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# • Q12. Apply Laplace Smoothing to Compute the Probability of the Bigram ("machine learning") Given a Small Corpus Where It Does Not Occur

### Problem:

You are given a small corpus where the bigram ("machine learning") does not occur.

We need to apply Laplace (Add-One) Smoothing to estimate its probability.

#### Given Corpus (Example):

Assume this toy corpus with 6 sentences:

- 1. deep learning is powerful
- 2. machine intelligence is rising
- 3. learning algorithms improve systems
- 4. neural networks are deep
- 5. machine vision is improving
- 6. reinforcement learning is useful

#### 📊 Step 1: Gather Counts

- Vocabulary (V) = number of unique words = 16
- Count of "machine" = 2
- Count of bigram "machine learning" = 0 (not present)

# \* Step 2: Laplace Smoothing Formula

$$P_{\text{Lap}}(w_i \mid w_{i-1}) = \frac{C(w_{i-1}, w_i) + 1}{C(w_{i-1}) + V}$$

Where:

- $C(w_{i-1}, w_i)$  = count of the bigram ("machine learning")
- $C(w_{i-1})$  = count of the first word "machine"
- V = vocabulary size (number of unique words)

# Step 3: Plug Values

$$P(\text{learning } | \text{ machine}) = \frac{0+1}{2+16} = \frac{1}{18} \approx 0.0556$$

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This non-zero probability is thanks to **Laplace smoothing**, avoiding the zero-frequency problem.

# **Q** Without Laplace:

$$P(\text{learning } | \text{ machine}) = \frac{0}{2} = 0$$

Which would cause issues in downstream tasks like perplexity calculation, translation, etc.

# Q13. Analyze the Limitations of Basic Smoothing Methods (e.g., Laplace, Add-k) in Handling Sparse Data

# What Are Basic Smoothing Techniques?

- Laplace Smoothing (Add-One): Adds 1 to every count to avoid zero probability.
- Add-k Smoothing: A general form where a small constant  $k \in (0, 1)$  is added instead of 1.

$$P_{\text{Add-k}}(w_i \mid w_{i-1}) = \frac{C(w_{i-1}, w_i) + k}{C(w_{i-1}) + kV}$$

# ▲ Limitations of Laplace/Add-k:

#### 1. Over-Penalizes Frequent Events

- Adds the same smoothing to all events.
- Frequent bigrams lose probability mass to rare or unseen bigrams.
- Makes the model less sharp, especially in large vocabularies.

#### 2. Unrealistic Equal Treatment

- It assumes all unseen bigrams are equally likely, which is not true.
- For example, "banana airplane" and "machine learning" are treated equally if both are unseen.

#### 3. Doesn't Use Contextual Diversity

- Doesn't take into account how diverse a word's usage is (which Kneser-Ney does via continuation probability).
- Can't distinguish between:
  - A rare word that occurs in many contexts (useful)
  - A rare word that occurs only once (less useful)

#### 4. Poor Performance on Large Sparse Corpora

• In huge vocabularies, adding even 1 count becomes mathematically imbalanced.

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Probabilities for observed events get unduly reduced.

## When to Use Laplace/Add-k?

- Useful in:
- Educational and demonstrative contexts
- Toy datasets or controlled environments
- Simple classifiers (e.g., Naïve Bayes)
- X Not ideal for:
- Modern language modeling (e.g., GPT, BERT)
- Sparse real-world corpora

#### Alternative Techniques:

Use these instead for better performance:

- Good-Turing Smoothing: Redistributes probability mass based on frequency of frequencies.
- **Kneser-Ney Smoothing**: Considers how often a word appears in **different contexts** state-of-the-art for n-gram models.
- **Backoff and Interpolation**: Combine higher-order and lower-order n-grams with learned weights.