

## **\*\*Small Cap Growth Fund\*\***

### **\*\*Fund Overview\*\***

The Small Cap Growth Fund is an actively managed unit trust fund that seeks to provide investors with long-term capital growth by investing in a diversified portfolio of small capitalization stocks. The fund is managed by Nicole Pena, an experienced investment professional with a proven track record of identifying and investing in high-growth opportunities.

### **\*\*Fund Profile\*\***

**\*\*Inception Date:\*\*** June 24, 2008

**\*\*Total Assets:\*\*** \$3,969,540.28 (as of the latest available data)

**\*\*Risk Rating:\*\*** 5 (on a scale of 1-6, where 1 is the lowest risk and 6 is the highest risk)

**\*\*Net Asset Value (NAV):\*\*** \$137.45 (as of the latest available data)

**\*\*Currency:\*\*** United States Dollar (USD)

**\*\*Expense Ratio:\*\*** 0.75% (as a percentage of the fund's total assets)

### **\*\*Investment Objective\*\***

The Small Cap Growth Fund aims to achieve long-term capital growth by investing in a diversified

portfolio of small capitalization stocks that have the potential for high growth. The fund's investment strategy is focused on identifying and investing in companies that have a strong competitive position, solid financials, and a proven track record of success.

### **\*\*Investment Strategy\*\***

The fund's investment strategy is based on a bottom-up approach, where the fund manager, Nicole Pena, and her team conduct thorough research and analysis on individual companies to identify those with the greatest potential for growth. The team uses a combination of quantitative and qualitative factors to evaluate companies, including financial performance, industry trends, management quality, and competitive position.

The fund's portfolio is diversified across various sectors and industries, with a focus on companies that have a market capitalization of less than \$2 billion. The fund manager has the flexibility to invest in companies that are listed on various stock exchanges, including the NASDAQ, NYSE, and AMEX.

### **\*\*Risk Management\*\***

The Small Cap Growth Fund has a risk rating of 5, which indicates that it is a high-risk investment. The fund's risk profile is due to the fact that small capitalization stocks can be more volatile than larger capitalization stocks, and the fund's portfolio is concentrated in a smaller number of holdings.

To manage risk, the fund manager uses a variety of techniques, including:

1. Diversification: The fund's portfolio is diversified across various sectors and industries to reduce the risk of any one particular stock or sector.

2. Stock selection: The fund manager uses a rigorous research and analysis process to select stocks that have a strong potential for growth and a low risk of loss.
3. Position sizing: The fund manager limits the size of individual positions to prevent any one stock from having a disproportionate impact on the fund's performance.
4. Stop-loss orders: The fund manager may use stop-loss orders to limit losses if a stock's price falls below a certain level.

#### **\*\*Performance\*\***

The Small Cap Growth Fund has a strong track record of performance, with a net asset value (NAV) of \$137.45 as of the latest available data. The fund's performance is measured against the Russell 2000 Index, which is a widely recognized benchmark for small capitalization stocks.

#### **\*\*Fund Manager\*\***

Nicole Pena is the fund manager of the Small Cap Growth Fund. She has over 10 years of experience in the investment industry, with a focus on small capitalization stocks. Nicole has a proven track record of identifying and investing in high-growth opportunities, and has managed the fund since its inception in 2008.

#### **\*\*Investment Team\*\***

The investment team supporting Nicole Pena includes a group of experienced investment professionals with a deep understanding of the small capitalization market. The team conducts thorough research and analysis on individual companies, and provides recommendations to Nicole on potential investment opportunities.

## **\*\*Investment Process\*\***

The investment process for the Small Cap Growth Fund is as follows:

1. Research and analysis: The investment team conducts thorough research and analysis on individual companies to identify those with the greatest potential for growth.
2. Stock selection: Nicole Pena and her team select stocks that meet the fund's investment criteria, including financial performance, industry trends, management quality, and competitive position.
3. Portfolio construction: The fund manager constructs a diversified portfolio of small capitalization stocks that meet the fund's investment criteria.
4. Ongoing monitoring: The investment team continuously monitors the fund's portfolio and rebalances it as necessary to ensure that it remains aligned with the fund's investment objective.

## **\*\*Fees and Expenses\*\***

The Small Cap Growth Fund has an expense ratio of 0.75%, which is competitive with other small capitalization funds in the market. The expense ratio includes all fees and expenses associated with managing the fund, including management fees, administrative costs, and other expenses.

## **\*\*Minimum Investment\*\***

The minimum investment in the Small Cap Growth Fund is \$1,000, although this may be subject to change.

## **\*\*Conclusion\*\***

The Small Cap Growth Fund is a high-risk, high-reward investment opportunity that is suitable for investors who are seeking long-term capital growth. The fund's investment strategy is focused on identifying and investing in small capitalization stocks that have the potential for high growth, and the fund manager has a proven track record of success. While the fund's risk profile is higher than some other investment options, the potential rewards are also greater, making it an attractive option for investors who are willing to take on more risk in pursuit of higher returns.