

# Dynamic Programming | Set 10 ( 0-1 Knapsack Problem)

Given weights and values of  $n$  items, put these items in a knapsack of capacity  $W$  to get the maximum total value in the knapsack. In other words, given two integer arrays  $val[0..n-1]$  and  $wt[0..n-1]$  which represent values and weights associated with  $n$  items respectively. Also given an integer  $W$  which represents knapsack capacity, find out the maximum value subset of  $val[]$  such that sum of the weights of this subset is smaller than or equal to  $W$ . You cannot break an item, either pick the complete item, or don't pick it (0-1 property).

A simple solution is to consider all subsets of items and calculate the total weight and value of all subsets. Consider the only subsets whose total weight is smaller than  $W$ . From all such subsets, pick the maximum value subset.

## 1) Optimal Substructure:

To consider all subsets of items, there can be two cases for every item: (1) the item is included in the optimal subset, (2) not included in the optimal set.

Therefore, the maximum value that can be obtained from  $n$  items is max of following two values.

- 1) Maximum value obtained by  $n-1$  items and  $W$  weight (excluding  $n$ th item).
- 2) Value of  $n$ th item plus maximum value obtained by  $n-1$  items and  $W$  minus weight of the  $n$ th item (including  $n$ th item).

If weight of  $n$ th item is greater than  $W$ , then the  $n$ th item cannot be included and case 1 is the only possibility.

## 2) Overlapping Subproblems

Following is recursive implementation that simply follows the recursive structure mentioned above.

```
/* A Naive recursive implementation of 0-1 Knapsack problem */
#include<stdio.h>

// A utility function that returns maximum of two integers
int max(int a, int b) { return (a > b)? a : b; }

// Returns the maximum value that can be put in a knapsack of capacity W
int knapSack(int W, int wt[], int val[], int n)
{
    // Base Case
    if (n == 0 || W == 0)
```

```

    return 0;

    // If weight of the nth item is more than Knapsack capacity
    // this item cannot be included in the optimal solution
    if (wt[n-1] > W)
        return knapSack(W, wt, val, n-1);

    // Return the maximum of two cases: (1) nth item included
    // (2) nth item excluded
    else return max( val[n-1] + knapSack(W-wt[n-1], wt, val, n-1),
                    knapSack(W, wt, val, n-1) );
}

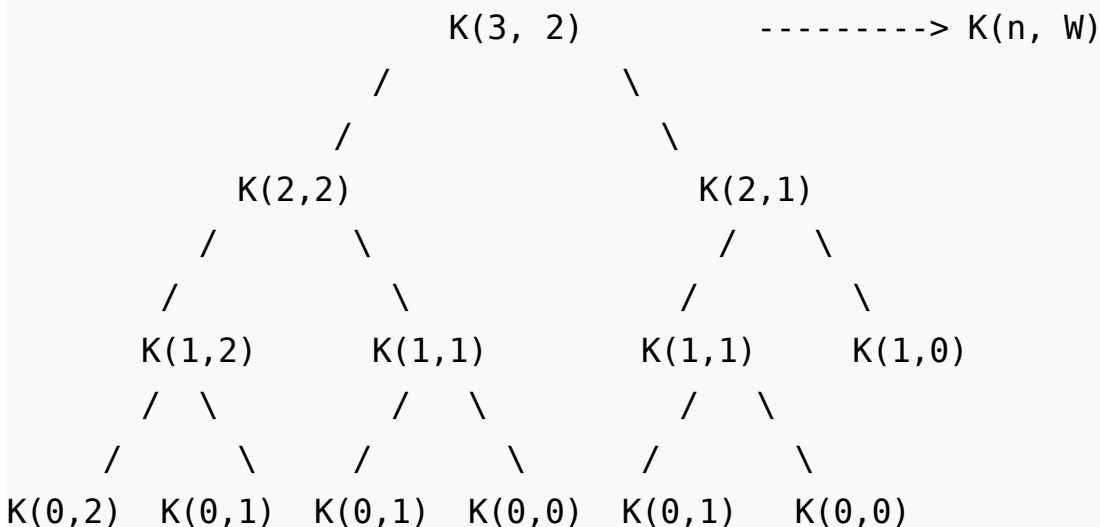
// Driver program to test above function
int main()
{
    int val[] = {60, 100, 120};
    int wt[] = {10, 20, 30};
    int W = 50;
    int n = sizeof(val)/sizeof(val[0]);
    printf("%d", knapSack(W, wt, val, n));
    return 0;
}

```

It should be noted that the above function computes the same subproblems again and again. See the following recursion tree,  $K(1, 1)$  is being evaluated twice. Time complexity of this naive recursive solution is exponential ( $2^n$ ).

In the following recursion tree,  $K()$  refers to  $\text{knapSack}()$ . The two parameters indicated in the following recursion tree are  $n$  and  $W$ . The recursion tree is for following sample inputs.

$\text{wt}[] = \{1, 1, 1\}$ ,  $W = 2$ ,  $\text{val}[] = \{10, 20, 30\}$



Recursion tree for Knapsack capacity 2 units and 3 items of 1 unit weight.

Since subproblems are evaluated again, this problem has Overlapping Subproblems property. So the 0-1 Knapsack problem has both properties (see [this](#) and [this](#)) of a dynamic programming problem. Like other typical **Dynamic Programming(DP) problems**, recomputations of same subproblems can be avoided by constructing a temporary array  $K[][]$  in bottom up manner. Following is Dynamic Programming based implementation.

```
// A Dynamic Programming based solution for 0-1 Knapsack
#include<stdio.h>
```

```
// A utility function that returns maximum of two integers
int max(int a, int b) { return (a > b)? a : b; }
```

```
// Returns the maximum value that can be put in a knapsack
int knapSack(int W, int wt[], int val[], int n)
{
    int i, w;
    int K[n+1][W+1];

    // Build table K[][] in bottom up manner
    for (i = 0; i <= n; i++)
    {
        for (w = 0; w <= W; w++)
        {
            if (i==0 || w==0)
                K[i][w] = 0;
            else if (wt[i-1] <= w)
                K[i][w] = max(val[i-1] + K[i-1][w-wt[i-1]], K[i-1][w]);
            else
                K[i][w] = K[i-1][w];
        }
    }

    return K[n][W];
}
```

```
int main()
{
    int val[] = {60, 100, 120};
    int wt[] = {10, 20, 30};
    int W = 50;
    int n = sizeof(val)/sizeof(val[0]);
    printf("%d", knapSack(W, wt, val, n));
    return 0;
}
```

Time Complexity:  $O(nW)$  where  $n$  is the number of items and  $W$  is the capacity of knapsack.

## References:

<http://www.es.ele.tue.nl/education/5MC10/Solutions/knapsack.pdf>

<http://www.cse.unl.edu/~goddard/Courses/CSCE310J/Lectures/Lecture8-DynamicProgramming.pdf>