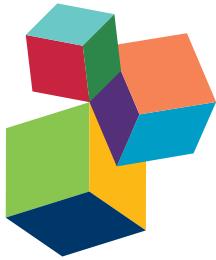


# CONTROVERSIES AND PERSPECTIVES IN THE USE OF POSTOPERATIVE RADIOTHERAPY FOR PROSTATE CANCER

EDITED BY: Alan Dal Pra, Thomas Zilli and Stéphane Supiot

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# CONTROVERSIES AND PERSPECTIVES IN THE USE OF POSTOPERATIVE RADIOTHERAPY FOR PROSTATE CANCER

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The use of radical prostatectomy in patients with high risk of recurrence has significantly increased during the past 10 years. Thus, adjuvant radiation as a part of multimodality treatment or salvage radiation at the evidence of prostate-specific antigen (PSA) progression represents mainstay curative-intent options for a great number of prostate cancer patients. Although, few randomized trials and many retrospective studies have been published, many uncertainties still mold the discussions on the best treatment management for men after prostatectomy. This research topic (<https://www.frontiersin.org/research-topics/3739/controversies-and-perspectives-in-the-use-of-postoperative-radiotherapy-for-prostate-cancer>) successfully intended to foster discussions on current controversies in the use of postoperative radiotherapy and to present novel perspectives for treatment optimization.

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# Editorial: Controversies and Perspectives in the Use of Postoperative Radiotherapy for Prostate Cancer

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**Keywords:** prostate, radiotherapy, adjuvant, salvage radiotherapy, prostatectomy, prostate cancer

## Editorial on the Research Topic

### Controversies and Perspectives in the Use of Postoperative Radiotherapy for Prostate Cancer

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The use of radical prostatectomy in patients with high risk of recurrence has significantly increased during the past 10 years (1). Thus, adjuvant radiation as a part of multimodality treatment or salvage radiation at the evidence of prostate-specific antigen (PSA) progression represents mainstay curative-intent options for a great number of prostate cancer patients. Although, few randomized trials and many retrospective studies have been published, many uncertainties still mold the discussions on the best treatment management for men after prostatectomy. This research topic (<https://www.frontiersin.org/research-topics/3739/controversies-and-perspectives-in-the-use-of-postoperative-radiotherapy-for-prostate-cancer>) successfully intended to foster discussions on current controversies in the use of postoperative radiotherapy and to present novel perspectives for treatment optimization.

Several randomized trials have shown that dose intensification in the primary treatment of prostate cancer improves local control. However, the data are scarcer in the postoperative setting. Beck et al. review the literature and present the only randomized phase III trial addressing dose-intensified salvage radiotherapy (64 vs. 70 Gy), SAKK (Swiss Group for Clinical Cancer Research) 09/10 (2). Recent publication showed that acute toxicity (gastrointestinal and urinary) and early quality of life data were not significantly different between the two treatment arms; however, a significant worsening of urinary quality of life was noted in the 70-Gy arm. The primary endpoint analysis (biochemical relapse free survival) and long-term endpoints are eagerly awaited.

Potential overtreatment and/or radiation-related toxicity with subsequent impact on patient's quality of life are common arguments for withdrawing or deferring postoperative radiotherapy by urologists. By revealing gaps between evidence and clinical practice, Raziee et al. (Raziee and Berlin) claim that concerns with toxicities and/or quality of life should not preclude the utilization of curative-intent postoperative radiotherapy. Also, Herrera and Berthold review level I evidence on adjuvant radiotherapy that demonstrates improvements in biochemical progression-free survival, clinical progression-free survival, and overall survival in patients with high-risk pathological features (Herrera and Berthold). However, they point out that offering immediate adjuvant radiotherapy to all men with high-risk features would overtreat around 50% of men who would anyway be cancer-free, exposing them to unnecessary toxicity and adding important costs to the health-care system.

The assessment of adjuvant versus early salvage radiation is being addressed in important randomized trials to be published in the forthcoming years (Radiotherapy and Androgen Deprivation in Combination After Local Surgery, Radiotherapy–Adjuvant versus Early Salvage, and Groupe d' Étude des Tumeurs Uro-Génitales) [Raziee and Berlin; Herrera and Berthold].

The role of ADT in combination with primary radiotherapy for intermediate- and high-risk prostate cancer is well established. Recently, two prospective phase III trials (RTOG 9601 and GETUG-16) have shown improvements in disease outcomes when ADT is combined with salvage radiotherapy (3, 4). However, in the setting of early salvage, the role of ADT remains debatable. In patients with pre-SRT PSA <0.7 ng/ml, which comprised >50% of the RTOG 9601 study population, the addition of ADT provided no improvement in overall survival or metastasis-free survival. ADT is not devoid of important side effects, and many questions are still open on which patients benefit the most, ADT type, and treatment duration.

In parallel, the impact of the increasing aging population on the worldwide burden of cancer is well known, and the management of prostate cancer in the elderly is a topic of utmost importance. Goineau et al. specifically shed light on the care of elderly patients with prostate cancer after prostatectomy. The authors propose a decision tree based on the International Society of Geriatric Oncology recommendations.

Novel imaging modalities are reshaping the use of postoperative radiotherapy in prostate cancer patients. Molecular imaging has provided increasing accuracy in the localization of recurrence, and it has progressively changed clinical practice. Novel imaging tools can define the site of the recurrence and the extent of disease and thus individualize salvage treatments. In this research topic, Amzalag et al. comprehensively review most important novel targeted tracers for the evaluation of recurrent disease.

Analyses of large multi-institutional retrospective series along with predictive nomograms have importantly helped clinicians to estimate individual patient's risks and tailor treatment decisions (5, 6). More lately, genomic classifiers have been added to the armamentarium of clinicopathological parameters and novel imaging modalities, representing an emerging tool able to provide exciting prognostic information for patients with recurrent disease (7–10). A better identification of patients with indolent and more aggressive tumors will help to select which patients may derive the greatest benefits from treatment intensification or deintensification and thus reducing therapy-associated costs and unnecessary adverse effects.

In terms of radiotherapy technique, important variability in the delineation of the prostate bed is observed. At least four international contouring consensus guidelines are available,

but present discrepancies in target definition. This is a relevant topic when comparing outcome data from different retrospective and prospective cohorts. Latorzeff et al. from the GETUG group highlight some controversies to help clinicians create an appropriate volume delineation of the prostate bed in the setting of adjuvant and salvage radiotherapy (Latorzeff et al.). Also addressing variability in contour delineation, Delpon et al. critically report on automated atlas-based segmentation algorithms (Delpon et al.). The authors compare different commercially available options that could assist radiation oncologists in potentially improving contour delineation. Not to mention on the unclear benefits of elective treatment of the pelvic nodes which is currently addressed in the ongoing RTOG 0534 trial.

Image-guided radiotherapy is a key advancement in modern radiotherapy to decrease normal tissue toxicity. Villette et al. reviewed the literature on image guidance techniques in the postoperative setting (Villette et al.). The authors highlight key points on different techniques applicable to the prostatic bed and discuss potential reductions in planning target volume margins to reduce treatment complications.

By using an innovative approach for locally advanced tumors with high risk of local recurrence, Buge et al. present a preclinical evaluation of intraoperative low-energy photon radiotherapy using spherical applicators. With cadaveric models assessed by MRI, the authors show that intraoperative radiotherapy of the prostate bed is feasible, with good coverage of targeted tissues, and is potentially able to replace external beam radiotherapy in the future. Clinical studies are warranted to validate this exciting approach that could further decrease normal tissue toxicity.

Finally, in view of current and evolving data, the use of postoperative radiotherapy should be made in the context of a multidisciplinary discussion on treatment benefits and potential risk of side effects. Patients should take a proactive role in the decision-making process with unbiased, transparent, and evidence-based information. New imaging modalities and commercially available biomarkers have been increasingly utilized in the clinic, but unfortunately have not been timely incorporated into prospective studies. This dissonance between novel tools and lack of robust validation is a destiny not only in Radiation Oncology but also in other disciplines with rapidly evolving technologies. Hopefully, all this progress will ultimately lead to improvements in outcomes that matter most to our patients.

## AUTHOR CONTRIBUTIONS

All the authors contributed either for initial writing and/or review of this editorial.

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# Comparison of Automated Atlas-Based Segmentation Software for Postoperative Prostate Cancer Radiotherapy

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Automated atlas-based segmentation (ABS) algorithms present the potential to reduce the variability in volume delineation. Several vendors offer software that are mainly used for cranial, head and neck, and prostate cases. The present study will compare the contours produced by a radiation oncologist to the contours computed by different automated ABS algorithms for prostate bed cases, including femoral heads, bladder, and rectum. Contour comparison was evaluated by different metrics such as volume ratio, Dice coefficient, and Hausdorff distance. Results depended on the volume of interest showed some discrepancies between the different software. Automatic contours could be a good starting point for the delineation of organs since efficient editing tools are provided by different vendors. It should become an important help in the next few years for organ at risk delineation.

**Keywords:** postoperative radiotherapy, prostate bed, atlas, automatic segmentation, contour comparison

## INTRODUCTION

Prostate bed radiotherapy after radical prostatectomy may present some clinical benefits in term of clinical outcome (1, 2). Although intraoperative irradiation is a possible treatment modality (3), irradiation is mainly delivered by external beam radiotherapy. Advances in radiation oncology led to intensity-modulated radiotherapy (IMRT) and image-guided radiotherapy (IGRT). Those advances allow to either increase dose to target tissues or spare surrounding healthy structures. The development of state-of-the-art technologies including imaging modalities, treatment planning systems, and linacs have enabled radiotherapy treatments to be highly specific (4). In this context, the delineation of target and normal organs is the prerequisite inputs to the planning process. Consequently, the implementation of modern radiotherapy treatment plans focuses on the need of contouring guidelines (5). A recent development in radiotherapy is the use of automated atlas-based auto-segmentation algorithms to aid in organ delineation (6). The aim of the study was to compare the different atlas-based auto-segmentation software available when used for prostate bed and organs at risk. The study was limited to a single radiation oncologist to avoid inter-rater variations.

Indeed, significant levels of interobserver variability in target volume delineation have been demonstrated in prostate cancer radiotherapy (7–10). This variability is the most important source of uncertainties in radiotherapy (11, 12). However, this variability is out of the scope of our study as at least four consensuses originating from four scientific groups were validated (13). Therefore, no ground truth can be considered. The aim of our study was to assess how segmentation software are able to learn from the single radiation oncologist habits in order to reproduce these habits to novel patients.

## MATERIALS AND METHODS

### Population and Treatment

Twenty consecutive patients, treated in a clinical center, were included in this study from January to September 2015 for a pT3aR0-R1N0M0 prostate cancer after surgery. They were treated by postoperative salvage IMRT. Treatment aimed at delivering 66 Gy to the prostatic bed as clinical target volume (CTV) (1). Computed Tomography scans (CT) were contoured by only one physician according to the Radiation Therapy Oncology Group (RTOG) guidelines for target volumes (5). The following organs at risk were also delineated: bladder, rectum, and femoral heads (14).

### Ethics

As French laws (data, data-collection, and freedom law, January, 6, 1978) agreed for single-center retrospective study, no specific written informed consent is needed. All patients have been orally informed about potential use of already recorded data for potential study.

### Atlas-Based Auto-Segmentation Software

Five software were compared. WorkFlow Box (Mirada Medical) (WFB), MIM Maestro (MIM Software), SPICE (Philips), ABAS (Elekta), and the atlas-based segmentation module included in RayStation (RaySearch Laboratories). WFB is a black-box server that performs atlas-based contouring automatically. WFB fits seamlessly in to your current process *via* standard DICOM protocols. WFB uses deformable registration algorithm to automatically apply contours to planning CTs based on multiple expert atlases.

Alternatively, clinicians can define their own atlases. In the current study, atlases were based on patient contours delineated by the expert physician. Auto-contouring is a feature of MIM Maestro software. Automatic contours may be based on either user-defined atlas libraries or automatic atlas subject selection. This software includes features to sort atlases depending on TNM status, lesion laterality, or physician. If several atlases are chosen to start the auto-segmentation, a structure set was generated per atlas, and data were gathered to create the simultaneous truth and performance level estimation (STAPLE) contours for each organ. STAPLE is an expected maximization algorithm that computes a probabilistic estimate of the true segmentation by weighting each segmentation on its estimated performance level (15). In addition, it provides tools to correct auto-contours and a scripting

platform. ABAS (Elekta) approximates the anatomy contours by scanning a library of reference images, applying elements of those forms to a new patient image, and creating a structure set to fit the patient's anatomy. The user may either choose an atlas among the library or use the STAPLE algorithm. In this study, the STAPLE algorithm was used. The operator cannot see or edit the contours within ABAS, but contours may be imported in any contouring solution, such as Focal or Monaco considering Elekta software. SPICE (Philips) that stands for Smart Probabilistic Image Contouring Engine, is an option of Pinnacle, a treatment planning system. This system computes contours from a probabilistic segmentation based on its own expert atlases, and the user cannot import his datasets to create another expert library. Consequently, only a limited number of treatment sites and organs is available. The transformation is based on a dense deformable registration method (Enhanced Demons), which further initializes organ-specific deformable models. The method is based on adaptation and probabilistic refinement (16). In addition to plan design and optimization features, RayStation Treatment Planning System (RS) provides an auto-segmentation solution based on ANAtomically CONstrained Deformation Algorithm (ANACONDA). ANACONDA combines image information (i.e., intensities) with anatomical information as provided by contoured image sets (17). It is a hybrid algorithm due to the combination of using image similarity and anatomical information. Model-based segmentation (MBS) and atlas-based segmentation (ABS) are available. MBS includes models with adjustable shape, size, and property parameters provided by RayStation for the different organs at risk, including femoral heads and bladder. ABS requires user-defined atlases with image sets and contours. In this study, only ABS was used, even for femoral heads and bladder.

### Atlas and Evaluation Databases

The first 10 patients were selected to build the atlas database except for SPICE that is working differently and used its own atlas database. The 10 following patients constituted the evaluation database. The aim of the study was to compare the contours produced by the different automatic tools against the physician contours. For each patient of the evaluation database, atlas-based auto-segmentation software produced a DICOM Structure Set using the provided atlas database. Automatic contours without any modification were then exported in DICOM format for the comparison.

### Contour Comparison

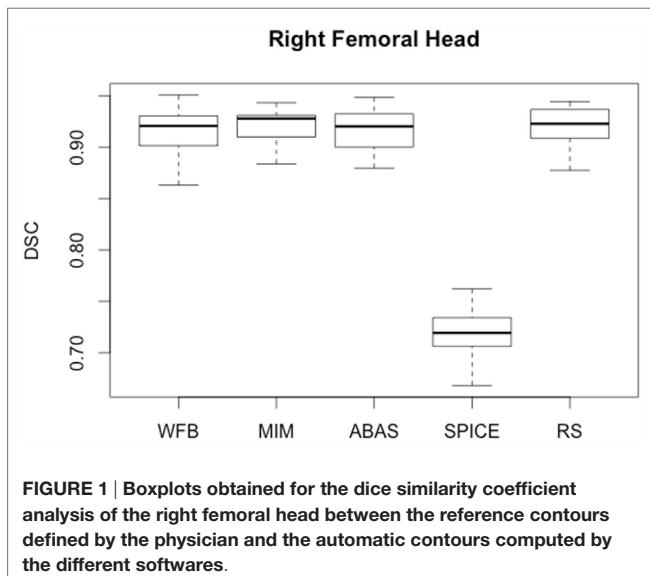
CTV, bladder, rectum, and femoral heads delineated by the physician and computed by the automatic tools were imported in DICOM format in the Slicer open source freeware (<http://www.slicer.org>). Automatic and expert contours defined on the different CT slices constituted volumes. The additional module DICOM RT was used to compare those volumes. Physician contours were used as reference contours. Different metrics were calculated to quantify the similarity between the automatic and the expert volumes.

The simple ratio  $R$  of the automatic volume (in cubic centimeter) divided by the expert volume (in cubic centimeter) was calculated.

**TABLE 1 |** Results obtained for the evaluation dataset with the five commercial solutions [WFB (Mirada Medical), MIM (MIM Software), SPICE (Philips), ABAS (Elekta), and RS (RayStation)] compared to expert delineation for both femoral heads.

		WFB	MIM	ABAS	SPICE	RS
Left femoral head	<i>R</i>	0.93 ± 0.06	0.96 ± 0.13	0.96 ± 0.06	0.59 ± 0.06	0.98 ± 0.09
	DSC mean	0.89 ± 0.05	0.89 ± 0.08	0.91 ± 0.04	0.70 ± 0.05	0.91 ± 0.03
	DSC median	0.91	0.91	0.92	0.72	0.92
Right femoral head	$H_{95\%}$ (mm)	9.2 ± 6.4	9.9 ± 7.9	8.6 ± 6.9	29.7 ± 9.0	8.8 ± 7.2
	<i>R</i>	0.93 ± 0.05	0.97 ± 0.07	0.95 ± 0.05	0.60 ± 0.04	1.01 ± 0.07
	DSC mean	0.91 ± 0.03	0.92 ± 0.02	0.92 ± 0.02	0.72 ± 0.03	0.92 ± 0.02
	DSC median	0.92	0.93	0.92	0.72	0.92
	$H_{95\%}$ (mm)	8.1 ± 5.6	8.2 ± 5.3	8.5 ± 6.1	30.0 ± 6.5	6.4 ± 5.0

*R* is the volume ration, DSC is the Dice Similarity Coefficient, and  $H_{95\%}$  is the Hausdorff distance.



**FIGURE 1 |** Boxplots obtained for the dice similarity coefficient analysis of the right femoral head between the reference contours defined by the physician and the automatic contours computed by the different softwares.

The Dice Similarity Coefficient (DSC) was used to quantify the overlap between the expert and the automatic contours (18). DSC corresponds to the ratio of two times the intersection of two volumes divided by the sum of the two volumes (Eq 1).

$$DSC = \frac{2 \times |A \cap B|}{|A| + |B|} \quad (1)$$

where,  $A$  and  $B$  are the two volumes to be compared.

The Hausdorff distance (95% confidence interval) was used to quantify the magnitude of gross deviations between contour surfaces (19). The Hausdorff distance computation utilizes a maximum–minimum function as defined by Eq 2:

$$h(a, b) = \max_{a \in A} \left\{ \min_{b \in B} \{d(a, b)\} \right\} \quad (2)$$

where  $a$  and  $b$  are points of contour sets  $A$  and  $B$ , and  $d(a, b)$  is the Euclidian distance between  $a$  and  $b$ . The Hausdorff distance (95% confidence interval) is calculated from the set  $H$ , which is composed of calculated Hausdorff distance  $h(a, b)$  values for all contour vertices of a contour set  $A$ . The value recorded  $H_{95\%}$  is the largest distance that falls within the 95% confidence interval

for the set of distances in  $H$ . The use of  $H_{95\%}$  value minimizes the impact of large outliers in the Hausdorff distance calculation on the overall data (19).

## RESULTS

For the 10 patients included in the evaluation dataset, the results are presented volume of interest by volume of interest.

For femoral heads, results were obviously similar for the left and the right sides (Table 1). *R* values were higher than 0.93, except for SPICE. But for this latter, the problem was that femoral heads were automatically delineated on too many slices. The lowest slice on which a SPICE contour was defined differed from the expert. Those results were confirmed by the DSC analysis. Results were really consistent from one patient to another (Figure 1). Except for SPICE, DSC and  $H_{95\%}$  were, respectively, about 0.90 and less than 10 mm for both femoral heads with small discrepancies whatever the patient. Femoral heads contours were acceptable, and only slight corrections would have been necessary to validate the automatic segmentation.

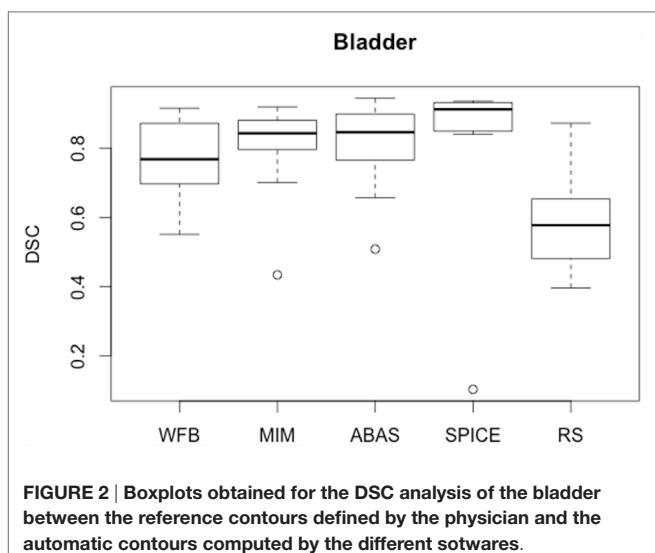
Bladder *R* values were larger than those obtained for femoral heads, and differences were observed between patients and software (Table 2). SD was very large whatever the automatic solution. However, lower values were obtained with WFB and SPICE. Probably results would have been improved if CT scans had been injected with some contrast product. But DSC were satisfactory for most algorithms, with an average value higher than 0.75. For most algorithms, results were degraded by one or two cases. For example, SPICE median DSC was higher than 0.90, but average value was only 0.76 due to a very bad contour for Patient 10 (Figure 2). Similarly, ABAS and MIM failed for Patients 2 and 3.  $H_{95\%}$  was about 15 mm, except for RS. RaySearch results were disappointing, but the MBS option was not used for this study. Automatic contours were globally satisfactory for most algorithms. However, results really depended on the patient case. Verification and corrections were required.

Rectum *R* values were lower than those obtained for bladder, but SDs were still high, about 30% (Table 3). Rectum automatic contours were larger than expert contours, except for WFB (Figure 3). Despite the lower *R* values, DSC mean values were slightly lower than for bladder. However, less discrepancies were observed between patients, average, and median DSC were approximately equal. Globally, DSC results were similar for the

**TABLE 2 |** Results obtained for the evaluation dataset with the five commercial solutions [WFB (Mirada Medical), MIM (MIM Software), SPICE (Philips), ABAS (Elekta), and RS (RayStation)] compared to expert delineation for the bladder.

	WFB	MIM	ABAS	SPICE	RS
<i>R</i>	$1.01 \pm 0.42$	$1.49 \pm 0.77$	$1.31 \pm 0.48$	$0.89 \pm 0.31$	$1.62 \pm 0.69$
DSC	$0.76 \pm 0.12$	$0.80 \pm 0.14$	$0.81 \pm 0.13$	$0.76 \pm 0.26$	$0.59 \pm 0.15$
mean					
DSC	0.77	0.84	0.85	0.91	0.58
median					
$H_{95\%}$ (mm)	$15.0 \pm 9.0$	$14.0 \pm 6.3$	$13.6 \pm 7.9$	$9.2 \pm 11.7$	$28.5 \pm 13.1$

*R* is the volume ration, DSC is the Dice Similarity Coefficient, and  $H_{95\%}$  is the Hausdorff distance.



**FIGURE 2 |** Boxplots obtained for the DSC analysis of the bladder between the reference contours defined by the physician and the automatic contours computed by the different softwares.

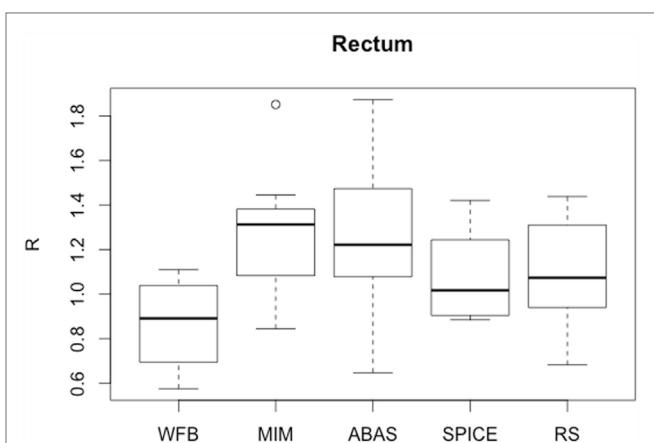
**TABLE 3 |** Results obtained for the evaluation dataset with the five commercial solutions [WFB (Mirada Medical), MIM (MIM Software), SPICE (Philips), ABAS (Elekta), and RS (RayStation)] compared to expert delineation for the rectum.

	WFB	MIM	ABAS	SPICE	RS
<i>R</i>	$0.87 \pm 0.19$	$1.27 \pm 0.28$	$1.27 \pm 0.38$	$1.30 \pm 0.34$	$1.08 \pm 0.28$
DSC	$0.73 \pm 0.07$	$0.75 \pm 0.07$	$0.75 \pm 0.09$	$0.68 \pm 0.12$	$0.49 \pm 0.12$
mean					
DSC	0.76	0.77	0.75	0.73	0.51
median					
$H_{95\%}$ (mm)	$10.0 \pm 3.0$	$9.9 \pm 3.4$	$9.9 \pm 4.4$	$13.0 \pm 4.9$	$16.5 \pm 3.7$

*R* is the volume ration, DSC is the dice similarity coefficient, and  $H_{95\%}$  is the Hausdorff distance.

different algorithms, except RS (Figure 4).  $H_{95\%}$  was in the same order of magnitude, less than 15 mm, except for RS. Atlas-based contours presented discrepancies with the expert, and manual corrections were necessary.

Automatic prostate bed contours were less satisfactory with large volume variations (Table 4). *R* values varied from 0.49 for SPICE to 1.37 for MIM. DSC was lower than 0.70 for all solutions, demonstrating that prostate bed cannot be automatically defined (Figure 5). Many corrections would be required to adapt

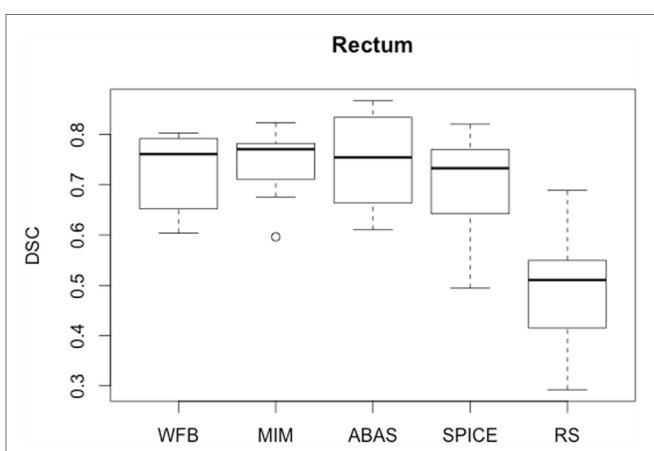


**FIGURE 3 |** Boxplots obtained for the *R* analysis of the rectum between the reference volumes defined by the physician and the automatic volumes computed by the different softwares.

**TABLE 4 |** Results obtained for the evaluation dataset with the five commercial solutions [WFB (Mirada Medical), MIM (MIM Software), SPICE (Philips), ABAS (Elekta), and RS (RayStation)] compared to expert delineation for the prostate bed CTV.

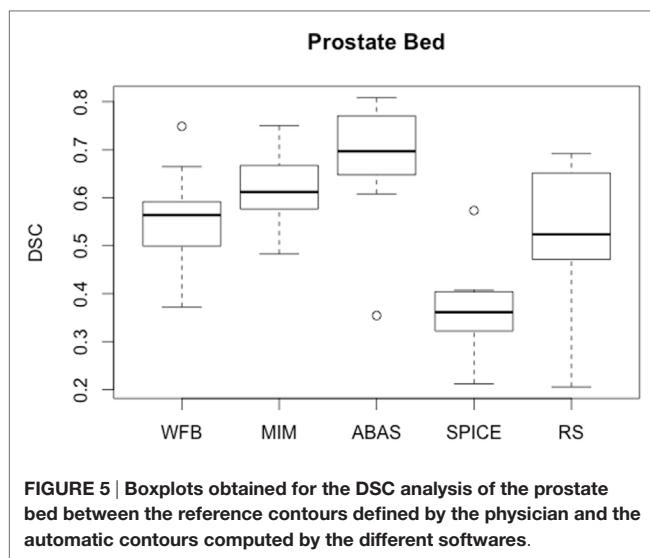
	WFB	MIM	ABAS	SPICE	RS
<i>R</i>	$0.53 \pm 0.11$	$1.37 \pm 0.35$	$1.04 \pm 0.13$	$0.49 \pm 0.15$	$0.82 \pm 0.12$
DSC	$0.56 \pm 0.10$	$0.61 \pm 0.09$	$0.67 \pm 0.13$	$0.37 \pm 0.09$	$0.51 \pm 0.17$
mean					
DSC	0.56	0.61	0.70	0.35	0.52
median					
$H_{95\%}$ (mm)	$11.9 \pm 3.5$	$11.4 \pm 4.0$	$8.4 \pm 3.0$	$15.3 \pm 2.6$	$12.4 \pm 3.4$

*R* is the volume ration, DSC is the dice similarity coefficient, and  $H_{95\%}$  is the Hausdorff distance.



**FIGURE 4 |** Boxplots obtained for the DSC analysis of the rectum between the reference contours defined by the physician and the automatic contours computed by the different softwares.

automatic contours. However, ABAS had the best average DSC (Figure 5). Automatic prostate bed contours were insufficient. Manual segmentation should be preferred for this target volume whatever the algorithm.



**FIGURE 5 |** Boxplots obtained for the DSC analysis of the prostate bed between the reference contours defined by the physician and the automatic contours computed by the different softwares.

## CONCLUSION

To the best of our knowledge, no other study compared automatic delineation software for prostate cancer in the postoperative setting. The comparison of five different automatic-based segmentation software used for prostate bed and nearby organs showed these algorithms were very efficient for high contrast organs such as femoral heads. For other organs at risk, results were nuanced. Automatic contours were quite close to the expert contours, but corrections were required and for some cases, depending on the algorithm, computed contours were bad. Prostate bed contours were insufficient, but automatic segmentation aims essentially to delineate organs at risk. Postoperative CTV can be considered as a virtual volume without difference in terms of contrast or gray

level over a large part of its volume. This difference compared to automatic prostate delineation may explain the bad outcomes in postoperative situation. A study shortcoming was the limited number of patients used to create the reference database. But the objective was mainly to compare the different software with the same settings, except for SPICE that considered its own reference datasets. In this context, a single physician defined the reference contours, and an arbitrary choice of 10 patients was done. For each automatic delineation software, an optimization study may lead to a different number of patients to build the reference database. Such studies may improve the coherence between automatic and physician contours (20). For example, RayStation recommends the use of up to 20 cases for atlas creation. However, results were consistent with the study published by Hwee et al. (6) that focused on MIM solution. Although proposed contours differed from one algorithm to another, the present study cannot establish a ranking of the software. Indeed, only 10 cases delineated by a single physician were selected to create the expert database, and 10 other cases were used for evaluation. In addition, this study did not consider the extra features proposed by some tools to modify the computed segmentation. Nevertheless, it allowed to state that atlas-based automatic segmentation has reached an interesting level of accuracy, especially for high contrast organs. Automatic contours could be a good starting point for the delineation of organs since efficient editing tools are provided by different vendors. It should become an important help in the next few years for organ at risk delineation.

## AUTHOR CONTRIBUTIONS

AE, SS, and DP selected the patients and delineated the volumes of interest. GD, TR, JD, and TL generated the automatic contours. GD, JF, and CN analyzed the data. All authors contributed to the redaction of the manuscript.

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# Delineation of the Prostate Bed: The “Invisible Target” Is Still an Issue?

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For pathological high-risk prostate cancer, adjuvant irradiation has shown a survival benefit. Phase III studies have highlighted that half men would face biochemical relapse and would be candidate for radiotherapy at adjuvant or salvage times. Despite at least four published international contouring guidelines from different collaborative groups, discrepancies remain for volumes, delineation, and margins to be considered in order to optimize radiotherapy planning. This article from “Groupe d’Etude des Tumeurs UroGénitales (GETUG)” members will focus on controversies to help clinicians to create best volume delineation for adjuvant or salvage post prostatectomy radiotherapy.

**Keywords:** prostate cancer, postoperative, radiotherapy, volume delineation, clinical target volume

## INTRODUCTION

Radiotherapy (RT) after radical prostatectomy (RP) is indicated in the adjuvant setting for patients with high-risk pathological features (1) in the salvage setting at prostate-specific antigen (PSA) relapse or when the PSA remains elevated after RP (2). With long-term follow-up, it has been demonstrated that in 40% of patients treated with adjuvant RT who develop a recurrence, the predominant site remains local (3). The potential reasons for local failure include an inadequate radiation dose and inadequate definition of the clinical target volume (CTV). Successful RT in the era of three-dimensional conformal RT (3D-CRT) and intensity-modulated RT (IMRT) requires physicians to accurately delineate treatment targets while simultaneously avoiding normal tissue to limit organ at risk (OAR) toxicity. Four consensus guidelines have been published for CTV delineation in postoperative RT. Significant differences exist between these guidelines with respect to CTV delineation. In the postoperative setting where the macroscopic target volume has been removed completely both the delineation of the CTV and the precision of dose delivery become crucial especially when attempting increasing dose, as IMRT allows it. The use of image-guided radiotherapy (IGRT), to optimize patient positioning for postoperative RT, is increasing and is directly derived from accurate target volume definition and appropriate margins (4). This article will focus on CTV delineation discrepancies with modern 3D conformational radiotherapy based on computed tomography (CT) scan or magnetic resonance imaging (MRI) used for planning.

## DEFINITION OF CTV FOR PROSTATE BED

For postoperative RT, gross tumor volume (GTV) does not exist clearly in adjuvant setting and it can be hardly estimated, clinically or radiologically, for salvage purpose in condition of a rising PSA

because it remains microscopic most of the time. CTV definition is based from pathological study of the prostate: size of the gland, seminal vesicle (SV) invasion, and location of positive margins (5). This volume corresponds to the prostate bed, and we are going to highlight how challenging is the definition of CTV for the prostate fossa.

## CTV Delineation following Locations of Recurrence after RP

Following RP, the rate of biochemical failure is relatively high, >50%, within the first 5 years among patients with pathological high-risk features (positive surgical margins, extracapsular extension, and SV involvement) (6). To determine the optimal CTV for planning, it is necessary to appreciate the most common sites of local relapse after surgery. In few cases, a local relapse can be confirmed by physical examination, TRUS-guided biopsy, MRI, and sometimes choline positron emission tomography (PET). Some studies describe the site of a biopsy proven relapse in the prostatic bed after prostatectomy. For Silverman and Krebs, all the 31 local clinically detected relapses were located at the vesicourethral anastomosis (VUA) (7) and that was the case for 2/3 of the patients in Connolly study (location anterior, posterior or both) (8). Leventis et al. reported 17/31 positive biopsies at the VUA in a TRUS series of 41 biochemically relapsing patients after RP. Other sites of interest were bladder neck and retrovesical space and residual SV (9). Contrast-enhanced, endorectal coil MRI has a high sensitivity and specificity for detecting local recurrence after RP (10, 11). In a study on 48 patients, Sella et al. showed that local recurrences were perianastomotic in 29% of patients, retrovesical in 40%, in residual SV in 22%, and at surgical margins (anterior or lateral) in 9% (10). In a series by Miralbell et al., MRI was capable of documenting a recurrent or residual disease in the setting of PSA levels ranging from 0.05 to 13.3 ng/mL (median: 0.87), typically in the inferior and posterior region of the vesicourethral anastomosis (11). These results from an MRI series of 60 men are consistent with another MRI study showing recurrences largely around the VUA (12). At last, <sup>18</sup>F-fluorodeoxyglucose or <sup>11</sup>C-acetate PET were tested in 20 consecutive patients with suspected residual or recurrent prostate cancer after RP and with PSA levels of <1 ng/mL with PET/CT co-registration, and 5 and 6 local recurrences were identified, respectively, following techniques used (13). On 33 patients with biological and histopathological evidence of recurrence, focally increased [(11)C]choline uptake in the prostatic bed reliably predicted local low volume occult relapsing prostate adenocarcinoma after RP and identified 71% of patients with a favorable biochemical response to local radiotherapy in a study by Reske et al. (14). These results emphasized similarity, whatever diagnosis methods used, in recurrence location that helps to create a CTV on a planning CT scan, even if correlation between anatomically described location and radiographic positioning remain difficult in a postoperative setting. As described in a comparison between pre- and postsurgery planning CT scan by Sanguinetti et al., the positions of bladder and rectum are shifted in the prostate fossa and the volume of CTV is reduced by 30% after surgery following variations of these anatomical strictures (15). To help clinicians to detect regions of interest (ROI), clips placement by the surgeon

during prostatectomy could locate anastomosis between bladder neck and urethra and could be used as fiducial markers for IGRT (4, 16). On the other hand, sometimes the risk of compromising ROI delineation could exist with the great numbers of hemostatic surgical clips placed in the prostate fossa as they can also hinder this identification due to the image artifacts that they can cause. Hence, due to the complexity of CTV definition after surgery (due to changes in anatomy caused by the surgery itself and the limited information on the preoperative location of the prostate), consensus and guidelines for prostate bed delineation became crucial.

## Guidelines to Delineate the Prostate Bed

Nowadays, in the literature exists at least four consensus guidelines for postoperative external beam radiotherapy for prostate cancer focusing on CTV consensus guidelines using CT, in the era of 3D-CRT: the European Organization for Research and Treatment of Cancer (EORTC) (17), the Australian and New Zealand Radiation Oncology Genito-Urinary Group [the Faculty of Radiation Oncology Genito-Urinary Group (FROGG-RANZCR)] (18), the Princess Margaret Hospital (PMH) (19), and the Radiation Therapy Oncology Group (RTOG) (20). The CTV definitions based on each consensus are listed and summarized in **Table 1**. Most of them explore two patients' cases scenarios (pT2R1 or pT3a as case 1 and pT3b as case 2) to describe best guidelines recommendations. Of note, each working group developed its CTV definition following a limited number of experts gathered in a delineating task group panels. The FROGG consensus was refined during a consensus conference in June 2006 attended by 63 specialists (radiation oncologists, urologists, diagnosis imaging experts) and issues were developed subsequently in working groups to generate the published guidelines (18). For PMH consensus, 3 experienced urologists then 2 radiation oncologists delineated first boundaries contours for CTV (on CT or MRI), and this result was revised and approved during a GU tumor board meeting gathering 15 medical experts, and second, this proposal was validated by 2 radiation oncologists (19). The EORTC panelist board conducted a review of the likelihood of cancer recurrence from literature to publish its final consensus revised by EORTC members (17). Finally, during an RTOG-sponsored meeting, 11 radiation oncologists delineated prostate fossa CTV (pfCTV) on 2 cases (pT2c R1 with rising PSA and pT3bR0 with undetectable PSA) and their results were matched and statistically compared to finally accept a general agreement concordance (20). It is accepted that CTV should encompass the prostate and the SV surgical bed at risk of harboring microscopic disease or involved following pathological features. The planning process should include then preoperative imaging (CT and/or MRI), intraoperative reports and histopathological findings. The CTV delineation was reported on non-contrast CT (except for FROGG guideline) or CT/MRI images for simulation. The four consensus groups also agree that the vesicourethral anastomosis and periurethral tissue should be treated but they highlight discrepancies in including differently surrounding tissues like bladder or SV bed resulting in a great difference of CTV volume as shown in **Table 1**. The CTV volume from EORTC consensus has been showed to be significantly smaller than the others (21).

**TABLE 1 | Description of consensus guidelines.**

Protocols/ boundaries	Princess Margaret Hospital	European Organization for Research and Treatment of Cancer (EORTC)	Faculty of Radiation Oncology Genito-Urinary Group (FROGG)-ANZ	Radiation Therapy Oncology Group
Superior	Superior surgical clips if present, or 5 mm above the inferior border of the vas deferens. Retained seminal vesicle (SV) included when pathologically involved	Bladder neck +5 mm in all directions Original site of the base of SV should be included. If SV involved, include original position $\pm$ the remnants	Encompass all of the SV bed as defined by non-vascular clips and should include distal portion of the vas deferens. If SV pathologically involved, include any residual SV	Level of cut end of vas deferens or 3–4 cm above top of symphysis. Include SV remnants if pathologically involved
Inferior	8 mm below the vesicourethral anastomosis (VUA) or the top of the PB, whichever is most superior	Apex –15 mm cranially from the PB +5 mm in all directions	5–6 mm below the VUA, but should include all surgical clips inferiorly. If VUA not clearly defined, then slice above the PB	8–12 mm, below VUA, may include more if concern for apical margin. Can extend to slice above PB if VUA not well visualized
Lateral	Caudal: medial border of the levator ani and obturator internus. Cranial: sacrorectogenitopubic fascia	Up to the neurovascular bundles (if removed up to the ilio-obturator muscles) +5 mm in all directions	Medial border of the levator ani muscle or obturator internus muscle	Below superior edge of symphysis pubis: levator ani muscles, obturator internus Above superior edge of symphysis pubis: sacrorectogenitopubic fascia
Anterior	Caudal: posterior edge of the symphysis pubis up to the top of the symphysis pubis. Cranial: posterior 1.5 cm of the bladder wall	Anastomosis and urethral axis +5 mm in all directions	Lower border of clinical target volume (CTV) to 3 cm superior, posterior aspect of the symphysis pubis. More superiorly: posterior 1.5 cm of the bladder	Below superior edge of symphysis pubis: posterior edge of pubic bone. Above superior edge of symphysis pubis: posterior 1–2 cm of bladder wall
Posterior	Caudal: anterior border of the rectal wall and levator ani. Cranial: mesorectal fascia	Up to but not including the outer rectal wall, cranially including the most posterior part of the bladder neck +5 mm in all directions	Levator ani and anterior rectal wall. More superiorly, anterior mesorectal fascia	Below superior edge of symphysis pubis: anterior rectal wall Above superior edge of symphysis pubis: mesorectal fascia
CTV (cm <sup>3</sup> )	104 $\pm$ 25	60 $\pm$ 17	88 $\pm$ 16	102 $\pm$ 24

The caudal border is defined in the EORTC guidelines as 15 mm above the penile bulb or at the apex of the prostate whereas FROGG and PMH guidelines suggest that it should be 5–6 and 8–12 mm below the VUA, respectively. For RTOG group, the inferior treatment volume should end immediately superior to the penile bulb and it employs sagittal reconstruction to identify the most inferior urine in the bladder. At mid plan, all four consensus advocate the region extending anteriorly to posteriorly from the pubic symphysis to the rectum should be included. The superior border is also controversial following these guidelines: FROGG guidelines suggest the volume encompassing the entire SV bed and distal portion of the vas deferens; the bladder neck for EORTC guideline; the superior surgical clip or 5 mm above the vas deferens for PMH guideline; and the level of the cut end of vas deferens or 3–4 mm above the top of the symphysis in the RTOG guideline. The EORTC does not include the bladder in its CTV definition while the RTOG, FROGG, and PMH groups include 1.5 cm of posterior bladder and bladder wall. A special focus seems interesting with the recommendations to include or not the SV bed following VS invasion or not (pT2–pT3a/pT3b): for RTOG guideline, the VS bed should be delineated based on surgical clip visualization or VS remnants partially in case of pT2 or pT3a at apex and pT3a at the base or involvement of VS required inclusion of the SV remnants totally; for PMH guideline in case of pT2–pT3a, the superior boundary is the superior surgical clip or 5 mm above the inferior border of the vas deferens and retained VS are included in case of pT3b

but with 1 cm extension beyond the gross recurrent disease; for FROGG guideline, pT2–pT3a case should include VS bed and any residual VS should be included in CTV delineation in case of pT3b; and for EORTC guideline, the site of the base of VS should be included in any case with a 5 mm in all directions to account for microscopic extension, with the original location of VS in case of pT3b.

## CTV Delineation Using Multiparametric MRI

The previous four consensus guidelines were published considering CT as reference imaging system except for PMH guideline that used postoperative MRI to contribute in CTV delineation if local recurrence was detected. Multiparametric MRI scans (T2-weighted and dynamic contrast-enhanced images) have been shown to be an effective tool for evaluation of the prostatic fossa and to detect local recurrence (11, 22). As published guidelines propose to include the cut end of the vas deferens (RTOG, PMH) as a distinct postoperative feature, this organ is visible on MRI (22). Furthermore, postoperative findings of the SV are highly variable, and it has been showed by Sella et al. that in a postoperative MRI study, 20% of the patients had SV remnants, with similar location of the preoperative SV position, with an additional 38% with fibrotic SV tips (10). In most European countries, a preoperative MRI is not still routinely carried out as part of the workup before RP. Croke et al. looked on 20 patient candidates for postoperative RT

whose preoperative staging MRIs were fused with postoperative planning CT scans on whom the 4 CTV delineation guidelines had been applied previously. In all the 20 cases, the CTVs from guidelines did not cover the MRI-defined prostate generating an average prostate volume geographic miss of 35% (23). A second study on 30 patients analyzed CTVs contoured from RTOG Consensus guidelines (CTV RTOG) to CTV based on preoperative MRI (CTV MRI). CTV MRI was a mean of 18.6% larger than CTV RTOG with a mean volume of 138 cc versus 116.3 cc, respectively (24). On 10 patients MRI-detected biopsy proven local tumor recurrence with postprostatectomy prostate cancer, Wang et al. showed that in the superoinferior direction, recurrences ranged from the superior retrovesical region, to the inferior retrovesical region, to the posterior anastomosis, and as inferiorly as the posterior urogenital diaphragm. They reported that RTOG CTV contours did not appear adequate posterolaterally near the rectum/mesorectal fascia and at the posterior urogenital diaphragm inferiorly (25).

Potential benefit of postoperative MRI is to enable a clinician to better delineate areas of identified local recurrence. Based on a study of 113 patients diagnosed with prostate cancer recurrence by MRI scan, Park et al. showed that almost 95% lesions were located within 10 mm of the midline. With the use of the inferior border of the pubic symphysis as a reference point they showed that 87.3% lesions were located within 30 mm in the cranial direction from the reference point (12). For pT2–pT3a patients, VUA site and bladder neck represented most recurrence locations whereas for pT3b patients VUA site and retrovesical area were predominant. Hence, the authors recommended optimal CTV guidelines based on the pattern of local recurrence detected with an MRI acquired before salvage RT (SRT) and they displayed a CTV suggestion encompassing 97% of suspected tumor recurrences (representing a mean CTV volume of  $15 \pm 5 \text{ cm}^3$ ). A similar study was conducted by Miralbell et al., and they suggested a  $4 \times 3 \text{ cm}$  sized, cylindrically shaped CTV, centered 5 mm posteriorly and 3 mm inferior to the VUA site (11).

## ONGOING TRIALS FOR POSTOPERATIVE PROSTATE CANCER RADIOTHERAPY

Radiotherapy might have a meaningful benefit after RP, but there are no good data on the optimum timing of RT (26). A policy of adjuvant RT would result in significant overtreatment, while an early SRT policy might be equally effective. Likewise the optimum duration of HT combined with RT after RP is an important issue. Hence, different collaborative groups worldwide have started randomized controlled trials (RCT) to assess the benefit of RT  $\pm$  HT and its best timing between adjuvant or salvage settings (see Table 2). These prospective studies are currently using a delineation policy following one of the already published guidelines.

In France, GETUG members set up a meeting with 12 radiation oncologists held on 5 March 2008 for contouring session in postoperative prostate cancer. These results were published in French for CTV delineation (27) and atlas (28) and a report of the GETUG guidelines are listed in Table 2. This workshop

helped to create CTV planning for the already published GETUG-AFU 16 study (29) and for the ongoing GETUG-AFU studies: GETUG-AFU 22 (questioning RT vs RT + HT for early SRT, NCT01994239) in a phase II study and GETUG-AFU 17 (adjuvant versus salvage treatment with a combination of RT and HT, NCT00667069) in a phase III study.

Interestingly, the important number of RCT could validate guidelines used as reference for contouring in a prospective setting and might help clinicians to choose among these protocols the best to cope with postoperative RT.

## DISCUSSION

The debate to promote adjuvant or SRT is still an important issue and prostate bed target delineation remains in this context difficult as location and size of recurrences can be different (i.e., being macroscopically detectable by MRI) with time from PR. Considering the four published guidelines, anyone should be aware that despite different methods used some aspects remained similar and others showed discrepancies especially in volume delimitations, including also GETUG guidelines (17–20). Moreover, definition of recurrences to limit target contouring from these guidelines comes from macroscopic imaging description, generally assessed lately in the history of postoperative RT indication (30). These discrepancies explain why there are so different CTV volumes among these four guidelines and a comparison of these four consensus has been carried out in a Canadian study (16). For each patient of the 20 treated in this study, a CTV delineation following these four guidelines was performed and analyzed. Results showed that EORTC-CTV covered a larger volume of normal tissue posteriorly (more rectal volume) than the other guidelines. A greater coverage of the bladder was noticed for RTOG/PMH-CTV compared to EORTC-CTV (21). The inherent difficulty in defining the “virtual” prostate bed target is reflected in the presence of interobserver variability in the delineation of the prostate bed that appears to persist even despite the use of rigorous contouring protocols and guideline (31, 32). Ost et al. assessed interobserver agreement (six observers participated in this study) of prostate bed delineation using CT alone as proposed by EORTC guidelines and found a moderate agreement with an overall standard deviation of the outer margins ranged from 4.6 to 7 mm (31). For Symon et al., 38 pfCTV were delineated on postradical prostatectomy CT scans of 8 patients by 5 observers. Interphysician variability was considerable with a mean pfCTV of 39.09 cm (range, 11.8–72.5 cm). pfCTV delineation was subject to considerable interobserver variability associated with a significant risk of inadequate targeting of the anastomosis/bladder neck region and the retrovesical space (32).

The validation of existing consensus through ongoing clinical trials arose the need for high quality assurance (QA) program. The practice of dummy run (DR) in RCT has already been shown as an efficient tool for QA optimization (33). In order to assess the compliance to the 3D-CRT protocol guidelines, 30 participating centers were requested to participate in a DR procedure for the EORTC trial 22991 and patients files harbored no major protocol

**TABLE 2 | Overview of ongoing phase III studies on postoperative RT.**

Protocols	Randomization/RT dose	Guidelines used in trials
RAVES (NCT00860652)	ART commenced at $\leq$ 4 months of RP or early SRT triggered by a PSA level of $>0.20$ ng/mL RT dose 64 Gy	FROGG guidelines
Radiation Therapy Oncology Group (RTOG) 0534 (NCT00567580)	SRT with or without HT 6 months or pelvic fields RT dose 64.8–70.2 Gy prostate bed and 45 Gy pelvic lymph node	RTOG guidelines
GETUG-AFU 17 (NCT00667069)	ART vs SRT with 6 months HT RT dose 66 Gy/33 Fract	GETUG guidelines  Superior Include VUA, bladder neck and prostate fins laterally. 4.5–5 cm above penile bulb, fatty space between bladder and rectum is delineated. In case of SV invasion or pT3a at prostate base, SV bed should be included on 1.5–2 cm high with rectum wall to be spared  Inferior 5–10 mm above the penile bulb  Lateral Medial border of the levator ani muscle  Anterior To posterior part of cavernous corpus to 1/3 superior zone of pubic symphysis and bladder neck  Posterior From anal canal to anterior rectal wall and mesorectal fascia with a posterior limit following prostate fins
RADICALS (NCT00541047)	First randomization: ART or SRT Second randomization: RT only/RT + HT 6 months/RT + HT 24 months RT dose 66 Gy/33 Fract or 55 Gy/20 Fract	RADICALS guidelines modified from Wiltshire and colleagues
EORTC 22043 (NCT00949962)	ART or SRT with or without HT 6 months	EORTC guidelines
SAKK 09/10 (NCT01272050)	SRT with RT dose 64 or 70 Gy	EORTC guidelines
MAPS (NCT01411345)	SRT with or without boost RT dose 68 Gy or 74.8 Gy/34 Fract	–

ART, adjuvant RT; SRT, salvage RT; RP, radical prostatectomy; PSA, prostate-specific antigen; HT, hormonal treatment; VUA, vesicourethral anastomosis; SV, seminal vesicle; Fract, fractions; GETUG, Groupe d'Etude des Tumeurs UroGénitales; RT, radiotherapy.

deviation (34). The SAKK 09/10 study including a site-specific and study-specific questionnaire and a DR, following EORTC contouring guidelines. In the first submitted version of the DR, major deviations were noted for 70% of the centers. These results were improved after DR completion for 83% of the centers in this study. A moderate interobserver agreement was noticed in prostate bed delineation initially, and DR protocol achieved to improve the acquaintance of the participating centers with the trial protocol (35).

Education can be useful to correct for existing discrepancies and to drive professionals toward a harmonization of practice. Mitchell et al. had showed that interclinicians variability in target volume outlining existed but adherence to evidence-based protocol (RADICALS protocol) can achieve reduction in this variability (36). Pasquier et al. conducted in 11 RT centers a prospective work to improve homogeneity of delineation of volume of interest on 3 clinical cases of which a case for a postoperative prostate cancer (37). After collecting each initial delineated volume and comparing these volumes with validated indexes [volume ratio (VR), volume overlap, and Dice similarity coefficient (DSC)], a second delineation was secondly performed after discussion of the slice results. For the selected case, VR

and AV were significantly improved and DSC remained high, so the authors showed that a collaborative discussion about clinical case and the choice of shared guidelines (RTOG in this article) could improve the homogeneity of CTV delineation (37). Another study from the same team analyzed automated atlas-based segmentation supplied by software vendors compared to radiation oncologist contours for prostate bed cases. They showed that these algorithms for segmentation were essentially aimed to delineate OAR (high-contrast organs) and were insufficient for prostate bed contours (38).

## CONCLUSION

Adjuvant or SRT in the era of 3D-CRT or IMRT are based on optimal contouring methods to avoid geographic miss in an invisible target. Delineating the prostate bed remains an issue as current CTV consensus definitions do not adequately cover the prostate bed and/or GTV based on preoperative imaging. These published guidelines based on postoperative CT  $\pm$  MRI imaging, even if discrepancies between them exist, have played an important role for professional support. Adopting one of them as a standard of care in its own practice provide better delineation homogeneity

and best covering of the entire surgical bed in postoperative radiotherapy. This statement might be relevant as long as ongoing clinical trials carry new standard of care and might emphasize which guideline offer the most appropriate long-term local control following postoperative prostate cancer radiotherapy with an acceptable toxicity profile.

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# Gaps between Evidence and Practice in Postoperative Radiotherapy for Prostate Cancer: Focus on Toxicities and the Effects on Health-Related Quality of Life

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Adjuvant radiotherapy (ART) after prostatectomy for patients with high-risk features [extracapsular extension (ECE), seminal vesicle invasion (SVI), and positive margin] has been shown to be associated with improved biochemical disease-free survival in three large randomized trials and with improved overall survival in one. Similarly, salvage radiotherapy (SRT) can effectively achieve biochemical control in a significant proportion of patients with a rising PSA after surgery. Nonetheless, both approaches of postoperative RT remain highly underutilized. This might be partly due to concerns with overtreatment inherent to adjuvant approaches, and/or hesitance about causing radiation toxicities and their subsequent effects on the patient's quality of life. Herein, we review the literature lending evidence to these arguments. We show recent series of ART/SRT and their low rates of acute and long-term toxicities, translating only in transient decline in quality-of-life (QoL) outcomes. We conclude that concerns with side effects should not preclude the recommendation of an effective and curative-intent therapy for men with prostate cancer initially treated with radical surgery.

**Keywords:** prostate cancer, adjuvant, salvage, radiotherapy, quality of life, toxicities

## INTRODUCTION: ROLE OF ADJUVANT AND SALVAGE RADIOTHERAPY AFTER PROSTATECTOMY AND THE UNDERUTILIZATION PROBLEM

There were approximately 220,800 new cases of prostate cancer (PCa) diagnosed only in the US in 2015, with 27,540 patients dying from the disease (1). According to a recent analysis based on SEER data, 90% of prostate cancer cases in the US are diagnosed in localized stages, and 40% of these are treated with radical prostatectomy (2). After surgery alone, 30–40% of patients will experience biochemical failure (3–5), and one-third of recurrent cases will be subsequently diagnosed with metastatic disease (6). Nonetheless, death from prostate cancer remains infrequent, and cancer-specific survival rates are above 90% after 15 years of surgery alone (5).

In order to decrease the risk of biochemical failure, particularly in patients with high-risk features (including positive surgical margins, high grade disease, and/or pT3-stage) (7), postoperative

radiotherapy has been studied and shown efficacious. Three randomized trials from cooperative groups (SWOG-8794, EORTC 22911, and ARO 96-02) have demonstrated significant biochemical disease-free survival improvement with adjuvant radiotherapy for patients with high-risk features (8–11). Moreover, one of these trials showed superior overall survival in the radiation arm (11). Given these benefits, adjuvant radiotherapy in high-risk patients has been endorsed and recommended by practice guidelines from leading European and North American societies (12–14).

In patients presenting with biochemical recurrence (rising PSA) after prostatectomy, salvage radiation has been reported to achieve an overall biochemical response rate of 50%, translating into a threefold increase in prostate cancer specific survival (15). However, long-term disease control rates are highly variable, ranging from 10 to 40%, mostly due to the intrinsic patients' heterogeneity in this high-risk population. To date, there is lack of robust predictive markers to identify those with PSA increase due to local recurrence (who are likely to benefit from salvage radiation) from those with already microscopic distant spread (in whom further local therapies is likely futile) (16, 17). At present, no prospective study has directly compared ART vs. SRT approaches. Although such efforts are currently underway, the optimal postoperative RT timing conundrum remains a topic of controversy (18).

Despite the demonstrated benefits of both adjuvant and salvage radiotherapy, these treatments remain strikingly underutilized, with <15% of eligible patients with high-risk features receiving radiotherapy across different jurisdictions (19–25). Moreover, during the last decade, the absolute utilization rates have not significantly changed despite the publication of the three large ART randomized trials (21, 24, 26), notwithstanding the fact that recommendation for the use of adjuvant radiation has increased (25). This discrepancy between evidence and practice is more pronounced in older patients, plausibly due to the uncertainty about treatment benefits in the context of a shorter life span and/or higher comorbidities (21).

To explain this underutilization, some plausible reasons have been suggested in relation to the pivotal trials' design and outcomes. Related to design, the comparison of ART with observation instead of early SRT (19), not ascertaining the use or timing of SRT in the observation arm, and the inclusion of patients with detectable PSA pre-ART (27) have been mainly discussed. Regarding outcomes, particularly the absence of survival benefit in two of the trials has been highlighted, with improvements shown only in SWOG study, which could have been confounded by comorbidities in the control group (28). Additionally, physician's specialty appears also to influence ART/SRT use, as demonstrated by urologists being less likely to recommend it compared to radiation oncologists (29, 30). Patient factors, such as age, comorbidities, and life expectancy estimates, have also been suggested to influence endorsement of post-prostatectomy radiation (21).

However, current literature has mostly focused on two major reasons for withholding or deferring the use of postoperative radiotherapy, namely, concerns with overtreatment and radiation toxicities with their subsequent impact on patient's quality of life.

To better understand the delay in practice change, herein, we summarize the literature focusing on these two potential factors. The evidence presented here could also serve to guide treatment individualization and shared decision-making between physicians and patients regarding curative-intent adjuvant and salvage radiotherapy after radical prostatectomy.

## Avoiding Overtreatment or Favoring Undertreatment? Nuances until Superiority (or Non-Inferiority) of SRT Is Proven

Although the bulk of evidence supports the use of immediate postoperative radiation, its proper timing is a matter of debate (28) mainly due to the concerns related to the possibility of overtreatment with early adjuvant radiation. A considerable proportion of high-risk patients achieve good disease control with surgery alone, with slightly over half of them remaining free from biochemical failure at 5 years (10, 31). In patients with adverse pathological features, such as ECE, positive margins, and SVI, the 10-year progression-free probability can be as high as 71, 44, and 37%, respectively (32, 33). Therefore, the alternative concept of delaying radiotherapy to the time of recurrence (i.e., rising PSA) has been proposed by some as an effective method to provide the same results while avoiding the intrinsic overtreatment risk of adjuvant approaches (16).

This treatment strategy, at present time, is supported by retrospective evidence (34), and as the core, assumes that SRT or delayed ART could be as effective as immediate ART (26). Indeed, a pooled analysis of 10 SRT studies has yielded bRFR rates similar to historic reports of adjuvant radiation (71 vs. 67–74%, respectively) (34). However, this indirect comparison in the absence of randomized prospective data cannot safely answer whether early salvage is really equivalent to adjuvant radiation. In fact, matched group analyses have shown superiority of adjuvant over salvage radiotherapy with regards to freedom from biochemical failure (35–37). Solving this clinical conundrum is the objective of ongoing phase III trials, including RADICALS (34), RAVES (35), and GETUG-17 (<http://ClinicalTrials.gov identifier NCT00667069>), from which informative results will likely be available in the upcoming decade.

Although SRT approaches might be inferior to ART in general, within the former, earlier rather than delayed salvage has shown superior outcomes. The aforementioned pooled analysis on retrospective studies demonstrates improved 5-year biochemical relapse-free survival with early salvage compared to delayed salvage radiation, with improved outcomes in those patients with a PSA level of <0.5 ng/ml. Other studies have suggested different threshold values (34, 38, 39). Acknowledging that within SRT approach, earlier salvage renders more favorable results, the comparison with adjuvant radiation remains unclear given the lack of prospective studies. In addition, clinically applicable and validated PSA thresholds have been hard to determine. The available cutoff points below which SRT is assumed to be equal to ART mostly represent study-specific statistical considerations and might not be used to guide clinical practice until properly validated in a prospective fashion.

Intention to avoid potential overtreatment inherent to adjuvant approaches is a longing that is not exclusive to prostate cancer (40), and one of the principles of personalized cancer treatments is to tailor management to each patient's disease and individual unique characteristics. When robust and consistent evidence supports the use of adjuvant treatment, the goal for avoiding overtreatment should be to precisely identify those patients in whom the treatment is futile, without precluding *a priori* a significant proportion of patients to derive benefit from such therapy. This requires prospective studies with sufficient follow-up (41), which at present are lacking in postoperative prostate cancer setting. A similar scenario was experienced in determining the role of axillary node dissection in breast cancer patients with positive sentinel node biopsy. Almost 7000 patients were randomized in three separate trials [IBCSG 23-01 (42), AOCOSOG Z0011 (43), and EORTC 10981-22023-AMAROS (44)] before a conclusion could be reached regarding the subset of patients where elimination of axillary dissection is safely warranted.

Even if justified, favoring delayed over adjuvant radiotherapy does not seem sufficient to explain the overall low utilization of radiotherapy in post-prostatectomy setting. In a recent US nation-wide practice analysis, the use of immediate (ART) and delayed (SRT) was relatively stable over time, with only a slight increase in delayed RT between 2007 and 2009 (24). Contrary to this, another study has reported a minimal shift toward earlier radiation after the publication of the ART randomized studies (23). These findings together challenge the assertion that the underutilization of ART is due to increased use of SRT, and it seems safe to state that neither immediate nor delayed radiation has been increasingly used despite large trials demonstrating benefits. In current practice, some patients are being precluded of a potentially curable treatment for PCa after initial radical prostatectomy.

## Concerns with Radiation Toxicities: How Much More Evidence Is Needed?

Radiation toxicities and their impact on the quality of life (20, 45) might be another deterrent for the use of ART/SRT. This, in part, can be explained by EORTC and SWOG trials' reports of increased incidence of late toxicities in the adjuvant RT arm (8, 9). In EORTC trial, grade 2 or higher late GU toxicity was significantly higher in radiation arm (21.3 vs. 13.5%), but late grade 2 GI toxicity rates were similar. Nonetheless, more clinically relevant grade 3 side effects were not significantly different between the two arms (2.5–5%), and no grade 4 events were reported (8). Although the SWOG trial did not report graded toxicity, complications were generally more frequent in the radiation arm (23.8 vs. 11.9%), mainly due to rectal complications (3.3 vs. 0%) and urethral strictures (17.8 vs. 9.5%) (9). Although ART seems to double the relative risk of complications as compared to observation, the absolute rates of long-term toxicities remain low, particularly for high grade side effects. From a benefit/risk analysis based on these early studies, the NNT for improving biochemical relapse rates (1.6 at 10 years) remains significantly better than the NNH (5 for grade 2 or higher and 20 for grade 3 or higher) to prevent any toxicity during 10-year follow-up.

Both EORTC and SWOG trials used conventional two-dimensional radiotherapy planning (e.g., four-field box), which does not represent state-of-the-art radiation oncology practice. Over the last decade, various and significant technological innovations have been realized in radiation planning and delivery (46). The advent of high-precision radiotherapy has positively impacted the delivery of lower doses to surrounding normal tissues and the subsequent risk of toxicities. With intensity-modulated radiotherapy (IMRT), even more conformal planning is feasible compared to three-dimensional radiotherapy (3DCRT), translating in improved early GI/GU (47) and late GI toxicity profiles (48). Moreover, daily image guidance added to IMRT planning for accurate delivery allows prioritizing rectal dose constraints over target volume coverage. When tested in a recent phase II trial, this technique translated in excellent biochemical control without grade 3–4 acute or late toxicities (45). Although longer follow-up is warranted, the implementation of modern radiotherapy techniques in the post-prostatectomy setting will likely reflect in declining rates of long-term toxicities and subsequent QoL impact, as have indeed been observed in other PCa radiotherapy scenarios (49). Whereas no randomized study has directly compared the toxicities of conventional vs. high-precision planning (and it is unlikely to be conducted), **Table 1** summarizes and contrasts the results of benchmark randomized trials and contemporary studies employing state-of-the-art radiotherapy techniques, reporting the toxicity profile of postoperative radiotherapy. Despite variations among groups in the definition of target volumes, doses, and radiation techniques, a very low rate of high grade acute or chronic toxicities is consistent across studies. The majority of adverse events are grade 2, and none of the available reports have described grade 4 toxicities.

There is very limited literature on the quality of life (QoL) and/or patient-reported outcomes after postoperative radiotherapy (**Table 2**). Moreover, the methodology for measuring and reporting QoL is not uniform, which further limits drawing definite conclusions. After radiation, the available longitudinal data show a transient decline in GI and GU QoL indicators, particularly during the first months. With longer follow-up (e.g., 3–12 months after ART/SRT), QoL metrics return to patient's pre-radiation baseline or become comparable to reference values in GI, GU, and sexual domains. However, among the studies quantifying long-term symptoms and their impact on QoL, the results are not fully consistent. In the study by Moinpour et al. (50) reporting QoL of SWOG trial's participants, bowel tenderness and urgency were significantly higher in radiation arm (47 vs. 5% at 6 weeks); however, this negative impact of ART was transient, and no difference between treatment arms was present after 2 years. Pinkawa et al. also report higher rates of bowel bother at a follow-up longer than 12 months, although the mean decrease in score is 4 points compared to baseline (90 vs. 94) (67). This long-term detrimental impact in GI-related QoL has not been observed in other studies. The SWOG quality-of-life analysis also reported long-term impact on urinary frequency subscale (50), where patients reported 15% more frequent urination over the follow-up duration (5 years). Again, this effect trend has not been observed in other reports. Overall differences between these two earlier and the most recent studies could in part be explained by

**TABLE 1 |** Summary of post-prostatectomy radiotherapy studies, outcomes, and toxicities.

Study	Prospective	Number of patients, median follow-up	Technique, total dose	bRFR	Acute G ≥ 2 toxicity (%)		Acute G3–4 toxicity (%)		Late G ≥ 2 toxicity (%)		Late G3–4 toxicity (%)		Change in ED (%)
					GU	GI	GU	GI	GU	GI	GU	GI	
Thompson et al. (9)/ Moinpour et al. (50)	Yes (RCT)	425, 10.6 years	Conventional, 60–64 Gy	65.1% (ART) vs. 36% (Obs) (10 years)	NR	NR	NR	NR	24.3 <sup>a</sup>	3.3 <sup>a</sup>	NR	NR	NR
Bolla et al. (8)	Yes (RCT)	1005, 10.6 years	Conventional, 60 Gy	60.6% (ART) vs. 41.1% (Obs) (10 years)	NR	NR	NR	NR	21.3	2.5	5.3		NR
Wiegel et al. (10)	Yes (RCT)	385, 53.7 months	Conventional, 60 Gy	72% (ART) vs. 54% (Obs) (5 years)	NR	NR	NR	NR	2	1.4	0.5	0	NR
Choo/Pearse et al. (51, 52)	Yes	75, 45.1 months	3DCRT, 66 Gy	78.6% (7 years)	12	18	3	3	22.6	8.7	2.8	1.6	NR
Eldredge et al. (53)	No	68, 15 months	IG-3DCRT, 68.4 Gy	93% (3 years)	15	13	2	0	13.6	5.4	0	3	NR
De Meerleer et al. (54)	No	135, 9 months	IMRT, 74 Gy	67% (3 years)	28	15	3	0	33.8	16	3	3	NR
Ost et al. (55)	No	104, 36 months	IMRT, 74 Gy	93% (3 years)	34.6	22	8	0	26	7	4	0	NR
Goenka et al. (48)	No	176, 53 months	3DCRT, IMRT, ≥70 Gy	39.9% (5 years)	16.3	9.8	NR	0	17	5.2	6	1.4	27
Shelan et al. (56)	No	76, 52 months	IMRT, 70 Gy	62.5% (4 years)	NR	NR	NR	NR	NR	NR	4	0	NR
Wong et al. (57)	No	50, 18.9 months	IG-IMRT, 65 Gy	72.9% (2 years)	8	2	0	0	4	4	0	0	NR
Sandhu et al. (58)	Yes	26, NR	IG-IMRT, 68 Gy	NR	12	4	0	0	NR	NR	NR	NR	NR
Cheng et al. (59)	No	70, 10.6 months	IG-IMRT, 68.8 Gy	NR	36	41	0	0	NR	NR	NR	NR	NR
Nath et al. (47)	No	50, 24 months	IG-IMRT, 68 Gy	NR	14	8	0	0	16	2	2	0	NR
Deville et al. (60)	No	67, 25.5 months	IG-IMRT, 70.2 Gy	NR	16	46	3	0	24	1.5	9	0	NR
Hunter et al. (61)	No	104, 33 months	IG-IMRT, 70 Gy	NR	NR	NR	NR	NR	11.6	0	5.4	0	NR
Chua et al. (62)	Yes	75, NR	IG-IMRT, 66 Gy	NR	30.6	22.6	4	1	NR	NR	NR	NR	NR
Cremers et al. (63)	No	197, 40 months	3DCRT, 63 and 58.5 Gy (2.25 Gy/fr)	59% (5 years)	NR	NR	NR	NR	29.4	1.5	6	0.6	NR
Cortes-Gonzalez et al. (64)	No	184, 48 months	3DCRT, 70 Gy	63% (4 years)	NR	NR	3	0	NR	NR	9	5	NR
Corbin et al. (65)	Yes	78, 24 months	IMRT, 66.6 Gy	NR	NR	NR	NR	NR	NR	NR	NR	NR	NS
van Gysen et al. (66)	Yes	64, 24 months	IMRT, 66 Gy	NR	NR	NR	NR	NR	NR	NR	NR	NR	NS
Berlin et al. (45)	Yes	68, 71.2 months	IG-IMRT, 66 Gy	72.7% (5 years)	38.2	22	0	0	10.6	12.3	0	0	NS

bRFR, biochemical relapse-free rate; G, grade; G3–4, grade 3–4; GU, genitourinary; GI, gastrointestinal; ED, erectile dysfunction; RCT, randomized controlled trial; 3DCRT, three-dimensional conformal radiation therapy; IG-3DCRT, image-guided three-dimensional conformal radiation therapy; IMRT, intensity-modulated radiation therapy; ART, adjuvant radiotherapy; Obs, observation arm; NR, not reported; NS, non-significant.

<sup>a</sup>Toxicity grade not reported.

**TABLE 2 |** Summary of studies on patient-reported QoL indicators.

Study	Setting	Technique	QoL tool	Urinary domain, mean	Bowel domain, mean	Sexual domain, mean	Global health domain, mean	Comparator <sup>a</sup>	Difference from comparator	Last reported measurement (months)
Moinpour et al. (50)	ART vs. RP only	3DCRT	SWOG QoL Questionnaire	NA	NA	NA	NA	Baseline	SS in favor of control arm: urinary frequency at 60 months, bowel function until 24 months, global QoL at 6 weeks SS in favor of radiation arm: global QoL at 60 months NS at other time points	60
Pinkawa et al. (67)	SRT/ART	4F Box	EPIC	Function, 84 Incontinence, 74	Function, 91 Bother, 90	Function, 11	NR	Baseline	SS in favor of control arm: urinary function at 6 weeks, bowel function at 2 months, bowel bother at >1 year NS at other time points	>12
Cremers et al. (63)	SRT	3DCRT	EPIC	Function, 80	Function, 93	Function, 23	NR	Reference	NS	NA
Cortes-Gonzalez et al. (64)	SRT + NHT	3DCRT	QLQ-C30, PR-25	Symptoms, 24.7 <sup>b</sup>	Symptoms, 9.4 <sup>b</sup>	Symptoms, 50.4 <sup>b</sup>	Function, 77.9 <sup>b</sup>	Reference	NS	NA
Corbin et al. (65)	SRT/ART	IMRT	EPIC 26, IPSS	Irritations, 86 Incontinence, 78	Function, 89	Function, 36	NR	Baseline	NS	24
van Gysen et al. (66)	SRT/ART	IMRT	EPIC	Function, 82 Incontinence, 74	Function, 94	Function, 14	Physical component, 48	Baseline	NS	15
Berlin et al. (45)	SRT/ART	IG-IMRT	EPIC	Function, 85 Incontinence, 76	Function, 94 Bother, 90	Function, 25 Bother, 58	NR	Baseline	SS in favor of control arm: urinary irritation at 5 weeks, bowel function at 3 months, sexual function at 3 months NS at other time points	60

QoL, quality of life; ART, adjuvant radiation therapy; RP, radical prostatectomy; SRT, salvage radiation therapy; NHT, neoadjuvant hormone therapy; 3DCRT, three-dimensional conformal radiation therapy; 4F, four field; IMRT, intensity-modulated radiation therapy; NA, not applicable; NR, not reported; NS, not significant; SS, statistically significant.

<sup>a</sup>Reference: reference values in age-matched healthy population. Baseline: patient's pre-RT baseline.

<sup>b</sup>According to QLQ-C30 PR-25, higher mean for functional and general health domains shows higher functioning and lower mean for symptoms demonstrates less symptom burden.

the fact that the former correspond to the pre-IMRT and image-guidance era.

The impact of ART/SRT on sexual function represents a particular concern influenced by the low residual function post-prostatectomy. The latter also translates into challenges in evaluating the potential superimposed impact of ART/SRT on this QoL domain. Nonetheless, most of the studies that have evaluated this area have shown absence of ART/SRT impact on residual erectile function (see **Table 2**). This indeed contrasts with evidence of RT as primary treatment for localized disease, where a negative long-term impact has been reported (68). However, a possible explanation for this difference could be the prescribed doses between the two settings. Interestingly, an improvement trend of “sexual bother” subscale with time has been shown despite stability of sexual function scores, in keeping with patients getting used to a steady level of sexual functioning (45).

As an interesting corollary of these findings, the global quality of life was only transiently lower at 6 weeks in the SWOL QoL study, despite radiation toxicities and subsequent negative impact on GI- and GU-related QoL domains. In fact, it remained higher at 5 years for patients receiving ART as compared to control group (50). This in part could be explained by the effect of improved disease control on overall QoL in the RT arm. These latter observations serve to reinforce the complexity of QoL-related outcomes and studies. At any rate, considering the well-known mismatch in perception of QoL outcomes between physicians and patients (69), additional effort should be made by practitioners to convey unbiased information, which is more consistent with current evidence showing absence of detrimental effect (or even overall improvement) on QoL domains with the use of modern state-of-the-art post-prostatectomy RT.

## CONCLUSION AND FUTURE STEPS

Postoperative adjuvant and salvage radiotherapy are effective and safe treatments in patients with high-risk factors or rising PSA after prostatectomy, respectively. Their underutilization might

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have several reasons, including concerns with overtreatment and radiation-related side effects. The current available data on toxicity demonstrate increased incidence of acute and long-term grade 2 events, but no significant increase of grade 3–4 long-term side effects with the use of ART/SRT. Although patients’ quality of life is affected transiently, it returns to pre-radiotherapy baseline during the first year after therapy. Despite the lack of randomized data comparing conventional with modern radiation techniques and lack of long-term follow-up of the latter, studies are consistent in suggesting an improved therapeutic index with the use of image-guided high-precision radiation, mainly due to better sparing of organs-at-risk translating into decreased toxicity rates. With the use of adjuvant radiation, a proportion of patients will be overtreated; however, present evidence does not seem robust enough to support similar effectiveness between delayed and adjuvant radiotherapy, and the latter should continue to represent the standard of care approach.

The literature on QoL and patient-reported outcomes after post-prostatectomy RT remains scarce, and continuous efforts in gathering prospective QoL data using validated tools seems necessary. Integration of QoL outcomes into both decision-making process and evaluation of treatments’ impact on survival outcomes remains an unmet challenge (70).

In conclusion, concerns with toxicities and/or impact in QoL outcomes should not preclude patients from gaining the proven benefits of either ART or SRT. Pending the results of prospective studies comparing adjuvant vs. early salvage radiotherapy, the former should represent the standard approach during shared decision-making process between physicians and patients for treatment individualization in men with localized prostate cancer after radical prostatectomy.

## AUTHOR CONTRIBUTIONS

HR contributed in the literature search, analysis, and manuscript preparation. AB contributed in the literature search, analysis, and manuscript design and preparation.

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# Integrating geriatric assessment into decision-making after prostatectomy: adjuvant radiotherapy, salvage radiotherapy, or none?

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Despite current advancements in the field, management of older prostate cancer patients still remains a big challenge for Geriatric Oncology. The International Society of Geriatric Oncology (ISGO) has recently updated its recommendations in this area, and these have been widely adopted, notably by the European Association of Urology. This article outlines the principles that should be observed in the management of elderly patients who have recently undergone prostatectomy for malignancy or with a biochemical relapse following prostatectomy. Further therapeutic intervention should not be considered in those patients who are classified as frail in the geriatric assessment. In patients presenting better health conditions, salvage radiotherapy is to be preferred to adjuvant radiotherapy, which is only indicated in certain exceptional cases. Radiotherapy of the operative bed presents a higher risk to the elderly. Additionally, hormone therapy clearly shows higher side effects in older patients and therefore it should not be administered to asymptomatic patients. We propose a decision tree based on the ISGO recommendations, with specific modifications for patients in biochemical relapse.

**Keywords:** post-operative radiotherapy, prostate cancer, elderly patients, geriatric assessment, adjuvant radiotherapy, salvage radiotherapy

## INTRODUCTION

Prostate cancer is the most common form of cancer in European and American men, particularly afflicting older patients by missing the target of an effective therapy quite often toward under-treatment (1–5). However, two large studies of non-curative approaches to prostate cancer have demonstrated, independently of age, that patients at low and intermediate risk have a lower specific mortality when compared to high-risk patients (64%) (6, 7) and therefore professional bodies are not following these directives (8, 9). Indeed, the importance of patient's age is going to be considered, as reported by the recent recommendations of the European Association of Urology (EAU) (10). In the meantime, the International Society of Geriatric Oncology (ISGO) piloted a multi-disciplinary working group (of urologists, radiotherapists, medical oncologists, and geriatricians), delivering a set of guidelines for

the treatment of prostate cancer-affected elderly patients (based on the available literature), which were updated in 2013 (11, 12).

However, while this guidance addresses both localized prostate cancers and metastatic disease, the question of post-operative radiotherapy was not addressed, nor the problem of biochemical relapse, though these are frequent scenarios in elderly patients. We propose here a revision of the model that addresses this particular situation.

## WAIT AND SEE POLICY AND BEST SUPPORTIVE CARE

The first question in a clinician's mind when confronted with a patient presenting with high-risk pT3 prostate cancer with positive margins, detectable post-operative PSA levels, or a biochemical relapse, is the importance and relevance of all treatments, whatever they might be. The life expectancy of a patient at the time of biochemical relapse can be considerable (13). PSA doubling time and the initial Gleason score are the variables that are currently considered the best predictors of prostate cancer-specific mortality (14–16). In an illustrative study, Antonarakis followed a series of 450 patients in biochemical relapse, observing a metastasis-free survival rate (MFS) at 10 years of 94% (Gleason score 4–6) and 19% (Gleason score 8–10). In those cases where the PSA doubling time (PSA DT) was more than 15 months, the MFS rate reached the 72%, in contrast to those where the PSA DT was less than 9 months (7% only) (17). Indeed, tumor aggressiveness and specific mortality risks should be discussed between urologists and radiation oncologists and integrated with a general onco-geriatric opinion regarding patient's conditions or any existing co-morbidity (as specifically recommended by the new paragraph in the EAU guidance). Therefore, further therapeutic interventions should be considered only in patients presenting an aggressive prostate cancer (Gleason score  $\geq 8$  and/or short PSA DT), in accordance with a geriatric opinion. If any decision will be taken at this regard, the choice of therapy and its timing is the next consideration.

## ADJUVANT OR EARLY SALVAGE RADIOTHERAPY FOR OLDER PATIENTS

The rationale for post-operative irradiation is addressed to eradicating any microscopic residual of tumor after prostatectomy. In case of a detectable disease (a treatable PSA level), such therapy is termed "salvage radiotherapy (SRT)," while in cases where there are concerns about the completeness of the surgical resection, either positive excision margins or capsular rupture, though with sub-treatable PSA levels, the therapy would be termed "adjuvant radiotherapy" (ART).

For high-risk prostate cancers (pT3, R1), three large trials have validated the use of ART with respect to follow-up in terms of survival with no biochemical relapse: EORTC 22911, ARO 9602, and SWOG 8794 [reviewed in Thoms et al. (18–21)]. In the EORTC trial, 5-year survival without biochemical relapse was 77% in the ART arm against 55% in controls (which included patients who had late SRT). However, the survival data from these trials in patients with distant metastases are inconsistent. Of the three trials, only

the EORTC trial specifically analyzed the data with respect to age, though patients older than 75 years were excluded from the trial. Patients over 70 years nevertheless represented 20% of all patients recruited (196/1,105 of whom 94 patients were in the radiotherapy arm and 102 in the control arm), against the 47% who were under 65 years old. It is important to note that it was only in this over-70 patient group that survival without biochemical relapse was not improved by adjuvant treatment compared with watchful waiting. This study also showed that ART clearly led to worse outcomes for the over-70 group in terms of survival free from clinical relapse [HR = 1.78 (1.14–2.78),  $p = 0.0003$ ] and overall survival [HR = 2.94 (1.75–4.93),  $p = 0.0008$ ]. The criterion of age was the only significant predictor among the many survival variables studied, which included PSA level, resection margins, extra-capsular invasion, invasion into the seminal vesicles, and pT staging.

Three multi-center randomized controlled trials are currently underway (GETUG 17, RAVES, and RADICALS), comparing immediate ART with radiotherapy according to biochemical parameters, with no upper age limit for study inclusion. Until these trials report (which will not be for several further years), the current recommendation for elderly patients is to refrain from ART, but to consider SRT early, should the PSA rise above 0.2 ng/ml, whereas younger patients may benefit more from ART (20, 22).

## SALVAGE RADIOTHERAPY: EFFECTIVENESS AND ADVERSE EFFECTS

Salvage radiotherapy is the only potentially curative treatment available in biochemical relapse. Early SRT is thought to prevent tumor progression in around half of patients (23). In the study by Stephenson, 501 patients (between 40 and 79 years at the time of their prostatectomy procedure) were given SRT, and 50% were relapse-free at 4 years. For patients with progressive disease, the median time to progression (TTP) was 12.5 months. It should be noted that this analysis does not take account of the age of the patients. This author has also developed a predictive model of relapse-free survival (biochemical or clinical) within 6 years of SRT (15). The model was developed using a retrospective series of 1,540 patients between 58 and 67 years at the time of prostatectomy (though with no age data at the time of irradiation). The relevant variables in this nomogram are: PSA level before SRT, Gleason score, PSA DT, surgical margins, lymph node status, and the administration of hormonal treatment before or after SRT. This nomogram, which is suitable for patients of all ages, may assist decision-making when the PSA level is rising.

Radiotherapy to the prostatic bed can have long-term adverse effects. In the EORTC study, late grade 3 complications (from all sources) occurred in 5.3% of cases (compared with 2.5% in the observation arm,  $p = 0.052$ ) with all genitourinary toxicity of grade  $\geq 2$  at 21% (compared with 13% in the observation arm,  $p = 0.003$ ), though no significant difference in gastro-intestinal toxicity of grade  $\geq 2$  were observed (2.5 versus 1.9%,  $p = 0.47$ ) (18). These potential late complications are mainly urethral stenosis, urinary incontinence, and rectal bleeding. They were not specifically analyzed with respect to patient age. However, there are reasons to believe that SRT leads to fewer late side effects than ART. In a

large multi-center retrospective study of 959 patients treated with radiotherapy to the prostatic bed, ART independently predicted late urinary toxicity of grade 2 or greater compared with SRT (24). This study reminds us of the importance of a delay between prostatectomy and irradiation to maximize sphincter recovery, recommending an interval of at least 2 years in order to minimize the risk of late complications. It seems that post-operative radiotherapy leads to a greater number of adverse effects in elderly patients compared with their younger counterparts (25–27) (see Table 1). In another retrospective study of 742 patients, the age and the dose of radiation were the most relevant parameters for predicting grade 3 urinary toxicity in the long term (8 years) (25). The mean age at the time of radiotherapy was 65 years, with 117 patients less than 72 years and 69 patients aged over 71 years. Grade 3 urinary toxicity occurred in 16% of patients aged over 71 years, in comparison with 6% aged less than 72 years ( $p = 0.006$ ). In a multivariate analysis, age was an independent prognostic predictor of long-term grade 3 urinary toxicity, with an HR of 4.26 (1.45–12.47),  $p = 0.004$ .

We have not yet raised the question of hypofractionated treatment. In prostate cancer, and particularly in the elderly patient, increasing the dose of radiation in each fraction, whilst reducing the number of sessions, is an attractive concept. Retrospective studies have evaluated the potential risks of increased toxicity associated with hypofractionation and studies are under way to evaluate its effectiveness and the potential risks of increased toxicity associated with hypofractionation (28, 29).

## ANDROGEN-DEPRIVATION THERAPY

Patients presenting a localized prostate cancer who are currently considered ineligible for a curative local therapy (though most often the radiotherapist or urologist uses “intuitive criteria” to make this decision) are often offered androgen-deprivation therapy (ADT) instead. Scientifically, there is no evidence of benefit in survival to giving early treatment (30, 31). It is therefore currently advised to treat these patients only if they become symptomatic, except for patients who present with rapidly progressive disease (PSA DT <12 months). However, this evidence is balanced in practice by the concerns of patients, who, knowing that their PSA is climbing, are often very demanding that some treatment have to be instituted. It is important to note that very few patients are then referred for an onco-geriatric assessment, and that local treatment is judged

more hazardous than hormone therapy. However, the long-term adverse effects of hormone therapy are now well-recognized and of particular concern in the elderly (32). Such adverse effects include bone demineralization (33), increased fracture risk (34, 35), and increased cardio-vascular risk (36, 37). Several studies have found that patients rapidly decline physically, with marked effect on the quality of life, when treated with hormone therapy (38). Numerous physical activity programs have been devised to limit this, with very encouraging results (39–41). The other option to improve tolerability is to give intermittent hormone therapy rather than continuous treatment (42). This therapeutic strategy has been found to be equivalently effective, and is associated with a reduction in the unwanted effects of hormone therapy in several trials, notably in one of the largest trial, that of Calais da Silva, which recruited more than 900 patients and was also confirmed in a meta-analysis published by Shaw (43, 44).

It is also important to underline that, in practice, brief hormone therapy can be used alongside SRT. In high-risk localized cancers, a combination of radiotherapy and hormone therapy has generally been found to be more effective in comparison with radiotherapy alone (45). Among these trials, it should be noted that the 85.31 trial organized by the RTOG, included patients whose pT3a or b stage disease had been operated on, representing around 15% of the total number of 977 patients recruited to the study (46). The authors of this trial also concluded that combined radiotherapy and hormone therapy was superior, both in terms of overall survival (39 versus 49%,  $p = 0.002$ ) and disease-specific mortality (16 versus 22%,  $p = 0.005$ ). Similarly, post-operative radiotherapy combined with ADT may represent the new standard in the near future, based on the results of different clinical trials such as RTOG 9601, RTOG 0534, GETUG 16, and GETUG 22 trials (47). However, the risk of cumulative toxicity following the two treatments has to be considered. Mature results of these different trials are needed prior to concluding that all biochemically relapsing prostate cancer patients need to be treated with prostate bed radiotherapy and 6-month ADT.

## GERIATRIC ASSESSMENT AND ISGO GUIDELINES

It is currently considered that a patient will benefit from local treatment for his prostate disease if his life expectancy exceeds 10 years. But life expectancy is not only determined by age. This is why it is fundamentally necessary to conduct an evaluation that takes into consideration co-morbidities, independent living, nutritional status, cognitive function, and other important predictors of death not linked to the cancer of the elderly patient in localized prostate cancer, before making treatment decisions. Among the multi-dimensional geriatric evaluations used in onco-geriatrics, several tools and scoring systems have been developed. The burden of co-morbidities can be assessed using the Charlson score, or preferably, the Cumulative Illness Score Rating-Geriatrics (CIRS-G) (48). In that study of 2,273 patients whose prostate cancer was treated with the objective of cure, a CIRS-G score of 1 translated into a relative risk of death within 10 years from another cause than prostate cancer of 1.64 (1.52–1.76), when compared with a CIRS-G score of 0. The

TABLE 1 | Post operative radiotherapy adverse events according to age.

Reference	N	Adverse event studied	cut off (years)	Hazard ratio/odds ratio
Cozzarini et al. (2012) (25)	742	G3 long-term GU complications	71	HR = 4.26 (1.45–12.47), $p = 0.004$
Longobardi et al. (2011) (26)	178	$\geq$ G2 acute bowel complications	66	OR = 4 (0.9–18.6), $p = 0.08^*$
Perna et al. (2010) (27)	96	$\geq$ G2 acute bowel complications	Continuous	OR = 1.13 (1.02–1.25), $p = 0.021$

\*This study designated  $p < 0.1$  as significant.  
GU: Genito-urinary

relative risk rose by 1.18 (1.15–1.21) with each additional point gained using the scoring system. Independent living is assessed using the activities of daily living (ADL) and instrumental activities of daily living (IADL) scores (49, 50). In a study of 9,467 men and women over 70 years old, the survival rate at 10 years was 54.2% in ADL score 0 patients (fully independent), versus 31.3, 22.5, 16.7, and 4.2%, respectively, for ADL score groups 1–4 (reflecting increasing dependence), differences which were all statistically significant (50). Nutritional status may be evaluated by using body weight, the rate of loss of body mass, and the Mini Nutritional Assessment (MNA) (51). For a MNA <17, the risk of death within a year is 50%, while that of patients with an MNA score between 17 and 23.5 is halved, at 25%. It is also important to evaluate cognitive functions and patients behavior systematically.

The onco-geriatric assessment enables the development of an accurate picture, which represents the patient's overall condition, enabling appropriate interventions to be instituted where possible, such as the provision of help at home, the introduction of

**TABLE 2 | ONCODAGE scoring chart for establishing G8 score.**

**Has the patient lost his appetite? Has he eaten less in the last 3 months because of poor appetite, gastro-intestinal symptoms, dysphagia, or problems with mastication?**

0: Severe anorexia

1: Moderate anorexia

2: No anorexia

**Recent weight loss (in the last 3 months)**

0: Weight loss >3 kg

1: Not known

2: Weight loss >1 kg and <3 kg

3: No weight loss

**Mobility**

0: Bed-bound or wheelchair-bound

1: Mobile within the home

2: Independently mobile

**Neuropsychological problems**

0: Severe dementia or depression

1: Moderate dementia or depression

2: No psychological problem

**Body mass index (BMI)**

0: BMI < 18.5

1: 18.5 ≤ BMI < 21

2: 21 ≤ BMI < 23

3: BMI ≥ 23

**Taking more than three drugs**

0: Yes

1: No

**Does the patient consider his own health too be better or worse than others of his own age?**

0: Less good

0.5: Don't know

1: As good as others

2: Better than others

**Age (years)**

0: >85

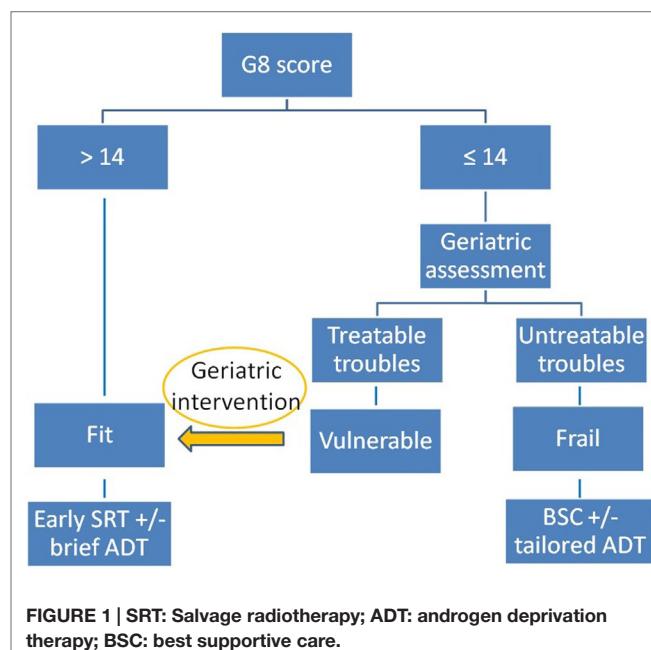
1: 80–85

2: <80

**Total 0–17**

an anti-depressant, dietetic advice, and modification of the home environment. The outcome of this assessment is to place patients in one of three groups: fit, vulnerable (with potentially ameliorable conditions), and frail (whose condition is irreversible) (52). Such thorough assessment is extremely time-consuming, and may not be possible in routine practice for every elderly patient who presents with prostate cancer. The G8, a geriatric rating instrument with only eight questions yielding up to 17 points, has been developed for screening in this situation (Table 2). Patients who score 14 or more are fit, and should be treated similarly to younger patients. Patients scoring ≤14 should ideally be referred for complete onco-geriatric assessment (53, 54). The usefulness of systematic onco-geriatric assessment has largely been demonstrated, by improving the patient's overall condition, or by informing therapeutic decision-making (55–57). The International Society of Geriatric Oncology (SIOG) convened a multi-disciplinary working group of urologists, radiotherapists, medical oncologists, and geriatricians charged with reviewing the literature and produced a set of guidelines on the treatment of prostate cancer in elderly patients (11, 12). These guidelines were then adopted by the EAU in its specific section on the elderly patient. However, prostate cancers are dealt with in two categories depending on whether the disease presents with localized or metastatic disease. The guidelines do not specifically consider the problem of biochemical relapse, though it is a frequently encountered situation in routine clinical practice.

In the case of biochemical relapse following prostatectomy in patients over 70 years of age, we propose that the G8 screening questionnaire should be administered by the urologist, radiotherapist, or medical oncologist (Figure 1). If the G8 score is >14, the patient is considered fit and will be preferentially offered SRT if his PSA >0.2 ng/ml. (ART may be considered on a case by case basis in particularly aggressive disease). If the G8 score ≤14, the patient will be referred to the onco-geriatric



service for complete assessment. If this finds the patient to be vulnerable or frail, any treatable conditions should be addressed in order that the patient may benefit from SRT. If the patient is considered to be frail or unfit, with irreversible decline, supportive care should be offered, and hormone therapy delayed as long as possible, to be used only in the advent of bony or urinary symptomatology.

## CONCLUSION

Appropriate assessment and management of elderly patients with prostate cancer is a key issue. The appropriate balance between the risk of under-treatment (on the grounds of age alone), and the risk of adverse effects that may excessively compromise the patient's general status and independence, must be determined for each patient. In practice, in the post-operative situation in the elderly patient, the following principles may be adopted:

- No ART except in exceptional cases, while favoring SRT.
- Radiotherapy of the prostate bed presents higher risk in the elderly patient compared with his younger counterpart.
- Hormone therapy as a monotherapy is clearly toxic to elderly patients, and should not be given in the absence of symptoms.
- Short-term Hormone therapy combined with salvage prostate bed radiotherapy may represent a new standard treatment in the near future, but more mature data from clinical trials are needed.

Onco-geriatrics has been a growth specialty for several years now, and professional bodies increasingly provide guidance specific to the needs of older patients. Nevertheless further onco-geriatric trials remain necessary with the aim of establishing the place of more aggressive treatments such as SRT or hormone therapy in the treatment of elderly patients, compared with watchful waiting.

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# Post-Prostatectomy Image-Guided Radiotherapy: The Invisible Target Concept

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In the era of intensity-modulated radiation therapy, image-guided radiotherapy (IGRT) appears crucial to control dose delivery and to promote dose escalation while allowing healthy tissue sparing. The place of IGRT following radical prostatectomy is poorly described in the literature. This review aims to highlight some key points on the different IGRT techniques applicable to prostatic bed radiotherapy. Furthermore, methods used to evaluate target motion and to reduce planning target volume margins will also be explored.

**Keywords:** post-prostatectomy, prostate neoplasm, radiotherapy, image-guided radiotherapy, spacers, endorectal balloons, diet protocol

## INTRODUCTION

Intrapelvic anatomical variations occurring between radiotherapy fractions (inter-fractions) or during the fraction (intra-fraction), corresponding to movement and/or deformation of target volumes and/or adjacent organs at risk (OAR), can result in differences between the distribution of the pretreatment-delivered dose and the initially planned distribution. If not corrected, these variations can particularly cause severe overdose to healthy tissues and underdose to target tumor, leading to an increased risk both of toxicity and of local recurrence (1). In this context, radiotherapy following radical prostatectomy represents a challenge for the radiation oncologist. The absence of a visible target within a complex pelvic anatomical region requires, firstly, accurate target volume delineation and, secondly, a qualitative approach to ensure radiation delivery.

Regarding the first condition, to date, only four articles have published consensus guidelines to delineate the clinical target volume (CTV) corresponding to the prostatectomy bed. According to the Radiation Therapy Oncology Group (RTOG) Consensus Guidelines, CTV can be defined as “the tissue volume at risk of subclinical microscopic and macroscopic tumor growth for the prostate fossa following radical prostatectomy” (2–5). Even if such definition results in lower interobserver variability in the CTV delineation (6), the characterization of the volume of interest still differs from one article to another. This uncertainty arises from both the anatomical modifications after surgery and the difficulty in using the data on preoperative target volume localization. In turn, with the development of intensity-modulated radiation therapy (IMRT), the possibility of increasing the dose to the target while sparing the surrounding OARs has led to significantly improving the biochemical

control for localized prostate cancers (7–9). In the postsurgery setting, the prescribed dose has been shown to be correlated to a biochemical control with both adjuvant radiotherapy and salvage radiation therapy (10–13). The use of IMRT for the irradiation of the prostatectomy bed has also allowed reducing significantly late grade  $\geq 2$  gastrointestinal toxicity compared to 3D conformal radiation therapy (14, 15). Nevertheless, with the increase of elderly patients, the choice of treatment must be discussed, and the toxicity threshold re-defined (16). If clinical benefits appear to be obvious with IMRT, the goal of image-guided radiation therapy (IGRT) is to ensure an effective treatment delivery by precisely targeting the radiation to the tumor. The use of planning target volume (PTV), which takes into consideration the uncertainties linked to patient positioning and target volume movements and deformation during treatment, becomes a determining element to guarantee the quality of radiation therapy in the postsurgery setting (17). Dosimetry inaccuracies resulting from positioning errors may decrease biochemical control (18) and increase toxicity if the OARs are not spared (19–21). Prostatectomy bed movements and/or deformations are mainly dictated by changes in the volume and shape of rectum and bladder (18, 22). The aim of this review is to provide an overview of prostatectomy bed motion (PBM) and/or deformation in post-prostatectomy radiotherapy. Repositioning imaging techniques used in IGRT and potential corrective, preventive, and stabilizing measures will also be explored.

## CONCEPTS AND STRATEGY FOR IGRT OF THE PROSTATECTOMY BED

### What Errors Must Be Taken into Account?

Image guidance is defined as a 3D adjustment of the target position such that the treatment target and the planned target positions correspond. IGRT allows tracking the position of the patient and the target isocenter, of the PTV and of adjacent OARs, as well as analyzing possible deformations for those volumes during the radiotherapy schedule. Minimizing these repositioning errors could lead to reduced PTV margins, which facilitates OAR sparing. Positioning errors can be divided into three main categories:

- Setup errors (SUEs) correspond to the necessary displacements to align bony anatomy on the electronic portal image and the digitally reconstructed radiograph, after patient positioning using skin landmarks.
- PBM corresponds to target volume movement relative to bony anatomy.
- Total positioning error (TPE) is the sum of the two previous errors.

Both systematic (mean value of the displacement) and random (SD of the displacement) errors can be calculated, the systematic error impacting strongly in dose variations (17). In addition to displacement uncertainties, the prostatectomy bed may present large deformations, which are less observed with the intact prostate. Such anatomical variations are much more complex

to quantify and to take into account than displacements, unless using elastic registration methods.

### How to Evaluate and Reduce These Errors?

The different IGRT techniques allow viewing the tumor either directly through 2D or 3D images, or indirectly using markers or bony structures closely related to the tumor and/or OAR motion. **Table 1** presents potential advantages and limitations of prostatectomy bed IGRT techniques. **Table 2** synthetizes the results of main IGRT studies evaluating PBM.

## IMAGE-BASED POSITIONING TECHNIQUES IN POST-PROSTATECTOMY IGRT: WHAT RESULTS WITH WHICH TECHNIQUE?

### Bony Anatomy Alignment Captured by 2D Imaging

Klayton et al. studied PBM using electromagnetic transponders in order to evaluate the quality of bony anatomy as a localization method using 2D imaging. After patient positioning based on laser and skin landmarks, the evaluation of target volume isocenter position was carried out with electromagnetic transponders. Deviation of the isocenter position in this case corresponded to TPE. Once the first alignment completed, 2D kv-kv imaging was performed. PBM was estimated by measuring the 3D shifts needed to align bony anatomy. For 9% of fractions, anterior-posterior (AP) direction PBM exceeded 5 mm. In 21% of fractions, a repositioning in the superior-inferior (SI) direction was necessary. Finally, 70% of patients were repositioned at least once during treatment. According to the authors, patient setup margins were 5 mm in left-right (LR), 13 mm in SI, and 9 mm in AP based on 2D kv-kv image guidance. The results of this study are summarized in **Table 2**. 2D imaging on its own only takes into consideration SUEs, omitting the contribution of the PBM component and of volume variations. As a result, it is not adapted to estimate prostatectomy bed movements (23).

### Soft Tissue Anatomy Alignment Evaluated by 3D Imaging

Ost et al. analyzed a series of 547 cone beam computed tomography (CBCT) daily images from 15 patients successively treated by post-prostatectomy radiotherapy. PBM was determined considering the motion of the anterior rectal wall. Systematic inter-fraction movements in the LR, SI, and AP were 0.44, 0.92, and 2.50 mm, respectively. Similarly, random deviations of 0.99 mm in LR, 1.38 mm in SI, and 2.32 mm in AP axes were observed. These results, based on imaging modalities that take into account PBM, emphasize the prevalence of AP shifts of the prostatectomy bed, as reported for prostate, and highlight that an approach relying only on bony anatomy appears insufficient (24). Despite the larger TPE described with 2D kv-kv imaging compared to CBCT, no correlation was found between TPE and acute toxicity (25). Using computed tomography (CT)-on-rails

**TABLE 1 | Description of post-prostatectomy image-guided radiotherapy (IGRT) techniques.**

IGRT technique	Concept	Advantages	Limitations
2D imaging	Displacement determined by bony anatomy or fiducial marker misalignment between the image acquired by the treatment device compared to DRR	<ul style="list-style-type: none"> <li>– Quick</li> <li>– Low dose</li> </ul>	<ul style="list-style-type: none"> <li>– No visualization of soft tissues</li> </ul>
3D imaging	Image reconstructed by rotation around the patient through several 2D projections	<ul style="list-style-type: none"> <li>– Alignment using skin landmarks possible</li> <li>– Visualization of target volume and OAR allowing to take into consideration variations due to rectal and bladder filling</li> <li>– Low energy (on board imaging or X-ray volume imaging)</li> </ul>	<ul style="list-style-type: none"> <li>– Artefacts related to materials with high electronic density</li> <li>– High energy (high-energy scan of tomotherapy devices)</li> <li>– Image quality</li> </ul>
Transabdominal or transperineal ultrasound	Follow-up of target volume positioning during treatment sessions	Non-ionizing	Inter-operator variability
MRI	Treatment devices coupled to an MRI system	<ul style="list-style-type: none"> <li>– Non-ionizing</li> <li>– Follow-up of motions during sessions</li> <li>– Image quality</li> </ul>	<ul style="list-style-type: none"> <li>– Image distortion</li> <li>– Calculation of dose distribution</li> </ul>
Fiducial markers	Implanted in the target volume, and theoretically follow target motion	<ul style="list-style-type: none"> <li>– Account of prostatectomy bed motion contribution in case of bidimensional imaging modalities</li> <li>– Potential improvement in the precision of alignment using 3D imaging</li> </ul>	Invasive procedure
Electromagnetic transponders	A real-time follow-up of transponder displacements, implanted in the target volume, allows studying intra-fraction motion	Intra-fraction and inter-fraction evaluation	Invasive procedure

DRR, digitally reconstructed radiograph; MRI, magnetic resonance imaging; OAR, organs at risk.

IGRT on 10 patients, Liu et al. analyzed volume variations and deformations of CTV, rectum, and bladder. They showed daily volume variations of 75–116% for CTV, 50–270% for rectum, and 30–180% for bladder compared to planning CT (26).

## Soft Tissue Anatomy Alignment Evaluated by Ultrasonography (US)

Studies on the use of US for post-prostatectomy IGRT are scarce. Chinnaiyan et al. analyzed PBM in six consecutive patients by comparing transabdominal US (taking the bladder neck as reference for post-prostatectomy fossa localization) with 2D imaging. Regarding repositioning accuracy, there was a difference of  $5 \pm 3$  mm between the two techniques in favor of US imaging. This result supports the use of the US-IGRT for daily pretreatment patient repositioning as stated by the authors (27).

A comparison of transabdominal US and CBCT imaging was carried out by Fargier-Voiron et al. in 11 post-prostatectomy patients. The differences between US and CBCT shifts were  $-0.7 \pm 4.3$ ,  $1 \pm 4.6$ , and  $0.2 \pm 2.7$  mm in AP, SI, and LR axes, respectively. For these three directions, the shift agreements (percentage of sessions for which the shift difference between the two modalities is below or equal to 5 mm) between US and CBCT were 80.2, 86.8, and 96.2%, respectively. During radiotherapy schedule, 20% of the US images were excluded due to poor quality, the authors concluding that transabdominal US imaging alone should not be used as IGRT modality (28). The same group evaluated a novel method of transperineal US imaging (Clarity, Elekta<sup>®</sup>) that offers a better image quality (100 vs 80% exploitable), a reduction of inter-operator variability, and a consistent probe pressure during

examination. Shift agreements at  $\pm 5$  mm improved to 90.3, 85, and 97.6% in AP, SI, and LR directions, respectively, leading the authors to propose this method as a non-ionizing alternative to CBCT (29).

## What PTV Margins Are Used with Which IGRT Technique?

It appears essential to adapt PTV margins to the IGRT techniques used by the physician. According to the literature, these margins range from 3 to 10 mm (30). For example, in cases of bony anatomy alignment, PTV margins vary from 5 to 15 mm. Indeed, recommendations for target volume definitions differ substantially: at least 5 mm according to the European Organization For Research and Treatment of Cancer, 10 mm according to the Australian and New Zealand Radiation Oncology Genito-Urinary Group (in case of rectal dose–volume histogram limitations, a reduction of the posterior PTV margin expansion to 5 mm is possible), from 6 to 15 mm according to the RTOG study 0534, and from 5 to 15 mm according to the recommendations from the Groupe d'Etude des Tumeurs Uro-Génitales (2–5).

## CAN IGRT BE IMPROVED USING PROSTATECTOMY BED REPOSITIONING MARKERS?

### Surgical Clips As Markers

Similar to prostate radiotherapy, several studies have analyzed the use of surgical clips during post-prostatectomy irradiation

**TABLE 2 | Results of IGRT main studies evaluating prostatectomy bed movements.**

Reference	IGRT technique	Patient/images	Positioning error (mean or average)	AP mm (SD)	SI mm (SD)	LR mm (SD)	Proposed PTV margins (mm)
Ost et al. (24)	CBCT	15/547	PBM mean	2.7 (3)	0.9 (1.4)	0.6 (0.9)	AP 8°
			PBM average	2.2	0.6	0	SI 6°
			TPE mean	3.1 (2.3)	1.9 (1.6)	2.9 (2.2)	LR 8°
			SUE mean	1.9 (1.8)	1.9 (1.5)	2.9 (2.2)	
Song et al. (32)	Surgical clips kv	17/364	TPE	-2.1	0.6	-0.1	AP 8°
			Absolute shifts	3.1 (2.3)	2.5 (1.4)	2.3 (0.7)	SI 9° LR 6°
Sandhu et al. (31)	Surgical clips kv	26/692	PBM	2.7 (2.1)	2.4 (2.1)	1 (1.7)	
			TPE	3.8 (5.5)	5.3 (8.1)	3.9 (5.9)	
			SUE	5.2 (7.1)	4.9 (7.5)	3.6 (5.6)	
Bell et al. (34)	Surgical clips CBCT	40/377	PBM upper	0.5 (0.5)	0.28 (0.26)	0.10 (0.12)	
			PBM lower	0.18 (0.16)	0.18 (0.17)	0.08 (0.1)	
Huang et al. (33)	Surgical clips CBCT	14/420	PBM inter-fraction	1.9	-0.9	0	AP 4.8°/6.3°
			PBM intra-fraction	0.2	-0.4	0.1	SI 4.6°/6.1° LR 3.1°/3.9°
Kupelian et al. (35)	Surgical clips MVCT	4/140	PBM	0.39 (1.27)	0.1 (0.86)	0.06 (0.37)	
Ålander et al. (39)	Gold seeds CBCT	13/466	PBM	0.8 (1.6)	0.7 (2.1)	0 (0.5)	AP 6.6°
			TPE	0.4 (2.7)	0.3 (2.9)	1.2 (1.8)	SI 6.5°
			SUE	-0.2 (2.2)	-0.5 (2)	1.2 (1.8)	LR 2.4°
Schiffner et al. (40)	Gold seeds kv (EPID)	10/163	PBM	-1.1 (2.1)	0.4 (2.4)	0.3 (0.9)	
			TPE	-0.3 (4.5)	1.2 (5.1)	0.2 (4.5)	
			SUE	-0.2 (5.1)	1.1 (3.9)	0.1 (4.5)	
Klayton et al. (23)	Calypso kv	20/87	PBM mean	2.5 (3.2)	3.6 (4.2)	1.3 (1.8)	AP 5°/9°/15°
			TPE mean	4 (4.9)	3.8 (5.2)	3 (4.1)	SI 5°/13°/13°
			SUE mean	4.1 (4.7)	4.1 (5.2)	3.9 (5.2)	LR 5°/5°/9°
			PTV-CTVm1	9	13	5	
Cavalieri et al. (36)	CT on rail	17/661	TPE mean	4.7 (3.3)	3.8 (3.0)	2.9 (2.5)	
			TPE average	-2.2 (5.3)	-1.1 (4.7)	-0.6 (3.8)	
Simpson et al. (25)	CBCT kv	23/585	PBM (CBCT)	0.9 (1.6)	0.5 (1.5)	0.4 (0.9)	

Margin recipe used:  $2.5\Sigma + 0.7\sigma$  and  $1.96\Sigma + 0.7\sigma$ .

<sup>a</sup>PTV-CTV margins calculated with respect to PBM (using IGRT technique analyzed in the study).

<sup>b</sup>PTV-CTV margins calculated with respect to TPE (verification of bony anatomy alignment).

<sup>c</sup>PTV-CTV margins calculated in case of absence of IGRT.

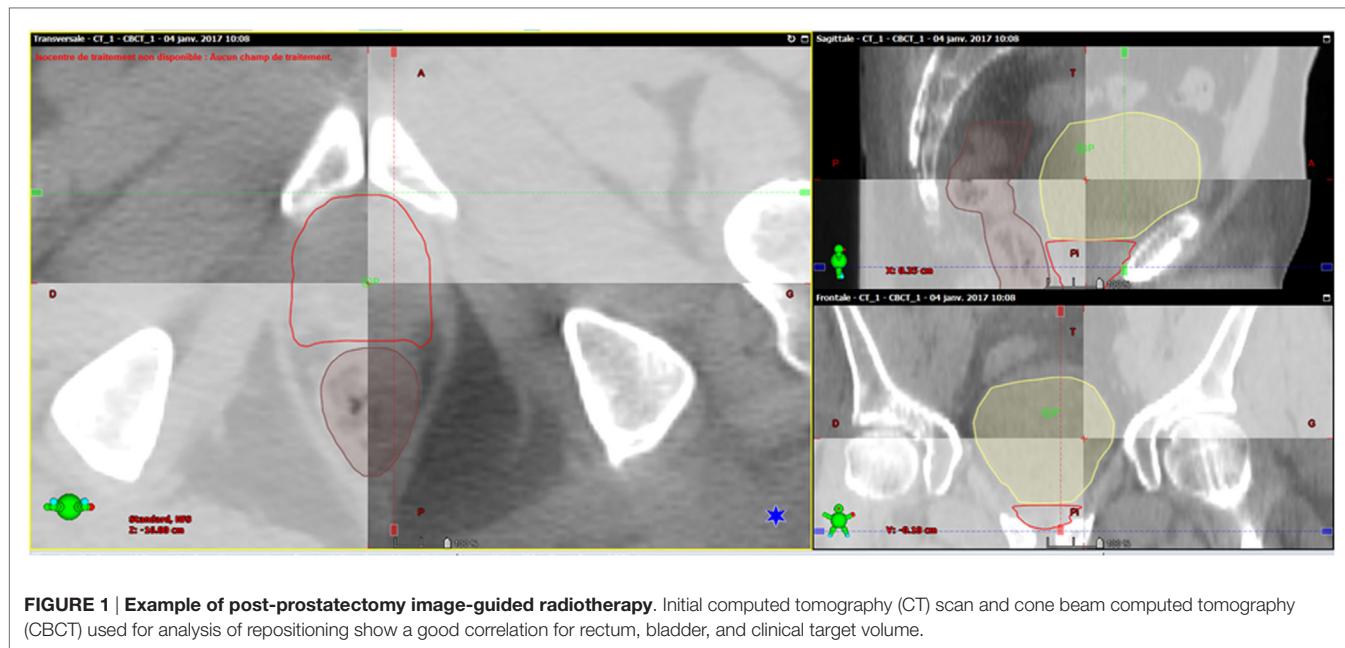
PBM, prostatectomy bed motion; SUE, setup error; TPE, total positioning error; AP, anteroposterior; SI, superoinferior; LR, left-right; CTV, clinical target volume; PTV, planning target volume; IGRT, image-guided radiotherapy; Surg. Clips, surgical clips; CBCT, cone beam computed tomography; MVCT, megavoltage computed tomography; kv, kilovoltage; EPID, electronic portal imaging device; CT, computed tomography.

(31–35). Sandhu et al. studied two orthogonal kv images to localize the prostatectomy bed in 26 patients using surgical clips as target volume landmarks. In total, 692 images were analyzed. Target volume displacements were mainly related to SUE. PBM was most prominent in the AP axis, with an average magnitude of  $2.7 \pm 2.1$  mm. PBM in the SI and LR directions was  $2.4 \pm 2.1$  and  $1 \pm 1.7$  mm, respectively (31). Series using 3D imaging with the same approach have also reported the prominence of the displacements in the AP direction (2–5 mm) compared to those in the SI (0.5–2.5 mm) and lateral (less than 1 mm) directions (33, 35). Cavalieri et al. used surgical clips as markers to analyze the repositioning of 17 consecutive patients using CT-on-rail IGRT. Systematic errors led to displacements ranging from 6 to 10 mm, mainly in the AP dimension (5.5%) (36). Hence, the use

of surgical clips as markers to guide radiotherapy could reduce the impact of PBM. **Figure 1** presents an example of CBCT for post-prostatectomy radiotherapy.

## Gold Fiducial As Markers

Historically used for prostate radiotherapy, fiducial markers facilitate the detection of the CTV. Additionally, their radiopacity allows using low ionizing imaging modalities. Even though the transrectal implantation of gold fiducial markers under US guidance is an invasive technique, very few complications have been described. Langenhuijsen et al. implanted three gold markers (two at the dorsal bladder base and one next to the anastomosis) in 77 consecutive post-prostatectomy patients and showed the feasibility of the procedure (37). Fortin et al. reported a reduction



**FIGURE 1 | Example of post-prostatectomy image-guided radiotherapy.** Initial computed tomography (CT) scan and cone beam computed tomography (CBCT) used for analysis of repositioning show a good correlation for rectum, bladder, and clinical target volume.

of inter-operator variability during online and offline localization compared to surgical clips. Furthermore, fiducial markers resulted in a repositioning quality greater than that of surgical clips, often out of the prostatectomy bed and closely clustered, limiting daily SUE and errors related to PBM (38). After implanting gold fiducial markers into the prostate bed, daily CBCT of 13 patients was analyzed by Ålander et al. They reported displacements ( $mean \pm SD$ ) of  $0.0 \pm 0.5$  mm in the LR,  $0.7 \pm 2.1$  mm in the SI, and  $0.8 \pm 1.6$  mm in the AP directions, which were deemed non-significant by the authors (39). In a similar manner, Schiffner et al. used 2D imaging for 10 patients. Positioning errors of more than 5 mm in the LR, SI, and AP axes were observed in 14.1, 38.7, and 28.2% of the cases, respectively, mainly related to SUE, while PBM remained modest. Over the total duration of treatment, gold seed fiducial migration was small (0.4 mm on average) (40). Confirming the difficulties in matching predominant in the AP direction, also reported with the use of surgical clips, gold fiducial markers with CBCT or kv-kv imaging appear to be more robust despite their invasive nature.

## IS THERE A NEED FOR GLOBAL POSITIONING SYSTEM FOR PROSTATE BED RADIOTHERAPY?

The Calypso® 4D Localization System commercialized by Varian enables real-time intra-fraction localization and tracking with three electromagnetic transponders (41). Already studied in the prostate irradiation setting (42), intra-fraction motion was analyzed in 20 patients undergoing post-prostatectomy radiotherapy. A displacement of more than 5 mm during 30 s was reported for at least 11% of delivered fractions. For 16 (80%) patients, PBM was observed in the SI and AP axes, and the 5-mm threshold margin was exceeded in a third of cases. Interruptions for repositioning

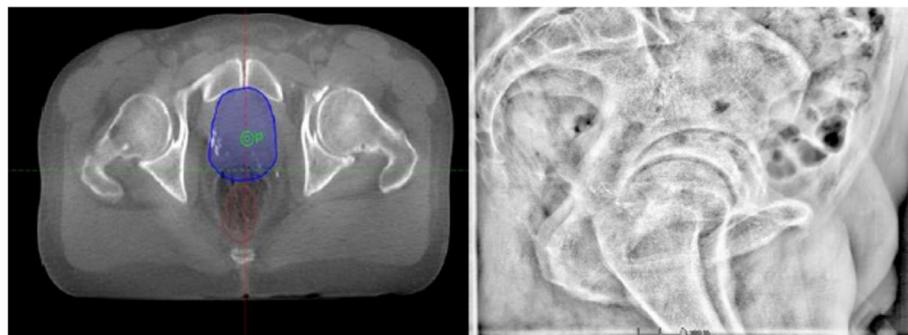
were reported in 15% of the delivered fractions. Over the treatment course, only 25% of the patients were repositioned more than five times, and 30% of the patients did not need any repositioning. Further studies are needed in order to select patients that can benefit most from this approach (23).

## PREVENTIVE, CORRECTIVE, AND STABILIZING APPROACHES TO LIMIT PBM DUE TO RECTAL AND BLADDER MOVEMENTS

Prostatectomy bed motion is essentially correlated with adjacent OAR displacements or volume variations. Disregarding rectal distension could result in an increase of up to 18 or 24 mm of posterior margins and, consequently, in dosimetry inaccuracies (43, 44). Figure 2 illustrates a case of inadequate rectal filling during treatment. Concerning bladder volume variations during treatment, Fiorino et al. detected a ratio between the largest and smallest volume of 3.8 (range 1.9–8.3), which had an impact on PTV (18). Preventive, corrective, and stabilizing approaches to limit PBM due to rectal and bladder movements are presented below.

### Bladder Filling

Variations in bladder volume are frequent in both post-prostatectomy and non-operated patients, with a trend in decreasing volume during treatment (18, 45). These bladder volume variations could impact on PTV coverage (22). Bell et al. showed that bladder filling variations of  $>2$ ,  $\pm 1$ , or  $<2$  cm happened in 3.4–56.2% of cases, with most size changes occurring in the AP direction. These variations resulted in potential geographic misses (movement of surgical clips greater than 0.5 cm posteriorly, 1 cm in other directions). Further, if the bladder or rectum remained



**FIGURE 2 | Example of a patient treated by prostate-bed radiotherapy with inadequate rectal filling during image-guided radiotherapy (3D image-based positioning).**

within 1 cm of the planned size, less than 10% of the images revealed a geographic miss (46). These results demonstrate the importance of a consistent and stable bladder and rectal volume during treatment. Patients at risk of variations should be detected early in order to offer them the most secure treatment.

## Diet Recommendations

Studies on diet changes, in order to prevent the production of gas, primarily in cases of prostate irradiation, have led to conflicting results. Smitsmans et al. compared the CBCT of 26 patients irradiated following a diet poor in fibers and 23 patients following no diet. Diet was beneficial, preventing the presence of stool, gas pockets, and moving gas pockets during radiotherapy, and resulted in a reduction of inter-fraction and intra-fraction motion (47). On the contrary, Lips et al. evaluated the same type of diet in 105 patients and observed an increase in inter-fraction prostate motion for patients following the dietary protocol. The median of the average inter-fraction motion ranged from 2.53 mm in the non-diet group to 3 mm in the diet group (48). Using magnetic resonance imaging (MRI) as IGRT technique, Nichol et al. evaluated an antiflatulent diet and a milk of magnesia-based laxative in 42 patients and did not observe a reduction in inter-fraction prostate motion. This study demonstrated that moving gas only (56%) and moving gas and stool (18%) accounted for 74% of inter-fraction movements (49). Concerning inter-fraction motion, Oates et al. could not demonstrate any significant difference in favor of a diet associated to psyllium but observed a trend toward rectal volume reduction (50). A randomized trial including 40 patients studied the use of probiotics, such as *Lactobacillus acidophilus*, and reported a significant reduction in rectal volume variations over the treatment course (51). Evaluations on other molecules preventing the production of intestinal gas, such as the alpha-galactosidase, are ongoing (52). Although encouraging, these results need to be further validated.

## Strategies for Rectal Emptying

Several studies have analyzed the efficacy of different strategies to empty rectal gases in order to minimize prostate motion. Yahya et al. compared three strategies to reduce rectal distension: (i) the use of microenema before each treatment; (ii) a recommended

dietary protocol; and (iii) no bowel preparation or dietary advice. After the analyses of the CBCT scans of these three groups, a reduction of almost half of scans showing geometric miss (shifts  $\geq 5$  mm) was observed in the microenema group compared to the other two (53). Ogino et al. analyzed the impact of rectal gas self-evacuation using the index finger on the average prostate and seminal vesicle motion in 76 patients. A significant reduction (0.3–4.4 mm) of the prostate and the seminal vesicle displacement was observed (54). Diot et al. analyzed in a series of 17 post-prostatectomy patients an intervention involving the use of a rectal catheter to deflate the rectum, evacuation of stools, and adjustment of bladder filling. These corrective measures were applied in cases of rectal or bladder wall displacements larger than 5 mm. The median number of interventions per patient was 5. The procedure led to a reduction in the motion of the target volume during radiotherapy schedule, which dropped from 45 to 21% in the AP, from 7 to 4% in the SI, and from 7 to 8% in the LR direction. These measures, more effective for AP displacements, decreased the PTV margin by 3.3 mm (55). Nevertheless, no benefit in terms of dosimetry was observed with conventional fractionation both for PTV coverage or OAR sparing. These results suggest that daily CBCT localization alone could be enough to take into consideration the motion of the target volume. For hypofractionated treatments, however, the rectal emptying interventions could have a greater impact in terms of dosimetry (56).

## Endorectal Balloons (ERBs)

Rectal filling has been identified as predictive of prostate motion by cine-MRI studies assessing intra-fraction movements (57, 58). The introduction of an ERB could optimize the rectal volume and conformation, minimizing at the same time target volume positioning errors.

In a comparative study on 14 post-prostatectomy patients, 7 of which were treated with ERB, shift agreement of CTV and rectal volumes with planning CT were improved by 4 and 21%, respectively. This stability is also reflected in a reduction of median motion, particularly the AP margin of the lower part of the CTV motion of  $0.43 \pm 0.45$  cm without ERB to  $0.37 \pm 0.27$  cm with ERB. The lower part of CTV moves dropped

from  $0.16 \pm 0.17$  to  $0.11 \pm 0.11$  cm. ERB also reduced the impact of vesicle filling on shift agreement (59). Jameson et al. analyzed the use of ERB on post-prostatectomy patients and observed no significant dosimetry improvements in terms of PTV coverage or OAR sparing with the use of ERB (60). On the other hand, some dosimetry studies have demonstrated an improvement in rectum and anal canal sparing, mainly for intermediate and high doses, with the use of ERB. Smeenk et al. compared the dosimetry in 20 patients that had undergone surgery, with or without ERB, for a prescription dose of 70 Gy. Regarding rectal dose–volume histogram, rectal V30 and V40 dropped by 8 and 5%, respectively. CTV volume was considerably reduced in the presence of ERB ( $117 \pm 27$  vs  $110 \pm 20$  cc), but no correlation could be found between this volume and rectal sparing (61, 62).

Concerning the clinical impact, Ishiyama et al. carried out a retrospective study on 107 patients treated by salvage radiotherapy with ERB at a dose of 70 Gy in 32 fractions. Late gastrointestinal and genitourinary toxicities of grade 2 were reported in 6 and 13% of patients, respectively, and grade 3 in 3 and 6% of patients, respectively (63).

The development of new stabilizers, such as the ProSpare of the Institute of Cancer Research in London, opens up a new study field in this domain. ProSpare proposes to add radio-opaque markers that allow a better identification of the anterior rectal wall, as well as ventilation holes for the evacuation of rectal gases (64, 65). A phase II study is currently ongoing (postoperative ProSpare).

## Spacers

The injection or implantation of a biodegradable substance in the anterior perirectal fatty space was studied for patients receiving prostate radiotherapy (66). This approach allows displacing the prostate away from the rectal wall reducing the rectal volume exposed to high level doses (67). Pinkawa et al. found a significant reduction of systematic posterior displacements superior to 6.5 mm (dropping from 27 to 0%) (68–71). For prostate radiotherapy, a wide range of spacers have been studied, and the prostate–rectum separation varied from 7 to 20 mm depending on the technique used, reducing the rectal V70 by about 43–84% (66, 72,

73). Spacer utilization has been less explored in the postoperative radiotherapy setting. Pinkawa et al. published a case report on a patient presenting a macroscopic recurrence at the uretho-vesical anastomosis. Polyethylene glycol spacer injection allowed them to create a space of more than 1 cm between the recurrence site and the rectal wall. This led to significantly reducing the rectal V70, V60, and V50 compared to treatment planning based on computer tomography. PTV dose prescription was 76 Gy, and a good global tolerance led the authors to propose this approach for specifically selected patients (74).

## CONCLUSION

Radiotherapy of the prostatectomy bed in an adjuvant or salvage setting, mostly under IMRT and IGRT conditions, constitutes a routine situation for the clinician. 2D imaging modalities are in themselves insufficient to evaluate target volume displacement and deformation, and the soft tissue anatomy alignment using a 3D approach appears crucial. The utility of fiducial markers or surgical clips, as well as preventive, corrective, or stabilizing measures, has been shown to limit these displacements. At present, due to lack of substantial literature, reducing the margin that constitutes the PTV to less than 5 mm (independent of the IGRT technique used) is not recommended; however, an anisotropic approach can be justified in view of the predominant displacements in the AP dimension on the prostatectomy bed. The development of MRI and of tracking strategies could therefore improve imaging quality and, as a result, increase the precision of soft tissue anatomy alignment. The trend toward dose augmentation and hypofractionation requires not only precise target localization to ensure dose distribution but also tolerance and efficacy. Confirming the impact of IGRT by means of larger studies seems necessary with, notably, an evaluation of patient-reported outcomes.

## AUTHOR CONTRIBUTIONS

Drafting the article: FV and PS. Critical revision of the article; final approval of the version to be published: FV, MA, MB, IL, SS, PR, LT, NL, SG, JI-A, RC, and PS.

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# Preclinical evaluation of intraoperative low-energy photon radiotherapy using spherical applicators in locally advanced prostate cancer

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**Background:** Surgery plus adjuvant radiotherapy is standard care for locally advanced prostate cancer (stage pT3R1). Intraoperative low-energy photon radiotherapy offers several advantages over external beam radiotherapy, and several systems are now available for its delivery, using spherical applicators, which require only limited shielding. The aim of this study was to evaluate the feasibility of this technique for the prostate bed.

**Materials and methods:** Applicators were assessed using MRI image data and cadaveric dissection. In cadavers, targeted tissues, defined as a urethral section, both neurovascular bundle sections, the bladder neck and the beds of the seminal vesicles, were marked with metallic surgical clips. Distances between clips and applicator were measured using CT. A dosimetric study of the application of 12 Gy at 5 mm depth was performed using CT images of prostatectomized cadavers.

**Results:** Using MRI images from 34 prostate cancer patients, we showed that the ideal applicator diameter ranges from 45 to 70 mm. Using applicators of different sizes to encompass the prostate bed in nine cadavers, we showed that the distance between target tissues and applicator was <2 mm for all target tissues except the upper extremity of the seminal vesicles (19 mm). Dosimetric study showed a good dose distribution in all target tissues in contact with the applicator, with a low probability of rectum and bladder complication.

**Conclusion:** Intraoperative radiotherapy of the prostate bed is feasible, with good coverage of targeted tissues. Clinical study of safety and efficacy is now required.

**Keywords:** prostate cancer, prostatectomy, radiotherapy, intraoperative radiotherapy, combined modality therapy

**Abbreviations:** CT, computed tomography; EBRT, external beam radiotherapy; IORT, intraoperative radiation therapy; NTCP, normal tissue complication probabilities.

## Introduction

Increasing numbers of patients are undergoing surgery for high-risk prostate cancer (1). Despite adequate surgery, half of all patients with locally advanced prostate adenocarcinoma (stage pT3) will present in biochemical relapse in the fifth year after operation, suggesting that many patients may not be curable by surgery alone. Three studies have been evaluated the role of a multi-modal approach that combines surgery with adjuvant irradiation in the prevention of relapse after prostatectomy (2–4). All three studies showed reduction in the rate of biochemical relapse, and one showed better metastasis-free survival and overall survival among patients who had received adjuvant irradiation (2). These studies emphasized that the main mode of relapse in prostate cancer is local and that intensifying local treatment reduces the risk of tumor recurrence (5). The relapse site is primarily anastomotic in more than two-thirds of cases, but may also occur at the level of the bladder neck, and occasionally retrovesically (6). This means that the prostate bed boundaries should be defined anteriorly by the posterior wall of the pubic bone, posteriorly by the anterior wall of the rectum, laterally by the levator ani muscles, caudally by the pelvic floor, and cranially by the level of section of the *vas deferens* (7).

Post-operative irradiation is usually carried out between 3 and 6 months after surgery in order to allow a better sphincter recovery. However, this long-time period exposes the patients to the risk of residual tumor growth and metastatic spread, especially for poorly differentiated tumors. To avoid delayed post-operative radiotherapy, perioperative radiotherapy strategies have been developed. Four studies have shown that it is possible to combine prostatectomy with preoperative radiotherapy at the same doses as those used for rectal cancers, without any increase in perioperative toxicity (8). The complication rate appears comparable to that observed among patients irradiated within 6 months of prostatectomy after long-term follow-up (9). Moreover, three studies have demonstrated the feasibility of intraoperative radiation therapy (IORT) using 7–12 MeV electrons during radical prostatectomy (10–12). Single fraction doses ranging from 10 to 22 Gy were administered immediately before or after prostatectomy. pT3 patients also received an additional dose of 45 Gy to the pelvis after surgery. No increase in long-term complications was observed. The rectum was assessed intraoperatively to have received a dose of 3.9 Gy, well below its maximum tolerated dose, which permitted additional external beam radiotherapy (EBRT) if needed (10). However, the use of electrons implies that surgery must be performed in a dedicated shielded operating room or that the patient be moved to a bunker for the treatment delivery.

More recently, the use of low-energy photon IORT has been developed for other cancer types, notably breast cancer (Intrabeam™, Carl Zeiss Meditec, Iena, Germany and Axxent eBx™ System, Xoft, San Jose, CA, USA) (13, 14). Isotropic x-ray irradiation is delivered rapidly to the tissues surrounding the tumor area during surgery. Compared with high-energy photon EBRT, the rapid absorption of low-energy photons limits the dose spread to surrounding tissues, with <35% of the dose delivered to the surface of the applicator at a distance of 10 mm. In contrast to the extensive shielding required for electron therapy, IORT using

low-energy photons requires only limited shielding similar to that required for diagnostic x-rays. Moreover, low-energy photons are biologically more destructive than either high-energy photons or electrons, since the relative biological efficacy (RBE) of low-energy photons is estimated between 1.2 and 1.5, whereas the RBE is 1 for high-energy photons or electrons (15). Treatment lasts about 30–50 min, depending on the size of the applicator and the prescribed dose. Intraoperative irradiation is now routinely used in breast cancer patients, with very good clinical short- and long-term efficacy and tolerance (16). This irradiation reduces the delay between surgery and radiotherapy and reduces the travel burden induced by the repeated visits necessary for EBRT.

We performed a preclinical study using both the Intrabeam™ and the Axxent™ systems in prostate cancer patients and in prostatectomized corpses to evaluate the feasibility of intraoperative radiotherapy in prostate cancer.

## Patients and Methods

This study was carried out in accordance with the recommendations of local ethics committee with written informed consent from all subjects.

### Low-Energy Photon IORT Systems

Two systems are commercially available for low-energy photon IORT. Both systems deliver a 50-kV beam.

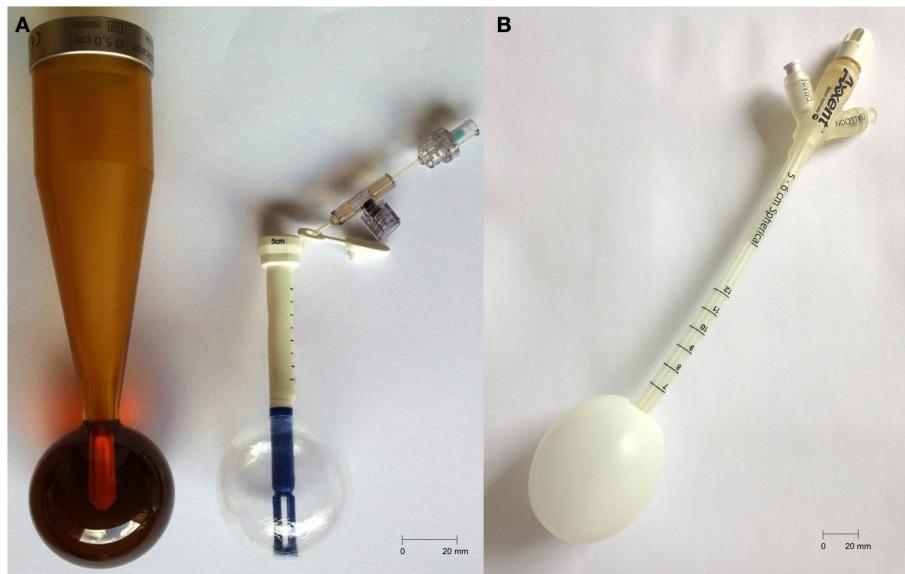
Intrabeam™(Zeiss, Germany) uses a miniaturized accelerator introduced in rigid or inflatable spherical applicators, which range from 10 to 50 mm in diameter. The prescribed dose is delivered around the applicator with an isotropic distribution. Axxent™(Xoft, CA, USA) uses a 2.25-mm diameter X-ray source placed in an inflatable spherical or ovoid applicator whose diameter varies from 30 to 70 mm. The source can be moved into the applicator to modulate the dose distribution. This new technology is called “electronic brachytherapy” (eBx). **Table 1** and **Figure 1** summarize these characteristics.

### Evaluation of the Sphericity and Dimensions of the Prostate Bed in Prostatectomized Cadavers

Radical prostatectomy without conservation of the neurovascular bundles was performed in nine cadavers in the anatomy laboratory of the University of Nantes. Radio-opaque clips were placed at potential recurrence sites, which were defined as the urethral section, bladder neck, neurovascular bundles, anterior wall of the

**TABLE 1 | Characteristics of Zeiss Intrabeam™ or Xoft Axxent eBx™ low-energy photon intraoperative radiotherapy (IORT).**

	Intrabeam™	Axxent eBx™
Photon max energy	50 keV	50 keV
Applicators	Rigid or inflatable: 10–50 mm	Inflatable: 30–70 mm
Stalk length	Rigid: 135 mm Inflatable: 65 mm	250 mm
Dose rate (Gy/min)	0.15	0.6
Delivery time (12 Gy, 5 mm depth, 50 mm applicator) (min)	52.8	21



**FIGURE 1 | (A)** Intrabeam: 50 mm rigid (left) and balloon (right) applicators, stalk length = 135 and 65 mm, respectively; **(B)** Xoft: 50–60 mm applicator, stalk length = 250 mm.

rectum, and the beds of the seminal vesicles. After prostatectomy and identification of target tissues, applicators (Intrabeam™ or Axxent™ applicators) were inserted in the prostate bed until they were in contact with the urethral section of the pelvic floor. The applicator was then applied to the anterior rectal wall, as closely as possible to the clips marking the neurovascular bundles. The most suitable size was selected visually. Finally, the bladder was lowered to apply the bladder neck against the applicator, and sutured to the pubic symphysis on both sides of the applicator. A CT scan was later performed to measure the distance between the clips and the spherical applicator (Figure 2).

### MRI Evaluation of the Dimensions of the Prostate Bed Prior to Surgery

To determine the size of the prostate bed prior to surgery in prostate cancer patients, spheres of increasing diameter (45–70 mm) were generated on 3D-reconstructed T2-weighted sequences (4 mm thick) prostate MRI images using Iplan® (BrainLab, Germany). The pelvic organs – prostate, rectum, seminal vesicles, and the pubic symphysis – were contoured. The smallest sphere to completely encompass the prostate volume was considered the most suitable (Figure 3).

### Dose Distribution and Estimation of the Probability of Normal Tissue Complications

A 50-mm applicator (Intrabeam™ system) and a 50-to 60-mm applicator (Axxent™ system) were inserted in the prostate bed of two cadavers. CT images were then acquired. Pelvic organs at risk (bladder and rectum) were delineated on the images. Dose distribution was calculated using a Monte Carlo simulation for the Intrabeam™ system (17) or using Brachyvision software (Varian medical systems, San Jose, CA, USA) for the Axxent™

system. Dose–volume histograms were computed. A dose of 12 Gy at 5 mm depth, corresponding to 20 Gy at the surface of the applicator, was prescribed, similar to that used for breast cancer IORT (16) and prostate bed IORT using electrons (10, 11). The dose distribution was computed to calculate normal tissue complication probabilities (NTCP) for rectum and bladder using relevant radiobiological parameters (alpha/beta for rectum and bladder 5.4 and 7.5 Gy, respectively) using EBRT and HDR models similar to those outlined by Takam et al. (18).

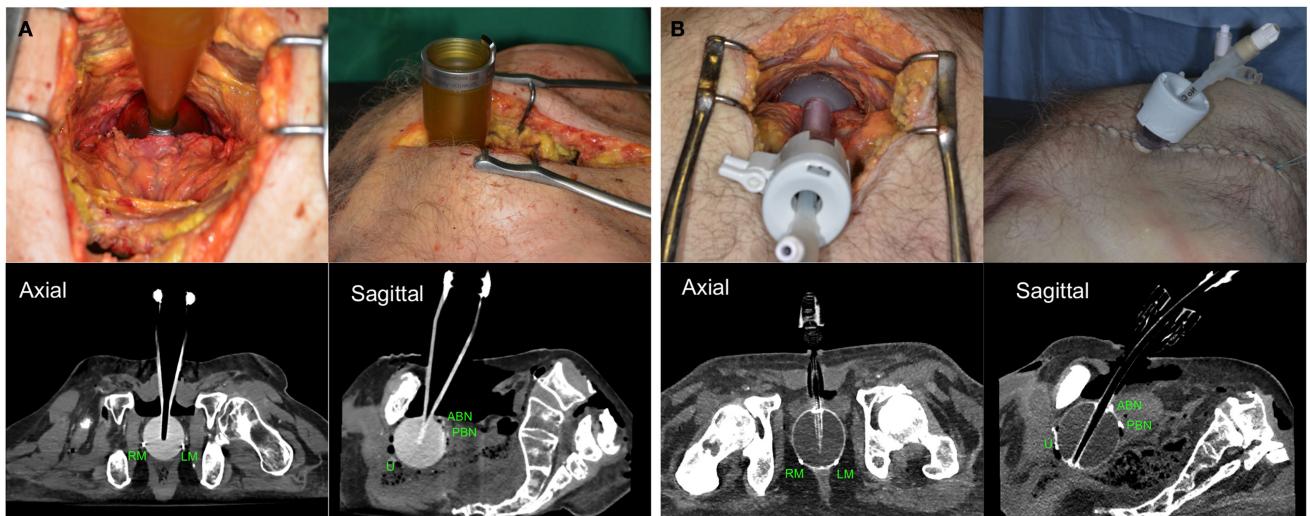
## Results

### Evaluation of the Shape and Dimensions of the Prostate Bed in Cadavers

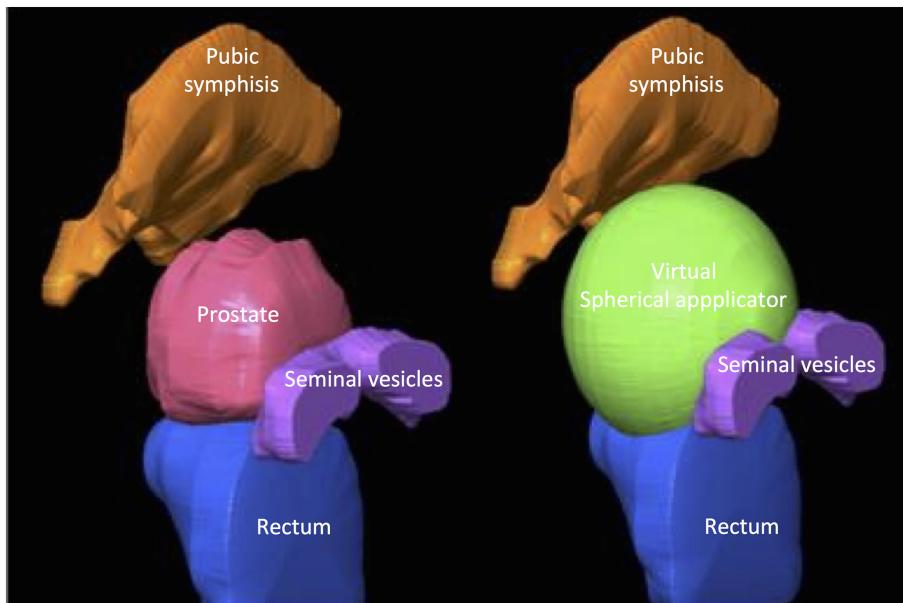
Nine cadavers without prostate cancer (mean age 83, range 78–92) were dissected and prostatectomy without neurovascular preservation performed. Applicators or plastic spheres were then inserted in the prostate bed. Congruence with the anatomical boundaries was evaluated visually when the sphere came into contact with all target tissues. The best-adapted sphere measured 50 mm in four cases, 60 mm in four cases, and 50–60 mm (ovoid applicator) in one case.

Once the positioning had been optimized visually, CT scans were performed to evaluate the congruence of the applicator to the prostate bed and distances between the applicator and radio-opaque clips were measured. In all cases, the congruence of the applicator to the prostate bed was not affected by the shape of the applicator, whether spherical (Intrabeam™) or slightly ovoid (Axxent™) (Table 2).

The Axxent™ applicator can easily be inserted in a laparoscopic trocar before placement, allowing it to be used whatever the surgical approach chosen (open or laparoscopic, with or without robotic assistance).



**FIGURE 2 | Positioning of Zeiss Intrabeam™(A) or Xoft Axxent eBx™(B) through laparoscopic trocar] applicators and CT scans of prostatectomized corpses with radiopaque clips located at different target tissues.** U, urethra; ABN, anterior bladder neck; PBN, posterior bladder neck; LA, left apex; RA, right apex.



**FIGURE 3 | Graphical representation of the determination of applicator size on MRI images.**

Rectal filling increased the distance between the applicator's surface and the urethral or neurovascular bundle clips by up to 15 mm (cadavers 2 and 6). After removing rectal stool, this distance reduced to <2 mm (cadaver 6). Clips at the distal extremity of the seminal vesicles were always located more than 2 mm from the applicator's surface in the first two cadavers, so the marking of this target site was abandoned in the next six cadavers studied. In the other cases, the CT scan measured distance between the applicator's surface and clips ranged between 0 and

6 mm. The size of the applicator did not influence the distance between the clips and applicator's surface.

### MRI Evaluation of the Dimensions of the Prostate Bed Prior to Surgery

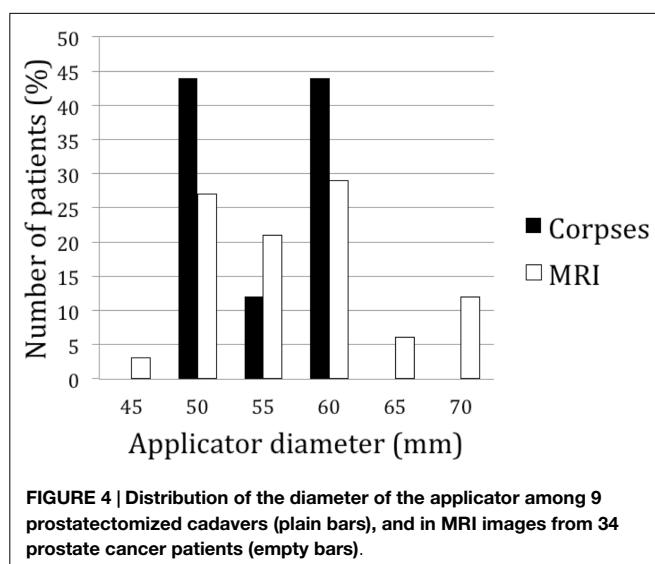
To determine the dimensions of the prostate bed in a larger cohort of patients, we simulated the positioning of applicators of different sizes in 34 prostate cancer patients using MRI (Figure 3). Prostate volume ranged from 25 to 106 ml (median = 39.7 ml).

**TABLE 2 |** Distance (millimeter) between radio-opaque clips and applicator surface on CT scan in nine prostatectomized cadavers.

	#1	#2	#3	#4	#5	#6	#6 <sup>a</sup>	#7	#8	#9
Age	82	79	92	90	84	80	80	80	78	83
Applicator size (mm)	60	60	60	60	50	50	50	50	50	50–60
Applicator type	rig. S	rig. S	rig. S	rig. S	rig. IB	rig. IB	rig. IB	rig. IB	inf. IB	inf. Ax
Urethra	–	14	–	–	–	6	–	–	–	–
Ant BN	–	–	–	–	–	–	–	–	–	–
Post BN	–	–	–	–	–	–	–	–	–	–
Retrovesical	–	–	–	–	1.3	–	–	–	nd	1.1
Left apex	1.5	15	–	–	–	3	–	–	–	–
Right apex	–	9	–	–	–	1.5	–	–	4	–
Left NVB	–	10	–	–	nd	–	–	–	nd	–
Right NVB	–	–	–	–	–	–	–	–	nd	–
Left base	–	–	–	–	–	–	–	–	–	–
Right base	–	–	–	–	–	–	–	–	–	–
Left distal SV	19	10	nd	nd	nd	nd	nd	nd	nd	nd
Right distal SV	5	2.5	nd	nd	nd	nd	nd	nd	nd	nd
Rectum	Empty	Full	Empty	Empty	Empty	Full	Empty	Empty	Empty	Empty

<sup>a</sup>Same cadaver after rectal emptying.

rig. S, rigid plastic sphere; rig. IB, rigid intrabeam applicator; inf. IB, inflatable intrabeam applicator; inf. Ax, inflatable Axxent applicator; –, 0 mm; BN, bladder neck; NVB, neurovascular bundles; SV, seminal vesicles; nd, not determined.



**FIGURE 4 |** Distribution of the diameter of the applicator among 9 prostatectomized cadavers (plain bars), and in MRI images from 34 prostate cancer patients (empty bars).

After 3D reconstruction and virtual applicator testing, the size ranged between 50 and 70 mm. In 78% of patients, the applicator's diameter ranged between 50 and 60 mm, confirming the cadaveric measurements (Figure 4). Neither prostatic volumes nor prostate dimensions were predictive of the ideal applicator diameter (data not shown).

## Dose Distribution and Estimation of the Probability of Normal Tissue Complications

Dose distribution was calculated in two cadavers in which a 50-mm applicator (Intrabeam<sup>TM</sup> or Axxent<sup>TM</sup>) had been inserted. A similar dose distribution to target tissues in contact or in the close vicinity of the applicator was obtained (Figure 5). In the cadaver with rectal distension (cadaver 6), the dose to the urethra was decreased by 28% (full rectum: 8.6 Gy; empty rectum: 12 Gy). Using either the EBRT (e) or HDR (h) model, the NTCP for the rectum was 2.3% (e) and 1.0% (h) for the Intrabeam<sup>TM</sup> irradiation

and <1% (e) and (h) for the Axxent<sup>TM</sup> irradiation. The NTCP for the bladder was 2.8% (e) and 2.0% (h) for the Intrabeam<sup>TM</sup> irradiation and <1% (e) and (h) for the Axxent<sup>TM</sup> irradiation. NTCP were lower with (h) because the distances from the applicator to the bladder and the rectum were larger for those cases.

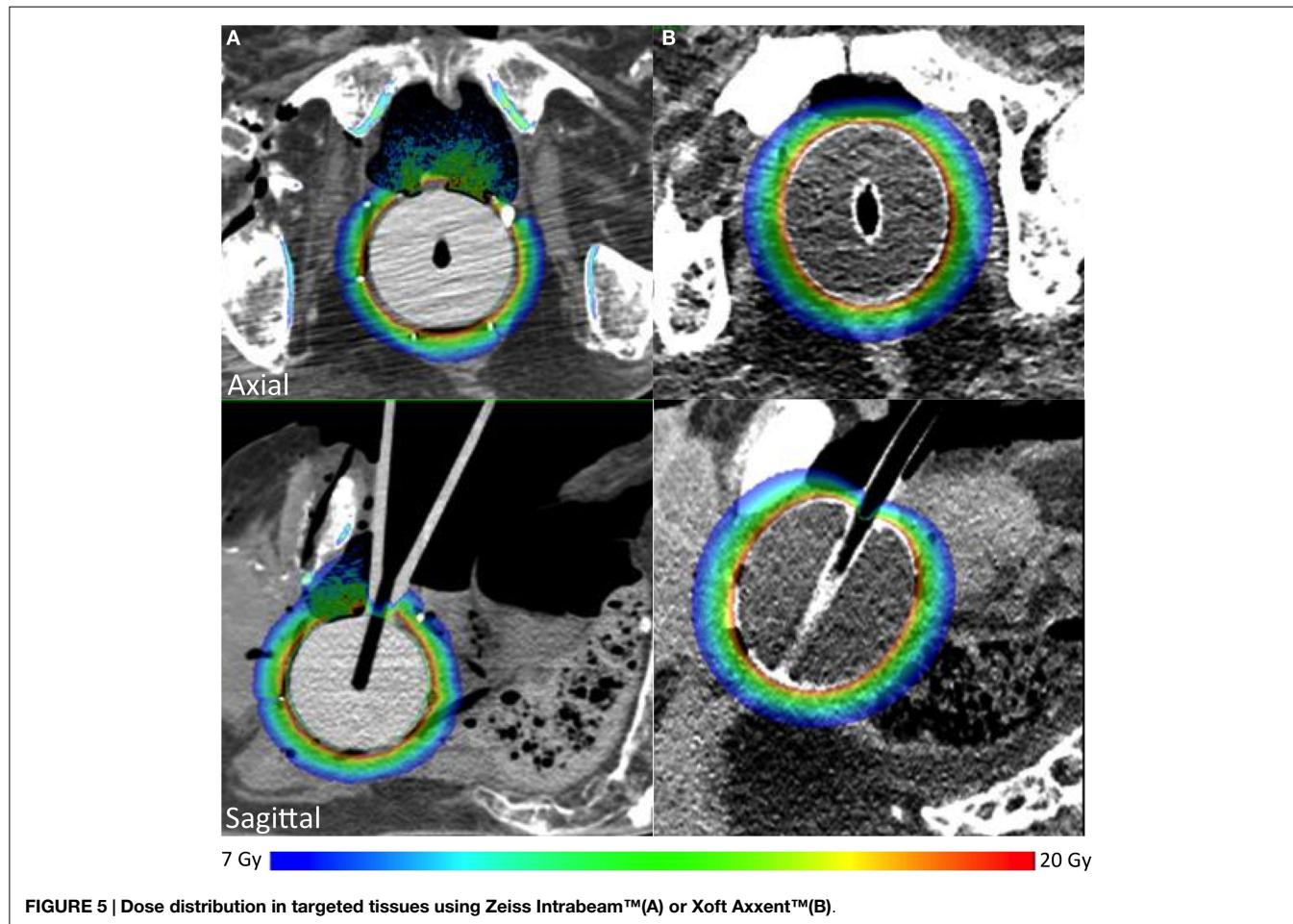
## Discussion

We have shown that low-energy photon IORT using spherical applicators can be adapted for treatment of the prostate bed with the exception of the upper extremity of the seminal vesicles, and that the radiation dose received by the pelvic organs at risk is consistent with a low probability of acute and late toxicity.

The spherical shape of the applicators was suitable for the anatomical configuration of the prostate bed. The applicator shape could be fully spherical (Intrabeam<sup>TM</sup>) or ovoid (Axxent<sup>TM</sup>) without incongruence of the applicator to the prostate bed. However, it is important to empty the rectum since the distance between the applicator's surface and the urethral section was increased in the cadaver with a full rectum, which significantly reduced the dose to this target tissue.

The applicator positioning was standardized. It was impacted in the rectum in order to be in contact with the neurovascular bundle section. Then, it was applied close to the urethral section. Finally, bladder neck was lowered into contact with the applicator. The choice of the size was visual, testing different applicators. Using this approach (standardized positioning verified visually), CT scan confirmed adequate positioning of the applicator and good dosimetric coverage in all cadavers, with the exception of the one whose rectum was initially full.

Applicators could be rigid (Intrabeam only) or inflatable (Intrabeam and Axxent). This property had no effect neither on positioning in cadaver nor on CT scan image quality. However, inflatable applicators could easily be inserted in a laparoscopic trocar before placement, allowing them to be used whatever the surgical approach chosen (open or laparoscopic, with or without robotic assistance). Among inflatable applicators, we found that



**FIGURE 5 |** Dose distribution in targeted tissues using Zeiss Intrabeam™(A) or Xoft Axxent™(B).

the Axxent one seemed more convenient because (1) the longer stalk allows a better adaptation to the anatomy of patients and (2) the four times higher dose rate should reduce operating time.

We selected areas at risk – positive margins and/or areas frequently involved in local recurrences (19) – as target tissues, and determined whether all target tissues would receive the prescribed dose. Our results showed that all target tissues would be irradiated at the same dose, including the proximal, but not the distal, part of the seminal vesicles. Invasion into the seminal vesicles is usually limited to the proximal part; the distal part is invaded only in 20% of pT3b cases (20), so IORT would only miss a very limited number of remaining tumor cells. Moreover, pT3b patients are at high risk of metastatic disease (21), which implies that systemic therapies would be probably more important than increased local treatment in this clinical situation.

We simulated a dose of 12 Gy at a 5-mm depth, 20 Gy at the surface of the applicator. The 5-mm depth encompassed all radio-opaque clips, which suggests that all target tissues would receive a dose ranging between 12 and 20 Gy, which is equivalent to 36–92 and 46–123 Gy in 2 Gy fractions for alpha/beta equal, respectively, to 3 and 1.5 Gy (22). We selected a dose of 12 Gy at a 5-mm depth since (1) this dose is routinely used for breast IORT (16), (2) no increased acute and late toxicity was seen in clinical series following a 12 Gy irradiation using IORT with electrons, and

(3) it may be combined with post-operative irradiation without increasing acute and late toxicity (10–12). Higher single-dose treatment seems to be well tolerated, since 22 Gy in one single fraction of IORT using electrons did not increase perioperative or late toxicity (12).

Both Intrabeam and Axxent systems deliver low-energy photons (50 keV) limiting shielding measures necessary to avoid medical staff exposure. In our institution, we performed measures with Intrabeam system (40 mm applicator, 50 kV, 40  $\mu$ A) before beginning IORT for breast cancer. We found a dose rate of 1700  $\mu$ Sv/h at 1 m from the source and 1.6  $\mu$ Sv/h behind a movable lead shield at 3 m from the source. As dose rate decreases when applicator diameter increases, the exposure should be lower with a 50-mm applicator. Slightly similar dose rates are observed with Axxent system with a dose rate of 2000  $\mu$ Sv/h at 30 cm from the treated area that decreases more than 95% behind a movable lead shield (23).

The main limitation of our study is the determination of the NTCP. NTCP models are based on fractionated irradiation. To determine the complication probabilities of low-energy photon IORT, we assumed that this model could be applied to high single-dose irradiation, which is not definitively proven (24). This model has been developed for high-energy photons; models for low-energy photons are lacking. We could not specifically determine

the probability of urethral stenosis using this NTCP model. One adjuvant radiotherapy study showed an increased frequency of urethral stenosis (2), whereas two other studies did not show any differences between adjuvant irradiation and observation (3, 4). A clinical phase I study will be required to carefully evaluate the perioperative toxicity of low-energy photon IORT.

## Conclusion

Our study suggests that low-energy photon IORT, using spherical applicators, is feasible during radical surgery for localized prostate cancer. Selection of the precise applicator and low-energy photon IORT machine will depend on patient characteristics (prostate volume, prostate depth). The current indication for adjuvant radiotherapy is pT3 disease based on the post-operative pathological examination (25). It would be reasonable to select patients who might benefit from low-energy photon IORT prior to surgery

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based on the probability of pT3 stage disease: according to PSA level, Gleason score >7, or cT3a disease with resectable disease or extra-capsular extension on MRI, though patients with T3b disease should be excluded (26).

## Author Contributions

All the authors equally contributed to the preparation of this manuscript.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Radiation Therapy after Radical Prostatectomy: Implications for Clinicians

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Depending on the pathological findings, up to 60% of prostate cancer patients who undergo radical prostatectomy (RP) will develop biochemical relapse and require further local treatment. Radiotherapy (RT) immediately after RP may potentially eradicate any residual localized microscopic disease in the prostate bed, and it is associated with improved biochemical, clinical progression-free survival, and overall survival in patients with high-risk pathological features according to published randomized trials. Offering immediate adjuvant RT to all men with high-risk pathological factors we are over-treating around 50% of patients who would anyway be cancer-free, exposing them to unnecessary toxicity and adding costs to the health-care system. The current dilemma is, thus, whether to deliver adjuvant immediate RT solely on the basis of high-risk pathology, but in the absence of measurable prostate-specific antigen, or whether early salvage radiotherapy would yield equivalent outcomes. Randomized trials are ongoing to definitely answer this question. Retrospective analyses suggest that there is a dose-response favoring doses >70 Gy to the prostate bed. The evidence regarding the role of androgen deprivation therapy is emerging, and ongoing randomized trials are underway.

**Keywords:** adjuvant radiotherapy, prostate cancer, androgen deprivation

## INTRODUCTION

Prostate cancer is the most frequently diagnosed non-skin cancer in the western of world (1).

For such a frequent cancer, surprisingly, little certainties exist around its management.

After radical prostatectomy (RP), patients with high-risk pathological features, such as extracapsular prostatic extension (ECE), positive margins, seminal vesicle involvement (SVI), high Gleason score, and prostate-specific antigen (PSA) have a 40–70% risk of developing biochemical failure at some point in the future (2). Approximately, two-thirds of men with biochemical relapse will develop metastatic disease if left untreated (3).

Radiotherapy to the prostate has been used in both the adjuvant (ART) and the salvage (SRT) setting. Which of the two strategies is better remains an area of controversy despite the fact that there are three phase III randomized controlled trials that showed an improvement in biochemical progression-free survival (BPFS) when ART is administered as compared with RP alone (4–6). At the present time, there are no published randomized trials, and we dispose only of retrospective data for the use of SRT, making a direct comparison between ART and SRT flawed. While several trials comparing ART vs. SRT are on going, in this article, we summarize the available evidence on ART vs. SRT.

## ADJUVANT RADIATION THERAPY TRIALS

Three randomized controlled trials are summarized in **Table 1** showing the benefit of ART over RP alone. In these trials, ART was usually administered to the prostate bed within 4 months after RP (4–6). Two of the three randomized trials included patients with detectable PSA and therefore some patients actually received SRT, indirectly supporting its benefits over watchful waiting (4–6). With the introduction of ultrasensitive PSA, a new tool to detect low-volume disease became available, and therefore nowadays the term “adjuvant therapy” is used when the PSA is very low or undetectable ( $\leq 0.1$  ng/ml) immediately or within 4 months of RP. This highlights the temporal variation in practice patterns and limits the generalizability of the results of the randomized trials to the contemporary population of prostate cancer patients. Nevertheless, these important studies provided evidence to support the use of postoperative RT in men with adverse pathologic features (ECE, SVI, or positive surgical margins). The question of whether all patients with the aforementioned adverse features should undergo immediate ART vs. initial observation with more selective – but early – SRT in the event of biochemical failure (using pre-defined PSA thresholds) remains a subject of controversy.

Certainly, men with prostate cancer will not necessarily die from the disease and even those who experience a biochemical failure will not necessarily become symptomatic from the disease (7).

Thus, the argument for postoperative radiotherapy (RT) is predicated on the assumption that some patients may have residual local disease of a potentially lethal phenotype after surgery and that the delivery of secondary local therapy may interrupt the natural history of disease and prevent progression to systemic disease. A basic question in this context is the extent to which this sequence of events – vs. the presence of occult metastases at surgery or the presence of a tumor that will never become symptomatic – characterizes the natural history of the disease.

### EORTC 22911

The European Organization for Research and Treatment of Cancer (EORTC) recruited 1005 patients between 1992 and 2001 to a randomized controlled trial (8). Patients with stage pT2–3, N0, M0 prostate cancer, and undetectable PSA defined as

$\leq 0.4$  ng/ml with at least one adverse prognostic factor: positive surgical margins, ECE and/or SVI were randomized to receive ART with 60 Gy in 6 weeks to the prostate bed or observation. After a median follow-up of 10.6 years, the intervention arm was significantly superior based on BPFS (74 vs. 53%; HR 0.49, 95% CI 0.41 to 0.59,  $p < 0.0001$ ). The cumulative rate of loco-regional and any clinical failure was lower in the irradiated group (15 vs. 5%,  $p < 0.0001$  and 19 vs. 9%,  $p < 0.0001$ , respectively). However, no significant benefit was observed in distant failures. Importantly, from the 265 patients in the observation arm who had biochemical progression 84% underwent an active treatment after progression (54.4% received pelvic radiotherapy, and 22.2% received androgen deprivation therapy-ADT). Salvage radiotherapy was administered to 23% of patients in the observation group. There was also a significant increase in late side effects of any type and any grade in the RT arm [10-year cumulative incidence 70.8% (66.6–75.0) vs. 59.7% (55.3–64.1);  $p = 0.001$ ]. After 10 years of follow-up, improvements in clinical progression-free survival vanished, and overall survival (OS) was not improved (4).

### SWOG 8794

From 1988 to 1997, the South West Oncology Study Group (SWOG) 8794 trial randomized 430 men with pT3, pN0, M0, ECE, positive margins, and/or SVI prostate cancer to ART (60–64 Gy) or observation (5, 9). There was no restriction on PSA level at enrollment. The primary endpoint was metastasis-free survival. Secondary endpoints were PSA relapse, recurrence-free survival, OS, and postoperative complications. With a median follow-up of 12.7 years, the study was positive for metastases-free survival favoring the RT arm (43 vs. 54%, HR 0.71, 95% CI 0.54–0.94;  $p = 0.016$ ). Also the OS was improved significantly with ART (41 vs. 52%, HR 0.72, 95% CI 0.55–0.96;  $p = 0.023$ ).

Swanson et al. reported that the pattern of failure was local with 22% of patients having a clinical local failure in the observation arm compared to 8% in the ART arm. An additional 11 patients in the observation arm had local and distant failures compared to 1 patient in the treated arm (10). The time to initiation of hormonal therapy differed in both groups with 21% of patients in the observation group having received ADT within 5 years post biochemical relapse vs. 10% of patients in the ART group (HR 0.45; 95% CI 0.29–0.68,  $p < 0.001$ ) (9).

**TABLE 1 | Randomized controlled trials comparing adjuvant postoperative radiotherapy vs. observation.**

Reference	N	Inclusion criteria	Dose (Gy)	Follow-up median (years)	10-year BPFS ART vs. NFT	10-year OS ART vs. NFT	10-year toxicity rate (%) ART vs. NFT
Thompson et al. (5)	425	pT3 cN0/pN0 R0/R1	60–64	12.7	52 vs. 26% $p < 0.001$	74 vs. 66% $p = 0.023$	GI, G3 = 3.3 vs. 0 GU, G3 17.8 vs. 9.5
Bolla et al. (4)	1005	pT2–3 pN0 R0/R1	60	10.6	60 vs. 41% $p < 0.0001$	77 vs. 81% $p = 0.2$	GU > G2 = 21.3 vs. 13.5 ( $p = 0.003$ ) GI > G2 = 2.5 vs. 1.9 ( $p = 0.47$ )
Wiegel et al. (6) (307)	388 (307)	pT3 pN0 R0/R1 PSA 0	60	9.3	56 vs. 35% $p < 0.0001$	84 vs. 86% $p = 0.59$	ART: GU, G3 = 1 patient, G2 = 2 patients GI, G2 = 2 patients

BPFS, Biochemical progression-free survival; OS, overall survival; ART, adjuvant radiation therapy; NFT, no further therapy; GU, genitourinary; GI, gastro-intestinal; G, grade.

It has, however, been argued that the survival benefit may be due to hazard considering that the trial was not powered to detect an OS advantage as well as the fact that such clinical benefit was not found in the European Study (11). The most significant problem with the SWOG 8794 trial was the lack of disclosure of cause of death. There were 110 men (52%) who died in the observational group vs. 88 (41%) in the radiation group, but because of the long follow-up period it was not possible for the authors to ascertain if these patients died from metastatic prostate cancer or from competing hazards, which jeopardizes the impact of ART on OS.

Another observation from this trial is that the pre-radiation PSA level immediately after RP was predictive of subsequent outcome. For instance, for patients with an undetectable PSA ( $\leq 0.2$  ng/ml), the 5-year PSA failure rate was 77% (very similar to the EORTC 22911 trial, 74%). However, patients with a post-prostatectomy PSA between 0.2 and  $\leq 1$  ng/ml had a PSA failure rate of 34% at 5 years: this last group had an 8% increase in the risk of metastases indicating thus that RT with these PSA values is less efficient in eradicating larger tumor deposits (10). On the contrary, patients in the observation arm had a significant delay in initiating SRT. The median PSA at which patients were referred for salvage radiation was 1–1.5 ng/ml. A better comparison would have been made if patients in the observation arm had been offered RT at the first PSA failure.

## ARO 96/02

The German study was the only real adjuvant study as the inclusion criteria permitted only patients with undetectable PSA. Those with persistently detectable PSA after surgery were declared as having progressive disease. The study recruited 307 patients from 1997 to 2004. Eligible patients had pT3 pN0 tumors with positive or negative margins. PSA failure was defined as two consecutive rises above undetectable. BPFS after 5 years was significantly improved with ART (72%, 95% CI 65–81%, vs. 54%, 95% CI 45–63%, HR = 0.53, 95% CI 0.37–0.79,  $p = 0.0015$ ). Despite a 10-year follow-up period, the study did not show any clinical benefit.

Grade 2 genitourinary and gastrointestinal toxicity in the RT arm were 2 and 1.4%, respectively (6).

## WHO MIGHT BENEFIT FROM IMMEDIATE POSTOPERATIVE RADIOTHERAPY?

In summary, the 3 studies included together over 1100 patients and had a substantial follow-up assessment that permits some conclusions: ART compared with watch and see strategy reduced by about 20% the risk of PSA relapse.

Van der Kwast et al. reviewed about 50% of the pathology specimens in the EORTC 22911 trial, in particular with regard to positive surgical margins (12). After 5 years, immediate postoperative radiation was shown to prevent 191 events in 1000 patients with positive margins vs. 88 events in 1000 patients with negative margins. The hazard ratio for immediate radiation was 0.38 (95% CI 0.26–0.54) and 0.88 (95% CI 0.3–1.46) in the group with positive and negative margins, respectively. The finding of

a significant association between margin status and adjuvant RT benefit was also reported in the subgroup analyses of ARO 96-02 (6). The data indicate that patients with a pT3 tumor but negative margins may potentially benefit less or not at all from immediate ART. Exploratory analyses suggested that postoperative irradiation might improve clinical progression-free survival in patients younger than 70 years old. Radiotherapy could have a detrimental effect in patients aged 70 years or older (13).

An important point to consider in the equation balance between ART and observation is that one-third of the patients in the wait and see arm of the randomized trials have received ADT within the first 5 years after biochemical progression. Therefore, the use of ART would lead to a diminished use of ADT. However, early initiation of ADT has not shown convincing benefit on OS (14, 15).

It is also important to note that the standard routine clinical practice has evolved since the publication of the three aforementioned randomized trials, and contemporary patients have access to ultra-sensitive PSA assays, consequently in modern clinical practice patients with an undetectable postoperative PSA level have a lower risk of relapse than those patients in the past and so less potential benefit from adjuvant immediate radiation. Ultra-sensitive PSA assays also lead to an earlier detection of biochemical relapse than clinical relapse, and this early detection may lead to an improvement in the efficacy of SRT as a therapeutic option. There is at the moment no consensus among clinicians on whether to use adjuvant or salvage radiotherapy, highlighting the need for offering these patients a randomized trial (16).

The potential for toxicity needs to be considered when counseling patients for postprostatectomy RT, and this information provides support for more selective use.

## SALVAGE RADIATION THERAPY

Salvage radiation therapy is supported by some clinicians based on the rationale that an elevated PSA in the postoperative setting or a delayed PSA rise is caused, at least in some patients, by the persistence of local disease. However, while the disease is localized to the surgical bed and curable with SRT, the presence of occult metastatic disease cannot be excluded. Certainly, adverse prognostic factors in the pathology specimen such as ECE, positive margins, and SVI, support the concept of a local residual tumor and thus the use of salvage treatment (17). The lower the PSA at the time of salvage therapy, the better the outcome. Investigators have tried to use PSA cut-off points ranging from  $\geq 0.1$  to 10 ng/ml (18–23). However, it should be noted that the relationship between pre-radiotherapy PSA and radiotherapy outcome is a continuum. In general, PSA recurrence rates have been reported to be higher when the PSA is  $\geq 0.2$  ng/ml (20, 21, 24). Other factors should also be considered such as the PSA doubling time as well as time to biochemical failure (25).

There have been multiple retrospective studies, which have looked at the clinical question of how adjuvant or salvage radiation affects local control, BPFS, and OS. Significant improvements in local control and BPFS have been observed in patients treated in the adjuvant or early adjuvant setting compared to those treated with late salvage therapy. The inherent caveat when comparing

ARC vs. SRT in retrospective studies is that the patient receiving salvage treatments have in most of the cases confirmed recurrent disease, consequently the outcome of salvage radiation will always seem worse than ART (11). The 5-year BPFS rates were approximately 59–80% after ART (19–21) and 26–66% after salvage radiotherapy (22, 26). In some series, patients who had an undetectable PSA after SRT had a 75% chance to have an undetectable PSA at 3.5 years (6).

**Table 2** summarizes the retrospective studies on SRT. It is clear from these retrospective studies that oncological outcomes are better when SRT is initiated at the lowest PSA values. Several studies showed that the biochemical relapse free survival can be >75% with pre-radiotherapy PSA of <0.5 ng/ml (24, 27).

A recent report by Pfister et al. analyzed 10 retrospective reports on patients with early salvage radiotherapy (ESRT) (27). The term ESRT refers to patients with undetectable PSA after prostatectomy who have subsequent PSA rise ≤0.5 ng/ml. Significantly, increased cancer control rates have been reported with ESRT compared to late SRT. The mean 5-year biochemical relapse-free survival was 71% in a pooled analysis of 886 patients treated with ESRT. However, no data on clinically outcomes such as metastasis-free survival or OS were available. Siegmann et al.

(28) reported a BPFS of 83% at 2 years for patients with PSA ≤0.2 ng/ml at the time of SRT compared to 61% for those with a PSA of ≥0.28 to ≤1 ng/ml, pointing out that further reducing the PSA cut-off point may increase biochemical outcomes.

The nomograms introduced by Stephenson et al. may help in the decision-making process (2). This nomogram was created from a pooled database of 1818 patients with a median follow-up of 53 months after RT. Pre-surgical prognostic factors such as PSA, Gleason score, SVI, ECE, surgical margins, lymph node status, PSA at SRT, PSA doubling-time, time-to-recurrence, time from recurrence to radiation, radiation dose, and the use of ADT are considered to allow individualized risk stratification. Briganti et al. (24) restricted the nomogram to patients with PSA <0.5 ng/ml and validated it with 200 bootstrap resamples demonstrating a good discrimination in outcome with a *c*-index of 0.74. By incorporating genomic tests into nomogram models, Den et al. (29) analyzed 188 patients with pT3 or positive margin prostate cancer looking at 22 pre-specified gene-signatures and reported an improvement in the Stephenson nomogram from a *c*-index of 0.70–0.80 for BPFS as well as distant metastases. Novel gene signatures describing the biology of prostate cancer progression have recently been summarized in a comprehensive

**TABLE 2 | Selected series of salvage radiotherapy for PSA relapse after radical prostatectomy.**

Reference	N	Comparison	PSA pre-RT (ng/ml)	ADT (%)	Median follow-up (months)	BPFS (%)	Important prognostic factors	RT technique/dose (Gy)	Grade 3 toxicity (%)
Pfister (27)	737	Early salvage	<0.5	6.7	51	71	PSA pre RT <0.2	2D/3D/IMRT	0.6–1.3
Trock (23)	160	SRT with PSA >0.2–22	Median 0.7	12	72	89		2D/3D/66.5	
Swanson (26)	92	ART (n = 36) with postoperative PSA <0.4 vs. SRT (n = 56)	Median 1.5	0	146.4	35 vs. 25	GS >8 PSA >0.5	2D/3D/60–70	NR
Trabulsi (22)	449	ART <12 months from surgery (n = 211) SRT >12 months from surgery (n = 238)	<2	0	94	75 vs. 66	GS >8 Use of SRT	2D/3D/64	NR
Fossati (20)	955	Early salvage	<0.5	0	57	82	PSA >0.2, >pT3, GS >7	2D/3D/66.6	NR
Cremers (19)	197	SRT (>6 months after RP)	45.7% with PSA <10 and 53.8% with PSA > 10	0	40	59	GS >7, ECE, PSA >1ng/ml	3D/66	GU = 6 GI = 0.6
Jereczek-Fossa (21)	431	ART <6 months after RP (n = 258) SRT >6 months after RT (n = 173)	ART 0–4 SRT 0.1–13.7	100	32	81 vs. 60.5	PSA >0.2 GS >6 Age <65	70	GI = 0.7 GU = 1.9
Briganti (24)	390	PSA <0.3 vs. PSA >0.3 to <0.5	58	0	40.6	81.8	stage, GS, and positive SM	3D/66.2	NR
Siegmann (28)	301	SRT (median time to RT 23 months) In 151 patients, SRT commenced at PSA ≤0.28 ng/ml, in 150 at >0.28	0.28	0	30	78 vs. 61% for a PSA ≤ or >0.28 ng/ml	pT3b, positive SM, pre-SRT PSA, PSA doubling time	3D/68.4	GU = 1.3
Stephenson (2)	1540	Nomogram for disease progression after SRT	<0.5 to ≥0.5	0	53	PSA <0.5 = 48, PSA >0.51–1.00 = 40, PSA 1.01–1.50 = 28, PSA >1.50 = 18	GS, PSA doubling time, SM, ADT	64.8	NR

GS, Gleason score; PSA, prostate-specific antigen; RT, radiotherapy; ADT, androgen deprivation therapy; BPFS, biochemical progression-free survival; ART, adjuvant radiation therapy; SRT, salvage radiation therapy; RP, radical prostatectomy; SM, surgical margins; GU, genitourinary; GI, gastro-intestinal; NR, not-reported; 2D, two dimensional radiotherapy; 3D, three-dimensional conformal radiotherapy.

review (30). **Table 3** describes biomarker studies in the postoperative setting (31–34).

Certainly, when analyzing this data, one must consider that not all the patients treated with a PSA under 0.2 ng/ml will benefit clinically from SRT. The natural history of men with PSA relapses after RP is long; in the series described by Freedland et al., the median survival has not been reached after 16 years of follow-up. Patients at risk of prostate cancer death had shorter time to relapse, shorter PSA doubling times, and higher Gleason scores (7).

So far, only a few retrospective analyses have data on clinical significant endpoints. In the analysis by Boorjian et al., ART and SRT were independent predictors for biochemical and local control. In addition, SRT decreased the rate of systemic failures (35). Jereczek-Fossa et al. reported on 431 patients treated between 1996 and 2006: 258 men received immediate RT for a rising PSA between 0.1 and 4 ng/ml vs. 173 men who received SRT >6 months after surgery for a rising PSA that was between 0.1 and 13.7 ng/ml. Interestingly, in this study >78% of patients had biopsy-confirmed prostate relapse at the time of SRT, and 10 patients had palpable disease in the prostate bed. After a median follow-up time of 48 months, failure-free survival including BPFS and clinical failure was significantly longer in the immediate RT group (79.8 vs. 60.5%,  $p < 0.0001$ ). In multivariate analysis, pre-radiotherapy PSA  $\geq 0.2$  ng/ml ( $p < 0.001$ ) correlated with worst clinical outcome highlighting the more advanced tumors included in the SRT group (21). Swanson et al. reported a series of 92 patients referred to SRT for a rising PSA level at the time of referral from 0.1 to 30.5 ng/ml (median 1.5 ng/ml). The median time from surgery to radiation was 2.1 years (range 0.3–7.4 years). After a median follow-up time of 12.2 years, the 5- and 10-year BPFS was 35 and 26%, respectively, and OS was 86 and 67%, respectively. The median biochemical-free survival after SRT was 2.3 years (26).

The benefits of SRT should always be balanced against the morbidity of the therapy. Many large retrospective series assessing oncological outcomes after SRT did not include long-term toxicity data. In a retrospective series of 742 patients who underwent ART or SRT, the incidence of acute toxicity grade 2 or more was 19% after ART and 17% after SRT. The incidence of grade 3 toxicity was 8 and 6%, respectively. No differences in grade 2 or more late toxicity were observed. However, there were slightly more grade 3 late toxicity events in the ART group (12.2 vs. 10%) (36).

## SALVAGE RADIOTHERAPY AND ANDROGEN DEPRIVATION THERAPY

GETUG-AFU 16 was the first randomized trial comparing SRT vs. SRT and short ADT as salvage treatment for biochemical recurrent prostate cancer after radical prostatectomy and was presented in abstract form at the American Association of Clinical Oncology (ASCO) 2015 Annual Meeting. The trial randomized 743 patients most of them having high intermediate risk features (pT2ac: 54%, pT3ac: 46%, gleason >6: 76%, positive margins: 51%, seminal vesicles' involvement 13%, and PSA doubling time at relapse was >6 months in 74%). The 5-year PFS was 62.1% (CI 95%: 57–67) vs. 79.6% (CI 95%: 75–84) for SRT and SRT + ADT, respectively ( $p < 0.0001$ ). The 5-year OS was 94.8% for RT vs. 96.2% for SRT + ADT ( $p = 0.18$ ). Cause of death was progressive disease in 2.1% of the patients on SRT arm vs. 0.8% in the SRT + ADT arm. Acute toxicities occurred more frequently in SRT + ADT arm (89 vs. 79%). This trial will require longer follow-up to see if the benefits observed in progression-free survival translate into the same OS benefit (37).

A recent phase I/II study evaluated 75 patients with PSA relapse after RP who were treated with SRT followed by 2-year ADT. Androgen ablation therapy started within 1 month after the completion of SRT. The study used a PSA rise above 0.2 ng/ml with two consecutive increases over a minimum of 3 months as the definition of PSA relapse post-therapy. All achieved initially complete PSA response (<0.2 ng/ml) with the protocol treatment. With the median follow-up of 6.4 years (range: 2–9.8) from SRT, the study reported that a relapse-free rate including the freedom from PSA relapse was 91.5% at 5 years and 78.6% at 7 years, and OS rate was 93.2% at both 5 and 7 years (17). Some retrospective data suggest that adding ADT to SRT increases patient's BPFS outcome. Tiguer et al. published a 5-year BPFS rate of 50% for 81 patients treated with 3 months of neoadjuvant ADT followed by SRT (38). In another series of 115 patients, 45 patients received 3 months of ADT followed by SRT and 70 patients were treated with SRT alone. The 4-year BPFS was better for patients treated with neoadjuvant ADT (59 vs. 39%) (39). King compared treatment outcomes between SRT plus 4-month ADT (2-months before and 2-months during RT) and SRT alone in a retrospective study of 122 patients (40). A 5-year BPFS rate was better for those treated with SRT plus 4-month ADT than for those receiving SRT alone (57 vs. 31%). Taylor et al. (41) reported on 35 out of 71 patients treated with adjuvant ADT for a median duration of 24 months. After a

**TABLE 3 |** Selected biomarkers tested in the postoperative setting.

Reference	N	Biomarker	Assay	Adverse prognostic factor for:
Den et al. (29)	188 (T3, margins positive)	22 genes	Tumor-derived RNA	Score $\geq 0.4$ , 6 vs. 23% probability of metastases for adjuvant vs. salvage RT
Parker et al. (33)	147	Ki-67	IHC	BR after SRT
Cuzick et al. (31)	366	31 cell cycle progression genes	Tumor-derived RNA	BR after radical prostatectomy defined as PSA $>0.3$
Wu et al. (34)	270	32 genes	Tumor derived real-time PCR	BR after RP $>20\%$ risk if index score $>3$
Erho et al. (32)	546	22 genes of cell proliferation and mobility	Tumor-derived RNA	BR after RP and metastatic progression

BR, Biochemical relapse; SRT, salvage radiation therapy; RP, radical prostatectomy; IHC, immunohistochemistry; RNA, ribonucleic acid; PCR, polymerase chain reaction.

median follow-up of 39 months, the 5-year BPFS rate was 81% for patients receiving adjuvant ADT, compared with 54% for those treated with SRT alone.

On the other hand, Trock et al. published a retrospective analysis of 635 men treated from 1982 to 2004 who received no SRT ( $n = 397$ ) with a median PSA level of 9.6 ng/ml, SRT ( $n = 160$ ) with a median PSA level of 8.3 ng/ml, and SRT combined with ADT ( $n = 78$ ) who had a median PSA level of 7.7 ng/ml (23). The groups were otherwise not well balanced regarding pathological and clinical factors and patients who had SRT combined with ADT had a shorter time to recurrence, shorter PSA doubling time, and a higher PSA level at the time RT was initiated. The primary outcome was prostate cancer-specific survival defined from time to recurrence to death. SRT alone was associated with a significant threefold increase in prostate cancer-specific survival relative to those who received no further treatment (HR 0.34, 95% CI 0.17–0.69,  $p = 0.003$ ). In this study, the addition of ADT was not associated with any additional increase in prostate cancer-specific survival. Notably, patients in the no-SRT group had a much higher prevalence of positive pelvic lymph nodes at recurrence.

## RADIOLOGICAL ASSESSMENT

It should also be noted that patients undergoing SRT should be correctly staged. However, conventional imaging investigations such as bone scan and computed tomography of the chest, abdomen, and pelvis have been very insensitive for patients with biochemical-relapsed prostate cancer after RP. Nevertheless, we perform these tests in our routine clinical practice because the detection of any distant metastasis obviates the need for local salvage treatment. Cher et al. reported in a series of 93 patients with PSA relapse that the probability of a positive bone scan was <5%, unless a PSA level was above 40 ng/ml (42).

Similarly, the sensitivity of abdominopelvic CT scans is limited when PSA levels are low. Okotie reported that when the PSA was <10 ng/ml, the probability of a positive CT scan was non-existent (43). However, the use of MRI has enabled clinicians to assess the prostate bed more accurately. Miralbell et al. showed that MRI was capable of documenting a recurrent or residual disease in the setting of PSA levels ranging from 0.05 to 13.3 ng/ml (median: 0.87), typically in the inferior and posterior region of the vesicourethral anastomosis (44).

The use of conventional positron emission tomography (PET) tracers such as  $^{18}\text{F}$ -fluorodeoxyglucose (FDG) is of no help in prostate cancer due to a low glycolysis rate and the renal excretion of the isotope into the bladder, enabling any local uptake.

In this context, recent studies showed that for patients with biochemical recurrence choline PET/CT may visualize the site of recurrence earlier and with higher accuracy than conventional imaging modalities. Rinnab et al. reported that  $^{11}\text{C}$ -choline PET/CT had a sensitivity of 89% and a specificity of 40% for patients with post-RP PSA levels <2.5 ng/ml (45). A higher PSA level, PSA velocity, and PSA doubling time are predictive factors for having a positive  $^{11}\text{C}$ -choline PET/CT (46). In a series of 21 patients with post-RP PSA relapse (median PSA: 1.98 ng/ml),  $^{11}\text{C}$ -choline PET/CT improved the detection of lymph node metastases that

were subsequently confirmed by histological assessment in 19 of the 21 patients (90%) (47). On a nodal site-based analysis, it was estimated that the sensitivity, specificity, positive predictive value, negative predictive value, and accuracy of  $^{11}\text{C}$ -choline PET/CT was 64, 90, 86, 72, and 77%, respectively (48).

The information gained with PET/CT in this clinical setting has the potential to change disease management. In a recent clinical series reported by Alongi et al., 15 patients with biochemical recurrence after HIFU therapy and a median pre-RT PSA of 5.2 ng/ml (range: 2–64.2) underwent  $^{11}\text{C}$ -choline PET/CTs, documenting intra-prostatic-only failure and allowing a better tailored salvaged treatment using volumetric modulated arc therapy (VMAT) (49).

Conversely, a recent study raised some doubt over the sensitivity of PET/CT in the clinical setting of low PSA levels. Vees et al. reported, in a series of 20 patients with post-RP PSA levels  $\leq 1$  ng/ml, that only 11 were found to have a positive PET/CT using either  $^{18}\text{F}$ -choline or  $^{11}\text{C}$ -acetate (50).

This highlights the fact that PET/CT often remains negative in early relapse situations when PSA levels are still very low (<1 ng/ml). Unfortunately, these levels are the “window” where ESRT will be most effective. Other tracers such as Ga-68-Prostate Specific Membrane Antigen (PSMA) are emerging in recent literature with preliminary promising results (51, 52).

## RADIATION DOSE, TECHNIQUE, AND THE EFFECT OF DOSE ESCALATION

Traditionally, the three randomized trials for ART used 60–65 Gy typically with 3D simulation (4–6). In some cases, the treatment volumes were typically very generous being described as approximately 10 cm  $\times$  10 cm in the anterior–posterior fields with the inferior border at the ischial tuberosities. The lateral fields extended from the anterior aspect of the pubic symphysis and split the rectum posteriorly (8). In 3-Dimensional Conformal Radiation Therapy (3D-CRT), the target volume should include the bladder neck (pulled into the prostate bed), periprostatic tissues/clips, and the seminal vesicle bed (including any seminal vesicle remnants if present). Inferiorly, the vesicourethral anastomosis should be included. The anastomosis is the most frequent area of positive prostate biopsies (53, 54). By placing the inferior field edge at the top of the bulb of the penis and adding a margin for uncertainties, there should be adequate coverage. Laterally, the field should extend to about the medial aspect of each obturator internus muscle. Although the rectum is a landmark posteriorly, and its movement has been a matter of possible target missing, for this reason, a generous margin posteriorly is recommended in international guidelines (55). The superior margin is more subjective and should be guided by the extent of disease at the prostate base and whether the seminal vesicles are involved (56).

In accordance with the well-described dose-escalation trials for primary RT of localized prostate cancer, it has recently been proposed that dose intensification either for SRT or ART would be more effective in terms of cancer control (57).

Also, it has been suggested that each Gy increase in total dose may improve the BPFS by more than 3% (58). Therefore,

a total dose toward 70 Gy might be considered in the salvage situation, when the risk of severe toxicity can be minimized by using modern radiation techniques. In the absence of results from randomized trials, the potentially improved local tumor control by a higher RT dose should be carefully weighted up against possibly increased toxicity.

An increase in the RT dose will certainly increase grade 3 or more late toxicity. In a retrospective study where 70 Gy were administered using intensity-modulated radiation therapy (IMRT) to the prostate bed, urinary incontinence reached 13% and erectile dysfunction 26% (59). With higher doses of IMRT 76 Gy, the genitourinary and intestinal toxicity increased to 22 and 8% of the patients, respectively (60).

Although theoretical assumptions might claim a benefit in escalating the RT dose, a randomized trial is needed to definitely answer this question. The Swiss Group for Clinical Cancer Research (SAKK) conducted a randomized controlled international trial comparing SRT with 64 vs. 70 Gy without ADT in patients with prostate cancer and biochemical relapse after RP (SAKK 09/10, NCT01272050). The trial included men  $\leq 75$  years with pT2-3 N0 R0-1, with a PSA of at least  $\geq 0.1$  ng/ml and above but not higher than 2 ng/ml. Patients with evidence of macroscopic recurrence or metastatic disease were excluded. The primary endpoint was freedom from biochemical progression including a PSA of  $\geq 0.4$  ng/ml and above and/or clinical failure. The trial included quality of life analysis, quality assurance of RT, and a central pathology review. Three-dimensional conformal or IMRT were allowed per protocol. Three hundred and forty-four patients were randomized. The 13% grade 2 acute genitourinary toxicity and 0.6% grade 3 acute intestinal toxicity with 64 Gy were reported in comparison to 16.6% grade 2 and 1.7% grade 3 genitourinary toxicity with 70 Gy ( $p = 0.2$ ). The 16% grade 2 acute intestinal toxicity and 0.6% grade 3 acute intestinal toxicity with 64 Gy were reported in comparison to 15.4% grade 2 and 2.3% grade 3 with 70 Gy ( $p = 0.8$ ). Patients who received 70 Gy reported a more pronounced and clinically relevant genitourinary toxicity (mean difference in change score between arms, 3.6;  $p = 0.02$ ) (61). Considering that this is an early report on toxicity, long-term toxicity as well as efficacy analysis is still pending.

## CURRENT PHASE III STUDIES FOR PSA RELAPSE

The Radiation Therapy Oncology Group completed a phase III clinical trial (RTOG 9601) comparing ART with SRT (64.6 Gy in 36 fractions) plus 2 years of a high dose bicalutamide (150 mg per day) for patients with post-RP PSA relapse. The study group included patients with PSA levels from 0.2 to 4.0 ng/ml with prostate tumors classified as either pT2pN0 and a positive surgical margin or pT3pN0. The study closed in 2003 after accruing a total of 840 patients. Its final publication is pending at present. A recent presentation at the American Society for Radiation Oncology (ASTRO) reported that at a median follow-up of 12.6 years, there was an improvement in OS of 82% for the RT plus ADT vs. 78% for the RT plus placebo patients; with a hazard ratio of 0.75 (95 percent CI: 0.58–0.98) with a two-sided  $p$ -value = 0.036. Data

indicated that the addition of ADT decreased the rate of death by prostate cancer and decreased the risk of the cancer metastasizing. The 12-year incidence of prostate cancer centrally-reviewed deaths was 2.3% for the RT plus ADT group, compared to 7.5% for the radiation plus placebo group ( $p < 0.001$ ). At 12 years, the cancer had metastasized in 51 patients (14%) in the RT plus ADT group, compared to 83 patients (23%) in the radiation plus placebo group ( $p < 0.001$ ). Additionally, late grade 3 and grade 4 bladder and bowel side effects were similar in both groups, whereas 70% of men in the RT plus ADT reported swelling of the breasts, compared to 11% from the radiation plus placebo group (62).

Currently, the RTOG is conducting another phase III, three-arm, study (RTOG 0534) to examine the potential benefit of adding 4–6 months of ADT to SRT and to address a potential role of treating pelvic lymph nodes. The United Kingdom is conducting a phase III study called RADICALS (Radiotherapy and Androgen Deprivation in Combination After Local Surgery), and part of this study is to assess the benefit of adding 6-months or 24-months of ADT to SRT. A French group is conducting a phase III study comparing SRT with SRT plus 6-months of ADT (Clinical Trials Gov. Identifier: NCT00423475). Unfortunately, the EORTC 22043, a two-arm phase III trial, which compared 6 months of ADT concomitant to ART, closed in 2014 due to lack of patient accrual.

## CONCLUSION

Radiotherapy represents a curative approach to treat prostate cancer in patients with postoperative detectable PSA. However, its efficacy is affected by the presence of adverse clinical/pathological prognostic factors. In this context, a patient with PSA relapse after RP represents a clinical dilemma. Treatment decisions have been jeopardized by a variety of retrospective trials that have used different postoperative PSA cut-off points and the lack of clear evidence demonstrating which therapeutic attitude is best, particularly in prolonging the patient's life without significant side effects. The use of ART over observation has been proven to prolong BPFS in phase III randomized trials, but its benefit in prolonging OS has also been questioned. The challenge of managing these patients in current clinical practice will be solved in the near future when the results of different on-going randomized trials become available.

## AUTHOR CONTRIBUTIONS

FH and DB both collected the data and drafted the manuscript. DB approved the submitted version of the manuscript.

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# Role of Dose Intensification for Salvage Radiation Therapy after Radical Prostatectomy

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For primary radiation therapy (RT) of prostate cancer, dose intensification is established as standard of care. Less is known on the role of dose intensification in the postprostatectomy setting for salvage RT. Thus, we aimed to identify and summarize the existing literature. In retrospective analyses, dose-intensified salvage RT showed a superior biochemical control compared to standard dose salvage radiation with favorable acute and late gastrointestinal and genitourinary toxicity rates, especially when modern radiation techniques such as intensity modulated RT were applied. We identified one randomized phase III trial addressing the potential benefits of dose-intensified salvage RT (SAKK 09/10). Recently, acute gastrointestinal and genitourinary toxicities and early quality of life data of this trial were reported, and no significant difference in acute toxicities between both treatment arms were found; however, a significant worsening of genitourinary quality of life was noted in the dose-intensified treatment arm. Whereas dose-intensified salvage RT appears to be feasible and well tolerated, the improved biochemical control rates using dose intensified RT as suggested by retrospective analyses have yet to be validated by prospective trials.

**Keywords:** prostate cancer, salvage, radiation therapy, prostatectomy, dose

## INTRODUCTION

Around 30,000 men will experience recurrence of prostate cancer after radical prostatectomy annually in the United States (1). For the majority of these patients, the only evidence of recurrent disease is an increasing serum PSA level without evidence of macroscopic recurrence. After radical prostatectomy approximately 15–40% of men develop a biochemical relapse within 5 years (2, 3). It has been described that the site of relapse in prostate cancer patients after prostatectomy is predominantly local, with a relatively low incidence of distant failures (4). Patients with biochemical relapse develop bone metastasis with a rate of 37 and 65% at 5 and 10 years, respectively. A median time of 8 years until development of bone metastasis was reported and the observed median time between the development of bone metastasis and death was 5 years (5).

Generally, two main strategies are being used to increase long-term tumor control after prostatectomy: either adjuvant radiation therapy (RT) in the presence of positive surgical margins, extracapsular extension or seminal vesicle invasion, or salvage RT at biochemical relapse. The advantages of dose intensification in primary prostate cancer were already shown in several randomized controlled

trials. The meta-analysis by Viani et al. reported a reduction of biochemical relapse rates after dose intensified RT vs. conventional dose RT. However, the dose intensification was associated with increasing rates of grade 2 or higher gastrointestinal toxicity (6).

For the postprostatectomy setting, retrospective analyses have demonstrated the effectiveness of salvage RT in terms of biochemical relapse-free survival and cancer-specific survival (7, 8), and thus salvage RT is considered the only potentially curative treatment at the earliest sign of biochemical failure. Further analyses showed that dose-intensified salvage RT achieved superior biochemical relapse-free survival compared to standard doses (9–11).

As standard for salvage RT, a dose of 64–66 Gy is recommended in the guidelines of the European Association of Urology (EAU) at PSA serum levels of  $\leq 0.5$  ng/ml (12). The American Society of Radiation Oncology (ASTRO) guidelines recommend using the highest RT dose deliverable with acceptable toxicity rates and suggest a minimum dose of 64–65 Gy with conventional dose fractionation (13).

Less is known regarding dose intensification in the salvage RT setting. We have thus reviewed and summarized the literature for dose-intensified salvage RT.

## MATERIALS AND METHODS

Data for this Review were identified by non-systematic searches of MEDLINE, Current Contents, PubMed, and references from relevant articles using medical subject headings including “prostate cancer,” “postoperative,” “radiotherapy,” “radiation,” “adjuvant,” “salvage, dose,” “escalation,” “escalated,” “intensified,” and “intensification.”

## RESULTS

### Retrospective Data

King et al. described improved biochemical relapse-free survival rates for dose-intensified salvage RT (70 Gy) compared to doses of 60 Gy. One hundred twenty-two patients were treated either with a dose of 60 Gy ( $n = 38$ ) or 70 Gy ( $n = 84$ ) using two-dimensional (2D) conformal, three-dimensional (3D) conformal, or intensity modulated RT (IMRT) between 1984 and 2004. Sixty-eight patients received additional androgen deprivation therapy (ADT). The median follow up was  $>5$  years, and patients with 70 Gy treatment had a biochemical relapse-free survival of 58 vs. 25% when treated with 60 Gy. In a multivariate analysis, higher dose was an independent factor for superior biochemical relapse-free survival (9).

Likewise, Ost et al. evaluated 136 patients who received a salvage IMRT with a median dose of 76 Gy alone ( $n = 39$ ) or combined with ADT ( $n = 97$ ) between 1999 and 2008. After a median follow up of 5 years, a biochemical relapse-free survival of 56% and a clinical relapse-free survival of 86% were observed (14). Moreover, Goenka et al. published a retrospective study analyzing 285 salvage RT patients, 72% were treated with a RT dose  $\geq 70$  Gy using either 3D or IMRT techniques. Thirty-one

percent received additional ADT. The median follow up was 60 months. After 7 years, biochemical relapse-free survival was 37% and distant metastases-free survival was 77% (15). Moreover, a systematic review with regression meta-analysis and radiobiological modeling performed by Ohri et al. analyzed 25 studies with 3,828 patients with a median follow up of 50 month. The RT dose ranged from 60 to 72 Gy (median dose: 65 Gy) and 2D, 3D, or IMRT techniques were applied. The authors observed a median 5-year biochemical relapse-free survival of 47% and detected a dose-related increase of 5-year biochemical relapse-free survival. Each increase of 1 Gy led to an increase of 2.5% of 5-year biochemical relapse-free survival rates (10). Another systematic review published by King analyzed 41 studies with 5,597 patients. The median follow up was  $47 \pm 22$  months, and the applied median dose was  $64.6 \pm 3.1$  Gy. King reported a median relapse-free survival of 34% when RT dose was 60 Gy and 54% with an applied dose of 70 Gy. For each additional 1 Gy, a 2% improvement of relapse-free survival was estimated (16).

The systematic review by Ohri et al. also analyzed the dose-dependent toxicity of 3,828 salvage RT patients treated with 2D/3D or IMRT techniques with a median dose of 65 Gy. A toxicity model was generated and showed increasing dose-dependent rates of  $\geq$ grade 3 genitourinary and gastrointestinal toxicity. The authors estimated that with each dose increase of 1 Gy, the rate of  $\geq$ grade 3 gastrointestinal late toxicity would increase about 1.2% and grade 3 genitourinary late toxicity rates would increase 0.8%. Furthermore, it was assumed that a rate of  $>10$  late grade 3 gastrointestinal and genitourinary side effects would occur when RT dose exceeds 72 Gy (10). One important limitation of this toxicity model was, however, its dependency on series with 2D/3D treatment techniques. Moreover, the applied doses in the analyzed series were  $\leq 70$  Gy. So this model may not be valid to estimate toxicity rates for more modern RT approaches and application of doses  $>70$  Gy (17).

Cozzarini et al. described the long-term toxicity rates of 742 patients treated between 1993 and 2005 with adjuvant RT or salvage RT using 2D and 3D conformal techniques (median follow up 8 years). The salvage RT ( $n = 186$ ) with a median dose of 72 Gy resulted in  $\geq$ grade 2 late genitourinary toxicity in 23.7% of the patients. Grade 3 late genitourinary toxicity occurred in 10% of the patients. Grade 2 or higher acute toxicity and a dose of  $>72$  Gy were identified as independent prognostic factors for late grade 3 genitourinary toxicity (18).

Goenka et al. evaluated toxicity rates of 285 patients treated between 1988 and 2007 with salvage RT (median follow up 60 months). One hundred nine patients who received 3D conformal RT ( $n = 12$ :  $<66$  Gy;  $n = 57$ : 66 to  $<70$  Gy;  $n = 40$ :  $\geq 70$  Gy) were compared to 176 patients who underwent IMRT ( $n = 3$ :  $<66$  Gy;  $n = 8$ : 66 to  $<70$  Gy;  $n = 165$ :  $\geq 70$  Gy). A 8.3% reduction of late  $\geq$ grade 2 gastrointestinal toxicity was reported using IMRT (toxicity rate: 1.9%) compared to 3D conformal RT (toxicity rate: 10.2%). In this series, no acute grade 3 gastrointestinal toxicity and only 1.4% late grade 3 gastrointestinal toxicity were observed. The overall  $\geq$ grade 2 late genitourinary toxicity rate was 16.3% with no significant difference between the different RT techniques (15, 19). Similar low grade 3 late gastrointestinal toxicity rates were reported by Ost et al. (grade 3 gastrointestinal

toxicity <1%) using IMRT (mean dose: 76 Gy) for salvage RT of 136 patients. Late genitourinary ≥grade 2 toxicity rates were 22%, and the grade 3 late genitourinary toxicity rate was 3% (14).

## Prospective Randomized Data

Only one randomized prospective phase III trial testing dose-intensified salvage RT was identified (conducted by the Swiss Group for Clinical Cancer Research, SAKK). The SAKK 09/10 trial was closed for accrual after it met its accrual goal of 350 patients (2011–2014). In this trial, salvage RT with 70 Gy was compared to a dose of 64 Gy. A recent analysis of this trial reported acute toxicity rates and early quality of life in 344 patients being eligible in the safety population (20). European Organization for Research and Treatment of Cancer (EORTC) delineation guidelines were used (21), and toxicity was scored according to National Cancer Institute Common Terminology Criteria for Adverse events (CTC AE, version 4.0). Quality of life was analyzed with the EORTC Quality of Life Questionnaires C30 and PR25. Acute grade 2 genitourinary toxicity occurred in 13%, grade 3 genitourinary toxicity in 0.6% treated with 64 Gy compared to 16.6 and 1.7% grade 2 and 3 genitourinary toxicity after 70 Gy, respectively. Acute grade 2 gastrointestinal toxicity occurred in 16%, grade 3 gastrointestinal toxicity in 0.6% treated with 64 Gy compared to 15.4 and 2.3% acute grade 2 and 3 gastrointestinal toxicity after 70 Gy, respectively. There was no significant difference in acute toxicity rates (CTC AE based) between both arms. Generally, changes in health related quality of life were minor; however, there was a more pronounced and clinically relevant worsening of genitourinary symptoms in the 70 Gy arm. Thus, the initial results of SAKK 09/10 trial confirmed low acute toxicity rates even after dose intensified RT of up to 70 Gy, whereas only slight but significant increase in patient reported early urinary symptoms was shown. In 44% of the patients, the RT was applied using a 3D-conformal approach and in 56% of the patients using an IMRT/rotational RT approach (RT technique was a stratification factor). There was no significant difference in acute gastrointestinal or genitourinary toxicity associated with RT technique (20). The first randomized prospective data regarding freedom from biochemical recurrence (primary trial endpoint) and late toxicity after dose-intensified salvage RT are awaited in 2017.

## DISCUSSION

Retrospective analyses showed improved biochemical control rates after dose-intensified salvage RT with a dose-dependent increase of biochemical relapse-free survival (9–11). The available data suggested slightly increased toxicity rates for dose-intensified salvage RT, when compared to standard dose salvage RT, but both gastrointestinal and genitourinary toxicity rates are generally favorably, even after dose-intensified salvage RT (14, 15, 18, 19).

These findings are confirmed by recent published data from the randomized SAKK 09/10 prospective trial, where low rates of grade 2 and 3 gastrointestinal and genitourinary acute toxicity were described without a significant difference between the

two trial arms (total dose 64 vs. 70 Gy). However, there was a significant worsening of genitourinary early quality of life after treatment with 70 Gy. Therefore, some caution might be directed toward impairment of genitourinary early quality of life, which has to be weighed up against potential improvements in biochemical control (20). It was assumed that the necessity to include the bladder neck and the vesico-urethral anastomosis in the high dose salvage RT volume would result in similar genitourinary toxicity regardless of RT technique (17). A worsening of urinary symptoms after high dose RT to urethra, bladder neck, and bladder trigonum was also described in the primary prostate cancer RT (22). This might be the reason for the observed significant worsening of patient who reported urinary symptom burden in the quality of life analysis of SAKK 09/10 (20). It has been well described that patient-reported toxicity scoring systems are more reliable and more sensitive as compared to physician-reported toxicity scoring systems (23), which might be the reason that there was no significant difference in the acute CTC AE-based toxicity scores between the two trial arms.

Interestingly, the SAKK 09/10 trial did stratify for RT technique (3D-conformal RT vs. IMRT/rotational techniques). However, no association was found between RT technique and acute toxicity or early quality of life. This is in contrast to several retrospective analyses that reported that IMRT was associated with a reduced rate of gastrointestinal toxicity as compared to 3D conformal RT in the setting of dose-intensified salvage RT (without a significant difference in genitourinary toxicity) (14, 15, 19). Interestingly, despite being based on retrospective data only, a survey asking physicians in the United States for implemented techniques showed that a majority used IMRT for the salvage RT setting (24). However, in the primary RT setting of prostate cancer (using a higher total dose), preliminary results of the prospective randomized RTOG 0126 trial showed significantly reduced gastrointestinal and genitourinary acute toxicity rates using IMRT compared to 3D conformal RT (25).

In this context, it is important to consider different delineation guidelines with obviously different target volume sizes and its implication for clinical practice. For example, the SAKK 09/10 trial used the EORTC delineation guidelines for clinical (CTV) and planning (PTV) target volumes (20, 21) that were described to be significantly smaller compared to other recommendations such as the Faculty of Radiation Oncology Genito-Urinary Group (FROGG), the Princess Margaret Hospital (PMH), and the RTOG guidelines (26). Hence, the toxicity rates observed in SAKK 09/10 trial and maybe also the differences between the two dose levels in terms of toxicity results could potentially be higher if other delineation guidelines were used.

Otherwise, recently published data confirm that there are other promising treatment options to improve the efficacy of salvage RT. The use of ADT as an additional treatment in the salvage setting was analyzed by two randomized phase III studies. The GETUG-AFU 16 trial compared standard dose salvage RT (66 Gy) alone vs. salvage RT combined with short-term ADT (66 Gy plus 6-month goserelin) and detected a significant improvement in 5-year progression-free survival for the combined treatment. More acute toxicities (<grade 3) were observed after combined treatment but acute grade 3 or late toxicity was not significantly

different between the trial arms (27). Moreover, the long-term results of RTOG 9601, a trial that compared standard dose salvage RT (64.8 Gy) vs. salvage RT with long-term ADT (64.8 Gy plus 24-month bicalutamide), showed a significant overall survival benefit after 10 years with 78% for RT alone vs. 82% for the combined treatment (hazard ratio 0.75, 95% CI: 0.58–0.98). No significant difference in grade 3 or 4 late toxicity was described, whereas significantly more gynecomastia was observed in the bicalutamide group (70%) vs. RT (11%) (28). However, both studies applied a standard dose RT, and thus no firm conclusions about combination of ADT with dose-intensified salvage RT can be made and whether this would lead to a similar or better outcome or to unacceptable toxicity. Thus, it has to be considered that ADT is associated with multiple short and long-term side effects like bone loss, sexual dysfunction, hot flashes, metabolic changes, fatigue, gynecomastia among others (29). It might be that dose-intensified salvage RT alone achieves similar results without ADT-associated side effects or at least might be capable to significantly delay the use of ADT. Another potential option to achieve improved outcome in the salvage RT is regional hyperthermia, which will be investigated in a novel phase II trial (30).

Finally, until biochemical relapse-free survival and late toxicity rates from the SAKK 09/10 trial become available, the described worsening of genitourinary quality of life after 70 Gy must be weighted up against benefits in cancer control, potentially being obtained by dose intensification. For patients without macroscopic recurrence, one practical solution could be to deliver dose-intensified salvage RT up to 70–72 Gy in the absence

of acute genitourinary toxicity but to stop the salvage RT after 66 Gy in the presence of significant acute toxicity. Alternatively, a simultaneous integrated boost technique (SIB) might be applied to selectively apply a higher dose to the high-risk quadrant of the prostatic bed (e.g., pT3, R1) while sparing the vesico-urethral anastomosis/urethra if possible. Patients with macroscopic recurrences will probably benefit from higher doses (toward 76 Gy) and the addition of ADT.

## CONCLUSION

According to retrospective data, dose-intensified salvage RT appears to be well tolerated and effective. However, a slight increase in acute and late toxicities using dose-intensified salvage radiation treatment could be detected. A prospective trial reported favorable acute toxicity rates after dose-intensified salvage RT, but biochemical control rates and late toxicity data of this trial are still pending. As long as these prospective data are not available, the potential benefits in biochemical control and the mild increase of toxicities in dose-intensified salvage RT (both reported in retrospective studies) have to be weighed up.

## AUTHOR CONTRIBUTIONS

MB and PG participated in drafting and revising the manuscript; TB, DK, SW, AT, DZ, PW, DA, and VB participated in revising the manuscript.

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# Target Definition in Salvage Radiotherapy for Recurrent Prostate Cancer: The Role of Advanced Molecular Imaging

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Salvage radiotherapy (SRT) represents the main treatment option for relapsing prostate cancer in patients after radical prostatectomy. Several open questions remain unanswered in terms of target volumes definition and delivered doses for SRT: the effective dose necessary to achieve biochemical control in the SRT setting may be different if the tumor recurrence is micro- or macroscopic. At the same time, irradiation of only the prostatic bed or of the whole pelvis will depend on the localization of the recurrence, local or locoregional. In the “theragnostic imaging” era, molecular imaging using positron emission tomography (PET) constitutes a useful tool for clinicians to define the site of the recurrence, the extent of disease, and individualize salvage treatments. The best option currently available in clinical routine is the combination of radiolabeled choline PET imaging and multiparametric magnetic resonance imaging (MRI), associating the nodal and distant metastases identification based on PET with the local assessment by MRI. A new generation of targeted tracers, namely, prostate-specific membrane antigen, show promising results, with a contrast superior to choline imaging and a higher detection rate even for low prostate-specific antigen levels; validation studies are ongoing. Finally, imaging targeting bone remodeling, using whole-body SPECT-CT, is a relevant complement to molecular/metabolic PET imaging when bone involvement is suspected.

**Keywords:** prostate cancer, PET, MRI, salvage radiotherapy, choline, PSMA

## INTRODUCTION

Although radical prostatectomy (RP) with or without lymphadenectomy remains one of the main curative options for prostate cancer (PCa), more than 30% of the patients will relapse during follow-up (1). Salvage radiotherapy (SRT) represents the main treatment option for relapsing patients after RP, and durable biochemical response rates have been reported (2). Despite gains in understanding how to select patients for salvage treatment, the variable clinical course of these patients still leaves uncertainties about how and when to appropriately manage these patients.

Early identification of relapsing disease by modern imaging techniques has been demonstrated to significantly influence final treatment decisions and drive SRT in locally or locoregionally relapsing patients in terms of target volume definition as well as planned doses. Indeed, the effective dose necessary to achieve biochemical control in the SRT setting may be different if the tumor recurrence is micro- or macroscopic (3). At the same time, irradiation of only the prostatic bed or of the whole pelvis will depend on the precise location of the recurrence, local or loco-regional.

In the “theragnostic imaging” era, molecular imaging using positron emission tomography (PET) and single-photon emission computed tomography (SPECT) constitutes a useful tool for clinicians to define the site of the recurrence, the extent of disease, and allows, therefore, for individualizing salvage treatments. In the following review, we report on the evidence concerning the use of molecular imaging in the SRT setting in patients presenting with biochemical relapse after RP, with a special focus on new PCa-specific PET tracers. **Table 1** provides a summary of the most relevant tracers available in the setting of post-prostatectomy relapsing PCa.

## EVALUATION OF LOCAL AND LYMPH NODE INVOLVEMENT RECURRENCE BY CHOLINE PET TRACERS

<sup>18</sup>F-fluorodeoxyglucose (FDG) PET imaging is a well-established tool in radiation therapy planning, extensively used in many tumor types. The lack of FDG avidity in most PCa has motivated the search for alternative metabolic tracers, and among them, the most commonly used are choline tracers. Three main choline-based PET tracers exist, namely, <sup>11</sup>C-choline, <sup>18</sup>F-methylcholine, and <sup>18</sup>F-ethylcholine: regardless of the slight chemical differences impacting overall distribution and the lack of formal comparative studies, available data suggest that their diagnostic performance is overall similar (4). <sup>11</sup>C-acetate is another tracer, less commonly used in PCa, sharing with choline tracers a similar distribution, and being transformed to phosphatidylcholine after uptake (5). Studies have shown that performance is similar to <sup>18</sup>F-choline (6).

The literature on the use of choline PET in recurrent PCa is vast but inhomogeneous, and for this reason, its use in recent guidelines is suggested but not established, yet. Two recent meta-analyses have tried to overcome this limitation, with encouraging and converging results when selecting studies with common inclusion criteria, protocols, and standard of reference (7, 8). Both analyses obtained pooled sensitivities and specificities above 85% in patients with biochemical recurrence. For local recurrence, in particular, the sensitivity was 61% and the specificity 97% (8).

Indeed, when assessing a biochemical recurrence of PCa after RP, it should be taken in account that the detection rates vary with prostate-specific antigen (PSA) levels when using choline-labeled tracers (9–11). Choline PET-CT has shown interesting results when assessing lymph node recurrences with PSA >1 ng/mL, with sensitivity of 90% and specificity of 100% in a per-patient analysis, and 67 and 96% in a per-region analysis, respectively (12). Below this level of PSA, the recurrence detection rate with choline-labeled tracers decreases, essentially because of the lack of ability for PET to detect small lesions (of a few millimeters), presenting with low metabolism due to the spatial resolution limit of the technique (9, 10, 13, 14). Nevertheless, the sensitivity of choline PET is still above 50% in patients with PSA <1 ng/mL when PSA doubling time is <6 months or PSA velocity is >1 ng/mL/year (10, 15, 16). When the 1 ng/mL threshold is not reached and other criteria, such as PSA doubling time and velocity, are not met, prostate-targeted magnetic resonance imaging (MRI) is considered the best choice to detect local recurrences. Conventional imaging, including CT and standard MRI, is, however, of limited value to identify metastatic lymph nodes since up to 80% of involved lymph nodes are smaller than 1 cm (17–19), and the evaluation of nodal involvement in prostate MRI studies is limited to the pelvic field of view. Integrated whole-body choline PET/MRI might thus be the modality of choice to overcome these limitations.

Choline PET-CT has been used to guide SRT planning, as recently reviewed (20). Despite the lack of large multicenter validation studies, single-center experiences consistently show that nodal and oligometastatic disease can be efficiently targeted (21–24). The limited spatial resolution remains the main obstacle for accurate targeting of the local relapse. Finally,

**TABLE 1 |** Summary of the most relevant tracers available for the evaluation of recurrent PCa.

Tracer	Target	Technique	Use	Site of PCa recurrence	Main advantage	Main limitation
<sup>18</sup> F/ <sup>11</sup> C-choline	Cell membrane synthesis and phospholipid metabolism	PET/CT PET/MR	Established	Any	Sensitivity	Lack of specificity for PCa
<sup>18</sup> F-NaF	Bone remodeling	PET/CT PET/MR	Established	Bone metastases	Sensitivity	Lack of specificity for PCa
<sup>99m</sup> Tc-diphosphonates	Bone remodeling	SPECT/CT	Established	Bone metastases	Sensitivity	Lack of specificity for PCa
<sup>68</sup> Ga-HBED-CC	PSMA	PET/CT PET/MR	Under evaluation	Any	Preliminary data showing higher sensitivity than choline-based tracers	To be assessed
<sup>111</sup> In– <sup>111</sup> In Capromab Pendetide (ProstaScint®)	PSMA	SPECT/CT	Established	Any	Specificity	Spatial resolution

PCa, prostate cancer; PSMA, prostate-specific membrane antigen.

more recent evidence has shown that choline PET also has a prognostic value among the candidates for curative radiation treatment (24, 25).

## The Added Value of Combined PET–MRI

Magnetic resonance imaging is the most frequently used imaging modality to evaluate local PCa recurrence. T2-weighted imaging depicts recurrence with wide ranges of sensitivity and specificity with values of 48–100 and 50–100%, respectively, after RP and of 25–86 and 64–100%, respectively, after radiation therapy (26). Multiparametric imaging, such as spectroscopy, diffusion-weighted imaging, and dynamic contrast-enhanced MRI, have gained acceptance to complement T2-weighted MRI for primary and recurrent PCa detection (27–29). However, there is still an important need to further improve the accuracy of PCa imaging. The question arises whether associating metabolic PET data with MRI might potentially enhance PCa imaging. Preliminary reports using both modalities have provided contradictory results that could be explained in part by the difficulty to perform an accurate coregistration of the PET and MR images (30, 31). To solve this issue, hybrid PET–MRI systems have been designed to allow serial or simultaneous PET and MRI acquisitions during a single examination, with a common referential of the patient's position. Acquiring fluorocholine PET and MRI in one single examination session showed a relevant improvement of the accuracy of PCa lesions' detection (32–34) (Figure 1).

The adjunction of the PET acquisition leads to an important gain of the specificity of cancer detection when compared to MRI alone, without significant reduction of sensitivity for primary PCa staging. The sensitivity and specificity for the multiparametric MRI alone were 84.4 and 68.6%, respectively, and 81.2 and 87.1%, respectively, for the use of integrated PET–MRI (33). Another study showed that PCa was correctly detected in 80% of patients using <sup>18</sup>F-choline PET alone, in 83.3% of patients using multiparametric MRI, and in 93.3% using integrated PET–MRI (34). These data show the ability of the PET–MRI scanner to perform MRI examinations of high diagnostic quality without artifacts related to the presence of the PET gantry and demonstrate that the information obtained from MRI (T2 anatomical sequences, diffusion, and perfusion) and PET (SUV<sub>max</sub>) are complementary. Hitherto, no study has been published concerning the specific use of hybrid PET–MRI systems for recurrence detection or radiation therapy planning. However, there are ongoing studies scoping the development of dedicated positioning devices and dosimetric approaches (35, 36).

## BONE METASTASES ASSESSMENT

Current guidelines recommend bone imaging only in selected high-risk cases. However, this definition is not homogenous in the literature (37, 38). In clinical practice, bone imaging is frequently performed in patients presenting with biochemical recurrence. Several choices exist, including bone scintigraphy, <sup>18</sup>F-NaF PET–CT, or choline-labeled (<sup>18</sup>F or <sup>11</sup>C) PET–CT (39).

Bone scintigraphy remains a widely used imaging modality in the metastatic workup of PCa patients. It allows for whole-body

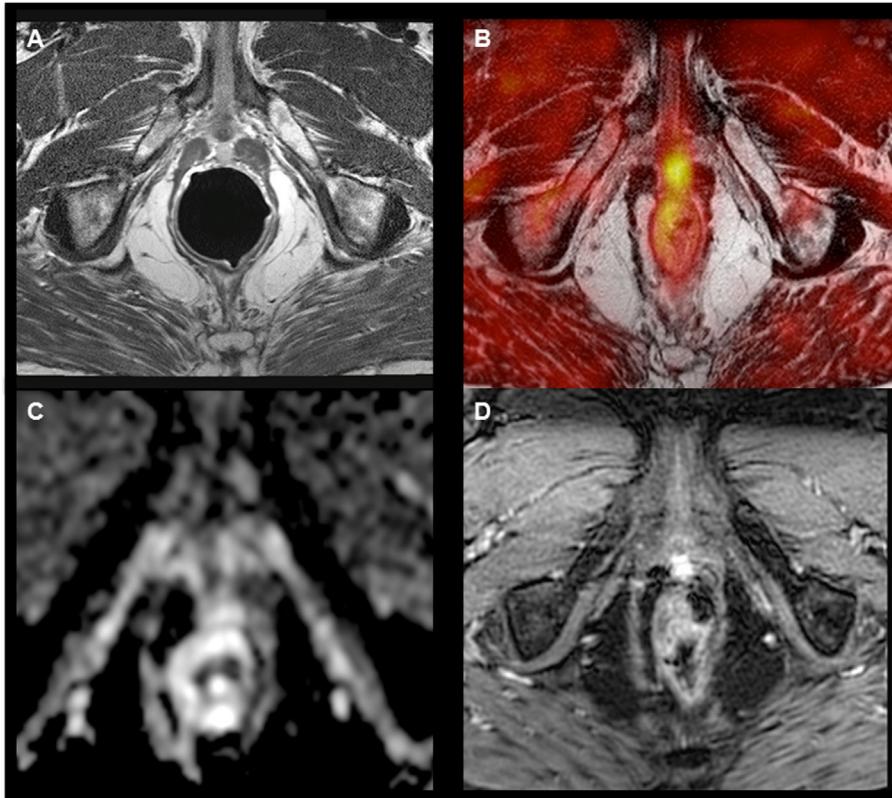
screening and is highly sensitive in the detection of metastases, but its specificity is limited due to benign conditions presenting also with altered tracer uptake (e.g., degenerative joint diseases, fractures, infections, or benign bone tumors) (40, 41). During the last decade, SPECT–CT has gained a wide acceptance for bone scanning. Many studies have shown that SPECT–CT reduces the rate of equivocal lesions compared to planar bone scan due to better anatomic localization of lesions and higher lesion-to-background contrast. By consequence, it increases diagnostic accuracy over SPECT alone or planar scintigraphy alone (42–46). Some authors use SPECT–CT only to clarify the origin of equivocal lesions based on planar scintigraphy, whereas others recommend to systematically acquire whole-body SPECT–CT from the cervical spine to the proximal femurs (43, 47). The proportion of indeterminate bone lesions can be reduced from a rate between 48 and 72% with planar whole-body scintigraphy and/or SPECT without CT, to a rate between 0 and 15% when adding SPECT with CT. Furthermore, SPECT–CT has been able to correctly convert a metastatic status into a non-metastatic status (downstaging) in 29.5% of the patients, with a sensitivity and specificity of 96.4 and 94.2%, respectively, on a per-patient analysis (47).

<sup>18</sup>F-NaF PET–CT is considered to have superior pharmacokinetic characteristics, such as high bone affinity, rapid clearance, and low protein binding, compared to <sup>99m</sup>Tc-diphosphonates. Its impact in PCa management has been recently evaluated by the National Oncologic PET Registry (NOPR) in the US, showing a 44% rate of change in management in recurrent PCa (48). The patient-based analysis showed that sensitivity and specificity of <sup>18</sup>F-fluoride PET–CT and bone scan were 96 versus 88% and 91 versus 80%, respectively (49). Although <sup>18</sup>F-NaF PET–CT has been reported to be more sensitive for detection of metastases than planar bone scan, the question arose to know whether <sup>18</sup>F-NaF PET–CT outperforms whole-body SPECT–CT. Indeed, the comparative studies available hitherto only compare <sup>18</sup>F-NaF PET–CT to standalone SPECT acquisitions, which are intrinsically limited by the lack of anatomical correlation (50).

Radiolabeled choline PET–CT is used in the assessment of PCa recurrence in the prostate bed or in lymph nodes but can also highlight bone metastases (9, 14, 51). It has been reported that <sup>18</sup>F-choline PET–CT was more specific than <sup>18</sup>F-NaF PET–CT (99 versus 93%) but that <sup>18</sup>F-choline PET–CT suffered from slightly lower sensitivity (74 versus 81%) (49, 52). There is still an uncertainty whether these choline-negative lesions could be a result of androgen-deprivation therapy, since many patients enrolled in trials are under androgen deprivation. Based on this finding, it is recommended to systematically carry out imaging reflecting bone remodeling (<sup>18</sup>F-NaF PET–CT or whole-body SPECT–CT) in addition to choline PET imaging for bone assessment, both for diagnostic and for treatment planning purposes, whenever bone involvement is suspected clinically.

## FUTURE TRACERS

While PET imaging currently validated for clinical practice is based on relatively unspecific tracers, such as FDG and choline,



**FIGURE 1 |**  $^{18}\text{F}$ -Fluorocholine hybrid PET-MRI images showing hyperintensity on the T2-weighted sequence (A) and focal hypermetabolism (B) in a nodule with limited diffusion restriction on ADC map (C) and hyperperfusion (D) in a patient with a biochemical relapse (PSA = 1.75 ng/mL, doubling time = 11 months) 9 years after radical prostatectomy.

ongoing research focuses on the development of new tracers targeting tumor-specific antigens. The most promising tracers for prostate imaging are summarized below. No validation about their use in SRT is yet available, even if this has been tested for prostate-specific membrane antigen (PSMA) and anti-1-amino-3- $^{18}\text{F}$ -fluorocyclobutane-1-carboxylic acid (FACBC) tracers (53, 54).

## Prostate-Specific Membrane Antigen Tracers

Prostate-specific membrane antigen is a transmembrane protein overexpressed in PCa and highly expressed in androgen-independent disease (55). Preclinical and *in vitro* studies suggest a good specificity of this target when compared to normal prostatic tissue or post-radiation therapy fibrotic changes (56). The high specificity of this target has also motivated the development of therapeutic or combined diagnostic/therapeutic (or “theragnostic”) agents, radiolabeled with  $^{111}\text{In}$  or  $^{177}\text{Lu}$  (57, 58). PSMA imaging is performed using  $^{111}\text{In}$  Capromab Pendetide (ProstaScint<sup>®</sup>), a monoclonal murine antibody. This tracer is FDA approved for staging high-risk PCa and for recurrent PCa post-prostatectomy. Prostascint imaging has, however, some disadvantages: a complex biodistribution, requiring imaging up to 6 days after administration, an intracellular epitope, not accessible

in living cells, non-specific signal in the presence of inflammation, and the intrinsic lower resolution of SPECT imaging as compared with PET (59).

A comprehensive description of all tracers developed in preclinical studies for this target goes beyond the scope of this paper. Therefore, we will only briefly summarize the results of the clinical studies performed so far in recurrent PCa. Four tracers have been used in human studies, three of them using  $^{18}\text{F}$  as radioisotope and one using  $^{68}\text{Ga}$ .

### $^{18}\text{F}$ -DCFBC

A dosimetry study in five metastatic patients showed the ability of the tracer to detect probable metastatic lesions in lymph nodes and the skeleton (60). The tracer has also been evaluated in primary PCa cancer characterization in 13 patients, showing a high specificity for tumor lesions over benign hypertrophy, even higher than MRI (61).

### $^{18}\text{F}$ -BAY1075553

Only a single phase I study has been published, including 12 patients (9 at staging and 3 with recurrent PCa), and comparing the diagnostic performance of this tracer to  $^{18}\text{F}$ -choline, showing a similar performance of the two tracers for the characterization of prostatic lesions. However,  $^{18}\text{F}$ -choline has

been shown to be superior for nodal and bone marrow lesions' detection (62).

### **<sup>18</sup>F-DCFPyL**

Only two studies used this tracer in patients, one of them performing whole-body dosimetry and the other providing a preliminary comparison with <sup>68</sup>Ga-HBED-CC in 14 patients with recurrent PCa (63, 64).

### **<sup>68</sup>Ga-HBED-CC**

This is the most extensively evaluated PSMA tracer so far, with already over 20 published studies. All of them showed high proportions of positive findings in recurrent disease, with detection rates ranging from 82.8 to 89.5%, in the two largest studies (65, 66). In patients with PSA values between 0.2 and 0.5 ng/mL, the detection rate was 57.9% (66). One study suggests superiority in comparison with <sup>18</sup>F-choline, with higher contrast and more lesions identified by the PSMA marker (67). Discordant results were found with respect to the impact of PSA doubling time on PET positivity (66, 68). Only one recent study has evaluated the impact of this tracer on radiation therapy planning, showing a change in strategy in about 50% of the cases, which is in line with the range of the management changes rate reported for choline (54, 69, 70).

### **Amino Acids**

Amino acid demand and transport are increased in malignant prostatic cells, reflecting protein synthesis. Some radiolabeled amino acids have been developed in order to explore this metabolic pathway. Anti-(<sup>18</sup>F)-FACBC (anti-1-amino-3-<sup>18</sup>F-FACBC or fluciclovine) appears to be a promising PET amino-acid radiotracer: it is a synthetic L-leucine analog, leucine being an essential nutrient for protein synthesis and cell growth, with high uptake in the majority of PCa lesions and metastasis. In a recent meta-analysis of six studies concerning the performances of <sup>18</sup>F-FACBC PET-CT in patients with a suspicion of PCa recurrence, the pooled sensitivity and specificity for this radiotracer were 87 and 66%, respectively (71). Comparative studies with choline tracers showed a higher sensitivity and specificity, with an approximately 20% higher detection rate when using <sup>18</sup>F-FACBC (72–75).

### **Gastrin-Releasing Peptide Receptors**

Gastrin-releasing peptide receptors (GRPR) are overexpressed in a majority of PCa cells. Therefore, they represent a potential target for diagnostic imaging procedures. Bombesin, which can be labeled with positron-emitting radionuclides, is one of those tracers. Different radiolabeled bombesin analogs have been tested in primary and metastatic PCa (76, 77) as well as in cases of biological recurrence after surgery or hormonal therapy (76). Kähkönen et al., using <sup>68</sup>Ga-labeled DOTA-4-amino-1-carboxymethyl-piperidine-d-Phe-Gln-Trp-Ala-Val-Gly-His-Sta-Leu-NH<sub>2</sub> peptide (BAY 86-7548), found satisfying results in detection of recurrence in prostatic bed and nodal relapse but poor ability to detect bone metastases (76). Sah et al. published a first-in-man study concerning BAY 864367, a slightly different

<sup>18</sup>F-labeled bombesin tracer (78). They found that the tracer uptake was higher in primary PCa than in recurrent lesions. Mitsakis et al. compared <sup>68</sup>Ga-NODAGA-MJ9 (MJ9) PET-CT with <sup>18</sup>F-flurocholine in 33 patients with recurrent PCa and concluded that MJ9 missed 75% of the 24 bone lesions identified on <sup>18</sup>F-choline PET. However, 18% of metastatic lymph nodes that were positive on <sup>18</sup>F-flurocholine were negative on MJ9, and inversely, 13% of lesions in lymph nodes were positive on MJ9 but negative on <sup>18</sup>F-flurocholine PET/CT, with a greater signal-to-background ratio on MJ9 images (79).

### **Fluoro-5-Dihydrotestosterone**

16 $\beta$ -(<sup>18</sup>F)-fluoro-5-dihydrotestosterone (FDHT) is a fluorinated testosterone analog that can detect the overexpression of androgen receptors in PCa lesions. The first study concerning the use of FDHT in patients with progressive metastatic PCa showed a high tumor-to-background ratio and a detection rate of 78% of the 59 lesions identified on conventional imaging methods in a group of seven patients (80). Tumor uptake of FDHT is receptor mediated (81), and thus, the results of the FDHT-PET may be able to predict which lesions will show a good response to androgen deprivation therapy and which ones will not, therefore, needing another type of treatment (82). Moreover, the intensity of FDHT uptake in bone metastases of castration-resistant PCa patients was a negative prognostic factor in terms of patient survival (83). No studies on the use of FDHT in recurrent PCa after RP have been published, yet.

## **CONCLUSION**

The combination of radiolabeled-choline PET and MRI appears to be the modality of choice in clinical routine for the assessment of recurrence of PCa, associating the identification of nodal and distant disease based on PET and the local assessment by multiparametric MRI. While the availability of integrated PET-MRI systems will presumably remain confined to academic centers, at least in the near future, the use of software allowing automated fusion of PET and MRI sequences acquired at different times is already widely used in SRT planning. A new generation of targeted tracers, such as PSMA and FACBC, has shown promising results, with a lesion-to-background contrast superior to choline imaging and a higher detection rate of lesions even for very low PSA levels. Results of ongoing validation studies are warranted. Bone remodeling tracers, including standard bone scans with SPECT-CT, remain of great interest in assessment of bone extension and should be systematically associated with metabolic imaging.

## **AUTHOR CONTRIBUTIONS**

TZ, VG, ORager, and GA are responsible for the study design and contributed equally to the manuscript. TZ, VG, ORager, GA, and CT-V drafted the manuscript. RM, GG, ORatib, TP, and ES revised the manuscript. All authors read and approved the final manuscript.

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