





Breaking Enigma & the U-boat Codes and the Legacy of Alan Turing Tuesday 17th April 2012

Professor David Stupples
Centre for Cyber Security Sciences





Route map of our journey this evening





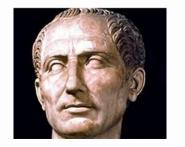
- Ciphers coming of age
- **❖**The Enigma Machine
- **❖**Poles and Spies
- ❖Dilly Knox and Bletchley Park
- ❖Alan Turing the Man 100 years young this year
- **❖**Breaking the Enigma Codes
- **❖**Spying on the Airwaves
- **❖**Battle with the U-boats
- **❖**Codes and the Cold War
- ❖Codes and Ciphers Today they are part of our every-day life
- **❖**Legacy of Alan Turing

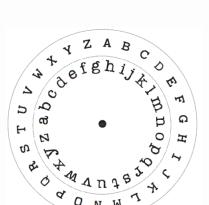




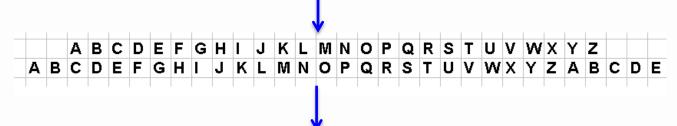


Our journey starts with the Caesar Cipher or 'monoalphabetic' substitution cipher





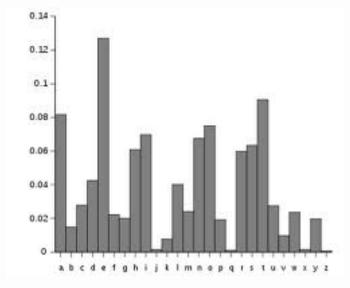




Cncp Vwtkpi dtqmg vjg Wdqcv eqfg

Letter frequency analysis and analysis of bigrams and trigrams make the cipher useless.

Bigrams – th, he, and in Trigrams – the, & and tha









Codebooks became the preferred communications method of Napoleon but were used throughout history for practising the art of secret writing. The Great Paris Cipher was based on Louis XIV's Grand Chiffre, adapted for use by the military, relying on identical copies of a table containing many numbers in the possession of both the sender and the receiver. It should be noted that this "Cipher" was actually a hybrid between a code and a cipher.

Using the single-number code:1253 could mean "Mississippi". But it could be enciphered letter-by-letter: 10.42.300.428.69.808.746.478 giving "m" "i" "s" "s" "i" "p" "p" "i"

Or we can encipher it using bigrams and single letters: 820.5.203.19.746.553 giving "mi" "ss" "is" "p" "pi"

If "m" can be enciphered with three different numbers, "i" with ten, "s" with eight, and "p" with two, we can calculate the number of ways the whole word can be ciphered using just single-letter substitutions:

 $3 \times 10 \times 8 \times 8 \times 10 \times 8 \times 8 \times 10 \times 2 \times 2 \times 10 = 491,520,000$







Codebooks are important for our story of Enigma as they were also used by Nazi Germany with the Enigma machine; e.g. Short-weather Codebook.

Major Scovell (English Cryptographer working for Wellington) needed a crib to break the code.

"I received your letter of — July: it is unfortunate that you were not able to attack 1214.609.656.803. occupied 58.850.112.1168.13.1388.1153.820."

Decoded to "I received your letter of - July: it is unfortunate that you were not able to attack the English army while they were occupied with the siege of 1168 of Salamanca."

Such a breakthrough was possible because of the partial enciphering of the message. Scovell's ability to decipher this and other messages came not from frequency analysis or mathematical calculation but from his knowledge of the French language. Using his understanding French syntax, grammar and behavioural characteristics, he could determine what the code numbers represented in context.

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The **Vigenère cipher** is a method of encrypting alphabetic text by using a series of different Caesar ciphers based on the letters of a keyword. It is a simple form of polyalphabetic substitution. This cipher is important to understanding Enigma!

ABCDEFGHIJKLMNOPQRSTUVWXYZ AABCDEFGHIJKLMNOPQRSTUVWXYZ BBCDEFGHIJKLMNOPQRSTUVWXYZA CDEFGHIJKLMNOPQRSTUVWXYZAB D D E F G H I J K L M N O P Q R S T U V W X Y Z A B C E F G H I I K L M N O P Q R S T U V W X Y Z F G H I J K L M N O P Q R S T U V W X Y Z A B C D E J K L M N O P Q R S T U V W X Y Z A B C D E F J K L M N O P Q R S T U V W X Y Z A B C D E IJKLMNOPQRSTUVWXYZABCDEFGH J K L M N O P Q R S T U V W X Y Z A B C D E F G H I K K L M N O P Q R S T U V W X Y Z A B C D E F G H I J LLMNOPQRSTUVWXYZABCDEFGHIJK M M N O P Q R S T U V W X Y Z A B C D E F G $N \ N \ O \ P \ Q \ R \ S \ T \ U \ V \ W \ X \ Y \ Z \ A \ B \ C \ D \ E \ F \ G \ H \ I \ J \ K \ L \ M$ O O P Q R S T U V W X Y Z A B C D E F G H I PPQRSTUVWXYZABCDEFGHIJKLMNO Q Q R S T U V W X Y Z A B C D E F G H I J K L M N O P RRSTUVWXYZABCDEFGHIJKLMNO SSTUVWXYZABCDEFGHIJKLMNOPQR TTUVWXYZABCDEFGHIJKLMNOPQRS U U V W X Y Z A B C D E F G H I J K L M N O P Q R S T V V W X Y Z A B C D E F G H I J K L M N O P Q R S T U WWXYZABCDEFGHIJKLMNOPQRSTUV XXYZABCDEFGHIJKLMNOPQRSTUVW YYZABCDEFGHIJKLMNOPQRSTUVWX ZZABCDEFGHIJKLMNOPQRSTUVWXY

Plaintext: ATTACKATDAWN

Key: LEMONLEMONLE

Ciphertext: LXFOPVEFRNHR

So long as the keyword is secret and is as long as the message the cipher is reasonably good – much better if it were unique 'lemonisgreat'!

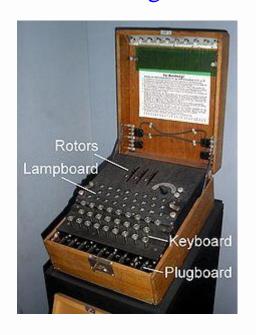
Our last part of basic theory – the key is symmetric – it must be know at both ends!







Three-rotor Enigma machine used by the Wehrmacht and the Luftwaffe























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The Kreigsmarine 4-rotor machine

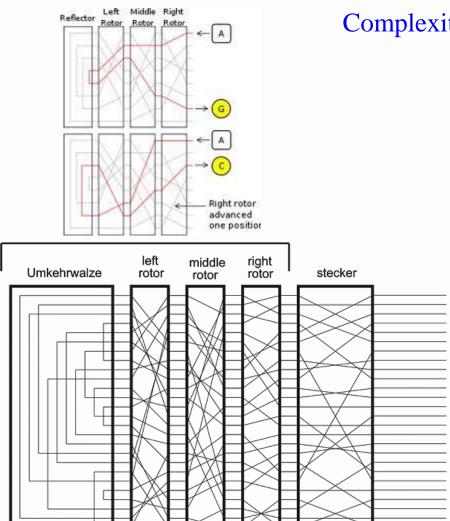




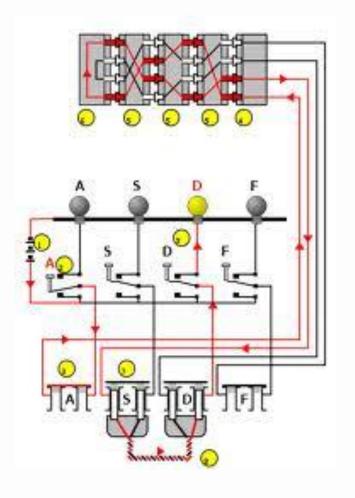








Complexity of the three rotor Enigma machine









Mathematics of the three and four rotor Enigma machines

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Step 1 – plugboard (Stekkerboard) combinations (where p is the number of plugs)
  26!/((26-p)! \times p! \times 2^p) = 26!/((26-10)! \times 10! \times 2^{10}) = 150,738,274,937,250
Step 2 – initial rotor (cipher wheel) settings
   26^3 = 17,576 possible values — three-rotor Enigma
   26^4 = 456,972 possible values - four-Rotor Enigma
```

Step 3 – possible rotor (cipher wheel) combinations

5!x3!/3!(5-3)!=5x4x3=60 – for the three-rotor Enigma

8!x4!/4!(8-4)!=8x7x5=336 – for the four rotor Enigma

Step 4 – possible rotor notch (ring) combinations

26²=676 - same for both three and for rotor Enigma

Step 4 – possible practical combinations; theoretical combustions are higher Plugboard x Initial rotor settings x rotor combinations x notch combinations $150,738,274,937,250 \times 17,576 \times 60 \times 676 = 1.075 \times 10^{23}$ for the three rotor $150,738,274,937,250 \times 456,972 \times 336 \times 676 = 1.56 \times 10^{25}$ for the four rotor or - one hundred thousand billion billion (three rotor) and – 15 million billion (four rotor)







Polish Intelligence (*Biuro Szyfrów*) needed to break the Enigma traffic driven by the imperative of finding what the Germans were up to. The Bureau's deputy chief, and the chief of its German section (BS-4), was **Captain Maksymilian Ciężki.** In 1932 a team of young mathematicians was set up with **Henryk Zygalski**, **Jerzy Rozycki** and **Marian Rejewski**.





...from left to right



Rejewski made one of the greatest advances in cryptographic history in December 1932 by applying mathematical group theory, to breaking the German military Enigma ciphers. Together they overcame the ever-growing structural and operating complexities of the evolving Enigma with plugboard in the 1930s. They laid the foundations of the science of cryptanalysis and have only recently received their just recognition.



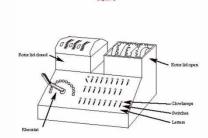


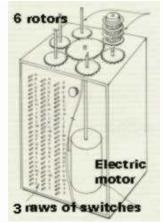


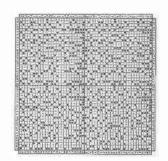
The Cyclometer" measured the Enigma cycles. In a few years they were able to set up a library of more than 80,000 typical set ups for Enigma. By mid-1938 they reached the peak of their operation.

Rejewski developed a faster and more powerful approach comprising six Enigma machines connected together and driven by a single motor - the **Bomba**, a term later used at Bletchley Park. Using the Bomba, all combinations could be examined in two hours. By November 1938 the system was operating and German messages were again being decrypted.

Zygalski developed a method using perforated sheets. Each sheet had 51x51 squares and about 1000 holes arranged in a pattern. Twenty-six sheets, one for each rotor position, were required. As the sheets were superimposed and adjusted on each other, light was passed through giving possible solutions. Six sets of these were required for finding possible Enigma settings. This substantially reduced demand on the "Bomba".













In the early 1930s, Schmidt (a serving officer) at the German Armed Forces' cryptographic headquarters. Shortly after the military version of the Enigma machine was introduced, he contacted French intelligence and offered to supply information about the new machine (for money). His offer was accepted by Captain Gustave Bertrand of French Intelligence, and he received from the French the codename *Asche*, and was assigned a French contact, codenamed *Rex*. For the next several years, until he left his position in Germany, he met with French agents at various European cities and supplied them copies of the Enigma machine's instruction manual, operating procedures, and lists of key settings. Even with this information, however, French Intelligence was unable to break messages encrypted on the Enigma. Nor were the British cryptologists whom Bertrand contacted able to make any headway.







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Bletchley Park – Station X



In 1939, Bletchley Park received the work from the Poles and combined it with work already undertaken. Dilly Knox and his team (Mavis Batey, nee Lever, being one) were able to make substantial progress on the non-stekkered enigma machines including the 'K' (used by the Italians at the Battle of Matapan) and the 'G' used by the German Secret Service (Abwehr).











The technique used was 'rodding' invented by Dilly Knox. 'Rodding' required a crib with which to begin, however this technique did not provide a complete sequence of characters from the plain text and considerable linguistic skill was required to fill in the gaps, not unlike that required for solving crossword puzzles. Every correct inference made about the content of a message obtained in this way could then be used as an extension of the crib, and this would enable the process to be continued.





Bletchley Park – Station X



Help from the Germans in deciphering Enigma!

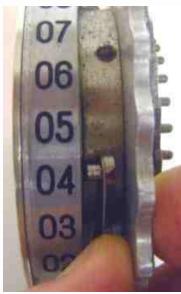
Cillies

When army/airforce operators were setting wheel start positions they often used the keyboard as an aid memoire, or part of a well known saying, etc



Herivel Tip





The ring settings could be adjusted before or after inserting the rotors into the machine. Herivel assumed that at least some of the operators would adjust them after. In the normal course of things, adjusting the rotors inside the machine would likely leave the correct ring setting at the top, or near the top, of the rotors.





CITY UNIVERSITY Alan Turing the Man - 100 years young



Alan Mathison Turing, OBE, FRS, 23 June 1912 – 7 June 1954, was an English mathematician, logician, cryptanalyst, and computer scientist.











Sherborne School, Dorset, 1926-30



Kings College, Cambridge 1931-34; Mathematics



Princeton, New Jersey 1936-38; PhD Mathematics

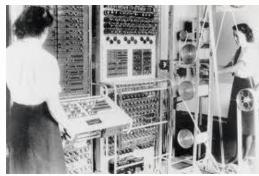




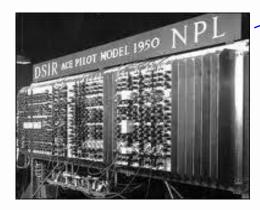
CITY UNIVERSITY Alan Turing the Man - 100 years young





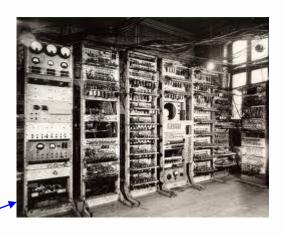


Bletchley Park - GC&CS Bombe 1940-45



Automatic Computing Engine at the National Physical Laboratory 1945-48

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Electronic Delay Storage Automatic Calculator – Manchester University 1948-54



Cray
Supercomputer
2010







Alan Turing devised a procedure for breaking the Enigma based on a 'crib' known by codebreakers (cryptanalysts) and a plaintext attack. This procedure reduces the key space to a little over one million combinations and does not need prior knowledge of the plugboard (stekker) connections.

For our example – part of a common W/T test transmission often had the plain text DASXISTXEINXABSTIMM - this text uses X to represent a space.

The cipher text could be: ADVJAREVEADJEVGHRQNNDMCPA Slide the plain-text crib to avoid encryption of a letter to itself!



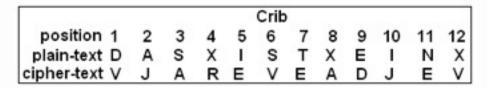
Move the plaintext to fit

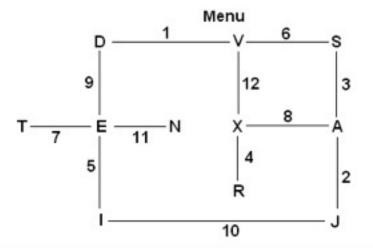






For my example I will shorten the crib slightly to develop a Bletchley menu.





Note that this menu has two loops

$$D \rightarrow V \rightarrow S \rightarrow A \rightarrow J \rightarrow I \rightarrow E \rightarrow D$$

$$S \rightarrow A \rightarrow X \rightarrow V$$

Also note relative positions of the rotors as the cipher text proceeds

Using the smaller loop, we shall see that we have enough information to begin our break of Enigma code

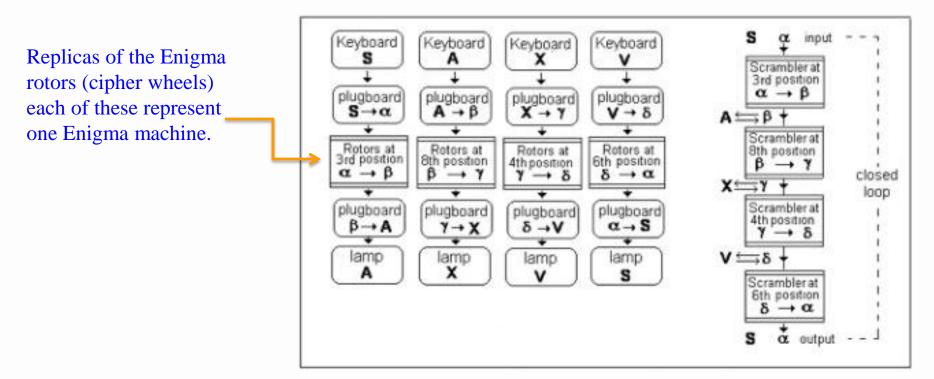
Courtesy of the Rutherford Journal







Turing used logic and hypothesis testing and contradictions to identify the first of stekker connections.



This procedure exploits the symmetrical relationship between the stekker pairs; if S is stekkered to 'a' then 'a' is stekkered to S.

Courtesy of the Rutherford Journal

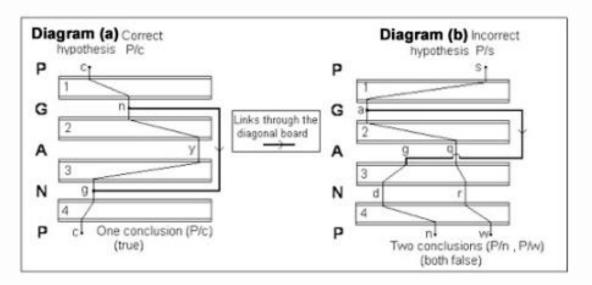
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Turing had made a very important breakthrough but the method was still impractical timewise. A serious operational difficulty with the first (prototype) Bombe was that the menu should contain at least three loops and hence the crib needed to be long. Most German wartime messages were relatively short.

Gordon Welchman, another Cambridge mathematician, realised that the symmetry property of the stekker board could be exploited further and developed a diagonal board addition for the Bombe. Briefly it worked like this;



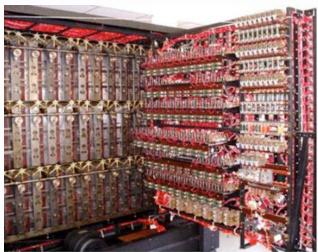




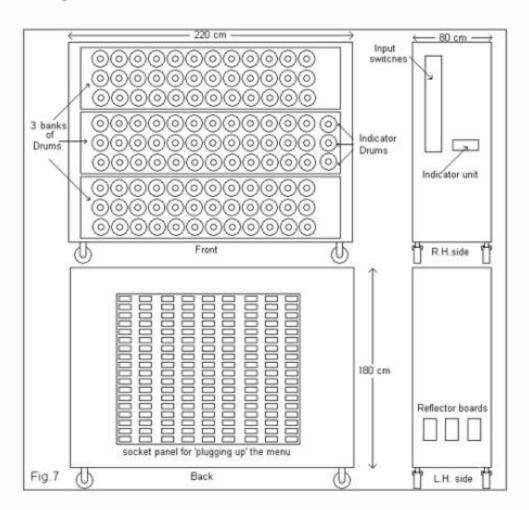


The physical characteristics of the Turing/Welchman Bombe





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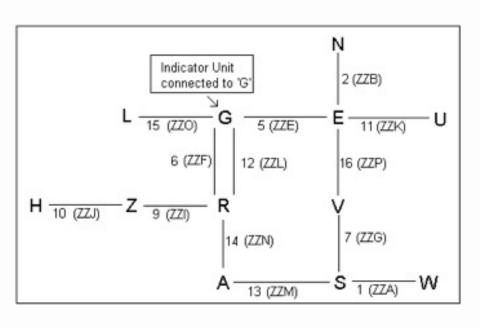






A worked simple example from Bletchley Park – used to test the rebuilt Bombe

Positions 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 Cipher S N M K G G S T Z Z U G A R L V Plain W E T T E R V O R H E R S A G E



Position al No	Drum Letter Positions	Position al No	Drum Letter Positions
0	ZZZ	26	ZAZ
1	ZZA	27	ZAA
2	ZZB	28	ZAB
3	ZZC	29	ZAC
25	ZZY	51	ZAY







A worked simple example - continued

After several runs the rotor order was found to be II, V and III

The starting position of the rotors (the indicator) DKK

The stekker pair was G/Q used for the hypothesis

A checking machine found the other stekkers to be to A/D, E/T, H/M, L/J, N/V, U/F, Z/P with no stekkers R/R, S/S, and W/W.

The ninth and tenth stekker pairs were found from letters from the crib that were not used.

Since the set up was found for the day – the only thing that would change from message to message during the that day was the three letter indicator – which was relatively easy to find.





Spying on the Airwaves





Wehrmacht radio operations



Radio operator on board of U-124.





Luftwaffe Radio operations

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Every U-boat & surface ship, every Wehrmacht unit and every Luftwaffe unit and squadron would be equipped with radio and Enigma for secure radio communications



Spying on the Airwaves





Y-Intercept Stations





Wireless operators (Wops)- the unsung heroes of the signals war!



Beaumanor Hall





Spying on the Airwaves



Beachy Head, Sussex

Beaumanor Hall, near Loughborough

Beeston Bump, Beeston Regis, Norfolk

Bishop's Waltham, Hampshire

RAF Canterbury, Kent

Cheadle, Staffordshire

RAF Chicksands, Bedfordshire

RAF Clophill, Bedfordshire

Cromer, Norfolk

G.P.O. Radiophone Station Kemback

Foreign Office Denmark Hill, Camberwell

Met Office Dunstable, Bedfordshire

Felixstowe, Suffolk

Gilnahirk, Belfast

Gorleston, Norfolk

Harpenden, Hertfordshire (Army, No. 1

Special Wireless Group)

HMS Flowerdown, Winchester, Hampshire

HMS Forest Moor, Harrogate, Yorkshire

Kedleston Hall, Derbyshire

RAF Kingsdown, West Kingsdown, Kent

RAF Monks Risborough, Buckinghamshire

Foreign Office Knockholt, Kent

Army Markyate, Hertfordshire

North Walsham, Norfolk

Foreign Office Sandridge, Hertfordshire

Saxmundham, Suffolk

Army Shenley Hertfordshire

South Walsham, Norfolk

Southwold, Suffolk

Stockland Bristol Nr Bridgwater, Somerset

Stockton-on-Tees, Cleveland

RAF Waddington, Lincolnshire







The difficulty with the Naval Enigma (Shark) was that the messages were very short and that 'codebooks' were used to add security and increase efficiency of communication.

Two messages received by Scarborough:

SC28/04/43 0940 7369 A348 LQB 0910/28/04/43 QGMI VVEE SERQ YGBW IAHK HW......BHB

SC28/04/43 1140 7369 A356 TMF 1110/28/04/43 MLWP EOIG VUWY USNT AHFT WW......LLG

Without knowledge of the codebook and the message indicator (setting of the rotor wheels) this message would be impossible to read – ie there is no crib to work on.







This is how the naval operator set the wheels (*Kenngruppenbuch* Indicator System). Select two trigrams from the *Kenngruppenbuch* – say *BFA* and *LXZ*, add two random letters and lay out as follows.

CBFA LXZB (given in his setting list for the day) and tapped out *LXZ* to get and enciphered indicator – say *RGL*. The operator then turned his wheels to this setting and enciphered his message. The fourth wheel was set as part of the daily settings.

The operator turned his wheels to the ground settings



Before sending the enciphered text the operator had to disguise the key setting for the recipient. Procedure is as follows:

CBFA LXZB



RVMK

The indicator *RVMK EYPW* was then sent in plaintext before the encrypted message

With non-naval Enigma the indicator was simply encoded with the base setting from 1938.









^					~ · · · · · · · · · · · · · · · · · · ·	afel für		·) [e	opperor	·	Trug	Renuwert:
$M\Lambda = R0$	LA = EU	Ι ζ Λ = Ε1	$J\Lambda = WE$	ia = nn	HA = JR	GA = NE	$\Gamma \Lambda = XP$	LA == TC	DA = PK	CA == K,I	BA = IK	AA RN
B = 1P	B = kH	B = GW	B = OY	B = VF	B = NO	B = JO	B = 01	R = JX	B = EZ	$B = \Gamma O$	B = RT	B = KW
C = W	C = VO	C = IM	C = NQ	C = DN	C = GY	C = BK	C = IU	C = OM	$C = \Lambda W$	C == .1 V	C=EY	C-FM
D = T	D = YA	D = SE	D = KK	D = FW	D = TB	D = FL	D = RB	D = LIJ	D = JM	D = BM	$D = \Lambda K$	D - YE
E = BC	E = CV	$E = \Lambda G$	E = TN	E = RP	E = ZI	E = ZT	$E = P\Lambda$	E - HY	$\mathbf{E} = \mathbf{W}\mathbf{B}$	E == M7	E = OW	E NR
F = K	F = SC	F = JH	F = VS	F = EO	F = QY	F = SA	F = DZ	F == A3	F = XY	F = EK	F = WQ	F = UC
G = N	G = JU	G = PN	G = FR	G = WS	G = OA	G = LR	G = NV	G = PU	$G = 7.\Lambda$	G=KT	$G = 0\Lambda$	G = KE
H = V	H = ZQ	H = DT	H = KF	H = NU	H = CU	H = TP	11 = ZK	II = WO	II == DS	H = A7.	H = ZZ	H = XU
1 = X	1 = RX	I = LV	t = PM	1 = TM	1 = QS	1 = MW	1 = QR	1 = 1.4	t = MT	1 == 100	1 = 0G	1 - PC
J = E	J = WZ	$J = C\Lambda$	J = SV	J = XO	J = 1S	J = AU	J = LN	J = GZ	J = OE	J = TQ	J = HQ	J = JP
K = Z1	K = EM	K = JD	K = 1T	K = BA	K = PG	K = QN	K = EL	K = CF	K = FP	K = GX	K = GC	K = BD
L = D	L = OK	L = TO	L = UP	L = MS	L = KR	L = WH	L = GD	L = FK	L = Rt	L = RE	L = PR	L = 01
M=SI	M=TR	M=BW	M=DD	M=KC	M=SF	M=PB	M=AC	M=LK	M=VV	$M = M\Lambda$	M=CD	M HT
N = L	N = FJ	N = UX	N = PX	N = QZ	N = SZ	N = OX	N = CP	N = TY	N=1C	N = OS	N = NL	N = MR
0 = N	O = MN	O = QC	O = GB	O = YV	O = FY	O = JT	O = VE	O = 1F	O = YJ	O = DY	O = US	O = BZ
P = F	? = BT	P = VJ	$P = \Lambda J$	P = MB	P = MX	P = RY	P = DK	P=NC	P = NF	P == FH	P = SX	P = XI
Q = 0	Q = 1V	Q = RS	Q = XH	Q = OV	Q = BJ	Q = 1Z	Q = PY	Q =: WV	Q = GU	Q = QT	Q = ME	Q = QZ
R = A	R = GG	R = HL	R = HA	R = SH	R = OP	R = CY	R = JG	R = ES	R = MI.	R = XJ	R = YN	R=UK
S = 11	S=NW	S = ER	S = YZ	S = HJ	S = RJ.	S = RF	S = UE	S = QW	S = QG	S = ZB	S = DII	S = EF
T = D	T = UF	T = CG	T = G0	T = JK	T = AM	T = XV	T = SR	T = XD	T = KH	T = SW	T = I.P	T = IY
U=B	U = XW	U=XZ	U = LG	U=FC	U = UQ		U = WX	3 to 100	U = WI	U = IIII	U = XK	$\mathbf{U} = \mathbf{G}\mathbf{J}$
V = U	V = K1	V = MF	V = CC	V = LQ		V = UM	V = TI.	V := P.L	V = HV	V = LE	V = T1	$\mathbf{V} = \mathbf{Q}\mathbf{U}$
W = G	W = PW	W= AB	W = QL	W=PH	W= DV	W= KB	W=1D	W= 515	W= 50	W=VP	W=KM	W = DC
X = H	X = PP	X = ZY	X = EB	X = UD	X = WJ	X = CK	X = YS	X = UZ	X = NT	X = UG	X = VY	x - uv
Y == V	Y = QD	Y = NZ	Y = TU	$Y = \Lambda T$	Y = VN	Y = 11C	Y = 110	y - nc	Y = CO	Y = GR	Y = MU	Y = SG
Z = C	Z = HV	A STATE OF THE PARTY OF THE PAR	Z = UW	Z = GQ	Z = YD		$\mathbb{Z} = \operatorname{IJ} P$	Z == 12'3	Z = FF	Z = Y1	Z = AO	Z - C11

Fortfetung f. Silldif.ite!







Turing developed a system he called Banburismus to derive the indicators. The aim of Banburismus was to reduce the time required of the electromechanical Bombe machines by identifying the most likely right-hand and middle wheels of the Enigma. BP performed the procedure continuously for two years, stopping only in 1943 when sufficient bombe time became readily available. The principle behind Banburismus was similar ideas to the to the Index of Coincidence within language.

However, BP also relied on 'pinches' to recover Cryptographic 'key' and 'codebook' material. Here are some of the operations.

26/4/1940 Polares, a German trawler captured – Enigma logs/settings/Naval indicators 12/09/1940 proposed daring pinch in the English Channel by Ian Fleming 4/3/1941 Krebs, German trawler captured – Naval Enigma settings 7/5/1941 Munchen, German weather ship captured – Naval Enigma settings 9/5/1941 U-110 captured – Enigma settings, codebooks and Offizier settings 28/6/1941 Lauenburg weather ship – Enigma settings, codebooks, bigram tables 27/8/1941 U-570 captured – Enigma settings 20/1/1942 Germans replace short weather codebook; now unable to read Naval Enigma

20/1/1942 Germans replace short weather codebook; now unable to read Naval Enigma 30/10/1942 U-559 capture — Enigma settings, short-weather codebooks etc available

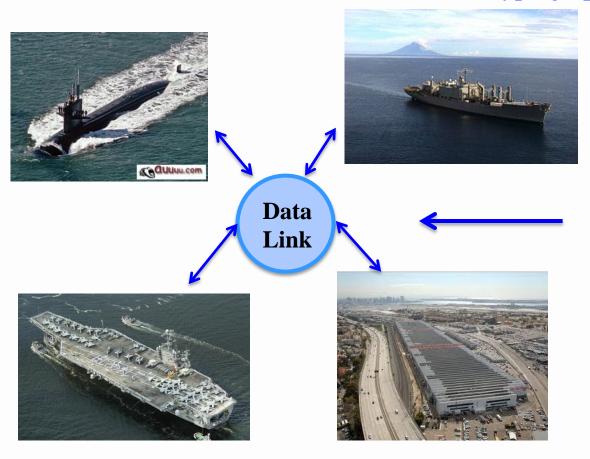




After Enigma and the Cold War



Were all the lessons learned on how to secure cryptographic keys?







Protected by the encryption devices – KL47 and KL7

US Navy (1968-84)

Centre for Cyber Security Sciences

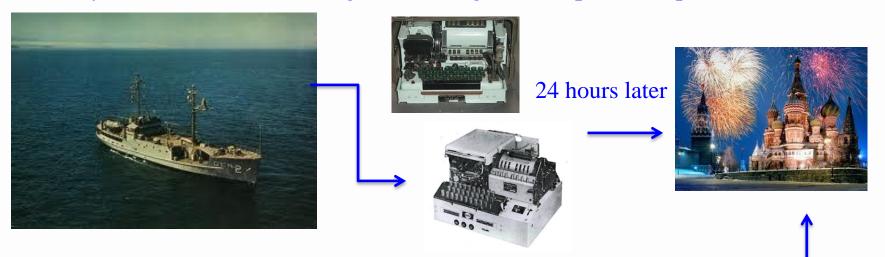




After Enigma and the Cold War



In January 1968, USS Pueblo (Signals Intelligence Ship) was captured off North Korea



From 1968 to 1985 the Walker Spy Ring Passed to Cryptographic key data to the Soviets.















Codes and Ciphers Today

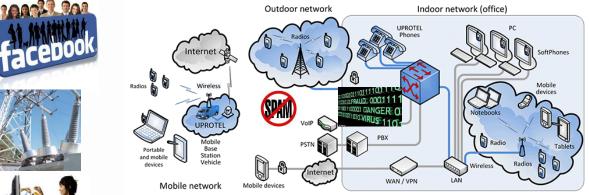


......ciphers are part of our every day life they protect our privacy and our personal secrets Turing has taught to look after the cipher – it will then look

after us!





















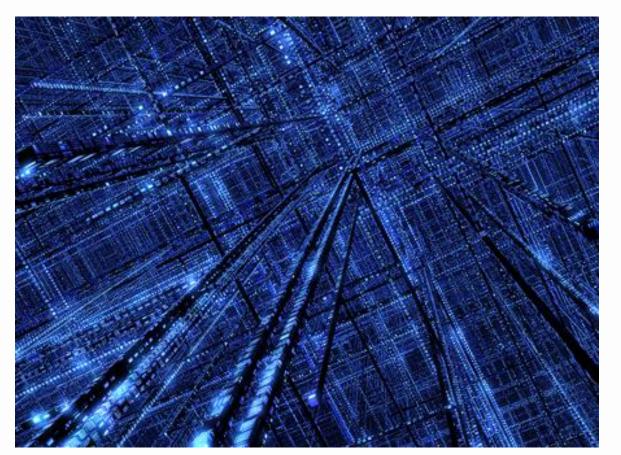




Legacy of Alan Turing



Alan Turing set the foundations for the World-Wide Web and how we can make it secure











Thank you!

