

## Ethernet

by

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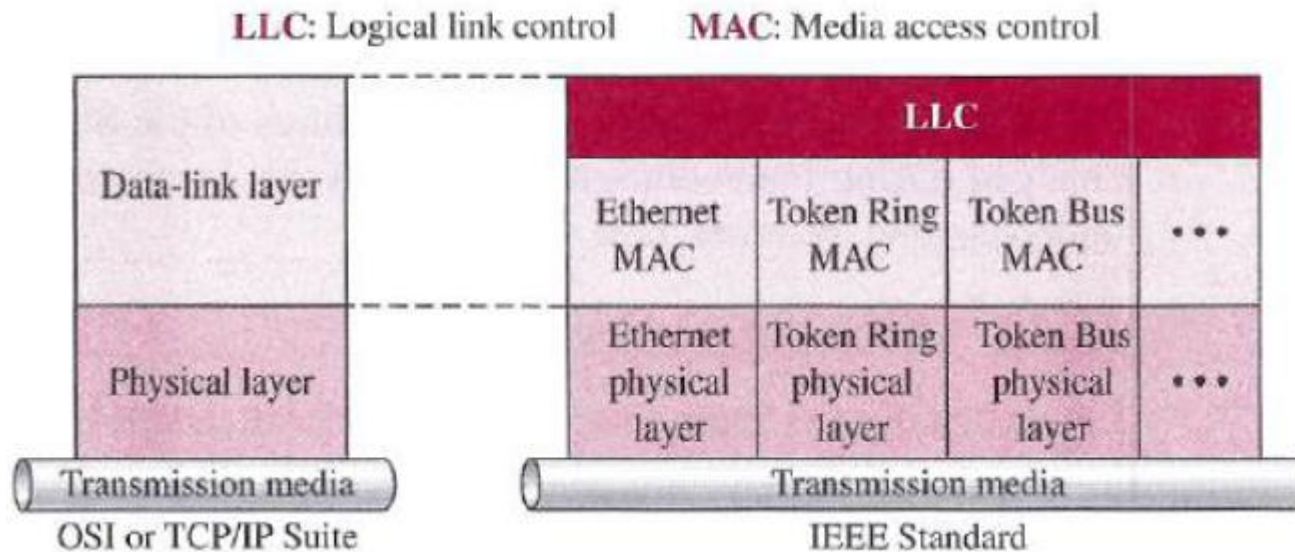
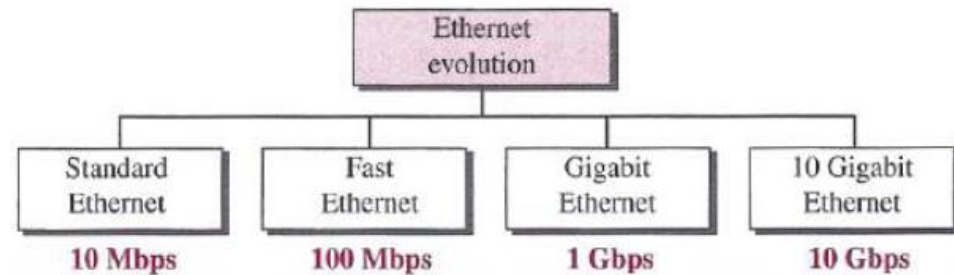
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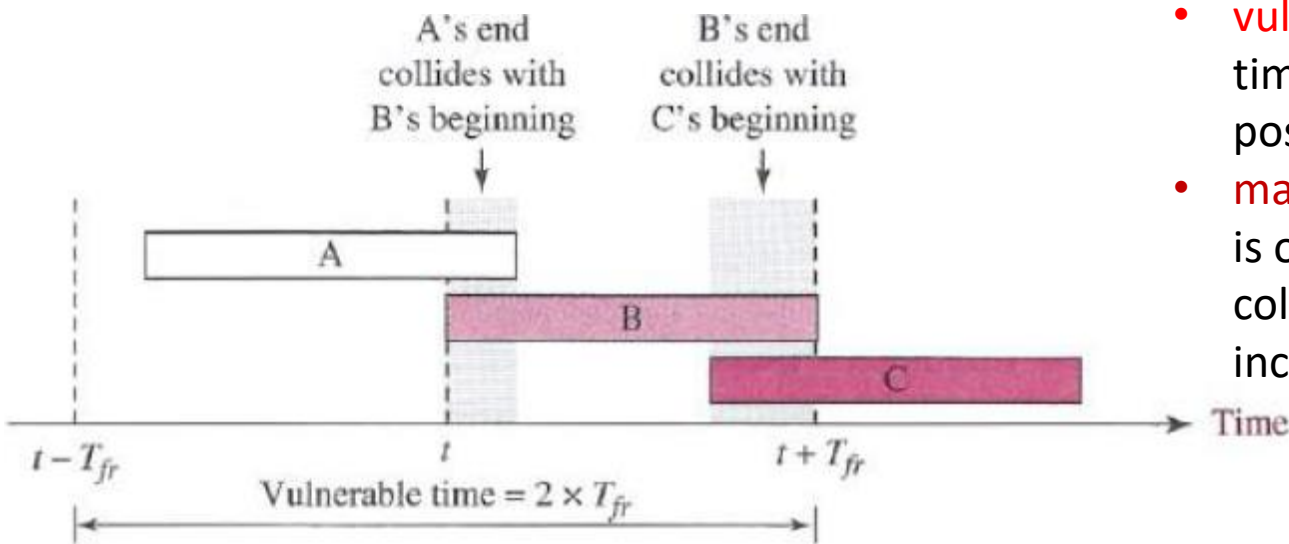
# Introduction

- The most widely used **high-speed LANs** today are based on **Ethernet**.
- Following **CSMA/CD** approach
  - **Ethernet**
- Following **token-passing** approach
  - Token Ring
  - Token Bus
  - FDDI (Fiber Distribution Data Interface)



# Pure ALOHA

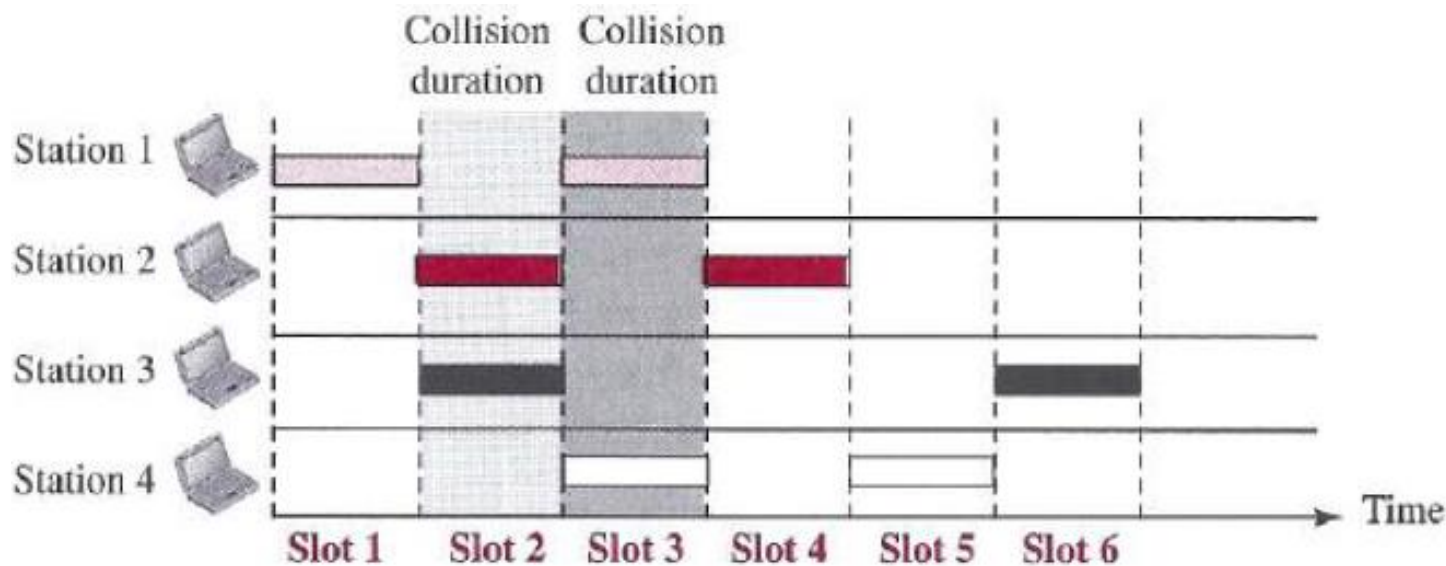
- Developed in early 1970 at University of Hawaii for packet radio networks.
- Principle:
  - each station sends a frame **whenever** it has a frame to send
  - relies on **acknowledgments** from the receiver
  - if **time-out** occurs, then wait for **backoff time** before **retransmission**
  - after a **maximum number of retransmission**, a station must give up and **try later**
- Time-out** := maximum round-trip time
- Backoff time** := random value generated by backoff algorithm (e.g. BEB)



- vulnerable time**: the length of time in which there is a possibility of collision
- maximum utilization of channel** is only **18%**, because number of collisions rises rapidly with increased load

# Slotted ALOHA

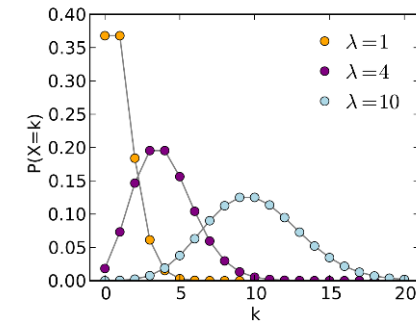
- To improve efficiency, a modification of ALOHA was proposed.
- we divide the time into **slots of  $T_{fr}$  seconds** and
- force the station to send only **at the beginning** of the time slot
- If **collision** occurs, the node **retransmit** frame in next slot **with probability  $p$**



- Vulnerable time** =  $T_{fr}$
- This increases the **maximum utilization** of the system to about **37%**.

# Random Access - Performance

- Let all packet consists of exactly  $N$  bits, transmission rate =  $R$  bits/sec
- Let a slot equals the time to transmit a packet  
 $\tau = \text{slot size} = \text{transmission time of a packet} = N/R$  sec.
- Let there are  $n$  nodes. Each nodes are synchronized



- Random access schemes assume that
  - a node generate packets according to a Poisson process at a rate of  $\lambda$  packet per unit time,
  - i.e.,  $\lambda$  is the average number of packets that arrive in any time interval  $[0, t]$ .
  - equivalently,  $\lambda N$  is the average number of bits generated in any time interval  $[0, t]$ .
- For a Poisson process, the probability that the number of packet arrivals in a time period  $[0, t]$ , denoted as  $X(t)$ , is equal to some integer  $k$  is given by

$$p(X(t) = k) = \frac{(\lambda t)^k}{k!} e^{-\lambda t}$$

- Poisson processes are memoryless,
  - so that, the number of packet arrivals during any given time period does not affect the distribution of packet arrivals in any other time period.

# Cont...

- The **traffic load** on the channel ( $L$ ) is defined as the **ratio of the packet arrival rate** divided by the **packet rate that can be transmitted** over the channel at the channel's data rate  $R$ .
- given that, Poisson packet arrivals at rate  $\lambda$  and a packet transmission duration  $\tau$ , we have  $L = \lambda\tau$
- If  $L > 1$  then on average **more packets** (or bits) **arrive** in the system over a given time period **than can be transmitted** in that period,
  - so **systems with  $L > 1$  are unstable.**
- **Performance** of random access techniques is typically characterized by **throughput  $T$**  of the system
- The **throughput** is defined as the **ratio of the average number of packets successfully transmitted** in any given time interval divided by the **number of attempted transmissions** in that interval.
- In other words, **Throughput** = the **offered traffic load** multiplied by the **probability of successful packet reception**,
- So,  $T = L \times p(\text{successful packet reception})$

# Throughput of ALOHA & Slotted ALOHA



- In pure ALOHA, users transmit data packets as soon as they are formed
- we assume **no capture effect**, **no channel distortion** or **noise**
- So, **throughput** = offered load multiplied with probability of no collision

$$T = L \times p(\text{no collision})$$

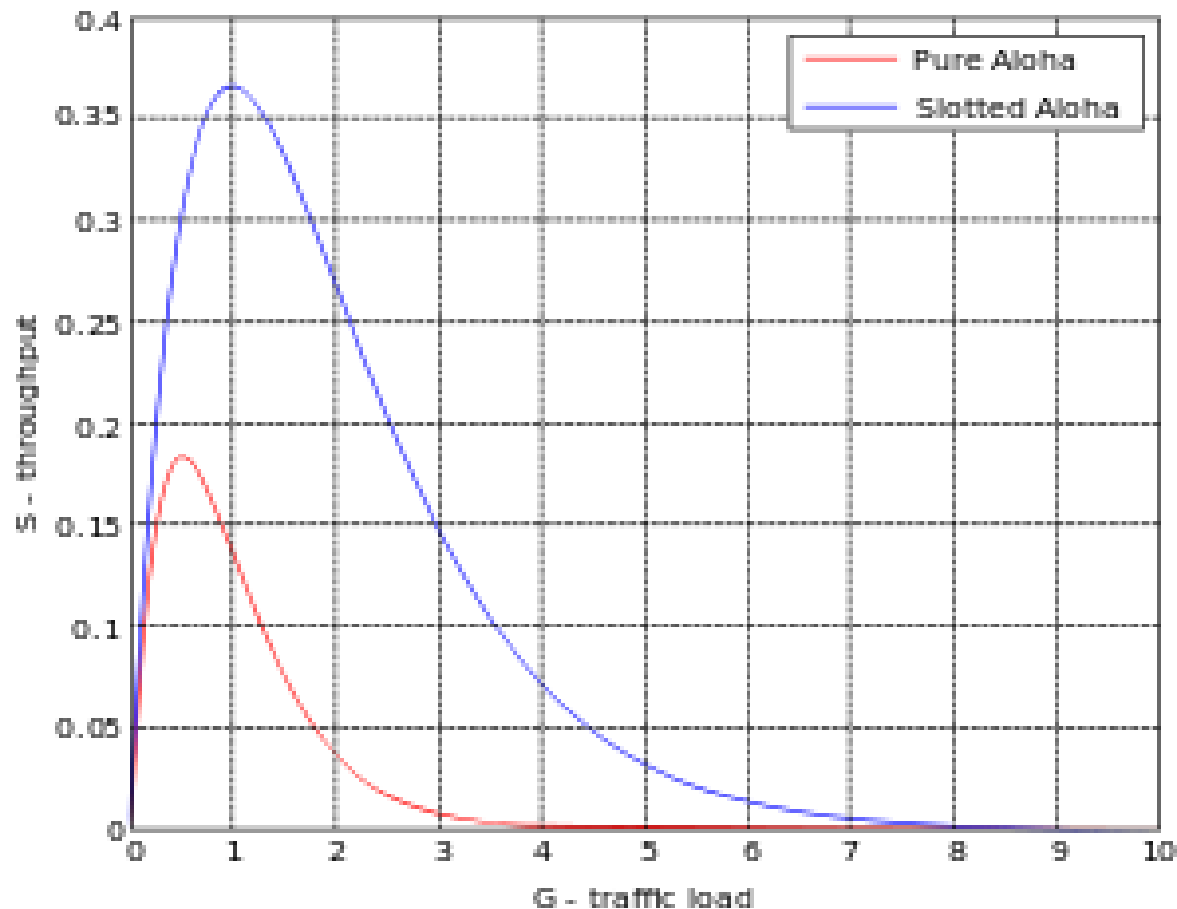
- Vulnerable time in **Pure ALOHA** =  $2\tau$
- So, the probability that **no packets are generated during** the time  $[-\tau, \tau]$  is given by Poisson distribution with  $t = 2\tau$

$$p(X(t) = 0) = e^{-2\lambda\tau} = e^{-2L}$$

- So, the throughput  $T = Le^{-2L}$
- Now, to get Maximum throughput  $dT/dL = 0 \Rightarrow T_{\max} = e^{-1}/2 = 0.1839$
- Vulnerable time in **Slotted ALOHA** =  $\tau$
- So, **theoretical maximum throughput**  $T_{\max} = e^{-1} = 0.3679 \Rightarrow 37\%$

# Cont...

Comparison of Pure Aloha and Slotted Aloha shown on  
Throughput vs. Traffic Load plot





# Efficiency of Slotted ALOHA



- The **efficiency** of a slotted multiple access protocol is defined to be **the long-run fraction of successful slots** in the case when
  - there are a large number of active nodes,
  - each node always having a large number of frames to send.
- Let **transmission probability**  $p$
- The probability that 'a given slot is a successful slot' is the probability that one of the nodes transmits and that the remaining  $(n - 1)$  nodes do not transmit =  $p(1-p)^{n-1}$
- the probability that any one of the  $n$  nodes has a success is  $E = n p (1-p)^{n-1}$
- Thus, when there are  $n$  active nodes, the **efficiency of slotted ALOHA** is =  $np(1-p)^{n-1}$
- Let slotted ALOHA achieves maximum efficiency at  $p^*$   
So,  $dE/dp = 0 \Rightarrow p^* = 1/n$
- Now, to obtain the **maximum efficiency** for a **large number of active nodes**, we take the limit  $np^*(1-p^*)^{n-1}$   
So,  $\lim_{n \rightarrow \infty} np^*(1-p^*)^{n-1} = \lim_{n \rightarrow \infty} (1-1/n)^{n-1} = 1/e = 0.3678$

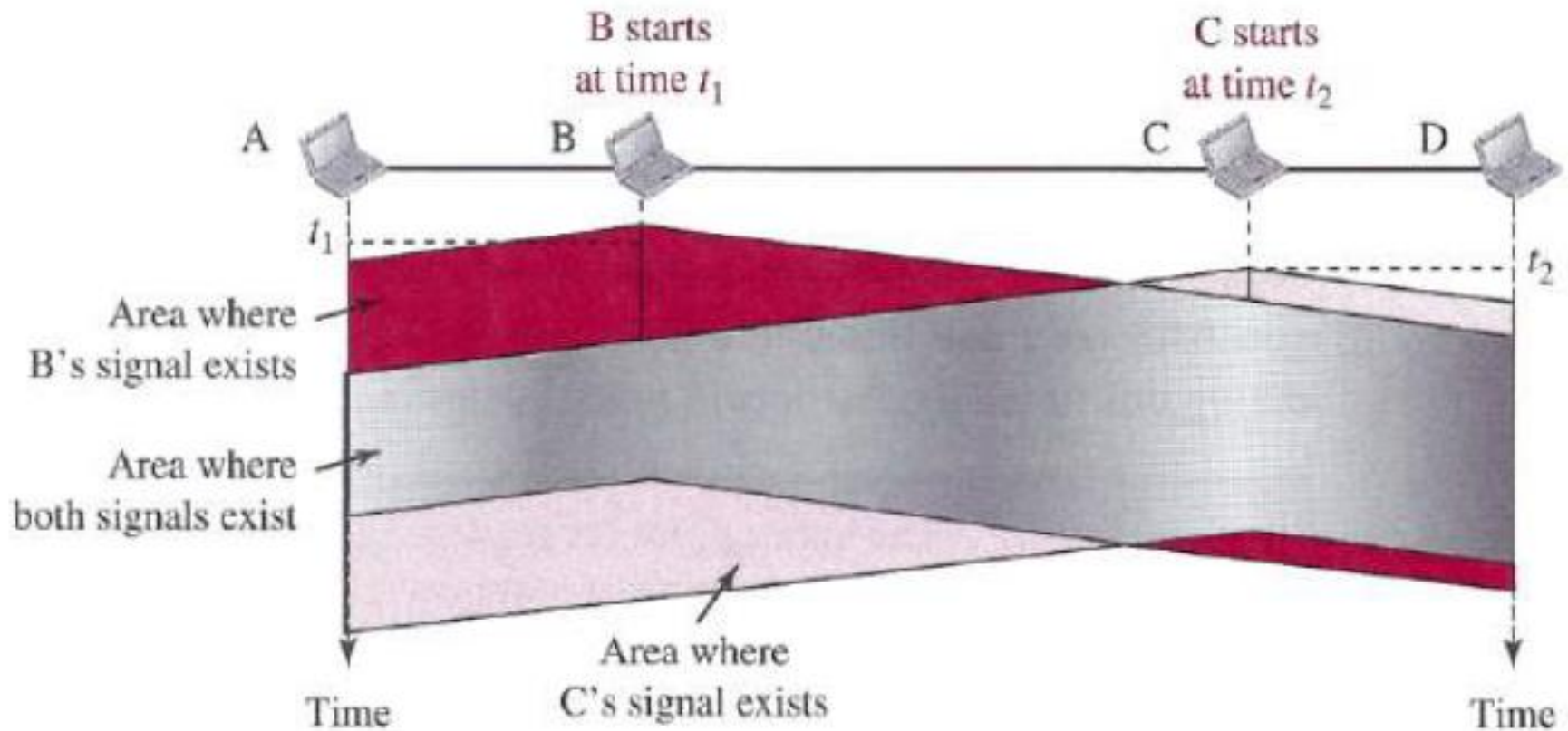
# Efficiency of Pure ALOHA



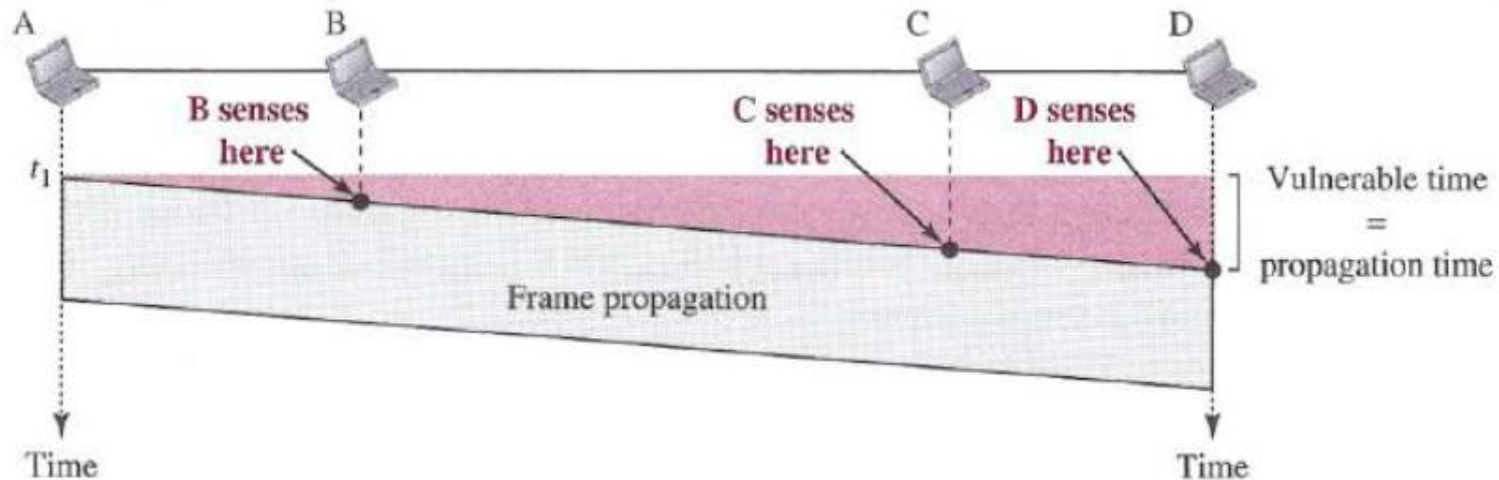
- Let **transmission probability**  $p$ .
- The probability that 'a given slot is a successful slot' is the probability that one of the nodes transmits and that the remaining  $(n - 1)$  nodes do not transmit  $= p(1-p)^{n-1}$
- In pure ALOHA, we have **one more condition** – no one else has started transmission in previous slot. Probability of this is  $= (1-p)^{n-1}$
- So, the probability that any one of the  $n$  nodes has a success in a slot is  **$E = np(1-p)^{2(n-1)}$**
- Thus, when there are  $n$  active nodes, the **efficiency of slotted ALOHA** is  $= np(1-p)^{2(n-1)}$
- Let slotted ALOHA achieves maximum efficiency at  $p^*$   
So,  $dE/dp = 0 \quad \Rightarrow p^* = 1/(2n-1)$
- Now, to obtain the **maximum efficiency** for a large number of active nodes, we take the limit  $np^*(1-p^*)^{2(n-1)}$   
So,  $\lim_{n \rightarrow \infty} np^*(1-p^*)^{2(n-1)} = 1/2e = 0.18$

# Carrier Sense Multiple Access (CSMA)

- Sense the medium before trying to use it
- “sense before transmit” or “listen before talk”

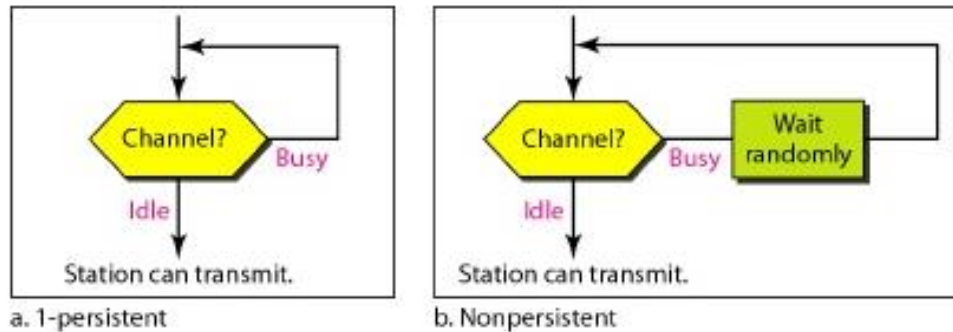


# CSMA vulnerable time



- **Vulnerable period** =  $t(\text{prop})$  (i.e, propagation time to travel maximum distance)
- **What should a station do** if channel is busy/idle?
- The station follows:
  - 1-persistent
  - Non-persistent
  - p-persistent

# Persistent Methods of CSMA

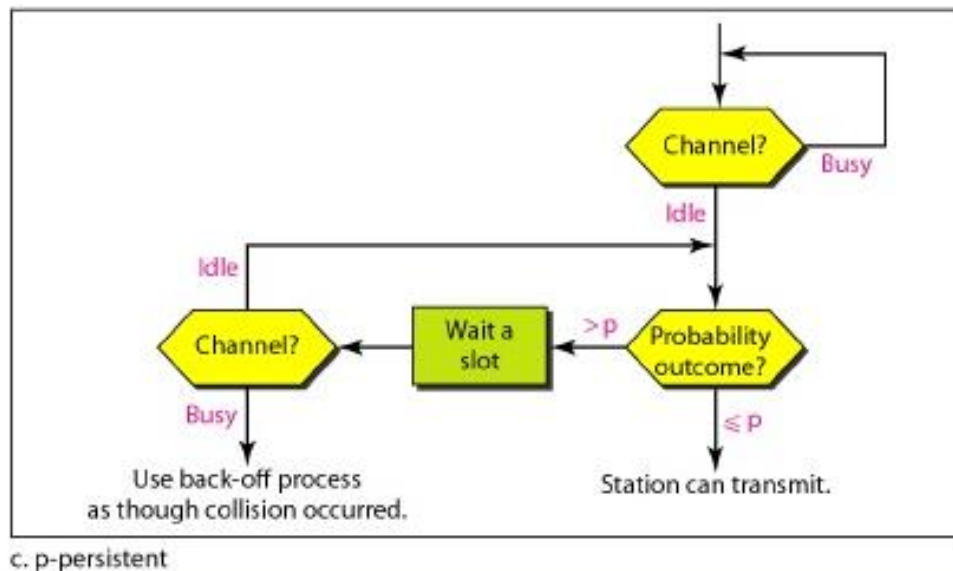


## 1-persistent

- Continuously sense the channel
- if idle, transmit frame (with probability 1)

## Non-persistent

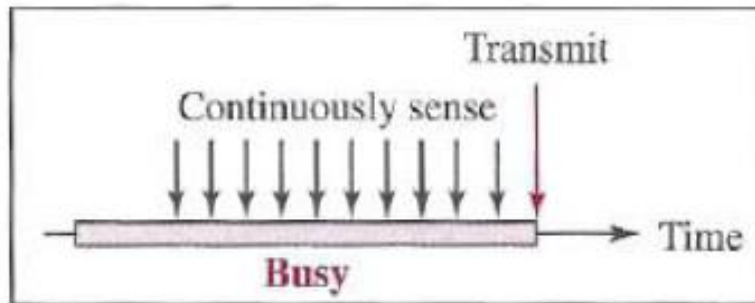
- Sense the channel
- If idle, transmit frame (with probability 1)
- If busy, wait a random amount of time and then sense the channel again



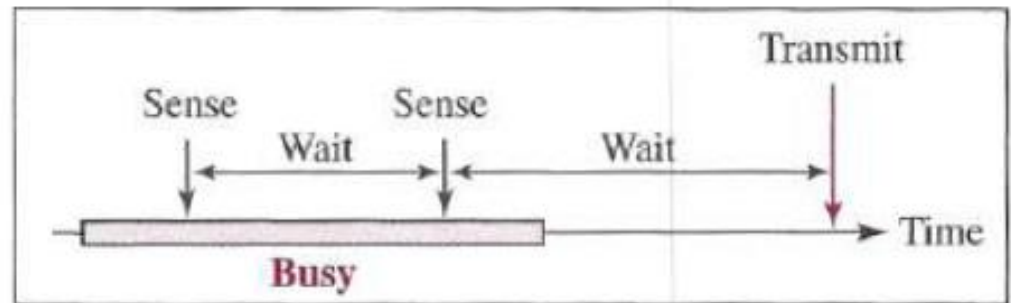
## $p$ -persistent

- Non-persistent , but transmit frame with probability  $p$

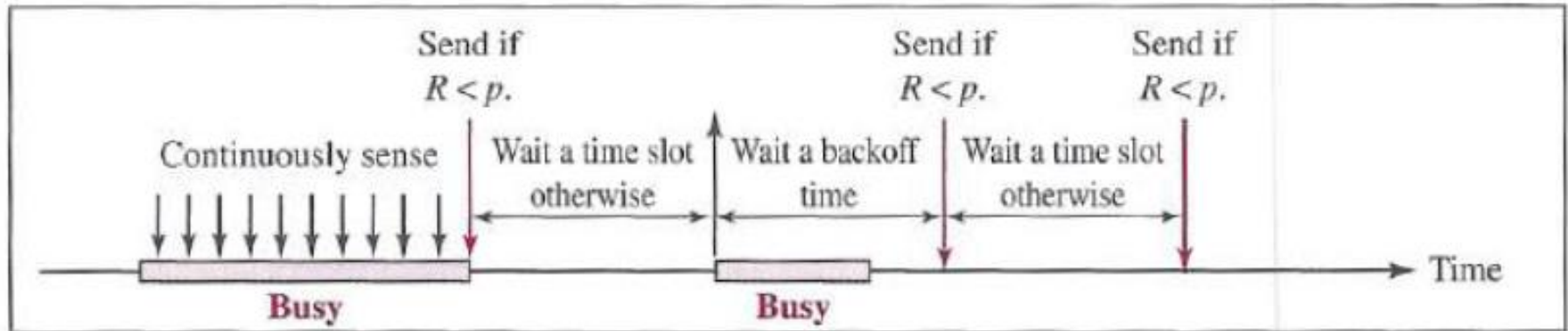
# Cont...



a. 1-Persistent



b. Nonpersistent



c.  $p$ -Persistent

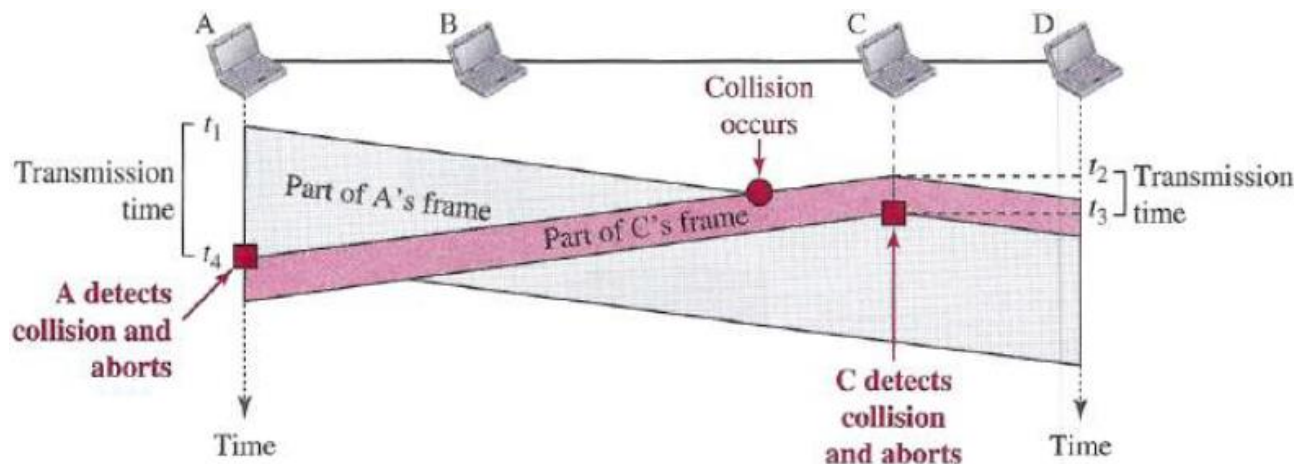
# CSMA/CD (Collision Detection)



- CSMA, although more efficient than ALOHA or slotted ALOHA, still has one glaring inefficiency
  - When two frames collide, the **medium remains unusable** for the duration of transmission of both damaged frames.
  - This waste can be reduced if a station **continues to listen to the medium while transmitting to sense collision**
- CSMA with Collision Detection (CSMA/CD)
  - If **channel idle**:
    - Packet is transmitted for **non-persistent** and **1-persistent** modes
    - Packet is transmitted with probability  $p$  OR delayed by end-to-end propagation delay with probability  $(1-p)$  for **p-persistent** mode
  - If **channel is busy**:
    - The packet is *backed off* and the algorithm is repeated for **non-persistent** mode
    - The station *defers transmission* until the channel is sensed idle and then immediately transmits in **1-persistent** mode
    - The station *defers transmission* until the channel is sensed idle and then follow the **channel idle** procedure for **p-persistent** mode
  - If a **collision is detected** during transmission
    - transmit a jamming signal to assure that all stations know that there has been a collision
    - And, then cease transmission.

# Collision Detection and Abort

- There must be a mechanism by which sender get to know whether a packet is reached at the receiver or not.
- To check this, the sender wants the head of the packet to transit from one end of the wire and **back again before the tail of the packet finished transmission**.
- The IEEE standard dictates that the **transmitter will detect a collision if** the signal on the cable at the transmitter tap point exceeds the maximum that could be produced by the transmitter alone.
- So, the IEEE standard **restricts the maximum length** of coaxial cable to 500 m for 10BASE5, 200 m for 10BASE2.





# Flowchart of CSMA/CD



- 1) If the medium is **idle**, **transmit**; otherwise, go to step 2.
  - 2) If the medium is **busy**, **continue to listen** until the channel is idle, **then transmit** immediately.
  - 3) If a **collision is detected** during transmission, **transmit a brief jamming signal** to assure that all stations know that there has been a collision and **then cease transmission**.
  - 4) **After** transmitting the **jamming signal**, **wait a random amount of time**, referred to as the backoff, then **attempt to retransmit** (repeat from step 1).
  - 5) A station **attempts to transmit repeatedly** in the face of repeated collisions. For the first 10 retransmission attempts, the mean value of the random delay is doubled. This mean value then remains the same for 6 additional attempts. After 16 unsuccessful attempts, the station gives up and reports an error.
- The persistent algorithm used in the IEEE 802.3 standard (Ethernet) is 1-persistent.
  - The beauty of the 1-persistent algorithm with binary exponential backoff (BEB) is that
    - it is efficient over a wide range of loads.
    - **At low loads**, 1-persistence guarantees that a station seizes the channel as soon as it goes idle.
    - **At high loads**, it is at least as stable as the other techniques.

# Jamming Signal in CSMA/CD



- Did a collision occur? If so, go to “collision detected” procedure.
  - In that procedure, continue transmission **with a jam signal** instead of frame header/data/CRC until **minimum packet time** is reached **to ensure that all receivers detect the collision**.
  - The **jam signal** is a signal that carries a 4 to 6 byte fixed binary pattern
  - The maximum jam-time:
    - The **diameter** of an Ethernet is the maximum distance between any pair of stations.
    - The maximum allowed diameter of an Ethernet is limited to 232 bits.
    - This makes a round-trip-time of 464 bits.
    - As the slot time in Standard Ethernet is 512 bits, the difference between slot time and round-trip-time is 48 bits (6 bytes), which is the maximum "jam-time".
  - The **purpose of this** is :
    - To ensure that any other node which may currently be receiving a frame **will receive the jam signal in place of the correct 32-bit MAC CRC**, this causes the other receivers to discard the frame due to a CRC error.
    - To ensure source knows of collision **before it completes transmitting the full frame!**

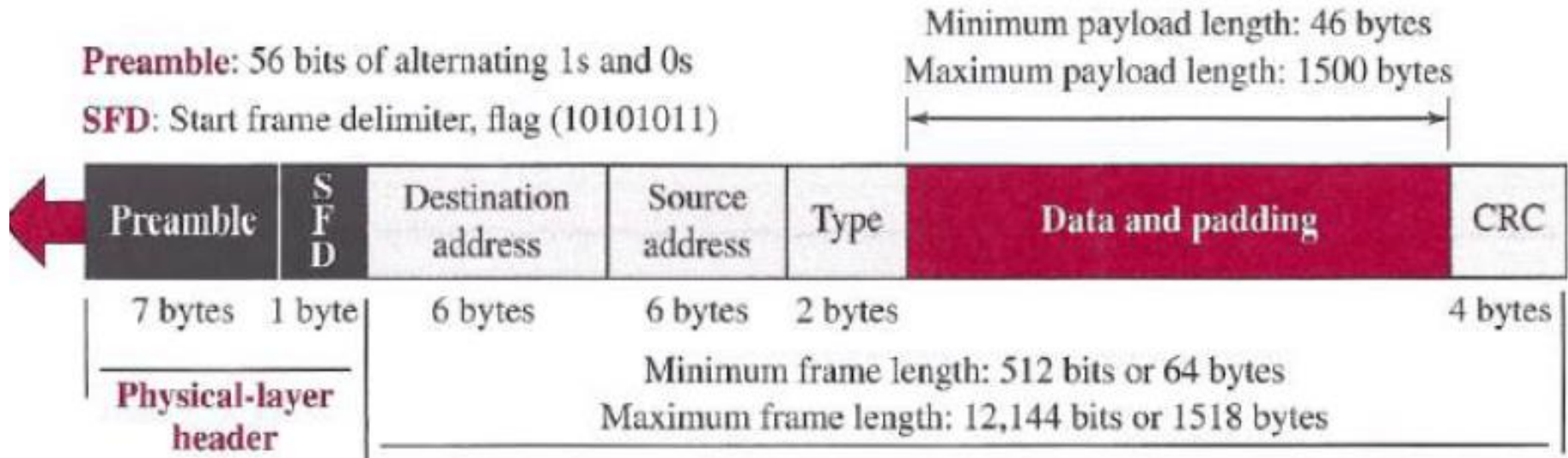
- **Slot time** is at least twice the time it takes for an electronic pulse to travel the length of the maximum theoretical distance between two nodes.
- Collision detection may take  $2t_{prop}$  (one slot)
  - Station A begins transmission at time  $t_A$
  - Worst case: B begins `just before` ( $t_A + t_{prop}$ )
  - B detects collision at  $t_A + t_{prop}$  (too late...)
  - A detects collision only at  $t_A + 2t_{prop}$
- Consider 10Mbps Ethernet (`classical`)
  - Max length allowed 2500m and up to 4 repeaters
  - Assuming each repeater takes  $t_{repeater} < 4 \mu\text{sec}$
- So,  $t_{prop} = 2500 / (3 * 10^8) + 4 * t_{repeater} < 25 \mu\text{sec}$
- With 10Mbps, one bit takes  $0.1 \mu\text{sec}$
- So, minimal frame size =  $2 * 25 / 0.1 = 500\text{b} \approx 512\text{b} = 64 \text{ Bytes}$

# Points to remember in CSMA/CD



- Points to remember:
  - Use of the 1-persistence process
  - The station transmits and receives continuously and simultaneously (using two different ports or a bidirectional port)
  - We constantly monitor in order to detect one of two conditions: either transmission is finished or a collision is detected
  - sending of a short jamming signal to make sure that all other stations become aware of the collision
  - Use of random backoff mechanism
  - Use of re-transmission limit (for Ethernet it is 16)

# Frame Format



- **Type:** defines the upper-layer protocol whose packet is encapsulated
- The maximum frame length was later increased to 1522 bytes to allow VLAN tagging.
- Reason for minimum frame length
  - To **achieve collision detection**
- Reason for maximum frame length
  - Longer frames **increases the probability** that one or more bits in the frame will be received in **error**, necessitating retransmission of the frame
  - longer maximum frame increases the **memory requirement** for a NIC (network interface card)

# Efficiency of Ethernet



- Closed form approximation of the **efficiency of Ethernet using CSMA/CD**:

Efficiency ( $E$ ) = the fraction of the transmission capacity of a communication channel that contains data (frame) transmissions =  $1/(1 + 4.4a)$ ;  $a = t_{prop}/t_{tran}$

Where,  $t_{prop}$ : maximum propagation time between two adapters in LAN

$t_{tran}$ : time to transmit a maximum size frame

(max size 1518 bytes - frame takes approx. 1.2 msec for 10 Mbps Ethernet)

- Let  $n$  nodes,
- all send with probability  $p$  during each time slot ( $2t_{prop}$ )
- Success probability during a slot is  $A = np(1-p)^{n-1}$
- For max success probability, we get  $p = 1/n$
- So, **maximum success probability** during a slot  $A \rightarrow 1/e$  as  $n \rightarrow \infty$
- Probability that a node waits  $j$  slots until success is:  $(1-A)^{j-1} \cdot A$
- Average number of slots till success is:

$$\sum_{j=1}^{\infty} jA(1-A)^{j-1} = A \sum_{i=0}^{\infty} (i+1)(1-A)^i$$

# Cont...

**Taylor Series:** representation of a function as an infinite sum

$$f(x) = f(a) + \frac{f'(a)}{1!}(x-a)^1 + \frac{f''(a)}{2!}(x-a)^2 + \frac{f'''(a)}{3!}(x-a)^3 + \dots$$

$$\Rightarrow f(x) = \sum_{i=0}^{\infty} \frac{f^i(a)}{i!} (x-a)^i$$

Let,  $f(A) = 1/A^2$

Then,  $f^i(A) = (-1)^{i \bmod 2} (i+1)! A^{-2-i}$

Now, at  $a=1$

$$\frac{1}{A^2} = f(A) = \sum_{i=0}^{\infty} \frac{f^i(a)}{i!} (A-a)^i = \sum_{i=0}^{\infty} \frac{(-1)^{i \bmod 2} (i+1)!}{i!} (A-1)^i = \sum_{i=0}^{\infty} (i+1)(1-A)^i$$

# Cont...

- Finally, the **average number of slots till success** is:

$$A \cdot \frac{1}{A^2} = \frac{1}{A} = e$$

- Average amount of **time between successful packet transmissions** is:

$$(e-1) \cdot 2t_{prop} + t_{tran} + t_{prop}$$

- So,

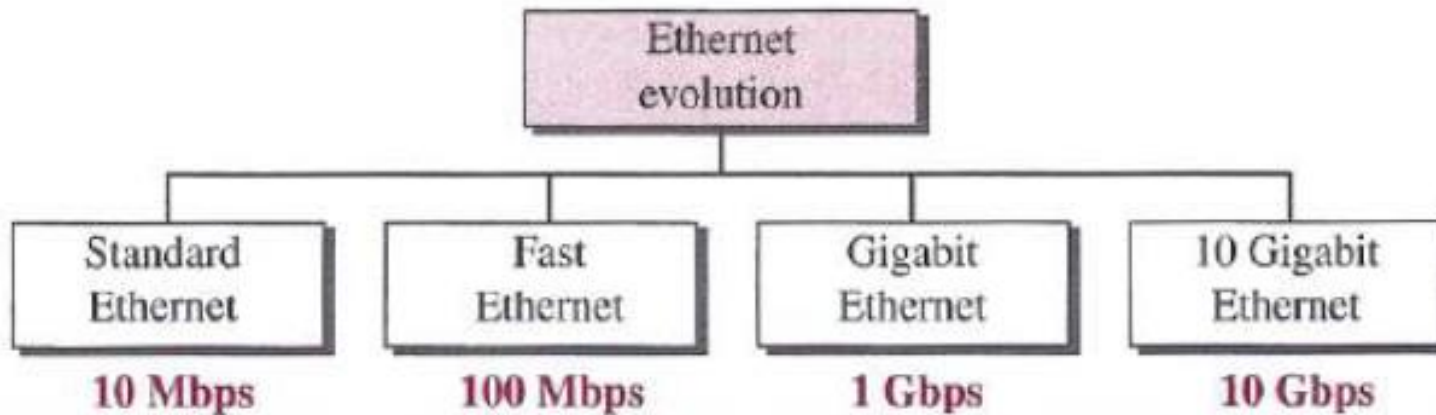
$$\text{Efficiency} = \frac{t_{tran}}{(e-1)2t_{prop} + t_{tran} + t_{prop}} \cong \frac{1}{1 + 4.4a}$$

$$\text{where, } a = t_{prop}/t_{tran}$$

- Efficiency goes to 1 as  $t_{prop}$  goes to 0
  - Smaller physical network diameter (distance)
- Efficiency goes to 1 as  $t_{tran}$  goes to infinity
  - Slower transmission, longer (minimal) frames
- Different tradeoffs → several Ethernet variants...
- Much more efficient at high loads (than ALOHA, Slotted ALOHA protocols), while still decentralized, simple, and cheap.
- E.g., if  $a = 0.1$ , the Ethernet efficiency = 69%



# Ethernet Evolution



**Table 13.1** *Summary of Standard Ethernet implementations*

<i>Implementation</i>	<i>Medium</i>	<i>Medium Length</i>	<i>Encoding</i>
10Base5	Thick coax	500 m	Manchester
10Base2	Thin coax	185 m	Manchester
10Base-T	2 UTP	100 m	Manchester
10Base-F	2 Fiber	2000 m	Manchester

- Common notation:  
<data rate in Mbps> <signaling method><maximum segment length >

**Table 13.2** *Summary of Fast Ethernet implementations*

<i>Implementation</i>	<i>Medium</i>	<i>Medium Length</i>	<i>Wires</i>	<i>Encoding</i>
100Base-TX	UTP or STP	100 m	2	4B5B + MLT-3
100Base-FX	Fiber	185 m	2	4B5B + NRZ-I
100Base-T4	UTP	100 m	4	Two 8B/6T

**Table 13.3** *Summary of Gigabit Ethernet implementations*

<i>Implementation</i>	<i>Medium</i>	<i>Medium Length</i>	<i>Wires</i>	<i>Encoding</i>
1000Base-SX	Fiber S-W	550 m	2	8B/10B + NRZ
1000Base-LX	Fiber L-W	5000 m	2	8B/10B + NRZ
1000Base-CX	STP	25 m	2	8B/10B + NRZ
1000Base-T4	UTP	100 m	4	4D-PAM5

**Table 13.4** *Summary of 10 Gigabit Ethernet implementations*

<i>Implementation</i>	<i>Medium</i>	<i>Medium Length</i>	<i>Number of wires</i>	<i>Encoding</i>
10GBase-SR	Fiber 850 nm	300 m	2	64B66B
10GBase-LR	Fiber 1310 nm	10 Km	2	64B66B
10GBase-EW	Fiber 1350 nm	40 Km	2	SONET
10GBase-X4	Fiber 1310 nm	300 m to 10 Km	2	8B10B

# Thanks!

Figure and slide materials are taken from the following sources:

1. W. Stallings, (2017), [Data and Computer Communications](#), 10<sup>th</sup> Ed.
2. B. A. Forouzan, (2012), [Data Communication and Networking](#), 5<sup>th</sup> Ed.
3. Kurose and Ross, (2013), [Computer Networking – A Top Down Approach](#), 6<sup>th</sup> Ed.