



# Regulatory Filing Without the Stress

## *How Comply's Filing360 Keeps You Audit-Ready*

### Summary

Managing compliance filings shouldn't feel like searching for a needle in a haystack. Yet, for many insurance companies, critical documents are buried in scattered folders and outdated systems. The right solution brings everything into one accessible, organized place. Here at Comply, we aim to provide you with this right solution with Filing360.

### Why Is Filing Document Management So Difficult?

For many insurance companies, filing document management is a never-ending struggle. Some firms have been operating for over 100 years, accumulating thousands of regulatory filings, policies, and reports.

Over time, these documents become scattered across emails, shared drives, and local folders, making it difficult to retrieve critical information. The longer a company operates, the more fragmented its compliance records become, leading to:

- Wasted time searching for documents
- Regulatory risks due to missing filings
- Reputation damage from compliance errors
- Increased stress for compliance teams

### **Time Drain**

Hours (or even days) are wasted searching through cluttered inboxes, outdated shared drives, and mislabeled folders. Instead of focusing on strategic compliance initiatives, professionals spend valuable time performing manual searches, reducing overall productivity and efficiency.

### **Regulatory Risks**

If a regulator requests a specific filing and your team can't locate it, you could face hefty fines, penalties, or even legal action. Missing a regulatory deadline due to misplaced documents can lead to serious consequences, including audits, sanctions, and reputational damage.

### **Reputation Damage**

Delays or errors in compliance reporting can harm your company's credibility, leading to lost business and reduced trust. Clients, partners, and regulators expect a company to have its documentation in order. A lack of efficiency in compliance management can lead to negative perceptions and missed business opportunities.

### **Stress & Frustration**

Constantly scrambling to find essential documents creates an unnecessary burden on compliance teams, making their jobs more difficult and stressful. The anxiety of meeting strict regulatory deadlines while managing scattered documentation can lead to burnout and inefficiencies within compliance departments.

## **How Does Comply Fix Filing Document Challenges?**

Introducing Comply's Filing360, the ultimate solution to effortlessly organize, access, and manage all your filings—past and present.

Filing360 eliminates misplaced documents by storing all compliance filings in a secure, centralized platform. Compliance teams can find what they need instantly and avoid last-minute scrambles.

### **AI-Powered Search**

Instantly find the document you need with a quick keyword or metadata-based search—no more digging through endless folders or outdated email chains. Our AI-driven search functionality intelligently indexes documents, making retrieval effortless and instant.

### **Centralized & Secure Storage**

Filing360 ensures your documents are encrypted and backed up, giving you peace of mind.

### Regulatory Readiness

Need a document for an audit? Retrieve it in seconds, ensuring compliance with no last-minute panic. Filing360 makes regulatory reporting effortless by keeping all required filings at your fingertips. With audit logs and automated reminders, you'll never miss a compliance deadline again.

## Ready to Take Control of Filings?

With Filing360, compliance professionals can focus on what truly matters instead of worrying about lost documents. No more last-minute scrambles, no more stress—just a seamless, efficient, and reliable way to manage regulatory filings. By streamlining compliance documentation, Filing360 not only saves time and reduces costs but also helps organizations mitigate risks and strengthen their regulatory standing.

## Coming Soon: New Features to Make Compliance Effortless!

Potential Benefits

Think of **Comply** as a **Junior Staff** who gives Compliance Teams Superpowers

Speed-up compliance tasks, Focus on Core Things.

Get started today

100+ hours

can be saved in a week across teams

Increase

Speed to Market

The "Junior Staff" for insurance compliance



Product

Features

Ad Review

Reg Updates

Filing360

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