Assignment 4: Word Embeddings

Welcome to the fourth (and last) programming assignment of Course 2!

In this assignment, you will practice how to compute word embeddings and use them for sentiment analysis.

- To implement sentiment analysis, you can go beyond counting the number of positive words and negative words.
- You can find a way to represent each word numerically, by a vector.
- The vector could then represent syntactic (i.e. parts of speech) and semantic (i.e. meaning) structures.

In this assignment, you will explore a classic way of generating word embeddings or representations.

• You will implement a famous model called the continuous bag of words (CBOW) model.

By completing this assignment you will:

- · Train word vectors from scratch.
- Learn how to create batches of data.
- Understand how backpropagation works.
- Plot and visualize your learned word vectors.

Knowing how to train these models will give you a better understanding of word vectors, which are building blocks to many applications in natural language processing.

Important Note on Submission to the AutoGrader

Before submitting your assignment to the AutoGrader, please make sure you are not doing the following:

- 1. You have not added any extra print statement(s) in the assignment.
- 2. You have not added any extra code cell(s) in the assignment.
- 3. You have not changed any of the function parameters.
- 4. You are not using any global variables inside your graded exercises. Unless specifically instructed to do so, please refrain from it and use the local variables instead.
- 5. You are not changing the assignment code where it is not required, like creating *extra* variables.

If you do any of the following, you will get something like, Grader Error: Grader feedback not found (or similarly unexpected) error upon submitting your assignment. Before asking for help/debugging the errors in your assignment, check for these first. If this is the case, and you don't remember the changes you have made, you can get a fresh copy of the assignment by following these <u>instructions (https://www.coursera.org/learn/probabilistic-models-in-nlp/supplement/saGQf/how-to-refresh-your-workspace)</u>.

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1 - The Continuous Bag of Words Model

Let's take a look at the following sentence:

'I am happy because I am learning'.

- In continuous bag of words (CBOW) modeling, we try to predict the center word given a few context words (the words around the center word).
- For example, if you were to choose a context half-size of say C=2, then you would try to predict the word **happy** given the context that includes 2 words before and 2 words after the center word:

C words before: [I, am]

C words after: [because, I]

· In other words:

$$context = [I, am, because, I]$$

 $target = happy$

The structure of your model will look like this:

I am happy because I am learning.

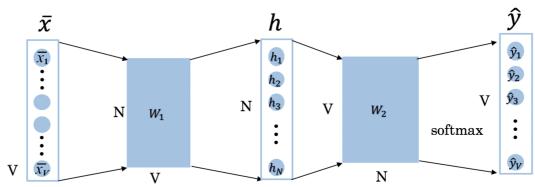
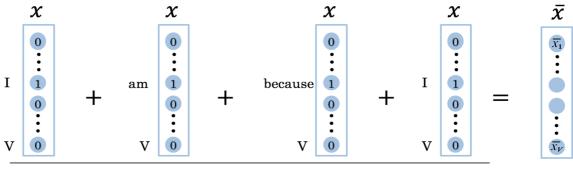


Figure 1

Where \bar{x} is the average of all the one hot vectors of the context words.

I am happy because I am learning.



4

Figure 2

Once you have encoded all the context words, you can use \bar{x} as the input to your model.

The architecture you will be implementing is as follows:

$$h = W_1 X + b_1 \tag{1}$$

$$a = ReLU(h) \tag{2}$$

$$z = W_2 \ a + b_2 \tag{3}$$

$$\hat{y} = softmax(z) \tag{4}$$

```
In [3]: # Import Python libraries and helper functions (in utils2)
import nltk
from nltk.tokenize import word_tokenize
import numpy as np
from collections import Counter
from utils2 import sigmoid, get_batches, compute_pca, get_dict
import w4_unittest

nltk.download('punkt')
```

[nltk_data] Downloading package punkt to /home/jovyan/nltk_data...
[nltk_data] Package punkt is already up-to-date!

Out[3]: True

```
In [4]: # Download sentence tokenizer
nltk.data.path.append('.')
```

```
Number of tokens: 60996 ['o', 'for', 'a', 'muse', 'of', 'fire', '.', 'that', 'would', 'ascend', 'the', 'brightest', 'heaven', 'of', 'invention']
```

Mapping words to indices and indices to words

We provide a helper function to create a dictionary that maps words to indices and indices to words.

```
In [7]: # get_dict creates two dictionaries, converting words to indices and viceversd
word2Ind, Ind2word = get_dict(data)
V = len(word2Ind)
print("Size of vocabulary: ", V)

Size of vocabulary: 5778

In [8]: # example of word to index mapping
print("Index of the word 'king' : ",word2Ind['king'] )
print("Word which has index 2743: ",Ind2word[2743] )

Index of the word 'king' : 2745
Word which has index 2743: kindness
```

2 - Training the Model

2.1 - Initializing the Model

You will now initialize two matrices and two vectors.

- The first matrix (W_1) is of dimension $N \times V$, where V is the number of words in your vocabulary and N is the dimension of your word vector.
- The second matrix (W_2) is of dimension $V \times N$.
- Vector b_1 has dimensions $N \times 1$
- Vector b_2 has dimensions $V \times 1$.
- b_1 and b_2 are the bias vectors of the linear layers from matrices W_1 and W_2 .

The overall structure of the model will look as in Figure 1, but at this stage we are just initializing the parameters.

Exercise 1 - initialize_model

Please use <u>numpy.random.rand</u>

(https://numpy.org/doc/stable/reference/random/generated/numpy.random.rand.html) to generate matrices that are initialized with random values from a uniform distribution, ranging between 0 and 1.

Note: In the next cell you will encounter a random seed. Please **DO NOT** modify this seed so your solution can be tested correctly.

```
In [9]: # UNQ_C1 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
        # GRADED FUNCTION: initialize_model
        def initialize_model(N,V, random_seed=1):
            1.1.1
            Inputs:
                N: dimension of hidden vector
                V: dimension of vocabulary
                random_seed: random seed for consistent results in the unit tests
             Outputs:
                W1, W2, b1, b2: initialized weights and biases
            np.random.seed(random_seed)
            ### START CODE HERE (Replace instances of 'None' with your code) ###
            # W1 has shape (N,V)
            W1 = np.random.rand(N,V)
            # W2 has shape (V,N)
            W2 = np.random.rand(V,N)
            # b1 has shape (N,1)
            b1 = np.random.rand(N,1)
            \# b2 has shape (V,1)
            b2 = np.random.rand(V,1)
            ### END CODE HERE ###
            return W1, W2, b1, b2
```

```
In [11]: # Test your function example.
    tmp_N = 4
    tmp_V = 10
    tmp_W1, tmp_W2, tmp_b1, tmp_b2 = initialize_model(tmp_N,tmp_V)
    assert tmp_W1.shape == ((tmp_N,tmp_V))
    assert tmp_W2.shape == ((tmp_V,tmp_N))
    print(f"tmp_W1.shape: {tmp_W1.shape}")
    print(f"tmp_w2.shape: {tmp_W2.shape}")
    print(f"tmp_b1.shape: {tmp_b1.shape}")
    print(f"tmp_b2.shape: {tmp_b2.shape}")
```

tmp_W1.shape: (4, 10)
tmp_W2.shape: (10, 4)
tmp_b1.shape: (4, 1)
tmp_b2.shape: (10, 1)

Expected Output

tmp_W1.shape: (4, 10)
tmp_W2.shape: (10, 4)
tmp_b1.shape: (4, 1)
tmp_b2.shape: (10, 1)

2.2 - Softmax

Before we can start training the model, we need to implement the softmax function as defined in equation 5:

softmax
$$(z_i) = \frac{e^{z_i}}{\sum_{i=0}^{V-1} e^{z_i}}$$
 (5)

- · Array indexing in code starts at 0.
- V is the number of words in the vocabulary (which is also the number of rows of z).
- *i* goes from 0 to |V| 1.

Exercise 2 - softmax

Instructions: Implement the softmax function below.

- Assume that the input z to softmax is a 2D array
- Each training example is represented by a vector of shape (V, 1) in this 2D array.
- There may be more than one column, in the 2D array, because you can put in a batch of
 examples to increase efficiency. Let's call the batch size lowercase m, so the z array has
 shape (V, m)
- When taking the sum from $i=1\cdots V-1$, take the sum for each column (each example) separately.

Please use

- numny avn

Expected Ouput

```
array([[0.5 , 0.73105858, 0.88079708], [0.5 , 0.26894142, 0.11920292]])
```

2.3 - Forward Propagation

Exercise 3 - forward_prop

Implement the forward propagation z according to equations (1) to (3).

$$h = W_1 X + b_1 \tag{1}$$

$$h = ReLU(h) \tag{2}$$

$$z = W_2 h + b_2 \tag{3}$$

For that, you will use as activation the Rectified Linear Unit (ReLU) given by:

$$f(h) = \max(0, h) \tag{6}$$

Hints

```
In [15]: # UNQ_C3 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
         # GRADED FUNCTION: forward_prop
         def forward_prop(x, W1, W2, b1, b2):
             Inputs:
                 x: average one hot vector for the context
                 W1, W2, b1, b2: matrices and biases to be learned
              Outputs:
                 z: output score vector
             ### START CODE HERE (Replace instances of 'None' with your own code) ###
             # Calculate h
             h = np.dot(W1, x) + b1
             # Apply the relu on h (store result in h)
             h = np.maximum(0, h)
             # Calculate z
             z = np.dot(W2, h) + b2
             ### END CODE HERE ###
             return z, h
```

```
In [16]: # Test the function
         # Create some inputs
         tmp_N = 2
         tmp_V = 3
         tmp_x = np.array([[0,1,0]]).T
         #print(tmp_x)
         tmp_W1, tmp_W2, tmp_b1, tmp_b2 = initialize_model(N=tmp_N,V=tmp_V, random_seed
         print(f"x has shape {tmp x.shape}")
         print(f"N is {tmp_N} and vocabulary size V is {tmp_V}")
         # call function
         tmp_z, tmp_h = forward_prop(tmp_x, tmp_W1, tmp_W2, tmp_b1, tmp_b2)
         print("call forward_prop")
         print()
         # Look at output
         print(f"z has shape {tmp_z.shape}")
         print("z has values:")
         print(tmp_z)
         print()
         print(f"h has shape {tmp_h.shape}")
         print("h has values:")
         print(tmp_h)
         x has shape (3, 1)
         N is 2 and vocabulary size V is 3
         call forward_prop
         z has shape (3, 1)
         z has values:
         [[0.55379268]
          [1.58960774]
          [1.50722933]]
         h has shape (2, 1)
         h has values:
         [[0.92477674]
          [1.02487333]]
```

Expected output

```
x has shape (3, 1)
             N ic 7 and vocabulany size V is 3
In [17]: # Test your function
         w4 unittest.test forward prop(forward prop)
          All tests passed
In [18]: # compute_cost: cross-entropy cost function
         def compute_cost(y, yhat, batch_size):
             # cost function
             logprobs = np.multiply(np.log(yhat),y)
             cost = - 1/batch_size * np.sum(logprobs)
             cost = np.squeeze(cost)
             return cost
In [19]: # Test the function
         tmp_C = 2
         tmp_N = 50
         tmp_batch_size = 4
         tmp_word2Ind, tmp_Ind2word = get_dict(data)
         tmp_V = len(word2Ind)
         tmp_x, tmp_y = next(get_batches(data, tmp_word2Ind, tmp_V,tmp_C, tmp_batch_siz
         print(f"tmp_x.shape {tmp_x.shape}")
         print(f"tmp_y.shape {tmp_y.shape}")
         tmp_W1, tmp_W2, tmp_b1, tmp_b2 = initialize_model(tmp_N,tmp_V)
         print(f"tmp_W1.shape {tmp_W1.shape}")
         print(f"tmp W2.shape {tmp W2.shape}")
         print(f"tmp_b1.shape {tmp_b1.shape}")
         print(f"tmp_b2.shape {tmp_b2.shape}")
         tmp_z, tmp_h = forward_prop(tmp_x, tmp_W1, tmp_W2, tmp_b1, tmp_b2)
         print(f"tmp z.shape: {tmp z.shape}
         print(f"tmp_h.shape: {tmp_h.shape}")
         tmp_yhat = softmax(tmp_z)
         print(f"tmp_yhat.shape: {tmp_yhat.shape}")
         tmp_cost = compute_cost(tmp_y, tmp_yhat, tmp_batch_size)
         print("call compute cost")
         print(f"tmp cost {tmp cost:.4f}")
         tmp_x.shape (5778, 4)
         tmp_y.shape (5778, 4)
         tmp_W1.shape (50, 5778)
         tmp W2.shape (5778, 50)
         tmp_b1.shape (50, 1)
         tmp_b2.shape (5778, 1)
         tmp_z.shape: (5778, 4)
         tmp_h.shape: (50, 4)
         tmp_yhat.shape: (5778, 4)
         call compute cost
         tmp_cost 10.5788
```

Expected output

```
tmp_x.shape (5778, 4)
tmp_y.shape (5778, 4)
tmp_W1.shape (50, 5778)
tmp_W2.shape (5778, 50)
tmp_b1.shape (50, 1)
tmp_b2.shape (5778, 1)
tmp_z.shape: (5778, 4)
tmp_h.shape: (50, 4)
tmp_yhat.shape: (5778, 4)
call compute_cost
tmp_cost 10.5788
```

2.5 - Training the Model - Backpropagation

Exercise 4 - back_prop

Now that you have understood how the CBOW model works, you will train it. You created a function for the forward propagation. Now you will implement a function that computes the gradients to backpropagate the errors.

Note: z1 is calculated as $w1 \cdot x + b1$ in this function. In practice, you would save it already when making forward propagation and just re-use here, but for simplicity, it is calculated again in $back_prop$.

As reference, below are the equations of backpropagation as taught in the <u>lecture</u> (https://www.coursera.org/learn/probabilistic-models-in-nlp/lecture/mPJwt/training-a-cbow-model-backpropagation-and-gradient-descent):

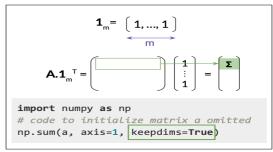
Backpropagation

$$\frac{\partial J_{batch}}{\partial \mathbf{W_1}} = \frac{1}{m} (\mathbf{W_2}^{\mathsf{T}} (\hat{\mathbf{Y}} - \mathbf{Y}) \cdot \text{step}(\mathbf{Z_1})) \mathbf{X}^{\mathsf{T}}$$

$$\frac{\partial J_{batch}}{\partial \mathbf{W_2}} = \frac{1}{m} (\hat{\mathbf{Y}} - \mathbf{Y}) \mathbf{H}^{\mathsf{T}}$$

$$\frac{\partial J_{batch}}{\partial \mathbf{b_1}} = \frac{1}{m} (\mathbf{W_2}^{\mathsf{T}} (\hat{\mathbf{Y}} - \mathbf{Y}) \cdot \text{step}(\mathbf{Z_1})) \mathbf{1}_m^{\mathsf{T}}$$

$$\frac{\partial J_{batch}}{\partial \mathbf{b_2}} = \frac{1}{m} (\hat{\mathbf{Y}} - \mathbf{Y}) \mathbf{1}_m^{\mathsf{T}}$$



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```
In [20]: def back_prop(x, yhat, y, h, W1, W2, b1, b2, batch_size):
             Inputs:
                 x: average one hot vector for the context
                 yhat: prediction (estimate of y)
                 y: target vector
                 h: hidden vector
                 W1, W2, b1, b2: matrices and biases
                 batch_size: batch size
              Outputs:
             grad_W1, grad_W2, grad_b1, grad_b2: gradients of matrices and biases
             ### START CODE HERE ###
             # Compute the error term for hidden layer (after applying derivative of Re
             11 = np.dot(W2.T, (yhat - y)) * (h > 0)
             # Gradients
             grad_W1 = np.dot(l1, x.T) / batch_size
             grad_W2 = np.dot(yhat - y, h.T) / batch_size
             grad_b1 = np.sum(l1, axis=1, keepdims=True) / batch_size
             grad_b2 = np.sum(yhat - y, axis=1, keepdims=True) / batch_size
             ### END CODE HERE ###
             return grad_W1, grad_W2, grad_b1, grad_b2
```

```
In [21]: |# Test the function
         tmp_C = 2
         tmp_N = 50
         tmp_batch_size = 4
         tmp_word2Ind, tmp_Ind2word = get_dict(data)
         tmp_V = len(word2Ind)
         # get a batch of data
         tmp x, tmp y = next(get batches(data, tmp word2Ind, tmp V, tmp C, tmp batch size
         print("get a batch of data")
         print(f"tmp_x.shape {tmp_x.shape}")
         print(f"tmp_y.shape {tmp_y.shape}")
         print()
         print("Initialize weights and biases")
         tmp_W1, tmp_W2, tmp_b1, tmp_b2 = initialize_model(tmp_N,tmp_V)
         print(f"tmp_W1.shape {tmp_W1.shape}")
         print(f"tmp_W2.shape {tmp_W2.shape}")
         print(f"tmp_b1.shape {tmp_b1.shape}")
         print(f"tmp b2.shape {tmp b2.shape}")
         print()
         print("Forwad prop to get z and h")
         tmp_z, tmp_h = forward_prop(tmp_x, tmp_W1, tmp_W2, tmp_b1, tmp_b2)
         print(f"tmp_z.shape: {tmp_z.shape}")
         print(f"tmp_h.shape: {tmp_h.shape}")
         print()
         print("Get yhat by calling softmax")
         tmp_yhat = softmax(tmp_z)
         print(f"tmp_yhat.shape: {tmp_yhat.shape}")
         tmp m = (2*tmp C)
         tmp_grad_W1, tmp_grad_W2, tmp_grad_b1, tmp_grad_b2 = back_prop(tmp_x, tmp_yhat
         print()
         print("call back_prop")
         print(f"tmp_grad_W1.shape {tmp_grad_W1.shape}")
         print(f"tmp_grad_W2.shape {tmp_grad_W2.shape}")
         print(f"tmp_grad_b1.shape {tmp_grad_b1.shape}")
         print(f"tmp_grad_b2.shape {tmp_grad_b2.shape}")
```

```
get a batch of data
tmp_x.shape (5778, 4)
tmp_y.shape (5778, 4)
Initialize weights and biases
tmp_W1.shape (50, 5778)
tmp_W2.shape (5778, 50)
tmp_b1.shape (50, 1)
tmp_b2.shape (5778, 1)
Forwad prop to get z and h
tmp_z.shape: (5778, 4)
tmp_h.shape: (50, 4)
Get yhat by calling softmax
tmp_yhat.shape: (5778, 4)
call back_prop
tmp_grad_W1.shape (50, 5778)
tmp_grad_W2.shape (5778, 50)
tmp_grad_b1.shape (50, 1)
tmp_grad_b2.shape (5778, 1)
```

Expected output

```
get a batch of data
tmp_x.shape (5778, 4)
tmp_y.shape (5778, 4)
Initialize weights and biases
tmp_W1.shape (50, 5778)
tmp_W2.shape (5778, 50)
tmp b1.shape (50, 1)
tmp_b2.shape (5778, 1)
Forwad prop to get z and h
tmp_z.shape: (5778, 4)
tmp_h.shape: (50, 4)
Get yhat by calling softmax
tmp yhat.shape: (5778, 4)
call back_prop
tmp grad W1.shape (50, 5778)
tmp_grad_W2.shape (5778, 50)
tmp_grad_b1.shape (50, 1)
tmp_grad_b2.shape (5778, 1)
```

```
In [22]: # Test your function
w4_unittest.test_back_prop(back_prop)
```

2.6 - Gradient Descent

Exercise 5 - gradient_descent

Now that you have implemented a function to compute the gradients, you will implement batch gradient descent over your training set.

Hint: For that, you will use initialize_model and the back_prop functions which you just created (and the compute_cost function). You can also use the provided get_batches helper function:

```
for x, y in get_batches(data, word2Ind, V, C, batch_size):
...
```

Also: print the cost after each batch is processed (use batch size = 128)

```
In [23]: def gradient_descent(data, word2Ind, N, V, num_iters, alpha=0.03, random_seed=
             This is the gradient_descent function
               Inputs:
                 data:
                            text
                 word2Ind: words to Indices
                            dimension of hidden vector
                 ۷:
                            dimension of vocabulary
                 num iters: number of iterations
                 alpha:
                           learning rate
                 random_seed: random seed for reproducibility
              Outputs:
                 W1, W2, b1, b2: updated matrices and biases
             W1, W2, b1, b2 = initialize_model(N, V, random_seed=random_seed)
             batch_size = 128
             iters = 0
             C = 2
             for x, y in get_batches(data, word2Ind, V, C, batch_size):
                 # Get z and h
                 z, h = forward_prop(x, W1, W2, b1, b2)
                 # Get yhat
                 yhat = softmax(z)
                 # Get cost
                 cost = compute_cost(y, yhat, batch_size)
                 if ((iters + 1) % 10 == 0):
                     print(f"iters: {iters + 1} cost: {cost:.6f}")
                 # Get gradients
                 grad_W1, grad_W2, grad_b1, grad_b2 = back_prop(x, yhat, y, h, W1, W2,
                 # Update weights and biases
                 W1 -= alpha * grad_W1
                 W2 -= alpha * grad_W2
                 b1 -= alpha * grad_b1
                 b2 -= alpha * grad_b2
                 iters += 1
                 if iters == num_iters:
                     break
                 if iters % 100 == 0:
                     alpha *= 0.66
             return W1, W2, b1, b2
```

```
In [24]: # test your function

C = 2
N = 50
word2Ind, Ind2word = get_dict(data)
V = len(word2Ind)
num_iters = 150
print("Call gradient_descent")
W1, W2, b1, b2 = gradient_descent(data, word2Ind, N, V, num_iters)
```

Call gradient_descent iters: 10 cost: 9.686791 iters: 20 cost: 10.297529 iters: 30 cost: 10.051127 iters: 40 cost: 9.685962 iters: 60 cost: 9.400293 iters: 70 cost: 9.060542 iters: 80 cost: 9.054266 iters: 90 cost: 8.765818 iters: 100 cost: 8.516531 iters: 110 cost: 8.708745 iters: 120 cost: 8.660616 iters: 130 cost: 8.544338 iters: 140 cost: 8.454268

Expected Output

iters: 10 cost: 9.686791
iters: 20 cost: 10.297529
iters: 30 cost: 10.051127
iters: 40 cost: 9.685962
iters: 50 cost: 9.369307
iters: 60 cost: 9.400293
iters: 70 cost: 9.060542
iters: 80 cost: 9.054266
iters: 90 cost: 8.765818
iters: 100 cost: 8.516531
iters: 110 cost: 8.708745
iters: 120 cost: 8.660616
iters: 130 cost: 8.544338
iters: 140 cost: 8.454268
iters: 150 cost: 8.475693

Your numbers may differ a bit depending on which version of Python you're using.

```
In [25]: # Test your function
w4_unittest.test_gradient_descent(gradient_descent, data, word2Ind, N=10, V=16)
name default check
```

name small_check
iters: 10 cost: 8.802814
All tests passed

3 - Visualizing the Word Vectors

```
In [28]: # visualizing the word vectors here
         from matplotlib import pyplot
         %config InlineBackend.figure_format = 'svg'
         words = ['king', 'queen','lord','man', 'woman','dog','wolf',
                  'rich','happy','sad']
         embs = (W1.T + W2)/2.0
         # given a list of words and the embeddings, it returns a matrix with all the \epsilon
         idx = [word2Ind[word] for word in words]
         X = embs[idx, :]
         print(X.shape, idx) # X.shape: Number of words of dimension N each
         (10, 50) [2745, 3951, 2961, 3023, 5675, 1452, 5674, 4191, 2316, 4278]
In [29]: result= compute_pca(X, 2)
         pyplot.scatter(result[:, 0], result[:, 1])
         for i, word in enumerate(words):
             pyplot.annotate(word, xy=(result[i, 0], result[i, 1]))
         pyplot.show()
         <Figure size 432x288 with 1 Axes>
In [27]: result= compute pca(X, 4)
         pyplot.scatter(result[:, 3], result[:, 1])
         for i, word in enumerate(words):
             pyplot.annotate(word, xy=(result[i, 3], result[i, 1]))
         pyplot.show()
```

<Figure size 432x288 with 1 Axes>

You can see that man and king are next to each other. However, we have to be careful with the interpretation of this projected word vectors, since the PCA depends on the projection -- as shown in the following illustration.

