

# UNITED OVERSEAS BANK PORTFOLIO TRACKER

---



Exclusively for

Prepared by



## **Note on Pricing**

Cost Price: The cost price of an investment product refers to the last captured cost price as per transactions that were captured in our Bank's systems, prevailing average market price or the last available market price for such period at the cut-over date of this report or such other price notified by you (in the manner we require).

## **Deposit Insurance Scheme**

Singapore dollar deposits of non-bank depositors and monies and deposits denominated in Singapore dollars under the Supplementary Retirement Scheme are insured by the Singapore Deposit Insurance Corporation, for up to S\$50,000 in aggregate per depositor per Scheme member by law.

Foreign currency deposits, dual currency investments, structured deposits and other investment products are not insured.

## **Disclaimers**

UOB has been granted exempt status by the Monetary Authority of Singapore in providing financial advisory services to our customers under the Financial Advisers Act (Cap 110).

This report shall not be copied or disseminated, or relied upon by any person for whatever purpose. This report is for information and indicative purposes only, and not intended to be a comprehensive study.

When preparing this report based on information which has been obtained directly from you, we have assumed that such information is true and accurate. The content of this report, including any data, projections and underlying assumptions are based on certain assumptions, management forecasts and analysis of information and reflects prevailing conditions and our views as of the date of the report, all of which are subject to change at any time without prior notice.

Valuation and portfolio returns calculated based on other assumptions or different periods/values may result in significantly different values.

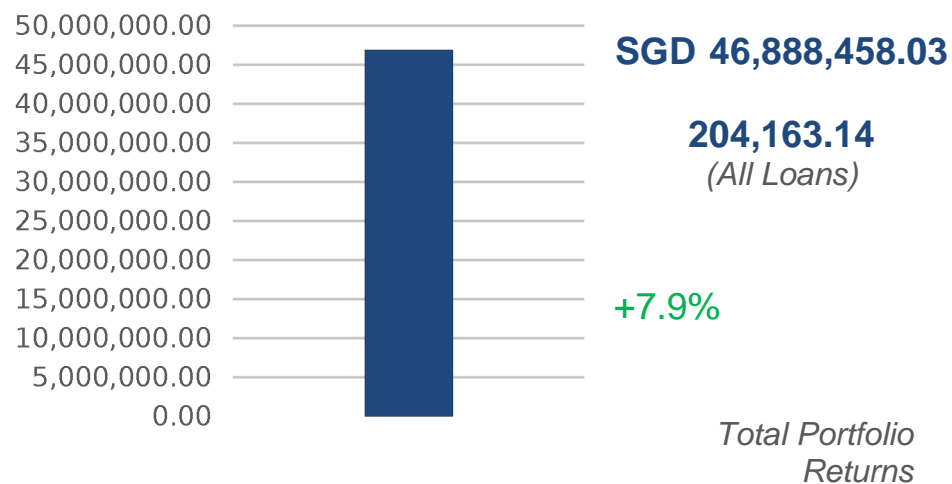
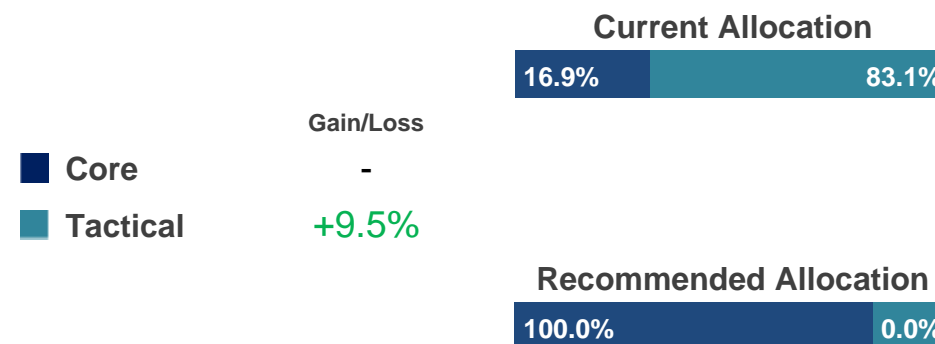
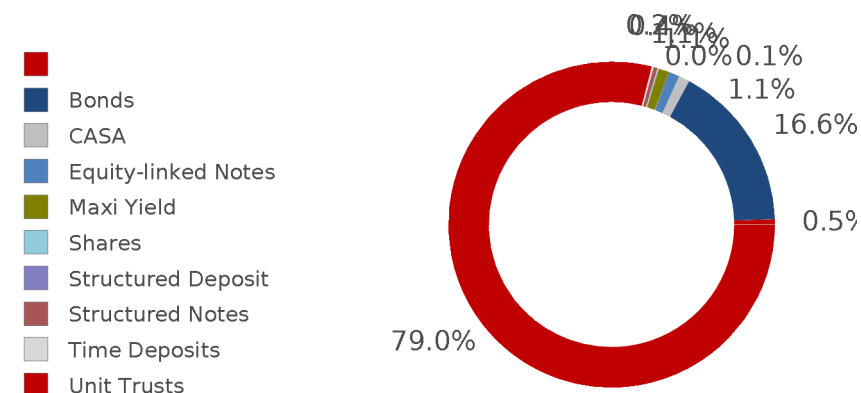
The classification of investments into short term and long term classes is for your reference only and may differ from those used by other financial institutions and/or market practice and/or other divisions/entities within UOB. All classifications are based on our sole and absolute discretion and may be changed at any time without prior notice.

Any description of investment products is qualified in its entirety by the terms and conditions of the investment product and if applicable, the prospectus or constituting document of the investment product

The opinions, predictions, views or judgement expressed in this report are subject to change at any time without notice. Although every reasonable care has been taken to ensure the accuracy and objectivity of this report, UOB and its employees shall not be held liable for any error, inadequacy, inaccuracy and/or omission, howsoever caused, or for any decision or action taken based on or in reliance on this report.

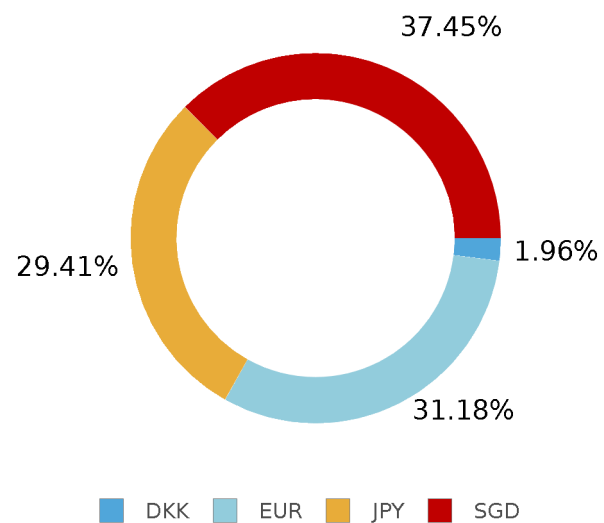
Any opinion, projection and other forward-looking statement regarding future events or performance of, including but not limited to, countries, markets or companies is not necessarily indicative of, and may differ from actual events or results.

You should not rely solely on this report to make any decision. Nothing in this report constitutes accounting, legal, regulatory, tax or other advice. Prior to entering into any proposed transaction, you should determine, without reliance upon UOB or its affiliates, the economic risks and merits, as well as the legal, regulatory, tax and accounting character and consequences of the transaction and take into account your specific investment needs. You may wish to seek advice from a professional or an independent financial adviser about the issues discussed herein or before investing in any investment or insurance product. Should you choose not to seek such advice, you should consider carefully whether the investment or insurance product in question is suitable for you.

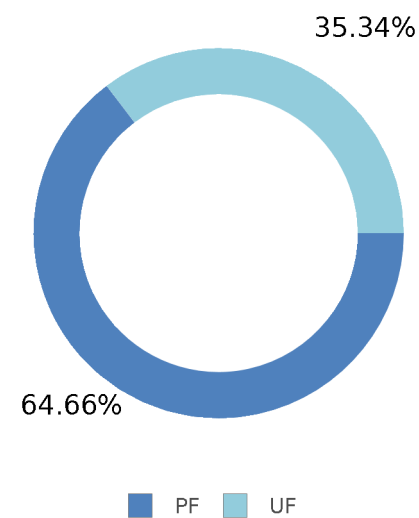
**Total Asset Value** *(All products)***Current Strategy** *(Excluding cash & cash equivalents)***Geographical Exposure** *(Bonds and UT only)***Product Mix** *(All products)*

## Loans Exposure

Currency

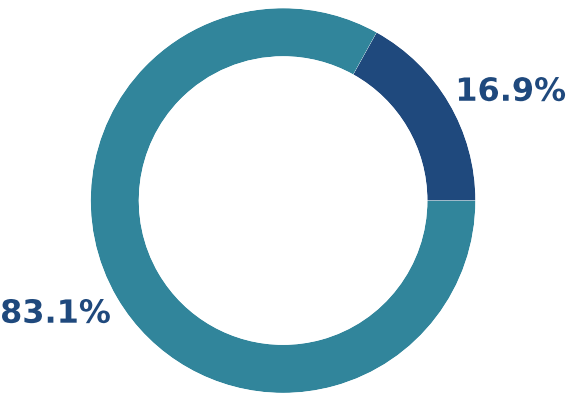


Facility Type



Strategy	Current (SGD / %)		Gain/Loss
Core	7,791,383.03	16.9%	-
Tactical	38,264,958.20	83.1%	+9.5%
Total (Excluding cash & cash equivalents)	46,056,341.23	100.0%	+7.9%

\*Calculated based on UT, Shares and Bonds



Portfolio P&L  
**+7.9%**

Product Class	Current (SGD / %)		Gain/Loss
<b>Core</b>	<b>7,791,383.03</b>	<b>16.9%</b>	-
Bonds	7,781,383.03	16.9%	-
Structured Deposit	10,000.00	0.0%	-
<b>Tactical</b>	<b>38,264,958.20</b>	<b>83.1%</b>	<b>+9.8%</b>
Equity-linked Notes	500,578.20	1.1%	-
Maxi Yield	500,000.00	1.1%	-
Shares	49,000.00	0.1%	+6.5%
Structured Notes	193,200.00	0.4%	-
Unit Trusts	37,022,180.00	80.4%	+9.8%
<b>Total</b> (Excluding cash & cash equivalents)	<b>46,056,341.23</b>	<b>100.0%</b>	<b>+8.1%</b>

\*Calculated based on UT, Shares and Bonds

Products	Unpledged (SGD / %)		Pledged (SGD / %)		Total (SGD / %)		Gain/Loss
	0.00	0.0%	250,662.50	2.7%	250,662.50	0.5%	-
LOCO LDN GOLD (XAU)-1OZ		0.0%	250,662.50	2.7%	250,662.50	0.5%	-
<b>Bonds</b>	<b>16,633.03</b>	<b>0.0%</b>	<b>7,764,750.00</b>	<b>83.3%</b>	<b>7,781,383.03</b>	<b>16.8%</b>	<b>-</b>
HDBSP 1.165 07/26/16	16,633.03	0.0%		0.0%	16,633.03	0.0%	-
SINGAPORE GOVT BND		0.0%	7,764,750.00	83.3%	7,764,750.00	16.8%	-
<b>Equity-linked Notes</b>	<b>0.00</b>	<b>0.0%</b>	<b>500,578.20</b>	<b>5.4%</b>	<b>500,578.20</b>	<b>1.1%</b>	<b>-</b>
EQUITY LINKED SN		0.0%	500,578.20	5.4%	500,578.20	1.1%	-
<b>Maxi Yield</b>	<b>0.00</b>	<b>0.0%</b>	<b>500,000.00</b>	<b>5.4%</b>	<b>500,000.00</b>	<b>1.1%</b>	<b>-</b>
MAXI-YIELD DEPOSIT		0.0%	500,000.00	5.4%	500,000.00	1.1%	-
<b>Shares</b>	<b>0.00</b>	<b>0.0%</b>	<b>49,000.00</b>	<b>0.5%</b>	<b>49,000.00</b>	<b>0.1%</b>	<b>+6.5%</b>
Asia Enterprises Holdings Limited		0.0%	49,000.00	0.5%	49,000.00	0.1%	+6.5%
<b>Structured Deposit</b>	<b>0.00</b>	<b>0.0%</b>	<b>10,000.00</b>	<b>0.1%</b>	<b>10,000.00</b>	<b>0.0%</b>	<b>-</b>
Global TD		0.0%	10,000.00	0.1%	10,000.00	0.0%	-
<b>Structured Notes</b>	<b>0.00</b>	<b>0.0%</b>	<b>193,200.00</b>	<b>2.1%</b>	<b>193,200.00</b>	<b>0.4%</b>	<b>-</b>
MERRILL LYNCH AND CO 1.75PCT 09042012-SCRIPLESS		0.0%	193,200.00	2.1%	193,200.00	0.4%	-

Products	Unpledged (SGD / %)		Pledged (SGD / %)		Total (SGD / %)		Gain/Loss
<b>Unit Trusts</b>	<b>36,969,380.00</b>	<b>100.0%</b>	<b>52,800.00</b>	<b>0.6%</b>	<b>37,022,180.00</b>	<b>79.9%</b>	<b>+9.8%</b>
Maxi-Cash Financial Services Corporation Ltd		0.0%	52,800.00	0.6%	52,800.00	0.1%	+5.6%
AB - Global Equity Blend Portfolio A Acc	36,969,380.00	100.0%		0.0%	36,969,380.00	79.8%	+9.8%
<b>Total</b> (Excluding cash & cash equivalents)	<b>36,986,013.03</b>	<b>100.0%</b>	<b>9,320,990.70</b>	<b>100.0%</b>	<b>46,307,003.73</b>	<b>100.0%</b>	<b>+8.1%</b>

\*Calculated based on UT, Shares and Bonds



Products	Pledged Collateral (SGD)	Loans Outstanding (SGD)
Leverage Financing	9,371,968.98	204,163.14
Portfolio Financing	9,371,968.98	204,163.14

Bonds - Cash/CPFIS/SRS

Credit Rating	Nominal Amount	Average Cost Price (Base CCY)	Initial Accrued Interest	Net Settlement Amount (Base CCY)	Current Accrued Interest	Market Price (Base CCY)	Market Value (Base CCY)	Acc. Coupon (Base CCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Total Return (\$/%) (Base CCY)	Total Return (\$/%) (LCYE)	Market Exchange Rate
HDBSP 1.165 07/26/16   SG57B1995020   CASH   SGD													
N.R.	16,600.00		0.00	0.00	0.00	100.20	16,633.03	0.00	16,633.03 0.00%	16,633.03 0.00%	16,633.03 0.00%	0.00%	1.0000

- Bonds - Pledge
- Bonds Financing

XAU Gold

UT - Cash/CPFIS/SRS

No. of Units	Average Cost (Base CCY)	Net Cost Value (Base CCY)	Current NAV (Base CCY)	Market Value (Base CCY)	Acc. Cash Dividend (Base CCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Total Return (\$/%) (Base CCY)	Total Return (\$/%) (LCYE)	Market Exchange Rate
AB - Global Equity Blend Portfolio A Acc   LU0289960550   CASH   SGD										
1,522,000.00	22.12	33,666,640.00	24.290000	36,969,380.00	300.00	3,302,740.00 9.81%	3,302,740.00 9.81%	3,303,040.00 9.81%	3,303,040.00 9.81%	1.0000

UT - Pledge

Unit Trust Leverage Financing

Tranche No	Loan Disb. Date	CCY	O/S Balance (Base CCY)	O/S Balance (LCYE)	Loan Tenor	Maturity Date	Interest Rate	Accrued Interest (Base CCY)	Accrued Interest (LCYE)	Total O/S Balance (Base CCY)	Total O/S Balance (LCYE)	Interest Paid Since Disb. (Base CCY)	Interest Paid Since Disb. (LCYE)	Principal Paid Since Disb. (Base CCY)	Principal Paid Since Disb. (LCYE)	Market Value (Base CCY)	Market Value (LCYE)
1018241140   0   0																	
2	12 Jan, 2024	SGD	72,154.48	90,362.41	18	14 Jul, 2025	0.01	314.23	393.53	72,468.71	90,755.94	0.00	0.00	0.00	0.00	0.00	0.00

Shares - Cash/CPFIS/SRS

Structured Notes

ISIN	Value Date	Maturity Date	Base CCY	Issuer	Nominal Amount	Cost Price (Base CCY)	Acc. Coupon (Base CCY)	Market Price (MTM)
MERRILL LYNCH AND CO 1.75PCT 09042012-SCRIPLESS								
XS0295031339	30 Apr, 2010	9 Apr, 2012	SGD	-	200,000.00	-	4,690.53	96.600000
Total					200,000.00			

Structured Deposits

TD Number	Tenor	Value Date	Maturity Date	Principal Amount (Base CCY)	Principal Amount (LCYE)	Coupon Rate	Acc. Coupon
STRUCTURED DEPOSIT   CASH   USD							
179507	120M	19 Aug, 2005	19 Aug, 2015	10,000.00	13,350.00		0.00
Total					13,350.00		

Maxi Yield

TD Number	Base CCY	Alt CCY	Principal Amount (Base CCY)	Strike Price	Yield Rate	Tenor	Value Date	Fixing Date	Maturity Date	Base Amount (P+I)	Alt Amount (P+I)	Cost Exchange Rate	Principal Amount (LCYE)
MAXI-YIELD DEPOSIT													
74	AUD	NZD	500,000.00	0.010870	0.024800	1M	30 Apr, 2014	-	30 May, 2014	-	545,311.67	1.0467	523,350.00
Total													523,350.00



Equity-Linked Notes

Base Currency	Trade Date	Maturity Date	Issue Price	Principal Amount (Base CCY)	Principal Amount (LCYE)	Yield Rate	Yield Amount	Market Exchange Rate
EQUITY LINKED SN								
SGD	11 Apr, 2014	9 May, 2014	0.000000		500,578.20	0.002900	0.00	1.0000
Total								0.00

Time Deposits

Value Date	Maturity Date	Original Principal Amount (Base CCY)	Original Principal Amount (LCYE)	Outstanding Principal Amount (Base CCY)	Outstanding Principal Amount (LCYE)	Interest Rate	Interest Amount	Maturity Instruction	Market Exchange Rate
FCCY FIXED DEPOSIT   179516   CASH   AUD									
30 Apr, 2014	30 May, 2014	50,978.28	20,201.52	54,783.63	57,342.03	0.012800	123.50	ADD TO PRINCIPAL	1.0467
FCCY FIXED DEPOSIT   193968   CASH   USD									
14 Apr, 2014	14 May, 2014	30,105.69	20,202.52	30,189.04	40,302.37	0.000500	123.50	ADD TO PRINCIPAL	1.3350
Total					97,644.40				

Current/Savings Account

Currency	OD Limit (Base CCY)	Ledger Balance (FCY)	Available Balance (FCY)	Available Balance (LCYE)	L3M Monthly Average Balance	Insurance Coverage Description	Sum Assured
COINS BANK							
SGD	0.00	1,040.00	1,040.00	1,040.00			
CURRENT							
SGD	0.00	499,330.33	499,330.33	499,330.33			
Total							500,370.33

Insurance
Insurance - Assigned
Insurance - Financing

Portfolio - Financing

Bonds

Credit Rating	Nominal Amount	Average Cost Price (Base CCY)	Initial Accrued Interest	Net Settlement Amount (Base CCY)	Current Accrued Interest	Market Price (Base CCY)	Market Value (Base CCY)	Acc. Coupon (Base CCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Total Return (\$/%) (Base CCY)	Total Return (\$/%) (LCYE)	Market Exchange Rate
SINGAPORE GOVT BND   SG5080889057   SGD													
Aaa	7,500,000.00	103.53	0.00	7,764,750.00	0.00	103.53	7,764,750.00	0.00	0.00 0.00%	0.00 0.00%	0.00 0.00%	0.00%	1.0000

Unit Trust

No. of Units	Average Cost (Base CCY)	Net Cost Value (Base CCY)	Current NAV (Base CCY)	Market Value (Base CCY)	Acc. Cash Dividend (Base CCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Total Return (\$/%) (Base CCY)	Total Return (\$/%) (LCYE)	Market Exchange Rate
Maxi-Cash Financial Services Corporation Ltd   SG2E90982678   SGD										
160,000.00	0.31	0.00	0.33	52,800.00	0.00	2,800.00 5.60%	2,800.00 5.60%	2,800.00 5.60%	2,800.00 5.60%	1.0000

Shares

No. of Shares	Average Cost Price (Base CCY)	Net Cost Value (Base CCY)	Market Price (Base CCY)	Market Value (Base CCY)	Acc. Cash Dividend (Base CCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Total Return (\$/%) (Base CCY)	Total Return (\$/%) (LCYE)	Market Exchange Rate
Asia Enterprises Holdings Limited   SG1S11926551   SGD										
200,000.00	0.230000	46,000.00	0.245000	49,000.00	20.00	3,000.00 6.52%	3,000.00 652.17%	3,020.00 6.57%	3,020.00 6.57%	1.0000

XAU Gold

Quantity (Oz.)	Average Cost (BaseCCY / Oz.)	Net Cost Value (BaseCCY)	Market Price (BaseCCY)	Market Value (BaseCCY)	Unrealized PnL (\$/%) (Base CCY)	Unrealized PnL (\$/%) (LCYE)	Market Exchange Rate
LOCO LDN GOLD (XAU)-1OZ   USD							
250,000.00	99.00	247,500.00	100.26	25,066,250.00	3,162.50 1.28%	3,162.50 1.28%	1.00

Structured Notes / ELN

Name	ISIN	Value Date	Maturity Date	Base CCY	Issuer	Nominal Amount	Cost Price (Base CCY)	Acc. Coupon (Base CCY)	Market Price (MTM)
EQUITY LINKED SN	-	11 Apr, 2014	9 May, 2014	SGD	-	500,578.20	0.00	0.00	0.00
MERRILL LYNCH AND CO 1.75PCT 09042012-	XS0295031339	30 Apr, 2010	9 Apr, 2012	SGD	-	200,000.00	-	4,690.53	96.60

Name	ISIN	Value Date	Maturity Date	Base CCY	Issuer	Nominal Amount	Cost Price (Base CCY)	Acc. Coupon (Base CCY)	Market Price (MTM)
------	------	------------	---------------	----------	--------	----------------	--------------------------	---------------------------	-----------------------

Time Deposit

Value Date	Maturity Date	Original Principal Amount (Base CCY)	Outstanding Principal Amount (Base CCY)	Outstanding Principal Amount (LCYE)	Market Exchange Rate	Interest Rate	Interest Amount	Maturity Instruction
FCCY FIXED DEPOSIT   179516   AUD								
30 Apr, 2014	30 May, 2014	50,978.28	54,783.63	57,342.03	1.0467	0.012800	123.50	ADD TO PRINCIPAL

Maxi Yield

TD Number	Base CCY	Alt CCY	Principal Amount (Base CCY)	Strike Price	Yield Rate	Tenor	Value Date	Fixing Date	Maturity Date	Base Amount (P+I)	Alt Amount (P+I)
74	AUD	NZD	500,000.00	0.010870	0.024800	1M	30 Apr, 2014	-	30 May, 2014	-	545,311.67

Cash Settlement Account

Name	Currency	Ledger Balance (Base CCY)	Available Balance (Base CCY)	Available Balance (LCYE)
COINS BANK	SGD	1,040.00	1,040.00	1,040.00
CURRENT	SGD	499,330.33	499,330.33	499,330.33

Liabilities

Facility Currency	SGD
Total PF Market Value (SGD)	4,231,112.21
Facility Loan To Value	45.83
Surplus / Shortfall	341,043.97
Initial Margin Level	53.89
Call Margin Level	58.33



Tranche No	Loan Disb. Date	CCY	O/S Balance (Base CCY)	O/S Balance (LCYE)	Loan Tenor	Maturity Date	Interest Rate	Accrued Interest (Base CCY)	Accrued Interest (LCYE)	Total O/S Balance (Base CCY)	Total O/S Balance (LCYE)	Interest Paid Since Disb. (Base CCY)	Interest Paid Since Disb. (LCYE)	Principal Paid Since Disb. (Base CCY)	Principal Paid Since Disb. (LCYE)	Market Value (Base CCY)	Market Value (LCYE)
1018240799   0   0																	
0	5 Jan, 2024	JPY	15,012.00	200.08	11	5 Dec, 2024	0.00	0.00	0.00	15,012.00	200.08	0.00	0.00	0.00	0.00	0.00	0.00
0	5 Jan, 2024	JPY	15,012.00	200.08	11	5 Dec, 2024	0.00	0.00	0.00	15,012.00	200.08	0.00	0.00	0.00	0.00	0.00	0.00
0	5 Jan, 2024	JPY	15,012.00	200.08	11	5 Dec, 2024	0.00	0.00	0.00	15,012.00	200.08	0.00	0.00	0.00	0.00	0.00	0.00
0	5 Jan, 2024	JPY	15,012.00	200.08	11	5 Dec, 2024	0.00	0.00	0.00	15,012.00	200.08	0.00	0.00	0.00	0.00	0.00	0.00
1018240918   0   0																	
0	N.R.	SGD	3,315.29	3,315.29	552	31 Dec, 2069	0.00	14.21	14.21	3,329.50	3,329.50	0.00	0.00	0.00	0.00	0.00	0.00
1018241088   9.999999   0																	
0	N.R.	SGD	980.00	980.00	692	31 Dec, 2069	0.00	20.00	20.00	1,000.00	1,000.00	0.00	0.00	0.00	0.00	0.00	0.00
1018241140   0   0																	
1	12 Jan, 2024	EUR	63,653.76	79,716.56	28	12 May, 2026	0.02	470.35	589.05	64,124.11	80,305.61	0.00	0.00	0.00	0.00	0.00	0.00
1018244026   1.3   1.2																	
1	14 Feb, 2024	DKK	4,011.61	4,011.61	15	14 May, 2025	0.00	1.22	1.22	4,012.83	4,012.83	300.00	14,601.78	1,200.00	5,834.88	5,011.61	24,355.36