# Spanning Trees: Efficient Algorithms

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## Graph Algorithms Data Structures and Algorithms

#### Outline

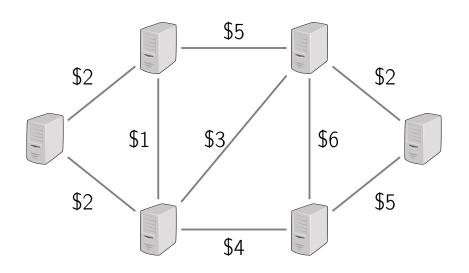
- Building a Network
- 2 Greedy Algorithms
- 3 Cut Property
- 4 Kruskal's Algorithm
- 6 Prim's Algorithm

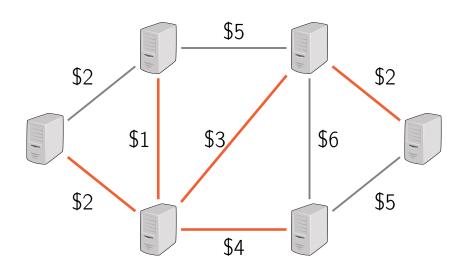


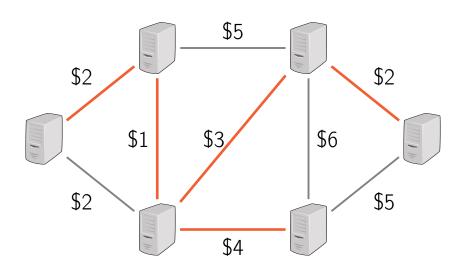




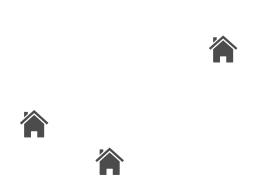








## **Building Roads**











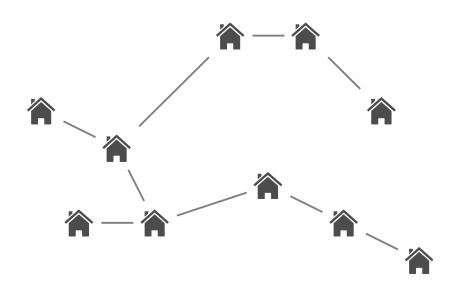








## **Building Roads**



## Minimum spanning tree (MST)

Input: A connected, undirected graph G = (V, E) with positive edge weights.

Output: A subset of edges  $E' \subseteq E$  of minimum total weight such that the graph (V, E') is connected.

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#### Remark

The set E' always forms a tree.

■ A tree is an undirected graph that is connected and acyclic.

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- A tree on n vertices has n-1 edges.
- Any connected undirected graph G(V, E) with |E| = |V| 1 is a tree.
- An undirected graph is a tree iff there is a unique path between any pair of its vertices.

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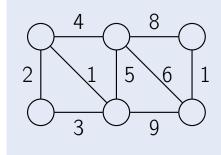
#### This lesson

Two efficient greedy algorithms for the minimum spanning tree problem.

repeatedly add the next lightest edge if this doesn't produce a cycle

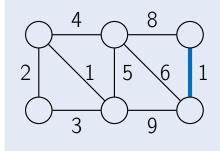
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repeatedly add the next lightest edge if this doesn't produce a cycle



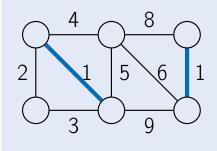
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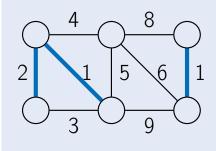
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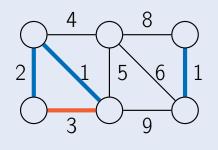
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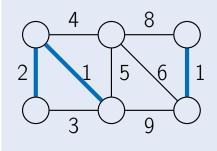
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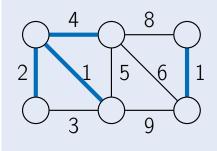
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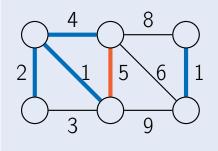
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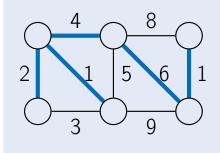
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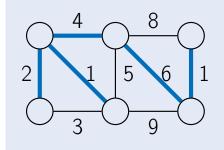
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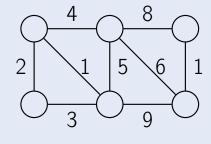


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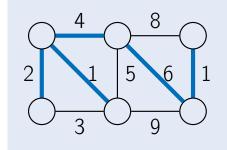
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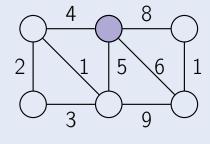
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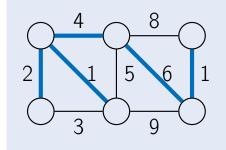
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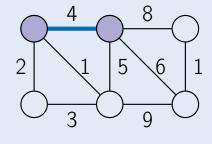
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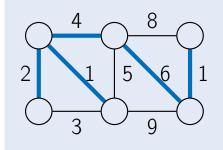
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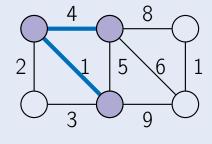
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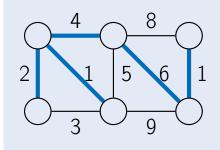
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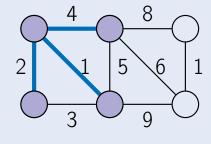
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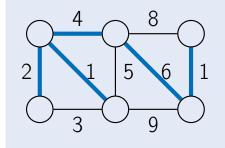
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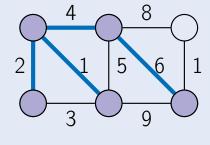
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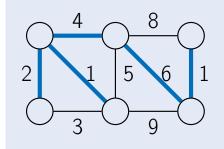
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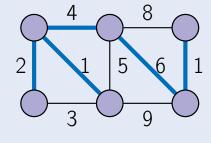
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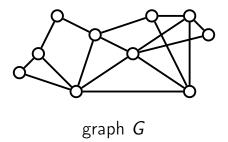
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#### Cut property

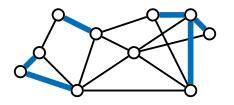
Let  $X \subseteq E$  be a part of a MST of G(V, E),  $S \subseteq V$  be such that no edge of X crosses between S and V - S, and  $e \in E$  be a lightest edge across this partition. Then  $X + \{e\}$  is a part of some MST.

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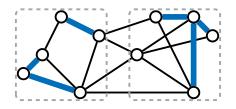


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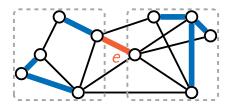
subset  $X \subseteq E$  of some MST

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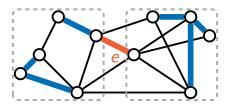
partition of V into S and V-S

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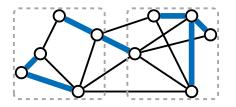
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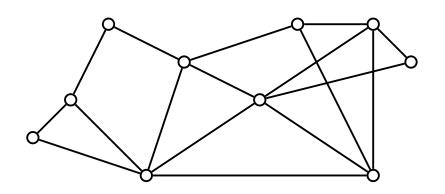


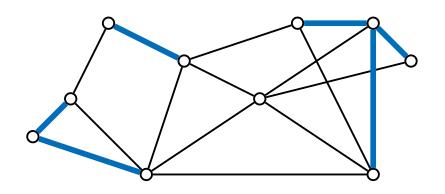
cut property states that  $X + \{e\}$  is also a part of some MST

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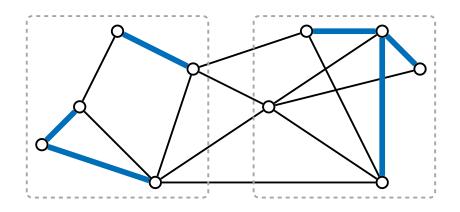


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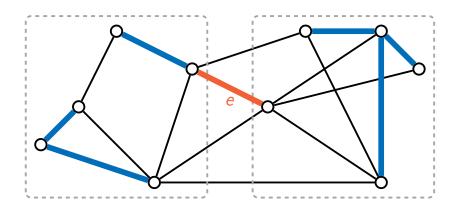




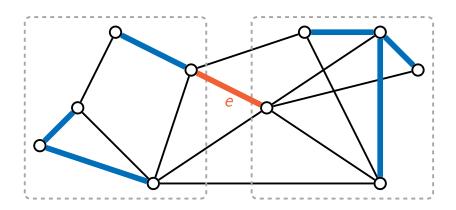
subset  $X \subseteq E$  of some MST T



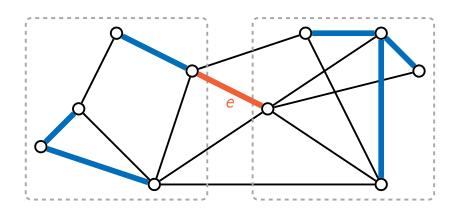
partition of V into S and V-S



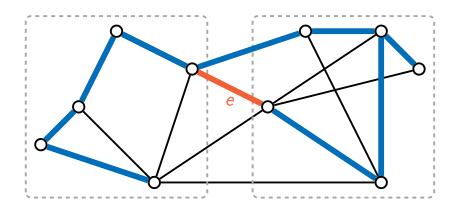
lightest edge e between S and V-S



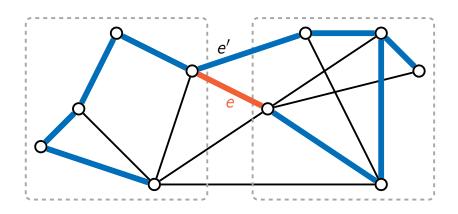
we know that X is a part of some MST T and need to show that  $X + \{e\}$  is also a part of a (possibly different) MST



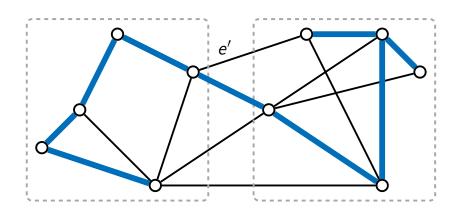
if  $e \in T$  then there is nothing to prove; so assume that  $e \notin T$ 



consider the tree T



adding e to T creates a cycle; let e' be an edge of this cycle that crosses S and V-S



then  $T' = T - \{e'\} + \{e\}$  is an MST containing  $X + \{e\}$ : it is a tree, and  $w(T') \le w(T)$  since  $w(e) \le w(e')$ 

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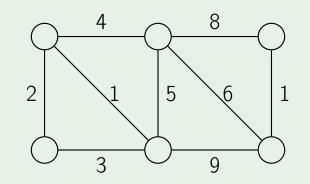
# Kruskal's Algorithm

- Algorithm: repeatedly add to X the next lightest edge e that doesn't produce a cycle
- At any point of time, the set X is a forest, that is, a collection of trees
- The next edge e connects two different trees—say,  $T_1$  and  $T_2$
- The edge e is the lightest between  $T_1$  and  $V-T_1$ , hence adding e is safe

# Implementation Details

- use disjoint sets data structure
- initially, each vertex lies in a separate set
- each set is the set of vertices of a connected component
- to check whether the current edge {u, v} produces a cycle, we check whether u and v belong to the same set

# Example



# Kruskal(G)

for all  $u \in V$ : MakeSet(v)

 $X \leftarrow \text{empty set}$ sort the edges E by weight

for all  $\{u,v\} \in E$  in non-decreasing

weight order:

if Find(u)  $\neq$  Find(v): add  $\{u, v\}$  to X

Union(u, v)

return X

Sorting edges:

$$O(|E| \log |E|) = O(|E| \log |V|^2) =$$
  
 $O(2|E| \log |V|) = O(|E| \log |V|)$ 

Processing edges:

$$2|E| \cdot T(\text{Find}) + |V| \cdot T(\text{Union}) = O((|E|+|V|)\log|V|) = O(|E|\log|V|)$$

■ Total running time:  $O(|E| \log |V|)$ 

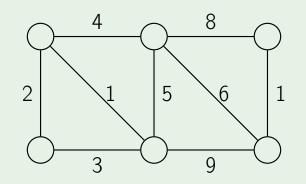
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# Prim's Algorithm

- X is always a subtree, grows by one edge at each iteration
- we add a lightest edge between a vertex of the tree and a vertex not in the tree
- very similar to Dijkstra's algorithm

# Example



# Prim's Algorithm

## Prim(G)

for all  $u \in V$ :

```
cost[u] \leftarrow \infty, parent[u] \leftarrow nil
pick any initial vertex u_0
cost[u_0] \leftarrow 0
PrioQ \leftarrow MakeQueue(V) {priority is cost}
while PrioQ is not empty:
  v \leftarrow \text{ExtractMin}(PrioQ)
  for all \{v, z\} \in E:
     if z \in PrioQ and cost[z] > w(v, z):
        cost[z] \leftarrow w(v, z), parent[z] \leftarrow v
        ChangePriority(PrioQ, z, cost[z])
```

the running time is

 $|V| \cdot T(\text{ExtractMin}) + |E| \cdot T(\text{ChangePriority})$ 

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- for array-based implementation, the running time is  $O(|V|^2)$
- for binary heap-based implementation, the running time is  $O((|V| + |E|) \log |V|) = O(|E| \log |V|)$

## Summary

Kruskal: repeatedly add the next lightest edge if this doesn't produce a cycle; use disjoint sets to check whether the current edge joins two vertices from different components

Prim: repeatedly attach a new vertex to the current tree by a lightest edge; use priority queue to quickly find the next lightest edge