

# Transaction Processing

## Problem 1

Consider the four transactions,  $T_1$ ,  $T_2$ ,  $T_3$ , and  $T_4$ , which are in schedule  $S_1$ . Draw the serializability (precedence) graphs for  $S_1$ , and state whether the schedule is serializable or not. If the schedule is serializable, write down the equivalent serial schedule(s). If it is not, write down all cycles. Note that  $S_1$  is shown both as an operation list and as a table.

$T_1 = r_1(X), w_1(X)$

$T_2 = r_2(X), w_2(Y)$

$T_3 = r_3(X), w_3(Z)$

$T_4 = r_4(Y), w_4(Z)$

$S_1 = r_1(X); w_1(X); r_2(X); w_2(Y); r_3(X); w_3(Z); r_4(Y); w_4(Z)$

| T1       | T2       | T3       | T4       |
|----------|----------|----------|----------|
| read(X)  |          |          |          |
| write(X) |          |          |          |
|          | read(X)  |          |          |
|          | write(Y) |          |          |
|          |          | read(X)  |          |
|          |          | write(Z) |          |
|          |          |          | read(Y)  |
|          |          |          | write(Z) |

### **Problem 2**

Consider the three transactions  $T_1$ ,  $T_2$ , and  $T_3$ , and the schedules  $S_1$  and  $S_2$  given below. Draw the serializability (precedence) graphs for  $S_1$  and  $S_2$ , and state whether each schedule is serializable or not. If a schedule is serializable, write down the equivalent serial schedule(s).

$T_1$ :  $r_1(X)$ ;  $r_1(Z)$ ;  $w_1(X)$ ;

$T_2$ :  $r_2(Z)$ ;  $r_2(Y)$ ;  $w_2(Z)$ ;  $w_2(Y)$ ;

$T_3$ :  $r_3(X)$ ;  $r_3(Y)$ ;  $w_3(Y)$ ;

$S_1$ :  $r_1(X)$ ;  $r_2(Z)$ ;  $r_1(Z)$ ;  $r_3(X)$ ;  $r_3(Y)$ ;  $w_1(X)$ ;  $w_3(Y)$ ;  $r_2(Y)$ ;  $w_2(Z)$ ;  $w_2(Y)$ ;

$S_2$ :  $r_1(X)$ ;  $r_2(Z)$ ;  $r_3(X)$ ;  $r_1(Z)$ ;  $r_2(Y)$ ;  $r_3(Y)$ ;  $w_1(X)$ ;  $w_2(Z)$ ;  $w_3(Y)$ ;  $w_2(Y)$ ;

$S_1$

| <b>T1</b> | <b>T2</b> | <b>T3</b> |
|-----------|-----------|-----------|
| read(X)   |           |           |
|           | read(Z)   |           |
| read(Z)   |           |           |
|           |           | read(X)   |
|           |           | read(Y)   |
| write(X)  |           |           |
|           |           | write(Y)  |
|           | read(Y)   |           |
|           | write(Z)  |           |
|           | write(Y)  |           |

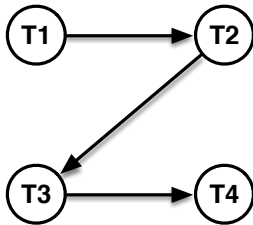
$S_2$

| <b>T1</b> | <b>T2</b> | <b>T3</b> |
|-----------|-----------|-----------|
| read(X)   |           |           |
|           | read(Z)   |           |
|           |           | read(X)   |
| read(Z)   |           |           |
|           | read(Y)   |           |
|           |           | read(Y)   |
| write(X)  |           |           |
|           | write(Z)  |           |
|           |           | write(Y)  |
|           | write(Y)  |           |

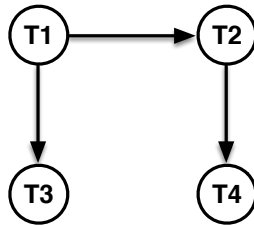
### Problem 3

Given the following eight precedence graphs, determine the serializability of each. If a graph is serializable, draw all equivalent serial graphs. If a graph is not serializable, draw all cycles.

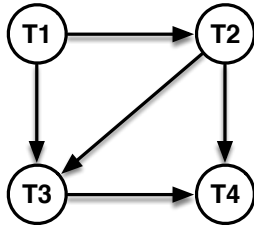
(a)



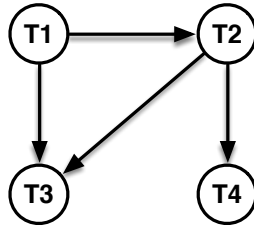
(b)



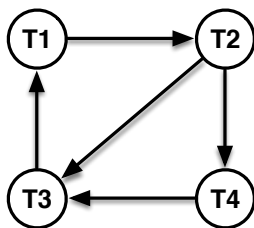
(c)



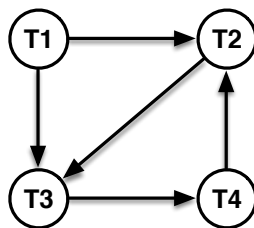
(d)



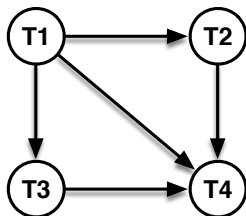
(e)



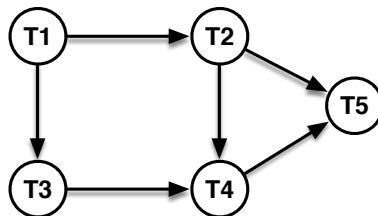
(f)



(g)



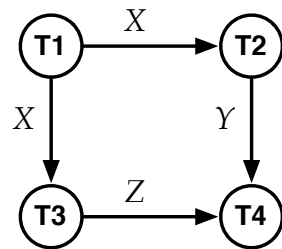
(h)



## ANSWER KEY

### Problem 1

Serializability Graph

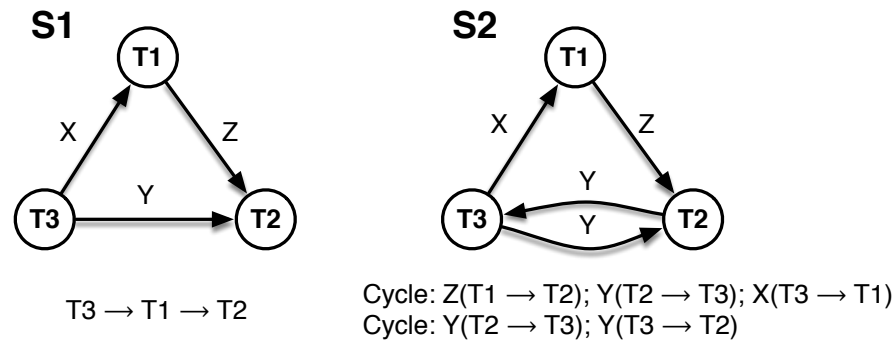


Equivalent Serial Schedules

$T_1 \rightarrow T_2 \rightarrow T_3 \rightarrow T_4$

$T_1 \rightarrow T_3 \rightarrow T_2 \rightarrow T_4$

## Problem 2



**Justification** – The red arrows in the tables below are informational purposes. Such notation will not be required on the Exam. Only the precedence graphs above will be required, along with equivalent serial schedule (if serializable) or cycles (if non-serializable).

**S1**

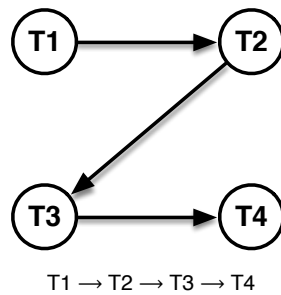
| T1       | T2       | T3       |
|----------|----------|----------|
| read(X)  |          |          |
|          | read(Z)  |          |
| read(Z)  |          |          |
|          |          | read(X)  |
|          |          | read(Y)  |
| write(X) |          |          |
|          |          | write(Y) |
|          | read(Y)  |          |
|          | write(Z) |          |
|          | write(Y) |          |

**S2**

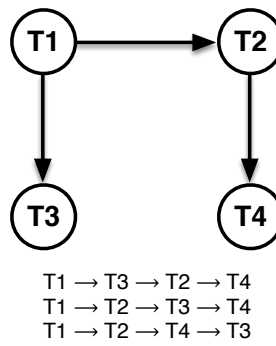
| T1       | T2       | T3       |
|----------|----------|----------|
| read(X)  |          |          |
|          | read(Z)  |          |
| read(Z)  |          | read(X)  |
|          | read(Y)  |          |
|          |          | read(Y)  |
| write(X) |          |          |
|          | write(Z) |          |
|          |          | write(Y) |
|          | write(Y) |          |

### Problem 3

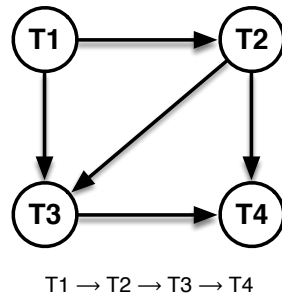
(a)



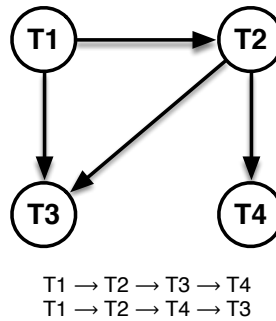
(b)



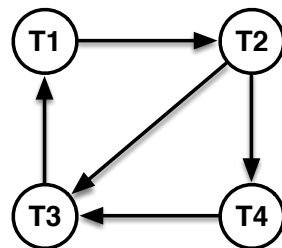
(c)



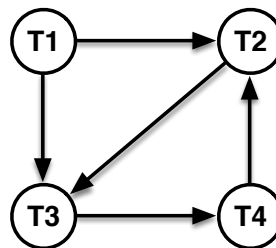
(d)



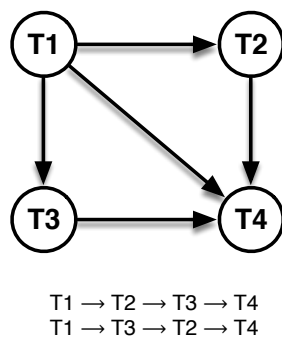
(e)



(f)



(g)



(h)

