

## Q1) What is “parsing” in NLP?

### Definition.

Parsing is the process of assigning a **syntactic structure** to a sentence according to a grammar. The output is typically a **parse tree** (constituency parsing) or a **dependency graph** (dependency parsing). The goal is to determine how words group into phrases and how those phrases relate, enabling downstream understanding.

### Why it matters.

- **Disambiguation:** resolves multiple possible structures (“I saw the man with a telescope”).
- **Interfaces to meaning:** syntactic structure is a scaffold for **semantic role labeling**, **information extraction**, and **question answering**.
- **Downstream utility:** improves **machine translation**, **ASR post-processing**, **IE**, **coreference**, and **code generation**.

### Outputs.

- **Constituency parse:** hierarchical phrases (e.g.,  $S \rightarrow NP VP$  ).
- **Dependency parse:** head–dependent relations (e.g.,  $nsubj(sleeps, cat)$  ).

## Q2) Key steps of the CKY (Cocke–Kasami–Younger) algorithm

Dynamic-programming parser for **context-free grammars in Chomsky Normal Form (CNF)**.

### 1. CNF conversion

Convert grammar so every rule is either  $A \rightarrow BC$  or  $A \rightarrow a$  . (Add  $\epsilon$ /UNIT-rule handling before conversion.)

### 2. Initialization (length-1 spans)

For each token  $w_i$  , fill cell  $(i, i+1)$  with all nonterminals  $A$  such that  $A \rightarrow w_i$  .

### 3. Bottom-up chart filling (longer spans)

For span length  $\ell = 2..n$  , for start  $i$  , let  $j = i+\ell$  . For every split  $k$  with  $i < k < j$  , and rules  $A \rightarrow BC$  , if  $B \in \text{chart}[i,k]$  and  $C \in \text{chart}[k,j]$  , then **add**  $A$  **to**  $\text{chart}[i,j]$  (store backpointers for reconstruction).

### 4. Backpointer reconstruction

Recover the highest-scoring or all valid trees from backpointers in  $\text{chart}[\emptyset, n]$  that produce the start symbol (usually  $s$  ).

### 5. (Optional) Probabilistic scoring

With PCFG rule probabilities, compute **inside scores** while filling; select the **Viterbi** best tree.

**Complexity:**  $O(n^3 \cdot |G|)$  time,  $O(n^2 \cdot |N|)$  space ( $n$  = sentence length).

## Q3) How PCFGs differ from traditional CFGs

Aspect	CFG	PCFG
Rules	Production rules only	Production rules <b>with probabilities</b> $P(A \rightarrow \alpha)$

Aspect	CFG	PCFG
Ambiguity	Returns all parses	Returns <b>ranked parses</b> ; enables <b>most-likely</b> parse (Viterbi)
Learning	Manually designed	<b>Estimated</b> from treebanks via relative frequency or <b>Inside–Outside (EM)</b>
Inference	Boolean membership	<b>Weighted</b> parsing (inside/outside probabilities)

**Intuition:** PCFGs capture **preference patterns** (e.g.,  $VP \rightarrow V\ NP$  more frequent than  $VP \rightarrow V\ PP$ ), improving robustness on ambiguous inputs.

## Q4) Purpose of the Inside–Outside algorithm in PCFG training

**Goal.**

Estimate **maximum-likelihood rule probabilities**  $P(A \rightarrow \alpha)$  from **unlabeled or partially labeled** data when gold parse trees aren't available.

**How it works (EM over trees):**

- **E-step (Expectation):**  
For each sentence, run dynamic-programming to compute:
  - **Inside** probabilities  $\beta(i, j, A)$  = prob that  $A$  generates span  $(i, j)$ .
  - **Outside** probabilities  $\alpha(i, j, A)$  = prob of the context around that span.  
Combine them to get **expected counts** of rule uses over all latent parses.
- **M-step (Maximization):**  
Update rule probabilities by normalizing expected counts:

$$\hat{P}(A \rightarrow \alpha) = \frac{E[\#(A \rightarrow \alpha)]}{\sum_{\alpha'} E[\#(A \rightarrow \alpha')]}.$$

**Outcome:** Iteratively improves PCFG parameters to better explain the training sentences (monotonic likelihood increase under EM).

## Q5) Apply CKY to “the cat sleeps” with a simple CFG

**Sentence:** the / cat / sleeps ( $n = 3$ )

**CNF Grammar (toy):**

$S \rightarrow NP\ VP$

$NP \rightarrow Det\ N$

$VP \rightarrow V$

$Det \rightarrow the$

N → cat  
V → sleeps

**Chart indices:** tokens at positions 0..3

Cells are spans (i,j) over half-open intervals.

**Initialization (length 1):**

- (0,1) : Det (because Det→the )
- (1,2) : N (because N→cat )
- (2,3) : V (because V→sleeps )

**Length 2 spans:**

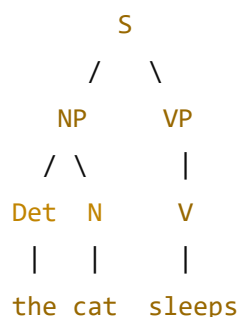
- (0,2) with split k=1 : we have Det in (0,1) and N in (1,2) ; rule NP → Det N fires → add NP .
- (1,3) with split k=2 : we have N and V , but no rule X → N V → **no addition**.

**Length 3 span (0,3):**

- Try splits:
  - k=1 : (0,1) = Det , (1,3) = ∅ → no rule.
  - k=2 : (0,2) = NP , (2,3) = V ; rule VP → V is unary, so first ensure (2,3) includes VP (via VP→V ). Then S → NP VP with (0,2)=NP and (2,3)=VP → add S .

**Result:** chart[0,3] contains S .

**Parse tree (constituency):**



**Exam tip:** If your version of CKY strictly disallows unary rules inside the DP step, pre-convert unary VP→V by binarization or handle unary-closure per cell after fills.

## Q6) Demonstrate transition-based parsing with SHIFT/REDUCE

Below are two quick demonstrations—one **constituency** (classic shift-reduce) and one **dependency** (arc-standard). In exams, state which paradigm you're using.

### A) Constituency shift-reduce (for the same sentence)

**Sentence:** "the cat sleeps"

**Goal tree:** S → NP VP , NP→ Det N , VP → V

**Actions and configurations (Stack | Buffer):**

1. [] | the cat sleeps — **SHIFT** → [the] | cat sleeps
2. [the] | cat sleeps — **SHIFT** → [the, cat] | sleeps
3. [the, cat] | sleeps — **REDUCE**→**N** (using  $N \rightarrow \text{cat}$ ) → [the, N] | sleeps
4. [the, N] | sleeps — **REDUCE**→**Det** (using  $\text{Det} \rightarrow \text{the}$ ) → [Det, N] | sleeps
5. [Det, N] | sleeps — **REDUCE**→**NP** ( $\text{NP} \rightarrow \text{Det N}$ ) → [NP] | sleeps
6. [NP] | sleeps — **SHIFT** → [NP, sleeps] |
7. [NP, sleeps] | — **REDUCE**→**V** ( $V \rightarrow \text{sleeps}$ ) → [NP, V] |
8. [NP, V] | — **REDUCE**→**VP** ( $\text{VP} \rightarrow V$ ) → [NP, VP] |
9. [NP, VP] | — **REDUCE**→**S** ( $S \rightarrow \text{NP VP}$ ) → [S] |  $\emptyset$  (accepted)

#### Notes.

- A practical system uses a learned classifier to decide whether to SHIFT or which REDUCE to apply.
- Unary reductions can be handled via separate **UNARY-REDUCE** or by applying closures.

## B) Dependency parsing (arc-standard variant)

Target dependencies:  $\text{nsbj}(\text{sleeps}, \text{cat}), \text{det}(\text{cat}, \text{the})$

Actions (Stack | Buffer | Arcs):

1. [] | the cat sleeps |  $\emptyset$  — **SHIFT** → [the] | cat sleeps |  $\emptyset$
2. [the] | cat sleeps — **SHIFT** → [the, cat] | sleeps |  $\emptyset$
3. [the, cat] | sleeps — **LEFT-ARC(det)** makes  $\text{det}(\text{cat}, \text{the})$  and pops the → [cat] | sleeps | { $\text{det}(\text{cat}, \text{the})$ }
4. [cat] | sleeps — **SHIFT** → [cat, sleeps] |  $\emptyset$  | {...}
5. [cat, sleeps] |  $\emptyset$  — **RIGHT-ARC(nsubj)** makes  $\text{nsbj}(\text{sleeps}, \text{cat})$  and pops cat → [sleeps] |  $\emptyset$  | { $\text{det}(\text{cat}, \text{the}), \text{nsbj}(\text{sleeps}, \text{cat})$ }
6. [sleeps] |  $\emptyset$  — **REDUCE** (or **ROOT-attach** depending on formalism) → done.

#### Takeaway.

Transition-based parsers use small, local actions to build structure incrementally; learned policies (e.g., perceptron, MLP, BiLSTM encoders, transformers) choose actions based on stack/buffer features.

## Practical applications of parsing (for exam answers)

- **Information extraction:** Identify subject–verb–object triples from parsed structure for knowledge graph population.
- **Question answering:** Map wh-phrases to their governing predicates to extract precise answers.
- **Machine translation:** Syntactic constraints reduce reordering errors in phrase-based/Neural MT (syntax-aware models).
- **Text simplification & grammar checking:** Detect malformed structures and propose repairs.
- **Voice assistants:** Parse user commands to semantic frames (e.g., Book → [Agent, Theme, Time]).