



# Internal Combustion Engines

## I: Fundamentals and Performance Metrics

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2018 Princeton-Combustion Institute  
Summer School on Combustion

Course Length: 9 hrs

(Mon.- Wed., June 25-27)

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### Short course outline:

Internal Combustion (IC) engine fundamentals and performance metrics, computer modeling supported by in-depth understanding of fundamental engine processes and detailed experiments in engine design optimization.

#### Day 1 (Engine fundamentals)

Hour 1: IC Engine Review, Thermodynamics and 0-D modeling

Hour 2: 1-D modeling, Charge Preparation

Hour 3: Engine Performance Metrics, 3-D flow modeling

#### Day 2 (Computer modeling/engine processes)

Hour 4: Engine combustion physics and chemistry

Hour 5: Premixed Charge Spark-ignited engines

Hour 6: Spray modeling

#### Day 3 (Engine Applications and Optimization)

Hour 7: Heat transfer and Spray Combustion Research

Hour 8: Diesel Combustion modeling

Hour 9: Optimization and Low Temperature Combustion



## Overview of optimization techniques

- Enumerative or exhaustive
- Calculus or gradient-based
  - “local” methods which search in the neighborhood of current design point

- Random
  - “global” methods such as genetic algorithms (GA) which typically converge on a global optimum
- Univariate (one-factor-at-a-time)
- Design of Experiments (DOE)

Two-level factorial designs (main and interaction effects)  
Response surface methods (RSM)  
Statistical model building





Senecal, 2000

## Genetic algorithms

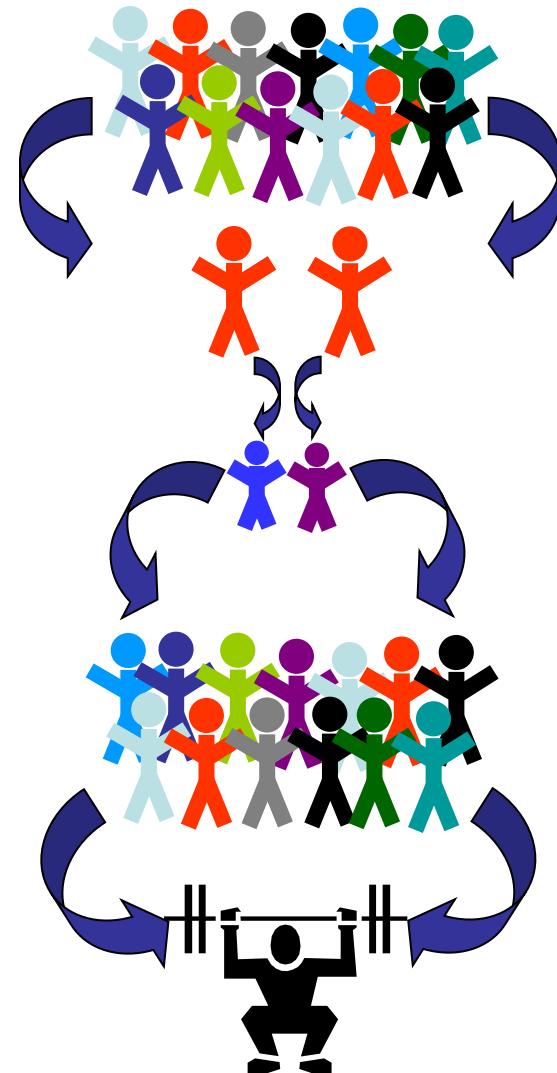
“Individuals” are generated through random selection and a “population” is produced

A model is used to evaluate the fitness of each individual

The fittest individuals are allowed to “reproduce”

A new “generation” is formed - “mutations” are allowed through random changes

The fitness criteria thins out the population and the most fit solution is achieved over successive generations





## Implementation of algorithm

Binary representation of parameters  $X$  - “genes”

Goldberg, 1989  
Carrol, 1996  
Senecal, 2000

$X_1 \quad X_2 \quad X_3$

10101101    01101    01001001

$\lambda$

- “chromosome” gene string

Precision

$$\pi = \frac{X_{i,\max} - X_{i,\min}}{2^\lambda - 1}$$

Evaluate merit  $f(X)$  for each generation member - identify “fittest” members  
 Binary tournament selection → Bit-swapping “Cross-over”

Parent 1

10101101 0110101001001

Parent 2

01100100 1110110101011

Bit-flipping  
Random “mutation”

10101101 1110110101011

01100100 0110101001001

Descendants

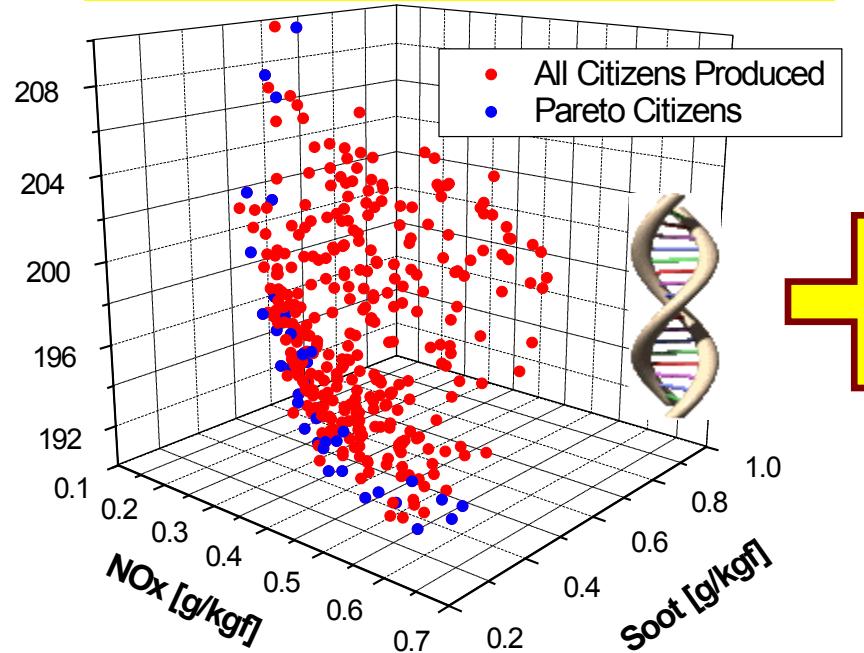




## Optimization methodology

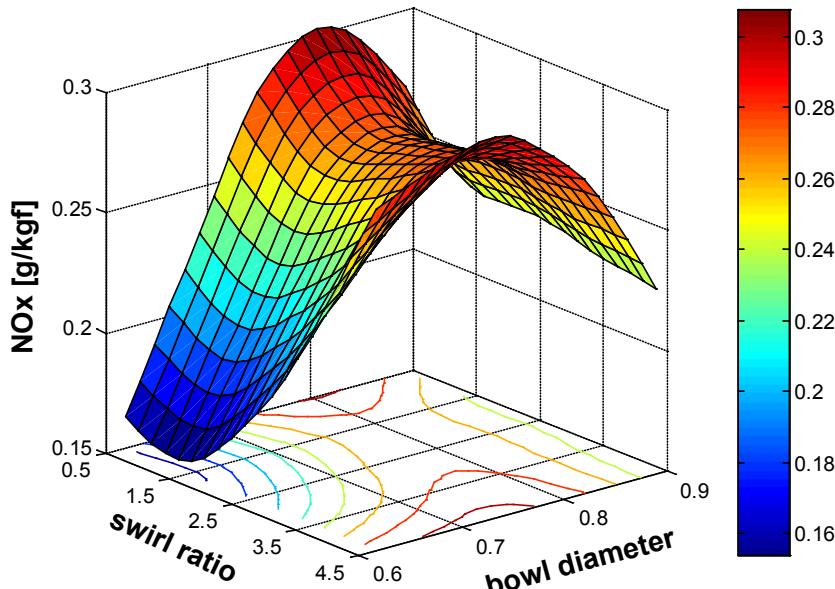
### Multi-Objective Genetic Algorithm

GISFC [g/kW-hr]



- Simultaneous optimization of many objectives [1]
- No merit function required to drive search
- Pareto front offers more information than a single optimum

### Nonparametric Regression Technique



- Regression technique suitable for handling irregular and undesigned data sets (e.g., GA data) [2]
- Utilizes otherwise discarded optimization data
- Captures magnitude of effects AND the shape of their response



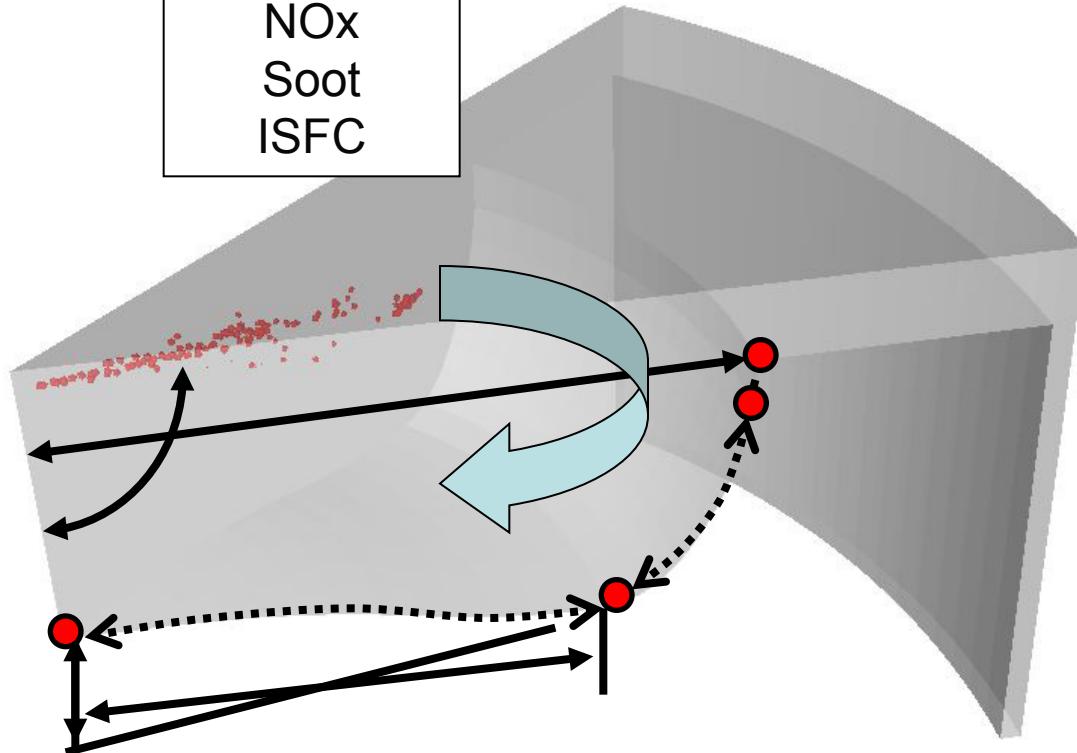
## Example optimization - piston bowl design

Parameters and Objectives

Genzale, 2007

Optimize:

NOx  
Soot  
ISFC



7 Geometry Parameters:

Pip height

Bowl diameter

$\phi$  of bowl bottom

4 curvature control points

Injector Spray Angle

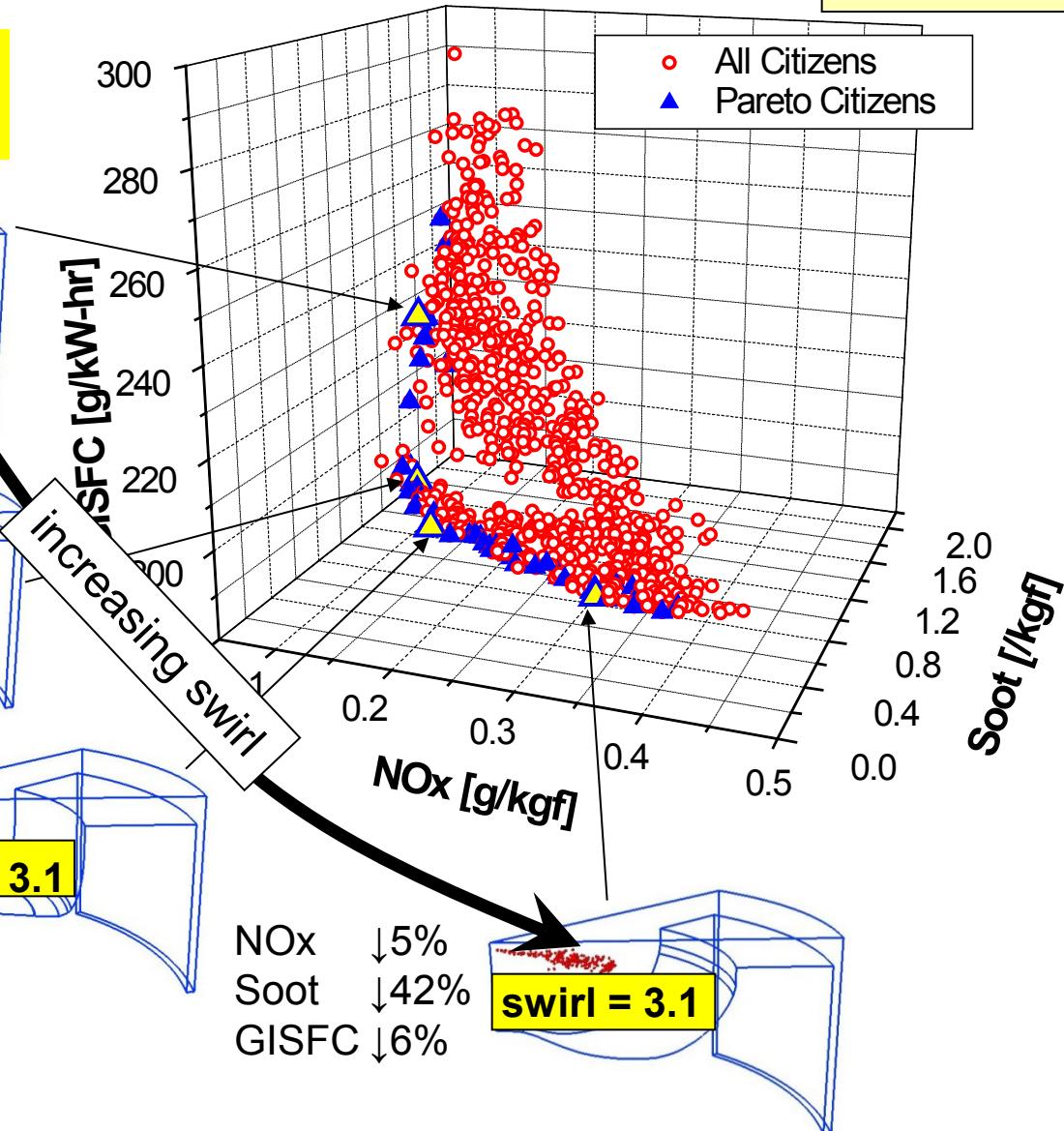
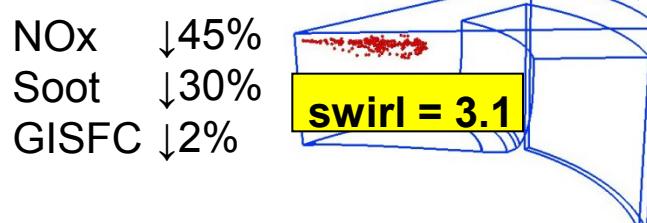
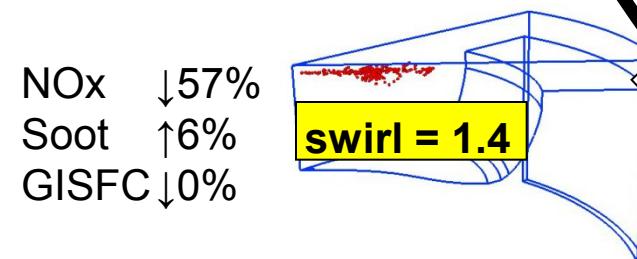
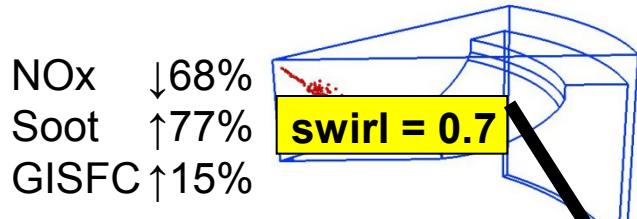
Swirl Ratio



## Pareto front designs

Genzale, 2007

Bowl geometry or injection targeting trends?



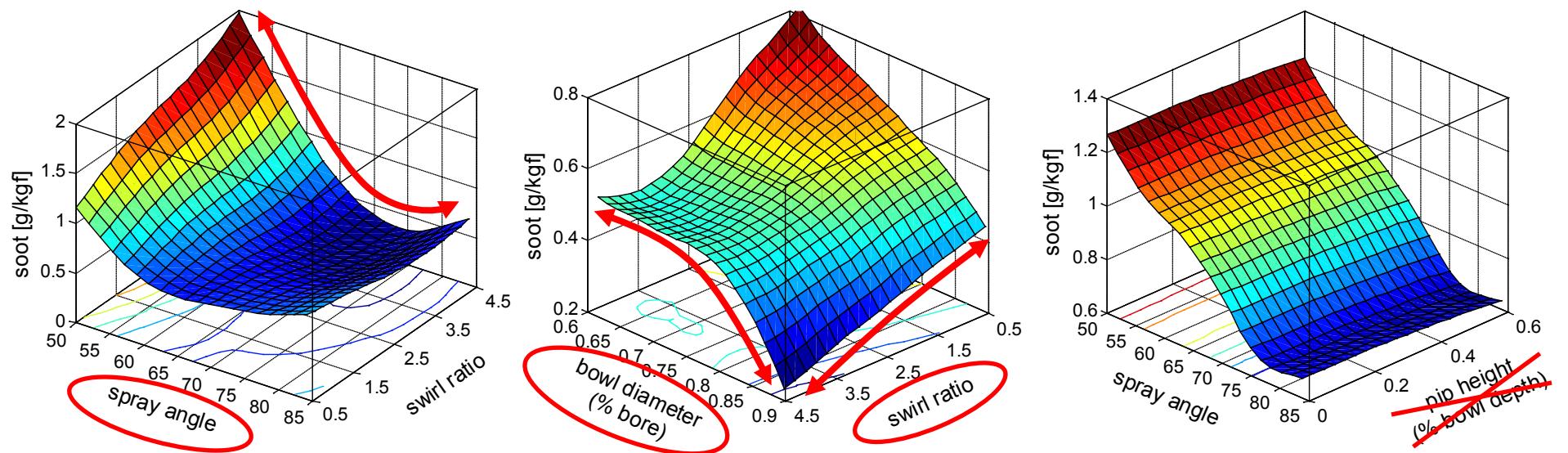


## Regression – Identify dominant design parameters

Genzale, 2007

Regression fits performed for each design on the Pareto front

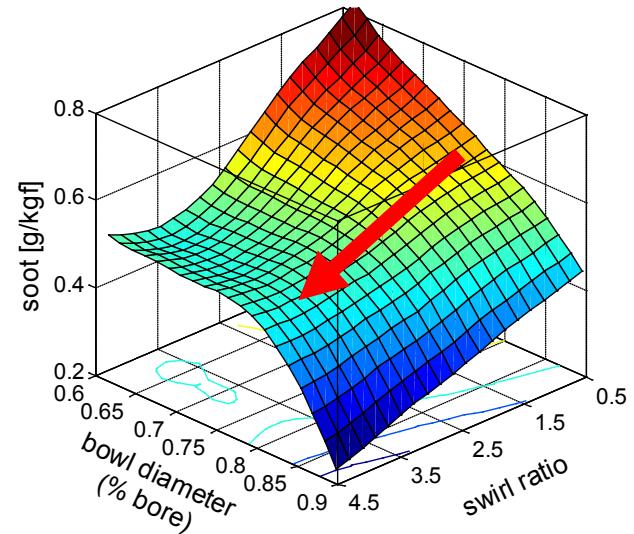
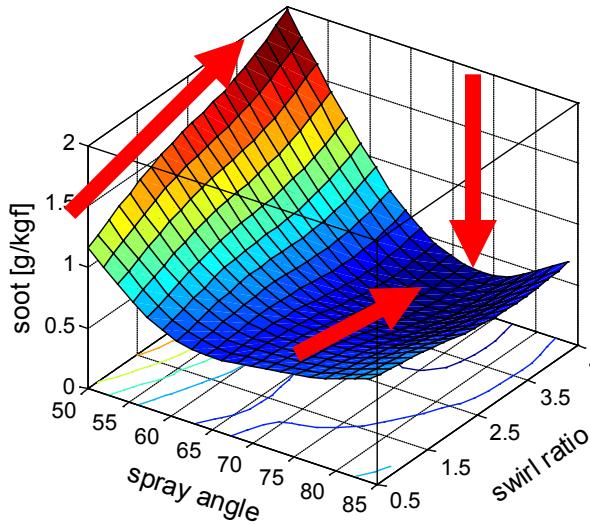
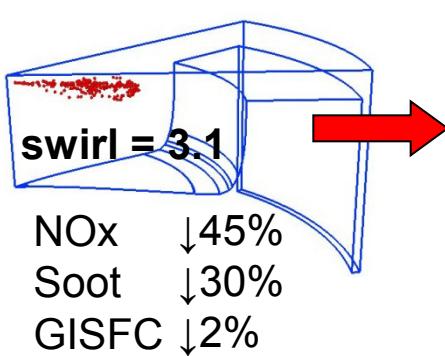
- 3 dominant design parameters identified:
  1. Spray angle
  2. Swirl ratio
  3. Bowl diameter





## Regression – Understand Parameter Effects

Genzale, 2007



### Response Surface Observations:

An optimal spray angle is predicted.

Increased swirl ratio is predicted to enhance soot reduction near the optimal spray angle.

Increases soot emissions at narrow spray angles.

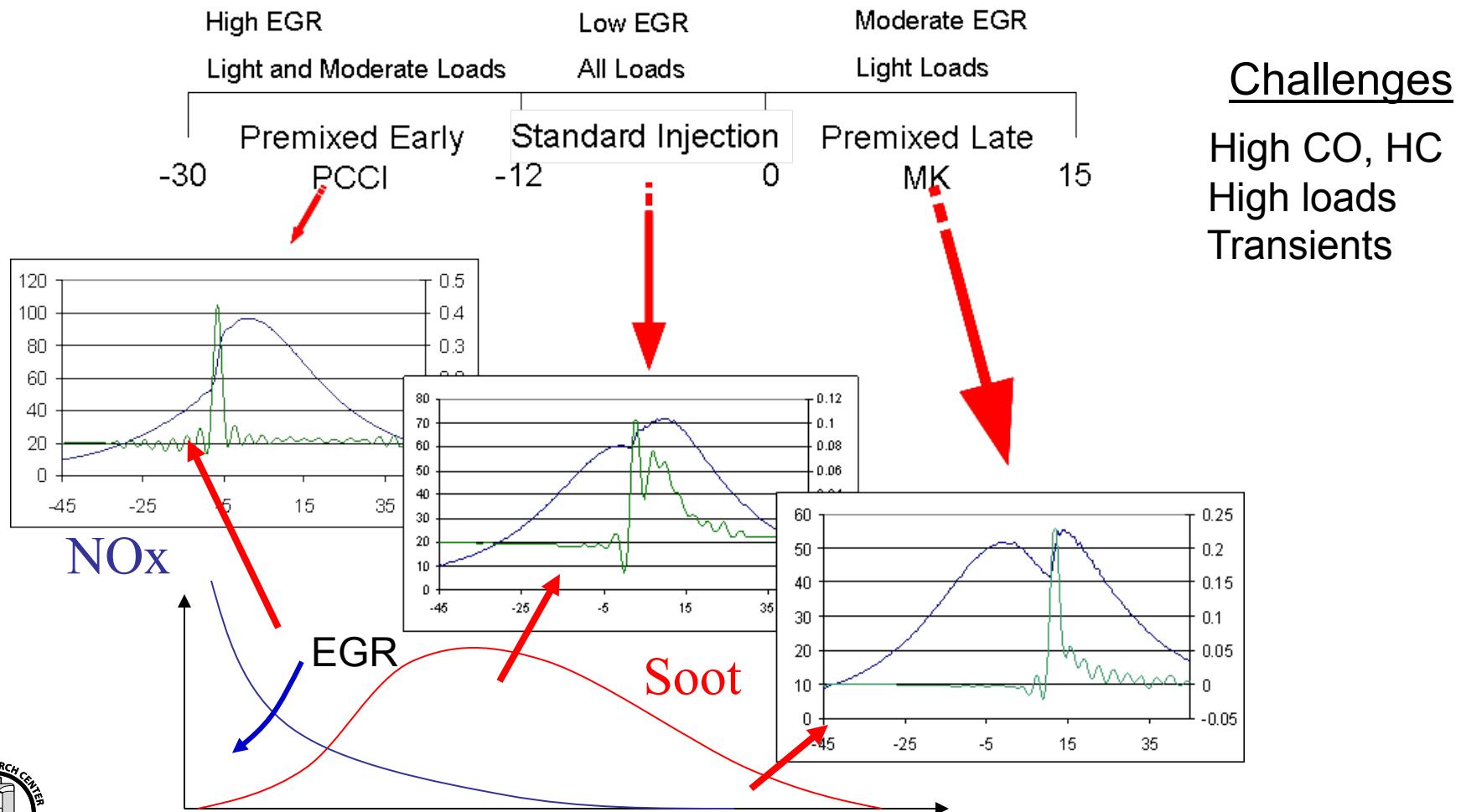
Increased swirl ratio is predicted to decrease soot at all bowl diameters.

## Optimization of LTC - low temperature combustion

Klingbeil, 2003

Increased interest in advanced combustion regimes

RCCI, HCCI, PCCI, MK - offer simultaneous reduction of NOx and soot





Kokjohn, 2009

## Combustion optimization - fuel and EGR selection

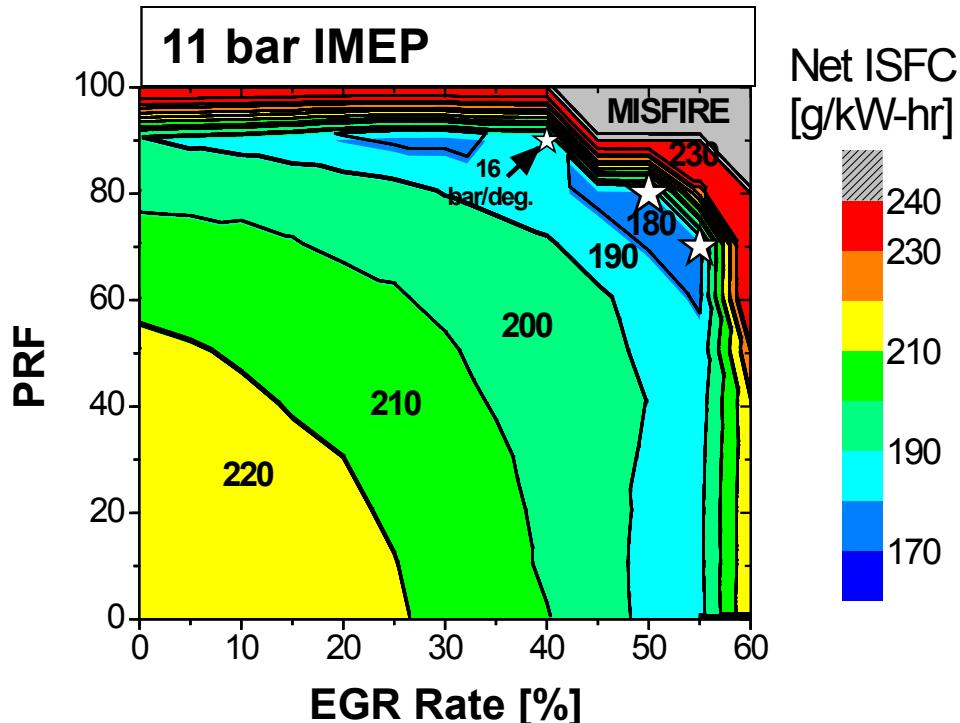
HCCI simulations used to choose optimal EGR rate and PRF (isoctane/n-heptane) blend

At 6, 9, and 11 bar IMEP

1300 rev/min

As load is increased the minimum ISFC cannot be achieved with either neat diesel fuel or neat gasoline

Predicted contours are in good agreement with HCCI experiments





## Charge preparation optimization

Premixed and Direct Injected fuel blending

Desirable to use traditional diesel type injector

Large nozzle hole (250 µm)  
Wide angle (145° included angle)

KIVA + Multi-Objective Genetic Algorithm (MOGA)

Fuel reactivity and EGR from HCCI investigation (9 bar IMEP)

Global PRF = 65

EGR rate = 50%

Five optimization parameters

Minimize two objectives

Wall film amount

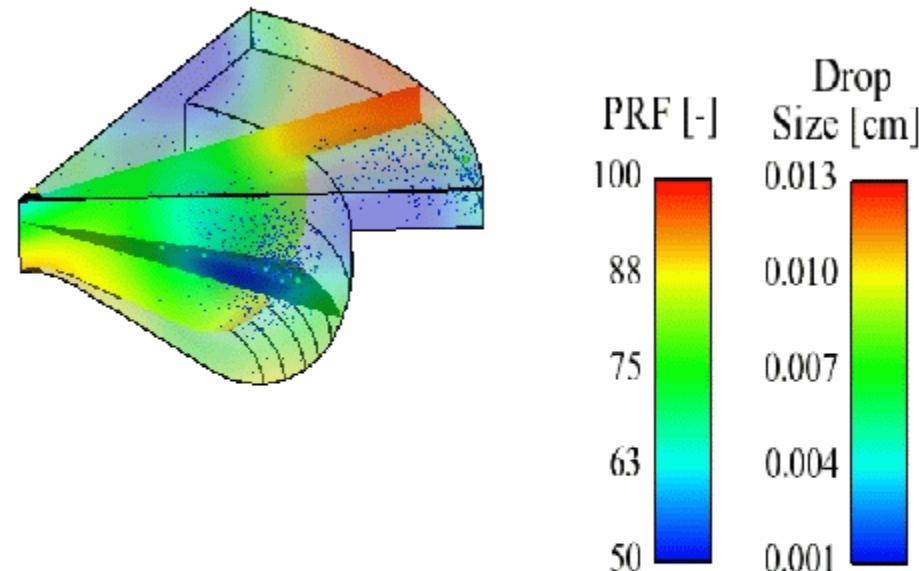
PRF Inhomogeneity

Simulations run to 10 °BTDC

21 generations with a population size of 24

Inj. 1 Pressure	100 to 1500 bar
Inj. 2 Pressure	100 to 1500 bar
SOI 1	IVC to (SOI2-20) °ATDC
SOI 2	-50 to -30 °ATDC

Crank = -10.0 °ATDC



Kokjohn, 2009

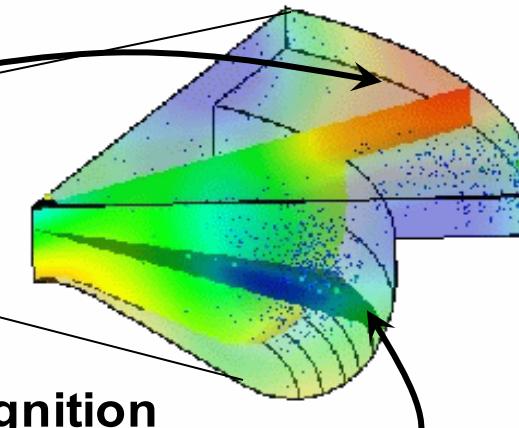
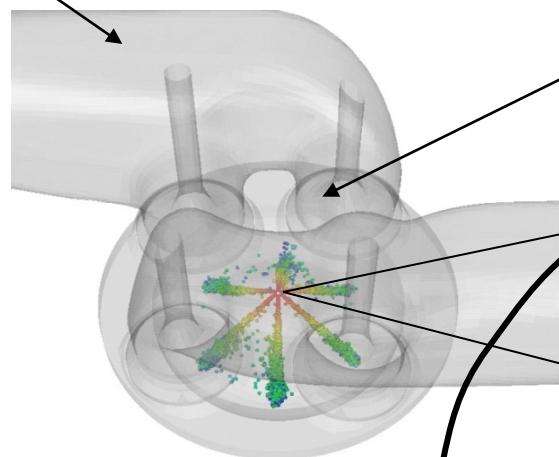


## Optimized Reactivity Controlled Compression Ignition (RCCI)

Port injected gasoline

Optimized fuel blending in-cylinder

Direct injected diesel



Gasoline

PRF [-]



Diesel

Injection  
Signal

Squish  
Conditioning

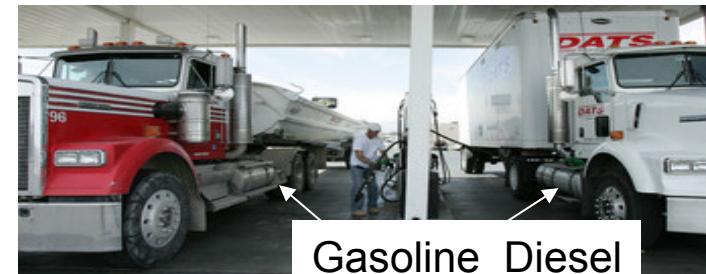
Ignition  
Source

-80 to -50

-45 to -30

Crank Angle (deg. ATDC)

Kokjohn, 2009

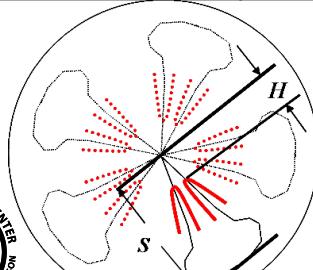
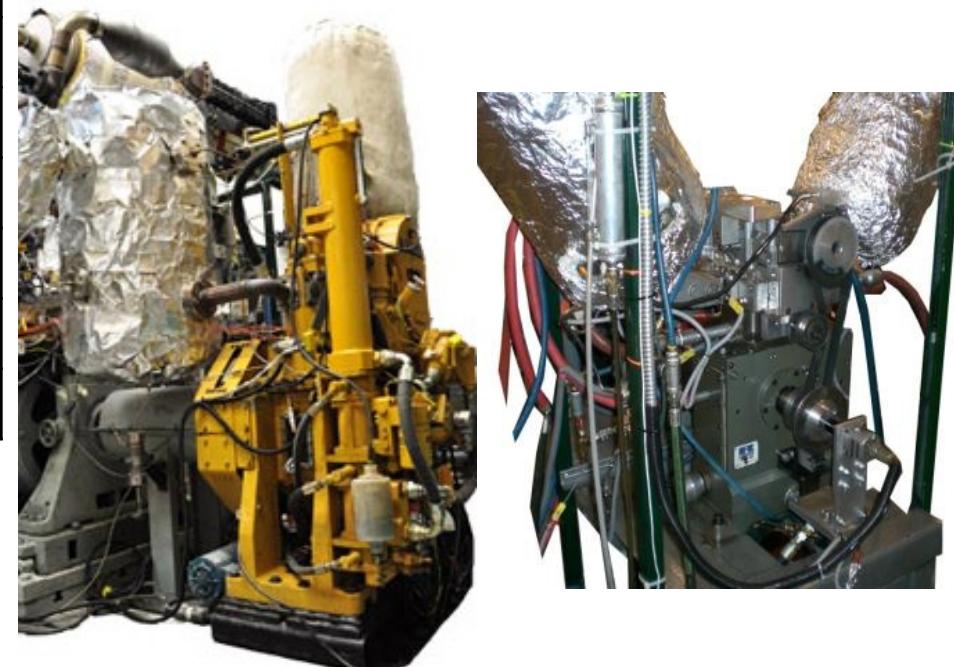
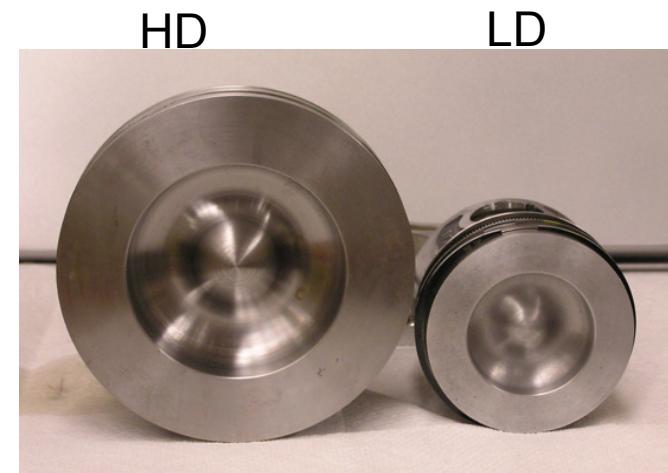


Gasoline Diesel



## Heavy- and light-duty ERC experimental engines

Engine	Heavy Duty	Light Duty
Engine	CAT SCOTE	GM 1.9 L
Displ. (L/cyl)	2.44	0.477
Bore (cm)	13.72	8.2
Stroke (cm)	16.51	9.04
Squish (cm)	0.157	0.133
CR	16.1:1	15.2:1
Swirl ratio	0.7	2.2
IVC ( $^{\circ}$ ATDC)	-85 and -143	-132
EVO( $^{\circ}$ ATDC)	130	112
Injector type	Common rail	
Nozzle holes	6	8
Hole size ( $\mu\text{m}$ )	250	128



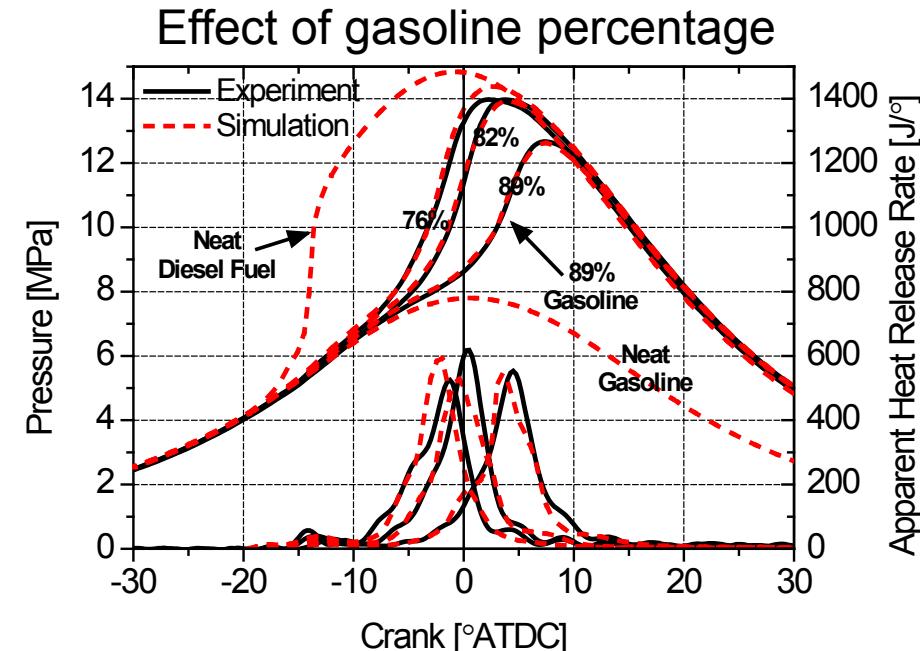
Engine size scaling  
Staples, 2009



## Experimental validation - HD Caterpillar SCOTE

Hanson, 2010

IMEP (bar)	9
Speed (rpm)	1300
EGR (%)	43
Equivalence ratio (-)	0.5
Intake Temp. (° C)	32
Intake pressure (bar)	1.74
Gasoline (% mass)	76    82    89
Diesel inject press. (bar)	800
SOI1 (° ATDC)	-58
SOI2 (° ATDC)	-37
Fract. diesel in 1 <sup>st</sup> pulse	0.62
IVC (°BTDC)/Comp ratio	143/16



Computer modeling predictions confirmed

Combustion timing and Pressure Rise Rate control with diesel/gasoline ratio

Dual-fuel can be used to extend load limits of either pure diesel or gasoline



## RCCI – high efficiency, low emissions, fuel flexibility

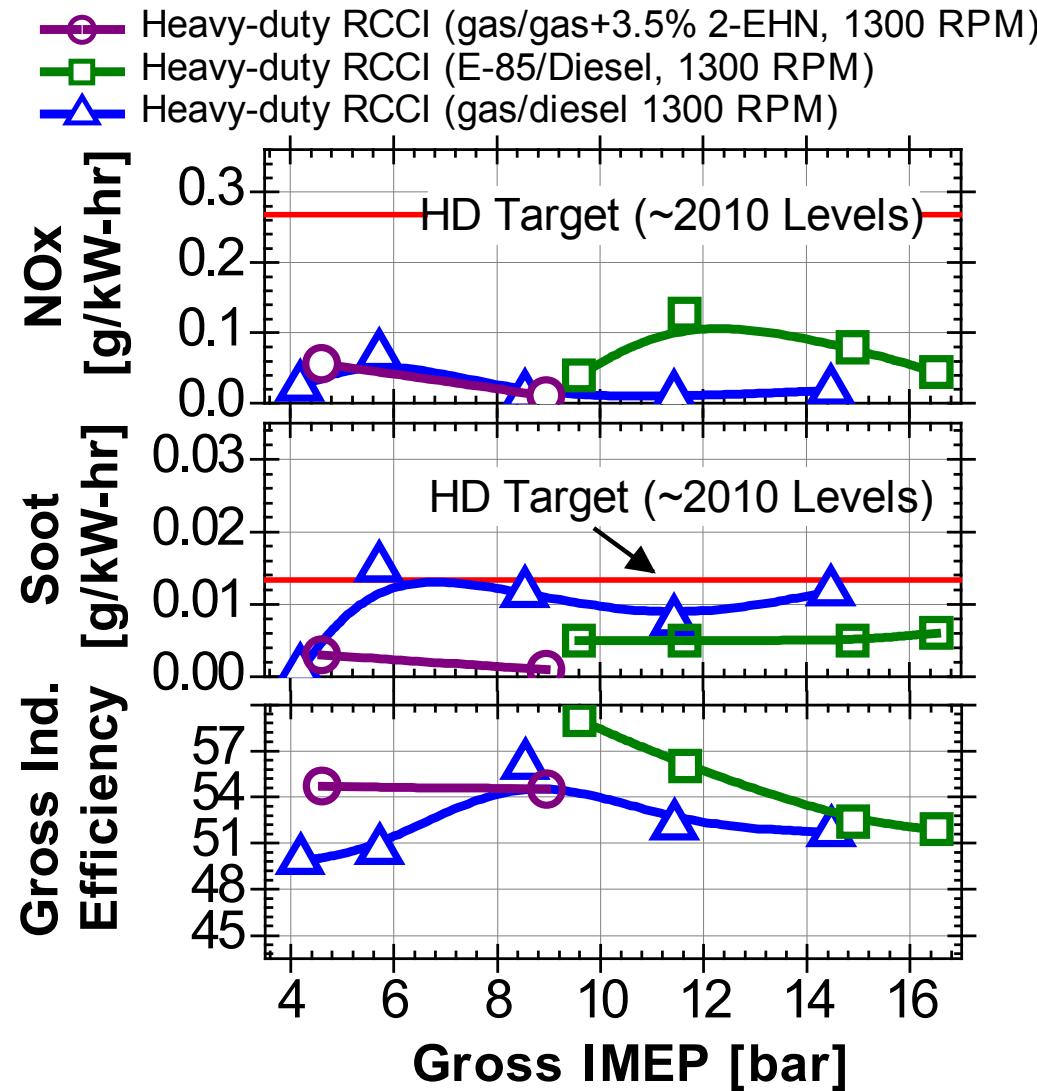
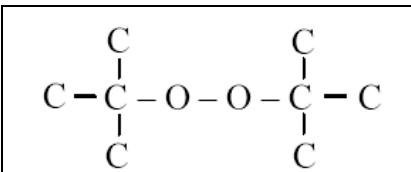
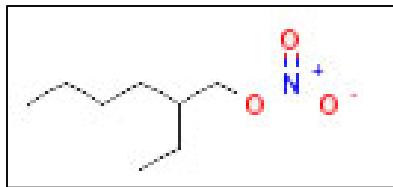
Hanson, 2011  
Splitter, 2010

Indicated efficiency of  $58 \pm 1\%$   
achieved with E85/diesel

Emissions met in-cylinder,  
without need for after-treatment

Considerable fuel flexibility,  
including ‘single’ fuel operation

Diesel can be replaced with  
<0.5% total cetane improver  
(2-EHN/DTBP) in gasoline  
- less additive than SCR DEF

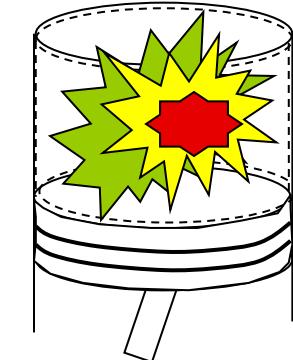




## Dual fuel RCCI combustion – controlled HCCI

Heat release occurs in 3 stages (SAE 2010-01-0345, 2012-01-0375)

RCCI



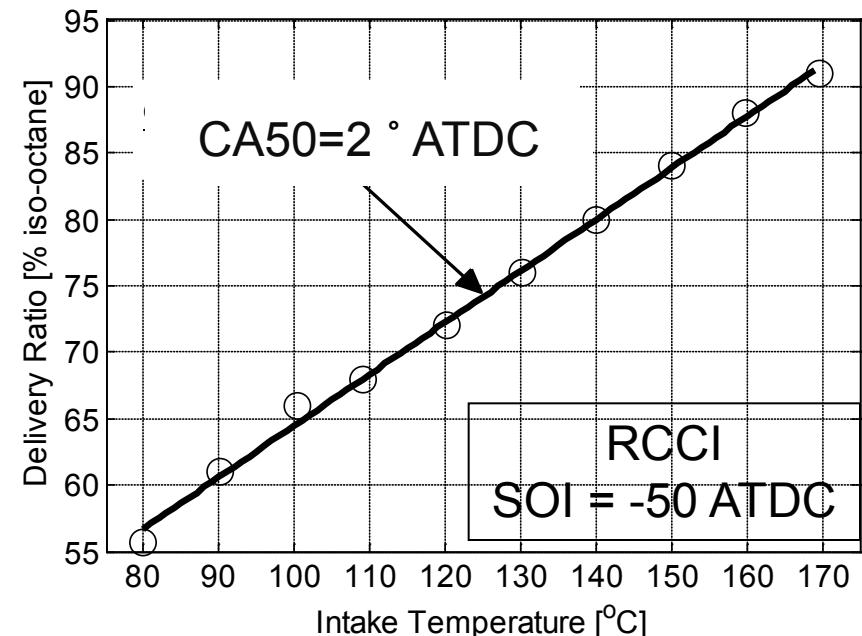
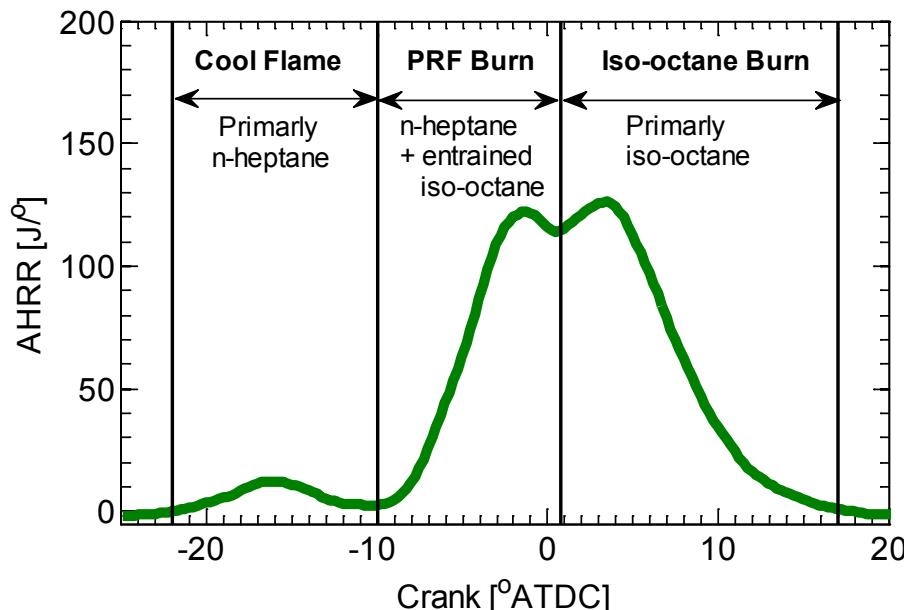
Cool flame reactions result from diesel (n-heptane) injection

First energy release occurs where both fuels are mixed

Final energy release occurs where lower reactivity fuel is located

Changing fuel ratios changes relative magnitudes of stages

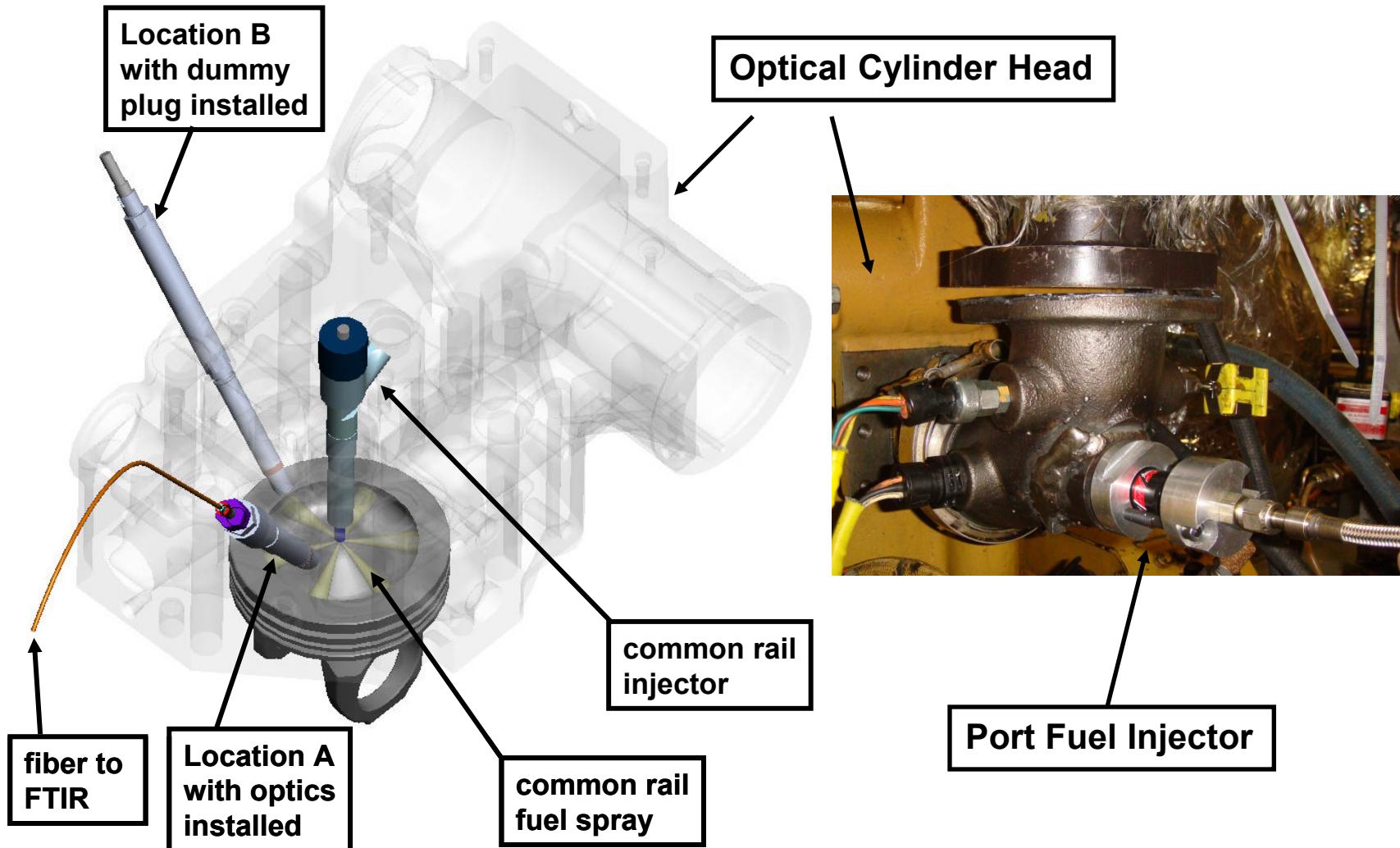
Fueling ratio provides “next cycle” CA50 transient control





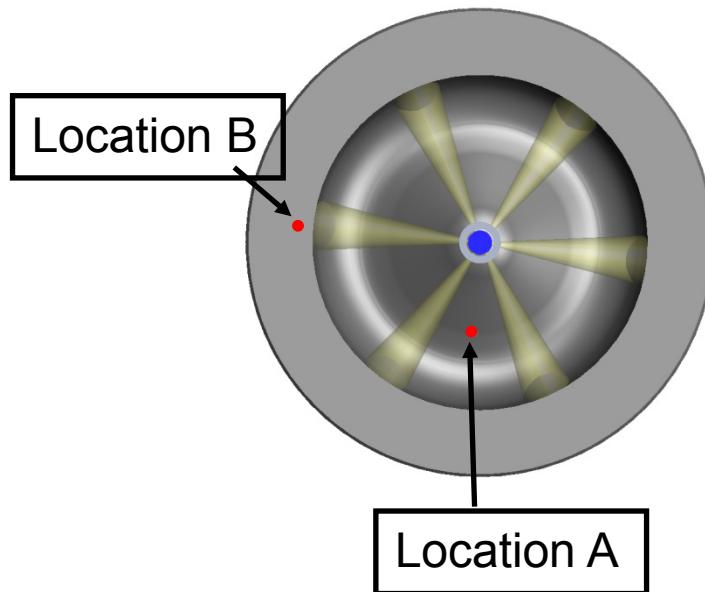
## Understanding RCCI combustion

Splitter, 2010





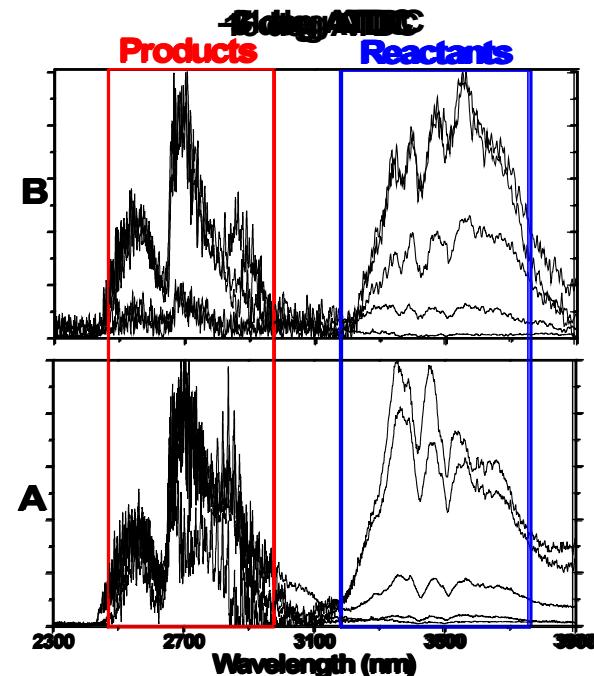
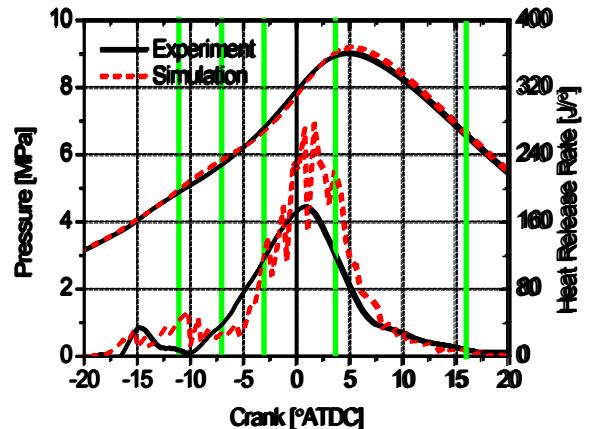
## Understanding RCCI combustion



Experimental in-cylinder FTIR measurements of combustion process at two locations

Spectra shows different fuel species at locations A and B, a result of the reactivity gradient

Fuel decomposition and combustion products form at a slower rate at location B, extending combustion duration





## Light-duty drive-cycle performance

Compare conventional diesel combustion (CDC) and Reactivity Controlled Compression Ignition (RCCI) combustion

Compare at same operating conditions (CR, boost, IMT, swirl...)

ERC KIVA-Chemkin Code

Reduced primary reference fuel used to model diesel and gasoline kinetics

Suite of improved ERC spray models

### Diesel fuel injector specifications

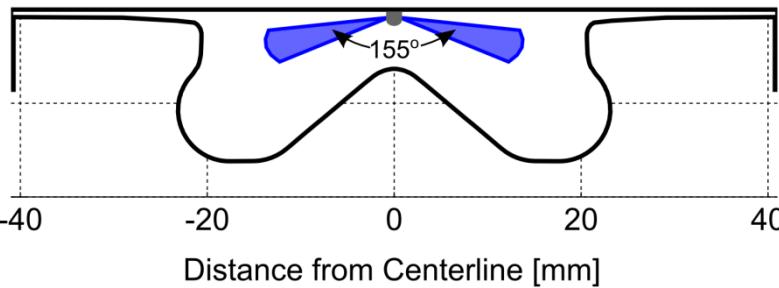
Type	Bosch common rail
Actuation type	Solenoid
Included angle	155°
Number of holes	7
Hole size ( $\mu\text{m}$ )	141

Distance from  
firedeck [mm]

0  
-10  
-20

Distance from Centerline [mm]

Combustion Chamber Geometry



### Engine specifications

Base engine type	GM 1.9 L
Bore (mm)	82
Stroke (mm)	90.4
Connecting rod length (mm)	145.5
Squish height (mm)	0.617
Displacement (L)	0.4774
Compression ratio	16.7:1
Swirl ratio	1.5 to 3.2
IVC (°ATDC)	-132°
EVO (°ATDC)	112°



## Comparison - RCCI vs. conventional diesel

Kokjohn, 2013

Five operating points of Ad-hoc fuels working group

Tier 2 bin 5 NOx targets from

Cooper, SAE 2006-01-1145

(assumes 3500lb Passenger Car)

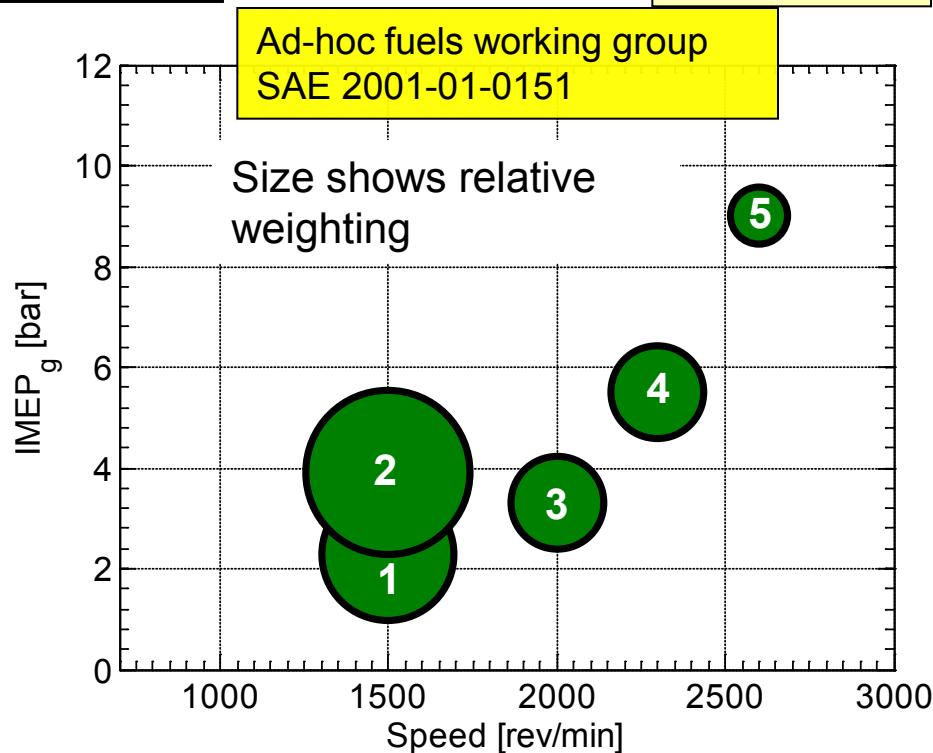
Evaluate NOx / fuel efficiency tradeoff using SCR for CDC

### Assumptions

Diesel exhaust fluid (DEF) consumption is 1% per g/kW-hr NOx reduction

Johnson, SAE 2011-01-0304

No penalty for DPF regeneration  
UHC and CO only contribute to reduced work



Mode	Speed (rpm)	IMEP (bar)	CDC Baseline NOx (g/kgf) *	NOx Target (g/kgf)
1	1500	2	1.3	0.2
2	1500	3.9	0.9	0.4
3	2000	3.3	1.1	0.3
4	2300	5.5	8.4	0.6
5	2600	9	17.2	1.2

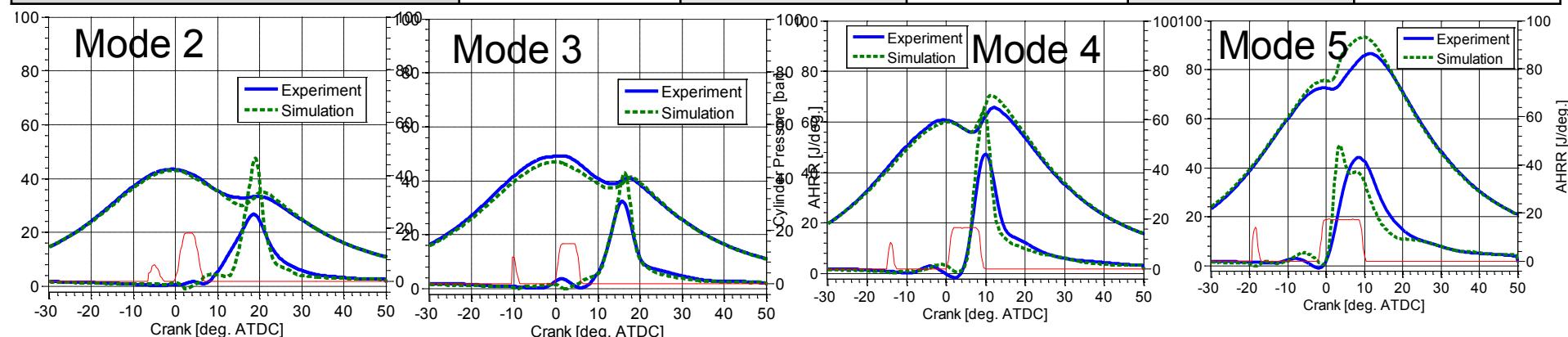
\* Baseline CDC Euro 4: Hanson, SAE 2012-01-0380



# Euro 4 operating conditions - conventional diesel

Kokjohn, 2013

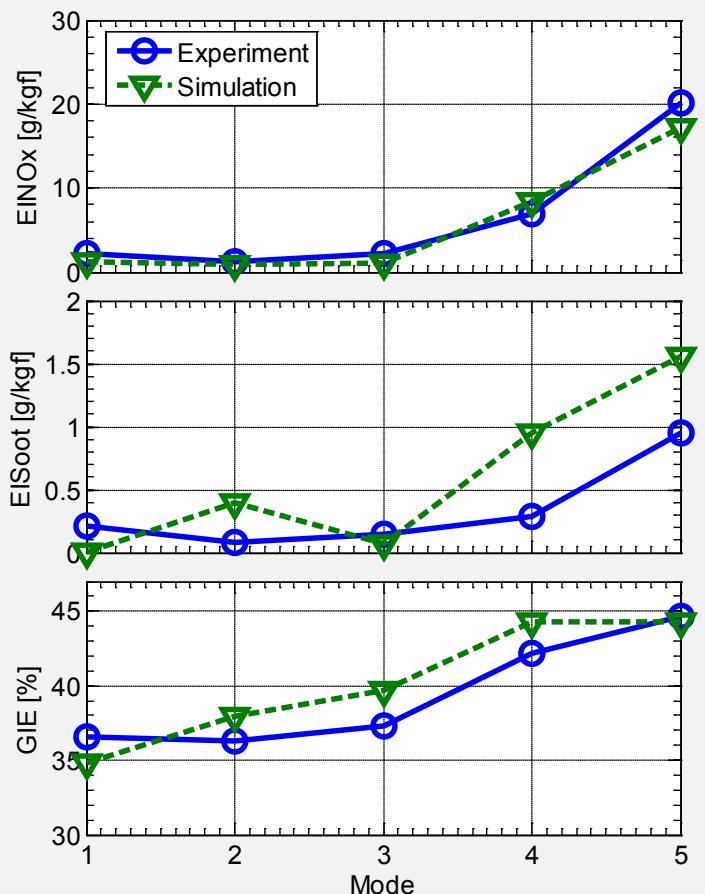
Model validation	CDC Operating Conditions *				
Mode	1	2	3	4	5
IMEPg (bar)	2.3	3.9	3.3	5.5	9
Speed (rev/min)	1500	1500	2000	2300	2600
Total Fuel (mg/inj.)	5.6	9.5	8	13.3	20.9
Intake Temp. (deg. C)	60	60	70	67	64
Intake Press. (bar abs.)	1	1	1	1.3	1.6
EGR Rate (%)	47	38	42	25	15
CR Inj. Pressure (bar)	330	400	500	780	1100
Pilot SOI advance (°CA)	7	7	11	15	18
Main SOI (° ATDC) (actual)	-0.9	0	0.1	0.5	-1.8
Percent of DI fuel in Pilot (%)	20	15	15	10	10



\* Baseline CDC Euro 4: Hanson, SAE 2012-01-0380

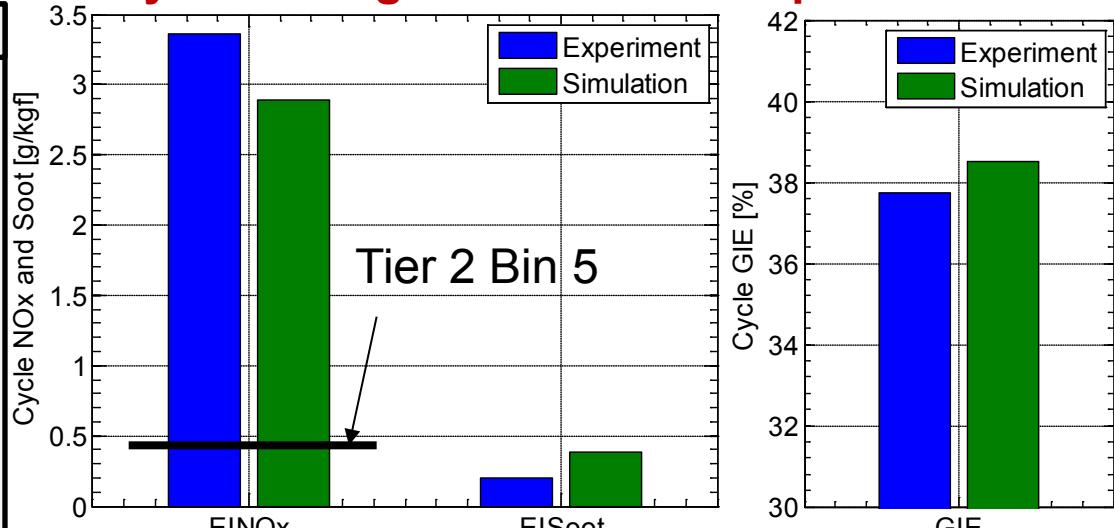
## Model validation (Euro 4)

### Comparison at 5 Modes

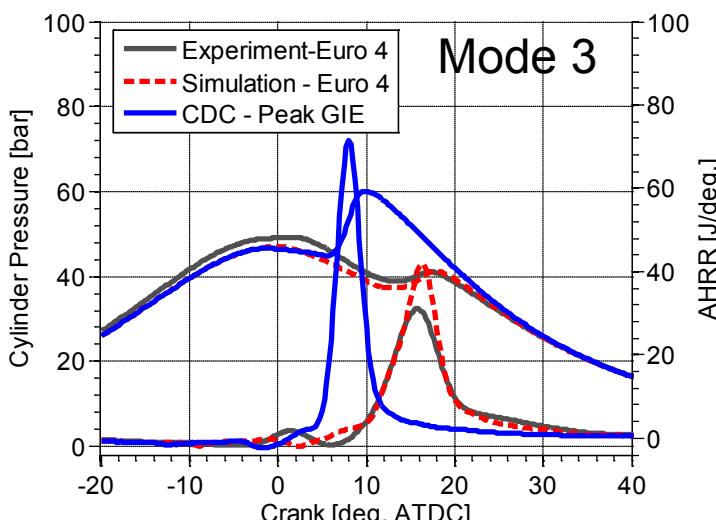


$$\text{Weighted average: } E_{cycle} = \frac{\sum_{imode=1}^5 E_{imode} Weight_{imode}}{\sum_{imode=1}^5 Weight_{imode}}$$

### Cycle average emissions and performance



### Optimized CDC with SCR for Tier 2 Bin 5



CDC optimized GIE has higher allowable PPRR (advanced SOI) than Euro 4 calibration

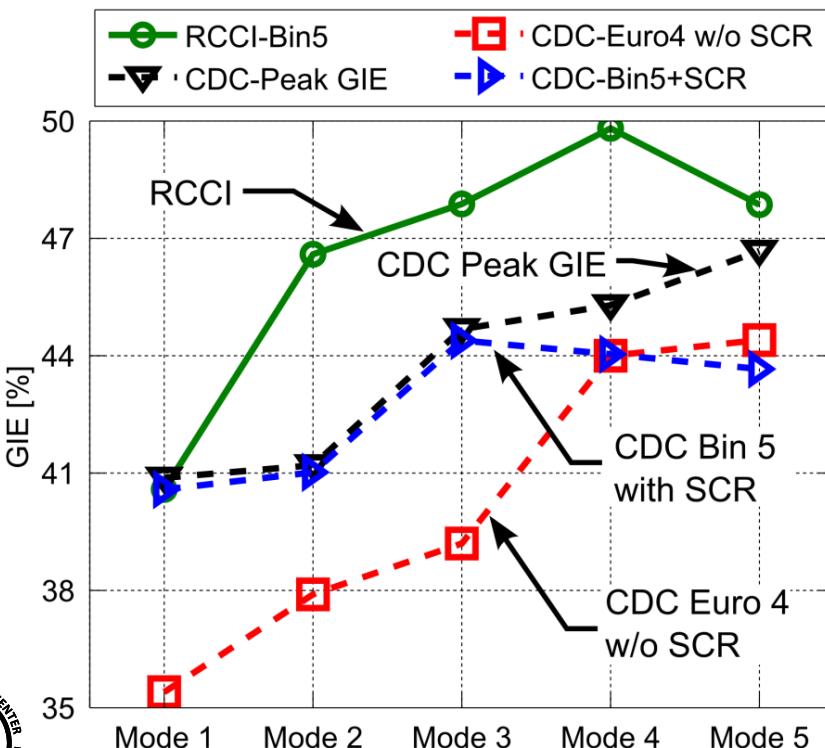
## Comparison of efficiency, NOx and PRR

Target NOx at Tier 2 Bin 5

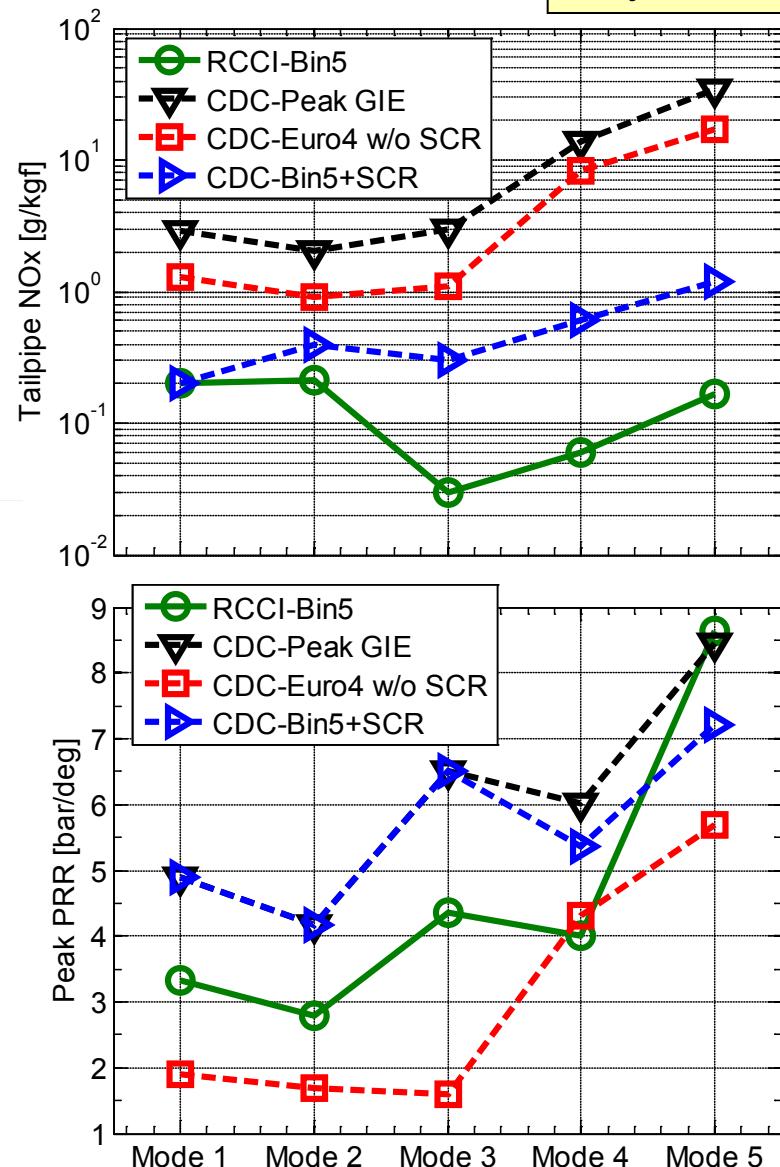
RCCI meets NOx targets without DEF

DEF NOx after-treatment has small efficiency penalty at light-load (2 to 4 bar IMEP) and moderate EGR (~40%)

DEF penalty is larger above 5 bar IMEP where EGR is below 40%



Kokjohn, 2013



## Cycle averaged NOx, Soot and GIE

Kokjohn, 2013

RCCI and CDC compared at baseline and Tier 2 Bin 5 NOx

CDC NOx-GIE tradeoff controlled by main injection timing

RCCI meets NOx targets without after-treatment

RCCI gives ~8% improvement in fuel consumption over CDC+SCR

RCCI soot is an order of magnitude lower than CDC+SCR

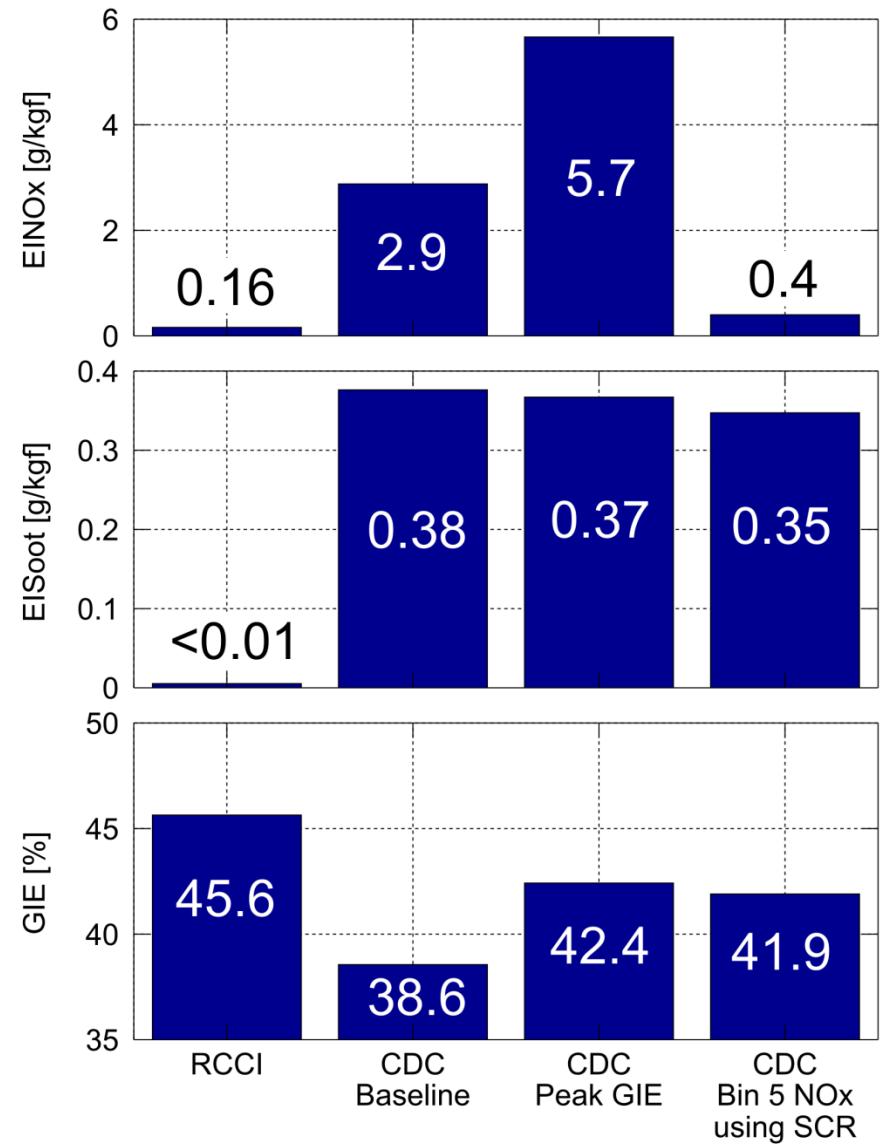
RCCI HC is ~5 times higher than CDC+SCR

Currently addressing methods to reduce HC emissions

Crevice-originated HC emissions

Splitter, SAE 2012-01-0383

Thermal barrier coated piston





## Limits of dual-fuel RCCI efficiency ?

- Calibrate 0-D code with CR=14.88 experiments
- Use code to determine conditions needed to reach ~ 60% GTE

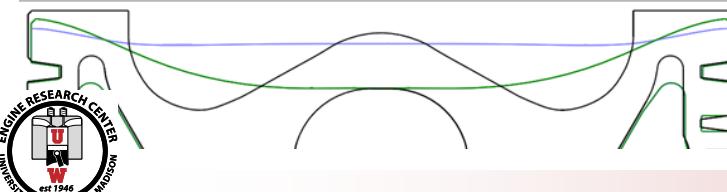
Results:

~60% GTE possible with:

High Cr  
Lean operation ( $\Phi < 0.3$ )  
50% reduction in  
heat transfer &  
combustion losses

- Deactivate under-piston oil jet cooling

	Exp.	GT POWER
Compression ratio	<b>14.88</b>	<b>14.88</b>
IMEPn (bar)	<b>8.00</b>	<b>7.86</b>
Fueling (mg/cyc)	<b>87.13</b>	<b>87.13</b>
Gross Therm Eff. (%)	<b>54.3</b>	<b>54.5</b>
Net Therm Eff. (%)	<b>52.0</b>	<b>52.1</b>
BTE (%)	<b>45.3</b>	<b>45.1</b>
FMEP (bar)	<b>1.03</b>	<b>1.0</b>
Convection HX	<b>N/A</b>	<b>0.4</b>
Comb. Eff. (%)	<b>98</b>	<b>98</b>
Intake Pressure (bar)	<b>1.5</b>	<b>1.5</b>
Exhaust Pressure (bar)	<b>1.625</b>	<b>1.625</b>
Turbo eff. (air filter + DOC)	<b>67.5</b>	<b>62.3</b>



# Ultra-high efficiency dual-fuel RCCI combustion

High efficiency demonstrated!

Simulation heat transfer tuned to match data

14.88:1 required HX = 0.4

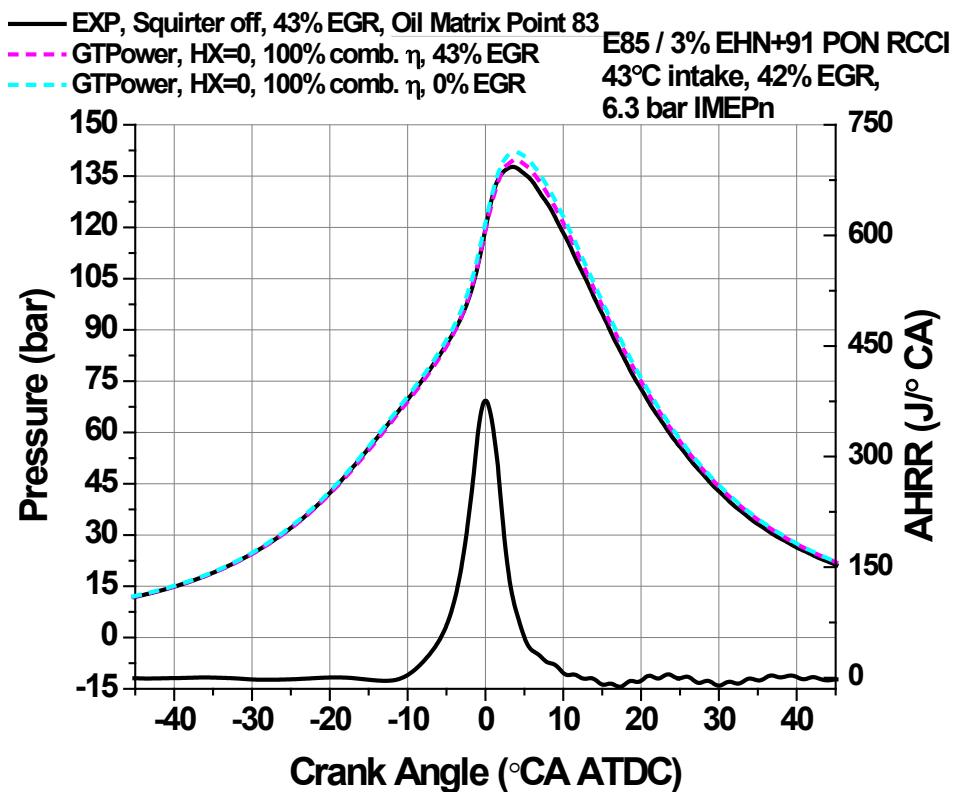
18.7:1 required HX = 0.3

(Pancake ~1.2 less surface area)

18.7:1 w/o oil cooling HX = 0.2

	GTE (%)	IMEPg (bar)	NTE (%)	IMEPn (bar)
Experiment	59.1	6.82	55.0	6.27
Model, HX = 0 100% comb. $\eta$	62.4	7.12	58.5	6.85
Model, HX = 0 100% comb. $\eta$ , 0% EGR	63.4	7.23	61.0	6.95

	GTE (%)	IMEPg (bar)	NTE (%)	IMEPn (bar)
EXP (pt. 83)	59.1	6.82	55.0	6.27
GT Power HX = 0.2	58.8	6.79	54.8	6.25
GT Power HX = 0.4	56.7	6.55	52.8	6.02



94% of maximum theoretical cycle efficiency achieved !

Splitter, "RCCI Engine Operation Towards 60% Thermal Efficiency", SAE 2013-01-0279

## UW-Madison RCCI series hybrid vehicle

2009 Saturn Vue, V6 FWD base model → GM 1.9L diesel engine

Spannbauer, 2014  
Reitz, 2014,  
Hanson, 2015, 2017



Installation of 7.5 gal. gasoline and diesel tanks



### Closure

Availability of cheap energy has led to distorted world economies/priorities

7.2 Billion people: 1909 Haber-Bosch process making  $\text{NH}_3$  for fertilizer

Next 30-40 years will require major innovations in IC engines

- dwindling resources and minimized environmental impact
- current energy usage rates are clearly unsustainable.

Many energy “solutions” (battery, fuel cell, nuclear) are only short term and resources are better saved for future generations

But, significant improvements in IC engines are still possible through research!

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However, the only really long-term sustainable energy source is solar hydrogen. But, a switch to a  $\text{H}_2$  economy will take considerable time and effort. Until this occurs, research on more efficient usage of fossil and other fuels is urgently needed!

“I’d put my money on the sun and solar energy. What a source of power! I hope we don’t have to wait until oil and coal run out before we tackle that.”  
Thomas Edison (1931) in conversation with Henry Ford and Harvey Firestone.





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