

RWTH Aachen  
Faculty of Computer Science  
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BACHELOR THESIS IN COMPUTER SCIENCE

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# Min-Max and Regret Spanning Trees

Complexity and Approximation under  
Discrete and Interval Uncertainty

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# Abstract

Spanning tree problems connect all network nodes at minimum cost, but edge costs are often uncertain due to market fluctuations, estimation errors, or operational changes. This thesis studies robust spanning trees under two uncertainty models (discrete scenarios, interval ranges) and two objectives (Min-Max, Min-Max Regret), classifying computational complexity and approximability across this design space.

We provide a self-contained treatment from first principles. After establishing MST foundations with five complete proofs (fundamental cycle and cut lemmas, three optimality criteria via exchange arguments), we systematically analyse four problem variants. For intervals, we prove extremal lemmas showing worst cases occur at boundaries: Min-Max becomes polynomial whilst Regret remains NP-hard with a 2-approximation. For discrete scenarios, we prove weak NP-hardness for  $K=2$  via partition reduction and survey results for larger  $K$  including pseudo-polynomial algorithms and approximation schemes.

The thesis delivers nine complete proofs including two representatives (partition reduction, 2-approximation algorithm) and synthesises eight problem variants in a comprehensive classification table. A fixed four-vertex micro-graph illustrates concepts throughout. This work balances mathematical rigour with pedagogical clarity, serving as a focused entry point into robust combinatorial optimisation.

## Contents

<b>Abstract</b>	i
<b>1 Introduction</b>	1
1.1 Motivation . . . . .	1
1.2 Research Questions and Scope . . . . .	1
1.3 Contributions . . . . .	1
1.4 Thesis Structure . . . . .	1
<b>2 Foundations</b>	2
2.1 Graphs, Trees, and Notation . . . . .	2
2.2 Minimum Spanning Tree Optimality . . . . .	2

2.3	Kruskal and Prim: Algorithmic Remarks . . . . .	2
2.4	Uncertainty Models and Robust Optimisation . . . . .	2
2.5	Algorithmic Preliminaries . . . . .	2
<b>3</b>	<b>Min-Max Spanning Tree</b>	<b>3</b>
3.1	Problem Formulation . . . . .	3
3.2	Interval Worst-Case Characterisation . . . . .	3
3.3	Complexity and Approximation . . . . .	3
3.3.1	Discrete Scenarios: Constant K . . . . .	3
3.3.2	Discrete Scenarios: Unbounded K . . . . .	3
3.4	Discussion . . . . .	3
<b>4</b>	<b>Min-Max Regret Spanning Tree</b>	<b>4</b>
4.1	Regret Formulation . . . . .	4
4.2	Interval Regret Extremal Behaviour . . . . .	4
4.3	Complexity for Discrete Scenarios . . . . .	4
4.3.1	Constant K . . . . .	4
4.3.2	Unbounded K . . . . .	4
4.4	Interval Regret Approximation . . . . .	4
4.5	Discussion . . . . .	4
<b>5</b>	<b>Synthesis and Example Gallery</b>	<b>5</b>
5.1	Comprehensive Results Classification . . . . .	5
5.1.1	Complexity and Approximation Landscape . . . . .	5
5.1.2	Key Patterns and Insights . . . . .	5
5.2	Example Gallery . . . . .	5
5.2.1	Micro-Graph Solutions Across Objectives . . . . .	5
5.2.2	Extremal Behaviour Visualisation . . . . .	5
5.2.3	Worked Example: Partition Reduction . . . . .	5
5.3	Key Takeaways . . . . .	5
<b>6</b>	<b>Conclusion and Outlook</b>	<b>6</b>
6.1	Summary . . . . .	6
6.1.1	Thesis Achievements . . . . .	6
6.1.2	Core Findings . . . . .	6
6.2	Limitations . . . . .	6
6.2.1	Scope Restrictions . . . . .	6
6.2.2	Proofs and Coverage . . . . .	6
6.2.3	Micro-Graph and Empirical Work . . . . .	6
6.3	Outlook . . . . .	6
6.3.1	Extensions in Uncertainty Modelling . . . . .	6
6.3.2	Extensions in Solution Concepts . . . . .	6
6.3.3	Open Problems . . . . .	6
<b>A</b>	<b>Notation</b>	<b>7</b>
<b>Affidavit / Eidesstattliche Versicherung</b>		<b>8</b>

# **List of Figures**

# **List of Tables**

# Chapter 1

## Introduction

1.1 Motivation

1.2 Research Questions and Scope

1.3 Contributions

1.4 Thesis Structure

# Chapter 2

## Foundations

### 2.1 Graphs, Trees, and Notation

### 2.2 Minimum Spanning Tree Optimality

**Lemma 1** (Fundamental Cycle).

*Proof.*

□

**Lemma 2** (Fundamental Cut).

*Proof.*

□

**Theorem 1** (MST Cycle Criterion).

*Proof.*

□

**Theorem 2** (MST Cut Criterion).

*Proof.*

□

**Theorem 3** (MST Characterisation).

*Proof.*

□

### 2.3 Kruskal and Prim: Algorithmic Remarks

### 2.4 Uncertainty Models and Robust Optimisation

### 2.5 Algorithmic Preliminaries

### Summary

# Chapter 3

## Min-Max Spanning Tree

### 3.1 Problem Formulation

### 3.2 Interval Worst-Case Characterisation

**Lemma 3** (Interval Extremal Cost).

*Proof.*

□

### 3.3 Complexity and Approximation

#### 3.3.1 Discrete Scenarios: Constant K

**Theorem 4** (Partition Reduction).

*Proof.*

□

**Theorem 5** (Pseudo-Polynomial Algorithm).

#### 3.3.2 Discrete Scenarios: Unbounded K

**Theorem 6** (Strong NP-Hardness).

### 3.4 Discussion

### Summary

# Chapter 4

## Min-Max Regret Spanning Tree

### 4.1 Regret Formulation

### 4.2 Interval Regret Extremal Behaviour

**Lemma 4** (Interval Extremal Regret).

*Proof.*

□

### 4.3 Complexity for Discrete Scenarios

#### 4.3.1 Constant K

**Theorem 7** (K=2 Weak NP-Hardness).

*Proof.*

□

**Theorem 8** (Pseudo-Polynomial for Constant K).

#### 4.3.2 Unbounded K

**Theorem 9** (Strong NP-Hardness).

### 4.4 Interval Regret Approximation

**Theorem 10** (Interval NP-Hardness).

**Theorem 11** (2-Approximation via Midpoint).

**Lemma 5** (Lower Bound on Regret).

*Proof.*

□

**Lemma 6** (Upper Bound Relating Solutions).

*Proof.*

□

*Proof of Theorem 11.*

□

### 4.5 Discussion

### Summary

# Chapter 5

## Synthesis and Example Gallery

### 5.1 Comprehensive Results Classification

#### 5.1.1 Complexity and Approximation Landscape

#### 5.1.2 Key Patterns and Insights

### 5.2 Example Gallery

#### 5.2.1 Micro-Graph Solutions Across Objectives

#### 5.2.2 Extremal Behaviour Visualisation

#### 5.2.3 Worked Example: Partition Reduction

### 5.3 Key Takeaways

### Summary

# **Chapter 6**

## **Conclusion and Outlook**

### **6.1 Summary**

#### **6.1.1 Thesis Achievements**

#### **6.1.2 Core Findings**

### **6.2 Limitations**

#### **6.2.1 Scope Restrictions**

#### **6.2.2 Proofs and Coverage**

#### **6.2.3 Micro-Graph and Empirical Work**

### **6.3 Outlook**

#### **6.3.1 Extensions in Uncertainty Modelling**

#### **6.3.2 Extensions in Solution Concepts**

#### **6.3.3 Open Problems**

# **Appendix A**

## **Notation**

# **Affidavit / Eidesstattliche Versicherung**

I hereby declare that I have written this thesis independently and that I have not used any sources or aids other than those indicated. All passages which are quoted or closely paraphrased from other works are marked as such. This thesis has not been submitted in the same or a substantially similar form to any other examination board.

Place, Date

Signature