

Online multi-object tracking by detection based on generative appearance models

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Abstract

This paper presents a robust online multiple object tracking (MOT) approach based on multiple features. Our approach is able to handle MOT problems, like long-term and heavy occlusions and close similarity between target appearance models. The proposed MOT algorithm is based on the concept of **multi-feature fusion**. It selects the best position of the tracked target by using a robust appearance model representation. The appearance model of a target is built with a **color model**, a **sparse appearance model**, a **motion model** and a **spatial information model**. In order to select the optimal candidate (detection response) of the target, we calculate a **linear affinity function** that integrates similarity scores coming from each feature. In our MOT system, we formulate the problem as a **data association problem** between a set of detections and a set of targets according to their joint probability values. The proposed method has been evaluated on public video sequences. Compared with the state-of-the-art, we demonstrate that our MOT framework achieves competitive results and is capable of handling several challenging problems.

Keywords: Multiple object tracking, Data association, Tracking by detection, Sparse appearance model, Multiple features.

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1. Introduction

Multiple object tracking (MOT) is used for many computer vision applications, such as robotics, video surveillance and activity recognition. Despite a steady increase in research focusing on MOT systems, it is still a challenging unsolved problem. Tracking an object is the task of predicting the target path during its presence in the field of view of a camera while multiple object tracking is the task of tracking a target and separating it from other similar objects to be tracked.

In order to perform the MOT task, several problems have to be addressed. In the recent years, MOT operates on detection responses coming from an object detector, typically a person detector. While this approach is less flexible than MOT based on background subtraction, it has the advantage of avoiding to have to deal with the fragmentation problem. The focus is thus more on the data association problem. Still many problems have to be solved.

One of the MOT problems comes from false detection responses where the target is not detected at all times (see figure 1 (a)-(c)). It depends on the quality of the technique used to extract detection responses. Another problem is related to occlusion. In crowded environments, we can find occlusion between similar targets (for example two persons), occlusion between a target and a fix object (for example an object from the background) and total occlusion where the target is totally invisible (see figure 1 (d)-(f)). In addition, the similarity of the appearance model of the targets can present a big challenge for MOT. Targets can have similar appearance, have similar movement and have the same size (see figure 1(a) person in green bounding box and person in yellow bounding box). The last MOT problem comes from the unknown number of targets, that is, the number of targets can change widely over time. A robust MOT is a tracking approach that can better handle the problems stated above by improving the detection responses, the appearance model of the target and the data association between targets and detection responses.

In this paper, we propose an online multi-object tracking in a multi-feature framework that addresses the aforementioned difficulties. MOT algorithms can be classified into two categories: online (or streaming) MOT and offline (or batch) MOT. Offline MOT uses information from past and future frames to predict the current position of targets while online MOT only uses information from past frames. Our proposed approach is an online

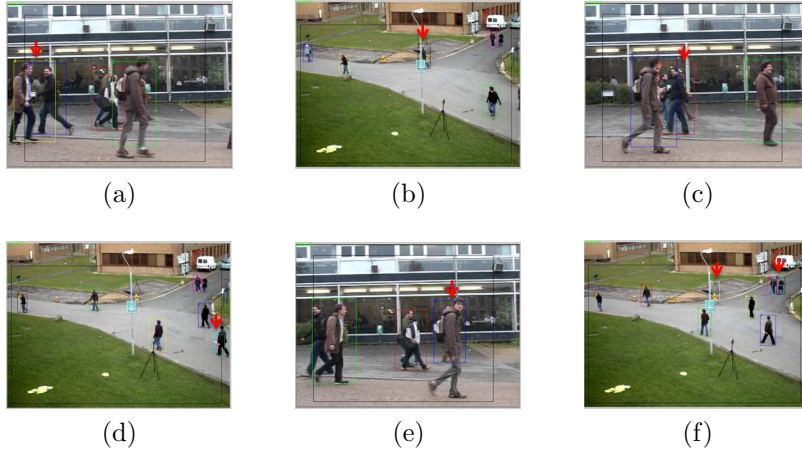


Figure 1: Typical situations showing MOT problems: (a),(b),(c) Occlusions indicated by the red arrow, and (d),(e),(f) False alarm and poorly localized detections indicated also by red arrows

38 MOT. We address the tracking of people using a person detector. How-
 39 ever, our method can be applied to any pre-trained detector outputs. Our
 40 algorithm capitalizes on the strength of using multiple cues to build the ap-
 41 pearance model of the target. This work demonstrates that an efficient way
 42 to ameliorate the performance of a MOT system is to use a robust target rep-
 43 resentation in addition to a good data association technique. This is justified
 44 by the fact that appearance modeling is a crucial component for associating
 45 targets and detections because the observation model can be highly dynamic
 46 and the complex interactions between similar targets may cause ambiguities.

47 A MOT process relies on two main components: the target appearance
 48 model and the data association strategy to select the best candidate for
 49 each target. These components are not trivial to design because it necessi-
 50 tates answers to many questions: How to decide what is the best candidate?
 51 When should we interpret a target as being occluded? Is the target partially
 52 or totally invisible? This requires an efficient representation of the target
 53 model, which is a priori unknown. The contributions of our work relate
 54 to both aspects: the appearance model of the target and the data associa-
 55 tion strategy. For the target representation, the appearance model is built
 56 using multiple cues coming from independent and complementary features:
 57 color histogram, motion histogram, sparse model and spatial information.
 58 A robust target representation is obtained that allows distinguishing targets

from each other. Regarding the data association strategy, we adopted the Hungarian algorithm to associate detection responses with the set of targets frame-per-frame. Furthermore, to handle particular cases (like occlusion between targets, unknown number of the targets, etc.), we filter the associations (delete incorrect associations and add new associations) between the list of targets and the list of detection responses according to their state (occluded, active or hypothesized target). This way, we can manage the data association in order to select the best candidate (detection response) for the appropriate target. The main contributions of this paper are:

1. a novel MOT method that combines the strengths of many successful appearance models, namely sparse appearance model and locality sensitive histograms;
2. a data association between targets and candidates that is scored by an affinity function that fuses multiple cues coming from independent features;
3. an interpolation process for the target position that is based on spatial information. Thus, a target can be tracked even it is not detected or it is invisible for some time. The online interpolation of the position of the lost target is based on the history of movement of the target;
4. experimental results demonstrating that the proposed approach is applicable to a variety of tracking scenarios and that our approach outperforms several recent MOT approaches.

The rest of the paper is organized as follows. Section 2 reviews the state-of-the-art approach for MOT. Section 3 describes in detail the proposed approach. In section 4, we present experimental results for our MOT algorithm. Section 5 provides the main conclusions of our work.

2. Related works

As discussed previously, a MOT system can be improved either by improving the detection responses, the data association strategy or the appearance model of the target. Progress has been done recently on all these aspects.

Detection responses. To avoid the problems related to background subtraction (cluttered background, dynamic backgrounds, etc.), many

works use an object detector outputs for their MOT system. In fact, if the task is to track one kind of object (like human, cars, etc.), it is more suitable to use an object detector, as the problem of object fragmentation is avoided. Some recent works use model-free single object trackers with an object detector to ameliorate the detection response outputs. In [1], authors use a particle filter tracker combined with a vote-based confidence map of an object detector. They use the detector as a confidence score. Breitenstein et al. [2] follow a tracking by detection approach for their MOT algorithm. The authors use particle filter outputs along with person detector outputs to handle occlusions and missing detections. The object detector is used in two ways: as a confidence score term through probabilistic votes for matching (ISM detectors) and to locate the targets (HOG detector). In a similar spirit, authors in [3] exploit a MOT framework based on combining tracking and detection. The tracker and the object detector are used as two independent identities and their outputs are integrated in the data association phase. In contrast to other tracking-by-detection approaches, this approach [3] works on results of both an object detector and multiple basic trackers. In [4], authors develop a MOT algorithm that uses object detection to supervise single object trackers. A Bayesian filtering based single object tracker is applied to every frame to predict the current position of the target. A human detector with high precision is associate with a person tracker based on their similarity score. The similarity score is calculated by combining multiple cues (color, shape, and texture) to build the observation models. However, the cues are human specific and focus on the upper part of the human body (face and torso). To get optimal maximizing assignments, authors use the Hungarian algorithm. If a detection is assigned to an existing trajectory, this detection will be used to update the corresponding trajectory. Else, a new trajectory will be initialized.

Data Association. In MOT systems, an additional challenge arises: it is the data association. In fact, it is the answer for the question of which detection should be assign to which target. Each detection response must be assigned to a target or discarded as a false alarm or added as a new target. In general, classical data association approaches are used like the Joint Probabilistic Data Association Filter (JPDAF) [5] and Multiple Hypotheses Tracking (MHT) [6]. They jointly con-

sider all possible associations between targets and detection responses. Alternatively, the Hungarian algorithm [7] [4] and the greedy search algorithm can be used to recursively select the best assignment between a set of targets and the set of detections. More recently, tracking by tracklets approaches were exploited [8] [9] [10] [11]. This technique re-frames data association process as a set of local trajectory fragments. For example, in [12], the authors propose a Latent Data Association approach where each detection is considered as its own target. So, the data association is re-formulated as a single Switching Linear Dynamical System (SLDS), i.e. linking these single detections (single targets) into longer trajectories. Yang et al. [11] introduced an online learning approach with a CRF model for tracking by tracklets approach. They add discriminative features to differentiate corresponding pairs of local tracklets. The CRF model is learned in each sliding window repeatedly. Each tracklet should be associated with one and only one tracklet. In other work done by Huang et al. [13], the data association between local tracklets is done in a hierarchical framework on three levels. In the first level, only single detection responses are matched. In the second level, short tracklets are combined to form longer tracklets. At the high level, occluded tracklets are re-assigned to handle the occlusion problem. In [10], authors proposed a MOT system by linking tracklets into long trajectories by finding a joint optimal assignment between global information (linking tracklets) and local information (linking detection responses). Trajectories are updated iteratively until convergence.

The work of [14] also exploit the notion of tracklets to achieve the data association step. They incorporate the benefit of person recognition to associate local tracklets. In fact, tracklets are classified into two categories: query tracklets and gallery tracklets. First of all, tracklets are generated by matching short trajectories of the targets (linking detection responses between two consecutives frames). After that, the tracklets are classified. A gallery tracklet is a tracklet which is longer than a threshold and is not covered by any other tracklet. In fact, the more a trajectory is long the more it is reliable. A query tracklet is a tracklet who is missing some feature of the target. The association of tracklets is based on three similarity scores: the motion, the time (as a step function) and the appearance where the motion cue is defined based on time gap between tracklets (the tail of the first tracklet and

the head of the second one), the geometric position and the velocities of the tracklet.

Another work is proposed in [15] in which the data association is achieved in different levels: global data association (matching between trajectory), tensor approximation representation via a power iteration solution, optimization framework using context information (motion information). The data association step models the interaction energy between multiples and individual trajectories in an optimization framework using contextual information until convergence. The contextual information is based on two kind of motion descriptors. First, the low-level motion context (specific motion context) is generated based on the non-maximum suppression strategy (NMS). By estimating the motion consistency value (using the orientation similarity and the speed similarity between any two associated trajectories), the interaction between a pair of association is modeled. Second, the high-level motion context which is divided into two types: the motion interaction between association and tracklet (based on the average motion interaction between an association and neighboring tracklets) and the motion interaction between two associations (based on the temporal average of motion similarities between a pair of associations). The calculation of the low-level and the high-level motion context used the spatial displacement velocity vector (defined by the difference between spatial position). Their approach is similar to tracking by tracklets. The only difference is that the data association is done only between two tracklets in a short term (neighboring tracklets). So, it will have difficulty in handling the variation of the number of targets (exit and entry target).

In Fabio et al. work [16], a generic MOT method is proposed that is performed directly on confidence map. The confidence map is a representation of likely detection locations. In fact, a modified particle filter algorithm is applied on the confidence map. Besides the geometric position, the velocity and the intensity of the target, a target identity is integrated in the particle state. The ID state allows the approach to deal with unknown number of targets. The IDs assignment is performed using a Mean-Shift clustering supported by a GMM to obtain a robust matching of target identities within each cluster. To handle the ID mixing (specially in case of close targets), the ambiguity between targets IDs is resolved using an MRF (a Markov Random Field) of target birth

and target death. Different to other approaches, the data association in [17] step is formulated into a minimisation problem. In fact, an energy function is estimated for each trajectory of targets. Then this energy function should be minimised to obtain a long trajectory (by linking smaller ones). Initially, authors use a Kalman Filter tracker to obtain initial trackers and then a greedy search based data association is applied to obtain initial trajectories. Thereafter, the minimization of the energy function is solved by executed different moving jump namely growing and shrinking of trajectories by adding some target location on the current trajectory or by weeding out incorrect targets from trajectories, merging (if the energy function of two paths is lower than the energy function of each one separately) and splitting (split a path in two smaller paths if the energy function of each path is lower than the original one), adding (if a detection is not assigned to an existing path, a new path should be created) and removing (a path is full deleted if its minimum energy function is above a threshold). The assignment step is not described in the paper but it is done indirectly using the appearance model and occlusion reasoning.

Appearance model. The appearance model of a target is the representation used to describe a region of interest. The appearance model can be based on target shape, color [18], motion properties [11] [19] and geometric properties [20]. Furthermore, the appearance model can be based on multiple features combined together. For example, in [21], for single object tracking, the appearance model is build using colour histogram and orientation histogram in a particle filter framework. In [20], the authors proposed a MOT algorithm dedicated to sport video sequences. The player appearance model is defined by a statistical and dynamical model (the position, the scale, the velocity and the optical flow). In Possegger et al. [22], they exploit geometric properties to create the appearance model of the target to handle the occlusion problems. They integrate the spatio-temporal evolution of occlusion regions, motion prediction and object detector reliability. Their work proved that geometric properties can help to handle occlusion between targets. In [8], the authors use three independent features to model each target which are color histograms, covariance matrices and histograms of gradients (HOG).

In [4], authors use multi-cues to build the appearance model but in a

different manner. The model is highly specialized. Different appearance models are used to represent a particular part of the human body. The kernel-weighted color histogram is calculated for the head and the upper of torso region. The histogram consists of 8 bins for each color canal (R, G or B). To be robust to occlusions, two histograms are used to compare the dissimilarity: the first one is the last histogram of the target and the second one is the mean histogram of the target (created based on the average of the few latest histograms). The Bhattacharyya coefficient is applied to compare histograms. Besides, the head region is represented by an elliptical model. The intensity gradients vectors and the gradients are estimated for the ellipse ($K=36$ normal vectors). The dissimilarity is then based on calculating the angle θ_k between the largest gradient and the k -th normal vector as:

$$1 - \frac{1}{K} \sum_{k=1}^K |\cos(\theta_k)| \quad (1)$$

The last feature is a bag of local features that is extract on the upper part of torso region to capture the textural characteristics of this part. The features used are fast dense SIFT-like features on each grid (defined by 4×4 pixels). A local features based histogram is estimated on 256 clusters for each region. As the color histogram, the Bhattacharyya coefficient is used to measure the difference between histograms. Then a dissimilarity function is calculated as a linear and weighted combination of the dissimilarity functions of each cue. Although the appearance model is specific for each part of the upper region of the human body, it is difficult to build it. Indeed, the extraction of the head region and the upper part of the torso requires advanced strategies. This explain the fact that authors use a multi-view human head detector based on CNN (Convolutional Neural Network). However, it is not obvious to obtain the head region of the target (for example, in the case that the head of the person is occluded but the rest of the body of the person is visible in the video sequence) because this part of body is very likely to be occluded because it is small compared to the rest of the body. This MOT approach can be applied only for human tracking and for some special datasets. In contrast, the approach that we are proposing aims at describing the complete region of the object for better robustness to occlusion. Furthermore, we aim at proposing an appearance model

that can be applied to a variety of objects.

Authors in [14] uses multiple cues to learn the appearance model. The used cues are the colour (RGB color histogram with 8 bins for each color canal), shape (HOG histogram) and texture (covariance matrices). A single descriptor is calculated for each support region via one feature. In fact, the person image is divided into a set of rectangles (654) respecting the constraints of the width and height ratio. So, the appearance descriptors are generated for each person image patches to calculate the similarity between targets. To compare the histograms, belonging to targets, the correlation coefficient is used. The final similarity function is a linear combination of each similarity measurement for each descriptor (where each descriptor has a weight which reflects its importance). Those descriptors are then trained using the standard Adaboost algorithm to sequentially select the best descriptor (the descriptor which gives the best comparison of the similarity). Indeed, the training data are collected by using the ground-truth of a dataset. A positive sample is defined by a pair of sample images belonging to the target and a negative sample is defined by a pair of sample images belonging to different targets. The similarity scores for positives and negatives samples are integrated into a standard Adaboost algorithm to learn the pool of features for different regions. According to [14], the color histogram descriptor on smaller regions is the most often selected while the covariance matrices are the least selected. The learning of the best descriptors is a kind of off-line learning. Thereby, the appearance model of the target requires prior knowledge of the structure of the target model.

The notion of multi-cues has a different use in the work of [23]. This work is based on fragTrack algorithm where each part of the objects is modeled separately. Each object fragment is represented by a cue. So, a multi-cue based approach is used to model multiple fragments for the object.

In [17], authors propose an energy function (or cost function) that offers a more complete representation of the target. In fact, authors give a robust representation for the target trajectory instead of representing directly the target. The energy function is calculated using: data term which allows to keep the trajectories close to the observations (obtained by estimated the localisation of the target relative to the detection lo-

calisation using an isotropic shaped function), dynamic term (a target motion constraint estimated by a constant velocity model), mutual exclusion term to avoid the case in which two targets come too close to each other (a penalty function is calculated based on the targets’s volume intersections), trajectory persistence term (help to avoiding track fragmentation or abrupt track termination problems by using a sigmoid centered on the border of the tracking region) and a regularizing term to prevent the number of targets from growing (is calculated using the length of a trajectory and the number of targets). Besides those terms, the appearance model of the target is also added to calculate the energy function. An RGB color histogram with 16 bins is estimated on the Gaussian weighted region of the detection (to favor center pixels and delete the pixels along region borders). The construction of the appearance model of the trajectories requires the intrinsic and extrinsic camera parameters. In fact, besides the image coordinates, the target is defined by its real world coordinates.

The motion feature is widely used to build the appearance model. In [24], the motion model is the motion relation between two targets calculated using the position and the velocity difference. In other word, the relative motion model is a set of linked edges between different objects (including self-motion model for an object). To estimate the similarity score, a posterior probability is calculated bases on the relative motion models and their weights (calculated using event probabilities and observations). It is estimated with a Bayesian filter. Besides the relative motion models, the data association is achieved using the size similarity (ratio of the difference between the width and the height) and the appearance similarity (color histogram).

The approaches described above improve tracking performance in different ways, but can be quite complex because of using an object tracker (for tracking by using a model-free visual tracker) and a graph structure. In this work, we argue that creating a robust appearance model should be first addressed. In fact, for the data association step, the appearance model is used as input to estimate the affinity function for each target to be tracked. Also, to be robust to appearance model changes (like illumination and scale change), an update of the appearance model should be achieved.

By taking inspiration from previous work, we aim to improve MOT based on the three aspects described above. First, we follow a tracking by detec-

tion strategy. Secondly, we build a robust appearance model that combines intrinsic properties (color histogram and sparse representation) and motion properties (optical flow and geometric position). Finally, for simplicity, the optimal single-frame assignment is obtained by the Hungarian algorithm. A filtering step is done to handle association problems (the loss of the target, reappearance of the target, the exiting of the target and the entering of a new target in the scene) by deleting or adding some associations. For the false alarm detection, we can use the motion appearance model to interpolate the lost position of such target. After improving the appearance model, a target management step is achieved to alleviate the inter-occlusion (occlusion of targets with a fixed object in the scene) and intra-occlusion (occlusion of the current target with other targets) problem.

3. Proposed method

3.1. Motivation and overview

Our MOT method has the four steps outlined in figure 2. An object to be tracked is an ROI (region of interest) defined by a bounding box (rectangle) inside a frame. The set of target features is initialized with the features estimated on the detection responses in the first frame. The detection responses are found in each frame with a pre-trained person detector. In order to decrease the number of false detections, we filter the set of detection responses by removing those with inappropriate sizes or with lower classification confidence values. A set of a known number of tracks is initially built in which each target is defined by a state (see section 3.3.3) and a set of features. The set of targets will be updated dynamically to reflect appearance model changes and to handle MOT problems (as discuss in section 1). In addition to a color and a sparse representation model of the target, we also propose a motion model that includes optical flow feature and spatial feature. The motion model allows us to avoid false associations (or assignments) between targets and detection responses. For each frame, an affinity function is calculated which reflects the similarity between a target and a set of current candidates (a candidate is a detection response) based on their appearance model. More specifically, the appearance model of a target is defined by four features:

1. A color histogram H_c is used to encode the color information of the target. The Euclidean distance between histograms is used to evaluate the color similarity between targets and candidates.

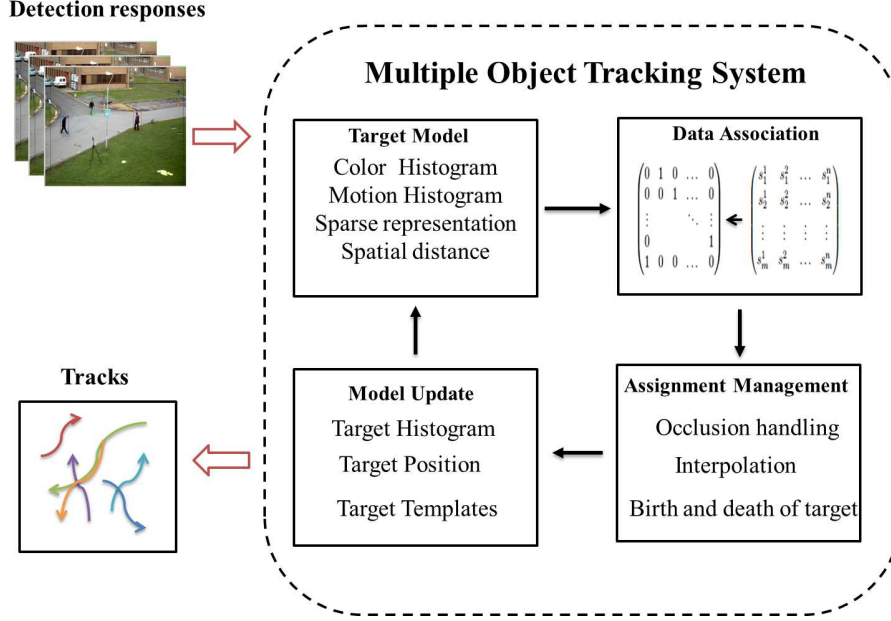


Figure 2: Method overview

2. A sparse representation error p reflects the projection error of the candidate in a template space of the target. In fact, each candidate is sparsely and linearly projected into target templates, which are linearly generated from the last bounding box of the target.
3. A histogram of oriented optical flow H_m is used to encode the motion properties of the target.
4. The spatial consistency \vec{d} reflects the geometric correlation between target and the list of candidates in term of Euclidean distance between the target center point and the center point for each candidate.

The data association is a crucial task in our MOT framework. It is the task of associating existing targets (or trajectories) to different candidates (detection responses). Instead of doing the association in one step, the data association will be achieved in two steps or at two levels. In fact, we have two principal categories for the state of a target: occluded or active (visible). Active targets are matched in priority before occluded targets because we cannot know if an occluded target will be visible at that time or not. Data association of occluded targets is more uncertain. Therefore, fully visible

400 targets will be assigned first. In other words, the data association is done in
 401 two hierarchical levels: active level and occluded level. All visible targets are
 402 assigned at the active level with all detection responses and the rest (occluded
 403 targets) are assigned at the occluded level with the not yet assigned set of
 404 detection responses later on. Then, all valid assignments between targets and
 405 detections are combined to achieve the global data association step. A global
 406 assignment matrix is then obtained. The assignment matrix is composed of
 407 1 or 0 values: 0 if the assignment is not valid (a target is not matched with
 408 a detection) and 1 if the assignment is valid (a target is matched with a
 409 detection response). To handle occlusion problems, the assignment matrix
 410 should be filtered which means that if an assignment is not reliable, it should
 411 be deleted and if an assignment is reliable, it should be kept. This is achieved
 412 by creating a state for each target. Then, based on the state of the targets
 413 and the similarity score value, an assignment can be deleted or added. Data
 414 association is achieved by applying the Hungarian algorithm [22].

415 3.2. Multi-features based model

416 A target is represented by four independent descriptors that reflect the in-
 417 trinsic properties (color and sparse appearance model) and the motion prop-
 418 erties (optical flow and spatial feature). Each feature describes an object
 419 by considering different properties. In fact, the color reflects the distribu-
 420 tion of the intensity value of the object, the sparse model reflects the linear
 421 combination of the intensity of the object into other intensity templates, the
 422 optical flow is the differential of the intensity values for the object and finally
 423 the spatial feature reflects the geometric characteristics. Although the color,
 424 sparse and the optical flow features are based on the color characteristics for
 425 their computation, we still consider them independent because they measure
 426 different properties of color (respectively, the color distribution, the orga-
 427 nization of the color in a template, and color differential). Also, they are
 428 independent in the term of their decision. For example, if two objects have
 429 similar color feature, they will not necessary have similar motion feature or
 430 be sparsely projected with the same templates.

431 These descriptors are used together to define the similarity of the appear-
 432 ance model. Thus, we obtain a powerful discrimination of all tracked targets.
 433 We build a global appearance model F^t at each time t

$$F^t = [H_c, p, H_m, \vec{d}] \quad (2)$$

434 where H_c is the concatenation of locality sensitive histograms at each pixel,
 435 p is the probability error of the sparse projection, H_m is the oriented optical
 436 flow histogram and \vec{d} is the vector of Euclidean distances between target and
 437 candidate center points.

438 3.2.1. Color appearance model

439 The color histogram is built at each pixel location of the bounding box
 440 of the target. We use a recent approach of histogram representation called
 441 locality sensitive histogram (LSH) [25]. As defined, the LSH is a set of local
 442 histograms at each pixel location. For object tracking application, target pix-
 443 els inside a local neighborhood should not have an equal contribution. Pixels
 444 further away from the center should be weighted less than pixels closer to
 445 the target center. The LSH is the sum of weighted intensity values around
 446 a neighborhood region. Mathematically, let H_{px}^E the locality sensitive his-
 447 togram at pixel px inside a neighborhood region E :

$$H_{px}^E = \sum_{q=1}^{px} \alpha^{|px-q|} \cdot Q(I_q, b), b = 1, \dots, B, \quad (3)$$

448 Where $\alpha \in [0, 1]$ is a parameter controlling the weight of pixel and $Q(I_q, b)$ is
 449 equal to zero except when intensity value I_q belongs to bin b . The LSH can
 450 be calculated based on the contribution of pixels from the left side (pixels on
 451 the left of pixel px) and the right side (pixels on the right of pixel px). So,
 452 the LSH can be written as:

$$H_{px}^E(b) = H_{px}^{E,left}(b) + H_{px}^{E,right}(b) - Q(I_{px}, b), \quad (4)$$

453 Where:

$$H_{px}^{E,left}(b) = Q(I_{px}, b) + \alpha \cdot H_{px-1}^{E,left}(b), \quad (5)$$

454

$$H_{px}^{E,right}(b) = Q(I_{px}, b) + \alpha \cdot H_{px+1}^{E,right}(b), \quad (6)$$

455 Pixels from the right side do not contribute to calculate $H_{px}^{E,left}$ and pixels
 456 from the left side do not contribute to calculate $H_{px}^{E,right}$. The LSH is then
 457 normalized at each pixel location. The normalization factor n_{px} at pixel px
 458 is:

$$n_{px} = \sum_{q=1}^{px} \alpha^{|px-q|} \quad (7)$$

459 The distance between two locality sensitive histograms can be computed as:

$$D(H_t, H_c) = \sum_{b=1}^B (|H_t(b) - H_c(b)|), \quad (8)$$

460 Where H_t is the target histogram and H_c is the candidate histogram.

461 3.2.2. Sparse representation model

462 Sparse appearance models have attracted a lot of attention in recent years.
 463 We adopted and modified the sparse representation technique developed in
 464 [26] to fit into our MOT framework. The sparse representation model aims at
 465 calculating the projection errors of the candidate model into the dictionary
 466 of target templates. The candidate is represented as a linear combination of
 467 the template set of the target. A target template dictionary is constructed
 468 by a set of templates generated by doing small translations around the tar-
 469 get bounding box. There are two types of templates: main target templates
 470 and trivial templates (containing trivial pixels such as pixels from the back-
 471 ground). A good target candidate is a candidate that can be efficiently
 472 represented by only the target templates, while, a bad target candidate is
 473 represented by a dense representation (represented by the use of many triv-
 474 ial templates), which reflects the dissimilarity to target template. In our
 475 sparse representation model, we sparsely projected the detection responses
 476 in a template space of the target. A vector of approximate errors of the
 477 sparse representation projections is then obtained. It reflects the similar-
 478 ity between the target sparse model and the candidate (detection response)
 479 model. Given the set of n target templates $T = \{t_1, t_2, \dots, t_n\} \in \mathbb{R}^{d \times n}$, a
 480 candidate y is linearly projected into the target templates:

$$y = \vec{a}T = a_1t_1 + a_2t_2 + \dots + a_nt_n, \quad (9)$$

481 Where $\vec{a} = (a_1, a_2, \dots, a_n)' \in \mathbb{R}^n$ is the coefficient vector. To incorporate
 482 the effect of occlusion and noise on the target model, each candidate is rep-
 483 resented by trivial templates in addition to the target templates. Trivial
 484 template is a matrix of zeros in which each row has only one nonzero entry.
 485 Then, equation (8) can be rewritten as:

$$y = \vec{a}T + \vec{e}I, \quad (10)$$

486 Where $I = \{i_1, i_2, \dots, i_d\} \in \mathbb{R}^{d \times d}$ is a set of d trivial templates and $\vec{e} =$
 487 $(e_1, e_2, \dots, e_d)' \in \mathbb{R}^d$ is the trivial coefficient vector. Note that the number of

trivial templates is much larger than the number of target templates ($d \gg n$). In sparse representation model, we can say that templates are positively related to the target depending to the sign of the coefficient in the vector \vec{e} . So, the nonnegativity constraint is taken into consideration by adding two kinds of trivial templates: negative and positive trivial templates. Consequently, equation (9) is rewritten as:

$$y = \vec{c}B, \quad (11)$$

Where $B = [T, I, -I] \in \mathbb{R}^{d \times (n+2d)}$ and $\vec{c} = [a, e^+, e^-]' \in \mathbb{R}^{(n+2d)}$.

Each candidate is then sparsely represented according to equation (10). The similarity between a target x and a candidate y is transform to a l_1 minimization problem :

$$\min \|Bc - y_i\|_2^2 + \lambda \|c\|_1; s.t. c \geq 0 \quad (12)$$

Where $\|\cdot\|_2$ and $\|\cdot\|_1$ denote the l_2 and the l_1 norm used to solve the minimization problem and λ is a factor. The likelihood probability $p(y_i|x_t)$ between candidate sparse model y_i and target sparse model x_t at time t is then :

$$p(y_i|x_t) = \frac{1}{\tau} \exp[-\alpha \|y_i - cT\|_2^2], \quad (13)$$

Where c is the solution of equation (11), α is a constant, and τ is a normalization factor. A good candidate is a candidate that is approximated with small coefficients for the trivial templates and a bad candidate is a candidate for which the vector of coefficients is densely populated and the main approximation is done with trivial templates. The candidate with smallest projection error will have higher likelihood probability. An updating step for the target model is necessary to take into account local variation of the model (illumination, scale and pose changes). This is done by updating the template space according to the new bounding box of the target. If the tracking result is good, then a new set of template space will be generated from the target bounding box.

3.2.3. Motion appearance model

We propose to represent each target by its motion feature. We use the optical flow [27] to calculate this feature. To obtain the motion descriptor, we calculate the histogram of oriented optical flow (HOOF) [28]. First of all, the optical flow is calculated for each target bounding box. The calculation of the

518 optical flow vector is done by solving a differential equation that describes
519 the differential of intensity values at each pixel. So an optical flow vector
520 $\vec{v} = [v_x, v_y]$ is obtained on each dimension (row and column). Then, each
521 vector is binned according to its primary angle $\theta = \tan^{-1}(\frac{v_y}{v_x})$ and weighted
522 according to its magnitude $\sqrt{v_x^2 + v_y^2}$. The histogram of oriented optical
523 flow is then normalized to be robust to scale variations. To use the HOOF
524 histogram for computing candidates and target similarity, we compare the
525 HOOF histograms with the following equation:

$$D(H_t^m, H_c^m) = \sum_{b=1}^B (|H_t^m(b) - H_c^m(b)|), \quad (14)$$

526 Where H_t^m is the target motion histogram and H_c^m is the candidate motion
527 histogram.

528 3.2.4. Spatial model

529 The spatial information of a target enhances the study of the correlation
530 of targets position over time. The spatial constraint is used in two steps
531 of our algorithm: features extraction and data association steps to allow
532 exploring the spatial relationships of a target with each candidate. The
533 spatial information is used to avoid incorrect assignment with a far candidate
534 and to observe the dynamic of each target. We encode the spatial information
535 as geometric coordinates (i_x, i_y, w, h) of a target over time where (i_x, i_y) are
536 the coordinate of the target, (w, h) are the width and the height of the target.
537 The spatial similarity likelihood \vec{d} is then the vector of Euclidean distances
538 between center points of target and candidates:

$$d_i(j) = \sqrt{(i_x - j_x)^2 + (i_y - j_y)^2}, \quad (15)$$

539 where (i_x, i_y) and (j_x, j_y) are the center coordinates of a target i and a can-
540 didate j respectively. Note that the spatial proximity is taken into account
541 in the estimation of target and candidates similarity only in the case where
542 there is no occlusion (the target is visible).

543 3.3. Data Association

544 The MOT problem is formulated as a data association problem. The data
545 association is the step for finding the answer to the question: which detection
546 should be assigned to which target. This step aims at matching the set of

547 targets with the set of current candidates in order to define the current
 548 bounding box (the current position) of each target. The matching is done
 549 based on an affinity matrix (see section 3.3.2). Note that one target should
 550 be assigned to one and only one detection response. We follow a hierarchical
 551 matching process: step 1, matching only visible targets and step 2, matching
 552 only occluded targets (see algorithm 1). In order to handle occlusion and
 553 update the set of targets (adding new targets or deleting existing targets), a
 554 management step is done after the global data association.

555 3.3.1. Affinity function

556 To obtain a global similarity value, features are fused according to their
 557 weight. The global similarity map is thus created at time t to represent the
 558 target similarity considering all the features. Let $X^t = \{x_1^t, x_2^t, \dots, x_n^t\}$ be the
 559 set of all tracked targets at time t and $Y^t = \{y_1^t, y_2^t, \dots, y_m^t\}$ be the set of all
 560 detection responses at time t . The associated feature set $S = [s_1, s_2, s_3, s_4]$
 561 combines affinity function measures from the different features, that is the
 562 color histogram, the sparse feature, the optical flow feature and the spatial
 563 feature. More precisely:

564 s_1 is the difference between color histograms (LSH) for each object
 565 (target and detection).

566 s_2 is the probability of the error of the sparse linear projection for the
 567 target model into the detection response templates.

568 s_3 is the difference between HOOF histograms (optical flow based his-
 569 togram) for each object (target and detection).

570 s_4 is the spatial difference between the target position and the detection
 571 position in term of Euclidian distance.

572 The affinity function at frame t is then written as:

$$f_t(x_i^t, y_j^t) = \sum_k \alpha_k s_k(x_i^t, y_j^t), \quad (16)$$

573 where α_k denotes a weight for each feature and s_k represents the affinity
 574 function using the feature number k between the target state x_i^t and the
 575 detection response y_j^t . The weights α_k reflect the contribution of each feature
 576 to determine the similarity between targets and detection responses. They

Algorithm 1 Data association algorithm

- Compute the affinity function $f_t(x_i^t, y_j^t)$ for active targets and candidates
- Compute the assignment matrix by applying the Hungarian algorithm

for all valid assignments **do**

- if** $f_t(x_i^t, y_j^t) > threshold$ **then**
 - Delete assignment
- end if**

end for

- Compute the affinity function $f_t(x_i^t, y_j^t)$ for occluded targets and unassigned candidates
- Compute the assignment matrix by applying the Hungarian algorithm

for all valid assignments **do**

- if** $f_t(x_i^t, y_j^t) > threshold$ **then**
 - Delete assignment
- end if**

end for

- if** active target is not assigned **then**
 - target is set as occluded
- end if**
- if** occluded target is assigned **then**
 - target is set as active
- end if**
- if** candidate is not assigned and candidate is not in the in/out region **then**
 - candidate is set as hypothesized
- end if**
- if** candidate is not assigned and candidate is in the in/out region **then**
 - candidate is set as entering
- end if**
- if** candidate is not assigned and candidate stays in the in/out region for more than f frames **then**
 - candidate is set as exiting
- end if**

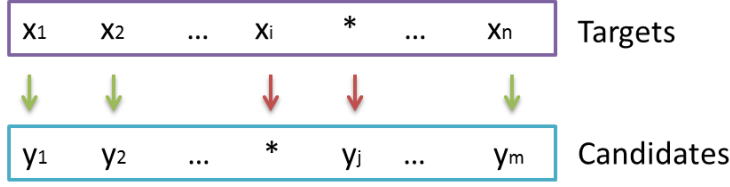


Figure 3: Targets Assignments

were calculated experimentally and are constant for all the tested videos. They are: 0.4 for color feature, 0.3 for sparse model feature, 0.1 for the optical flow feature and 0.2 for the geometric feature.

3.3.2. Hungarian algorithm

The optimal frame-by-frame assignment is achieved by using the Hungarian algorithm. The Hungarian algorithm finds the assignments that maximize the affinity function. First, an affinity matrix A_t at time t for each pair (x_i^t, y_j^t) is computed. $f_t(x_i^t, y_j^t)$ is the value in row number i and column number j . Then, the pair (x_*, y_*) with maximum score is iteratively selected for each row. An assignment matrix is then obtained. It contains 0 and 1 only for the selected matching pair. Only one selected pair per row.

3.3.3. Assignment management

Due to the variable number of targets over time, heavy occlusion between tracked targets and unreliable detection responses, MOT cannot be resolved by only a matching task. Thus, we exploit extra processing steps to handle such MOT problems. The challenging task is when a target is not assigned or a candidate is not labeled (see figure 3).

Target states. In addition to the geometric coordinate, the identifier and the set of features, a target can be defined also by its state. A state is used to distinguish visible targets from invisible ones, and new targets from exiting ones. Thus, a target can be:

1. *Active.* An active target is a visible target.
2. *Occluded.* An occluded target is a lost target caused by partial or total occlusion or false detection.
3. *Exiting.* An exiting target is a target that is temporarily out from the field of view of the camera.



Figure 4: In/Out region

4. *Entering.* An entering target is a new target added to the set of current targets.
5. *Hypothesized.* A hypothesized target is a candidate that is not assigned. It can be a new target appearing in the middle of a frame, a false detection or an existing target that is already deleted.

Entering and exiting of targets is determined based on an in/out region. The in/out region is selected manually along frame borders in the first frame (see the hatched area in figure 4). If a candidate is detected inside the in/out area, it will be added to the set of targets as a new track in the entering state. If an existing target stays in this area for more than a given number of frames, the target will be deleted from the current set of tracks and it will be marked as exiting. Therefore, the number of targets changes over time because of the process of birth of target (adding a new track) and the death of target (deletion of an existing track). To handle occlusion, a target can be labeled as occluded or active. In the case of unassigned target, this target is marked as occluded. An occluded target can be set as active target only if it is assigned with a low similarity score (its affinity function exceeds a threshold).

Interpolation of lost targets Until now, the data association step is done between the set of detection responses and the set of current targets. It means that if a currently tracked target is not detected at time t , it will not be assigned (it will be set as occluded). To handle the



Figure 5: Interpolation step. First column: incomplete targets trajectories during the occlusion. Second column: Estimation of targets movements. Third column: complete target trajectories

problem of false detection, we propose to interpolate the lost position of the target. The interpolation is achieved based on the history of motion between two states of the target: occluded target and active target (see fig 5). First, the motion vector of the lost target is estimated based on the history of movement of the target over time. Let us consider a given target x_i^t at time t , x_i^t is occluded since t_{occ} time and it is set as active at the current frame t_{cur} . Assuming that the targets move with a linear constant motion, the motion vector between two consecutive times is:

$$\vec{dep}(t_1, t_2) = |(\vec{v}(t_1) - \vec{v}(t_2)) / (t_1 - t_2)|, \quad (17)$$

Where \vec{v} is the coordinate vector $[x, y]$ of the target at time t and $t_1, t_2 \in [t_{occ}, t_{cur} - 1]$. Then, the lost position (during the occlusion time) is estimated as:

$$pos_t(x_i) = pos_{t-1}(x_i) + \mu_{dep} \quad (18)$$

Where μ_{dep} is the mean value of \vec{dep} during occlusion.

3.4. Model update

The appearance model changes during time because of many factors: scale change, pose change, illumination variation, etc. Thus, an update step is necessary. The update is done only when a good tracking is achieved. A good tracking is at a time when the matching score (the affinity function) exceed a threshold τ_{maj} . For the set of current targets, each feature is updated according to the new predicted position of the target.

4. Experiments

In this section, we present how our tracking framework helps to improve MOT performance.

Sequence	# frames	Persons	Resolution
<i>TUD-CAMPUS</i>	71	Up to 6	640x480
<i>TUD-CROSSING</i>	201	Up to 8	640x480
<i>TUD-STADTMITTE</i>	179	Up to 8	640x480
<i>PETS2009-S2-L1</i>	795	Up to 10	768x576

Table 1: Video sequence details

649 4.1. Experimental setup

650 We validated our proposed method on a variety of challenging video se-
651 quences: TUD Campus, TUD Crossing, TUD Stadtmitte and PETS2009
652 S2-L1 [29]. They are commonly used video sequences and they are very
653 challenging for several reasons. First, they show walking pedestrians in an
654 outdoor environment so lighting conditions are not controlled. Second, due
655 to large field of view, people get very small when they are far from the cam-
656 era making their tracking more challenging (PETS2009 video). Then, in
657 TUD dataset, targets have a similar size and they walk with similar speeds.
658 However, targets are frequently occluding each other (heavy inter-object oc-
659 clusion) and are occluded by static objects. To obtain the detections, we
660 use the detections originally provided with the videos [29]. For each detec-
661 tion response, the classification confidence term is provided. Video sequence
662 details are given in table 1.

663 4.1.1. Evaluation metrics

664 Tracking performance is evaluated with the widely used CLEAR MOT
665 metrics [30]. They return an accuracy score called (MOTA) that combines
666 false positive, missed targets and identity switch errors, and a precision score
667 called (MOTP) that is the average distance between ground truth and pre-
668 dicted target positions. In addition, the CLEAR MOT metrics includes: false
669 negatives (FN), false positives (FP) and the number of identity switches (ID
670 Sw).

671 4.1.2. Runtime

672 The proposed algorithm was implemented using Matlab language on an
673 Intel Core i7 PC running at 3 GHz and with a 16 GB memory. Our code was
674 no optimized. The speed of the implemented system depends on two major
675 factors: the number and the size of detections and targets. A comparison of
676 the speed computation time is shown in table 2. Note that the results given
677 in table 2 represent the mean runtime for different datasets. For less crowded

Method	Proposed	[Breitenstein, 2011]	[Milan, 2014]	[Yoon, 2015]	[Poiesi, 2013]	[Kuo, 2010]
Runtime (s/f)	6.47	0.5	1	0.2	3	0.25

Table 2: Comparison of runtime performance.

video sequence like TUD-Campus, the runtime is about $5.5(sec/frame)$. In fact, the people appear near the camera so we have detections with large size. For crowded video sequence *PETS2009 – S2L1*, the runtime is about $7.45(sec/frame)$. The most time consuming part of our approach is the construction of the appearance model, especially the LSH histogram.

4.1.3. The compared MOT algorithms

We evaluate our MOT approach by a comparison to recent state-of-the-art algorithms. Among the compared approaches, a first category studied MOT with the aim of improving detection responses using model-free tracker [2] [29], a second category aimed to ameliorate the data association technique [31] [12] [32], and a third category aimed to improve the appearance model [33] [34] [35]. The results, when available, are obtained from the authors' papers.

4.2. Experimental results

4.2.1. overall performance

Results are shown in table 3. In general, for all the performance metrics, our proposed approach outperforms other object trackers by achieving up to 84% of MOTA. Our MOTA are often higher than in the previous results. On PETS2009-S2-L1, TUD-Campus and TUD-Crossing, our algorithm outperforms the tracking by detection method of Breitenstein et al. [2] that uses outputs from particle filter trackers and HOG detector. This shows that using a robust appearance model allows to achieve better results than using a model-free tracker combined with a detector. On the other hand, on TUD-Campus and TUD-Crossing, we perform better than Riahi et al. method [35] which is based on improving the appearance model. This shows that besides a robust appearance model, a good strategy for assignments should be integrated. Our method also outperforms the tracking system proposed by [36]. On TUD-Stadtmitte and PETS2009-S2-L1, we achieved better MOTA than Segal et al. [12] MOT algorithm which uses an advanced technique to solve the data association task. It is possible to observe that our MOTA is higher than Gustavo et al. approach [34] by around 14% even if they use

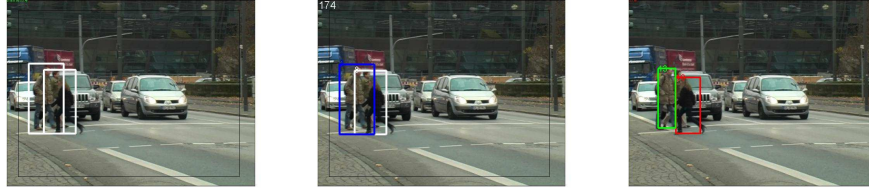


Figure 6: Detection responses, result, and ground-truth, respectively for frame 174 video TUD-CROSSING

multiple patches in their appearance model. Furthermore, we perform better than Yang et al. method [33] which includes background subtraction to handle occlusion. The MOT approach proposed in [17] tends to have more accuracy and precision compared to those of the compared approaches (include our MOT algorithm). This is natural because authors use a different and better set of detection responses. In fact, authors use linear SVM detector based on histograms of oriented gradients (HOG) and histograms of relative optic flow (HOF). Besides, our approach is applied on uncalibrated camera videos sequence while the proposed approach of [17] uses the camera parameters (intrinsic and extrinsic cameras parameters) to build the appearance model. Compared with [37], despite that MOT is performed using a discrete-continuous optimization based data association scheme, our MOTA is about 67% while their MOTA is about 61% on TUD-STADTMITTE video sequence. In [38], Sherrah et al. proposes a part based appearance model which represents the head and the whole body of a person. Our approach outperforms this approach on PETS2009 dataset. Regarding the precision value (MOTP), the performance is comparable to others methods. MOTP is limited by the precision of the detector. In the literature various detectors are used. Some better than others. In our case, we used the detections provided with the datasets, which are not necessarily the best. In fact, the value of MOTP depends on the distance between the predict object and the position of the object in the ground-truth. As we can see in figure 6, the predicted results are correct according to the detections responses but is not correct compared to the ground-truth. In this case, we obtain a lower value of true positive which is proportional to the MOTP value.

The results presented in table 3 emphasis the fact that the use of a robust appearance model with a simple technique of detection or data associ-

736 ation can achieved better results. The robustness of our appearance model
737 is coming from the use of sparse representation model in addition to other
738 independent features.

739 4.2.2. *Robustness of the appearance model*

740 To fully evaluate the robustness of the proposed appearance model, we
741 present the performance of each component. To this end, we evaluated all
742 possible combinations of features on two video sequences: PETS2009-S2-L1
743 and TUD-Crossing. Table 4 and table 5 show the performance for each fea-
744 ture combination. When using all feature terms, the accuracy is the highest
745 while the precision of the tracking remains about the same. When relying
746 only on the motion feature, the MOT fails regularly, especially in the case
747 of heavy and frequent occlusions (PETS2009-S2-L1). This is because the
748 motion feature plays the role of distinguishing between motion directions
749 of targets, not between target similarity. In fact, the motion feature can
750 characterize an object and differentiate it from others objects only if it has
751 a different motion appearance. In our case, we have many similar objects
752 (pedestrians) who move with the same speed and in the same direction. So,
753 many persons have similar motion feature. This why the motion feature is
754 not as discriminative as other appearance models. It mostly allows us to
755 distinguish people walking in different directions. However, in combination
756 with other features, the motion direction often helps in removing assignment
757 ambiguities. The false negative value is the smallest when using only color
758 feature on TUD-Crossing but it is the smallest when using all features on
759 PETS2009-S2-L1. This is explained by the fact that color feature can per-
760 form well depending on the number of targets and the level of difficulty of the
761 occlusion. It can be seen that any combination performs better than using
762 only one feature, like the combination of the color and the sparse features
763 gives higher accuracy than using color or sparse feature only. In addition, the
764 combination of sparse and motion features gives more accuracy than sparse
765 or motion feature used alone.

766 4.2.3. *Qualitative performance*

767 Figure 7 depicts an example of the results of our approach on several
768 videos, namely PETS2009-S2-L1, TUD-Stadtmitte, TUD-Crossing, TUD-
769 Campus. We can see that our algorithm can handle heavy occlusion between
770 people in cases of crowded scenes.

Dataset	Method	MOTA	MOTP	FN	FP	IDS
<i>TUD-CAMPUS</i>	Proposed	78.18%	69%	0%	13%	0
	[Riahi, 2014]	72%	74%	25 %	2%	1
	[Breitenstein, 2011]	73%	67%	26%	0.1%	2
<i>TUD-CROSSING</i>	Proposed	78%	66%	1%	8%	7
	[Riahi, 2014]	72%	76%	26%	1%	7
	[Breitenstein, 2011]	84%	71%	14%	1%	2
	[Andriyenko, 2011]	63%	75.5%	-	-	-
	[Pirsiavash, 2011]	63.3%	76.3%	-	-	-
	[Tang, 2014]	70.7%	77.1%	-	-	-
	[Segal, 2013]	74%	76%	-	-	-
<i>TUD-STADTMITTE</i>	Proposed	67%	57.26%	26%	6%	22
	[Andriyenko, 2011]	60.5%	66%	-	-	7
	[Milan, 2013]	56.2%	62%	-	-	15
	[Segal, 2013]	63%	73%	-	-	-
	[Milan, 2014]	71%	65.5%	-	-	4
	[Andriyenko, 2012]	61.8%	63.2%	-	-	4
<i>PETS2009-S2-L1</i>	Proposed	84%	66%	13%	2%	35
	[Yang, 2009]	76%	54%	-	-	-
	[Breitenstein, 2011]	80%	56%	-	-	-
	[Andriyenko, 2011]	80%	76%	-	-	15
	[Berclaz, 2006]	60%	66%	-	-	-
	[Fuhr, 2014]	70%	-	-	-	-
	[Milan, 2014]	90%	80%	-	-	11
	[Sherrah, 2013]	81.3%	74.4%	-	-	-
	[Bae, 2014]	80.34%	69.72%	-	-	3
	[Bae, 2014]	83%	69.59%	-	-	4

Table 3: Comparison of results on TUD and PETS2009 dataset. Best method in **red** and second best in *blue*

Features	MOTA	MOTP	FN	FP	IDS	Recall	Precision
<i>All Features</i>	84%	66%	13%	2%	34	87%	98%
<i>Color Feature</i>	76%	66%	21%	3%	34	78%	97%
<i>Sparse Feature</i>	45%	66%	40%	12%	130	57%	83%
<i>Motion Feature</i>	0%	65%	38%	46%	1178	37%	45%
<i>Color + Motion</i>	76%	66%	18%	5%	48	81%	94%
<i>Color + Sparse</i>	79%	66%	20%	1%	39	80%	99%
<i>Sparse + Motion</i>	62%	66%	17%	17%	166	79%	82%

Table 4: Results evaluation on each feature component of our approach for Pets2009-S2-L1. Best results are in **red**

Features	MOTA	MOTP	FN	FP	IDS	Recall	Precision
<i>All Features</i>	78%	66%	15%	2%	45	81%	97%
<i>Color Feature</i>	73%	66%	13%	12%	22	85%	88%
<i>Sparse Feature</i>	43%	66%	50%	5%	24	75%	91%
<i>Motion Feature</i>	1%	66%	35%	42%	214	43 %	50 %
<i>Color + Motion</i>	68 %	66%	17%	12%	29	80%	87%
<i>Color + Sparse</i>	76%	66%	17%	5.98%	11	82%	93%
<i>Sparse + Motion</i>	68%	66%	23%	7%	20	75%	91%

Table 5: Results evaluation on each feature component of our approach for TUD-CROSSING. Best results are in **red**

PETS2009-S2-L1. This video sequence contains especially challenging problems. First, targets are totally occluded by the traffic sign (see figure 10, first row) which influences on their appearance model. Second, some targets are suddenly stopping for a long time or moving in circle. As we can see in the figure (see figure 10 row 1), target with $id = 1$ stops for more than 100 frames. Our algorithm robustly handles the above problems by the increased power of our appearance model (using a unique fused appearance model) and our update strategy.

TUD-Dataset. For the three videos sequences of TUD-Dataset, most targets have the same size, the same cloths and they walk at similar speeds and in parallel directions. In these cases, our approach can handle assignment ambiguities by the management of the data association. In fact, a wrong assignment between targets and candidates will be deleted according to the descriptors similarity.

We present many scenarios to show how our approach is able to handle such difficult cases. To handle the problem of the missing detections, we follow an interpolation approach in which we can estimated the current position of the target even it is not detected. For example, in figure 8, the target (with the green bounding box) is not assigned by only applying the data association. But, after the interpolation step, we can observe that the green target is interpolated with success. In addition, our approach is able to keep good identity during multiple occlusions (see figure 9) and when the targets are much closer to each other (see figure 7 in row 4). Other scenario (see figure 10) shows that the identity of targets is not affected by the length of the occlusion. As we can see, the target with the red bounding box is successfully assigned during an occlusion of more than 100 frames. Finally, even with appearance model changes either by the scale changes (see figure 11) or the pose changes (see figure 12), our MOT can still identify the targets.

4.2.4. Sensitivity to the number of false detections

The results given in table 6 show that if we use the ground-truth as a set of detection responses, our method gives very high values of Clear MOT: 100% of accuracy and 100% of precision. Obtaining around 100% of accuracy for all tested datasets shows that our model is robust to MOT assignation problems namely similarity between target appearance model, heavy occlusion between targets and the birth and the death of targets. We also investigated the impact of different percentage of false detections on MOTA, Precision and

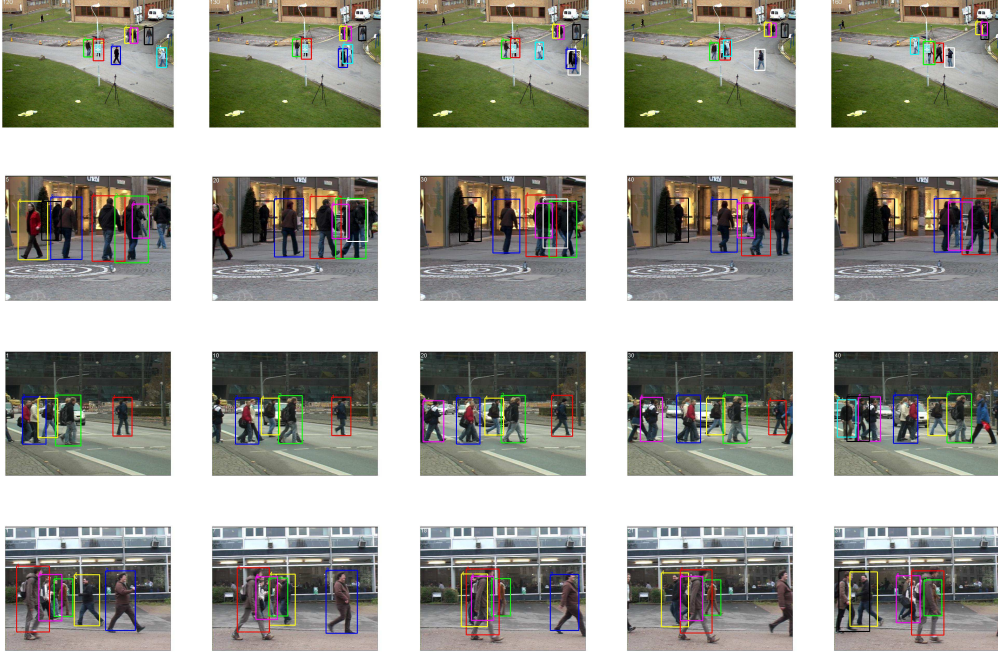


Figure 7:

Results for dataset. First row: PETS2009-S2-L1 (frames 120, 130, 140, 150 and 160), Second row: TUD-Stadtmitte (frames 5, 20, 30, 40 and 55), Third row: TUD-Crossing (frames 1, 10, 20, 30 and 40) and Fourth row: TUD-Campus (frames 1, 7, 18, 21 and 31)

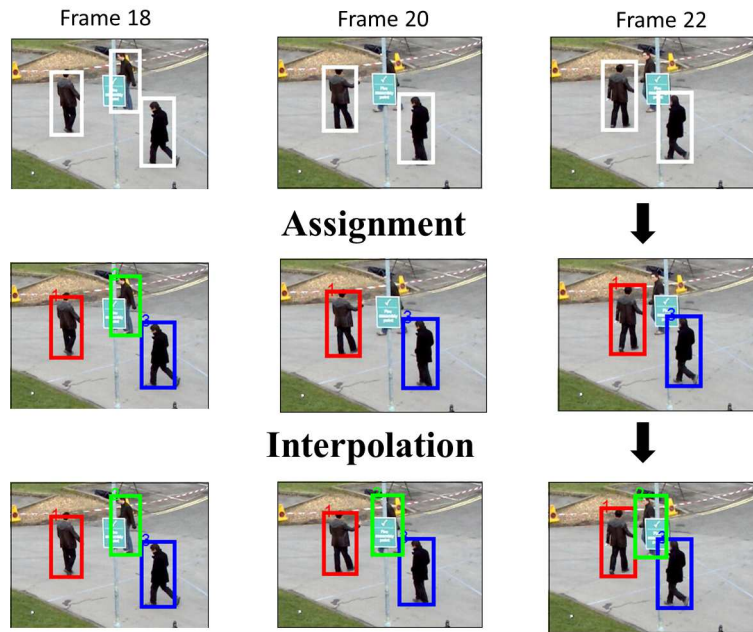


Figure 8: Interpolation of targets in the case of missing detections

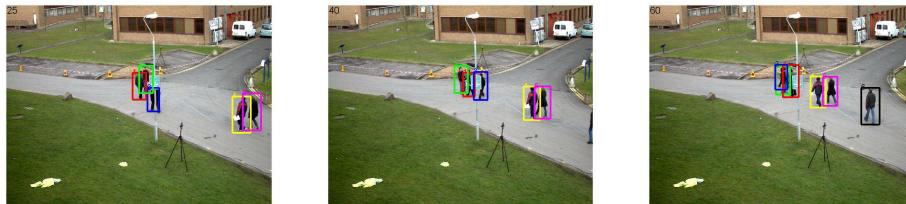


Figure 9: Keeping identity under multiple occlusions. Tracking results in frames 25, 40 and 60

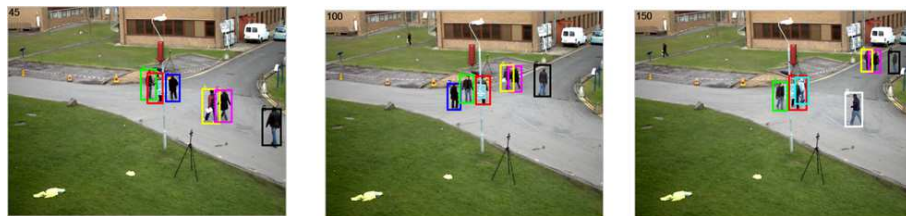


Figure 10: Keeping identity under long-term occlusion. Tracking results in frames 45, 100 and 150

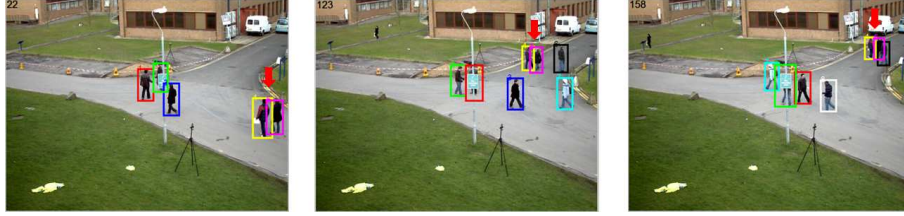


Figure 11: Keeping identity under scale changes. Tracking results in frames 22,123 and 158

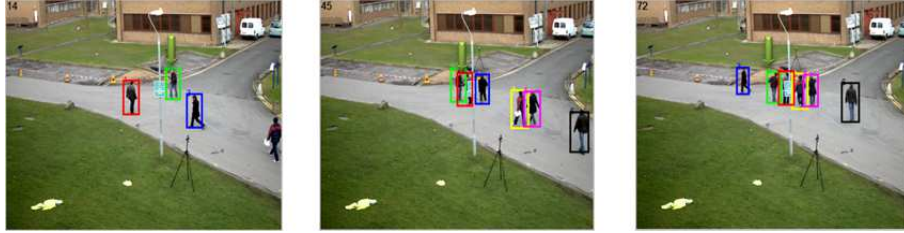


Figure 12: Keeping identity under pose change. Tracking results in frames 14, 45 and 72

807 Recall. We use three kinds of false detections: false negative detections, false
 808 positive detections and inaccurate detections. All the false detections are
 809 added randomly in different proportion 0%, 5%, 10%, 15%, 20%, 25% and
 810 30%. We compare the performance of our proposed MOT with the following
 811 baselines:

812 Baseline1: we implemented a version of our approach with no interpolation
 813 to show how the interpolation of a target can help to reduce the
 814 impact of false detection responses on the performance of our approach.

815 Baseline2: we implemented a MOT approach which uses only the color
 816 feature to discriminate targets from each other. It demonstrates the
 817 impact of the feature fusion.

818 Baseline3: we implemented a MOT approach which uses only the
 819 sparse representation feature to discriminate targets from each other.
 820 It demonstrates the impact of the feature fusion.

821 The graphs of figure 13 show that our proposed algorithm is more robust
 822 than the baselines. In fact, our approach maintains the best performance
 823 while the false detections change. In term of MOTA, we achieve results
 824 between 100% and 62% with false detection percentage between 0% and

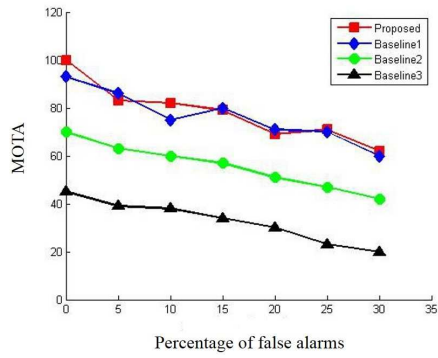
DataSets	MOTA	MOTP	FN	FP	IDS	Recall	Precision
<i>TUD-CAMP</i>	100%	100%	0%	0%	0	100%	100%
<i>TUD-CROSS</i>	97%	100%	3%	0%	1	97%	100%
<i>TUD-STADM</i>	100%	100%	0%	0%	0	100%	100%
<i>PETS09-S2-L1</i>	99.65%	97.27%	0%	0%	5	99.6%	100%

Table 6: Evaluation results using the ground-truth detection

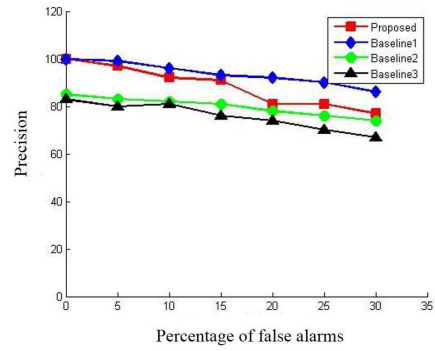
30% while if we use only the color feature, the MOTA is under 70% and it decreases to 40% with very high percentage of bad detection responses (30%). Regarding baseline1, the performance is best than the other baselines but the use of interpolation still give the best performance. The precision is still high when the percentage of the false detections increase. The black and green curves in figure 13 (sparse and color features) demonstrate that the color feature is more discriminative than the sparse feature. It is because with pedestrian video sequences, all targets are walking, so the shapes of the targets change often and is less reliable. All curves are decreasing. It means that the performance of our MOT method depends to some extent on the quality of the detection responses. We can see that our approach is less sensitive to the false detections than the baselines. In fact, our proposed approach has the highest MOTA and Recall value.

5. Conclusion

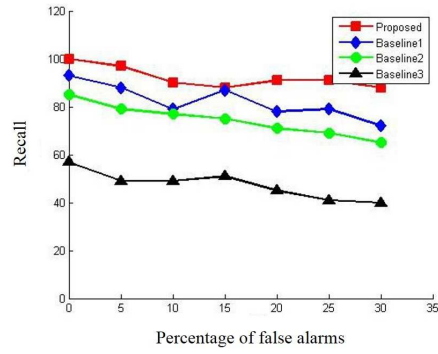
In this work, we proposed a novel and robust MOT algorithm, based on the combination of independent features. Our features are: color histogram model, sparse appearance model, optical flow histogram and spatial model. Feature descriptors are integrated into a data association method where all targets are matched with all candidates under local geometric constraints, and with target states that handle the occlusion, birth and death of targets over time. To handle the occlusion problem, we propose a hierarchical data association process in which all the targets are divided into two sets: occluded and unoccluded targets. Each set is matched separately. In order to improve the detection responses quality, we incorporate an additional process in our framework, which is the interpolation of the position of the lost



(a)



(b)



(c)

Figure 13: Results evaluation: (a) Evaluation of MOTA, (b) Evaluation of Precision, (c) Evaluation of Recall

850 target. Our main contribution is to explore the capability of an appearance
851 model that fuses independent descriptors and the use of a simple and robust
852 data association framework. The proposed method is compared to several
853 state-of-the-art approaches, which demonstrate the benefits of our method.
854 Our method is competitive on all tested videos.

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857 **6. References**

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