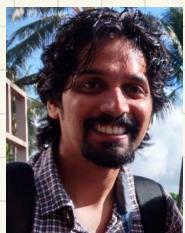


# COMMITMENT OVER UNRELIABLE NOISY CHANNELS: WHEN AWARENESS MEETS CONTROL

MANIDEEP MAMINDLAPALLY  
(TIFR, Mumbai)\*



AMITALOK BUDKULEY  
(IIT Kharagpur)



PRANAV JOSHI  
(Independent Researcher)



ANUJ KUMAR YADAV  
(EPFL, Lausanne)

\* work done while at IIT Kharagpur

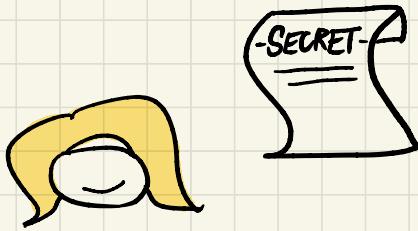
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- Two parties - COMMITTER  & VERIFIER 
- Two phases

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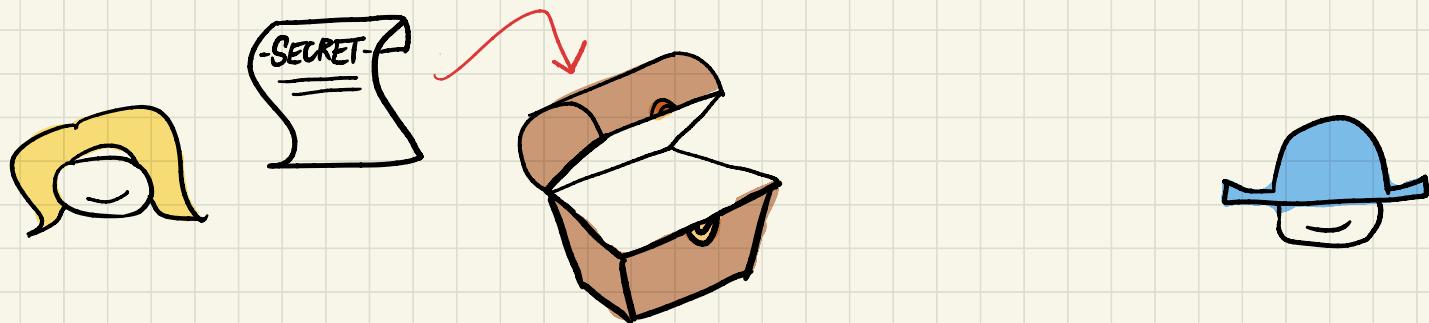
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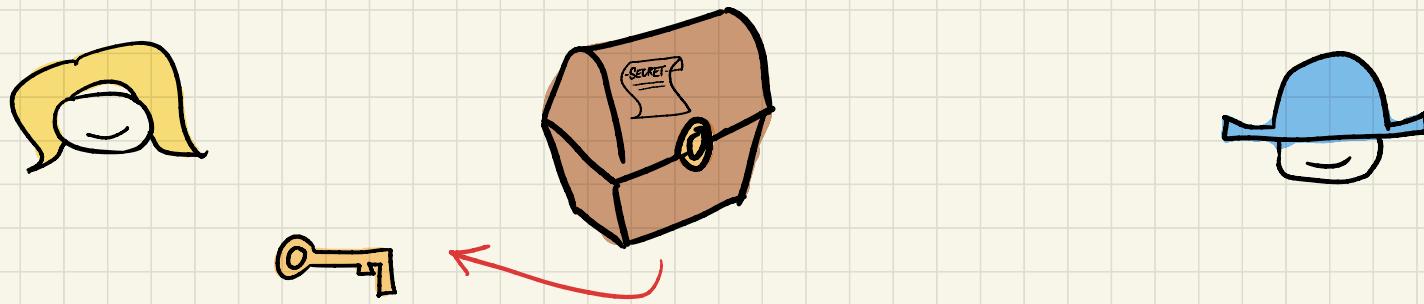
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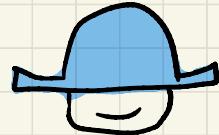
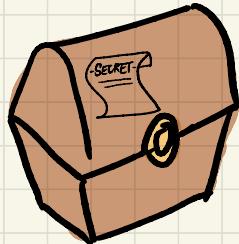
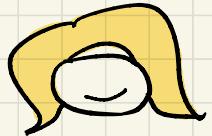
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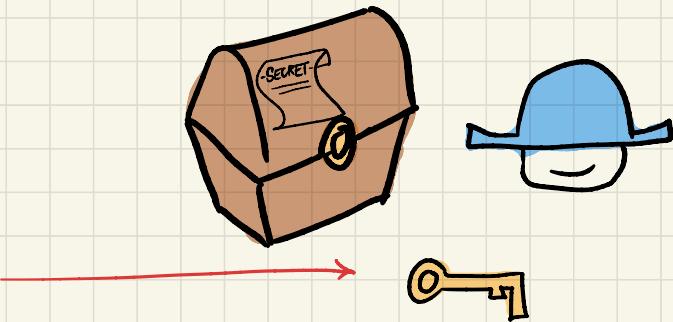
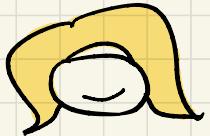
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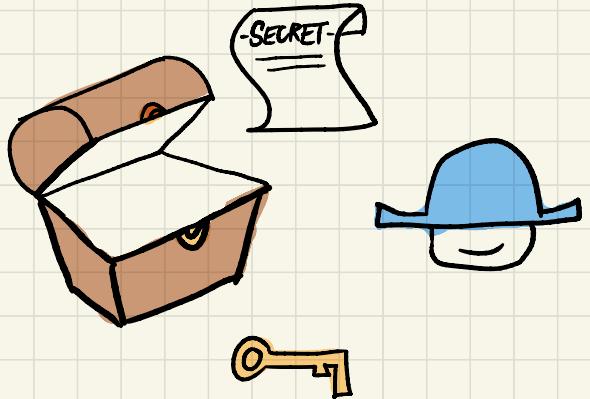
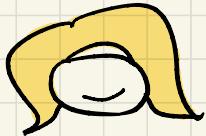
## PHASE II



# WHAT IS COMMITMENT?

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PHASE II



# WHAT IS COMMITMENT?

- Security Protocol

- Two parties - COMMITTER



- & VERIFIER



- Two phases

- COMMIT PHASE

- REVEAL PHASE

- Security Guarantees

- soundness , concealment , bindingness .

Non-trivial resource :



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- [Blum 1983] Commitment - Interactive Exchange of messages.

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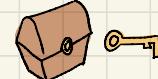
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- Commitment using "noisy channels" resource.

[Gopalan et al STOC 1988]

↳ Unconditionally Secure

(Information theoretically secure)

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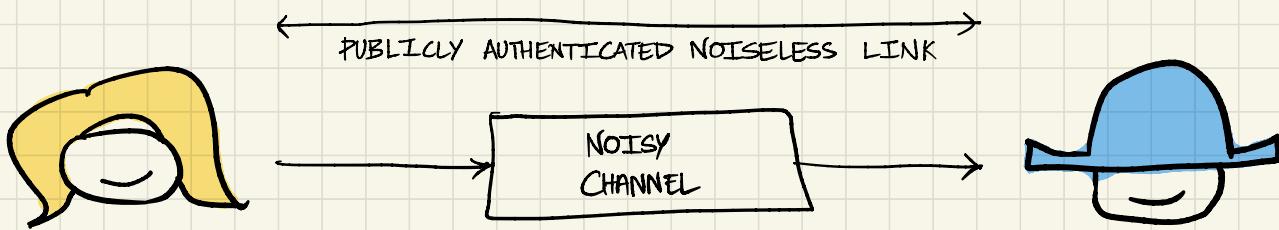
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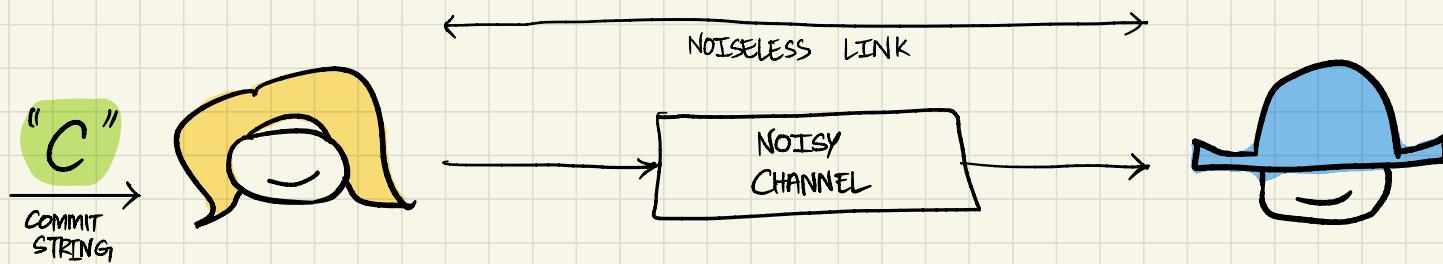
↳ Imperfectly characterised : "UNRELIABLE" NOISY CHANNELS

# PROBLEM SETUP: COMMITMENT OVER NOISY CHANNELS



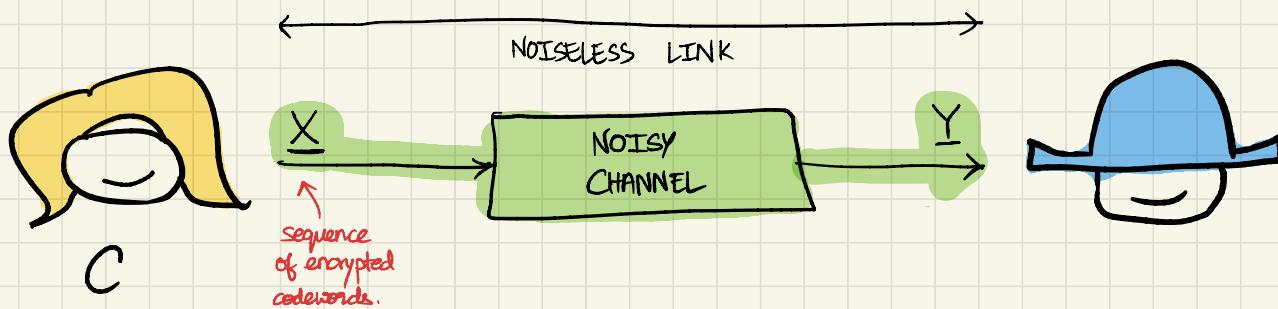
# PROBLEM SETUP: COMMITMENT OVER NOISY CHANNELS

## COMMIT PHASE



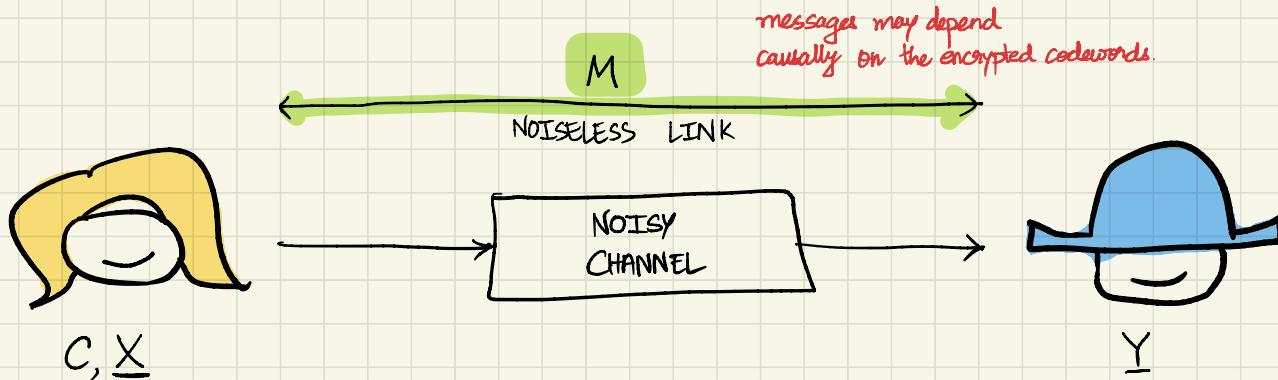
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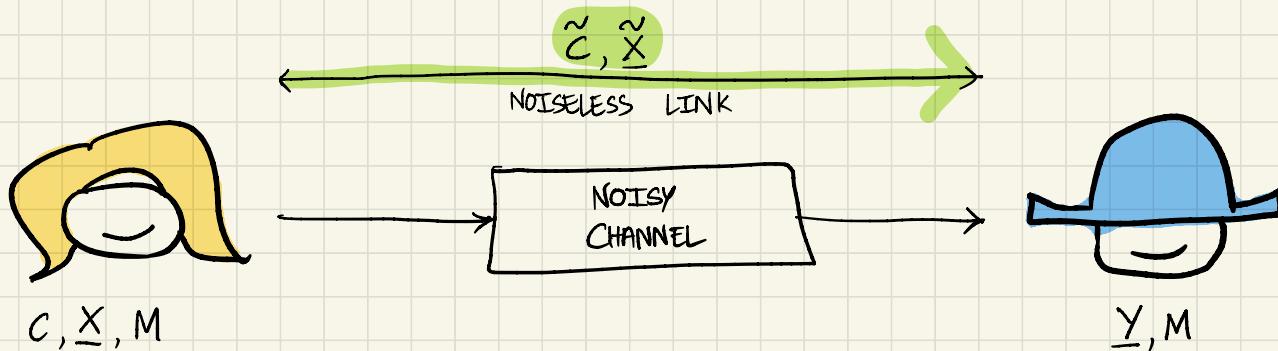
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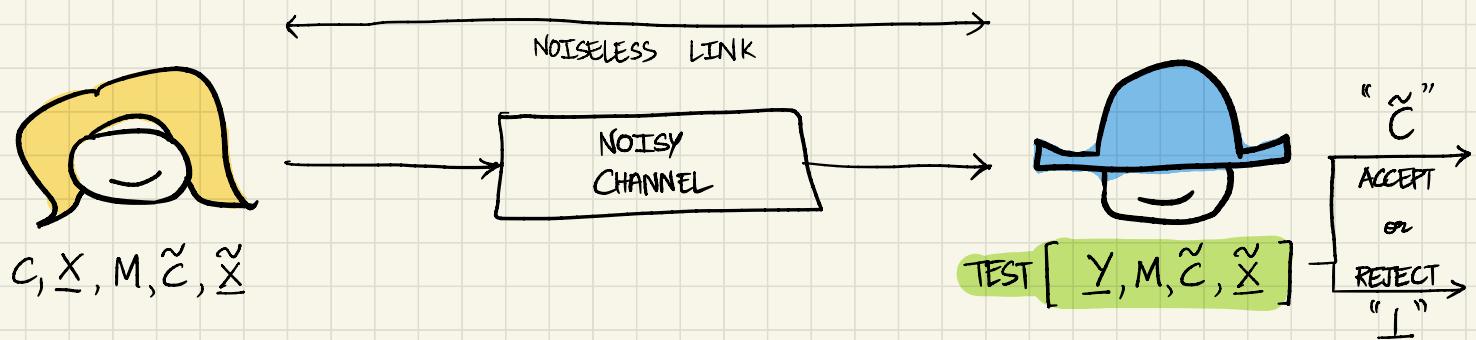
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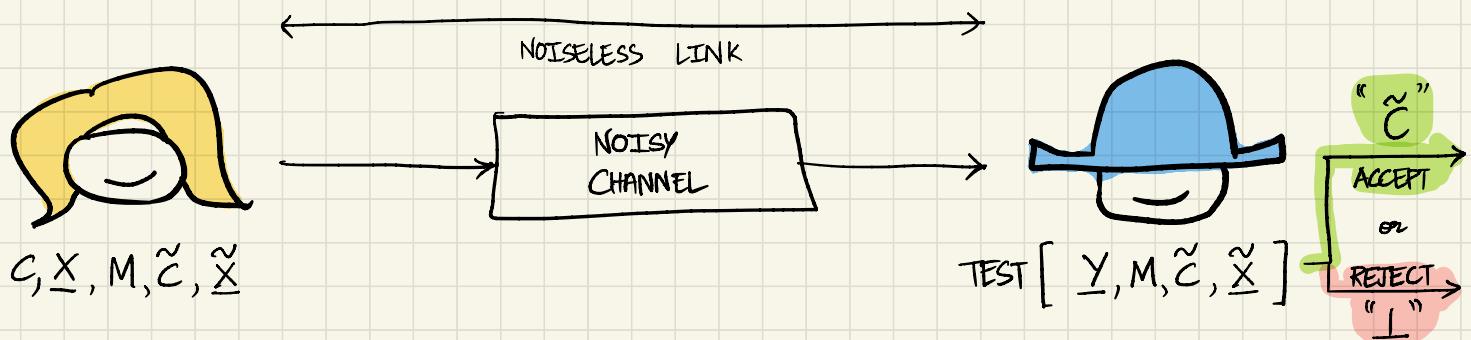
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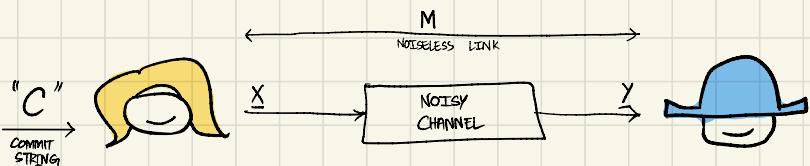
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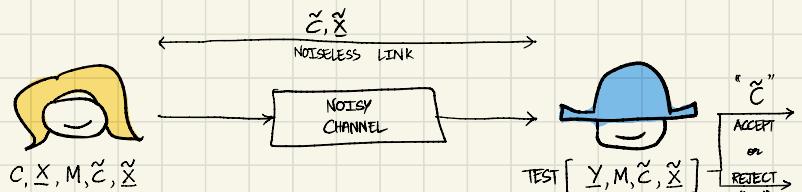


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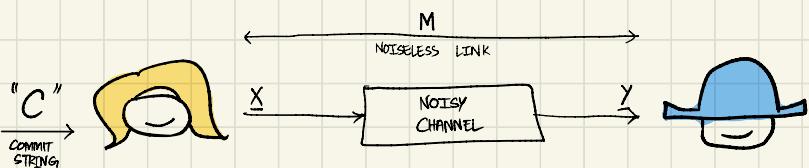


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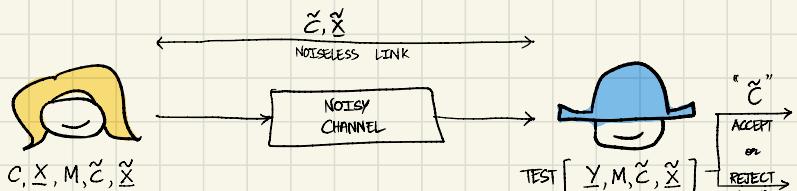


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## COMMIT PHASE



## REVEAL PHASE



## SECURITY GUARANTEES

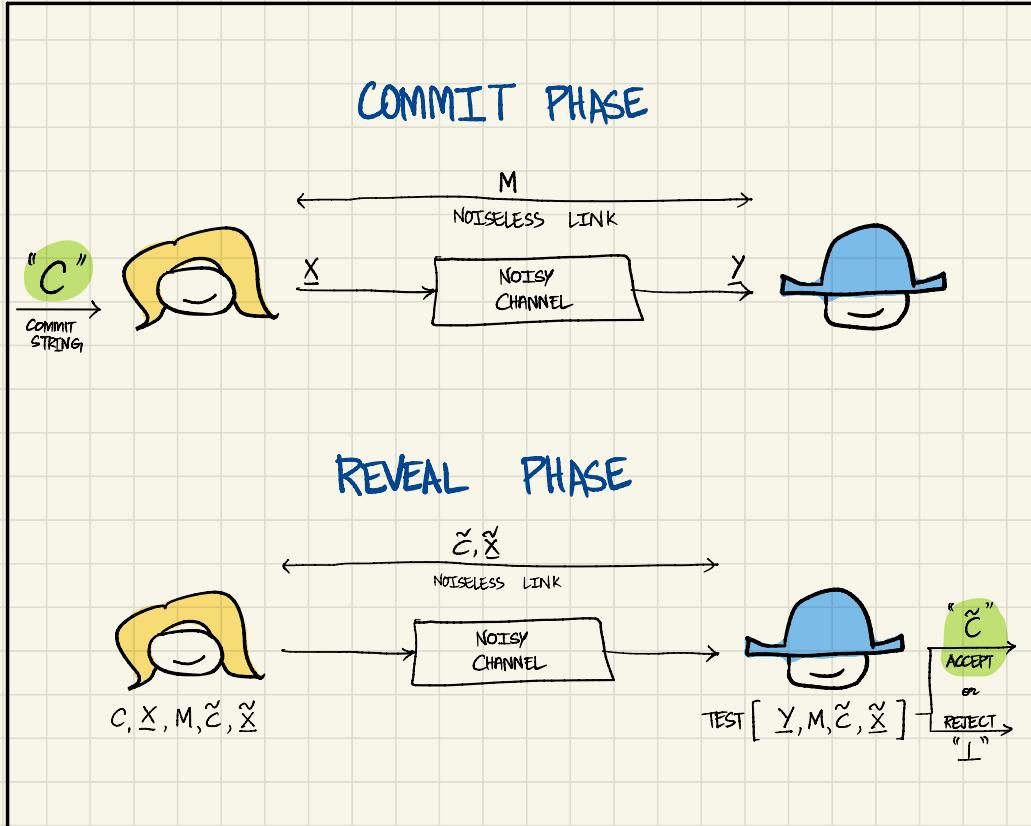
1. SOUNDNESS

2. CONCEALMENT

3. BINDINGNESS



# SECURITY GUARANTEE: SOUNDNESS



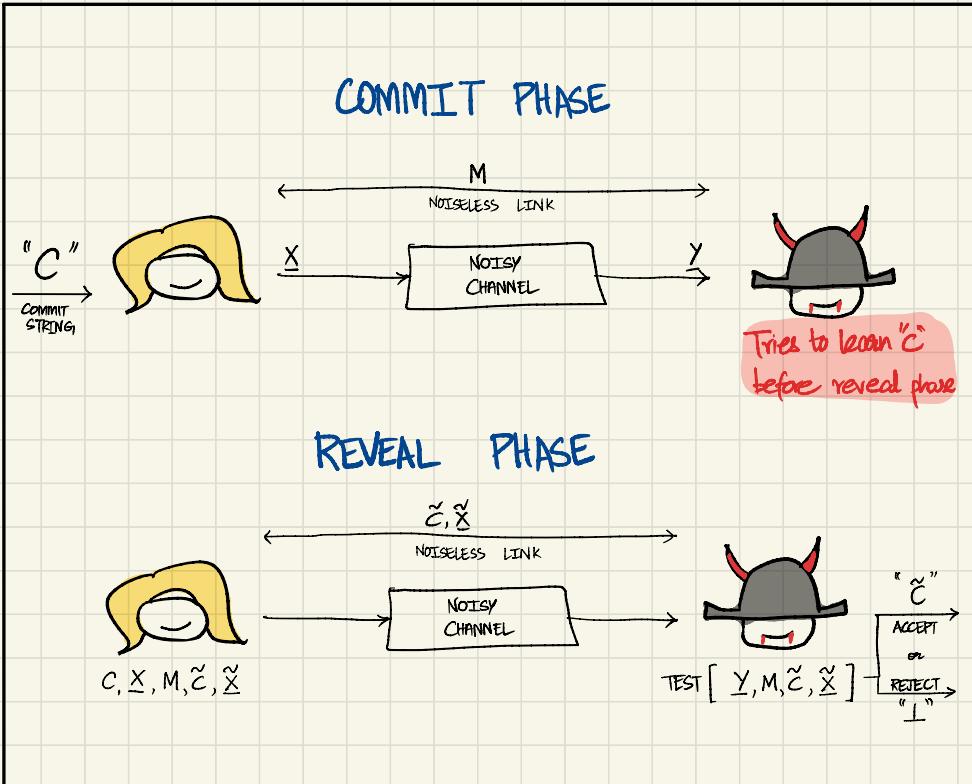
- When both Alice and Bob are honest, Bob's test  $T$  should PASS.

$\epsilon$ -Soundness

$$\Pr[T(Y, M, \tilde{C}, \tilde{X}) = \perp] \leq \epsilon$$

$\neq C, X$

# SECURITY GUARANTEE : CONCEALMENT



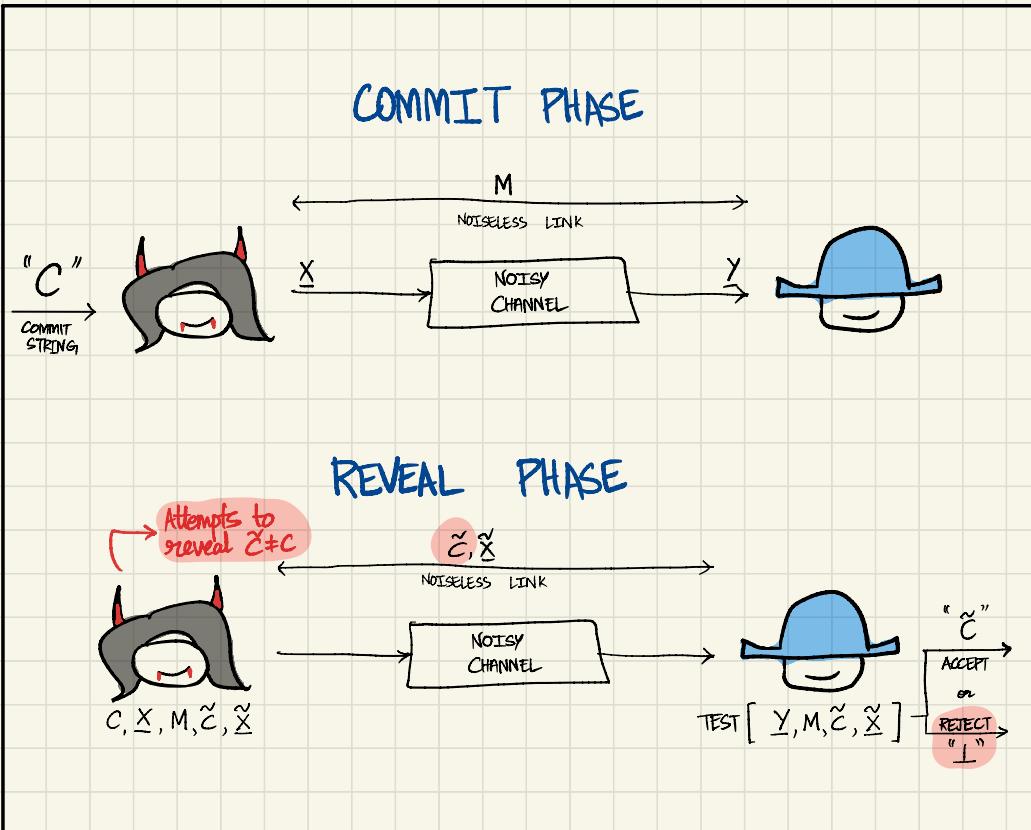
- Protection against Malicious Bob
- Ensures that Bob remains oblivious of "C" till the end of REVEAL PHASE.

$\epsilon$ -concealment

$$I(View_B : C) \leq \epsilon$$

where  $View_B = (\underline{Y}, M)$

# SECURITY GUARANTEE: BINDINGNESS



- Protection against Malicious Alice.

- Ensures that Bob's test FAILS when Alice reveals  $\tilde{C} \neq C$ .

$\epsilon$ -bindingness

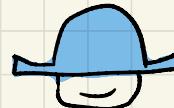
$$\Pr [T(\text{View}_B, C_1, z_1) = T(\text{View}_B, C_2, z_2) = \text{ACCEPT}] \leq \epsilon$$

$$\nexists C_1 \neq C_2, z_1 \neq z_2$$

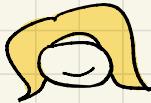
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# COMMITMENT SECURITY GUARANTEES : OVERVIEW

SOUNDNESS



CONCEALMENT

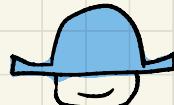


BINDINGNESS



# COMMITMENT SECURITY GUARANTEES : OVERVIEW

SOUNDNESS



CONCEALMENT



BINDINGNESS



NO  
SECURITY  
GUARANTEES

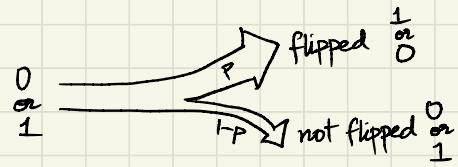


# NOISY CHANNELS

"Reliable" Noisy Channels : Perfectly characterised by a Transition fn.

Example: BSC( $p$ )

Characterised by  $\begin{bmatrix} 1-p & p \\ p & 1-p \end{bmatrix}$

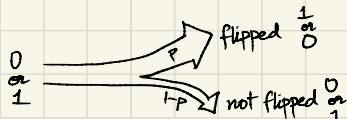


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Throughput: # bits that can be committed per use of noisy channel

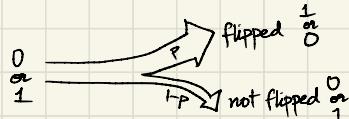
Commitment capacity: maximum throughput achievable (satisfying security guarantees)

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[Mamindlapally et al ISIT 2021]

$$C_{\text{BSC}(p)} = H(p)$$

[Winter et al ICCC 2003]

$$C_{\text{DMC}} = \max_{P_X} H(X|Y)$$

$$C_{\text{DMC}, G, T} = \max_{P_X: \mathbb{E}[C(X)] \leq T} H(X|Y)$$

$$= \min_{T \geq 0} \max_{P_X} \log \left[ \sum_{i=1}^n \exp \left[ -D(w_i, C(i)) \| P_X(\cdot) + \left( 1 - s_i \right) \right] \right]$$

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{ Set of Transition fns } ← From Alice and Bob's perspective

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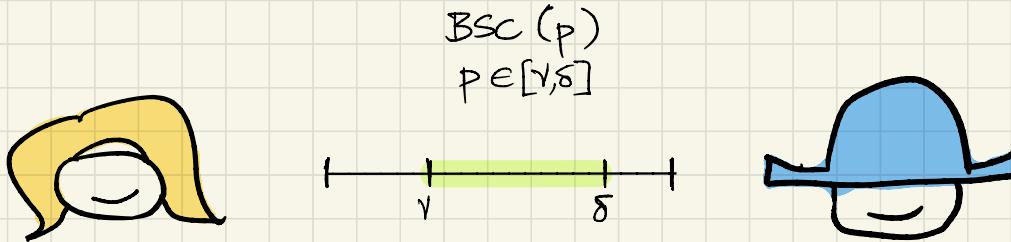
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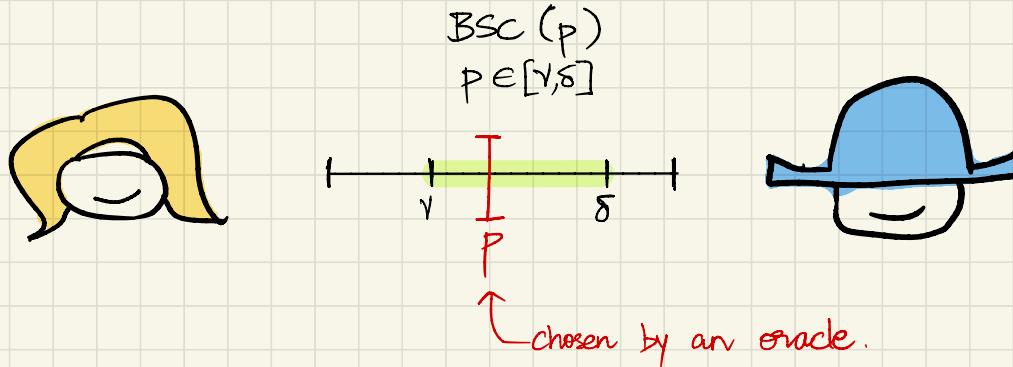
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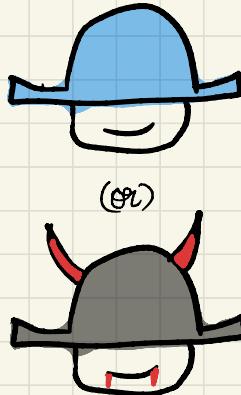
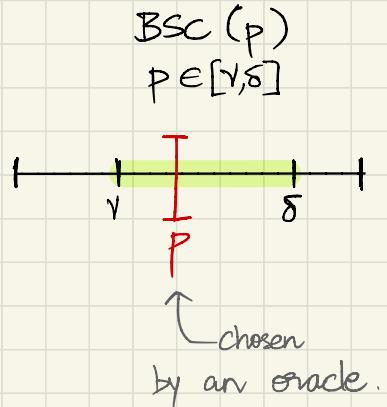
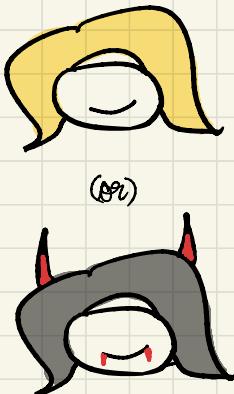
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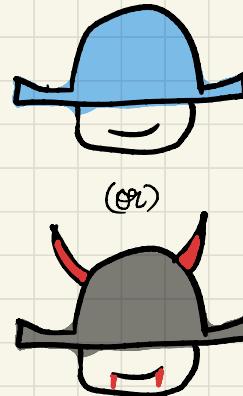
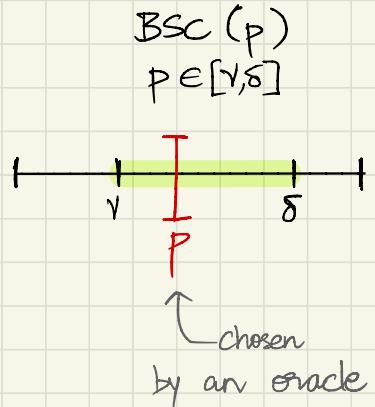
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[Yadav et al NCC 2022]

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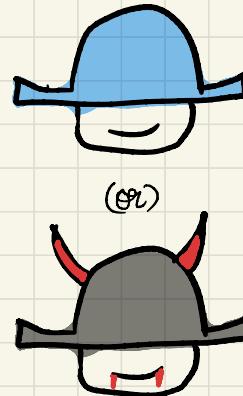
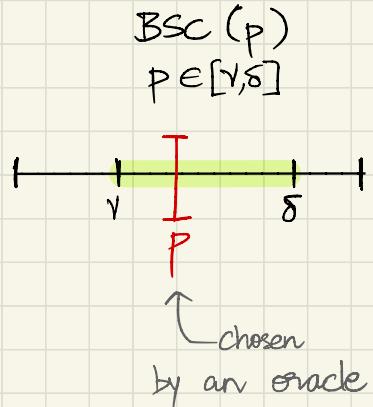
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STATE UNAWARE  
Compound-BSC

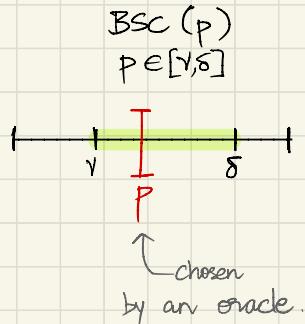
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EXAMPLE : COMPOUND - BSC [ $\sqrt{5}$ , 5]

✓ behaves like a BSC( $p$ ) with " $p$ " not known to the parties



→ Further "unreliable variants"  
Capabilities of Alice and Bob

- ① Awareness
- ② Control

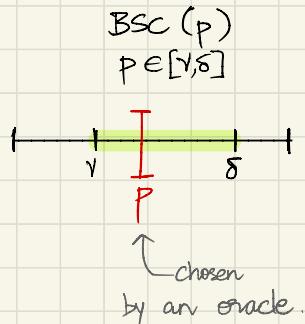
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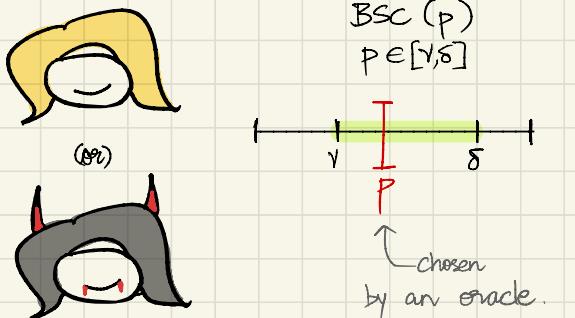
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EXAMPLE : Compound - BSC  $[\gamma, \delta]$

✓ behaves like a BSC( $p$ ) with " $p$ " not known to the parties



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Capabilities of Alice and Bob

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② Control

UNCs

[Rangard et al  
EUROCRYPT 1999]

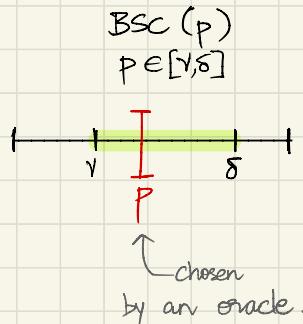
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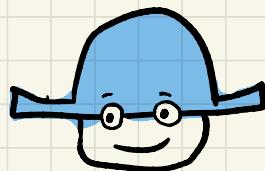
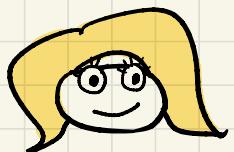
UNCs

[Rangwala et al  
EUROCRYPT 1999]

[Buckley et al JSAC 2021]  
"Elasticity"

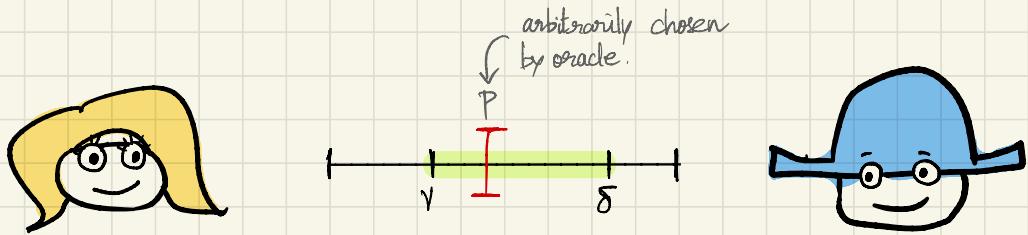
# STATE AWARE VARIANTS OF COMPOUND BSCS

I:



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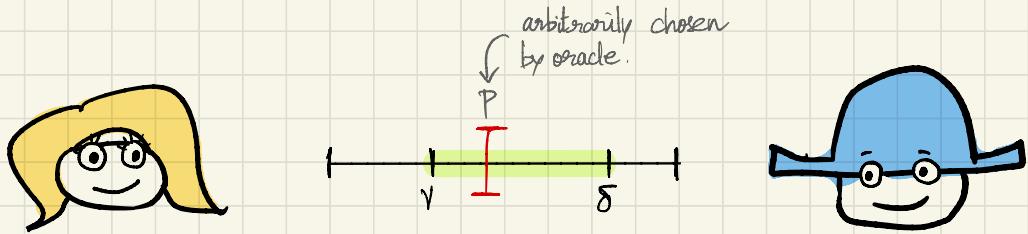
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- Channel behaves like a Compound-BSC except that Alice and Bob can now see the state 'P' that gets instantiated (because they have eyes)
- The channel behaviour is same even for malicious Alice and Bob.

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I: Alice-aware Bob-aware Variant  $C\text{-BSC}_{AB}[\gamma, \delta]$

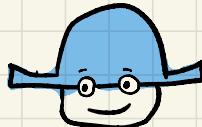
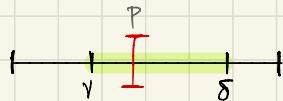
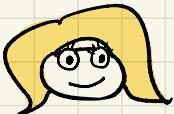


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- The channel behaviour is same even for malicious Alice and Bob.
- We call this the Alice-aware Bob-aware variant.

# STATE AWARE VARIANTS OF COMPOUND BSCs

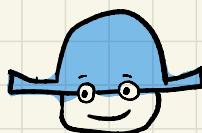
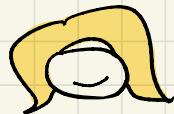
I: Alice-aware Bob-aware

C-BSC<sub>A,B</sub> [ $\gamma, \delta$ ]



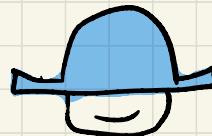
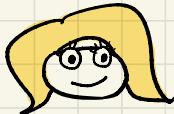
II: Alice-unaware Bob-aware

C-BSC<sub>B</sub> [ $\gamma, \delta$ ]



III: Alice-aware Bob-unaware

C-BSC<sub>A</sub> [ $\gamma, \delta$ ]



# STATE AWARE VARIANTS OF COMPOUND BSCs

I: Alice-aware Bob-aware

C-BSC<sub>A,B</sub> [γ,δ]



Note:

In all the variants,  
channel behaviour  
is the same for  
malicious and  
honest parties.

II: Alice-unaware Bob-aware

C-BSC<sub>B</sub> [γ,δ]



III: Alice-aware Bob-unaware

C-BSC<sub>A</sub> [γ,δ]



# STATE AWARE VARIANTS OF COMPOUND BSCs

I: Alice-aware Bob-aware

$C\text{-BSC}_{A,B}[\gamma, \delta]$



II: Alice-unaware Bob-aware

$C\text{-BSC}_B[\gamma, \delta]$



"unreliable variants"  
Capabilities of Alice and Bob

① Awareness → OUR RESULT

② Control  
→ modelled in UNC.

III: Alice-aware Bob-unaware

$C\text{-BSC}_A[\gamma, \delta]$



# UNFAIR NOISY CHANNELS : THE VNCs

UNC[ $\gamma, \delta$ ]

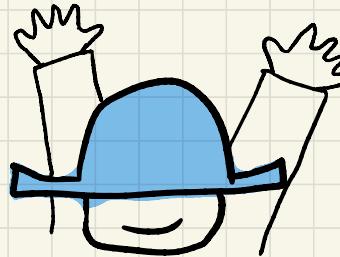
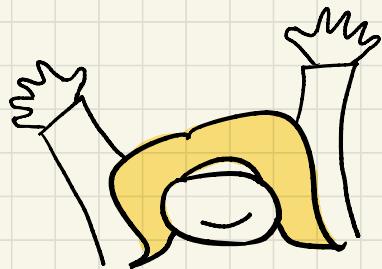
$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$

[Damgård et al. EUROCRYPT 1988]

# UNFAIR Noisy CHANNELS : THE VNCs

UNC[ $\gamma, \delta$ ]

$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$

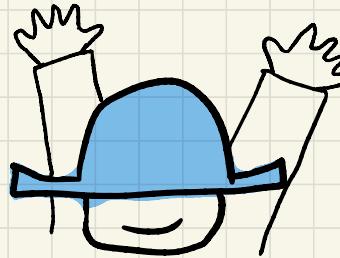
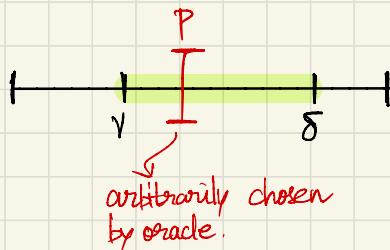
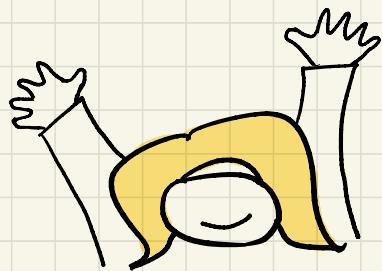


- Sender Alice and Receiver Bob
- Both have hands
- Are asked to hang them in the air.

# UNFAIR NOISY CHANNELS : THE VNCs

UNC[ $\gamma, \delta$ ]

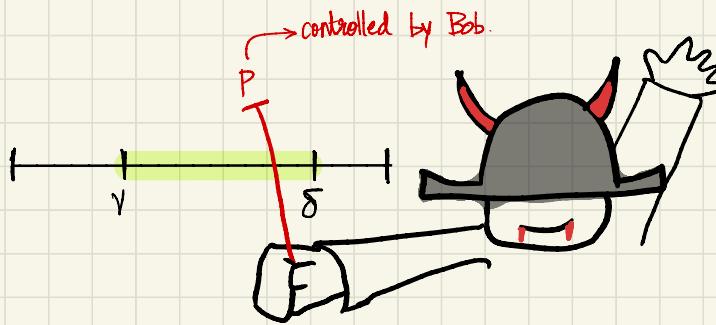
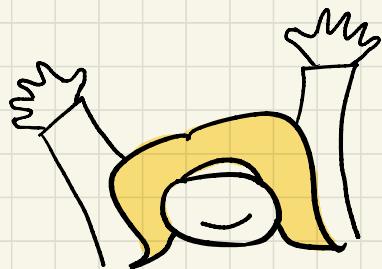
$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$



# UNFAIR NOISY CHANNELS : THE VNCs

UNC[ $\gamma, \delta]$

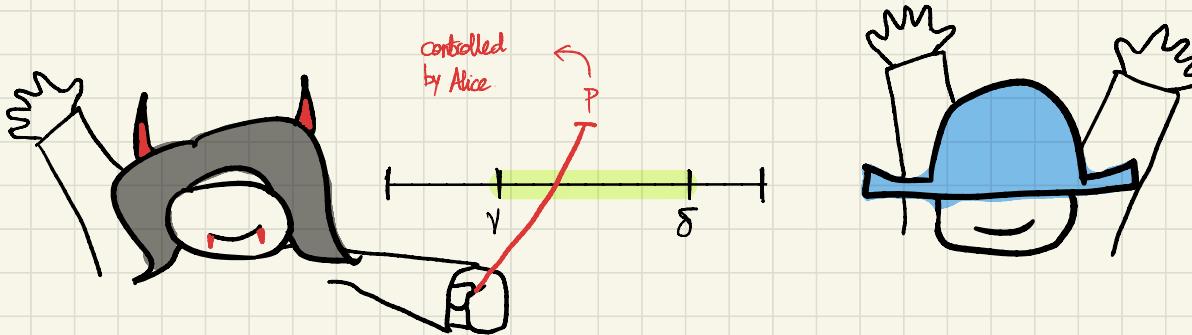
$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$



# UNFAIR NOISY CHANNELS : THE VNCs

UNC[ $\gamma, \delta$ ]

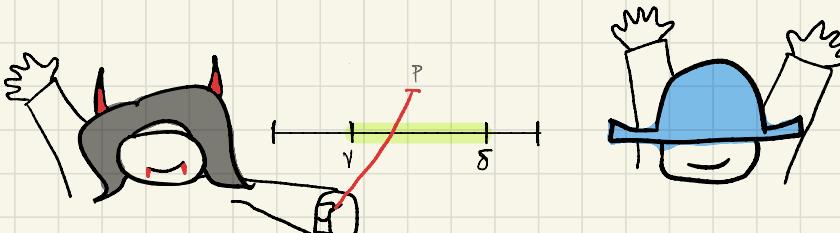
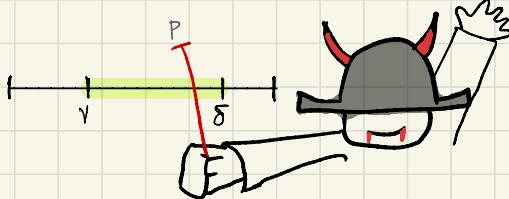
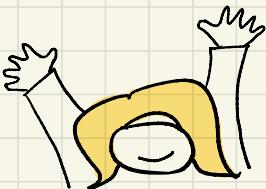
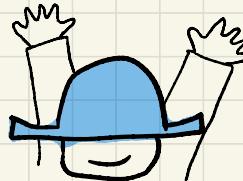
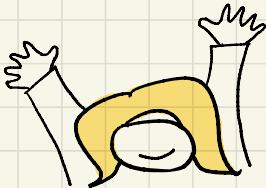
$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$



# UNFAIR Noisy Channels : THE UNC<sub>s</sub>

UNC[ $\gamma, \delta]$

$$0 \leq \gamma \leq \delta \leq \frac{1}{2}$$



[Damgård et al, EUROCRYPT 1999]  
 $C_{UNC} \geq 0$  for  $\delta < \gamma * \gamma$

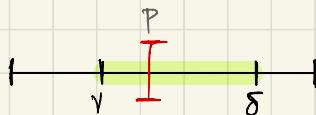
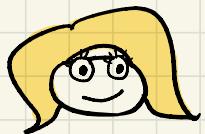
[Crepeau et al, TransIT 2020]

$$C_{UNC[\gamma, \delta]} \geq H(\gamma) - H\left(\frac{\delta-\gamma}{1-2\gamma}\right)$$

# MAIN RESULTS

I:

AWARE

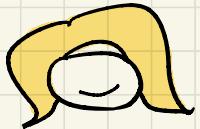


AWARE



II:

UNAWARE

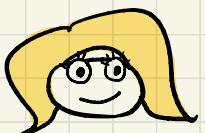


AWARE



III:

AWARE



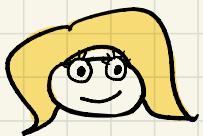
UNAWARE



# MAIN RESULTS

I:

AWARE



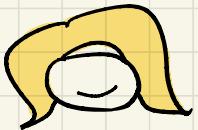
AWARE



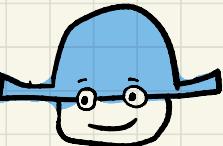
$$C_{CBSC_A} = H(Y)$$

II:

UNAWARE



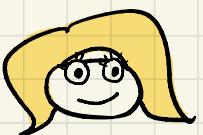
AWARE



$$C_{CBSC_B} = H(Y)$$

III:

AWARE



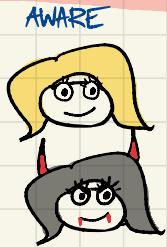
UNAWARE



$$C_{CBSC_A} \geq H(Y) - H\left(\frac{\delta-\gamma}{1-2Y}\right)$$

# MAIN RESULTS

I:



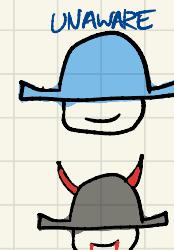
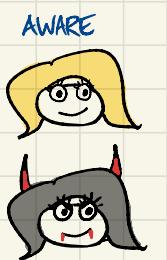
$$C_{CBSC_{AB}} = H(v)$$

II:



$$C_{G-BSC_B} = H(v)$$

III:



$$C_{CBSC_A} \geq H(v) - H\left(\frac{\delta-y}{1-zv}\right)$$

# MAIN RESULTS

Proofs for Commitment Capacity Expression.  
for given conditions. " $C_{\{conditions\}}$ "

## I: Achievability

Design a protocol that is secure  
and has throughput  $\geq C_{\{conditions\}} - \epsilon$

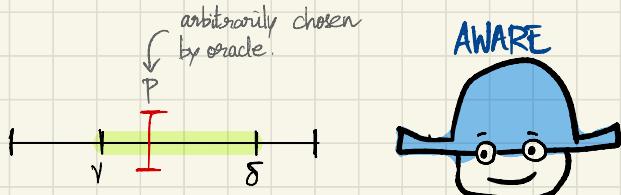
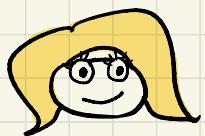
## II: Converse

Argue that any protocol with  
throughput  $> C_{\{conditions\}} + \epsilon$ ,  
is not secure.

# MAIN RESULTS

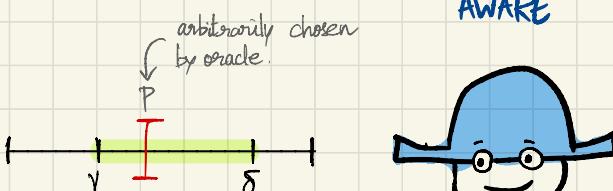
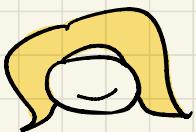
I:

AWARE



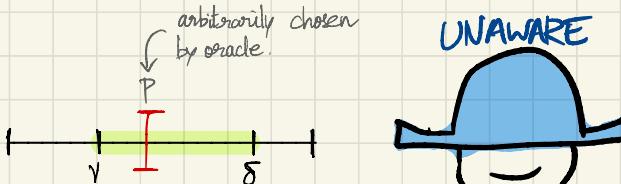
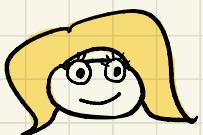
II:

UNAWARE



III:

AWARE



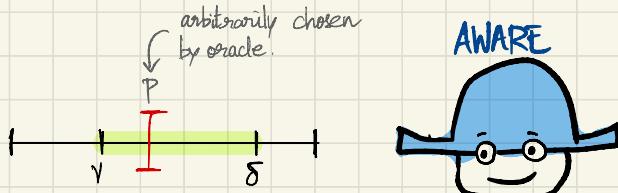
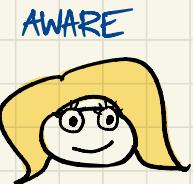
$$C_{CBSC_{AB}} = H(v) \\ = \min_P C_{BSC(P)} = \min_P H(p)$$

$$C_{G-BSC_B} = H(v)$$

$$C_{CBSC_A} \geq H(v) - H\left(\frac{\delta-v}{1-v}\right)$$

# MAIN RESULTS

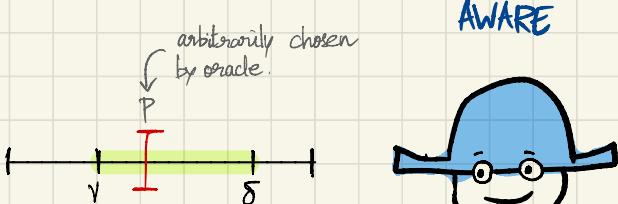
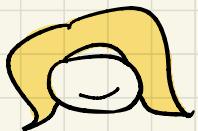
I:



$$C_{CBSC_{AB}} = H(v)$$

II:

UNAWARE



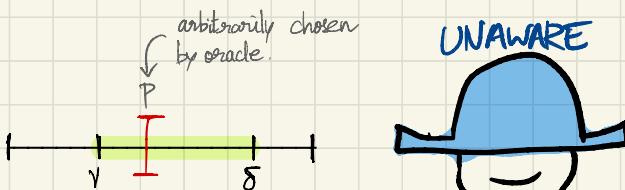
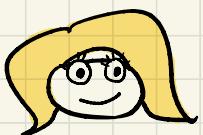
$$C_{G-BSC_B} = H(v)$$

→ Similar Achievability & Converse  
as in State-unaware case

[Yadav et al NCC 2021]

III:

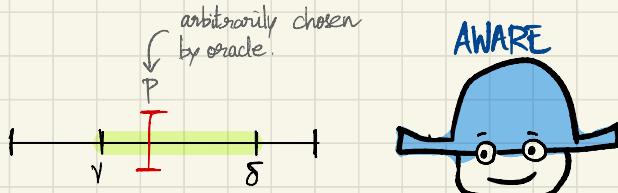
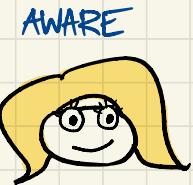
AWARE



$$C_{CBSC_A} \geq H(v) - H\left(\frac{\delta-v}{1-v}\right)$$

# MAIN RESULTS

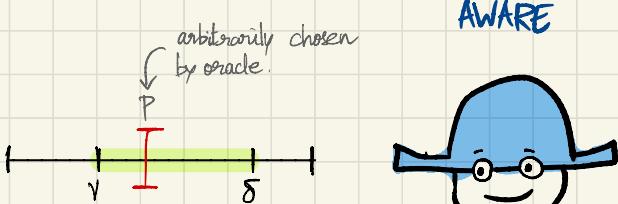
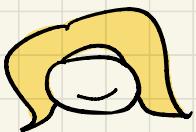
I:



$$C_{CBSC_{AB}} = H(V)$$

II:

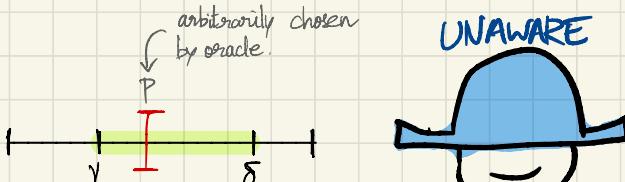
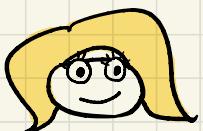
UNAWARE



$$C_{G-BSC_B} = H(V)$$

III:

AWARE

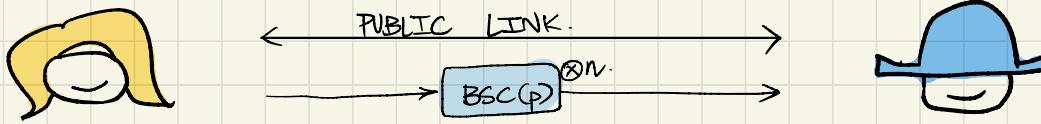


AWARENESS MEETS  
CONTROL

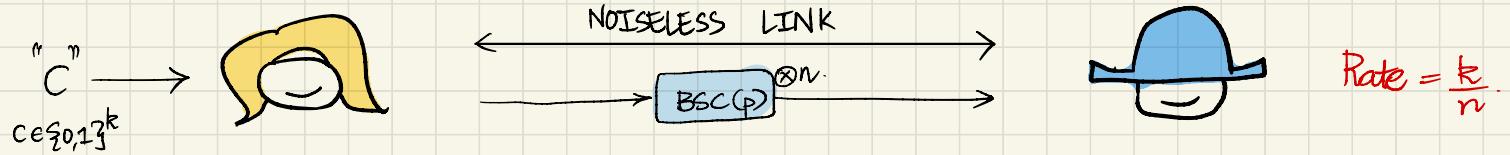
$$C_{CBSC_A} \geq H(V) - H\left(\frac{\delta - v}{1 - 2v}\right)$$

→ Achievability scheme [same as  $UNC_S$ ]

# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )

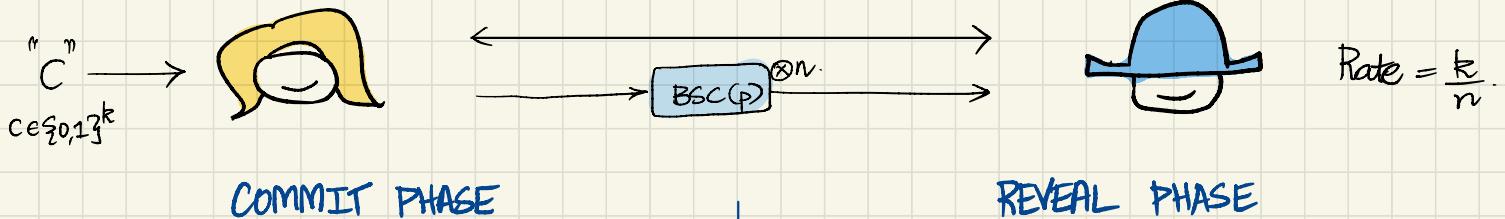


# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



$$\text{Rate} = \frac{k}{n}.$$

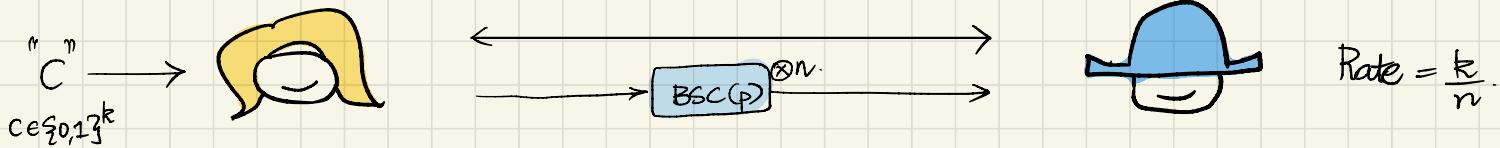
# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



1. Alice generates  $X \in \{0,1\}^n$  uniformly at random



# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



COMMIT PHASE

1. generates  $\underline{x} \in \{0,1\}^n$  uniformly at random

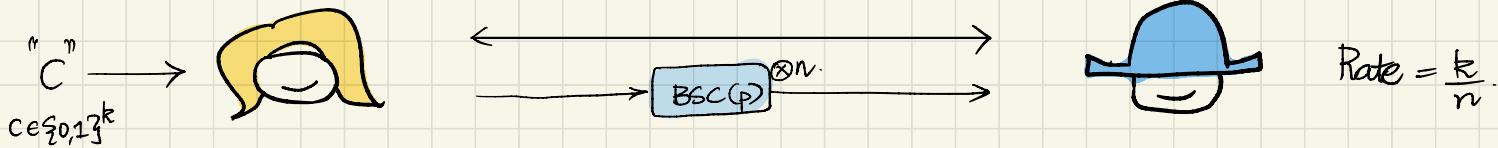


2. picks Random Extractor "Ext"  
 $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



REVEAL PHASE

# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



COMMIT PHASE

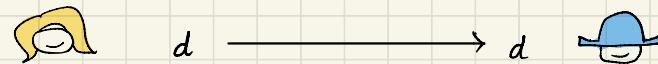
1. A yellow character generates  $x \in \{0,1\}^n$  uniformly at random.



2. A yellow character picks Random Extractor "Ext".  
 $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



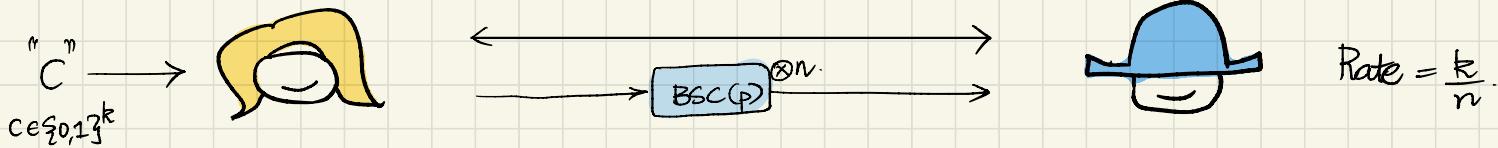
3. A yellow character calculates  $\text{Ext}(x) = \text{MASK}$ .  
 $C \oplus \text{Ext}(x) = d$



REVEAL PHASE

$$\text{Rate} = \frac{k}{n}$$

# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



## COMMIT PHASE

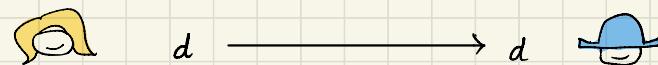
1. generates  $x \in \{0,1\}^n$  uniformly at random



2. picks Random Extractor "Ext"  
 $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



3.  $\text{Ext}(x) = \text{MASK}$ .  
 $C \oplus \text{Ext}(x) =: d$

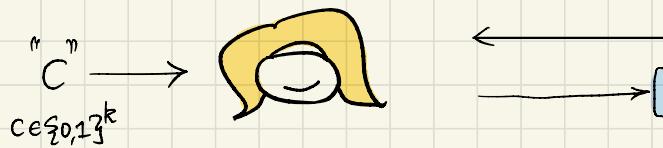


## REVEAL PHASE

1. reveals  $\tilde{C}, \tilde{x}$



# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



## COMMIT PHASE

1. generates  $X \in \{0,1\}^n$  uniformly at random



2. picks Random Extractor "Ext"  
 $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



3.  $\text{Ext}(X) = \text{MASK}$ .  
 $C \oplus \text{Ext}(X) = d$



$\text{Rate} = \frac{k}{n}$

## REVEAL PHASE

1. reveals  $\tilde{C}, \tilde{X}$



2. performs Tests. T

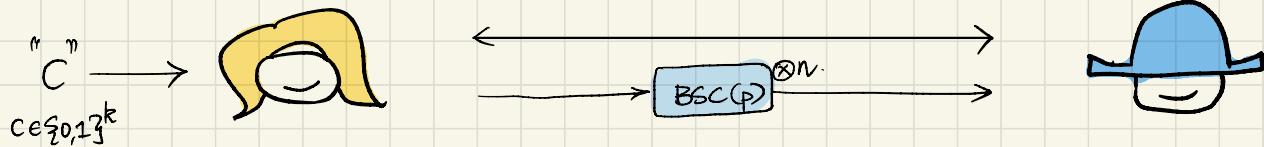
(A).  $\tilde{C} \oplus \text{Ext}(\tilde{X}) = d$

Are the mask and commit string consistent?

(B)  $d_H(\tilde{X}, X) \in [np-nr, np+nr] ?$

Theory of Typical sets,  $\tilde{X} - \boxed{\text{BSC}( $p$ )} - Y ?$

# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



$$\text{Rate} = \frac{k}{n}$$

## COMMIT PHASE

1. generates  $\underline{x} \in \{0,1\}^n$  uniformly at random



2. picks Random Extractor  $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



3.  $\text{Ext}(\underline{x}) = \text{MASK}$ .  $C \oplus \text{Ext}(\underline{x}) =: d$

**PRIVACY AMPLIFICATION**  
(for concealment)



## REVEAL PHASE

1. reveals  $\tilde{C}, \tilde{x}$



2. performs Tests. T

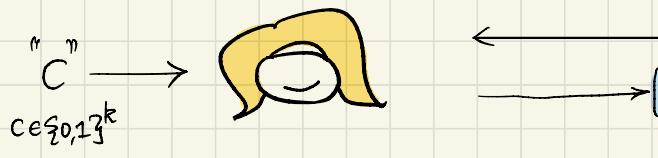
$$\rightarrow (A) \quad \tilde{C} \oplus \text{Ext}(\tilde{x}) = d$$

Are the mask and commit string consistent?

$$(B) \quad d_H(\tilde{x}, y) \in [np - nv, np + nv] ?$$

Theory of Typical sets,  $\underline{x} - \boxed{BSC(p)} - \underline{y} ?$

# ACHIEVABLE PROTOCOLS OVER BSC( $p$ )



## COMMIT PHASE

1. generates  $x \in \{0,1\}^n$  uniformly at random



2. picks Random Extractor "Ext"  
 $\text{Ext} : \{0,1\}^n \rightarrow \{0,1\}^k$



3.  $\text{Ext}(x) = \text{MASK}$ .  
 $C \oplus \text{Ext}(x) =: d$



$$\text{Rate} = \frac{k}{n}$$

## REVEAL PHASE

1. reveals  $\tilde{C}, \tilde{x}$



2. performs Tests. T

(A).  $\tilde{C} \oplus \text{Ext}(\tilde{x}) = d$

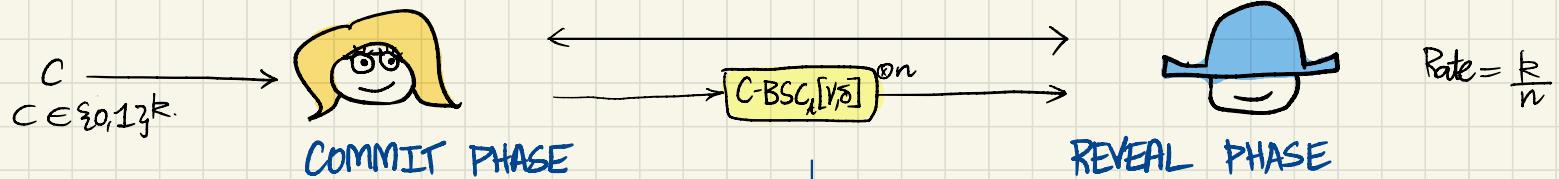
Are the mask and commit string consistent?

→ (B)  $d_H(\tilde{x}, x) \in [np - nv, np + nv]$  ?

Theory of Typical sets,  $\tilde{x} \xrightarrow{\text{BSC}(p)} y$  ?

↳ soundness, bindingness.

# ACHIEVABLE PROTOCOL OVER C-BSC<sub>k</sub>[Y,S].



1. generates  $X$   $\rightarrow [C\text{-BSC}_k[Y, S]]^{\otimes n} \rightarrow Y$

1. generates  $\tilde{X}, \tilde{Y}$

$\tilde{X}, \tilde{Y} \rightarrow \tilde{X}, \tilde{Y}$

2. performs Tests.  $T$ .

(A)  $\tilde{X} \oplus \text{Ext}(\tilde{Y}) = d$

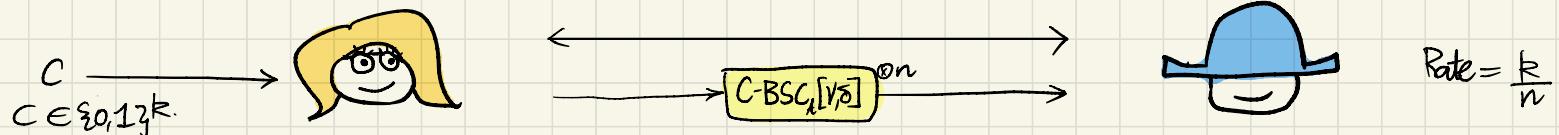
Are the mask and commit string consistent?

(B)  $d_H(\tilde{X}, Y) \in [n\gamma - n\epsilon, n\delta + n\epsilon]$

4. picks  $\text{Ext}$   $\rightarrow \text{Ext}$

5.  $C \oplus \text{Ext}(X)$   
 $\sqsubseteq d$   $\rightarrow d$

# ACHIEVABLE PROTOCOL OVER C-BSC<sub>k</sub>[Y,S].



1. generates  $X$   $\xrightarrow{C\text{-BSC}_k[Y,S]} Y$

4. picks Ext  $\xrightarrow{\quad} \text{Ext}$

5.  $C \oplus \text{Ext}(X)$   
 $\Downarrow d$   $\xrightarrow{\quad} d$

1. generates  $\tilde{C}, \tilde{X}$

$\tilde{C}, \tilde{X} \xrightarrow{\quad} \tilde{C}, \tilde{X}$

2. performs Tests. T.

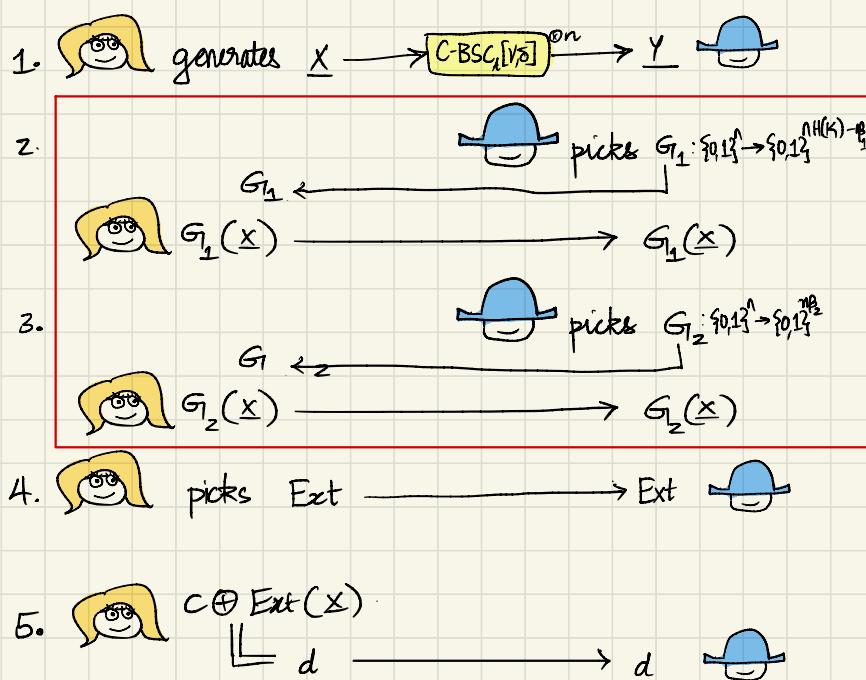
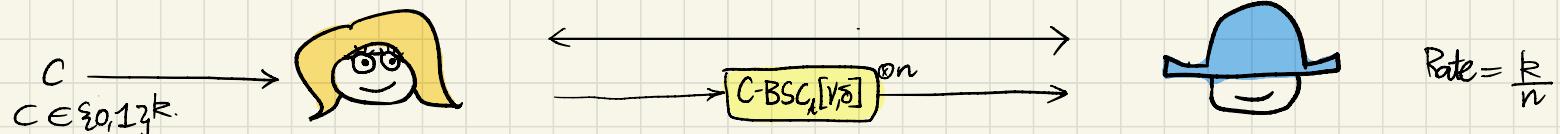
(A)  $\tilde{C} \oplus \text{Ext}(\tilde{X}) = d$

Are the mask and commit string consistent?

(B)  $d_H(\tilde{X}, Y) \in [m - n\epsilon, m + n\epsilon]$

To account for  $p \in [Y, S]$

# ACHIEVABLE PROTOCOL OVER C-BSC<sub>k</sub>[Y,S].



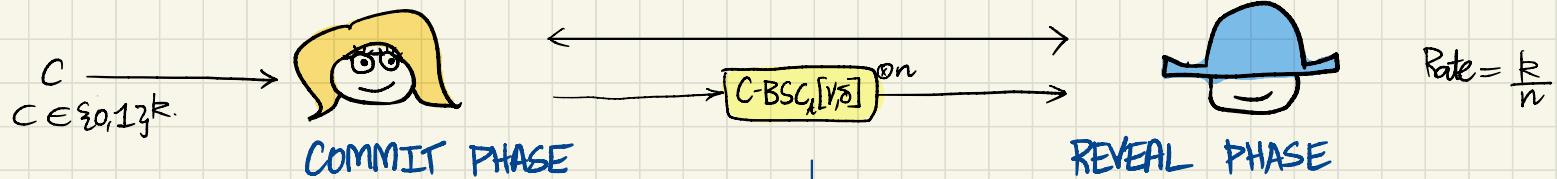
(A).  $\tilde{C} \oplus \text{Ext}(\tilde{X}) = d$   
Are the mask and commit string consistent?

(B)  $d_H(\tilde{X}, Y) \in [n\delta - n\epsilon, n\delta + n\epsilon]$

(C)  $G_1(\tilde{X}) = G_1(X), G_2(\tilde{X}) = G_2(X)$

Extra-Steps for bindingness  $\Rightarrow$  loss in throughput

# ACHIEVABLE PROTOCOL OVER C-BSC<sub>λ</sub>[Y,S].



1. Committer generates  $\underline{x} \rightarrow [C\text{-BSC}_\lambda[Y,S]]^{\otimes n} \rightarrow Y$  Receiver
2. Receiver picks  $G_1: \{0,1\}^n \rightarrow \{0,1\}^{n(H(K)-k)}$   
 $G_1 \leftarrow$   
 Committer  $G_1(\underline{x}) \rightarrow G_1(\underline{x})$
3. Receiver picks  $G_2: \{0,1\}^n \rightarrow \{0,1\}^{nH_2}$   
 $G_2 \leftarrow$   
 Committer  $G_2(\underline{x}) \rightarrow G_2(\underline{x})$
4. Committer picks Ext  $\rightarrow$  Ext  $\rightarrow$  Receiver
5. Committer  $C \oplus \text{Ext}(\underline{x}) \Downarrow d \rightarrow d \rightarrow$  Receiver

1. Committer generates  $\tilde{C}, \tilde{\underline{x}}$   
 Committer  $\tilde{C}, \tilde{\underline{x}} \rightarrow \tilde{C}, \tilde{\underline{x}}$  Receiver
2. Receiver performs Tests. T.
- $\tilde{C} \oplus \text{Ext}(\tilde{\underline{x}}) = d$   
 Are the mask and commit string consistent?
  - $d_H(\tilde{\underline{x}}, \underline{y}) \in [n\gamma - n\epsilon, n\delta + n\epsilon]$
  - $G_1(\tilde{\underline{x}}) = G_1(\underline{x}) \quad , \quad G_2(\tilde{\underline{x}}) = G_2(\underline{x})$

# OBSERVATIONS

Unreliable Noisy Channels - "Capabilities"

① AWARENESS  
(publicly exercised)

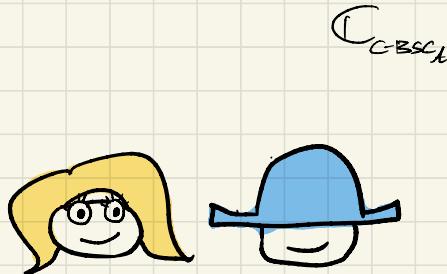
② CONTROL  
(privately exercised)

# OBSERVATIONS

Unreliable Noisy Channels - "Capabilities"

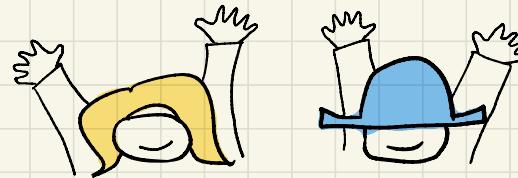
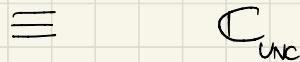
① AWARENESS  
(publicly exercised)

$C_{BSC_1}[\gamma, \delta]$



② CONTROL  
(privately exercised)

$UNC[\gamma, \delta]$

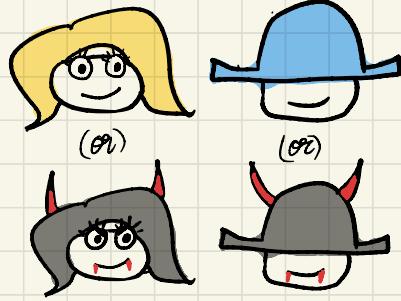


# OBSERVATIONS

Unreliable Noisy Channels - "Capabilities"

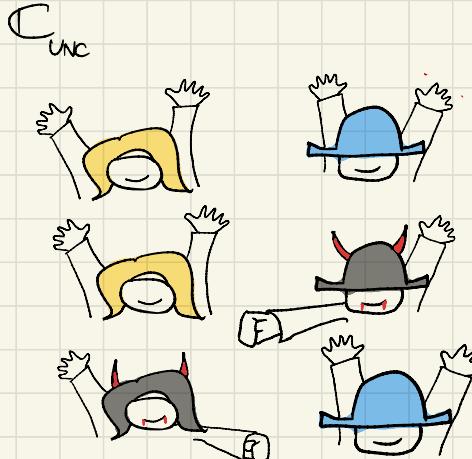
① AWARENESS  
(publicly exercised)

$C_{\text{BSC}_1}[\gamma, \delta]$



② CONTROL  
(privately exercised)

$UNC[\gamma, \delta]$



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