Learning Discriminative Model Prediction for Tracking

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Abstract

The current strive towards end-to-end trainable computer vision systems imposes major challenges for the task of visual tracking. In contrast to most other vision problems, tracking requires the learning of a robust target-specific appearance model online, during the inference stage. To be end-to-end trainable, the online learning of the target model thus needs to be embedded in the tracking architecture itself. Due to these difficulties, the popular Siamese paradigm simply predicts a target feature template. However, such a model possesses limited discriminative power due to its inability of integrating background information.

We develop an end-to-end tracking architecture, capable of fully exploiting both target and background appearance information for target model prediction. Our architecture is derived from a discriminative learning loss by designing a dedicated optimization process that is capable of predicting a powerful model in only a few iterations. Furthermore, our approach is able to learn key aspects of the discriminative loss itself. The proposed tracker sets a new state-of-the-art on 6 tracking benchmarks, achieving an EAO score of 0.440 on VOT2018, while running at over 40 FPS.

1. Introduction

Generic object tracking is the task of estimating the state of an arbitrary target in each frame of a video sequence. In the most general setting, the target is only defined by its initial state in the sequence. Most current approaches address the tracking problem by constructing a target model, capable of differentiating between the target and background appearance. Since target-specific information is only available at test-time, the target model cannot be learned in an offline training phase, as in for instance object detection. Instead, the target model must be constructed during the inference stage itself by exploiting the target information given at test-time. This unconventional nature of the visual tracking problem imposes significant challenges when pursuing
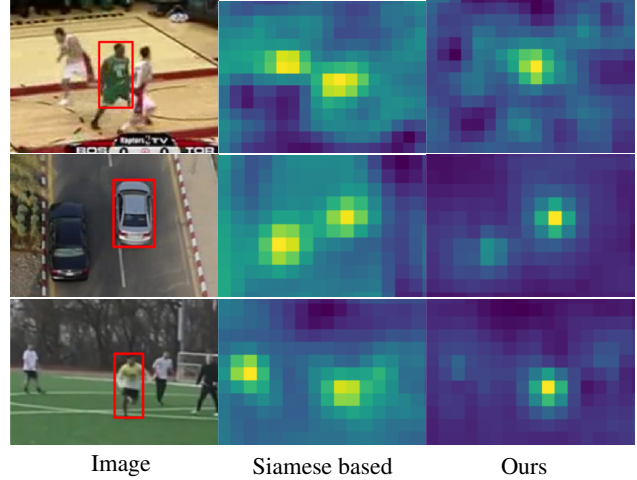

Figure 1. Visualization of confidence maps provided by the target model obtained using i) a Siamese based approach (middle), and ii) Our discriminative approach (right). The red box indicates the target object in each image (left). The model predicted in a Siamese fashion, using only target appearance, struggles to distinguish the target from distractor objects in the background. In contrast, our approach generates target models with superior discriminative power, that are robust to background distractors.

an end-to-end learning solution.

The aforementioned problems have been most successfully addressed by the Siamese learning paradigm [34, 1, 21]. These approaches first learn a feature embedding, where the similarity between two image regions is computed by a simple cross-correlation. Tracking is then performed by finding the image region most similar to the target template. In this setting, the target model simply corresponds to the template features extracted from the target region. Consequently, the tracker can easily be trained end-to-end using pairs of annotated images.

Despite its recent success, the Siamese learning framework suffers from severe limitations. Firstly, Siamese trackers only utilize the target appearance when inferring the model. This completely ignores background appearance information, which is crucial for discriminating the target from similar objects in the scene (see figure 1). Secondly, the learned similarity measure is not necessarily reliable for objects that are not included in the offline training set, lead-

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ing to poor generalization. Thirdly, the Siamese formulation does not provide a powerful model update strategy. Instead, state-of-the-art approaches resort to simple template averaging [40]. These limitations result in inferior robustness [18] compared to other state-of-the-art tracking approaches.

In this work, we introduce an alternative tracking architecture, trained in an end-to-end manner, that directly addresses all aforementioned limitations. In our design, we take inspiration from the *discriminative* learning procedures that have been successfully applied in recent trackers [26, 7, 4]. Our approach is based on a target model prediction network, which is derived from a discriminative learning loss by applying an iterative optimization procedure. The architecture is carefully designed to enable effective end-to-end training, while maximizing the discriminative power of the predicted model. This is achieved by ensuring a minimal number of optimization steps through two key design choices. First, we employ a steepest descent based methodology that computes an optimal step length in each iteration. Second, we integrate a module that effectively initializes the target model. Furthermore, we introduce significant flexibility into our final architecture by learning the discriminative loss itself.

Our entire discriminative tracking architecture, along with the backbone feature extractor, is trained using annotated tracking sequences by minimizing the prediction error on future frames. We perform comprehensive experiments on seven tracking benchmarks: NFS [9], UAV123 [24], OTB100 [37], TrackingNet [25], LaSOT [8], GOT10k [13] and VOT2018 [18]. Our approach achieves state-of-the-art results on all seven datasets, while running at over 40 FPS. We further provide an extensive experimental analysis of the proposed discriminative learning architecture, showing the impact of each component.

2. Related Work

Generic object tracking has undergone astonishing progress in recent years, with the development of a variety of approaches. Recently, methods based on Siamese networks [1, 34, 21] have received much attention due to their end-to-end training capabilities and high efficiency. The name derives from the deployment of a Siamese network architecture in order to learn a similarity metric *offline*. Bertinetto *et al*. [1] utilize a fully-convolutional architecture for similarity prediction, thereby attaining high tracking speeds of over 100 FPS. Wang *et al*. [36] learn a residual attention mechanism to adapt the tracking model to the current target. Li *et al*. [21] employ a region proposal network [30] to obtain accurate bounding boxes.

A key limitation in Siamese approaches is their inability to incorporate information from the background region or previous tracked frames into the model prediction. A few recent attempts aims to address these issues. Guo *et*

al. [10] learn a feature transformation to handle the target appearance changes and to suppress background. Further, Zhu *et al*. [40] handle background distractors by subtracting corresponding image features from the target template during online tracking. Despite these attempts, the Siamese trackers are yet to reach high level of robustness attained by state-of-the-art trackers employing online learning [18].

In contrast to Siamese methods, another family of trackers [26, 5, 4] learn a discriminative classifier *online* to distinguish the target object from the background. These approaches can effectively utilize background information, thereby achieving impressive robustness on multiple tracking benchmarks [37, 18]. However, these methods rely on more complicated online learning procedures that cannot be easily formulated in an end-to-end learning framework. Thus, these approaches are often restricted to features extracted from deep networks pre-trained for image classification [7, 23] or hand-crafted alternatives [6].

A few recent works aim to formulate existing discriminative trackers as a neural network component in order to benefit from end-to-end training on tracking data. Valmadre *et al*. [35] integrate the single-sample closed-form solution of the correlation filter (CF) [12] into a deep network. However, such a simple CF model has poor discriminative power, providing little gains over the Siamese baseline. Yao *et al*. [39] unroll the ADMM iterations in BACF [16] tracker to learn the feature extractor along with some tracking hyper-parameters in a complex multi-stage training procedure. The BACF model learning is however restricted to the single-sample variant of the Fourier-domain CF formulation. Such a formulation cannot exploit multiple samples, requiring ad-hoc linear combination of filters for model adaption. Park *et al*. [28] develop a meta-learning framework employing an initial, target independent model, which is then refined using gradient descent with learned step-lengths. However, this strategy is only suitable for an initial adaption of the model and does not improve when applied in an iterative manner. This is due to the fact that it is not possible to learn constant step-lengths that accommodate both fast initial adaption and optimal convergence.

3. Method

In this work, we develop a discriminative model prediction architecture for visual tracking. As in Siamese trackers, our approach benefits from end-to-end training. However, unlike Siamese, our architecture can fully exploit background information and provides natural and powerful means of updating the target model with new data. Our model prediction network is derived from two main principles: (i) A discriminative loss function capable of learning a robust target model; and (ii) a powerful optimization strategy ensuring rapid convergence. By such careful design, our architecture can predict the target model in only a few
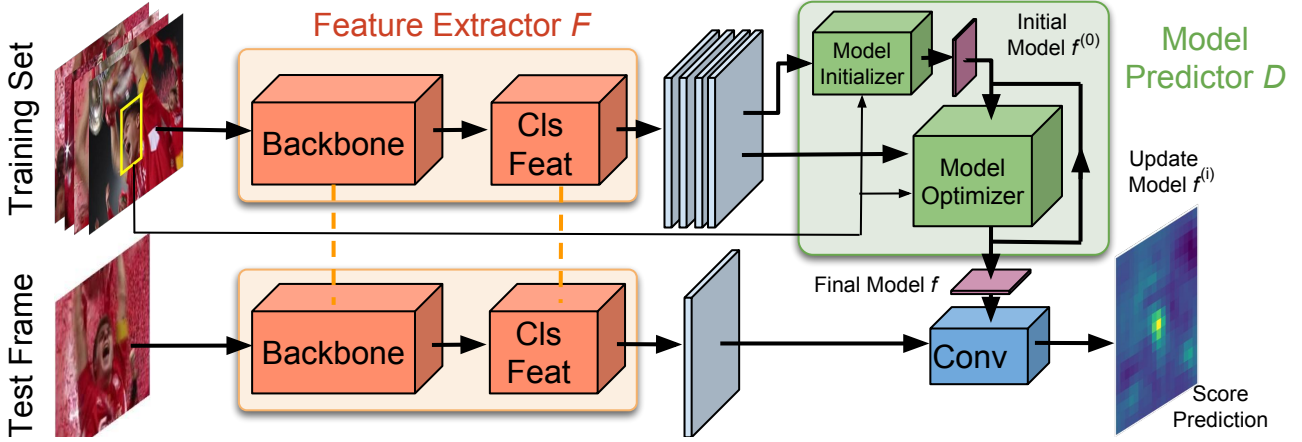
Figure 2. An overview of our tracking architecture. Given an annotated training set (top left), we extract deep feature maps using a backbone network followed by an additional convolutional block (Cls Feat). The feature maps are then input to the model predictor D, consisting of the initializer and the recurrent optimizer module. The model predictor outputs the weights of the convolutional layer which performs target classification on the feature map extracted from the test frame. The bounding box estimation branch is not shown here for clarity.

iterations, without compromising its discriminative power.

In our framework, the target model constitutes the weights of a convolutional layer, providing target classification scores as output. Our model prediction architecture computes these weights by taking a set of bounding-box annotated image samples as input. The model predictor includes an initializer network that efficiently provides an initial estimate of the model weights, using only the target appearance. These weights are then processed by the optimizer module, taking both target and background samples into account. By design, our optimizer module possesses few learnable parameters in order to avoid overfitting to certain classes and scenes during offline training. Our model predictor can thus generalize to unseen objects, which is crucial in *generic* object tracking.

Our final tracking architecture is visualized in figure 2. Similar to recent state-of-the-art approaches [4, 21], our network consists of two branches: a target classification branch for distinguishing the target from background, and a bounding box estimation branch for predicting an accurate target box. Both branches input deep features from a common backbone network. The target classification branch contains a convolutional block, extracting features on which the classifier operates. Given a training set of samples and corresponding target boxes, the model predictor generates the weights of the target classifier. These weights are then applied to features extracted from the test frame, in order to compute the target confidence scores. For the bounding box estimation branch, we utilize the overlap maximization based architecture recently introduced in [4]. It predicts the intersection over union (IoU) overlap between the target and a set of proposal boxes. The entire tracking network, including the target classification, bounding box estimation and backbone modules, is trained offline on tracking datasets.

3.1. Discriminative Learning Loss

In this section, we describe the discriminative learning loss used to derive our model prediction architecture. The input to our model predictor D consists of a training set $S_{\text{train}} = \{(x_j, c_j)\}_{j=1}^n$ of deep feature maps $x_j \in \mathcal{X}$ generated by the feature extractor network F. Each sample is paired with the corresponding target center coordinate $c_j \in \mathbb{R}^2$. Given this data, our aim is to predict a target model $f = D(S_{\text{train}})$. The model f is defined as the filter weights of a convolutional layer tasked with discriminating between target and background appearance in the feature space $\mathcal{X}$. We gather inspiration from the least-squares-based regression take on the tracking problem, that has seen tremendous success in the recent years [12, 5, 4]. However, in this work we generalize the conventional least-squares loss applied for tracking in several directions, allowing the final tracking network to *learn* the optimal loss from data.

In general, we consider a loss of the form,

$$L(f) = \frac{1}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \|r(x * f, c)\|^2 + \|\lambda f\|^2. \quad (1)$$

Here, $*$ denotes convolution and λ is a regularization factor. The function $r(s, c)$ computes the residual at every spatial location based on the target confidence scores $s = x * f$ and the ground-truth target center coordinate c. The most common choice is $r(s, c) = s - y_c$, where y_c are the desired target scores at each location, popularly set to a Gaussian function centered at c [3]. However, simply taking the difference forces the model to regress calibrated confidence scores, usually zero, for all negative samples. This requires substantial model capacity, requiring the learning to focus on the negative data samples instead of achieving the best discriminative abilities. Furthermore, taking the naïve dif-

ference does not address the problem of data imbalance between target and background.

To alleviate the latter issue of data imbalance, we use a spatial weight function v_c. The subscript c indicates the dependence on the center location of the target, as detailed in section 3.4. To accommodate the first issue, we modify the loss following the philosophy of Support Vector Machines. We employ a hinge-like loss in r, clipping the scores at zero as $\max(0, s)$ in the background region. The model is thus free to predict large negative values for easy samples in the background without increasing the loss. For the target region on the other hand, we found it disadvantageous to add an analogous hinge loss $\max(0, 1-s)$. Although contradictory at a first glance, this behavior can be attributed to the fundamental asymmetry between the target and background class, partially due to the numerical imbalance. Moreover, accurately calibrated target confidences are indeed advantageous in the tracking scenario, e.g. for detecting target loss. We therefore desire the properties of standard least-squares regression in the target neighborhood.

To accommodate the advantages of both least-squares regression and the hinge loss, we define the residual function,

$$r(s, c) = v_c \cdot (m_c s + (1 - m_c) \max(0, s) - y_c) . \quad (2)$$

The target region is defined by the mask m_c, having values in the interval $m_c(t) \in [0, 1]$ at each spatial location $t \in \mathbb{R}^2$. Again, the subscript c indicate the dependence on the target center coordinate. The formulation in (2) is capable of continuously changing the behavior of the loss from standard least squares regression to a hinge loss depending on the image location relative to the target center c. Setting $m_c \approx 1$ at the target and $m_c \approx 0$ in the background region yields the desired behavior described above. However, how to optimally set m_c is not clear, in particular at the transition region between target and background. While the classical strategy is to manually set the mask parameters using trial and error, our end-to-end formulation allows us to learn the mask in a data-driven manner. In fact, as detailed in section 3.4, our approach learns all free parameters in the loss: the target mask m_c, the spatial weight v_c, the regularization factor λ, and even the regression target y_c itself.

3.2. Optimization-Based Architecture

Here, we derive the network architecture D that predicts the filter $f = D(S_{\text{train}})$ by implicitly minimizing the error (1). The network is designed by formulating an optimization procedure. From eqs. (1) and (2) we can easily derive a closed-form expression for the gradient of the loss ∇L with respect to the filter f.[2] The straight-forward option is to then employ gradient descent using a step length α,

$$f^{(i+1)} = f^{(i)} - \alpha \nabla L(f^{(i)}) . \quad (3)$$

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[2] See supplementary material (section S1) for details.

However, we found this simple approach to be insufficient, even if the learning rate α (either a scalar or coefficient-specific) is learned by the network itself (see section 4.1). It experiences slow adaption of the filter parameters f, requiring a vast increase in the number of iterations. This harms efficiency and complicates offline learning.

The slow convergence of gradient descent is largely due to the constant step length α, which does not depend on data or the current model estimate. We solve this issue by deriving a more elaborate optimization approach, requiring only a handful of iterations to predict a strong discriminative filter f. The core idea is to compute the step length α based on the steepest descent methodology, which is a common optimization technique [27, 32]. We first approximate the loss with a quadratic function at the current estimate $f^{(i)}$,

$$L(f) \approx \tilde{L}(f) = \frac{1}{2}(f - f^{(i)})^{\mathrm{T}} Q^{(i)} (f - f^{(i)}) + \quad (4)$$
$$(f - f^{(i)})^{\mathrm{T}} \nabla L(f^{(i)}) + L(f^{(i)}) .$$

Here, the filter variables f and $f^{(i)}$ are seen as vectors and $Q^{(i)}$ is positive definite square matrix. The steepest descent then proceeds by finding the step length α that minimizes the approximate loss (4) in the gradient direction (3). This is found by solving $\frac{\mathrm{d}}{\mathrm{d}\alpha} \tilde{L}\left(f^{(i)} - \alpha \nabla L(f^{(i)})\right) = 0$, as

$$\alpha = \frac{\nabla L(f^{(i)})^{\mathrm{T}} \nabla L(f^{(i)})}{\nabla L(f^{(i)})^{\mathrm{T}} Q^{(i)} \nabla L(f^{(i)})} . \quad (5)$$

In steepest descent, the formula (5) is used to compute the scalar step length α in each iteration of the filter update (3).

The quadratic model (4), and consequently the resulting step length (5), depends on the choice of $Q^{(i)}$. For example, by using a scaled identity matrix $Q^{(i)} = \frac{1}{\beta} I$ we retrieve the standard gradient descent algorithm with a fixed step length $\alpha = \beta$. On the other hand, we can now integrate second order information into the optimization procedure. The most obvious choice is setting $Q^{(i)} = \frac{\partial^2 L}{\partial f^2}(f^{(i)})$ to the Hessian of the loss (1), which corresponds to a second order Taylor approximation (4). For our least-squares formulation (1) however, the Gauss-Newton method [27] provides a powerful alternative, with significant computational benefits since it only involves first-order derivatives. We thus set $Q^{(i)} = (J^{(i)})^{\mathrm{T}} J^{(i)}$, where $J^{(i)}$ is the Jacobian of the residuals at $f^{(i)}$. In fact, neither the matrix $Q^{(i)}$ or Jacobian $J^{(i)}$ need to be constructed explicitly, but rather implemented as a sequence of neural network operations. See the supplementary material (section S2) for details. Algorithm 1 describes our target model predictor D. Note that our optimizer module can easily be employed for online model adaption as well. This is achieved by continuously extending the training set S_{train} with new samples from the previously tracked frames. The optimizer module is then applied on this extended training set, using the current target model as the initialization $f^{(0)}$.

Algorithm 1 Target model prediction D.

Input: Samples $S_{\text{train}} = \{(x_j, c_j)\}_{j=1}^n$, iterations N_{iter}

1: $f^{(0)} \leftarrow \texttt{ModelInit}(S_{\text{train}})$ # Initialize filter (sec 3.3)
2: **for** $i = 0, \ldots, N_{\text{iter}} - 1$ **do**   # Optimizer module loop
3:     $\nabla L(f^{(i)}) \leftarrow \texttt{FiltGrad}(f^{(i)}, S_{\text{train}})$ # Using (1)-(2)
4:     $h \leftarrow J^{(i)} \nabla L(f^{(i)})$ # Apply Jacobian of (2)
5:     $\alpha \leftarrow \|\nabla L(f^{(i)})\|^2 / \|h\|^2$ # Compute step length (5)
6:     $f^{(i+1)} \leftarrow f^{(i)} - \alpha \nabla L(f^{(i)})$ # Update filter
7: **end for**

3.3. Initial filter prediction

To further reduce the number of optimization recursions required in D, we introduce a small network module trained to predict an initial model estimate $f^{(0)}$. Our initializer network consists of a convolutional layer followed by a precise ROI pooling [14]. The latter extracts features from the target region and pools them to the same size as the target model f. The pooled feature maps are then averaged over all the samples in S_{train} to obtain the initial model $f^{(0)}$. As in Siamese trackers, this approach only utilizes the target appearance. However, rather than predicting the final model, our initializer network is tasked with only providing a reasonable initial estimate, which is then processed by the optimizer module to provide the final discriminative model.

3.4. Learning the Discriminative Loss

Here, we describe how the free parameters in the residual function (2), defining the discriminative loss (1), are learned. Our residual function includes the label confidence scores y_c, the spatial weight function v_c and the target mask m_c. While such variables are constructed by hand in current discriminative trackers, our approach in fact learns these functions from data. We parametrize them based on the distance from the target center. This is motivated by the radial symmetry of the problem, where the direction to the sample location relative to the target is of little significance. On the other hand, the distance to the sample location plays a crucial role, especially in the transition from target to background. Thus, we parameterize y_c, m_c and v_c using radial basis functions ρ_k and learn their coefficients ϕ_k. For instance, the label y_c at position $t \in \mathbb{R}^2$ is given by

$$y_c(t) = \sum_{k=0}^{N-1} \phi_k^y \rho_k(\|t - c\|). \qquad (6)$$

We use triangular basis functions ρ_k, defined as

$$\rho_k(d) = \begin{cases} \max(0, 1 - \frac{|d - k\Delta|}{\Delta}), & k < N - 1 \\ \max(0, \min(1, 1 + \frac{d - k\Delta}{\Delta})), & k = N - 1 \end{cases} \qquad (7)$$

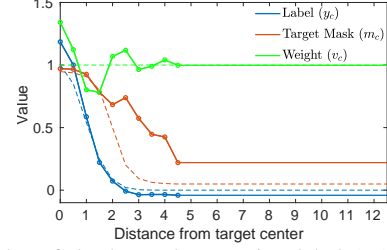The above formulation corresponds to a continuous piecewise linear function with a knot displacement of Δ. Note

Figure 3. Plot of the learned regression label (y_c), target mask (m_c), and spatial weight (v_c). The markers show the knot locations. The initialization of each quantity is shown in dotted lines.

that the final case $k = N - 1$ represents all locations that are far away from the target center and thus can be treated identically. We use a small Δ to enable accurate representation of the regression label at the target-background transition. The functions v_c and m_c are parameterized analogously using coefficients ϕ_k^v and ϕ_k^m respectively in (6). For the target mask m_c, we constrain the values to the interval $[0, 1]$ by passing the output from (6) through a Sigmoid function.

We use $N = 10$ basis functions and set the knot displacement to $\Delta = 0.5$ in the resolution of the deep feature space $\mathcal{X}$. For offline training, the regression label y_c is initialized to the same Gaussian z_c used in the offline classification loss, described in section 3.5. The weight function v_c is initialized to constant $v_c(t) = 1$. Lastly, we initialize the target mask m_c using a scaled $\tanh$ function. The coefficients ϕ_k, along with λ, are learned as part of the model prediction network D (see section 3.5). The initial and learned values for y_c, m_c and v_c are visualized in figure 3. Notably, our network learns to increase the weight v_c at the target center and reduce it in the ambiguous transition region.

3.5. Offline training

Here, we describe our offline training procedure. In Siamese approaches, the network is trained with image pairs, using one image to predict the target template and the other for evaluating the tracker. In contrast, our model prediction network D inputs a *set* S_{train} of multiple data samples from the sequence. To better exploit this advantage, we train our full tracking architecture on pairs of sets $(M_{\text{train}}, M_{\text{test}})$. Each set $M = \{(I_j, b_j)\}_{j=1}^{N_{\text{frames}}}$ consists of images I_j paired with their corresponding target bounding boxes b_j. The target model is predicted using M_{train} and then evaluated on the test frames M_{test}. Uniquely, our training allows the model predictor D to learn how to better utilize multiple samples. The sets are constructed by sampling a random segment of length T_{ss} in the sequence. We then construct M_{train} and M_{test} by sampling N_{frames} frames each from the first and second halves of the segment respectively.

Given the pair $(M_{\text{train}}, M_{\text{test}})$, we first pass the images through the backbone feature extractor to construct the train S_{train} and test S_{test} samples for our target model. Formally, the train set is obtained as $S_{\text{train}} = \{(F(I_j), c_j) : (I_j, b_j) \in$

$M_{\text{train}}\}$, where c_j is the center coordinate of the box b_j. This is input to the target predictor $f = D(S_{\text{train}})$. The aim is to predict a model f that is discriminative and that generalizes well to future unseen frames. We therefore only evaluate the predicted model f on the test samples S_{test}, obtained analogously using M_{test}. Following the discussion in section 3.1, we compute the regression errors using a hinge for the background samples,

$$\ell(s, z) = \begin{cases} s - z, & z > T \\ \max(0, s), & z \leq T \end{cases} . \quad (8)$$

Here, the threshold T defines the target and background region based on the label confidence value z. For the target region $z > T$ we take the difference between the predicted confidence score s and the label z, while we only penalize positive confidence values for the background $z \leq T$.

The total target classification loss is computed as the mean squared error (8) over all test samples. However, instead of only evaluating the final target model f, we average the loss over the estimates $f^{(i)}$ obtained in each iteration i by the optimizer (see alg. 1). This introduces intermediate supervision to the target prediction module, benefiting training convergence. Furthermore, we do not aim to train for a specific number of recursions, but rather be free to set the desired number of optimization recursions online. It is thus natural to evaluate each iterate $f^{(i)}$ equally. The target classification loss used for offline training is given by,

$$L_{\text{cls}} = \frac{1}{N_{\text{iter}}} \sum_{i=0}^{N_{\text{iter}}} \sum_{(x,c) \in S_{\text{test}}} \left\| \ell \left(x * f^{(i)}, z_c \right) \right\|^2 . \quad (9)$$

Here, regression label z_c is set to a Gaussian function centered as the target c. Note that the output $f^{(0)}$ from the filter initializer (section 3.3) is also included in the above loss. Although not denoted explicitly to avoid clutter, both x and $f^{(i)}$ in (9) depend on the parameters of the feature extraction network F. The model iterates $f^{(i)}$ additionally depend on the parameters in the model predictor network D.

For bounding box estimation, we train the IoU-Net [14] based architecture proposed in [4], employing features from the same backbone network used for target classification. The training procedure in [4] is extended to image sets by computing the modulation vector on the first frame in M_{train} and sampling proposal boxes from all images in M_{test}. The bounding box estimation loss L_{bb} is computed as the mean squared error between the predicted IoU overlaps in M_{test} and the actual overlaps with the annotated boxes. We train the full tracking architecture by combining this with the target classification loss (9) as $L_{\text{tot}} = \beta L_{\text{cls}} + L_{\text{bb}}$.

Training details: Our training procedure is simple, requiring only a single phase where the entire architecture is learned jointly. The backbone network is initialized with the ImageNet weights. We use the training splits of the TrackingNet [25], LaSOT [8], GOT10k [13] and COCO [22] datasets. We train for 50 epochs by sampling 20,000 videos per epoch, giving a total training time of less than 24 hours on a single Nvidia TITAN X GPU. We use ADAM [17] with learning rate decay of 0.2 every 15th epoch. The target classification loss weight is set to $\beta = 10^2$ and we use $N_{\text{iter}} = 5$ optimizer module recursions in (9) during training.

The image patches in $(M_{\text{train}}, M_{\text{test}})$ are extracted by sampling a random translation and scale relative to the target annotation. We set the base scale to 5 times the target size to incorporate significant background information. For each sequence, we sample $N_{\text{frames}} = 3$ test and train frames, using a segment length of $T_{\text{ss}} = 60$. The label scores z_c are constructed using a standard deviation of $1/4$ relative to the base target size, and we use $T = 0.05$ for the regression error (8). We employ the ResNet architecture for the backbone. For the model predictor D, we use features extracted from the third block, having a spatial stride of 16. We set the kernel size of the target model f to 4×4.

3.6. Online tracking

Given the first frame with annotation, we employ data augmentation strategies [2] to construct an initial set S_{train} containing 15 samples. The target model is then obtained using our discriminative model prediction architecture $f = D(S_{\text{train}})$. For the first frame, we employ 10 steepest descent recursions, after the initializer module. Our approach allows the target model to be easily updated by adding a new training sample to S_{train} whenever the target is predicted with sufficient confidence. We ensure a maximum memory size of 50 by discarding the oldest sample. During tracking, we refine the target model f by performing two optimizer recursions every 20 frames, or a single recursion whenever a distractor peak is detected. Bounding box estimation is performed using the same settings as in [4].

4. Experiments

Our approach is implemented in Python using PyTorch. On a single Nvidia GTX 1080 GPU, we achieve a tracking speed of 57 FPS when employing ResNet-18 as backbone and 43 FPS for ResNet-50. Detailed results are provided in the supplementary material (section S3–S6). The complete code for training and inference will be made available at https://github.com/visionml/pytracking.

4.1. Baseline Analysis

Here, we perform an extensive analysis of the proposed model prediction architecture. Experiments are performed on a combined dataset containing the entire OTB-100 [37], NFS (30 FPS version) [9] and UAV123 [24] datasets. This pooled dataset contains 323 diverse videos to enable thorough analysis. The trackers are evaluated using the AUC

	Init	GD	SD
AUC	58.2	61.6	63.8

Table 1. Analysis of different model prediction architectures on the <mark>combined OTB-100, NFS and UAV123 da</mark>tasets. The architecture using only the target information for model prediction (**Init**) achieves an AUC score of 58.2%. The proposed steepest descent based architecture (**SD**) provides the best results, outperforming the gradient descent method (**GD**) by over 2.2% AUC score.

	SD	+Init	+FT	+Cls	+Loss
AUC	58.7	60.0	62.6	63.3	63.8

Table 2. Analysis of the impact of initializer module (**+Init**), training the backbone (**+FT**), using extra conv. block (**+Cls**) and offline learning of the loss (**+Loss**), by incrementally adding them one at a time. The baseline **SD** constitutes our steepest descent based optimizer module along with ResNet-18 pre-trained on ImageNet.

[37] metric. Due to the stochastic nature of the tracker, we always report the average AUC score over 5 runs. We employ ResNet-18 as the backbone network for this analysis.

Impact of optimizer module: We compare our proposed method, utilizing the steepest descent (**SD**) based architecture, with two alternative model prediction networks. **Init:** Here, we only use the initializer module to predict the final target model, which corresponds to removing the optimizer module in our approach. Thus, similar to the Siamese approaches, only target appearance information is used for model prediction, while background information is discarded. **GD:** In this approach, we replace steepest descent with the gradient descent (GD) algorithm using learned coefficient-wise step-lengths α in (3). All networks are trained using the same settings. The results for this analysis are shown in table 1.

The model predicted by the initializer network, which uses only target information, achieves an AUC score of 58.2%. The gradient descent approach, which can exploit background information, provides a substantial improvement, achieving an AUC score of 61.6%. This highlights the importance of employing discriminative learning for model prediction. Our steepest descent approach obtains the best results, outperforming GD by 2.2%. This is due to the superior convergence properties of steepest descent, important for offline learning and fast online tracking.

Analysis of model prediction architecture: Here, we analyze the impact of key aspects of the proposed discriminative learning architecture, by incrementally adding them one at a time. The results are shown in table 2. The baseline **SD** constitutes our steepest descent based optimizer module along with the ImageNet pre-trained ResNet-18 network. That is, similar to the current state-of-the-art discriminative approaches, we do not fine-tune the backbone. Instead of learning the discriminative loss, we employ the regression error (8) in the optimizer module. This baseline approach

	No update	Model averaging	Ours
AUC	61.7	61.7	63.8

Table 3. Comparison of different model update strategies on the <mark>combined</mark> OTB-100, NFS and UAV123 datasets.

	DRT [33]	RCO [18]	UPDT [2]	DaSiam-RPN [40]	MFT [18]	LADCF [38]	ATOM [4]	SiamRPN++ [20]	**DiMP-18**	**DiMP-50**
EAO	0.356	0.376	0.378	0.383	0.385	0.389	0.401	0.414	0.402	0.440
Robustness	0.201	0.155	0.184	0.276	0.140	0.159	0.204	0.234	0.182	0.153
Accuracy	0.519	0.507	0.536	0.586	0.505	0.503	0.590	0.600	0.594	0.597

Table 4. State-of-the-art comparison on the <mark>VOT2018</mark> dataset in terms of expected average overlap (EAO), accuracy and robustness. Our approach, using ResNet-50 backbone (DiMP-50), outperforms the previous methods in terms of EAO.

achieves an AUC score of 58.7%. By adding the model initializer module (**+Init**), we achieve a significant gain of 1.3% in AUC score. Further training the entire network, including backbone feature extractor, (**+FT**) leads to a major improvement of 2.6% in AUC score. This demonstrates the advantages of learning discriminative features suitable for tracking, and possessing the ability of end-to-end learning. Using an additional convolutional block to extract classification specific features (**+Cls**) yields a further improvement of 0.7% AUC score. Finally, learning the discriminative loss (2) itself (**+Loss**), as described in section 3.4, improves the AUC score by another 0.5%. This shows the benefit of learning the implicit online loss by maximizing the generalization capabilities of the model on future frames.

Impact of online model update: Here, we analyze the impact of updating the target model online, using information from previous tracked frames. We compare three different model update strategies. i) **No update:** The model is not updated during tracking. Instead, the model predicted in the first frame by our model predictor D, is employed for the entire sequence. ii) **Model averaging:** In each frame, the target model is updated using the linear combination of the current and newly predicted model, as commonly employed in tracking [12, 35, 16]. iii) **Ours:** The target model is obtained using the training set constructed online, as described in section 3.6. The results are shown in table 3. The naïve model averaging fails to improve over the baseline method with no updates. In contrast, our approach obtains a significant gain of about 2% in AUC score over both methods. These results indicate that our approach can effectively adapt the target model online.

4.2. State-of-the-art Comparison

We compare our proposed approach **DiMP** with the state-of-the-art methods on seven challenging tracking benchmarks. Results for two versions of our approach are shown: DiMP-18 and DiMP-50 employing ResNet-18 and ResNet-50 respectively as the backbone network.

VOT2018 [18]: We evaluate our approach on the 2018 version of the Visual Object Tracking (VOT) challenge con-
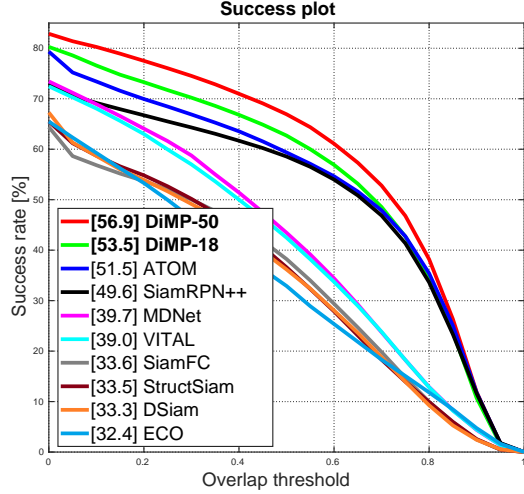
Figure 4. Success plot on the LaSOT dataset. Both our ResNet-18 and ResNet-50 versions outperform all previous methods.

	ECO [5]	SiamFC [1]	CFNet [35]	MDNet [26]	UPDT [2]	DaSiam-RPN [40]	ATOM [4]	SiamRPN++ [20]	DiMP-18	DiMP-50
Precision (%)	49.2	53.3	53.3	56.5	55.7	59.1	64.8	**69.4**	66.6	**68.7**
Norm. Prec. (%)	61.8	66.6	65.4	70.5	70.2	73.3	77.1	**80.0**	78.5	**80.1**
Success (AUC) (%)	55.4	57.1	57.8	60.6	61.1	63.8	70.3	**73.3**	72.3	**74.0**

Table 5. State-of-the-art comparison on the TrackingNet test set in terms of precision, normalized precision, and success.

	MDNet [26]	CF2 [23]	ECO [5]	CCOT [7]	GOTURN [11]	SiamFC [1]	SiamFCv2 [35]	ATOM [4]	DiMP-18	DiMP-50
$SR_{0.50}$ (%)	30.3	29.7	30.9	32.8	37.5	35.3	40.4	63.4	**67.2**	**71.7**
$SR_{0.75}$ (%)	9.9	8.8	11.1	10.7	12.4	9.8	14.4	40.2	**44.6**	**49.2**
AO (%)	29.9	31.5	31.6	32.5	34.7	34.8	37.4	55.6	**57.9**	**61.1**

Table 6. State-of-the-art comparison on the GOT10k test set in terms of average overlap (AO), and success rates (SR) at overlap thresholds 0.5 and 0.75. Both versions of our approach outperform the previous methods in all three measures.

	ECOhc [5]	DaSiam-RPN [40]	ATOM [4]	CCOT [7]	MDNet [26]	ECO [5]	SiamRPN++ [20]	UPDT [2]	DiMP-18	DiMP-50
NFS	-	-	58.4	48.8	42.2	46.6	-	53.7	**61.0**	**62.0**
OTB-100	64.3	65.8	66.9	68.2	67.8	69.1	**69.6**	70.2	66.0	68.4
UAV123	50.6	58.6	**64.4**	51.3	52.8	52.5	61.3	54.5	64.3	**65.4**

Table 7. State-of-the-art comparison on the NFS, OTB-100 and UAV123 datasets in terms of AUC score.

sisting of 60 challenging videos. Trackers are evaluated using the measures accuracy (average overlap over successfully tracked frames) and robustness (failure rate). Both these measures are combined to get the EAO (Expected Average Overlap) score used to rank trackers. The results are shown in table 4. Among previous approaches, SiamRPN++ achieves the best accuracy and EAO. However, it attains much inferior robustness compared to the discriminative learning based approaches, such as MFT and LADCF. Similar to the aforementioned approaches, SiamRPN++ employs ResNet-50 for feature extraction. Our approach DiMP-50, employing the same backbone network, significantly outperforms SiamRPN++ with a relative gain of 6.3% in terms of EAO. Further, compared to SiamRPN++, our approach has a 34% lower failure rate, while achieving similar accuracy. This shows that discriminative model prediction is crucial for robust tracking.

LaSOT [8]: We evaluate our approach on the test set consisting of 280 videos. The success plots are shown in figure 4. Compared to other datasets, LaSOT has longer sequences, with an average of 2500 frames per sequence. Thus, online model adaption is crucial for this dataset. The previous best approach ATOM [4] employs online discriminative learning with with pre-trained ResNet-18 features. Our end-to-end trained approach, using the same backbone architecture, outperforms ATOM with a relative gain of 3.9%, showing the impact of end-to-end training. DiMP-50 further improves the results with an AUC score of 56.9%. These results demonstrate the powerful model adaption capabilities of our method on long sequences.

TrackingNet [25]: We evaluate our approach on the test set of the large-scale TrackingNet dataset. The results are shown in table 5. SiamRPN++ achieves an impressive AUC score of 73.3%. Our approach, with the same ResNet-

50 backbone as in SiamRPN++, outperforms all previous methods by achieving AUC score of 74.0%.

GOT10k [13]: This is large-scale dataset containing over 10,000 videos, 180 of which form the test set used for evaluation. Interestingly, there is no overlap in object classes between the train and test splits to prevent overfitting on particular classes. Thus, the generalization capabilities of the tracker to *unseen* object classes is of major importance here. To ensure fair evaluation, the trackers are forbidden from using external datasets for training. We follow this protocol by retraining our trackers using only the GOT10k train split. Results are shown in table 6. ATOM achieves an average overlap (AO) score of 55.6%. Our ResNet-18 version outperforms ATOM with a relative gain of 4.1%. Our ResNet-50 version achieves the best AO score of 61.1%, verifying the strong generalization abilities of our tracker.

Need for Speed [9]: We evaluate our approach on the 30 FPS version of the dataset, containing challenging videos with fast-moving objects. The AUC scores over all the 100 videos are shown in table 7. The previous best method ATOM achieves an AUC score of 58.4% . Our approach outperforms ATOM with relative gains of 4.4% and 6.2% using ResNet-18 and ResNet-50 respectively.

OTB-100 [37]: Table 7 shows the AUC scores over all the 100 videos in the dataset. Among the compared methods, UPDT achieves the best results with an AUC score of 70.2%. Our DiMP-50 achieves an AUC score of 68.4%, competitive with the other state-of-the-art approaches.

UAV123 [24]: This dataset consists of 123 low altitude aerial videos captured from a UAV. Results in terms of AUC are shown in table 7. Among previous methods, SiamRPN++ achieves an AUC score of 61.3%. Both DiMP-18 and DiMP-50 significantly outperform SiamRPN++, achieving AUC scores of 64.3% and 65.4%, respectively.

5. Conclusions

We propose a discriminative tracking approach that is trained offline in an end-to-end manner. Our approach is derived from a discriminative learning loss by applying an iterative optimization procedure. By employing a steepest descent based optimizer and an effective model initializer, our approach can predict a powerful discriminative model in only a few optimization steps. Further, our approach learns the discriminative loss during offline training by minimizing the prediction error on unseen test frames. Our approach sets a new state-of-the-art on 6 tracking benchmarks, while operating at over 40 FPS.

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Supplementary Material

This supplementary material provides additional details and results. Section S1 derives the closed form expression of the filter gradient, employed in the optimizer module. In section S2 we derive the application of the Jacobian in order to compute the quantity h, employed in algorithm 1 in the paper. In section S3 we provide detailed results on the VOT2018 dataset, while in section S4, we provide detailed results on the LaSOT dataset. We also provide additional details on the NFS, OTB100 and UAV123 datasets in section S5. Finally, we analyze the impact when training with less data in section S6.

S1. Closed-Form Expression for ∇L

Here, we derive a closed-form expression for the gradient of the loss (1) in the main paper, also restated here,

$$L(f) = \frac{1}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \|r(s,c)\|^2 + \|\lambda f\|^2 . \quad \text{(S1)}$$

Here, $s = x * f$ is the score map obtained after convolving the deep feature map x with the target model f. The training set is given by $S_{\text{train}} = \{(x_j, c_j)\}_{j=1}^n$. The residual function $r(s,c)$ is defined as (also eq. (2) in the paper),

$$r(s,c) = v_c \cdot (m_c s + (1 - m_c) \max(0, s) - y_c) . \quad \text{(S2)}$$

The gradient $\nabla L(f)$ of the loss (S1) w.r.t. the filter coefficients f is then computed as,

$$\nabla L(f) = \frac{2}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \left(\frac{\partial r_{s,c}}{\partial f} \right)^{\text{T}} r_{s,c} + 2\lambda^2 f . \quad \text{(S3)}$$

Here, we have defined $r_{s,c} = r(s,c)$ and $\frac{\partial r_{s,c}}{\partial f}$ corresponds to the Jacobian of the residual function (S2) w.r.t. the filter coefficients f. Using eq. (S2) we obtain,

$$\frac{\partial r_{s,c}}{\partial f} = \text{diag}(v_c m_c) \frac{\partial s}{\partial f} + \text{diag}\left((1 - m_c) \cdot \mathbb{1}_{s>0}\right) \frac{\partial s}{\partial f}$$

$$= \text{diag}(q_c) \frac{\partial s}{\partial f} . \quad \text{(S4)}$$

Here, $\text{diag}(q_c)$ denotes a diagonal matrix containing the elements in q_c. Further, $q_c = v_c m_c + (1 - m_c) \cdot \mathbb{1}_{s>0}$ is computed using only point-wise operations, where $\mathbb{1}_{s>0}$ is 1 for positive s and 0 otherwise. Using eqs. (S3) and (S4) we finally obtain,

$$\nabla L(f) = \frac{2}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \left(\frac{\partial s}{\partial f} \right)^{\text{T}} (q_c \cdot r_{s,c}) + 2\lambda^2 f . \quad \text{(S5)}$$

Here, $\cdot$ denotes the element-wise product. The multiplication with the transposed Jacobian $\left(\frac{\partial s}{\partial f} \right)^{\text{T}}$ corresponds to

backpropagation of the input $q_c \cdot r_{s,c}$ through the convolution layer $f \mapsto x * f$. This is implemented as a transposed convolution with x. The closed-form expression (S5) is thus easily implemented using standard operations in a deep learning library like PyTorch.

S2. Calculation of h in Algorithm 1

In this section, we show the calculation of $h = J^{(i)} \nabla L(f^{(i)})$, used when determining the optimal step length α in Algorithm 1 in the main paper. Since we only need the squared L^2 norm of h in step length calculation, we will directly derive an expression for $\|h\|^2 = \|J^{(i)} \nabla L(f^{(i)})\|^2$. Here, $J^{(i)} = \left. \frac{\partial \xi}{\partial f} \right|_{f^{(i)}}$ is the Jacobian of the residual vector ξ of loss (S1), evaluated at the filter estimate $f^{(i)}$. Not to be confused with the residual function (S2), the residual vector ξ is obtained as the concatenation of individual residuals $\xi_j = r(x_j * f, c_j)/\sqrt{n}$ for $j \in \{1, \ldots, n\}$ and $\xi_j = \lambda f$ for $j = n + 1$. Here, $n = |S_{\text{train}}|$ is the number of samples in S_{train}. Consequently, we get,

$$\|h\|^2 = \left\| J^{(i)} \nabla L(f^{(i)}) \right\|^2 \quad \text{(S6)}$$

$$= \sum_{j=1}^{n+1} \left\| \left. \frac{\partial \xi_j}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)}) \right\|^2$$

$$= \sum_{j=1}^{n} \left\| \frac{1}{\sqrt{n}} \left. \frac{\partial r(x_j * f, c_j)}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)}) \right\|^2 + \left\| \lambda \nabla L(f^{(i)}) \right\|^2$$

$$= \frac{1}{n} \sum_{(x,c) \in S_{\text{train}}} \left\| \left. \frac{\partial r_{s,c}}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)}) \right\|^2 + \left\| \lambda \nabla L(f^{(i)}) \right\|^2 .$$

Using eqs. (S6) and (S4) we finally obtain,

$$\|h\|^2 = \frac{1}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \left\| q_c \cdot \left(\left. \frac{\partial s}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)}) \right) \right\|^2 +$$

$$\|\lambda \nabla L(f^{(i)})\|^2$$

$$= \frac{1}{|S_{\text{train}}|} \sum_{(x,c) \in S_{\text{train}}} \left\| q_c \cdot \left(x * \nabla L(f^{(i)}) \right) \right\|^2 +$$

$$\|\lambda \nabla L(f^{(i)})\|^2$$

As described in section S1, $\nabla L(f^{(i)})$ is computed using the closed-form expression (S5). The term $\left. \frac{\partial s}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)})$ corresponds to convolution of x with $\nabla L(f^{(i)})$, i.e. $\left. \frac{\partial s}{\partial f} \right|_{f^{(i)}} \nabla L(f^{(i)}) = x * \nabla L(f^{(i)})$. Thus, $\|h\|^2$ is computed easily using standard operations from deep learning libraries.
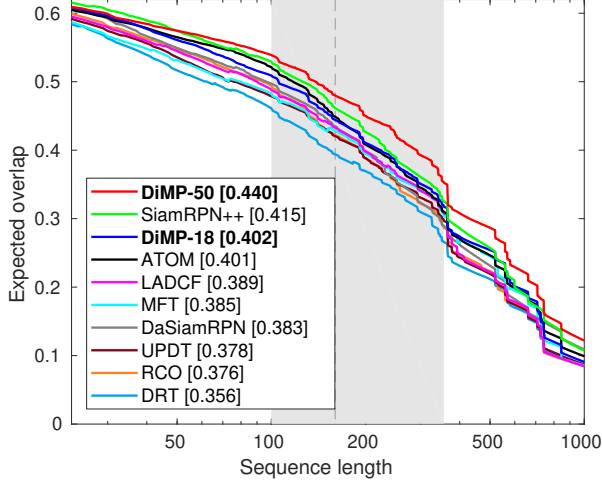
Figure S1. Expected average overlap curve on the VOT2018 dataset, showing the expected overlap between tracker prediction and ground truth for different sequence lengths. The EAO measure, computed as the average of the expected average overlap over typical sequence lengths (grey region in the plot), is shown in the legend. Our approach achieves the best EAO score, outperforming the previous best approach SiamRPN++ [20] with a relative gain of 6.3% in terms of EAO.

S3. Detailed Results on VOT2018

In this section, we provide detailed results on the VOT2018 [18] dataset. The VOT protocol evaluates the expected average overlap (EAO) between the tracker predictions and the ground truth bounding boxes for different sequence lengths. The trackers are then ranked using the EAO measure, which computes the average of the expected average overlaps over typical sequence lengths. We refer to [19] for further details about the EAO computation. Figure S1 plots the expected average overlap for different sequence lengths on VOT2018 dataset. Our approach DiMP-50 achieves the best EAO score of 0.44.

S4. Detailed Results on LaSOT

Here, we provide the normalized precision plots on the LaSOT [8] dataset. These are obtained in the following manner. First, the normalized precision score P_{norm} is computed as the percentage of frames in which the distance between the target location predicted by the tracker and the ground truth, relative to the target size, is less than a certain threshold. The normalized precision score over all the the videos are then plotted over a range of thresholds $[0, 0.5]$ to obtain the normalized precision plots. The trackers are ranked using the area under the resulting curve. Figure S2 shows the normalized precision plots over all 280 videos in the LaSOT dataset. Both our ResNet-18 (DiMP-18) and ResNet-50 (DiMP-50) versions outperform all previous methods, achieving relative gains of 5.9% and 12.8%
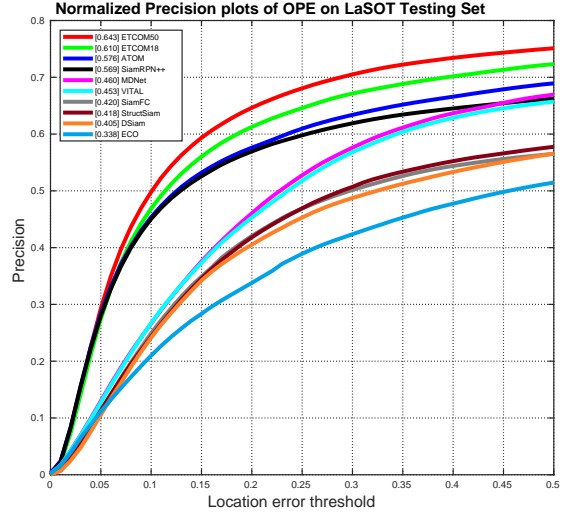

Figure S2. Normalized precision plot on the LaSOT dataset. Both our ResNet-18 and ResNet-50 versions outperform all previous methods by significant margins.

over the previous best method, ATOM [4].

S5. Detailed Results on NFS, OTB-100, and UAV123

Here, we provide detailed results on NFS [9], OTB-100 [37], and UAV123 [24] datasets. We use the overlap precision (OP) metric for evaluating the trackers. The OP score denotes the percentage of frames in a video for which the intersection-over-union (IoU) overlap between the tracker prediction and the ground truth bounding box exceeds a certain threshold. The mean OP score over all the videos in a dataset are plotted over a range of thresholds $[0, 1]$ to obtain the success plot. The area under this plot provides the AUC score, which is used to rank the trackers. We refer to [37] for further details. The success plots over the entire NFS, OTB-100, and UAV123 datasets are shown in figure S3. Our tracker using ResNet-50 backbone, denoted DiMP-50, achieves the best results on both NFS and UAV123 datasets, while obtaining results competitive with the state-of-the-art on the, now saturated, OTB-100 dataset. On the challenging NFS dataset, our approach achieves an absolute gain of 3.6% AUC score over the previous best method ATOM [4].

S6. Impact of Training Data

Here, we investigate the impact of training our approach with less tracking data. We train a version of our tracker with the ResNet-50 backbone using only the ImageNet VID [31], TrackingNet [25] and COCO [22] datasets. We compare this version, denoted DiMP-50-data with the state-of-the-art Siamese tracker, SiamRPN++ [20], trained using ImageNet VID, YouTube-BB [29], COCO and ImageNet DET
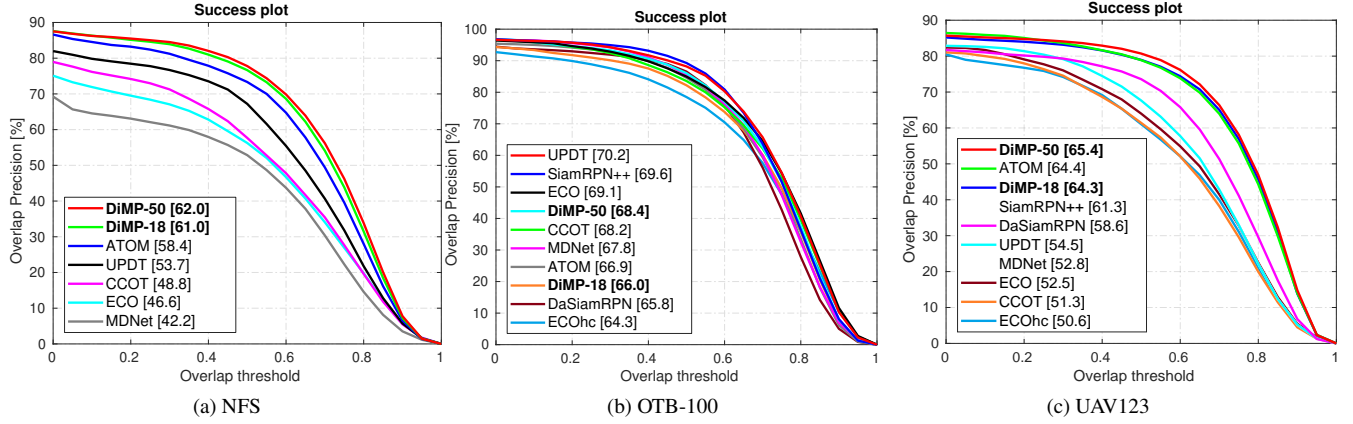
Figure S3. Success plots on NFS (a), OTB-100 (b), and UAV123 (c) datasets. The area-under-the-curve (AUC) scores are shown in the legend. Note that the full raw results for SiamRPN++ and MDNet on the UAV123 dataset are unavailable. We therefore only show the final AUC scores of these trackers, as obtained from [20] and [15] respectively. Our approach achieves the best scores on both the NFS and UAV123 datasets.
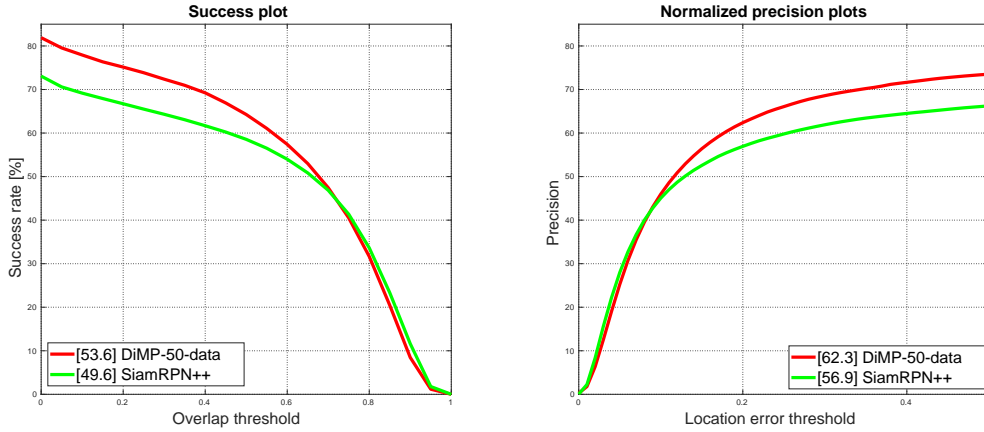

Figure S4. Comparison of our approach trained using only ImageNet VID, TrackingNet and COCO with SiamRPN++ on the LaSOT dataset. Results are shown in terms of success (left) and normalized precision (right) plots. Our approach DiMP-50-data, while using less data, significantly outperforms SiamRPN++ both in terms of success and normalized precision.

[31]. Note that YouTube-BB is a superset of TrackingNet, containing over 6 times more videos. Thus, our DiMP-50-data approach is trained using much less data, compared to SiamRPN++. Also note that SiamRPN++ uses the same ResNet-50 backbone network as in our approach.

Table S1 shows the results of this comparison on the VOT2018 dataset, in terms of accuracy, robustness,

and EAO. Our approach utilizing discriminative learning achieves significantly better robustness with over 22% lower failure rate, as compared to SiamRPN++. Moreover, our approach achieves slightly better EAO score, while having a similar accuracy.

Figure S4 shows the comparison of DiMP-50-data with SiamRPN++ on the LaSOT dataset, in terms of success and normalized precision plots. Our approach, trained using a subset of training data used by SiamRPN++, significantly outperforms it with relative gains of 8.1% and 9.5% in terms of success and normalized precision scores, respectively. These results clearly demonstrate the superior robustness of our approach as compared to SiamRPN++, showing the importance of discriminative learning for robust tracking.

	SiamRPN++	DiMP-50-data
EAO	0.414	0.416
Robustness	0.234	0.181
Accuracy	0.600	0.593

Table S1. Comparison of our approach trained using only ImageNet VID, TrackingNet and COCO with SiamRPN++ on VOT2018 dataset. Results are shown in terms of accuracy, robustness and EAO scores. Even when employing much less training data, our approach obtains significantly better robustness compared to SiamRPN++.