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Shor's algorithm

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Shor's algorithm, named after mathematician [Peter Shor](#), is a [quantum algorithm](#) (an [algorithm](#) that runs on a [quantum computer](#)) for [integer factorization](#) formulated in 1994. Informally it solves the following problem: given an integer *N*, find its [prime factors](#).

On a quantum computer, to factor an integer *N*, Shor's algorithm runs in [polynomial time](#) (the time taken is polynomial in $\log N$, which is the size of the input).^[1] Specifically it takes time and quantum gates of order $O((\log N)^2(\log \log N)(\log \log \log N))$ using fast multiplication,^[2] demonstrating that the integer factorization problem can be efficiently solved on a quantum computer and is thus in the [complexity class BQP](#). This is substantially faster than the most efficient known classical factoring algorithm, the [general number field sieve](#), which works in [sub-exponential time](#) — about $O(e^{1.9(\log N)^{1/3}(\log \log N)^{2/3}})$.^[3] The efficiency of Shor's algorithm is due to the efficiency of the [quantum Fourier transform](#), and [modular exponentiation](#) by [repeated squarings](#).

If a quantum computer with a sufficient number of [qubits](#) could operate without succumbing to [noise](#) and other quantum decoherence phenomena, Shor's algorithm could be used to break [public-key cryptography](#) schemes such as the widely used [RSA](#) scheme. RSA is based on the assumption that factoring large numbers is computationally intractable. So far as is known, this assumption is valid for classical (non-quantum) computers; no classical algorithm is known that can factor in polynomial time. However, Shor's algorithm shows that factoring is efficient on an ideal quantum computer, so it may be feasible to defeat RSA by constructing a large quantum computer. It was also a powerful motivator for the design and construction of quantum computers and for the study of new quantum computer algorithms. It has also facilitated research on new cryptosystems that are secure from quantum computers, collectively called [post-quantum cryptography](#).

In 2001, Shor's algorithm was demonstrated by a group at IBM, who factored 15 into 3×5 , using an [NMR implementation](#) of a quantum computer with 7 [qubits](#).^[4] After IBM's implementation, two independent groups, one at the [University of Science and Technology of China](#), and the other one at the [University of Queensland](#), have implemented Shor's algorithm using photonic qubits, emphasizing that multi-qubit entanglement was observed when running the Shor's algorithm circuits.^{[5][6]} In 2012, the factorization of 15 was repeated.^[7] Also in 2012, the factorization of 21 was achieved, setting the record for the largest number factored with a quantum computer.^[8] In April 2012, the factorization of 143 was achieved, although this used [adiabatic quantum computation](#) rather than Shor's algorithm.^[9] It was discovered in November 2014 that this adiabatic quantum computation in 2012 had in fact also factored larger numbers, the largest being 56153, which is currently the record for the largest integer factored on a quantum device.^{[10][11]}

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Procedure [\[edit\]](#)

The problem we are trying to solve is: given an odd [composite number](#) *N*, find an integer *d*, strictly between 1 and *N*, that divides *N*. We are interested in odd values of *N* because any even value of *N* trivially has the number 2 as a prime factor. We can use a [primality testing](#) algorithm to make sure that *N* is indeed composite.

Moreover, for the algorithm to work, we need *N* not to be the power of a prime. This can be tested by taking square, cubic, ..., *k*-roots of *N*, for $k \leq \log_2(N)$, and checking that none of these is an integer. (This

actually excludes that $N = M^k$ for some integer M and $k > 1$.)

Since N is not a power of a prime, it is the product of two [coprime](#) numbers greater than 1. As a consequence of the [Chinese remainder theorem](#), the number 1 has at least four distinct square roots [modulo](#) N , two of them being 1 and -1 . The aim of the algorithm is to find a square root b of one, other than 1 and -1 ; such a b will lead to a factorization of N , as in other [factoring algorithms](#) like the [quadratic sieve](#).

In turn, finding such a b is reduced to finding an element a of even period with a certain additional property (as explained below, it is required that the condition of Step 6 of the classical part does not hold). The quantum algorithm is used for finding the period of randomly chosen elements a , as order-finding is a hard problem on a classical computer.

Shor's algorithm consists of two parts:

1. A reduction, which can be done on a classical computer, of the factoring problem to the problem of [order-finding](#).
2. A quantum algorithm to solve the order-finding problem.

Classical part [\[edit\]](#)

1. Pick a random number $a < N$.
2. Compute $\gcd(a, N)$. This may be done using the [Euclidean algorithm](#).
3. If $\gcd(a, N) \neq 1$, then it is a [nontrivial](#) factor of N , so we are done.
4. Otherwise, use the period-finding subroutine (below) to find r , the [period](#) of the following function:

$$f(x) = a^x \bmod N,$$

i.e. the [order](#) r of a in $(\mathbb{Z}_N)^\times$, which is the smallest positive integer r for which $f(x + r) = f(x)$, or $f(x + r) = a^{x+r} \bmod N = a^x \bmod N$.

5. If r is odd, go back to step 1.
6. If $a^{r/2} \equiv -1 \pmod{N}$, go back to step 1.
7. $\gcd(a^{r/2} + 1, N)$ and $\gcd(a^{r/2} - 1, N)$ are both nontrivial factors of N . We are done.

For example: $N = 15, a = 7, r = 4$. $\gcd(7^2 \pm 1, 15) = \gcd(49 \pm 1, 15)$, where $\gcd(48, 15) = 3$ and $\gcd(50, 15) = 5$.

Quantum part: Period-finding subroutine [\[edit\]](#)



This section **may be too technical for most readers to understand**. Please help [improve](#) this section to [make it understandable to non-experts](#), without removing the technical details. The [talk page](#) may contain suggestions. (February 2014)

The quantum circuits used for this algorithm are custom designed for each choice of N and each choice of the random a used in $f(x) = a^x \bmod N$. Given N , find $Q = 2^q$ such that $N^2 \leq Q < 2N^2$, which implies $Q/r > N$. The input and output [qubit](#) registers need to hold superpositions of values from 0 to $Q - 1$, and so have q qubits each.

Using what might appear to be twice as many qubits as necessary guarantees that there are at least N different x which produce the same $f(x)$, even as the period r approaches $N/2$.

Proceed as follows:

1. Initialize the registers to

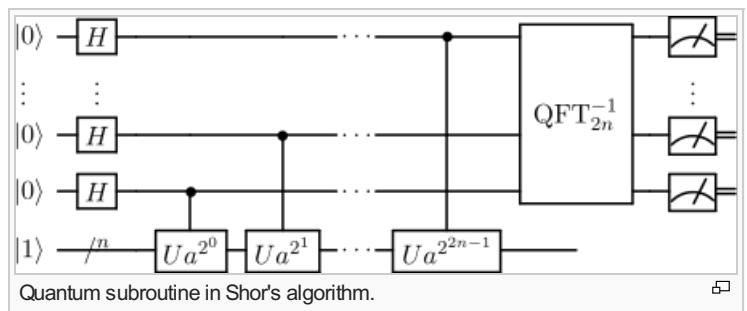
$$Q^{-1/2} \sum_{x=0}^{Q-1} |x\rangle |0\rangle$$

where x runs from 0 to $Q - 1$. This initial state is a superposition of Q states.

2. Construct $f(x)$ as a quantum function and apply it to the above state, to obtain

$$Q^{-1/2} \sum_x |x, f(x)\rangle.$$

This is still a superposition of Q states.



3. Apply the [quantum Fourier transform](#) to the input register. This transform (operating on a superposition of power-of-two $Q = 2^q$ states) uses a Q^{th} [root of unity](#) such as $\omega = e^{2\pi i/Q}$ to distribute the amplitude of any given $|x\rangle$ state equally among all Q of the $|y\rangle$ states, and to do so in a different way for each different x .

- Let y be one of the r possible integers modulo N such that yr/Q is an integer; then

$$U_{QFT} |x\rangle = Q^{-1/2} \sum_y \omega^{xy} |y\rangle.$$

This leads to the final state

$$Q^{-1} \sum_x \sum_y \omega^{xy} |y, f(x)\rangle.$$

Now we reorder this sum as

$$Q^{-1} \sum_z \sum_y |y, z\rangle \sum_{x: f(x)=z} \omega^{xy}.$$

This is a superposition of many more than Q states, but many fewer than Q^2 states, since there are fewer than Q distinct values of $z = f(x)$. Let

- $\omega = e^{2\pi i/Q}$ be a Q^{th} root of unity,
- r be the period of f ,
- x_0 be the smallest of the x which have $f(x) = z$ (we have $x_0 < r$), and
- b indexes these x , running from 0 to $\lfloor (Q - x_0 - 1)/r \rfloor$ so that $x_0 + rb < Q$.

Then ω^{xy} is a unit vector in the complex plane (ω is a root of unity and r and y are integers), and the coefficient of $Q^{-1} |y, z\rangle$ in the final state is

$$\sum_{x: f(x)=z} \omega^{xy} = \sum_b \omega^{(x_0+rb)y} = \omega^{x_0 y} \sum_b \omega^{rby}.$$

Each term in this sum represents a *different path to the same result*, and quantum [interference](#) occurs—constructive when the unit vectors ω^{rby} point in nearly the same direction in the complex plane, which requires that ω^{ry} point along the [positive real axis](#).

4. Perform a measurement. We obtain some outcome y in the input register and z in the output register. Since f is periodic, the probability of measuring some pair y and z is given by

$$\left| Q^{-1} \sum_{x: f(x)=z} \omega^{xy} \right|^2 = Q^{-2} \left| \sum_b \omega^{(x_0+rb)y} \right|^2 = Q^{-2} \left| \sum_b \omega^{bry} \right|^2.$$

Analysis now shows that this probability is higher the closer the unit vector ω^{ry} is to the positive real axis, or the closer yr/Q is to an integer. Unless r is a power of 2, it won't be a factor of Q .

5. Since yr/Q is close to some integer c , the known value y/Q is close to the unknown value c/r . Performing [classical] [continued fraction expansion](#) on y/Q allows us to find approximations d/s of it which satisfy two conditions:

- A: $s < N$
- B: $|y/Q - d/s| < 1/2Q$.

Given these conditions (and assuming d/s is [irreducible](#)), s is very likely to be the appropriate period r , or at least a factor of it.

6. Check [classically] if $f(x) = f(x + s) \Leftrightarrow a^r \equiv 1 \pmod{N}$. If so, we are done.
7. Otherwise, [classically] obtain more candidates for r by using multiples of s , or by using other s with d/s near y/Q . If any candidate works, we are done.
8. Otherwise, try again starting from step 1 of this subroutine.

Explanation of the algorithm [\[edit\]](#)

The algorithm is composed of two parts. The first part of the algorithm turns the factoring problem into the problem of finding the period of a function, and may be implemented classically. The second part finds the period using the quantum Fourier transform, and is responsible for the quantum speedup.

Obtaining factors from period [\[edit\]](#)

The integers less than N and [coprime](#) with N form a finite abelian [group](#) G under multiplication [modulo](#) N . The size is given by [Euler's totient function](#) $\phi(N)$. By the end of step 3, we have an integer a in this group. Since

the group is finite, a must have a finite order r , the smallest positive integer such that

$$a^r \equiv 1 \pmod{N}.$$

Therefore, N divides (also written $|$) $a^r - 1$. Suppose we are able to obtain r , and it is even. (If r is odd, see step 5.) Now $b \equiv a^{r/2} \pmod{N}$ is a square root of 1 modulo N , different from 1. This is because r is the order of a modulo N , so $a^{r/2} \not\equiv 1 \pmod{N}$, else the order of a in this group would be $r/2$. If $a^{r/2} \equiv -1 \pmod{N}$, by step 6 we have to restart the algorithm with a different random number a .

Eventually, we must hit an a of order r in G , such that $b \equiv a^{r/2} \not\equiv 1, -1 \pmod{N}$. This is because such a b is a square root of 1 modulo N , other than 1 and -1 , whose existence is guaranteed by the Chinese remainder theorem, since N is not a prime power.

We claim that $d = \gcd(b - 1, N)$ is a proper factor of N , that is, $d \neq 1, N$. In fact if $d = N$, then N divides $b - 1$, so that $b \equiv 1 \pmod{N}$, against the construction of b . If on the other hand $d = \gcd(b - 1, N) = 1$, then by [Bézout's identity](#) there are integers u, v such that

$$(b - 1)u + Nv = 1.$$

Multiplying both sides by $b + 1$ we obtain

$$(b^2 - 1)u + N(b + 1)v = b + 1.$$

Since N divides $b^2 - 1 \equiv a^r - 1 \pmod{N}$, we obtain that N divides $b + 1$, so that $b \equiv -1 \pmod{N}$, again contradicting the construction of b .

Thus d is the required proper factor of N .

Finding the period [\[edit\]](#)

Shor's period-finding algorithm relies heavily on the ability of a [quantum computer](#) to be in many states simultaneously. Physicists call this behavior a "[superposition](#)" of states. To compute the period of a function f , we evaluate the function at all points simultaneously.

Quantum physics does not allow us to access all this information directly, though. A [measurement](#) will yield only one of all possible values, destroying all others. If not for the [no cloning theorem](#), we could first measure $f(x)$ without measuring x , and then make a few copies of the resulting state (which is a superposition of states all having the same $f(x)$). Measuring x on these states would provide different x values which give the same $f(x)$, leading to the period. Because we cannot [make exact copies of a quantum state](#), this method does not work. Therefore we have to carefully transform the superposition to another state that will return the correct answer with high probability. This is achieved by the [quantum Fourier transform](#).

Shor thus had to solve three "implementation" problems. All of them had to be implemented "fast", which means that they can be implemented with a number of [quantum gates](#) that is [polynomial](#) in $\log N$.

1. Create a superposition of states. This can be done by applying [Hadamard](#) gates to all qubits in the input register. Another approach would be to use the quantum Fourier transform (see below).
2. Implement the function f as a quantum transform. To achieve this, Shor used [repeated squaring](#) for his modular exponentiation transformation. It is important to note that this step is more difficult to implement than the quantum Fourier transform, in that it requires ancillary qubits and substantially more gates to accomplish.
3. Perform a quantum Fourier transform. By using controlled rotation gates and Hadamard gates, Shor designed a circuit for the quantum Fourier transform (with $Q = 2^q$) that uses just

$$q(q - 1)/2 = O((\log Q)^2) \text{ gates.}^{[12]}$$

After all these transformations a measurement will yield an approximation to the period r . For simplicity assume that there is a y such that yr/Q is an integer. Then the probability to measure y is 1. To see that we notice that then

$$e^{-2\pi i b y r / Q} = 1$$

for all integers b . Therefore the sum whose square gives us the probability to measure y will be Q/r since b takes roughly Q/r values and thus the probability is $1/r^2$. There are r y such that yr/Q is an integer and also r possibilities for $f(x_0)$, so the probabilities sum to 1.

Note: another way to explain Shor's algorithm is by noting that it is just the [quantum phase estimation algorithm](#) in disguise.

The bottleneck [edit]

The runtime bottleneck of Shor's algorithm is quantum [modular exponentiation](#), which is by far slower than the [quantum Fourier transform](#) and classical pre-/post-processing. There are several approaches to constructing and optimizing circuits for modular exponentiation. The simplest and (currently) most practical approach is to mimic conventional arithmetic circuits with [reversible gates](#), starting with ripple-carry adders. Knowing the base and the modulus of exponentiation facilitates further optimizations.^{[13][14]} Reversible circuits typically use on the order of n^3 gates for n qubits. Alternative techniques asymptotically improve gate counts by using [quantum Fourier transforms](#), but are not competitive with less than 600 qubits due to high constants.

Discrete logarithms [edit]

Given prime p with generator g where $1 < g < p - 1$, suppose we know that $x = g^r \pmod{p}$, for some r , and we wish to compute r , which is the [discrete logarithm](#): $r = \log_g x \pmod{p}$. Consider the abelian group $(\mathbb{Z}_p)^\times \times (\mathbb{Z}_p)^\times$ where each factor corresponds to modular multiplication of nonzero values, assuming p is prime. Now, consider the function

$$f(a, b) = g^a x^{-b} \pmod{p}.$$

This gives us an abelian [hidden subgroup problem](#), as f corresponds to a [group homomorphism](#). The kernel corresponds to modular multiples of $(r, 1)$. So, if we can find the kernel, we can find r .

In popular culture [edit]

On the television show *Stargate Universe*, the lead scientist, Dr. [Nicholas Rush](#), hoped to use Shor's algorithm to crack *Destiny's* master code. He taught a [quantum cryptography](#) class at the [University of California, Berkeley](#), in which Shor's algorithm was studied.

Shor's algorithm was also a correct answer to a question in a Physics Bowl competition in the episode "The Bat Jar Conjecture" of the TV series *The Big Bang Theory*.

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Further reading [\[edit\]](#)

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