



Our Team



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Overall Objectives

Main Objective:

Provide a novel post-hoc ,model-specific, local XAI solution to enhance the model interpretability of Black-Box models focus on

- Random Forest,
- Support Vector Machine,
- K Nearest Neighbor
- Logistic Regression

by developing a novel counterfactual rule generation mechanism related to the text classification domain.



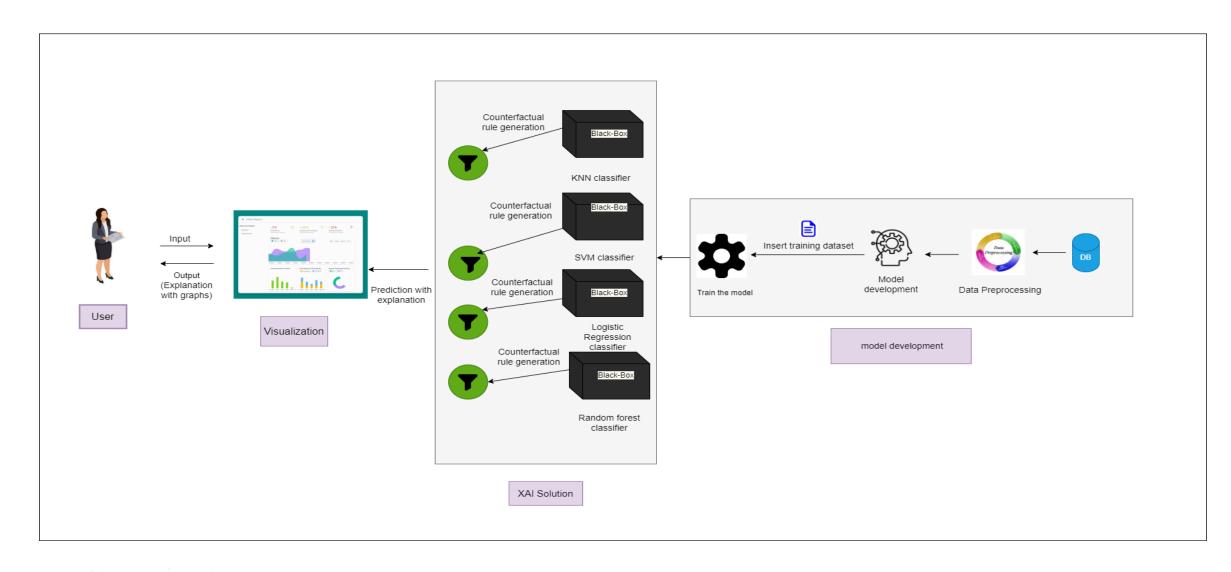
Sub Objectives:

To develop novel explainable method to enhance the model explainability of :

- function-based classification models focus on SVM .
- ensemble models focus on Random forest.
- distance-based classification models focus on KNN.
- regression-based classification models focus on Logistic regression.



Overall System Diagram







IT20097660 | WARNASOORIYA S.D

Specializing in Data Science

Support Vector machine (SVM).





Research Gap

What are the existing methods that used for generating counterfactual rules related to Support Vector Machine?

SHAP[6]

- Not Primarily Designed for Counterfactuals
- Assumption of Independence
- Computational Complexity
- model-agnostic Explanation

LIME[7]

- Not Primarily Designed for Counterfactuals
- explanations can be unstable
- model-agnostic Explanation

Diverse Counterfactual Explanation(DICE)[6]

- Assumption of Feature Independence
- Computationally Intensive
- Model agnostic explanation

Nearest Instance Counterfactual Explanations[NICE][9]

- aims to find the smallest and most meaningful changes to an instance that would alter the model's prediction
- Finding the nearest instance and ensuring feasibility can be computationally intensive.
- Model agnostic explanation
- Limited to binary classification problems.



Research Gap

Anchors: High-Precision Model-Agnostic Explanations [1]

- Not provide a counterfactual explanation
- Model agnostic explanation

SHAP-FOIL Algorithm[3]

- Can not be used to text classification explainable task
- Not provide a counterfactual explanation.

Local rule-based explanations of black box decision systems[LORE][2]

- Can not be used to text classification explainable task.
- Not provide a counterfactual explanation
- Model agnostic explanation.

Proposed Method:

- Text classification explainable task.
- Provide a neighborhood based Counterfactual Rule Generation Explainable Method.
- Model Specific Approach.



Research Problem

 How to get a counterfactual rule generation-based explanation for the Support Vector Machine classifier, when it handle non-linear separable data in text classification?



Objectives

Specific Objective

Provide a novel post-hoc ,modelspecific, local XAI solution to enhance the model explainability of

function based classification models focus on SVM

by developing a novel counterfactual rule generation mechanism related to the text classification domain.

Sub Objectives

- Prepare the dataset and implement the SVM classifier.
- Develop the novel counterfactual rule generation mechanism related to the text classification task.
- Test the output with existing explainable methods.
- Do experiments to improve the XAI solution more.
- Do the visualization using the most appropriate Graphical User Interface (GUI) technique.



Counterfactual Explanation for Support Vector Machine using mirror point encountering with cosine-similarity comparison in kernel space.

- **Step 1:** Generate contradictory prompts for the given prompt using a finetuned T5 model/custom WordFlippingGenerator.
- **Step 2:** Vectorize all the prompts using the TFIDF vectorizer into the vector space.
- **Step 3:** Project all the vectors into the SVM's kernel space.
- **Step 4:** Find the mirror point (*C*) of the given prompt's TFIDF vector on the hyperplane of the SVM.
- **Step 5:** As the final step, algorithm find the closest point to mirror point (C) and retrieve the most accurate contradictionary prompt as the output. Most accurate contradictonary prompt return using the cosine-similarity between the mirror point and the contradictonary prompts.

Word Flipping Generator

[Randomly flips words with defined POS tags to their antonyms]

- 1. User should define the POS tags relevant to the words that must be flipped.
- 2. The user should invoke the functionality by specifying an original sentence and the number of variations they want
- 3. The algorithm will tokenize the words
- 4. The algorithm will generate a mask list corresponding to these tokens by referring to the POS tags previously defined by the user. A truth value in this mask will represent that the word must be flipped and false will mean otherwise
- 5. The algorithm will generate a set of lists of antonyms for the words to be flipped by referring to the mask above. These lists will have words ordered in the descending order of the probabilities of their occurrence
- 6. New sentences will be generated by merging the original words and antonyms appropriately throughout the implementation.

Completion and Future works

Completed Components

- ✓ Data preprocessed and built the SVM Model
- ✓ Find the novel methodology for generating counterfactual rule using cosine-similarity comparison.
- ✓ Complete the counterfactual solution related to SVM and generate counterfactual rule.
- ✓ Implemented the front-end user interface

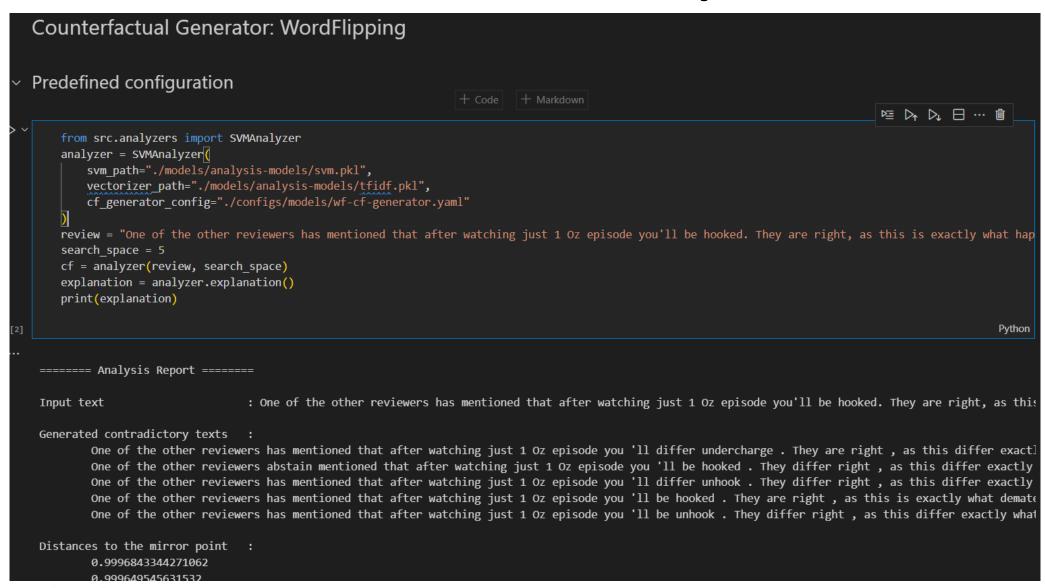
Future Implementation

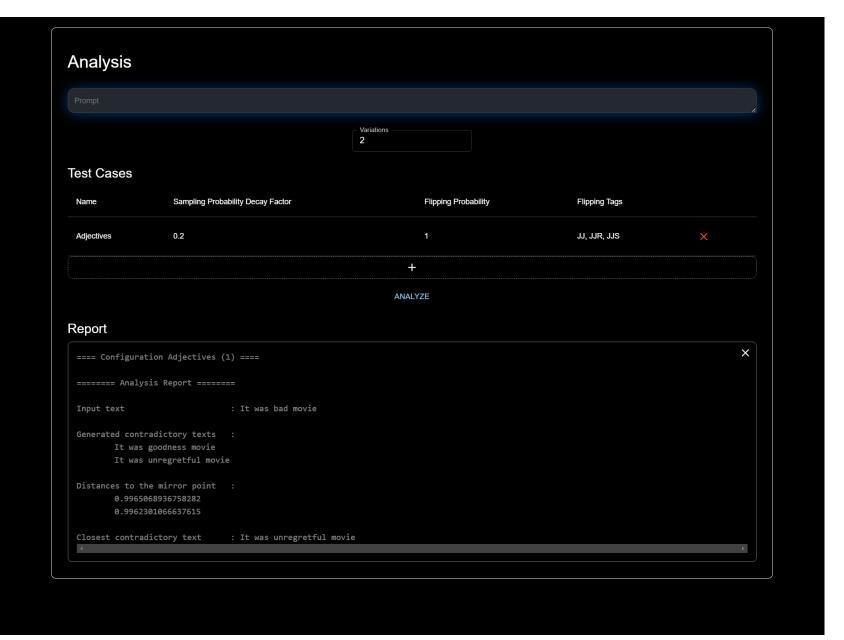
- Test the novel solution with existing tools and improve XAI solution
- Improve the user interface of the frontend.
- Integrate all the components and get the final output



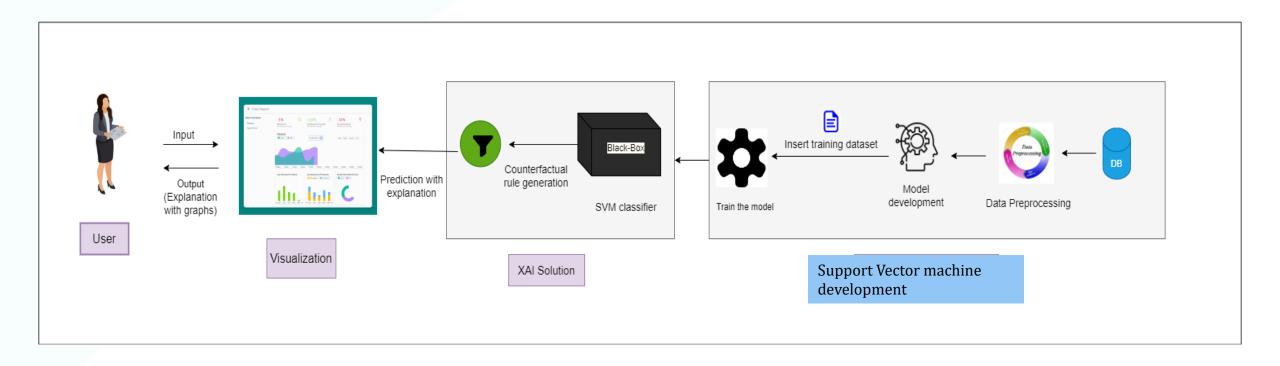


Evidences for the Completion



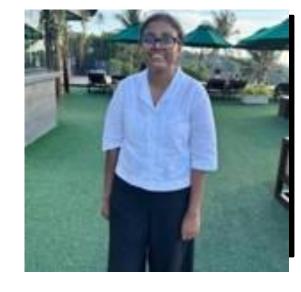


System Diagram



References

- [1] M. T. Ribeiro and C. Guestrin, "Anchors: High-Precision Model-Agnostic Explanations," pp. 1527–1535.
- [2] R. Guidotti, A. Monreale, S. Ruggieri, D. Pedreschi, F. Turini, and F. Giannotti, "Local rule-based explanations of black box decision systems," *arXiv*, no. May, 2018.
- [3] F. Shakerin and G. Gupta, "White-box Induction from SVM Models: Explainable AI with Logic Programming," *Theory Pract. Log. Program.*, vol. 20, no. 5, pp. 656–670, 2020, doi: 10.1017/S1471068420000356.
- [4] A. Adadi and M. Berrada, "Peeking Inside the Black-Box: A Survey on Explainable Artificial Intelligence (XAI)," *IEEE Access*, 2018, doi: 10.1109/ACCESS.2018.2870052.
- [5] Arrieta, A. B., Díaz-Rodríguez, N., Del Ser, J., Bennetot, A., Tabik, S., Barbado, A., ... & Herrera, F. (2020). Explainable Artificial Intelligence (XAI): Concepts, taxonomies, opportunities and challenges toward responsible AI. *Information fusion*, 58, 82-115
- [6] R. K. Mothilal and C. Tan, "Explaining Machine Learning Classifiers through Diverse Counterfactual Explanations.", 2019
- [7] M. T. Ribeiro, S. Singh, and C. Guestrin, "Why should i trust you?' Explaining the predictions of any classifier," in *Proceedings of the ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*, Aug. 2016, vol. 13-17-Augu, pp. 1135–1144, doi: 10.1145/2939672.2939778.
- [8] S. M. Lundberg and S. I. Lee, "A unified approach to interpreting model predictions," *Adv. Neural Inf. Process. Syst.*, vol. 2017-Decem, no. Section 2, pp. 4766–4775, 2017.
- [9] Wachter, S., Mittelstadt, B., & Russell, C. (2017). Counterfactual explanations without opening the black box: Automated decisions and the GDPR. *Harv. JL & Tech.*, 31, 841.



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Logistic Regression





Research Gap

What are the existing methods that used for generating counterfactual rules related to Logistic Regression?

SHAP[4]

- Not Primarily Designed for Counterfactuals
- Assumption of Independence
- Computational Complexity
- model-agnostic Explanation

LIME[3]

- Not Primarily Designed for Counterfactuals
- explanations can be unstable
- model-agnostic Explanation

Diverse Counterfactual Explanation(DICE)[1]

- Assumption of Feature Independence
- Computationally Intensive
- Model agnostic explanation

Nearest Instance Counterfactual Explanations[NICE][2]

- aims to find the smallest and most meaningful changes to an instance that would alter the model's prediction
- Finding the nearest instance and ensuring feasibility can be computationally intensive.
- Model agnostic explanation
- Limited to binary classification problems.



Research Gap

RECOURSE

- Provide Counterfactuals.
- Model agnostic explanation.
- Rule-based approach.
- Optimization- based approach.
- Generative Adversarial Networks (GANs).
- Interactive Approaches

Proposed Method:

- Text classification explainable task.
- Provide a weighted based Counterfactual Rule Generation Explainable Method.
- Model Specific Approach.



Research Problem

• How to get a counterfactual rule generation-based explanation for the Logistic Regression classifier when it becomes black box in text classification?



Objectives

Specific Objective

Provide a novel post-hoc ,modelspecific, local XAI solution to enhance the model explainability of

Binary classification-based models focus on LR

by developing a novel counterfactual rule generation mechanism related to the text classification domain.

Sub Objectives

- Implementing a mechanism to calculating the predicted probabilities based on current features and coefficients.
- Then manipulating and recalculate probabilities
- So, the difference between original and predicted probability after manipulating can be used to identify impact of each feature on model prediction.



Antonym Replacement based Counterfactual Explanation for Logistic Regression Model.

Step 1: The input text is vectorized using TF-IDF vectorizer.

Step 2: Antonym selection and iterative replacement and removal.

Step 3: Then get the prediction score of the instance and classify it as positive or negative.

Step 4:Class change evaluation.

Step 5: Iterative replacement and removal process.

Step 6: Then get the feature importance of each word and sort the feature importance.

Step 7: Remove the most impactful features until we get a class change.

Completion and Future works

Completed Components

- ✓ Data preprocessed and built the Logistic Regression Model
- ✓ Find the novel methodology for generating counterfactual rule using random forest feature importance.
- ✓ Complete the counterfactual solution related to LR and generate counterfactual rule.
- ✓ Implemented the front-end user interface

Future Implementation

- Test the novel solution with existing tools and improve XAI solution
- Improve the user interface of the frontend.
- Integrate all the components and get the final output



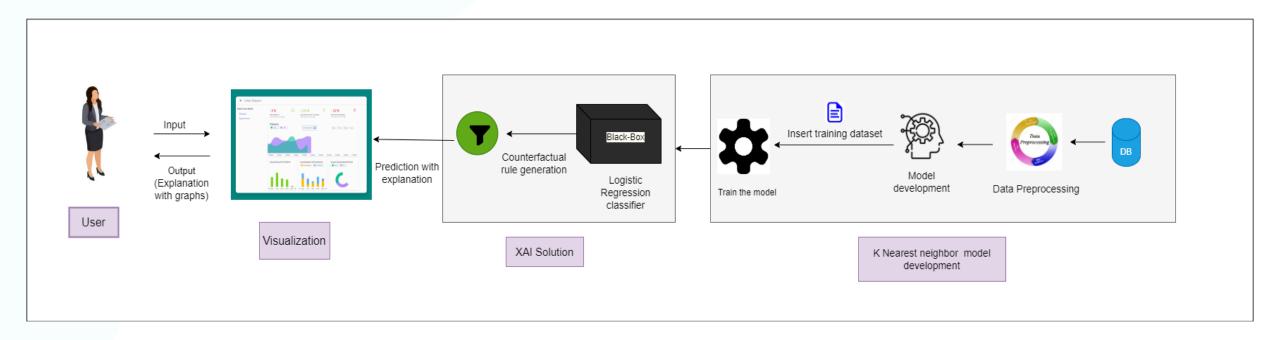


Evidences for the Completion

```
from src.analyzers import LRAnalyzer
   %load ext autoreload
   %autoreload 2
   explainer lr = LRAnalyzer(
       "./models/analysis-models/lr.pkl",
       "./models/analysis-models/tfidf.pkl",
       threshold classifier=0.49179999999978463,
       max_iter=50,
      time maximum=120,
   text = "One of the other reviewers has mentioned that after watching just 1 Oz episode you'll be hooked. They are right, as this is exactly what happ
   text = "hello"
   explainer lr(text, None)
                                                                                                                                                   Pytho
Start initialization...
initial sentence is ...
(1, 11612)
['..hello..']
score_predicted [0.42906247] initial_class [0]
Initialization is complete.
 Elapsed time 3
 Iteration 1
```



System Diagram



References

[1]Holzinger, A., Saranti, A., Molnar, C., Biecek, P., & Samek, W. (2022, April). Explainable AI methods-a brief overview. In xxAI-Beyond Explainable AI: International Workshop, Held in Conjunction with ICML 2020, July 18, 2020, Vienna, Austria, Revised and Extended Papers (pp. 13-38). Cham: Springer International Publishing.

[2] Mishra, P. (2021). Counterfactual Explanations for XAI Models. In Practical Explainable AI Using Python: Artificial Intelligence Model Explanations Using Python-based Libraries, Extensions, and Frameworks (pp. 265-278). Berkeley, CA: Apress.

[3] Dieber, J., & Kirrane, S. (2020). Why model why? Assessing the strengths and limitations of LIME. arXiv preprint arXiv:2012.00093.

[4] Van den Broeck, G., Lykov, A., Schleich, M., & Suciu, D. (2022). On the tractability of SHAP explanations. Journal of Artificial Intelligence Research, 74, 851-886.

[5] Mothilal, R. K., Sharma, A., & Tan, C. (2020, January). Explaining machine learning classifiers through diverse counterfactual explanations. In Proceedings of the 2020 conference on fairness, accountability, and transparency (pp. 607-617).

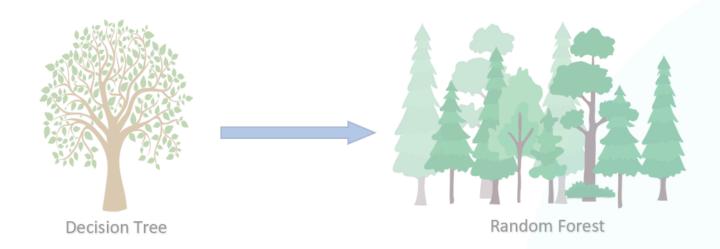
[6] Wachter, S., Mittelstadt, B., & Russell, C. (2017). Counterfactual explanations without opening the black box: Automated decisions and the GDPR. *Harv. JL & Tech.*, 31, 841



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Specializing in Data Science

Random Forest



Research Gap

What are the existing methods that used for generating counterfactual rules related to Random Forest?

SHAP[6]

- Not Primarily Designed for Counterfactuals
- Assumption of Independence
- Computational Complexity
- model-agnostic Explanation

LIME[7]

- Not Primarily Designed for Counterfactuals
- explanations can be unstable
- model-agnostic Explanation

Diverse Counterfactual Explanation(DICE)[6]

- Assumption of Feature Independence
- Computationally Intensive
- Model agnostic explanation

Nearest Instance Counterfactual Explanations[NICE][9]

- aims to find the smallest and most meaningful changes to an instance that would alter the model's prediction
- Finding the nearest instance and ensuring feasibility can be computationally intensive.
- Model agnostic explanation
- Limited to binary classification problems.



Research Gap

TreeSHAP

- •Specially designed for tree-based models
- Model Specific Explanation



Proposed Method:

- Text classification explainable task.
- Provide an instance-specific counterfactual explanation using feature importance in RF.
- Model Specific Approach.

Research Problem

• How to get a counterfactual rule generation-based explanation for the Random Forest classifier, when it becomes black box in text classification?



Objectives

Specific Objective

Provide a novel post-hoc ,modelspecific, local XAI solution to enhance the model explainability of

Ensemble based classification models focus on Random Forest

by developing a novel counterfactual rule generation mechanism related to the text classification domain.

Sub Objectives

- Prepare the dataset and implement the Random Forest classifier.
- Develop the novel counterfactual rule generation mechanism related to the text classification task.
- Test the output with existing explainable methods.
- Do experiments to improve the XAI solution more.
- Do the visualization using the most appropriate Graphical User Interface (GUI) technique.



Instance-specific Counterfactual Explanation using Feature Importance in Random Forest Model.

Step 1:The given input text is preprocessed and makes an array.

Step 2: Extract feature importance from trained RF model and remove the features that are not related to the given instance.

Step 3:Get the prediction score of the instance and classify it as positive or negative.

Step 4:Then get the feature importance of each word in the vector and sort the feature importance.

Step 5:Remove the most impact features iteratively until get a particular class change.

Completion and Future works

Completed Components

- ✓ Data preprocessed and built the Random Forest Model
- ✓ Find the novel methodology for generating counterfactual rule using random forest feature importance.
- ✓ Complete the counterfactual solution related to RF and generate counterfactual rule.
- ✓ Implemented the front-end user interface

Future Implementation

- Test the novel solution with existing tools and improve XAI solution
- Improve the user interface of the frontend.
- Integrate all the components and get the final output





Evidences for the Completion

```
Analyzer
                                                                                                          from src.analyzers import RFAnalyzer
    %load ext autoreload
    %autoreload 2
    explainer rf = RFAnalyzer(
        "./models/analysis-models/rf.pkl",
        "./models/analysis-models/tfidf.pkl",
        threshold classifier=0.49339999999983775,
        max iter=50,
        time maximum=300,
    text = "Watching that film was a complete waste of time. The plot was dull from start to finish, and the performances were bad.
    #text = "I thought that Mukhsin has been wonderfully written. Its not just about entertainment. There's tonnes of subtle message
    #ds.x train[72, :]
    #text = "it was a bad and dull movie but the end was amazing"
    explainer rf(text, None)

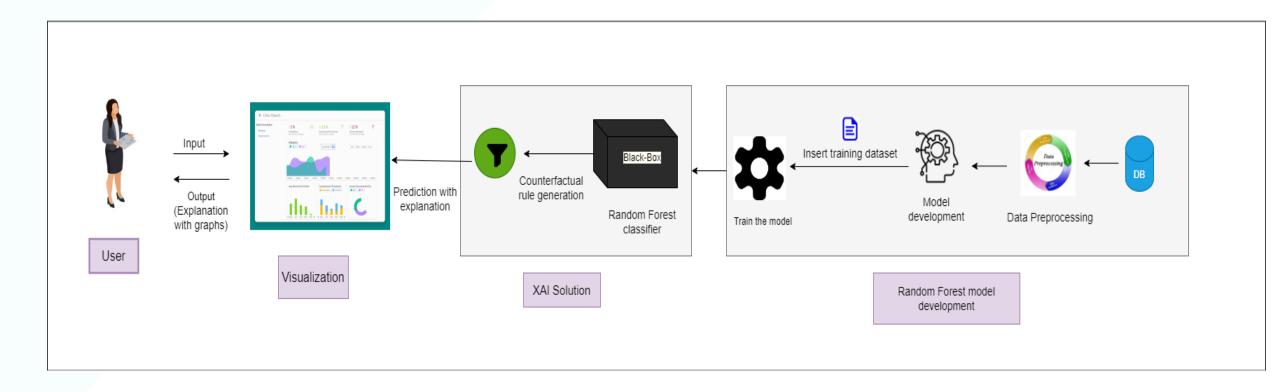
√ 5.1s

                                                                                                                            Python
The autoreload extension is already loaded. To reload it, use:
  %reload ext autoreload
Start initialization...
 initial sentence is ...
 (1, 11612)
 ['..anyone..', '..bad..', '..cant..', '..complete..', '..dull..', '..film..', '..finish..', '..performance..', '..plot..', '..recomm
score predicted [0.17755176] initial class [0]
 initial score 0.17755175676474977
      0.0006410131485748198 1 [0.17588509]
      0.0006410131485748198
```

Analysis Test Cases Name Classification Threshold Maximum Iterations **Maximum Time** 0.493399999999838 50 X case 1 120 + **ANALYZE** Report ==== Configuration case 1 (1) ==== "input": { "text": "Watching that film was a complete waste of time. The plot was dull from start to finish, and the performances were bad. I "score for positive": 0.17755175676474977, "initial class": 0

```
\leftarrow \rightarrow \mathbf{C} ① http://localhost:3001
                                                                                                                                                "start": 0.0010786052234948646
                          "time": 0.0021253787888005603
                          "waste": -0.02392262547906587
                          "watch": 0.0018750162723304388
                  "output": {
                      "Removed_words": [
                          "bad",
                          "waste",
                          "plot"
                      "final_text": "Watching that film was a complete of time The was dull from start to finish and the performances were --- I can t r
                      "final score for positive": 0.6661218489176939,
                      "final class": 1
```

System Diagram



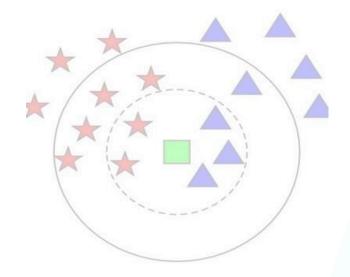
References

- [1] Lundberg, S. M., Erion, G., Chen, H., DeGrave, A., Prutkin, J. M., Nair, B., ... & Lee, S. I. (2019). Explainable AI for trees: From local explanations to global understanding. *arXiv preprint arXiv:1905.04610*.
- [2] R. K. Mothilal and C. Tan, "Explaining Machine Learning Classifiers through Diverse Counterfactual Explanations.", 2019
- [3] M. T. Ribeiro, S. Singh, and C. Guestrin, "Why should i trust you?' Explaining the predictions of any classifier," in *Proceedings of the ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*, Aug. 2016, vol. 13-17-Augu, pp. 1135–1144, doi: 10.1145/2939672.2939778.
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- [5] Arrieta, A. B., Díaz-Rodríguez, N., Del Ser, J., Bennetot, A., Tabik, S., Barbado, A., ... & Herrera, F. (2020). Explainable Artificial Intelligence (XAI): Concepts, taxonomies, opportunities and challenges toward responsible AI. *Information fusion*, 58, 82-115
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K-Nearest Neighbour







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Research Gap

What are the existing methods that used for generating counterfactual rules related to Random Forest?

SHAP[6]

- Not Primarily Designed for Counterfactuals
- Assumption of Independence
- **Computational Complexity**
- model-agnostic Explanation

LIME[7]

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Nearest Instance Counterfactual Explanations[NICE][9]

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- Model agnostic explanation
- Limited to binary classification problems.



Research Gap

Explaining and Improving Model Behavior with k Nearest Neighbor Representations

- Locally explainable
- •Model Agnostic Explanation



Proposed Method:

- Text classification explainable task.
- Provide a distance based Counterfactual Rule Generation Explainable Method.
- Model Specific Approach.

Research Problem

 How to get a counterfactual rule generation-based explanation for the k-NN classifier, when it handle Curse of Dimensionality problem in text classification?



Objectives

Specific Objective

Provide a novel post-hoc ,modelspecific, local XAI solution to enhance the model explainability of

distance based classification models focus on KNN

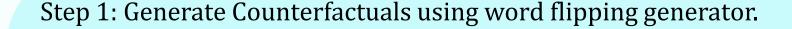
by developing a novel counterfactual rule generation mechanism related to the text classification domain.

Sub Objectives

- Prepare the dataset and implement the KNN classifier.
- Develop the novel counterfactual rule generation mechanism related to the text classification task.
- Test the output with existing explainable methods.
- Do experiments to improve the XAI solution more.
- Do the visualization using the most appropriate Graphical User Interface (GUI) technique.



Feature Density Comparison based Counterfactual Explanation for K-Nearest Neighbor (KNN)



Step 2: Vectorize the counterfactuals and the original review.

Step 3:Get neighbour statistics (compare feature densities between the original review and counterfactuals).

Step 4: Get probability of getting positive or negative classification for the counterfactuals.

Step 5: Select the best counterfactual using neighbour statistics.

Completion and Future works

Completed Components

- ✓ Data preprocessed and built the KNN Model
- ✓ Find the novel methodology for generating counterfactual rule using feature density comparison.
- ✓ Complete the counterfactual solution related to KNN and generate counterfactual rule.
- ✓ Implemented the front-end user interface

Future Implementation

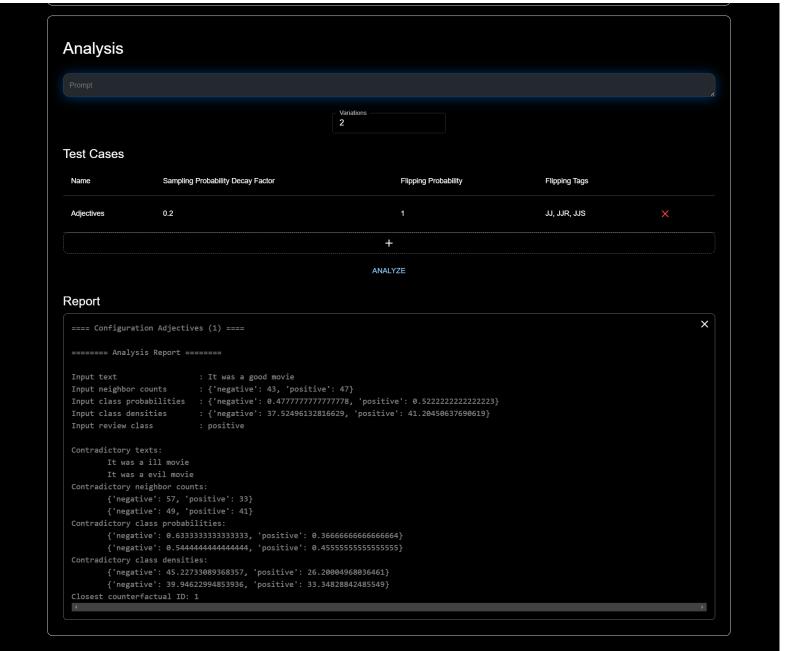
- Test the novel solution with existing tools and improve XAI solution
- Improve the user interface of the frontend.
- Integrate all the components and get the final output



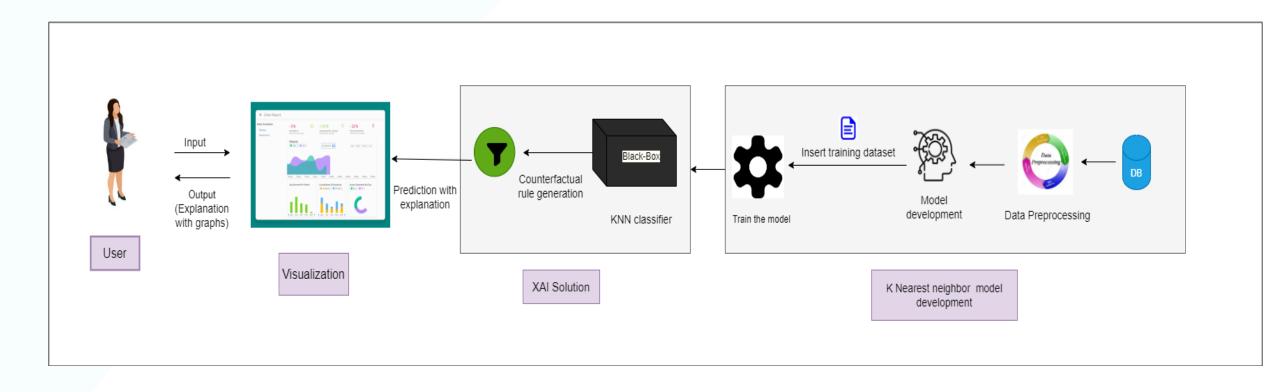


Evidences for the Completion

```
Predefined configuration
                                                                                                                     D ~
       from src.analyzers.knn import KNNAnalyzer
       analyzer = KNNAnalyzer(
           knn path="./models/analysis-models/knn.pkl",
           vectorizer path="./models/analysis-models/tfidf.pkl",
           cf generator config="./configs/models/wf-cf-generator.yaml"
       text="One of the other reviewers has mentioned that after watching just 1 Oz episode you'll be hooked. They are right, as this is exactly what happened
       analyzer(text, 2)
       print(analyzer.explanation())
                                                                                                                                      Python
     ====== Analysis Report ======
                             : One of the other reviewers has mentioned that after watching just 1 Oz episode you'll be hooked. They are right, as this is
    Input text
    Input neighbor counts
                             : {'negative': 34, 'positive': 56}
    : {'negative': 25.998423572007233, 'positive': 44.53242593645316}
    Input class densities
    Input review class
                             : positive
    Contradictory texts:
           One of the other reviewers has mentioned that after watching just 1 Oz episode you 'll be unhook . They are right , as this is exactly what demate
           One of the other reviewers lack mentioned that after watching just 1 Oz episode you 'll be hooked . They are right , as this differ exactly what
    Contradictory neighbor counts:
           {'negative': 31, 'positive': 59}
           {'negative': 32, 'positive': 58}
    Contradictory class probabilities:
            {'negative': 0.35555555555555557, 'positive': 0.644444444444445}
    Contradictory class densities:
            {'negative': 23.531772458395043, 'positive': 45.93646841277097}
```



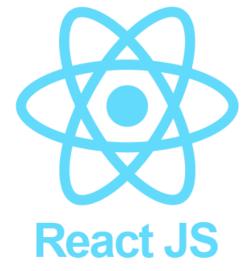
System Diagram

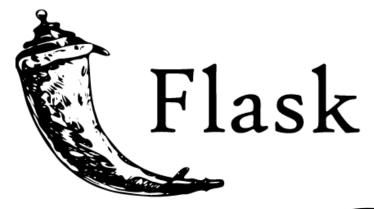


References

- [1] M. T. Ribeiro, S. Singh, and C. Guestrin, "Why should i trust you?' Explaining the predictions of any classifier," in *Proceedings of the ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*, Aug. 2016, vol. 13-17-Augu, pp. 1135–1144, doi: 10.1145/2939672.2939778.
- [2] S. M. Lundberg and S. I. Lee, "A unified approach to interpreting model predictions," *Adv. Neural Inf. Process. Syst.*, vol. 2017-Decem, no. Section 2, pp. 4766–4775, 2017
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- [5] https://aix360.readthedocs.io/en/latest/
- [6] Arrieta, A. B., Díaz-Rodríguez, N., Del Ser, J., Bennetot, A., Tabik, S., Barbado, A., ... & Herrera, F. (2020). Explainable Artificial Intelligence (XAI): Concepts, taxonomies, opportunities and challenges toward responsible AI. *Information fusion*, 58, 82-115
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Tools and Technologies

- > Frontend:
 - NestJs
 - Mantine UI
- **>** Backend:
 - Python
- **Version Control:**
 - GitLab
- > Tools:
 - > VS Code
 - ➤ Google Colab



