accuracy (EX)** to measure whether generated queries return the same results as the ground-truth SQL.
 - **VES-style metrics** to better quantify semantic correctness and schema grounding, particularly in cases of schema hallucination.
 - See <https://arxiv.org/pdf/2305.03111>
- **Quantization Strategy Exploration:** Future experiments could explore **FP4 quantization** to assess whether alternative low-precision formats offer improved numerical stability or generation quality.
- **LoRA Capacity Scaling:** Increasing the LoRA rank (r) may allow the adapters to capture more task-specific information, potentially improving complex SQL generation.
- **Training Dynamics:** Increasing epochs could further improve the quality of the fine-tuned model. We have tried adding epochs on Qwen and the validity of SQL syntax increases to 96.52% (See [DOKU_Text2SQL_Falcon.ipynb](#)). Due to time limitation, we cannot implement the same for Falcon 3 model.
- **Expand Target Modules:** Including k_{proj} could further refine the attention mechanism of the model.

Conclusion

Despite limited resources, QLoRA enables us to fine-tune the LLM effectively on a single 6GB RAM GPU. The Python script shows that the finetuning process of Qwen significantly improves the correctness of SQL syntax.