

# Information Retrieval Metrics

# Useful Resources

1. [https://en.wikipedia.org/wiki/Evaluation\\_measures\\_\(information\\_retrieval\)](https://en.wikipedia.org/wiki/Evaluation_measures_(information_retrieval))
2. Alternatives to Bpref
3. <https://hosseiniec.wordpress.com/2014/07/28/retrieval-evaluation-with-incomplete-relevance-data/>
4. <http://people.cs.georgetown.edu/~nazli/classes/ir-Slides/Evaluation-12.pdf>
5. <https://link.springer.com/article/10.1007/s10791-008-9059-7>
6. <https://people.eng.unimelb.edu.au/jzobel/fulltext/acmtois08.pdf>
7. [https://pdfs.semanticscholar.org/6ed8/b5935be55fdc0eb2756fc376f73e098cb\\_d39.pdf](https://pdfs.semanticscholar.org/6ed8/b5935be55fdc0eb2756fc376f73e098cb_d39.pdf)
- 8.

# Document Retrieval

Good Docs: D1, D2, D3, D5, D8, D13, D21, D34

Bad Docs: D4, D6, D7, D9, D10, D11, D12, D14, D15, D16, D17, D18, D19, D20

Everything else unlabelled

Example order of retrieval and ranking:

D1 D100 D6 D8 D34 D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

# Metrics - Familiar Metrics - Precision

1. How many did the system get right?
2. Precision@k  $\Rightarrow$  |True positives till k| / k
3. 100% Precision? - All the retrieved k documents are relevant

Good Docs: D1, D2, D3, D5, D8, D13, D21, D34

Bad Docs: D4, D6, D7, D9, D10, D11, D12, D14, D15, D16, D17, D18, D19, D20

D1 D100 D6 D8 D34 | D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

Precision@5 -  $\frac{3}{5}$

100% Precision possible order: D1 D13 D21 D8 D34 Precision@5 - 5/5

# Metrics - Familiar Metrics - Average Precision

$$\text{AveP} = \frac{\sum_{k=1}^n (P(k) \times \text{rel}(k))}{\text{number of relevant documents}}$$

Good Docs: D1, D2, D3, D5, D8, D13, D21, D34

Bad Docs: D4, D6, D7, D9, D10, D11, D12, D14, D15, D16, D17, D18, D19, D20

D1 D100 D6 D8 D34 | D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

Average Precision -  $1/1 + 2/4 + 3/5$  Precision@5 -  $3/5$

100% Precision possible order: D1 D13 D21 D8 D34 Precision@5 -  $5/5$

# Metrics - Familiar Metrics - Recall

1. How many right answers did the system get?
2.  $\text{Recall}@k \Rightarrow |\text{True positives till } k| / |\text{True Positives}|$
3. 100% Recall? - All the relevant documents are retrieved by the position k

Good Docs: D1, D2, D3, D5, D8, D13, D21, D34 -  $|\text{Good Docs}| = 8$

Bad Docs: D4, D6, D7, D9, D10, D11, D12, D14, D15, D16, D17, D18, D19, D20

D1 D100 D6 D8 D34 | D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

$\text{Recall}@5 - 3/8$

100% Recall possible order\*: D1, D2, D3, D5, D8, D13, D21, D34

# Metrics - Familiar Metrics - Recall

100% Recall order\*: \* D1, \*, D2, \*, D3, \*, D5, \*, D8, \*, D13, \*, D21, \*, D34 \*,

1. Any number of documents can appear in between
2. Order of relevant documents **doesn't matter**
3. Recall@k can be 100% iff all labelled relevant documents are retrieved at k
4. 100% Precision doesn't imply 100% Recall and vice versa
5. Optimize for P/R based on requirements of the downstream task

# Metrics - Familiar Metrics - F Score

$$F_{\beta} = \frac{(1 + \beta^2) \cdot (\text{precision} \cdot \text{recall})}{(\beta^2 \cdot \text{precision} + \text{recall})}$$

1. Balances P&R
2. Higher beta - Recall is more imp
3. Common versions
  - a. F1
  - b. F2
  - c. F1.5
  - d. F0.5 - Precision is more imp



# Metrics - Familiar Metrics - Success

1. Did the system get **at least one** correct at k?

D1 D100 D6 D8 D34 | D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

Success@1 is 100%

Success@5 is 100%

Success@k is 100% for any k is 100% as the first doc is a good doc

Success@3 is 0% but Success@5 is 100% D9 D4 D10 D6 D8

Success is 0 for D9 D4 D10

# Metrics - Familiar Metrics - nDCG

$$DCG_p = \sum_{i=1}^p \frac{2^{rel_i} - 1}{\log_2(i + 1)}$$

$$nDCG_p = \frac{DCG_p}{IDCG_p}.$$

1. Ranking metric
2. Graded Labels
3. Encourages to rank great results at the top
4. Condensed
  - a. Calculate using only labelled points
5. Global - Ideal DCG - Use all the labelled data points
6. Local - Ideal DCG - Sorted retrieved labels

# Metrics - Familiar Metrics - nDCG

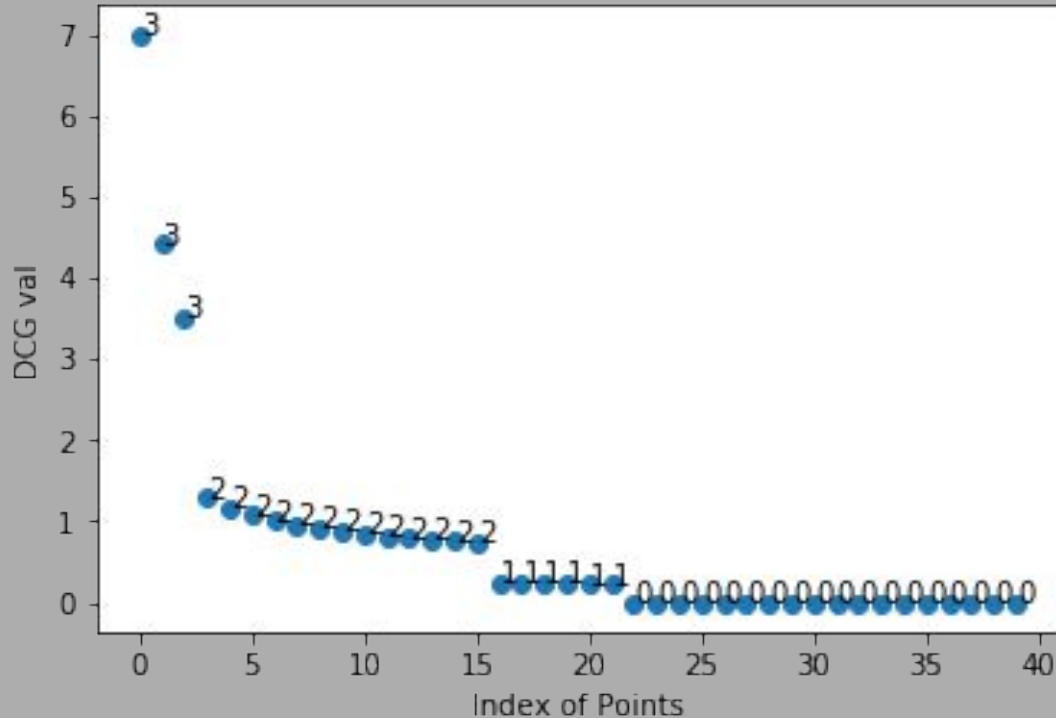
nDCG global 0.4274189765288345

nDCG global condensed 0.5244899222593769

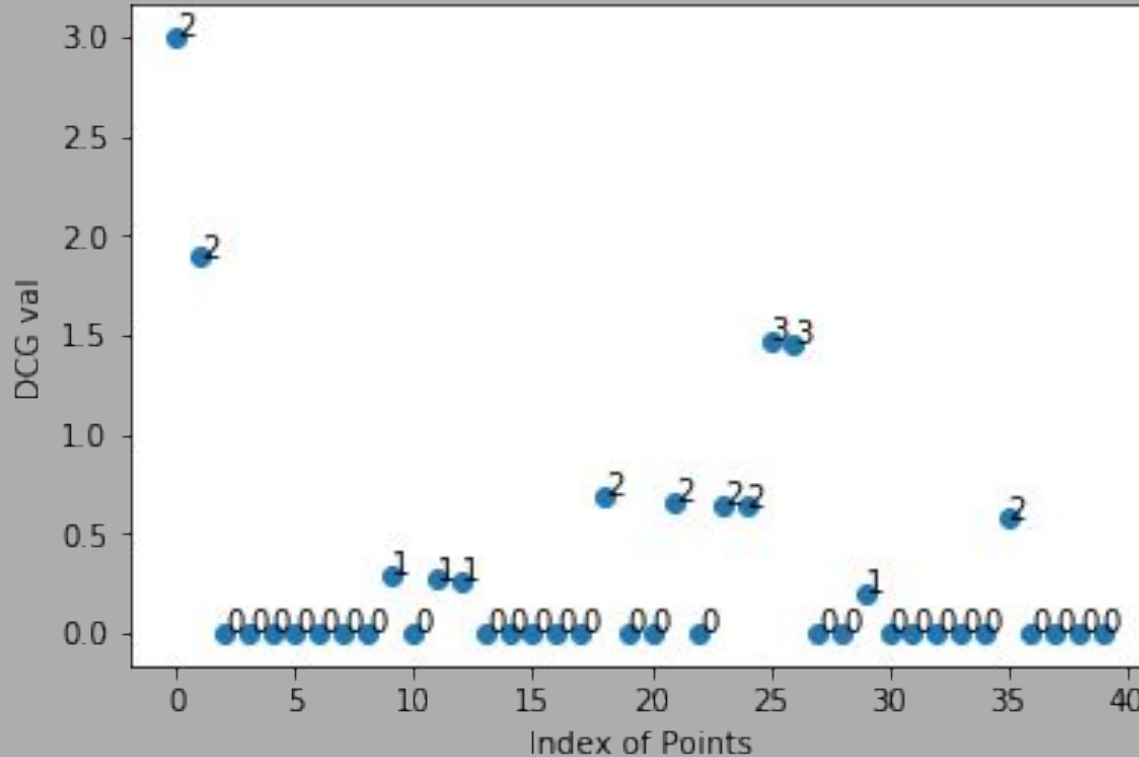
nDCG local 0.5916351066479533

nDCG local condensed 0.7260011092904043

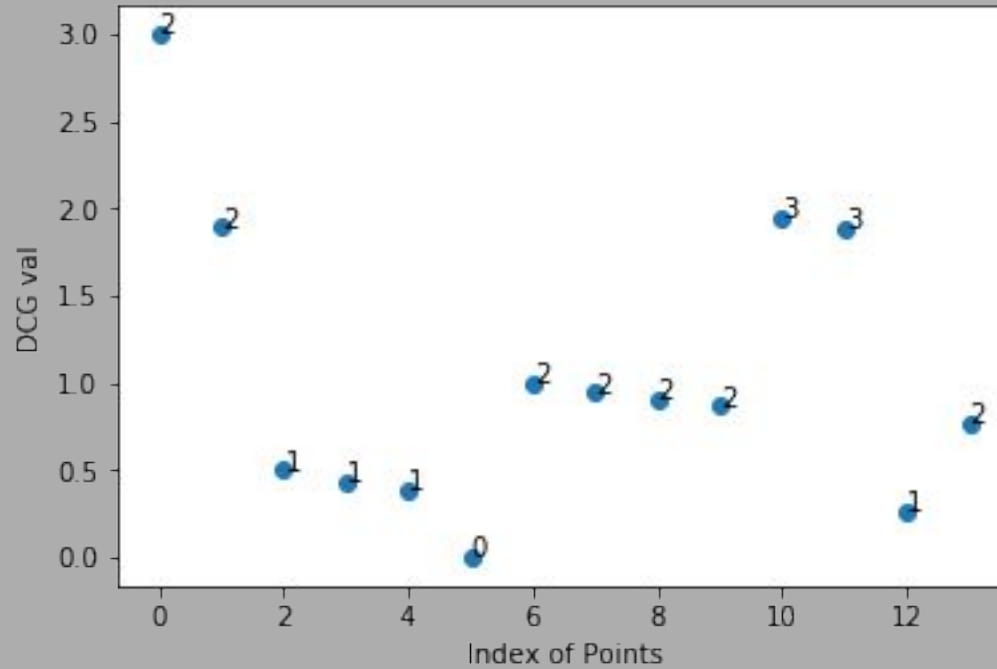
# Metrics - Familiar Metrics - nDCG - Ideal BB



# Metrics - Familiar Metrics - nDCG - Random Datum



## Metrics - Familiar Metrics - nDCG - Random Datum - Condensed



# Metrics - New Metrics - bpref

$$\text{bpref} = \frac{1}{R} \sum_r 1 - \frac{|n \text{ ranked higher than } r|}{R}$$

$$\text{bpref-10} = \frac{1}{R} \sum_r 1 - \frac{|n \text{ ranked higher than } r|}{10 + R}$$

1. Consider only **judged** documents
2. Approximately the % of wrongly ordered pairs
3. If **no labelled irrelevant** documents are retrieved
  - a.  $\text{bpref} = \text{Recall}$
4. If **no labelled relevant** documents are retrieved
  - a.  $\text{bpref} = 0$
5. If **all labelled relevant > all labelled irrelevant**
  - a.  $\text{bpref} = \text{Recall}$
6. Binary Labels

# Metrics - New Metrics - bpref

Good Docs: D1, D2, D3, D5, D8, D13, D21, D34 - |Good Docs| = R = 8

Bad Docs: D4, D6, D7, D9, D10, D11, D12, D14, D15, D16, D17, D18, D19, D20

D1 D100 D6 D8 D34 | D101 D302 D9 D4 D10 D105 D20 D400 D13 D500 D21 D2

bpref@5 -  $\frac{1}{8} [(1 - 0/8) + (1 - 1/8) + (1 - 1/8)]$

D6 D1 D100 D302 D8 D9 D34 D105 D4 D13

bpref@10 -  $\frac{1}{8} [(1 - 1/8) + (1 - 1/8) + (1 - 2/8) + (1 - 3/8)]$



# Metrics - New Metrics - bpref

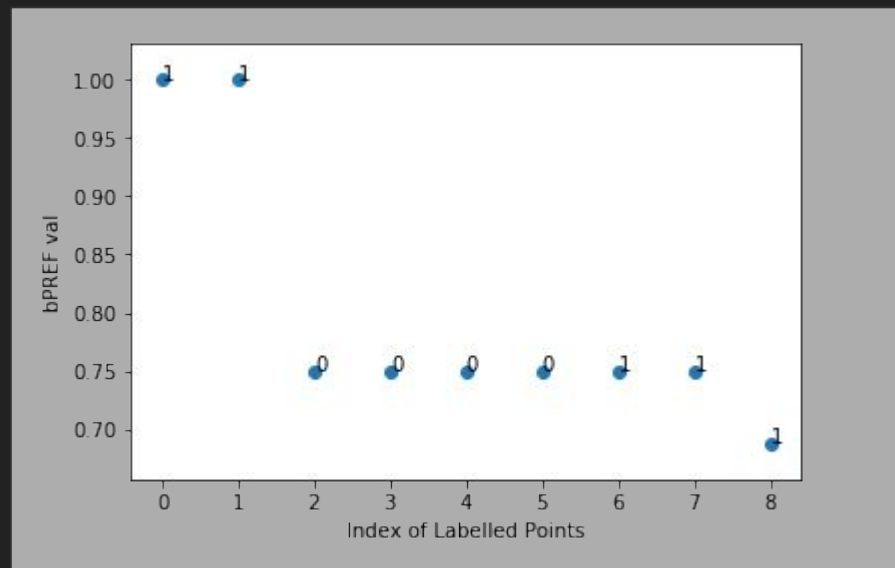
Condensed Labels

[1, 1, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 0, 1]

Num Labelled Docs = R = 16

B-pref - 0.45

Compared to Precision, a good document at a better rank is better



# Metrics - New Metrics - Q-Measure

$$Q\text{-measure} = \frac{1}{R} \sum_{1 \leq r \leq L} isrel(r) \frac{\beta cg(r) + count(r)}{\beta cig(r) + r}$$

$r$  - rank  $R$  - num of relevant documents

$isrel(r)$  - Indicator flag 1 if labelled relevant, 0 for everything else

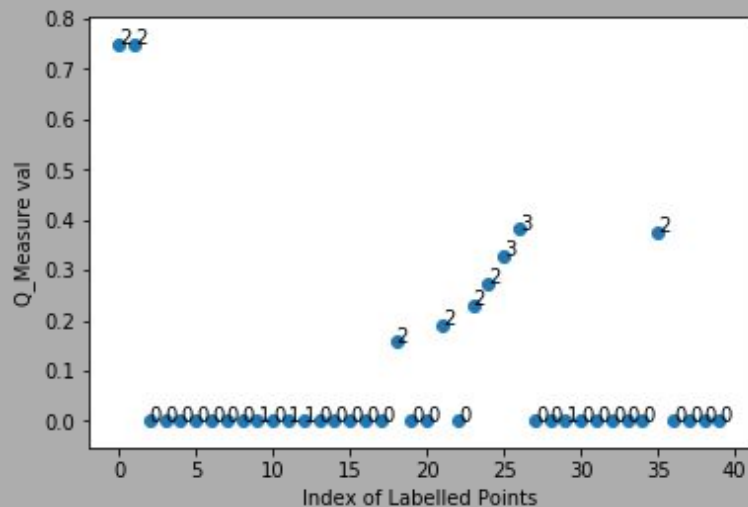
Gain - Quality of relevance - 3, 2, 1, 0

Cumulative Gain (cg) -  $gain(r) + cg(r-1)$

Cumulative Ideal Gain (cig) - Sum of ideal order of labelled relevant qualities

$count(r)$  - num of relevant docs till  $r$

# Metrics - New Metrics - Q Measure



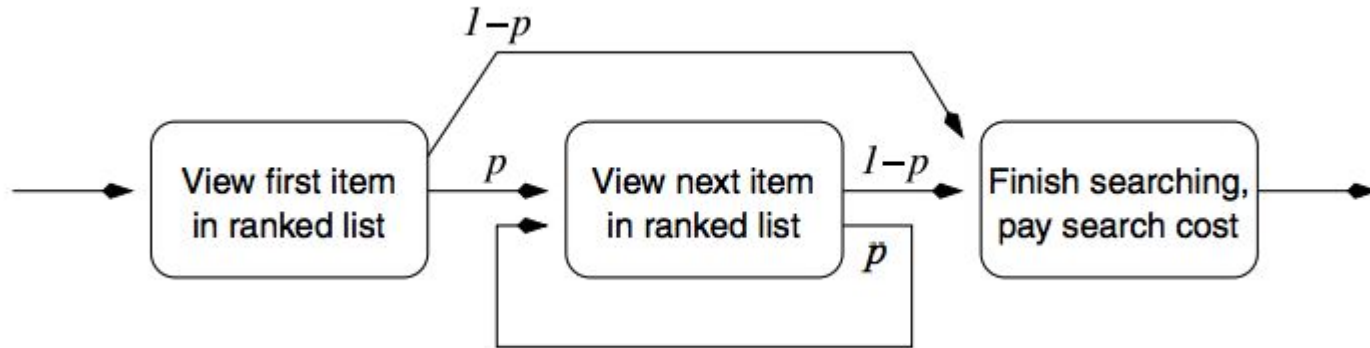
Labels - [2, 2, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 1, 1, 0, 0, 0, 0, 0, 0, 2, 0, 0, 2, 0, 2, 2, 3, 3, 0, 0, 1, 0, 0, 0, 0, 0, 0, 2, 0, 0, 0, 0]

Gain from a relevant document at a better rank is higher

Continuous/Multiple relevant labels increase the count in the numerator increases the value

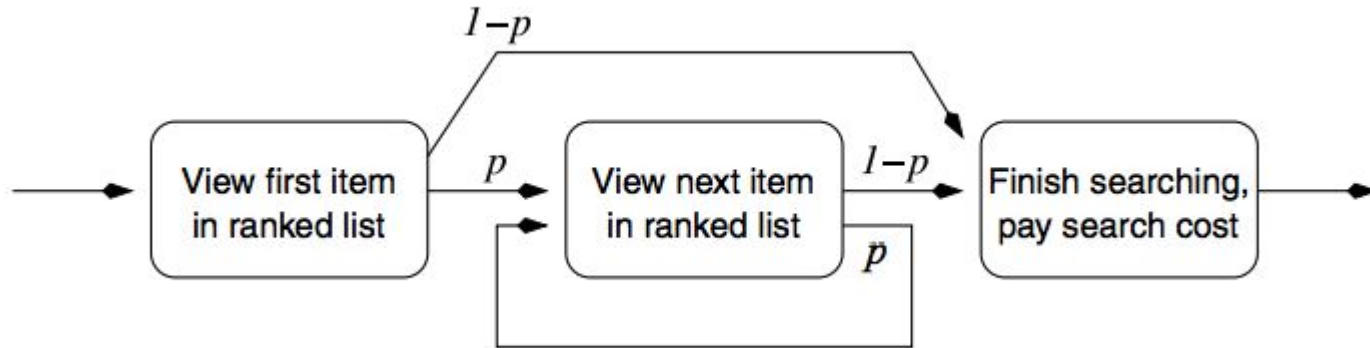
# Metrics - New Metrics - Rank-Biased Precision(RBP)

1. User oriented metric
2.  $p$  - persistence/probability that they will look at the next doc



# Metrics - New Metrics - Rank-Biased Precision(RBP)

1. User oriented metric
2.  $p$  - persistence/probability that they will look at the next doc



# Metrics - New Metrics - Rank-Biased Precision(RBP)

$$\text{RBP} = (1 - p) \cdot \sum_{i=1}^d r_i \cdot p^{i-1}.$$

1. Higher P - User will scroll/load more - Patient  
- Exhaustive search habits
2. Lower P - Impatient - Top 1 - Feeling Lucky
3. Many relevant docs at the top
  - a. Similar gains - Doesn't matter if the user keeps going
4. Some relevant docs at the top - Many at the bottom
  - a. Patient users find more documents
5. Bad results
  - a. No one find anything

# Metrics - New Metrics - Rank-Biased Precision(RBP)

7.  $1-p$  normalizes the effort, and metric reflects rate of finding results

$$\text{RBP} = (1 - p) \cdot \sum_{i=1}^d r_i \cdot p^{i-1}.$$

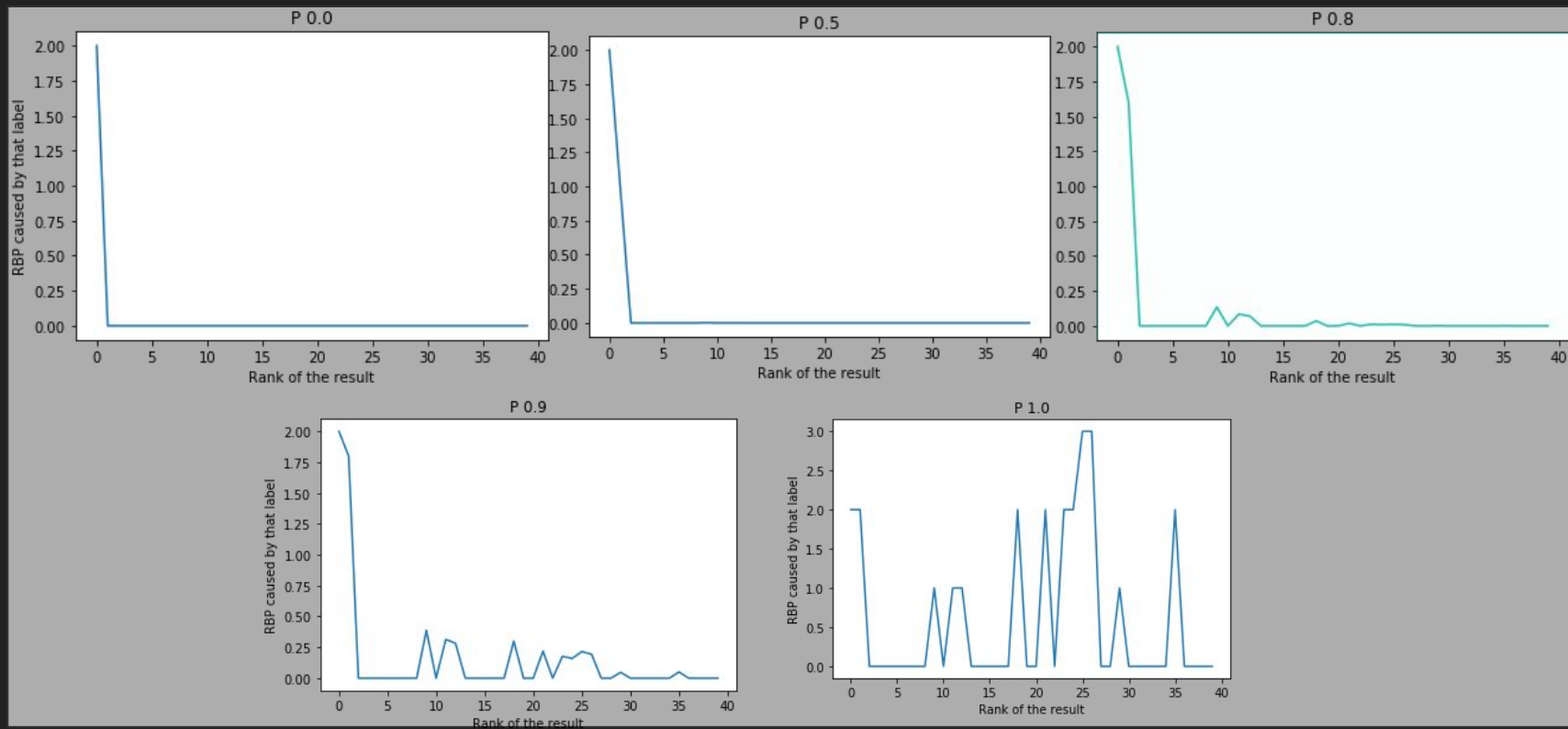
8. Higher RBP implies higher net satisfaction

9. Choosing  $p$  - Reverse engineer to gather impact from the  $k$ th result of choice.

10. # documents the user is likely to see

$k=30$  - Top 3 pages based on our user behavior

# Metrics - New Metrics - RBP





# Metrics - New Metrics - r-pref

$$rpref\_N = \frac{1}{R'} \sum_{D_k \in J, \phi_k > 0} \phi_k \left(1 - \frac{penalty_k}{N'}\right) \quad (2)$$

where

$$R' = \sum_{D_k \in J} \phi_k \quad (3)$$

$$N' = \sum_{D_k \in J} (1 - \phi_k) \quad (4)$$

and

$$penalty_k = \sum_{D_l \in J, r_l < r_k, \phi_l < \phi_k} \frac{\phi_k - \phi_l}{\phi_k} . \quad (5)$$

$\phi_k = [0, 1]$  - Normalized grades (TODO - Need to check)

Penalty - For a retrieved relevant document, compute penalty for every judged **less** relevant docs ranked above it

Normalized by  $\phi_k$  implies that the penalties incurred by improper ranking of a great document is more than that of a good document

Directly equate to Recall if perfect ranking is achieved

# Metrics - What does everything mean?

- High Precision - User is seeing relevant results - But can't decide if the results are exhaustive
- High Recall - User is seeing relevant results interleaved with possibly irrelevant results
- High Precision & Recall doesn't imply perfect ranking, as they are rank agnostic
- High Local nDCG - Great relevant results appear near the top - User is seeing relevant results - But can't decide if the results are exhaustive
- Really High Global nDCG - Great relevant results appear near the top - User is seeing relevant results - More confidence that the majority of **labelled** relevant documents are retrieved

# Metrics - What does everything mean?

- High b-pref
  - High Recall - Almost agnostic to retrieval of judged irrelevant documents
  - Good relative ranking - If there were multiple judged irrelevant documents
- Low b-pref
  - Low Recall - Low relevant labelled documents are seen
  - Lower - Bad Ranking
- High q-measure
  - High frequency of relevant labelled documents at higher ranks
- Low q-measure
  - Highly relevant labelled documents are found at lower ranks
  - Proportion of relevant labelled documents is lower - Not enough recall

# Metrics - What does everything mean?

- High RBP - User finds a relevant result quickly based on their browsing habits
- Low RBP
  - Not enough relevant documents shown
  - Relevant documents are surfaced in later pages
  - Can take forever to find the relevant documents
  - User might end the search without seeing any relevant results
- High R-Pref
  - Highly relevant grades documents are ranked above judged lower graded documents
  - Lower irrelevant document recall if ranking is perfect
- Low R-Pref
  - Ranking mistakes
  - Only Low quality result recall