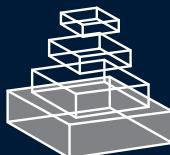


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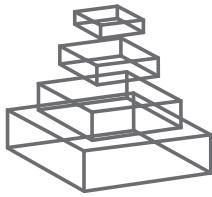
BRAIN REWARD AND STRESS SYSTEMS IN ADDICTION

Topic Editors

Nicholas W. Gilpin and Remi Martin-Fardon



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BRAIN REWARD AND STRESS SYSTEMS IN ADDICTION

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Addiction to drugs and alcohol is a dynamic and multi-faceted disease process in humans, with devastating health and financial consequences for the individual and society-at-large. In humans, drug and alcohol use disorders (i.e., abuse and dependence) are defined by clusters of behavioral symptoms that can be modeled to various degrees in animals. Hallmark behavioral symptoms associated with drug and alcohol dependence are compulsive drug use, loss of control during episodes of drug use, the emergence of a negative emotional state in the absence of the drug, and chronic relapse vulnerability during drug abstinence. The transition to drug dependence is defined by neuroadaptations in brain circuits that, in the absence of drugs, mediate a variety of critical behavioral and physiological processes including natural reward, positive and negative emotional states, nociception, and feeding. Chronic drug exposure during the transition to dependence spurs (1) within-systems changes in neural circuits that contribute to the acute rewarding effects of the drug and (2) recruitment of brain stress systems (neuroendocrine and extra-hypothalamic).

There are substantial genetic contributions to the propensity to use and abuse drugs, and drug abuse is highly co-morbid with various other psychiatric conditions (e.g., anxiety disorders, major depressive disorder) that may precede or follow the development of drug use problems. Across drugs of abuse, there are overlapping and dissociable aspects of the behavioral and neural changes that define the transition to dependence. Even within a single drug, people abuse drugs for a variety of reasons. The picture is further complicated by the fact that humans often abuse more than one drug concurrently.

Even in the face of these challenges, pre-clinical and clinical research is making exponential gains into understanding the neurobiology of drug addiction. With the advent of new technologies and their combination with traditional approaches, the field is able to ask and answer addiction-related research questions in increasingly sophisticated ways. Here, we hope to assemble a collection of articles that provide an up-to-the-moment snapshot of the prevailing empirical, theoretical and technical directions in the addiction research field. We encourage submissions from all investigators working to understand the neurobiology of addiction, especially as it pertains to reward and stress pathways in the brain.

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Brain reward and stress systems in addiction

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Addiction to drugs and alcohol is a dynamic and multi-faceted disease process in humans, with devastating health and financial consequences for the individual and society at large. The recently released fifth edition of the *Diagnostic and Statistical Manual of Mental Disorders* (DSM-V) combined the previously separate abuse and dependence classifications for licit and illicit drugs of abuse into a single syndrome called substance use disorder (SUD). This new definition includes diagnostic criteria that are largely overlapping with previous criteria (DSM-IV), and new diagnostic thresholds wherein physicians are charged with classifying the severity of an individual's SUD based on the number of criteria met. More specifically, mild SUD requires that two to three symptoms be met, moderate SUD requires that four to five symptoms be met, and severe SUD requires that six or more symptoms be met. One notable addition to diagnostic criteria is craving, which can be defined broadly as a strong desire or urge to use drug/alcohol. Different classes of abused drugs can have different biological consequences and different co-morbidity risks, but SUDs are defined and diagnosed according to a single set of behavioral symptoms that are common to abuse of all drugs. These behavioral symptoms include compulsive drug use, loss of control in limiting drug intake, the emergence of a negative emotional state in the absence of the drug, and increased vulnerability to relapse triggered by stress or cues previously associated with drug availability. Each of these symptoms can be modeled to various degrees in animals, and animal models are particularly useful for exploring the underlying neurobiology of SUD and for identifying promising new targets for treatments aimed at curbing excessive drug and alcohol use in humans.

The main purpose of this Research Topic is to consolidate review and empirical articles by leaders in the addiction field that collectively explore the contribution of brain reward and stress systems in addiction. The transition to severe SUD is defined by neuroadaptations in brain circuits that, in the absence of drugs, are responsible for mediating behavioral and physiological processes that include motivation, positive and negative emotional states, nociception, and feeding. Chronic drug exposure during this transition promotes (1) within-system changes in neural circuits that contribute to the acute rewarding effects of the drug and (2) recruitment of both hypothalamic (neuroendocrine) and extra-hypothalamic brain stress systems.

Various biological and behavioral processes contribute to the propensity of an individual to use and abuse drugs and alcohol.

For example, links are emerging between specific genetic profiles and diagnoses of SUDs. Furthermore, drug and alcohol abuse are highly co-morbid with other psychiatric conditions (e.g., anxiety disorders, major depressive disorder, schizophrenia, and personality disorders) that may precede or follow the development of drug use problems. Across different drugs of abuse, there are overlapping and dissociable aspects of the behavioral and neurobiological changes that define the transition to dependence. Even within a single drug of abuse, different people abuse drugs for various reasons; within a single individual, the reasons for drug abuse may change across the lifespan and the course of the disorder. The picture is further complicated by the fact that humans often abuse more than one drug concurrently.

This Research Topic begins with a review by Dr. George Koob, Ph.D., newly appointed Director of the National Institute on Alcohol Abuse and Alcoholism (NIAAA), that describes addiction as a disorder mediated by pathophysiological reductions in brain reward function and concurrent recruitment of brain stress circuits (1). Several of the articles that follow build on the idea that recruitment of brain stress systems [e.g., corticotropin-releasing factor (CRF) and glucocorticoids] is critical for promoting excessive drug and alcohol use. The remainder of this Research Topic is a collection of empirical and review articles that describe work aimed at unraveling the neurobiology of addiction to various drugs of abuse, and that ties this neurobiology with various current "hot topics" in the addiction research field (2–14).

The articles in this Research Topic address various points of current emphasis in the addiction research field. One such area is the idea of individual differences: it is gradually being accepted that addicts across and within drugs of abuse are not all the same, that individuals may arrive at the same phenotypic or diagnostic endpoint by different life paths and precipitating factors, that individuals exhibit different sets of co-morbidities (e.g., addiction and pain), and that therapeutic approaches and clinical trials may be more effective if tailored to subpopulations of addicts (i.e., pharmacogenetics). Also addressed in this set of articles is the notion that individual neurochemical systems may be critical for mediating not only abuse of more than one drug, but for mediating co-abuse of more than one drug in a single individual (e.g., the high rates of co-morbid smoking in individuals with alcohol use disorder). Another area of major social concern that is currently

receiving much attention in the addiction research field is the drive to understand the long-term effects of adolescent drug and alcohol exposure on brain and behavior. It is generally accepted that early initiation of drug and alcohol use increases the risk for development of SUD and other psychiatric conditions later in life, and this may be due to the fact that the adolescent brain, because it is still developing, is particularly vulnerable to the effects of these substances.

Pre-clinical research utilizes a variety of animal models and rapidly advancing technological approaches to explore the underlying neurobiology of drug addiction. Several articles in this Research Topic describe commonly used genetic models (e.g., selective breeding animals for high alcohol preference) and more recently developed exposure models (e.g., nicotine vapor as a model for e-cigarettes and second-hand smoke) of addiction. These models can be combined with new technologies (e.g., optogenetics and chemogenetics) to examine the neurobiology of addiction in increasingly sophisticated ways, for example, the approach of isolating single brain regions is quickly being replaced by circuitry approaches, and intra-cranial delivery of drug solutions with “dirty” receptor binding and diffusion profiles are being replaced by highly controllable optical stimulation and designer drug techniques. Collectively, the articles presented here provide a snapshot of the current theoretical and experimental landscape in the addiction research field.

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Addiction is a reward deficit and stress surfeit disorder

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Drug addiction can be defined by a three-stage cycle – *binge/intoxication*, *withdrawal/negative affect*, and *preoccupation/anticipation* – that involves allostatic changes in the brain reward and stress systems. Two primary sources of reinforcement, positive and negative reinforcement, have been hypothesized to play a role in this allostatic process. The negative emotional state that drives negative reinforcement is hypothesized to derive from dysregulation of key neurochemical elements involved in the brain reward and stress systems. Specific neurochemical elements in these structures include not only decreases in reward system function (within-system opponent processes) but also recruitment of the brain stress systems mediated by corticotropin-releasing factor (CRF) and dynorphin- κ opioid systems in the ventral striatum, extended amygdala, and frontal cortex (both between-system opponent processes). CRF antagonists block anxiety-like responses associated with withdrawal, block increases in reward thresholds produced by withdrawal from drugs of abuse, and block compulsive-like drug taking during extended access. Excessive drug taking also engages the activation of CRF in the medial prefrontal cortex, paralleled by deficits in executive function that may facilitate the transition to compulsive-like responding. Neuropeptide Y, a powerful anti-stress neurotransmitter, has a profile of action on compulsive-like responding for ethanol similar to a CRF₁ antagonist. Blockade of the κ opioid system can also block dysphoric-like effects associated with withdrawal from drugs of abuse and block the development of compulsive-like responding during extended access to drugs of abuse, suggesting another powerful brain stress system that contributes to compulsive drug seeking. The loss of reward function and recruitment of brain systems provide a powerful neurochemical basis that drives the compulsion of addiction.

Keywords: opponent process, extended amygdala, corticotropin-releasing factor, dynorphin, reward, compulsive, withdrawal, prefrontal cortex

WHAT IS ADDICTION?

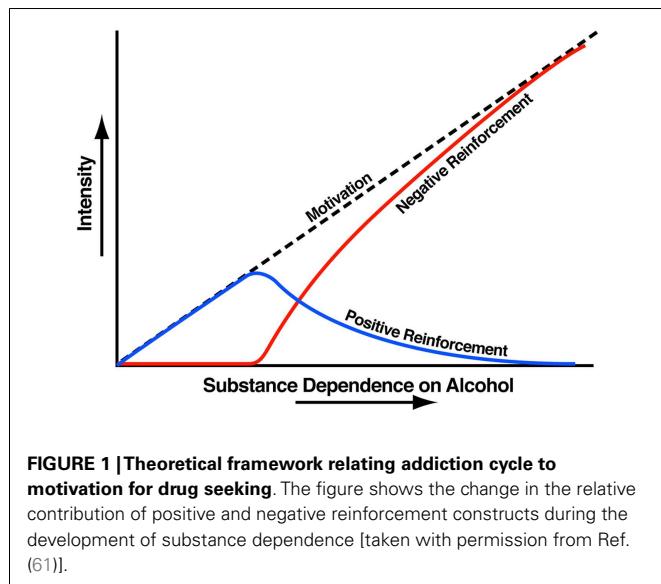
Addiction can be defined as a chronic, relapsing disorder that has been characterized by (i) a compulsion to seek and take drugs, (ii) loss of control over drug intake, and (iii) emergence of a negative emotional state (e.g., dysphoria, anxiety, and irritability) that defines a motivational withdrawal syndrome when access to the drug is prevented (1). The occasional, limited, recreational use of a drug is clinically distinct from escalated drug use, the loss of control over drug intake, and the emergence of compulsive drug-seeking behavior that characterize addiction.

Addiction has been conceptualized as a three-stage cycle – *binge/intoxication*, *withdrawal/negative affect*, and *preoccupation/anticipation* – that worsens over time and involves allostatic changes in the brain reward and stress systems. Two primary sources of reinforcement, positive and negative reinforcement, have been hypothesized to play a role in this allostatic process. Positive reinforcement is defined as the process by which presentation of a stimulus increases the probability of a response; negative reinforcement is defined as the process by which removal of an aversive stimulus (or negative emotional state of withdrawal in the case of addiction) increases the probability of a response. Reward is operationally defined similarly to positive reinforcement as any stimulus that increases the probability of a response but also has a positive

hedonic effect. Different theoretical perspectives from experimental psychology (positive and negative reinforcement frameworks), social psychology (self-regulation failure framework), and neurobiology (counteradaptation and sensitization frameworks) can be superimposed on the stages of the addiction cycle (1). These stages are thought to feed into each other, become more intense, and ultimately lead to the pathological state known as *addiction* (Figure 1). The neural substrates for the two sources of reinforcement that play a key role in the allostatic neuroadaptations derive from two key motivational systems required for survival: the brain reward and brain stress systems.

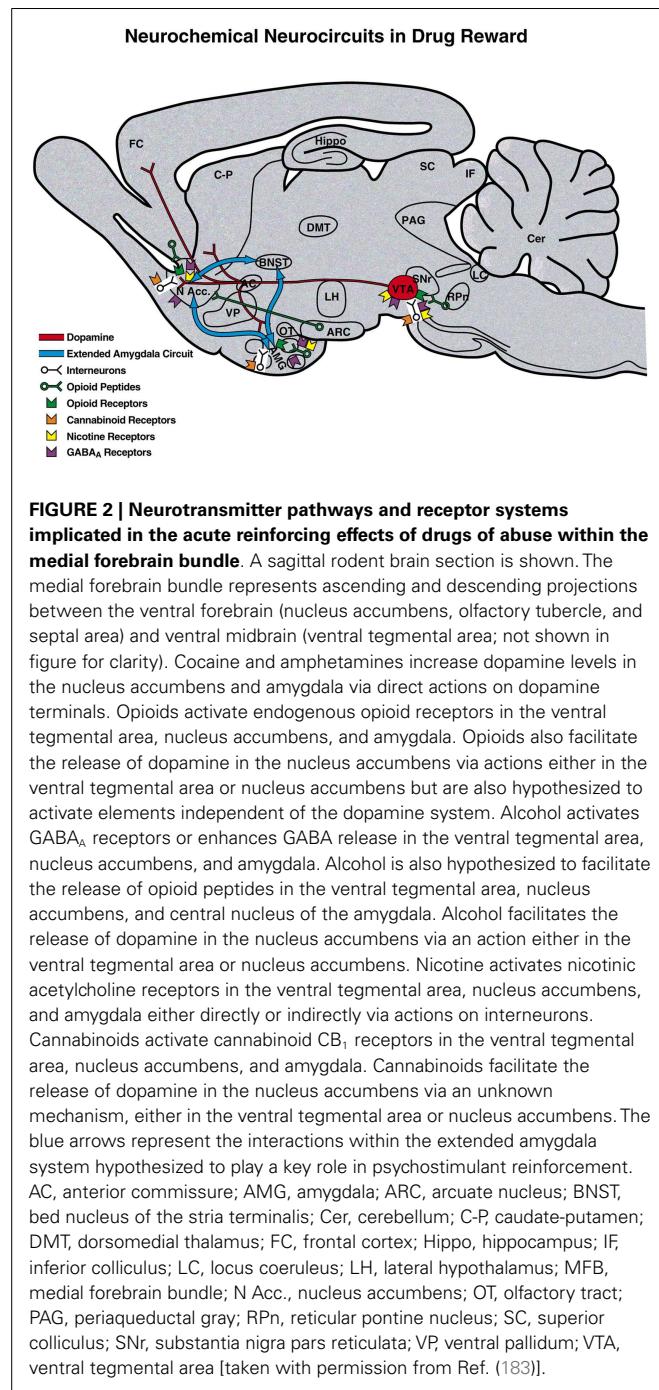
BRAIN REWARD SYSTEMS

Comprehension of a brain reward system was greatly facilitated by the discovery of electrical brain stimulation reward by Olds and Milner (2). Brain stimulation reward involves widespread neurocircuitry throughout the brain, but the most sensitive sites include the trajectory of the medial forebrain bundle that connects the ventral tegmental area with the basal forebrain [(2–4); Figure 2]. All drugs of abuse acutely decrease brain stimulation reward thresholds [i.e., increase or facilitate reward; (5)]. When drugs are administered chronically, withdrawal from drugs of abuse increases reward thresholds (decrease reward). Although



much emphasis was initially placed on the role of ascending monoamine systems, particularly the dopamine system, in the medial forebrain bundle in mediating brain stimulation reward, other non-dopaminergic systems in the medial forebrain bundle clearly play a key role (6–8). Indeed, the role of dopamine is hypothesized to be more indirect. Many studies suggest that activation of the mesolimbic dopamine system attaches incentive salience to stimuli in the environment (9–11) to drive the performance of goal-directed behavior (12) or activation in general (13, 14), and work concerning the acute reinforcing effects of drugs of abuse supports this hypothesis.

Our knowledge of the neurochemical substrates that mediate the acute reinforcing effects of drugs of abuse has contributed significantly to our knowledge of the brain reward system. These substrates include connections of the medial forebrain bundle reward system with primary contributions from the ventral tegmental area, nucleus accumbens, and amygdala. Much evidence supports the hypothesis that psychostimulant drugs dramatically activate the mesolimbic dopamine system (projections from the ventral tegmental area to the nucleus accumbens) during limited-access drug self-administration and that this mechanism is critical for mediating the rewarding effects of cocaine, amphetamines, and nicotine. However, evidence supports both dopamine-dependent and dopamine-independent neural substrates for opioid and alcohol reward (15–17). Serotonin systems, particularly those involving serotonin 5-HT_{1B} receptor activation in the nucleus accumbens, have also been implicated in the acute reinforcing effects of psychostimulant drugs, whereas μ -opioid receptors in both the nucleus accumbens and ventral tegmental area mediate the reinforcing effects of opioids. Opioid peptides in the ventral striatum and amygdala have been hypothesized to mediate the acute reinforcing effects of ethanol self-administration, largely based on the effects of opioid antagonists. Inhibitory γ -aminobutyric acid (GABA) systems are activated both pre- and postsynaptically in the amygdala by ethanol at intoxicating doses, and GABA receptor



antagonists block ethanol self-administration [for comprehensive reviews, see (16, 17)].

For the *binge/intoxication stage* of the addiction cycle, studies of the acute reinforcing effects of drugs of abuse *per se* have identified key neurobiological substrates. Evidence is strong for a role for dopamine in the acute reinforcing actions of psychostimulants, opioid peptide receptors in the acute reinforcing effects of opioids, and GABA and opioid peptides in the acute reinforcing actions of alcohol. Important anatomical circuits include the mesocorticolimbic dopamine system that originates in the ventral

tegmental area and local opioid peptide systems, both of which converge on the nucleus accumbens (17).

BRAIN STRESS SYSTEMS

The brain stress systems can be defined as neurochemical systems that are activated during exposure to acute stressors or in a chronic state of stress and mediate species-typical behavioral responses. These behavioral responses in animals range from freezing to flight and typically have face and predictive validity for similar behavior responses in humans. For example, animals exposed to a stressor will show an enhanced freezing response to a conditioned fear stimulus, an enhanced startle response to a startle stimulus, avoidance of open areas, open arms, or height, and enhanced species-typical responses to an aversive stimulus (e.g., burying a shock probe in the defensive burying test). Key neuronal/neurochemical systems with circumscribed neurocircuitry that mediate behavioral responses to stressors include glucocorticoids, corticotropin-releasing factor (CRF), norepinephrine, and dynorphin, and key neurochemical systems that act in opposition to the brain stress systems include neuropeptide Y (NPY), nociceptin, and endocannabinoids [for reviews, see (18–20)]. For the purposes of this review, two brain stress systems with prominent roles in driving the dark side of addiction will be considered: CRF and dynorphin.

CORTICOTROPIN-RELEASING FACTOR

Corticotropin-releasing factor is a 41-amino-acid polypeptide that controls hormonal, sympathetic, and behavioral responses to stressors (21, 22). Central administration of CRF mimics the behavioral response to activation and stress in rodents, and administration of competitive CRF receptor antagonists generally has anti-stress effects [for reviews, see (23–26)]. Two major CRF receptors have been identified, with CRF₁ receptor activation associated with increased stress responsiveness (27) and CRF₂ receptor activation associated with decreases in feeding and decreases in stress responsiveness (28, 29), although there is some controversy in this area (30). CRF neurons are present in the neocortex, the extended amygdala, the medial septum, the hypothalamus, the thalamus, the cerebellum, and autonomic midbrain and hindbrain nuclei (31). Extensive research has been performed on CRF neurons in the paraventricular nucleus of the hypothalamus (PVN), central nucleus of the amygdala (CeA), and bed nucleus of the stria terminalis (BNST), demonstrating a key role for PVN CRF neurons in controlling the pituitary adrenal response to stress (32) and a key role for BNST and CeA CRF in mediating the negative affective responses to stress and drug withdrawal (33).

The neuroanatomical entity termed the extended amygdala (34) may represent a common anatomical substrate that integrates brain arousal-stress systems with hedonic processing systems to produce the neuroadaptations associated with the development of addiction (see below). The extended amygdala is composed of the CeA, BNST, and a transition zone in the medial (shell) subregion of the nucleus accumbens. Each of these regions has cytoarchitectural and circuitry similarities (34). The extended amygdala receives numerous afferents from limbic structures, such as the basolateral amygdala and hippocampus, and sends efferents to the medial part of the ventral pallidum and a large projection to

the lateral hypothalamus, thus further defining the specific brain areas that interface classical limbic (emotional) structures with the extrapyramidal motor system (35). CRF in the extended amygdala has long been hypothesized to play a key role not only in fear conditioning (36, 37) but also in the emotional component of pain processing (38).

DYNORPHIN- κ OPIOID SYSTEM

Dynorphins are opioid peptides that derive from the prodynorphin precursor and contain the leucine (leu)-enkephalin sequence at the N-terminal portion of the molecule and are the presumed endogenous ligands for the κ opioid receptor (39). Dynorphins are widely distributed in the central nervous system (40) and play a role in neuroendocrine regulation, pain regulation, motor activity, cardiovascular function, respiration, temperature regulation, feeding behavior, and stress responsivity (41). Dynorphins bind to all three opioid receptors but show a preference for κ receptors (39). Dynorphin- κ receptor system activation produces some actions that are similar to other opioids (analgesia) but others opposite to those of μ opioid receptors in the motivational domain. Dynorphins produce aversive dysphoric-like effects in animals and humans and have been hypothesized to mediate negative emotional states (42–45).

Dopamine receptor activation in the nucleus accumbens shell stimulates a cascade of events that ultimately lead to cyclic adenosine monophosphate response element-binding protein (CREB) phosphorylation and subsequent alterations in gene expression, notably the activation of the expression of prodynorphin mRNA. Subsequent activation of dynorphin systems has been hypothesized to feed back to decrease dopamine release in the mesolimbic dopamine system (46–50) and glutamate release in the nucleus accumbens (51, 52). Both of these changes may contribute to the dysphoric syndrome associated with cocaine dependence. *In vivo* microdialysis studies have also provided evidence that κ opioid receptors located in the prefrontal cortex (PFC) and ventral tegmental area also regulate the basal activity of mesocortical dopamine neurons (53, 54). In the extended amygdala, enhanced dynorphin action may also activate brain stress responses, such as CRF (55), or CRF in turn may activate dynorphin (56, 57).

DYNAMIC CHANGES IN REWARD: OPPONENT PROCESS

Changes in reinforcement were inextricably linked with hedonic, affective, or emotional states in addiction in the context of temporal dynamics by Solomon's opponent-process theory of motivation. Solomon and Corbit (58) postulated that hedonic, affective, or emotional states, once initiated, are automatically modulated by the central nervous system through mechanisms that reduce the intensity of hedonic feelings. The *a-process* includes affective or hedonic habituation (or tolerance), and the *b-process* includes affective or hedonic withdrawal (abstinence). The *a-process* in drug use consists of positive hedonic responses, occurs shortly after the presentation of a stimulus, correlates closely with the intensity, quality, and duration of the reinforcer, and shows tolerance. In contrast, the *b-process* in drug use appears after the *a-process* has terminated, consists of negative hedonic responses, and is sluggish in onset, slow to build up to an asymptote, slow to decay, and gets larger with repeated exposure. The thesis we

have elaborated is that there is a neurocircuitry change in specific neurochemical systems that account for the *b*-process. Such opponent processes are hypothesized to begin early in drug taking, reflecting not only deficits in brain reward system function but also the recruitment of brain stress systems. Furthermore, we hypothesize that the recruitment of brain stress systems forms one of the major sources of negative reinforcement in addiction. Finally, we have hypothesized that such changes result not in a return to homeostasis of reward/stress function but in allostatics of reward/stress function that continues to drive the addiction process (**Figure 3**).

Allostasis, originally conceptualized to explain persistent morbidity of arousal and autonomic function, can be defined as “stability through change.” Allostasis involves a feed-forward mechanism rather than the negative feedback mechanisms of homeostasis, with continuous reevaluation of need and continuous readjustment of all parameters toward new set points. An *allostatic state* has been defined as a state of chronic deviation of the regulatory system from its normal (homeostatic) operating level (15). *Allostatic load* was defined as the “long-term cost of allostasis that accumulates over time and reflects the accumulation of damage that can lead to pathological states” (59).

Opponent process-like negative emotional states have been characterized in humans by acute and protracted abstinence

from all major drugs of abuse (60–62). Similar results have been observed in animal models with all major drugs of abuse using intracranial self-stimulation (ICSS) as a measure of hedonic tone. Withdrawal from chronic cocaine (63), amphetamine (64), opioids (65), cannabinoids (66), nicotine (67), and ethanol (68) leads to increases in reward threshold during acute abstinence, and some of these elevations in threshold can last for up to 1 week (69). These observations lend credence to the hypothesis that opponent processes in the hedonic domain have an identifiable neurobiological basis and provide an impetus for defining the mechanisms involved. Understanding the mechanisms that drive this increase in reward thresholds is key to understanding the mechanisms that drive negative reinforcement in addiction.

Such elevations in reward threshold begin rapidly and can be observed within a single session of self-administration (70), bearing a striking resemblance to human subjective reports of acute withdrawal. Dysphoria-like responses also accompany acute opioid and ethanol withdrawal (71, 72). Here, naloxone administration following single injections of morphine increased reward thresholds, measured by ICSS, and increased thresholds with repeated morphine and naloxone-induced withdrawal experience (71). Similar results were observed during repeated acute withdrawal from ethanol (72).

NEUROADAPTATIONS RESPONSIBLE FOR OPPONENT PROCESS

One hypothesis is that drug addiction progresses from a source of positive reinforcement that may indeed involve a form of sensitization of incentive salience, as argued by Robinson and Berridge (9), to sensitization of opponent processes that set up a powerful negative reinforcement process. A further elaboration of this hypothesis is that there are both within- and between-system neuroadaptations to excessive activation of the reward system at the neurocircuitry level. Within-system neuroadaptations are defined as the process by which the primary cellular response element to the drug (circuit A) itself adapts to neutralize the drug's effects. Persistence of the opposing effects after the drug disappears produces adaptation. A between-system neuroadaptation is a circuitry change, in which B circuits (i.e., the stress or anti-reward circuits) are activated by circuit A (i.e., the reward circuit). In the present treatise, within-system neuroadaptations can dynamically interact with a between-system neuroadaptation, in which circuit B (i.e., the anti-reward circuit) is activated either in parallel or in series to suppress the activity of circuit A (see below).

ANIMAL MODELS OF THE TRANSITION TO AN ADDICTION-LIKE STATE AS DEFINED BY ESCALATION IN DRUG SELF-ADMINISTRATION WITH PROLONGED ACCESS

A progressive increase in the frequency and intensity of drug use is one of the major behavioral phenomena that characterize the development of addiction and has face validity with the criteria of the *Diagnostic and Statistical Manual of Mental Disorders*, 4th edition (DSM-IV): “The substance is often taken in larger amounts and over a longer period than was intended” (American Psychological Association, 1994). A framework with which to model the transition from drug use to drug addiction can

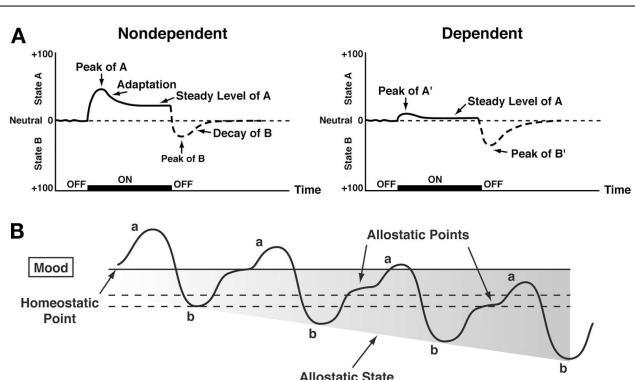


FIGURE 3 | (A) The standard pattern of affective dynamics produced by (left) a relatively novel unconditioned stimulus (i.e., in a non-dependent state) and (right) a familiar, frequently repeated unconditioned stimulus (i.e., in a dependent state) [taken with permission from Ref. (184)]. **(B)** The changes in the affective stimulus (state) in an individual with repeated frequent drug use that may represent a transition to an allostatic state in the brain reward systems and, by extrapolation, a transition to addiction. Note that the apparent *b*-process never returns to the original homeostatic level before drug taking is reinitiated, thus creating a greater and greater allostatic state in the brain reward system. In other words, the counteradaptive opponent-process (*b*-process) does not balance the activational process (*a*-process) but in fact shows a residual hysteresis. While these changes are exaggerated and condensed over time in the present conceptualization, the hypothesis here is that even during post-detoxification, a period of “protracted abstinence,” the reward system is still bearing allostatic changes. In the non-dependent state, reward experiences are normal, and the brain stress systems are not greatly engaged. During the transition to the state known as addiction, the brain reward system is in a major underactivated state while the brain stress system is highly activated [taken with permission from Ref. (15)].

be found in recent animal models of prolonged access to intravenous cocaine self-administration. Historically, animal models of cocaine self-administration involved the establishment of stable behavior from day to day to allow the reliable interpretation of data provided by within-subject designs aimed at exploring the neuropharmacological and neurobiological bases of the reinforcing effects of acute cocaine. Up until 1998, after the acquisition of self-administration, rats were typically allowed access to cocaine for 3 h or less per day to establish highly stable levels of intake and patterns of responding between daily sessions. This was a useful paradigm for exploring the neurobiological substrates for the acute reinforcing effects of drugs of abuse.

However, in an effort to explore the possibility that differential access to drugs of abuse may have more face validity for the compulsive-like responding observed in addiction, animals have been allowed extended access to all major drugs of abuse (**Figure 4**). Increased intake was observed in the extended-access group for intravenous cocaine, methamphetamine, heroin, and nicotine and oral alcohol during extended access and dependence (73–79). For example, when animals were allowed access for 1 and 6 h to different doses of cocaine, after escalation, both the long-access (LgA) and short-access (ShA) animals titrated their cocaine intake, but LgA rats consistently self-administered almost twice as much cocaine at any dose tested, further suggesting an upward shift in the set point for cocaine reward in the escalated animals (80–82).

Consistent with the hypothesis that extended access to drugs of abuse produces compulsive-like responding, in which animals will “continue to respond in the face of adverse consequences” (another DSM-IV criteria for Substance Dependence), animals with extended access that show escalation in self-administration also show increased responding on a progressive-ratio schedule of reinforcement [(83–85); **Figure 5**]. Changes in the reinforcing and incentive effects of drug intake that are consistent with the increases in progressive-ratio responding have been observed following extended access and include increased drug-induced reinstatement after extinction, a decreased latency to goal time in a runway model for drug reward, and responding in the face of punishment (86–92). Altogether, these results suggest that drug taking with extended-access changes the motivation to seek the drug. Some have argued that enhanced drug taking reflects a sensitization of reward (93), but studies of locomotor sensitization suggest that locomotor sensitization occurs independently of escalation (94–96). The increased brain reward thresholds and neuropharmacological studies outlined below argue for a reward deficit state that drives the increased drug taking during extended access.

ANIMALS ESCALATE THEIR INTAKE OF DRUGS WITH EXTENDED ACCESS, WITH A PARALLEL INCREASE IN REWARD THRESHOLDS

The hypothesis that compulsive cocaine use is accompanied by a chronic perturbation in brain reward homeostasis has been tested in animal models of escalation in drug intake with prolonged access combined with measures of brain stimulation reward thresholds. Animals implanted with intravenous catheters and allowed differential access to intravenous self-administration of cocaine showed increases in cocaine self-administration from day

to day in the LgA group (6 h; LgA) but not in the ShA group (1 h; ShA). The differential exposure to cocaine self-administration had dramatic effects on reward thresholds that progressively increased in LgA rats but not ShA or control rats across successive self-administration sessions (97). Elevations in baseline reward thresholds temporally preceded and were highly correlated with escalation in cocaine intake (**Figure 6**). Post-session elevations in reward thresholds failed to return to baseline levels before the onset of each subsequent self-administration session, thereby deviating more and more from control levels. The progressive elevation in reward thresholds was associated with a dramatic escalation in cocaine consumption that was observed previously (97). Similar results have been observed with extended access to methamphetamine (98) and heroin (99). Rats allowed 6 h access to methamphetamine or 23 h access to heroin also showed a time-dependent increase in reward thresholds that paralleled the increases in heroin intake (**Figure 6**). Similar results of parallel increases in brain reward thresholds with escalation of nicotine intake have been observed with extended access to nicotine (100).

BRAIN REWARD SYSTEM SUBSTRATES FOR THE NEGATIVE REINFORCEMENT ASSOCIATED WITH ADDICTION (WITHIN-SYSTEM NEUROADAPTATIONS)

The *withdrawal/negative affect* stage can be defined as the presence of motivational signs of withdrawal in humans, including chronic irritability, physical pain, emotional pain [i.e., hyperkatifeia; (101)], malaise, dysphoria, alexithymia, and loss of motivation for natural rewards. It is characterized in animals by increases in reward thresholds during withdrawal from all major drugs of abuse. More compelling, as noted above, in animal models of the transition to addiction, similar changes in brain reward thresholds occur that temporally precede and are highly correlated with escalation in drug intake (97–99). Such acute withdrawal is associated with decreased activity of the mesocorticolimbic dopamine system, reflected by electrophysiological recordings and *in vivo* microdialysis [(102–104); **Figure 7**].

Human imaging studies of individuals with addiction during withdrawal or protracted abstinence have generated results that are consistent with animal studies. There are decreases in dopamine D₂ receptors (hypothesized to reflect hypodopaminergic functioning), hyporesponsiveness to dopamine challenge (105), and hypoactivity of the orbitofrontal-infralimbic cortex system (105). These are hypothesized to be within-system neuroadaptations that may reflect presynaptic release or postsynaptic receptor plasticity.

In the context of chronic alcohol administration, multiple molecular mechanisms have been hypothesized to counteract the acute effects of ethanol that could be considered within-system neuroadaptations. For example, chronic ethanol decreases γ-aminobutyric acid (GABA) receptor function, possibly through downregulation of the α₁ subunit (106, 107). Chronic ethanol also decreases the acute inhibition of adenosine reuptake [i.e., tolerance develops to the inhibition of adenosine by ethanol; (108)]. Perhaps more relevant to the present treatise, whereas acute ethanol activates adenylate cyclase, withdrawal from chronic ethanol decreases CREB phosphorylation in the amygdala and is linked to decreases in the function of NPY and anxiety-like responses observed during acute ethanol withdrawal (109, 110).

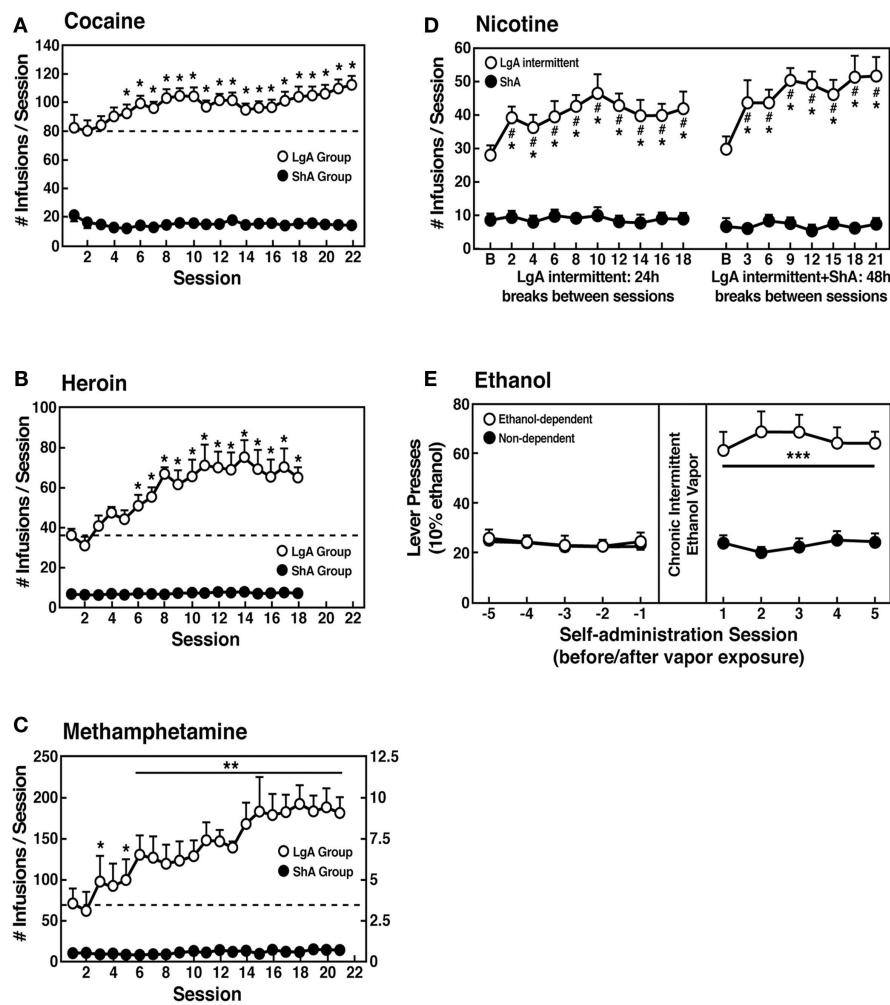


FIGURE 4 | (A) Effect of drug availability on cocaine intake (mean \pm SEM). In long-access (LgA) rats ($n=12$) but not short-access (ShA) rats ($n=12$), the mean total cocaine intake started to increase significantly from session 5 ($p < 0.05$; sessions 5–22 compared with session 1) and continued to increase thereafter ($p < 0.05$; session 5 compared with sessions 8–10, 12, 13, and 17–22) [taken with permission from Ref. (74)]. **(B)** Effect of drug availability on total intravenous heroin self-infusions (mean \pm SEM). During the escalation phase, rats had access to heroin (40 μ g per infusion) for 1 h (ShA rats, $n=5$ –6) or 11 h per session (LgA rats, $n=5$ –6). Regular 1 h (ShA rats) or 11 h (LgA rats) sessions of heroin self-administration were performed 6 days a week. The dotted line indicates the mean \pm SEM number of heroin self-infusions in LgA rats during the first 11 h session. * $p < 0.05$, different from the first session (paired t -test) [taken with permission from Ref. (73)]. **(C)** Effect of extended access to intravenous methamphetamine on self-administration as a function of daily sessions in rats trained to self-administer 0.05 mg/kg/infusion of intravenous methamphetamine during the 6 h session. ShA, 1 h session ($n=6$). LgA, 6 h session (0.05 mg/kg/infusion, $n=4$). ** $p < 0.01$, compared with day 1 [taken with permission from Ref. (75)]. **(D)** Nicotine intake

(mean \pm SEM) in rats that self-administered nicotine under a fixed-ratio (FR) 1 schedule in either 21 h (LgA) or 1 h (ShA) sessions. LgA rats increased their nicotine intake on an intermittent schedule with 24–48 h breaks between sessions, whereas LgA rats on a daily schedule did not. The left shows the total number of nicotine infusions per session when the intermittent schedule included 24 h breaks between sessions. The right shows the total number of nicotine infusions per session when the intermittent schedule included 48 h breaks between sessions. * $p < 0.05$, compared with baseline; * $p < 0.05$, compared with daily self-administration group. $n=10$ per group [taken with permission from Ref. (185)]. **(E)** Ethanol self-administration in ethanol-dependent and non-dependent animals. The induction of ethanol dependence and correlation of limited ethanol self-administration before and excessive drinking after dependence induction following chronic intermittent ethanol vapor exposure is shown. *** $p < 0.001$, significant group \times test session interaction. With all drugs, escalation is defined as a significant increase in drug intake within-subjects in extended-access groups, with no significant changes within-subjects in limited-access groups [taken with permission from Ref. (186)].

BRAIN STRESS SYSTEM SUBSTRATES FOR THE NEGATIVE REINFORCEMENT ASSOCIATED WITH ADDICTION (BETWEEN-SYSTEM NEUROADAPTATIONS)

Brain neurochemical systems involved in arousal-stress modulation have been hypothesized to be engaged within the

neurocircuitry of the brain stress systems in an attempt to overcome the chronic presence of the perturbing drug and restore normal function despite the presence of drug (18). Both the hypothalamic-pituitary-adrenal (HPA) axis and extrahypothalamic brain stress system mediated by CRF are dysregulated by

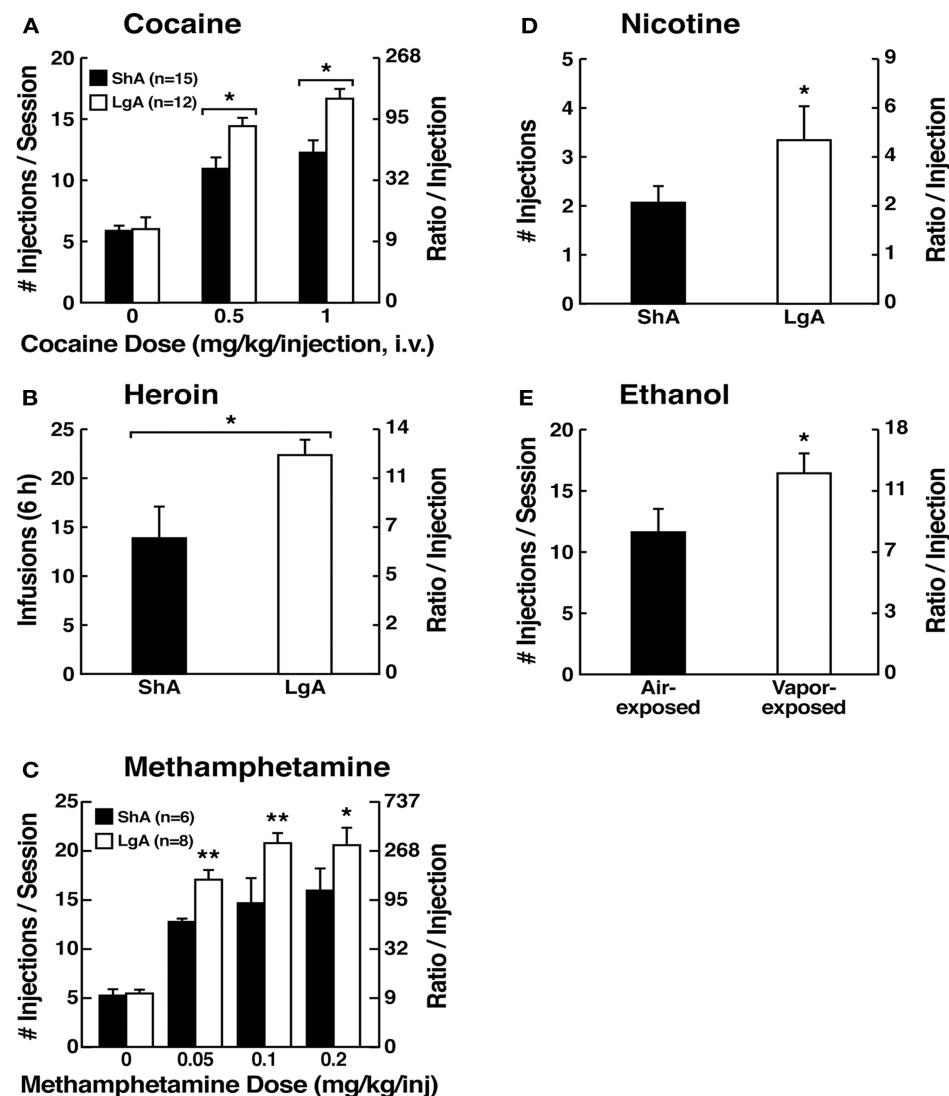


FIGURE 5 | (A) Dose-response function of cocaine by rats responding under a progressive-ratio schedule. Test sessions under a progressive-ratio schedule ended when rats did not achieve reinforcement within 1 h. The data are expressed as the number of injections per session on the left axis and ratio per injection on the right axis. * $p < 0.05$, compared with ShA rats at each dose of cocaine [taken with permission from Ref. (84)]. **(B)** Responding for heroin under a progressive-ratio schedule of reinforcement in ShA and LgA rats. * $p < 0.05$, LgA significantly different from LgA [Modified with permission from Ref. (187)]. **(C)** Dose-response for methamphetamine under a progressive-ratio schedule. Test sessions under a progressive-ratio schedule

ended when rats did not achieve reinforcement within 1 h. ** $p < 0.05$, *** $p < 0.01$, LgA significantly different from ShA [Modified from Ref. (188)]. **(D)** Breakpoints on a progressive-ratio schedule in long-access (LgA) rats that self-administered nicotine with 48 h abstinence between sessions. LgA rats on an intermittent schedule reached significantly higher breakpoints than LgA rats that self-administered nicotine daily. The data are expressed as mean \pm SEM. * $p < 0.05$. $n = 9$ rats per group [taken with permission from Ref. (185)]. **(E)** Mean (\pm SEM) breakpoints for ethanol while in non-dependent and ethanol-dependent states. ** $p < 0.01$, main effect of vapor exposure on ethanol self-administration [taken with permission from Ref. (85)].

chronic administration of all major drugs with dependence or abuse potential, with a common response of elevated adrenocorticotrophic hormone, corticosterone, and amygdala CRF during acute withdrawal (24, 69, 111–116). Indeed, activation of the HPA response may be an early dysregulation associated with excessive drug taking that ultimately “sensitizes” the extrahypothalamic CRF systems (33, 92).

As noted above, the excessive release of dopamine and opioid peptides produces subsequent activation of dynorphin systems,

which has been hypothesized to feed back to decrease dopamine release and also contribute to the dysphoric syndrome associated with cocaine dependence (48). Dynorphins produce aversive dysphoric-like effects in animals and humans and have been hypothesized to mediate negative emotional states (42–45).

A common response to acute withdrawal and protracted abstinence from all major drugs of abuse is the manifestation of anxiety-like responses that are reversed by CRF antagonists. Withdrawal from repeated administration of cocaine produces an

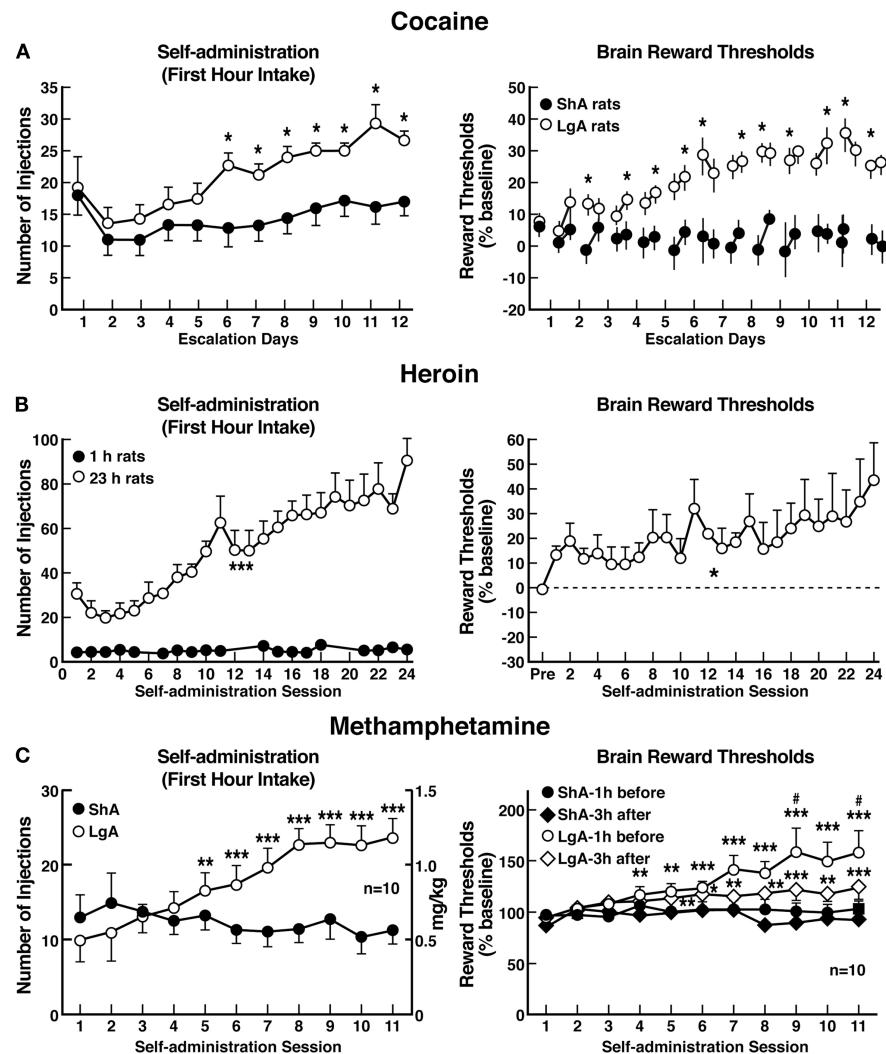


FIGURE 6 | (A) Relationship between elevation in ICSS reward thresholds and cocaine intake escalation (*Left*). Percent change from baseline response latencies (3 h and 17–22 h after each self-administration session; first data point indicates 1 h before the first session) (*Right*). Percent change from baseline ICSS thresholds. * $p < 0.05$, compared with drug-naïve and/or ShA rats (tests for simple main effects) [taken with permission from Ref. (97)]. **(B)** Unlimited daily access to heroin escalated heroin intake and decreased the excitability of brain reward systems (*Left*). Heroin intake (\pm SEM; 20 μ g per infusion) in rats during limited (1 h) or unlimited (23 h) self-administration sessions. *** $p < 0.001$, main effect of access (1 or 23 h) (*Right*). Percent change from baseline ICSS thresholds (\pm SEM) in 23 h rats. Reward thresholds, assessed immediately after each

daily 23 h self-administration session, became progressively more elevated as exposure to self-administered heroin increased across sessions. * $p < 0.05$, main effect of heroin on reward thresholds [taken with permission from Ref. (99)]. **(C)** Escalation in methamphetamine self-administration and ICSS in rats. Rats were daily allowed to receive ICSS in the lateral hypothalamus 1 h before and 3 h after intravenous methamphetamine self-administration with either 1 or 6 h access (*Left*). Methamphetamine self-administration during the first hour of each session (*Right*). ICSS measured 1 h before and 3 h after methamphetamine self-administration. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, compared with session 1. # $p < 0.05$, compared with LgA 3 h after [taken with permission from Ref. (98)].

anxiogenic-like response in the elevated plus maze and defensive burying test, both of which are reversed by administration of CRF receptor antagonists (117, 118). Opioid dependence also produces irritability-like effects that are reversed by CRF receptor antagonists (119, 120). Ethanol withdrawal produces anxiety-like behavior that is reversed by intracerebroventricular administration of CRF₁/CRF₂ peptidergic antagonists (121) and small-molecule CRF₁ antagonists (122–124) and intracerebral administration of a peptidergic CRF₁/CRF₂ antagonist into the amygdala (125). Thus,

some effects of CRF antagonists have been localized to the CeA (125). Precipitated withdrawal from nicotine produces anxiety-like responses that are also reversed by CRF antagonists (77, 126). CRF antagonists injected intracerebroventricularly or systemically also block the potentiated anxiety-like responses to stressors observed during protracted abstinence from chronic ethanol (127–131).

Another measure of negative emotional states during drug withdrawal in animals is conditioned place aversion, in which

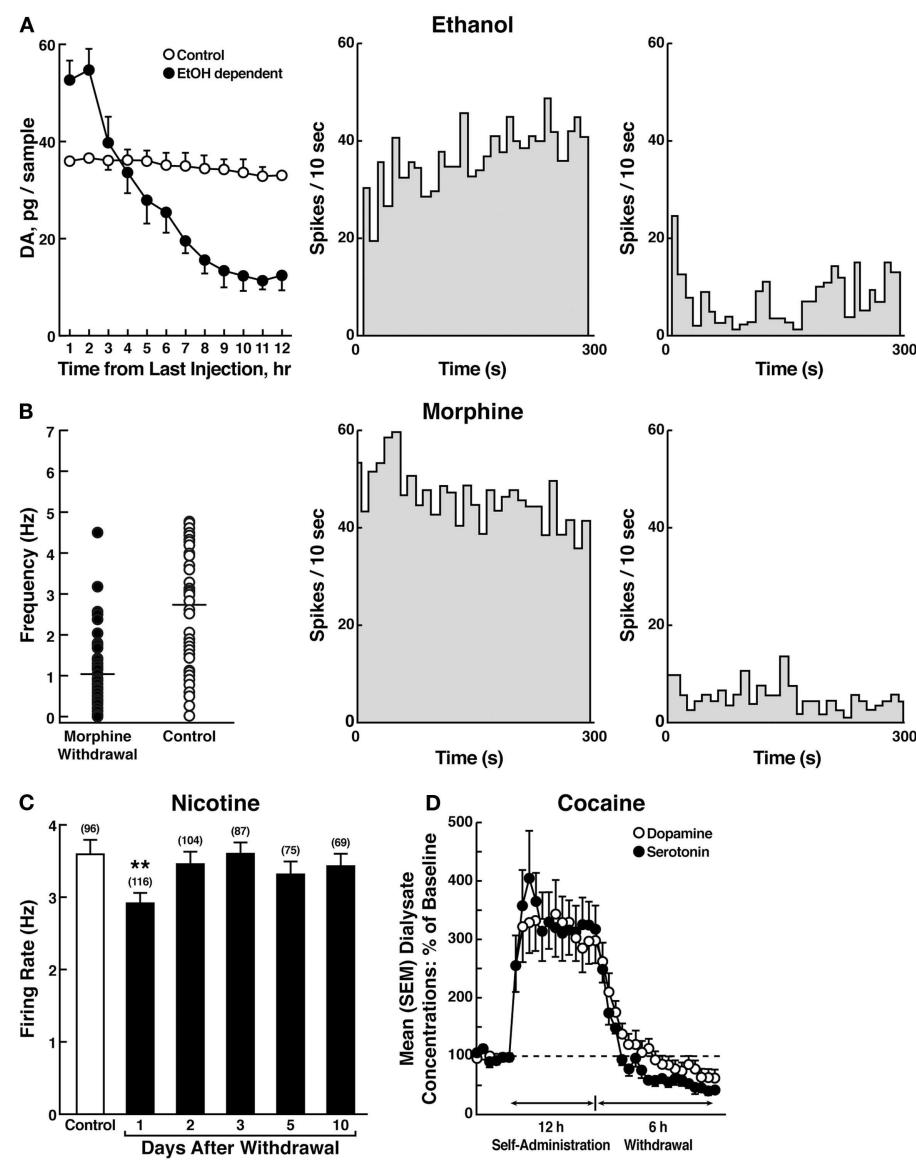


FIGURE 7 | (A) The left panel shows the effect of ethanol withdrawal on absolute extracellular dopamine concentrations in the nucleus accumbens in ethanol-withdrawn rats. The middle and right panels show the spontaneous activity of antidromically identified ventral tegmental area-nucleus accumbens dopamine neurons in control (middle) and ethanol-withdrawn (right) rats [taken with permission from Ref. (102)]. **(B)** The left panel shows individual firing rates of antidromically identified ventral tegmental area-nucleus accumbens dopamine neurons recorded from morphine-withdrawn and control rats. Each circle represents the mean firing of at least a 5-min recording. Horizontal lines indicate the mean activity. The middle and right panels show the spontaneous activity of a selected number (4) or antidromically identified ventral tegmental area-nucleus accumbens dopamine neurons in control (middle) and

morphine-withdrawn (right) rats. Each panel represents the neuronal activity of a single cell. Recordings in both cases were obtained 24 h after the last morphine and saline administration, respectively [taken with permission from Ref. (103)]. **(C)** Firing rates of dopamine cells in the ventral tegmental area following 1–10 days of withdrawal from chronic nicotine treatment (6 mg/kg/day for 12 days). The data are expressed as mean \pm SEM. The number of dopamine cells recorded is given in parentheses. * p < 0.01, compared with control group [taken with permission from Ref. (189)]. **(D)** Profile of dialysate serotonin and dopamine concentrations during a 12-h extended-access cocaine self-administration session. The mean \pm SEM presession baseline dialysate concentrations of serotonin and dopamine were 0.98 ± 0.1 nM and 5.3 ± 0.5 nM, respectively ($n = 7$) [taken with permission from Ref. (104)].

animals avoid an environment previously paired with an aversive state. Such place aversions, when used to measure the aversive stimulus effects of withdrawal, have been observed largely in the context of opioids (132, 133). Systemic administration of a CRF₁ receptor antagonist and direct intracerebral administration of a

peptide CRF₁/CRF₂ antagonist also decreased opioid withdrawal-induced place aversions (134–136). These effects have been hypothesized to be mediated by actions in the extended amygdala. The selective CRF₁ antagonist antalarmin blocked the place aversion produced by naloxone in morphine-dependent rats (134),

and a CRF peptide antagonist injected into the CeA also reversed the place aversion produced by methylnaloxonium injected into the CeA (135). CRF₁ knockout mice failed to show conditioned place aversion to opioid withdrawal and failed to show an opioid-induced increase in dynorphin mRNA in the nucleus accumbens (136).

A compelling test of the hypothesis that CRF-induced increases in anxiety-like responses during drug withdrawal has motivational significance in contributing to negative emotional states is the observation that CRF antagonists can reverse the elevation in reward thresholds produced by drug withdrawal. Nicotine and alcohol withdrawal-induced elevations in reward thresholds were reversed by a CRF antagonist (137, 138). These effects have been localized to both the CeA and nucleus accumbens shell (139).

Enhanced dynorphin action is hypothesized to mediate the depression-like, aversive responses to stress, and dysphoric-like responses during withdrawal from drugs of abuse (49, 56, 57, 140–145). For example, pretreatment with a κ-opioid receptor antagonist blocked stress-induced analgesia and stress-induced immobility (57), decreased anxiety-like behavior in the elevated plus maze and open field, decreased conditioned fear in fear-potentiated startle (145), and blocked depressive-like behavior induced by cocaine withdrawal (140).

BRAIN STRESS SUBSTRATES THAT MEDIATE DRUG TAKING WITH EXTENDED ACCESS

CORTICOTROPIN-RELEASING FACTOR, COMPULSIVE-LIKE DRUG SEEKING, AND THE EXTENDED AMYGDALA

The ability of CRF antagonists to block the anxiogenic-like and aversive-like motivational effects of drug withdrawal predicted motivational effects of CRF antagonists in animal models of extended access to drugs. CRF antagonists selectively blocked the increased self-administration of drugs associated with extended access to intravenous self-administration of cocaine (146), nicotine (77), and heroin [(147); **Figure 8**]. For example, systemic administration of a CRF₁ antagonist blocked the increased self-administration of nicotine associated with withdrawal in extended-access (23 h) animals (77).

Corticotropin-releasing factor antagonists also blocked the increased self-administration of ethanol in dependent rats [(124); **Figure 8**]. For example, exposure to repeated cycles of chronic ethanol vapor produced substantial increases in ethanol intake in rats during both acute withdrawal and protracted abstinence [2 weeks post-acute withdrawal; (76, 148)]. Intracerebroventricular administration of a CRF₁/CRF₂ antagonist blocked the dependence-induced increase in ethanol self-administration during both acute withdrawal and protracted abstinence (149). Systemic injections of small-molecule CRF₁ antagonists also blocked the increased ethanol intake associated with acute withdrawal (124) and protracted abstinence (150). When administered directly into the CeA, a CRF₁/CRF₂ antagonist blocked ethanol self-administration in ethanol-dependent rats (151). These effects appear to be mediated by the actions of CRF on GABAergic interneurons within the CeA, and a CRF antagonist administered chronically during the development of dependence blocked the development of compulsive-like responding for ethanol (116).

Altogether, these results suggest that CRF in the basal forebrain may also play an important role in the development of the aversive motivational effects that drive the increased drug-seeking associated with cocaine, heroin, nicotine, and alcohol dependence.

DYNORPHIN, COMPULSIVE-LIKE DRUG SEEKING, AND THE EXTENDED AMYGDALA

Recent evidence suggests that the dynorphin-κ opioid system also mediates compulsive-like drug responding (methamphetamine, heroin, and alcohol) with extended access and dependence. Evidence from our laboratory has shown a small-molecule κ antagonist selectively blocked responding on a progressive-ratio schedule for cocaine in rats with extended access (152). Even more compelling is that excessive drug self-administration can also be blocked by κ antagonists (152–155) and may be mediated by the shell of the nucleus accumbens (156). However, the neurobiological circuits involved in mediating the effects of activation of the dynorphin-κ opioid system on the escalation of methamphetamine intake with extended access, remain unknown.

NPY, COMPULSIVE DRUG SEEKING, AND THE EXTENDED AMYGDALA

Neuropeptide Y is a neuropeptide with dramatic anxiolytic-like properties localized to multiple brain regions but heavily innervating the amygdala. It is hypothesized to have effects opposite to CRF in the negative motivational state of withdrawal from drugs of abuse and as such increases in NPY function may act in opposition to the actions of increases in CRF (157). Significant evidence suggests that activation of NPY in the CeA can block the motivational aspects of dependence associated with chronic ethanol administration. NPY administered intracerebroventricularly blocked the increased drug intake associated with ethanol dependence (158, 159). NPY also decreased excessive alcohol intake in alcohol-preferring rats (160). Injection of NPY directly into the CeA (161) and viral vector-enhanced expression of NPY in the CeA also blocked the increased drug intake associated with ethanol dependence (162). At the cellular level, NPY, like CRF₁ antagonists, blocks the increase in GABA release in the CeA produced by ethanol and also when administered chronically blocks the transition to excessive drinking with the development of dependence (163). The role of NPY in the actions of other drugs of abuse is limited, particularly with regard to dependence and compulsive drug seeking. NPY₅ receptor knockout mice have a blunted response to the rewarding effects of cocaine (164, 165), and NPY knockout mice show hypersensitivity to cocaine self-administration (166). NPY itself injected intracerebroventricularly facilitated heroin and cocaine self-administration and induced reinstatement of heroin seeking in limited-access rats (167, 168). An NPY Y₂ antagonist, possibly acting presynaptically to release NPY, blocked social anxiety associated with nicotine withdrawal (169), and NPY injected intracerebroventricularly blocked the somatic signs but not reward deficits associated with nicotine withdrawal (170). However, the role of NPY in compulsive drug seeking with extended-access remains to be studied. The hypothesis here would be that NPY is a buffer or homeostatic response to between-system neuroadaptations that can return the brain emotional systems to homeostasis (157, 171).

CRF₁ Antagonism in Dependent Rats

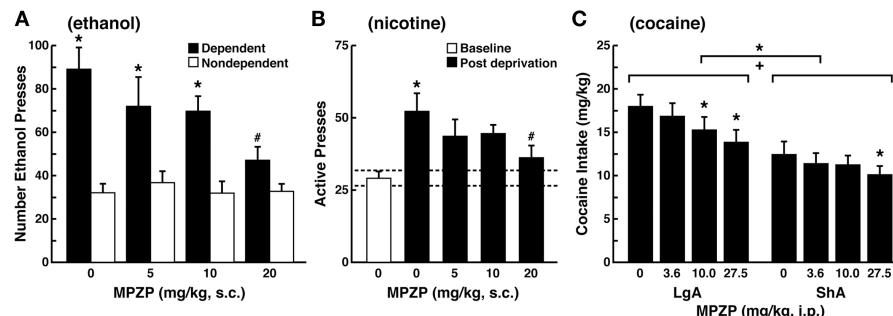


FIGURE 8 | Effects of CRF₁ antagonist on compulsive-like responding for drugs of abuse in rats with extended access to drug (A). The effect of the CRF₁ receptor antagonist MPZP on operant self-administration of alcohol in dependent and non-dependent rats. Testing was conducted when dependent animals were in acute withdrawal (6–8 h after removal from vapors). Dependent rats self-administered significantly more than non-dependent animals, and MPZP dose-dependently reduced alcohol self-administration only in dependent animals. The data are expressed as mean + SEM lever presses for alcohol [taken with permission from Ref. (190)].

(B) Abstinence-induced escalation of nicotine intake is blocked by a CRF₁ receptor antagonist. Effect of MPZP (s.c., –1 h) on nicotine self-administration during the active period in rats given extended access to nicotine. **p* < 0.05, compared with baseline; #*p* < 0.05, compared with after-abstinence vehicle treatment; *n* = 8. The data are expressed as mean + SEM lever presses for nicotine [taken with permission from Ref. 77]. **(C)** MPZP reduces cocaine intake in ShA and LgA rats. The data are expressed as mean + SEM cocaine intake (mg/kg). **p* < 0.05, ***p* < 0.01, compared with vehicle [taken with permission from Ref. (146)].

Corticotropin-releasing factor, stress, and the frontal cortex

Converging lines of evidence suggest that impairment of medial PFC (mPFC) cognitive function and overactivation of the CeA may be linked to the development of compulsive-like responding for drugs of abuse during extended access (172–174). Extended access to cocaine self-administration induced an escalated pattern of cocaine intake associated with an impairment of working memory and decrease in the density of dorsomedial PFC (dmPFC) neurons that lasted for months after cocaine cessation (172). Whereas LgA and ShA rats exhibited a high percentage of correct responses in the delayed non-matching-to-sample task under low cognitive demand (delay < 10 s), increasing the working memory load (i.e., close to the capacity limit of working memory) by increasing the delay from 10 to 70 and 130 s revealed a robust working memory deficit in LgA rats. Furthermore, the magnitude of escalation of cocaine intake was negatively correlated with working memory performance in ShA and LgA rats with the 70- and 130-s delays but not with the 10-s delay or with baseline performance during training, demonstrating that the relationship between the escalation of cocaine intake and behavioral performance in this task was restricted to working memory performance under high cognitive demand. The density of neurons and oligodendrocytes in the dmPFC was positively correlated with working memory performance. A lower density of neurons or oligodendrocytes in the dmPFC was associated with more severe working memory impairment. Working memory was also correlated with the density of oligodendrocytes in the orbitofrontal cortex (OFC), suggesting that OFC alterations after escalated drug intake may play a role in working memory deficits. However, no correlation was found between working memory performance and neuronal density in the OFC, suggesting that OFC neurons may be less vulnerable to the deleterious effects of chronic cocaine exposure than dmPFC neurons. Thus, PFC dysfunction may exacerbate the loss of control

associated with compulsive drug use and facilitate the progression to drug addiction.

Similar results have been observed in an animal model of binge alcohol consumption, even before the development of dependence. Using an animal model of escalation of alcohol intake with chronic intermittent access to alcohol, in which rats are given continuous (24 h per day, 7 days per week) or intermittent (3 days per week) access to alcohol (20% v/v) using a two-bottle choice paradigm, FBJ murine osteosarcoma viral oncogene homolog (Fos) expression in the mPFC, CeA, hippocampus, and nucleus accumbens were measured and correlated with working memory and anxiety-like behavior (175). Abstinence from alcohol in rats with a history of escalation of alcohol intake specifically recruited GABA and CRF neurons in the mPFC and produced working memory impairments associated with excessive alcohol drinking during acute (24–72 h) but not protracted (16–68 days) abstinence. The abstinence from alcohol was associated with a functional disconnection of the mPFC and CeA but not mPFC or nucleus accumbens. These results show that recruitment of a subset of GABA and CRF neurons in the mPFC during withdrawal and disconnection of the PFC CeA pathway may be critical for impaired executive control over motivated behavior, suggesting that dysregulation of mPFC interneurons may be an early index of neuroadaptation in alcohol dependence.

BRAIN STRESS SYSTEMS IN ADDICTION: AN ALLOSTATIC VIEW

More importantly for the present thesis, as dependence and withdrawal develop, brain anti-reward systems, such as CRF and dynorphin, are recruited in the extended amygdala. We hypothesize that this brain stress neurotransmitter that is known to be activated during the development of excessive drug taking

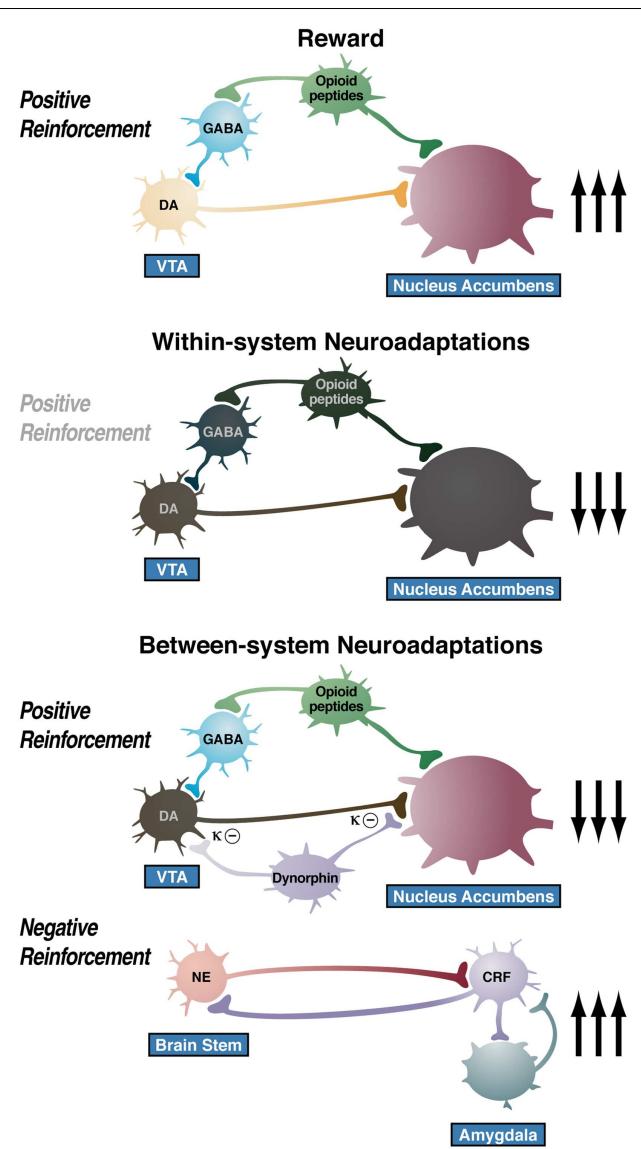


FIGURE 9 | Diagram of the hypothetical “within-system” and “between-system” changes that lead to the “darkness within.” (Top) Circuitry for drug reward with major contributions from mesolimbic dopamine and opioid peptides that converge on the nucleus accumbens. During the *binge/intoxication* stage of the addiction cycle, the reward circuitry is excessively engaged. Middle. Such excessive activation of the reward system triggers “within-system” neurobiological adaptations during the *withdrawal/negative affect* stage, including activation of cyclic adenosine monophosphate (cAMP) and cAMP response element-binding protein (CREB), downregulation of dopamine D₂ receptors, and decreased firing of ventral tegmental area (VTA) dopaminergic neurons. Bottom. As dependence progresses and the *withdrawal/negative affect* stage is repeated, two major “between-system” neuroadaptations occur. One is activation of dynorphin feedback that further decreases dopaminergic activity. The other is recruitment of extrahypothalamic norepinephrine (NE)-corticotropin-releasing factor (CRF) systems in the extended amygdala. Facilitation of the brain stress system in the prefrontal cortex is hypothesized to exacerbate the between-system neuroadaptations while contributing to the persistence of the dark side into the *preoccupation/anticipation* stage of the addiction cycle [taken with permission from Ref. (191)].

comprises a between-system opponent process, and this activation is manifest when the drug is removed, producing anxiety, hyperkinesia, and irritability symptoms associated with acute and protracted abstinence. Notably, however, there is evidence of CRF immunoreactivity in the ventral tegmental area, and a CRF₁ receptor antagonist injected directly into the ventral tegmental area blocked the social stress-induced escalation of cocaine self-administration (176). Altogether, these observations suggest between-system/within-system neuroadaptations that were originally hypothesized for dynorphin by Carlezon and Nestler (177), in which activation of CREB by excessive dopamine and opioid peptide receptor activation in the nucleus accumbens triggers the induction of dynorphin to feed back to suppress dopamine release. Thus, we hypothesize that anti-reward circuits are recruited as between-system neuroadaptations (178) during the development of addiction and produce aversive or stress-like states (179–181) via two mechanisms: direct activation of stress-like, fear-like states in the extended amygdala (CRF) and indirect activation of a depression-like state by suppressing dopamine (dynorphin).

A critical problem in drug addiction is chronic relapse, in which addicted individuals return to compulsive drug taking long after acute withdrawal. This corresponds to the *preoccupation/anticipation* stage of the addiction cycle outlined above. Koob and Le Moal also hypothesized that the dysregulations that comprise the “dark side” of drug addiction persist during protracted abstinence to set the tone for vulnerability to “craving” by activating drug-, cue-, and stress-induced reinstatement neurocircuits that are now driven by a reorganized and possibly hypofunctioning prefrontal system. The hypothesized allostatic, dysregulated reward, and sensitized stress state produces the motivational symptoms of acute withdrawal and protracted abstinence and provides the basis by which drug priming, drug cues, and acute stressors acquire even more power to elicit drug-seeking behavior (92). Thus, the combination of decreases in reward system function and recruitment of anti-reward systems provides a powerful source of negative reinforcement that contributes to compulsive drug-seeking behavior and addiction. A compelling argument can be made that the neuroplasticity that charges the CRF stress system may indeed begin much earlier than previously thought via stress actions in the PFC.

The overall conceptual theme argued here is that drug addiction represents an excessive and prolonged engagement of homeostatic brain regulatory mechanisms that regulate the response of the body to rewards and stressors. The dysregulation of the incentive salience systems may begin with the first administration of drug (182), and the dysregulation of the stress axis may begin with the binge and subsequent acute withdrawal, triggering a cascade of changes, from activation of the HPA axis to activation of CRF in the PFC to activation of CRF in the extended amygdala to activation of dynorphin in the ventral striatum (**Figure 9**). This cascade of overactivation of the stress axis represents more than simply a transient homeostatic dysregulation; it also represents the dynamic homeostatic dysregulation termed *allostasis*.

Repeated challenges, such as with drugs of abuse, lead to attempts of the brain stress systems at the molecular, cellular,

and neurocircuitry levels to maintain stability but at a cost. For the drug addiction framework elaborated here, the residual decrease in the brain reward systems and activation of the brain stress systems to produce the consequent negative emotional state is termed an *allostatic state* (15). This state represents a combination of recruitment of anti-reward systems and consequent chronic decreased function of reward circuits, both of which lead to the compulsive drug seeking and loss of control over intake. How these systems are modulated by other known brain emotional systems localized to the basal forebrain, where the ventral striatum and extended amygdala project to convey emotional valence, how frontal cortex dysregulations in the cognitive domain are linked to impairments in executive function to contribute to the dysregulation of the extended amygdala, and how individuals differ at the molecular-genetic level of analysis

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to convey loading on these circuits remain challenges for future research.

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Dare to delay? The impacts of adolescent alcohol and marijuana use onset on cognition, brain structure, and function

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Throughout the world, drug and alcohol use has a clear adolescent onset (Degenhardt et al., 2008). Alcohol continues to be the most popular drug among teens and emerging adults, with almost a third of 12th graders and 40% of college students reporting recent binge drinking (Johnston et al., 2009, 2010), and marijuana (MJ) is the second most popular drug in teens (Johnston et al., 2010). The initiation of drug use is consistent with an overall increase in risk-taking behaviors during adolescence that coincides with significant neurodevelopmental changes in both gray and white matter (Giedd et al., 1996a; Paus et al., 1999; Sowell et al., 1999, 2002, 2004; Gogtay et al., 2004; Barnea-Goraly et al., 2005; Lenroot and Giedd, 2006). Animal studies have suggested that compared to adults, adolescents may be particularly vulnerable to the neurotoxic effects of drugs, especially alcohol and MJ (see Schneider and Koch, 2003; Barron et al., 2005; Monti et al., 2005; Cha et al., 2006; Rubino et al., 2009; Spear, 2010). In this review, we will provide a detailed overview of studies that examined the impact of early adolescent onset of alcohol and MJ use on neurocognition (e.g., Ehrenreich et al., 1999; Wilson et al., 2000; Tapert et al., 2002a; Hartley et al., 2004; Fried et al., 2005; Townshend and Duka, 2005; Medina et al., 2007a; McQueeny et al., 2009; Gruber et al., 2011, 2012; Hanson et al., 2011; Lisdahl and Price, 2012), with a special emphasis on recent prospective longitudinal studies (e.g., White et al., 2011; Hicks et al., 2012; Meier et al., 2012). Finally, we will explore potential clinical and public health implications of these findings.

Keywords: adolescence, MRI, alcohol, binge drinking, marijuana, neuropsychology, cognition, age onset

INTRODUCTION

Throughout the world, drug and alcohol use has a clear adolescent onset (Degenhardt et al., 2008). Alcohol continues to be the most popular drug among teens and young adults, with almost a third of 12th graders and 40% of college students reporting recent binge drinking (four standard alcohol drinks on an occasion in females and five drinks for males; Johnston et al., 2010, 2011). Further, the majority of teens (58%) drinkers also use marijuana (MJ) (Martin et al., 1996), contributing to frequent comorbidity between alcohol and MJ use disorders (Agosti et al., 2002). Indeed, MJ is the second most popular drug and is on the rise in teens, with up to 25% reporting past year use (Johnston et al., 2011). Given this, studies examining the neurocognitive consequences of alcohol and MJ use in youth have gained attention in the scientific literature. This review will present current research regarding the neurocognitive consequences of alcohol, especially binge drinking, and MJ use during the teenage years. Studies utilizing neuropsychological assessment, structural and functional neuroimaging will be reviewed, the impact of teenage drug use onset will be discussed and recommendations for future research will be presented.

Adolescence is a dynamic time marked by increased risk-taking behaviors including substance use (Spear, 2000; Gardener and Steinberg, 2005; Eaton et al., 2006; Casey et al., 2008) that coincide

with significant neurodevelopmental changes. Brain regions associated with executive functioning (e.g., problem solving, planning, working memory, and emotional regulation), including the pre-frontal cortex (PFC), parietal cortex, and cerebellum, continue to undergo gray matter synaptic pruning into the mid-20s (Giedd et al., 1996a; Sowell et al., 1999, 2002, 2004; Gogtay et al., 2004; Lenroot and Giedd, 2006). White matter volume and integrity increases into the early thirties, yielding improvements in efficient neural conductivity (Giedd et al., 1999; Paus et al., 1999; Barnea-Goraly et al., 2005; Jernigan and Gamst, 2005; Nagel et al., 2006). Scholars have emphasized that it may not be the late maturation of the PFC alone that is responsible for increased risk-taking behavior during adolescence, but rather it is due to differential developmental trajectories of the PFC compared to limbic system. During the teen years, the limbic system develops earlier than the PFC (Giedd et al., 1996b; Galvan et al., 2006; Casey et al., 2008). Indeed, as the PFC undergoes neuronal maturation, greater top-down control of the limbic system results in improved inhibitory control and affective processing as an adolescent becomes an adult (Casey et al., 1997, 2005, 2008; Monk et al., 2003; Liston et al., 2006). It should also be noted that there are gender differences in the timing and rate of neurodevelopment (see Lenroot and Giedd, 2010 for review). More specifically, gray matter volumes peak in

executive centers earlier for girls, indicating that females undergo synaptic pruning earlier and there are greater age-related white matter increases in males; overall, this results in relatively larger brain volumes in boys compared to girls (Giedd et al., 1996b; Nagel et al., 2006; Lenroot et al., 2007; Lenroot and Giedd, 2010). This neuromaturation may represent a sensitive period during which exposure to drugs may have a greater impact on neurocognition compared to adult exposure.

IMPACT OF ADOLESCENT VS. ADULT AGE OF ALCOHOL USE ONSET ON NEUROCOGNITION

Animal studies have suggested that compared to adults, adolescents may be particularly vulnerable to the neurotoxic effects of early alcohol use onset (AUO) (see Barron et al., 2005; Monti et al., 2005; Spear, 2010 for previous reviews). In humans, addiction specialists have attempted to categorize subtypes of alcohol dependence. One model subdivides alcohol-dependent individuals into Type I and II alcohol-dependent groups (Cloninger, 1987), with Type II alcoholics demonstrating an early AUO (before age 25), earlier treatment attempts, increased novelty seeking, and strong family history of substance-use disorders (SUD; von Knorring et al., 1985; Gilligan et al., 1988; Sullivan et al., 1990). Research examining this typology has revealed that emerging adult AUO (<22–25 years old) is associated with increased childhood behavioral problems, impulsivity, poor decision-making, increased mood disorders, aggressiveness, severity of substance-use problems, more rapid progression from regular drinking to AUD, unique patterns of cerebral blood flow in the PFC, hyperarousal and poor sensorimotor gating, and increased comorbidity with externalizing disorders and ADHD (Varma et al., 1994; Johnson et al., 2000; Demir et al., 2002; Bjork et al., 2004; Dawe et al., 2004; Dom et al., 2006a,b; Pardo et al., 2007; Chen et al., 2011; Lee et al., 2011; Wilens et al., 2011). Specifically, DeWit et al. (2000) reported that the odds of developing lifetime alcohol dependence increase by 14% with each increasing year of AUO.

Several of these symptoms, including impulsivity, poor decision making, externalizing symptoms, aggressiveness, sensation seeking are associated with PFC function, which is continuing to develop during the teenage and emerging adult years (see Kolb et al., 2012; Lenroot and Giedd, 2010 for review). Therefore, it has been hypothesized that PFC dysfunction places individuals at risk for early substance use and early AUO further disrupts PFC development, defining a sensitive period for increased neurocognitive effects in adolescents with AUD. In order to test this model, the Minnesota Twin Family Study examined the impact of pre-morbid personality and adolescent AUO on personality changes through adolescence into emerging adulthood (Hicks et al., 2010, 2012). These investigations found that behavioral disinhibition prior to AUO significantly predicted age of AUO (no onset, adult onset, adolescent onset who stopped using and adolescent onset with continued symptoms of AUD), with increased disinhibition predicting earlier AUO especially in males (Hicks et al., 2010, 2012). Further, early AUO uniquely predicted lack of maturation in behavioral disinhibition compared to other subgroups (Hicks et al., 2012; see **Figure 1**). Further, this study found that adolescents who stopped drinking had significant recovery in both behavioral disinhibition and negative emotionality (Hicks et al.,

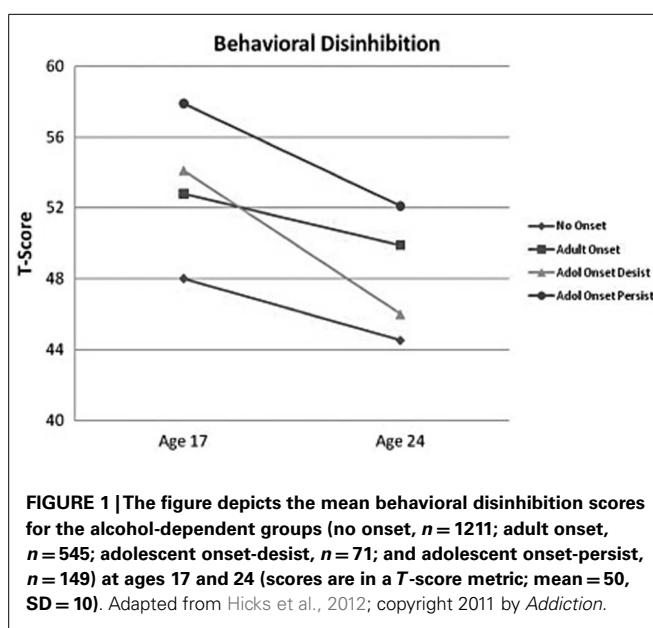


FIGURE 1 |The figure depicts the mean behavioral disinhibition scores for the alcohol-dependent groups (no onset, $n = 1211$; adult onset, $n = 545$; adolescent onset-desist, $n = 71$; and adolescent onset-persist, $n = 149$) at ages 17 and 24 (scores are in a T-score metric; mean = 50, SD = 10). Adapted from Hicks et al., 2012; copyright 2011 by Addiction.

2012), suggesting potential recovery of PFC function with abstinence. Other studies examining the impact of adolescent AUO vs. adult AUO have demonstrated that sensitivity to punishment, disinhibition, and increased likelihood of developing an AUD in teenage AUO (Lyvers et al., 2009, 2011).

BINGE OR HEAVY ALCOHOL USE AND NEUROCOGNITION IN YOUTH

Given the alarming rates of binge drinking in both teenagers and young adults, especially college students (Johnston et al., 2009, 2010), it is important to determine whether binge drinking (defined as four standard alcohol drinks on an occasion in females and five drinks for males), even in the absence of an AUD, is associated with cognition and brain changes. This risky drinking pattern has induced neuronal damage and long-lasting behavioral deficits in adolescent and adult animals (Monti et al., 2005; see Barron et al., 2005; Spear, 2010; Coleman et al., 2011). Still, there have been relatively few human studies to date that specifically examine the effects of intermittent binge drinking in adolescents and emerging adults. Thus far, those studies have reported cognitive deficits associated with binge drinking in otherwise healthy teens and emerging adults, including poorer sustained attention (Hartley et al., 2004), memory (Hartley et al., 2004; Scaife and Duka, 2009; Parada et al., 2011), spatial working memory (Townshend and Duka, 2005; Scaife and Duka, 2009), psychomotor speed (Hartley et al., 2004), working memory (Parada et al., 2012), perseverative responding (Parada et al., 2012), and response inhibition and rule acquisition in females (Townshend and Duka, 2005; Scaife and Duka, 2009), although two studies actually found faster motor responding during a visuospatial task (Townshend and Duka, 2005; Scaife and Duka, 2009). Given the high rates of binge drinking in high school and college students, these results are of great concern and these cognitive problems may be, at least in part, to blame for the lower grades seen in heavy drinking students.

Evidence also suggests underlying structural and functional brain changes associated with binge drinking in adolescents and emerging adults. Using diffusion tensor imaging (DTI), an MRI technique that quantifies white matter integrity, McQueeny et al. (2009) found that teenage binge drinking was associated with significantly reduced white matter quality in several brain regions that connect the brain stem, motor areas, limbic regions, and cortex including the PFC (i.e., the corpus callosum, superior longitudinal fasciculus, corona radiata, internal and external capsules, and commissural, limbic, brainstem, and cortical projection fibers). Greater symptoms of hangover and increased estimated peak BAC estimates were significantly correlated with poorer white matter integrity in white matter tracts connecting the two hemispheres, frontal lobe, and cerebellar tracts.

Alterations in macro-structure of cortical and subcortical gray matter have also been reported. Although binge drinking was not directly assessed, we (Medina et al., 2010) found that increased overall quantity of alcohol use during the past year was significantly related to smaller cerebellar vermis volumes in substance-using teens. In a follow-up study, our group demonstrated that greater number of drinks per binge in the past 3 months significantly predicted reduced bilateral white and gray matter volumes in the cerebellum in 106 otherwise healthy teens (Lisdahl et al., 2013; see Figure 2). Squeglia et al. (2012) examined cortical thickness in 59 teenagers (ages 16–19) with and without binge-drinking history. Gender significantly moderated the effects of recent binge drinking on PFC and cingulate cortex thickness, with female binge drinkers demonstrating thicker cortices compared to non-drinkers and males demonstrating cortical thinning. In the females, thicker prefrontal cortices were associated with poorer visuospatial, inhibition, and attentional functioning suggesting potential disruption of healthy adolescent PFC pruning in the binge-drinking teens.

Functional changes in brain activation have also been associated with intermittent binge drinking in youth. Event-related potential (ERP) studies have found abnormal signal in anterior and inferior PFC regions to working memory and response inhibition tasks in emerging adults with a history of at least 2 years of intermittent binge drinking (Crego et al., 2010; López-Caneda et al., 2012). Maurage et al. (2009) reported that increases in binge drinking during the first year of college was associated with increasing delays in P1, N2, and P3b latency, areas underlying perceptual, attentional, and executive functioning. This is consistent with Ehlers et al. (2007) who reported smaller P300 amplitudes and latency in adolescents and emerging adults with a binge-drinking history. Research utilizing electroencephalography (EEG) found increased spectral power in delta and fast-beta bands in binge-drinking emerging adults, which is consistent with findings reported in adults with alcohol dependence (Courtney and Polich, 2010).

In a teenage sample, Schweinsburg et al. (2010a) found that binge drinkers had abnormal brain response during a verbal encoding functional magnetic resonance imaging (fMRI) task. Further, unlike the controls, the binge drinkers failed to engage the hippocampus during novel verbal encoding. In a similar sample of 95 adolescents, Squeglia et al. (2011) reported significant gender differences in binge-drinking effects on a spatial working memory task. Female binge drinkers had blunted activation in frontal,

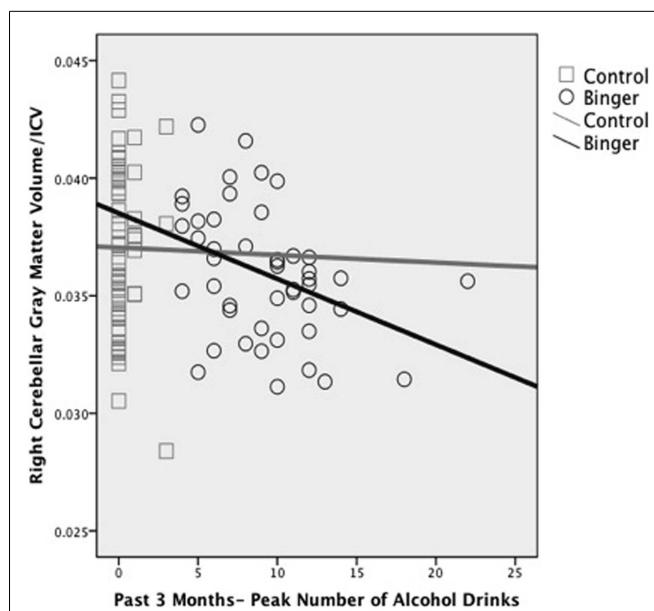


FIGURE 2 | Reduced right hemisphere cerebellar gray matter volume (corrected for intracranial volume) associated with peak number of alcohol drinks consumed in the past 3 months in binge drinking ($n = 46$) and control ($n = 60$) adolescents (adapted from Lisdahl et al., 2013).

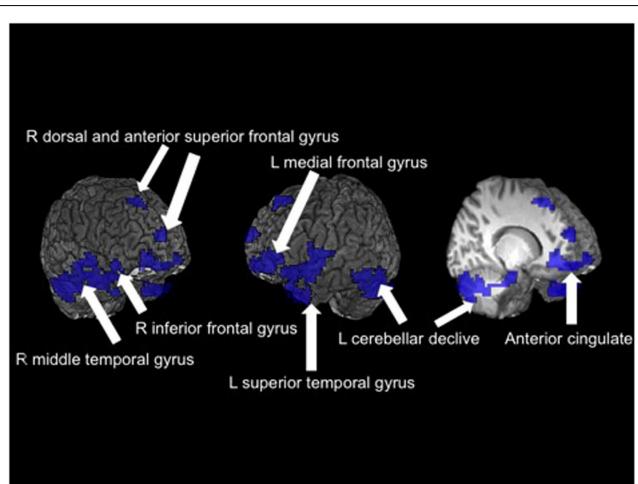


FIGURE 3 | Significant fMRI clusters predicted by the interaction between gender and binge-drinking status ($N = 95$). Areas in blue indicate where female binge drinkers demonstrated significantly reduced BOLD response during the spatial working memory task compared to female controls, while male binge drinkers demonstrated increased BOLD response (adapted from Squeglia et al., 2011).

temporal, and cerebellar cortices compared to controls while males demonstrated the opposite pattern (see Figure 3). Other groups have reported blunted amygdala, striatal, and insular activity to emotional cues and decision-making tasks in adolescent binge drinkers compared to social drinkers (Gilman et al., 2012; Xiao et al., 2012).

NEUROCOGNITIVE CONSEQUENCES OF ALCOHOL USE DISORDERS IN ADOLESCENTS

Converging lines of evidence suggest that even with substantially shorter periods of exposure, adolescent onset of AUD is associated with neurocognitive deficits. Neuropsychological studies have found that AUD during adolescence and emerging adulthood is associated with poorer verbal memory (Brown et al., 2000; Hanson et al., 2011; Thoma et al., 2011), attention (Tapert and Brown, 1999; Koskinen et al., 2011; Thoma et al., 2011), processing speed (Thoma et al., 2011), visuospatial functioning (Sher et al., 1997; Giancola et al., 1998; Tapert et al., 2002a; Hanson et al., 2011), language (Moss et al., 1994), executive functioning (Hanson et al., 2011; White et al., 2011), and exacerbation of antisocial personality behavior disorder symptoms (Howard et al., 2011). One longitudinal study found that lower levels of impulsive behavior in early adolescence predicted lower rates of AUD in young adulthood; furthermore, they found that past year heavy drinking significantly prospectively predicted additional increases in impulsivity in the following year (White et al., 2011). Withdrawal symptoms seem to be particularly sensitive predictors of cognitive deficits, including poorer visuospatial functioning and memory retrieval (Brown and Tapert, 1999; Brown et al., 2000; Tapert et al., 2002a; Hanson et al., 2011).

Studies utilizing high-resolution MRI have revealed structural abnormalities in teens with AUD, including reduced hippocampal (De Bellis et al., 2000; Nagel et al., 2005; Medina et al., 2007c) and PFC (De Bellis et al., 2005; Medina et al., 2008) volumes, suggesting that adolescent onset of AUD can result in neuronal atrophy, especially in brain regions underlying executive functioning and memory. Using fMRI to assess blood flow changes during cognitive tasks, Tapert et al. (2004) have shown that despite similar behavioral performance on a spatial working memory task, adolescents with AUD have increased brain response in parietal and blunted response in occipital, PFC, and cerebellar regions. Park et al. (2011) found reduced fMRI activation in bilateral frontal and precentral, left superior temporal and parietal cortices, and left cerebellar cortex and increased right uncus activation during a verbal working memory task in teenage males with AUD compared to healthy controls. These results indicate that the adolescent brain may be able to partially compensate for alcohol-induced neuronal insult by relying on other areas to successfully complete the task.

Gender differences in AUD effects have also been reported. Caldwell et al. (2005) found that, after controlling for average BAC, females with AUD demonstrated reduced PFC response compared to gender-matched controls, while the males showed the opposite pattern. Overall, females demonstrated more alcohol-related abnormalities in the PFC compared to males, which was consistent with our structural findings (Medina et al., 2008). Further, young adult women with AUD who underwent a similar fMRI spatial working memory task demonstrated overall blunted brain activation along with poorer behavioral performance (Tapert et al., 2001). In conclusion, emerging adult females with AUD may no longer be able to compensate as effectively as adolescents, demonstrating additional performance decrements with continued alcohol use into early adulthood.

Taken together, these studies suggest that both intermittent binge drinking and the development of AUD can result in

significant cognitive, structural, and functional brain changes in both male and female adolescents and emerging adults. Given the fact that approximately 40% of college students engage in binge drinking, this is a major concern. Combined with other alcohol-related consequences (e.g., hangover, poor sleep, emotional stress, legal issues, relationship conflict), these cognitive problems may reduce performance in the classroom. Indeed, studies have found that problematic binge drinking has been predictive of a poorer end-of-semester grade point average (Read et al., 2007).

IMPACT OF ADOLESCENT VS. ADULT AGE OF MARIJUANA USE ONSET ON NEUROCOGNITION

Similar to alcohol findings, preclinical studies have found increased cellular changes associated with THC (delta-9-tetrahydrocannabinol; i.e., one of the major psychoactive compounds in MJ) exposure during adolescence compared to adulthood (e.g., Schneider and Koch, 2003; O'Shea et al., 2004; Cha et al., 2006; Quinn et al., 2008; Rubino et al., 2008). Thus far, human findings suggest that earlier MJ use onset (MUO), typically defined as use starting before 16–18 years old, is associated with more severe cognitive consequences. Converging lines of evidence suggest that regular use of MJ starting before 18 is associated with increased deficits in poorer attention (Ehrenreich et al., 1999), visual search (Huestegge et al., 2002), reduced overall or verbal IQ (Pope et al., 2003; Meier et al., 2012), and executive functioning (Fontes et al., 2011; Solowij et al., 2012). In a thorough study targeting executive functioning, Fontes et al. (2011) compared teenage ($n = 49$) to adult ($n = 55$) MUO matched for IQ, years of daily use, current MJ use, lifetime consumption, and length of abstinence. They found that early onset MJ users had significantly poorer sustained attention, cognitive inhibition, and abstract reasoning (see Figure 4).

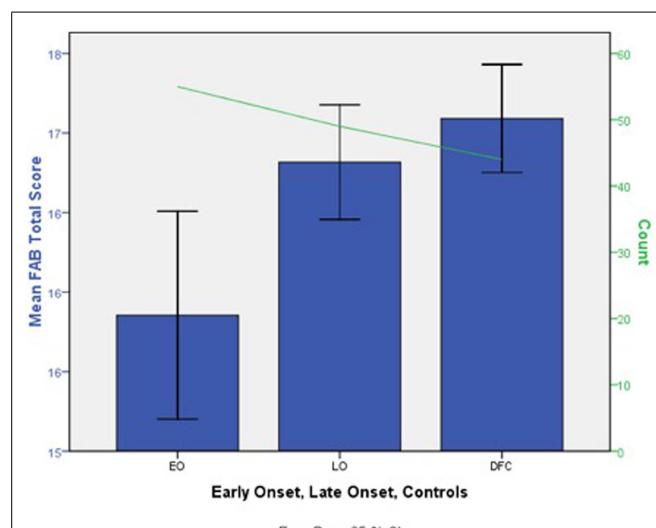


FIGURE 4 | Deficits in mean total Frontal Assessment Battery (FAB) total score in early adolescent MJ use onset (EO, $n = 49$), late adult onset (LO, $n = 55$), and control groups (DFC, $n = 44$) (scores are in a T-score metric; mean = 50, SD = 10 with lower scores indicating impairment; adapted from Fontes et al., 2011).

Perhaps the most notable study to date on this topic examined the impact of regular MJ use on IQ and neuropsychological functioning in a longitudinal sample of 1,037 individuals followed from birth to age 38 (Meier et al., 2012). After matching for total number of MJ dependence symptoms, the adolescent MUO demonstrated the most robust change in IQ, who as a group demonstrated a drop from childhood “average” to adult “low-average” full-scale IQ. Indeed, the adolescent MUO individuals never achieved their predicted trajectory in IQ, even with sustained abstinence in adulthood.

Increased structural and functional brain changes associated with adolescent MUO have also been reported. In one of the earliest studies, Wilson et al. (2000) found reduced overall cortical gray matter and increased white matter volumes in participants with adolescent MUO compared to later onset of use. Lopez-Larson et al. (2011) found significant correlations between earlier MUO and decreased right superior PFC cortical thickness in 18 current MJ users. Adolescent onset MJ use has also been linked with increased PFC white matter diffusivity and increased impulsivity compared to later onset in a sample of well-matched MJ users (Gruber et al., 2011; see **Figure 5**). Functional MRI studies have reported abnormal brain activation abnormalities in early vs. late MUO in PFC and parietal regions (Becker et al., 2010a; Jager et al., 2010; Gruber et al., 2012), although one study did not report age of onset effects on a verbal encoding task (Becker et al., 2010b). [See **Figure 6** to examine PFC activation differences between adolescent and adult MUO groups on an inhibitory control fMRI task (Gruber et al., 2012)].

In summary, the brain appears to be particularly vulnerable to adolescent MJ exposure. The PFC continues to mature into early adulthood and may be particularly sensitive to adolescent MJ exposure, as early MUO samples have demonstrated executive dysfunction (Fontes et al., 2011; Gruber et al., 2011; Solowij et al., 2012), structural damage (Churchwell et al., 2010; Gruber et al., 2011; Lopez-Larson et al., 2011), and abnormal brain activation (Jager et al., 2010; Gruber et al., 2012) in the PFC.

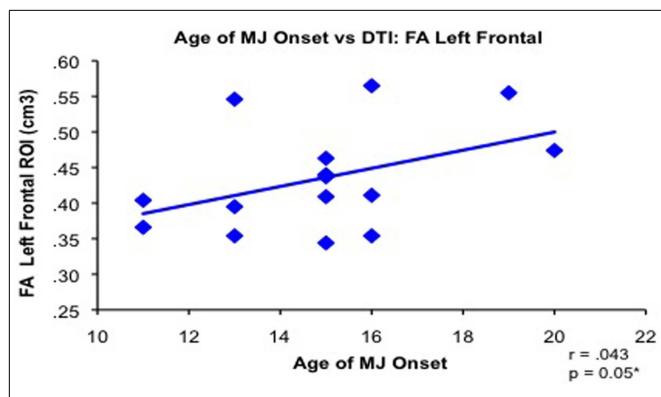


FIGURE 5 | Bivariate relationship between younger age of regular marijuana (MJ) use onset (range 11–20 years of age) and decreased white matter integrity (reduced FA measured by diffusion tensor imaging) in 15 MJ users in the left frontal region of interest (adapted from Gruber et al., 2011).

HEAVY MARIJUANA USE AND NEUROCOGNITION IN ADOLESCENTS AND EMERGING ADULTS

Consistent with the age of onset data, converging lines of evidence is building to suggest that chronic MJ during the teenage years is associated with neurocognitive deficits. For example, in a longitudinal study following adolescents with SUD over time, Tapert et al. (2002b) found that greater cumulative MJ use over an 8-year follow-up period was associated with poorer attention functioning. Tait et al. (2011) found that after controlling for potentially confounding variables, continued MJ use over an 8-year period was associated with decrements in verbal memory. Other studies conducted in adolescents with minimal psychiatric comorbidities have suggested cognitive deficits associated with regular adolescent MJ use, including processing speed (Fried et al., 2005; Medina et al., 2007a; Lisdahl and Price, 2012), complex attention (Tapert et al., 2002a; Harvey et al., 2007; Medina et al., 2007a; Hanson et al., 2010b; Mathias et al., 2011; Lisdahl and Price, 2012), memory (Schwartz et al., 1989; Fried et al., 2005; Harvey et al., 2007; Medina et al., 2007a; McHale and Hunt, 2008; Hanson et al., 2010b; Solowij et al., 2011; Tait et al., 2011; Thoma et al., 2011), executive functioning, especially cognitive disinhibition (Harvey et al., 2007; Medina et al., 2007a; McHale and Hunt, 2008; Hanson et al., 2010b; Mathias et al., 2011; Gonzalez et al., 2012; Grant et al., 2012; Lisdahl and Price, 2012; Schuster et al., 2012; Solowij et al., 2012), and risky sexual behavior (Schuster et al., 2012).

We (Medina et al., 2007a) compared neuropsychological functioning in a sample of demographically matched healthy controls and MJ-using adolescents without comorbid psychiatric disorders who underwent 28 days of monitored abstinence. After controlling for alcohol use, adolescent MJ users demonstrated deficits in complex attention, verbal story learning, sequencing ability, and slower psychomotor speed compared to controls (Medina et al., 2007a). In a follow-up study that included 59 teens and emerging adult MJ users and controls, we found a similar pattern of cognitive deficits in the MJ users who demonstrated

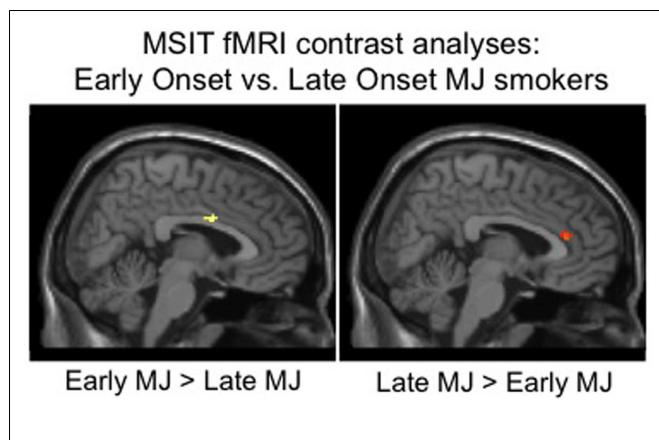


FIGURE 6 | Whole brain inhibitory processing results demonstrating significant differences between adolescent early onset ($n=9$) and late adult onset ($n=14$) MJ users, early onset MJ users demonstrated increased middle right cingulum and decreased anterior cingulate BOLD response to an inhibitory processing (multisource interference task, MSIT) fMRI task (adapted from Gruber et al., 2012).

poorer complex attention, slower psychomotor speed, and reduced inhibitory control (Lisdahl and Price, 2012; see **Figure 7**).

Increasingly, studies utilizing neuroimaging methods to assess brain structure have reported consequences of chronic MJ use in adolescents. Our group has examined brain volumes in a sub-sample of adolescent MJ users without comorbid psychiatric, developmental, or neurologic conditions (ages 16–19) and healthy controls. Thus far, we found that adolescent MJ users (who also had heavy alcohol use) did not significantly differ from healthy controls in their hippocampal volumes, although correlations between hippocampal volumes and verbal memory were abnormal compared to the controls (Medina et al., 2007c). In 16 MJ users and 16 healthy controls without comorbid psychiatric disorders we found marginal MJ group-by-gender interactions in predicting PFC volume; female MJ users demonstrated comparatively larger volumes, while male users had smaller volumes compared to same-gender controls (Medina et al., 2009). MJ group status and total PFC volume interacted in predicting executive functioning; among the MJ users (especially the girls), larger PFC volumes were associated with poorer executive functioning, while the opposite pattern was seen among the controls, suggesting that larger PFC volumes in the MJ users was detrimental. More recently, increased posterior inferior cerebellar vermis volumes in adolescent MJ users and increased left amygdala volumes in female MJ users were observed compared to controls, suggesting disruption in affective processing circuitry (Jarvis et al., 2008; Medina et al., 2010; McQueeny et al., 2011).

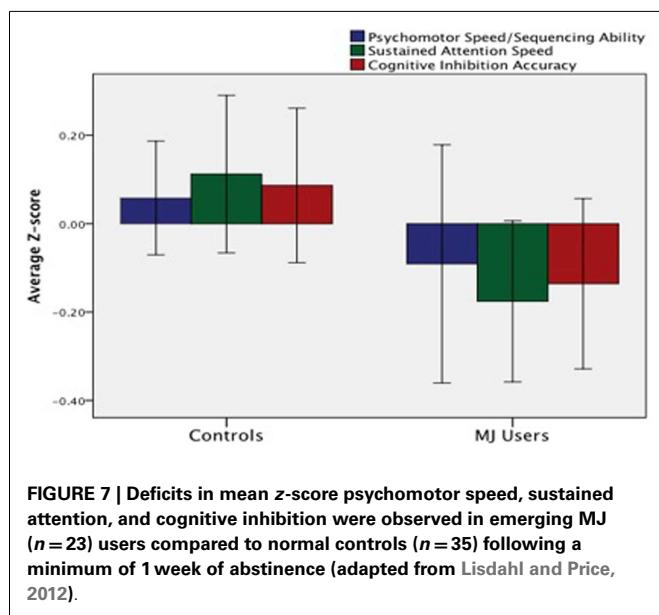
Recently other groups have reported decreased cortical thickness in right caudal middle frontal, bilateral insula, and bilateral superior frontal cortices and increased cortical thickness in lingual, temporal, inferior parietal, and paracentral regions (Lopez-Larson et al., 2011), decreased right medial orbitofrontal cortex volume (Churchwell et al., 2010), and reduced bilateral hippocampal volumes (Ashtari et al., 2011) in adolescent MJ users without comorbid psychiatric conditions compared to healthy controls.

The above structural alterations were associated with increased executive dysfunction (Medina et al., 2009, 2010; Churchwell et al., 2010), mood symptoms (McQueeny et al., 2011), and verbal memory deficits (Ashtari et al., 2011). Adolescent MJ users have also demonstrated reduced cerebral blood flow in temporal, insular, and PFC regions after 4 weeks of monitored abstinence, which may also underlie observed cognitive deficits (Jacobus et al., 2012).

Micro-structural and neurochemical abnormalities have also been reported in otherwise healthy adolescent MJ users. Recent use of magnetic resonance spectroscopy (MRS) has revealed neurochemical alterations in adolescent MJ users, including reduced anterior cingulate glutamate, N-acetyl aspartate, creatine, and *myo*-inositol (Prescot et al., 2011), lower global *myo*-inositol/creatinine ratios in subcortical gray matter structures, and reduced *myo*-inositol in white matter (Silveri et al., 2011) suggesting an early neurochemical response to neuronal toxicity and disruption of microglia activity.

Subtle white matter abnormalities have also been observed in adolescent and emerging adult MJ users. Our group found that increased depressive symptoms in MJ users was associated with smaller global white matter volume (Medina et al., 2007b), suggesting that MJ use during adolescence may disrupt white matter connections between areas involved in mood regulation. Using DTI, Bava et al. (2009) found that MJ users had significantly poorer white matter integrity, measured by lower fractional anisotropy (FA) in 10 brain regions, especially in regions underlying executive functioning and working memory. Increased FA was also seen in regions underlying vision, suggesting possible over-recruitment of these brain regions in adolescent MJ users compared to controls. With one exception (DeLisi et al., 2006), these results are consistent with other studies that have demonstrated reduced white matter integrity in adolescent and young adult MJ users who initiated use during adolescence (Arnone et al., 2008; Ashtari et al., 2009; Gruber et al., 2011).

There is also converging evidence of inefficient brain activation patterns in adolescent and emerging adult MJ users compared to healthy controls. Studies utilizing fMRI and PET with adolescents have found abnormal PFC, limbic, parietal, and cerebellar activation patterns in MJ users in response to finger tapping (Lopez-Larson et al., 2012), attentional control (Abdullaev et al., 2010), verbal working memory (Jacobsen et al., 2007; Jager et al., 2010), verbal encoding (Becker et al., 2010b), spatial working memory (Schweinsburg et al., 2008, 2010b; Smith et al., 2010), cognitive inhibition (Tapert et al., 2007), and monetary decision-making (Vaidya et al., 2012) tasks. For example, Jager et al. (2010) reported that MJ-using teenage boys (ages 13–19) demonstrated excessive activation in executive (PFC) regions during a verbal working memory task, especially during initial encoding, compared to non-using healthy controls. Consistent with this finding, our laboratory (Tapert et al., 2007) found that after controlling for alcohol use, MJ users demonstrated increased executive (right dorsolateral PFC, bilateral medial frontal), working memory (parietal), and visual (occipital) activation during inhibitory “no-go” trials (i.e., tests of impulse control), compared to normal controls, even though they had marginally poorer performance. Further, teen MJ users with lighter use histories demonstrated the greatest brain activation to both the cognitive inhibition and



spatial working memory tasks (Tapert et al., 2007; Schweinsburg et al., 2008), while teens with more intense use histories (earlier onset, longer duration, increased lifetime use) had lower activation than controls. A recent functional connectivity study found increased connectivity between PFC and occipitoparietal regions in adolescent MJ users as cognitive control demands increased (Harding et al., 2012). These findings suggest that during *initial* MJ exposure the brain may successfully compensate by recruiting additional neuronal resources, although this compensation may falter with more problematic and increased MJ use patterns.

Taken together, the above studies suggest that regular MJ use during adolescence may lead to structural changes such as abnormal gray matter pruning patterns and reduced white matter myelination. These changes have been associated with poor neuronal efficiency and poorer cognitive functioning, especially psychomotor speed, executive functioning, emotional control, and learning and memory, even after a month of monitored abstinence. Given the high rates of MJ use in teens and emerging adults, this may mean a large proportion of youth are experiencing cognitive difficulties that may negatively impact their performance. Indeed, we have found increased school difficulty and reduced grades in MJ-using teens (Medina et al., 2007a) (**Table 1**).

POTENTIAL LIMITATIONS OF THE EXISTING LITERATURE

It is important to note some limitations of the above research. Although several of the above studies did control for family history of SUD and excluded subjects with Axis I comorbid psychiatric disorders, it is still difficult to determine whether the brain and cognitive abnormalities may have predicated the onset of adolescent drug use. Risk factors associated with early drug experimentation (such as poor cognitive inhibition, attention problems, conduct disorder, and family history of SUD) are themselves related to subtle cognitive and brain abnormalities (Aronowitz et al., 1994; Tapert and Brown, 2000; Tapert et al., 2002a; Nigg et al., 2004; Schweinsburg et al., 2004; Hill et al., 2007a,b; Spadoni et al., 2008; Ridenour et al., 2009; Hanson et al., 2010a) and at least some evidence exists suggesting preexisting brain abnormalities predate and predict the onset of substance use (e.g., Cheetham et al., 2012). It is notable, however, that prospective longitudinal studies have provided evidence for additional cognitive and brain abnormalities following the onset of regular alcohol or MJ use that are above and beyond premorbid differences in personality, cognition, and brain structure (Maurage et al., 2009; White et al., 2011; Hicks et al., 2012; Meier et al., 2012). Still, additional longitudinal research in teenagers prior to alcohol and MJ exposure, especially in at-risk comorbid samples, is needed to explore the influence of early drug use on adolescent neurodevelopment.

RECOVERY OF FUNCTION WITH ABSTINENCE? A MESSAGE OF HOPE

There is even less research available to help determine whether sustained abstinence from alcohol and MJ results in recovery of cognitive functions, although findings to date are hopeful. For example, Hanson et al. (2011) reported that having greater days of abstinence from alcohol and drugs at a 10-year follow-up was associated with improved executive functioning, even controlling for baseline executive functioning and education. In our binge-drinking sample, increased abstinence was associated with

larger bilateral cerebellar volumes (Lisdahl et al., 2013). In adolescent MJ users, short-term memory impairments mildly recovered following 3–6 weeks of MJ abstinence (Schwartz et al., 1989; Hanson et al., 2010b), although another study found that adolescent MJ users who abstained for a minimum of 3 months did not demonstrate any cognitive deficits compared to controls (Fried et al., 2005) and in one prospective longitudinal study individuals who began using MJ early never returned to their predicted IQ trajectory even with sustained abstinence in adulthood (Meier et al., 2012). Few fMRI studies have examined recovery of function; in a cross-sectional study, recent MJ users demonstrated increased activation in brain regions underlying executive control and attention, such as the insula and PFC, compared to abstinent ex-users (Schweinsburg et al., 2010b). This preliminary evidence suggests that the inefficient brain response seen in teenage MJ users may begin to normalize after several weeks of abstinence. In sum, these results suggest there may be subtle recovery of cognitive functioning with increasing lengths of abstinence from MJ and alcohol. Additional research is necessary to examine whether complete recovery of neurocognitive functioning occurs in adolescents with sustained abstinence, or if their neurocognitive trajectory is subtly altered into adulthood. Still, these preliminary findings can be utilized to help increase motivation for abstinence in alcohol and MJ-using youth, as it is expected that with continued abstinence they will experience at least minimal improvements in attention, verbal memory, and neuronal processing speed.

CONCLUSION AND RECOMMENDATIONS

INCREASE PSYCHOEDUCATION, SCREENING, AND PERSONALIZED FEEDBACK

Alarming numbers of adolescents and emerging adults regularly binge drink and use MJ (Johnston et al., 2009, 2010). Animal and human research suggests that adolescence may be a vulnerable period for drug exposure due to critical neurodevelopmental processes that peak during this period. Indeed, adolescents and emerging adults who initiate binge drinking or use MJ regularly tend to show inferior cognitive skills compared to teens that abstain or use lightly or compared to individuals who begin substance use in adulthood. This review paper outlined several studies that suggest binge drinking, AUD, and chronic MJ use during the teenage and early adult years results in gray and white matter micro- and macro-structural abnormalities that are oftentimes correlated with cognitive deficits. Evidence is also mounting that heavy teenage alcohol and MJ use may disrupt brain function, leading to inefficient neuronal activation early on and diminished activation with continued heavy use into emerging adulthood. Additional research is needed to examine the impact of these neurocognitive deficits on treatment outcomes in order to individualize treatment and prevention campaigns (e.g., Feldstein Ewing et al., 2012).

These findings have significant clinical impact as even subtle brain abnormalities and cognitive problems in teens and young adults may lead to important psychosocial consequences. Combined negative impacts of drug and alcohol-related consequences (such as hangovers or emotional stress), sleep deprivation caused by drug use (Cohen-Zion et al., 2009), and acute effects of being intoxicated at school may lead to even more pronounced cognitive problems in *current* alcohol and MJ-using college students. Youth may miss information presented in class or on the job due to poorer

Table 1 | Human studies reporting neurocognitive effects of regular alcohol and marijuana exposure in adolescents and emerging adults (organized by cognitive, structural, or functional consequences and clustered according to functional outcomes).

Alcohol use disorder studies	Teenage onset worse?	Cognitive deficits	Brain structure abnormalities	Brain function abnormalities
Hicks et al. (2012)	Yes	↑ behavioral disinhibition		
Lyvers et al. (2009)	Yes	↑ reward sensitivity; disinhibition		
Lyvers et al. (2011)	Yes	↑ reward sensitivity; disinhibition		
Brown et al. (2000)		↓ verbal memory		
Hanson et al. (2011)		↓ verbal memory		
Thoma et al. (2011)		↓ processing speed		
Koskinen et al. (2011)		↓ attention		
Tapert and Brown (1999)		↓ attention		
Giancola et al. (1998)		↓ visuospatial ability		
Sher et al. (1997)		↓ visuospatial ability		
Tapert et al. (2002a)		↓ visuospatial ability		
Moss et al. (1994)		↓ language		
White et al. (2011)		↓ executive functioning, inhibition		
Howard et al. (2011)		↑ antisocial personality disorder symptoms		
De Bellis et al. (2000)			↓ HC volume	
Nagel et al. (2005)			↓ left HC volume	
Medina et al. (2007a)			↓ left HC volume	
Medina et al. (2010)			↓ cerebellar vermis GM volume	
De Bellis et al. (2005)			↓ PFC volume	
Medina et al. (2008)			↓ PFC volume	
Caldwell et al. (2005)				Females: ↓ superior frontal, temporal, cingulate, fusiform BOLD response during SWM task; Males opposite pattern.
Park et al. (2011)				↓ PFC, temporal, parietal, cerebellar, ↑ uncus fMRI BOLD during VWM task in males
Tapert et al. (2004)				↓ PFC, occipital, cerebellar, ↑ parietal fMRI BOLD during SWM task
Tapert et al. (2001)				↓ PFC, parietal fMRI BOLD during SWM task in females

(Continued)

Table 1 | Continued

Binge-drinking studies	Teenage onset worse?	Cognitive findings	Brain structure findings	Brain function findings
Hartley et al. (2004)		↓ sustained attention, memory, psychomotor speed		
Parada et al. (2011)		↓ verbal memory, working memory, perseverative responding		
Scaife and Duka (2009)		↓ verbal memory, SWM, cognitive inhibition		
Townshend and Duka (2005)		↓ SWM, cognitive inhibition, rule acquisition		
Lisdahl et al. (2013)			↓ L/R cerebellar GM and WM volumes	
McQueeny et al. (2009)			↓ white matter integrity DTI (CC, superior longitudinal fasciculus, corona radiata, internal/external capsules)	
Squeglia et al. (2012)			Females: ↑ PFC/cingulate thickness; Males: ↓ PFC/cingulate thickness	
Courtney and Polich (2010)				↑ EEG spectral power in delta and fast beta bands
Crego et al. (2010)				↓ ERP in anterior/inferior PFC
Ehlers et al. (2007)				↓ P300 ERP amplitude
López-Caneda et al. (2012)				↑ go-P3 ERP in right inferior PFC
Maurage et al. (2009)				↓ P1, N2, P3b ERP latency
Gilman et al. (2012)				↓ NAcc, amygdala fMRI BOLD during emotional cues task after consuming alcohol
Schweinsburg et al. (2010a)				↓ HC fMRI BOLD during verbal encoding task
Squeglia et al. (2011)				Females: ↓ PFC, temporal, and cerebellar BOLD during SWM fMRI task. Males: opposite pattern.
Xiao et al. (2012)				↑ amygdala, insula fMRI BOLD during IGT task
Marijuana studies	Teenage onset worse?	Cognitive findings	Brain structure findings	Brain function findings
Meier et al. (2012)	Yes	↓ IQ		
Pope et al. (2003)	Yes	↓ IQ		
Ehrenreich et al. (1999)	Yes	↓ attention		

(Continued)

Table 1 | Continued

Marijuana studies	Teenage onset worse?	Cognitive findings	Brain structure findings	Brain function findings
Huestegge et al. (2002)	Yes	↓ visual search		
Fontes et al. (2011)	Yes	↓ executive functioning		
Solowij et al. (2012)	Yes	↓ executive functioning		
Churchwell et al. (2010)	Yes		↓ PFC volume	
Gruber et al. (2011)	Yes	↑ impulsivity	↓ WM integrity in PFC	
Lopez-Larson et al. (2011)	Yes		↓ superior PFC thickness	
Wilson et al. (2000)	Yes		↓ total GM; ↑ total WM	
Becker et al. (2010a)	Yes			↑ left superior PFC fMRI BOLD during working memory task in early onset
Becker et al. (2010b)	No			↑ left parahippocampal gyrus, fMRI BOLD during learning task in all MJ users
Gruber et al. (2012)	Yes			↓ anterior cingulate fMRI BOLD during inhibition task in early onset
Jager et al. (2010)	Yes			↑ PFC fMRI BOLD during novel stimuli presentation in working memory task in early onset
Fried et al. (2005)		↓ processing speed verbal memory		
Hanson et al. (2010b)		↓ complex attention, verbal memory		
Harvey et al. (2007)		↓ complex attention, verbal memory; executive functioning		
Lisdahl and Price (2012)		↓ complex attention processing speed, sequencing ability, cognitive inhibition		
Medina et al. (2007a)		↓ complex attention processing speed, verbal memory, sequencing ability		
Mathias et al. (2011)		↓ complex attention, executive functioning		
Tapert et al. (2002a)		↓ complex attention		
McHale and Hunt (2008)		↓ verbal memory, executive functioning		
Schwartz et al. (1989)		↓ verbal memory		
Solowij et al. (2011)		↓ verbal memory; executive functioning		

(Continued)

Table 1 | Continued

Marijuana studies	Teenage onset worse?	Cognitive findings	Brain structure findings	Brain function findings
Tait et al. (2011)		↓ verbal memory		
Thoma et al. (2011)		↓ verbal memory		
Gonzalez et al. (2012)		↓ executive functioning		
Grant et al. (2012)		↓ executive functioning		
Schuster et al. (2012)		↓ executive functioning; ↑ risky sexual behavior		
McQueeny et al. (2011)		↑ depressive symptoms	Females: ↑ left amygdala	
Medina et al. (2007b)		↑ depressive symptoms	↓ global WM	
Jarvis et al. (2008)			↑ amygdala volume	
Ashtari et al. (2011)		↓ verbal memory	↓ HC volume	
Medina et al. (2007b)			↑ left HC volume	
Churchwell et al. (2010)		↓ executive functioning	↓ right medial orbitofrontal cortex volume	
Lopez-Larson et al. (2011)			↓ right caudal, middle frontal, inula, superior frontal thickness; ↑ lingual, temporal, inferior parietal, paracentral thickness	
Medina et al. (2010)		↓ executive functioning	↑ inferior cerebellar vermis volume	
Medina et al. (2009)		↓ executive functioning	Females: ↑ inferior PFC volume	
Arnone et al. (2008)			↓ WM integrity (corpus callosum)	
Ashtari et al. (2009)			↓ WM integrity (arcuate fasciculus)	
Bava et al. (2009)			↓ white matter integrity in 10 regions (especially PFC, parietal cortex); ↑ WM integrity in occipital cortex	
DeLisi et al. (2006)			No WM differences detected	
Prescot et al. (2011)			↓ ACC glutamate, N-acetyl aspartate, creatine, <i>myo</i> -inositol	
Silveri et al. (2011)			↓ subcortical GM <i>myo</i> -inositol/creatinine; WM <i>myo</i> -inositol	
Abdullaev et al. (2010)				↑ PFC fMRI BOLD during attentional control task

(Continued)

Table 1 | Continued

Marijuana studies	Teenage onset worse?	Cognitive findings	Brain structure findings	Brain function findings
Harding et al. (2012)				↑ PFC and occipitoparietal connectivity as task demands increase
Jacobsen et al. (2007)				↓ PFC, parietal connectivity during verbal working memory task while undergoing nicotine withdrawal
Jacobus et al. (2012)				↓ cerebral blood flow in temporal lobe, insula, and PFC
Jager et al. (2010)				↑ PFC fMRI BOLD during verbal encoding task in males
Lopez-Larson et al. (2012)				↓ cingulate gyrus, cerebellar fMRI BOLD during finger tapping task
Schweinsburg et al. (2008)				↓ PFC, occipital, ↑ parietal fMRI BOLD during SWM task
Schweinsburg et al. (2010b)				↑ PFC, insula, ↓ precentral fMRI BOLD during SWM task in recent MJ users vs. abstinent users
Smith et al. (2010)				↑ inferior, middle PFC fMRI BOLD during SWM task
Tapert et al. (2007)				↑ PFC, parietal, occipital fMRI BOLD during inhibitory processing task
Vaidya et al. (2012)				↑ ventral medial PFC, cerebellar PET rCBF during IGT task

Teenage onset worse? = "yes" – analysis revealed that teenage age of onset (<16, 17, or 18 years of age) was associated with significantly poorer neurocognitive outcome; if "no" – onset was not associated with outcome; if left blank – age of onset analysis was not conducted in this study. GM, gray matter; WM, white matter; PFC, prefrontal cortex; HC, hippocampus; SWM, spatial working memory; VWM, verbal working memory; IGT, Iowa Gambling task.

processing speed, initial learning, complex attention, and working memory. Indeed, researchers have found that substance-induced cognitive disadvantage may lead to lower than expected school performance, increased school problems, risky decision-making, and poorer emotional regulation (Lynskey and Hall, 2000; Medina et al., 2007a; Kloos et al., 2009).

It is critical to disseminate these findings to high school and college students, young military enlistees, therapists, teachers, child psychiatrists, pediatricians, and parents to help minimize regular alcohol and MJ consumption in youth. Fortunately, high-quality psychoeducation materials regarding the effects of alcohol and drugs on the brain, including pamphlets designed for teens and young adults, are available at no cost through the National Institute on Drug Abuse¹, the National Institute on Alcohol Abuse and Alcoholism², teen-centered sites like the www.thecoolspot.gov and www.drugfreeamerica.org, and university websites such as Teen Safe³, which has an excellent parent resource center. Still, we may improve outcomes by providing more personalized feedback about

drugs and alcohol health effects (see Larimer and Cronce, 2007). To date, however, no systematic individualized feedback programs have integrated information regarding the effects of drugs on neurocognition. At this time, more global feedback focused on group, or normative, performance results could be integrated. For example, adolescents who engage in heavy drinking could be told that, “Teens who drank more than nine alcohol drinks in one occasion had 1.8 cubic centimeters less cerebellar brain volume than teens who drank three or fewer drinks when drinking, on average. The cerebellum is important for coordination and thinking skills” (Lisdahl et al., 2013). Youth who engage in weekly MJ use could be told “even with similar verbal intelligence and reading ability, MJ users scored more than half a standard deviation lower on an executive functioning task, achieved a half-point lower GPA, and were more likely to demonstrate behavioral problems in school (26 vs. 0%) compared to peers who did not regularly use MJ” (Medina et al., 2007a). This normative feedback could be developed further and disseminated more globally by services aimed at health education and drug prevention in youth. One potential opportunity is to integrate this information more thoroughly into existing computerized programs such as CRAFFT screening tool (Knight et al., 2002), which asks six questions and reveals a teen’s risk for

¹www.nida.nih.gov

²www.niaaa.nih.gov

³www.Teen-Safe.org

problematic, abusive, or dependent use patterns⁴. After retrieving your score, the computerized program provides potential impact of your use on health, including brain function. After taking the screening tool, physicians and therapists could then utilize brief motivational interviewing to help educate youth further about the negative effects of alcohol and MJ use on the brain. Taken further, therapists could order neuropsychological testing and give truly individualized feedback regarding the student's cognitive functioning.

DEVELOP INTERVENTIONS TO IMPROVE NEUROCOGNITION: EXERCISE?

Treatments that may reverse substance-induced neurocognitive damage in youth are needed. Some potential candidates include cognitive rehabilitation (see Macher and Earleywine, 2012) or exercise. In animals, physical activity has been linked to decreased inflammatory response and oxidative stress at moderate levels (Radak et al., 2007; Sim et al., 2008; Sakurai et al., 2009), increased c-FOS expression (Sim et al., 2008), and improved catecholaminergic (dopamine, norepinephrine, and epinephrine) function in brain regions including the PFC (Heyes et al., 1985; Elam et al., 1987; Chaoulloff, 1989; Dunn and Dishman, 1991; Dunn et al., 1996; Waters et al., 2005). Several human studies have concluded that activity and cardiorespiratory fitness have positive effects on brain health and neuronal plasticity, although the vast majority of the studies have been conducted in older adults (Brisswalter et al., 2002; Cotman and Berchtold, 2002; Colcombe and Kramer, 2003; Colcombe et al., 2004, 2006; Heyn et al., 2004; Kramer and Erickson, 2007; Boecker et al., 2008; Hillman et al., 2008; Ma, 2008; Ploughman, 2008; Coelho et al., 2013). Given ongoing neurodevelopment and fewer comorbid problems like vascular disease in youth, these findings may not directly generalize to teens.

Although research has shown that physical activity is associated with improved mood, decreased drug use, and increased grade point in adolescents (Winnail et al., 1995; Field et al., 2001; Audrain-McGovern et al., 2006), very few studies have directly examined the neurocognitive benefits of physical activity in adolescents. In meta-analyses (Etnier et al., 1997; Sibley and Etnier, 2003), low to large (0.24–0.77) effect sizes for the impact of activity on perceptual skills, academic achievement, and verbal tests in adolescents have been reported; however, higher-order executive functioning or brain structure were not measured. Research examining the impact of acute effects of exercise or improved fitness in healthy emerging adults have found superior executive control (Dustman et al., 1990; Hillman et al., 2003; Themanson

and Hillman, 2006; Themanson et al., 2006; Ferris et al., 2007), increased cerebral blood flow (Pereira et al., 2007; Timinkul et al., 2008), and improved white matter integrity (Marks et al., 2007). In sum, there is at least preliminary evidence that increased physical activity is associated improved neurocognitive functioning, especially executive functioning, in otherwise healthy young adults without cerebrovascular disease. Perhaps most promising, recent research has suggested that exercise interventions may reverse neuronal damage in binge drinking adolescent animals (Helfer et al., 2009) and brief interventions to increase exercise may help reduce drug use and increase physical activity in adolescents (Werch et al., 2005). Additional research is needed to examine how physical activity impacts neurocognition in adolescent drug users, but there is optimism that this is an ideal time to intervene. Indeed, physical activity during the this sensitive stage of ongoing neurodevelopment (ages 15–25) has been associated with superior information processing in elderly men, after controlling for their current level of activity (Dik et al., 2003). Therefore, there is an opportunity to intervene early during the school years to reduce drug use, reverse neurocognitive damage, and perhaps instill lifelong exercise habits that may actually improve aging.

SUMMARY: DELAY THE ONSET

Adolescence has been named the “gateway to adult health outcomes” (Raphael, 2013) and presents a golden opportunity for public policy intervention to significantly improve health outcomes that last throughout adulthood. However, this sensitive period is also associated with the onset of binge drinking and MJ use, which negatively impacts cognition, brain structure, and function in otherwise healthy teens and young adults. Early age of onset (before age 18) has been linked with the greatest neurocognitive deficits. Therefore, general psychoeducation coupled with personalized feedback regarding effects of chronic drug use on thinking abilities and brain health need to be integrated into current prevention, screening, and treatment programs. Interventions geared toward lowering alcohol and drug exposure in teens and young adults that have shown evidence of efficacy need to be implemented more aggressively in schools and college campuses to not only reduce symptoms of drug abuse and dependence, but *delay the onset of regular use* from early teen years to early adult years in order to prevent long-term neuronal damage and ensure optimal brain health and cognitive functioning in youth.

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The promises and pitfalls of retrieval-extinction procedures in preventing relapse to drug seeking

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Relapse to drug seeking after treatment or a period of abstinence remains a fundamental challenge for drug users. The retrieval – extinction procedure offers promise in augmenting the efficacy of exposure based treatment for drug use and for protecting against relapse to drug seeking. Preceding extinction training with a brief retrieval or reminder trial, retrieval – extinction training, has been shown to reduce reinstatement of extinguished drug seeking in animal models and also to produce profound and long lasting decrements in cue-induced craving in human heroin users. However, the mechanisms that mediate these effects of retrieval – extinction training are unclear. Moreover, under some circumstances, the retrieval – extinction procedure can significantly increase vulnerability to reinstatement in animal models.

Keywords: addiction, reinstatement, relapse, reconsolidation, memory, extinction

Drug addiction involves the compulsive use of drugs despite adverse consequences (Torregrossa and Taylor, 2012). It imposes significant burdens on the individual drug user, their families, and communities. The successful treatment of drug users not only improves the health and well being of the user, but brings significant economic benefit to the broader community via reductions in criminal activity as well as reductions in health services utilization (McCollister and French, 2003). However, the fundamental problem with existing treatments for drug addiction is that they are ineffective at promoting long-term abstinence. The vast majority of drug users will relapse to drug use in the first year following treatment or abstinence (Hunt et al., 1971; Heinz et al., 2008). Relapse is elicited by a number of factors such as stress and negative affect (Shiffman and Waters, 2004), and exposure to drug-related places, people, and cues (Drummond et al., 1995). Unsurprisingly, many treatments have attempted to reduce the power of these factors over drug taking by implementing cue-exposure protocols (Heather and Bradley, 1990; Hammersley, 1992). Typically these treatments involve the non-reinforced exposure to drug-related stimuli and the drugs themselves. For example, the smoker may be exposed to the sight and smell of a burning cigarette, the heroin user to the sight and feel of a loaded syringe and tourniquet. Yet, although these treatments can be successful in reducing responding elicited by such stimuli in the short-term, they yield at best extremely modest long-term efficacy (Conklin and Tiffany, 2002).

In animal models of drug taking, extinction training also produces short-term decrements in drug seeking without long-term protection from reinstatement. Rats, for example, readily learn to self-administer a variety of drugs abused by humans. Drug seeking behavior can be extinguished when the contingency between drug seeking and delivery of the drug reward is broken. However, drug seeking is not permanently lost following extinction. Drug seeking can be reinstated under a number of conditions

including following presentations of a drug prime (De Wit and Stewart, 1981), a drug associated stimulus (Davis and Smith, 1976; De Wit and Stewart, 1981), or by a return to the training context when extinction training occurs in a different context (Crombag and Shaham, 2002). In each of these experiments, extinction was achieved by omitting the drug reinforcer as well as any drug associated stimuli. The finding that responding which has been lost via extinction training can be recovered or restored under these different conditions has been interpreted to mean that extinction training does not erase or over write the original drug seeking memory. Rather, extinction training is believed to result in formation of a new memory. This extinction memory competes with the drug seeking memory for expression and for control over motivation and behavior. Specifically, the extinction memory is context-dependent, so that extinction is retrieved, and drug seeking inhibited, only under conditions similar (e.g., context, time) to extinction training (Bouton, 2000).

Due to the apparent failure of standard extinction training to yield long-term behavioral change in humans and other animals, a growing body of literature has begun to focus on the processes of consolidation and reconsolidation of memories in order to promote a permanent change in the original memory and hence a permanent change in behavior. Reconsolidation refers to the process by which a retrieved memory enters into a labile state that requires *de novo* protein synthesis to be “reconsolidated” back into a stable long-term memory. During this labile or active state, that may last as long as 6 h (Nader et al., 2000), the memory is unstable and may be altered, for example to incorporate new information and/or alter its original contents. It is possible to disrupt the memory during this state with pharmacological agents that interfere with the protein synthesis or other cell biological processes required for reconsolidation. For example, pharmacological manipulations may inhibit the reconsolidation of a drug stimulus memory and thereby prevent that stimulus from

controlling behavior on later presentations (Lee et al., 2006; Milton et al., 2008). While this approach has provided insights into the molecular mechanisms that underlie memory reconsolidation, there are a number of limitations with translating this approach to a human clinical population. Most importantly, many of these compounds are toxic or have not been approved for human clinical use. Recently, however, a new non-pharmacological approach has been developed that appears to circumvent many of these limitations to human application.

RETRIEVAL – EXTINCTION PROCEDURES

The first evidence for a non-pharmacological disruption of reconsolidation, a “memory retrieval-extinction” procedure, was provided in an animal model of fear, in which a single reactivation trial provided prior to an extinction session prevented later recovery of this fear memory (Monfils et al., 2009). Rats were trained to fear a tone conditioned stimulus (CS) via pairings with a shock unconditioned stimulus (US). The following day the animals were presented with a brief (one tone CS) “reminder” cue followed 10 min, 1, 6, or 24 h later by extinction training that involved a further 18 CS alone presentations; 24 h later the animals were tested for long-term memory and following this for either a renewal or spontaneous recovery test. Rats in both groups showed normal loss of fear during extinction training. Rats that received standard extinction training also showed the normal reinstatement of fear via tests of renewal and spontaneous recovery. The rats that received the retrieval + extinction training did not show any recovery of fear. This retrieval – extinction training prevented the recovery of fear in this model. Retrieval – extinction training also produces relatively permanent fear loss in humans. In normal human subjects, Schiller et al. (2010) reported that a retrieval-extinction procedure rendered experimentally acquired fear resistant to reinstatement and spontaneous recovery. While these findings provide some evidence that the behavioral disruption of reconsolidation may reduce recovery of extinguished fear, it is important to note that there have been some successes (Clem and Huganir, 2010; Rao-Ruiz et al., 2011) and some failures (Chan et al., 2010; Costanzi et al., 2011; Soeter and Kindt, 2011) in replicating these findings.

Recently, Xue et al. (2012) adapted this retrieval – extinction protocol to study its effect on drug seeking in both non-human and human populations. For example, Xue et al., trained rats to self-administer intravenous heroin for 3 h/day for 10 days. The rats readily learned to do so. Then, during extinction, a normal extinction group received 14 daily 195 min extinction sessions whereby responses no longer yielded the drug reward. A Retrieval – extinction group also received 14 daily sessions but these were divided into a 15-min retrieval session followed 10 min later by a longer 180 min extinction session. In both these daily sessions, responding was not reinforced. Both groups showed the normal decline in heroin seeking across the course of extinction training. Later when tested for heroin priming reinstatement, the normal extinction group showed robust reinstatement whereas the retrieval – extinction group did not. Xue et al., were able to report similar effects for the cocaine primed reinstatement of cocaine seeking and spontaneous recovery as well as context-induced reinstatement of cocaine seeking. The effectiveness of the retrieval-extinction procedure in

preventing reinstatement has also been shown in an animal model of alcohol seeking. Millan et al. (2013) trained rats to respond for alcoholic beer. They then extinguished this responding. Whereas rats subjected to normal daily 1 h extinction training sessions later showed a robust context-induced reinstatement of alcohol seeking, rats that had received a 10-min retrieval session prior to a 50-min extinction session did not.

Remarkably, Xue et al. (2012) were able to extend these findings to cue-exposure treatments of heroin addicts in an inpatient treatment setting. On Day 1, participants rated craving levels following exposure to a 5-min video consisting of heroin cues. On Days 2 and 3, the participants were exposed to a 5-min video of heroin cues followed by extinction of these cues 10 min or 6 h later. Blood pressure and heart rate were monitored before and after cue-exposure. In this experiment, normal extinction training (i.e., neutral video followed by heroin cue extinction) produced no significant reduction in cue-induced craving or blood pressure changes. In contrast, the retrieval + extinction group (heroin video followed by heroin cue extinction) showed significant reductions in cue-elicited craving and blood pressure changes. These reductions were also long lasting, persisting up to 6 months following the brief 2 day extinction protocol. It remains to be determined whether the protective effects of this retrieval – extinction manipulation generalize beyond the treatment setting.

NOT MEMORY ERASURE AND NOT ALWAYS PROTECTIVE

The effects of the retrieval-extinction procedure on extinction of drug seeking have been interpreted as a behavioral disruption of the reconsolidation process (Monfils et al., 2009; Schiller and Phelps, 2011; Milton and Everitt, 2012). This is based on the assumption that standard extinction training yields new memory formation that competes with rather than replaces the original memory (Bouton, 1994). When extinction occurs following a retrieval trial, the original memory is assumed to be destabilized and labile allowing the extinction training to directly modify the original memory (Monfils et al., 2009; Torregrossa and Taylor, 2012). According to this interpretation, retrieval-extinction training leads to a change in the original memory that prevents the original memory from supporting reinstatement of drug seeking. Leaving aside the difficulties with making inferences based on the absence of responding (Lattal and Wood, 2013), reconsolidation theory yields two clear predictions about the process and mechanism underlying retrieval-extinction manipulations.

First, a key prediction of reconsolidation theory is that for the retrieval – extinction procedure to be successful, extinction training must occur inside the “reconsolidation window” (Monfils et al., 2009). The reconsolidation window is the hypothetical period of time after memory retrieval during which the memory is destabilized and yet to be reconsolidated. It is this period of destabilization that is purported to enable extinction training to directly modify the original training memory. The evidence in support of this comes from experiments that have shown that extinction training conducted outside the reconsolidation window is ineffective at preventing later reinstatement. For example, Xue et al. (2012) reported that if retrieval preceded extinction training by 6 h in either humans or rats, then it was ineffective at preventing

reinstatement. Thus, according to reconsolidation theory, the brief retrieval session must occur prior to extinction in order to disrupt the reconsolidation process. Millan et al. (2013) tested this possibility. Rats were trained to respond for alcoholic beer in daily 1 h sessions. Then responding was extinguished in daily sessions. For the control group, extinction consisted of daily 1 h sessions. For the retrieval – extinction group, extinction consisted of daily 50 min sessions followed 70 min later by a 10-min retrieval session. Recall that Millan et al. (2013) showed previously that the daily 10 min then 50 min sessions (i.e., retrieval + extinction training) yielded a resistance to reinstatement. In this experiment, a reversed extinction + retrieval manipulation likewise yielded a resistance to reinstatement of alcoholic beer seeking. This finding is opposite to that predicted by reconsolidation theory. Reconsolidation theory predicts that the retrieval trial must occur before extinction training in order to reactivate the original memory and allow the new extinction learning to be incorporated prior to reconsolidation (Tronson and Taylor, 2007; Nader and Hardt, 2009; Schiller and Phelps, 2011). It is not possible within this theory for a retrieval trial to act retrospectively on encoding of the extinction memory.

A second key prediction of reconsolidation theory is that the disruption of reconsolidation should be protective. The retrieval – extinction procedure, by directly targeting the original drug taking memories, removes, or severely weakens the basis for reinstatement and so should always protect against reinstatement in animal models and relapse in humans. According to the theory, this manipulation is not only protective but in fact, because it is held to directly alter the original drug seeking memory, it returns the animals to a state similar to that of a naive animal. The available evidence is partly consistent with this. The retrieval – extinction procedure is effective in reducing or abolishing reinstatement across a variety of forms of reinstatement in animal models including spontaneous recovery, drug priming reinstatement, and context-induced reinstatement. However, these forms of reinstatement fail to adequately model a key feature of relapse to drug taking in humans. Such relapse involves drug seeking behavior that yields a drug reward. In the animal models of reinstatement, the drug reward is not available on test. Millan et al. (2013) examined whether the retrieval-extinction procedure would likewise protect animals against reinstatement when the drug reward was contingently available on test. In this experiment rats were trained to respond for alcoholic beer. This responding was then extinguished. For the normal extinction group, extinction training consisted of daily 1 h extinction sessions. For the retrieval – extinction group, extinction training consisted of daily 10 min then 50 min extinction sessions separated by 70 min. Both groups were then tested under a progressive ratio (PR) schedule of reinforcement. The PR test is a widely used measure of the motivation to respond for and consume drug rewards. Importantly, Millan et al. (2013) included a third group on test that had never been trained or extinguished before. This naive group allowed assessment of the possibility that the retrieval – extinction manipulation rendered animals similar to drug naive animals. The PR tests showed that both the normal extinction and retrieval – extinction groups were more motivated to respond for the drug reward than the naive group.

Hence, retrieval – extinction training did not return animals to a state similar to a naive animal. Moreover, these tests showed that the retrieval – extinction manipulation significantly increased the motivation of animals to respond for and consume the drug relative to standard extinction training. These testing conditions model a key feature of relapse to human drug taking. This finding is theoretically interesting because it suggests boundary conditions on the effectiveness of retrieval – extinction training in protecting from reinstatement and it helps identify the precise mechanism of this training. It is practically significant because it may suggest caution in the application of the retrieval – extinction procedure to clinical settings. At minimum, it draws attention within the neuroscience field to the well known clinical possibility that the factors promoting or hindering a lapse may be different to those promoting or hindering relapse to drug taking (Marlatt et al., 1988). These findings were similar to those reported by Ma et al. (2011), where reinstatement of a previously extinguished CPP was augmented in a test 4 weeks after retrieval – extinction training. Taken together, these results suggest that the retrieval-extinction procedure is not always protective against reinstatement and, under some conditions, may actually increase vulnerability to reinstatement.

BEYOND RECONSOLIDATION: UNDERSTANDING HOW MODIFIED EXTINCTION TRAINING PROTOCOLS YIELD LONG LASTING BEHAVIOR CHANGE

Given the profound health, medical, and economic impact of drug use, there is a clear need for new approaches that effectively undermine the persistent propensity of drug users to relapse to drug taking after a period of abstinence and/or extinction. Under some circumstances, retrieval – extinction procedures can produce longer lasting behavioral change than a standard extinction procedure. This extends across a variety of drug reinforcers (heroin, cocaine, alcohol) and different self-administration procedures. Importantly, the protective effects of this retrieval-extinction procedure extend to studies of cravings in human drug users. This generalizability across drug classes and species, as well the procedural simplicity of the retrieval – extinction training, marks the retrieval-extinction procedure as an exciting and promising technique for experimental investigation and therapeutic intervention.

However, at the same time, this technique is poorly understood. The findings reviewed here question both the cause and the consequences of the retrieval – extinction protocol. The finding that a reversed extinction – retrieval manipulation is effective at attenuating some forms of reinstatement is inconsistent with the possibility that this is a behavioral disruption of reconsolidation. The finding that retrieval-extinction may increase vulnerability to reinstatement when testing conditions involves contingent presentations of the reinforcer shows that the retrieval – extinction procedure is not always protective. It is possible that this procedure deepens the learning that normally happens during extinction. Consistent with this is the finding that retrieval – extinction training potentiated extinction-induced changes in PKM ζ expression in the amygdala and prefrontal cortex (Xue et al., 2012) and deepened extinction learning can augment resistance to

reinstatement (Janak and Corbit, 2011). However, a deepened extinction explanation has difficulty explaining the augmented responding during tests of reacquisition. It is important that the mechanisms for retrieval-extinction training be further investigated. This procedure has great promise as a therapeutic intervention that significantly reduces relapse in drug dependent clinical populations. However, it is clear that the retrieval – extinction procedure is more complicated than previously thought and it may, under some conditions, actually promote relapse.

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It is essential that we develop a better understanding of how modified extinction training protocols yield long lasting behavior change.

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The plasticity of extinction: contribution of the prefrontal cortex in treating addiction through inhibitory learning

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Theories of drug addiction that incorporate various concepts from the fields of learning and memory have led to the idea that classical and operant conditioning principles underlie the compulsion of addictive behaviors. Relapse often results from exposure to drug-associated cues, and the ability to extinguish these conditioned behaviors through inhibitory learning could serve as a potential therapeutic approach for those who suffer from addiction. This review will examine the evidence that extinction learning alters neuronal plasticity in specific brain regions and pathways. In particular, subregions of the prefrontal cortex (PFC) and their projections to other brain regions have been shown to differentially modulate drug-seeking and extinction behavior. Additionally, there is a growing body of research demonstrating that manipulation of neuronal plasticity can alter extinction learning. Therefore, the ability to alter plasticity within areas of the PFC through pharmacological manipulation could facilitate the acquisition of extinction and provide a novel intervention to aid in the extinction of drug-related memories.

Keywords: extinction learning, prelimbic, infralimbic, prefrontal cortex, addiction

Once believed to result from an immoral personality or lack of will power, it is now clear that drug addiction is a disease of the nervous system that involves uncontrollable drug intake and compulsive drug-seeking behavior. As such, addiction is characterized by periods of repeated drug use followed by unsuccessful attempts to maintain abstinence. As a chronic relapsing disorder, addiction is associated with numerous brain changes that include signaling pathways, neurotransmitters, and cell mechanisms that overlap with those that mediate normal learning and memory processes. Thus, there have been numerous theories that incorporate mechanisms of learning and memory as a basis for drug addiction (O'Brien et al., 1992; Di Chiara, 1999; Volkow et al., 2002; Kelley, 2004; Wise, 2004; Hyman, 2005; Weiss, 2005). These theories suggest that through basic conditioning principles, certain behaviors and drug-environment associations become "overlearned" and thus contribute to the compulsive behavior of addicts.

In classical Pavlovian conditioning, also referred to as stimulus-outcome conditioning, the presentation of a conditioned stimulus (CS) paired with presentation of an unconditioned stimulus (US) after repeated pairings comes to elicit a conditional response (CR). In a drug context, the repeated pairing of the CS (e.g., environmental cues) with the reinforcing properties of a drug (US) results in the ability of the CS alone to elicit drug-seeking behaviors. Conversely, instrumental conditioning, also referred to as response-outcome conditioning, involves learning through consequences (either positive or negative) that are contingent upon a particular behavior. In a drug context, behaviors that lead to the reinforcing effects of a drug are more likely to be repeated in the future. It is believed that drug-taking behaviors become compulsive and automatic (instrumental conditioning) with repeated drug exposure, and the associations between drugs and specific

environmental cues and context become overly salient (classical conditioning). Conditioning processes also play a role in the influence of environments that predict drug availability to induce craving and promote relapse (Childress et al., 1988, 1999; Kalivas and Volkow, 2005).

The ability to suppress drug-seeking behaviors that are heavily influenced by drug memories is a logical therapeutic approach in the prevention of relapse. Extinction is the gradual reduction of a CR when the CS is no longer paired with the US. Functionally, it is observed as a decrease in responding from higher levels observed prior to extinction to lower levels following extinction training. Theoretically, this type of inhibitory training could reduce the occurrence of behaviors that are trademarks of addiction including drug-seeking and relapse. However, current implementations of extinction-based techniques, such as exposure therapy, have a poor record of efficacy (Childress et al., 1993; Conklin and Tiffany, 2002a,b). Therefore, there is a need to better understand the neural mechanisms that underlie extinction learning and develop therapeutic interventions that increase the success rates of cue exposure therapies. This could lead to treatments involving a combination of behavioral training and pharmacological interventions that create a more robust and persistent decrease in cue-induced affective responses to drug memories (Davis et al., 2006). A substantial amount of research has focused on the neurobiological processes that underlie the extinction of conditioned fear and non-drug reinforcers (e.g., food). While the majority of previous work has focused on understanding the mechanisms involved in fear/non-drug extinction, there is an increasing interest in understanding how these principles apply to addiction related behaviors. Results from the fear and non-drug extinction field have greatly informed and helped guide studies in addiction. Therefore, while the focus of

this review is on the extinction of drug-seeking behavior, observations from the fear/non-drug extinction field will be incorporated where appropriate.

WHAT IS EXTINCTION LEARNING?

At first glance, the phenomenon of extinction may appear to simply represent a process that involves the unlearning, forgetting, and/or erasure of a previously formed memory (Rescorla and Wagner, 1972). However, a large body of evidence gained over the past several decades provides strong support for the idea originally suggested by Pavlov (1927) that extinction is “new” and “active” learning and is not simply the “unlearning” or erasure of previously formed associations. Many of these studies have been carried out in rodents and involve the extinction of responding for a natural reinforcer such as food. In contrast, studies of extinction learning in addiction typically involve extinction of self-administration of a drug of abuse such as cocaine. These experimental procedures incorporate aspects of both instrumental and classical conditioning to train animals to perform a behavior (e.g., lever pressing) to receive access to a drug and associate discrete cues (e.g., auditory and/or visual) with the drug’s reinforcing effects. Regardless of the type of reinforcer used (e.g., food or drug), extinction is defined in this review as the omission of a previously delivered unconditioned stimuli/reinforcers or the absence of a contingency between a response and reinforcer (Lattal and Lattal, 2012). In addition, while extinction behavior can be observed in both classical and instrumental conditioning paradigms, this review will not attempt to define the neural mechanisms associated with each form of learning.

The idea that extinction involves new learning has great implications for not only understanding how drug memories can have a lasting influence on relapse but also for the development of pharmacological treatments for addiction. The following lines of evidence from studies examining the extinction of drug-related behaviors support the idea that extinction is indeed new learning:

- (1) After extinction training, drug-seeking behavior can be reactivated with a single stimulus without the need for additional behavioral training (Sinha et al., 2000; Stewart, 2000, 2003; Sinha, 2001; Shalev et al., 2002; See, 2005; Epstein et al., 2006; Kalivas et al., 2006; Olmstead, 2006).
- (2) Drug-seeking can resume after lengthy periods of abstinence or extinction training indicating that the original drug-memory remains and has not simply been deleted (Hammersley, 1992; Tobena et al., 1993; Corty and Coon, 1995; Di Ciano and Everitt, 2004).
- (3) Extinction is context-specific (Bouton, 2000, 2002, 2004; Chaudhri et al., 2008; Wells et al., 2011), which suggests that original memory of drug reinforcement is still present even after extinction training.
- (4) The retraining of self-administration after extinction is considerably less compared to original training (Carroll, 1998; Grasing et al., 2005).
- (5) Extinction learning has been shown to involve classic cellular hallmarks of learning and memory (Crombag and Shaham, 2002; Sutton et al., 2003; Self and Choi, 2004; Self et al., 2004; Knackstedt et al., 2010).

Thus, findings from the literature on addiction support the idea that extinction training is not the removal of a previously formed association but instead involves the generation of a new memory that competes with the initial memory for control of behavior. As such, the original associative and instrumental conditioning that occurs during the early stages of addiction remains intact. Based on similar findings from the fear extinction literature, Quirk et al. (2006) presented a schematic model to illustrate the idea that even though fear behavior decreases, the original fear memory remains. As depicted in **Figure 1** the same concept can be mapped onto the processes of addiction such that drug-seeking behavior declines during extinction training, but the drug-memory remains and competes with the newly formed extinction memory for the control of behavior. The formation of new memories during extinction training likely utilizes neural circuitry involved in basic learning and memory process. In the following sections we review studies that have highlighted specific brain regions and mechanisms involved in extinction learning.

NEUROCIRCUITRY OF THE EXTINCTION LEARNING

While the neurocircuitry of extinction is likely diffuse and involves a distributed network, there is evidence for the involvement of several key brain regions in drug-seeking, fear expression, and extinction behavior that could constitute differential circuits associated with each of these behaviors.

THE PREFRONTAL CORTEX

Increasing evidence has implicated the prefrontal cortex (PFC) in the extinction of both fear and drug-seeking behaviors. Anatomically, the rodent PFC is located in the anterior pole of the frontal cortex and is loosely defined as the anterior cingulate (ACC), medial PFC (mPFC), and orbital frontal cortex (OFC). As illustrated in **Figure 2**, the rodent mPFC can be further subdivided into a dorsal region called the prelimbic (PrL) cortex and a ventral region called the infralimbic (IfL) cortex. These subregions do not have well demarcated structural boundaries that can often make it difficult to clearly delineate these subregions, especially given the small size of the rodent brain. For this reason, investigators often simply divide this area into a dorsomedial PFC that includes the dorsal region of the PrL cortex and much of the overlying ACC, and a ventromedial PFC that includes the IfL cortex and the ventral portions of the PrL cortex (**Figure 2**). Defining analogous subregions of the PFC of rodents and human brain is also difficult due to the evolutionary expansion of the PFC. Therefore definitions are based not only upon common anatomical circuitry but also upon function. Based upon similarities in thalamic inputs, the rodent PrL region is considered to be equivalent to Brodmann area 32 (pregenual anterior cortex) and the IfL cortex is equivalent to Brodmann area 25 (subgenual anterior cortex) in the human (**Figure 2**). It should also be noted that the dorsolateral PFC of humans (conservatively defined as areas 9 and 46) is also considered to be equivalent to the rodent mPFC using a functional definition as both regions are involved in working-memory processes.

While complex behaviors such as working memory, impulsivity, motivation, and decision-making have often been linked to

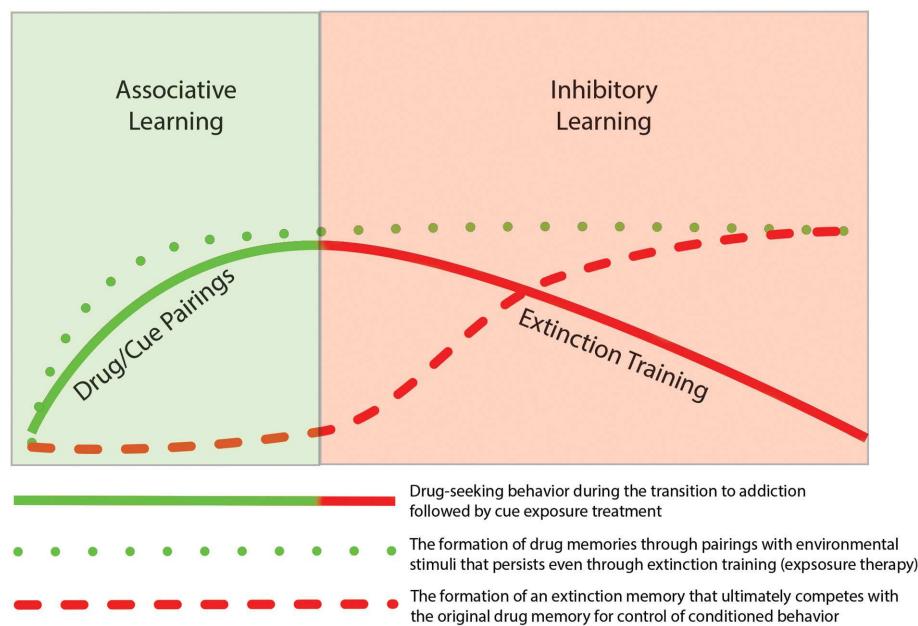


FIGURE 1 | Depiction of the temporal relationship of associative learning of drug-seeking behavior with inhibitory learning during subsequent extinction of the drug-seeking behavior. The initial phase of addiction involves associative learning processes in which drug-taking becomes linked through classic Pavlovian conditioning with drug-related cues (e.g., drug paraphernalia or drug-taking environment). With repeated pairing, this association results in formation of a persistent "drug memory." This memory

trace remains long after discontinuation of drug-taking. The extinction of drug-seeking by pairing unreinforced exposure of drug-related cues, does not result in the deletion of the original drug memory, but instead involves the formation of a new inhibitory "extinction memory." While this new memory provides inhibitory drive over drug-seeking behavior in the short term, the original drug-memory remains, which may explain the high rate of relapse following behavioral extinction therapies.

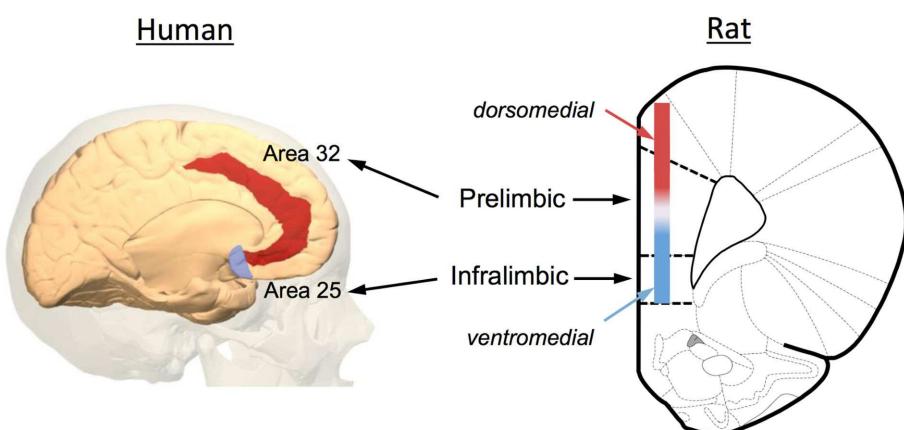


FIGURE 2 | Anatomical depiction showing the location of the prelimbic (PrL) and infralimbic (IfL) subregions of the medial PFC of the rat and their equivalent regions of the human brain. Based upon commonality of thalamic inputs, the rodent PrL region is roughly analogous to Brodmann area 32 while the IfL is roughly analogous to Brodmann area 25. Because of the small size of the rodent brain and the lack of defined borders for the PrL and IfL regions, some

investigators simply divide the rodent medial PFC into a dorsomedial and ventromedial region as illustrated in the diagram. The original image of the human brain shown on the left was modified from an image downloaded from Wikipedia (http://en.wikipedia.org/wiki/File:Brodmann_area_32_medial.jpg). The original rat brain image shown on the right was modified from Paxinos and Watson (6th Edition).

the cognitive function of the PFC, a number of recent studies have implicated PFC subregions in extinction behavior. In particular, lesion studies have shown that the PrL cortex is necessary for

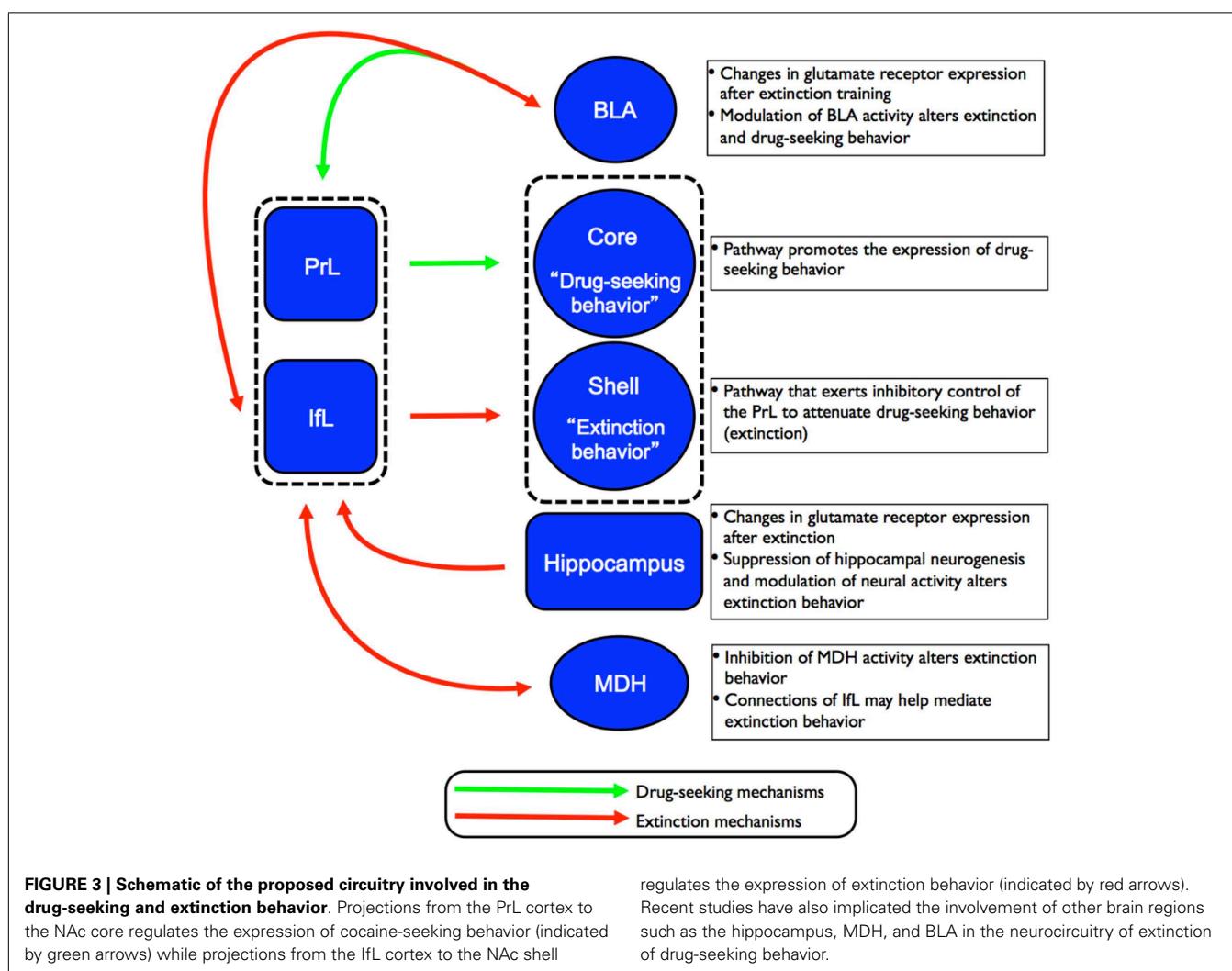
the expression of conditioned fear while the IfL cortex is critical for the expression of extinction behavior (for reviews, see Quirk et al., 2010; Sierra-Mercado et al., 2011; Milad and Quirk, 2012).

Drug-seeking behavior has been studied extensively in humans where it has been shown that presentation of drug stimuli significantly increase activation in specific regions of the PFC (for a review, see Goldstein and Volkow, 2011). Several inactivation studies have also implicated the PrL cortex of the rat as a critical component in the circuitry for drug-seeking behavior including cocaine (McFarland and Kalivas, 2001; Capriles et al., 2003; McLaughlin and See, 2003; McFarland et al., 2004; See, 2005; Di Pietro et al., 2006) and heroin (LaLumiere and Kalivas, 2008; Rogers et al., 2008). Additionally, the IfL cortex, which has been studied extensively in fear extinction, has also been implicated in the extinction of drug-seeking behavior (Ovari and Leri, 2008; Peters et al., 2008a,b). As depicted in **Figure 3**, converging lines of evidence from both the fear- and drug-conditioning fields suggest that the PrL cortex serves as an “on-switch” for conditioned fear expression and drug-seeking, while the IfL cortex functions as an “off-switch” for the expression of extinction behavior (LaLumiere and Kalivas, 2008; Peters et al., 2008a; Quirk and Mueller, 2008; LaLumiere et al., 2010). These subregions of the PFC could thus serve as candidate regions for plasticity-related changes associated with extinction behavior.

DORSAL AND VENTRAL STRIATUM

Different subregions of the striatum are important for mediating components of reward. The rodent striatum is divided into the dorsal and ventral striatum, and each of these regions can be further subdivided. Due to its involvement in habit learning, the dorsal striatum has been implicated in various aspects of the transition from voluntary behavior to uncontrolled habitual behavior that characterizes drug abuse (Robbins and Everitt, 2002; Weiss, 2005; Izquierdo et al., 2006). In particular, the dorsomedial subregion has been shown to modulate goal-direction actions that transitions to the dorsolateral striatum as these actions become habitual. The ventral striatum or nucleus accumbens (NAc) can be further divided into a lateral “core” and medial “shell” subregion. Through its connections with the PFC, amygdala, hippocampus, and motor regions, the NAc plays a role in guiding emotionally relevant behavioral responses related to the reinforcing properties of drugs and drug-related stimuli (Bonci et al., 2003; Di Chiara and Bassareo, 2007).

Recent studies have also implicated the NAc in extinction of drug-seeking behavior. Cocaine self-administration causes a decrease in tyrosine hydroxylase in the NAc shell which is reversed



with extinction training during withdrawal from cocaine (Schmidt et al., 2001). Extinction training also induces an upregulation in the expression of AMPA receptor subunits within the NAc shell (Sutton et al., 2003; Self et al., 2004). More recently, it was shown that inactivation for the NAc shell resulted in the expression of cocaine-seeking behavior, possibly through an interaction with the IfL cortex (Peters et al., 2008a). Similarly, activation of IfL glutamatergic projections with an AMPA receptor positive allosteric modulator reduced cocaine-seeking behavior, and blockade of AMPA activity in the NAc shell attenuated this effect (LaLumiere et al., 2012). Similar findings have been observed with the extinction of ethanol-seeking behavior. For instance, the NAc shell, possibly through interactions with the hypothalamus or the amygdala, helps mediate the expression of extinction behavior (Millan et al., 2010; Millan and McNally, 2011). With regards to the NAc core, extinction training also normalizes cocaine-induced deficits in levels of the GluN1 subunit of the NMDA receptor (Self et al., 2004). Consistent with its role in goal-directed and habitual actions, the dorsal striatum has also been implicated in the extinction of habitual cocaine-seeking behavior (Fuchs et al., 2006). These lines of evidence suggest that there is a significant amount of plasticity that occurs within the dorsal striatum and NAc during extinction learning, and that these regions are central in the neurocircuitry of extinction of drug-seeking behavior.

AMYGDALA

As is the case with the PFC and the striatum, the amygdala is made up of a complex of different substructures that differentially contribute to extinction of fear- and drug-seeking behavior. The amygdaloid complex includes the basal and lateral subregions (collectively known as the basolateral amygdala, BLA), medial amygdala (MeA), central amygdala (CeA), and cortical amygdala (CoA). The amygdala is involved with various learning and memory processes including formation and consolidation of emotional memories (Cahill et al., 2001; LaBar, 2003). The BLA also has an established role in synaptic plasticity associated with emotion-related behaviors, the processing of emotionally relevant stimuli (Cahill et al., 1995; McGaugh, 2004; Phelps et al., 2004; Maren, 2005; LaBar and Cabeza, 2006), and in stimulus-reward associations (Hatfield et al., 1996; Blundell et al., 2001; Baxter and Murray, 2002; Everitt et al., 2003; See, 2005; Balleine and Killcross, 2006). The BLA also plays an integral role in the formation of associations between drugs and environmental cues (Hiroi and White, 1991; Brown and Fibiger, 1993; Whitelaw et al., 1996; Rizos et al., 2005). While there has been a substantial amount of research implicating the BLA in the extinction of fear conditioning (Myers and Davis, 2002, 2007; Quirk et al., 2010; Sierra-Mercado et al., 2011), studies have also implicated this region in the extinction of drug-seeking behavior. For example, enhancement of glutamatergic transmission within the BLA facilitates the extinction of a drug-paired conditioned place preference (CPP) (Shidara and Richmond, 2002; Schroeder and Packard, 2004), and given the essential role of the BLA in drug-seeking (See et al., 2003), it is logical to assume that plasticity within this structure may also influence extinction learning.

HIPPOCAMPUS

The hippocampus is known to play an important role in various forms of learning and spatial/contextual memory and in memory consolidation/retrieval (Neves et al., 2008). The hippocampus is also involved in extinction behavior as evidenced by impairments in context-dependent extinction of fear conditioning that results from inactivation of this brain region (Corcoran and Maren, 2001; Corcoran et al., 2005; Ji and Maren, 2005) and cellular substrate inhibition (Szapiro et al., 2003; Vianna et al., 2003; Power et al., 2006). Similarly, studies have also implicated the hippocampus in the extinction of drug-related behaviors. Electrical stimulation of the ventral subiculum of the hippocampus reinstates cocaine-seeking (Vorel et al., 2001), and inactivation of this region abolishes cocaine drug-seeking (Sun et al., 2005). Neuronal activity within the CA1 and dentate gyrus (DG) has also been shown to change with extinction training of cocaine-associated cues providing further evidence that plasticity within this structure is associated with extinction behavior (Neisewander et al., 2000).

HYPOTHALAMUS

A less investigated structure that has recently been implicated in extinction behavior is the hypothalamus. This structure has traditionally been shown to be involved in reward and feeding but its influence on drug-seeking behavior is becoming better understood (for reviews, see Millan et al., 2011; Marchant et al., 2012). The medial dorsal hypothalamus (MDH) is associated with the termination of motivated behaviors and, therefore, is a logical candidate for involvement in extinction learning. In rats trained to self-administer alcohol and then exposed to extinction training, infusion of the inhibitory neuropeptide known as cocaine and amphetamine-regulated transcript (CART) into the MDH prevented the expression of extinction (Marchant et al., 2010). It is important to note that a similar effect was found with the extinction of sucrose-seeking behavior suggesting the mechanisms within the LDH that help regulate extinction may not be unique to drug reinforcers (Millan et al., 2011). To add further support for the role of the MDH in extinction behavior, this region receives extensive projections from the IfL cortex (Thompson and Swanson, 1998; Heidbreder and Groenewegen, 2003). In rats exposed to extinction training after a history of alcohol administration, the expression of extinction is associated with induction of c-Fos expression in retrograde labeled IfL cortical neurons projecting to the MDH (Marchant et al., 2010; Millan et al., 2011). Together, these findings suggest plasticity-related changes in the MDH, and through its connections with the IfL cortex, can mediate the extinction of reward-seeking behavior. These results also identify a brain region to investigate as a novel candidate for the facilitation of extinction behavior.

Based on findings detailed in the preceding sections, there are several key brain regions involved in extinction behavior. The exact details of how these structures interact to form a neurocircuitry that mediates extinction behavior have yet to be fully established. However, converging lines of evidence indicate that subregions of the PFC (and their corresponding projections to subcortical structures) play a major role in the extinction of drug and fear behaviors. Peters et al. (2009) proposed that extinction of drug memories comprises overlapping neural circuitry with that of fear

memories. According to the model of the neurocircuitry of fear conditioning, the PrL cortex sends excitatory projections to the BLA that, in turn, promote the expression of conditioned fear via excitation of the CeA. In contrast, the IfL cortex sends excitatory projections to GABAergic inhibitory neurons in the intercalated (ITC) cell masses in the amygdala. This leads to inhibition of the CeA and attenuation of the expression of conditioned fear, and promotes the expression of extinction behavior. In the neurocircuitry of the extinction of drug memories, the PrL cortex also sends excitatory projections to the core region of the NAc where it has been shown to regulate the expression of cocaine-seeking behavior. In contrast, excitatory projections from the IfL cortex to the shell region of the NAc promote the extinction of cocaine-seeking behavior. This proposed circuitry for the extinction of drug behaviors is depicted in **Figure 3**. What is currently unknown is how structures such as the BLA, hippocampus, and MDH contribute to the established role of the PFC subregions in extinction behavior.

GLUTAMATERGIC MECHANISMS IN EXTINCTION

In recent years, a number of studies have provided a more detailed analysis of the plasticity-related mechanisms that may mediate extinction behavior. Pathways connecting the various brain regions involved in extinction may differentially modulate the expression of drug-seeking and extinction of drug-seeking behavior. For instance, it was observed that there is increased activity of ventromedial PFC neurons in response to presentation of cocaine-related cues during extinction training. Interestingly, when activity in this region was inhibited, there was a corresponding decrease in extinction responding (Koya et al., 2009). Additionally, it has been shown that prefrontal regions have the ability to influence activity in other extinction-related brain structures. For instance, stimulation of IfL cortical output results in an inhibition of pyramidal neurons in the PrL cortex through a feed-forward mechanism (Ferrante et al., 2009). Similar results were found in a study that utilized optogenetic procedures to activate or inhibit specific cell types in isolated brain regions in combination with single-unit recordings of neuronal activity. It was revealed that optogenetic stimulation of viral vector encoding channel rhodopsin 2 (ChR2) excitatory neurons in the IfL cortex produced excitation of IfL cortical pyramidal neurons and also increased their responsiveness to excitatory input from multisensory brain regions (Ji and Neugebauer, 2012). It was further observed that activation of the IfL cortex inhibits PrL output, supporting the suggestion that IfL cortex mediated extinction mechanisms may involve inhibition of PrL cortex output that would ultimately mediate fear expression and possibly drug-seeking. Previous research has also shown that stimulation of the PrL region results in excitation of BLA neurons (Likhtik et al., 2005) and stimulation of the IfL region reduced the responsiveness of CeA neurons to inputs from the insula and BLA (Quirk et al., 2003). While these studies did not directly address extinction of fear expression or drug-seeking behavior, they provide support for how the IfL region of the PFC-through its direct projections to subcortical regions (e.g., amygdala, NAc, and hippocampus)-can mediate extinction behavior. Additionally, the ability of IfL cortical activation to exert inhibitory control over output from pyramidal neurons in the PrL

cortex may also impact the expression of fear and drug-seeking behaviors.

The highly persistent nature of drug- and fear-related cues to induce relapse and the ineffectiveness of behavioral therapies to reduce the impact of these cues has led to a focus on understanding the neural mechanisms involved in relapse with the goal that they may be targeted as a means to enhance extinction learning. Studies have pharmacologically manipulated cellular process and substrates in specific brain regions in an attempt to “strengthen” inhibitory learning formed during extinction training. Using various behavioral paradigms such as fear-conditioning procedures and drug-self administration, investigators have begun to uncover plasticity-related mechanisms that facilitate extinction learning. Given the importance of glutamatergic transmission in learning and memory processes, a strong focus has been placed on targeting glutamate-related processes in extinction learning. Manipulation of both ionotropic and metabotropic receptors facilitates the extinction of fear-conditioning and drug-seeking behavior (for reviews, see Cleva et al., 2010; Myers et al., 2011). While blockade of NMDA receptors impairs extinction learning, enhancement of these receptors with the NMDA partial agonist D-cycloserine (DCS) facilitates the acquisition of extinction of conditioned fear and drug-seeking behavior (Myers and Carlezon, 2012). Similarly, modulation of AMPA receptor activity, which like NMDA receptors is also critically involved in synaptic plasticity, can also facilitate extinction learning (Kaplan and Moore, 2011; Myers et al., 2011).

In addition to targeting ionotropic glutamate receptors, activation of mGluR5 have been shown to facilitate extinction learning through a process that may involve enhanced NMDA receptor function. Systemic administration of the mGluR5 positive allosteric modulator CDPPB facilitates extinction of cocaine-seeking behavior in CPP (Gass and Olive, 2009) and self-administration (Cleva et al., 2011) paradigms, but does not alter the extinction of methamphetamine self-administration (Widholm et al., 2011). Further implicating mGluR5 in extinction, studies in mGluR5 knockout mice revealed marked deficits in both contextual and auditory fear extinction (Xu et al., 2009). Additionally, inhibition of mGluR5 prior to extinction learning prevented the recall of extinction learning while localized infusion of a mGluR5 antagonist in the IfL cortex produced a similar effect (Fontanez-Nuin et al., 2011). A recent study also highlighted the importance of group 1 mGluRs in the ventromedial PFC in the extinction of cocaine-seeking behavior. In rats trained to self-administer cocaine, infusion of a mGluR1/5 antagonist into the dorsomedial PFC failed to alter the rate of extinction. In contrast, infusion of a mGluR1/5 agonist had a facilitating effect on extinction of cocaine-seeking behavior (Ben-Shahar et al., 2013). This study also revealed that animals displaying deficits in extinction learning also had a significant reduction in group 1 mGluR function in the ventromedial PFC. Together these intriguing findings provide further support for glutamate-related plasticity in the IfL cortex in extinction learning.

Studies of conditioned fear have shown that inactivation of the rostral BLA (rBLA) slows cocaine cue extinction learning, and it has been suggested that simultaneous activity in the rBLA and hippocampus might be required for the acquisition of cocaine

cue extinction learning (Szalay et al., 2011). Another study has shown that inactivation of the BLA not only resulted in a delay in extinction recall of an opiate reward memory, but also caused an increase in the spontaneous firing of neurons in the PrL cortex (Sun and Laviolette, 2012). This suggests that a functional link between the PrL cortex and BLA might modulate the processing of an opiate-related memory. An influence of AMPA receptor activity in the BLA during the extinction of cocaine-seeking behavior has also been reported. It was observed that expression of AMPA receptor subunit GluA1 decreased in the BLA but increased in the ventromedial PFC in response to extinction training (Nic Dhonnchadha et al., 2013), adding further support for a functional connection between the mPFC and amygdala in the extinction of drug-seeking behavior. In the hippocampus, extinction of a morphine-conditioned context was associated with changes in the phosphorylation of AMPA receptors at hippocampal synapses while no changes were observed in animals that were not exposed to extinction training (Billa et al., 2009). Furthermore, suppression of neurogenesis in the adult hippocampus after the acquisition of cocaine self-administration significantly enhanced resistance to extinction (Noonan et al., 2010). Similar to the effects observed in the rBLA, inactivation of the dorsal hippocampus slowed the rate of extinction of a cocaine memory (Szalay et al., 2011). Furthermore, cocaine self-administration training reduces neurogenesis in the DG, an effect that was normalized by extinction training (Deschaux et al., 2012). It was also observed that low frequency stimulation of the hippocampus prevented this extinction-induced normalization of DG neurogenesis. Together, these studies indicate a critical role of plasticity-related changes within the amygdala and hippocampus in the extinction of drug-seeking behavior. Although it has yet to be explored, it is possible that pharmacological manipulation of plasticity within these brain regions could serve to facilitate extinction of conditioned drug-seeking behavior.

NORADRENERGIC MECHANISMS IN EXTINCTION

While glutamate-related neurochemical processes have received the most attention in extinction behavior, an emerging area of interest is the role that noradrenergic mechanisms play in extinction learning (for an extensive review, see Mueller and Cahill, 2010). Norepinephrine has been shown to be involved in various aspects of memory, most notably the strengthening of memory formation (McGaugh, 2004). While there has been a renewed interest in the ability of noradrenergic mechanisms to mediate fear extinction, the results have been inconsistent. For example, it has been shown that systemic administration of the beta-adrenergic antagonist propranolol prior to extinction training impaired subsequent retrieval of contextual fear extinction (Ouyang and Thomas, 2005). However, direct infusions of norepinephrine into the amygdala after extinction training facilitated the extinction of contextual fear (Berlau and McGaugh, 2006), suggesting that noradrenergic mechanisms may help mediate the consolidation of extinction learning. It has also been shown that arousal-related norepinephrine release in the IfL cortex is important for the formation of fear extinction memory (Mueller et al., 2008).

There have been several interesting observations regarding the influence of noradrenergic mechanisms on the extinction of

drug-seeking behavior. Yohimbine, an alpha2-receptor antagonist that promotes the release of norepinephrine, impairs the extinction of cocaine CPP (Davis et al., 2008) and slows the rate of extinction of cocaine self-administration (Kupferschmidt et al., 2009). Furthermore, infusion of the beta-receptor agonist clenbuterol into the IfL cortex facilitates extinction of cocaine-seeking behavior (LaLumiere et al., 2010). These studies add support to the growing body of evidence that areas of the PFC are heavily involved in extinction behavior, and one possible mechanism could be noradrenergic-related changes in this region. Norepinephrine release alters the cellular properties of target neurons that may enhance excitability and synaptic plasticity and thus promote the formation of an extinction memory (Mueller and Cahill, 2010). Support for this comes from studies showing that norepinephrine enhances intrinsic excitability in the IfL cortex (Barth et al., 2007; Mueller et al., 2008), amygdala (Tully et al., 2007), and hippocampus (Pedreira and Maldonado, 2003).

EPIGENETICS AND EXTINCTION

Epigenetic mechanisms associated with extinction learning have received substantial attention over the past several years and are providing unique insight into plasticity-related mechanisms of extinction. Epigenetic modification refers to the structural adaptation of chromosomes that results in altered activity states (Bird, 2007; Graff and Tsai, 2013). Epigenetic mechanisms exert lasting control over gene expression without altering the genetic code and may mediate stable changes in brain function (Tsankova et al., 2007). Investigation into the epigenetic regulation of neurobiological adaptations that are associated with psychiatric disorders, including addiction and PTSD, could provide novel approaches to the mechanisms underlying extinction learning.

The formation of long-term memories is thought to correlate with changes in gene expression. Research suggests that epigenetic-related mechanisms, such as histone acetylation/deacetylation and DNA methylation/demethylation, may mediate some of these processes (for a review, see Tsankova et al., 2007). For example, memory deficits in rodents can be recovered with administration of a histone deacetylase (HDAC) inhibitor, while conditioning in rodents is associated with histone protein H3 phosphoacetylation and chromatin remodeling (Levenson and Sweatt, 2005). Furthermore, synaptic plasticity is associated with epigenetic changes and can be promoted with HDAC inhibitors (Levenson et al., 2004). While these data indicate that epigenetic mechanisms are involved during the acquisition of conditioning, evidence also indicates that these same mechanisms may play a role in extinction learning.

In fear conditioning, it has been shown that acetylation and deacetylation of histones can enhance memories formed during conditioning and extinction behavior (Levenson et al., 2004; Bredy et al., 2007; Lattal et al., 2007). The non-selective HDAC inhibitor valproic acid can facilitate not only the acquisition and extinction of conditioned fear, but also the reconsolidation of this memory (Bredy and Barad, 2008). Similar results have been obtained with the HDAC inhibitor vorinostat (Fujita et al., 2012). It has also been shown that deficits in the extinction learning of conditioned fear in isogenic 129S1 (S1) mice can be recovered by administration of an HDAC inhibitor (Whittle et al., 2013). Administration of another non-selective HDAC inhibitor sodium butyrate (NaB)

has a facilitating effect on the extinction of a fear memory in mice (Itzhak et al., 2012), which might be due, at least in part, to epigenetic-related mechanisms in the hippocampus and IfL cortex (Stafford et al., 2012). Furthermore, overexpression of HDAC1 in the hippocampus has also been shown to facilitate the extinction of contextual fear memories, and this effect can be prevented by inhibition of HDAC1 (Bahari-Javan et al., 2012). Finally, inhibition of p300 (a histone acetyltransferase) in the IfL cortex can enhance extinction of fear conditioning in mice, which was suggested to result from the influence of p300 on LTP in this brain region (Marek et al., 2011).

While there have been substantially fewer studies examining the epigenetic changes that accompany the extinction of drug-seeking behavior, similar results to the fear-conditioning literature have been observed. Malvaez et al. (2010) examined the effect of HDAC inhibition on the extinction of a cocaine-induced CPP. They found that systemic administration of NaB facilitated the extinction of the cocaine memory and attenuated reinstatement of cocaine-seeking behavior. Importantly, these behavioral effects correlated with enhanced acetylation of histone H3 in the NAc. Systemic administration of the HDAC3 inhibitor RGFP966 also facilitates the extinction of a cocaine-related memory, and it was suggested that this effect was mediated by enhancement of memory consolidation during extinction learning (Malvaez et al., 2013). These effects were also associated with histone acetylation linked to gene expression in the IfL cortex, hippocampus, and NAc. Taken together, observations from the fear and addiction fields have provided intriguing insights into the possible therapeutic targets related to epigenetics that could potentially be utilized to facilitate the extinction of emotionally salient memories. While further research is needed to fully clarify the roles of these mechanisms in the extinction of drug-related memories, this is a promising area of investigation for the extinction of drug cues given the established role of epigenetic mechanisms in memory.

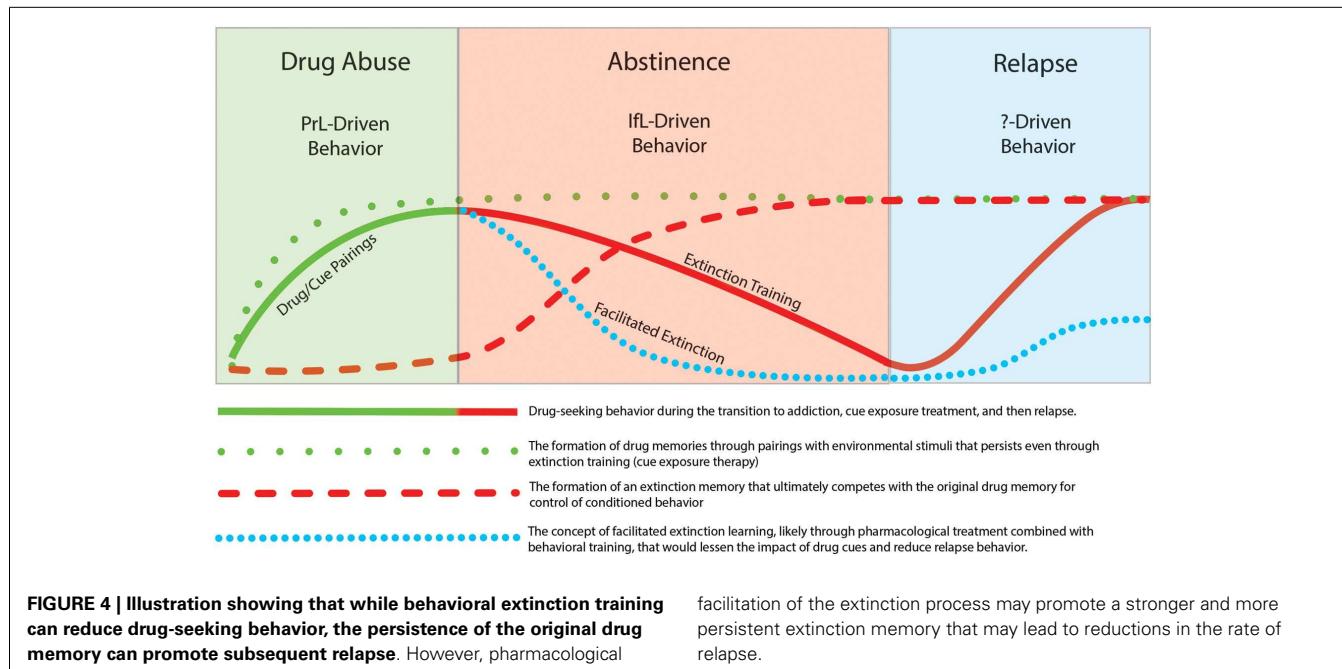
EXTINCTION VERSUS RECONSOLIDATION

The widely held belief that extinction learning involves the acquisition of new memories has been challenged recently with the idea that behavior typically interpreted as extinction learning may actually represent *reconsolidation* of previously formed memories (for reviews on this topic, see Dudai and Eisenberg, 2004; Nader and Einarsson, 2010; Sorg, 2012). During the initial coding of events, memories are labile, but subsequently consolidate into long-term storage through protein synthesis-dependent mechanisms (Quirk et al., 2010). Thus, extinction training may serve to reverse or update previously formed contingencies (Sorg, 2012). As such, exposure to extinction training shortly after reactivation of a fear memory attenuates recovery, renewal, and reinstatement of conditioned fear (Monfils et al., 2009; Quirk et al., 2010). Importantly, studies have shown that timing of the CS presentation is critical in order to temporarily activate the labile state in which updates to the CS-US association can occur. Reconsolidation typically requires short presentations of the CS (Nader and Hardt, 2009), and presentation of the CS alone within 6 h after memory reactivation results in behavioral effects that reflect unlearning as opposed to the inhibition of fear (Nader et al., 2000; Quirk et al., 2010). Theoretically, the ability to modify existing memories, as opposed to creating

new inhibitory associations through the facilitation of extinction learning, could be advantageous over extinction-based exposure therapies. Studies show that while extinction learning can be facilitated pharmacologically, these effects can be context-dependent (Bouton, 2000, 2002, 2004; Milad et al., 2005; Woods and Bouton, 2006). Modification of the original memory, rather than the creation of competitive memories, might manifest a behavior that is more resistant to the influence of context (Quirk et al., 2010), an idea that has clinical support. For instance, administration of a beta-adrenergic receptor antagonist during reconsolidation removes the fear-arousing aspects of the conditioned memory (Soeter and Kindt, 2011). This effect was not specific to the initial stimuli used in the fear-conditioning paradigm and generalized to related stimuli. While there is excitement in the field that revolves around the influence of reconsolidation on extinction behavior, more research is clearly needed to fully elucidate the contributions of both processes in the inhibition of behavior.

CONCLUSION

In this review, we focused on studies that incorporate learning principles in extinction training with the goal of lessening the influence of these cues on addictive behavior. It has been widely recognized that drug use and relapse are strongly cue specific (Drummond and Glaudier, 1994) and one of the most important factors that contributes to relapse is the impact of drug cues on drug-seeking behavior. In recent years, there has been increasing attention on the neural mechanisms that underlie extinction learning in an effort to manipulate and possibly enhance learning that occurs during inhibitory conditioning. Clinically, extinction-based behavioral therapies have generally proven ineffective for suppression of relapse to drug taking. This lack of efficacy may relate to the fact that extinction learning does not erase the original drug memory but instead involves formation of a new extinction memory that acts in competition for control of behavior with the drug memory. However, the intransigent nature of the drug-memory appears to promote subsequent relapse to drug-taking. The temporal relationship of extinction and relapse are depicted in **Figure 4**. While extinction training alone can initially reduce drug-seeking behavior, these effects are likely context-specific. Thus, when the addict is exposed to drug cues outside of the treatment environment, the drug memory that was suppressed but not erased during extinction training, can reinitiate drug-seeking and drug use. Although speculative, pharmacological facilitation of extinction learning may enhance formation of an inhibitory memory that is much “stronger” than the initial drug memory and may help protect against cue-induced relapse. Recent research has shed light on pharmacologically targeting glutamatergic, adrenergic, and epigenetic mechanisms to enhance inhibitory learning during extinction training. Furthermore, while the neurocircuitry of extinction likely involves a distributed network of different brain regions that include the mPFC, NAc, amygdala, hippocampus, and hypothalamus, recent studies have implicated opposing roles of the PrL and IfL subregions of the PFC in the control of drug-related behavior. A model has emerged in which drug-seeking is likely a PrL cortex driven behavior while extinction learning and the resulting inhibition of drug-seeking is a IfL cortex driven behavior. One aim of future research is to elucidate the contribution



of these different neural regions and mechanisms to the facilitation of extinction learning to ultimately develop more effective treatments for addiction.

Although there have been substantial advances in our understanding of the neural mechanisms involved in the extinction of drug-related memories, a number of important issues need to be addressed by additional studies in the field of drug addiction. For example, while the neural circuits that mediate extinction of fear behavior do not overlap directly with those in drug-seeking behaviors, are the mechanisms that mediate extinction the same for all drugs of abuse? There is strong evidence for involvement of the PrL cortex in cocaine-seeking and IfL cortex in cocaine extinction behavior. However, there are few and sometimes conflicting findings with other drugs of abuse, such as heroin (Rogers et al., 2008), methamphetamine (Rocha and Kalivas, 2010), and alcohol (Millan et al., 2010). In addition, as recent research begins to highlight the importance of other structures in the extinction of drug memories, how do they interact with the established role of the PFC in mediating extinction behavior? The identification of the specific roles of the hippocampus, amygdala, and hypothalamus and their influence on a “final common pathway” through the PFC could provide insight into possible therapeutic targets to enhance extinction learning.

The standard procedure for extinction training is repeated presentations of the CS in absence of the US. While this method has permeated the literature since the days of Pavlov, it is not clear whether this is the most effective approach. It is of interest

that several studies have examined the “retrieval-extinction” approach that combines extinction training with brief drug-memory retrieval (to activate the labile state of the memory) that have produced encouraging results (Hutton-Bedbrook and McNally, 2013).

With an increased focus on the importance of consolidation in promotion of extinction learning, a particularly interesting area of future research will be to understand the effect of sleep and sleep insomnia in extinction learning. Coordinated activity in the PFC and hippocampus during sleep is critical for the consolidation of memories (Euston et al., 2007). Sleep has been shown to promote retention of fear extinction memory (Pace-Schott et al., 2009, 2012). Interestingly, while extinction training can attenuate sleep disturbances (Wellman et al., 2008), the bidirectional relationship between these two processes and how they contribute to the extinction of drug memories is largely unexplored.

Lastly, there are multiple studies showing that context is a major hurdle in using extinction-based treatment approaches, and another important area of research will be to determine whether context specificity of extinction can be prevented. Context is not limited to common environmental stimuli associated with drug use and can include factors such as drug states and the passage of time (Bouton et al., 2012). Thus, there are many types of stimuli that serve as contextual cues to promote relapse, and future research is needed in order to understand how pharmacological manipulation of extinction training could be used to minimize the influence of context.

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Emerging role for corticotropin releasing factor signaling in the bed nucleus of the stria terminalis at the intersection of stress and reward

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Stress and anxiety play an important role in the development and maintenance of drug and alcohol addiction. The bed nucleus of the stria terminalis (BNST), a brain region involved in the production of long-term stress-related behaviors, plays an important role in animal models of relapse, such as reinstatement to previously extinguished drug-seeking behaviors. While a number of neurotransmitter systems have been suggested to play a role in these behaviors, recent evidence points to the neuropeptide corticotropin releasing factor (CRF) as being critically important in BNST-mediated reinstatement behaviors. Although numerous studies indicate that the BNST is a complex brain region with multiple afferent and efferent systems and a variety of cell types, there has only been limited work to determine how CRF modulates this complex neuronal system at the circuit level. Recent work from our lab and others have begun to unravel these BNST neurocircuits and explore their roles in CRF-related reinstatement behaviors. This review will examine the role of CRF signaling in drug addiction and reinstatement with an emphasis on critical neurocircuitry within the BNST that may offer new insights into treatments for addiction.

Keywords: extended amygdala, reinstatement, relapse, excitatory transmission, addiction

INTRODUCTION

Alcohol and drug addiction are chronically relapsing disorders in which alcohol/drug use progresses from initial stages of limited, non-dependent intake to later stages of uncontrolled abuse (Koob, 2009; Koob and Volkow, 2010). One prominent theory posits that initial periods of use are driven primarily by the positive reinforcing value of drugs and alcohol (euphoria) while later stages of alcohol/drug addiction are driven by negative reinforcement (relief of withdrawal-induced negative affective states) (Koob and Volkow, 2010). The primary reinforcing effects of alcohol and other drugs are thought to occur by increased dopamine (DA) signaling that leads to enhanced activity of the mesocorticolimbic pathway, which in turn likely leads to escalated craving (Wise, 1980; Di Chiara and Imperato, 1988; Di Chiara, 2002; Volkow et al., 2003). Escalated alcohol/drug taking and prolonged binge episodes are thought to result in adaptation to the mesocorticolimbic pathway that results in devaluation of natural rewards, diminished cognitive control of behaviors, and increased salience of drug-related stimuli (Koob and Le, 2001; Koob and Volkow, 2010). During this time, the dorsal striatum, which typically plays a limited role in the acute reinforcing effects of drugs, becomes engaged after prolonged drug exposures and promotes compulsive drug-seeking typical in addiction (Everitt et al., 2008). For more complete reviews of mesocorticolimbic function in the initiation of drug addiction refer to (Feltenstein and See, 2008; Koob and Volkow, 2010).

Stressors and negative affective states, such as anxiety and depression, are often cited by recovering addicts as key instigators

of drug craving and relapse (Sinha, 2007). Drug/alcohol binges are typically followed by various lengths of drug-withdrawal periods and numerous studies have shown that repeated binge/withdrawal episodes can recruit and sensitize brain regions associated with negative affective states, such as those that comprise the extended amygdala (for review see Koob, 2008; Koob and Volkow, 2010). Once recruited during withdrawal, brain regions associated with negative affect can remain hypersensitive even after extended periods of abstinence (Santucci et al., 2008). Furthermore, relief of negative emotional states is thought to be a critical component of alcohol/drug seeking during withdrawal (Koob, 2009). This suggests that brain regions associated with stress reactivity and negative affect, particularly the extended amygdala, become hypersensitive following repeated binge/withdrawal cycles and may mediate the transition to long-term addictive behaviors via negative reinforcement.

Altogether, these ideas support an important role of stress-related neurocircuitry in the progression of addiction and in relapse. Clinical studies on relapse have been paralleled and now extended in preclinical studies utilizing reinstatement models (Shaham et al., 2003). In this manuscript, we will review recent findings on the neurocircuitry of drug-seeking behaviors with a specific focus on those systems involved in enhanced drug-seeking during stress-induced relapse. We will also highlight potential mechanisms by which stress-related neurocircuitry may modulate drug-seeking behaviors that could be used for potential treatment targets for alcoholism and drug addiction.

NEUROCIRCUITRY INVOLVED IN DRUG SEEKING DURING WITHDRAWAL AND REINSTATEMENT

Reinstatement models typically involve training an animal to work to receive a drug or alcohol for a given period of time, then extinguishing that behavior before triggering the animal to seek out drugs again (Shaham et al., 2003; Epstein et al., 2006). Typical triggers of reinstatement are (1) re-exposure to the same or related drug previously administered (drug-induced reinstatement), (2) giving the animal drug-associated stimuli or cues (cue-induced reinstatement), or (3) exposure to a variety of stressors (stress-induced reinstatement). Work from reinstatement models has shown distinct roles of multiple brain regions and neurotransmitter systems in each type of reinstatement.

NEUROCIRCUITRY OF DRUG-INDUCED REINSTATEMENT

A great deal of research has shown that increased activity of brain regions projecting to the mesocortical DA system is a critical factor in drug-induced reinstatement models (for review see Kalivas and Volkow, 2005; Feltenstein and See, 2008). One pathway shown to be critical to drug-induced reinstatement is a glutamatergic projection from the medial prefrontal cortex to the nucleus accumbens (Stewart and Vezina, 1988; Cornish and Kalivas, 2000; McFarland and Kalivas, 2001). Furthermore, limbic areas like the basolateral amygdala (BLA) may play a role in drug-induced reinstatement by enhanced activity of its glutamatergic projections to mesocorticolimbic system (McFarland and Kalivas, 2001; Fuchs and See, 2002). Therefore drug-induced reinstatement likely occurs via increased glutamatergic transmission to enhance mesocorticolimbic pathway activity, likely from cortical and limbic areas as well as by direct action of the drug of abuse on mesocorticolimbic DA receptors (for review see, Feltenstein and See, 2008).

NEUROCIRCUITRY OF CUE-INDUCED REINSTATEMENT

In addition to its role in drug-induced reinstatement, numerous studies have shown an important role for the BLA in cue-induced reinstatement. Exposure to drug-associated cues results in increased DA release and increased c-fos activation in the BLA following withdrawal (Neisewander et al., 1998; Weiss et al., 2000). Furthermore, intra-BLA injections of DA receptor antagonists block cue-induced reinstatement (See et al., 2001). Stimulation of the BLA has been shown to increase DA efflux in the nucleus accumbens via a glutamate receptor-dependent mechanism (Howland et al., 2002) suggesting an important role of glutamatergic afferents to the mesolimbic DA system in cue-induced reinstatement. The medial prefrontal cortex (Van den Oever et al., 2010) and the central nucleus of the amygdala (Radwanska et al., 2008) have also been shown to be important in cue-induced reinstatement.

Overall, these findings suggest that DA or glutamatergic neurotransmission in the mesocorticolimbic pathway or its afferents could be targets for therapies to reduce relapse in recovering addicts. However, use of dopaminergic agonists has yet to be proven effective for long-term relapse treatment (Lingford-Hughes et al., 2010) and may be problematic in regards to abuse

liability (Shorter and Kosten, 2011). In addition, therapeutics targeting DA receptors may be problematic because of potential side effects due to interactions with motor systems or interactions with the cardiovascular system since modulating DA receptor activity can have effects on hemodynamics and cardiovascular function (Zeng et al., 2007; Banday and Lokhandwala, 2008). Furthermore, drugs targeting glutamatergic transmission given orally may also cause problematic side-effects as modulating glutamate receptors can adversely affect many other brain regions not involved in reinstatement. These findings leave the field open to the need of more selective DA or glutamatergic drugs or drugs targeting different receptor systems.

EXTENDED AMYGDALA NEUROCIRCUITRY IN STRESS-INDUCED REINSTATEMENT

Stress-induced reinstatement may be a critical model for finding suitable therapeutic targets for two important reasons. First, recovering addicts can work to modify their behavior to avoid drug re-exposure and exposure to drug-related cues as often as possible while stress in daily human life is virtually inevitable. Situations like family issues, finding and maintaining work, and even traffic in daily commutes can be stressful events to any person and may be sensitized in recovering addicts. Therefore, it is not surprising that stress is a major trigger for relapse in addicted patients (Sinha, 2007) and may make therapies targeting this system more likely to be effective in preventing relapse. Second, the neuromodulatory systems involved in stress-induced reinstatement described below may make for better pharmacotherapeutic targets due to their limited abuse liability and potentially less significant side effect profiles.

A great deal of work has examined stress-induced relapse in the preclinical setting, and a variety of stressors have been shown to reinstate drug-seeking behaviors or preference. These include foot-shock, restraint stress, and forced swim stress (Shaham et al., 2003; Tschentke, 2007; Shalev et al., 2010). These studies have revealed key neurobiological mechanisms of stress-induced reinstatement, with a particular focus on the effects of two stress-related neuromodulatory systems, norepinephrine (NE) and corticotropin releasing factor (CRF), in two related brain regions of the extended amygdala, the central nucleus of the amygdala and bed nucleus of the stria terminalis (BNST) (Shaham et al., 2003; Epstein et al., 2006; Sofuooglu and Sewell, 2009; Erb, 2010; Haass-Koffler and Bartlett, 2012).

Withdrawal from chronic drug abuse can lead to NE dysfunction in the clinical population that is associated with increased vulnerability to anxiety (McDougle et al., 1994). Numerous preclinical studies have also shown drug-withdrawal-induced increases in anxiety-like behaviors and withdrawal-induced escalation in drug intake can be ameliorated by blockade of β - and α_1 -adrenergic receptors (ARs) (Rudoy and Van Bockstaele, 2007; Wee et al., 2008; Rudoy et al., 2009; Forget et al., 2010; Verplaetse et al., 2012). Importantly, ICV injection of NE increases fos expression in the BNST (Brown et al., 2011) and β -AR antagonists microinjected into the extended amygdala can block stress-induced reinstatement (Leri et al., 2002) suggesting that dysfunction of NE systems in the extended amygdala is likely a key factor in enhanced drug-seeking following stress.

CENTRAL AMYGDALA NEUROCIRCUITRY IN ADDICTION

The central amygdala (CeA) appears to contribute to the use of a number of different drugs. Acute and chronic alcohol/drug exposures and withdrawal increase CRF biosynthesis in the CeA (Merlo et al., 1995; Rodriguez de et al., 1997; Richter and Weiss, 1999; Maj et al., 2003; George et al., 2007; Zorrilla et al., 2012) and the CeA sends a CRF-containing projection to the BNST that is critical for stress-induced reinstatement (Erb et al., 2001). Therefore, an understanding of drug/alcohol interactions with CeA CRF neurocircuitry may provide an insight into an important interface between stress and addiction. A series of studies have shown that EtOH enhances GABAergic neurotransmission in the CeA via a CRF type 1 receptor (CRFR1)-dependent mechanism (Roberto et al., 2003, 2010; Nie et al., 2009). Mice exposed to chronic intermittent ethanol (CIE) exhibit higher levels of EtOH drinking, increased GABA release, and heightened CeA CRFR1 sensitivity during withdrawal, suggesting a key role of CRF-GABA interaction in the CeA in the development of EtOH dependence (Roberto et al., 2004, 2010). Furthermore, treating mice with CRFR1 antagonists blocked the ability of CIE to increase alcohol drinking (Roberto et al., 2010). CIE-induced increases in alcohol self-administration are also blocked by an intra-CeA microinjection of a non-selective CRFR antagonist (Funk et al., 2006a). CeA CRF neurocircuitry is also activated during binge-like EtOH self-administration prior to the development of dependence and binge-like EtOH consumption can be reduced by intra-CeA microinjections of CRFR1 antagonists (Lowery-Gionta et al., 2012). Since CRFR1 antagonists can block stress-induced increases in EtOH self-administration (Hansson et al., 2006; Marinelli et al., 2007; Lowery et al., 2008), these findings indicate that changes in CeA CRF signaling may play an important role in the development and maintenance of EtOH addiction and in relapse.

In addition to its effects on CeA GABAergic neurotransmission and its functional role in EtOH induced alterations to CeA activity, CRFR1 can also enhance CeA glutamatergic neurotransmission. CRFR1 activation increases glutamate release from specific presynaptic sources in the CeA (Liu et al., 2004; Silberman and Winder, 2013) and can induce long-term potentiation of the BLA-CeA pathway (Fu et al., 2007). This effect can be manipulated by chronic drug exposures as withdrawal from chronic intermittent cocaine can enhance CRFR1 induced long-term potentiation of CeA synaptic transmission (Fu et al., 2007), suggesting that CeA CRF signaling is important for cocaine related behaviors and may play an important role in the development of cocaine addiction. Blockade of CeA CRFR1 can also attenuate dysphoria associated with nicotine withdrawal (Bruijnzeel et al., 2012). These findings suggest that changes in CeA CRF neurotransmission may play a role in addiction to multiple drug types. However, although CRF-producing neurons do exist in the CeA, it is not yet clear if these neurons are the source of extracellular CRF in the CeA as our recent studies suggests that CRF neurons in the CeA may be predominantly projection type (Silberman et al., 2013). Indeed, some evidence indicates that other brain regions may be the major source of extracellular CRF in the CeA (Uryu et al., 1992). It is also not yet clear how alcohol/drugs might alter the activity of CeA CRF neurons that project to the BNST. Future research will be needed

to determine how CeA CRF signaling to the BNST is altered by chronic alcohol or drug exposure that may make them more sensitive to stress to promote CRF release in the BNST to initiate reinstatement.

BED NUCLEUS OF THE STRIA TERMINALIS NEUROCIRCUITRY IN STRESS-INDUCED REINSTATEMENT

Alcohol and other drugs of abuse can also modulate CRF activity in the BNST. Protracted withdrawal from cocaine, heroin, and alcohol can result in a dysregulation of the intrinsic excitability of some BNST neurons via a CRF-mediated mechanism (Francesconi et al., 2009), suggesting that repeated activation of BNST CRF receptors likely plays a critical role in the development of drug-withdrawal symptomatology. Furthermore, microinjections of CRFR1 antagonists into the BNST can block stress-induced reinstatement of drug-seeking (Erb and Stewart, 1999; Erb et al., 2001) while microinjections of CRF into the BNST can drive reinstatement for drug-seeking (Erb and Stewart, 1999). Together, these findings suggest that CRFR1 within the BNST is a critical component of stress-induced reinstatement behaviors.

While the above studies have shown a clear role of BNST CRF signaling in stress-induced reinstatement of cocaine seeking, it is less clear what role CRF signaling in the BNST plays in alcohol addiction. For instance, although intra-CeA injections of CRF antagonists post CIE can block CIE-induced increases in EtOH self-administration, post-CIE intra-BNST injections of the same antagonist does not block enhanced drinking (Funk et al., 2006a). However, a series of studies indicate that BNST CRF signaling becomes enhanced during exposure to stressors that elicit reinstatement to ethanol seeking (Le et al., 2000; Funk et al., 2006b). Interestingly, cycles of stressors can substitute for cycles of intermittent EtOH exposures to increase withdrawal-induced anxiety, an effect that is also CRF receptor dependent (Breese et al., 2004). Furthermore, recent studies indicate that intra-BNST injections of CRF before ethanol exposure sensitized ethanol-withdrawal-induced anxiety while intra-BNST CRFR1 antagonist injections prior to stress blocked increases of anxiety-like behavior during ethanol withdrawal (Huang et al., 2010). Therefore, it is likely that the combination of repeated EtOH exposure and stressors (environmental stress or drug-withdrawal stress) sensitizes BNST CRF activity to promote anxiety-like behaviors in withdrawal. This sensitized BNST CRF activity may increase the likelihood of stress-induced reinstatement of ethanol and other drugs of abuse.

MECHANISMS OF NE/CRF INTERACTIONS IN STRESS-INDUCED REINSTATEMENT

Together, the findings reviewed above indicate that both NE and CRF in the extended amygdala are key components of both acute drug-withdrawal syndromes and reinstatement. Although we now have a better understanding of the neurocircuitry and neurotransmitter systems involved in stress-induced reinstatement, it is still unclear how chronic exposure to drugs modulates NE/CRF-related neurocircuitry in the extended amygdala to sensitize stress pathways and precipitate reinstatement. For these reasons, our lab and others have recently focused on this neurocircuitry to elucidate the major neuronal mechanisms involved in enhanced

stress sensitivity following chronic drug exposure and role of this circuitry in the addiction process.

NE/CRF INTERACTIONS IN THE BNST PROMOTE REINSTATEMENT TO DRUG SEEKING

While the work described in the previous section indicates an important role of NE and CRF signaling in modulation of BNST activity in stress-induced reinstatement behaviors, the mechanisms by which stress-related signaling modulates extended amygdala activity and how this modulated activity drives alcohol/drug seeking is not well understood. One clue as to the mechanism of BNST NE and CRF signaling is that pretreatment with a CRFR antagonist can block reinstating effects of AR stimulation while blockade of adrenergic signaling does not alter CRF-induced reinstatement (Brown et al., 2009). Given the likely role of β -AR receptors in the BNST in stress-induced reinstatement (Leri et al., 2002), these findings suggest that β -AR and CRF systems may interact in the BNST to initiate drug-seeking behavior following stress exposure and that β -ARs and CRFRs may work in a serial fashion to enhance BNST activity. To confirm this mechanism, our lab examined the role of β -ARs and CRFRs on glutamatergic transmission in the BNST (Nobis et al., 2011). In these studies, the β -AR agonist, isoproterenol, and CRF increased the frequency of spontaneous glutamatergic neurotransmission in the BNST. Interestingly, the effect of both drugs was blocked by pretreatment with a CRFR1 antagonist. The effects of CRF and isoproterenol were occluded during acute withdrawal from chronic cocaine exposure, suggesting that serial NE-CRF signaling in the BNST is engaged *in vivo* during drug exposures (Nobis et al., 2011).

POTENTIAL ROLE FOR CRF-PRODUCING NEURONS WITHIN THE BNST IN STRESS-INDUCED REINSTATEMENT

While it has been established that elevated CRF levels in the BNST are important for stress-induced reinstatement, one remaining question is the source of elevated extracellular CRF in the BNST in response to stress exposure. CRF could be released from local neuronal sources, from extrinsic CRF projections from the CeA, or both (Veinante et al., 1997; Erb et al., 2001). To further explore this question, we hypothesized that if β -ARs enhance BNST CRF levels by modulating the activity of local CRF neurons, then isoproterenol would be expected to alter the activity of BNST neurons that produce CRF. On the other hand, if β -AR activation resulted in increased CRF from CeA sources, then the activity of BNST CRF neurons might not be altered by isoproterenol. To test this hypothesis, we recorded the activity of CRF-producing neurons in the BNST in a novel CRF-reporter mouse line (Silberman et al., 2013). To develop this line, we crossed two commercially available mouse lines from Jackson Laboratories, the *CRF-ires-cre* (strain B6(Cg)-Crhtm1(cre)Zjh/J) line and the ROSA-tomato [strain B6.Cgt(ROSA)26Sor < tm1(CAG-tdTomato)Hze > /J] line. Crossing these two lines of mice resulted in offspring where a red fluorescent protein (*tomato*) was targeted to *cre* containing neurons, which in this case were neurons that produced *cre* under the control of the endogenous *Crft* promoter/enhancer elements (CRF-*tomato* mice). The CRF-*tomato* mice were found to have high levels of *tomato* expression in brain areas known to be dense in CRF-producing neurons, like the paraventricular nucleus of the hypothalamus,

the CeA, and the BNST, while brain regions that are known to have little CRF-producing neurons, like the cortex and striatum, were shown to have sparse *tomato* expression.

We then performed whole-cell patch clamp electrophysiology experiments on CRF-*tomato* neurons in the BNST. These studies indicate that there are several different subtypes of BNST CRF neurons based on electrophysiological characteristics. Three of the subtypes were similar to those previously shown to exist in the rat BNST (Hammack et al., 2007) while the two remaining subtypes have not previously been characterized. Research is currently ongoing in our lab to determine if distinct CRF neuronal subtypes play dissociable roles in BNST-mediated behaviors and if they are can be distinguished based on their projection targets or other neurochemical markers.

Regardless of these characteristic differences in CRF neuron subtypes, isoproterenol application resulted in a significant depolarization of BNST CRF neurons, an effect that was significantly correlated with increased input resistance. These data suggest a role of β -ARs in the direct depolarization of BNST CRF neurons through closure of a leak or voltage-gated channel. Such a depolarization could increase release of CRF from these neurons, although this has yet to be directly tested. Together, these data suggest that stress-induced increases in NE signaling in the BNST leads to enhanced local CRF neuron activity in the BNST which likely leads to enhanced CRF release. Enhanced extracellular CRF levels in the BNST in turn leads to enhanced glutamatergic activity in the BNST and thus increased BNST excitation (see summary Figure 1). This enhanced level of BNST CRF may be further modulated by CRF afferents from the CeA (Erb et al., 2001). Overall, CRF-mediated enhancement of excitatory drive in the BNST is likely a key participant in stress-induced reinstatement. The following section will further describe this proposed BNST neurocircuit and its sensitivity to drug-related permutations as a critical factor precipitating reinstatement to drug-seeking behaviors following withdrawal.

POTENTIAL ROLE OF BNST PROJECTIONS TO THE VTA IN STRESS-INDUCED REINSTATEMENT

Although the above described studies show a clear role for NE/CRF interactions in enhancing BNST excitability, it is not clear how enhanced BNST excitability leads to increased drug-seeking behavior following stress. As mentioned earlier, mesolimbic circuit activation is a critical component of drug-seeking behavior in all types of reinstatement models. Therefore, it is hypothesized that BNST afferents to the VTA may be an important pathway in initiation of drug-seeking behaviors following stress. The following sections will explore this possibility.

NEUROANATOMICAL AND FUNCTIONAL EVIDENCE FOR BNST-VTA CIRCUITRY IN DRUG-SEEKING BEHAVIORS

A series of neuroanatomical studies showed that the BNST sends a dense set of projections to the VTA (Georges and Aston-Jones, 2001, 2002; Dong and Swanson, 2004, 2006a,b). Disconnection of this pathway reduces cocaine preference (Sartor and Aston-Jones, 2012) and BNST neurons projecting to the VTA become activated during reinstatement to cocaine seeking (Mahler and Aston-Jones, 2012), suggesting BNST projections to the VTA are important in multiple drug-related behaviors such as preference and drug

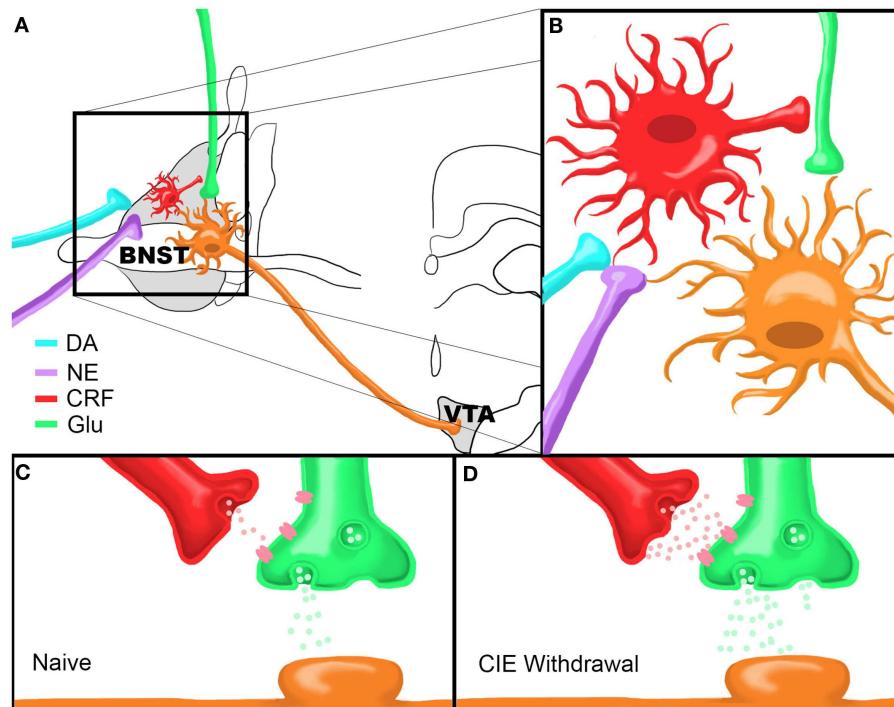


FIGURE 1 | Model of Chronic Intermittent Ethanol-Withdrawal Modulation of BNST CRF Circuitry. (A) Dopamine and norepinephrine afferents synapse onto CRF-producing neurons in the BNST which in turn influence neurotransmitter release from glutamatergic afferents onto BNST neurons projecting to the VTA. (B) Close up view of proposed neurocircuitry

described in (A). (C,D) Model of CRF modulation of glutamatergic transmission onto a VTA-projecting BNST neuron in a drug-naïve state (C) or during acute ethanol withdrawal following CIE (D). Note that there are higher levels of CRF and glutamate release during withdrawal compared to the drug-naïve state. Figure reprinted from (Silberman et al., 2013).

seeking during reinstatement. Initial *in vivo* electrophysiology studies showed that electrical and pharmacological stimulation of the BNST can elicit increased firing of putative DA neurons in the VTA (Georges and Aston-Jones, 2001). This pathway was further characterized showing that antagonism of glutamatergic receptors in the VTA can block BNST stimulation mediated enhancement of VTA DA neuron firing while having minimal effects on putative VTA GABA neuron firing (Georges and Aston-Jones, 2002). Together, these anatomical and electrophysiology studies suggest that the BNST may regulate the activity of the VTA DA neurons during reinstatement.

More recent studies using optogenetic strategies suggest that parallel circuitry in the BNST can mediate distinct aspects of anxiety-like behaviors (Kim et al., 2013). These studies show that selective inactivation of cells in the region of the oval subnucleus of the dorsal BNST (ovBNST) is correlated to a reduction in anxiety-like behaviors and that ovBNST neurons inhibit the activity of the anterodorsal subregion of the BNST (adBNST). These studies further show that the adBNST contains neurons that project to the VTA, parabrachial nucleus, and lateral hypothalamus and that selective stimulation of these pathways may promote different aspect of anxiolysis, as measured by increased open arm time in an elevated plus maze and reduction in respiratory rates. Our recent evidence further suggests that these divergent projections likely arise from distinct subpopulations of neurons in the adBNST (Silberman et al., 2013). Kim et al. (2013) propose this

arrangement of BNST neuronal signaling may facilitate modular circuit adaptations in response to environmental stimuli by independent tuning of divergent projection neuron populations. Especially relevant to this review, optogenetic stimulation of adBNST terminals in the VTA can elicit realtime place preference, suggesting that increased activity of certain BNST projection neurons are critical for regulation of VTA-mediated reward behavior (Jennings et al., 2013).

While the BNST contains multiple subnuclei and a variety of neuronal cell types based on immunohistochemical and electrophysiological characteristics (Egli and Winder, 2003; Dumont and Williams, 2004; Hammack et al., 2007; Kash et al., 2008), studies indicate that BNST neurons that project to the VTA may be sensitive to modulation by drugs of abuse (Dumont et al., 2008). Interestingly, more recent work has shown that BNST neurons that project to the VTA are more likely to become activated following a stressor than other BNST neurons (Briand et al., 2010). Together, these findings suggest that certain subpopulations of BNST neurons, i.e., VTA-projecting neurons, are particularly important to enhanced drug seeking following stress exposures.

CRFR1 MEDIATES ETHANOL-WITHDRAWAL-INDUCED INCREASES IN GLUTAMATERGIC TRANSMISSION ONTO BNST NEURONS PROJECTING TO THE VTA

In combination with previous evidence of the importance of BNST CRF signaling to stress-induced reinstatement, we hypothesized

that CRF modulation of BNST neurons projecting to the VTA may be uniquely sensitive to drug-induced alterations in excitability. To test this hypothesis we have recently performed a series of experiments to determine the effect of CRF on glutamatergic transmission onto VTA-projecting BNST neurons and determine whether chronic drug exposures can modulate this system. VTA-projecting BNST neurons were identified by microinjecting retrograde fluorescent microspheres into the VTA and labeled neurons in the BNST were recorded using whole-cell electrophysiology methods (Silberman et al., 2013). In these studies, we showed that CRF, via activation of CRFR1, can enhance glutamate release onto BNST neurons projecting to the VTA. Combined with our data showing that β -AR activation depolarizes BNST CRF neurons, the above findings indicate that stress, via release of NE in the BNST, can increase BNST CRF activity to, in turn, increase glutamatergic signaling onto VTA-projecting BNST neurons (**Figures 1A,B**).

We then tested whether this pathway is modulated by abused drugs by exposing VTA-retrograde tracer mice to the CIE vapor exposure paradigm (CIE). This repeated ethanol exposure/withdrawal paradigm has been shown to increase anxiety-like behaviors during withdrawal (Kash et al., 2009) and increase voluntary ethanol drinking post-withdrawal (Becker and Lopez, 2004), suggesting that this paradigm is an important tool in assessing neurobiological changes in negative reinforcement pathways, such as the BNST, following drug exposure. Interestingly, we found that basal glutamatergic tone was increased in excitatory synapses that regulate VTA-projecting BNST neurons during the acute withdrawal phase after a 2 week CIE cycle. Also, from this enhanced basal glutamatergic tone, exogenous application of CRF could no longer enhance glutamatergic transmission as it could in drug-naïve or sham exposed mice. This functional occlusion of exogenous CRF suggests that CRF receptors may already be maximally active during acute drug-withdrawal time points, perhaps due to highly elevated extracellular CRF levels and sensitize BNST CRF circuitry. This may be one reason why post-CIE CRFR1 antagonist injections into the BNST do not block CIE-induced increases in ethanol self-administration (Funk et al., 2006a) and suggests that CRFR1 antagonist treatment prior to CIE may normalize BNST CRF circuitry during acute ethanol withdrawal. To examine this hypothesis, we exposed a second cohort of VTA-tracer mice to CIE with the inclusion of daily injections of a CRFR1 antagonist prior to ethanol vapor exposure. Pretreatment with a CRFR1 antagonist completely abolished the effects of CIE on increasing basal glutamatergic function during acute withdrawal timepoints. Together, these findings indicate that CIE modulates BNST CRF neurocircuitry *in vivo* and that this neurocircuit becomes hyperactive during CIE withdrawal (**Figures 1C,D**). An important caveat to these findings is that the role of BNST CRF sensitivity has mainly been examined during acute withdrawal phases and has provided potentially conflicting results. It will be important in future studies to examine the mechanisms by which sensitized BNST CRF circuitry may promote increased stress-induced drug-seeking behavior during later time points in extended withdrawal.

Although more work will be needed to conclusively show a role of this circuit in reinstatement behaviors, the recruitment of the catecholamine-CRF-glutamate circuit in the BNST to drive increased VTA activity is one promising mechanism by which

stress can enhance drug seeking in reinstatement models. Interestingly, while the above described studies focused on the effect of ethanol on BNST CRF circuitry other work indicates that cocaine (Nobis et al., 2011) and opiates (Wang et al., 2006; Jaferi et al., 2009) may also stimulate BNST CRF neurocircuitry *in vivo*. Together, these findings suggest that modulation of BNST CRF may be a common pathway for stress-induced reinstatement for multiple classes of abused drugs. Therefore, therapeutics targeting this system may be useful for the effective long-term prevention of stress-induced relapse in addiction to many types of drugs.

PROPOSED MODEL OF BNST/VTA CIRCUITRY IN STRESS-INDUCED REINSTATEMENT

The studies described above suggest a critical role of increased activity of BNST neurons that project to the VTA in the neurophysiological response to stress and drug addiction. However, the mechanism by which activation of BNST projection neurons may modulate VTA activity is not clear.

MULTIPLE SUBTYPES OF BNST NEURONS PROJECT TO THE VTA

Some electrophysiological studies indicate that BNST projections to the VTA are likely to be glutamatergic, as they enhance VTA neuron firing (Georges and Aston-Jones, 2001, 2002). However, more recent work indicates that BNST projections to the VTA may be either glutamatergic or GABAergic (Jennings et al., 2013). Other recent studies utilizing fluorescence *in situ* hybridization and retrograde labeling techniques show that there are three types of VTA-projecting neurons in the BNST. The vast majority of these neurons (~90%) are GAD+/VGluT— while other subtypes are VGluT2+/GAD— or VGluT3+/GAD+ (Kudo et al., 2012). This suggests that most VTA-projecting neurons in the BNST are GABAergic, while a minority of outputs may be glutamatergic or contain a mixture of transmitters. Our recent work shows that VTA-projecting BNST neurons can be divided into three classes based on electrophysiological responses to hyperpolarizing and depolarizing current injections (Silberman et al., 2013). Although it has yet to be tested, it is tempting to think that the differences in GAD and VGluT2/3 expression in BNST neuron subtypes may be related to differences in their electrophysiological firing properties. Still other studies suggest that at least some of the BNST neurons projecting to the VTA contain CRF (Rodaros et al., 2007). This is an important consideration as elevated CRF levels in the VTA can drive DA neuron activity after exposure to drugs of abuse by a number of mechanisms (Wise and Morales, 2010). Determining the contribution of these unique BNST projection neuron subtypes to stress-induced drug-seeking behavior may be useful in targeting future treatments for relapse prevention.

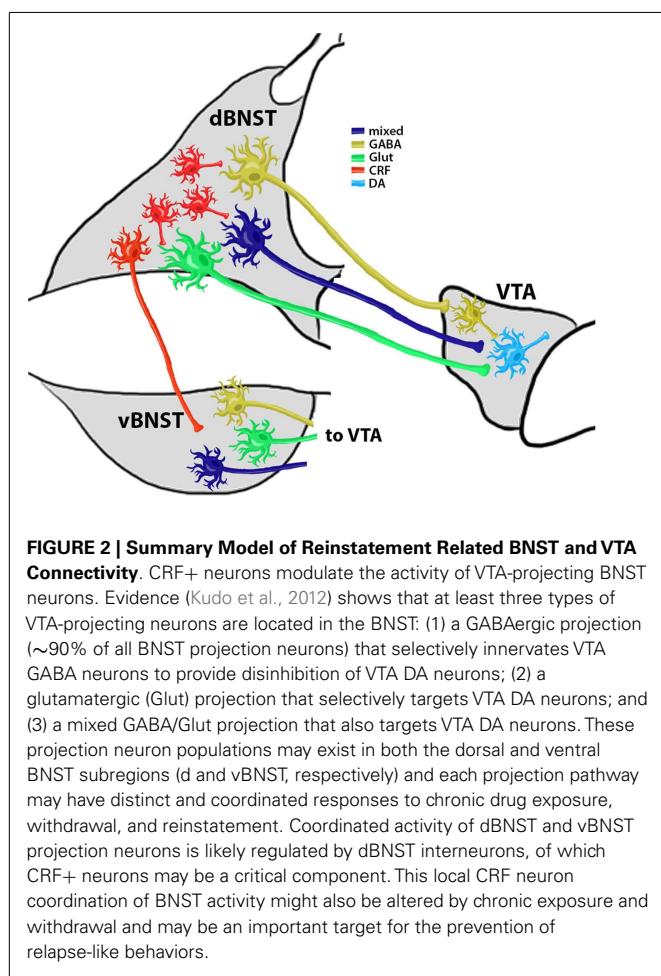
EVIDENCE FOR SUBTYPE SPECIFIC BNST INNERVATION OF VTA GABA AND VTA DA NEURONS

Overall these findings indicate that the BNST sends a mixture of neurotransmitters to the VTA. However, what is less clear is whether distinct types of BNST projection neurons synapse to different VTA neurons. Recent evidence indicates that selective optogenetic stimulation of VTA GABA neurons disrupts reward consumption (van Zessen et al., 2012) and increased conditioned place aversion (Tan et al., 2012). Furthermore, selective optogenetic stimulation of VTA DA neurons can enhance positive

reinforcing actions in an operant food seeking task and can reactivate previously extinguished food seeking behavior in the absence of cues (Adamantidis et al., 2011). Interestingly, recent immunoelectron microscopy work indicates that vGLUT containing BNST projection neurons may selective target VTA DA neurons while GABAergic BNST projection neurons may specifically target GABA neurons in the VTA [(Kudo et al., 2012) although see also (Jennings et al., 2013)]. Together, these findings may indicate that enhanced activity of BNST projections to the VTA during reinstatement may stimulate VTA DA neurons via increasing local glutamatergic levels while at the same time disinhibiting VTA DA neuron firing by inhibiting local GABA release (see model, **Figure 2**). This may be one mechanism by which drug-withdrawal enhances burst firing of VTA DA neurons (Hopf et al., 2007), an effect that is important in drug-seeking behaviors (Wanat et al., 2009), and may be especially important in stress-induced reinstatement models.

The precise role of distinct VTA-projecting BNST neurons in reinstatement is not yet fully understood. For instance, although evidence suggests that BNST neurons that project to the VTA can be mainly GABAergic, but also glutamatergic or potentially both (Kudo et al., 2012), it is not clear if these pathways have an equal distribution of synaptic strength. Furthermore, some BNST projections to the VTA may contain CRF (Rodaros et al.,

2007) but it is not clear which of the VTA-projecting neurons described by Kudo et al. or Jennings and Sparta et al. are also CRF positive. If so, this may suggest that a single population of VTA-projecting BNST neurons may have divergent modes of action in reinstatement related behaviors based on which neurotransmitter is released at specific time points relative to reinstatement trigger exposure. Lastly, most of the electrophysiology studies described in this review focused on neurocircuitry in the dorsal subregion of the BNST while most of the behavioral work has focused on activity of the ventral BNST subregion. This is an important consideration as the dorsal BNST, which has a high proportion of GABAergic interneurons, sends afferents to the ventral BNST, which has a higher proportion of projection neurons (Dong et al., 2001). This suggests that the dorsal BNST might coordinate overall BNST output via modulation of ventral BNST projection neurons, potentially via BNST CRF interneuron activity. It is not yet clear if interneurons or VTA-projecting neurons from the dorsal and ventral BNST are equally mutable to chronic drug exposures/withdrawal cycles. While more conclusive research will be needed to test these intriguing possibilities, these findings may indicate dissociable roles of BNST projection neuron subtypes in mediating various aspects of drug-seeking behavior during reinstatement that could potentially be targeted individually for pharmacotherapies for relapse prevention in the future.



POTENTIAL ROLE OF BNST CRF SIGNALING IN CUE-INDUCED REINSTATEMENT

EVIDENCE FOR DIRECT AND INDIRECT DOPAMINERGIC ACTIVATION OF BNST IN CUE-INDUCED REINSTATEMENT

In addition to its role in stress-induced reinstatement described above, recent evidence may suggest that BNST CRF neurocircuitry could also play a role in cue-induced reinstatement. BLA DA receptor activation is critical for cue-induced reinstatement (See et al., 2001) and DA can increase BLA activity, but only after chronic drug exposure (Li et al., 2011). Since the BLA sends direct projections to the BNST as well as via indirect projections through the CeA (Davis et al., 2010), DA induced activation of the BLA may enhance BNST excitability to precipitate reinstatement following a cue exposure. In addition, drugs of abuse and other rewarding stimuli can also directly increase extracellular DA levels in the BNST (Carboni et al., 2000; Park et al., 2012). Previous work in our lab shows that DA can enhance glutamate release in the BNST via activation of CRFR1 (Kash et al., 2008). This effect is further confirmed by our more recent work indicating that DA can depolarize BNST CRF neurons (Silberman et al., 2013). Together, these findings suggest both direct and indirect mechanisms for DA induced increases in BNST excitability and point to a potential role of BNST DA circuitry in cue-induced reinstatement via modulation of BNST CRF circuitry.

Importantly, behavioral evidence also shows a potential role for the BNST in cue-induced reinstatement models. For instance, recent findings indicate that pharmacological inactivation of the BNST can reduce cue-induced reinstatement (Buffalari and See, 2011). In addition, much like earlier studies showing selective increases in c-fos in VTA-projecting BNST neurons following stress-induced reinstatement, recent findings show that increased c-fos activation in VTA-projecting BNST neurons is correlated

to enhanced cocaine-seeking following an exposure to a drug-associated cue (Mahler and Aston-Jones, 2012). Together with our electrophysiology data, these findings suggest that DA may increase extracellular CRF levels in the BNST via enhancing the activity of local BNST CRF neurons, which in turn increases glutamate release onto VTA-projecting BNST neurons, leading to increased VTA DA firing to reinstate drug-seeking behaviors.

EVIDENCE FOR CONVERGENCE OF CUE-INDUCED AND STRESS-INDUCED REINSTATEMENT PATHWAYS IN THE BNST

Interestingly, while clinical evidence shows that exposing recovering addicts to drug-associated cues results in enhanced feelings of craving, recent findings indicate that these same cues also increase feelings of negative affect (Fox et al., 2007). Therefore, drug-associated cues could act as a psychological stress by activating stress-related neurocircuitry. This suggests that drug-associated cues may concurrently increase both DA and NE signaling in these patients. Our data suggest that DA and NE can additively enhance BNST excitability (Nobis et al., 2011), suggesting a convergence of cue-induced (dopaminergic) and stress-induced (noradrenergic) reinstatement pathway influences on BNST excitability. Preclinical studies also suggest a link between cue and stress-induced reinstatement (Buffalari and See, 2009) suggesting that simultaneous exposure to drug-cues and stress can greatly increase the risk of relapse in recovering addicts. Together, these findings indicate that BNST CRF signaling is an important potential target for convergent influences of both cue and stress-induced reinstatement pathways.

SUMMARY AND POTENTIAL TREATMENTS

The findings reviewed here suggest that a catecholamine-CRF-glutamatergic signaling pathway in the BNST plays an important role in the reinstatement to drug-seeking behavior, an important animal model of relapse to alcohol/drug addiction. While this pathway is clearly important in stress-related behaviors, especially in stress-induced reinstatement, further studies suggest that this pathway may also be important in cue-induced reinstatement. Therefore, pharmacotherapies targeting this pathway may be useful in the prevention of relapse to both drug-associated cues and stressors. Unfortunately, relapse can be a life-long struggle in recovering addicts, which means that pharmacotherapies to prevent relapse likely need to be taken daily for extended periods of time. Therefore these therapies need to be well-tolerated and devoid of harsh side-effects. As described earlier, agonist

therapies targeting the DA aspect of this pathway may be problematic from the side-effect standpoint due to effects on the cardiovascular system and abuse liability. DA antagonist therapies are also problematic for their potential for extra-pyramidal (Peacock et al., 1999) and anhedonic side effects (Stein, 2008). Recent studies have looked into the effect of β -AR antagonists to reduce the probability of relapse in the clinical population (Hughes et al., 2000; Kampman et al., 2001; Schwabe et al., 2011). Overall, these studies have shown β -AR antagonist to potentially be useful in the clinical setting, especially for reducing stress-induced changes in habitual behaviors and in those patients that have more severe withdrawal symptoms. However, it is unclear if treatment with β -AR antagonists would have an effect on cue-induced relapse.

Since DA and β -AR activation enhances BNST activity via CRFR1 activation, then CRFR1 antagonists might be a better alternative for the effective long-term prevention of both cue and stress-induced relapse. CRFR1 antagonists have been shown to reduce ethanol intake following withdrawal in a number of pre-clinical studies (Funk et al., 2007; Logrip et al., 2011). To date, there have been no studies examining the effectiveness of CRFR1 antagonists in relapse prevention in the clinical setting. However, this class of drugs has been studied in the clinical setting to treat anxiety disorders and other stress-related disorders. While these studies have shown limited effectiveness of CRFR1 antagonists in treating general anxiety disorder (Coric et al., 2010) or irritable bowel syndrome (Sweetser et al., 2009), these compounds can produce significant signal reductions in the amygdala during pain expectation in humans (Hubbard et al., 2011). These findings suggest that CRFR1 antagonists may be useful in reducing negative affect in response to specific psychological stimuli. Importantly, these drugs are very well tolerated in the above mentioned studies and have been shown to cause no significant side-effects (Kunzel et al., 2003; Schmidt et al., 2010). However, to date many CRF antagonists have been shown to have undesirable lipophilic or pharmacokinetic profiles limiting their bioavailability and efficacy in clinical trials (Zorrilla and Koob, 2010). CRF antagonists with better pharmacokinetics may prove useful in the treatment of addiction in the future through interference with the proposed BNST CRF reinstatement circuit described here. Overall, CRF circuitry within the BNST is a critical locus for interactions between stress and reward signaling in addiction and may be an important target requiring further study for the treatment of relapse and addiction.

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Kappa-opioid receptor signaling in the striatum as a potential modulator of dopamine transmission in cocaine dependence

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Cocaine addiction is accompanied by a decrease in striatal dopamine signaling, measured as a decrease in dopamine D2 receptor binding as well as blunted dopamine release in the striatum. These alterations in dopamine transmission have clinical relevance, and have been shown to correlate with cocaine-seeking behavior and response to treatment for cocaine dependence. However, the mechanisms contributing to the hypodopaminergic state in cocaine addiction remain unknown. Here we review the positron emission tomography (PET) imaging studies showing alterations in D2 receptor binding potential and dopamine transmission in cocaine abusers and their significance in cocaine-seeking behavior. Based on animal and human studies, we propose that the kappa receptor/dynorphin system, because of its impact on dopamine transmission and upregulation following cocaine exposure, could contribute to the hypodopaminergic state reported in cocaine addiction, and could thus be a relevant target for treatment development.

Keywords: imaging, kappa opioid receptor, dopamine, cocaine dependence, striatum, dopamine receptor

INTRODUCTION

Studies imaging the neurochemistry associated with cocaine addiction in humans have largely focused on dopamine signaling in the striatum. These studies show that pre-synaptic dopamine release, in response to the administration of a stimulant, is reduced in cocaine abusers compared to healthy controls. This has important implications for this disorder, since the reduction in dopamine release has been shown to correlate with increased cocaine-seeking behavior. Importantly, the imaging studies were performed at about 14 days abstinence, which has clinical relevance, since previous studies have shown that cocaine abusers who achieve 2 weeks of abstinence have a better treatment response compared to those who do not (Bisaga et al., 2010; Oliveto et al., 2012). Thus, a better understanding of the mechanisms behind blunted dopamine release would be expected to have implications for treatment development. Among the possible mechanisms that are known to regulate striatal dopamine release is dynorphin acting at the kappa receptor. Kappa receptor activation in the striatum has been shown to inhibit stimulant-induced dopamine release, in addition to striatal dopamine levels and dopamine neurons activity (for review, see Koob and Le Moal, 2008; Muschamp and Carlezon, 2013). Furthermore, studies in humans and animals show that dynorphin is significantly upregulated following chronic cocaine exposure, and that this effect is long lasting (for review, see Koob and Le Moal, 2008; Muschamp and Carlezon, 2013), which could account for the decrease in dopamine signaling seen after 2 weeks of abstinence in the human imaging studies. Here, we review the data suggesting that the cocaine-induced elevation in dynorphin may contribute to the hypodopaminergic state observed in cocaine addiction.

PET IMAGING OF DOPAMINE TRANSMISSION IN COCAINE ADDICTION

PRINCIPLES OF PET IMAGING

Positron emission tomography (PET) allows imaging of the neurochemistry associated with drug and alcohol addiction in the human brain. This imaging modality uses radionuclide-labeled ligands that bind to a specific receptor, and the radioligands used most frequently in addiction research label the dopamine receptors. Radiotracers that label the dopamine type 2 family of receptors (referred to as D2) can also be used to measure changes in extracellular dopamine. This is performed by imaging with radiotracers that are sensitive to changes in extracellular dopamine, and obtaining scans before and after the administration of a psychostimulant (such as amphetamine or methylphenidate). These stimulants increase extracellular dopamine levels, which results in a reduction of dopamine receptors that are available to bind to the radiotracer, shown in Figure 1. For reasons that are not completely understood, this method can be used with most D2 receptor radiotracers but not with radiotracers that bind to the D1 receptor. Thus, imaging studies using the D2 receptor radiotracers (such as [11C]raclopride or [18F]fallypride) can be used to measure changes in endogenous dopamine, whereas radiotracers that label the D1 receptor (such as [11C]NNC112 or [11C]SCH23390) cannot (Abi-Dargham et al., 1999; Chou et al., 1999; Laruelle, 2000; Martinez and Narendran, 2010).

The main outcome measure in radioligand imaging studies is receptor binding to the radiotracer, referred to as BPND, defined as the ratio of specific to non-specific binding (Innis et al., 2007). The change in extracellular dopamine resulting from stimulant

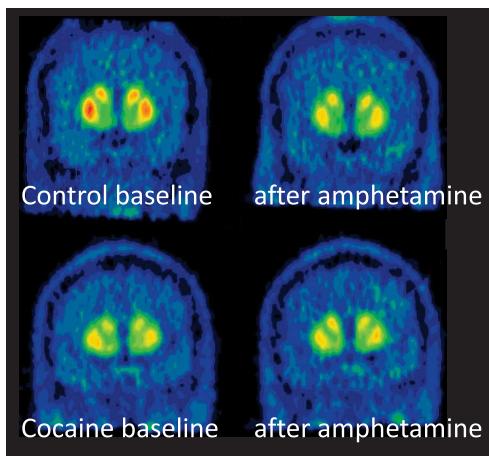


FIGURE 1 | PET scans in a healthy control and cocaine-dependent subject. The comparison of the top panels (pre- and post-amphetamine administration) in the healthy control shows that radiotracer ($[11\text{C}]$ raclopride) binding is reduced in the striatum following amphetamine. The cocaine-dependent subject (bottom panel) has lower D2 receptors compared to the control in the baseline condition. In addition, the cocaine abuser has less radiotracer displacement (ΔBPND) following amphetamine. Adapted from Trifilieff and Martinez "Cocaine: Mechanism and Effects in the Brain" in "The Effects of Drug Abuse on the Human Nervous System" M. Kuhar and B. Madras editors, 2012, publisher Neuroscience-Net, LLC.

administration is measured by comparing baseline BPND (pre-stimulant administration) and BPND following the stimulant. This is used to derive the percent change in BPND, or ΔBPND , defined as $[(\text{BPND}_{\text{baseline}} - \text{BPND}_{\text{challenge}})/\text{BPND}_{\text{baseline}}]$. Previous studies in non-human primates have shown that ΔBPND correlates linearly with changes in extracellular dopamine, measured with microdialysis (Breier et al., 1997; Endres et al., 1997; Laruelle et al., 1997). Thus, ΔBPND provides an indirect measure of stimulant-induced pre-synaptic dopamine release, and can be used to characterize the alterations in dopamine signaling that occur in cocaine dependence.

PET IMAGING OF DOPAMINE RECEPTORS IN COCAINE ADDICTION

To date, six studies have been performed imaging the D2 receptor in cocaine abusers, and these consistently show a decrease in binding in the striatum compared to matched controls (Volkow et al., 1990, 1993, 1997; Martinez et al., 2004, 2009a, 2011). The decrease is about 15–20% and occurs in both the ventral and dorsal striatum. Importantly, animals with low D2 receptor levels in the striatum, prior to drug exposure, display greater cocaine self-administration (Morgan et al., 2002; Czoty et al., 2004; Nader et al., 2006; Dalley et al., 2007). Imaging studies in humans show that low striatal D2 receptor binding in cocaine abusers in the striatum correlates with decreases in glucose metabolism in the orbito-frontal cortex and cingulate gyrus, which process drive and affect, and may lead to continued drug-taking behavior (Volkow et al., 1993, 1999). Several authors have proposed that changes in D2 receptor binding in addiction could reflect behavioral vulnerability to drug self-administration, such as lack of cognitive control or increased

impulsivity (Everitt et al., 2008; Dalley et al., 2011; Groman and Jentsch, 2012).

One PET imaging study has measured D1 receptor binding in cocaine abuse (Martinez et al., 2009b). This study showed no difference in D1 receptor binding in cocaine abusers compared to controls, which is consistent with a post-mortem study of striatal D1 receptor mRNA (Meador-Woodruff et al., 1993). However, the imaging study also showed that, within the cocaine-dependent subjects, low D1 receptor binding in the ventral striatum was associated with greater choices to self-administer cocaine. Thus, this finding may represent a phenotype in which low D1 receptor binding in the limbic striatum is associated with a greater vulnerability to the reinforcing effects of cocaine. This is in agreement with pharmacologic studies in humans showing that stimulation of D1 receptors reduces, whereas blockade of the D1 receptor enhances, the reinforcing effects of cocaine (Haney et al., 1999, 2001). Taken together, these studies indicate that decreased signaling at the D1 receptor may be associated with more cocaine-taking behavior.

PET IMAGING DOPAMINE RELEASE IN COCAINE ABUSERS

Imaging studies measuring pre-synaptic dopamine release show that cocaine dependence is associated with a reduction in responsiveness of the dopamine system to a stimulant challenge. For example, in healthy human volunteers, the administration of a psychostimulant produces a decrease in $[11\text{C}]$ raclopride binding (ΔBPND) of 15–20% (Volkow et al., 1994; Drevets et al., 2001; Martinez et al., 2003; Munro et al., 2006), but in cocaine abusers the decrease in $[11\text{C}]$ raclopride binding is significantly blunted (Volkow et al., 1997; Malison et al., 1999; Martinez et al., 2007b, 2011). Thus, four studies have shown that cocaine dependence is associated with reduced $[11\text{C}]$ raclopride displacement following stimulant administration compared to healthy controls, which represents a reduction in pre-synaptic dopamine release. PET imaging studies also show that cocaine abuse is associated with both decreased $[18\text{F}]$ DOPA uptake and striatal vesicular monoamine transporter 2 binding, which provide measures of pre-synaptic dopamine stores (Wu et al., 1997; Narendran et al., 2012).

In addition to a reduction in stimulant-induced dopamine release, PET imaging has also shown that dopamine levels in the resting condition (without any stimulant administration) are reduced in cocaine dependence. This is performed by imaging the D2 receptors before and after acute depletion of endogenous dopamine using alpha-methyl-para-tyrosine (AMPT). Thus, imaging after AMPT administration results in an increase in $[11\text{C}]$ raclopride binding, as opposed to the decrease seen after stimulant administration (Martinez et al., 2009a). AMPT administration resulted in an increase of $11.1 \pm 4.4\%$ in $[11\text{C}]$ raclopride binding in the striatum for healthy controls, but only $5.7 \pm 5.9\%$ for cocaine-dependent volunteers (Martinez et al., 2009a), indicating that basal dopamine levels are decreased in cocaine abuse.

Taken together, imaging studies in cocaine abuse consistently show a reduction in striatal dopamine transmission, compared to healthy controls, measured as decreased pre-synaptic dopamine release (Volkow et al., 1997; Malison et al., 1999; Martinez et al., 2007b, 2011) and reduced baseline levels of endogenous dopamine (Martinez et al., 2009a). Similar findings have been shown in

rodents (Parsons et al., 1991; Robertson et al., 1991; Rossetti et al., 1992; Weiss et al., 1992; Gerrits et al., 2002) and non-human primates (Castner et al., 2000; Kirkland Henry et al., 2009). Thus, cocaine dependence is associated with a hypodopaminergic state, which correlates with behaviors that contribute to addiction and relapse (Melis et al., 2005). Importantly, the PET scans showing blunted dopamine release were obtained after about 2 weeks of abstinence, to avoid the acute effect of cocaine on dopamine signaling, and due to the clinical relevance of this time point. Previous studies have shown that cocaine abusers who can achieve 2 weeks of abstinence have a better treatment response compared to those who do not (Bisaga et al., 2010; Oliveto et al., 2012).

SIGNIFICANCE OF THE HYPODOPAMINERGIC STATE IN COCAINE ABUSE

The impact of dopamine transmission on addiction has been demonstrated for decades, but its actual role in mediating the reinforcing effects of drugs of abuse remains under debate. Dopamine does not appear to only signal “reward” (drug or natural rewards), although dopamine neurons fire in response to the receipt of a reward, and during the expectation of a reward. However, dopamine signaling more likely mediates the reinforcing effects of natural rewards and abused drugs, and makes the behavior required to obtain the reward more likely to be repeated (Schultz, 2006; Berridge, 2007; Wise, 2008; Salamone and Correa, 2012). However, the imaging studies in cocaine dependence consistently show that pre-synaptic dopamine is reduced compared to controls, indicating that this disorder is associated with a hypodopaminergic state. This plays a crucial role in drug-seeking and taking, even after prolonged drug-free periods (Melis et al., 2005).

The imaging studies in human cocaine abusers show that blunted dopamine release correlates with an increase in cocaine self-administration (Martinez et al., 2007b, 2011). These studies showed that low dopamine release in cocaine abusers, measured as Δ BPND, was associated with the decision to take cocaine in the presence of competing non-drug reinforcers. The inability of the cocaine-dependent subjects with low dopamine release to alter their behavior can be viewed as an inability to respond to alternative sources of reward. This is consistent with the theory that decreased dopamine function in addiction results in a decreased interest to non-drug-related stimuli and increased susceptibility to the drug of choice (Melis et al., 2005).

These studies raise the question regarding the mechanism behind this decrease in pre-synaptic dopamine release. Previous studies in animals have shown that cocaine exposure results in reduced burst firing of the dopamine neurons of the ventral tegmental area (Brodie and Dunwiddie, 1990; Lacey et al., 1990; Ackerman and White, 1992; Gao et al., 1998). Decreases in extracellular dopamine levels in the nucleus accumbens have also been reported following cocaine withdrawal (Parsons et al., 1991; Robertson et al., 1991; Rossetti et al., 1992; Weiss et al., 1992). Cocaine administration has also been shown to alter the sensitivity of D2 autoreceptors of the midbrain (Gao et al., 1998; Lee et al., 1999; Marinelli et al., 2003), which could reduce pre-synaptic dopamine release. In addition to these functional changes in dopamine signaling, animal studies have also shown that cocaine exposure produces morphological changes in dopamine

neurons. These include alterations in dendritic spine density and morphology and a reduction in the size of the dopamine neurons of the ventral tegmental area (Melis et al., 2005).

Presently, it is unknown whether these changes occur in the human brain. Human studies of the dopamine transporter (DAT), which can serve as a marker for the integrity of the dopamine neurons (Fusar-Poli and Meyer-Lindenberg, 2013), show that the DAT is increased in post-mortem studies of cocaine abusers (Little et al., 1993, 1999). However, imaging studies show that the DAT is increased for a short time period following the cessation of cocaine use, but soon return to control levels (Volkow et al., 1996; Wang et al., 1997; Malison et al., 1998). But measuring DAT binding alone is unlikely to reveal morphological alterations of the dopamine neurons, and other means for investigating this with imaging in humans are not yet available. With respect to the dopamine receptors in the midbrain, one study in methamphetamine abusers and another in cocaine abusers showed that D3 receptor binding is elevated in the substantia nigra/ventral tegmental area (SN/VTA) compared to controls (Matuskey et al., 2011; Boileau et al., 2012). The specific role of the D3 receptor in the modulation of dopamine transmission and its function as an autoreceptor are still highly debated (Sokoloff et al., 2006). However, considering the possible implication of this receptor in modulating dopamine synthesis and release (for review, Gross and Drescher, 2012), an increase in D3 receptor levels in SN/VTA may contribute to the hypodopaminergic state observed in addiction.

In addition to alterations in the dopamine neurons themselves, it is possible that other neurotransmitter systems may be regulating the dopamine system. Candidates include the glutamatergic, GABAergic, serotonergic, or noradrenergic afferents to the dopamine and striatal neurons, which have been reviewed previously (Melis et al., 2005; Gerfen and Surmeier, 2011). In this review, we focus on the kappa/dynorphin system as a potential modulator of dopamine release in cocaine abuse for the following reasons: (1) among the neurotransmitters that modulate dopamine transmission, evidence from human and animal studies show that cocaine exposure significantly upregulates kappa/dynorphin signaling (for review, see Wee and Koob, 2010; Muschamp and Carlezon, 2013); (2) in the striatum, dynorphin signaling strongly regulates dopamine signaling and animal studies show that activation of the kappa system reduces pre-synaptic dopamine release (Koob and Le Moal, 2008; Muschamp and Carlezon, 2013). Thus, elevated striatal dynorphin activity at the kappa receptor could be a compensatory adaptation that inhibits psychostimulant-induced dopamine release (Koob and Le Moal, 2008; Muschamp and Carlezon, 2013).

DYNORPHIN AND KAPPA RECEPTORS KAPPA RECEPTOR/DYNORPHIN SIGNALING

Dynorphin (DYN) is the class of peptides cleaved from prodynorphin, which include dynorphin A and B (and others) which have a high affinity for the kappa receptor (KOR) (Chen et al., 2007). Currently, only one KOR subtype (type 1) has been cloned, and while types 2 and 3 have been hypothesized, they have yet to be fully characterized (Shippenberg et al., 2007). KOR selective agonists and antagonists have been developed in recent years, allowing investigation into the neurochemical and behavioral effects of the

DYN/KOR system. The KOR agonists include the arylacetamides U69593 and U50488, and salvinorin A, a naturally occurring alkaloid found in the plant *Salvia divinorum* (Von Voigtlander and Lewis, 1982; Lahti et al., 1985; Roth et al., 2002). The selective KOR antagonists include nor-binaltorphimine (nor-BNI), 5'-guanidinonaltrindole (GNTI), and JDTic (Endoh et al., 1992; Jones and Portoghese, 2000; Carroll et al., 2004). Activation of the KOR is aversive in both humans and animals, and KOR agonists are not self-administered by animals (Mucha and Herz, 1985; Tang and Collins, 1985; Pfeiffer et al., 1986; Bals-Kubik et al., 1993; Walsh et al., 2001; Wadenberg, 2003), although the same cannot be said of some humans.

KOR signaling is complex and agonists have been shown to activate, inhibit and/or have no effect on downstream signaling (i.e., cAMP, IP₃/DAG, and Ca²⁺) depending on experimental conditions (Tejeda et al., 2012). It is likely that KOR agonists display inverted U-shape effects, because of KOR ability to recruit both inhibitory G $\beta\gamma$, G α_i , G α_o , G α_z , and G α_{16} , and stimulatory, G α_s , G-proteins (Law et al., 2000; Tejeda et al., 2012). Nanomolar ligand concentrations result in the recruitment of inhibitory G-proteins and a decrease in membrane excitability as well as transmitter release via stimulation of K⁺-channel activity (Grudt and Williams, 1993) and inhibition of Ca²⁺-channel and pre-synaptic release machinery activity (Gross et al., 1990; Iremonger and Bains, 2009). In contrast, sub-nanomolar ligand concentrations may result in coupling of KOR to G α_s and produce opposite effects (Crain and Shen, 1996; Tejeda et al., 2012). It should be noted that KOR activity can modulate D2 autoreceptor-dependent decrease in dopamine release by signaling interaction (Jackisch et al., 1994; Acri et al., 2001; Fuentealba et al., 2006).

KAPPA RECEPTOR/DYNORPHIN IN DIRECT AND INDIRECT PATHWAYS OF THE STRIATUM

The medium spiny neurons (MSNs) can be categorized into at least two subgroups according to their projection sites and the proteins they express (Gerfen, 2000; Gerfen and Surmeier, 2011). The “direct” or striatonigral pathway made up of MSNs that project monosynaptically to the medial globus pallidus and back to the dopamine neuron cell bodies of the substantia nigra. MSNs from the direct pathway express the dopaminergic D1 receptor, M4 muscarinic acetylcholine receptor, substance P, and dynorphin. The indirect striatopallidal pathway is composed of MSNs that project to the lateral globus pallidus, which reach the substantia nigra through synaptic relays through the lateral globus pallidus and subthalamic nucleus. These MSNs express the dopaminergic D2 receptor, adenosine receptors and enkephalin. It should be noted that the segregation of these two populations of MSNs has been established in the dorsal striatum, but that several studies show that a subpopulation of MSNs in the NAc seem to co-express D1 and D2 receptors (George and O'Dowd, 2007; Valjent et al., 2009). Dopamine can activate or inhibit cyclic AMP-dependent signaling through D1 receptor and D2 receptor respectively, as we will review below. Therefore, dopamine is likely to have differential effects on D1- and D2-expressing MSNs and recent data suggest that, cocaine administration activate signaling pathways in D1-expressing, but actively inhibits them in D2-expressing MSNs (McClung et al., 2004; Bateup et al., 2010), which could account for the imbalance

between direct and indirect pathways in addiction (Lobo et al., 2010; Pascoli et al., 2012).

D1 receptors recruit adenylyl cyclase through activation of the stimulatory G α_s protein and consequently stimulate the production of adenosine 3', 5'-monophosphate (cAMP) which leads to the activation of protein kinase A (PKA)-dependent signaling pathways. In contrast, D2 receptor inhibits adenylyl cyclase and cAMP/PKA pathways by recruiting inhibitory G α_i . Accordingly, cocaine activates PKA signaling pathway mainly through activation of D1 receptor and manipulation of this pathway alters behavioral responses to cocaine (Girault, 2012). One of the downstream targets of PKA is the transcription factor CREB. Interestingly, whereas overexpression of CREB in the nucleus accumbens reduces the rewarding properties of cocaine, overexpression of a dominant-negative form enhances it (Carlezon et al., 1998; Walters and Blendy, 2001; McClung and Nestler, 2008) suggesting that activation of CREB could counteract the postsynaptic effects of cocaine and therefore decrease behavioral response to cocaine. One of the downstream genes regulated by CREB in the nucleus accumbens encodes preprodynorphin, the precursor gene product of dynorphin (McClung and Nestler, 2008). Activation of the kappa receptor decreases cocaine-induced dopamine release (for review, see Wee and Koob, 2010; Muschamp and Carlezon, 2013). Accordingly, stimulation of the D1 receptor elevates dynorphin expression, which can be blocked with receptor antagonists (Liu and Graybiel, 1998). Thus, it has been proposed that activation of the D1/PKA/CREB pathway could be counteracting the effects of cocaine through synthesis and release of dynorphin (for review, see Wee and Koob, 2010; Muschamp and Carlezon, 2013), shown in **Figure 2**.

KAPPA RECEPTOR/DYNORPHIN AND DOPAMINE SIGNALING

The DYN/KOR receptor system has been shown to play a significant role in regulating striatal dopamine transmission. DYN immunoreactive axon terminals originating from D1 receptor-expressing MSNs are found in the caudate, putamen, and nucleus accumbens (Hurd and Herkenham, 1995; Van Bockstaele et al., 1995). The KOR is expressed both pre- and post-synaptically on dopamine neurons, and the pre-synaptic KOR is apposed to DAT on the dopamine axon terminals, indicating that this system closely regulates the mesoaccumbal dopamine neurons (Svingos et al., 2001).

A number of animal studies have shown that the administration of a KOR agonist reduces dopamine levels in the striatum and dopamine neuron activity in the nucleus accumbens and ventral tegmental area (Di Chiara and Imperato, 1988; Heijna et al., 1990, 1992; Donzanti et al., 1992; Spanagel et al., 1992; Maisonneuve et al., 1994; Xi et al., 1998; Thompson et al., 2000; Margolis et al., 2003; Zhang et al., 2004b). In fact, KOR activation reduces basal dopamine levels as well as stimulant-induced dopamine release (cocaine) (Spanagel et al., 1990; Maisonneuve et al., 1994; Carlezon et al., 2006; Gehrke et al., 2008). Reverse dialysis into the nucleus accumbens reduces extracellular dopamine (Donzanti et al., 1992; Zhang et al., 2004a). Notably, this effect is seen when the KOR agonist is administered into the striatum, whereas administration into the VTA appears to be species dependent (Spanagel et al., 1992; Chefer et al., 2005; Ford et al., 2006; Margolis et al., 2006).

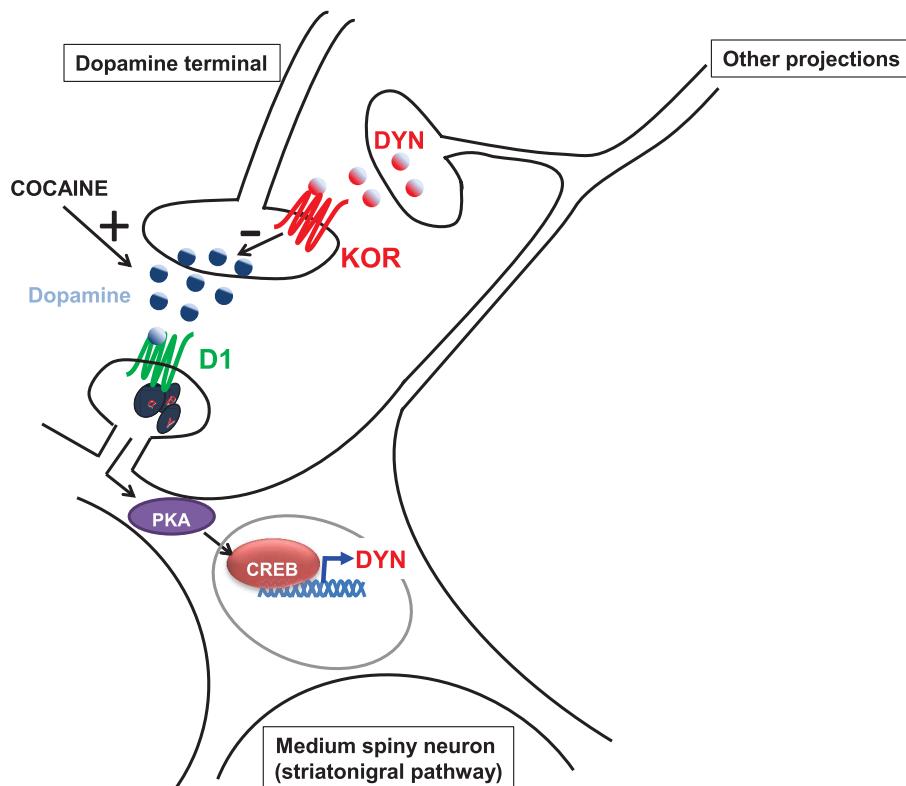


FIGURE 2 | Model by which the dynorphin/kappa system could counteract cocaine-induced dopamine release. Cocaine administration elevates dopamine levels. Binding of dopamine on the D1 receptor expressed by medium spiny neurons from the striatonigral

pathway (direct pathway) activates the cAMP/PKA/CREB pathway which leads to dynorphin (DYN) synthesis. Binding of DYN on kappa receptors (KOR) located on dopamine terminals exert an inhibitory effect on dopamine release.

KOR activation has been shown to inhibit electrically evoked [³H]dopamine release in the nucleus accumbens (Heijna et al., 1992; Yokoo et al., 1992), which also shows that activation of this receptor reduces striatal dopamine transmission. More recently, Chefer et al. (2005) showed that the deletion of KOR is associated with an enhancement of basal dopamine release. Alternatively, KOR antagonists stimulate the release of dopamine in the striatum (Maisonneuve et al., 1994; You et al., 1999; Beardsley et al., 2005). Lastly, repeated KOR agonist administration reduces striatal D2 receptor density (Izenwasser et al., 1998). These findings show that DYN/KOR signaling exerts inhibitory control over dopamine release and dopamine receptor signaling in the striatum (Brujinzeel, 2009; Wee and Koob, 2010) and demonstrate that excessive KOR activation significantly reduces striatal dopamine transmission, independent of the modality used to measure dopamine transmission.

Notably, imaging studies show that, in addition to cocaine dependence, addiction to other substances of abuse also results in blunted pre-synaptic dopamine release, measured with PET. This finding has also been reported in studies of alcohol, methamphetamine, opiate, and tobacco dependence (Martinez et al., 2007a, 2012; Bustos et al., 2009; Wang et al., 2012). While some studies have shown that the DYN/KOR system plays a role in these disorders as well (for review, see Wee and Koob, 2010; Koob, 2013), the

effect of drug exposure on KOR and DYN is less clear and may even be down-regulated in methamphetamine and opiate dependence (Drakenberg et al., 2006; Frankel et al., 2007). Further studies are needed to clarify the interaction between the DYN/KOR system and dopamine signaling in these disorders.

KAPPA RECEPTOR/DYNORPHIN SYSTEM IN COCAINE ABUSE

Three post-mortem studies have been performed investigating KOR binding in cocaine abuse. The first of these, by Hurd and Herkenham (1993), showed a twofold increase in KOR binding in the caudate, but not the putamen or ventral striatum, in cocaine-dependent subjects compared to control subjects. Mash and Staley (1999) used *in vitro* autoradiography and ligand binding to map KOR in the brains of cocaine abusers and showed a twofold increase in the anterior and ventral sectors of the caudate and putamen, and nucleus accumbens compared to controls. Similar results were reported by Staley et al. (1997) who used radiolabeling to measure the KOR and reported a significant increase in KOR in the caudate, putamen, and nucleus accumbens in cocaine exposed compared to control brain tissue. These studies demonstrate that cocaine abuse or dependence is associated with a significant upregulation of the KOR in the striatum. However, to date, no human *in vivo* imaging studies of the KOR have been published in cocaine abuse. While previous PET studies

imaged the mu opioid receptor in cocaine dependence (Zubieta et al., 1996; Gorelick et al., 2008), PET imaging of the KOR has not been previously possible due to the lack of an appropriate radiotracer. Therefore, correlations with clinical outcomes, such as cocaine-seeking behavior could not be performed. In addition, these post-mortem studies did not measure markers of dopamine transmission (such as receptor density or dopamine levels), so that it remains unknown whether the increase in KOR signaling coincides with a reduction in dopamine signaling largely described in PET imaging studies. Measuring both KOR binding and dopamine transmission in the same individuals will require the development of new radiotracers for KOR.

COCAINE ADMINISTRATION AND DYNORPHIN

A number of animal studies have shown that repeated cocaine administration increases levels of DYN, prodynorphin mRNA, and preprodynorphin mRNA. The initial studies measured peptide levels and showed that chronic dosing of cocaine increased striatal dynorphin levels by 40–100% (Sivam, 1989; Smiley et al., 1990). Further studies measuring prodynorphin and preprodynorphin mRNA, instead of peptide levels, have replicated these findings. Daunais et al. (Daunais et al., 1993, 1995; Daunais and McGinty, 1995, 1996) showed that cocaine self-administration increases preprodynorphin mRNA in the caudate/putamen by more than 100%. Similar results have been reported in studies by other groups as well, where the administration of cocaine has been shown to increase preprodynorphin mRNA levels 50–100% in the caudate/putamen of rats and mice (Yuferov et al., 2001; Zhou et al., 2002; Jenab et al., 2003; Schlussman et al., 2003, 2005; Zhang et al., 2013). Spangler et al. (1993, 1996) demonstrated that cocaine increased prodynorphin mRNA in the caudate/putamen by 40%, and that these levels remained elevated for days. Overall, the above studies in rodents consistently report that cocaine administration increases DYN, prodynorphin, and preprodynorphin mRNA with levels ranging from about 40 to 100%. Previous studies have shown that the levels of DYN peptide and prodynorphin/preprodynorphin mRNAs correlate with each other, suggesting that increases in mRNAs closely reflect increases in the peptide itself (Li et al., 1988; Sivam, 1996).

These findings in rodents have been replicated in studies of rhesus monkeys and humans. Fagergren et al. (2003) performed a study in rhesus monkeys who self-administered cocaine and showed that prodynorphin mRNA levels were increased in the dorsolateral caudate (83%), central caudate (34%), and the dorsal putamen (194%). In humans, Hurd and Herkenham (1993) first reported that cocaine abuse was associated with an increase in preprodynorphin mRNA in the putamen and caudate in a post-mortem study of cocaine abusing subjects compared to control subjects. More recently, Frankel et al. (2008) measured DYN peptide levels in a post-mortem study of cocaine abusers and controls subjects, and reported a significant increase in DYN in the caudate and a trend toward a significant increase in the putamen compared to control subjects. A very large increase was seen in the ventral pallidum but no difference was seen in the thalamus, frontal, temporal, parietal, and occipital cortices. Taken together, these studies indicate that cocaine exposure increases striatal DYN signaling at the kappa receptor in rodents, non-human primates,

and humans. Considering the effect of DYN on dopamine signaling, it is likely that the sustained increase in DYN levels by cocaine exposure participates to the hypodopaminergic state described in cocaine abusers.

These findings in human and animal studies suggest that treatments that target KOR signaling would modulate cocaine-seeking behavior. However, animal studies exploring the effect of KOR agonist or antagonist administration on cocaine self-administration are mixed (for review, see Wee and Koob, 2010; Butelman et al., 2012). Partly, this effect depends on the reinforcement schedule used, doses of drug administered, and timing of the effect, since changes in KOR/DYN have a slow onset (Wee et al., 2009; Knoll et al., 2011). Moreover, the DYN/KOR system appears to play a more significant role in mediating the aversive effects that occur with cocaine exposure.

KAPPA RECEPTOR/DYNORPHIN AND STRESSED-INDUCED COCAINE-SEEKING BEHAVIOR

Animal studies have investigated the relationship between KOR activation and stress-induced cocaine-seeking behavior. DYN is released in response to physical stress in the striatum, amygdala, and hippocampus (Shirayama et al., 2004; Land et al., 2008), and blockade of the KOR reduces the effects of stress on cocaine-seeking behavior. McLaughlin et al. (2003) showed that swim stress and social defeat stress both significantly enhance conditioned place preference (CPP) for cocaine in mice. This effect was blocked by KOR antagonist administration and was not seen in prodynorphin knock-out mice (McLaughlin et al., 2003, 2006). In addition, the administration of a KOR agonist prior to cocaine conditioning was shown to be as effective as stress in potentiating subsequent cocaine-induced CPP (McLaughlin et al., 2006). Beardsley et al. (2005) showed that lever pressing for cocaine is reinstated in rodents following uncontrollable footshock, and that this effect is blocked by the administration of JDTic, a KOR antagonist. Along these same lines, Redila and Chavkin (2008) showed that intermittent foot shock, forced swim, and KOR agonist administration all reinstate cocaine CPP in mice. This effect was blocked with pre-treatment with the KOR antagonist nor-BNI, and did not occur in mice lacking either the KOR or prodynorphin. Carey et al. (2007) also showed that pre-treatment with a KOR antagonist blocked stress-induced reinstatement of cocaine CPP.

These studies show that signaling at the KOR plays a significant role in cocaine-seeking behavior following stress. Recent studies have also shown that DYN signaling and corticotropin releasing factor (CRF) function together to increase the negative reinforcing effects of cocaine (Koob et al., 2004). Land et al. (2008) used a phospho-selective antibody for the activated form of KOR and showed that both physical stress and CRF administration resulted in DYN-dependent activation of the KOR. Valdez et al. (2007) showed that, in monkeys, cocaine-seeking behavior is reinstated by the administration of a KOR agonist, and that this effect is blocked by CRF antagonist administration. KOR agonists stimulate the HPA axis in rodents and humans (Ur et al., 1997; Laorden et al., 2000), and it has previously been reported that KOR activation elicits CRF release (Nikolarakis et al., 1986; Song and Takemori, 1992) and vice-versa (Land et al., 2008).

Studies in human cocaine abusers have also shown that stress increases the risk of drug abuse and relapse (De La Garza et al., 2009). The pharmacological or psychological activation of the hypothalamic pituitary adrenal axis has been shown to increase craving in addition to the probability of increased cocaine use (Elman et al., 2003; Shoptaw et al., 2004; Elman and Lukas, 2005). Sinha and colleagues have shown that stress imagery increases anxiety and craving for cocaine (Sinha et al., 1999, 2006; Fox et al., 2006). Importantly, this group has also shown that stress-induced cocaine craving is associated with a shorter time to relapse in cocaine-dependent subjects following discharge from inpatient treatment (Sinha et al., 2006). To date, the imaging studies in addiction have not focused on stress-induced reinstatement of cocaine-seeking behavior, and future research should focus on the role of dopamine and KOR signaling and stress.

Thus, DYN/KOR signaling appears to play a crucial role in reinstating drug-seeking behavior by mediating the negative effects associated with drug cessation and stress-induced drug taking (Koob and Le Moal, 2008; Muschamp and Carlezon, 2013).

CONCLUSION

The data presented here suggest that blunted striatal dopamine release measured with imaging in cocaine dependence may be associated with an upregulation of DYN. Acting at the KOR of the dopamine terminals, KOR activation would be expected to produce a decrease in striatal dopamine release. Post-mortem studies in cocaine abusers and animal studies show that both KOR and DYN are upregulated following chronic cocaine exposure, and that this effect is long lasting (Spangler et al., 1993, 1996). In

addition, the imaging studies in cocaine abusers show that blunted dopamine release is associated with an increased risk of relapse while animal studies show that activation of the KOR increases cocaine self-administration. However, studies have not been conducted measuring KOR and striatal dopamine signaling in human cocaine abusers concurrently. Thus, future studies imaging the KOR in cocaine abusers and correlating their level directly with dopamine transmission, and with relevant clinical outcomes, is needed.

Chronic cocaine exposure induces CREB phosphorylation and changes in gene expression, which increase expression of prodynorphin mRNA in the nucleus accumbens in addition to other factors. As described above, excessive DYN signaling results in a decrease in extracellular dopamine release, which has been shown in the imaging studies of human cocaine abusers. These findings suggest that increasing signaling at the dopamine receptors may be an appropriate treatment approach, but clinical studies using dopamine agonists have not shown efficacy (Amato et al., 2011). Thus, pharmacologic manipulations that increase endogenous dopamine may be of use, particularly since imaging studies show that intact dopamine signaling is predictive of a positive treatment response. The data reviewed here suggest that KOR antagonists would be expected to counteract the effects of DYN upregulation and may restore pre-synaptic dopamine release. In addition, KOR antagonists have very limited, if any, nervous system side effects (Kreek et al., 2012) and block stress-induced cocaine self-administration in animal studies. Together, these findings suggest that KOR antagonists may provide an important avenue for future treatment development for cocaine addiction (Muschamp and Carlezon, 2013).

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The role of the glucocorticoids in developing resilience to stress and addiction

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There is emerging evidence that individuals have the capacity to learn to be resilient by developing protective mechanisms that prevent them from the maladaptive effects of stress that can contribute to addiction. The emerging field of the neuroscience of resilience is beginning to uncover the circuits and molecules that protect against stress-related neuropsychiatric diseases, such as addiction. Glucocorticoids (GCs) are important regulators of basal and stress-related homeostasis in all higher organisms and influence a wide array of genes in almost every organ and tissue. GCs, therefore, are ideally situated to either promote or prevent adaptation to stress. In this review, we will focus on the role of GCs in the hypothalamic-pituitary adrenocortical axis and extra-hypothalamic regions in regulating basal and chronic stress responses. GCs interact with a large number of neurotransmitter and neuropeptide systems that are associated with the development of addiction. Additionally, the review will focus on the orexinergic and cholinergic pathways and highlight their role in stress and addiction. GCs play a key role in promoting the development of resilience or susceptibility and represent important pharmacotherapeutic targets that can reduce the impact of a maladapted stress system for the treatment of stress-induced addiction.

Keywords: **addiction, glucocorticoid, stress, resilience, cholinergic, nicotinic acetylcholine receptors, mifepristone, orexin**

INTRODUCTION

Susceptibility to developing an addiction is governed by genetics and modified by experience and the environment. Stress plays an important role in increasing susceptibility to addiction. McEwen eloquently wrote that, “human lifetime experiences have a profound impact on the brain, both as a target of stress and allostatic load/overload and as a determinant of physiological and behavioral response to stressors” (1). The ability to cope with stress or resilience (the capacity to bounce back following adversity) significantly predicts whether a person will subsequently develop a stress-related neuropsychiatric disease such as anxiety, depression, and addiction [reviewed in (2)]. A large majority of population have experienced a traumatic event during their lifetime. However, only a small percentage will subsequently experience chronic distress leading to post-traumatic stress disorder (PTSD) or addiction to alcohol or other drugs (3). In most cases, however, people have resilience and do not develop a disease or disorder following exposure to stressors. The emerging field of the neuroscience of resilience is uncovering new circuits and molecules that serve to protect against stress-related neuropsychiatric diseases.

It has often been assumed that resilience is an innate or passive mechanism that cannot be changed. However, research in animals and humans suggest that developing resilience may be a learnt behavior (2). Individuals have the capacity to learn to be resilient by developing mechanisms that protect from the maladaptive effects of stress. Glucocorticoids (GCs), cortisol in humans, or corticosterone in rodents are important regulators

of basal and stress-related homeostasis and have been shown to modulate an array of genes in many organs and tissues (4–6). Thus, GCs are ideally placed to regulate a multitude of signaling pathways activated in response to stress and addiction. In this review, we will focus on the role of GCs in the hypothalamic-pituitary adrenocortical (HPA) axis in regulating basal and chronic stress responses. In addition, we will focus on two systems, the orexinergic and cholinergic systems and their roles in mediating stress and addiction. We will further discuss the emerging interaction between these systems with GCs and in regulation of stress. Lastly, as GCs play a key role in promoting either resilience or susceptibility to stress, we will examine the pharmacotherapeutic opportunities that target GCs for the treatment of stress-induced addiction.

THE ROLE OF THE HPA AXIS AND THE GLUCOCORTICOIDS IN THE NEUROBIOLOGY OF RESILIENCE TO STRESS

The mechanisms that govern an organism’s ability to handle stress has been well described in microorganisms that have specialized hubs, called stressosomes, that govern responses to an array of physical and environmental insults (7, 8). The stressosome is a unique structure within the microorganism that precisely orchestrates the molecular machinery that tunes the magnitude of the response to a stressor. The stressosome ultimately ensures the survival of the cell in response to an extensive variety of chemical and physical stressors (7, 8). The mammalian correlate of the “stressosome” is the HPA axis, as it provides a co-ordinated response to acute stress (9). The fundamental components of the central HPA

axis are well known and include the corticotropin-releasing hormone (CRH)-secreting neurons of the paraventricular nucleus of the hypothalamus (PVN) (10) that stimulate pituitary adrenocorticotrophic hormone (ACTH) and adrenal corticosterone (CORT) secretion (11).

Glucocorticoids are steroid hormones that are secreted by the adrenal glands and are important regulators of homeostasis in basal and stressful conditions. GCs exert their influence through two types of intracellular receptors the type I mineralocorticoid receptor and type II glucocorticoid receptor. Both receptors are expressed throughout the body and exert system-wide effects. In the brain, the high affinity type I mineralocorticoid receptor (also called aldosterone receptor in the kidneys), is expressed predominantly in the hippocampal formation and moderate expression is found in prefrontal cortex (PFC) and amygdala (12–14). The low affinity type II GRs are expressed throughout the brain with highest expression in the PVN and hippocampus and because of its lower affinity to cortisol it plays a key role in stress-related homeostasis when circulating levels of cortisol are high (14–17). GRs and MRs receptors reside in the cytoplasm and mediate classical genomic actions of GCs by acting as nuclear transcriptional activators and repressors (14, 18) and membrane bound GRs mediate the rapid actions of GCs (19, 20). GCs are thus ideally positioned to modulate responses to stress and be activated in the brain during healthy conditions, following acute stress and during adaptation of responses to chronic stress (4, 5, 21).

Glucocorticoids provide inhibitory feedback responses over fast (seconds to minutes) and longer (hours to days) timescales (4, 18, 22–24). The rapid effects involve immediate reduction in miniature EPSC frequency upon application of corticosterone or dexamethasone (synthetic GC) in the PVN (25), and reduced ACTH and corticosterone levels, an effect not observed when membrane impermeable dexamethasone was used, indicating fast feedback inhibition (26). Similar rapid effects of corticosterone on mEPSC in the hippocampus have been observed (27, 28). Thus both short time scale (perhaps non-genomic) and longer time scale (genomic) actions of GC together mediate the inhibitory feedback control. The molecular and neurobiological processes that underpin passive and active resilience are being investigated and candidates are regulators of the HPA axis, molecules involved in the architecture of the synapse and signaling molecules associated with neural plasticity [reviewed by (2)]. GCs represent the end product of the HPA axis and influence many functions of the central nervous system, such as arousal, cognition, mood, sleep, metabolism, and cardiovascular tone, immune, and inflammatory reaction (Figure 1).

Repeated traumatic events induce long-lasting behavioral changes that affect cognitive, emotional, and social behaviors that ultimately provide an organism protection or survival. The ability to handle stress may depend on an individual's HPA axis responsiveness that may in turn predict the likelihood of developing neuropsychiatric disorders such as addiction. However, under chronic stress this feedback becomes dysregulated leading to the variety of maladaptive syndromes, such as anxiety and various forms of depressive disorders (1, 5, 29–33) and addiction, including alcohol dependence (34). It has been shown that dysregulation

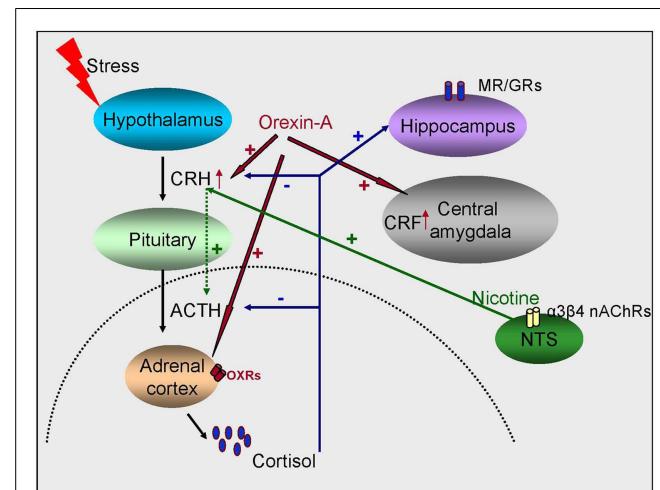


FIGURE 1 | Schematic representation of the interaction between glucocorticoids, orexins, and the cholinergic system in regulating stress responses. Stress activates the release of glucocorticoids from the adrenal gland, which then feedback into the brain and target both the HPA axis and extra-hypothalamic sites like the hippocampus and the amygdala. Orexins also activate the HPA axis and lead to the production of glucocorticoids and stimulate the release of CRF from the PVN of the hypothalamus and the central amygdala. The third player are the nicotinic receptors (nAChRs) which indirectly regulate ACTH release by acting on the PVN.

of the HPA axis by chronic and uncontrollable stress leads to abnormal GC secretion (35, 36). GRs mediate adaptation to stress and regulate termination of the stress response through negative feedback at the level of the HPA axis (30–32). GCs can dynamically regulate tissue sensitivity in a stochastic manner (5) and control the response to chronic stress. GCs regulate tissue and organ sensitivity by modulating GRs signaling, ligand availability, receptor isoform expression, intracellular circulation, and promoter association (30–32).

GLUCOCORTICOID RECEPTORS IN MALADAPTIVE STRESS RESPONSES: THE ROLE OF CHANGES IN PLASTICITY IN THE AMYGDALA

The amygdala is a key brain region that is involved in processing stress, fear, and pavlovian conditioning, and is a site where neuroendocrine signals stimulated by fear and stress interact. It has been proposed that the balance between hippocampal and amygdalar learning is important for determining behavioral stress coping choices. Chronic restraint stress increases dendritic growth and spine density in the basolateral amygdala (BLA) and is in contrast to its role in the hippocampus. The changes in the hippocampus return to baseline during recovery, whereas those in the amygdala are long lasting (37). Neurotrophic factors like BDNF mediate the stress-induced alterations in these brains regions. A recent study demonstrated that increased levels of BDNF are found in response to chronic stress in the BLA, whereas decreased levels were observed in the hippocampus (38). Animals which escape from aggressive interactions seem to have a more robust BDNF expression profile in the hippocampus and less in the amygdala,

while the opposite behavior (of stay and face the opponent) have the opposite effect (39). Thus stress activates neurotrophic factors in different brain regions and is thought to be mediated by the GR system. Mice with a targeted genetic deletion of the GR, specifically in the central nucleus of the amygdala (CeA) but not in the forebrain have decreased conditioned fear responses (40). In contrast, targeted forebrain disruption of GRs, excluding the CeA, did not. It is known that the GRs in BLA are involved in consolidation of emotionally arousing and stressful experiences in rodents and humans by interacting with noradrenaline. Human studies have demonstrated that interactions between noradrenergic activity and glucocorticoid stress hormones can bring out disruptions in the neural basis of goal-directed action to habitual stimulus-response learning (41). Recently, it was shown that following acute stress, LTP induction is facilitated in the BLA by both β -adrenergic and GRs activation (42). Taken together, there are circuit specific changes underlying learning during stressful conditions, animals that are susceptible to stress have greater increases in synaptic activity in fear-related circuits such as the amygdala compared to animals that are resilient to stress.

GLUCOCORTICOIDS DRIVE CHANGES IN PLASTICITY IN THE HIPPOCAMPUS AND CORTICAL REGIONS IN RESPONSE TO STRESS

Glucocorticoid receptors in the hippocampus control homeostasis during healthy conditions and then play a role in driving changes in plasticity in response to stressful conditions (43, 44). Early life experiences that ultimately control an individual's HPA responsiveness to stressful stimuli are modulated by GR gene expression in the hippocampus and frontal cortex (45). Hippocampal GRs play a role in the formation of long-term inhibitory avoidance memory in rats by inducing the CaMKII α -BDNF-CREB-dependent neural plasticity pathways (46). In a separate study, chronic exposure to corticosterone resulted in impaired ability to learn response outcomes (47). Memory consolidation is thought to be mediated by the GR, while appraisal and responses to novel information is processed by the MR. Human and rodent studies suggest that under stressful conditions there is a switch from cognitive memory mediated by the hippocampus to habit memory mediated by the caudate nucleus (48, 49). In fact, mice deficient in MR receptors have impaired spatial memory, however they were rescued from further deterioration by stimulus-response memory following stress (50). Similarly, following an acute stressor, GRs are activated and induce synaptic plasticity in the PFC by increasing trafficking and function of NMDARs and AMPARs (51). Furthermore, when the MR was overexpressed in the forebrain of mice using a CAMkIIa promoter driven expression of HA-tagged human MR cDNA, the mice showed improved spatial memory, reduced anxiety without alteration in baseline HPA stress responses (52). There is mounting evidence that GCs participate in the formation of memories in specific circuits that govern stress responses and consequently responses to substances of abuse and alcohol.

GLUCOCORTICOIDS IN THE DEVELOPMENT OF ADDICTION

Chronic exposure to stress leads to alterations in the homeostatic functioning of GCs (29). Furthermore, there is significant dysregulation of the HPA axis following alcohol dependence. It has been

shown that acute voluntary ethanol self-administration increases corticosterone levels, in contrast, long-term ethanol exposure in rodents results in a blunted response suggesting the alcohol dependence leads to dysregulation of the HPA axis (53). Transient overexpression of GR in young animals is both necessary and sufficient for bringing about profound changes in the transcriptome in specific brain regions leading to a lifelong increase in vulnerability to anxiety and drugs of abuse (54). The modified transcripts have been implicated in GR and axonal guidance signaling in dentate gyrus and dopamine receptor signaling in nucleus accumbens (NAc) (54). Furthermore, in some individuals, following exposure to stress and psychological trauma, GCs can promote escalated drug-taking behaviors and induce a compromised HPA axis. GCs can cross-sensitize with stimulant drug effects on dopamine transmission within the mesolimbic dopamine reward/reinforcement circuitry (55) and increase susceptibility to developing addictive behaviors (56–58) by increasing the synaptic strength of dopaminergic synapses (59). Importantly, the dopamine responses in the NAc core, but not the shell, have been shown to respond to fluctuating levels of GCs (60). Deficiencies in the GR gene in mice specifically in dopaminergic neurons expressing dopamine D1 receptors that receive dopaminergic input had decreased cocaine self-administration and dopamine cell firing (61). Acute exposure or binge-like ethanol exposure alter GC levels and promote PFC GC-regulated gene expression (62) and neurodegeneration that is dependent on type II GRs (63). GCs induce ethanol associated plasticity of glutamatergic synapses that have been proposed to underlie the development of ethanol dependence, reviewed in (64).

It has been shown that there is a correlation between acute alcohol withdrawal and downregulation of GR mRNA in the PFC, NAc, and bed nucleus of the stria terminalis (BNST), while protracted alcohol abstinence correlated with upregulated GR mRNA in the NAc core, ventral BNST, and CeA (65, 66), reviewed in (67). The transition from initial voluntary drug use to subsequent compulsive drug use has been proposed to reflect a switch from goal-directed to habitual control of action behavior (68). The investigators propose that acute stressors reinstate habitual responding to drug-related cues and repeated stress may promote the transition from voluntary to compulsory drug use. GCs are ideally positioned to regulate a diverse array of systems that modulate the development of addiction. In the following sections, we review the interplay between GCs and the orexinergic and cholinergic systems.

THE OREXINERGIC SYSTEM

The most studied biological functions of orexins/hypocretins are in the central control of feeding, sleep, energy homeostasis, and reward-seeking. Orexin-A and orexin-B (also called hypocretin-1 and -2) interact with two orexin/hypocretin receptor subtypes, the Orexin₁ Receptor (OX1R) and Orexin₂ Receptor (OX2R) which bind to either or both orexin-A and orexin-B (69, 70). Initial discoveries on the role of orexins came about with identification of deficiencies in the genes either encoding orexin or the OX2R receptor resulting in canine narcolepsy, implicating the role of ORX/Hcrt system in the regulation of sleep and wakefulness (71, 72). Orexin-A and orexin-B have been shown

to increase food intake that is blocked by selective antagonists (73, 74). In addition, orexinergic fibers innervate various brain regions involved in energy homeostasis, such as the ventromedial hypothalamic nucleus, the arcuate nucleus, and the PVN of the hypothalamus (75). Orexins regulate autonomic functions, such as regulation of blood pressure and heart rate (76). Thus these neuropeptides are in a unique position to respond to stress.

ROLE OF OREXINS IN STRESS AND ACTIVATION OF THE HPA AXIS

Arousal is an important element of the stress response and the orexin system is a key component of the response to stress. Projections from perifornical nucleus and the dorsomedial nucleus of the hypothalamus are also implicated in addictive behaviors, however their role in arousal and concomitant stress has been the main focus (77). Orexins modulate the HPA axis in response to different stressful stimuli. Prepro-orexin mRNA expression was increased in the lateral hypothalamus (LH) in young rats following immobilization stress and in adult rats following cold stress (78). OX-A activates the HPA axis inducing secretion of ACTH and corticosterone (79). OX-A, but not OX-B, increases glucocorticoid secretion from rat and human adrenal cortices by direct stimulation of adrenocortical cells via OX1R coupled to the adenylate cyclase-dependent cascade (79) (**Figure 1**). Intracerebroventricular (I.C.V) administration of OX-A enhanced ACTH and corticosterone release (80–82). It has been proposed that orexin neurons play an integrative role that links autonomic responses to arousal and/or vigilance during the fight-or-flight response (83) (**Figure 2**).

ROLE OF OREXINS IN ADDICTION

Along with the many functions performed by orexins, the most intriguing is their role in the reward system. Orexin containing neurons project from the LH to the ventral tegmental area (VTA) and NAc, the brain regions that comprise the mesolimbic “reward pathway” (84–86). OXRs have recently been implicated in the motivational drive for addictive substances such as morphine, cocaine (87–91), and alcohol (92–97). The OX1R plays a specific role in ethanol self-administration, cue, and stress-induced relapse, reviewed in (98) with a more limited role for OX2R being shown (99). The orexin system has also been implicated in relapse to drug use. The OX1R plays a role in foot-shock stress-induced reinstatement of cocaine (100, 101) and cue and yohimbine induced reinstatement of ethanol-seeking (94, 96, 102).

The central amygdaloid projections regulate the HPA axis and innervate orexin containing neurons in the lateral hypothalamus. The extended amygdala which includes the CeA, BNST, and the NAc are critical brain areas that process emotional behaviors such as anxiety, fear, stress, and drug addiction. In particular, the CeA and BNST have been shown to play an important role in anxiety-related behaviors and voluntary ethanol consumption (103). The extended amygdala, including the CeA, has been shown to play a critical role in the reinstatement behavior to drugs of abuse. Inactivation of the CeA, but not the BLA, prevents foot-shock-induced reinstatement of cocaine-seeking (104). Dense orexinergic innervation is also observed in all these brain regions (76, 105, 106). These brain regions also express stress peptides such as corticotrophin releasing factor (CRF) and anti-stress peptides such as neuropeptide Y (NPY). Both these neuropeptides have opposing actions in the CeA and regulate ethanol consumption. OX-A

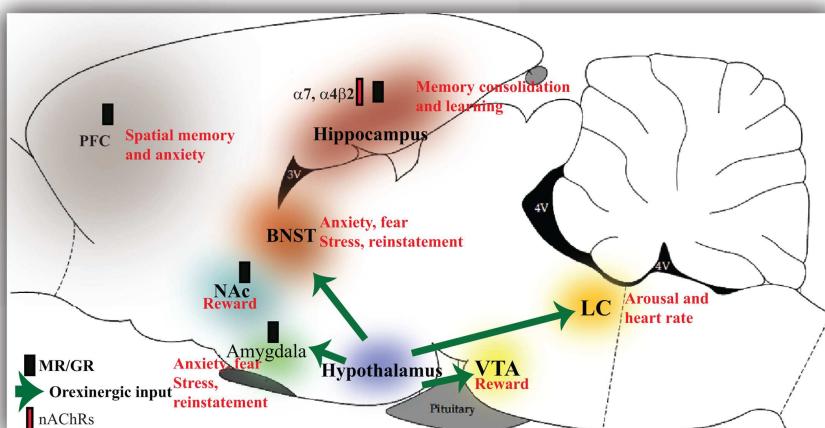


FIGURE 2 | Glucocorticoid, orexinergic, and cholinergic activation of the brain regions involved in stress and drug addiction. Glucocorticoid receptors in the hippocampus and amygdala mediate the effects of stress and consolidation of fearful memories. GCs also modulate alcohol withdrawal in the prefrontal cortex (PFC), nucleus accumbens (NAc), and bed nucleus of the stria terminalis (BNST). Glucocorticoids (GCs) in the hippocampus also negatively regulate the hypothalamus thereby providing a central feedback mechanism. Orexins produced in the hypothalamus

activate reward pathways such as the ventral tegmental area (VTA) and the NAc and brain regions involved in stress, fear, and anxiety such as the amygdala and BNST and regulate cardiovascular tone through the locus coeruleus (LC). Both GCs and orexins play similar roles in brain regions implicated in stress and reward. Glucocorticoids have been shown to directly inhibit nicotinic receptor (nAChR) activity in the hippocampus that exert an inhibitory effect on the HPA axis. The nAChRs seem to differentially orchestrate responses to stress.

infusions into the BNST produce anxiety like responses as measured by social interaction test and elevated plus maze test and the effect is mediated by NMDA receptors (107). A recent study also demonstrated that yohimbine activates orexinergic responses, but not adrenergic receptor activity, and depressed excitatory neurotransmission in the BNST that contributed to reinstatement of extinguished cocaine CPP (108). Thus the orexinergic system is involved in mediating stress-induced drug-seeking behavior as it recruits multiple brain regions involved in processing stressful stimuli and addictive behaviors. It is essential to understand the contribution of orexins in the overlap between stress and reward systems. Identifying circuits that mediate stress-induced relapse to drug abuse will be necessary in order to develop targeted pharmacotherapeutic approaches for stress-induced drug relapse. The dual orexin receptor antagonist, suvorexant (109) has successfully completed phase III clinical trials in treating primary insomnia and is currently under FDA review. If approved, this will be the first FDA orexin antagonist available for treating sleep-disorders and has the potential to be repurposed for its efficacy in treating stress and addictive disorders.

INTERACTIONS BETWEEN THE CHOLINERGIC SYSTEM AND HPA AXIS

Allostasis, a process by which homeostasis is regained after stress, occurs by the interaction between the PFC, amygdala, and the hippocampus via the HPA axis (110–113). In this process a number of neurotransmitters and neuromodulators such as acetylcholine, glutamate, and GABA, have been shown to be differentially modulated. Here, we review the involvement of the components of the cholinergic pathway in reacting to, sustaining, and even exacerbating stress.

Components of the cholinergic pathway are—the ligand, acetylcholine (ACh); the enzyme responsible for the breakdown of acetylcholine, acetylcholinesterase (AChE); the enzyme involved in synthesizing ACh, choline acetyltransferase (ChAT); and, the acetylcholine receptors, nicotinic acetylcholine receptor (nAChR), and muscarinic acetylcholine receptor (mAChR). We are focusing specifically on the nicotinic receptor – nAChR – in relation to the cholinergic response to stress. By focusing on the *nAChR-cholinergic pathway*, it is not our purpose to suggest that nAChR is the only or a more important player mediating responses to stress. Rather, it is intended that this review highlights the interactions of the glucocorticoid pathway (mediated via the HPA) and the nAChR-cholinergic pathway in relation to stress.

It is well known that the nAChRs are involved in learning and memory (114, 115). Additionally, the negative effects of chronic stress on memory are also well established (116, 117). Indeed, as early as 1968, the hippocampus was recognized as a target structure for stress hormones (118) with observations that acetylcholine release into the hippocampus (119, 120) increased under various stress models (121). Transgenic mouse knock-out models have shown the importance of the α_4 (122), β_3 (123), and β_4 (124) nAChR subunits in mediating the anxiogenic effects of stress. Furthermore, the α_5 and β_4 knock-out mice are less sensitive to nicotine (125, 126), a potent anxiolytic agent (127–129) at lower doses (130). Indeed, the α_7 and $\alpha_4\beta_2$ nAChRs, which are the primary targets of nicotine, have been shown

to provide a nicotine-mediated neuroprotective effect in stress-induced impairment of hippocampus-dependent memory (131). The hippocampus has been shown to exert an inhibitory effect on the HPA axis (132–136), thus lowering stress. Taken together, the nAChR seem to differentially orchestrate responses to stress via its various subunits.

Activation of the stress response is due to the cascading efflux of CRH, ACTH, and cortisol. Nicotine, a potent ligand at nAChRs, in relatively high doses (2.5–5.0 μ g/kg) has been shown to produce a dose-dependent increase in ACTH (137), and its antagonist, mecamylamine, has been shown to block nicotine-stimulated ACTH release (137, 138). In the brain, the region responsible for the CRH-mediated ACTH release is the parvocellular region of the PVN (pcPVN) of the hypothalamus (139, 140). It has, however, been shown that nicotine mediates ACTH release indirectly, via the nicotinic receptors on the nucleus tractus solitarius (NTS) (141, 142). The NTS subsequently mediates action potentials via various afferents to the pcPVN (143, 144). The nAChR in the NTS are found pre-synaptically on glutamatergic projections to the pcPVN (145, 146). Further, the nAChR subunits implicated in the nicotine-mediated effects of ACTH in this pathway are the β_4 -containing nAChRs (most likely $\alpha_3\beta_4^*$) but not the $\alpha_4\beta_2$ as determined by measurements of mEPSCs in the presence of DH β E, a potent $\alpha_4\beta_2$ inhibitor or cytidine, a potent β_4^* -nAChR agonist (146). Therefore, while the $\alpha_4\beta_2$ and α_7 nAChR subunits modulate nicotine-mediated roles elsewhere (131), in the NTS it is a different subtype (146), pointing yet again to a nAChR-based differential modulation to stress (Figure 1).

GLUCOCORTICOID INTERACTIONS WITH THE CHOLINERGIC SYSTEM

Glucocorticoids have been shown to directly inhibit nAChR activity (147–149). This is supported by the fact that stress causes a down regulation of the nAChR in the rat cerebral cortex and mid-brain (150). Additionally, steroid antagonists have been shown to upregulate nAChR expression (151). That GCs can directly affect nAChR activity via receptor binding or alteration of expression levels can be explained by the presence of glucocorticoid response elements (GRE) on genes transcribing the α_7 subunit of the nAChR – CHR α 7 (152). Indeed, GREs have also been identified on genes for ChAT (153) and AChE (154), components of the cholinergic pathway. Further research is required to study the precise effects of these GREs in this pathway along with investigating if these GREs are also present on other nAChR genes.

Other components of the cholinergic pathway too have been shown to be affected by stress. AChE, responsible for the timely degradation of ACh, has been shown to be regulated via alternative splicing thus modifying neurotransmission (155). Indeed, miRNA post-transcriptional modification of AChE from its usual AChE-S to the read-through form AChE-R alters cholinergic transmission (156). Additionally, post-transcriptional modulation of AChE, again via miRNA, causes hippocampal-related cognitive defects (157). As stated earlier, AChE expression is controlled at the genomic level via the GRE (154) as is ChAT (153). Also, ChAT protein levels were shown to decrease due to chronic stress (158). At the epigenetic level, there is stress-induced epigenetic transcriptional memory of AChE via HDAC4 (159). Interestingly, in

this study a GRE was also identified on HDAC4 (159), suggesting a direct epigenetic effect of stress on AChE. All these results point to a multi-faceted mechanism whereby the stress-induced cholinergic response is regulated without the over-articulation of its response that would undoubtedly lead to various stress-related neuropathologies such as PTSD (160, 161), alcohol addiction (162, 163), and addiction to other substances of abuse (164, 165).

In summary, the involvement of the different subtypes of the nAChR in different regions of the brain along with modulation of the cholinergic pathway at various stages such as transcriptional, post-transcriptional, and epigenetic modifications, point to a finely modulated system both temporally and spatially that is attuned to respond to the various stressors that we are faced with in our daily lives. Lastly, while this review has focused on the nAChR and the cholinergic pathway, the involvement of the muscarinic receptor and a myriad other neural circuits cannot be understated. Indeed the ultimate goal of this field of research is to understand sufficiently the intricate interplay between the various pathways and neural circuits that ultimately will enable the alleviation of stress-induced morbidity via development of more effective pharmacotherapeutic strategies against stress.

PHARMACOTHERAPEUTIC STRATEGIES

Ample evidence exists to demonstrate that type II GRs are important therapeutic targets for the treatment of disorders that result from maladaptive stress responses. Mifepristone, also known as RU486, is a derivative of the 19-norprogesterin norethindrone and potently competes with type II GRs and progesterone receptors (PRs). Mifepristone has been shown to reduce reinstatement of ethanol-seeking and escalated drinking in two different animal models (66, 166). Furthermore, mifepristone has been shown to be effective at reducing the self-administration of amphetamine

(167), cocaine (168, 169), morphine (170), and ethanol (57, 66, 162, 166, 171–175). A recent study also demonstrates the effectiveness of mifepristone in reducing withdrawal symptoms of alcohol (176). The anti-glucocorticoid activity of mifepristone has made it a potential treatment for Cushing's syndrome (177) and neurological and psychological disorders (178–183). Mifepristone offers a promising way to temporarily reset the stress response system that has become maladapted following chronic and long-term alcohol consumption.

CONCLUSION

Learning to cope with life and/or stress or learning to be susceptible to stress involves dynamic regulation of plasticity in brain circuits that govern stress response pathways. As the brain can be remodeled by experience and neural circuits are adaptable and dynamically regulated, this suggests it is possible to change the brain or learn how to cope with stress and overcome addiction and learn to become more resilient. The molecular pathways and circuits that govern resilience are gradually being uncovered and this will provide opportunities for identifying novel strategies that overcome the impact of addiction on the brain combined with possible novel pharmacotherapeutic strategies that target pro-resilience pathways. In this review, we focused on the role of glucocorticoid hormones, as they have the capacity to provide system-wide feedback during acute and chronic stress and provide a way forward to interrogate and reset brain networks. Understanding the molecular mechanisms that govern mechanisms that the brain utilizes to protect from the deleterious effects of stress will provide exciting new avenues in neuroscience.

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The interplay between the hippocampus and amygdala in regulating aberrant hippocampal neurogenesis during protracted abstinence from alcohol dependence

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The development of alcohol dependence involves elevated anxiety, low mood, and increased sensitivity to stress, collectively labeled negative affect. Particularly interesting is the recent accumulating evidence that sensitized extrahypothalamic stress systems [e.g., hyperglutamatergic activity, blunted hypothalamic-pituitary-adrenal (HPA) hormonal levels, altered corticotropin-releasing factor signaling, and altered glucocorticoid receptor signaling in the extended amygdala] are evident in withdrawn dependent rats, supporting the hypothesis that pathological neuroadaptations in the extended amygdala contribute to the negative affective state. Notably, hippocampal neurotoxicity observed as aberrant dentate gyrus (DG) neurogenesis (neurogenesis is a process where neural stem cells in the adult hippocampal subgranular zone generate DG granule cell neurons) and DG neurodegeneration are observed in withdrawn dependent rats. These correlations between withdrawal and aberrant neurogenesis in dependent rats suggest that alterations in the DG could be hypothesized to be due to compromised HPA axis activity and associated hyperglutamatergic activity originating from the basolateral amygdala in withdrawn dependent rats. This review discusses a possible link between the neuroadaptations in the extended amygdala stress systems and the resulting pathological plasticity that could facilitate recruitment of new emotional memory circuits in the hippocampus as a function of aberrant DG neurogenesis.

Keywords: chronic ethanol, vapor induced dependence, self-administration, subgranular zone, hippocampus, BrdU

NEUROGENESIS IN THE ADULT DENTATE GYRUS

Accumulating evidence over the past four decades shows that forebrain neural stem cells populate two main areas, the subventricular zone of the lateral ventricles and subgranular zone (SGZ) of the hippocampal dentate gyrus (DG; **Figure 1**), where they give rise to neurons throughout adulthood. Adult neurogenesis is found in these forebrain regions in all mammalian species examined, including humans (Eriksson et al., 1998; Curtis et al., 2007), and may serve to replace cells damaged by brain disorders, such as addiction to drugs of abuse and alcohol. Whether they replace dying or diseased cells and if so to what extent are questions currently receiving intense research focus.

Adult neurogenesis in the hippocampal DG plays an important role in maintaining hippocampal plasticity. The process of neurogenesis involves stem-like precursor cells (type 1 cells) that proliferate into preneuronal progenitors (type 2 and type 3), which in turn differentiate into immature neurons and eventually mature into granule cell neurons (GCNs; Kempermann et al., 2004; Abrous et al., 2005; **Figure 1**). A large proportion (>80%) of hippocampal progenitors migrate a short distance to become GCNs in the DG (Kaplan and Hinds, 1977; Hastings et al., 2001), and there is evidence demonstrating functional incorporation of the newly born neurons in the DG (Gould et al., 1999; Shors et al., 2002; Aimone et al., 2006). For example, DG neurogenesis has been implicated in the maintenance of hippocampal networking (Aimone et al.,

2006; Clark et al., 2012; Lacefield et al., 2012) and assists with certain behaviors that depend on the hippocampus (Feng et al., 2001; Deisseroth et al., 2004; Schmidt-Hieber et al., 2004; Kim et al., 2012) and is critical for encoding new information by facilitating the formation of new memories that assist with hippocampus-dependent behaviors (McHugh et al., 2007; Bakker et al., 2008; Clelland et al., 2009; Aimone et al., 2011; Sahay et al., 2011).

Dentate gyrus neurogenesis is also strongly regulated by stress and glucocorticoids (Cameron and Gould, 1994; Mirescu and Gould, 2006; Oomen et al., 2007; Snyder et al., 2011). Conversely, DG neurogenesis regulates the secretion of glucocorticoids in response to stress (Snyder et al., 2011). This is important because the hippocampus provides negative control of the hypothalamic-pituitary-adrenal (HPA) axis, and DG neurogenesis regulates hippocampal regulation of the HPA axis (Snyder et al., 2011), although the circuitry mediating this effect is not well understood. Furthermore, the role of the glutamatergic system in the development and maintenance of DG neurogenesis is well documented (Cameron et al., 1995). For example, *N*-methyl-D-aspartate (NMDA) receptor activation reduces the proliferation of neural precursors in a normal state, and blockade of NMDA receptors increases the birth and survival of neural precursors in the DG, suggesting that neuronal inputs into the hippocampus regulate DG neurogenesis (**Figure 2**). Furthermore, recent evidence demonstrates compromised HPA axis activity (Richardson et al., 2008), altered

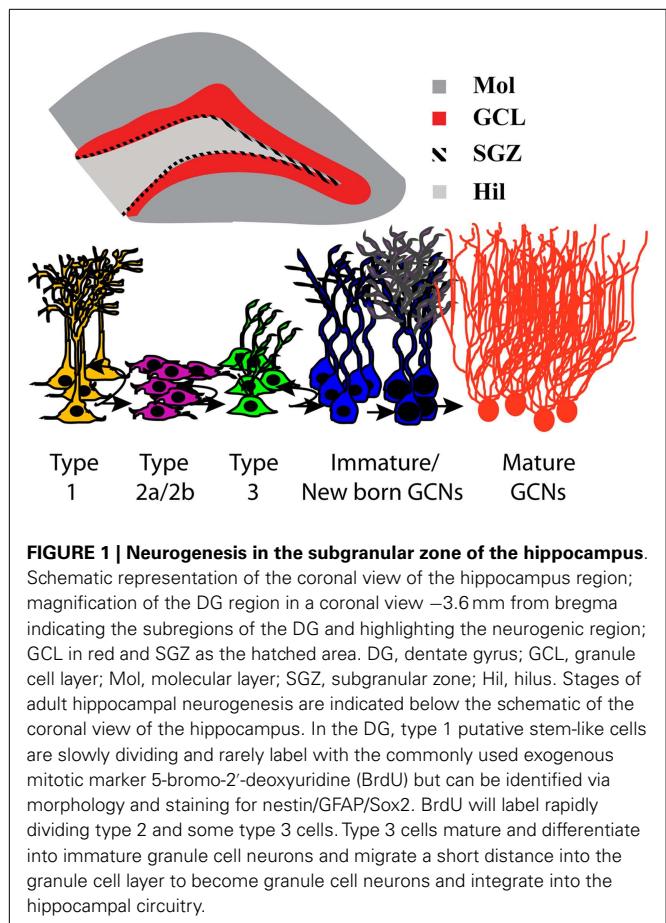


FIGURE 1 | Neurogenesis in the subgranular zone of the hippocampus.

Schematic representation of the coronal view of the hippocampus region; magnification of the DG region in a coronal view –3.6 mm from bregma indicating the subregions of the DG and highlighting the neurogenic region; GCL in red and SGZ as the hatched area. DG, dentate gyrus; GCL, granule cell layer; Mol, molecular layer; SGZ, subgranular zone; Hil, hilus. Stages of adult hippocampal neurogenesis are indicated below the schematic of the coronal view of the hippocampus. In the DG, type 1 putative stem-like cells are slowly dividing and rarely label with the commonly used exogenous mitotic marker 5-bromo-2'-deoxyuridine (BrdU) but can be identified via morphology and staining for nestin/GFAP/Sox2. BrdU will label rapidly dividing type 2 and some type 3 cells. Type 3 cells mature and differentiate into immature granule cell neurons and migrate a short distance into the granule cell layer to become granule cell neurons and integrate into the hippocampal circuitry.

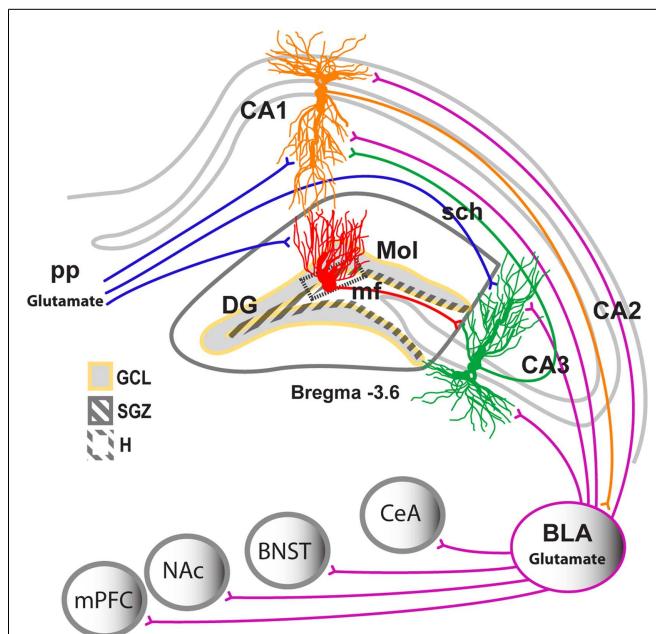


FIGURE 2 | Neuronal projections in the hippocampus.

Schematic representation of the coronal view of the hippocampus region indicating the subregions of the hippocampus and their location within the hippocampus. CA, cornu ammonis; Trisynaptic circuitry in the hippocampus is indicated with axons from the entorhinal cortex projecting unidirectionally to the apical dendrites of the hippocampal DG, CA1, and CA3 neurons (perforant path projection). DG neurons project to the apical dendrites of the CA3 pyramidal neurons (mossy fiber projection). CA3 neurons project to the apical dendrites of the CA1 neurons (Schaffer collateral projection). The CA1 neurons have bidirectional projections to and from the BLA. The BLA also sends projections to the medial prefrontal cortex (mPFC), nucleus accumbens (NAc), bed nucleus of the stria terminalis (BNST), and central nucleus of the amygdala.

glucocorticoid signaling (Vendruscolo et al., 2012), increased sensitivity to NMDA-mediated function (Becker et al., 1998; Gonzalez et al., 2001), and significant reductions in the rate of DG neurogenesis (Nixon and Crews, 2002; Richardson et al., 2009; Hansson et al., 2010) in a preclinical models of alcohol addiction and dependence. These data suggest that the normalization of alcohol-impaired DG neurogenesis during withdrawal may help reverse altered hippocampal neuroplasticity during protracted abstinence and thus may help reduce the vulnerability to relapse and aid recovery.

ANIMAL MODELS OF CHRONIC ALCOHOL EXPOSURE AND ALCOHOL DEPENDENCE

There are several *in vitro* and *in vivo* preclinical model systems that represent various stages of alcohol intoxication, addiction, and dependence. Three models are highlighted in this review; *in vitro* organotypic hippocampal cell culture model, intragastric intubation model, and chronic ethanol vapor induced dependence (CEID) model. The incorporation of these models has allowed us to determine the toxic and neuromodulatory effects of ethanol in specific brain regions and reward systems. The *in vitro* organotypic hippocampal cell culture model is commonly used to study hippocampal excitotoxicity associated with alcoholism. The *in vitro* model harbors critical hippocampal heterogeneity that is necessary for neuron–neuron and neuron–glia interactions to occur, thus maintaining the structural and functional integrity of

hippocampal circuitry and pharmacology (Gutierrez and Heinemann, 1999; Martens and Wree, 2001). Notably, the *in vitro* model has been extensively used to study the effects of chronic ethanol and withdrawal from ethanol on hippocampal neurotoxicity and excitotoxicity (Gibson et al., 2003; Prendergast et al., 2004; Wilkins et al., 2006). Studies indicate that ethanol excitotoxicity is dependent on the concentration of ethanol and duration of withdrawal after ethanol exposure. The intragastric intubation model has been widely used to study hippocampal neurotoxicity associated with alcoholism. This model produces observable signs of prodromal detoxification and physiological dependence (Majchrowicz, 1975), and these extreme signs of ethanol intoxication and dependence have been correlated with reduced neuroplasticity and enhanced neurodegeneration (Nixon and Crews, 2002; Crews and Nixon, 2009).

The CEID model of alcohol dependence links chronic ethanol exposure regimens with self-administration procedures. This model is based on the idea that dependence and the experience of withdrawal during dependence drive excessive drinking during withdrawal through altered motivational processes (e.g., negative reinforcement; O'Dell et al., 2004; Lopez and Becker, 2005; Gehlert et al., 2007; Griffin et al., 2009). The CEID model has several advantages compared with the intragastric intubation model of

alcohol dependence because it causes increases in ethanol self-administration and enhanced responsiveness to environmental stimuli that lead to excessive drinking in humans (Valdez et al., 2002; O'Dell et al., 2004). Importantly, CEID produces relatively high blood alcohol levels (BALs) during a short period of time, making this approach advantageous for studying the somatic aspects, motivational aspects, and neurobiological consequences of alcohol dependence (Macey et al., 1996; Liu and Weiss, 2002, 2003; Moore et al., 2004; Budygin et al., 2007; Miki et al., 2008; Gilpin et al., 2009; Richardson et al., 2009; Zahr et al., 2009). Altogether, investigating the neurobiological effects of chronic ethanol in CEID models has helped identify other vulnerability factors that contribute to the pathology of alcoholism in humans (Macey et al., 1996; Liu and Weiss, 2002, 2003; Moore et al., 2004; Budygin et al., 2007; Miki et al., 2008; Gilpin et al., 2009; Richardson et al., 2009; Zahr et al., 2009; Hansson et al., 2010).

ALCOHOL AND THE MORPHOLOGY AND PLASTICITY OF THE HIPPOCAMPUS

The hippocampus is involved in ethanol reward and relapse to ethanol seeking (Koob and Volkow, 2010; Zarrindast et al., 2010), suggesting that the hippocampus contributes to several aspects of alcohol dependence and can be implicated in the phenomena linked to alcohol use disorders. For example, alcohol dependence is linked to decreased hippocampus volume (Sullivan et al., 1995; Beresford et al., 2006), altered hippocampal morphology (Bengochea and Gonzalo, 1990; Durazzo et al., 2011), and deficits in hippocampus-dependent learning and memory (Brandt et al., 1983; Glenn and Parsons, 1991; Sullivan et al., 2000a,b, 2002). Alcohol exposure also alters the functional plasticity of hippocampal neurons. For instance, acute ethanol in hippocampal slices decreases hippocampal synaptic activity [i.e., decreases NMDA and α -amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid (AMPA) receptor-mediated currents and increases γ -aminobutyric acid-A (GABA_A) receptor-mediated currents] and decreases hippocampal (CA1 and DG) long-term potentiation (LTP; Lovinger et al., 1989; Blitzer et al., 1990; Wayner et al., 1997; Weiner et al., 1999; Wright et al., 2003; Izumi et al., 2005; Fujii et al., 2008). Notably, chronic ethanol exposure also impairs hippocampal CA1 LTP through a presynaptic LTP mechanism (Durand and Carlen, 1984; Roberto et al., 2002) and produces tolerance to acute ethanol-mediated decreases in hippocampal LTP (Fujii et al., 2008), suggesting reorganization of hippocampal networking after chronic ethanol exposure. Furthermore, chronic ethanol exposure oppositely affects hippocampal synaptic activity compared with acute ethanol (increases in NMDA and decreases in GABA_A receptor-mediated activity) and produces tolerance to acute ethanol-mediated impairment of NMDA activity and hippocampal-dependent behaviors (Sanna et al., 1993; Wu et al., 1993; Nelson et al., 2005; Sheela Rani and Ticku, 2006; Fujii et al., 2008). These findings indicate that the cellular mechanisms that maintain hippocampal plasticity are compensated in chronic ethanol-exposed animals. These maladaptive changes could contribute to the impairment of hippocampus-dependent behaviors in alcohol-dependent animals (Lukyanov et al., 1999; Cippitelli et al., 2010; George et al., 2012). Chronic ethanol exposure produces dendritic retraction of CA1 pyramidal neurons (McMullen

et al., 1984), suggesting concomitant structural reorganization of hippocampal neurons compared with functional changes in hippocampal circuitry. Recent evidence demonstrated that ethanol exposure altered a new form of hippocampal plasticity, such as DG neurogenesis (reviewed in (Nixon, 2006; Mandyam and Koob, 2012). Ethanol exposure (i.e., intragastric intubation, two-bottle choice, ethanol liquid diet, and CEID) altered every stage of DG neurogenesis, including the proliferation, differentiation, maturation, and survival of neural stem cells (Figure 1). These effects varied by the dose, duration, and pattern of ethanol exposure and timing of ethanol exposure before labeling the neural progenitors (Nixon and Crews, 2002; Crews et al., 2004; Rice et al., 2004; He et al., 2005; Ieraci and Herrera, 2007; Richardson et al., 2009; Taffe et al., 2010; Contet et al., 2013). Therefore, the inhibitory effect of ethanol on the regenerative capacity of the adult hippocampus is now being considered a precursor for ethanol-induced neurodegeneration in the hippocampus (Nixon, 2006).

ALCOHOL EXPOSURE PRODUCES NEUROTOXICITY AND EXCITOTOXICITY IN THE HIPPOCAMPUS

Using the *in vitro* organotypic hippocampal cell culture model, it has been demonstrated that hippocampal CA1 excitotoxicity is evident after withdrawal from chronic ethanol exposure and not during ethanol exposure (Mulholland et al., 2003; Prendergast et al., 2004; Wilkins et al., 2006). Withdrawal-associated effects have been shown to be due to the release of excessive glutamate and polyamines and corresponding activation of NMDA-type receptors in the hippocampal region (Gibson et al., 2003). Importantly, ethanol studies that used the *in vitro* model indicate the importance of the glutamatergic system as a final common pathway mediating neurotoxicity and excitotoxicity. There are also *in vivo* studies that support the involvement of the glutamatergic system in ethanol-induced hippocampal neurotoxicity in chronic ethanol-exposed animals (Claus et al., 1982; Keller et al., 1983; Wilce et al., 1993; Snell et al., 1996; Wirkner et al., 1999). For example, glutamate release is increased in the hippocampus during ethanol withdrawal (Claus et al., 1982; Keller et al., 1983), and changes in glutamate levels are associated with enhanced polyamine levels in combination with an increased number of functional NMDA receptors (Davidson et al., 1993, 1995). These results suggest that increased glutamate levels may induce ethanol withdrawal hyperexcitability and lead to increased susceptibility to hippocampal excitotoxicity (Hoffman, 2003).

WITHDRAWAL AND PROTRACTED ABSTINENCE FROM ALCOHOL AND DG NEUROGENESIS

Very few studies have explored how forced withdrawal from drug exposure alters DG neurogenesis (Nixon and Crews, 2004; Nixon et al., 2008; Noonan et al., 2008; Barr et al., 2010; Hansson et al., 2010; Taffe et al., 2010; Garcia-Fuster et al., 2011; Deschaux et al., 2012; Recinto et al., 2012). Withdrawal from ethanol exposure in the intragastric intubation and CEID paradigms enhanced cell proliferation in the hippocampus (Nixon and Crews, 2004; Hansson et al., 2010), resulting in initial microglial proliferation (Nixon et al., 2008) followed by the production of immature neurons and eventual neurogenesis (Nixon and Crews, 2004). Aberrant neurogenesis during abstinence is thought to be attributable to

central nervous system hyperexcitability associated with ethanol withdrawal symptomatology, such as whole-body tremors that result from the termination of ethanol exposure. However, the cellular mechanisms regulating ethanol withdrawal-induced aberrant neurogenesis in the DG have not been identified, and future mechanistic studies are needed to address the contribution of aberrant DG neurogenesis to brain changes associated with alcohol dependence.

WITHDRAWAL AND PROTRACTED ABSTINENCE FROM ALCOHOL AND EPILEPTOGENESIS AND NEUROADAPTATIONS IN THE HIPPOCAMPUS

As discussed earlier, both *in vitro* and *in vivo* evidence suggests that glutamatergic neurotransmission is a critical mediator of the experience-dependent synaptic plasticity that may underlie alcohol dependence. It is hypothesized that a hyperglutamatergic state in the basolateral amygdala (BLA) resulting from termination of ethanol exposure may be regulated by a variety of neuroadaptations in the extended amygdala. These alterations may regulate the plasticity in the hippocampus to produce the withdrawal hyperexcitability associated with dependence (Hoffman and Tabakoff, 1994; Tsai et al., 1995; Nixon and Crews, 2004; McCool et al., 2010; Prior and Galduroz, 2011). For example, withdrawal from ethanol, especially the termination of CEID, produces withdrawal symptomatology, manifested as increased acoustic startle reactivity and tremor activity that peaks 12–24 h post-withdrawal (Macey et al., 1996). These somatic symptoms of ethanol withdrawal seem to have an immediate effect on hippocampal plasticity. Withdrawal from CEID produces a rebound effect on the proliferation of neural progenitors that occurs 72 h after the termination of CEID. These cells propagate into aberrant immature GCNs during protracted abstinence (Hansson et al., 2010). Notably, pilocarpine-induced status epilepticus also produces abnormal proliferation of neural progenitors in the DG that is evident 72 h after seizure activity (Parent et al., 1997). This is a timeframe comparable to ethanol withdrawal-induced alterations. In addition to the alterations in DG neural progenitors, both epileptic activity and withdrawal from CEID have other common cellular and molecular neuroadaptations in the hippocampus. Particularly interesting is the increases in NMDA receptor 2B (NR2B) subunit expression in the hippocampus during CEID (Pian et al., 2010) and CRF levels in the hippocampus during withdrawal (Criado et al., 2011). These changes parallel the increased NR2B subunit and CRF expression in the hippocampus during epileptogenesis (Smith et al., 1997; Frasca et al., 2011). Altogether, it appears that the hyperactivity stemming from the neurocircuitry underlying ethanol withdrawal-induced kindling-like behaviors causes a hyperglutamatergic state and produces hippocampal excitotoxicity, which may be decisive factors for the maintenance of long-term dependence (Baram et al., 1992; Smith et al., 1997; Wilkins et al., 2006; Frasca et al., 2011; Prior and Galduroz, 2011).

WITHDRAWAL AND PROTRACTED ABSTINENCE FROM ALCOHOL ALTER HPA AXIS AND GLUCOCORTICOID RECEPTOR SIGNALING

Animals made dependent by CEID or liquid diet procedures have attenuated (opposing) basal stress hormone levels

(adrenocorticotropic hormone and corticosterone) compared with non-dependent drinking animals (enhanced stress hormone levels). It has been demonstrated that the blunted stress response is a consequence of chronic ethanol exposure (Zorrilla et al., 2001; Richardson et al., 2008). Importantly, the findings from animal studies are consistent with clinical studies that link maladaptive HPA axis function with alcoholism, including a reduced ability to cope with stress and negative correlations between cortisol and craving and relapse in alcoholics (Lovallo et al., 2000; O’Malley et al., 2002). Although the precise mechanism underlying the attenuated stress response is unknown, several studies have implicated activation of CRF systems in the extended amygdala in the dysregulation of the stress system associated with dependence (Wand, 2005; Koob, 2008). Furthermore, enhanced glucocorticoid receptor (GR) levels in the extended amygdala during protracted abstinence have been demonstrated in dependent animals. Such associated changes in the GR system could play a mechanistic role in the sensitivity to stress/reward and relapse associated with alcohol dependence (Vendruscolo et al., 2012). However, the functional significance of altered GR system in mediating blunted stress responses in alcohol dependence is unknown.

RELATIONSHIP BETWEEN ETHANOL-INDUCED NEUROADAPTIVE CHANGES IN THE AMYGDALA AND ABERRANT DG NEUROGENESIS

The aberrant stimulation of cell proliferation in the DG during withdrawal from chronic ethanol exposure has been demonstrated in the *in vitro* organotypic hippocampal cell culture model (Wilkins et al., 2006), intragastric intubation model (Nixon and Crews, 2004; Nixon et al., 2008), and CEID model (Hansson et al., 2010). Further mechanistic experiments that used the intragastric intubation model demonstrated that observable withdrawal signs correlated with increases in cell proliferation. However, rescuing the observable withdrawal symptoms with diazepam did not normalize the cell proliferation effects (Nixon and Crews, 2004). This suggests that withdrawal-induced enhanced proliferation is not secondary to the physiological withdrawal experienced by the animal but may be related to the neuroadaptations linked to the negative affect symptoms associated with alcohol dependence.

Possible mechanisms underlying ethanol withdrawal-induced aberrant DG cell proliferation and neurogenesis can be postulated based on the available literature. For example, the increased synthesis of hippocampal CRF during withdrawal (Criado et al., 2011) might promote excitatory activity and lead to BLA hyperexcitability, which in turn may increase the level of CRF at critical hippocampal synapses (Figure 2). Such a mechanism would further enhance excitability in a positive-feedback manner in the hippocampus during ethanol withdrawal (Baram and Hatalski, 1998; Hollrigel et al., 1998; Chen et al., 2004). Increased CRF synthesis in the hippocampus could be due to decreased hippocampal inhibitory GABA activity seen during ethanol withdrawal (Frye et al., 1983; Fujii et al., 2008). The excitatory effect of CRF on DG neurons in the hippocampus may occur indirectly through CRF-induced activation of excitatory inputs into the hippocampus to cause DG hyperexcitability (Hollrigel et al., 1998). Epileptogenic studies suggest that excitatory glutamatergic projections from the

BLA are implicated in DG excitotoxicity and hyperexcitability (Baram et al., 1992; Freund and Buzsaki, 1996; Smith et al., 1997; Hollrigel et al., 1998; Yan et al., 1998; Wang et al., 2000). Notably, most of the projection neurons from the BLA to the hippocampus are glutamatergic and express CRF₁ receptors. Specific knockdown of CRF₁ in BLA glutamatergic neurons produces anxiolytic-like effects (Refojo et al., 2011). Furthermore, the CRF system in the BLA is hypothesized to be recruited by chronic kindling cycles of ethanol exposure/withdrawal (Baram et al., 1992; Rimondini et al., 2003; Breese et al., 2004; Knapp et al., 2004; Overstreet et al., 2004; O'Dell et al., 2004) and mediate the motivating, negative affective symptoms of both acute and protracted abstinence from ethanol. Protracted abstinence from CEID enhances BLA CRF₁ levels (Sommer et al., 2008), suggesting that BLA sensitivity to CRF increases in a kindling-like fashion during withdrawal (Sajdyk et al., 1999; Sajdyk and Gehlert, 2000; Rainnie et al., 2004). Recent functional studies demonstrated that DG neurogenesis is regulated by BLA neuronal activity (Kirby et al., 2012), and a kindling procedure specifically in the BLA produced aberrant DG neurogenesis, which resulted from the altered expression of cell differentiation factors in the DG neurogenic niche (Fournier et al., 2010). Therefore, increases in CRF in the extended amygdala could produce secondary effects on DG neurogenesis via the BLA. These alterations could be hypothesized to be regulated by corticosterone levels (Makino et al., 1994).

A related mechanism for ethanol withdrawal-induced increases in cell proliferation and DG neurogenesis could be ethanol withdrawal-induced blunting of corticosterone levels (Richardson et al., 2008) and corresponding increases in GR levels in the extended amygdala (Vendruscolo et al., 2012). The reduced levels of corticosterone could enhance DG proliferation and neurogenesis to assist with the hippocampal negative feedback regulation of HPA axis activity (Jankord and Herman, 2008; Snyder et al., 2011). Furthermore, it has been demonstrated that withdrawal is associated with upregulation of NMDA receptors, specifically in

the hippocampus (Hoffman, 2003), which is perhaps secondary to glucocorticoid-dependent excess release of endogenous glutamate and polyamines in the hippocampus and extended amygdala (Abraham et al., 2001; Gibson et al., 2003). Although NMDA receptor activation has been shown to reduce cell proliferation in a normal state (Cameron et al., 1995), this effect is reversed during cytotoxicity (e.g., ethanol withdrawal; Wilkins et al., 2006) and could be attributable to the altered expression of NMDA receptor subunits in chronic ethanol-exposed animals compared with ethanol-naïve animals (Prendergast and Mulholland, 2012; Ren et al., 2013). Altogether, specific corticosteroid-mediated neuroadaptations in the CRF system in the extended amygdala following ethanol withdrawal could produce a hyperglutamatergic state in the hippocampus, which may regulate aberrant neurogenesis in the DG. The resulting pathological plasticity could facilitate the recruitment of new GCNs into emotional memory circuits and therefore contribute to the pathology of alcohol dependence (Farioli-Vecchioli et al., 2009; Fournier et al., 2013). Future studies should seek to understand the underlying mechanism of ethanol withdrawal-induced aberrant DG neurogenesis. Such studies may help determine whether hippocampal GCNs born during withdrawal perform improper functions to inhibit regeneration in the hippocampus (excitotoxicity) and aid with recruitment of new neurons into emotional memory circuitry (negative affect).

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Animal models of nicotine exposure: relevance to second-hand smoking, electronic cigarette use, and compulsive smoking

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Much evidence indicates that individuals use tobacco primarily to experience the psychopharmacological properties of nicotine and that a large proportion of smokers eventually become dependent on nicotine. In humans, nicotine acutely produces positive reinforcing effects, including mild euphoria, whereas a nicotine abstinence syndrome with both somatic and affective components is observed after chronic nicotine exposure. Animal models of nicotine self-administration and chronic exposure to nicotine have been critical in unveiling the neurobiological substrates that mediate the acute reinforcing effects of nicotine and emergence of a withdrawal syndrome during abstinence. However, important aspects of the transition from nicotine abuse to nicotine dependence, such as the emergence of increased motivation and compulsive nicotine intake following repeated exposure to the drug, have only recently begun to be modeled in animals. Thus, the neurobiological mechanisms that are involved in these important aspects of nicotine addiction remain largely unknown. In this review, we describe the different animal models available to date and discuss recent advances in animal models of nicotine exposure and nicotine dependence. This review demonstrates that novel animal models of nicotine vapor exposure and escalation of nicotine intake provide a unique opportunity to investigate the neurobiological effects of second-hand nicotine exposure, electronic cigarette use, and the mechanisms that underlie the transition from nicotine use to compulsive nicotine intake.

Keywords: addiction, tobacco, self-administration, vapor, dependence, escalation, abstinence, withdrawal

INTRODUCTION

Studies on the neurobiological substrates of tobacco addiction largely depend on the availability of suitable animal models. In this review, we first describe the features of tobacco smoking and nicotine abuse and dependence in humans. We then discuss the limits and advantages of the most used animal models of nicotine use and dependence and novel animal models of escalated nicotine intake and exposure to nicotine vapor. The last section discusses how these different animal models can be used to investigate the neurobiological mechanisms that mediate nicotine reinforcement and dependence.

FEATURES OF TOBACCO SMOKING, NICOTINE ABUSE, AND DEPENDENCE IN HUMANS

Tobacco use is the leading cause of preventable disease and premature death, leading to 440,000 deaths annually in the United States alone (Fetters et al., 2002). According to a recent review (Giovino et al., 2012), 24% of the United States population older than 15 years of age are cigarette smokers, and 1.8% are smokeless tobacco users. Cigarette smoking appears to be more central to the epidemiology of nicotine addiction compared with smokeless tobacco abuse. However, chewing tobacco, dry snuff, and moist snuff are a concern in certain countries (Bhattacharyya, 2012; Giovino et al., 2012). The rapid growth of electronic cigarette use worldwide (Caponnetto et al., 2012) is also an important health

concern that requires the development of novel animal models of exposure to nicotine vapor.

ACUTE EFFECTS OF SMOKING

The primary psychoactive ingredient responsible for tobacco use is nicotine (Cummings and Mahoney, 2006), although tobacco smoke also contains more than 4,000 additional chemicals, many of which have psychoactive properties or may act in concert with nicotine to contribute to smoking dependence (Clemens et al., 2009; Hoffman and Evans, 2013). Cigarettes typically contain 10–14 mg of nicotine (Kozlowski et al., 1998), of which 1–1.5 mg is absorbed systemically in the lungs through inhalation (Armitage et al., 1975; Benowitz and Jacob, 1984). Nicotine rapidly enters the pulmonary venous circulation, reaches the brain within 10–20 s, and readily diffuses into brain tissue where it binds to nicotine acetylcholine receptors (nAChRs; Benowitz et al., 1988). The rate of absorption of smokeless tobacco products, with the exception of electronic cigarettes, is considerably slower (30 min to reach maximum blood levels), accounting for a lower abuse potential for these products (Benowitz et al., 1988). Acutely, cigarette smoking is reported to induce positive reinforcing effects, including mild euphoria, heightened arousal, reduced appetite, and reduced stress, anxiety, and pain (Pomerleau et al., 1984; Pomerleau and Pomerleau, 1992; Stolerman and Jarvis, 1995). However, the specific role for nicotine in these reinforcing effects is still unclear because of the

difficulties performing intravenous nicotine self-administration in humans. However, smokers who self-administer nicotine report an overall profile of rewarding sensations, including mild euphoria, increased comfort, “drug liking,” and reduced negative mood and pain sensation, accompanied by negative effects, such as tension and jitteriness (Henningfield and Goldberg, 1983; Perkins et al., 1994; Harvey et al., 2004; Sofuoğlu et al., 2008; Rose et al., 2010). Thus, nicotine itself can serve as an effective reinforcer, at least among experienced smokers. However, the mixed subjective reports, early difficulties obtaining reliable intravenous nicotine self-administration in animals, and direct comparisons in animal models suggest that the reinforcing efficacy of nicotine is lower than other drugs of abuse (Risner and Goldberg, 1983; Manzardo et al., 2002; Le Foll and Goldberg, 2009). Non-nicotinic aspects of tobacco smoke, such as its other constituents (e.g., acetaldehyde, nornicotine, and harman) and sensory stimulation could substantially contribute to its abuse and addictive potential (Belluzzi et al., 2005; Rose, 2006; Rose et al., 2010; Kapelewski et al., 2011).

TOBACCO DEPENDENCE

Patterns of smoking among dependent smokers

Dependent smokers maintain relatively stable nicotine blood levels during waking hours (Benowitz and Jacob, 1984), with plasma levels ranging between 20 and 50 ng/ml. To maintain these relatively constant nicotine levels, smokers efficiently regulate the rate and intensity of cigarette smoking (Ashton and Watson, 1970; Benowitz, 1986). For example, smokers will compensate for reduced nicotine content when smoking cigarettes with lower nicotine yield than their usual brand (Russell et al., 1980; Maron and Fortmann, 1987).

Nicotine withdrawal and the escalation of nicotine intake

Discontinuation of smoking, even for only several hours, leads to withdrawal symptoms that peak within 1 week and may persist for up to 6 months (Hughes et al., 1991; Hughes, 2007; Markou, 2008). Nicotine withdrawal includes both somatic symptoms, such as bradycardia, gastrointestinal disturbances, and, increased appetite, and affective symptoms, such as nicotine craving, heightened anxiety, hyperalgesia, depressed mood, and irritability (Pomerleau et al., 1984; Hughes et al., 1991; Zaniewska et al., 2009; Rose et al., 2010). Converging evidence shows that avoidance of the affective symptoms of nicotine withdrawal, rather than somatic symptoms, plays a central role in the maintenance of nicotine dependence (Koob et al., 1993). It has been hypothesized that during the transition to dependence, the motivation to take drugs is caused by a shift from the positive reinforcing properties of the drug to its ability to attenuate the negative effects of abstinence. Thus, the negative affective states associated with abstinence potentiate the incentive value of nicotine to promote the escalation of compulsive drug intake through negative reinforcement mechanisms (Solomon and Corbit, 1973; Koob and Le Moal, 2001; Koob, 2010).

Adolescence and the escalation of tobacco smoking

Tobacco smoking typically begins in adolescence, with 14% of 15-year-olds and 22% of 17-year-olds reporting cigarette smoking (Substance Abuse and Mental Health Services Administration, 2003). Prospective studies report that ~30–50% of adolescents

and young adults who had initiated non-daily smoking showed an escalation in daily smoking within 4–5 years (U.S. Department of Health and Human Services, 1994, 2012; Tucker et al., 2003). For example, one 4-year prospective study reports that 53% of sixth-graders who experimented with smoking experience dependence symptoms, and 40% experience escalation to daily smoking (Doubeni et al., 2010). Some adolescents and young adults who experiment with smoking will eventually quit or remain light smokers (one to five cigarettes/day) or intermittent smokers (“chippers”; Shiffman, 1989; Shiffman et al., 1994), a subpopulation that encompasses up to 25–33% of all smokers (Coggins et al., 2009).

Various psychosocial factors, such as peer smoking and parenting style, have been suggested to contribute to the escalated smoking behavior of certain adolescents (Robinson et al., 2003; Kim et al., 2009; Dal Cin et al., 2012). Interestingly, studies suggest that, contrary to the common perception, symptoms of nicotine dependence, most commonly craving for tobacco and withdrawal symptoms (Gervais et al., 2007; Doubeni et al., 2010; Zhan et al., 2012), can develop at very early stages of initial intermittent smoking, even with as few as two cigarettes per week (DiFranza et al., 2002). According to Zhan et al. (2012), 20% of adolescents who smoke fewer than 100 cigarettes in their lifetime report experiencing “smoking to relieve restlessness” and “irritability.” As expected, the early appearance of such symptoms of nicotine dependence predicts future escalation to daily chronic smoking (DiFranza et al., 2002, 2007; Dierker and Mermelstein, 2010; Doubeni et al., 2010). In contrast, people who engage in non-daily smoking without escalation (“chippers”) have very few or no symptoms of dependence, and their smoking experience is primarily associated with positive rather than negative reinforcement (Coggins et al., 2009). Thus, intermittent tobacco use associated with withdrawal symptoms can promote the escalation of smoking behavior, which in turn accelerates the appearance of additional symptoms of dependence (Doubeni et al., 2010).

The importance of nicotine withdrawal as a negative reinforcer in the escalation of smoking is also suggested by the calming effects of nicotine when given after even a short period of abstinence, a primary reason given by both adults and adolescents for smoking (Dozois et al., 1995; Parrott, 1995). Although nicotine has anxiolytic properties under certain conditions (Pomerleau et al., 1984; Perkins and Grobe, 1992; Juliano and Brandon, 2002), it has also been argued that the calming effects of nicotine in dependent smokers represent the reversal of the negative affect induced by nicotine deprivation (Parrott, 1995, 1998, 2003). Thus, escalation may be more common among individuals with difficulties regulating negative affect, who are prone to develop withdrawal symptoms, and who have high expectancy of the calming effects of smoking (Heinz et al., 2010).

SECOND-HAND SMOKE

One generally overlooked factor that may contribute to the escalation of tobacco abuse, particularly among adolescents, is second-hand smoking. In the United States, it has been estimated that up to 60% of children are exposed to second-hand smoke (U.S. Department of Health and Human Services, 2006). Nicotine from moderate second-hand smoke exposure results in an increase in

plasma nicotine concentration of approximately 0.2 ng/ml and to substantial brain $\alpha_4\beta_2^*$ nAChR occupancy (19%) in both smokers and non-smokers compared with 0.87 ng/ml and 50% $\alpha_4\beta_2^*$ nAChR occupancy from actively smoking one cigarette (Brody et al., 2006, 2011). Although second-hand smoking is clearly linked to serious illnesses among non-smokers (U.S. Department of Health and Human Services, 2006), including asthma, heart disease, sudden infant death syndrome, and cancer, it is currently unclear whether second-hand smoke can also contribute to the initiation and escalation of smoking. It is well documented that adolescents exposed to smoking by family members and peers are more likely to initiate and escalate smoking behavior (Brook et al., 2009; Leonardi-Bee et al., 2011; Wang et al., 2011). However, various psychological, psychosocial, and genetic factors may mediate this effect (Ajzen and Fishbein, 1980; O'Byrne et al., 2002; Audrain-McGovern et al., 2007). Nevertheless, escalated smoking can be observed in adolescent smokers with cotinine plasma levels comparable to levels of second-hand smoking in non-smokers (DiFranza et al., 2007). Moreover, adults and children who are non-smokers report symptoms of nicotine withdrawal after exposure to high levels of second-hand smoke (Okoli et al., 2007; Bélanger et al., 2008). Finally, prospective studies suggest that high levels of nicotine intake from second-hand smoking during childhood predict smoking behavior in teenage years, even when accounting for various social and environmental factors (Becklake et al., 2005). However, the controlled experimental conditions that are required to test the causal role of second-hand smoking in the escalation of smoking can only be employed in animal models and will be discussed below.

ELECTRONIC CIGARETTES

Electronic cigarettes deliver nicotine through the battery-powered vaporization of a nicotine/propylene-glycol solution. Electronic cigarettes (e-cigarettes) are thus generally less harmful than regular cigarettes because they deliver nicotine without the various toxic constituents of tobacco smoke (Cahn and Siegel, 2011; Etter and Bullen, 2011; O'Connor, 2012). According to a recent survey, 3.4% of the total population, including 11.4% of current smokers, 2.0% of former smokers, and 0.8% of never-smokers, use e-cigarettes (Pearson et al., 2012). Most smokers claim to use e-cigarettes for smoking cessation/reduction, and their use appears to enhance the motivation to quit (Etter and Bullen, 2011; Wagener et al., 2012). Indeed, two surveys reported that most smokers who used e-cigarettes decreased or completely quit smoking within 6 months (Polosa et al., 2011; Siegel et al., 2011). However, it is unclear the degree to which such reports coincide with the efficacy of e-cigarettes as nicotine delivery devices. Vansickel and Eissenberg (2013) report that experienced users who were allowed to use their own customized e-cigarettes reach blood nicotine concentrations similar to those obtained by regular cigarettes. However, other studies report that nicotine delivery greatly varies between brands but is generally lower than that of regular cigarettes, with certain brands delivering nicotine doses that are too low to be detected (Bullen et al., 2010; Vansickel et al., 2010; Goniewicz et al., 2013). These studies report that e-cigarette use reduces craving and partially alleviated withdrawal symptoms despite the low to moderate blood nicotine levels. The effect of e-cigarette use on

the brain stress and reward systems and vulnerability to become dependent or relapse is unknown and needs to be addressed using novel animal models. Another key question that needs to be investigated is the possible role of e-cigarettes as a gateway product to other drugs of abuse (Etter, 2012).

ANIMAL MODELS OF NICOTINE ABUSE AND DEPENDENCE NON-CONTINGENT EXPOSURE TO NICOTINE

Most research on the behavioral and biological effects of nicotine involved experimenter-administered nicotine, given by subcutaneous (s.c.) or intraperitoneal (i.p.) injections (see Figure 1). Non-contingent nicotine injections were instrumental in identifying the effects of acute and chronic exposure to nicotine on a wide variety of phenomena, including locomotor activity (Clarke and Kumar, 1983), anxiety-like behavior (Irvine et al., 1999; Cheeta et al., 2001), feeding behavior (Clarke and Kumar, 1984), pain (Sahley and Berntson, 1979), the development of tolerance to such effects (Collins et al., 1988), and the brain systems involved (Rosecrans and Meltzer, 1981; Clarke et al., 1988; Niijima et al., 2001).

Conditioned place preference

In this model of drug reward, animals are tested for the development of conditioned preferences for distinct drug-paired environments (Carr et al., 1989). Achieving nicotine-induced conditioned place preference (CPP) in rodents has proven to be challenging compared with other drugs of abuse, and findings have been inconsistent. Nicotine-induced CPP is observed in some studies (Fudala et al., 1985; Horan et al., 1997; Ashby et al., 2002; Le Foll and Goldberg, 2005) but not in others (Clarke and Fibiger, 1987; Acquas et al., 1989; Jorenby et al., 1990; Parker, 1992). Nicotine can also induce conditioned place aversion (CPA; Horan et al., 1997; Laviolette and van der Kooy, 2003). The ability to achieve nicotine-induced CPP is facilitated by the use of a "biased" place preference procedure (i.e., pairing the drug with the initially non-preferred compartment of the CPP apparatus; Le Foll and Goldberg, 2005). The reasons for the difficulty obtaining CPP are unclear and may be related to the weak rewarding properties of nicotine and very narrow dose-response curve.

Dependence induction

Termination of repeated nicotine injections in rodents results in behavioral and physiological states consistent with drug withdrawal (see review by Malin, 2001), such as heightened stress responses (Benwell and Balfour, 1979), the disruption of appetitive operant responding (Ford and Balster, 1976; Carroll et al., 1989), and weight gain (Grunberg et al., 1986; Levin et al., 1987). The induction of nicotine dependence by subcutaneous nicotine delivery via osmotic minipumps has gained popularity since its first introduction by Malin et al. (1992). In this method, dependence is induced by ≥ 6 days of continuous subcutaneous nicotine infusion (commonly ≥ 3.16 mg/kg free base/day in rats and ≥ 12 mg/kg/day in mice). Withdrawal is subsequently induced by terminating the infusion (peaking within 18–22 h; Malin et al., 1992) or precipitated by injecting nAChR antagonists, such as mecamylamine (Malin et al., 1992; Isola et al., 1999; Damaj, 2000; Malin, 2001). The symptoms of withdrawal

	Acute non-contingent injections	Limited access self-administration	Chronic non-contingent exposure	Extended access self-administration		
			Exposure	Withdrawal	Exposure	Withdrawal
ICSS Brain reward threshold	↓ 0.1-1 mg/kg (1, 4, 6, 7, 14) ↑ >1 mg/kg (1)	↓ NSA <2h/d (8, 10, 12)	↓ minipump (2, 7) → smoke (15)	↑ minipump (3, 5, 7, 8, 11)	↓ NSA: 2-12h/d (8, 10, 12)	↓ NSA: 2-12h/day (8, 11, 12, 13) ↑ NSA: 22h/day (16)
Anxiety-like behavior	↓ <0.1 mg/kg s.c./i.p. ↑ >0.1 mg/kg (17-20)	↑ NSA <2h/d (19)	Tolerance to anxiolytic and anxiogenic effects (19, 21)	↑ (22, 23)	?	?
Conditioned place preference/aversion	CPP 0.1-1.4 mg/kg CPA >1.4 mg/kg (24)	?	?	CPA (25-29)	?	?
Pain	↓ (30-32)	?	↓ With Tolerance (33, 34) ↑ (35)	↑ (36-39)	?	?

FIGURE 1 | Effects of acute/chronic non-contingent nicotine exposure, limited/extended access to nicotine self-administration (NSA), and withdrawal from chronic nicotine on measures of reward threshold (ICSS), anxiety-like behavior, and reward (CPP) or aversion (CPA). Note that the effect of withdrawal from chronic nicotine on the reward thresholds differed depending on the type of nicotine delivery. 1. Huston-Lyons and Kornetsky (1992), 2. Bozarth et al. (1998a), 3. Bozarth et al. (1998b), 4. Bespalov et al. (1999), 5. Watkins et al. (2000a), 6. Harrison et al. (2002), 7. Cryan et al. (2003), 8. Kenny and Markou (2005), 9. Kenny and Markou (2006), 10. Kenny et al. (2009), 11. Johnson et al. (2008), 12. Paterson et al. (2008), 13. Brujinzeel et al. (2009), 14. Spiller et al. (2009), 15. Yamada et al. (2010) 16. Harris et al. (2011); 17. Brioni et al. (1993); 18. Irvine et al. (1999), 19. Irvine et al. (2001), 20. Tucci et al. (2003); 21. Biala and Budzynska (2006), 22. Stoker et al. (2008), 23. Cippitelli et al. (2011), 24. Le Foll and Goldberg (2005), 25. Miyata et al. (2011), 26. Suzuki et al. (1996), 27. Shram et al. (2008), 28. Grieder et al. (2012), 29. Grieder et al. (2010), 30. Damaj et al. (1994), 31. Sahley and Berntson (1979), 32. Craft and Milholland (1998), 33. Yang et al. (1992), 34. Galeote et al. (2006), 35. Lough et al. (2007), 36. Grabus et al. (2005), 37. Jackson et al. (2008), 38. Schmidt et al. (2001), 39. Yang et al. (1992).

are commonly divided into “somatic” signs that resemble opiate withdrawal (e.g., teeth-chattering, chewing, writhing, tremors, and body shakes; Malin et al., 1992). Although a well-accepted marker for nicotine dependence, these somatic withdrawal signs do not appear to be similar to those in humans or strongly predict drug use or relapse compared with affective symptoms (Koob and Le Moal, 2001; Hughes, 2007). Affective symptoms can be measured using CPA to nicotine withdrawal (Shram et al., 2008; Jackson et al., 2009), anxiety-like behavior (Wilmouth and Spear, 2006), and increased reward thresholds in the intracranial self-stimulation (ICSS) paradigm. The increased reward thresholds are interpreted as reflecting a state of dysphoria or reduced ability to experience reward (Watkins et al., 2000a; Vlachou et al., 2011). Hyperalgesia, a withdrawal symptom that may be considered partly somatic and partly affective, is also observed in rodents following spontaneous or mecamylamine-induced withdrawal from chronic non-contingent nicotine delivery (Schmidt et al., 2001; Damaj et al., 2003; Jackson et al., 2009, 2010). Hyperalgesia in

such studies is operationally defined as increased sensitivity to nociceptive stimuli, usually in the form of tail-flick or hot-plate tests of latency to respond to noxious thermal stimuli.

Non-contingent exposure to nicotine is a simple and efficient way to induce nicotine dependence in animals and led to a great deal of findings regarding the possible neurobiological mechanisms of reward, dependence, and withdrawal (Malin, 2001; Malin and Goyer, 2009). However, the validity of this approach is limited when one wants to specifically investigate the neurobiological mechanisms that underlie the transition from occasional to compulsive use. Most importantly, contingent drug exposure (i.e., cigarette smoking and nicotine self-administration) and non-contingent exposure have very different psychological and physiological effects and recruit different brain systems (Dworkin et al., 1995; Markou et al., 1999). Nicotine absorption through subcutaneous or intraperitoneal administration is much slower than that achieved through inhalation, and the speed of administration has been shown to critically influence the reinforcing effects of drugs

of abuse (Liu et al., 2005; Sorge and Clarke, 2009; but see Crombag et al., 2008). While minipumps deliver nicotine 24 h per day at a constant rate, humans smoke nicotine intermittently and not during sleep. Finally, the daily amount of nicotine typically delivered by minipumps (3.16 mg/kg) is similar to an average adult who smokes five packs of cigarettes, an amount consumed only by exceptionally heavy smokers (Armitage et al., 1975; Benowitz and Jacob, 1984). However, when differences between the metabolic rate of rats (nicotine half life = 45 min; Adir et al., 1976; Plowchalk et al., 1992) and humans (half life = 2 h) are taken into account, the actual disparity between the amounts absorbed is minimized (Malin, 2001), although comparisons remain difficult.

NICOTINE SELF-ADMINISTRATION

The self-administration method assesses an animal's propensity to self-administer a drug delivered (usually intravenously) contingently upon the emission of an operant response, usually a lever-press or nosepoke (Meisch and Lemaire, 1993). Since the early 1980s, an increasing number of laboratories have reported reliable rates of operant responding in nicotine self-administration studies with rodents (Corrigall and Coen, 1989; Donny et al., 1995; Watkins et al., 1999; Corrigall et al., 2000), but compared with other drugs of abuse, stable rates of nicotine self-administration remains difficult to establish and require careful control of a relatively high number of experimental parameters, such as the drug infusion duration, prior food training, restricted diets, and the need for cued infusions of nicotine (Henningfield and Goldberg, 1983; Collins et al., 1990; Stolerman and Jarvis, 1995; Le Foll and Goldberg, 2005; Chaudhri et al., 2006). At least some of the described difficulties obtaining nicotine self-administration may be related to the aversive properties of the drug (Benowitz, 1990). The difference between the rewarding and aversive doses of nicotine appears to be relatively small. Specifically, rats will intravenously self-administer nicotine at doses of 0.01–0.06 mg/kg (e.g., Corrigall and Coen, 1989; Donny et al., 1995; Shoaib et al., 1997), while an intravenous nicotine dose of 0.1 mg/kg has been reported to cause seizures (Hanson et al., 1979; Corrigall and Coen, 1989). Thus, when the behavioral criteria for demonstrating nicotine's reinforcing properties require that animals repeatedly self-administer the drug, the likelihood of an accumulating blood nicotine concentration that is no longer within the reinforcing dose range is greatly elevated (see Rose and Corrigall, 1997).

ESCALATION OF NICOTINE SELF-ADMINISTRATION

Rats allowed 1–3 h/day access to nicotine self-administration maintain stable and relatively low intake for weeks, exhibit very limited, if any, spontaneous withdrawal symptoms, and do not show increased motivation for nicotine after abstinence (Paterson and Markou, 2004; George et al., 2007; Cohen et al., 2012). The model of limited access to drug self-administration is highly relevant to the positive-reinforcement processes that account for the initiation and maintenance of occasional/recreational drug users but not for the transition to drug dependence, which is characterized in humans by escalated drug intake (Koob et al., 2004), robust somatic and affective withdrawal symptoms, and most importantly increased motivation for nicotine after protracted abstinence (Perkins et al., 2009). In contrast, rats exposed to

extended (6–23 h) daily opiate, cocaine, or methamphetamine self-administration sessions show escalation in drug intake (Ahmed and Koob, 1998, 1999; Ahmed et al., 2000; Ben-Shahar et al., 2004; Greenwell et al., 2009) that is characterized by an upward shift in the dose-effect function that could not be simply explained as the result of a change in the sensitivity to the drug (i.e., pharmacological tolerance or sensitization; Koob and Le Moal, 1997; Ahmed and Koob, 1998). It has been hypothesized that the escalation of drug intake reflects an allostatic increase in the hedonic set point as a result of downregulation of brain reward systems and recruitment of brain stress systems (Ahmed and Koob, 1998; Koob and Kreek, 2007). In line with this hypothesis, the escalation of opiate and cocaine intake is correlated with a progressive elevation in baseline reward thresholds (Ahmed et al., 2002; Kenny et al., 2006). Further supporting the validity of the escalation model for human addiction, the escalation of cocaine self-administration has also been shown to be accompanied by increased susceptibility to reinstatement (Mantsch et al., 2004; Wakabayashi et al., 2010) and increased stress reactivity (Aujla et al., 2008). However, the escalation of nicotine intake is not observed when rats are allowed daily extended access (6–24 h/day; 20–40 days) to nicotine (Cox et al., 1984; Valentine et al., 1997; DeNoble and Mele, 2006; Kenny and Markou, 2006; O'Dell et al., 2007), despite exhibiting levels of nicotine intake similar to human smokers (rats: 0.2–1.5 mg/kg/day; humans: 0.14–1.14 mg/kg/day; Benowitz and Jacob, 1984), and physical dependence as measured by spontaneous and mecamylamine-precipitated somatic signs (Paterson and Markou, 2004; O'Dell et al., 2007). Moreover, in contrast to the increased reward thresholds observed after extended access to cocaine, heroin, and methamphetamine, repeated exposure to nicotine self-administration (1–12 h/day for 20 days) has been shown to induce a long-lasting decrease in reward thresholds (Kenny and Markou, 2006), a result opposite to that observed after chronic exposure to osmotic minipumps (Epping-Jordan et al., 1998; Watkins et al., 2000a; see Figure 1). These results suggest either that nicotine dependence differs from dependence on the other drugs of abuse or that modeling the transition to escalation of compulsive nicotine intake requires revision of the existing model.

As discussed above, nicotine dependence commonly develops as adolescents and young adults who smoke intermittently escalate their drug intake. It has been repeatedly shown that intermittent access to alcohol leads to higher levels of alcohol intake than continuous access, suggesting that neurobiological changes that underlie dependence may be more readily triggered by repeated cycles of withdrawal followed by increased intake (Sinclair and Senter, 1967; O'Dell et al., 2004; Lopez and Becker, 2005; Becker and Baros, 2006). Thus, a model of dependence-induced excessive nicotine intake was developed in our laboratory, in which rats are allowed to self-administer nicotine 4 days per week for either 23 h/day (extended access) or 1 h/day (limited access), followed by 2–3 days of abstinence. Rats with extended access exhibit a pronounced increase in nicotine intake in the first post-abstinence session, with a gradual return to baseline intake levels within the remaining three daily sessions (George et al., 2007; O'Dell and Koob, 2007). This nicotine deprivation effect does not occur in rats with limited access to nicotine, suggesting that the extended-access

model has better validity for studying the increased motivation for nicotine during abstinence. Moreover, 1–12 h/day of access to nicotine self-administration results in either decrease or no change in brain reward threshold during abstinence (Kenny and Markou, 2006; Patterson et al., 2008), while extending the access to 22 h/day produces an increase in brain reward threshold during the first 3 days of abstinence (measured during extinction of nicotine self-administration, Harris et al., 2011). This result is in accordance with the increase in brain reward threshold observed during withdrawal (Epping-Jordan et al., 1998) and conditioned withdrawal (Kenny and Markou, 2005) after chronic exposure to nicotine minipump, and with the increase dysphoria, depressed mood, anxiety, and frustration reported in humans during abstinence (Hughes et al., 1991).

Based on these results, we developed a novel animal model of the escalation of nicotine intake, in which rats have extended (21 h/day) but intermittent (every 24–48 h) access to nicotine self-administration (0.03 mg/kg). Escalation occurs only when the rats are allowed extended but not limited access (Cohen et al., 2012), and is associated with increased motivation to take nicotine on a progressive-ratio schedule of reinforcement and with a more intense somatic signs following precipitated withdrawal. In line with the hypothesis that tobacco smoking is more reinforcing/addictive than pure nicotine because of non-nicotine compounds, such as monoamine oxidase inhibitors (MAOIs; Berlin and Anthenelli, 2001; Fowler et al., 2003; Guillem et al., 2005, 2006), the escalation is dramatically increased when rats are pre-treated with the MAOI phenelzine (2 mg/kg, i.p.) prior to each extended-access self-administration session.

As stated above, limited access (1–12 h/day) to nicotine self-administration does not produce escalation of nicotine intake, however, a recent report showed that rats with limited access to nicotine self-administration (2 h/day) escalate their nicotine intake if they are given access to nicotine 8–12 h into withdrawal from exposure to nicotine vapor (Gilpin et al., 2013). Considering that this exposure to nicotine vapor was sufficient to produce robust withdrawal symptoms (Gilpin et al., 2013), it suggests that emergence of a negative withdrawal syndrome is required for the development of escalation of nicotine intake (George et al., 2007; Gilpin et al., 2013), and suggest that exposure to nicotine vapor either passively (second-hand smoking) or actively (electronic cigarette) may have profound consequences on the acquisition and relapse of smoking behavior.

EFFECTS OF NICOTINE EXPOSURE AND WITHDRAWAL IN ADOLESCENCE

Converging lines of evidence suggest that adolescence is a vulnerable period in the development of tobacco addiction (O'Dell, 2009). Specifically, compared to adult, adolescent rats show increased sensitivity to the rewarding effects of nicotine as measured with both self-administration (Levin et al., 2003; Chen et al., 2007) and the CPP procedures (Belluzzi et al., 2004; Shram et al., 2006; Torres et al., 2008). On the other hand, adolescent rats demonstrate lower aversive responses to high nicotine doses measured with CPA and conditioned taste aversion (Shram et al., 2006; Torres et al., 2008). Interestingly, adolescent rats may be more sensitive also to the contribution of non-nicotinic tobacco smoke ingredients of tobacco

as acetaldehyde, a major component of tobacco smoke, appears to more readily enhance nicotine self-administration in adolescent but not adult rats (Belluzzi et al., 2005).

In addition to the increased rewarding effects and reduced aversive effect of nicotine in adolescents, studies using models of withdrawal from chronic passive nicotine delivery suggest that adolescent rats have a more benign nicotine withdrawal syndrome, as reflected by lower levels of somatic signs (O'Dell et al., 2004; Shram et al., 2008), withdrawal thresholds (O'Dell et al., 2006), CPA (O'Dell et al., 2007), and anxiety-like behavior in the elevated plus maze (Wilmouth and Spear, 2006).

Importantly, the human data on adolescence as a critical period in the establishment of smoking behavior in adulthood is supported by the finding that exposure to nicotine during adolescence is associated with enhanced rewarding effects of nicotine. For example, adult rats that initiated nicotine self-administration during adolescence, show higher levels of nicotine intake than rats that initiated nicotine self-administration during adulthood (Adriani et al., 2003) and rats that received nicotine during adolescence show in adulthood greater nicotine-induced place preference (Adriani et al., 2006) and increased anxiety induced withdrawal (Slawecski et al., 2003). However, the transition from nicotine use to nicotine addiction (i.e., escalation) has not yet been examined in adolescent rats.

EXPOSURE TO CIGARETTE SMOKE AND NICOTINE VAPOR

Animal models that utilize inhalation as the route of administering cigarette smoke or nicotine have exceptional face validity because they best simulate the unique pharmacokinetic characteristics (i.e., rate of absorption and brain delivery) that are associated with smoking, which may have implications for its addictive properties (Benowitz, 1990). Moreover, the stimulation of the respiratory tract by tobacco smoke (e.g., by local nicotinic receptors; Ginzel and Eldred, 1977) may play a role in nicotine dependence (Rose and Corrigall, 1997). Another advantage of inhalation-based models is that they are non-invasive and much less labor-intensive than those that involve osmotic minipumps. Although current inhalation technology allows only for non-contingent passive exposure and not for self-administration, it is particularly suitable for the study of the detrimental effects of second hand smoke and their contribution to addiction in particular.

Automated smoke machines that deliver cigarette smoke to animals in exposure chambers have been used extensively to study the toxic effects of mainstream and sidestream ("second hand") tobacco smoke (Hecht, 2005; Farkas et al., 2006; Coggins, 2007). Particularly, chronic exposure to sidestream smoke simulating environmental tobacco smoke has been recently shown to induce behavioral and neurobiological changes in laboratory animals. In primates, prenatal and postnatal environmental smoke exposure induces neuronal damage to the cortex and midbrain (Slotkin et al., 2006) and impaired memory (Golub et al., 2007). In rats, chronic exposure during postnatal days 8–23 leads to perturbed mitochondrial processes in the cerebellum that is associated with a heightened locomotor response in a novel environment (Fuller et al., 2012). Similar chronic exposure during adulthood results in biochemical changes in several brain regions (hippocampus, cerebellum, frontal cortex) indicative of enhanced inflammatory

processes and cell death (Fuller et al., 2010) as well as in learning and memory impairments (Jaques et al., 2012).

Repeated exposure to mainstream cigarette smoke (modeling exposure of active smokers) induces effects similar to those of nicotine injections, including nAChR-dependent analgesia in rats, with the development of tolerance following repeated exposures (Anderson et al., 2004; Simons et al., 2005), sensitization to the effects of nicotine on locomotion (Suemaru et al., 1992; Bruijnzeel et al., 2009), and dependence as indicated by mecamylamine-precipitated somatic withdrawal signs and elevated reward thresholds (Small et al., 2010; Yamada et al., 2010). Small et al. (2010) reports that despite induction of a dependent state, nicotine self-administration is decreased 24 h after the termination of 28 consecutive tobacco smoke exposure sessions (4 h/day) and returns to control levels 5 days later. However, these results need to be interpreted with caution because the levels of nicotine and carbon monoxide to which the rats were exposed were very high in most of these studies. For example, average plasma nicotine levels in dependent smokers are 10–50 ng/ml (Russell et al., 1980; Benowitz and Jacob, 1984; Henningfield and Keenan, 1993), and average blood carboxyhemoglobin (COHgb) saturation, resulting from carbon monoxide exposure, is 4–10% (Benowitz et al., 1982; Turner et al., 1986; Law et al., 1997). Plasma nicotine concentrations in the cigarette smoke exposure studies described above ranged from 38.5 (Bruijnzeel et al., 2009) to 95.4–188 ng/ml (Anderson et al., 2004; Small et al., 2010; Yamada et al., 2010). Although COHgb levels were not reported, carbon monoxide levels in the chambers [150–402 parts per million (PPM)] were 40–400% higher than the level needed to induce COHgb saturation of 10.5% (Harris et al., 2010). These are especially high compared with the values in non-smokers exposed to second-hand smoke (5.9 ng/ml of serum nicotine; Pacifici et al., 1995) and carbon monoxide levels of 5–20 PPM (Office of Technology Assessment, 1986), leading to COHgb levels of 4.43% (Yee et al., 2010). In addition to nicotine, tobacco smoke contains at least 4,000 additional substances, many of which are toxic or psychoactive, further complicating data interpretation. For example, rats exposed to high levels of carbon monoxide and other toxins may develop adverse effects that will hinder their motivation to take nicotine. Alternatively, some components of tobacco smoke may negate certain effects of nicotine. This could explain the finding that although daily nicotine (0.125 mg/kg, s.c.) reverses the elevated reward thresholds induced by withdrawal from chronic nicotine, cigarette smoke exposure that induces the same serum nicotine levels (25–55 ng/ml) did not (Harris et al., 2010). Thus, although cigarette smoke exposure uniquely allows the determination of the net effect of tobacco smoke, isolating the specific effects of different components of tobacco smoke is difficult.

The recently developed model of nicotine vapor (George et al., 2010; Gilpin et al., 2013) addresses this shortcoming. The vaporization of nicotine is achieved without the use of heat by constantly bubbling nicotine with air and allowing for the reliable induction of air-nicotine concentrations that induce blood nicotine levels comparable to those of different tobacco exposure levels (heavy smokers, moderate smokers, and second-hand smoking). Intermittent exposure to nicotine vapor (0.2 mg/m³ for 8 h/day

for 7 days) produces a concentration of nicotine in the blood of 22 ng/m, which is in the range of moderate smokers, and induces significant somatic withdrawal signs precipitated by mecamylamine (George et al., 2010). The concentration of nicotine in vapor chamber air can be adjusted to produce blood nicotine levels that are relevant to heavy, regular, or second-hand smoking and e-cigarette use. Moreover, as stated above, rats exposed to nicotine vapor (7.5 mg/m³ over a 12-h period) to the point of dependence produce an escalation of nicotine self-administration relative to both their own baseline (200% increase) and non-dependent controls.

Thus, models based on the inhalation of tobacco smoke or pure nicotine have the potential to reliably detect the biological mechanisms that are unique to the consumption of tobacco via smoking and determine the possible contribution of constituents in second-hand smoke, particularly nicotine, in the transition to nicotine dependence, reflected by the escalation of nicotine intake. Future studies will need to address this issue using relatively low levels of nicotine/smoke exposure and examine the effects of exposure to a combination of nicotine and certain other selected constituents of tobacco smoke (e.g., acetaldehyde and harman) on different aspects of tobacco dependence. Finally, nicotine vapor is the only model available to date that can be used to investigate the neurobiological effects of nicotine delivery by e-cigarettes on the vulnerability to develop nicotine dependence and relapse.

NEUROBIOLOGICAL MECHANISMS OF NICOTINE ADDICTION

The different animal models of nicotine abuse and dependence have been widely used to unveil the neurobiological mechanisms that mediate the acute and chronic effects of nicotine. Models of the acute reinforcing effects of nicotine were established more than two decades ago, and the biological processes involved are well-characterized. In contrast, the neurobiological mechanisms that mediate the increased motivation for nicotine associated with drug dependence are poorly known.

ACUTE EFFECTS OF NICOTINE

Nicotine acetylcholine receptors

Nicotine acetylcholine receptors are distributed throughout the central nervous system (Paterson and Nordberg, 2000), and their activation increases the release of various neurotransmitters (Willkie et al., 1993; McGehee et al., 1995; Clarke and Reuben, 1996; Pontieri et al., 1996; Yang et al., 1996). The acute reinforcing and rewarding effects of nicotine are mediated by the activation of nAChRs, which are composed of five subunits that can either be homomeric or heteromeric (Millar and Gotti, 2009). Twelve different neuronal nAChR subunits ($\alpha 2-\alpha 10$ and $\beta 2-\beta 4$) have been identified (Dani and Bertrand, 2007). Inactivation of $\alpha 7$ -, $\alpha 4$ -, $\alpha 6$ -, and $\beta 2$ -containing nAChRs by pharmacological or genetic manipulations decrease nicotine self-administration in rodents (Picciotto et al., 1988; Dwoskin et al., 1999; Markou and Paterson, 2001). These subunits likely mediate the acute reinforcing effects of nicotine. In contrast, $\alpha 5$ knockout mice show increased nicotine self-administration at a high unit dose, suggesting the involvement of this subunit in mediating the aversive effects of high nicotine doses (Fowler et al., 2011).

Mesocorticolimbic system: dopamine

The acute reinforcing effects of nicotine and other drugs of abuse are in part mediated by activation of the mesocorticolimbic dopamine system (Koob and Le Moal, 2008). The mesocorticolimbic dopamine system includes dopaminergic neurons that originate in the ventral tegmental area (VTA) and project to the nucleus accumbens (NAc), hippocampus, amygdala, and prefrontal cortex (PFC). Indeed, nicotine exposure increases dopamine release in mesolimbic terminal fields (Di Chiara, 2000). Rats will self-administer nicotine directly into the VTA (Ikemoto et al., 2006), and intra-VTA infusion of a nicotine antagonist decreases nicotine self-administration (Corrigall et al., 1994). In addition, disruption of dopamine transmission either systemically or in the VTA attenuates nicotine self-administration (Corrigall and Coen, 1991) and prevents the reduction of brain reward thresholds induced by nicotine (Huston-Lyons et al., 1993). In the place preference procedure, dopamine antagonists block nicotine-induced CPP (Acquas et al., 1989), but in a study by Laviolette and van der Kooy (2003), nicotine infusion into the VTA dose-dependently induced CPA at low dose and CPP at high doses, and systemic infusion of a dopamine antagonist potentiated the rewarding effects of mid-range nicotine doses and switched the motivational effects of a low concentration from aversive to rewarding. These results appear to be contradictory to those obtained with the self-administration model (Ikemoto et al., 2006) and may suggest different roles for dopamine in mediating specific functions of reward and reinforcement that may be dose-dependent.

Glutamate, GABA, and acetylcholine

Nicotine increases dopamine neurotransmission in the mesocorticolimbic system by activating nAChRs, particularly $\alpha 4\beta 2$, on dopaminergic neurons in the VTA (Nisell et al., 1994; Mansvelder and McGehee, 2003) and nAChRs, particularly $\alpha 7$ -containing glutamatergic neurons that originate in the VTA, NAc, amygdala, hippocampus, and PFC (Fu et al., 2000; Mansvelder and McGehee, 2003) and project to dopaminergic neurons in the VTA (Grillner and Svensson, 2000). Consequently, antagonists of various glutamate receptors, including NMDA, AMPA, and mGluR5, decrease nicotine self-administration, whether delivered systemically or into the VTA (Kenny et al., 2003, 2009; Patterson et al., 2003; Liechti and Markou, 2008), and NMDA and AMPA receptor antagonists block nicotine-induced dopamine release in the NAc (Kosowski et al., 2004). Moreover, lesions of glutamatergic inputs from the pedunculopontine tegmental nucleus (PPT) to VTA inhibit nicotine self-administration and CPP (Lança et al., 2000; Laviolette et al., 2002; Picciotto and Corrigall, 2002). The PPT also contains cholinergic neurons that are activated by nicotine and project to dopaminergic neurons in the VTA. Indeed, delivery of an antagonist of non- $\alpha 7$ nAChRs to the PPT or lesions of cholinergic neurons in the PPT reduced nicotine self-administration (Lança et al., 2000; Corrigall et al., 2001, 2002; Alderson et al., 2006). Finally, intra-VTA GABAergic neurons are activated by nicotine and inhibit dopamine neurons. However nAChR on GABAergic neurons desensitize faster than nAChRs on dopamine neurons, leading to a facilitation of dopamine neuron firing (Laviolette and van der Kooy, 2004). Accordingly, enhanced activation of GABA_B receptors inhibits nicotine self-administration

and CPP in rats (Patterson et al., 2004, 2008; Le Foll et al., 2008).

Endogenous opioids

The endogenous opioid system may also play an important role in the rewarding and reinforcing effects of nicotine (for review, see Berrendero et al., 2010). Briefly, endogenous opioid systems include three main receptors, μ (MOR), δ (DOR), and κ (KOR; Kieffer and Evans, 2009). Of the opioid peptides in the brain, β -endorphin binds with a higher affinity to MORs than DORs or KORs, and it is a main endogenous ligand for MORs. Dynorphins are the main endogenous ligands for KORs (Roth-Deri et al., 2008). Nicotine enhances the release of endogenous opioid peptides and modifies the expression of their receptors. For example, acute nicotine induces increases in met-enkephalin, dynorphin, and prodynorphin mRNA in the striatum of mice after acute nicotine injection (Dhatt et al., 1995; Isola et al., 2009). Nicotine-induced dopamine increase in the NAc can be blocked by the administration of MOR antagonists or KOR agonists (Maisonneuve and Glick, 1999). However, although systemic inhibition of β -endorphin-MORs by pharmacological or genetic manipulations generally reduces the rewarding effects of nicotine in animal models (Berrendero et al., 2002; Göktalay et al., 2006; Trigo et al., 2009), the blockade of opioid receptors in the VTA and NAc does not interfere with nicotine self-administration in rats (Corrigall and Coen, 1991; Corrigall et al., 2000). Interestingly, prodynorphin knockout mice show enhanced acquisition of nicotine self-administration (Galeote et al., 2009), suggesting that the prodynorphin-KOR system may mediate the aversive effects of nicotine, particularly at high doses, as was demonstrated with other drugs of abuse (Mendizábal et al., 2006; Shippenberg et al., 2007).

Serotonergic system

Serotonin [5-hydroxytryptamine (5-HT)] neurons in the median and dorsal raphe nuclei provide the majority of 5-HT innervation to the forebrain and are associated with appetitive behavior and affect regulation (Steinbusch, 1984). Their involvement in nicotine reinforcement is suggested by nicotine-induced increases in dorsal raphe neuron firing and 5-HT release (Ribeiro et al., 1993; Li et al., 1998; Mihailescu et al., 1998, 2002; Martinez-Gonzalez et al., 2002). Agonists of 5-HT_{2C} receptors reduce nicotine self-administration (Grottick et al., 2001) but not nicotine-induced CPP (Hayes et al., 2009).

Endocannabinoids

Endocannabinoid systems may also be involved in the rewarding and reinforcing effects of nicotine. CB₁ receptor antagonists decrease nicotine self-administration and CPP in rodents (Cohen et al., 2002; Le Foll and Goldberg, 2004; Merritt et al., 2008) and the nicotine-induced enhancement of dopamine levels in the NAc (Cohen et al., 2002).

CHRONIC NICOTINE AND WITHDRAWAL

The pathological motivational state that characterizes dependence on nicotine involves the appearance of negative affective states when nicotine exposure is discontinued (i.e., nicotine withdrawal).

These may involve disruptions of the same neurobiological mechanisms that are involved in the positive reinforcing effects of the drug (i.e., within-system neuroadaptations) and recruitment of stress systems (e.g., between-system neuroadaptations). This negative affective state may represent a negative reinforcer that will enhance the incentive value of nicotine, leading to increased nicotine intake in an attempt to alleviate the negative emotional state (Solomon and Corbit, 1973; Koob and Le Moal, 2001, 2008; Koob, 2008, 2010).

Spontaneous or precipitated withdrawal from chronic nicotine produces anxiety-like behavior, CPA, and elevations of brain reward thresholds (Balerio et al., 2004; Jackson et al., 2008; Johnson et al., 2008). These affective and reward deficits likely involve downregulation of dopaminergic neurotransmission in the mesocorticolimbic system. Withdrawal from chronic nicotine results in decreased tonic firing of dopamine neurons in the VTA (Grieder et al., 2012) and decreases dopamine levels in the NAc (Fung et al., 1996; Hildebrand et al., 1998). Chronic exposure to nicotine produces a desensitization of nAChRs (Dani and Heinemann, 1996; Fenster et al., 1999; Picciotto et al., 2008) and an upregulation of nAChRs (Marks et al., 1983, 1992; Changeux et al., 1984; Dani and Heinemann, 1996; Koob and Le Moal, 2005). However, differences exist between nAChRs. For example, brain nicotine concentrations in an average smoker reach levels sufficient to desensitize $\alpha 4\beta 2$ nAChRs without affecting $\alpha 7$ nAChRs, which requires much higher concentrations (Wooltorton et al., 2003). Glutamate release is regulated by $\alpha 7$ nAChRs located presynaptically (Marchi et al., 2002). Thus, during nicotine exposure, desensitization of $\alpha 4\beta 2$ nAChRs on GABAergic neurons will suppress GABA release and inhibit dopamine neurons in the VTA, whereas $\alpha 7$ nAChRs on glutamatergic afferents will remain active and increase glutamate release on dopamine neurons in this region, facilitating dopamine secretion in the NAc (Dani, 2001; Wooltorton et al., 2003). However, nicotine withdrawal produces an opposite effect, with decreases in VTA glutamate levels and increases in VTA GABA levels (Natividad et al., 2012). Consequently, antagonism of presynaptic mGluR2/3 antagonists, known to negatively modulate glutamate release (Schoepp et al., 2003), attenuates reward deficits associated with nicotine withdrawal in rodents and alleviates the depressive-like symptoms related to nicotine abstinence in humans (Kenny et al., 2003; Liechti and Markou, 2008). Inhibition of glutamate transmission by the delivery of mGluR5 antagonists in rats and knocking out mGluR5 in mice further elevates reward thresholds during nicotine withdrawal (Harrison et al., 2002; Liechti and Markou, 2007; Stoker et al., 2012).

Endogenous opioids may play an important role in the development of nicotine dependence, reflected by the resemblance between the somatic signs induced by the cessation of nicotine exposure and those of opiate withdrawal (Malin et al., 1993; Watkins et al., 2000a) and the ability of the opioid receptor naloxone to induce somatic signs of withdrawal in heavy smokers (Sutherland et al., 1995; Krishnan-Sarin et al., 1999). Naloxone administration in rodents chronically treated with nicotine induces somatic signs of withdrawal (Malin et al., 1993; Balerio et al., 2004; Biala et al., 2005), CPA, and elevations in reward thresholds (Watkins et al., 2000a,b). MOR (Berrendero et al., 2002) and proenkephalin (Berrendero et al., 2005) knockout mice chronically

exposed to nicotine show reduced somatic signs of withdrawal. Interestingly, knockout of the prodynorphin gene does not impact the somatic signs of nicotine withdrawal (Galeote et al., 2009). Moreover, nicotine withdrawal is associated with increased prodynorphin expression in the NAc (Isola et al., 2008). Thus, it can be hypothesized that during chronic nicotine exposure, there is a release of opioid peptides, which leads to downregulation of MORs and upregulation of prodynorphin-KOR systems. These opposing effects may combine to participate in the mediation of the somatic and affective aspects of nicotine withdrawal.

There is also evidence that 5-HT neurotransmission is involved in the mediation of nicotine dependence. Chronic nicotine treatment decreases the concentration of 5-HT in the hippocampus and increases the number of hippocampal 5-HT_{1A} receptors (Benwell and Balfour, 1979). This receptor upregulation may reflect reduced levels of 5-HT input from the median raphe nucleus, which is the main source of brain 5-HT and projects to various brain areas, including the hippocampus and amygdala (Benwell et al., 1990). During nicotine abstinence, decreased 5-HT, combined with upregulated 5HT₁ receptors, may contribute to symptoms of depression and anxiety that are associated with 5-HT deficits (Coppen, 1967; Young et al., 1985; Markou et al., 1998) and nicotine withdrawal (Hughes et al., 1991). Indeed, antagonism of 5-HT receptors attenuates withdrawal-induced CPA in animals (Suzuki et al., 1997) and anxiety in withdrawn human smokers (West et al., 1991; Hilleman et al., 1992, 1994). Interestingly, a recent study suggests that acute nicotine activates 5-HT neurons in the dorsal raphe that are regionally distinct from those involved in nicotine withdrawal (Sperling and Commons, 2011).

STRESS IN NICOTINE DEPENDENCE

Convergent lines of evidence (Koob and Le Moal, 2001, 2005) suggest that stress [e.g., corticotropin-releasing factor (CRF) and orexin] and anti-stress [e.g., neuropeptide Y (NPY)] systems are involved in the emotional and motivational aspects of drug dependence (see Bruijnzeel, 2012, for an extensive review) and are largely localized to the extended amygdala, a forebrain macrostructure composed of the bed nucleus of the stria terminalis (BNST), central nucleus of the amygdala (CeA), and NAc shell (Heimer and Alheid, 1991; Smith and Aston-Jones, 2008).

Corticotropin-releasing factor

Nicotine self-administration increases the release of adrenocorticotrophic hormone (ACTH) and cortisol/corticosterone (CORT; Donny et al., 2000; Chen et al., 2008). Evidence suggests that while CORT facilitates the reinforcing effects of drugs in non-dependent subjects, high circulating levels of CORT, as a result of repeated drug use, can feed back to shut off the hypothalamic-pituitary adrenal (HPA) axis and sensitize extrahypothalamic CRF systems, contributing to escalated and compulsive drug intake (Vendruscolo et al., 2012). CRF is a neuropeptide that has three paralogs – Ucn 1, 2, and 3 – and is involved in regulating the neuroendocrine autonomic and behavioral responses to stress (Vale et al., 1981, 1983; Dunn and Berridge, 1990; Koob, 1999). Two G-protein-coupled CRF receptors have been identified: CRF₁ and CRF₂. Notably, although CRF and Ucn 1 have high selectivity for the CRF₁ receptor, Ucn 2 and Ucn 3 have high selectivity for

the CRF₂ receptor (Bale and Vale, 2004). While activation of the CRF₁ receptor leads to increases in anxiety-like behavior, activation of the CRF₂ receptor generally triggers a compensatory anti-stress response. For example, selective CRF₁ antagonists have been shown to reduce anxiety-like behavior in animals (Griebel et al., 1998; Deak et al., 1999; Zorrilla et al., 2002), whereas the CRF₂ receptor agonist Ucn 3 decreases behavioral stress responses (Valdez et al., 2002, 2003). Various findings suggest that recruitment of CRF-CRF₁ systems, particularly in regions of the extended amygdala, may be involved in producing the negative emotional states during withdrawal or protracted abstinence from chronic nicotine. First, precipitated nicotine withdrawal increases Fos expression (i.e., neuronal activation) in the CeA. Second, CRF levels in the basal forebrain (Matta et al., 2007) and CeA (George et al., 2007) are elevated during nicotine withdrawal. Third, the elevation of reward thresholds induced by nicotine withdrawal is attenuated by intracerebroventricular or intra-CeA infusion of the CRF₁ antagonist d-Phe CRF₁₂₋₄₁ and non-specific CRF antagonist α -helical CRF₉₋₄₁ (Brujinzeel et al., 2009; Marcinkiewcz et al., 2009; Brujinzeel, 2012) but not a CRF₂ antagonist (Brujinzeel et al., 2009). Infusion of d-Phe CRF₁₂₋₄₁ into the NAc shell, another region of the extended amygdala, also blocks the withdrawal-induced elevation in reward thresholds (Marcinkiewcz et al., 2009). Fourth, a CRF₁ antagonist (MPZP) administered systemically attenuates the abstinence-induced increases in nicotine intake and nicotine withdrawal-induced anxiety-like behavior (George et al., 2007). Finally, CRF₁ antagonists administered systemically attenuate the escalated intake of heroin and cocaine in rats with extended access to the drug (Specio et al., 2008; Greenwell et al., 2009).

Neuropeptide Y

Neuropeptide Y is a 36-amino-acid polypeptide with powerful anxiolytic-like properties in various animal models of anxiety and stress (Heilig and Murison, 1987; Broqua et al., 1995; Sajdyk et al., 1999; Tovote et al., 2004). The involvement of NPY in addiction was mainly studied with regard to alcohol dependence, with alcohol-preferring rats having lower basal levels of NPY in the CeA that correlate with greater levels of anxiety-like behavior compared with alcohol non-preferring rats (Suzuki et al., 2004; Pandey et al., 2005). Moreover, viral vector-induced overexpression of NPY in the CeA decreases alcohol intake in alcohol-dependent rats (Thorsell et al., 2007). These results suggest that downregulation of the NPY system in the CeA may mediate the transition from non-dependent to dependent alcohol intake. The role of NPY in nicotine dependence has been less studied. Rylkova et al. (2008) report that NPY prevents the somatic signs of withdrawal but not elevation in brain reward thresholds that result from precipitated nicotine withdrawal in rats. Yet, abstinence from nicotine induced anxiety-like behavior that was associated with a decreased ratio of NPY to CRF in the amygdala, suggesting an allostatic change in both stress and anti-stress neuropeptide systems (Slawecski et al., 2005; Aydin et al., 2011).

Norepinephrine

Several lines of evidence suggest that norepinephrine (NE) signaling from the nucleus tractus solitarius (NTS) to extended amygdala

mediates the aversive effects of opiate and cocaine withdrawal (e.g., anxiety-like behavior; Smith and Aston-Jones, 2008). Moreover, morphine withdrawal enhances subsequent morphine-induced CPP, which is reduced by delivery of the α_2 -adrenoceptor agonist clonidine (Nader and van der Kooy, 1996). The role of NE in nicotine dependence has been less explored, but clonidine appears to decrease anxiety and irritation associated with smoking cessation and promote abstinence (Prochazka et al., 1992; Gourlay et al., 2004). The few animal studies conducted have yielded conflicting results. Deficits in brain reward function during nicotine withdrawal were attenuated by antagonism of α_1 -adrenoceptors (Brujinzeel et al., 2010) and antagonism of α_2 -adrenoceptors in another study (Semenova and Markou, 2010). This is puzzling given the positive effect of clonidine, a α_2 agonist, in human abstinent smokers. More studies are needed to clarify the role of NE in nicotine dependence.

Orexin/hypocretin

Orexin A (hypocretin-1) and orexin B (hypocretin-2) are neuropeptides that have two known receptors, Hcrt-r1 and Hcrt-r2, and regulate several processes, including arousal (Sutcliffe and de Lecea, 2002; Taheri et al., 2002) and stress responses (Baldo et al., 2003; Winsky-Sommerer et al., 2004). Orexin/hypocretin neurons are especially abundant in the lateral hypothalamus and project to various brain regions, including the extended amygdala (Peyron et al., 1998; Baldo et al., 2003). Interestingly, intracerebroventricular infusion of orexin A induces Fos activation in approximately half of the CRF-containing neurons in the CeA (Sakamoto et al., 2004). Orexin neurons also receive inputs from the amygdala (Sakurai et al., 2005), and a possible positive feedback circuit between hypothalamic orexin neurons and amygdala CRF neurons has been suggested (Corrigall, 2009). Indeed, dependent smokers during early withdrawal show a significant negative correlation between hypocretin plasma concentration and nicotine craving (von der Goltz et al., 2010). A recent study reports that nicotine withdrawal increases hypocretin cell activity in the hypothalamus and that the hypocretin-1 receptor antagonist SB334867 as well as preprohypocretin knockout attenuate somatic nicotine withdrawal signs in mice (Plaza-Zabala et al., 2012). This study also revealed that the hypothalamic paraventricular nucleus (PVN) is strongly involved in this effect. Infusion of SB334867 into this region attenuates the somatic signs of withdrawal.

Nociceptin/orphanin FQ

Nociceptin/orphanin FQ is a 17-amino-acid peptide that shows structural homology with the dynorphin A peptide (Reinscheid et al., 1995) and binds to the nociceptin/orphanin peptide (NOP) receptor. Nociceptin/orphanin FQ and NOP receptors are distributed throughout the central nervous system, with relatively high densities in the extended amygdala, PFC, and VTA (Neal et al., 1999). Nociceptin/orphanin FQ generally inhibits stress responses by functionally antagonizing CRF activity (Ciccocioppo et al., 2003). Chronic exposure to alcohol decreases the levels of brain nociceptin/orphanin FQ (Lindholm et al., 2002), and activation of the nociceptin/orphanin FQ system attenuates alcohol withdrawal symptoms and reverses increased anxiety-like behavior associated with ethanol dependence (Economidou et al.,

2011; Aujla et al., 2013). Nociceptin/orphanin FQ might be similarly involved in nicotine dependence. NOP receptor knockout mice, unlike wildtype mice, show a significant mecamylamine-induced CPA to nicotine withdrawal (Sakoori and Murphy, 2009).

ESCALATION OF NICOTINE INTAKE

Unlike cocaine and opiates, daily extended self-administration sessions do not induce escalation of nicotine intake but rather a reduction in intake following the first daily session and stable intake afterward (Valentine et al., 1997; Kenny and Markou, 2006; O'Dell et al., 2007; Cohen et al., 2012). However, humans typically do not have continuous access to smoking but instead alternate between periods of access (daytime) and no access (nighttime). The escalation of nicotine intake only occurs when 24–48 h of abstinence are given between extended-access (21 h) sessions (Cohen et al., 2012). It is possible that escalation does not take place when given continuous access because of nAChR desensitization (see above), which requires a period ranging from a few hours to a few days to recover (Collins et al., 1990, 1994; Girod and Role, 2001). Additionally, the escalated intake of nicotine could reflect the increased incentive value of nicotine that results from experiencing a negative affective state because of recruitment of stress systems and downregulation of anti-stress systems (Koob and Le Moal, 2001; Koob, 2010). Supporting such a hypothesis, CRF levels in the CeA are increased during precipitated withdrawal. Moreover, blocking CRF₁ receptors systemically with MPZP attenuates both the increase in anxiety-like behavior during precipitated withdrawal and increase in nicotine intake following 72 h of abstinence (George et al., 2007). In accordance with the hypothesis that emergence of a negative emotional state is required in order to observe escalation of nicotine intake is the fact that rats with limited access to nicotine self-administration (2 h/day) escalate their nicotine intake only if they are tested under withdrawal from daily exposure to nicotine vapor that is sufficient to produce a robust withdrawal syndrome (Gilpin et al., 2013).

To further support the hypothesis that negative affective symptoms drive the escalation of nicotine self-administration, possible associations between anxiety-like behavior (among other

negative affective symptoms) and the escalation of nicotine self-administration will need to be explored, and the possibility that manipulation of CRF and other stress and anti-stress systems can block the escalation of nicotine intake should be examined.

SUMMARY AND CONCLUSION

Animal models of the acute effects of nicotine have provided us with ample evidence regarding the reinforcing and affective effects of nicotine and neurobiological processes that mediate them. These studies support a central role for the mesocorticolimbic dopamine system and neuronal circuits that interact with it in the acute reinforcing effects of nicotine. Studies using chronic passive delivery of nicotine via intracranial or intraperitoneal routes of administration have provided evidence that chronic nicotine dysregulates nAChRs and downregulates the same neurobiological mechanisms that are involved in the positive reinforcing effects of the drug. However, most of these studies did not examine the relationships between these neurobiological alterations and motivation to consume nicotine after dependence developed. Human smokers tend to begin smoking intermittently, especially at early ages, and quickly develop initial aversive symptoms of abstinence. Their smoking behavior escalates until daily smoking reaches a stable high level that is considered compulsive. Novel models of escalated nicotine intake will allow investigation of the mechanisms that underlie the development of compulsive nicotine intake in rats. Initial evidence suggests that recruitment of brain stress systems is a key factor in this process, but further research is needed. Novel models of nicotine exposure that utilize inhalation also provide a unique opportunity to evaluate the effects of e-cigarette use and second-hand smoking exposure on the vulnerability to dependence and relapse.

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Neuronal nicotinic acetylcholine receptors: common molecular substrates of nicotine and alcohol dependence

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Alcohol and nicotine are often co-abused. As many as 80–95% of alcoholics are also smokers, suggesting that ethanol and nicotine, the primary addictive component of tobacco smoke, may functionally interact in the central nervous system and/or share a common mechanism of action. While nicotine initiates dependence by binding to and activating neuronal nicotinic acetylcholine receptors (nAChRs), ligand-gated cation channels normally activated by endogenous acetylcholine (ACh), ethanol is much less specific with the ability to modulate multiple gene products including those encoding voltage-gated ion channels, and excitatory/inhibitory neurotransmitter receptors. However, emerging data indicate that ethanol interacts with nAChRs, both directly and indirectly, in the mesocorticolimbic dopaminergic (DAergic) reward circuitry to affect brain reward systems. Like nicotine, ethanol activates DAergic neurons of the ventral tegmental area (VTA) which project to the nucleus accumbens (NAc). Blockade of VTA nAChRs reduces ethanol-mediated activation of DAergic neurons, NAc DA release, consumption, and operant responding for ethanol in rodents. Thus, ethanol may increase ACh release into the VTA driving activation of DAergic neurons through nAChRs. In addition, ethanol potentiates distinct nAChR subtype responses to ACh and nicotine *in vitro* and in DAergic neurons. The smoking cessation therapeutic and nAChR partial agonist, varenicline, reduces alcohol consumption in heavy drinking smokers and rodent models of alcohol consumption. Finally, single nucleotide polymorphisms in nAChR subunit genes are associated with alcohol dependence phenotypes and smoking behaviors in human populations. Together, results from pre-clinical, clinical, and genetic studies indicate that nAChRs may have an inherent role in the abusive properties of ethanol, as well as in nicotine and alcohol co-dependence.

Keywords: nicotine, alcoholism, acetylcholine, nicotinic receptors, mesolimbic dopamine system

INTRODUCTION

Alcoholism is the third leading cause of preventable mortality in the world (Mokdad et al., 2004). Worldwide, about 2 billion people consume alcohol, with 76.3 million who have diagnosable alcohol use disorders (AUDs). Additionally, when analyzing the global burden of this disease, alcohol causes 2.5 million deaths per year (4% of the worldwide total) (World Health Organization, 2011). The estimated economic cost of alcoholism in the US alone, due to health care costs as well as productivity impacts such as lost wages, was \$220 billion in 2005, which was significantly higher than cancer (\$196 billion) or obesity (\$133 billion) (CASA, 2000).

Interestingly, several reports from the 1980s to 1990s have estimated that 80% of alcohol-dependent people are also smokers (Bobo, 1992; Miller and Gold, 1998) and that smokers have an increased risk of developing AUDs (DiFranza and Guerrera, 1990; Grant et al., 2004). In addition, while the smoking rates in the general population of the U.S. have dramatically decreased over the past two decades, smoking has remained high in alcoholic individuals (Meyerhoff et al., 2006), with current estimates still between 70 and 75% (Bobo and Husten, 2000). These high rates of co-abuse of nicotine and alcohol have led some researchers to define this population as “alcoholic smokers” as compared to “smokers” (Litton et al., 2007). Many hypotheses have been proposed as to the

basis of the high rates of nicotine and alcohol co-abuse. For example, it is possible that alcohol use leads to nicotine use or vice versa (Tyndale, 2003), or that because alcohol and nicotine are legal and readily available, the likelihood of their co-use is increased (Funk et al., 2006). However, mounting genetic, pre-clinical, and clinical evidence indicates that neuronal nicotinic acetylcholine receptors (nAChRs), the molecular targets of nicotine that initiate dependence in smokers, may also contribute to alcohol’s abusive properties. In addition, neuronal nAChRs may represent common molecular targets where nicotine and ethanol functionally interact, potentially explaining the widespread co-morbidity between smoking and alcohol consumption. The focus of this review is to highlight this evidence, summarize recent findings, and identify gaps in knowledge regarding the role of nAChRs in alcohol dependence and nicotine and alcohol co-abuse.

NEURONAL nAChRs

Neuronal nAChRs are ligand-gated cation channels that are activated by the endogenous neurotransmitter acetylcholine (ACh) and the exogenous tertiary alkaloid nicotine (Albuquerque et al., 2009). They belong to the superfamily of Cys-loop ligand-gated ion channels that include receptors for γ -amino butyric acid (GABA, the GABA_A, and GABA_C receptor), glycine, and

5-hydroxytryptamine (5-HT₃) (Le Novere and Changeux, 1995; Changeux and Edelstein, 1998). These ligand-gated ion channels have similar structural and functional features. All subunits in this family contain a pair of disulfide-bonded cysteines separated by 13 residues (Cys-loop) in their extracellular amino terminus (Karlin, 2002).

Neuronal nAChRs, like all members of the cys-loop family of ligand-gated ion channels are formed by the arrangement of five subunits to create a central pore (Albuquerque et al., 2009). The structure of neuronal nAChRs is homologous to muscle nAChRs (Karlin, 2002), for which the atomic structure has been determined from electron microscopy studies from the fish electric organ (*Torpedo* nAChRs) (Miyazawa et al., 2003; Unwin, 2005). Each nAChR gene encodes a protein subunit consisting of a large amino-terminal extracellular domain composed of β -strands, four transmembrane α -helices segments (M1-M4), a variable intracellular loop between M3 and M4, and an extracellular carboxy-terminus (Corringer et al., 2000) (Figure 1A). The extracellular N-terminus contains the ACh binding domain that forms a hydrophobic pocket located between adjacent subunits in an assembled receptor (Sine, 2002). The M2 segment of all five subunits forms the conducting pore of the channel, and regions in the M2 intracellular loop contribute to cation selectivity and channel conductivity (Corringer et al., 2000) (Figure 1B).

In vertebrates, 12 genes encoding 12 distinct neuronal nAChR subunits have been identified (Cholinergic Receptor Nicotinic Alpha: CHRNa2-10 and Cholinergic Receptor Nicotinic Beta: CHRNβ2-4 encoding α 2- α 10 and β 2- β 4 nAChR subunits, respectively all of which can be found in humans and other mammals, except for α 8 which has only been identified in avian species (Milner and Gotti, 2009). Subunits are classified as either α -, by the presence of a Cys-Cys pair near the start of TM1, or non- α (β)

when the Cys pair is missing (Le Novere and Changeux, 1995; Changeux and Edelstein, 1998).

Five subunits combine to form two classes of receptors: homomeric receptors containing only α subunits (α 7- α 9) or heteromeric receptors that contain α and β subunits (α 2- α 6 and β 2- β 4) (Dani and Bertrand, 2007) (Figures 1C,D). The most abundant subtypes in the brain are the low affinity α 7 homomeric and high affinity α 4 β 2* heteromeric nAChRs. An asterisk in nAChR nomenclature (i.e., α 4*, α 4 β 2*) indicates that other unidentified nAChR subunits may also be present and can be read as “ α 4 subunit containing nAChRs.” Importantly, heteromeric nAChRs are incredibly complex as they can contain two or three alpha subunits co-assembled with two or three beta subunits. For example, α 4 β 2 nAChRs can be formed by either two α and three β subunits [$(\alpha$ 4)₂(β 2)₃] or three α and two β subunits [$(\alpha$ 4)₃(β 2)₂] (Zwart and Vijverberg, 1998; Nelson et al., 2003; Moroni et al., 2006). Each stoichiometry of the nAChR exhibits distinct sensitivity to agonist: [$(\alpha$ 4)₂(β 2)₃] nAChRs have a higher sensitivity to agonist ($EC_{50} = \sim 1 \mu M$ ACh); whereas [$(\alpha$ 4)₃(β 2)₂] nAChRs have a lower sensitivity to agonist ($EC_{50} = \sim 100 \mu M$ ACh) (Buisson and Bertrand, 2001; Nelson et al., 2003; Moroni et al., 2006). In addition, more than one type of alpha and/or beta subunit may be present in a functional receptor. For example, a subtype identified in midbrain dopaminergic (DAergic) neurons contains α 4 and β 2 subunits co-assembled with α 6 and β 3 subunits to form the α 4 α 6 β 2 β 3* nAChR (Salminen et al., 2004, 2007; Zhao-Shea et al., 2011; Liu et al., 2012). This subunit diversity allows for a vast array of nAChR subtypes each with distinct pharmacological and biophysical properties (McGehee and Role, 1995; Gotti et al., 2007).

Neuronal nAChRs can exist in three conformational states and are regulated by exposure to agonist: closed at rest, when the receptor has low affinity for agonist and the channel is closed; the active

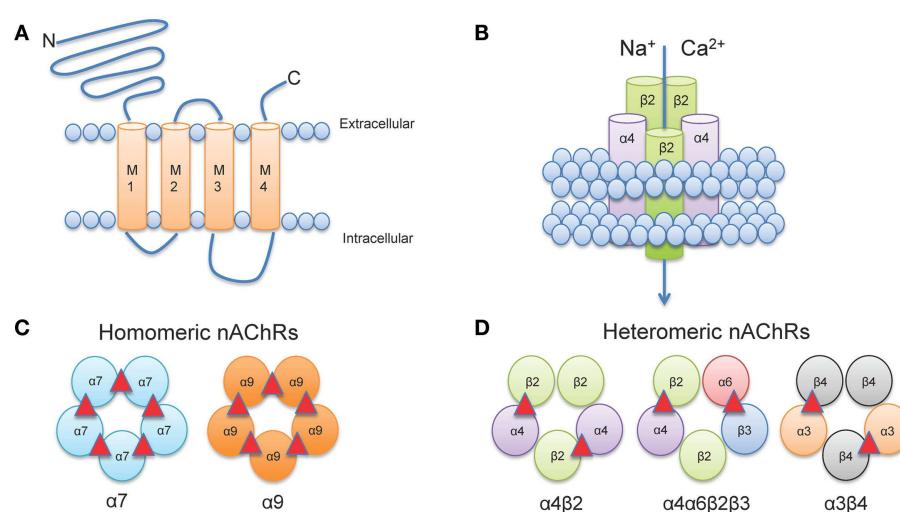


FIGURE 1 | Neuronal nAChR Structure. (A) Membrane topology of a neuronal nAChR subunit. Each nAChR subunit contains four transmembrane domains (M1-M4), an extracellular amino- and carboxy-terminus, and a prominent M3-M4 intracellular loop of variable length. (B) Five subunits coassemble to form a functional subunit. (C) Homomeric receptors consist of α subunits only and usually have low

affinity for agonist. To date, only mammalian α 7, α 9, and α 10 (not shown) subunits may form functional homomers. (D) The majority of high affinity nAChRs are heteromeric and consist of a combination of α and β subunits. Importantly, multiple α subunits may coassemble with multiple β subunits in the pentameric nAChR complex (illustrated here by α 4 α 6 β 3 β 2). ACh binding sites are depicted as red triangles.

state, when agonist occupies the ligand binding site and the channel is open allowing cations to flow down their electrochemical gradient; and the desensitized state, when the channel is occluded and the receptor is unresponsive to ligand (Dani and Bertrand, 2007; Albuquerque et al., 2009).

Interestingly, while nAChRs mediate fast, direct synaptic transmission at neuromuscular junctions and autonomic ganglia, there are very few examples of fast nicotinic transmission in the mammalian brain (Dani and Bertrand, 2007). However, neuronal nAChRs are expressed at the soma in neurons where they presumably modulate excitability directly. In addition, a significant proportion of nAChRs are located on presynaptic terminals (Role and Berg, 1996) where they facilitate Ca^{2+} dependent release of neurotransmitters (McGehee et al., 1995; Wonnacott, 1997). This may occur indirectly as a result of Na^+ influx causing membrane depolarization and activation of voltage-gated Ca^{2+} channels or directly through Ca^{2+} influx through the channel itself (Albuquerque et al., 2009).

ETHANOL MODULATION OF NEURONAL nAChRs: IN VITRO STUDIES

While ethanol modulates several ligand-gated ion channels including GABA_A , NMDA, and 5-HT₃ receptors (For a review see Spanagel, 2009), ethanol also potently modulates nAChRs at low concentrations of ethanol (100 μM –10 mM), identifying nAChRs as potential targets for ethanol action (Nagata et al., 1996). In heterologous expression systems, the effect of ethanol on nAChRs depends on the subunit composition of the nAChR. Expression of different combinations of human neuronal nAChR alpha and beta subunits in *Xenopus* oocytes, indicate acute ethanol (75 mM) potentiates ACh-induced current of $\alpha 2\beta 4$, $\alpha 4\beta 4$, $\alpha 2\beta 2$, and $\alpha 4\beta 2$ nAChRs while lower concentrations of ethanol (20–50 mM) inhibits nicotine-induced current of $\alpha 7$ nAChRs and all concentrations of ethanol tested have no effect on $\alpha 3\beta 2$ or $\alpha 3\beta 4$ nAChRs (Cardoso et al., 1999). Similar ethanol effects on heterologous expression of rat nAChRs in *Xenopus* oocytes have been observed except that ethanol could potentiate or inhibit $\alpha 3\beta 4$ nAChRs at all ethanol concentrations tested likely reflecting oocyte batch to batch variability. In cultured rat cortical neurons, ACh-evoked nAChR currents insensitive to α -bungarotoxin (α -Bgtx), which blocks $\alpha 7$ nAChRs (i.e., heteromeric nAChRs) are significantly enhanced by physiologically relevant concentrations of ethanol while nAChRs sensitive to α -Bgtx (i.e., $\alpha 7$ homomeric nAChRs) are inhibited (Astrup et al., 1999). Although not tested directly the α -Bgtx insensitive current profile was most similar to native $\alpha 4\beta 2$ * nAChRs (Marszalec et al., 1999).

Similar to other ligand-gated ion channels, ethanol potentiation of nAChRs is hypothesized to be a result of the ethanol-induced stabilization of the open channel state of the receptor (Wu et al., 1994; Forman and Zhou, 1999; Zuo et al., 2004). Site directed cysteine mutagenesis and covalent labeling with sulfhydryl reagents indicate that amino acid residues in the pore forming M2 region of neuronal nAChR at least partly contribute to the ethanol binding pocket (Borghese et al., 2002, 2003a,b). While individual amino acid residues forming the ethanol binding pocket may be distinct from other cys-loop receptors, the overall motif, the extracellular domain of M2, is critical for ethanol actions on nAChRs as well

as GABA_A and glycine receptors (Borghese et al., 2003a). Additionally, it is possible that the ethanol-induced inhibitory effect seen with $\alpha 7$ nAChRs is due to the inherently fast desensitization rate of these receptors, implying that ethanol inhibition results in enhanced desensitization (Dopico and Lovinger, 2009). Thus, these and *in vivo* studies discussed below, suggest that ethanol modulation of nAChRs, either by enhancing or inhibiting function, may contribute to (1) the inherent mechanism of action of ethanol reward and (2) the common co-abuse of nicotine and alcohol.

NEURONAL nAChR EXPRESSION IN THE MESOCORTICOLIMBIC DA PATHWAY

Although neuronal nAChRs are expressed throughout the CNS, most studies focusing on the role of nAChRs in addiction have examined the mesocorticolimbic “reward” circuitry. Indeed, it is widely accepted that the mesocorticolimbic dopamine system plays a central role in modulating the rewarding effects of drugs of abuse (Wise and Bozarth, 1987; Koob, 1992).

The ventral tegmental area (VTA) is located in the ventral midbrain, medial to the substantia nigra, and ventral to the red nucleus. It is referred to as an “area” and not considered to be a “nucleus” because the cryoarchitecture of the region is not well defined such that the boundaries of the VTA are determined by its neighboring structures (Fields et al., 2007; Ikemoto, 2007). Within the VTA are two main cell populations, DAergic projection neurons, which comprise ~60% of cells in this region (Swanson, 1982), as well as local GABAergic interneurons and projection neurons (Carr and Sesack, 2000; Margolis et al., 2006a). The VTA receives inputs from regions throughout the CNS (Geisler and Zahm, 2005) including glutamatergic projections from the prefrontal cortex (PFC) (Sesack and Pickel, 1992), as well as glutamatergic, cholinergic, and GABAergic projections from two groups of mesopontine tegmental area neurons, the pedunculopontine tegmental nucleus (PPTg) and the laterodorsal tegmental nucleus (LDT; **Figure 2A**) (Cornwall et al., 1990; Semba and Fibiger, 1992; Oakman et al., 1995). Other regions projecting to the VTA include the nucleus accumbens (NAc), amygdala, ventral pallidum, superior colliculus, and lateral hypothalamus (For a review see Fields et al., 2007). Additionally, the lateral habenula (LH), a small nucleus that is a part of the epithalamus, has been shown to project to midbrain areas, and modulate the release of DA from the VTA and substantia nigra pars compacta (Herkenham and Nauta, 1979; Ji and Shepard, 2007; Matsumoto and Hikosaka, 2007).

Neurons in the VTA primarily project to the ventromedial striatum including the NAc shell and core as well as smaller projections to the PFC, hippocampus, entorhinal cortex, and lateral septal areas (Fields et al., 2007). Furthermore, studies using retrograde markers have shown that distinct groups of neurons originating in the VTA project to specific forebrain regions (Fallon et al., 1984; Margolis et al., 2006b). Projections to the NAc contain the largest proportion of DA neurons, with 65–85% being DAergic, while the PFC projections are only 30–40% DAergic (Swanson, 1982; Fallon et al., 1984). The remaining component of VTA afferents to the NAc and PFC contain GABAergic neurons (Carr and Sesack, 2000). The VTA is not a homogeneous region and can be divided

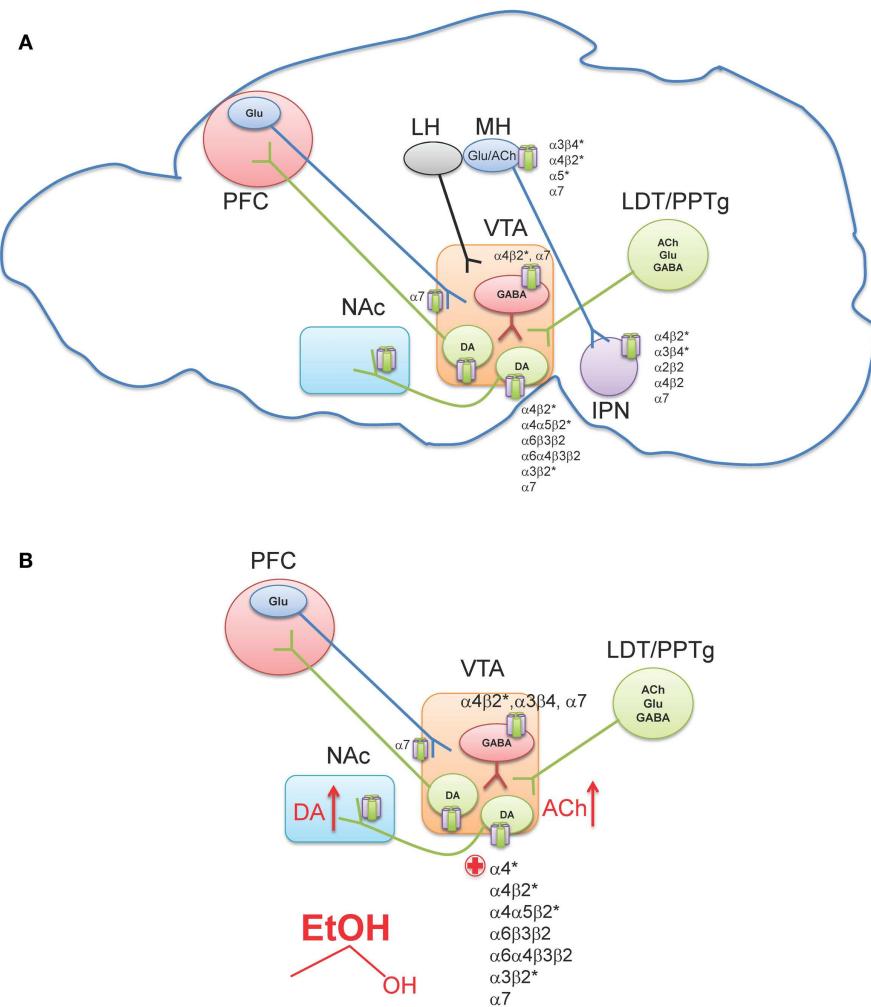


FIGURE 2 | Neuronal nAChR expression in the reward pathway. (A) Sagittal rodent section illustrating the simplified mesocorticolimbic and habenulo-peduncular circuitry. Known neuronal nAChR subtypes expressed in different nuclei are indicated [for a review see (Millar and Gotti, 2009)]. **(B)** In the VTA, alcohol stimulates DAergic neurons at least, in part, via nAChR activation. Ethanol increases ACh release (red arrow, presumably through cholinergic projection from the LDT/PPTg) which in turn activates nAChRs on DAergic neurons driving activity. In

addition, ethanol potentiates ACh activation at high affinity $\alpha 4\beta 2^*$ nAChRs (red plus sign). The effect of alcohol on additional nAChRs in the VTA is unknown. This confluence of events in combination with other effects of alcohol in the VTA ultimately increases DA release in NAc (red arrow). VTA, Ventral tegmental area; NAc, Nucleus accumbens; PFC, Prefrontal cortex; LH, Lateral habenula; MH, Medial habenula; IPN, Interpeduncular nucleus; LDT, Lateral dorsal tegmentum; PPTg, Pedunculopontine tegmentum.

into three sub-regions, the anterior VTA, posterior VTA, and the tail VTA. Additionally, evidence indicates that each region may project to distinct regions of the striatum and may also respond differently to drugs of abuse including nicotine and ethanol (Rodd et al., 2004a, 2010; Ikemoto, 2007; Shabat-Simon et al., 2008; Zhao-Shea et al., 2011). Importantly, nAChRs are robustly expressed in the VTA. DAergic neurons contain several nAChR subtypes including $\alpha 4\beta 2^*$, $\alpha 4\alpha 5\beta 2^*$, $\alpha 4\alpha 6\beta 2^*$, $\alpha 6\beta 2^*$, $\alpha 3\beta 2^*$, and $\alpha 7$ (Picciotto et al., 1998; Champtiaux et al., 2002; Marubio et al., 2003; Grady et al., 2007; Gotti et al., 2010; Zhao-Shea et al., 2011; Liu et al., 2012); whereas GABAergic VTA neurons express $\alpha 4\beta 2$, $\alpha 7$, and $\alpha 3\beta 4$ nAChRs (Figure 2A) (Klink et al., 2001; Mansvelder et al., 2002; Pidoplichko et al., 2004; Nashmi et al., 2007; Tolu et al., 2012).

NEURONAL nAChRs AND ETHANOL: *IN VIVO* STUDIES

The rewarding or reinforcing properties of ethanol and nicotine, as with most drugs of abuse, are associated with an increase in DA release in the NAc (Di Chiara and Imperato, 1988; Lewis and June, 1990; Benwell and Balfour, 1992; Samson et al., 1992; Diana et al., 1993; Weiss et al., 1993; Lanca, 1994; Pontieri et al., 1996). Both drugs increase the baseline firing frequency of VTA DAergic neurons and also increase the firing pattern from phasic to bursting, facilitating NAc DA release (Mereu et al., 1984; Gessa et al., 1985; Fodda et al., 2004; Exley et al., 2011; Li et al., 2011). Although the precise role of NAc DA release in reward is still under debate (Schultz, 2004; Salamone and Correa, 2012), ethanol- and nicotine-induced release of DA is critical for the onset and maintenance of dependence. Pharmacological blockade

of DA receptors, destruction of DA neurons or lesioning of the NAc reduces ethanol and nicotine self-administration (Kiianmaa, 1978; Koob and Weiss, 1990; Corrigall and Coen, 1991; Corrigall et al., 1992, 1994; Rassnick et al., 1993; Ikemoto et al., 1997). In addition, rats will self-administer ethanol or nicotine directly into the VTA (Gatto et al., 1994; Ikemoto et al., 2006), and more specifically, the posterior VTA (Rodd et al., 2004b).

It is becoming increasingly clear that nicotine dependence is initiated by activation of DAergic neurons via nAChRs containing $\alpha 4$ and $\beta 2$ subunits with some contribution of $\alpha 6^*$ nAChRs (Picciotto et al., 1998; Tapper et al., 2004; Maskos et al., 2005; Pons et al., 2008; Exley et al., 2011; Tolu et al., 2012). In the context of this review, we will not focus further on the mechanistic bases of nicotine dependence; rather we direct readers to a recent review article (De Biasi and Dani, 2011). In contrast to nicotine, multiple mechanisms underlying ethanol-mediated activation of VTA DAergic neurons have been proposed including modulation of intrinsic ion channels within these neurons, as well as ethanol-mediated alterations in synaptic input, both excitatory and inhibitory (Okamoto et al., 2006; Job et al., 2007; Xiao and Ye, 2008; Xiao et al., 2009; Rodd et al., 2010; Theile et al., 2011; Guan et al., 2012). However, cholinergic signaling through nAChRs also contributes to NAc DA release and ethanol reinforcement (Blomqvist et al., 1992, 1993, 1996; Ericson et al., 1998; Nadal et al., 1998; Dyr et al., 1999; Le et al., 2000; Soderpalm et al., 2000; Farook et al., 2009a; Kuzmin et al., 2009). One of the most consistent findings implicating nAChRs in ethanol behaviors associated with reward/reinforcement is that the non-specific nAChR antagonist, mecamylamine, reduces ethanol consumption and blocks ethanol-induced DA release in the NAc. Originally discovered by pioneering work of Soderpalm and Engel, systemic mecamylamine significantly reduces ethanol-mediated extracellular DA release in the NAc (Blomqvist et al., 1993), and reduces ethanol consumption in rats (Blomqvist et al., 1996). The effect of mecamylamine is localized to the VTA, as local infusion of the antagonist in rat midbrain but not NAc reduces NAc DA release elicited by ethanol (Blomqvist et al., 1997). VTA infusion of mecamylamine also reduces rat operant responding for ethanol and ethanol-associated cues, as well as consumption during relapse (Lof et al., 2007; Kuzmin et al., 2009). In mice, mecamylamine delivered systemically reduces ethanol consumption in C57Bl/6J mice in the restricted access ethanol consumption “drinking in the dark” (DID) paradigm (Hendrickson et al., 2009), a model of binge drinking (Rhodes et al., 2005, 2007), as well as in the two-bottle choice consumption assay (Farook et al., 2009a). What is mecamylamine’s mechanism of action in reducing ethanol consumption? In mice, mecamylamine apparently blocks activation of VTA DAergic neurons by ethanol as measured by c-Fos induction after challenge with an intraperitoneal injection (i.p.) of ethanol (Hendrickson et al., 2009). More recently, it has been demonstrated that mecamylamine blocks ethanol-mediated activation of VTA DAergic neurons in mouse midbrain slices (Liu et al., 2013). Mecamylamine also blocks the ability of ethanol to condition a place preference in mice (Bhutada et al., 2012). Thus, these data suggest that nAChR expressed in the VTA contribute to ethanol activation of DAergic neurons and ethanol reward. The effects of mecamylamine in these pre-clinical models may have predictive

validity as patients administered mecamylamine report reduced pleasurable effects of alcoholic beverages (Chi and de Wit, 2003). As discussed above, ethanol is not a direct agonist at nAChRs; rather it potentiates or inhibits nAChRs depending on subtype. Thus, nAChR involvement in ethanol reward implies that ethanol must increase ACh concentrations in brain regions involved in reward/reinforcement. To date, one study has measured extracellular concentrations of ACh in the VTA of rats that voluntarily consumed ethanol and found that ACh levels were increased after ethanol consumption and shortly thereafter, DA concentrations were elevated in the NAc as well (Larsson et al., 2005). These data indicate that the increase in VTA ACh could drive activation of DAergic neurons through nAChRs (Figure 2B). While the predominant VTA cholinergic afferents project from the PPTg and LDT area (Oakman et al., 1995), brain regions that have also been implicated in mediating natural as well as drug-reward behavior (Yeomans et al., 1993), additional experiments will be needed to verify that these inputs mediate ethanol-induced increases in VTA ACh. In addition, the mechanism by which ethanol could elicit an increase in ACh release into the VTA is unknown and warrants further study.

NEURONAL nAChRs AND ALCOHOL: IDENTIFYING RELEVANT SUBTYPES: PHARMACOLOGY

Because mecamylamine blocks virtually all subtypes of nAChRs, it provides little insight into the subunit composition of key nAChRs involved in ethanol activation of DAergic neurons or ethanol behaviors associated with the VTA such as consumption. Thus, several studies have used additional, more selective nAChR antagonists, in an effort to uncover the nAChR subtype(s) that may be involved in ethanol’s mechanism of action (Table 1). Studies in VTA responses to *nicotine* indicate that DAergic neurons contain several nAChR subtypes including $\alpha 4\beta 2^*$, $\alpha 4\alpha 5\beta 2^*$, $\alpha 4\alpha 6\beta 2^*$, $\alpha 6\beta 2^*$, $\alpha 3\beta 2^*$, and $\alpha 7$ (Picciotto et al., 1998; Champtiaux et al., 2002; Marubio et al., 2003; Grady et al., 2007; Gotti et al., 2010; Zhao-Shea et al., 2011; Liu et al., 2012). Identifying the precise subunit composition of nAChRs involved in ethanol consumption and activation of VTA DAergic neurons is challenging due to the sheer number of potential subunit combinations that may be expressed in the VTA. However, identifying one or more nAChR subtypes involved in ethanol activation of VTA and/or reward may lead to novel targets to reduce consumption. Systemic injection or VTA infusion of the competitive $\alpha 4\beta 2$ nAChR antagonist, dihydro- β -erythroidine (DH β E), in rats, fails to reduce ethanol-mediated DA release in the NAc and ethanol intake (Ericson et al., 2003; Chatterjee et al., 2011). In addition, low doses of DH β E also have little effect on operant responding for ethanol in rats, although a higher dose can reduce responding (Kuzmin et al., 2009). Systemic injection of DH β E does not reduce consumption in mice as measured in the DID assay nor ethanol-induced NAc DA release (Larsson et al., 2002; Hendrickson et al., 2009). Together these data suggest that $\alpha 4\beta 2$ nAChRs may not be critical for ethanol reward and consumption behavior. However, sensitivity of $\alpha 4\beta 2^*$ nAChR blockade by DH β E is dependent on the stoichiometry of the receptor and the expression of other non- $\alpha 4\beta 2$ subunits that may also be present in an $\alpha 4\beta 2^*$ nAChR complex (Harvey and Luetje, 1996; Harvey et al., 1996; Le et al., 2000; Larsson et al., 2002; Ericson

Table 1 | Neuronal nAChR ligands that modulate alcohol behaviors.

Drug	nAChR subtype target	Route of delivery	Effect on ethanol behavior (in rodents)
Mecamylamine	Non-selective antagonist	i.p.	Decreased ethanol intake in rats (Blomqvist et al., 1996)
		i.p.	Decreased ethanol intake in mice (Hendrickson et al., 2009)
		i.p.	Blocked ethanol-induced DA release in NAc in rats (Blomqvist et al., 1993)
		i.p.	Partially counteracted ethanol-induced enhancements of locomotor activity and brain DA turnover in mice (Blomqvist et al., 1992)
		i.p.	Blocked ethanol-induced activation of DA neurons in mice (Hendrickson et al., 2009)
		i.p.	Reduced operant self-administration and blocked deprivation-induced increase in alcohol consumption in rats (Kuzmin et al., 2009)
		VTA	Reduced ethanol-induced accumbal DA release in rats (Ericson et al., 1998)
		i.p.	Reduced ethanol intake in rats (Le et al., 2000)
Nicotine	Agonist	s.c. (chronic)	Increased ethanol intake in rats (Potthoff et al., 1983; Le et al., 2000)
		s.c. (subchronic/acute)	Increased ethanol intake in rats (Blomqvist et al., 1996; Le et al., 2000)
		s.c. (subchronic)	Increased ethanol preference in rats (Blomqvist et al., 1996)
		s.c. (acute)	Enhanced ethanol-induced locomotor stimulation in mice (Blomqvist et al., 1992)
		s.c. (subchronic)	Enhanced ethanol-induced locomotor stimulation in rats (Blomqvist et al., 1996)
		s.c. (subchronic)	Enhanced DA turnover-increasing effect of ethanol in rats (Johnson et al., 1995)
		s.c. (chronic)	Decreased ethanol intake in rats (Sharpe and Samson, 2002)
		s.c. (chronic)	Decreased ethanol seeking in rats (Sharpe and Samson, 2002)
		i.p. (acute)	Decreased ethanol intake in mice (Hendrickson et al., 2011)
Varenicline	$\alpha 4\beta 2$ Partial agonist high affinity $\alpha 3\beta 2$, $\alpha 3\beta 4$, $\alpha 6^*$, $\alpha 7$ low affinity binding	i.p. and VTA	Decreased ethanol intake in mice (Hendrickson et al., 2010; Kamens et al., 2010; Santos et al., 2012)
		i.p.	Decreased ethanol intake in rats (Steensland et al., 2007)
		i.p.	Reduced ethanol seeking and consumption with no rebound increase in ethanol after cessation in rats (Steensland et al., 2007)
		i.p.	Reduced operant ethanol self-administration and blocked deprivation-induced relapse-like consumption in rats (Kuzmin et al., 2009)
		s.c.	Blocks increase in extracellular DA in NAc following acute ethanol injection in rats (Ericson et al., 2009)
α -Conotoxin MII	$\alpha 6^*$, $\alpha 3\beta 2^*$ Antagonist	VTA	Reduced alcohol-induced DA release in mice (Larsson et al., 2004)
		VTA	Reduced locomotor stimulation in mice (Larsson et al., 2004)
		VTA	Decreased self-administration of ethanol in rats (Kuzmin et al., 2009)
		VTA	Blocked deprivation-induced relapse-like ethanol consumption in rats (Kuzmin et al., 2009)
DH β E	$\alpha 4\beta 2^*$ antagonist	s.c.	No effect on ethanol consumption in rats (Le et al., 2000)
		s.c.	No effect on DA-enhancing effect of ethanol in mice (Larsson et al., 2002)
		i.p.	Inhibited ethanol intake at 4mg/kg in rats (Kuzmin et al., 2009)
		s.c.	No effect on ethanol consumption in rats (Chatterjee et al., 2011)
MLA	$\alpha 7^*$ antagonist	i.p.	No effect on DA-enhancing effect of ethanol in mice (Larsson et al., 2002)
		i.p.	No effect on self-administration of ethanol or deprivation-induced relapse-like drinking in rats (Kuzmin et al., 2009)
		i.p.	No effect on ethanol consumption in DID in mice (Hendrickson et al., 2009)
α -Conotoxin PIA	$\alpha 6^*$ antagonist	VTA	No effect on ethanol-induced locomotor stimulation or enhanced DA release in mice (Jerlhag et al., 2006)

(Continued)

Table 1 | Continued

Drug	nAChR subtype target	Route of delivery	Effect on ethanol behavior (in rodents)
CP-601932	$\alpha 3\beta 4$ and $\alpha 4\beta 2$ high affinity partial agonist	s.c.	Decreased ethanol consumption and operant self-administration in rats (Chatterjee et al., 2011)
PF-4575180	$\alpha 3\beta 4$ high affinity partial agonist	s.c.	Decreased ethanol consumption and operant self-administration in rats (Chatterjee et al., 2011)
Lobeline	Non-selective antagonist, particularly at $\beta 2^*$ nAChRs	s.c.	Reduced ethanol consumption in DID and during continuous ethanol access in mice (Farook et al., 2009b; Sajja and Rahman, 2011)
		s.c.	Reduced ethanol-induced DA and its metabolite levels in ventral striatum in mice (Sajja et al., 2010)
Cytisine	Low-efficacy partial agonist with high affinity for $\alpha 4\beta 2^*$ nAChRs. Full agonist at $\beta 4^*$ and $\alpha 7^*$ nAChRs	s.c.	Reduced ethanol consumption in DID in mice and during continuous ethanol access in mice (Hendrickson et al., 2009; Sajja and Rahman, 2011)
		s.c.	Reduced ethanol-induced DA and its metabolite in mice (Sajja et al., 2010)
Sazetidine-A	Highly selective $\alpha 4\beta 2$ desensitizer	s.c.	Reduces alcohol intake in rats (Rezvani et al., 2010)

et al., 2003; Moroni et al., 2006; Lof et al., 2007; Kamens and Phillips, 2008). The $\alpha 7$ selective antagonist, methyllycaconitine (MLA), does not affect ethanol-mediated behaviors including consumption, ethanol-induced DA release in NAc and ethanol operant responding in rats, as well as, consumption in mice. While caution with interpretation of these results is warranted due to data indicating higher concentrations of MLA may also antagonize non- $\alpha 7$ nAChRs (of an unknown nAChR subtype that may include $\alpha 6$ and/or $\alpha 3$ subunits (Mogg et al., 2002)), homomeric $\alpha 7$ nAChRs may not be involved in ethanol reinforcement (Larsson et al., 2002; Hendrickson et al., 2009; Kuzmin et al., 2009). On the other hand, the $\alpha 3\beta 2^*$, $\beta 3^*$, and $\alpha 6^*$ subtype-selective antagonist, α -conotoxin MII (Cartier et al., 1996), when infused into the VTA does inhibit ethanol consumption, operant responding, and DA release in the NAc of rats (Larsson et al., 2004, 2005; Kuzmin et al., 2009) and reduce ethanol-induced locomotor stimulation and increases in NAc DA release in mice (Larsson et al., 2004; Jerlhag et al., 2006). Importantly, recent data indicate that approximately half of α -conotoxin MII-sensitive nAChRs in the striatum also contain the $\alpha 4$ subunit (Grady et al., 2007; Salminen et al., 2007) and deletion of $\beta 2^*$ nAChRs nearly abolishes α -conotoxin MII binding in the VTA (Marubio et al., 2003). However, infusion of α -conotoxin PIA, which may have more selectivity for $\alpha 6^*$ nAChRs than $\alpha 3^*$ nAChRs (Dowell et al., 2003), failed to reduce ethanol-induced DA release in NAc when infused in the VTA suggesting that $\alpha 3^*$ nAChRs may be more critical for ethanol reward. Finally, systemic injection of the $\alpha 3\beta 4^*$ nAChR-selective antagonist 18-methoxycoranolidine (18-MC) reduces ethanol consumption in alcohol-preferring rats (Rezvani et al., 1997). However, direct infusion of 18-MC into the VTA fails to reduce alcohol consumption (Carnicella et al., 2010) in rats consistent with data indicating low expression of $\beta 4^*$ nAChRs in VTA DAergic neurons (Gotti et al., 2010; Zhao-Shea et al., 2011).

NEURONAL nAChRs AND ALCOHOL: IDENTIFYING RELEVANT SUBTYPES: MOUSE GENETICS

Behavioral studies in genetically engineered mice have also been used to glean information on nAChR subtypes that may be involved in alcohol consumption and reward. Mice that do not express *chrnb2*, the gene encoding the nAChR $\beta 2$ subunit ($\beta 2$ KO) consume and prefer ethanol in a 24 h access two-bottle choice paradigm similar to wild-type (WT) littermates indicating that $\beta 2^*$ nAChR may not play a role in baseline ethanol consumption in this assay (Kamens et al., 2010). Similarly, $\alpha 6$ KO and $\beta 3$ KO mice consume ethanol similar to WT in a 24 h access two-bottle choice consumption assay (Kamens et al., 2012). Female $\alpha 7$ KO mice consume significantly less ethanol in this paradigm compared to female WT littermates; whereas male $\alpha 7$ KO and WT mice consume similar amounts of ethanol indicating a potential gender effect of $\alpha 7$ nAChRs on ethanol consumption (Kamens et al., 2010). $\alpha 5$ KO mice do not differ in acute ethanol consumption, as measured by the DID assay, compared to WT (Santos et al., 2012). Together, these data indicate that nAChRs containing $\alpha 5$, $\alpha 6$, $\beta 2$, or $\beta 3$ subunits may not be critical for ethanol consumption *per se*. However, as nAChRs are robustly expressed in a variety of brain regions, subunit compensation may occur in a KO mouse background (Drago et al., 2003). Thus, these results will need to be verified using shRNAs to knock-down nAChR subunits in discreet brain regions. Interestingly, sleep time elicited by high doses of ethanol is increased in $\alpha 6$ and $\alpha 5$, but not $\beta 3$ KO mice compared to their WT littermates indicating a role for $\alpha 6^*$ and $\alpha 5^*$ nAChR in alcohol-induced sedation (Kamens et al., 2012; Santos et al., 2012).

In contrast to the majority of KO models discussed above, acute ethanol consumption in the DID paradigm is significantly less in $\alpha 4$ KO mice compared to WT for high (20%) but not low (2%) concentrations of ethanol implicating a role for $\alpha 4^*$ nAChR in ethanol consumption (Hendrickson et al., 2010, 2011). In addition, the

ability of ethanol to condition a place preference in $\alpha 4$ KO mice is reduced compared to WT. Conversely, in mice harboring a point mutation in $\alpha 4^*$ nAChRs that renders receptors hypersensitive to agonist [the Leu⁹'Ala $\alpha 4$ knock-in line (Tapper et al., 2004; Fonck et al., 2005)], a sub-threshold dose of ethanol is sufficient to condition a place preference indicating that $\alpha 4^*$ nAChRs modulate alcohol reward (Liu et al., 2013). Consistent with behavioral data, ethanol activation of VTA DAergic neurons is reduced in $\alpha 4$ KO midbrain slices and more robust in Leu⁹'Ala midbrain slices. Finally, ethanol potentiates the response to bath applied ACh in midbrain DAergic neurons and potentiation is abolished in DAergic neurons of $\alpha 4$ KO mice (Liu et al., 2013). Together, these data indicate that $\alpha 4^*$ nAChRs in VTA DAergic neurons may contribute to ethanol activation of the VTA and alcohol reward although additional experiments are needed to confirm that the observed difference in ethanol-mediated behaviors in these mouse models are due to $\alpha 4^*$ nAChRs in the VTA as these receptors are expressed throughout the CNS (Baddick and Marks, 2011).

NICOTINE AND ALCOHOL INTERACTIONS: IN VIVO STUDIES

Human studies have shown that individuals dependent on alcohol have higher rates of nicotine dependence (Room, 2004), and smokers tend to consume more ethanol than non-smoking alcohol users (York and Hirsch, 1995). Unlike the majority of clinical studies, nicotine administration can either increase ethanol intake (Potthoff et al., 1983; Blomqvist et al., 1996; Smith et al., 1999; Le et al., 2000; Clark et al., 2001; Ericson et al., 2003), or decrease ethanol intake (Nadal et al., 1998; Dyr et al., 1999; Sharpe and Samson, 2002) in rats. These conflicting results have led to a complex and interesting questions: under what conditions (i.e., time delay between nicotine and ethanol, dose of nicotine, length of ethanol presentation, acute versus chronic nicotine/ethanol etc.) does nicotine increase ethanol intake, and what conditions cause a decrease in ethanol intake?

Blomqvist et al. (1996) demonstrated that daily nicotine during ethanol deprivation and ethanol reinstatement increases ethanol intake and preference in rats shown to have a medium baseline preference (25–65%) for ethanol over water. Similarly, Le et al. (2003) demonstrated that rats increased lever presses for ethanol during the course of daily nicotine injection paired 15 min prior to an operant session. These data are in agreement with various other experiments in which nicotine was given either constantly or repeatedly (Potthoff et al., 1983; Smith et al., 1999; Ericson et al., 2000; Olausson et al., 2001). In rats, nicotine can also reinstate alcohol seeking after extinction and increase ethanol self-administration when administered during an ethanol deprivation period (Lopez-Moreno et al., 2004). Interestingly, rats given nicotine only during the relapse period, once self-administration has resumed after a deprivation period, consume less ethanol, and rats given nicotine during both abstinence and relapse increased ethanol intake compared to control (Alen et al., 2009).

In contrast, Sharpe and Samson demonstrated that ethanol intake and lever pressing during operant ethanol self-administration are both decreased after a high dose of nicotine (0.7 mg/kg, subcutaneous injection (s.c.), expressed as free base nicotine) 30 min prior to ethanol self-administration, and with a lower dose of nicotine (0.35 mg/kg, s.c.). While locomotor

depression by nicotine could potentially confound the interpretation of decreased ethanol self-administration, this is unlikely as nicotine injections did not decrease sucrose self-administration. Thus, Sharpe and Samson (2002) propose that nicotine could be acting as a reinforcer of ethanol, decreasing the amount of ethanol necessary to achieve satiety. This is in agreement with other studies in which nicotine is administered either immediately prior to, or within 30 min of, ethanol presentation or self-administration (Nadal et al., 1998; Damaj, 2001).

To reconcile differences in nicotine effects on ethanol consumption and self-administration, Hauser et al. demonstrated that acute nicotine administration affects ethanol seeking and relapse in a time-dependent manner. Nicotine injection immediately prior to an ethanol operant self-administration session in ethanol preferring rats elicits reduced responding for ethanol compared to a saline injection; whereas nicotine exposure 4 h prior will increase responses (Hauser et al., 2012). These data indicate that acute nicotine may initially act as a substitute for ethanol at the immediate time-point causing a reduction in craving for ethanol and, at the later time-point, nicotine may lead to desensitization of nAChRs in the brain, enhancing ethanol seeking.

As in rats, acute nicotine immediately prior to presentation of ethanol in the DID paradigm reduces consumption in mice (Hendrickson et al., 2009); whereas chronic nicotine treatment increases consumption (Sajja and Rahman, 2012). The reduction of ethanol consumption is mediated by nAChRs containing the $\alpha 4$ subunit: nicotine fails to reduce consumption in $\alpha 4$ KO mice; whereas acute sub-threshold nicotine doses are sufficient to reduce consumption in Leu⁹'Ala mice (Hendrickson et al., 2011). The effect of acute nicotine activates the posterior VTA as measured by increased c-Fos in mouse VTA DAergic neurons while an additional injection of ethanol does not further activate these neurons, consistent with nicotine substituting for ethanol during this treatment schedule (Hendrickson et al., 2009).

The mechanistic basis of chronic nicotine on ethanol consumption is unclear. However, nicotine potentiates the response to ethanol in VTA DAergic neurons (Clark and Little, 2004) and repeated nicotine infusion into the posterior VTA increases the stimulatory effects of ethanol (Ding et al., 2012). These data indicate that chronic nicotine treatment may actually increase the reinforcing/rewarding properties of alcohol. Interestingly, chronic nicotine upregulates midbrain nAChRs which may lead to increased DAergic neuron activation by ethanol (Nashmi et al., 2007).

NEURONAL nACh LIGANDS FOR REDUCING ETHANOL CONSUMPTION

While several areas of alcoholism research exist, the end goal of the majority of research is to identify new and improved treatment options for those suffering from alcoholism. Currently, there are three FDA approved medications for treating alcoholism. The first, disulfiram, was approved in 1954, and is classified as an anti-relapse medication (Christensen et al., 1991). It is an acetaldehyde dehydrogenase inhibitor, which after drinking alcohol allows the buildup of acetaldehyde in the blood, causing symptoms including headache, nausea, vomiting, weakness, mental confusion, or anxiety (Christensen et al., 1991). However, in recent years, many

physicians have stopped prescribing this drug because of the severe symptoms it causes and the fact that if a patient wished to drink again, they could simply not take their medication. Naltrexone, available since 1994, is a competitive opioid receptor antagonist that works by decreasing the euphoric effects produced by alcohol. It is considered to be an anti-relapsing drug because it decreases heavy drinking in patients with alcoholism and prevents relapse to heavy drinking (O'Malley et al., 1992; Volpicelli et al., 1992). The third drug, acamprosate, is a partial agonist of NMDA glutamate receptors and an antagonist of metabotropic glutamate receptors and is thought to act as an anti-craving medication by inhibiting glutamate signaling (Mason, 2003; Mason et al., 2006). While European studies have reported modest benefits with acamprosate, these studies have not been reproducible in the US (Pettinati et al., 2006).

Unfortunately, while these medications have been effective for some, only 20–30% of treated patients respond to the anti-craving and anti-relapsing compounds (Spanagel, 2009). Interestingly, new studies have shown that people with different genetic profiles may drink for different reasons, and also that they may respond better to one type of medication versus another. For example, populations with a specific type of mu opioid receptor respond to naltrexone better than others, and this group has been described as “feel good drinkers” (Osbin et al., 2006; Anton et al., 2008). Another population of alcoholics report that they drink to relieve feelings of stress and anxiety (Kuehn, 2009) for which new medications are currently being tested (George et al., 2008). This large variability in patient response is a driving force in identifying new molecular targets for improved pharmacotherapeutic drugs. Consequently, the main focus of alcoholism treatments has been to restore the balance to the different biochemical pathways in the brain that are disrupted during alcohol dependence.

Varenicline, an $\alpha 4\beta 2$ partial agonist clinically approved as a smoking cessation therapeutic (Coe et al., 2005; Gonzales et al., 2006; Jorenby et al., 2006; Tonstad et al., 2006; Steensland et al., 2007), can reduce ethanol intake, ethanol seeking, and cue-induced ethanol reinstatement in rats (Steensland et al., 2007; Wouda et al., 2011) and ethanol consumption in mice (Hendrickson et al., 2010; Kamens et al., 2010; Santos et al., 2012). In addition, varenicline can also reduce the enhancing effect of chronic nicotine on ethanol self-administration in rats (Bito-Onon et al., 2011). Coupled with clinical data indicating that varenicline reduces ethanol consumption in heavy drinking smokers (McKee et al., 2009; Fucito et al., 2011; Mitchell et al., 2012), uncovering the mechanism of action of varenicline could lead to more refined nAChR partial agonists for the treatment of alcoholism. In mice, systemic injection of lower doses of varenicline immediately prior to ethanol bottle presentation reduces ethanol consumption in the DID paradigm (Hendrickson et al., 2010). In addition, this effect of varenicline is reduced in $\alpha 4$ KO mice and enhanced in mice that express $\alpha 4^*$ nAChR that are hypersensitive to agonist indicating that activation of $\alpha 4^*$ nAChR may underlie varenicline effects on binge drinking. However, while varenicline was designed to be selective for $\alpha 4\beta 2^*$ nAChRs at low doses, at high concentrations, varenicline is also a partial agonist at $\alpha 6\beta 2^*$ nAChRs, a full agonist at $\alpha 3\beta 4$ and $\alpha 7$ nAChRs, as well as at 5-HT₃ receptors (Mihalak et al., 2006; Papke et al., 2010; Lummis et al., 2011; Bordia et al., 2012), which may

also explain some of its effects on ethanol consumption especially in response to high doses used to reduce ethanol preference and seeking in most studies using the two-bottle choice 24 h access paradigm of ethanol consumption. Indeed, varenicline still reduces ethanol consumption in $\beta 2$ and $\alpha 7$ KO mice (Kamens et al., 2010). Varenicline also reduces ethanol consumption in the DID paradigm in $\alpha 5$ KO mice (Santos et al., 2012). Thus, the mechanism of varenicline induced reduction in ethanol consumption and the nAChR subtype responsible for this effect is still unclear. However, acutely, varenicline reduces ethanol-mediated DA release in NAc of rats, an effect that diminishes with repeated exposure of the partial agonist (Ericson et al., 2009), consistent with varenicline reducing the rewarding properties of ethanol. In contrast, a recent clinical study found that varenicline potentiated aversion to ethanol in social drinkers (Childs et al., 2012), suggesting the agonist may reduce consumption through an anti-reward pathway.

In addition to varenicline, pre-clinical data are emerging regarding other nAChR ligands that may prove effective in reducing ethanol consumption. Sazetidine-A, an $\alpha 4\beta 2^*$ nAChR-selective “desensitizer” and partial agonist can reduce ethanol consumption in rats (Rezvani et al., 2010). Lobeline, an antagonist with high affinity for $\alpha 4\beta 2^*$ and $\alpha 3\beta 2^*$ nAChRs reduces ethanol consumption in mice in the DID and two-bottle choice paradigm (Farook et al., 2009b). Cytisine, a partial agonist that preferentially activates high affinity $\beta 2^*$ nAChRs at low doses but also is a full $\beta 4^*$ nAChR agonist at high doses also reduces ethanol consumption (Bell et al., 2009; Hendrickson et al., 2009; Sajja and Rahman, 2011, 2012). Both lobeline and cytisine reduced ethanol-mediated DA release in ventral striatum of mice consistent with blocking of ethanol reward/reinforcement (Sajja et al., 2010). In addition, lobeline and cytisine also reduce the increase in alcohol consumption that occurs with chronic nicotine exposure in the DID paradigm (Sajja and Rahman, 2012). Finally, novel partial agonists targeting $\alpha 3\beta 4^*$ nAChRs reduce ethanol consumption and seeking in rats (Chatterjee et al., 2011).

NEURONAL nAChR SUBUNIT GENES AND ALCOHOL: HUMAN GENETIC ASSOCIATION STUDIES

There is growing evidence that suggests that common genes may influence the development of alcohol and nicotine behaviors individually as well as contribute to both disorders in humans (True et al., 1999; Bierut et al., 2000; Madden and Heath, 2002). Using twin studies, it was determined that identical twins are two times as likely to be dependent on alcohol and/or nicotine if the other twin is dependent, compared to fraternal twins (Heath et al., 1997).

Recent genome wide association studies have identified several polymorphisms within genetic loci that includes the nAChR subunit genes CHRNA5/A3/B4 (which encode the nAChR $\alpha 5$, $\alpha 3$, $\beta 4$ subunit, respectively), that are associated with nicotine dependence, COPD, and lung cancer (Amos et al., 2008; Berrettini et al., 2008; Bierut et al., 2008; Hung et al., 2008; Saccone et al., 2010). Interestingly, genetic variation in these genes has also been associated with age of initiation of smoking and alcohol use and level of response of alcohol use (Joslyn et al., 2008; Schlaepfer et al., 2008). Two SNPs associated with nicotine dependence and lung cancer have been found to also be associated with a low level of response to alcohol, a phenotype considered a risk factor for

likelihood of developing an AUD (Joslyn et al., 2008). Thus, common SNPs may confer susceptibility to both nicotine dependence and alcoholism. In addition, genetic variation in CHR α 5, distinct from those associated with nicotine dependence, are also associated with alcohol dependence (Wang et al., 2009). The mechanistic bases for how polymorphisms in CHR α 5/A3/B4 modulate nicotine and alcohol phenotypes are unclear although distinct SNPs in CHR α 5 have been shown to affect α 4 β 2 nAChR function *in vitro* and mRNA expression in human brain (Bierut et al., 2008; Wang et al., 2009). It is also unclear if genetic variation in CHR α 5/A3/B4 is specific for modulation of nicotine and alcohol dependence as SNPs are also associated with cocaine and opioid dependence, as well as substance use initiation (Grucza et al., 2008; Sherva et al., 2010; Lubke et al., 2012; but see Chen et al., 2012). Thus, SNPs in this region may affect aspects of addiction common to all drugs of abuse, such as reward, tolerance, or withdrawal. Alternatively, CHR α 5/A3/B4 may play a role in general risk taking behavior or impulsivity which may significantly predispose one to drug addiction (Stephens et al., 2012).

Additional genes encoding nAChR subunits have been linked to alcohol phenotype. SNPs in CHR β 2, have been associated with the subjective responses to both alcohol and nicotine (Ehringer et al., 2007); whereas only a modest association of alcohol responses with CHR α 4 SNPs were reported. An additional study identified a CHR α 4 SNP associated with alcoholism in a small Korean population (Kim et al., 2004). Finally, SNPs within CHR α 6 and CHR β 3 are associated with heavy alcohol consumption (Hoft et al., 2009; Landgren et al., 2009), as well as smoking behavior (Thorgeirsson et al., 2010).

Together these human genetic studies indicate that heritable polymorphisms within nAChR subunit genes may predispose distinct populations to increased risk for AUDs and, perhaps nicotine and alcohol co-dependence.

FUTURE DIRECTIONS

Emerging evidence indicates that SNPs within genes encoding nAChR subunits are associated with alcohol dependence phenotypes. Additional research is needed to understand how SNPs in these subunits modulate the effects of ethanol on nAChRs directly and in animal models of ethanol dependence. It will also be critical to expand the focus of nAChRs and ethanol effects on circuits outside of the mesocorticolimbic pathway. Indeed, recent data indicate that nicotine intake is controlled by the habenulopeduncular axis. This circuit consists of a small, epithalamic structure, the habenula (Hb) which can be divided into medial (MH) and lateral (LH) sub-regions (Hikosaka, 2010). The Hb projects

to its target brain regions through a conspicuous bundle of axons that make up the fasciculus retroflexus. The LH projects to the rostromedial tegmental nucleus that is involved in the modulation of DA release from the substantia nigra pars compacta and VTA (Kaufling et al., 2009; Bromberg-Martin et al., 2010a,b; Balcita-Pedicino et al., 2011; Hong et al., 2011; Lecca et al., 2011). The MH projects to the interpeduncular nucleus (IPN) which, in turn, projects to the median and dorsal raphe nuclei in addition to other brain regions (Figure 2A) (Morley, 1986). Recent data indicate that expression of nAChRs containing the α 5 and/or β 4 subunits within the MH control nicotine intake such that genetic deletion of α 5 nAChRs increases acute intake; whereas overexpression of the β 4 nAChR subunit reduces intake and increases sensitivity to nicotine's aversive properties (Fowler et al., 2011; Frahm et al., 2011). Thus, while the mesocorticolimbic pathway confers acute nicotine reward/reinforcement, the MH-IPN pathway may signal nicotine aversion (but see Laviollette et al., 2008). In addition, the Hb-IPN is a critical circuit for the expression of physical signs of nicotine withdrawal (Salas et al., 2009). Because (1) SNPs in nAChR subunit genes CHR α 3/A5/B4 are associated with alcohol dependence phenotypes, (2) these genes are robustly expressed in the Hb-IPN circuitry, and (3) α 3 β 4 ligands modulate ethanol consumption in rodent models, future studies should explore the role of MH-IPN nAChRs in ethanol consumption and withdrawal behaviors.

SUMMARY

Neuronal nAChR represent novel therapeutic targets to not only treat nicotine dependence, but also alcohol dependence. The reinforcing properties of acute ethanol, are mediated, in part, by α 4* nAChRs, likely expressed in DAergic neurons of the mesocorticolimbic pathway. Ethanol potentiates the response of high affinity heteromeric nAChRs to both ACh and nicotine. Thus, if ethanol increases ACh release in the VTA, DAergic neurons will be activated via nAChRs and ethanol will further potentiate this effect (Figure 2B). Chronic nicotine may upregulate these receptors and increase the reinforcing properties of ethanol. Future studies should focus on identifying additional nAChR subunits critical for ethanol effects within and outside the mesocorticolimbic circuitry.

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Role of a genetic polymorphism in the corticotropin-releasing factor receptor 1 gene in alcohol drinking and seeking behaviors of Marchigian Sardinian alcohol-preferring rats

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Marchigian Sardinian alcohol-preferring (msP) rats exhibit innate preference for alcohol, are highly sensitive to stress and stress-induced alcohol seeking. Genetic analysis showed that over-expression of the corticotropin-releasing factor (CRF) system of msP rats is correlated with the presence of two single nucleotide polymorphisms (SNPs) occurring in the promoter region (position -1836 and -2097) of the CRF1 receptor (CRF1-R) gene. Here we examined whether these point mutations were associated to the innate alcohol preference, stress-induced drinking, and seeking. We have recently re-derived the msP rats to obtain two distinct lines carrying the wild type (GG) and the point mutations (AA), respectively. The phenotypic characteristics of these two lines were compared with those of unselected Wistar rats. Both AA and GG rats showed similar patterns of voluntary alcohol intake and preference. Similarly, the pharmacological stressor yohimbine (0.0, 0.625, 1.25, and 2.5 mg/kg) elicited increased operant alcohol self-administration under fixed and progressive ratio reinforcement schedules in all three lines. Following extinction, yohimbine (0.0, 0.625, 1.25, and 2.5 mg/kg) significantly reinstated alcohol seeking in the three groups. However, at the highest dose this effect was no longer evident in AA rats. Treatment with the CRF1-R antagonist antalarmin (0, 5, 10, and 20 mg/kg) significantly reduced alcohol-reinforced lever pressing in the AA line (10 and 20 mg/kg) while a weaker or no effect was observed in the Wistar and the GG group, respectively. Finally, antalarmin significantly reduced yohimbine-induced increase in alcohol drinking in all three groups. In conclusion, these specific SNPs in the CRF1-R gene do not seem to play a primary role in the expression of the msP excessive drinking phenotype or stress-induced drinking but may be associated with a decreased threshold for stress-induced alcohol seeking and an increased sensitivity to the effects of pharmacological blockade of CRF1-R on alcohol drinking.

Keywords: CRF, SNP, self-administration, msP, yohimbine, relapse

INTRODUCTION

Alcoholism is an etiologically and clinically heterogeneous disorder in which compulsive alcohol use and elevated vulnerability to relapse represent core symptoms (McLellan et al., 1992). Exposure to alcohol is a necessary precondition for development of alcoholism. However, environment and heritability factors play a dramatic role in controlling individual predisposition to developing alcohol abuse (Cloninger et al., 1981; Schuckit et al., 1985; Enoch and Goldman, 1999; Lovinger and Crabbe, 2005). Environmental stress has been recognized as one of the major factors for alcohol abuse and dependence (Pohorecky, 1991; Sarnyai et al., 2001; Sinha, 2001; Shaham et al., 2003; Breese et al., 2005b). However, the interaction between environmental stress and heritable factors in the development of alcoholism is still largely unexplored.

Understanding the nature of this interaction in regulating individual risk of becoming an alcohol abuser represents a major challenge in this research field and may provide invaluable help for the development of preventive strategies or pharmacotherapeutic remedies.

Studies conducted in our laboratory demonstrated that genetically selected Marchigian Sardinian alcohol-preferring (msP) rats show excessive daily alcohol drinking (6–8 g/kg body weight) in a binge-type pattern, leading to blood alcohol levels as high as 100–120 mg/dl (Ciccocioppo et al., 2006). This selected rat line is highly sensitive to stress and stress-induced alcohol seeking (Ciccocioppo et al., 2006), demonstrates an anxious phenotype (Hansson et al., 2006), and has depressive-like symptoms that recover following alcohol consumption (Ciccocioppo et al., 1999). Hence, these

animals may represent a preclinical model of genetic predisposition to high alcohol drinking and relapse endowed with significant predictive validity. In addition, msP rats appear to share important common characteristics with the human disease that also confer to them important elements of face and construct validity (Ciccocioppo et al., 2006; Ciccocioppo, 2013).

The corticotropin-releasing factor (CRF) is a 41 amino acid peptide that integrates many of the endocrine, behavioral, and autonomic responses to stress (Sarnyai et al., 2001). CRF has been implicated in alcohol addiction because there is evidence that neuroadaptive changes triggered by a prolonged history of alcohol exposure lead to a chronically dysregulated CRF/CRF1 receptor (CRF1-R) system activity that, in turn may drive excessive and uncontrolled alcohol consumption motivated by relief of negative emotionality (Heilig and Koob, 2007; Koob, 2010; Breese et al., 2011). In particular, upregulation of the peptide has been observed in the extended amygdala during alcohol withdrawal (Merlo Pich et al., 1995; Zorrilla et al., 2001; Olive et al., 2002; Roberto et al., 2010) and long-term upregulation of CRF1-Rs has been also shown in these structures in animals with a previous history of alcohol dependence (Sommer et al., 2008). Similarly, msP animals show innate upregulation of CRF1-R expression and density in multiple corticolimbic regions, indicating hyperfunction of the CRF system (Hansson et al., 2006), which is attenuated by alcohol consumption (Hansson et al., 2007). In agreement with these findings, both alcohol-induced neuroadaptations leading to dysregulated CRF system and the innate hyperfunction of the system in msP rats have been shown to confer sensitivity to the treatment with CRF1-R antagonists. Core symptoms of alcohol dependence including excessive alcohol self-administration and stress-induced relapse to alcohol seeking were in fact attenuated at doses that had no effects in non-dependent unselected animals (Funk et al., 2006a; Hansson et al., 2006; Sabino et al., 2006; Gehlert et al., 2007; Ciccocioppo et al., 2009). All these similarities suggest that innate upregulation of CRF1-R expression mimics the post-dependent phenotype such that msP rats have been proposed as phenocopies of post-dependent animals (Heilig and Koob, 2007).

Further work done in msP rats provided evidence that excessive alcohol drinking and stress vulnerability may be associated with the occurrence of two single nucleotide polymorphisms (SNPs) in the promoter region (position -1836 and -2097) of the gene encoding the CRF1 receptor, an observation that closely correlated with innate upregulation of the CRF1-R transcript (Hansson et al., 2006). Genetic variation at the CRF1-R locus as a susceptibility factor for excessive alcohol drinking might have parallels in humans, where a similar association was reported (Treutlein et al., 2006). It is, however, unclear whether the -1836 and -2097 SNPs are causally related to escalated alcohol consumption. Of note, high alcohol preference is a complex trait, and may be driven by different genetic factors in different genetically selected preferring lines. These SNPs are unique to msP animals, and genetic screening in the Indiana alcohol-preferring [P (Li et al., 1991)] and the Sardinian alcohol-preferring [sP (Colombo et al., 1995)] indicates that these lines do not carry mutations at the CRF1-R locus (oral communication).

Here, we tested whether the occurrence of the SNPs is responsible for the high alcohol drinking and preference of msP rats and

whether the occurrence of the point mutations may contribute to other behavioral differences including sensitivity to the treatment with CRF1-R antagonist and relapse susceptibility. To assess how environmental stress interacts with heritable factors, rats were re-derived from the original msP line to obtain two distinct lines, one carrying the two point mutations (AA) and the wild type line (GG). The phenotypic characteristics of these two msP rat lines were assessed following stress exposure and compared with those of unselected Wistar rats.

MATERIALS AND METHODS

ANIMALS

Subjects were adult males from two distinct sub-lines derived from the original msP line (65th generation). Animals were bred at the animal facility of the University of Camerino, Italy. Breeding started following genetic screening of the promoter region encoding for CRF1-Rs. Sequence variation AA versus GG in position -1836 and -2097 respectively, of the CRF1-R transcript distinguished the two msP lines. Specifically, 80 msP rats were sequenced using Taqman-PCR analysis of tail DNA to identify animals carrying (AA) or not carrying (GG) both variants. The homozygous male and female AA and GG were then bred to obtain re-derived lines selectively carrying the AA and the GG types. They were bred for two more generations and then animals from the third and fourth generations were used for experiments. Male Wistar rats (Charles River, Calco, Italy) were employed as a behavioral control. All rats (350–450 g) at the time of the experiments were housed in groups of five or four except where otherwise specified, on a reverse 12 h light-dark cycle (lights off at 08:30 AM) at a constant temperature of $20 \pm 2^\circ\text{C}$ and relative humidity of 45–55%, with free access to tap water and food pellets (4RF18, Mucedola, Settimo Milanese, Italy). Animals were handled three times before the onset of each experiment. All procedures followed the *EU Directive for Care and Use of Laboratory Animals*.

DRUGS

Alcohol solution (10% v/v) was prepared by diluting 95% alcohol (F.L. Carsetti s.n.c.-Camerino) in tap water. The selective CRF1-R antagonist antalarmin (*N*-butyl-*N*-ethyl-[2,5,6-trimethyl-7-(2,4,6-trimethylphenyl)-7*H*-pyrrolo[2,3-d]pyrimidin-4-yl]-amine (Webster et al., 1996) was obtained from the National Institute on Alcohol Abuse and Alcoholism (NIAAA/NIH). Antalarmin was suspended in a vehicle composed of 10% Tween 80 and distilled water and given intraperitoneally (i.p.) in a 1 ml/kg volume injection. Yohimbine hydrochloride (17-hydroxyyohimban-16-carboxylic acid methyl ester hydrochloride) was purchased from Sigma (Sigma-Aldrich, Italy) and dissolved in distilled water. Yohimbine was administered i.p. in a 1 ml/kg volume injection. Physiological saline was injected three times prior to drug testing for habituation to the experimental procedures.

TWO-BOTTLE FREE CHOICE DRINKING PARADIGM

To ascertain the relation of CRF1-R promoter genotype to home cage alcohol intake, AA ($n = 8$) and GG ($n = 8$) msP rats were used and their intake measured daily. Rats were single-housed to provide accurate record of home cage drinking. Animals were provided *ad libitum* concurrent, continuous access to 10% alcohol solution, water, and food pellets. Fluids were presented in

graduated plastic bottles equipped with a stainless-steel drinking spouts inserted through two grommets in front of the cage and were changed daily at 90–120 min into the dark period of the light/dark cycle. The placement of the alcohol bottle was alternated daily to control for side preference. This procedure was carried out for 15 days. Data are presented as daily alcohol intake (g/kg) and percentage of alcohol preference [100 × alcohol intake (ml)/total fluid intake (ml)].

OPERANT SELF-ADMINISTRATION APPARATUS AND TRAINING

Training and testing were conducted in operant conditioning chambers housed in sound-attenuating cubicles (Med Associates Inc., Georgia, VT, USA). Each operant chamber was equipped with two retractable levers positioned laterally to a drinking reservoir. Visual stimuli were presented via a light located on the back panel. A microcomputer controlled the delivery of the fluids, presentation of visual stimuli, and recording of the behavioral data. Rats were trained to self-administer 10% alcohol (v/v) in 30 min daily sessions on a fixed ratio 1 (FR-1) schedule of reinforcement, in which each response on the active lever resulted in delivery of 0.1 ml of fluid. A response on the second lever had no programmed consequences. For the first 3 days, rats were allowed to lever-press for a 0.2% (w/v) saccharin solution, and were then trained to self-administer 10% alcohol by gradually increasing the percentage of alcohol and fading out the saccharin (Cippitelli et al., 2008).

OPERANT ALCOHOL SELF-ADMINISTRATION ON A FIXED RATIO 3 SCHEDULE OF REINFORCEMENT FOLLOWING STRESS EXPOSURE

Rats ($n = 34$; 10 Wistars, 14 GG, and 10 AA msPs) were trained to self-administer 10% alcohol as described above. When all the rats reached the 10% alcohol stage, the schedule of reinforcement was changed from FR-1 to FR-3. Here, following three responses that delivered a reinforcer, a 5-s time-out period was in effect, during which responses were recorded but not reinforced. Once stable self-administration responding was obtained under this reinforcement schedule, the experiment was started. Stress exposure consisted of the challenge with the pharmacological stressor yohimbine at doses previously shown to increase alcohol-reinforced lever pressing in unselected Wistar animals (Marinelli et al., 2007). Yohimbine (0.0, 0.625, 1.25, and 2.5 mg/kg) was administered 30 min prior to the 30 min self-administration session. The experiment was conducted in parallel for the three rat lines using a Latin square counterbalanced within-subjects design. Test sessions were 4 days apart. Following each test session day, animals were allowed 1 day off, and a new baseline was then established over the following 2 days as previously reported (Cippitelli et al., 2010b). Results are described as number of rewards in 30 min.

OPERANT ALCOHOL SELF-ADMINISTRATION ON A PROGRESSIVE RATIO SCHEDULE OF REINFORCEMENT FOLLOWING STRESS EXPOSURE

Additional rats ($n = 30$; 10 Wistars, 10 GG and 10 AA msPs) were trained to self-administer 10% alcohol. When all the rats reached the 10% alcohol stage, the schedule of reinforcement was changed from FR-1 to FR-3. As described above, following three responses that delivered a reinforcer, a 5-s time-out period was in effect, during which responses were recorded but not reinforced. Once stable

self-administration responding was obtained under this reinforcement schedule, the three rat lines were tested under a progressive ratio (PR) schedule of reinforcement to measure the break point, defined as the last ratio completed by the animal (Cippitelli et al., 2007; Karlsson et al., 2012), to obtain 10% alcohol following stress exposure. For this purpose, the response requirement (i.e., the number of lever responses or the ratio required to receive one dose of 10% alcohol) was increased as follows: for each of the first four alcohol deliveries the ratio was increased by 1; for the next four deliveries the ratio was increased by 2 and for all of the following deliveries the ratio was increased by 4. Each alcohol-reinforced response resulted in the house light being turned on for 1 s, whereas sessions were terminated when more than 30 min had elapsed since the last reinforced response. The experiment was conducted in parallel for the three rat lines using a Latin square counterbalanced within-subjects design. The pharmacological stressor yohimbine at the dose of 0.625 mg/kg or its vehicle were administered 30 min prior to PR testing. Test sessions were 4 days apart. Following each test session day, animals were allowed 1 day off, and a new baseline was then established over the following 2 days.

OPERANT ALCOHOL SELF-ADMINISTRATION ON FR-3 SCHEDULE:

EFFECT OF ANTALARMIN

Other rats ($n = 33$; 7 Wistars, 12 GG and 14 AA msPs) were trained to self-administer 10% alcohol as described above. Schedule of reinforcement was switched from FR-1 to FR-3. Following three responses that delivered a reinforcer, a 5-s time-out period was in effect, during which responses were recorded but not reinforced. Once stable self-administration was obtained under the FR-3 reinforcement schedule, treatment with the CRF1-R antagonist antalarmin was started. The experiment was conducted by using a Latin square counterbalanced design. Antalarmin at doses of 5, 10, and 20 mg/kg or its vehicle were administered 30 min prior to sessions. Test sessions were 4 days apart. Following each test session day, animals were allowed 1 day off, and a new baseline was then established over the following 2 days. Results are described as number of rewards in 30 min.

EFFECT OF ANTALARMIN ON YOHIMBINE-INDUCED INCREASE OF ALCOHOL-REINFORCED LEVER PRESSING (FR-3)

A new cohort of rats ($n = 33$; 8 Wistars, 10 GG and 15 AA msPs) was trained to self-administer 10% alcohol as described above. When stable baseline of responding was obtained under the FR-3 reinforcement schedule that included the 5 s time-out period, drug treatment started. In this experiment, we pre-treated the three rat lines either with the selective CRF1-R antagonist antalarmin or its vehicle prior to the injection of yohimbine (0.625 mg/kg) or yohimbine vehicle. Pre-treatments were given 30 min prior to treatments that in turn occurred 30 min prior to testing sessions. These testing sessions were conducted every fourth day using a Latin square counterbalanced design and occurred 4 days apart in which animals were allowed 1 day off, and a new baseline was then established over the following 2 days. Results are described as number of rewards in 30 min.

REINSTATEMENT INDUCED BY STRESS EXPOSURE

A new cohort of animals ($n = 24$; 7 Wistar rats, 8 GG and 9 AA msP rats) was trained at the same time to self-administer

alcohol as described above. When 10% alcohol became available, the FR-1 schedule slightly changed such that each lever pressing was accompanied by the illumination of the house light for 5 s. During this time-out period response were recorded but not reinforced. 10% alcohol sessions lasted 30 min and were conducted for 15 days. Then, rats were subjected to 30 min daily extinction sessions for additional 15 consecutive days. During extinction the lever presses were no longer associated with alcohol delivery, but house light was still presented to allow for its concomitant extinction. Stress exposure consisted of the challenge with the pharmacological stressor yohimbine at doses previously shown to produce reinstatement to alcohol seeking in unselected Wistar rats (Le et al., 2005; Marinelli et al., 2007; Cippitelli et al., 2010a). Yohimbine (0.0, 0.625, 1.25, and 2.5 mg/kg) was administered 30 min prior to the 30 min reinstatement session that was conducted under identical condition of extinction sessions. A Latin square counterbalanced design was used. Test sessions were 4 days apart and conducted after three consecutive extinction sessions. Results are described as total number of responses in 30 min.

STATISTICAL ANALYSIS

All drug testing experiments were here analyzed by means of a two-way analysis of variance (ANOVA) with “drug treatment” as the within-subject factor and “rat line” as the between-subject factor. When appropriate, analyses were followed up by Fisher’s least significant difference (LSD) *post hoc* tests. The same statistical approach was employed to analyze drinking patterns of intake and preference of GG versus AA msP rat lines with the exception that “rat line” was the between-subject factor and “day” was used as the within-subject factor.

RESULTS

MINIMAL CHANGES IN VOLUNTARY ALCOHOL INTAKE AND PREFERENCE OF GG AND AA msP RATS

The GG and AA msP animals show a similar pattern of alcohol intake and preference over a period of 15 days as shown in **Figure 1**. Overall ANOVA failed to revealed a main effect of “line” [$F(1,14) = 2.4$, NS]. However, there was a main effect of “day” [$F(14,196) = 24.4$, $p < 0.001$], accompanied by interaction “line \times day” [$F(14,196) = 2.2$, $p < 0.01$] to suggest minimal changes in voluntary alcohol intake across the 15-day exposure. Indeed, *post hoc* analysis showed difference in alcohol drinking between the two msP lines only on day 6 and 13 ($p < 0.001$ and $p < 0.01$, respectively, **Figure 1A**).

Data analysis of alcohol preference only showed difference in the main effect of “day” [$F(14,196) = 24.4$, $p < 0.01$] while failing to reveal significant difference in the main effect of “line” [$F(1,14) = 2.4$, NS] and interaction “line \times day” [$F(14,196) = 0.2$, NS]. However, a slight and non-significant trend to a higher alcohol preference of the AA line compared to the GG line was observed (**Figure 1B**).

In a separate experiment, a different batch of the two msP lines was subjected to a two-bottle free choice drinking across a 50 day exposure. Results generally paralleled those shown here, that is no major difference between lines on patterns of 10% voluntary alcohol drinking and preference was found.

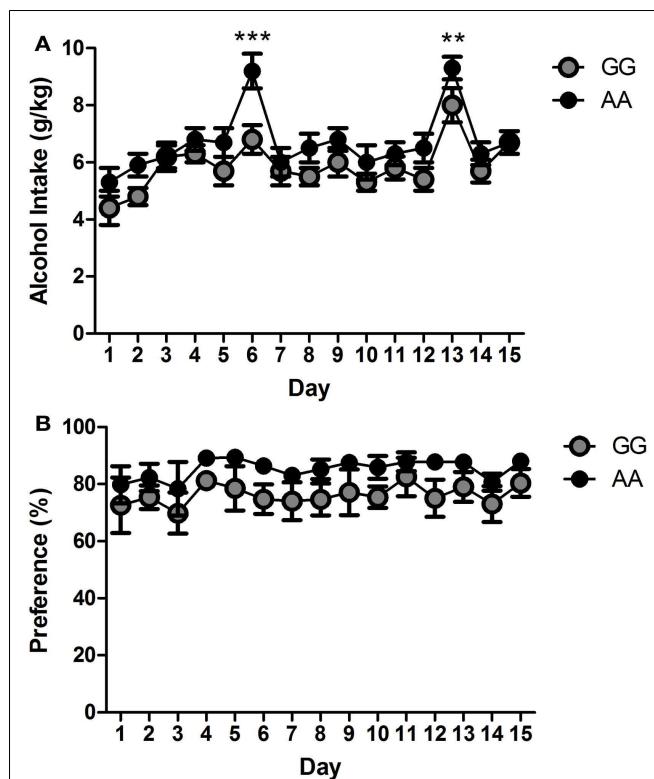


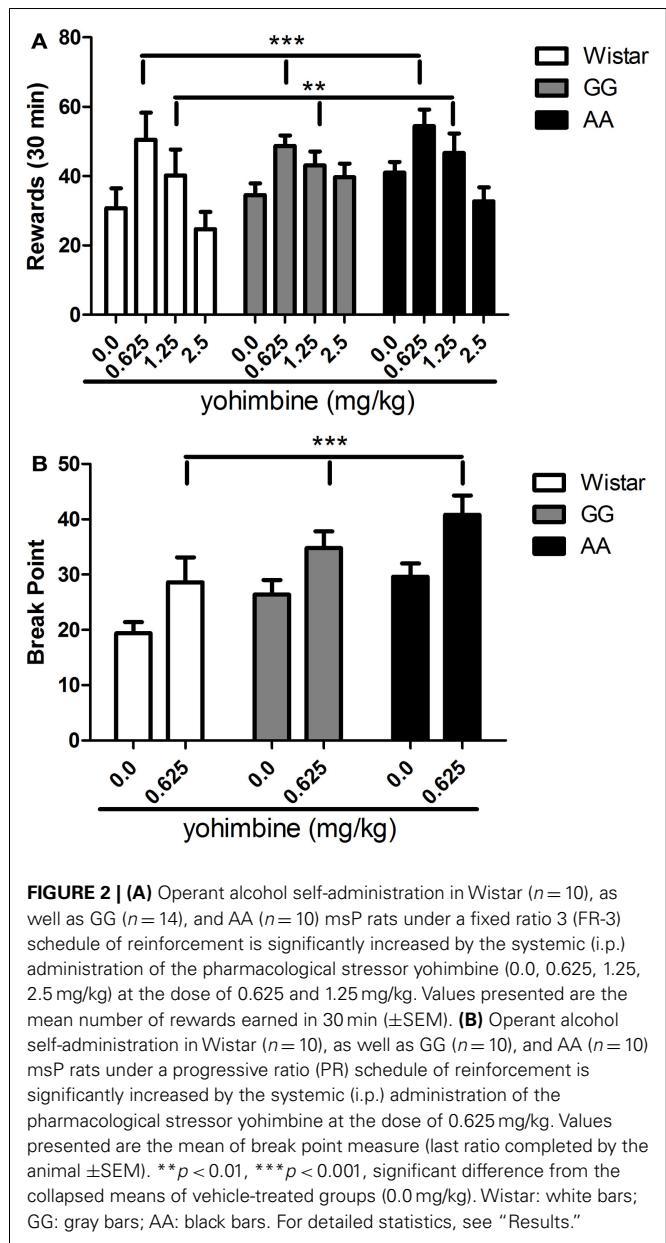
FIGURE 1 | Elevated alcohol drinking of the two msP lines GG ($n=8$) and AA ($n=8$) derived from the original msP line as assessed in the two-bottle free choice drinking paradigm. GG and AA msP rats show minimal changes in (A) drinking patterns and (B) alcohol preference across a period of 15 days. Values are presented as the daily mean g/kg of alcohol intake (\pm SEM) and percent (%) of alcohol preference (\pm SEM), respectively. ** $p < 0.01$ and *** $p < 0.001$, significant difference between the two msP rat lines. GG: gray line; AA: black line. For detailed statistics, see “Results.”

YOHIMBINE SIMILARLY INCREASES OPERANT ALCOHOL SELF-ADMINISTRATION UNDER A FIXED RATIO SCHEDULE OF REINFORCEMENT IN WISTAR, AS WELL AS GG, AND AA msP RATS

Although elevated level of alcohol consumption in msP rats is well known, overall ANOVA failed to show a main effect of “line” [$F(2,31) = 0.8$, NS], indicating that under the described experimental conditions alcohol-reinforced lever pressing was fairly equal between groups. A clear main effect of “treatment” [$F(3,93) = 18.2$, $p < 0.001$] that was not accompanied by a significant interaction “treatment \times line” [$F(6,93) = 1.5$, NS] was also revealed to suggest that exposure to pharmacological stress similarly increased alcohol self-administration in all rat lines. On *post hoc* analysis of the collapsed variable of “treatment,” yohimbine significantly increased the number of alcohol rewards at doses of 0.625 ($p < 0.001$) and 1.25 mg/kg [($p < 0.01$), **Figure 2A**].

YOHIMBINE SIMILARLY INCREASES BREAK POINT OF WISTAR, AS WELL AS GG, AND AA msP RATS UNDER A PROGRESSIVE SCHEDULE OF REINFORCEMENT

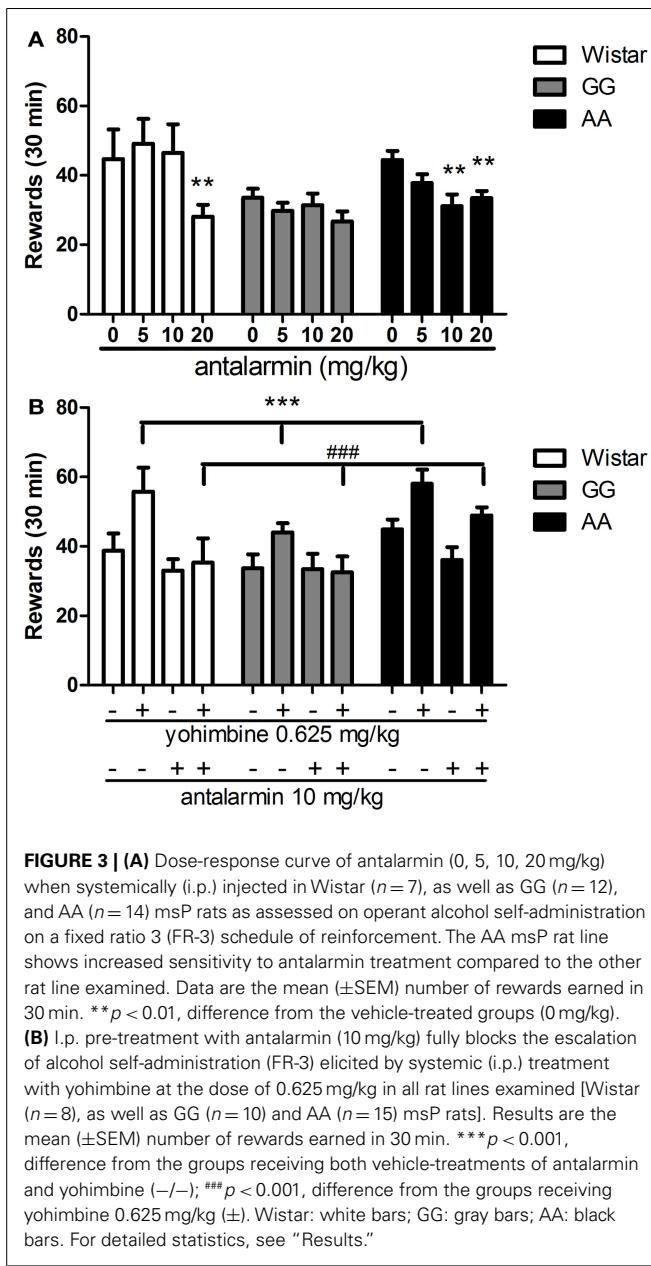
To further explore how stress exposure interacts with the genetic background of the two msP lines, yohimbine at the dose of 0.625 mg/kg was tested on motivation to earn alcohol rewards as



assessed by the PR schedule of reinforcement paradigm. Overall ANOVA showed a significant main effect of “line” [$F(2,27) = 4.7$, $p < 0.05$] accompanied by a significant main effect of treatment [$F(1,27) = 23.7$, $p < 0.001$] while interaction “treatment \times line” was not significant [$F(2,27) = 0.18$, NS]. As revealed by post hoc analysis of the collapsed variable of “treatment,” these results suggest that 0.625 mg/kg of yohimbine clearly increased the break point measure in all three rat lines examined [($p < 0.001$), Figure 2B].

THE AA LINE IS MORE SENSITIVE THAN OTHER RAT LINES TO THE EFFECT OF ANTALARMIN IN REDUCING ALCOHOL SELF-ADMINISTRATION

As shown in Figure 3A, treatment with the CRF1-R antagonist antalarmin differentially reduced alcohol-reinforced lever pressing



under FR-3 schedule. Overall ANOVA revealed a significant main effect of treatment [$F(3,90) = 7.1$, $p < 0.001$], significant main effect of “line” [$F(2,30) = 4.5$, $p < 0.05$] and significant interaction “treatment \times line” [$F(6,90) = 2.5$, $p < 0.05$]. Post hoc analysis showed that antalarmin dose-dependently decreased lever pressing for alcohol in AA rats ($p < 0.01$ for doses of 10 and 20 mg/kg) while being ineffective in the GG line. Dose of 20 mg/kg antalarmin reduced the number of rewards in Wistar rats ($p < 0.01$).

YOHIMBINE INCREASES ALCOHOL SELF-ADMINISTRATION THROUGH A CRF-MEDIATED MECHANISM

As shown in Figure 3B, pre-treatment with antalarmin blocked the yohimbine-induced increase of alcohol self-administration in all rat lines examined. Overall ANOVA showed a main effect of

“treatment” [$F(3,90) = 16.6, p < 0.001$] accompanied by a main effect of “line” [$F(2,30) = 3.8, p < 0.05$] with no interaction “treatment \times line” [$F(6,90) = 1.4, \text{NS}$]. In agreement with the experiments described above, *post hoc* analysis clearly revealed that yohimbine (0.625 mg/kg) significantly increased the number of alcohol rewards as compared to the collapsed means of the control groups ($p < 0.001$), and administration of antalarmin (10 mg/kg) fully prevented the effect of yohimbine ($p < 0.001$).

YOHIMBINE AT HIGH DOSAGES FAILS TO PRODUCE REINSTATEMENT OF ALCOHOL SEEKING IN AA msP RATS

The administration of yohimbine (0.0, 0.625, 1.25, 2.5 mg/kg) robustly reinstated responding on the previously alcohol-associated lever as shown by the significant main effect of “treatment” [$F(3,63) = 11.6, p < 0.001$]. Overall ANOVA also revealed a barely significant main effect of “line” [$F(2,21) = 3.4, p = 0.05$] and lack of the interaction “treatment \times line” [$F(6,63) = 0.8, \text{NS}$]. These results suggest that all three rat lines examined were sensitive to the challenge of the pharmacological stressor. This was confirmed by *post hoc* analysis on the collapsed variable of “treatment” (0.625 and 1.25 mg/kg, $p < 0.001$; 2.5 mg/kg, $p < 0.01$). However, *post hoc* analysis conducted on the collapsed variable of “line” revealed that relapse-like behavior of the AA line was different from that of both the GG msP ($p < 0.05$) and the Wistar line ($p = 0.05$) following yohimbine treatment. This effect was the result of the fact that the AA msP line failed to reinstate the operant response following administration of 2.5 mg/kg. In contrast, both Wistars and GG msPs showed similar vulnerability to the pharmacological stressor as observed with lower dosages (Figure 4).

DISCUSSION

We found that the two msP rat lines (GG and AA) showed similar patterns of alcohol intake and preference in the 24-h access two-bottle free choice drinking paradigm, which was comparable to the elevated levels of drinking previously shown by the original msP line (Ciccocioppo et al., 2006; Hansson et al., 2007; Stopponi et al., 2011). In addition, stress exposure elicited increased operant alcohol self-administration in FR-3 and PR reinforcement schedules in both lines through a CRF1-R mediated mechanism. However, the msP line carrying the point mutations at the CRF1-R promoter region (AA) showed higher sensitivity than the wild type line (GG) to the effects of the CRF1-R blockade by the selective CRF1-R antagonist antalarmin. Also, the AA line showed altered vulnerability to relapse-like behavior following pharmacological stress exposure when compared to the GG line or to an unselected strain such as Wistar rats.

The observation that the two derived lines showed minimal changes in voluntary alcohol intake and preference suggests that the occurrence of the SNPs in the CRF1-R promoter region is not a causal genetic factor behind high alcohol intake. In operant situations, where rats work for alcohol reinforcement under limited-access conditions, results paralleled those obtained under unlimited 24-h voluntary alcohol access. However, in the present study, voluntary alcohol consumption was different between sub-lines only in 2 out of 15 days (days 6 and 13) where higher intake was observed in the AA line. This transient increase in the amount

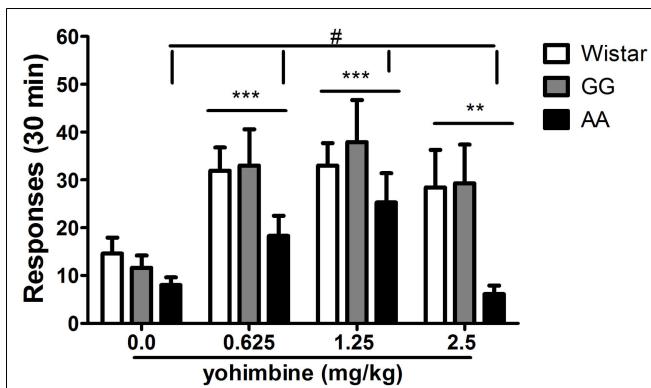


FIGURE 4 | Systemic (i.p.) administration of yohimbine (0.0, 0.625, 1.25, 2.5 mg/kg) elicits reinstatement of alcohol seeking in Wistar ($n = 7$), as well as GG ($n = 8$), and AA ($n = 9$) msP rats following extinction. The AA msP line shows decreased threshold for yohimbine-induced reinstatement due to different sensitivity on responding to the effects of 2.5 mg/kg yohimbine dose. Data are the mean (\pm SEM) of total number of responses in 30 min. ** $p < 0.01$, *** $p < 0.001$, difference from the vehicle-treated groups (0.0 mg/kg); # $p \leq 0.05$, difference from the collapsed means of both the GG msP and the Wistar lines. Wistar: white bars; GG: gray bars; AA: black bars. For detailed statistics, see “Results.”

of drinking was associated with weekly cleaning of the animal room or exchange of sawdust. Thus, either increased arousal or heightened anxiety behavior may account for these isolated over drinking episodes. Indeed, msP rats are known to couple elevated alcohol consumption with comorbid anxiety which is thought to drive excessive drinking due to self-medication and tension relief purposes (Ciccocioppo et al., 2006; Ciccocioppo, 2013).

To test the hypothesis that stress exposure may contribute to confer functional relevance to the polymorphism, both AA and GG lines were exposed to pharmacological stress before self-administering alcohol as previously shown (Le et al., 2005; Marinelli et al., 2007). Induction of stress consisted of the administration of yohimbine, an alpha-2 adrenoceptor antagonist that increases noradrenaline cell firing (Aghajanian and VanderMaelen, 1982) and enhances noradrenaline release in terminal areas (Abercrombie et al., 1988; Pacak et al., 1992). Yohimbine induces anxiety-like responses in both humans (Holmberg and Gershon, 1961; Bremner et al., 1996b) and laboratory animals (Bremner et al., 1996a), and induced craving in alcohol-dependent patients (Umhau et al., 2011). Results of the present study demonstrate that yohimbine similarly increased alcohol-reinforced lever pressing in both rat lines, indicating that the polymorphism does not seem to play a major role in stress-induced alcohol drinking. These data were completed by the evidence that unselected Wistar rats showed a similar outcome as the derived msP lines when challenged with yohimbine under identical experimental conditions, a finding that closely paralleled results shown in previous studies (Le et al., 2005; Marinelli et al., 2007). In addition, the dose of yohimbine that increased alcohol self-administration under FR-3 schedule (0.625 mg/kg) in all three rat lines also increased the break point measure in all lines examined under the PR schedule, a paradigm known to better assess motivation to obtain a drug

(Arnold and Roberts, 1997). This observation suggests that spontaneous occurrence of the polymorphism in msP animals does not appear to be associated with the exacerbated motivation to obtain alcohol following stress exposure.

The effect of yohimbine on increasing alcohol consumption shares some similarities with the effect of cycles of alcohol intoxication and withdrawal on inducing escalation of drinking (Rimondini et al., 2002; O'Dell et al., 2004; Gehlert et al., 2007; Walker and Koob, 2008; Gilpin and Koob, 2010), such that it has been hypothesized that yohimbine- and dependence-induced increases of operant alcohol self-administration may be mediated by similar neurobiological mechanisms (Marinelli et al., 2007). Firstly, both of these manipulations produce anxiety- and stress-like states (Breese et al., 2005a; Heilig and Koob, 2007). Secondly, both yohimbine treatment and alcohol dependence activate CRF system in structures of the extended amygdala (Merlo Pich et al., 1995; Zorrilla et al., 2001; Olive et al., 2002; Funk et al., 2006b; Sommer et al., 2008), brain areas thought to mediate the negative emotional state that leads to excessive alcohol use (Heilig and Koob, 2007; Koob, 2010; Breese et al., 2011). Lastly, antagonism at CRF1-R attenuates both yohimbine-induced (Marinelli et al., 2007) and dependence-induced increases of alcohol self-administration (Sabino et al., 2006; Chu et al., 2007; Funk et al., 2007; Gehlert et al., 2007). The observation that antalarmin prevented yohimbine-induced increase of alcohol operant responding in Wistar rats, as well as in the alcohol-preferring msP lines strongly supports a role of CRF-related mechanisms in the regulation of reinforcing effects of alcohol heightened by yohimbine treatment.

When antalarmin was tested under non-stressful conditions on the derived msP lines, the CRF1-R antagonist selectively reduced at doses of 10 and 20 mg/kg alcohol self-administration in the AA line, indicating that the polymorphism may confer sensitivity to this pharmacological manipulation. This observation parallels with what is previously shown in the original msP line where treatment with antalarmin reduced alcohol-reinforced lever pressing without altering that of unselected Wistar animals (Hansson et al., 2006). In that study, the differential effect of antalarmin on alcohol self-administration was associated with msP upregulation of CRF1-R expression and density, in turn linked to the occurrence of the point mutations in the CRF1-R gene. Thus, although data on CRF1-R expression or density of the AA versus GG line are not provided in the present study, it may be hypothesized that the selective reduction of operant responding for alcohol following antalarmin treatment in the AA line is due to upregulated CRF1-R function in these animals compared to the GG line. In addition, both the unique msP genetic profile and evidence showing that msP rats are, among other alcohol-preferring lines, the only one sensitive to CRF1-R antagonists (Ciccocioppo et al., 2006; Sabino et al., 2006; Gilpin et al., 2008) strongly supports the role of the polymorphism in eliciting increased sensitivity to the treatment with CRF1-R antagonists. Binding data on brain CRF1-R protein expression in AA and GG rats are needed to corroborate this hypothesis. Post-dependent animals were also shown to respond to this pharmacological treatment at doses that had no effects in non-dependent rats (Sabino et al., 2006; Chu et al., 2007; Funk et al., 2007; Gehlert et al., 2007) to suggest that the

alcohol-dependent state recruits the CRF system. However, the CRF1-R signaling may be also engaged when non-dependent animals escalate their levels of drinking (Sparta et al., 2008; Lowery et al., 2010; Cippitelli et al., 2012). Therefore, the reduction of alcohol self-administration observed in Wistar rats receiving the high dose of 20 mg/kg antalarmin is not surprising and may be due to abnormally elevated baseline of lever pressing of the cohort of animals employed in the present experiment. Of note, differences in operant alcohol drinking usually observed between msP and Wistar rats (Hansson et al., 2006; Gehlert et al., 2007) are not well reflected here probably due to different experimental conditions such as the use of an FR-3 reinforcement schedule. Previous studies employed an FR-1 schedule which may better reflect the rate of consumption as it delivers reinforcement after each response (Arnold and Roberts, 1997).

We have previously described that msP and unselected Wistar rats showed differential responses when exposed to increasing foot-shock stress intensities during extinction. Specifically, reinstatement of Wistar rats increased progressively with shock intensity while msPs reinstated responding on the previously alcohol-associated lever after low/medium but not high shock intensities which resulted in freezing behavior (Hansson et al., 2006). In the present study, a similar experiment that used different doses of yohimbine (0.625, 1.25, 2.5 mg/kg) instead of shock delivery was conducted to assess whether the polymorphism played a role on relapse-like behavior. Results showed that while yohimbine elicited reinstatement throughout the range of doses examined in both the GG line and the Wistar strain, animals carrying the polymorphism did not do so following injection of 2.5 mg/kg. This was likely due to highly stressed state of these rats and suggests that spontaneously occurring mutation at the CRF1-R gene may mediate an increased vulnerability to stress and possibly, mal-adaptive responses to intense stress exposure. MsP rats have anxiety and depression-like traits which are congruent to clinical alcoholism. Studies have shown that very high CRF1-R activation results in a passive behavior in anxiety models (Zhao et al., 2007; Tovote et al., 2010). As speculation, this inference could be extrapolated to our results where the AA rats, due to over-activated CRF signaling, were unable to reinstate responding at the highest yohimbine dose that may be able to further engage CRF system. However, by these data it is not possible to determine whether the polymorphism specifically regulates aspects of stress-induced alcohol seeking since CRF system has been shown to play a role in the reinstatement of various drugs of abuse (Shaham et al., 1997; Erb et al., 1998; Zislis et al., 2007) and natural rewards (Ghitza et al., 2006).

Alcoholism is a multi-genic disorder in which genetic predisposition combined with environmental factors may contribute to vulnerability to abuse. Studies have shown an association between alcoholism and several gene polymorphisms. For example, polymorphisms in the serotonin 2A receptor gene, dopamine transporter, μ -opioid, or GABA A receptor genes have been associated with alcohol dependence (Oslin et al., 2003; Edenberg and Kranzler, 2005; Ramchandani et al., 2011; Bhaskar et al., 2012; Wrzosek et al., 2012). In addition, recent clinical investigation has indicated the CRF1-R locus to mediate genetic susceptibility for excessive drinking (Treutlein et al., 2006). Polymorphisms in the CRF

binding protein have also been associated with alcoholism (Enoch et al., 2008) and severity of stress-induced alcohol craving (Ray, 2011). Overall, these results suggest that incremental advances in treatment outcomes will result from an improved understanding of the genetic heterogeneity among patients with alcohol addiction that may ultimately lead to development of personalized treatments (Heilig et al., 2011). The present study may add to the field by providing evidence that spontaneously occurring mutations at the CRF1-R locus of msP animals acquire functional relevance leading to the expression of a particular phenotype which differs from that of animals with a normal genetic background.

CONCLUSION

Here we show that two previously identified point mutations at the CRF1-R gene locus do not seem to play a major role in the expression of the msP excessive drinking phenotype or stress-induced drinking. However, their occurrence appears to be associated to an increased sensitivity to the effects of the pharmacological blockade of CRF1-R and to the decreased threshold for stress-induced reinstatement of alcohol seeking behavior. Despite the fact that there is no evidence for a correspondence of the same polymorphisms in

msP rats and human alcoholics, these findings may have important pharmacogenetic implications because they suggest that only a subpopulation of alcoholics, the one characterized by specific mutation at CRF1-R gene or possibly carrying over-expression of the CRF1-R system, may respond to CRF1-R antagonists. Nowadays, this consideration is particularly relevant since there are ongoing clinical trials in which the efficacy of CRF1-R antagonists on alcohol addiction are under exploration (Zorrilla et al., 2013). On one hand, results of the present study may provide important inputs to the analysis of the clinical data that will soon be available. On the other hand, as it has already been demonstrated for naltrexone, a drug approved for the treatment of alcohol addiction, our results suggest that pharmacogenetic considerations are critical for appropriate clinical use of the agents (Heilig et al., 2011).

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The effects of maternal separation on adult methamphetamine self-administration, extinction, reinstatement, and MeCP2 immunoreactivity in the nucleus accumbens

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The maternal separation (MS) paradigm is an animal model of early life stress. Animals subjected to MS during the first 2 weeks of life display altered behavioral and neuroendocrinological stress responses as adults. MS also produces altered responsiveness to and self-administration (SA) of various drugs of abuse including cocaine, ethanol, and amphetamine. However, no studies have yet examined the effects of MS on methamphetamine (METH) SA. This study was performed to examine the effects of MS on the acquisition of METH SA, extinction, and reinstatement of METH-seeking behavior in adulthood. Given the known influence of early life stress and drug exposure on epigenetic processes, we also investigated group differences in levels of the epigenetic marker methyl CpG binding protein 2 (MeCP2) in the nucleus accumbens (NAc) core. Long-Evans pups and dams were separated on postnatal days (PND) 2–14 for either 180 (MS180) or 15 min (MS15). Male offspring were allowed to acquire METH SA (0.05 mg/kg/infusion) in 15 2-h daily sessions starting at PND67, followed by extinction training and cue-induced reinstatement of METH-seeking behavior. Rats were then assessed for MeCP2 levels in the NAc core by immunohistochemistry. The MS180 group self-administered significantly more METH and acquired SA earlier than the MS15 group. No group differences in extinction or cue-induced reinstatement were observed. MS15 rats had significantly elevated MeCP2-immunoreactive cells in the NAc core as compared to MS180 rats. Together, these data suggest that MS has lasting influences on METH SA as well as epigenetic processes in the brain reward circuitry.

Keywords: maternal separation, MeCP2, methamphetamine, early life stress, self-administration, nucleus accumbens, epigenetics

INTRODUCTION

Methamphetamine (METH) is an extremely potent and highly addictive psychostimulant and neurotoxic drug (Xie and Miller, 2009). METH abuse has many detrimental consequences for the individual and for society as a whole. For the individual, chronic abuse has negative neuropsychological and psychiatric effects, as well as modifying the healthy brain's functional and structural reward and learning neurocircuitry (Darke et al., 2008; Krasnova and Cadet, 2009; Taylor et al., 2013). METH abuse has been identified as both a strong risk factor for violence and high-risk sexual behaviors. In one study of a population between the ages of 18 and 25, 34.9% self-reported violent behavior while under the influence of METH, such as domestic violence, gang-related violence, and random acts of violence (Sommers et al., 2006). Individuals on METH often engage in unprotected vaginal and anal sex and also have sex with multiple partners (Springer et al., 2007). It is apparent that chronic METH use has a multitude of deleterious effects on both the users and society as a whole.

Since METH use has been associated with a variety of negative health and social consequences, it is important to identify

risk-factors associated with its abuse. Clinical research has shown early life stress, particularly childhood abuse and neglect, is a reliable risk factor that influences adult drug abuse (Anda et al., 2006; Messina et al., 2008). Childhood abuse or neglect is highly prevalent with ~1.5 million cases reported in 2010 (Child Maltreatment 2010, U.S. Department of Health and Human Services) and exposure to childhood abuse and household dysfunction has been related to an earlier onset of METH use in both men and women (Messina et al., 2008). There is substantial evidence that early life stress produces long-lasting changes in the brain, including regions that mediate reward-seeking and executive control, which may ultimately predispose the individual to increased propensity toward illicit drug use and addiction (Matthews et al., 2001; Meaney et al., 2002). Stressors during adulthood have also been implicated in affecting drug and alcohol self-administration (SA) (Piazza et al., 1990; Breese et al., 2011).

The rodent maternal separation (MS) model of early life stress is a commonly used paradigm to investigate the influences of early life events on addictive behaviors. In this paradigm, rodents undergo daily separation from maternal care during

critical postnatal development and later assessed for propensity toward addiction-like behaviors in adulthood. For example, pups undergoing MS for several hours exhibit depression-like symptoms, high anxiety-like behavior, exaggerated neuroendocrinological responses to stress, and have a high preference for ethanol (Huot et al., 2001). MS has been reported to also alter the reinforcing effects of cocaine, amphetamine, and morphine (Vazquez et al., 2005; Moffett et al., 2006; Der-Avakanian and Markou, 2010). However, only a few reports have been published on the effects of MS on adult METH-seeking behavior. In one study, MS failed to produce a significant increase in adolescent METH conditioned place preference (CPP) (Faure et al., 2009), while another study demonstrated that MS attenuated METH CPP in adolescents (Dimatelis et al., 2012a). MS has also been shown to produce a sex- and dose-dependent increase in locomotor and stereotypy responses to METH in adolescent rats (Pritchard et al., 2012). To our knowledge, however, there are no reports to date on the effect of MS on adult intravenous (i.v.) METH SA, extinction, and reinstatement. Given the negative impact of METH abuse and the relationship observed between MS and other drugs of abuse, more research in this area is warranted.

Emerging evidence suggests a strong role of epigenetics in regulating gene transcription based on early experiences that in turn modulate brain systems and behavior into adulthood. Many neural systems implicated in drug addiction are influenced by MS, such as the hypothalamic pituitary adrenal (HPA) axis (Plotsky and Meaney, 1993), endocannabinoid system (Romano-López et al., 2012), monoaminergic systems (Matthews et al., 2001; Ploj et al., 2003; Dimatelis et al., 2012b), and growth factors such as BDNF (Bolaños and Nestler, 2004; Lippmann et al., 2007). Recent studies implicate epigenetic modifications as a mechanism behind these changes in rodents, non-human primates, and humans (McGowan et al., 2009; Murgatroyd et al., 2009; Kinnally et al., 2011). For example, Murgatroyd et al. (2009) showed that MS induced hypomethylation of the arginine-vasopressin (Avp) enhancer, subsequently causing upregulation of Avp expression and a hyper-responsive HPA axis. Additionally, maternal care has been implicated in DNA methylation and corresponding changes in glucocorticoid receptor (GR) expression levels in the hippocampus (Weaver et al., 2004). Furthermore, adult rats exposed to early life stress have demonstrated reduced BDNF in the prefrontal cortex correlated with hypermethylation of the BDNF IV promoter region (Roth et al., 2009). Indeed, these studies suggest that early life experiences are influencing epigenetic markers that modulate multiple brain systems implicated in drug vulnerability.

Interestingly, epigenetic factors are also altered by drug exposure and can influence drug intake, behavioral, and neural responses (Renthal and Nestler, 2008; Robison and Nestler, 2011; Lewis and Olive, in press). For example, trimethylation of histone H3 lysine 4 (H3K4) at the promoter region of a chemokine receptor type 2 (CCR2), a gene implicated in locomotor sensitization, has been associated with METH-induced hyperlocomotion in mice (Ikegami et al., 2010). Additionally, cocaine increases methyl CpG binding protein 2 (MeCP2) expression in multiple brain regions of the rat (Cassel et al., 2006) and MeCP2 has been implicated in cocaine and amphetamine reward and reinforcement (Deng et al., 2010; Im et al., 2010). Specifically, Deng et al.

(2010) found that virally mediated ablation of MeCP2 expression in the nucleus accumbens (NAc) increased the conditioned rewarding effects of amphetamines, whereas overexpression of MeCP2 in the NAc decreased amphetamine reward. Furthermore, Im et al. (2010) showed that cocaine intake was reduced after knockdown of MeCP2 expression in the dorsal striatum. Hence, recent studies suggest that the predisposition of one's epigenetic phenotype may influence their behavioral response to drugs of abuse while exposure to drugs of abuse also modulates their epigenetic phenotype.

Although MS and psychostimulants have been shown to individually affect epigenetic factors such as MeCP2, and MeCP2 has been implicated in drug seeking behavior, it is yet to be determined if MS and, specifically, METH also interact to affect epigenetic factors. Therefore, the goal of the present study was to investigate the relationship between early life stress, METH SA, extinction and reinstatement, and MeCP2 expression in the NAc. We hypothesized that MS would increase susceptibility to the acquisition of METH SA, impair extinction learning, and increase cue-induced reinstatement. We also predicted that MeCP2 expression in the NAc would be negatively correlated with levels of METH SA.

MATERIALS AND METHODS

All experimental and surgical procedures were carried out in adherence to the National Institutes of Health *Guide for the Care and Use of Laboratory Animals* (National Research Council, 1996) and approved by the Institutional Animal Care and Use Committee of Arizona State University.

ANIMALS AND MATERNAL SEPARATION PROCEDURES

Pregnant dams were purchased from Charles River Laboratories and arrived on gestational day 12 (GD12). Dams were housed individually in standard polycarbonate cages in a temperature and humidity controlled room with food and water available *ad libitum*. Beginning on GD20 (range of gestation 21–23 days) cages were checked for delivery of pups three times a day. Litters were culled to a maximum size of 12 immediately after discovery. Litter sizes ranged from 10 to 12 with one litter at eight due to pup attrition. The litter sex ratios were left natural with an average of 7/6 male/female ratio across all litters. Day of birth was considered postnatal day 0 (PND0).

Litters were randomly assigned to one of two conditions: MS for 180 min per day (MS180) or the handled group, 15 min per day (MS15). After pup attrition due to filicide, and exclusion of animals that lost catheter patency during the experiment, the MS15 ($n = 9$) condition had three litters with one to four male pups per litter and the MS180 ($n = 17$) group had five litters with two to five male pups per litter that were used in the behavioral testing. The separation procedure began on PND2. At 8:00 a.m. (reverse light cycle, lights off at 7:00 a.m.) the dam was removed from the home cage and placed into a new cage with fresh bedding. The pups were then removed and placed into a separation cage kept in an isolated room. Heat lamps were set over the separation cages and maintained at 30 ± 0.5 to 32 ± 0.5 °C to control for hypothermic conditions. The pups were left unattended during the corresponding separation period then returned to the home-cage immediately prior to the dams return.

During PND15–19 litters were left undisturbed, weaned on PND21 into same sex group housing, and pair housed with a sibling on PND45. After separation procedures rats were left undisturbed with the exception of once a week cage cleaning performed by Department of Animal Care and Treatment employees. Females were not used for the remainder of the study.

SURGICAL PROCEDURES

Male rats were implanted with i.v. catheters into the jugular vein on PND60 ± 1 day. Rats were anesthetized with isoflurane (2% v/v, Butler Schein Animal Health, Dublin, OH, USA) vaporized in oxygen at a flow rate of 2 l/min. Rats received pre-incision injections of buprenorphine (0.05 mg/kg, s.c., Reckitt Benckiser, Richmond, VA, USA) and meloxicam (1 mg/kg, s.c., Boehringer Ingelheim, St. Joseph, MO, USA). Surgical sites were shaved and cleaned with 1% iodine. A ~2 cm incision was made in order to isolate the right or left jugular vein. A sterile silastic catheter filled with 100 U/ml heparin was inserted 2.5 cm into the vein. The catheter was secured to the surrounding tissue with sutures, and the opposite end of the catheter was tunneled subcutaneously to the dorsum where it exited the skin between the scapulae. The catheter was secured to the surrounding tissue by sutures and a mesh collar attached to a threaded vascular access port (Plastics One, Roanoke, VA, USA). The wound was then treated with 0.2 ml bupivacaine hydrochloride (0.25% v/v), closed with nylon sutures (Ethicon, San Lorenzo, Puerto Rico) and topically treated with topical lidocaine and a triple antibiotic gel. The access port was sealed with a piece of Tygon tubing closed at one end and a threaded protective cap (Plastics One). Rats were given small portions of sweetened cereal to facilitate postsurgical rehabilitation. Following surgical procedures, rats were allowed at least 7 days of recovery and received daily i.v. infusions of 0.2 ml Timentin and 0.2 ml heparin to minimize infections and maintain catheter patency.

SELF-ADMINISTRATION APPARATUS

Behavioral testing was conducted in SA chambers (ENV-008; Med Associates Inc., St. Albans, VT, USA) that were interfaced to a PC computer and located in sound attenuating melamine enclosures equipped with ventilation fans. The chambers (28 cm × 27 cm × 22 cm) consisted of two aluminum walls and two clear Plexiglas walls. The ceiling was also constructed of Plexiglas with a 3-cm diameter hole cut in the center to allow a drug delivery tether to pass through. The floor consisted of parallel stainless steel rods (0.48 cm diameter) placed 1.6 cm apart. Each chamber contained a house light located 1.25 cm from the ceiling, a Sonalert speaker that provided an auditory stimulus (~65 dB, 2900 Hz) during drug infusion, one retractable response lever, one stationary response lever, and two 2.5 cm stimulus cue lights located above each response lever. The retractable lever was designated the active lever as an additional cue for drug availability. Response levers were located 7 cm above the floor of the chamber. Centered between the levers was a 5 cm × 5 cm food pellet receptacle. Each chamber was outfitted with a single-speed automated drug infusion pump (PHM-100; Med Associates). Tygon microbore tubing (0.5 mm ID) was used to connect the syringe containing the drug solution to a single-channel

liquid swivel that was mounted to the top of the chamber enclosure. The swivel was then connected to the vascular access port using Tygon microbore tubing that was protected by a stainless steel tether (Plastics One, Roanoke, VA, USA). All experimental parameters were controlled using Med PC IV software (Med Associates).

METHAMPHETAMINE SELF-ADMINISTRATION, EXTINCTION, AND CUE-INDUCED REINSTATEMENT

Beginning on PND67 male rats underwent 2 h daily SA sessions whereby presses on one of the levers (designated the active lever) resulted in delivery of METH (0.1 mg/kg per infusion, delivered in a volume of 0.06 ml over a 2-s period) on a fixed ratio 1 (FR1) schedule of reinforcement. Each METH infusion delivery was followed by a 20-s timeout period, during which additional active lever presses were recorded but produced no drug infusions. Each infusion was accompanied by concurrent illumination of a stimulus light located directly above the active lever, and presentation of an auditory stimulus for 2 s. SA sessions were conducted 7 days per week for 15 consecutive days. METH hydrochloride (Sigma Aldrich, St. Louis, MO, USA) was dissolved in 0.9% sterile saline for i.v. SA.

Next, all animals were subjected to extinction training, whereby presses on the active lever no longer produced any programmed consequences (i.e., no tone/light presentation and no activation of the syringe pump). Extinction training sessions were 2 h in length and were conducted for 15 consecutive days. On the day immediately following the last extinction session, all rats underwent cue-induced reinstatement, whereby presses on the active lever produced the tone and light cue previously presented during METH infusion, but did not deliver any drug solution. Presses on the inactive lever did not produce any programmed consequences throughout the experiment.

TISSUE PREPARATION AND IMMUNOHISTOCHEMISTRY

Immunochemistry procedures were carried out according to standard procedures. Brain tissues were collected on the day following the reinstatement test session. Rats were deeply anesthetized with 150 mg/kg i.p. sodium pentobarbital and perfused transcardially with ice-cold 0.1 M phosphate buffered saline (PBS) followed by ice-cold 4% w/v paraformaldehyde (PFA) in PBS, pH 7.4. Brains were removed, post-fixed in 4% PFA overnight and stored in 30% w/v sucrose in PBS. Brains were sectioned (35 µm thickness) in the coronal plane on a cryostat (Leica CM1900, Bannockburn, IL, USA). Sections were then rinsed 3 × 10 min in PBS containing 0.1% v/v Tween 20 (PBST) followed by incubation in PBST containing 5% v/v normal donkey serum for 1 h. Sections were then incubated overnight under gentle agitation at 4°C in PBST containing a rabbit anti-MeCP2 polyclonal antibody (PA1-887; 1:200 dilution; Thermo Scientific) and then rinsed 3 × 10 min in PBS. Sections were then incubated in PBS containing Alexa Fluor 488 conjugated donkey anti-rabbit IgG antisera (1:200; Jackson ImmunoResearch, West Grove, PA, USA) and then rinsed 3 × 10 min in PBS. Sections were mounted on microscope slides using VectaShield mounting media (Vector Labs, Burlingame, CA, USA), coverslipped, and stored in darkness until imaging.

IMMUNOREACTIVITY ANALYSIS

Investigator was blind to treatment condition during microscopic analysis. Sections were visualized at $200\times$ magnification using a Leica DMLB epifluorescence microscope equipped with a digital camera that was interfaced to a PC. Digital images of the selected area were obtained using Leica IM50 software and counted by two observers blind to treatment conditions using the ImageJ Tool software package (Rasband, W.S., ImageJ, U.S. National Institutes of Health, Bethesda, MD, USA). The average background removed by the software was 50. A total of six sample areas of the NAc were counted for each subject (i.e., one sample area/two hemispheres/three sections). NAc core area was chosen based on the corpus callosum as a landmark. Care was taken to ensure that the sections for each subject that were labeled came from the same anatomical level within each plane. The counts from all six sample areas from a particular region were averaged to provide a mean number of immunoreactive cells per animal to be used as an $n = 1$ for statistical analysis (Thiel et al., 2009). Inter rater reliability was 89%.

DATA ANALYSIS

The alpha level was set at 0.05 for all statistical analyses and analyzed using IBM SPSS Statistics 20 software. A repeated-measures ANCOVA with litter as the factor and rearing condition as the covariate was used to test for litter effects. Separate repeated-measures ANOVAs with rearing condition as a between-subjects factor and session as a within-subjects factor were used to analyze active and inactive lever presses during SA and extinction. The correlation between METH-seeking behavior and MeCP2 expression within the NAc was calculated using Pearson's product correlation.

RESULTS

LITTER EFFECTS

A repeated-measures ANCOVA was conducted by litters and controlling for rearing condition on the number of METH infusions per session over 15 days revealed no significant pre-existing differences between litters ($p = 0.23$).

METH SELF-ADMINISTRATION

A total of $n = 4$ animals were removed from the MS15 and MS180 groups respectively due to loss of catheter patency. Repeated-measures ANOVA revealed a significant main effect of rearing condition on the number of METH infusions per session [$F(1,24) = 9.83, p = 0.004$] (see Figures 1 and 2), as well as the number of total active lever presses per session [$F(1,24) = 13.79, p = 0.001$], MS180 had more active lever presses and received more infusions than MS15. No group differences in the total number of inactive lever presses were observed [$F(1,17) = 38.76, p = 0.425$]. However, in both rearing conditions we noted a time-dependent increase in inactive lever pressing across SA sessions (see Table 1), and we attribute this to be a result of non-specific motor activity that resulted from increasing level of METH SA.

EXTINCTION

For both groups, extinction training produced a significant reduction in the number of active lever presses when comparing the average of the final 2 days of Ext to the average of the final 2 days

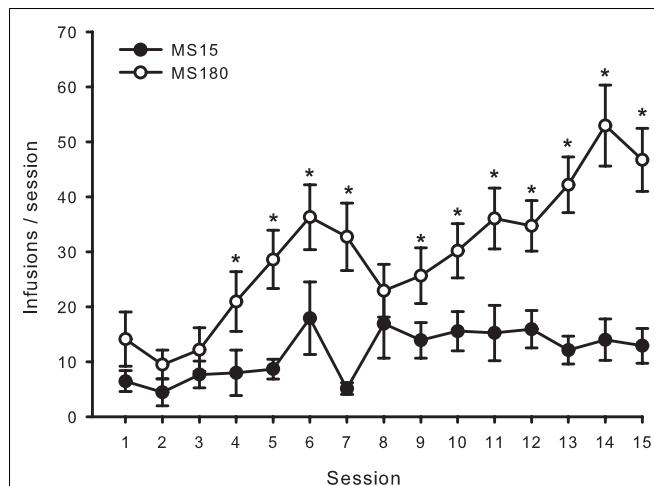


FIGURE 1 | Average number of METH SA infusions per 2-h session for 15 consecutive days in MS15 ($n = 9$) and MS180 ($n = 17$) rats. Data points represent group mean \pm SEM. * $p < 0.05$ vs. MS15.

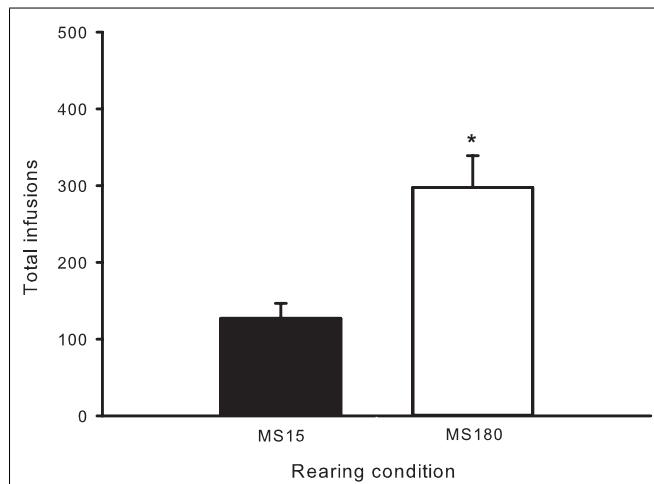


FIGURE 2 | Total number of METH infusions earned across 15 daily 2-h sessions in MS15 ($n = 9$) and MS180 ($n = 17$) rats. Data points represent group mean \pm SEM. * $p < 0.05$ vs. MS15.

of SA ($n = 26$) [$t(50) = 5.10, p < 0.0001$]. Repeated-measures ANOVA revealed no significant group differences (MS15 $n = 9$, MS180 $n = 17$) in rate of extinction of active lever pressing [$F(1,20) = 0.94, p = 0.34$] (see Figure 3). However, a significant group difference in the number of inactive lever presses during extinction training [$F(1,24) = 5.47, p = 0.028$] was observed, with rats in the MS15 group emitting more inactive lever presses over the 15-day extinction period compared to the MS180 group (see Table 1).

CUE-INDUCED REINSTATEMENT

Cue-induced reinstatement was observed in both groups as assessed by the number of active lever presses (averaged across the final 2 days of Ext) compared to active lever presses during the reinstatement session [$t(50) = -4.46, p < 0.0001$]. However, there

Table 1 | Mean \pm SEM active or inactive lever presses across 15 METH SA sessions (in 5 session bins), 15 extinction sessions, and the cue-induced reinstatement session.

	MS15	MS180
SELF-ADMINISTRATION		
Active lever presses (sessions 1–5)	35 \pm 11	85 \pm 15
Active lever presses (sessions 6–10)	51 \pm 11	111 \pm 19
Active lever presses (sessions 11–15)	70 \pm 14	213 \pm 23
Inactive lever presses (sessions 1–5)	122 \pm 46	73 \pm 18
Inactive lever presses (sessions 6–10)	97 \pm 25	101 \pm 25
Inactive lever presses (sessions 11–15)	144 \pm 36	167 \pm 36
EXTINCTION		
Active lever presses	147 \pm 22	151 \pm 10
Inactive lever presses	302 \pm 100	117 \pm 16*
REINSTATEMENT		
Active lever presses	18 \pm 4	24 \pm 3
Inactive lever presses	7 \pm 3	8 \pm 2

*Indicates $p < 0.05$ vs. inactive lever presses during extinction in the MS15 group.

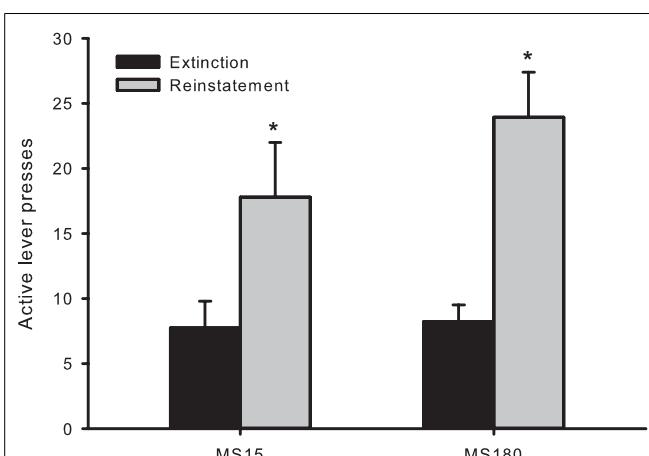


FIGURE 4 | Number of active lever presses across the final 2 days of extinction training and during cue-induced reinstatement in MS15 ($n = 9$) and MS180 ($n = 17$) rats. Data points represent group mean \pm SEM. * $p < 0.05$ vs. extinction.

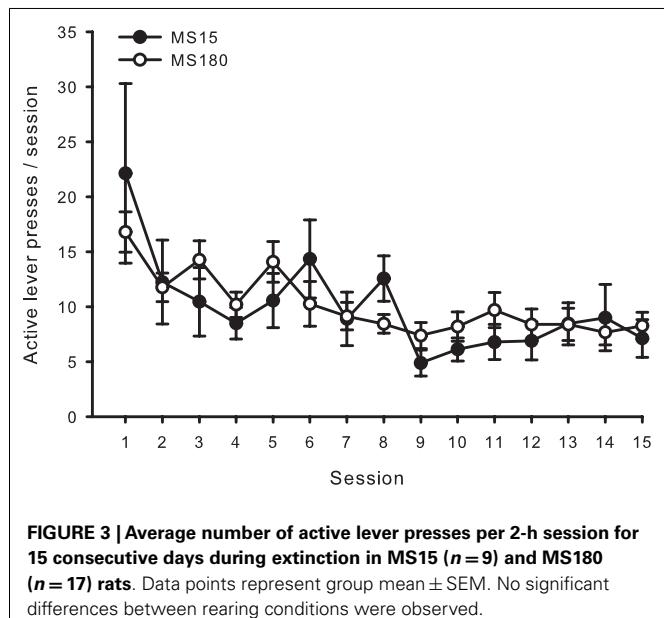


FIGURE 3 | Average number of active lever presses per 2-h session for 15 consecutive days during extinction in MS15 ($n = 9$) and MS180 ($n = 17$) rats. Data points represent group mean \pm SEM. No significant differences between rearing conditions were observed.

was no significant difference between the groups for the number of active lever presses during reinstatement testing [$F(1,24) 1.134$, $p = 0.298$] (see Figure 4).

MeCP2 IMMUNOREACTIVITY

A total of ten pups from five different litters (three per rearing condition) were used in the analysis of the MeCP2 data. There was a highly significant difference in MeCP2 immunoreactivity between MS15 rats and MS180 rats in the NAc core, $p < 0.001$, with MS15 expressing more labeled profiles than did MS180 (see Figure 5). There was also a negative correlation between MeCP2 immunoreactivity and number of total active lever presses during 15 days of SA, $r = -0.839$, $p = 0.003$ ($n = 5$ per rearing condition)

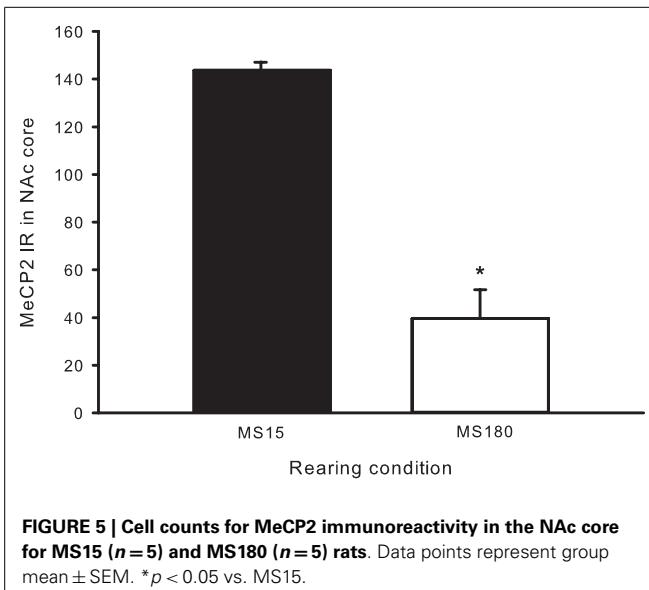


FIGURE 5 | Cell counts for MeCP2 immunoreactivity in the NAc core for MS15 ($n = 5$) and MS180 ($n = 5$) rats. Data points represent group mean \pm SEM. * $p < 0.05$ vs. MS15.

(see Figure 6). Rats emitting fewer lever presses expressed higher numbers of labeled profiles in the NAc core (Figure 7).

DISCUSSION

Early life maternal care is known to influence a multitude of neurological, endocrine, epigenetic, and behavioral outcomes in adulthood (Francis et al., 1999; Roth, 2012). Our findings contribute to the literature by suggesting that MS causes alterations that influence vulnerability to drug abuse (Moffett et al., 2007), in this case METH SA. For the first time, our study suggests that either repeated and prolonged MS leads to increased vulnerability to METH intake or that minimal MS protects against adult METH SA vulnerability. This is evidenced by our findings that MS180 rats showed higher levels of METH SA over 15 daily sessions compared to MS15. These findings are in agreement with

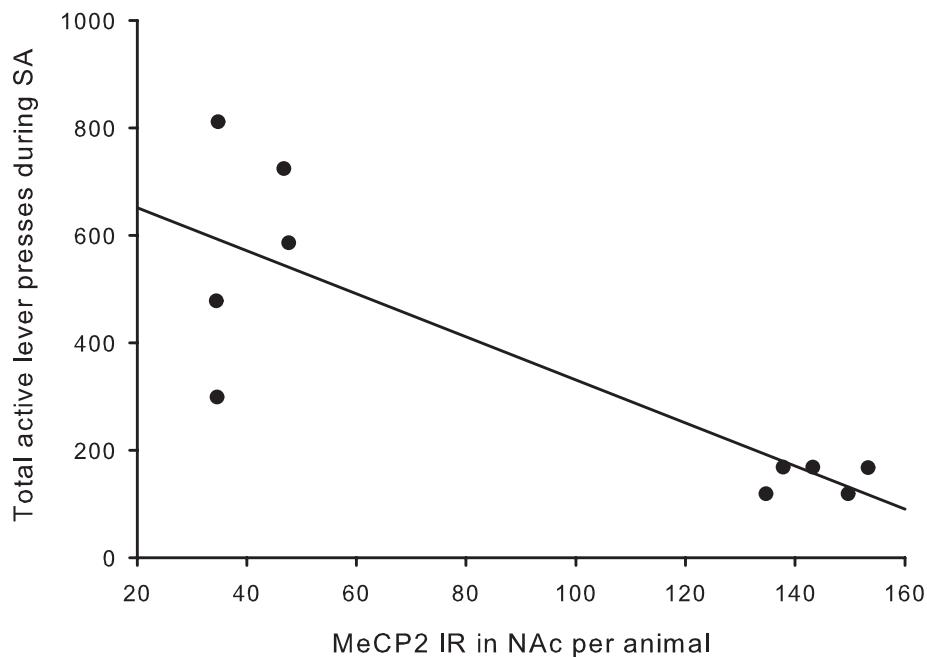


FIGURE 6 | Number of active lever presses negatively correlated with MeCP2 immunoreactivity in the NAc core ($r = -0.836$, $p = 0.003$).

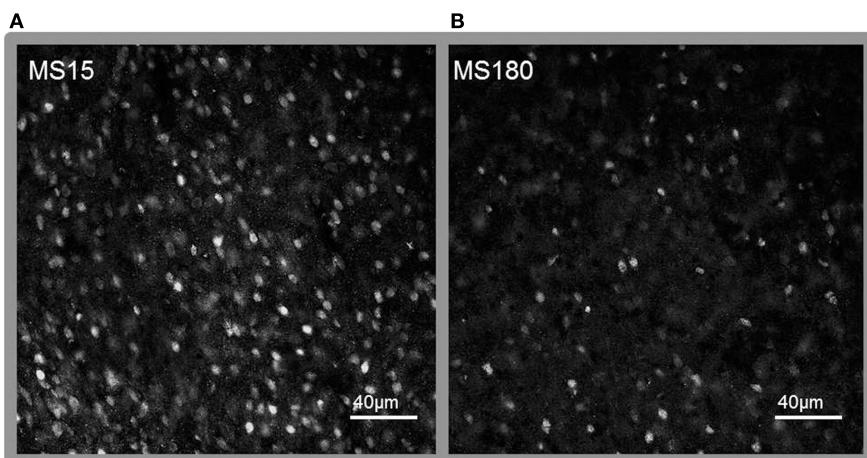


FIGURE 7 | Representative photomicrographs of immunolabeling for MeCP2 in the NAc core. (A) MS15, (B) MS180. Scale bar represents 40 μ m.

previous studies examining effects of MS on intake of cocaine, morphine, amphetamine, and ethanol (Huot et al., 2001; Vazquez et al., 2005; Moffett et al., 2006; Der-Avakanian and Markou, 2010). Additionally, we noted that MS15 rats demonstrated a preference for the inactive lever over the active lever during SA. While the reason for this is currently unknown, a possible explanation for this counterintuitive observation is different non-specific behavioral response to METH SA or enhanced operant sensation seeking in the MS15 group.

The possible protective or resilient effect in the MS15 group provides an interesting comparison. MS15 adults have shown

reduced responding for cocaine when compared to non-separated controls (Flagel et al., 2003; Moffett et al., 2006). Since our data set does not include a non-handled control it is difficult to distinguish whether the SA behavior is reflective of increased vulnerability in the MS180, protective effects in MS15, or both, but the robust differences are clear. The effects of brief and prolonged MS we found on METH SA fits the inverted U-shape resilience function usually found in drug abuse-related behaviors after MS (Neisewander et al., 2012). It has been postulated that the protective effects seen in the MS15 group may be due to the increased maternal care post separation (Marmendal et al., 2004; Francis and Kuhar, 2008).

Many have argued that the MS15 rearing condition is more ethologically relevant than the standard non-separated controls since food foraging and other activities would necessitate the dam to leave the litter for brief amounts of time.

The current literature on MS and drug reward, reinforcement, and SA demonstrates that MS180 and MS15 tend to be the most divergent groups when compared to the various controls. For this reason, in the present study, we did not include a non-separated control group in order to increase validity and reliability in our data and improve interpretation in comparison with other studies. Additionally, there are large inconsistencies across laboratories with regards to procedures for breeding, culling, fostering, litter sex ratios, separation duration and days, the order in which dams and pups are returned to the home cage, controlled temperature settings outside of the home cage, PND of weaning, and post-weaning housing conditions prior to and during manipulations. Furthermore, the use of control groups (including MS0, non-handled, and Animal Facility Reared) is highly variable. The issues concerning different control groups and variations in procedures have previously been discussed by others (Matthews et al., 1999, 2001). Jaworski et al. (2005) provides a well laid out table comparing different experimental and control groups commonly used. Recently, a trend toward comparing only two groups has emerged. For example, Matthews et al. (2001) used a MS2 and MS360, Ploj et al. (2003) only used MS15 and MS360, and Murgatroyd et al. (2009) used non-disturbed and MS180 with mice. Our current paradigm met the goal of optimizing the differences between conditions and is consistent with the type of two group design that is currently gaining momentum in this field.

For almost a decade, it has been known that maternal care during early neurological development influences DNA methylation that is directly responsible for HPA reactivity to stress throughout the lifespan. Weaver et al. (2004), showed that offspring of low licking/grooming and arch-back nursing (LG-ABN) mothers had higher levels of GR DNA methylation, decreased expression of the GR gene, a heightened HPA stress response, and displayed more fear-like behavior. Since this pioneering study, many laboratories have demonstrated various alterations in DNA methylation in adulthood following early life stress. For example, early life stress has been associated with increased global methylation, as well as increased methylation at the regulatory region of serotonin transporter (5-HTT), and higher behavioral stress responses in female macaques (Kinnally et al., 2011). Early life stress has also been found to induce hypomethylation of the Avp enhancer in male mice with a subsequent increased HPA reactivity (Murgatroyd et al., 2009). Although the brain region, gene, and direction in which DNA methylation is altered by early life stress is diverse, the outcome tends to remain constant, with a hyperactive HPA stress response and/or increased behavioral stress reactivity in adulthood. Since an overactive HPA axis and early life stress are strongly associated with a higher risk for drug addiction, additional research is needed to investigate if early life stress mediates epigenetic factors influencing the reward network that may predispose the animal to a higher propensity toward drug intake.

Methyl CpG binding protein 2 is a methylated DNA binding protein that attracts histone deacetylases (HDACs) and is commonly associated with specific gene silencing and repression of

transcription (Jones et al., 1998), although it may also act to mediate transcription on a genome wide manner as well (Skene et al., 2010). Interestingly, drug exposure mediates levels of MeCP2 in various brain regions and manipulating MeCP2 levels prior to drug exposure can affect the drugs rewarding properties (Cassel et al., 2006; Deng et al., 2010; Im et al., 2010). Therefore we investigated if early life stress mediated MeCP2 levels in the NAc core, a brain region associated with the initial rewarding effects of drugs of abuse (Taylor et al., 2013). We observed group differences in MeCP2 immunoreactivity in the NAc core, such that MS15 rats expressed significantly higher levels of MeCP2 compared to MS180 rats.

Our results suggest a difference in DNA methylation in the NAc; however, the precise gene(s) where methylation has occurred and is bound by MeCP2 was not determined. Previous studies have suggested that MS rats may have altered DA, NE, and 5-HT function and GABA and glutamate levels in the NAc (Hall et al., 1999; Matthews et al., 2001; Romano-López et al., 2012). It has also been demonstrated that NAc protein expression is extensively changed after both MS and METH exposure (Dimatelis et al., 2012b). Therefore, the difference in methylated DNA may be associated with any number of genes involved in these systems in the NAc, and identification of methylated genes is worthy of further investigation. It is important to note that Romano-López et al. (2012) did not find a difference in MeCP2 levels in the NAc between their separated and non-separated pups using immunoblotting techniques. Thus, quantification by immunohistochemistry may not reveal the same results as by immunoblotting. Additionally, the differences in separation procedures and drug exposure potentially played a role in these contrary results.

The negative correlation between active lever presses and MeCP2 immunoreactivity in the NAc fits with Deng et al.'s (2010) study in which MeCP2 in the NAc had an inverse relationship with amphetamine CPP. This data warrants future directions in order to explicate this relationship, for example, additional studies are needed to determine the influence of rearing condition on MeCP2 levels in the NAc in drug-naïve animals as well as the influence of varying levels of METH exposure. Also worthy of future studies is the possibility that an enriched environment (EE) during adolescence could reverse the detrimental effects of MS on METH SA in adulthood and if it has a mediating effect on MeCP2 levels in the NAc. EE during an abstinence phase of cocaine showed protective effects to cue-induced reinstatement (Thiel et al., 2009) and reduced CPP to cocaine (Solinas et al., 2010). More recently, it was demonstrated that EE during different developmental time points can protect against METH SA acquisition and cue-induced reinstatement (Lü et al., 2012).

Few studies have investigated the effect of MS on drug relapse paradigms yet, there is little data that suggests early life stress may increase relapse vulnerability (Neisewander et al., 2012). Contrary to existing literature and our predictions that MS would influence extinction rates and cue-induced reinstatement, we failed to detect an effect. It is possible that we may have detected an extinction or reinstatement effect if the rats were trained on a progressive ratio or a higher FR of reinforcement since these schedules produce higher response rates. On the other hand, failing to find an effect may be indicative that rearing condition only influenced the

initial rewarding or reinforcing effects of METH as opposed to the subsequent course of addiction, abstinence, and relapse. Also, we only tested for cue-induced reinstatement, future research is necessary to determine group differences in stress and drug induced reinstatement.

In summary, we observed that early life stress in the form of extended MS produced an increased vulnerability to adult METH SA in adult male rats or that a minimal daily MS led to resilience in adult METH SA. Increases in METH intake were paralleled by decreased MeCP2 immunoreactivity in the NAc core. Surprisingly,

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Behavioral, biochemical, and molecular indices of stress are enhanced in female versus male rats experiencing nicotine withdrawal

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Stress is a major factor that promotes tobacco use and relapse during withdrawal. Although women are more vulnerable to tobacco use than men, the manner in which stress contributes to tobacco use in women versus men is unclear. Thus, the goal of this study was to compare behavioral and biological indices of stress in male and female rats during nicotine withdrawal. Since the effects of nicotine withdrawal are age-dependent, this study also included adolescent rats. An initial study was conducted to provide comparable nicotine doses across age and sex during nicotine exposure and withdrawal. Rats received sham surgery or an osmotic pump that delivered nicotine. After 14 days of nicotine, the pumps were removed and controls received a sham surgery. Twenty-four hours later, anxiety-like behavior and plasma corticosterone were assessed. The nucleus accumbens (NAcc), amygdala, and hypothalamus were examined for changes in corticotropin-releasing factor (CRF) gene expression. In order to differentiate the effects of nicotine withdrawal from exposure to nicotine, a cohort of rats did not have their pumps removed. The major finding is that during nicotine withdrawal, adult females display higher levels of anxiety-like behavior, plasma corticosterone, and CRF mRNA expression in the NAcc relative to adult males. However, during nicotine exposure, adult males exhibited higher levels of corticosterone and CRF mRNA in the amygdala relative to females. Adolescents displayed less nicotine withdrawal than adults. Moreover, adolescent males displayed an increase in anxiety-like behavior and an up-regulation of CRF mRNA in the amygdala during nicotine exposure and withdrawal. These findings are likely related to stress produced by the high doses of nicotine that were administered to adolescents to produce equivalent levels of cotinine as adults. In conclusion, these findings suggest that intense stress produced by nicotine withdrawal may contribute to tobacco use in women.

Keywords: sex difference, adolescent, adolescence, CRF, nucleus accumbens, tobacco

INTRODUCTION

Epidemiological reports have indicated that women are more susceptible to tobacco use as compared to men (Perkins, 2009; Lombardi et al., 2011; Rahamanian et al., 2011). For example, women consume more tobacco products relative to men (Hammond, 2009; Oh et al., 2010). Women also exhibit higher relapse rates and are less likely to benefit from nicotine replacement therapy (NRT) than men (Perkins, 2001; Cepeda-Benito et al., 2004; Schnoll et al., 2007; Perkins and Scott, 2008; Piper et al., 2010). During abstinence from tobacco, women also report more intense symptoms of withdrawal than men (Heishman et al., 2010; Nakajima and al'Absi, 2012; Perkins et al., 2013). There is also evidence to suggest that the enhanced susceptibility to tobacco use in women begins at a young age. For example, a recent survey revealed that the daily consumption of tobacco is higher in adolescent females than males [Centers for Disease Control and Prevention (CDC), 2012]. During abstinence from tobacco, adolescent females also report higher levels of stress and relapse rates as compared to adolescent males (Anderson and Burns, 2000; Colby et al., 2000; Dickmann

et al., 2009). Regardless of age, females are at a higher risk of developing tobacco-related diseases than males (Langhammer et al., 2000, 2003; Kiyohara and Ohno, 2010). Despite the magnitude of this problem, there is a critical knowledge gap regarding the factors that contribute to enhanced vulnerability to tobacco use among women.

Stress has emerged as a major factor that contributes to tobacco use in women. For example, women report more often than men that the anxiety-reducing effects of cigarettes are the main reason for smoking (Perkins and Scott, 2008; Piper et al., 2010; Perkins et al., 2012). Although tobacco is used to cope with anxiety, long-term tobacco use is also motivated by avoiding negative affective states, such as stress, that emerge during withdrawal (Aronson et al., 2008; Hughes and Callas, 2010; Parrott and Murphy, 2012; Perkins et al., 2012). Accordingly, women also report higher levels of stress during abstinence from tobacco than men (Schnoll et al., 2007; Perkins and Scott, 2008; Xu et al., 2008; Perkins et al., 2012; Saladin et al., 2012). In addition, women display higher levels of cortisol (a biological marker of stress in humans) during tobacco

abstinence as compared to men (Hogle and Curtin, 2006). These studies suggest that stress is an important factor that contributes to tobacco use in women.

Pre-clinical evidence has established that the motivational properties of tobacco are due, in large part, to the presence of nicotine. A study comparing sex differences during withdrawal from nicotine demonstrated that female adult rats display more physical signs of nicotine withdrawal relative to males (Hamilton et al., 2009). Also, female adult mice display more anxiety-like behavior on the elevated plus maze during nicotine withdrawal as compared to males (Caldarone et al., 2008). Taken together, there is evidence at the clinical and pre-clinical levels to suggest that females experience higher levels of stress during nicotine withdrawal. However, there are several remaining questions with regard to the underlying neurobiology that modulates the contribution of stress to tobacco use in females.

The main neuroendocrine substrate of the stress response is the hypothalamic-pituitary-adrenal (HPA) axis (see Smith and Vale, 2006; Gallagher et al., 2008). When a stressor is experienced, corticotropin-releasing factor (CRF) is secreted from the hypothalamus that then stimulates adrenocorticotrophic hormone (ACTH) release from the pituitary gland. ACTH then simulates the release of corticosterone and other glucocorticoids from the adrenal cortex. Corticosterone serves as a major negative feedback that terminates HPA axis activity. Within the hypothalamus, corticosterone binds to nuclear glucocorticoid receptor II sub-units causing an inhibition of CRF mRNA synthesis. Studies comparing biological indices of stress produced by nicotine withdrawal have demonstrated that plasma levels of corticosterone and ACTH are increased in rats experiencing withdrawal from this drug (Rhodes et al., 2004; Semba et al., 2004; Lutfy et al., 2006). With regard to sex differences, female adult rats display elevated plasma levels of corticosterone and ACTH during nicotine withdrawal relative to males (Gentile et al., 2011; Skwara et al., 2012).

Recent theories of drug abuse have suggested that CRF plays a central role in the development of negative affective states that emerge during withdrawal (Koob and Volkow, 2010). Changes in CRF systems are hypothesized to occur within brain structures of the extended amygdala, including the central nucleus of the amygdala, and the nucleus accumbens (NAcc) (Koob, 2010; Bruijnzeel, 2012). Pre-clinical work with nicotine has supported this hypothesis, as CRF-like immunoreactivity is increased in the amygdala during nicotine withdrawal (George et al., 2007). Consistent with this, CRF mRNA levels are over-expressed in the central nucleus of the amygdala during nicotine withdrawal (Aydin et al., 2011). Also, administration of non-specific CRF-R1/R2 receptor antagonists into the amygdala or NAcc have been shown to reverse the deficits in brain reward function produced by nicotine withdrawal (Marcinkiewcz et al., 2009; Bruijnzeel et al., 2012). Collectively, these studies suggest that CRF systems within the NAcc and amygdala play an important role in mediating nicotine withdrawal. To our knowledge; however, no one has examined whether the influence of CRF systems on nicotine withdrawal is sex-dependent.

Thus, the goal of this study was to compare various biological and behavioral indices of stress during nicotine withdrawal in

female and male rats. Anxiety-like behavior was examined on the elevated plus maze and open-field tests. Plasma corticosterone levels, and changes in CRF gene expression in the amygdala and NAcc were also explored. CRF gene expression was also examined in the hypothalamus given the primary role of this structure in initiating stress responses. A sub-goal of this study was to examine whether sex differences in adult rats occur during the adolescent period of development. Thus, the biological and behavioral indices of stress produced nicotine withdrawal were also compared in *adolescent* male and female rats. In order to differentiate the effects of withdrawal from those produced by nicotine exposure, a separate cohort of rats from both age and sex groups did not experience withdrawal and were assessed with nicotine circulating in their system. Another important factor to consider when comparing the effects of nicotine across age and sex is differences in metabolism of this drug. Given this potential confound, an initial study was conducted to determine equivalent plasma levels of nicotine in female and male rats of both ages.

MATERIALS AND METHODS

ANIMALS

Male and female adult ($n = 92$) and adolescent ($n = 98$) Wistar rats were used. Rats were bred in the Psychology Department from a stock of out bred Wistar rats from Harlan, Inc. (Indianapolis, IN, USA). All rats were housed in groups of two to three per cage in a humidity- and temperature-controlled (20–22°C) vivarium using a 12-/12-hour light/dark cycle with lights off at 8:00 a.m. The home cages consisted of a rectangular Plexiglas® hanging cage (41.5 cm long × 17 cm wide × 21 cm high) with pine bedding. Rats had *ad libitum* access to standard rodent chow and water at all times except during testing. Adults were postnatal day (PND) 60 and adolescents were PND 28 at the start of the experiment. All rats were handled for approximately 5 min/day for 3 days prior to the start of experimentation. All procedures were approved by the UTEP Animal Care and Use Committee and followed the guidelines of the National Institutes of Health Guide for the Care and Use of Laboratory Animals.

NICOTINE EXPOSURE AND WITHDRAWAL

Rats were anesthetized with an isoflurane/oxygen mixture (1–3% isoflurane) and received a sham surgery or were surgically prepared with subcutaneous pumps that delivered nicotine continuously for 14 days. After 14 days of nicotine exposure, the pumps were surgically removed and control rats received another sham surgery. After pump removal, rats were returned to their home cages. Twenty-four hours later, rats were tested for various behavioral and biological measures of anxiety.

STUDY 1: ASSESSING NICOTINE METABOLISM ACROSS EXPERIMENTAL CONDITIONS

Nicotine metabolism was assessed indirectly by comparing cotinine levels in plasma from adolescent and adult male and female rats during exposure and withdrawal from nicotine. Adult rats received pumps that were appropriately sized for larger animals (4.5 mm in length; Alzet model 2ml2), whereas adolescents received either one or two pumps that were approximately half as small (2.5 mm in length; Alzet model 2002). Different doses

of nicotine were delivered for 14 days, as described below. Plasma samples were collected from tail blood on days 7, 10, and 14 of nicotine exposure. After 14 days of nicotine exposure, the pumps were surgically removed and plasma samples were collected 6, 12, and 24 h later.

Separate groups of rats were used to determine equivalent doses in adolescent and adult male and female rats. One group of male and female adults was prepared with pumps (model 2ml2) that delivered a nicotine dose of 3.2 mg/kg/day (expressed as base form) that produces robust physical and affective signs of withdrawal in adult rats (O'Dell et al., 2004). Given the fast growth rates and drug metabolism during adolescence, three groups of adolescent rats received pumps with different nicotine doses and experimental procedures. First, a group of male and female adolescents was prepared with one small pump (model 2002) that delivered 4.7 mg/kg/day of nicotine for 14 days. This dose was selected from previous work showing that adolescents implanted with a large pump (model 2ml2) require 1.5-fold higher doses of nicotine to produce equivalent levels in adult rats (O'Dell et al., 2006). Second, a group of male and female adolescents was prepared with one small osmotic pump containing 4.7 mg/kg/day of nicotine. Seven days later, the pump was replaced with a new pump that was re-adjusted for the rats' rapid weight gain. Last, a third group of male and female adolescents was prepared with two small pumps that each delivered 4.7 mg/kg/day each of nicotine for 14 days. This group received a total of 9.4 mg/kg/day of nicotine. Plasma cotinine levels were analyzed using commercially available 96-well plate ELISA kits (OraSure Technologies, Inc., Bethlehem, PA, USA). Standard curves were used to estimate plasma cotinine levels using a Spectra Maxplus spectrophotometer (Molecular Devices Inc., Sunnyvale, CA, USA).

STUDY 2: ASSESSING BEHAVIORAL AND BIOLOGICAL INDICES OF STRESS DURING NICOTINE EXPOSURE AND WITHDRAWAL

Adolescent and adult male and female rats received a sham surgery or were implanted with nicotine pumps (Alzet model 2ml2 for adults and two Alzet models 2002 for adolescents). Adult rats received a nicotine dose of 3.2 mg/kg/day (expressed as base form) for 14 days and adolescent rats received a total nicotine dose of 9.4 mg/kg/day (expressed as base form) for 14 days. To minimize stress produced by repeated tail vein blood sampling from study 1, separate groups of rats were used in this study.

After 14 days of nicotine exposure, the pumps were removed to induce spontaneous withdrawal. Twenty-four hours after pump removal, behavioral tests were conducted to compare physical signs of withdrawal and anxiety-like behavior, using the elevated plus maze and open-field tests. After behavioral testing, the brains were removed and analyzed for CRF mRNA levels using qRT-PCR. Blood samples were also collected and analyzed for corticosterone levels. To examine anxiety-like behavior and biological markers of stress during nicotine exposure, separate cohorts of rats did not have their pumps removed and were tested with nicotine circulating in their system on the 14th day of nicotine exposure. At the time of testing, adult rats were PND 75 and adolescent rats were PND 43.

Rats were tested for anxiety-like behavior using the elevated plus maze procedure. The animals were first acclimated to the

testing room in a rectangular Plexiglas® cage for 20 min. After 20 min, the rats were placed onto the elevated plus maze, which was in the middle of the testing room beneath a red light. The plus maze apparatus consisted of four arms (10 cm × 50 cm) that were elevated to a height of 50 cm above the ground. The closed arms had 40 cm high walls around them, and the open arms did not have walls that enclosed the open platforms. At the beginning of the test, the rats were placed into the maze facing the open arm and time spent in each arm was recorded for 5 min. The maze was thoroughly cleaned with 70% ethanol and then water between each individual test. Rats that fell off the maze were excluded from the study.

After elevated plus maze testing, the rats were returned to the isolation cage for 10 min. The open-field apparatus consisted of a clear Plexiglas® box (60 cm × 60 cm × 15 cm) that was positioned in the middle of an adjacent room beneath a red light. The walls of the maze were clear and the floor was divided into 25 equal squares (12 cm × 12 cm; 16 peripheral and 9 center squares). At the start of the test, rats were placed in the center of the open field, and time spent in the center versus corner areas was recorded for 5 min.

After the open-field test, the rats were returned to the isolation cage for somatic signs of withdrawal testing. Ten minutes later, the rats were moved to another testing room and placed in a clear Plexiglas® cylindrical container (30 cm × 29 cm) cage for 10 min. Rats were then monitored for physical signs of nicotine withdrawal for 10 min. The observed signs include blinks, writhes, body shakes, teeth chatters, gasps, and ptosis. If present, ptosis was counted only once. The total number of somatic signs was defined as the sum of individual occurrences of the aforementioned signs during the entire observation period. The duration of the entire test battery was approximately 70 min.

After behavioral testing, rats were sacrificed by rapid decapitation to ensure preservation of the neurochemical environment and minimize degradation during tissue dissection. The amygdala, hypothalamus, and NAcc from both hemispheres were collected and flash frozen at -80°C within an estimated time of 30 s from sacrifice. Total RNA was isolated from neuronal tissue samples using the All Prep DNA/RNA Mini kit (QIAGEN, Inc.) for small tissue sections. After isolation, RNA was quantified using a UV/V spectrophotometer (Beckman Coulter Inc.). The target ratio of 1.8–2.0 for A260/280 was used as an inclusion criterion for all RNA samples. The quality of the RNA was then visualized by MOPS 1% agarose gel (37% formaldehyde) using the Thermo Scientific easy cast electrophoresis system. The gels were verified for characteristic 18S and 28S ribosomal RNA bands using ethidium bromide and the Bio-Rad ChemiDoc XRS+ imaging system. Samples that had insufficient amounts of RNA were excluded from further analyses. One microgram of total RNA was then digested with DNaseI, Amp Grade (Invitrogen) prior to cDNA synthesis in order to remove any DNA contamination. The RNA was then reverse transcribed into cDNA with the Advantage® RT-for-PCR kit (Clontech) using Oligo(dT) primers, following the manufacturer's instructions. Once the cDNA was synthesized, the cDNA samples were diluted 1:10 in nuclease-free water, separated into aliquots and stored at -20°C. Specific primers for CRF and reference gene ribosomal protein L13A (RPL13A) were obtained from

Table 1 | Primer sequences.

Symbol	Forward primer	Reverse primer
CRF	5' ATGCTGCTGGTGGCTCTGT 3'	5' GGATCAGAACGGCTGAGGT 3'
RPL13A	5' GGATCCCTCCACCCCTATGACA 3'	5' CTGGTACTTCCACCCGACCTC 3'
GAPDH	5' CAACTCCCTCAAGATTGTCAGCAA 3'	5' GGCATGGACTGTGGTCATGA 3'
Pol2a	5' CGTATCCGCATCATGAACAGTGA 3'	5' TCATCCATCTTATCCACCCACCTCTT 3'
Actb	5' CTATGAGCTGCCTGACGGTC 3'	5' AGTTTCATGGATGCCACAGG 3'

Integrated DNA Technologies, Inc., with amplicons between 71 and 142 base-pairs (see **Table 1**).

The rationale for using RPL13A as a reference gene is based upon an initial study examining tissue from a group of adult rats ($n = 27$) that was conducted before quantifying CRF gene expression across experimental groups. Four commonly used reference genes were tested as potential candidates for the normalizing gene, including: actin (Actb), glyceraldehyde-3-phosphate dehydrogenase (GAPDH), RNA polymerase II (Pol2a), and RPL13A. The findings revealed that the expression of RPL13A was the most stable and similar across male and female control and nicotine-treated rats. Based on our results, we believe that expression profiling of normalizing genes is important when employing qRT-PCR techniques involving male and female rats.

Commercially available SYBR® Fast qPCR fluorescent labeling kits (Kapa Biosystems, Inc.) were used to perform qRT-PCR using the Mastercycler ep Realplex2 System (Eppendorf, Inc.). All samples were analyzed in triplicates and amplified by the following protocol: initial denaturing at 95°C for 5 min, continued denaturing at 95°C for 15 s; annealing at 59°C for 15 s; extension at 68°C for 20 s, for a total of 40 cycles. CRF mRNA expression was normalized by RPL13A mRNA expression using the comparative C_T method adopted from Schmittgen and Livak (2008). The amplification specificity for each primer was tested for a single-product, as shown by a single band via TAE 1% gel electrophoresis and visualized on the Bio-Rad ChemiDoc XRS+ system.

Corticosterone levels were assessed in blood samples that were collected from trunk blood during sacrifice. The samples were centrifuged for 15 min at 5,000 × g at 4°C. The resultant plasma was then stored at -80°C until analyzed. Corticosterone levels were estimated using a 96-well plate ELISA kit (Assaypro Inc.) using a Spectra Maxplus spectrophotometer (Molecular Devices Inc.).

STATISTICAL APPROACH

For study 1, cotinine values during nicotine exposure were analyzed using a three-factor mixed model ANOVA with sex (male and female), and age (adult and adolescent) as between subject factors, and day of sampling (7, 10, and 14 days) as a repeated measures factor. Similarly, cotinine values during nicotine withdrawal were analyzed using a three-factor mixed model ANOVA with sex (male and female), and age (adult and adolescent) as between subject factors, and time of sampling (6, 12, and 24 h) as a repeated measures factor. For study 2, each measure was analyzed separately using three-factor ANOVAs with sex (male and female), age (adult and adolescent), and treatment (control, nicotine exposure, and nicotine withdrawal) as between subject

factors. In cases where three-way interaction effects were significant, individual group comparisons were reported. However, in cases where three-way interactions were not significant, two-way interactions were reported. All *post hoc* tests were conducted using Fisher's LSD tests where appropriate ($P < 0.05$). Given that the results revealed interaction effects, main effects were not reported. Thus, interaction effects were reported with *post hoc* tests, and main effects were not included given the interaction effects provide more information about group differences, which was the goal of the paper.

RESULTS

Figure 1 illustrates cotinine levels across adolescent and adult male and female rats during nicotine exposure and withdrawal. Regarding sex differences, the results revealed that there were no sex differences in cotinine levels during nicotine exposure [$F(1, 79) = 0.96, P > 0.05$] and withdrawal [$F(1, 84) = 0.19, P > 0.05$] regardless of the age of the animals. This suggests that sex differences can be appropriately compared across all of the nicotine pump conditions. Regarding age differences during nicotine exposure, adults displayed higher cotinine levels than adolescents prepared with one small pump and adolescents re-implanted with one small pump that was adjusted for weight gain (main effect of treatment) [$F(3, 79) = 8.96, P < 0.05$]. However, adult cotinine levels were similar to that of adolescents prepared with two small pumps that each delivered 4.7 mg/kg/day of nicotine for 14 days. A similar pattern was observed during nicotine withdrawal, such that similar levels of cotinine were observed in adults and adolescents that were implanted with two small pumps that each delivered a nicotine dose of 4.7 mg/kg/day. These data suggest that adolescents require two osmotic pumps delivering a total nicotine volume of 9.4 mg/kg/day to produce similar cotinine levels as adults with one pump that delivers 3.2 mg/kg/day.

Table 2 denotes total somatic signs of withdrawal (mean ± SEM) during nicotine exposure and withdrawal in adult and adolescent male and female rats. Somatic signs were analyzed using the total amount of signs elicited during the entire observation period. A three-way analysis of withdrawal signs revealed that there were no interaction effects between sex, age, and treatment [$F(2, 92) = 0.84, P > 0.05$]. However, a two-way analysis of withdrawal signs revealed a significant interaction between age and treatment [$F(2, 92) = 12.08, P < 0.05$]. Subsequent *post hoc* analyses revealed that adult rats that were tested during nicotine withdrawal displayed an increase in signs of withdrawal compared to their respective controls (* $P < 0.05$). There were no differences in the magnitude of withdrawal signs across male and female adolescent rats.

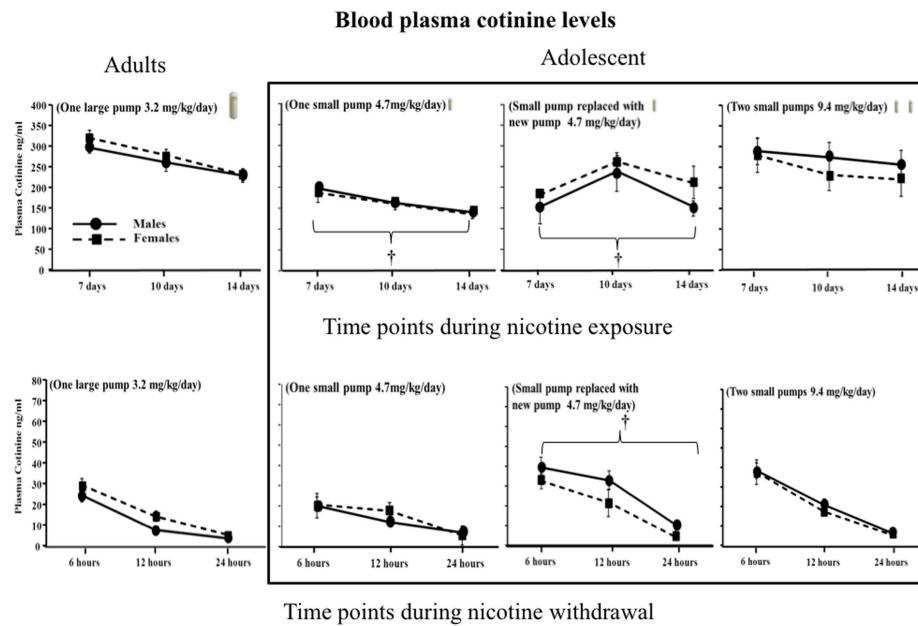


FIGURE 1 | Blood plasma cotinine levels (ng/ml \pm SEM) 7, 10, and 14 days during nicotine exposure (top row) and then 6, 12, and 24 h after pump removal (bottom row) in adult and adolescent male and female rats. Adult rats ($n=26$) received a large pump (model 2ml2) that delivered nicotine 3.2 mg/kg for 14 days. Three separate groups of adolescent rats received a smaller model of pump (model 2002) that

delivered: (1) a dose of 4.7 mg/kg/day for 14 days ($n=17$), (2) a dose of 4.7 mg/kg/day that was replaced after 7 days with a new pump that also delivered 4.7 mg/kg/day ($n=17$), and (3) a dose of 9.4 mg/kg/day that was divided in two small pumps ($n=32$). The dagger (†) denotes a significant difference across all time points between nicotine-treated adolescents and adults ($P < 0.05$).

Figure 2 illustrates anxiety-like behavior as assessed by the elevated plus maze during nicotine exposure and withdrawal. Anxiety-like behavior was operationally defined as an increase in time spent in the closed arm as compared to controls. A three-way analysis of percent time spent in the closed arm revealed a significant interaction between sex, age, and treatment [$F(2, 96) = 8.85$, $P < 0.05$]. Subsequent *post hoc* analyses revealed that adult females that were tested during nicotine exposure displayed an increase in anxiety-like behavior relative to controls (* $P < 0.05$). However, adult females tested during nicotine withdrawal displayed an increase in anxiety-like behavior that was significantly higher than their respective controls (* $P < 0.05$), male counterparts († $P < 0.05$), and adolescent counterparts (# $P < 0.05$). In adolescents, the males displayed the largest effects of nicotine exposure and withdrawal on anxiety-like behavior as compared to respective controls (* $P < 0.05$), female counterparts († $P < 0.05$), and adolescent counterparts (# $P < 0.05$).

Figure 3 illustrates anxiety-like behavior as assessed by the open-field test during nicotine exposure and withdrawal. Anxiety-like behavior was operationally defined as an increase in time spent in the corners of the open field as compared to controls. A three-way analysis of percent corner time revealed a significant interaction between sex, age, and treatment [$F(2, 92) = 3.85$, $P < 0.05$]. Subsequent *post hoc* analyses revealed that adult females tested during nicotine exposure displayed an increase in anxiety-like behavior relative to controls (* $P < 0.05$). However, adult females tested during nicotine withdrawal displayed an increase in anxiety-like behavior that was higher than respective controls (* $P < 0.05$)

Table 2 | Physical signs of withdrawal.

Experimental group	Adult male	Adult female	Adolescent male	Adolescent female
Controls	7.6 ± 0.8	7.8 ± 0.9	5 ± 0.7	3.8 ± 0.3
Nicotine exposure	5.2 ± 0.4	4.3 ± 0.3	3.2 ± 0.3	4.3 ± 0.5
Nicotine withdrawal	* 15.5 ± 1.8	* 11.5 ± 1.4	3.8 ± 0.3	3.2 ± 0.6

The asterisks (*) denote a significant difference from respective controls ($P < 0.05$).

and their male counterparts († $P < 0.05$). In adolescents, males tested during nicotine withdrawal displayed an increase in anxiety-like behavior relative to controls (* $P < 0.05$). Adolescent female controls displayed an increase in anxiety-like behavior relative to males († $P < 0.05$) and their adult counterparts (# $P < 0.05$).

Figure 4 illustrates plasma corticosterone levels during nicotine exposure and withdrawal. A three-way analysis of corticosterone levels revealed a significant interaction between sex, age, and treatment [$F(2, 66) = 3.2$, $P < 0.05$]. Subsequent *post hoc* analyses revealed that adult males tested during nicotine exposure displayed an increase in corticosterone levels relative to controls (* $P < 0.05$). Adult females tested during nicotine withdrawal displayed an increase in corticosterone levels relative to controls (* $P < 0.05$), male counterparts († $P < 0.05$), and adolescent counterparts (# $P < 0.05$). In adolescents, the male controls and males tested during nicotine withdrawal displayed an

Anxiety-like behavior on the elevated plus maze

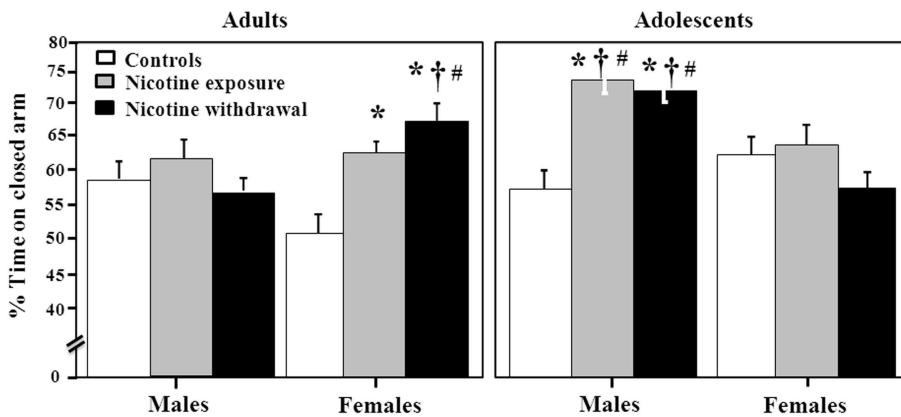


FIGURE 2 | Percent time spent in the closed arm of the elevated plus maze during nicotine exposure and withdrawal in adult male (control $n = 13$; nicotine exposure $n = 15$; nicotine withdrawal $n = 9$), adult female (control $n = 10$; nicotine exposure $n = 16$; nicotine withdrawal $n = 13$), adolescent male (control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$), and adolescent female

(control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$) rats. The asterisks (*) denote a significant difference between nicotine-treated rats and their respective controls, the daggers (†) denote a significant difference between males and females, and the number signs (#) denote a significant difference between adults and adolescents ($P < 0.05$).

Anxiety-like behavior on the open field test

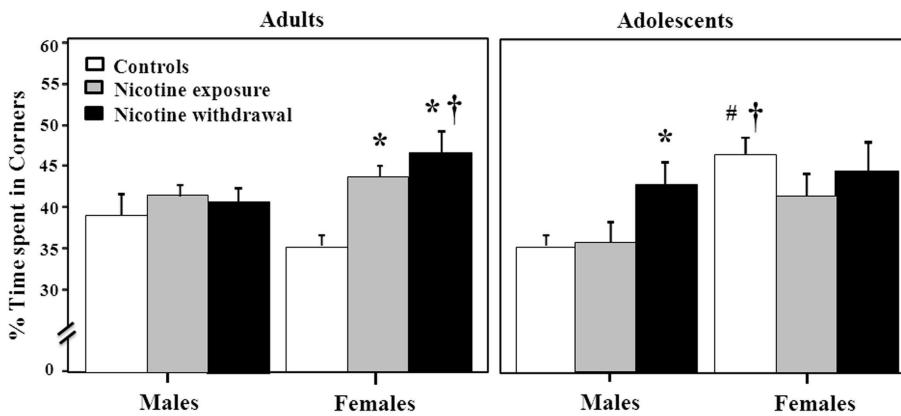


FIGURE 3 | Percent time spent in the corner areas in the open-field testing during nicotine exposure and withdrawal in adult male (control $n = 9$; nicotine exposure $n = 15$; nicotine withdrawal $n = 10$), adult female (control $n = 10$; nicotine exposure $n = 16$; nicotine withdrawal $n = 13$), adolescent male (control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$), and

adolescent female (control $n = 5$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$) rats. The asterisks (*) denote a significant difference between nicotine-treated rats and their respective controls, the daggers (†) denote a significant difference between males and females, and the number sign (#) denotes a significant difference between adults and adolescents ($P < 0.05$).

increase in corticosterone levels relative to their adult counterparts ($\#P < 0.05$).

Figure 5 illustrates CRF gene expression in the NAcc during nicotine exposure and withdrawal. A three-way analysis of CRF gene expression revealed a significant interaction between sex, age, and treatment in this brain region [$F(2, 42) = 4.34, P < 0.05$]. Subsequent *post hoc* analyses revealed that adult females tested during

nicotine withdrawal displayed an increase in CRF gene expression relative to controls ($*P < 0.05$), male counterparts ($\dagger P < 0.05$), and adolescent counterparts ($\#P < 0.05$). In adolescents, females tested during nicotine withdrawal displayed a decrease in CRF gene expression relative to controls ($*P < 0.05$).

Figure 6 illustrates CRF gene expression in the amygdala during nicotine exposure and withdrawal. A three-way analysis

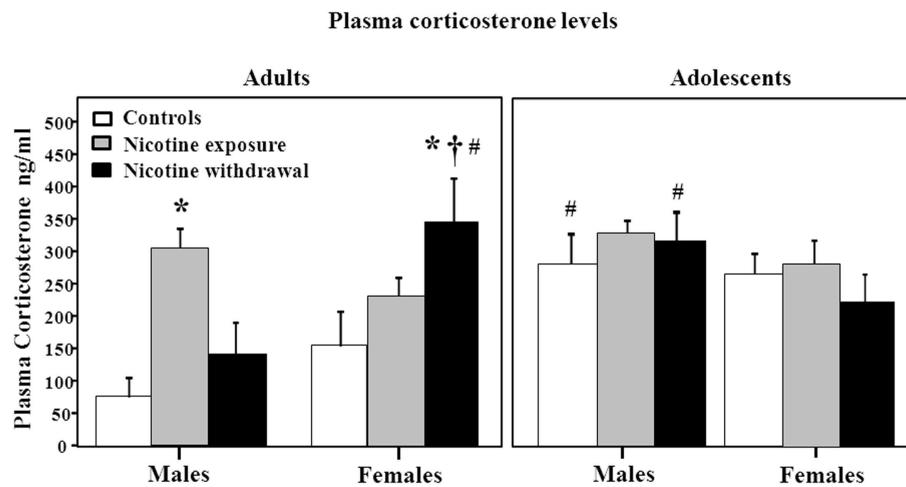


FIGURE 4 | Plasma corticosterone levels during nicotine exposure and withdrawal in adult male (control $n = 7$; nicotine exposure $n = 9$; nicotine withdrawal $n = 6$), adult female (control $n = 8$; nicotine exposure $n = 8$; nicotine withdrawal $n = 8$), adolescent male (control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$), and adolescent female

(control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$) rats. The asterisks (*) denote a significant difference between nicotine-treated male and female adult rats and their respective controls, the dagger (†) denotes a significant difference between males and females, and the number signs (#) denote a significant difference between adolescents and adults ($P < 0.05$).

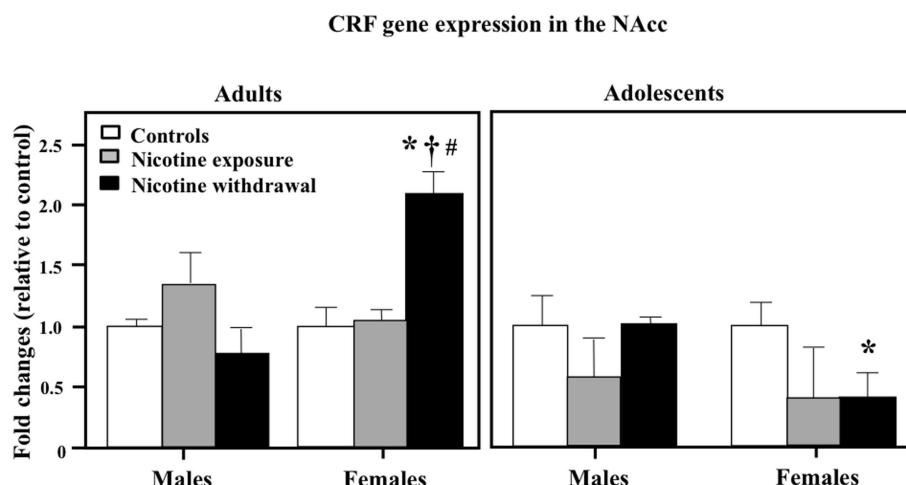


FIGURE 5 | CRF gene expression in the NAcc during nicotine exposure and withdrawal in adult male (control $n = 4$; nicotine exposure $n = 4$; nicotine withdrawal $n = 4$), adult female (control $n = 4$; nicotine exposure $n = 4$; nicotine withdrawal $n = 4$), adolescent male (control $n = 6$; nicotine exposure $n = 5$; nicotine withdrawal $n = 5$), and adolescent female

(control $n = 5$; nicotine exposure $n = 4$; nicotine withdrawal $n = 5$) rats. The asterisks (*) denote a significant difference between nicotine-treated female controls and their respective female controls, the dagger (†) denotes a significant difference between male and female rats, and the number sign (#) denotes a significant difference between adolescent and adult rats ($P < 0.05$).

of CRF gene expression revealed that there were no interaction effects between sex, age, and treatment in this brain region [$F(2, 52) = 0.21, P > 0.05$]. However, a two-way analysis of CRF gene expression in the amygdala revealed a significant interaction between sex and treatment [$F(2, 52) = 3.72, P < 0.05$]. Subsequent *post hoc* analyses revealed that adult and adolescent male rats tested during nicotine exposure displayed a significant increase in CRF gene expression as compared to controls ($*P < 0.05$) and female counterparts ($\dagger P < 0.05$). In addition, adolescent males tested during nicotine withdrawal

displayed an increase in CRF gene expression relative to controls ($*P < 0.05$).

Figure 7 illustrates CRF gene expression in the hypothalamus during nicotine exposure and withdrawal. A three-way analysis of CRF gene expression revealed that there were no interaction effects between sex, age, and treatment in this brain region [$F(2, 68) = 0.02, P > 0.05$]. Also, a two-way analysis of CRF gene expression revealed that there were no interaction effects between sex and treatment [$F(2, 68) = 1.27, P > 0.05$] or age and treatment [$F(2, 68) = 0.41, P > 0.05$].

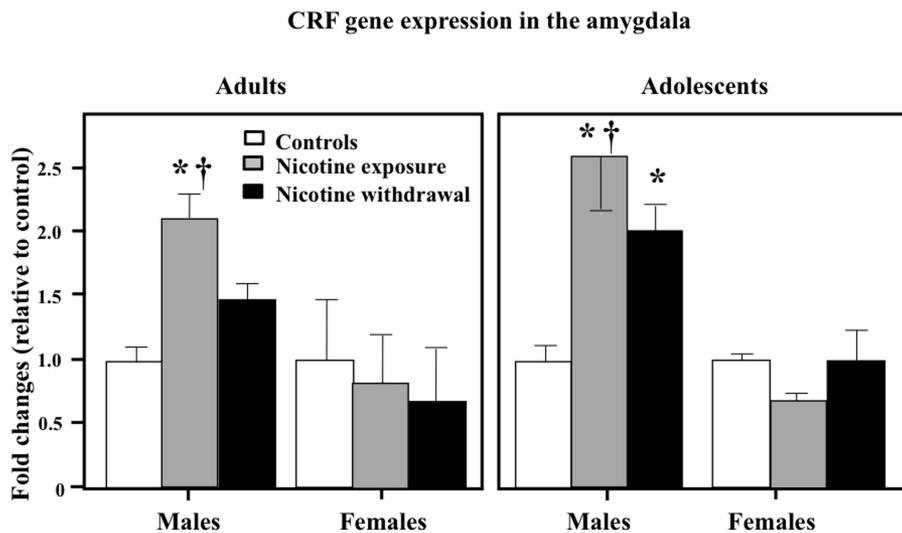


FIGURE 6 | CRF gene expression in the amygdala during nicotine exposure and withdrawal in adult male (control $n=10$; nicotine exposure $n=5$; nicotine withdrawal $n=5$), adult female (control $n=7$; nicotine exposure $n=7$; nicotine withdrawal $n=4$), adolescent male

(control $n=4$; nicotine exposure $n=6$; nicotine withdrawal $n=4$), and adolescent female (control $n=4$; nicotine exposure $n=4$; nicotine withdrawal $n=4$) rats. The asterisks (*) denote a significant difference between nicotine-treated rats and their respective male controls ($P < 0.05$).

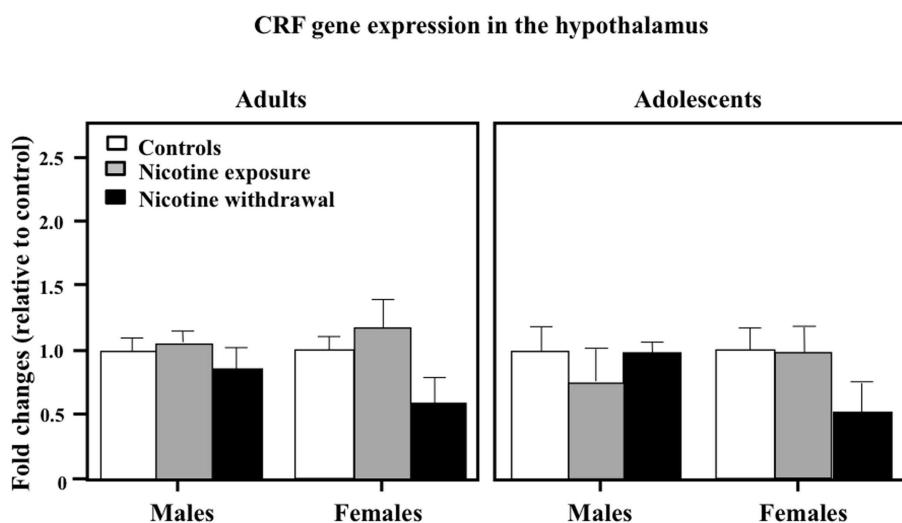


FIGURE 7 | CRF gene expression in the hypothalamus during nicotine exposure and withdrawal in adult male (control $n=13$; nicotine exposure $n=13$; nicotine withdrawal $n=6$), adult female (control $n=6$; nicotine exposure $n=6$; nicotine

withdrawal $n=4$), adolescent male (control $n=8$; nicotine exposure $n=5$; nicotine withdrawal $n=5$), and adolescent female (control $n=5$; nicotine exposure $n=5$; nicotine withdrawal $n=6$) rats.

DISCUSSION

To summarize, during nicotine withdrawal, adult females displayed increases in anxiety-like behavior, increases in plasma corticosterone levels, and changes in CRF gene expression in the NAcc that were higher as compared to males. Control studies comparing sex differences during nicotine exposure, revealed that adult males displayed an increase in plasma corticosterone levels and increases in CRF gene expression in the amygdala. The sex differences in

adults did not appear to be confounded by nicotine metabolism, since cotinine values were the same in male and female rats throughout our experimental procedures. Regarding age differences, adolescent males displayed some indices of stress during nicotine exposure that persisted into the withdrawal period. This may have been related to the high doses of nicotine that the adolescents required to produce comparable cotinine values as adults.

The major finding of this study is that adult females experience greater behavioral and biological indices of stress during nicotine withdrawal as compared to males. Adult females spent more time on the closed arm of the elevated plus maze during nicotine withdrawal as compared to males. Consistent with this, adult females also spent more time in the corner areas of the open field during nicotine withdrawal relative to males. Our behavioral results corroborate with our biological assessment of stress, as adult females also displayed increases in plasma corticosterone levels during nicotine withdrawal that were higher than males. Our results are consistent with previous reports demonstrating that female adult mice display more anxiety-like behavior on the elevated plus maze during nicotine withdrawal as compared to males (Kota et al., 2007, 2008; Calderone et al., 2008). Two recent reports also showed that adult female rats display higher plasma corticosterone levels during nicotine withdrawal as compared to males (Gentile et al., 2011; Skwara et al., 2012).

The present study also revealed that adult females displayed an increase in CRF mRNA expression in the NAcc during nicotine withdrawal that was higher than males. Previous reports support the role of the NAcc in modulating stress. For example, intra-NAcc administration of CRF has been shown to produce anxiety-like behavior on the elevated plus maze (Chen et al., 2012). The NAcc is also strongly activated following presentation of a stressful stimulus (Noh et al., 2012). The latter report showed that the NAcc was activated to a greater extent following restraint stress as compared to cold-water submersion. Thus, the NAcc may be differentially responsive to various types of stressors. Our findings suggest that the NAcc is also involved in stress produced by nicotine withdrawal. Consistent with this hypothesis, the deficits in brain reward function produced by nicotine withdrawal are alleviated by blockade of CRF receptors in the NAcc (Marcinkiewicz et al., 2009). Our finding that the hypothalamus was not altered during withdrawal, is consistent with the finding that CRF mRNA was not altered in the hypothalamus of male rats experiencing spontaneous nicotine withdrawal (Semba et al., 2004). Thus, the hypothalamus may not play a central role in modulating negative affective states involving stress produced by nicotine withdrawal.

Our findings also suggest that the NAcc is a structure involved in sex-dependent differences to drug withdrawal. This is consistent with previous studies examining withdrawal from other drugs of abuse. For example, morphine withdrawal produced a decrease in μ -opioid receptors in the NAcc of female but not male mice (Diaz et al., 2006). Also, multiple withdrawal periods from ethanol produced an increase in proteins involved in vesicular packaging and exocytosis in the NAcc of female but not male rats (Bell et al., 2006, 2009). Following abstinence from cocaine self-administration, delta opioid receptors and dopamine phosphoproteins are increased to a greater extent in the NAcc of female versus male rats (Lynch et al., 2007; Ambrose-Lanci et al., 2008). Taken together with the present findings, there is strong evidence to suggest that the NAcc modulates sex differences produced by withdrawal from drugs of abuse.

There are several ways in which females may be more susceptible to stress produced by nicotine withdrawal. There is much evidence to suggest that CRF systems are enhanced in females versus males (Bangasser, 2013; Valentino et al., 2013). Females display

hypersecretion of CRF and more CRF-1 receptors in the locus coeruleus, a brain region that coordinates arousal components of the stress response (Curtis et al., 2006; Bangasser et al., 2013). Females also display a higher ratio of CRF-1 receptors to coupling of G-proteins versus male rats, suggesting that the female CRF system has greater intracellular signaling capacity (Bangasser et al., 2010). The beta-arrestin2 protein is an intracellular protein that internalizes the CRF-1 receptor into the cell cytoplasm and prevents it from being activated by CRF (Aguilera et al., 2004; Holmes et al., 2006). Female rats display lower levels of beta-arrestin2 than male rats, suggesting that females are more responsive to CRF stimulation due to reduced internalization of the CRF-1 receptor as compared to males (Bangasser and Valentino, 2012). Females may also be more susceptible to stress produced by withdrawal via ovarian hormones. For example, direct activation of estrogen-beta receptors (ER β) increase CRF mRNA expression *in vitro* (Chen et al., 2008; Lalmansingh and Uht, 2008; Zhu and Zhou, 2008). Furthermore, the estrogen gene sequence serves as a promoter of CRF gene transcription (Vamvakopoulos and Chrousos, 1993). Collectively, these studies suggest that females have a hypersensitive CRF system, and this may contribute to the enhanced stress produced by nicotine withdrawal in females versus males.

The present study also revealed a robust increase in CRF gene expression in the amygdala of male rats during nicotine exposure. A recent report showed that CRF levels were increased in the amygdala of adult male rats experiencing nicotine withdrawal (George et al., 2007). The rats in the latter study received a nicotine antagonist to precipitate withdrawal while nicotine was being delivered via an osmotic pump. The findings from the present study are consistent with those of George et al. (2007), given that the rats from both studies had circulating levels of nicotine in their system at the time of analysis. Thus, the possibility exists that nicotine directly activates CRF systems in the amygdala, especially given that the changes in CRF were not observed in the absence of nicotine in our study. Future studies are needed to fully understand the role of CRF systems in the amygdala in modulating the direct effects of nicotine and the long-term consequences of withdrawal from this drug. A unique challenge for this work is that nicotine exposure is an inherent part of studies that assess withdrawal, either by spontaneous or precipitated methods.

The present study also compared sex differences in the somatic signs of nicotine withdrawal. Our findings suggest that there were no differences in somatic signs of withdrawal between adult male and female rats. A report by Hamilton et al. (2009) showed that female rats display more somatic signs of withdrawal relative to males. The discrepancy between these reports may be related to differences in lighting conditions given that Hamilton et al. only reported sex differences in rats that were tested in dim, but not well-lit conditions. In the present study, the somatic signs data were collected in well-lit conditions whereas the anxiety-like behavior was collected in the dark under a red light. Perhaps different lighting conditions may be considered in future studies examining anxiety-like behavior produced by nicotine withdrawal, especially given the reported effects of lighting conditions on the somatic signs of withdrawal.

Another finding of this study is that male and female adolescents generally displayed fewer somatic signs of withdrawal

as compared to adults. These findings are consistent with previous work in our laboratory and others demonstrating that the behavioral and neurochemical effects of withdrawal are diminished in adolescent versus adult rats (Smith et al., 2006; Wilmouth and Spear, 2006; Shram et al., 2008; O'Dell, 2009). This study extends this work by showing that adolescent females are also less sensitive to nicotine withdrawal as compared to adult females. An important caveat; however, is that adolescent males displayed anxiety-like behavior and biological markers of stress during nicotine exposure that persisted 24-h later into withdrawal. There are two possible explanations for this effect. First, adolescent males may not be impervious to all aspects of withdrawal, which may induce a stress response that contributes to tobacco use in adolescent males. Second, it is possible that nicotine elicited a stress response in adolescent males. This explanation is consistent with the finding that CRF gene expression was increased in the amygdala of adolescent males during nicotine exposure. We suggest that the ability of nicotine to induce a stress response was likely related to the three-fold higher doses of this drug that were used to produce equivalent plasma levels of cotinine as adults. The lack of stress effects in female adolescents was likely related to high tolerance to the aversive effects of nicotine, an effect that has been previously demonstrated (Torres et al., 2009). Future studies are needed to examine sex differences to stress produced by nicotine withdrawal, perhaps with a model such as nicotine vapor inhalation that circumvents the dosing issues that arose in the present study with osmotic pumps. Despite this, the present study provided important parametric information regarding equivalent doses of nicotine in adolescent and adult rats using different pump sizes. Our results raise an important issue for future studies comparing developmental differences to nicotine since high doses of nicotine may produce stress in adolescent males.

There are some limitations in the present study. In some cases, our behavioral and biochemical measures appear to contradict each other. For example, in adolescent males, we observed an increase in anxiety-like behavior in the plus maze but not the open field. This discrepancy is likely related to the sensitivity of these measures in assessing anxiety-like behavior. In adult females, during withdrawal, the pattern of changes was consistent (high anxiety-like behavior and corticosterone). However, during nicotine exposure the pattern of changes was not consistent (high anxiety-like behavior but no changes in corticosterone). The lack

of changes in corticosterone was likely due to a higher baseline value in adult females. In adult males, during withdrawal, the pattern of changes was consistent (no anxiety-like behavior and no changes in corticosterone). However, during nicotine exposure, the pattern of changes was not consistent (no changes in anxiety-like behavior and an increase in corticosterone). One might argue that the changes in corticosterone were aberrant; however, this group also showed an increase in CRF gene expression in the amygdala. Thus, it may be the case the nicotine exposure is more stress inducing in adult males as compared to withdrawal from this drug.

In conclusion, our results suggest that during nicotine withdrawal female rats display behavioral and biological markers of stress that are enhanced compared to males. These findings contribute to a body of literature showing that female rats display greater rewarding effects of nicotine as compared to males (Donny et al., 2000; Klein et al., 2004; Chaudhri et al., 2005; Torres et al., 2009). Taken together, there is pre-clinical evidence to suggest that enhanced rewarding effects of nicotine and intense stress produced by withdrawal both contribute to the greater vulnerability to tobacco use observed in women. In addition, our findings suggest that the most effective cessation treatments for women should also alleviate intense stress produced by nicotine withdrawal. For example, one approach might include CRF antagonists in combination with other tobacco cessation treatments, such as NRT or partial nicotinic agonists. Future studies are needed to understand the complex interactions in the brain that modulate sex differences to nicotine use. This work is important toward reducing health disparities related to tobacco use among women.

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Homers at the interface between reward and pain

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Pain alters opioid reinforcement, presumably *via* neuroadaptations within ascending pain pathways interacting with the limbic system. Nerve injury increases expression of glutamate receptors and their associated Homer scaffolding proteins throughout the pain processing pathway. Homer proteins, and their associated glutamate receptors, regulate behavioral sensitivity to various addictive drugs. Thus, we investigated a potential role for Homers in the interactions between pain and drug reward in mice. Chronic constriction injury (CCI) of the sciatic nerve elevated Homer1b/c and/or Homer2a/b expression within all mesolimbic structures examined and for the most part, the Homer increases coincided with elevated mGluR5, GluN2A/B, and the activational state of various down-stream kinases. Behaviorally, CCI mice showed pain hypersensitivity and a conditioned place-aversion (CPA) at a low heroin dose that supported conditioned place-preference (CPP) in naïve controls. Null mutations of *Homer1a*, *Homer1*, and *Homer2*, as well as transgenic disruption of mGluR5-Homer interactions, either attenuated or completely blocked low-dose heroin CPP, and none of the CCI mutant strains exhibited heroin-induced CPA. However, heroin CPP did not depend upon full Homer1c expression within the nucleus accumbens (NAC), as CPP occurred in controls infused locally with small hairpin RNA-Homer1c, although intra-NAC and/or intrathecal cDNA-Homer1c, -Homer1a, and -Homer2b infusions (to best mimic CCI's effects) were sufficient to blunt heroin CPP in uninjured mice. However, arguing against a simple role for CCI-induced increases in either spinal or NAC Homer expression for heroin CPA, cDNA infusion of our various cDNA constructs either did not affect (intrathecal) or attenuated (NAC) heroin CPA. Together, these data implicate increases in glutamate receptor/Homer/kinase activity within limbic structures, perhaps outside the NAC, as possibly critical for switching the incentive motivational properties of heroin following nerve injury, which has relevance for opioid psychopharmacology in individuals suffering from neuropathic pain.

Keywords: Homer proteins, Group1 metabotropic glutamate receptors, NMDA receptors, neuropathic pain, heroin, nucleus accumbens, conditioned place-preference, conditioned place-aversion

INTRODUCTION

Comorbidity exists between chronic pain and motivational disturbances (e.g., Doth et al., 2010; Ohayon and Schatzberg, 2010; Jarcho et al., 2012; Oluigbo et al., 2012), and a cause-effect relationship between chronic pain and a blunted motivational state is apparent also in animal studies (c.f., Niikura et al., 2010). The pain processing pathway interacts at multiple levels with brain structures embedded within mesocorticolimbic subcircuits underpinning subjective responses to, as well as the incentive value of, stimuli (both appetitive or noxious), including subregions of the prefrontal cortex (PFC), nuclei of the amygdala (AMY), the ventral tegmental area (VTA), and subregions of the nucleus accumbens (NAC) (c.f., Leknes and Tracey, 2008; Becker et al., 2012). While the neurocircuitry underpinning pain perception and the subjective pain response is known to involve activation within several

frontal cortical subregions and thalamus (c.f., Leknes and Tracey, 2008; Oluigbo et al., 2012), the precise neurocircuitry involved in pain-induced alterations in motivation are less well understood (Becker et al., 2012).

Patients' hypersensitivity to pain stimuli correlates with increases in PFC-NAC connectivity in recent neuroimaging studies and, importantly, heightened connectivity is predictive of affective pain, as well as pain severity in humans (e.g., Baliki et al., 2010, 2012). In animal and human studies, noxious stimuli, including chronic constriction injury (CCI) of the sciatic nerve, alters the activational state of mesocorticolimbic circuit (e.g., Kuroda et al., 1995; Rodella et al., 1998; Narita et al., 2003, 2005; Ozaki et al., 2003, 2004; Wood et al., 2007). Thus, injury-induced mesocorticolimbic anomalies are theorized to underpin the negative affective aspects of pain, as well as the impairments in motivation

often observed in individuals suffering from chronic somatic pain (c.f., Leknes and Tracey, 2008; Becker et al., 2012; Oluigbo et al., 2012). In support of an interaction between a chronic pain state and drug reinforcement/reward, there is an absence of both opioid drug- and psychomotor stimulant-induced conditioned place-preference (CPP) in animal models of inflammatory or neuropathic pain (c.f., Niikura et al., 2010), which is consistent with very little evidence for the clinical diagnosis of addiction in individuals undergoing pharmacotherapy for chronic pain symptoms (e.g., Niikura et al., 2010; Minozzi et al., 2013). However, pain symptoms augment opioid drug consumption under operant procedures in animal models, which is theorized to reflect a compensation for a depressed mesocorticolimbic circuit (Colpaert et al., 1982, 2001; Dib and Duclaux, 1982; Lyness et al., 1989; Martin et al., 2007, 2011), fitting with extant CPP data indicating blunted drug-conditioned reward following nerve injury (c.f., Niikura et al., 2010).

Glutamate neuroadaptations within the mesocorticolimbic system are theorized to contribute significantly to drug reward/reinforcement in various addiction-related animal models (e.g., Szumlinski et al., 2008; Kalivas, 2009; Olive et al., 2012). As noxious, painful stimuli augment glutamatergic neurotransmission both at the spinal and supraspinal levels and glutamatergic hyperactivity is considered an active mediator of pain symptomatology (c.f., Chiechio and Nicoletti, 2012; Harris and Clauw, 2012; Wozniak et al., 2012; Osikowicz et al., 2013), the present study employed a combination of immunoblotting and behavioral genetic approaches to test the hypothesis that injury-induced increases in mesocorticolimbic glutamate transmission contribute to a blunted motivational state within the confines of a heroin CPP model of drug reward.

MATERIALS AND METHODS

SUBJECTS

Subjects included adult male C57BL/6J (B6) mice (8 weeks of age; 25–30 g; the Jackson Laboratories, Bar Harbor, ME, USA), as well as several strains of constitutive gene knock-out (KO) mice that were available at the time of study, including *Homer1a* KO (Hu et al., 2012), *Homer1* KO (Yuan et al., 2003), and *Homer2* KO (Shin et al., 2003) mice. Knock-in (KI) mice expressing mutant mGluR5 with a phenylalanine (F) to arginine (R) switch at position 1128 that markedly reduces mGluR5-Homer interactions (*Grm5^{R/R}*; Cozzoli et al., 2009) were also employed. All the above mutant strains were bred in-house at UCSB from mating of heterozygous breeder pairs (B6 × 129Xi/SvJ background) and male wild-type (WT), heterozygous (HET), and homozygous KO/KI littermate pups were employed in all studies. For the KO/KI strains bred in-house, mice were selected from a minimum of four different litters within each replicate and testing began at 7–8 weeks of age. Experimental protocols were approved by the IACUCs of our respective institutions and were consistent with the guidelines provided by NIH and the Committee for Research and Ethical Issues of IASP.

NEUROPATHIC PAIN, INFLAMMATORY PAIN, AND PAIN THRESHOLD ASSESSMENT

The procedures for inducing peripheral neuropathy by CCI of the sciatic nerve were identical to those described recently by our

group (Obara et al., 2013). The total length of nerve affected was 3–4 mm. Mechanical and cold hypersensitivity at the plantar surface of the hind paw ipsilateral to the injury was assessed, respectively, using von Frey filaments (0.07–6 g; Stoeling, Wood Dale, IL, USA) and the acetone test (50 µl) before nerve injury (as one index of basal pain threshold), and on days 3, 7, and/or 14 post-CCI (e.g., Obara et al., 2003, 2013; Osikowicz et al., 2008).

IMMUNOBLOTTING

At 1 or 2 weeks after nerve injury, the entire NAC, the VTA, the entire AMY, and the PFC (anterior cingulate, prelimbic, and infralimbic cortices) were dissected from B6 mice ($n = 6$ –8/group/time-point) over ice, homogenized in a buffer containing both protease and phosphatase inhibitors and subjected to conventional immunoblotting procedures (20 µg protein/lane) as described previously by our group (e.g., Goulding et al., 2011; Obara et al., 2013). The details regarding the antibodies employed to detect protein levels of Homer1b/c, Homer2a/b, mGluR1, mGluR5, GluN2A, GluN2B, PI3K, p(Tyr)PI3K p85α binding motif, ERK1/2, p(Tyr204)ERK1/2, PKCε, p(Ser729)PKCε, and calnexin (loading and transfer control) are provided in the legend for Figure 2. The data for neuropathic animals at the different time-points post-injury were expressed as a percent change from the mean signal of the uninjured controls for each individual membrane ($n = 3$ –4/membrane) as published previously (e.g., Obara et al., 2013).

HEROIN-INDUCED PLACE-CONDITIONING

Mice were assayed for the development of heroin place-conditioning, starting at 14 days post-nerve injury. The apparatus and procedures for heroin place-conditioning were similar to those employed in our previous studies of drug-conditioned reward in mice (e.g., Penzner et al., 2008) and proceeded in the following four sequential phases: habituation, preconditioning test (Pre-Test), conditioning, postconditioning test (Post-test). All sessions were 15 min in duration and animals received no injections during the habituation, Pre-Test, or Post-Test sessions when they had free-access to both compartments of the apparatus. For conditioning, mice received four alternating pairings of distinct compartments with either intraperitoneal heroin (0.01–3 mg/kg; vol = 0.01 ml/kg) or an equivalent volume of saline in an unbiased fashion. Locomotor activity was monitored during all free-access sessions, as well as on the first and fourth saline/heroin conditioning session to index spontaneous and heroin-induced changes in ambulation, respectively. An increase in heroin-induced locomotion from injections 1–4 indicated the presence of locomotor sensitization. The time spent in the drug-paired vs. -unpaired compartment on the Post-Test served to index place-conditioning. The dose-response study of B6 mice employed 8–9/mice/group/dose, while the sample sizes employed in the single-dose study of mutant mice were: 11–15 mice/group/genotype for *Homer1a* KO, 11–3 mice/group/genotype for *Homer1* KO, 8–15 mice/group/genotype for *Homer2* KO, and 12–18 mice/group/genotype for *Grm5^{R/R}* mutant.

SURGICAL PROCEDURES AND AAV INFUSION

The procedure for generating neurotropic chimeric AAV1/2 vectors carrying the renilla green fluorescent protein (hrGFP) cDNA

or the hemagglutinin (HA) tag fused to the coding region of rat *Homer1c*, and *Homer2b* have been described in detail elsewhere (e.g., Klugmann et al., 2005) and the AAV-cDNA constructs were identical to those employed previously (e.g., Klugmann et al., 2005; Tappe et al., 2006; Cozzoli et al., 2009; Goulding et al., 2011; Ary et al., 2013). The design of the AAV constructs for expression of small hairpin RNAs (shRNA) against *Homer1c* were described in detail in Klugmann and Szumlinski (2008). Briefly, we used a bicistronic expression cassette entailing the human U6 promoter to drive the shRNA, followed by the hrGFP reporter under the control of the chicken-beta actin (CBA) promoter for identification of transduced neurons. The shRNA-*Homer1c* construct was the same as that used in a recently published report, in which we demonstrated approximately 50% protein knock-down within the brain at 3 weeks post-infusion (Ary et al., 2013). AAV-shEGFP-CBA-hrGFP was used as a generic control (GFP) in our AAV studies. The surgical procedures for intra-NAC AAV infusion (0.5 μ l/side) were identical to those used in previous studies (e.g., Cozzoli et al., 2009) and resulted in placement of microinjectors within the boundaries of the NAC (see Figure 5A). Studies examining behavioral response in heroin-induced place-preference test after intrathecal AAV infusion employed mice whose neuropathic pain symptoms and AAV transduction patterns within spinal cord were described before (Obara et al., 2013). Following either intracranial or intrathecal infusion, animals were left undisturbed for 3 weeks when AAV-mediated transgene expression peaks to remain at maximally stable levels prior to behavioral testing (e.g., Klugmann et al., 2005; Klugmann and Szumlinski, 2008). Sample sizes employed in the statistical analyses of the data ranged from 8 to 11 mice/group/AAV for both the NAC and spinal cord study.

STATISTICAL ANALYSIS

Behavioral and biochemical results are presented as means \pm SEM ($n = 8\text{--}12/\text{group}$). Immunoblotting data were analyzed by one-way analyses of variance (ANOVA) with Tukey's multiple comparison *post hoc* tests and these results are presented in Table 1. Behavioral results were analyzed by two-way ANOVA and significant interactions were followed up by an analysis for simple effects and Bonferroni's multiple comparison *post hoc* tests, when appropriate. To confirm significant place-conditioning, *a priori* dependent-sample *t*-tests were conducted for the time spent in the heroin-paired vs. -unpaired compartment, separately for each treatment group/genotype. $\alpha = 0.05$ for all analyses and the results of the statistical analyses for the behavioral assays are presented in their corresponding figure legends.

RESULTS

CCI ELEVATES MESOCORTICOLIMBIC PROTEIN EXPRESSION AND ABOLISHES HEROIN CPP

Chronic constriction injury of the sciatic nerve increased mechanical and cold hypersensitivity in B6 mice (Figures 1A,B). This hypersensitivity was associated with increased expression of the majority of our proteins of interest within all four mesocorticolimbic structures investigated (as indicated in Figure 1C), with regional distinctions in the magnitude and/or time-course of the observed protein changes (Figure 2; see Table 1). In the PFC (Figure 2A), CCI increased *Homer1b/c*, *Homer2a/b*,

GluN2A, and p(Tyr)p85 α at both time-points post-injury, while those for mGluR1a, *GluN2B*, and pPKC ϵ were time-dependent. In the NAC (Figure 2B), CCI increased *Homer1b/c*, *GluN2A*, and pPKC ϵ at both time-points, while kinase activation increased time-dependently and the rise in mGluR5 was transient. In the AMY (Figure 2C), the rise in mGluR5 was also transient; however, CCI increased *Homer1b/c*, *GluN2B*, PKC ϵ , pPKC ϵ , and p(Tyr)p85 α both time-points and ERK levels increased time-dependently. Unfortunately, we could not detect a reliable signal for mGluR1a within our AMY samples. Finally, in the VTA (Figure 2D), CCI increased *Homer2a/b*, and *GluN2A* at both time-points, the rise in *GluN2B*, pPKC ϵ , and the pPKC ϵ :PKC ϵ ratio increased time-dependently and the rise in p(Tyr)p85 α and ERK were transient.

We next assayed for CCI-induced changes in heroin-conditioned reward in B6 mice as an index of motivation. All but the lowest heroin dose elicited a significant CPP in injury-naïve B6 controls (Figure 3). In contrast, no heroin dose elicited CPP in injured B6 mice and the 0.1-mg/kg dose elicited a significant conditioned place-aversion (CPA). The injury-induced abolishment of CPP did not reflect impairments in motor activity as group differences were not observed regarding: (1) spontaneous locomotor activity (data not shown; total distance traveled during Habituation, Pre-Test, or Post-Test; *t*-tests, $p > 0.05$); (2) saline- or heroin-induced locomotor activity on injection 1 or 4; or (3) the expression of heroin-induced locomotor sensitization, which was observed only at the 3-mg/kg dose [data not shown; Heroin effect: $F_{(2,48)} = 25.76$, $p = 0.001$; Heroin \times Injection: $F_{(2,48)} = 2.87$, $p = 0.07$].

GENOTYPE \times PAIN INTERACTIONS IN HEROIN CPP

Given the CCI-induced rise in Homer expression throughout the mesocorticolimbic system, we next assayed for low-dose heroin-induced place-conditioning in naïve and CCI *Homer1a*, *Homer1*, and *Homer2* null mutant mice, as well as in transgenic mice with a disrupted mGluR5-Homer interaction (*Grm5*^{R/R}). The 0.1-mg/kg heroin dose elicited a significant CPP in injury-naïve WT mice from all strains and this CPP was absent in all homozygous mutant littermate animals (Figure 4, left). Consistent with the above data from B6 mice, the 0.1-mg/kg heroin dose elicited a significant CPA in all CCI WT mice, but this too was attenuated or prevented in all homozygous mutant mouse lines (Figure 4, right). Such data pose a necessary role for *Homer1a* induction, as well as scaffolding by constitutively expressed (coiled-coil) CC-Homer proteins and their interaction with mGluR5 as critical for both heroin-related appetitive and aversive learning.

AAV-MEDIATED HOMER GENE TRANSFER AND INJURY-INDUCED CPA

The pattern of AAV-mediated neuronal transduction within the NAC was consistent with that reported previously by our group (e.g., Cozzoli et al., 2009; Goulding et al., 2011), with little spread beyond the infusion site (Figures 5A,A'). Intra-NAC cDNA-*Homer1c* and shRNA-*Homer1c* infusion potentiated and inhibited, respectively, both mechanical and cold hypersensitivity following CCI, but the effect was more pronounced in the von Frey test (Figure 5B). Neither Homer manipulation influenced basal

Table 1 | Statistical results of the one-way ANOVAs conducted on the immunoblotting data ($\alpha = 0.05$) and follow-up Tukey's multiple comparison *post hoc* tests, where appropriate.

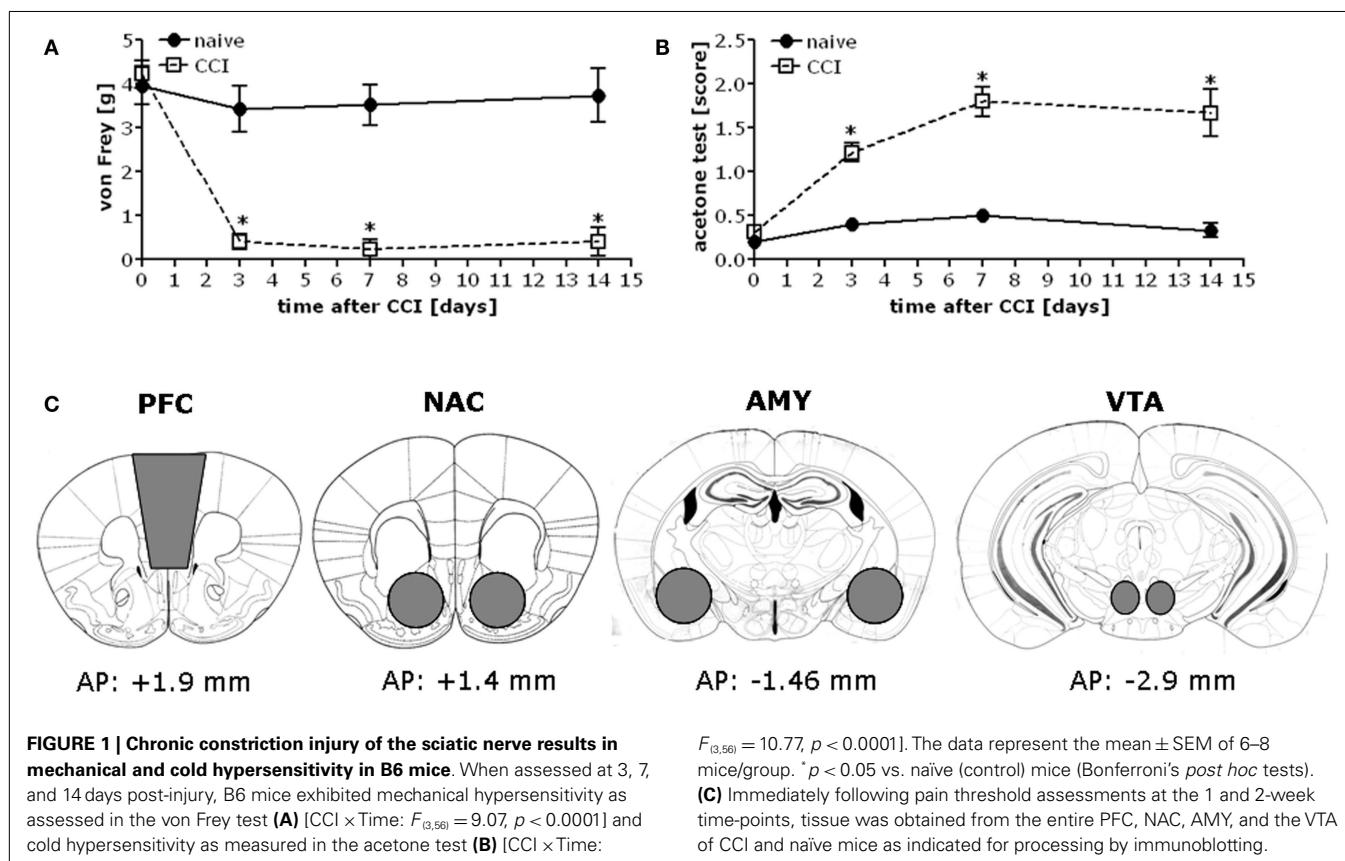
Region	Protein	Results	
		ANOVA	Post hoc
PFC	mGluR1a	$F_{(2,23)} = 10.53, p = 0.0007$	CCI 2 weeks > CNT = CCI 1 week
	mGluR5	$F_{(2,23)} = 3.80, p = 0.04$	
	GluN2A	$F_{(2,21)} = 6.47, p = 0.0007$	CCI 1 week = CCI 2 weeks > CNT
	GluN2B	$F_{(2,23)} = 10.39, p = 0.0007$	CCI 2 weeks > CNT = CCI 1 week
	Homer1b/c	$F_{(2,21)} = 13.67, p = 0.0002$	CCI 1 week = CCI 2 weeks > CNT
	Homer2a/b	$F_{(2,19)} = 12.51, p = 0.0005$	CCI 1 week = CCI 2 weeks > CNT
	PKC ϵ	$F_{(2,23)} = 0.32, p = 0.73$	
	pPKC ϵ	$F_{(2,17)} = 3.94, p = 0.04$	CCI 2 weeks > CNT = CCI 1 week
	pPKC ϵ :PKC ϵ ratio	$F_{(2,17)} = 2.06, p = 0.16$	
	PI3K	$F_{(2,17)} = 0.37, p = 0.69$	
	P(Tyr)p85 α	$F_{(2,19)} = 8.22, p = 0.003$	CCI 1 week = CCI 2 weeks > CNT
	ERK	$F_{(2,20)} = 0.06, p = 0.94$	
	pERK	$F_{(2,20)} = 0.06, p = 0.95$	
	pERK:ERK ratio	$F_{(2,17)} = 0.01, p = 0.98$	
NAC	mGluR1a	$F_{(2,17)} = 1.45, p = 0.26$	
	mGluR5	$F_{(2,17)} = 6.97, p = 0.0007$	CCI 1 week > CNT = CCI 2 weeks
	GluN2A	$F_{(2,17)} = 10.52, p = 0.001$	CCI 1 week = CCI 2 weeks > CNT
	GluN2B	$F_{(2,17)} = 1.62, p = 0.23$	
	Homer1b/c	$F_{(2,17)} = 7.96, p = 0.004$	CCI 1 week = CCI 2 weeks > CNT
	Homer2a/b	$F_{(2,17)} = 0.24, p = 0.78$	
	PKC ϵ	$F_{(2,17)} = 1.71, p = 0.21$	
	pPKC ϵ	$F_{(2,17)} = 7.31, p = 0.006$	CCI 1 week = CCI 2 weeks > CNT
	pPKC ϵ :PKC ϵ ratio	$F_{(2,17)} = 7.28, p = 0.006$	CCI 2 weeks > CNT = CCI 1 week
	PI3K	$F_{(2,17)} = 0.29, p = 0.74$	
	P(Tyr)p85 α	$F_{(2,17)} = 12.25, p = 0.0007$	CCI 2 weeks > CNT = CCI 1 week
	ERK	$F_{(2,17)} = 1.04, p = 0.38$	
	pERK	$F_{(2,17)} = 0.42, p = 0.67$	
	pERK:ERK ratio	$F_{(2,17)} = 7.68, p = 0.005$	CCI 2 weeks > CNT = CCI 1 week
AMY	mGluR1a	—	
	mGluR5	$F_{(2,17)} = 12.59, p = 0.0006$	CCI 1 week > CNT = CCI 2 weeks
	GluN2A	$F_{(2,17)} = 1.26, p = 0.31$	
	GluN2B	$F_{(2,17)} = 13.16, p = 0.0005$	CCI 1 week = CCI 2 weeks > CNT
	Homer1b/c	$F_{(2,17)} = 14.41, p = 0.0003$	CCI 1 week = CCI 2 weeks > CNT
	Homer2a/b	$F_{(2,17)} = 0.15, p = 0.86$	
	PKC ϵ	$F_{(2,17)} = 12.65, p = 0.0006$	CCI 1 week = CCI 2 weeks > CNT
	pPKC ϵ	$F_{(2,17)} = 16.43, p = 0.0002$	CCI 1 week = CCI 2 weeks > CNT
	pPKC ϵ :PKC ϵ ratio	$F_{(2,17)} = 0.38, p = 0.68$	
	PI3K	$F_{(2,17)} = 0.45, p = 0.64$	
	P(Tyr)p85 α	$F_{(2,17)} = 13.85, p = 0.0004$	CCI 1 week = CCI 2 weeks > CNT
	ERK	$F_{(2,17)} = 3.83, p = 0.04$	CCI 2 weeks > CNT = CCI 1 week
	pERK	$F_{(2,17)} = 0.65, p = 0.54$	
	pERK:ERK ratio	$F_{(2,17)} = 1.69, p = 0.21$	
VTA	mGluR1a	$F_{(2,17)} = 3.63, p = 0.05$	CCI 1 week = CCI 2 weeks > CNT
	mGluR5	$F_{(2,17)} = 0.42, p = 0.66$	
	GluN2A	$F_{(2,17)} = 5.08, p = 0.02$	CCI 1 week = CCI 2 weeks > CNT
	GluN2B	$F_{(2,17)} = 6.19, p = 0.01$	CCI 2 weeks > CNT = CCI 1 week
	Homer1b/c	$F_{(2,17)} = 1.68, p = 0.22$	
	Homer2a/b	$F_{(2,17)} = 6.99, p = 0.007$	
	PKC ϵ	$F_{(2,17)} = 0.17, p = 0.84$	

(Continued)

Table 1 | Continued

Region	Protein	Results	
		ANOVA	Post hoc
	pPKC ϵ	$F_{(2,17)} = 6.29, p = 0.01$	CCI 2 weeks > CNT = CCI 1 week
	pPKC ϵ :PKC ϵ ratio	$F_{(2,17)} = 6.22, p = 0.01$	CCI 2 weeks > CNT = CCI 1 week
	PI3K	$F_{(2,17)} = 2.82, p = 0.09$	
	P(Tyr)p85 α	$F_{(2,17)} = 3.87, p = 0.04$	CCI 1 week > CNT = CCI 2 weeks
	ERK	$F_{(2,17)} = 5.31, p = 0.02$	CCI 1 week > CNT = CCI 2 weeks
	pERK	$F_{(2,17)} = 0.08, p = 0.92$	
	pERK:ERK ratio	$F_{(2,17)} = 2, p = 0.005$	

The data are summarized in **Figure 2** and sample sizes ranged from 6 to 8 mice/group.



pain threshold to mechanical and cold stimuli (**Figure 5B**) nor did they alter simple spinal pain reflex assessed in the tail-flick test (**Figure 5C**).

While intra-NAC shRNA-Homer1c did not influence heroin CPP in injury-naïve animals, it prevented injury-induced heroin CPA (**Figure 5D**, left). In contrast to shRNA-Homer1c infusion, intra-NAC cDNA-Homer1c infusion prevented heroin-induced place-conditioning in both naïve and injured groups (**Figure 5D**, right).

Intrathecal infusion of cDNA-Homer1c and -Homer2b potentiates, while that of cDNA-Homer1a attenuates, CCI-induced pain hypersensitivity (Obara et al., 2013). Thus, we determined

whether or not spinal Homer expression might also regulate heroin place-conditioning. Intrathecal infusion of all three AAV-cDNAs blunted heroin CPP in injury-naïve mice (**Figure 6**, left). In this study, the heroin CPA exhibited by GFP-infused CCI mice was not as robust as that observed in the experiments above; nevertheless, none of the AAV-cDNAs influenced the extent or direction of behavior exhibited by CCI animals (**Figure 6**, right).

DISCUSSION

Pain-associated affective and motivational blunting is hypothesized to involve injury-induced changes in mesocorticolimbic

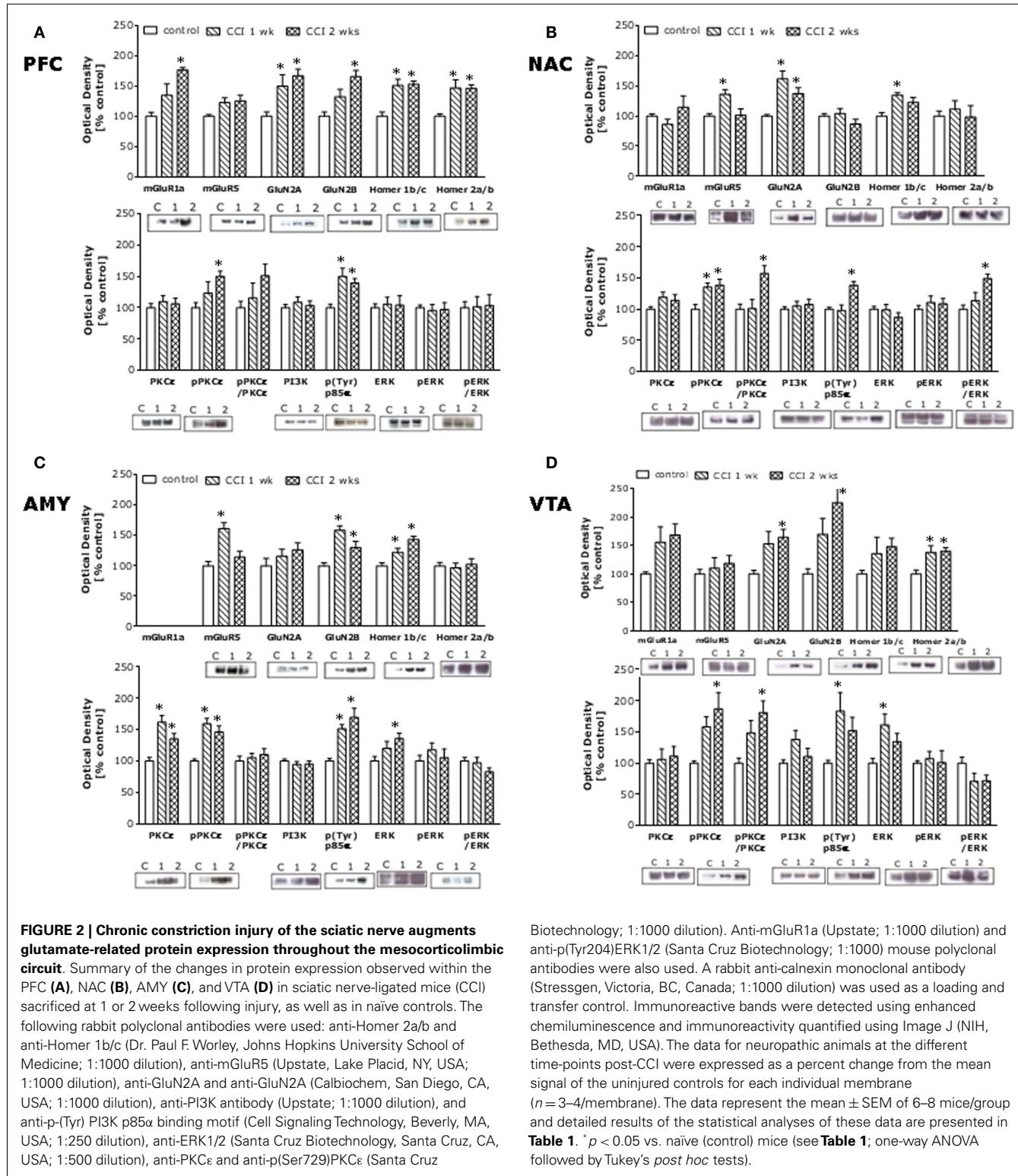


FIGURE 2 | Chronic constriction injury of the sciatic nerve augments glutamate-related protein expression throughout the mesocorticolimbic circuit. Summary of the changes in protein expression observed within the PFC (A), NAC (B), AMY (C), and VTA (D) in sciatic nerve-ligated mice (CCI) sacrificed at 1 or 2 weeks following injury, as well as in naïve controls. The following rabbit polyclonal antibodies were used: anti-Homer 2a/b and anti-Homer 1b/c (Dr. Paul F. Worley, Johns Hopkins University School of Medicine; 1:1000 dilution), anti-mGluR5 (Upstate, Lake Placid, NY, USA; 1:1000 dilution), anti-GluN2A and anti-GluN2B (Calbiochem, San Diego, CA, USA; 1:1000 dilution), anti-PI3K antibody (Upstate; 1:1000 dilution), and anti-p(Tyr) PI3K p85 α binding motif (Cell Signaling Technology, Beverly, MA, USA; 1:250 dilution), anti-ERK1/2 (Santa Cruz Biotechnology, Santa Cruz, CA, USA; 1:500 dilution), anti-PKC ζ and anti-p(Ser729)PKC ζ (Santa Cruz

Biotechnology; 1:1000 dilution). Anti-mGluR1a (Upstate; 1:1000 dilution) and anti-p(Tyr204)ERK1/2 (Santa Cruz Biotechnology; 1:1000) mouse polyclonal antibodies were also used. A rabbit anti-calnexin monoclonal antibody (Stressgen, Victoria, BC, Canada; 1:1000 dilution) was used as a loading and transfer control. Immunoreactive bands were detected using enhanced chemiluminescence and immunoreactivity quantified using Image J (NIH, Bethesda, MD, USA). The data for neuropathic animals at the different time-points post-CCI were expressed as a percent change from the mean signal of the uninjured controls for each individual membrane ($n=3-4/\text{membrane}$). The data represent the mean \pm SEM of 6–8 mice/group and detailed results of the statistical analyses of these data are presented in Table 1. * $p < 0.05$ vs. naïve (control) mice (see Table 1; one-way ANOVA followed by Tukey's *post hoc* tests).

function (c.f., Leknes and Tracey, 2008; Becker et al., 2012; Oluigbo et al., 2012). Thus, the present study characterized CCI-induced changes glutamate receptor expression/signaling within four major components of the mesocorticolimbic system

and then assayed the functional relevance of mGluR5 interactions with its scaffolding molecule Homer (Shiraishi-Yamaguchi and Furuichi, 2007) for pain-elicited changes in heroin's incentive motivational properties.

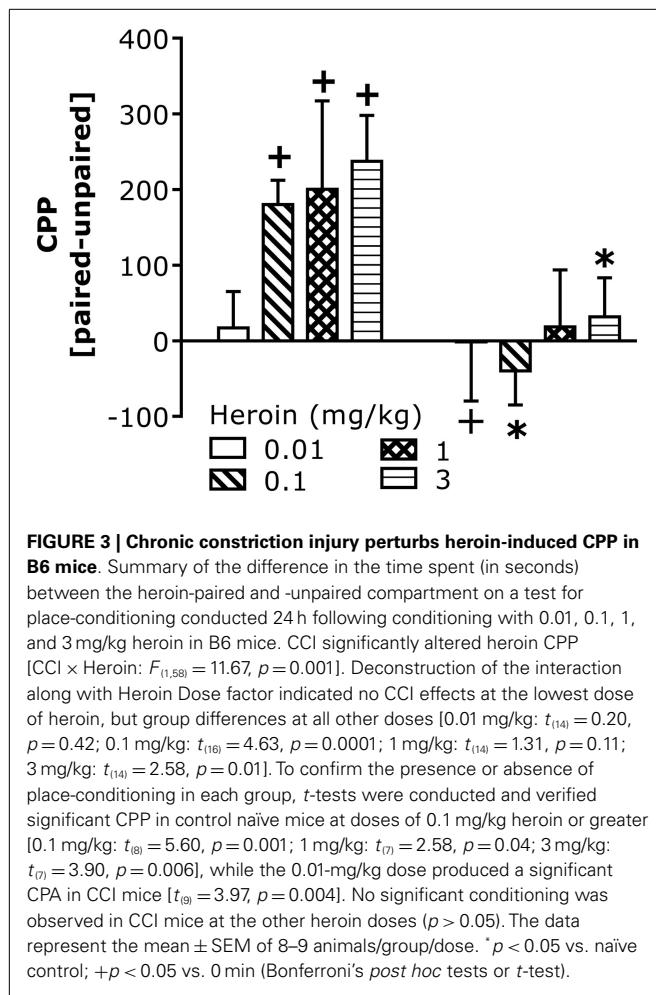


FIGURE 3 | Chronic constriction injury perturbs heroin-induced CPP in B6 mice. Summary of the difference in the time spent (in seconds) between the heroin-paired and -unpaired compartment on a test for place-conditioning conducted 24 h following conditioning with 0.01, 0.1, 1, and 3 mg/kg heroin in B6 mice. CCI significantly altered heroin CPP [$F_{(1,58)} = 11.67, p = 0.001$]. Deconstruction of the interaction along with Heroin Dose factor indicated no CCI effects at the lowest dose of heroin, but group differences at all other doses [0.01 mg/kg: $t_{(14)} = 0.20, p = 0.42$; 0.1 mg/kg: $t_{(16)} = 4.63, p = 0.0001$; 1 mg/kg: $t_{(14)} = 1.31, p = 0.11$; 3 mg/kg: $t_{(14)} = 2.58, p = 0.01$]. To confirm the presence or absence of place-conditioning in each group, *t*-tests were conducted and verified significant CPP in control naïve mice at doses of 0.1 mg/kg heroin or greater [0.1 mg/kg: $t_{(9)} = 5.60, p = 0.001$; 1 mg/kg: $t_{(7)} = 2.58, p = 0.04$; 3 mg/kg: $t_{(7)} = 3.90, p = 0.006$], while the 0.01-mg/kg dose produced a significant CPA in CCI mice [$t_{(9)} = 3.97, p = 0.004$]. No significant conditioning was observed in CCI mice at the other heroin doses ($p > 0.05$). The data represent the mean \pm SEM of 8–9 animals/group/dose. * $p < 0.05$ vs. naïve control; + $p < 0.05$ vs. 0 min (Bonferroni's *post hoc* tests or *t*-test).

NEUROPATHY AUGMENTS INDICES OF MESOCORTICOLIMBIC GLUTAMATE TRANSMISSION

Chronic constriction injury-induced hypersensitivity was associated with up-regulated mesocorticolimbic glutamate receptor and CC-Homer expression, as well as increased indices of ERK, PI3K, and/or PKC ϵ activity. The present PFC data replicate our earlier study (Obara et al., 2013), indicating that injury up-regulates glutamate receptor signaling within a forebrain region important for volitional control over behavior, cognition, and emotion (c.f., Arnsten and Rubia, 2012; Depue, 2012). CCI-induced increases in protein expression were observed also within VTA, NAC, and AMY, with some regional differences that are not to be unexpected. However, CCI elevated Homer1b/c levels and PI3K activation in all mesocorticolimbic regions examined. Homer proteins are involved in the recruitment of PI3K-enhancer to Group1 mGluRs to induce PI3K activity (Rong et al., 2003). PI3K induction, at least within spinal cord, contributes to the development of neuropathic pain hypersensitivity (Xu et al., 2011). As an intra-NAC infusion of cDNA-Homer1c was sufficient to promote CCI-induced pain hypersensitivity, injury-induced increases in mesocorticolimbic Homer-dependent PI3K activity may contribute significantly to somatic and affective pain chronification following peripheral nerve injury. Indeed, certain AMY subregions receive direct and

indirect nociceptive input from spinal cord, brainstem, thalamus, and cortex (c.f., Leknes and Tracey, 2008; Becker et al., 2012). Moreover, central sensitization, via signaling pathways involving ERK, PKCs, and PI3K, occurs within this structure in various models of chronic pain (c.f., Neugebauer et al., 2004; Neugebauer, 2006; Fu et al., 2008; Tappe-Theodor et al., 2011). Our observation of up-regulated protein expression within AMY could reflect a central sensitization of mesocorticolimbic activity that would be predicted to elicit negative emotional disturbances characteristic of chronic pain sufferers.

While we failed to detect a significant reduction in VTA ERK activity following CCI, previous studies indicated reduced VTA ERK activation and *c-fos* expression following injury, which was interpreted to reflect blunted VTA responsiveness and theorized to contribute to pain-induced amotivational states (e.g., Narita et al., 2003, 2004; Ozaki et al., 2004). However, CCI elevated our other indices of signaling within VTA, most notably GluN2 subunits, Homer2a/b, activated PKC ϵ , and PI3K, which would be predicted to elevate, rather than depress, basal activity of mesolimbic dopamine neurons to heighten the saliency of both conditioned and unconditioned pain cues (Berridge, 2007; Bromberg-Martin et al., 2010). Indeed, these present immunoblotting results are consistent with human neuroimaging data indicating correlations between heightened PFC-NAC connectivity and pain chronification (Baliki et al., 2010, 2012). Thus, injury-induced plasticity within corticofugal glutamatergic and mesocorticolimbic dopaminergic projections might heighten PFC-NAC connectivity predictive of somatic and affective pain chronification. In support of this notion, NAC Homer1c expression bi-directionally altered CCI-induced pain symptoms, with increased Homer1c promoting nociception in CCI mice (see below).

HEROIN CPP AND HOMER-mGluR5 INTERACTIONS

In all experiments, repeated low-dose (0.1 mg/kg) heroin consistently supported CPP in injury-naïve WT mice. Remarkably, this low-dose heroin CPP was attenuated or absent in injury-naïve mice from all four mutant strains. Opioids and their withdrawal alter *Homer1* gene products within the PFC and AMY (Ammon et al., 2003; Kuntz et al., 2008) and recently, polymorphisms in *Homer1*, as well as changes in striatal and AMY *Homer1* mRNA expression, were reported in post-mortem studies of heroin addicts (Okvist et al., 2011; Jacobs et al., 2012). While constitutive *Homer2* deletion does not impact heroin-induced locomotor activity (Szumlinski et al., 2004), to the best of our knowledge, these data are the first to describe the heroin reward phenotype produced by constitutive deletion of different *Homer* genes or transgenic disruption of mGluR5-Homer interactions. That null mutations of *Homer1a* and *Homer1* (the latter of which eliminates both inducible and CC Homer1 isoforms; see Yuan et al., 2003) produced a more pronounced effect upon conditioning than *Homer2* deletion argues a more critical role for *Homer1* gene products, particularly Homer1a, in this form of heroin-related learning. Moreover, the fact that *Grm5^{R/R}* mice not only failed to exhibit heroin CPP, but tended toward CPA, argues further that the interaction between *Homer1* gene products and mGluR5 is fundamental to the motivational valence of low-dose heroin, which is worthy

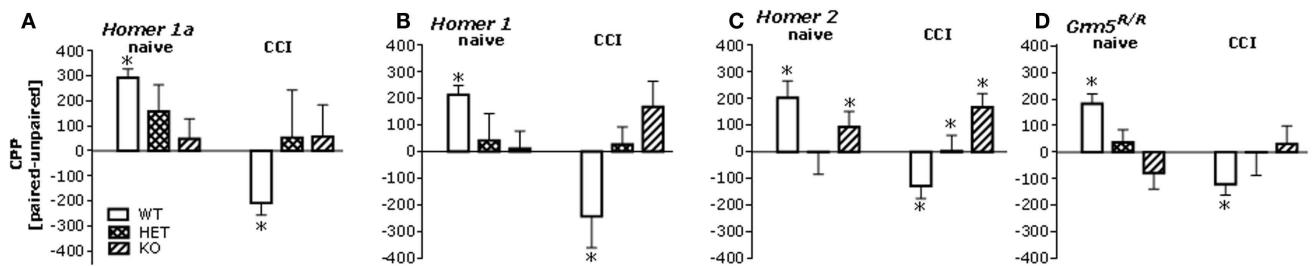


FIGURE 4 | Mutations affecting mGluR5-Homer interactions blunt heroin CPP and reverse the effects of CCI upon heroin CPA.

Summary of the difference in the time spent in the heroin-paired and -unpaired compartments (CPP) following conditioning with 0.1 mg/kg heroin in mice with constitutive deletion of *Homer1a*, *Homer1*, or *Homer2*, and in mice expressing the *Grm5^{R/R}* transgene. Analysis of the data from all of the mutant animals revealed significant Genotype \times CCI interactions [*Homer1a*: $F_{(2,64)} = 3.50$, $p = 0.04$; *Homer1*: $F_{(2,67)} = 6.10$, $p = 0.004$; *Homer2*: $F_{(2,66)} = 4.14$, $p = 0.02$; *Grm5^{R/R}*: $F_{(2,101)} = 6.71$, $p = 0.002$. (**A**) In uninjured mice from the *Homer1a* study, *a priori* t-tests (time on paired vs. unpaired side) confirmed significant CPP in *Homer1a* WT [$t_{(10)} = 8.43$, $p < 0.0001$; $n = 11$], but no place-conditioning was evident in their HET or KO counterparts (t-tests, p 's > 0.50 , $n = 13\text{--}15$). In CCI mice from the *Homer1a* study, CPA was apparent in WT controls [$t_{(10)} = 2.81$, $p = 0.02$; $n = 11$], but again no conditioning was apparent in their HET or KO counterparts (t-tests, $p > 0.65$;

$n = 8\text{--}12$). (**B**) As observed in the *Homer1a* study, heroin elicited CPP and CPA, respectively in uninjured and CCI *Homer1* WT mice [naïve: $t_{(9)} = 6.12$, $p < 0.0001$; CCI: $t_{(10)} = 2.34$, $p = 0.04$], while no significant place-conditioning was apparent under either condition in HET or KO mice ($n = 11\text{--}13$; t-tests, $p > 0.12$). (**C**) Heroin elicited CPP and CPA, respectively, in uninjured and CCI *Homer2* WT mice [naïve: $t_{(9)} = 3.18$, $p = 0.01$; CCI: $t_{(7)} = 2.76$, $p = 0.03$]. No place-conditioning was apparent in HET mice under either condition ($n = 15$; t-tests, $p > 0.90$). While uninjured *Homer2* KO mice did not exhibit CPP ($n = 11$; t-test, $p = 0.15$), CPP, not CPA, was apparent in their CCI counterparts [$t_{(7)} = 3.27$, $p = 0.01$]. (**D**) Heroin elicited also CPP and CPA, respectively, in naïve and CCI mice *Grm5^{F/F}* mice (i.e., WT) [naïve: $t_{(21)} = 4.90$, $p < 0.0001$; CCI: $t_{(18)} = 3.00$, $p = 0.08$], while no significant place-conditioning was apparent in *Grm5^{F/F}* or *Grm5^{R/R}* mutants ($n = 12\text{--}18$; t-tests, $p > 0.20$). * $p < 0.05$ Paired vs. unpaired (i.e., conditioning; t-tests); + $p < 0.05$ vs. WT control (Tukey's post hoc tests).

of further exploration. The *Grm5^{R/R}* data are interesting as the effect of mGluR5 antagonism upon opioid-induced CPP is inconsistent (Popik and Wróbel, 2002; McGeehan and Olive, 2003; van der Kam et al., 2009). As the *Grm5^{R/R}* mutation does not impact total receptor expression (Cozzoli et al., 2009), the present behavioral observations implicate intracellular signaling processes that are known to be modulated by dynamic changes in *Homer1a*/CC-Homer interactions with mGluR5 in the positive incentive motivational properties of heroin-paired cue/contexts. Such signaling processes include (but are not likely limited to): altered regulation of voltage-gated ion channels, constitutive mGluR5 activity, induction of PI3K activity, and mGluR-dependent regulation of NMDA receptor current (c.f., Shiraishi-Yamaguchi and Furuichi, 2007). While the precise biochemical mechanisms mediating the blunted heroin CPP exhibited by *Homer* mutant and *Grm5^{R/R}* mice obviously require detailed study that are beyond the scope of this report, the results of larger-scale dose-response studies of cocaine or alcohol CPP argue that this heroin phenotype does not reflect a mere impairment of associative learning processes (Szumlinski et al., 2004, 2005; Datko et al., 2008; Goulding et al., 2009). Unfortunately, cessation of breeding programs for the various mutant lines precludes a full dose-response analysis of heroin CPP. Thus, it remains to be determined whether or not the blunted low-dose heroin CPP observed in injury-naïve *Homer1a/1/2* or *Grm5^{R/R}* mutant mice reflects changes in the sensitivity or efficacy of heroin to elicit conditioned reward or if the blunted CPP extends to any other measure of heroin reward/reinforcement. However, arguing against increased sensitivity to heroin intoxication as a mechanism underpinning the blunted heroin CPP, all mutant lines exhibited WT-levels of heroin-induced locomotion throughout testing.

Interestingly, *Homer1* deletion abolished low-dose heroin CPP, while intra-NAC shRNA-*Homer1c* infusion had absolutely no effect. These data indicate either that: (1) the neural locus mediating the CPP effect of *Homer1* deletion resides outside the NAC or (2) the CPP effect of *Homer1* deletion reflect an absence of inducible, rather than constitutively expressed, *Homer1* gene products. As the effects of *Homer1a* deletion mirrored those of *Homer1* deletion argues in favor of the latter possibility. However, based on suggestions of regional differences in heroin-induced changes in *Homer1* mRNA within PFC, AMY, and dorsal striatum (Kuntz et al., 2008; Okvist et al., 2011; Jacobs et al., 2012), *Homer1* gene products in these other addiction-relevant brain regions may contribute more so to the conditioned incentive motivational properties of low-dose heroin. It is interesting to note, however, that intra-NAC cDNA-*Homer1c*, as well as intrathecal cDNA-*Homer1a*, -*Homer1c*, and -*Homer2b* infusion, in injury-naïve mice was sufficient to block heroin CPP. The result for the NAC may be counterintuitive based on the findings from the KO studies, but, as argued below, may reflect a facilitation of low-dose heroin hyperalgesia that renders the heroin experience more aversive.

INJURY-INDUCED HEROIN CPA ALSO REQUIRES INTACT mGluR5-HOMER INTERACTIONS

Most notable and distinct from the results of earlier CPP studies in injured animals (c.f., Niikura et al., 2010), neuropathic B6 mice exhibited CPA in response to 0.1 mg/kg heroin – a dose of heroin that supported CPP in uninjured animals. In WT mice, CCI clearly augmented pain symptoms prior to heroin conditioning (see also Obara et al., 2013), supporting a causal

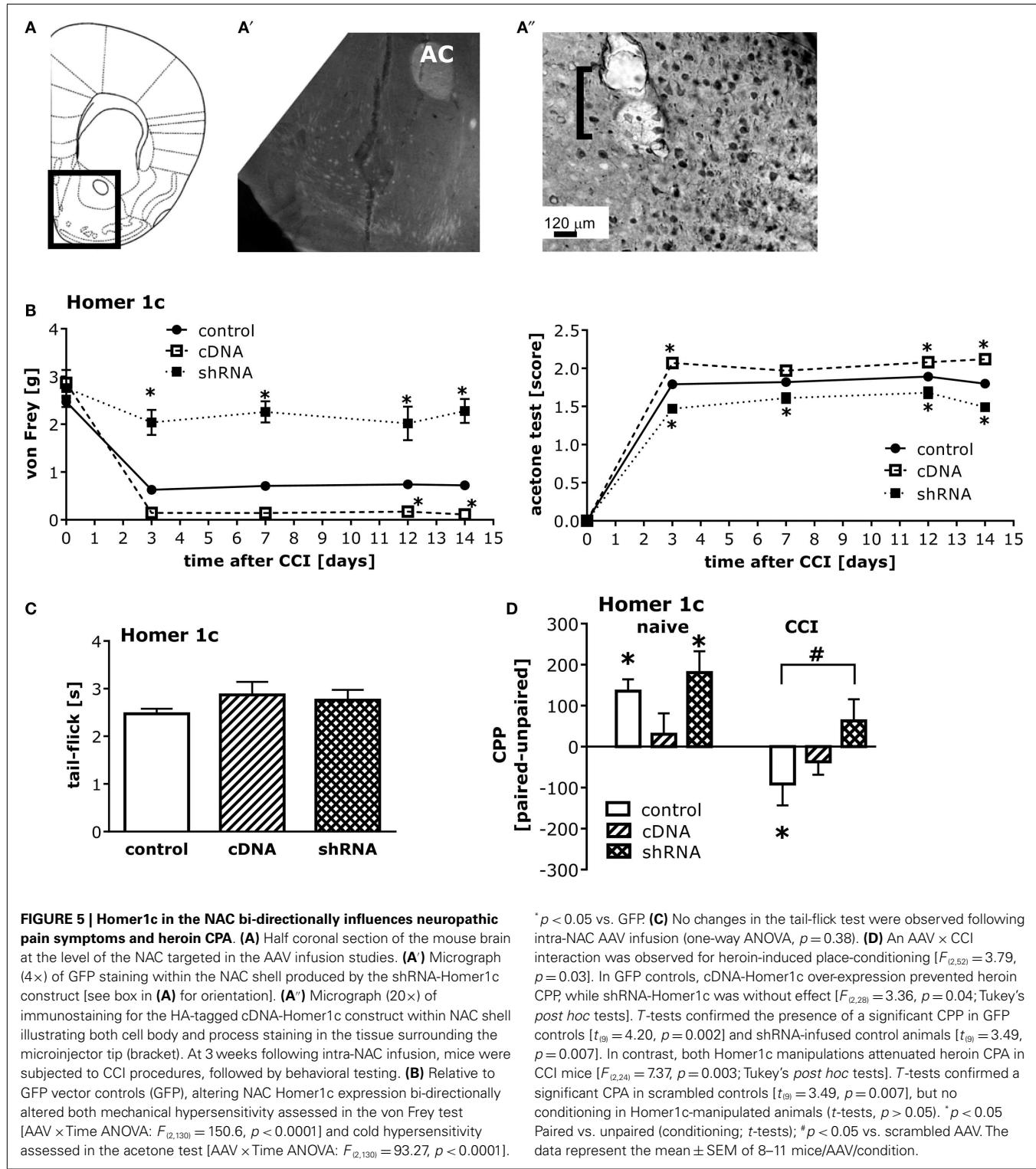
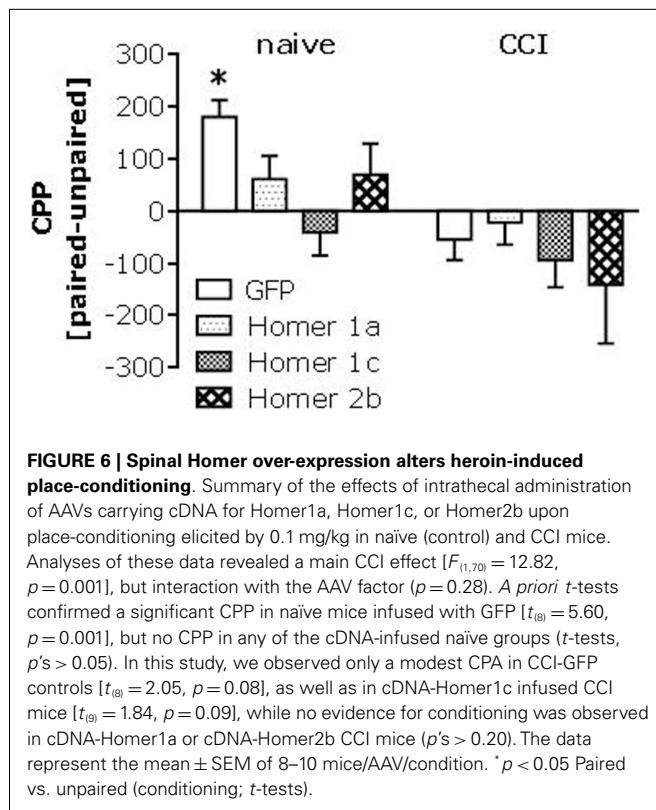


FIGURE 5 | Homer1c in the NAC bi-directionally influences neuropathic pain symptoms and heroin CPA. **(A)** Half coronal section of the mouse brain at the level of the NAC targeted in the AAV infusion studies. **(A')** Micrograph (4 \times) of GFP staining within the NAC shell produced by the shRNA-Homer1c construct [see box in **(A)** for orientation]. **(A'')** Micrograph (20 \times) of immunostaining for the HA-tagged cDNA-Homer1c construct within NAC shell illustrating both cell body and process staining in the tissue surrounding the microinjector tip (bracket). At 3 weeks following intra-NAC infusion, mice were subjected to CCI procedures, followed by behavioral testing. **(B)** Relative to GFP vector controls (GFP), altering NAC Homer1c expression bi-directionally altered both mechanical hypersensitivity assessed in the von Frey test [AAV \times Time ANOVA: $F_{(2,130)} = 150.6$, $p < 0.0001$] and cold hypersensitivity assessed in the acetone test [AAV \times Time ANOVA: $F_{(2,130)} = 93.27$, $p < 0.0001$].

* $p < 0.05$ vs. GFP. **(C)** No changes in the tail-flick test were observed following intra-NAC AAV infusion (one-way ANOVA, $p = 0.38$). **(D)** An AAV \times CCI interaction was observed for heroin-induced place-conditioning [$F_{(2,52)} = 3.79$, $p = 0.03$]. In GFP controls, cDNA-Homer1c over-expression prevented heroin CPP, while shRNA-Homer1c was without effect [$F_{(2,28)} = 3.36$, $p = 0.04$; Tukey's *post hoc* tests]. *T*-tests confirmed the presence of a significant CPP in GFP controls [$t_{(9)} = 4.20$, $p = 0.002$] and shRNA-infused control animals [$t_{(9)} = 3.49$, $p = 0.007$]. In contrast, both Homer1c manipulations attenuated heroin CPA in CCI mice [$F_{(2,24)} = 7.37$, $p = 0.003$; Tukey's *post hoc* tests]. *T*-tests confirmed a significant CPA in scrambled controls [$t_{(9)} = 3.49$, $p = 0.007$], but no conditioning in Homer1c-manipulated animals (*t*-tests, $p > 0.05$). * $p < 0.05$ Paired vs. unpaired (conditioning; *t*-tests); # $p < 0.05$ vs. scrambled AAV. The data represent the mean \pm SEM of 8–11 mice/AAV/condition.

relation between pain symptomatology and low-dose heroin aversion. In further support of a direct cause-effect relation between pain and heroin aversion, cDNA-Homer1 infusion into either the NAC or spinal cord augments pain hypersensitivity and abolishes heroin CPP in injury-naïve animals. Furthermore, intra-NAC

shRNA-Homer1c infusion, a manipulation that reduced pain hypersensitivity following CCI, prevented subsequent heroin CPA. However, neither intra-NAC nor intrathecal cDNA-Homer1c infusion potentiated heroin CPA in CCI animals. In fact, NAC cDNA-Homer1c transduction in CCI mice attenuated heroin CPA,



although the magnitude of place-conditioning was not statistically different from GFP-infused CCI controls. These data, coupled with the lack of any significant cDNA effect in our spinal cord study (where weak CPA was observed in CCI mice) argue against a ceiling effect limiting the expression of CCI-induced CPA. Arguably, however, the fact that the effects of cDNA-Homer infusion upon heroin place-conditioning were not additive with those produced by CCI alone might be interpreted to reflect mechanistic interdependency, an interpretation that would be consistent with the notion that CCI-induced increases in glutamate receptor/Homer expression are neuroadaptations that promote dysphoric states.

Indeed, the present data from the studies of transgenic mice support this possibility as no evidence for CCI-induced heroin CPA was apparent in any mutant strain; in fact, both *Homer1* and *Homer2* KO mice exhibited conditioned approach behavior following nerve injury. That both *Homer1a* deletion and the *Grm5^{R/R}* transgene exacerbate neuropathic pain symptoms, while neither *Homer1* nor *Homer2* deletion influence pain hypersensitivity (Obara et al., 2013), argues that the severity of neuropathic pain symptoms is not a determinant of CCI-induced deficits in heroin CPP (Table 2). CCI-induced neuropathy likely involves temporally dynamic changes in inducible vs. constitutive Homer expression, with early post-injury elevations in inducible Homers facilitating synaptic rearrangement that is later maintained by injury-induced increases in CC-Homer expression (e.g., Miletic et al., 2005, 2009; Miyabe et al., 2006; Tappe et al., 2006; Ma et al., 2009; Obara et al., 2013). Thus, the genetic interruption

Table 2 | Comparison of the effects of constitutive gene mutations affecting mGluR5-Homer interactions or AAV-mediated changes in Homer expression upon the development of neuropathic pain symptoms following CCI, the expression of a low-dose heroin CPP, and the heroin CPA observed in CCI animals (present study; Obara et al., 2013)¹.

Gene manipulation	CCI pain symptoms	Heroin CPP	CCI-induced heroin CPA
CONSTITUTIVE GENE MUTATION			
<i>Homer1a</i> KO	↑ ¹	↓	↓
<i>Homer1</i> KO	No effect ¹	↓	↓
<i>Homer2</i> KO	No effect ¹	↓	↓ (Full reversal)
<i>Grm5^{R/R}</i>	↑ ¹	↓	↓
AAV-MEDIATED GENETRANSFER			
NAC cDNA-Homer1c	↑	↓	↓
NAC shRNA-Homer1c	↓	No effect	↓
IT cDNA-Homer1a	↓ ¹	↓	No effect
IT cDNA-Homer1c	↑ ¹	↓	No effect
IT cDNA-Homer2b	↑ ¹	↓	No effect

CCI, chronic constriction injury of the sciatic nerve; CPA, conditioned place-aversion; CPP, conditioned place-preference; IT, intrathecal; NAC, intra-nucleus accumbens.

of the temporal dynamics of the interplay between inducible and CC-Homer protein expression at glutamate receptors, and likely other Homer-interacting molecules, while not always sufficient to prevent neuroplasticity within pain pathways, appears to be sufficient to prevent whatever mesocorticolimbic neuroplasticity mediating CCI-induced deficits in heroin-conditioned reward. Given the present data, it becomes important to characterize more systematically: (1) how heroin dose interacts with a chronic pain state to influence drug reward/reinforcement and to relate these interactions to the expression of different Homer isoforms, as well as their major interacting partners throughout the central nervous system; (2) to extrapolate findings for heroin to prescription opioid drugs employed in pain management, and importantly; (3) to examine the relevance of injury-induced changes in glutamate receptor/Homer expression for the incentive motivational properties of opioid and other non-opioid analgesic drugs (e.g., cannabinoids). Arguably, such lines of investigation will enable a better understanding of the molecular and cellular processes mediating pain-induced dysphoria, which has relevance not only for therapeutic intervention of pain-induced negative affective states, but also individual vulnerability to develop abuse or addiction during pain management with opioid or non-opioid drugs with high abuse potential.

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