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Canopy clustering algorithm

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The **canopy clustering algorithm** is an unsupervised pre-clustering algorithm introduced by [Andrew McCallum](#), [Kamal Nigam](#) and [Lyle Ungar](#) in 2000.^[1] It is often used as preprocessing step for the [K-means algorithm](#) or the [Hierarchical clustering](#) algorithm. It is intended to speed up clustering operations on large [data sets](#), where using another algorithm directly may be impractical due to the size of the data set.

The algorithm proceeds as follows, using two thresholds T_1 (the loose distance) and T_2 (the tight distance), where $T_1 > T_2$.^{[1][2]}

1. Begin with the set of data points to be clustered.
2. Remove a point from the set, beginning a new 'canopy'.
3. For each point left in the set, assign it to the new canopy if the distance less than the loose distance T_1 .
4. If the distance of the point is additionally less than the tight distance T_2 , remove it from the original set.
5. Repeat from step 2 until there are no more data points in the set to cluster.
6. These relatively cheaply clustered canopies can be sub-clustered using a more expensive but accurate algorithm.

An important note is that individual data points may be part of several canopies. As an additional speed-up, an approximate and fast distance metric can be used for 3, where a more accurate and slow distance metric can be used for step 4.

Since the algorithm uses distance functions and requires the specification of distance thresholds, its applicability for high-dimensional data is limited by the [curse of dimensionality](#). Only when a cheap and approximative – low-dimensional – distance function is available, the produced canopies will preserve the clusters produced by K-means.

Benefits [\[edit\]](#)

- The number of instances of training data that must be compared at each step is reduced
- There is some evidence that the resulting clusters are improved^[3]

References [\[edit\]](#)

- ↑ ^a ^b McCallum, A.; Nigam, K.; and Ungar L.H. (2000) "Efficient Clustering of High Dimensional Data Sets with Application to Reference Matching" , Proceedings of the sixth ACM SIGKDD international conference on Knowledge discovery and data mining, 169-178 doi:10.1145/347090.347123
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