

Three data analytics party tricks

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Abstract

Making little software tools might seem trivial, but “party tricks” are a good way to explore a new field, find useful code libraries, and help build skills. In this spirit, it is fun and instructive to apply machine learning methods to text-mining tasks. It is especially interesting to use bibliographic data from the journal *GEOPHYSICS* because the results actually might be useful to those conducting geophysical research. For example, by vectorizing abstracts — using free and open-source natural-language processing tools in Python — it is possible to use the vector space to find nearby abstracts and interpret those as being similar in content. This forms the basis of a recommendation engine for geophysical papers. If not outright useful, then the party trick still might be interesting. For example, the collaboration network from the journal reveals the most prolific collaborators as George McMechan, Alan Green, and Jerry Harris, and it lets us calculate the collaboration distance between Brian Russell and Sergey Fomel (it is 4). Other party tricks are less useful and strictly silly, for example a recurrent neural network that generates random articles and authors from a parallel universe (e.g., Like-wave beam by D. J. Laniert; one imagines Like waves are a sort of attenuated Love wave).

Introduction

Everything in machine learning today is at the bleeding edge. Its practitioners must, accordingly, learn constantly. Small children and scientists play to learn, and it is especially fun to play with machine learning. I find it fun because the outcomes are unexpectedly good: prediction quality seems disproportionate to the cost of the analysis. Manual interpretation is predictive too but takes hours of effort, so it is hard to iterate and impossible to scale to a million times more data. The statistical and neural models of machine learning still require hours of labor — don’t let anyone tell you otherwise — but then the game changes because iteration and scale is what computers do best.

In playing with machine learning, maybe we can even figure out some new ways to solve problems in geophysics.

Natural language processing

Our playground will be the world of text mining and natural language processing, or NLP (not to be confused with neurolinguistic programming; a natural language is a human language, like English or Swahili). Text mining is just the general process of computationally extracting useful information from text. Meanwhile, NLP lives at the intersection of computer science and linguistics and today is a very hot research topic, thanks largely to social media and the need to parse large amounts of text to extract marketing intelligence, combat spam, or translate it to other languages.

Let’s look at machine translation as a proxy for recent trends across the field of machine learning. Translation has been of interest

to artificial intelligence researchers since the famous Georgetown–IBM experiment of 1954 (Hutchins, 2004). Until recently, the prevailing methods revolved around manually constructing models of language using expert knowledge. For example, labeling text with parts of speech and applying complex grammatical rules in both languages. Recently, machine learning, and especially “deep” neural networks (those containing two or more hidden layers of neurons between the input and output layers) have successfully applied a fundamentally new approach. Instead of starting with an a priori model of either the input or the output language, these networks learn the rules by inference “on the job,” rather like an infant does. The catch is that you have to give them a large — perhaps huge — amount of text (the *corpus*) and therefore train on a large — perhaps colossal — computer. It’s not a coincidence that the researchers at the center of this research are at Google and Facebook.

Research scientists in other fields have noticed all this, and not just for text mining. Bioinformatics has benefited from advances in NLP, since protein-coding sequences in DNA and RNA have much in common with words and sentences in a natural language (e.g., Zeng et al., 2015). This has proven to be a more than just an analogy, with significant insights being had into the relationships between protein coding and function. I think it’s a short step from there to proposing treating stratigraphy as a natural language, expressing the earth’s geologic history in a language we don’t fully understand. Is geologic interpretation a machine translation problem?

Bibliographic database

All the research in this article centers on the bibliographic metadata from *GEOPHYSICS*, SEG’s flagship journal. The data were gathered, responsibly and with permission, from seg.org. It builds on the workflow I described in Hall (2010), and on data I acquired for use at the 3rd Geophysics Hackathon in New Orleans, October 2015. The data set is SEG’s property and I cannot share it completely, but I can share a subset of it and provide you with enough data to follow along with my methods, should you wish to.

In brief, the full data set contains 9607 papers from every issue of *GEOPHYSICS* since volume 1. I have attempted to remove nonresearch papers, such as reviews and discussions, but data cleaning is rarely a precise affair. The data include abstracts, titles, authors, author institutions, keywords, DOIs, and the DOIs of citing papers. The subset contains partial data from 500 randomly selected papers.

Coauthorship graph

To warm up, we’ll start with something familiar. I wrote about my preoccupation with social network analysis in Hall (2010), in which I showed the collaboration network for *GEOPHYSICS* volumes 65 to 74. I have since rerun the analysis using the entire history of the journal to date. On some level, the *graph* (the

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technical term for a network) becomes unwieldy at this scale because it represents at least a couple of career lengths, but the time dimension is flattened, so to speak, making one large graph with 9642 *nodes* (authors) and 20,237 *edges* (collaborations, represented by coauthorship). Still, since collaborations often do connect across generations, perhaps it is interesting to revisit this super-network as-is.

After gathering the author relationships into a simple data structure in Python, I expressed the author relationships in graph modeling language (GML), a widely used plain-text format for representing nodes and edges. Most graph analysis software can import GML files; I use the NetworkX Python library (Hagberg et al., 2008). The files, and the script I used to generate them, are at github.com/seg/2017-tle-hall. You can see a visualization of the network in Figure 1.

A key concept in network analysis is *centrality* — the property of being somehow influential, through the connections in the network. For example, Google uses a type of recursive centrality called PageRank, in which connections to nodes with lots of connections score high, to order pages in search results. There are several other ways to measure centrality, most of which are directly accessible in NetworkX; two of the most intuitive are:

- *Degree centrality* ranks nodes based on degree (the number of edges incident on them). The authors with highest degree centrality in the GEOPHYSICS network are G. McMechan, A. Green, M. Toksöz, A. Revil, K. Marfurt, and M. Landrø. These authors have collaborated with many people — e.g., McMechan has 109 collaborators.
- *Betweenness centrality* ranks nodes based on the number of node-to-node paths that pass through them. The authors with high betweenness are G. McMechan, J. Harris, B. Gurevich, S. Singh, S. Fomel, and M. Toksöz. These authors are “connectors” between other geophysicists.

A popular graph theory party trick is to trace degrees of separation between individuals. Movie buffs play “Six Degrees of Kevin Bacon,” trying to link any actor to him via coappearances in movies. All mathematicians know their Erdős number, which links them to the prolific Hungarian mathematician Paul Erdős. An Erdős number of 2 means you have coauthored a paper with someone who has collaborated with Erdős himself. According to the American Mathematical Society MathSciNet, Sergey Fomel’s Erdős number is 4. Of course, the property is commutative, so

Erdős’s Fomel number is 4. Here are some other geophysicist’s Fomel numbers (at least as far as coauthoring GEOPHYSICS papers goes):

4 Brian Russell	3 Chris Liner
3 Larry Lines	3 Sven Treitel
2 Jose Carcione	2 Vladimir Grechka
2 Jerry Harris	1 Jon Claerbout

You can look up other connections in this subgraph at <http://collab.geosci.ai>.

Some individuals aren’t connected at all — the 774 authors who have only ever published single-author papers are *isolates*. Furthermore, as is typical with large graphs, there are many mutually disconnected *subgraphs*, or *components*. One of these components, again this is typical, is much larger than the others, containing 6648 of the 9642 nodes; the next largest component has only 37. The *diameter* of the largest component is 23, so that is the longest path between two authors in that subgraph.

The collaboration network bears more extensive study. There are algorithms to help discover cliques and communities in social networks, for example. The collaborations between institutions are also interesting, as are the research trends over time. Study of these networks could change the way we find paper reviewers, or organize workshops, and would make a project for a future hackathon.

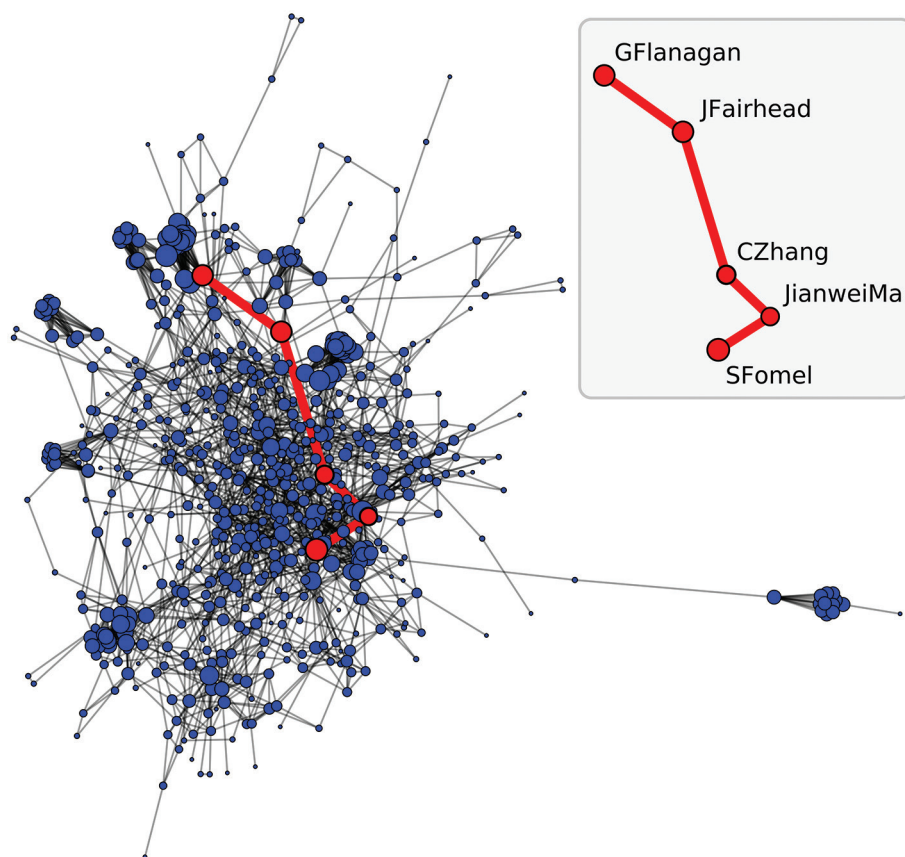


Figure 1. The subgraph defined by nodes with degree 11 or more in the giant component of the coauthorship graph. Nodes are sized by degree. If two nodes (authors) are connected by an edge, they have collaborated at least once. The shortest path between authors GFlanagan and SFomel is shown: Guy Flanagan’s Fomel number is therefore 4.

A recurrent neural network

I already mentioned that machine learning, and especially deep learning, have completely changed the field of NLP. Among the various deep learning methods, two stand out from a geoscience point of view: convolutional and recurrent neural networks. Convolutional neural networks (CNNs or ConvNets) apply spatial convolution and averaging (or pooling) steps to learn about regions, for example of images. Recurrent neural networks (RNNs) apply a particular kind of feedback that favors learning from sequences of things. One type of RNN, the long short-term memory (LSTM) network, seems especially promising. To start to learn about RNNs, I trained a character-based LSTM-RNN on the titles and author names from the GEOPHYSICS data set. For each of the two data sets, I did the following:

- 1) Gather the items into a text file, with some obvious separator between items.
- 2) Train the LSTM-RNN by feeding its text file to the training script and setting some parameters. I'm using Karpathy's (2015) implementation in Torch, a numerical extension to the Lua programming language that is very popular with deep learning researchers. I used a network with 200 neurons in each of three hidden layers. The sequence length (if you like, the memory of the network) was 200 characters. Large neural networks take a long time to train, and most researchers are using graphics processing units (GPUs); this network takes about 20 minutes to train on 2048 GPU cores, or eight hours on my CPU.
- 3) Once trained, we can get predictions from the network. Given a sequence of characters, the network provides the probability for encountering character next. For a party trick, we can start with a random character, then keep sampling from the probability of next characters and feeding the sequence so far back into the network, we can generate random text. Here are some examples:
 - S. E. Pollack and C. T. Larrentis, Vertical pressure from seismic reflection surveys profiled by matchade electrical fields
 - Pewart W. Stewari, Grave basalted normal moveout estimation in the tort vandous flow profile
 - Sudhar Pajer, Jie Hou, and Jon Dirmen, Marine system surveys through a vertically polarizad reflector
 - D. J. Laniert, Like-wave beam
 - Djice R. Brywolt, Multidimensional environments and curved induced-polarization from macahanaos theorytack
 - Giy Claponio and Rune Mittet, Three-dimensional bodies of industrial computation (definitely my favorite)

You can see a web-based implementation of the random text generation at <http://rando.geosci.ai>. It's just a bit of fun, making random titles and authors, but it's interesting to see how plausible the titles are. This means the model has a decent idea of how to put together a sequence of letters to make a reasonable geophysical idea. To put it another way: given a word, the model can make good guesses about the next word, because it has "seen" many,

many sequences of words before, and its neurons "understand" the structure of sentences at several scales. To gain more appreciation for the sophistication of these models, I recommend reading Karpathy (2015), especially the visualizations of random code generation.

To connect these games back to geoscience, remember that our sequence does not have to be characters of text. We can train the network on sequences of anything. This leads to the idea that if we train a network on a sequence of rocks (in a wellbore, say) perhaps it will make good guesses about the rocks we haven't seen yet. Perhaps it will even understand something about the sequence that surprises and informs us. To the best of my knowledge, this is an open research question that few geoscientists are working on.

Content-based recommendations

Recommendation systems are central to the recent interest in data science. Several high-profile machine learning contests have focused on the problem, because small improvements in recommendation quality are potentially lucrative for online firms like Amazon and Netflix. Many such systems are driven by user interaction: what you have previously viewed or purchased, ratings you have provided, and what people with similar profiles like.

There are some obvious applications of recommendation systems in scientific research, the foremost being as an aid to discovery in the literature. Keyword search can result in very large numbers of hits (and, worse, misses!) since they by definition reduce each document to a small handful of words. We don't have a rich history of user interactions to go on, but we can build a model of how similar documents are, then simply ask for one piece of evidence ("What's your favorite paper?"), and recommend similar documents.

For my next party trick, I'll train a simple system on our data set of 9607 abstracts, along with titles and DOIs, from GEOPHYSICS. We're going to infer meaning directly from the abstracts, in a process called latent semantic analysis (LSA). The training steps are illustrated in Figure 2a, and described stepwise here:

- 1) *Preprocess the data.* There are three steps, tokenizing, stop-word removal, and stemming, all provided by the NLTK package (Natural Language Toolkit; Bird et al., 2009). The document text is turned into a list of individual words, or *tokenized*, using a regular expression (a common text-processing tool). Common words with little semantic meaning (a, an, the, etc.) are dropped. Finally, stemming truncates words to their *stems* in order to reduce the size of the vocabulary. For example, "migrated" and "migration" are both transformed into "migrat." After this step, each document is a string of word fragments with spaces but no punctuation.
- 2) *Vectorize the data* with the TF-IDF vectorizer in the scikit-learn package (Pedregosa et al., 2011). This is the most complicated step, although it's all contained in a single line of code, so I will break it into pieces:
 - a) A common approach in NLP is to treat the text as a so-called *bag of words*. But in order to try to recognize that not only words but phrases carry meaning in English, I instead process the text into word *n*-grams: groups of

one to (say) three words. So the phrase “reverse time migration” becomes (with stemming) not just “revers,” “time,” and “migrat” (a very small bag of words), but three 1-grams, two 2-grams, and one 3-gram: “revers,” “time,” “migrat,” “revers time,” “time migrat,” and “revers time migrat.” These n -grams become the *terms* in the *vocabulary*. In the data set of abstracts, the vocabulary contains 15,712 terms.

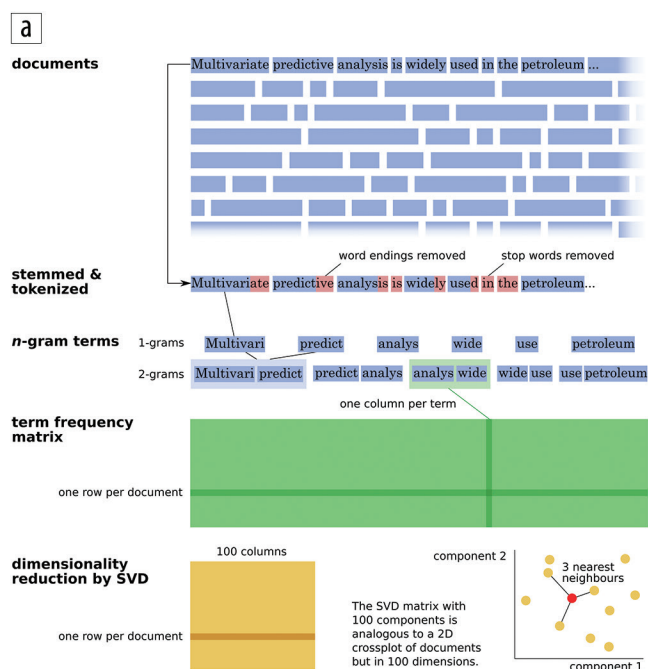
- b) Now we construct a $9607 \times 15,712$ *term frequency* matrix in which each row is a document, and each column represents one of the terms we discovered. For now, the values in the matrix are the frequencies of each term in the documents. As you might expect, this matrix is sparse — the row representing document number 3100, “Reservoir characterization using seismic waveform and feedforward neural networks,” contains only 224 nonzero terms, and this is fairly typical.
 - c) Finally we apply another transform. To get the so-called *inverse document frequency* from the term frequencies, we scale them by the inverse global frequencies of each term in the data set. In other words, we give more weight to relatively rare phrases — proposing that they are more distinctive — and less weight to common ones. The result of all this is the TF-IDF matrix.
- 3) *Dimensionality reduction*. Working with features vectors in 15,712 dimensions is a bit unwieldy and seems like overkill. We’d like to reduce them to, say, 100 or so. There are lots of ways to do this, but the most common approach for our purposes is truncated singular value decomposition (SVD), applied with a normalization step by scikit-learn. We can visualize the result, albeit in two dimensions, in Figure 2b. After this step, which is a defining feature of LSA, each

document in the data set of N items is represented by M features. These features are artificial, in the sense that we don’t know what they represent in natural language. We store this $N \times M$ matrix because it represents the 100-dimensional vector space of our data set.

- 4) *Nearest neighbor tree*. The idea is that if two documents are close to each other in this 100-dimensional space, then they have similar content. We can decide what close means by selecting among various distance metrics, but the most intuitive is just to use the Euclidean distance. Given a document in the space, we would like to be able to find its neighbors as quickly as possible. An efficient data structure for this kind of query is a k -dimensional tree, or k -d tree, which essentially acts like a lookup table of every point’s neighbors and their distances. This step creates and stores the k -d tree.

To get a recommendation, we must nominate or “like” one or more of the documents in the data set and pass their indices to the recommender function. (We could also form a mini-document out of some arbitrary search terms and then vectorize it in the same way as we did the documents, using their vocabulary, but we’ll stick to recommendations based on existing documents.) The recommendation part of the process performs the following steps:

- 1) “Like” one or more documents. Liking a large number of dissimilar documents might lead to some strange (or maybe interesting) results.
- 2) Form a query vector from some central tendency measure of the liked documents’ vectors. The most common measure and perhaps the most intuitive is the centroid (mean). The only potential drawback is that the centroid of two or more



b
t-SNE t-distributed stochastic neighbor embedding of the articles

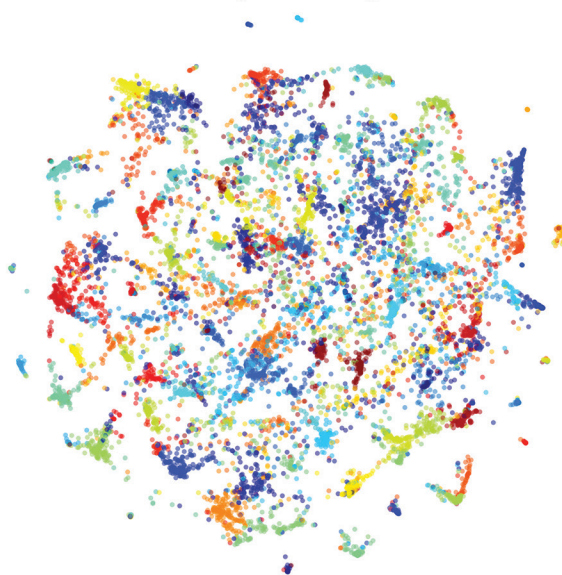


Figure 2. (a) A cartoon of the steps from a large collection of documents to a data structure from which we can extract recommendations based on at least one “liked” document, shown in red. See text for a more detailed explanation. (b) Two-dimensional t-SNE visualization of the 100-dimensional “semantic space” resulting from the SVD reduction. The colors represent k -means clusters in the 100-dimensional space and were added for visual richness only.

documents is unlikely to be a document itself, so the neighborhood search will be around a point that does not represent anything in the database. If this seems like a problem, then we can use the geometric median (an n -dimensional extension of the median), which gives us the most central of the nominated documents.


- 3) Given the query vector, get the required number of nearest neighbors from the k -d tree. The tree provides both the indices of the documents and their distances from the query vector. The nominated documents may or may not be in the resulting documents, depending on how similar they were to each other and on the centrality measure used. In particular, if the “likes” were very dissimilar, and the centroid was used to calculate the query vector, then the nominated documents probably won’t be in the results.
- 4) Given the indices of the recommended documents, we can recover whatever information we want from the database. Additionally, we can use the distance measures as an indication of how likely the recommendations are to be interesting.

You can see a small implementation of this recommender system, based on a subset of the GEOPHYSICS data set, at <http://github.com/seg/2017-tle-hall>, and a web-based implementation of it at <http://georx.geosci.ai>.

There are lots of ways to improve on what I’ve described. The data set could be much larger and could include other publications and conference proceedings. A visualization of the results could be useful and interesting. One can imagine ways to discover “chains” of recommendations that might result in novel ideas. Exploring the author collaboration network also could help discover relevant content. All this would make an excellent theme for a future hackathon.

It’s not too much of a stretch to come around to scientific applications. We can imagine our documents as well logs, or seismic sections. Once vectorized and dimension-reduced, we could query the similarity tree for “things that are like this reservoir interval” — not unlike a waveform classifier, but capable of searching an entire database very quickly.

Summary

Machine learning is a vast field of powerful methods for inching closer to artificial intelligence. It will change how we do geoscience, once we figure out how to use it. Playing with small problems in familiar data domains is one way to figure things out. With the silly party tricks in this article, I have tried to show you some ways to play and given you the means to do it. I hope you will explore the software and data at <http://github.com/seg/2017-tle-hall>. 

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