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MSc Dissertation Report

14 **AI-Powered Urban Traffic Optimization in
the UK using Reinforcement Learning and
Edge Computing.**

7 A dissertation submitted in partial fulfilment of the requirements of Sheffield Hallam University for the degree of Master of Science in Big Data Analytics.

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Abstract

⁷¹Urban traffic congestion is still a major problem in UK cities, and fixed time signals often cannot adapt when traffic demand changes. This dissertation tests whether an AI-based traffic signal controller, supported by a results dashboard, can improve junction performance in simulation and make fixed time vs AI comparisons easier to understand.

A full SUMO-based pipeline was built using a Sheffield city centre subnetwork from OpenStreetMap and AADF calibrated traffic demand. A GNN based A2C controller was trained to make adaptive signal decisions and evaluated ⁵³against a fixed-time baseline under identical conditions using waiting time, queue length, and throughput metrics, with results presented through a dashboard at network and junction level.

A small user study also assessed dashboard clarity and usefulness. Most participants found the layout and graphs understandable and helpful for comparing controllers, while feedback highlighted the value of adaptivity and suggested improvements for real-world robustness and simpler summaries for non technical users.

Overall, the work provides an end-to-end workflow from scenario creation and RL training to evaluation and user facing reporting, and sets a foundation for future improvements in sensing, safety, and deployment realism.

Acknowledgement

⁸⁹I would like to thank my supervisor, Dr Konstantinos Domdouzis, for his guidance, support, and feedback throughout this dissertation. His suggestions helped me improve the research direction, improve the implementation, and make the writing stronger.

²⁴²I am also thankful to all the participants who took part in my dashboard evaluation and shared their feedback. Their responses helped me check the clarity and usefulness of the dashboard and supported my final evaluation.

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⁵⁶Finally, I would like to thank my family and friends for their encouragement, patience, and support during the full dissertation journey.

Mandar Dnyaneshwar Satpute

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126 List of abbreviation:

A2C - Advantage Actor-Critic,

A3C - Asynchronous Advantage Actor-Critic

AADF - Average Annual Daily Flow,

AC - Actuated Control

ACM - Association for Computing Machinery

ADDRL - Adaptive Deep Reinforcement Learning

AI - Artificial Intelligence,

API - Application Programming Interface

⁶³ **ATSC** - Adaptive Traffic Signal Control

CMD - Command Prompt

CNN - Convolutional Neural Network

CSV - Comma-Separated Values

³ **D3QN** - Dueling Double Deep Q-Network,

DDPG - Deep Deterministic Policy Gradient

DDQN - Double Deep Q-Network

DE - Differential Evolution

DfT - Department for Transport,

DLR - German Aerospace Center

DNNs - Deep Neural Networks

DPO - Data Protection Officer

³ **DQN** - Deep Q-Network

DRL - Deep Reinforcement Learning,

DRL-DG - Dual-Objective ³⁰ Deep Reinforcement Learning,

DTSE - Discrete Traffic State Encoding

FL - Federated Learning

FLDQN - Federated Learning Deep Q-Network

FTSC - Fixed-Time Control

GB - Gigabyte

³ **GCNs** - Graph Convolutional Networks

GNN - Graph Neural Network,

HGVs - Heavy Goods Vehicles

ID - Identifier

³⁶ **ITS** - Intelligent Transportation Systems,

KS-DDPG - Knowledge Sharing Deep Deterministic Policy Gradient,

LGVs - Light Goods Vehicles

LSTM - Long Short-Term Memory

MARL - Multi-Agent Reinforcement Learning,

MDP - Markov Decision Process,

OD - Origin Destination

OGL - Open Government Licence

OSM - OpenStreetMap,

²⁵³ **ReLU** - Rectified Linear Unit

RL - Reinforcement Learning,

SSD - Solid State Drive

SSRN - Social Science Research Network

³ **SUMO** - Simulation of Urban MObility,

TD - Temporal Difference

TLS - Traffic Light System,

TraCI - Traffic Control Interface,

TSC - Traffic Signal Control

65 CHAPTER 1: INTRODUCTION

1.1 Background and Context

Urban traffic congestion is still a major, long-lasting challenge in modern cities, creating long queues, longer waiting times on roads, wasting fuel, and high levels of dangerous air quality problems(Chen & Wu, 2025; Garg²³² et al., 2023; Xu, 2025; Zhang et al., 2024). Looking to the cities people needs travel more and that causes to jam in roads as well as these things makes fixed time signals less effective(Garg et al., 2023; Xu, 2025). That conditions tells the weaknesses of traffic signal systems, which struggle to manage with changing and undefined traffic patterns (Chen & Wu, 2025; Shen et al., 2023). The fixed time signals are based on a certain period of time and it cannot do any unpredictable changes in traffic due to peak hours, accidents, any kind of special events, and seasonal variations (Shen et al., 2023; Kumar et al., 2024). These limitations contribute to repeated congestion points that reduce travel stability, slow network performance, and make every day travel harder for millions of people (Chen & Wu, 2025; Baeva et al., 2025).

Nowdays rapidly developing urban city environments, and²⁰³ there is a growing demand for intelligent traffic management approaches that can operate in real time and are used to continuously changing road conditions (Alshardan et al., 2024; Hazarika et al., 2024). The Intelligent Transportation Systems has a major aim of innovation, combining artificial intelligence, sensor networks, and advanced analytics to move behind fixed timing plans and enable automated, adaptive traffic-control decisions (Sreejith et al., 2024; Baeva et al., 2025). Based on real time data instead of using fixed decisions, these systems aim to gain smoother traffic flow, safer junctions across urban areas (Garg et al., 2023; Kumar et al., 2024).

The expansion of smart city creativities,²⁴⁰ the rapid growth of connected devices, and the increasing availability of high resolution mobility data, AI-driven traffic management has become not only relevant but increasingly important for modern transport networks (Hazarika et al., 2024; Xu, 2025; Meng et al., 2022). This evolving condition gives strong

motivation to investigate adaptive, intelligent traffic control solutions capable of supporting valid and strong urban mobility in practice (Paul & Mitra, 2022; Mamond et al., 2025; Skoropad et al., 2025).

1.2 Problem Statement

Modern traffic networks are becoming harder to manage as increasing populations, expanding travel demands as well as unpredictable patterns put heavy stress on existing road structure (Chen & Wu, 2025; Xu, 2025).¹⁵ Traditional traffic signal systems, especially fixed time and rule based methods, are unable to manage with these conditions because they depend on preset timing plans and cannot adapt¹¹⁸ to sudden changes in traffic flow (Shen²¹⁸ et al., 2023; Kumar et al., 2024). In the result, intersections experience recurring congestion, long queues, and long waiting lanes that significantly decrease network efficiency and make it more difficult to travel for road users (Garg et al., 2023; Baeva et al., 2025).

The traffic control system had some limitations which were highlighted and that can be learned continuously as well as they can adapt instantly and operate in real time to match change in time situations (Sreejith et al., 2024; Paul & Mitra, 2022). Overall, machine learning solutions have been explored, and many current solutions still operate at a single intersection, based on centralized processing that cannot react quickly for real time control (Li²³¹ et al., 2021; Mamond et al., 2025). Furthermore, very few studies focus on how these intelligent traffic systems can be applied within UK urban networks, where left-hand driving, unique junction layouts (Flötteröd & Wagner, 2025; Meng et al., 2022).

Doing real world experimentation for traffic control systems is expensive and risky, so simulation²⁶³ plays an important role in creating and evaluating AI driven solutions. (Cao¹⁷¹ et al., 2024; Zhang et al., 2024). Hence, there is a strong need for a flexible, decentralized, and network aware traffic signal control model that can operate effectively within a Sheffield city centre based simulation environment, used as a prototype for wider UK urban traffic optimisation strength (Bouktir²⁶⁶ et al., 2023; Yang, 2024; Zhang et al., 2025).

¹² 1.3 Research Aim

The goal of this study is to show how an AI controller can manage traffic flow more smoothly by reducing waiting time and supporting real time decision making within a simulated Sheffield city centre road network using UK traffic data filtered for the Sheffield area. This AI controller uses Adaptive Decentralised Deep Reinforcement Learning ²⁰⁰ combined with a Graph Neural Network. The aim is to demonstrate how the AI can keep traffic flowing smoothly instead of depending on a standard fixed time controller.

¹⁴² 1.4 Research Objectives

The objectives of this study are:

1. To build an AI traffic signal controller using Adaptive Decentralised Deep Reinforcement Learning combined with a Graph Neural Network.
2. To create a SUMO based on a UK traffic dataset filtered to the Sheffield area and use a suitable UK traffic dataset, cleaning and filtering the data to keep realistic traffic values for the simulation.
3. To set up a standard fixed time traffic signal controller on the same network to use as a baseline for comparison.
4. To train and run the AI controller in the simulated Sheffield city centre road network and find how it acts under changing traffic situations.
5. To measure simple traffic behaviour like how long vehicles wait, how long queues get, and how many vehicles get through the junction for both the fixed time and AI controllers.
6. ⁵³ To compare how the AI controller and the fixed-time controller perform, and see if the AI can keep traffic flowing more smoothly and give better overall results.
7. To build a working simulation and an interactive dashboard that clearly shows how both controllers behave and how their traffic performance differs.

1.5 Research Questions

1. Can a GNN supported A2C (adaptive decentralised deep reinforcement learning) traffic signal controller reduce congestion related delay and improve traffic flow compared to a fixed time controller in a simulated Sheffield city centre network?
2. Under identical network and demand settings, what differences are observed between fixed-time and GNN, A2C control in key efficiency indicators (vehicle waiting time, queue length, and throughput/vehicles processed)?
3. How does the GNN, A2C controller adapt its signal decisions over time during varying traffic conditions (e.g., demand spikes within an episode), compared with the fixed-time cycle behaviour?

¹³⁶ 1.6 Scope of the Study

This study focuses on an urban Traffic road network based on the real Sheffield city centre map which is used in a sumo base simulation environment. The work will be limited to a single city centre road network behaving as a proof of concept model rather than a complete nationwide solution. The traffic signals in this system will be managed using two methods: 1. fix time control system, 2. AI control system that uses adaptive decentralised deep reinforcement learning integrated with graph neural networks. All testing will be done in a simulation environment only there is no real time implementation. The study will also include basic user evaluation of the dashboard to see how well the A can manage smooth traffic flow compared to the fixed time controller and users clearly see the difference between fixed time based signals and AI control signals. border issues like hardware setup and legal rules, rolling the system out across the whole you cable be outside the scope of this project. The UK wide DfT traffic dataset will be filtered so that only records related to the Sheffield area are used in the simulations.

¹³² 1.7 Significance of the Study

This study is very important because it tests how artificial intelligence can control and improve traffic efficiency and flow of the traffic in a realistic environment. But it is also a manageable Sheffield city centre network. By using a real city map in the sumo environment and comparing it with fixed time based signals and artificial intelligence Controller, this project shows clearly what artificial intelligence can do practically, not just in theory. The work clearly shows how an adaptive decentralized deep reinforcement learning and graph neural network can work together in a real traffic setup.

This study is also useful¹⁵² from a user point of view because the dashboard is designed to make the result easy to see and learn the patterns and for the understanding, even those who are not machine learning experts. This can help student task planners or the future researchers to quickly compare fixed time based signals and artificial intelligence base control signals and whether this kind of system is worth using in the future. message Lee The project is limited to one city centre Network, the ideas and the methods as well as results can be used as a starting point of the bigger picture and more complex UK traffic networks in the future work.

1.8 Dissertation Structure

⁴⁹ This dissertation is organised into six chapters.

Chapter 1 introduces the background, problem, aim, objectives¹³⁰, research questions, scope, and overall importance of the study.

Chapter 2 reviews the existing research on traffic control, reinforcement learning, graph neural networks, edge style control, and simulation tools.

Chapter 3 explains the methodology, including the Sheffield city centre network, SUMO setup, dataset use, AI model design, training process, and user evaluation plan

Chapter 4 presents the simulation results for both the fixed-time controller and the AI controller.

Chapter 5 discusses these results in more depth and includes feedback from the user evaluation.

Chapter 6 concludes the study¹¹⁷ and suggests ideas for future work.

CHAPTER 2: Literature Review

2.1 Literature Review: Advanced Traffic Management Using Deep Reinforcement Learning and Simulation

2.2 Introduction: The Imperative for Intelligent Traffic Management

Urban traffic congestion continues to be a widespread and persistent issue in modern cities, causing long delays, extended waiting times at signals, higher fuel consumption, and serious air pollution (Chen & Wu, 2025; Garg, Kaur, & Rana, 2023; Xu, 2025; Zhang et al., 2025). The continuous growth of urbanization worsens these issues, making it necessary to develop advanced solutions for optimizing road network utilization and improving people's daily quality of life (Chen & Wu, 2025; Zhang et al., 2025; Xu, 2025). To meet this increasing demand, Intelligent Transportation Systems and Intelligent Traffic Management frameworks based on machine learning and artificial intelligence (AI) have become very important for achieving greater efficiency, sustainability in traffic management (Garg²⁰⁵ et al., 2023; Mall et al., 2023).

2.3 Background Studies: Limitations of fixed based timing Traffic Signal Control

Cities are going to develop intelligent traffic management because AI has advanced quickly in recent years and the limitations of traditional, fixed-timing control systems (Agrawal et al., 2020; Sreejith et al., 2024). Artificial intelligence is fundamentally defined as the study of agents that interpret their environment and take actions based on those perceptions to achieve a function that maps those percept sequences to appropriate behaviours, to

produce rational, goal oriented behaviour (Chen & Wu, 2025; Poole et al.,¹⁶¹ 1998, as cited in Russell & Norvig, 2016; Russell&Norvig,2016).

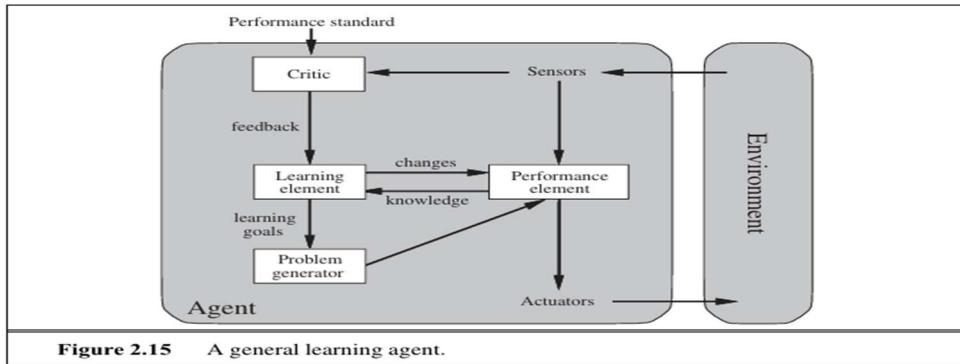


Figure 2.1 shows a general learning agent. The agent senses the environment and takes actions, while a learning part updates its behaviour using feedback so that it performs better over time (Russell & Norvig, 2016).

⁴In this field, reinforcement learning (RL) is remembered as a powerful model focused on goal directed adaptation, in this approach⁷³, an agent gradually learns the best possible policy by interacting with its environment and maximizing a numerical reward over time (Paul, 2022; Sutton & Barto, 2018, as cited in Zhang et al., 2025). This learning framework is formally represented as a Markov Decision Process (MDP), which acts by states, actions, and rewards⁴ (Bouktif et al., 2023; Cao et al., 2024; Kővári et al., 2022).⁷⁸ Deep reinforcement learning (DRL) builds on this idea by using deep neural networks (DNNs) to interpret complex and high-dimensional state-action spaces inherent in large-scale control problems, overcoming the common limitations found in reinforcement learning (Cao et al.,²¹⁵ 2024; Kővári et al., 2022).

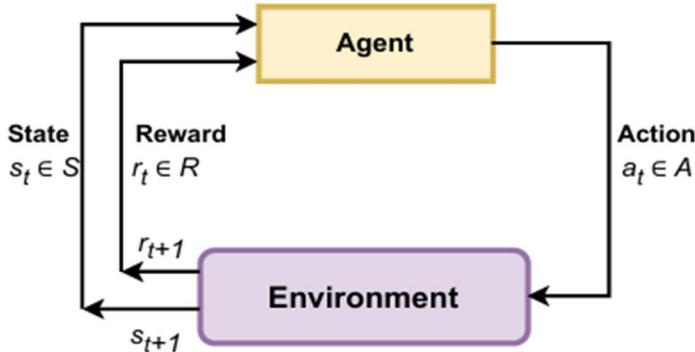


Figure 2. An agent takes action $a_t \in A$ in the environment, receiving reward $r_t \in R$ and transitioning to the next state s_{t+1} based on the actions taken.

Figure 2.¹²⁷: (Basic Agent-Environment Interaction in RL). The basic agent-environment interaction in reinforcement learning, showing the agent taking an action (A t) in the environment and receiving a reward (R t+1) and next state (S t+1) (Mamond et al., 2025).

In history, traffic control was based on static Fixed-Time Control (FTSC) or rule-based Actuated Control (AC) systems (Shen et al., 2023; Yang, 2024). It is used widely, these systems are inherently rigid and lack the predictive capability needed²²⁰ to handle the fast-changing and unpredictable nature of urban traffic flows (Baeva et al., 2025; Chen & Wu, 2025; Xu, 2025). Because of these limitations,¹⁰⁹ there is a clear need for more advanced Adaptive Traffic Signal Control (ATSC) systems that can learn continuously¹¹⁸ and self-learning and real time effectiveness to reduce congestion and delays (Paul, 2022; Sreejith et al., 2024).

2.4¹⁴³ Deep Reinforcement Learning for Adaptive Traffic Signal Control

Deep reinforcement learning (DRL) has introduced how⁴⁴ traffic signal control (TSC) and vehicle routing problems are approached (Garg et al., 2023; Kővári et al., 2022; Paul et al., 2022; Zhang et al., 2025). DRL gives a flexible framework for handling complex, sequential decisions by allowing it to learn from continuous interaction with real or simulated traffic environments and by earning more rewards as it learns (Kővári et al., 2022; Paul et al.,

2022; Yang, 2024). Unlike supervised learning, RL agents generate their own training data through online interaction (Kővári et al., 2022; Sutton & Barto, 2018).

In the DRL framework formalizes the interaction as a ¹⁵Markov Decision Process (MDP) which known by the components of states, actions, and rewards, and aim for the learning agent it represents an intersection or a vehicle, and it is best effective policy for decision-making (Kővári²³⁹ et al., 2022; Sutton & Barto, 2018; Paul et al., 2022). DRL complexing deep neural networks (DNNs) as a approximate complex functions to enabling the system to handle ⁸²high-dimensional state and action spaces, this will help to overcome the combinatorial challenges that traditional reinforcement learning faces when applied to ⁸³Adaptive Traffic Signal Control (ATSC) (Paul et al., 2022; Arulkumaran et al., 2017, as cited in Yang, 2024).

2.5 DRL Algorithms and Enhancements

Many wide ranges of DRL algorithms are used in traffic control and each suited two different types of decision making challenges:

- ⁹⁶**Value-Based Methods:** Value based methods are typically applied when the action space is discrete. In traffic signal control, this often involves selecting from a limited set of choices at each step, ⁴such as maintaining the current phase or switching to the next one (Kővári et al., 2022; Yang, 2024). In Deep Q-Network (DQN)-based ²²⁶traffic signal control, each junction is treated as an agent that observes a state made up of features like ⁷⁰queue length, waiting time, and current signal phase, and then selects one action from this fixed action set (Bouktif et al., 2023; Jamil et al., 2025; Zhang¹³⁸ et al., 2025). The DQN uses a deep neural network to approximate the Q-value of each action, and this network is trained through repeated interaction with a traffic simulator so that actions leading to lower delay, shorter queues, or higher throughput receive higher long-term value (Bouktif et al., 2023; Jamil et al., 2025; Kővári et al., 2022). In this way, the DQN gradually learns signal timing strategies that reduce congestion compared to hand-designed rules or fixed-time plans (Jamil et al., 2025; Zhang et al., 2025).

DQN remains one of the most widely used value-based approaches in this area (Yang, 2024; Mnih²⁰⁹ et al., 2015, as cited in Zhang et al., 2025), but it can suffer from

overestimating Q-values (Yang, 2024; Arel et al., 2010, as cited in Yang, 2024). To address this, improved versions such as the Double Deep Q-Network (DDQN)³ separate action selection from value estimation, improving stability (Bouktif et al., 2023; Hasselt²⁴¹ et al., 2016, as cited in Yang, 2024; Zhang et al., 2025). Further refinements include the Dueling DQN, which separately models state value and action advantage, and D3QN, which combines dueling and double mechanisms to strengthen both stability and performance (Wang et al., 2016, as cited in Yang, 2024; Yang, 2024).

- **Policy-Gradient Methods:** The Policy gradient methods form another important category, especially when managing more complex traffic-control scenarios. Methods⁹⁰ such as Advantage Actor-Critic (A2C), Asynchronous Advantage Actor-Critic (A3C), and Deep Deterministic Policy Gradient (DDPG) (Li et al., 2021; Yang, 2024). These methods, along with DDPG variants¹⁷⁰ like Knowledge Sharing Deep Deterministic Policy Gradient (KS-DDPG), are particularly helpful for managing large, network-wide traffic systems⁷⁰ (Li et al., 2021; Paul et al., 2022). Policy gradient methods such as A2C, A3C and DDPG are useful when many junctions need to be controlled at the same, for example green times or phase durations (Li et al., 2021; Yang, 2024). In these methods, each junction is treated as an agent, where the actor selects the signal²¹⁹ action and the critic finds how good that action is, so the policy can be improved step by step through interaction with the simulator (Li et al., 2021; Yang, 2024). Variants such as KS-DDPG allow different agents to share what they have learned, so junctions do not learn on their own and the whole network can be improved together instead of one junction⁴⁴ at a time (Li et al., 2021; Paul et al., 2022).

To make training more stable and efficient, many DRL models use additional mechanisms¹⁷⁹ such as experience replay and target networks (Gao et al., 2017, as cited in Bouktif et al., 2023; Xu, 2025). Specifically, Prioritized Experience Replay (PER) assigns higher priority to important transitions, allowing the agent to learn faster and more effectively (Bouktif et al., 2023; Schaul et al., 2015, as cited in Yang, 2024; Sun et al., 2025).

2.6 Challenges in Defining RL Components

Organizing the core elements of reinforcement learning (RL), especially the state representation and the reward system is ⁷⁴one of the most important and discussing parts of applying DRL to traffic control. These two design choices widely decide how smoothly the algorithm performs in real-world situations (Kővári et al., 2022; Genders & Razavi, 2018, as cited in Bouktif et al., 2023).

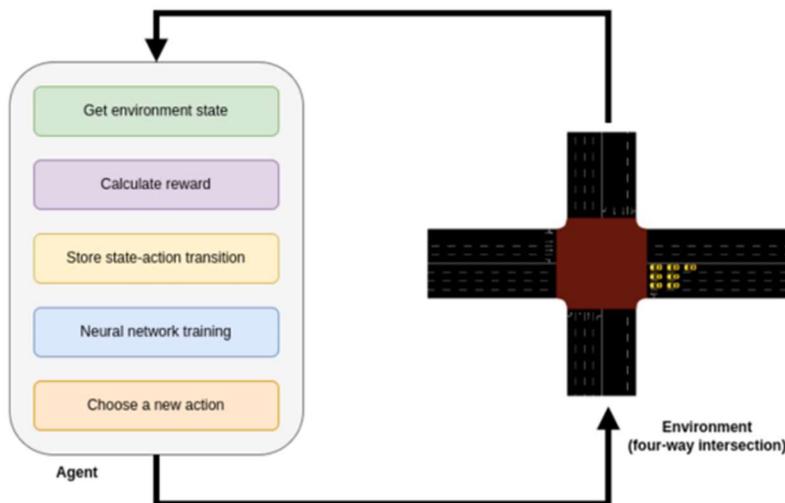


Fig. 1 Operational cycle of the reinforcement learning agent

Figure 2.3: Operational cycle of the reinforcement learning agent, showing the steps of state acquisition, reward computation, memory storage, network training, and action selection (Jamil et al., 2025).

- **State Space Representation:** State Space Representation have different studies have used a number of state formats, such as pixel-based images, complex traffic State Encoding which is known as discrete Traffic State Encoding (DTSE) for lane by lane descriptions, and vector-based states that include features like queue length, vehicle position, density, and speed (Liu et al., 2022; Genders & Razavi, 2018, as cited in Bouktif et al., 2023). However, representing ³the traffic state space with the lane as the unit can lead to unnecessary information and the challenging “curse of dimensionality” when training DRL models, because it creates a lot of repeated or low-value information and a high-dimensional input space that requires more data, more memory, and more training time (Liu et al., 2022).

- **Reward Function Design:** In reward function design earlier DRL studies usually concentrated on a single objective, such as reducing delay, waiting time, or queue length (Liu et al., 2022; Genders & Razavi, 2018, as cited in Bouktif et al., 2023). But modern traffic management frequently requires multiple aims like safety, efficiency, fairness, and order, which makes reward design more complicated. Setting accurate weights for these objectives can be personal and difficult because they are repeatedly based on expert judgment rather than measurable criteria (Liu et al., 2022). Another issue is that delay based rewards may react too slowly, because of lag between the action and the feedback. This has motivated researchers to prefer quicker indicators, such as the number of vehicles passing through an intersection (Liu et al., 2022). To overcome these challenges, many studies recommend using simpler, well-structured state definitions and reward functions to improve learning stability and help DRL models converge more reliably (Bouktif et al., 2023).

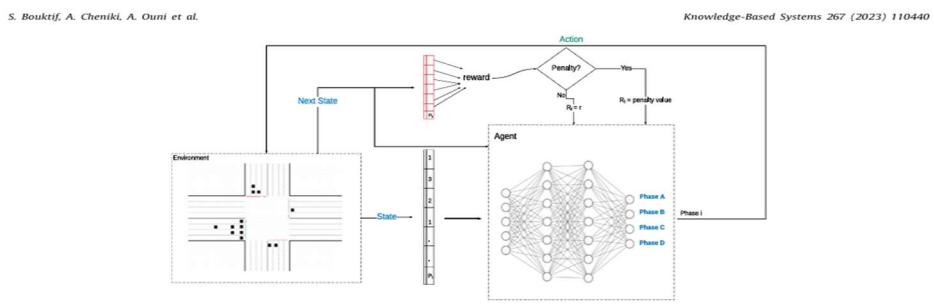


Fig. 3. The proposed framework for traffic signal control with consistent state and reward design.

Figure 2.4: provides a design diagram for the overall DRL framework and explicitly incorporates a unique reward modification element (the Penalty Process) central to the paper's novel reward scheme (Bouktif et al., 2023).

Advanced Architectures and Collaborative Control

To manage and optimize traffic flow across large urban networks, multi-agent systems and advanced neural network architectures are necessary (Baeva et al., 2025).

2.7³ Multi-Agent Reinforcement Learning (MARL)

In a large network, a multi-agent reinforcement learning (MARL) approach is used²⁶⁷ where each intersection functions as a separate agent (Baeva et al., 2025; Song²¹³ et al., 2011, as cited in Baeva et al., 2025). The goal of MARL is to coordinate these distributed agents³ across multiple agents (intersections) to achieve better network-wide traffic flow optimization compared to single-agent approaches (Li⁴ et al., 2021; Zhang et al., 2019, as cited in Baeva et al., 2025).

One of the main difficulties with MARL is ensuring that agents can effectively cooperate and share useful information (Kim et al., 2025). Methods like³ the Knowledge Sharing Deep Deterministic Policy Gradient (KS-DDPG) introduce explicit communication mechanisms to allow¹⁸⁸ each agent access to a collective representation of the environment, significantly improving efficiency of controlling large-scale networks (Li et al., 2021). Another cooperative framework, FLDQN, uses federated learning (FL) to enable knowledge sharing among agents (intelligent vehicles equipped with DQN models) to reduce overall travel time (Kim et al., 2025).

2.8 Graph Neural Networks (GNNs)

To handle the complex topology of road networks,¹⁵⁸ Graph Neural Networks (GNNs), such as Graph Convolutional Networks (GCNs), are used (Sun²⁶² et al., 2025; Yang, 2024; Zhang et al., 2025). GCNs are particularly effective at capturing the spatial and temporal dependencies and¹⁶ complex inter-relations between traffic flow and road conditions, that traditional neural networks often fail to represent well (Sun⁸⁶ et al., 2025; Yang, 2024; Chen et al., 2021; Ling et al., 2020, as cited in Yang, 2024). When combined with deep reinforcement learning (DRL), these GNN-based models, often referred to as a hybrid approach (e.g.,¹⁶ Bidirectional Adaptive Gated Graph Convolutional Network + DRL), enhance¹⁶ the intelligence and adaptability of traffic signal control systems by enabling more informed decision making based on complex traffic features (Sun et al., 2025).

2.9 Multi-Objective Optimization for Sustainability and Safety

Although improving traffic performance, such as reducing delays and waiting times has traditionally been the primary objective in ATSC research (Li et al., 2020; Xu, 2025; Yang, 2024), there is a significant research trend which focuses on integrating broader goals that are important for long term urban development (Yang, 2024; Lin et al., 2024, as cited in Yang, 2024).

- **Decarbonization and Emissions.**³ Considering the severe air pollution and energy waste caused by idling times and frequent stop-and-go movements, DRL-based ATSC systems are increasingly considering vehicle emissions, particularly carbon emissions, into their optimization goals (Yang, 2024; Chen et al., 2021; Xu, 2025). Dual objective DRL systems (DRL-DG) designed to minimize both travel delay (efficiency) and carbon emissions have shown notable improvements²³⁸ in reducing fuel consumption and toxic air pollution compared to single-objective approaches that prioritize efficiency alone (Yang, 2024). Additionally, modeling public transport operations in simulations has brought more detailed energy consumption metrics offering valuable guidance for policies aimed at fossil fuel dependence and overall emissions (García-Cerrud et al., 2023).

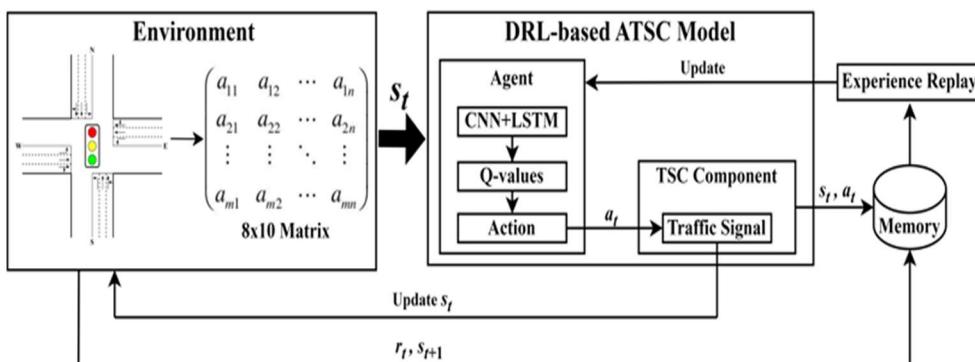


Figure 2. The conceptual framework of DRL-DG.

Figure 2.5: shows the overall DRL-DG framework⁴⁴ for adaptive traffic signal control. The environment (the junction and its lanes) is converted into an 8×10 matrix state s_t , which is fed into the DRL-based ATSC model where a CNN–LSTM network estimates Q-values and selects an action for the traffic signal (Zhang et al., 2024). The chosen action updates

the signal,¹⁹² the environment returns a new state and reward, and these transitions (s_t, a_t) are stored in memory for experience replay so that the agent can keep improving its policy over time (Zhang et al., 2024).

- **Safety:** Improving traffic safety by reducing conflicts has become a key element in multi-objective DRL ATSC systems (Yang, 2024; Gong et al., 2020, as cited in Yang, 2024). Research shows that multi-objective DRL approaches, such as those utilizing D3QN to balance safety, efficiency, and emission reduction simultaneously, can achieve meaningful reductions in traffic conflicts while also enhancing waiting times and lowering emissions (Yang, 2024). This is done by adding safety terms straight into the reward function, for example by giving penalties when vehicles get too close or behave in a risky way, while still giving rewards for low delay and low emissions (Yang, 2024; Gong et al., 2020, as cited in Yang, 2024). Over time, the D3QN agent learns signal policies that avoid these safety penalties but still keep traffic efficient and emissions under control (Yang, 2024).

2.10 The Essential Role of Simulation and SUMO

Microscopic traffic simulation¹⁷⁶ plays a major role in developing and evaluating intelligent traffic control systems before they are applied²²⁴ in real world settings (Garg et al., 2023; Yang, 2024). The¹⁰² Simulation of Urban MObility (SUMO) is one of the most widely used, open source, microscopic, multi modal simulation tool frequently used in this area (Cao et al., 2024; Garg et al., 2023; Lopez et al., 2018, as cited in Garg et al., 2023; Zhang et al., 2025).⁶³

SUMO's capabilities allow researchers accurate modeling of complex⁴ traffic scenarios, including individual vehicle movements, various road network structures, and diverse traffic demands (Cao et al., 2024; Garg et al., 2023; Behrisch & Weber, 2019; Lopez et al., 2018, as cited in Krajzewicz et al., 2012). For DRL-based studies, SUMO is particularly valuable because it provides the large volume of realistic data needed to train models effectively, helping mitigate common concerns around DRL's sample inefficiency (Zhang et al., 2025; Kővári et al., 2022; Muresan et al., 2021, as cited in Cao et al., 2024).⁵⁵

A major advantage of SUMO is its²²² Traffic Control Interface (TraCI), a Python-based API (Cao et al., 2024; Xu, 2025). TraCI enables external optimization algorithms, such as DRL

agents or Differential Evolution (DE) algorithms, to communicate with the simulation which allows dynamic adjustments of signal timings and testing of adaptive control strategies dynamically (Cao et al., 2024; Xu, 2025; Garg et al., 2023). Furthermore, the complex process of building large scale road networks for simulation often relies on public data sources like OpenStreetMap (OSM), which may require innovative preprocessing techniques to convert and simplify the data into a usable SUMO network format (Meng et al., 2022; Xu, 2025).

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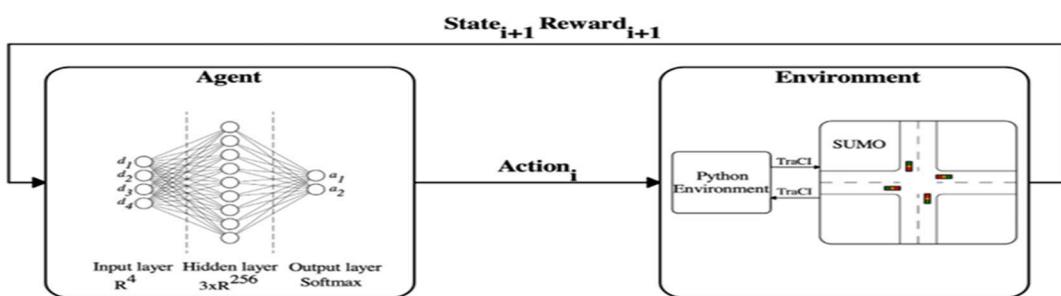


Fig. 1. The Reinforcement Learning training loop

Figure 2.6.¹¹⁶ The Reinforcement Learning training loop, illustrating the essential feedback pathway between the Python training environment, TraCI, and the SUMO simulator (Kővári et al., 2022).

2.11 Conclusion:

The literature review clearly shows that deep reinforcement learning (DRL) and AI driven models provide more effective solutions for managing modern urban traffic than traditional fixed or rule based systems. These newer approaches are more suitable to handle the dynamic and unpredictable nature of real traffic flow (Baeva et al., 2025; Chen & Wu, 2025). Current research points to the further multi objective optimization to balance efficiency, where traffic systems are designed not only for efficiency but also for environmental benefits such as decarbonization and for improving overall safety. This involves frequent use of advanced frameworks like²⁵⁷ multi agent reinforcement learning (MARL), where agents communicate and cooperate between the network (Li et al., 2021; Yang, 2024). The microscopic simulation environment, SUMO, remains necessary. It

offers a highly flexible and reliable platform for designing, testing, and validating complex AI algorithms, and its integration with tools like TraCI (Cao¹² et al., 2024; Garg et al., 2023; Zhang et al., 2025). Looking to the future, research is expected to focus on scaling these models to larger networks, improving the stability of DRL architecture, and exploring real world deployment especially in mixed traffic scenarios where different types of vehicles and behaviors are seen (Cao et al., 2024; Li¹⁸ et al., 2021).

CHAPTER 3: Methodology

3.1 Research design and methodological framework

This study used structured methodological framework, evaluate¹⁷⁵ the performance of AI based traffic signal Control system fix²⁶⁰ time Traffic Signal Control system and Controlled simulation setting using the SUMO Traffic simulation environment which used TraCI. Overall research design is guided by layered logic of the research Onion framework which gives support and clarity by aligning philosophy, approach, strategy, methodological choice, and time horizon to defining procedures (Saunders et al., 2016; Melnikovas, 2018).²⁰⁷ The methodological choices were driven by the objective of the conducting and evidence best comparison using measurable performance metrics produced from the repetitive simulation⁵⁸ (Saunders et al., 2016).

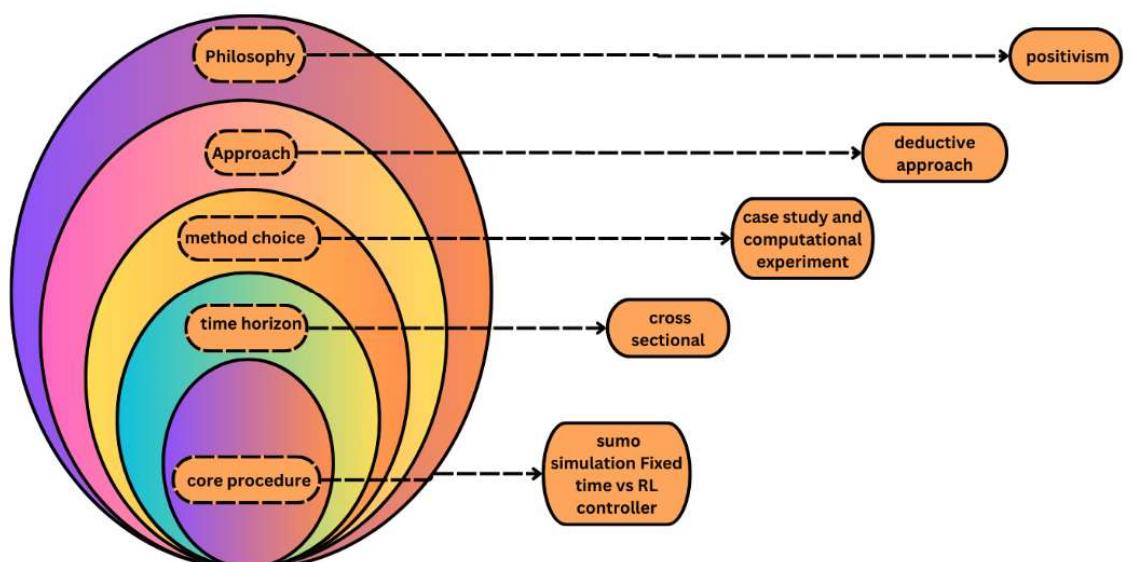


Figure 3.1: Research onion diagram illustrating the¹⁹¹ methodological framework of the study (Inspired by Saunders et al., 2016; Melnikovas, 2018).

²⁴⁵3.1.1 Research Philosophy:

This research comes under the positivist philosophical position, where valid knowledge is derived from observable and measurable outputs and evaluates objectives using observed data (Saunders et al., 2016; Melnikovas, 2018). This approach suited the study because traffic performance can be calculated using numerical results from the experiments.

¹⁸3.1.2 Research Approach:

In this study deductive research approach was used, consistent with the selected philosophy. A deductive approach supports the testing of expectation through structured observation and analysis instead of theory generation (Saunders et al., 2016). In this, expectations regarding performance between ²²¹fixed time traffic control system and adaptive learning-based traffic control system were examined and tested through comparable simulation experiments using predefined quantitative metrics.

⁷3.1.3 Research Strategy:

The research strategy combines a case study with computational experiments. The case study only focused on Sheffield city centre subnetwork, which is selected for its local relevance and the availability of suitable network and traffic inputs, and limited area for controlled simulation testing. In the research onion, both case study and experiment are recognized strategies where the aim is doing systematic comparison under defined conditions (Saunders et al., 2016). The computational experiment involved running simulations while keeping the network structure and traffic demand fixed and only changed the controller to compare results.

3.1.4 Methodological Choice:

A mono method quantitative approach was used because the evaluation depends on numerical metrics and structure comparison across experimental conditions (Saunders et al., 2016). Sumo simulation supported experimental control and consistent data collection as well as repeatable execution of the scenarios under the identical settings.

¹²²3.1.5 Time Horizon:

The study used a cross sectional time horizon. Cross sectional research is categorized by analysis time frame rather than repeated observations²³⁶ (Saunders et al., 2016). In this study Cross sectional refers to fixed simulation episodes that used to compare under the Consistent Conditions rather than real world longitudinal traffic data.

3.1.6 Summary Table

Research onion layer	Choice in this study	What it means in this project	How it supports the study objectives
Philosophy	Positivism	Knowledge is based on measurable, observable results from simulation outputs (e.g., waiting time, queue length, throughput). ¹⁹⁹	Supports Objective 5-6 by enabling an evidence-based evaluation using quantitative metrics and a fair comparison between controllers.
Approach	Deductive	Tests an expected outcome: AI control should outperform fixed-time control under the same conditions.	Supports Objective 4-6 by testing performance differences through structured experiments and measurable outcomes.
Strategy	Case study + computational testing	Sheffield city-centre subnetwork is the case, while simulation runs are the experiments (controller is the only variable changed).	Supports Objective 2-4 by focusing the evaluation on a realistic Sheffield network and enabling controlled baseline vs AI testing.

Methodological choice	Mono-method quantitative (simulation based)	Uses SUMO/TraCI to produce numeric outputs; results are analysed using quantitative metrics and plots/dashboard.	Supports Objective 5-7 by generating consistent metrics and enabling clear performance reporting and dashboard visualisation.
Time horizon	Cross-sectional	Evaluation is based on fixed simulation episodes (same network and demand), not long term real world monitoring.	Supports Objective 6 by ensuring both controllers are tested under identical conditions for a direct comparison within the project scope.

Table 3.1: Summary of Research Design Choices and Alignment with Study Objectives.

3.1.7 Research Plan Workflow Diagram

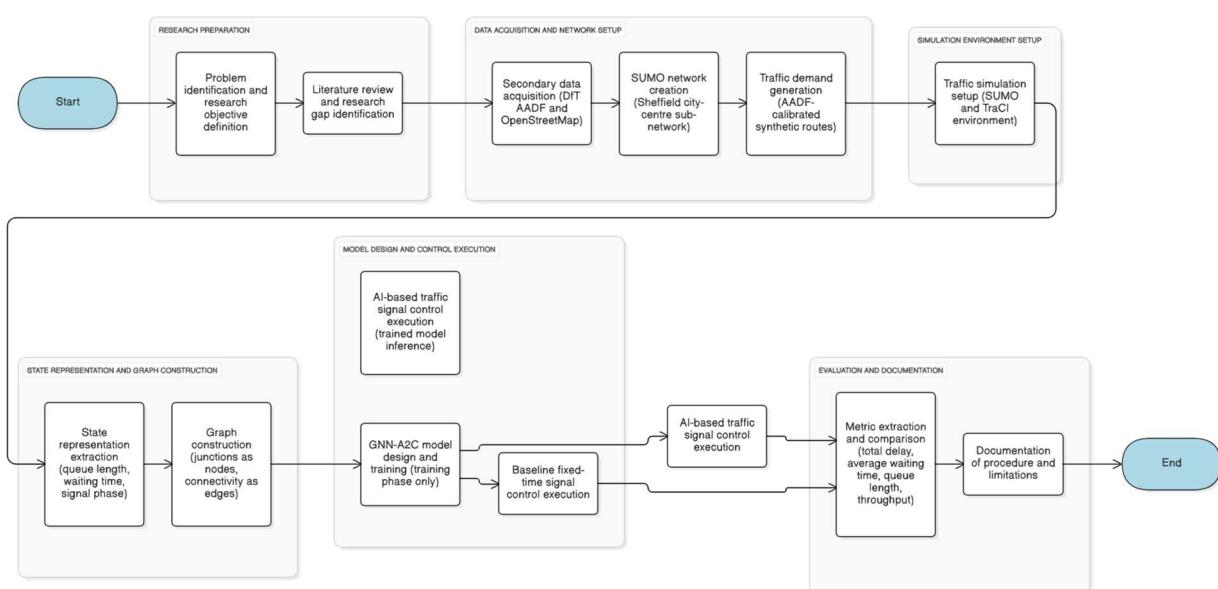


Figure 3.2: Research Plan Workflow Diagram.

3.2²¹⁷ System Architecture Overview

The system architecture is organized as a modular pipeline consisting data preparation simulation control learning and evaluation components all components operate on the same Sheffield City network implemented with the SUMO simulation environment to ensure the consistency across baseline and reinforcement learning experiments.

(Krajzewicz et al., 2012). The traffic demand hand and network data are prepared using the UK Department for Transport which is annual average daily flow records and OpenStreetMap for road network extraction process. These data sources are filtered and processed to generate SUMO simulation compatible network and route configuration files which can be reused across all simulation runs and ensure experimental reproducibility. The Sumo Simulation environment is controlled through TraCI interface (German Aerospace Center [DLR], n.d.). to signal control Saturdays arrival which is a fixed time baseline controller and adaptive reinforcement learning controller but controller operates under the network and demand conditions allowing direct and fair performance comparison. simulation outputs are recorded and processed to extract quantitative performance metrics such as average delay queue length and vehicle throughout vehicle Processed By fix time controller and AI controller. These metrics visualize through plots and interact with the dashboard to support comparative analysis of controller performance under the same safe fields traffic conditions (Garg et al., 2023). The following diagram shows the actual system architect.

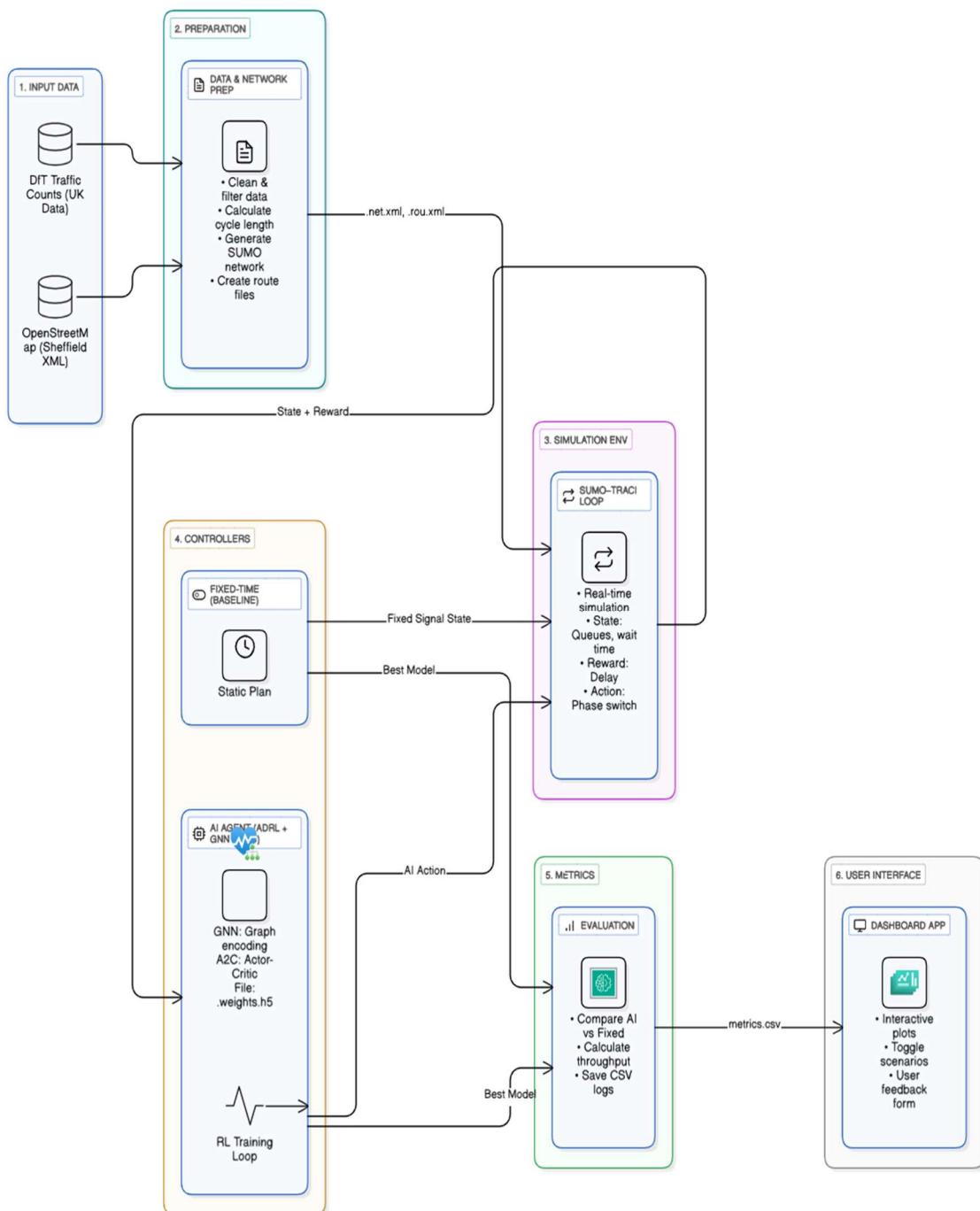


Figure 3.3: System Architecture Flow Chart.

3.3 Dataset

In this project, the ⁵⁴ **Average Annual Daily Flow (AADF)** dataset from the UK Department for Transport is used to derive traffic demand. This dataset provides stable annual traffic counts at national scale and is widely used for modelling realistic road network flows (Garg ⁸⁶ et al., 2023; Wang et al., 2025). The AADF dataset covering **years 2000-2024** and includes **578,217** records covering class-level vehicle counts, geographic information, and road attributes. The dataset was obtained from the official DfT Data Portal: (DfT) ⁵⁴ *Average Annual Daily Flow (AADF) dataset*.: ([DfT Average Annual Daily Flow \(AADF\) dataset \(Department for Transport \[DfT\], n.d.\)](#)).

The data set is licensed ¹⁴⁹ under the Open Government license (OGL v3.0), which permits copying, publishing and distributing Adapting the data for both commercial and no commercial purpose. This makes the data set fully useful for academic simulation, reinforcement learning experiments and reproducible workflows. The complete content is available at: Open

Furthermore, several Department for Transport Data Sets were listed in the ethics materials and only the primary average annual daily flow data set was used because it already provides stable counts suitable for degenerating the traffic demand in the Sheffield City centre area.

A preview of the raw AADF dataset is shown in ¹⁵⁷ **Figure 3.4(A) and Figure 3.4(B)**, which illustrate its structure prior to processing, including columns for *count_point_id*, *local_authority_name*, road type, vehicle class counts, and coordinates.

1	count_point_id	year	region_id	region_name	region_ons_code	local_authority_id	local_authority_name	local_authority_code	road_name	road_category	road_type	start_junction_road_name	end_junction_road_name	eastng	northng	latitude
2	51	2000	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
3	51	2001	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
4	51	2002	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
5	51	2003	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
6	51	2004	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
7	51	2005	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
8	51	2006	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
9	51	2007	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
10	51	2008	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
11	51	2009	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
12	51	2010	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
13	51	2011	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
14	51	2012	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
15	51	2013	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
16	51	2014	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
17	51	2015	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
18	51	2016	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
19	51	2017	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
20	51	2018	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
21	51	2019	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90200	10585	49.91501
22	51	2020	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90173	10641	49.9155
23	51	2021	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90173	10641	49.9155
24	51	2022	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90173	10641	49.9155
25	51	2023	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90173	10641	49.9155
26	51	2024	1	South West	E1200009	1	Isles of Scilly	E06000053	A3111	PA	Major	Pierhead, Hugh Town	A3112	90173	10641	49.9155
27	52	2000	1	South West	E1200009	1	Isles of Scilly	E06000053	A3112	PA	Major		A3111	93100	10240	49.91233

Fig 3.4(A): Raw AADF dataset sample (UK-wide, before filtering).

1	longitude	link_length_km	link_length_mile	estimation_method	estimation_pedal_cycles	two_wheeled_motor_vehicles	cars_and_taxis	buses_and_coaches	LGVs	2_rigidVs_3_rigid_a_or_more_rir_4_articul_articulatB_articulatell_HGVs	all_motor_vehicle	
2	-6.31714	0.3	0.19	Estimated	Estimated_L	105	87	837	25	451	30	0
3	-6.31714	0.3	0.19	Estimated	Estimated_L	93	83	857	27	451	28	0
4	-6.31714	0.3	0.19	Estimated	Estimated	99	102	893	28	467	28	0
5	-6.31714	0.3	0.19	Estimated	Estimated_L	83	131	926	29	509	27	0
6	-6.31714	0.3	0.19	Counted	Manual cou	196	37	476	35	296	77	6
7	-6.31714	0.3	0.19	Estimated	Estimated	205	35	470	30	323	83	6
8	-6.31714	0.3	0.19	Estimated	Estimated	196	37	475	30	342	83	6
9	-6.31714	0.3	0.19	Estimated	Estimated_L	199	37	462	30	360	85	6
10	-6.31714	0.3	0.19	Estimated	Estimated_L	199	37	454	31	376	83	7
11	-6.31714	0.3	0.19	Estimated	Estimated	228	39	457	33	406	83	8
12	-6.31714	0.3	0.19	Estimated	Estimated_L	234	35	440	38	417	85	8
13	-6.31714	0.3	0.19	Estimated	Estimated_L	205	35	437	38	438	85	9
14	-6.31714	0.3	0.19	Counted	Manual cou	288	89	525	24	304	39	0
15	-6.31714	0.3	0.19	Estimated	Estimated	285	92	527	26	326	38	0
16	-6.31714	0.3	0.19	Estimated	Estimated_L	228	101	533	26	355	39	0
17	-6.31714	0.3	0.19	Estimated	Estimated	227	98	541	28	377	40	0
18	-6.31714	0.3	0.19	Estimated	Estimated	225	98	548	28	407	42	0
19	-6.31714	0.3	0.19	Estimated	Estimated	226	95	548	27	430	43	0
20	-6.31714	0.3	0.19	Estimated	Estimated	221	93	545	25	451	44	0
21	-6.31714	0.3	0.19	Estimated	Estimated	267	100	546	25	449	43	0
22	-6.31756	0.3	0.19	Counted	Manual cou	123	16	467	0	254	20	0
23	-6.31756	0.3	0.19	Estimated	Estimated	92	17	510	0	290	22	0
24	-6.31756	0.3	0.19	Estimated	Estimated_L	90	19	548	0	323	23	0
25	-6.31756	0.3	0.19	Estimated	Estimated	85	17	561	0	330	23	0
26	-6.31756	0.3	0.19	Estimated	Estimated	82	17	568	0	336	23	0

Figure 3.4(B): Raw AADF dataset sample (UK wide, before filtering).

The data set was then filtered Two counts belong specifically Sheffield, using the local authority code which is “Eo8000019” to avoid accidentally losing valid records. Standard daily includes removing gross with the missing or invalid numerical values and narrowing the subset to major roads relevant to the traffic condition around the city center (Meng et al., 2022; Xu, 2025). A view of the process of cleaning Sheffield data It's shown in Figure 3.3(C) that clean Sheffield data is used for SUMO simulation.

1	count_point_id	year	local_authority_name	local_authority_code	road_name	road_type	road_category	all_motor_vehicles	latitude	longitude
2	6565	2000	Sheffield	E08000019	A57	Major	PA	6200	53.37992	-1.55048
3	6565	2001	Sheffield	E08000019	A57	Major	PA	6245	53.37992	-1.55048
4	6565	2002	Sheffield	E08000019	A57	Major	PA	6051	53.37992	-1.55048
5	6565	2003	Sheffield	E08000019	A57	Major	PA	6192	53.37992	-1.55048
6	6565	2004	Sheffield	E08000019	A57	Major	PA	6206	53.37992	-1.55048
7	6565	2005	Sheffield	E08000019	A57	Major	PA	6218	53.37992	-1.55048
8	6565	2006	Sheffield	E08000019	A57	Major	PA	6380	53.37992	-1.55048
9	6565	2007	Sheffield	E08000019	A57	Major	PA	6340	53.37992	-1.55048
10	6565	2008	Sheffield	E08000019	A57	Major	PA	6143	53.37992	-1.55048
11	6565	2009	Sheffield	E08000019	A57	Major	PA	6357	53.37992	-1.55048
12	6565	2010	Sheffield	E08000019	A57	Major	PA	6262	53.37992	-1.55048
13	6565	2011	Sheffield	E08000019	A57	Major	PA	6331	53.37992	-1.55048
14	6565	2012	Sheffield	E08000019	A57	Major	PA	6212	53.37992	-1.55048
15	6565	2013	Sheffield	E08000019	A57	Major	PA	6171	53.37992	-1.55048
16	6565	2014	Sheffield	E08000019	A57	Major	PA	6307	53.37992	-1.55048
17	6565	2015	Sheffield	E08000019	A57	Major	PA	6305	53.37992	-1.55048
18	6565	2016	Sheffield	E08000019	A57	Major	PA	6449	53.37992	-1.55048
19	6565	2017	Sheffield	E08000019	A57	Major	PA	6503	53.37992	-1.55048
20	6565	2018	Sheffield	E08000019	A57	Major	PA	5341	53.38072	-1.53827
21	6565	2019	Sheffield	E08000019	A57	Major	PA	5353	53.38072	-1.53827
22	6565	2020	Sheffield	E08000019	A57	Major	PA	4002	53.38072	-1.53827
23	6565	2021	Sheffield	E08000019	A57	Major	PA	4399	53.38072	-1.53827
24	6565	2022	Sheffield	E08000019	A57	Major	PA	4736	53.38072	-1.53827
25	6565	2023	Sheffield	E08000019	A57	Major	PA	4839	53.38072	-1.53827
26	6565	2024	Sheffield	E08000019	A57	Major	PA	4898	53.38072	-1.53827
27	7355	2000	Sheffield	E08000019	A6135	Major	PA	20395	53.35924	-1.42298
28	7355	2001	Sheffield	E08000019	A6135	Major	PA	20398	53.35924	-1.42298

Figure 3.5: Cleaned Sheffield datasets.

The DFT AADF data set is fully quantitative, and the exploratory analysis also follows a quantitative approach. A Histogram of average annual daily flow values in Figure 3.6 was generated to understand distribution of daily flows. Most values cluster within urban ranges that indicate the data set is suitable for producing realistic demand for a sumo simulation (Garg et al., 2023).

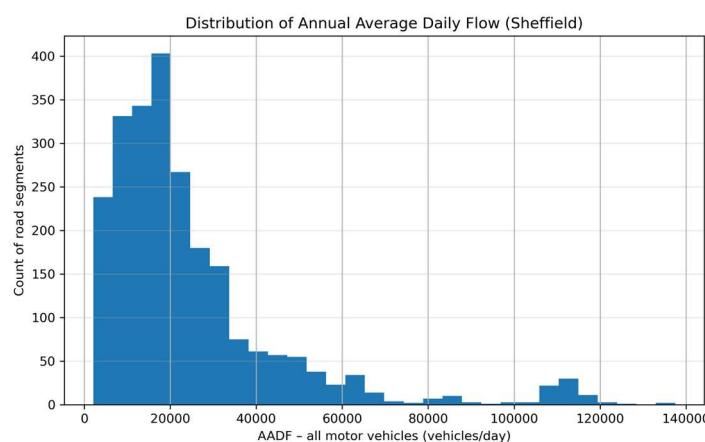


Figure 3.6: Distribution of AADF values for Sheffield Road segments (vehicles/day).

It is shows that most Sheffield roads carry about 5,000 - 30,000 vehicles per day, and only a few roads carry more than 60,000 vehicles per day. This fits what we expect in a city: many roads with moderate traffic and only a small number of very busy main roads.

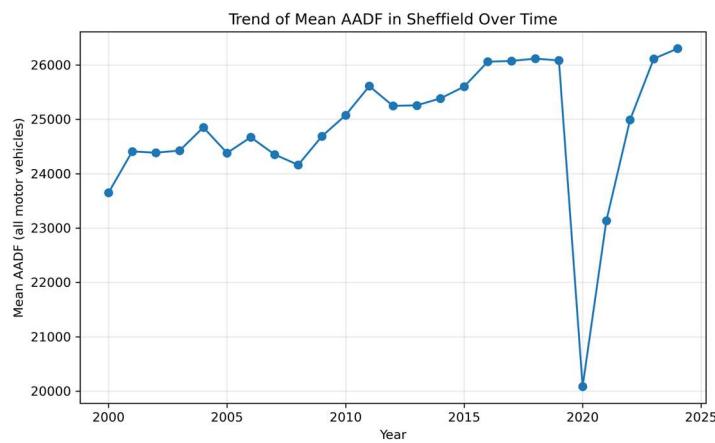


Figure 3.7: shows the trend of mean AADF for all motor vehicles in Sheffield across the available years. The overall pattern is relatively stable with a gradual increase over time.

The AADF dataset also provides vehicle class counts, allowing construction of a realistic multi model traffic mix sumo simulation. average flows for cars LGVs, HGVs, buses, motorcycles and cycles were calculated for Sheffield and visualized in **Figure 3.8** (Meng et al., 2022; Angelina et al., 2024). However, for simplicity in the study the

all_motor_vehicles column was used to generate a single aggregated traffic realistic demand for sumo routes.

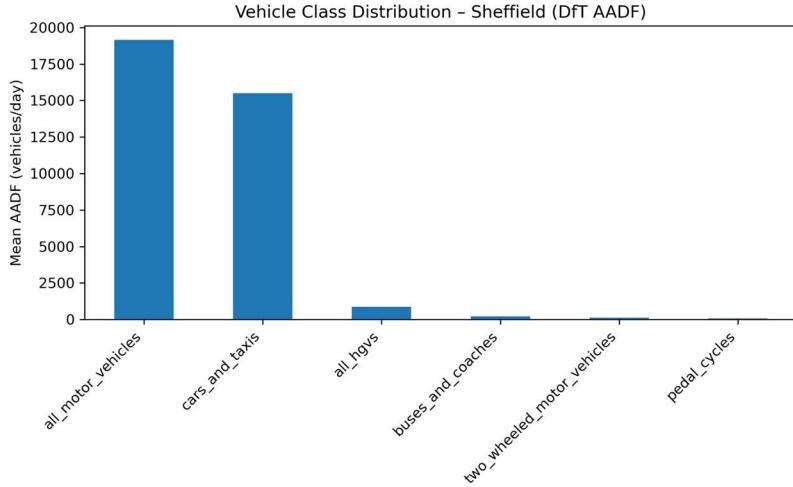


Figure 3.8: Vehicle Class Distribution in Sheffield Based on AADF (Including All Motor Vehicles)

This study used the DFT AADF dataset, which is properly licensed, accurately filtered, and quantitatively analysed, and it provides a suitable input for generating traffic demand for both baseline and reinforcement learning experiments.

3.3.1 Network Preparation

The traffic network used in this project was constructed with an open Street map, which contents the “.osm” file (German Aerospace Center [DLR], n.d.), to create a realistic but computationally manageable Sheffield city centre sub network. The selected area captures several key junctions including all signal lights intersections controlled by the baseline and AI agents.

OSM geometry was converted into a sumo simulation, which is a compatible network using net convert tool, because so much simulation cannot read the “.OSM” file. following official SUMO documentation to ensure the correct ratio, turning Connexion and UK left hand driving (Behrisch & Weber, 2019). in the result were my_network_file.net.xml file it is displayed in figure 3.9 The workflow is shown below.

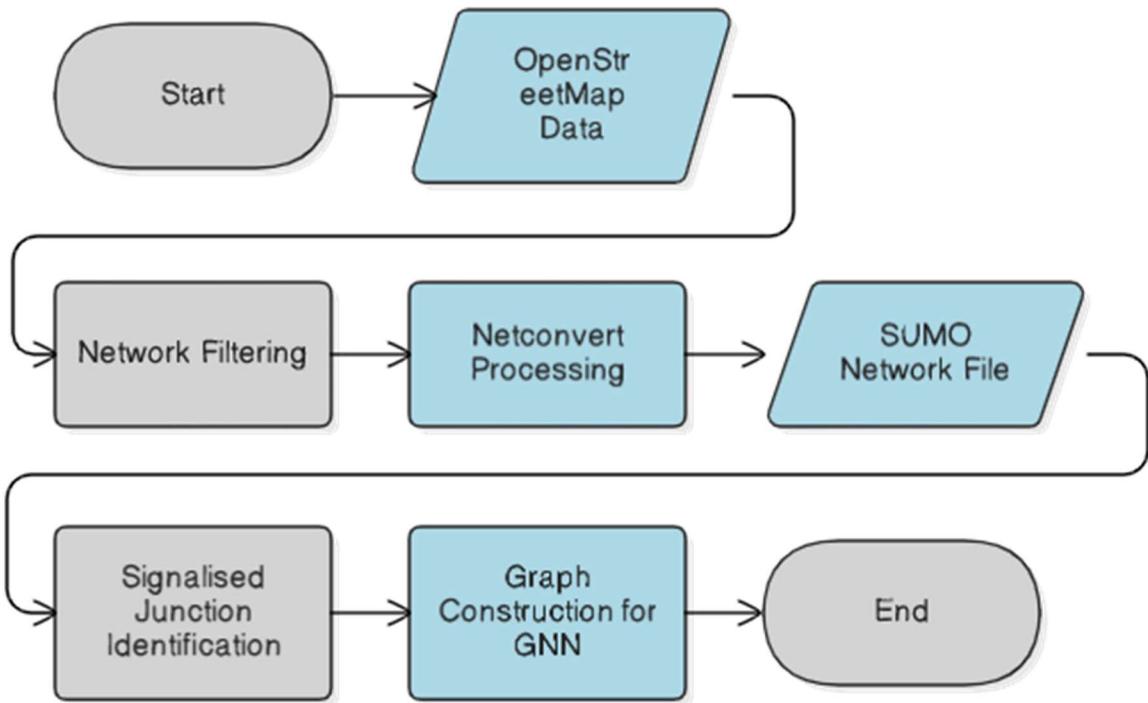


Figure 3.9: Network preparation workflow from OpenStreetMap extraction to SUMO network generation and graph construction for the GNN-based controller.

To support the decentralised ADDRL + GNN controller, all signalised intersections were represented as graph nodes²³³, with road connectivity between neighbouring intersections modelled as edges. This graph abstraction enables each junction to incorporate information from its neighbouring intersections during decision making (Chen et al., 2021; Sun & Liu, 2025).

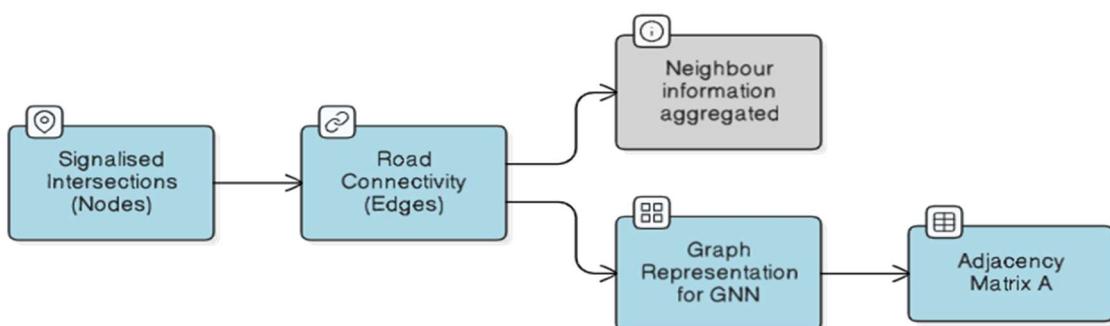


Figure 3.10.¹⁰⁸ graph representation of the traffic network used by the GNN, where signalised junctions are modelled as nodes and connectivity between neighbouring junctions is modelled as edges.

3.3.2 Network statistics (SUMO network used in this study):

Network items	Value
Total junctions	94
Real junctions	89
Signalised junctions (traffic lights / TLS)	7
Total edges	285
Real edges	133
Real lanes	162

Table 3.2: network statistics table.

3.3.3 Graph adjacency matrix construction (node + edge definition):

The adjacency matrix $A \in RN \times NA$ was constructed²³⁴ where N is the number of signalised junctions (TLS nodes).

Node definition: each node corresponds to one SUMO traffic light ID.

Edge definition: In implementation, this was obtained using TraCI controlled links: for each TLS, outgoing lanes were checked against the lane sets controlled by other TLS. If a match exists, the TLS pair is treated as neighbours and the adjacency matrix is updated as

$$A_{ij} = 1 \text{ and } A_{ji} = 1.$$

This produces a binary adjacency matrix that captures inter intersection road connectivity, enabling the GNN to propagate information across neighbouring junctions during policy inference.

3.4 Traffic Demand Generation

To ensure that the sumo simulation reflects realistic traffic demand for the Sheffield Study area, the demand level was calibrated using¹²⁰ data from the UK Department for Transport is known as DFT annual average daily flow AADF data set. After filtering the data set includes only records belonging to Sheffield and the highest recorded value of *all_motor_vehicles* was found. This value presents the busiest counted road segment in the region and provides an upper bound Indicator of traffic weight.

3.4.1 Deriving Peak-Hour Demand

¹⁴⁶Average Annual Daily flow data provides the average number of vehicles passing through location over a full 24 hours period. To estimate peak hour, flow The Study adopts a mostly used assumption in transport modelling that approximately 10% of the traffic occurs during busiest hours of the day (Garg et al., 2023; Zhang et al., 2024). Thus, if **AADFmax** is the maximum daily flow:

$$\text{Period} = 3600 / Q_{\text{peak}}$$

$$Q_{\text{peak}} = 0.10 \times \text{AADF}_{\text{max}}$$

This peak hour flow is converted into equivalent arrival rate expressed as the vehicle generation period

$$\text{Period} = \frac{3600}{(0.10 \times \text{AADF}_{\text{max}})}$$

where ⁵⁵ 3600 is the number of seconds in an hour and Q_{peak} is the estimated peak hour volume. For the Sheffield data set used in this project, this calculation produces a period approximately 0.26 seconds per vehicle. This provides a simple but transparent way to link average annual daily flow values to demand parameters and that required by sumo route generation process (Behrisch & Weber, 2019).

3.4.2 Generating AADF Calibrated Synthetic Routes

This derived peak flow is huge once to calibrate the traffic demand for the simulation's simulation route generation procedure which is in "randomTrips.py" clarify the period as a mean inter arrival time when creating randomized origin Destination (OD) trips across the network (Behrisch & Weber, 2019; Flötteröd & Wagner, 2025). The OD patterns produced by "randomTrips.py" are synthetic rather than reconstructed from OPS of origin destination, overall traffic volume is grounded in average annual daily flow observations, ensuring that the simulated flow level goes under realistic range for the Sheffield network (Garg et al., 2023; Meng et al., 2022).

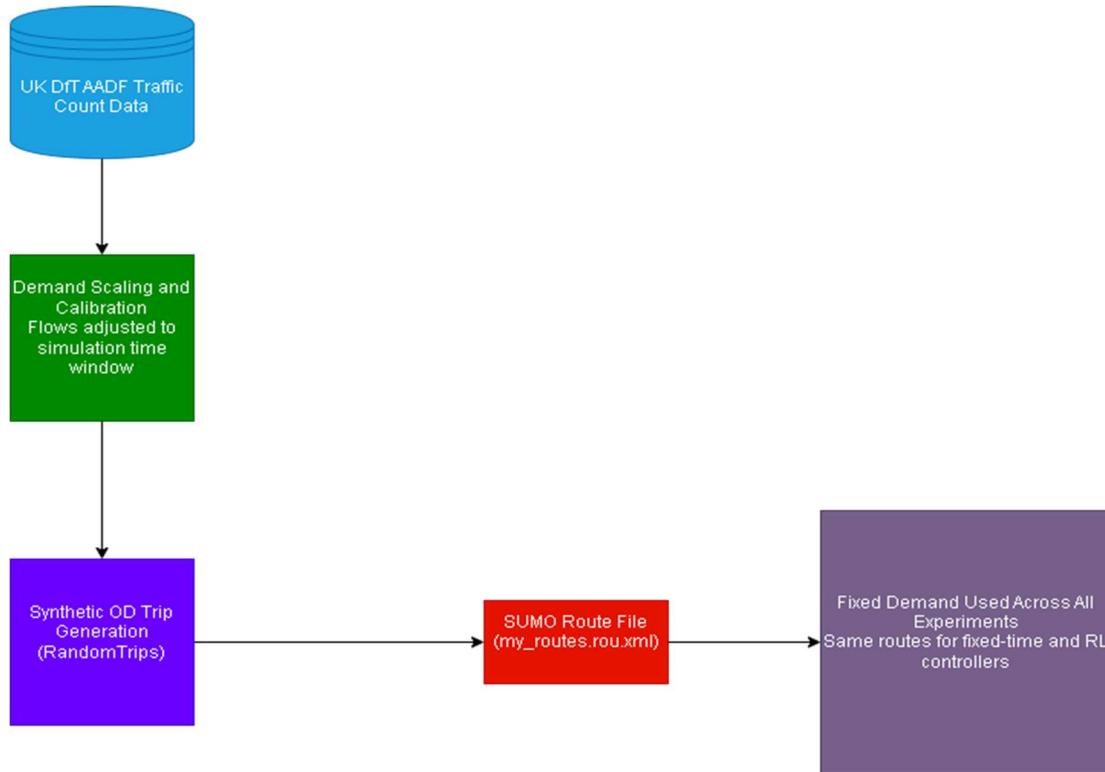


Figure 3.11: Data lineage showing how UK AADF traffic counts are calibrated and transformed into fixed synthetic route demand, ensuring consistent and reproducible traffic conditions across all simulation experiments.

In the result route file `my_routes.rou.xml` therefore satisfies the requirement first one it provides average annual daily flow scale demand ensuring that the total flow intensity is consistent with the expected for a busy urban area in Sheffield. Second it offers experimental reproducibility as the same generated roads are reused across all experiments so both the fixed time baseline and RL best controller experience identical demand conditions (Li⁷⁰ et al., 2021; Bouktif et al., 2023).

This design choice supports fair comparison between fix time base controller and AI controller while keeping the simulation trackable and reproducible which is appropriate for evaluating adaptive signal control strategies in sumo environment (Garg⁷¹ et al., 2023; Li et al., 2021; Zhang et al., 2024).

3.5 Baseline Fixed Time Controller

⁴⁴A fixed time traffic signal was implemented as the baseline condition for this project. In this approach each signal at a junction operates using a predefined sequence of signal phases with static grain durations that remains unchanged regardless of real traffic time conditions. This control logic reflects conventional non adaptive traffic signal operation was implemented directly using TSUMO simulation built in traffic light programmed Across the shifted city Centre subnetwork (Krajzewicz et al., 2012).

The baseline signal controller provides a reference benchmark against reinforcement learning to keep comparison fair. Both fixed time and artificial intelligence controllers run on the same SUMO network, same traffic demand and the same route file so any performance difference comes from control method only (Xiao et al., 2025).

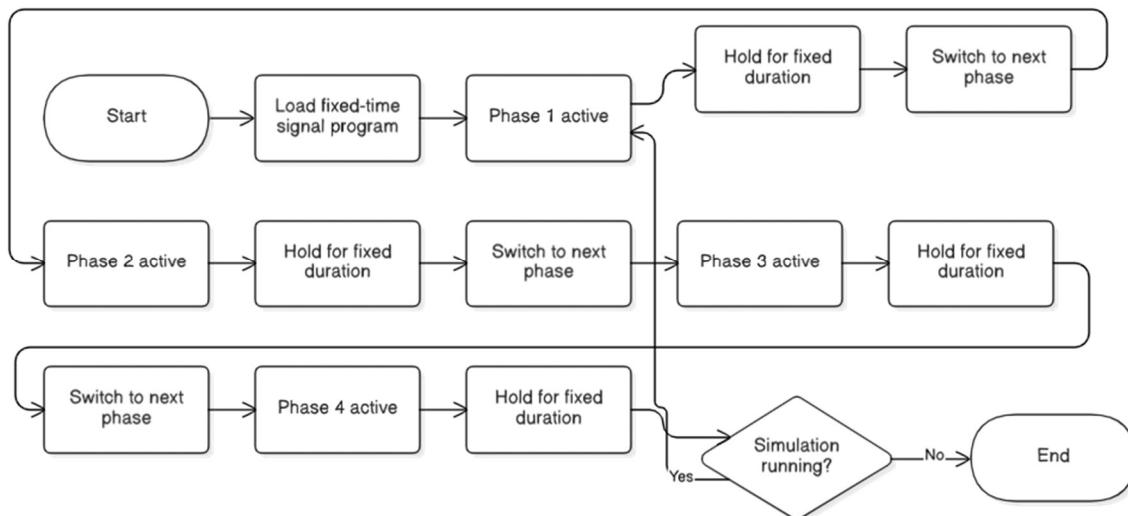
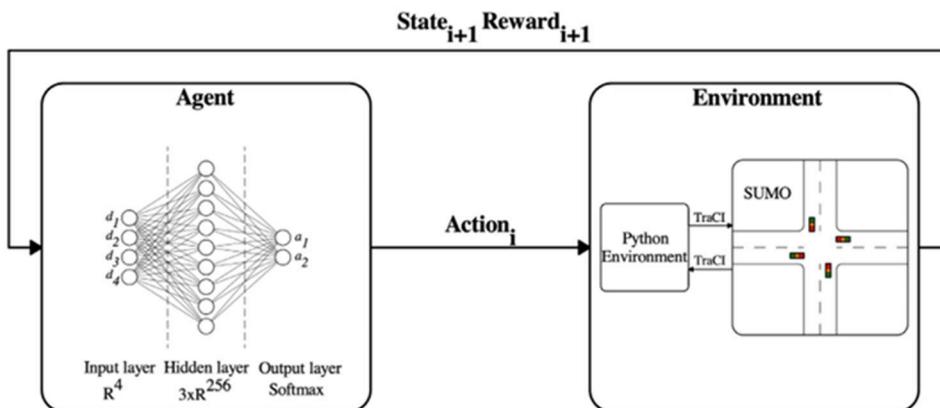


Figure 3.12: Fixed Time Phase Logic Diagram.

3.6 Reinforcement Learning Controller (ADDR + GNN + A2C)

The Reinforcement learning traffic signal implemented in this study was designed as an adaptive deep reinforcement learning system (ADDRL)⁷⁸ that integrates graph neural networks (GNN) with the Actor critic algorithm (A2C). The controller operates through the Sumo simulation traffic environment and connected simulation through Traci interface, using real time observations traffic States and application of the signal control access during simulation runtime⁴ (Behrisch et al., 2011; Krajzewicz et al., 2012). The learning framework followed centralized training with a shared policy, while signal control



decisions were applied⁷⁶ at the level of individual intersections during execution.

Figure 3.13: The reinforcement learning training loop (Kővári et al., 2022, *Deep reinforcement learning based approach for traffic signal control*)

Figure 3.6 shows the standard agent environment interaction loop used in this study, where the RL agent selects signal actions¹⁸¹, these actions are applied to SUMO via TraCI, and the simulator returns the next state and reward for learning (Kővári et al., 2022).

3.6.2 State Representation

The state representation was constructed using measurable traffic features extracted directly from the SUMO simulation. For each signal at a junction¹⁶⁴ the state vector includes queue length, waiting time and current signal phase. The features are commonly used in reinforcement learning based traffic signal control systems because they provide direct representation of traffic level and control status at intersections (Wei et al., 2019; Kővári et

al., 2022). Because different intersections have different numbers of lanes the state vectors were padded to the same size before being combined for the craft neural network.

The state representation is constructed from lane level traffic features extracted from SUMO at each simulation step. For each traffic light system (TLS), the raw state vector is:

$$s_i = [q_i, 1, \dots, q_i, K_i, w_i, 1, \dots, w_i, K_i, p_i]$$

3.6.3 Action Space and Control Logic

¹⁶⁸The action space was defined as a discrete set of signal control decisions. For each junction ³the agent selected either to maintain the current single phase or to switch the current phase to the next predefined phase. The minimal Breakdown Action formulation ²⁰²is widely used in traffic signal control studies to balance learning stability (Wei et al., 2019). Actions were applied synchronously at each time step through the TraCI interface, while their effects were evaluated collectively at each network level true shared reward signal.

Action 0: keep current phase.

Action 1: switch to the next phase in the predefined program.

$$p_{\text{next}} = (p_{\text{current}} + 1) \bmod P$$

Switching advances cyclically through the predefined phases (modulo the number of phases).

3.6.4 Reward Function

A global reward formula was used to encourage cooperative behaviour across the traffic network.¹²⁹ At each simulation timestamp the reward was defined as the negative of the total accumulated waiting time across all controller lanes:

$$r_t = -\frac{1}{1000} \sum_{l \in \mathcal{L}} w_l$$

Where Wl known as the calculated waiting time on lane l . This formulation aligns the learning objective with a widely used traffic efficiency indicator and supports the network level optimization by punishing overall traffic instead of localized delay (Wei et al., 2019; Bouktif et al., 2023).

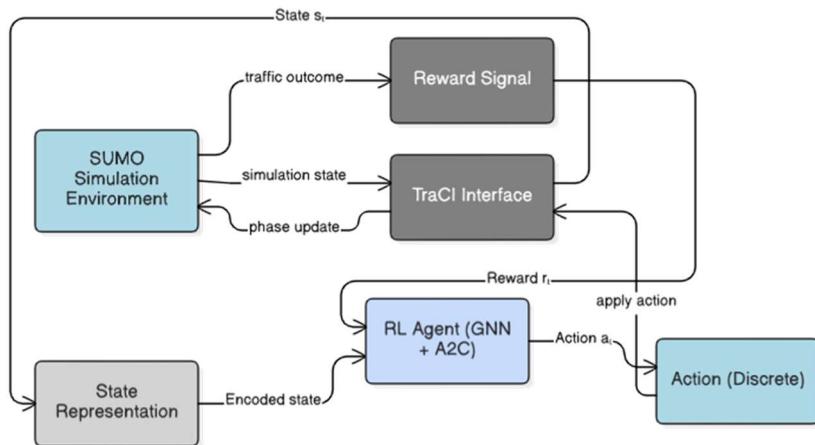


Figure 3.14: High level reinforcement learning control loop illustrating the interaction between the RL agent, the SUMO simulation environment, and the TraCI interface during state observation, action execution, and reward feedback. 80

3.6.5 GNN Based Actor Critic Architecture

The policy and value functions were implemented using shared graph neural network-based actor critic architecture. junction state vectors were first transformed into nodes enabling through connected layers. neighbourhood Information Watch collected using an adjacency matrix derived from the network connectivity, allowing each junction to incorporate information from adjacent intersections. Using a graph that helps the model understand how nearby intersections affect each other, an actor gives an action for each junction and the critic estimates one overall value for the whole network 237 Li et al., 2021; Chen et al., 2021).

Model configuration used in the code:

- **Hidden dimension:** 64
- **GNN layers:** 1 message passing aggregation (adjacency MATRIX)
- **Node embedding:** Dense (ReLU)
- **Post GNN transform:** Dense (ReLU) on concatenated h , h_{neigh} .

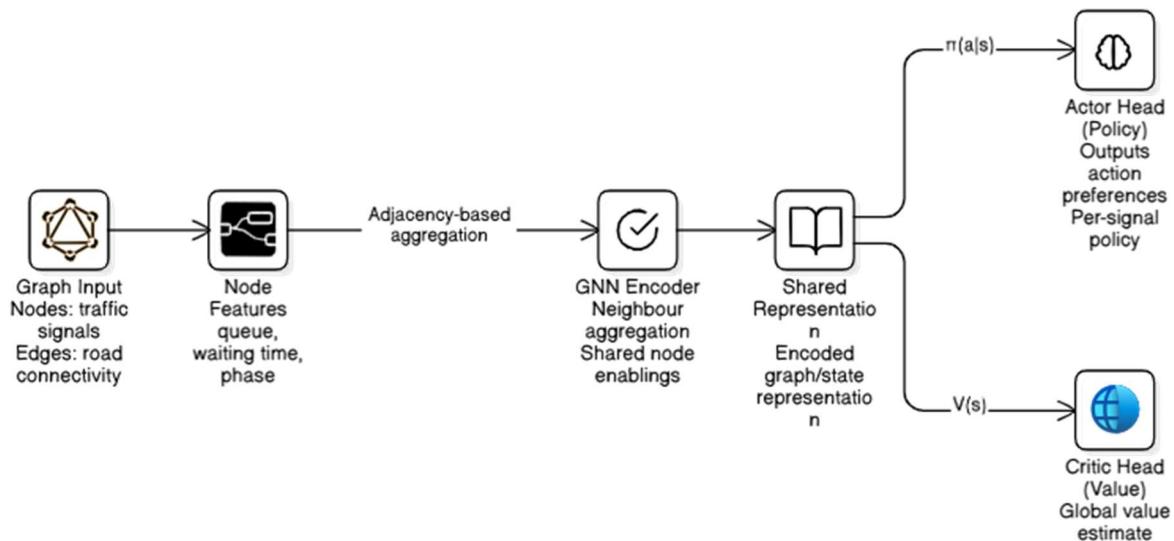


Figure 3.15: High level GNN based actor critic architecture showing shared graph representations and separate actor and critic heads used for traffic signal control.

3.6.6 Learning Algorithm and Training Strategy

The Reinforcement learning controller was trained using the ³Actor critic (A2C) learning algorithm, in that policy and value function parameters were updated stepwise during interaction with the SUMO simulation environment instead of batch updates (⁸⁷Mnih *et al.*, 2016; Sutton & Barto, 2018).

At each simulation time step t , the actor produces an asemantic policy over the district action by applying a softmax function through the policy logits:

$$\pi_\theta(a \mid s_t) = \text{softmax}(z_\theta(s_t))$$

(Sutton & Barto, 2018).

the critic estimated the state value $V(st)$, and OH-1 step temporal difference (TD) target was completed as:

$$\hat{V}_t = r_t + \gamma V(s_{t+1})$$

(Sutton, 1988; Sutton & ¹¹³Barto, 2018).

The advantage function was then calculated as:

$$A_t = \hat{V}_t - V(s_t)$$

(¹¹³Mnih et al., 2016; Sutton & Barto, 2018).

- **Actor loss:**

$$\mathcal{L}_{\text{actor}} = -\mathbb{E} [\log \pi_{\theta}(a_t | s_t) A_t]$$

²⁶⁴(Williams, 1992; Sutton & Barto, 2018).

- The critic minimises square TD error (CRITIC LOSS):

$$\mathcal{L}_{\text{critic}} = \mathbb{E} [(\hat{V}_t - V(s_t))^2]$$

- Entropy term:

$$\mathcal{H}(\pi_{\theta}) = -\mathbb{E} \left[\sum_a \pi_{\theta}(a | s_t) \log(\pi_{\theta}(a | s_t) + \epsilon) \right]$$

(Mnih et al., 2016).

In implementation entropy watch competitor as a mean across the actions and intersections and its effect was controlled by the entropy coefficient β .

- Total loss:

$$\mathcal{L} = \mathcal{L}_{\text{actor}} + 0.5 \mathcal{L}_{\text{critic}} - \beta \mathcal{H}(\pi_{\theta})$$

parameter updates ⁴use the Adam optimizer (Kingma & Ba, 2015), with gradient clipping applied to stabilise training (Pascanu et al., 2013).

The training was conducted over repeated and fixed network and average annual daily flow (AADF) computes traffic demand. After training the model parameters were kept unchanged during evaluation and reuse unchanged parameters during evaluation. During evaluation runs action were selected deterministically using greedy (argmax) selection to access interface time performance without exploring noise.

3.6.7 Hyperparameter and training configuration:

Item	Value used
Algorithm	A2C (online updates, no replay buffer)
Optimizer	Adam
Learning rate	5e-6
Discount factor ((\gamma))	0.99
Entropy coefficient	1e-3
Gradient clipping	Global norm 0.5
Training episodes	50
Max steps per training episode	900
Evaluation episode length	3600 steps
Hidden dimension	64

Actions per node	2 (keep / switch)
Reward scaling	({total waiting time}/1000)
Model selection rule	Save weights when episode return improves (best return)
Saved model file	gnn_a2c_best.weights.h5

Table 3.3: Hyperparameter and training configuration (implementation values).

3.6.8 Training Settings (GNN–A2C Controller):

Category	Setting	Value / Description
Environment	Simulator	SUMO (controlled via TraCI)
	Network	Sheffield city centre subnetwork (SUMO .net.xml)
	Demand	Fixed route file reused across runs (.rou.xml)
	Episode length (training)	900 simulation steps
	Episode length (evaluation)	3600 simulation steps
Agent / Model	RL algorithm	Advantage Actor-Critic (A2C)

Category	Setting	Value / Description
	Graph component	GNN message passing using adjacency matrix
	Hidden size	64
	Actions	2 actions per TLS (keep phase / switch phase)
	Policy output	Per-node action logits (softmax)
	Critic output	Single global state value (network-level)
State / Reward	State features	Queue length + waiting time + current phase
	Padding	Applied so all intersections share the same input size
	Reward	Global reward based on total waiting time (scaled)
Optimisation	Optimizer	Adam
	Learning rate	5e-6
	Discount factor ((\gamma))	0.99
	Entropy coefficient	1e-3
	Gradient clipping	Global norm = 0.5

Category	Setting	Value / Description
Training Procedure	Training episodes	50
	Exploration (training)	Stochastic sampling from policy distribution
	Action selection (evaluation)	Greedy argmax (deterministic)
	Model checkpointing	Save best weights by highest episode return
	Saved model	gnn_a2c_best.weights.h5

TABLE 3.4: Training Settings Summary (GNN-A2C Controller)

3.6.9 Software and compute environment:

- **Software:** Python + TensorFlow (Keras), SUMO accessed via TraCI(Python Software Foundation, n.d.).
- **Versions:** SUMO (1.25.0), TensorFlow: 2.20.0, Keras (tf.keras): 3.12.0, PYTHON (3.12) (*TensorFlow, n.d.*) (*Keras team, n.d.*).
- **System configuration:** 16 gigabyte ram, 144 Hertz refresh rate, 516SSD, 4 GB RTX 3050.

49 3.8 Ethical Considerations

This study was conducted according to ¹² the Sheffield Hallam University research ethics guidelines and was reviewed under the UREC2 low risk process. The core research was simulation based and involved no human subject or personal data is used. In addition, a low risk user evaluation questionnaire was conducted to collect general participation of AI based traffic signal control. Participation is voluntary and responses are anonymous. All experiments were performed with the SUMO simulation environment using ¹⁹³ JK Department for Transport average annual daily flow data and Open Street Map Network is used to construct a network of Sheffield city centre sub network. The reinforcement learning controller was trained and evaluated only in simulation.

3.9 Validity, Reliability, and Limitations

Validity what's supported by maintaining network configuration and traffic demand across all experiments, ensuring that observations only apply to the control strategy. Reliability was filtered through repeatable simulation runs using fixed random seeds and consistent evaluation metrics. Use of a well structured simulation platform which is SUMO simulation. ¹⁸⁹ This study is limited by its reliance on simulation traffic conditions and synthetic demand, which may not fully capture real world driver behaviour. Findings are limited to simulation-based evaluation and do not represent real world deployment.

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CHAPTER 4: Simulation Evaluation and Experimental Results

In this study, chapter 4 shows the simulation based evaluation¹⁶⁰ of the traffic signal control system is developed. The performance of the GNN - A2C reinforcement learning controller is evaluated and compared against fixed time traffic signals using the SUMO simulation environment. For doing fair comparison⁹⁹ of the fixed time traffic signal system and AI based traffic signals and tested under the same network, same traffic demand conditions. Evaluation is carried out using quantitative traffic performance metrics that includes waiting time queue length throughput and the reward trends. The dashboard is used to visualise global and intersection level results that support transparent and structured analysis of system performance.

4.1 Experimental Setup

The experimental evaluation was conducted using a Completed and configured SUMO simulation environment that represents a Sheffield city centre road network known as **Saint Mary's Road**. This experimental setup section focuses on the final evaluation results. The experimental setup ensures both traffic signal control strategies are evaluated

under identical and controlled conditions so that the observed performance differences are simply allocated to the control approach.

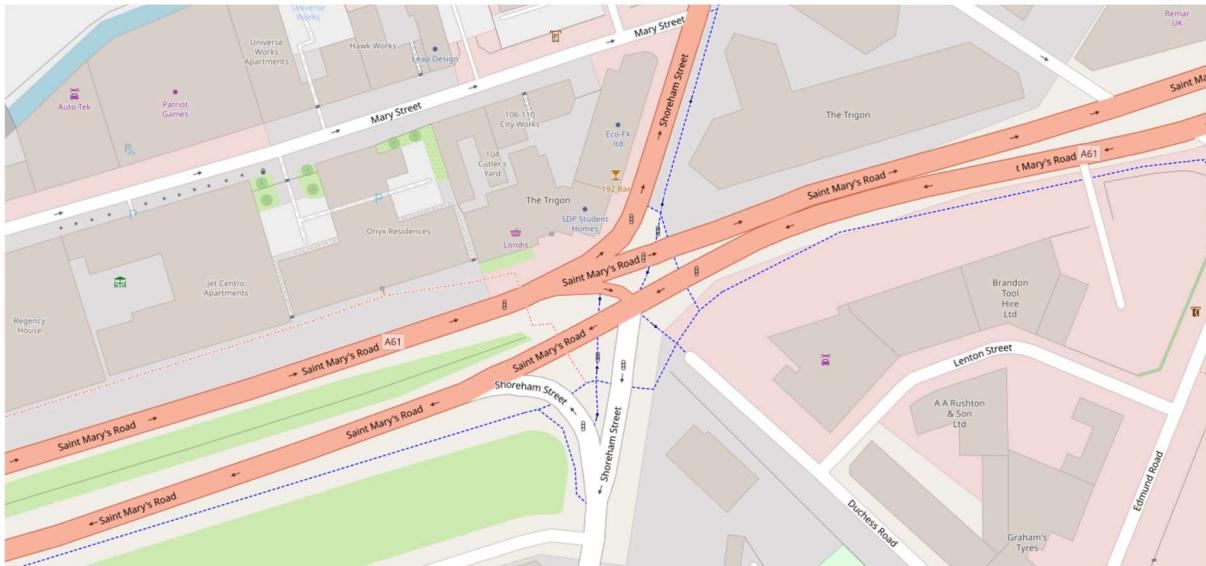


Figure 4.1: OpenStreetMap view of the Sheffield city-centre junction used as the basis for the SUMO evaluation network (St Mary Rd, shoreham st).

The evaluation environment consists of a fixed city road network containing multiple signalised intersections, which act as decision points for the traffic control. All experiments were performed on the same network, same traffic demand, ensuring the consistent road geometry and junction layout as well as lane structure across the runs. The selected junctions represent a compact urban area where coordination between nearby intersections is important for managing traffic efficiently.

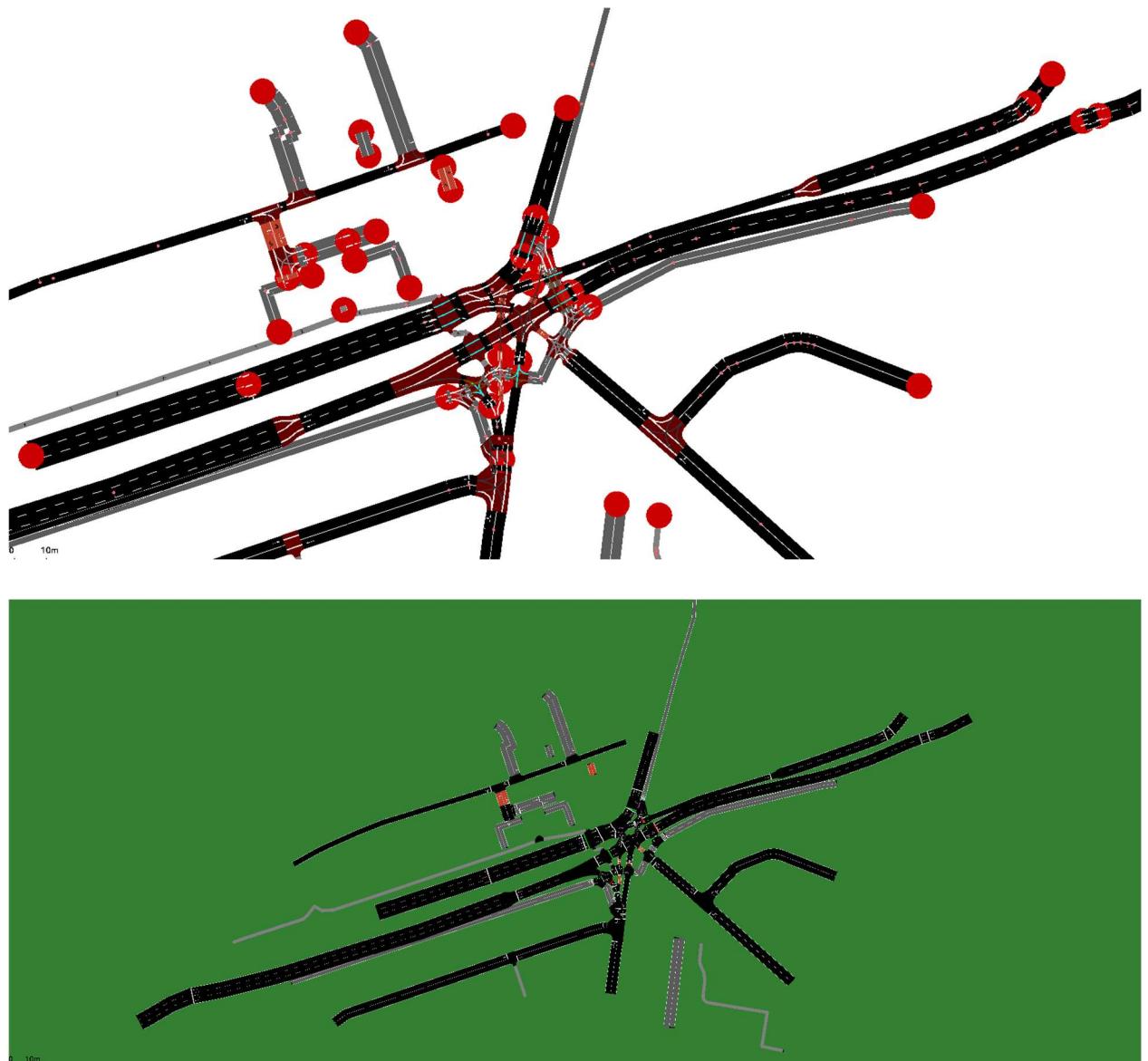


Figure 4.2: SUMO network generated from the OpenStreetMap data, showing lane-level geometry and signalised junction structure.

Traffic demand was constant across all experiments by reusing the same predefined routes file generated from an average annual daily flow calibrated demand process. This ensured

that vehicle flow patterns, route choices, and overall traffic intensity were unchanged between the experiments.

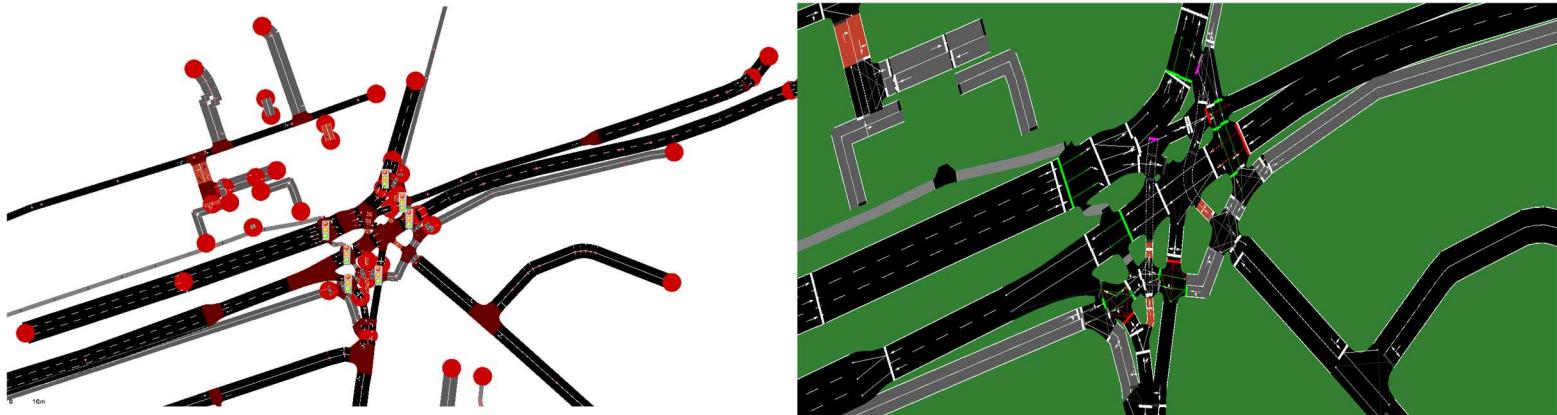


Figure 4.3: Signalised intersections within the SUMO network, representing the traffic light systems.

Two traffic signal control strategies were evaluated. The first is a fixed time controller, which uses a static phase sequence and fixed phase durations, so ⁷³ it does not adapt to real time traffic conditions. The second one is a GNN - A2C Reinforcement Learning controller, which applies a trained policy to dynamically select signal actions during the runtime. In the evaluation process, the learned policy parameters are fixed and no further training occurs, allowing the assessment to reflect the controller's learned behaviour rather than ongoing flow.

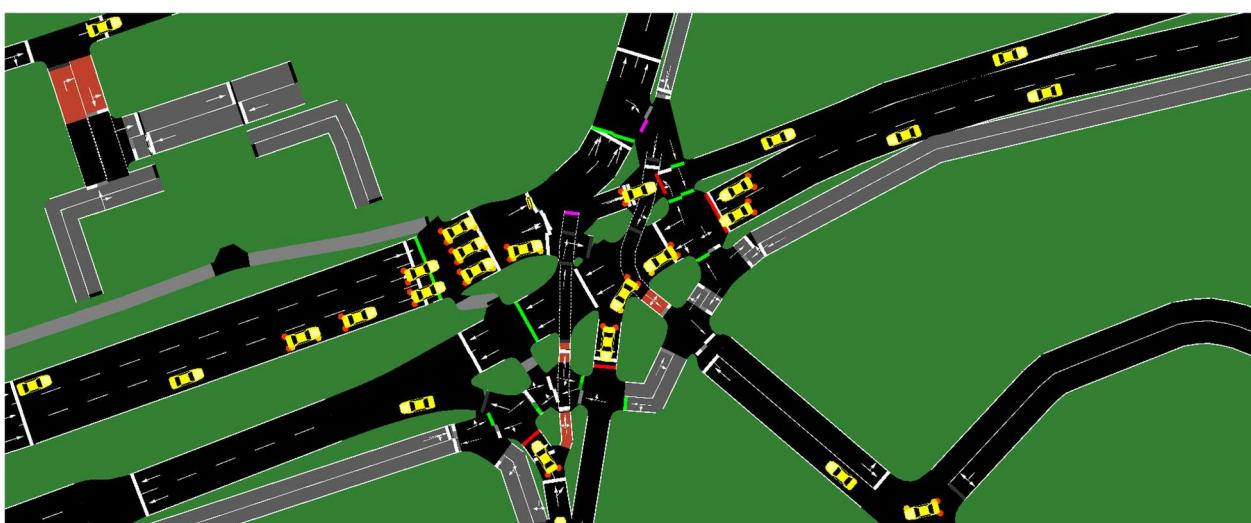


Figure 4.4: SUMO simulation during execution, showing vehicle movements and active signal phases under evaluation conditions.

Furthermore, each simulation run was executed for 3600 simulation steps, which is related to one hour of simulation traffic time. Simulation outputs were logged consistently to support quantitative analysis and visualisation. These outputs form the basis for the performance metrics and the dashboard visualisation presented in the next sections of this chapter.

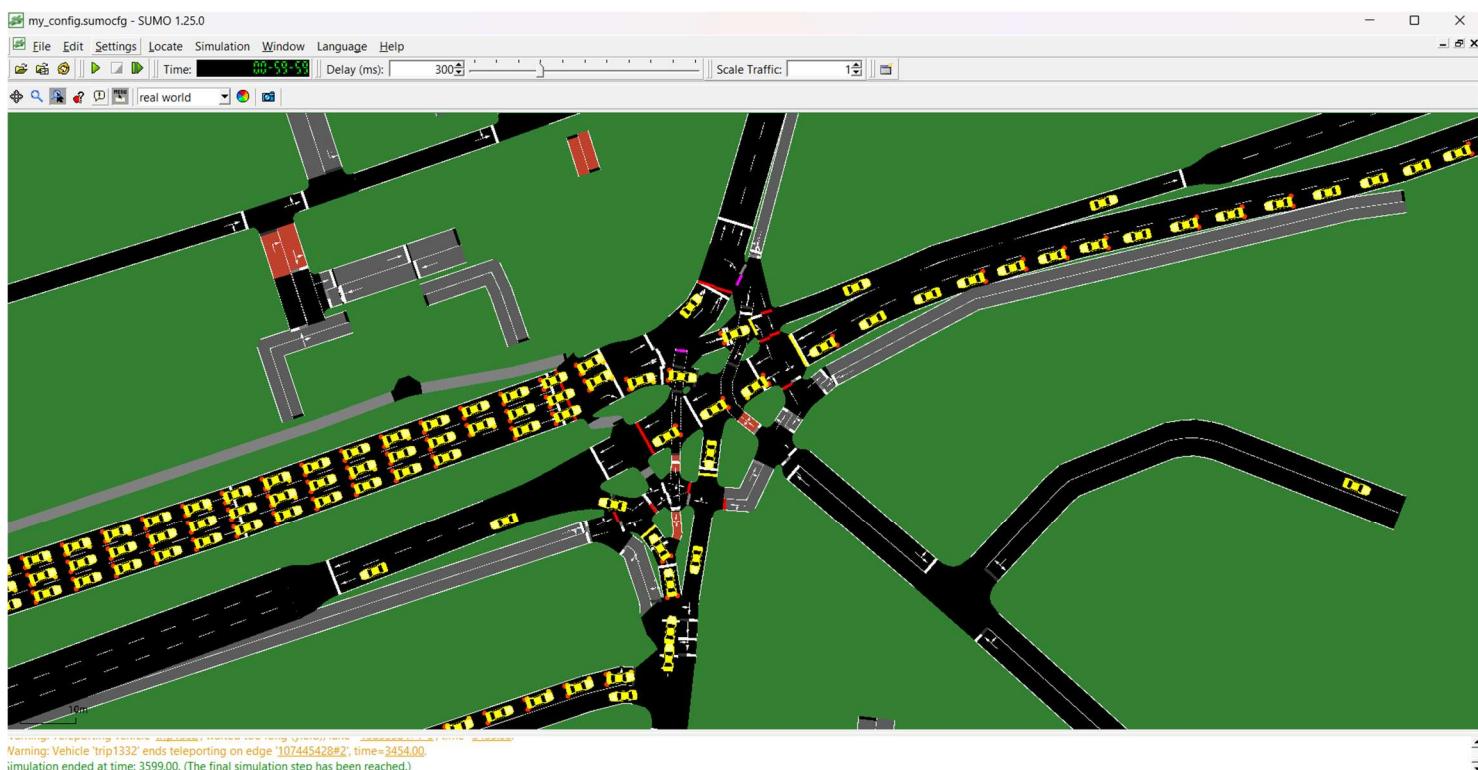


Figure 4.5: SUMO simulation snapshot captured during a 3600-step evaluation run, showing vehicle movements and active signal phases.

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4.2 Model Training and Learning Behaviour

This section presents the behaviour of the graph neural network and actor - critic algorithm for traffic system control during the training and for the policy execution. The aim is to check that the state representation graph structure and actor - critic model work is correctly within the simulation environment.

4.2.1 State Representation and Graph Configuration

²³⁵The RL agent was trained using the graph view of the traffic network with 7 TLS and the traffic light system is treated as a node. The raw state sizes are not the same across the traffic light system with state length of [7, 7, 5, 9, 7, 5, 7].

For making inputs consistent for the neural network, all state vectors are added to a fixed size of 9, and each node feature includes traffic information¹⁸² such as the queue length, waiting time and the current signal phase

The final graph contains 7 nodes with a 7×7 adjacency matrix. The adjacency is sparse, matching the real road layout: only one pair of junctions is connected as neighbours. This setup allows mostly local control.

```
Total TLS: 7
('1234828897', '1757353212', '1757353214', '1783045940', '1783045985', '1843356909', '7671039164')

TLS → lanes:
1234828897 : ['107445428#1_0', '-173540663#0_0', '-47195458#0_0']
1757353212 : ['1173473098#2_0', '107445426#1_0', '173540663#2_0']
1757353214 : ['800859756#1_0', '800859756#1_1']
1783045940 : ['-821520600#3_0', '315129223#3_0', '315129223#3_1', '821520600#2_0']
1783045985 : ['-821520600#2_0', '821520600#1_0', '324489280#3_0']
1843356909 : ['223051913#1_0', '223051913#1_1']
7671039164 : ['164073716#1_0', '164073716#1_1', '164073716#1_2']
```

Figure 4.6(a): Controlled traffic light signals and their associated incoming lane mappings used to construct per-junction state representations.

```
State lengths per TLS: [7, 7, 5, 9, 7, 5, 7]
feature_size: 9
num_nodes: 7
```

Figure 4.6(b): state lengths per traffic light signal and padding to a fixed feature size for graph based neural network processing.

```
Adjacency list:
1234828897 : []
1757353212 : []
1757353214 : []
1783045940 : ['1783045985']
1783045985 : ['1783045940']
1843356909 : []
7671039164 : []

adj_matrix shape: (7, 7)
adj_matrix[0]: [0. 0. 0. 0. 0. 0. 0.]
```

Figure 4.6(c): adjacency structure of the traffic signal network, showing connectivity between adjacent junctions and isolated nodes.

4.2.2 Actor - Critic Output Validation

In the training and early policy execution the graph neural network and actor - critic algorithm model produce output that match the expected A2C design. the actor policy network generated with shape (1, 7, 2), that means that outputs are two action scores for each of the 7 traffic light signals at every step. It shows that each traffic light system selects its action from the same action space while using the shared graph based state input.

⁹⁶ At the same time the critic network generates a single scalar value which shapes(1, 1). This value represents a global estimate of the expected return from the current network state, overall traffic condition while still applying actions at the individual junction level. These output shapes remain consistent across all episodes and confirms that the actor and critic were correctly integrated with the graph neural network architecture.

```
Policy logits shape: (1, 7, 2)
Value shape: (1, 1)
```

Figure 4.7: Actor - critic network outputs showing intersection policy shapes.

4.2.3 Training Reward Progress and Check point

³ The training of the Graph Neural Network (GNN) and A2C agent was conducted for 50 Reinforcement Learning episodes, and the reward was defined as the negative of the total waiting time across the whole network. Therefore, a less negative reward implies lower waiting time, for a better return. The training length was kept at 50 episodes to allow the agent sufficient learning and exploration while remaining computationally manageable.

To find the best policy the training uses reward based checkpoints. That means the model weights were saved whenever a new episode achieved a higher return than previous episodes, this method ensured that the best performing policy during training was

```
*** TRAINING EPISODE 1/50 ***
C:\Users\Hannan\PycharmProjects\TrafficLightControl\Temp\ipykerne_13316\1740942463.py:22: UserWarning: call to deprecated function getAllProgramLogics, use getCompletedWorkflow instead
  logic = traci.trafficlight.getCompletedYellowGreenDefinition(tls)(e)
[Train] Step 300/900, reward: -0.0000, loss: 0.4599
[Train] Step 600/900, reward: -0.0000, loss: 0.2228
[Train] Step 900/900, reward: -0.0000, loss: 0.2225
Training episode finished. Total return: -2.223999999999815
episode 1 return: -2.2248

*** TRAINING EPISODE 2/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 0.5623
[Train] Step 600/900, reward: -0.0000, loss: 2.6128
[Train] Step 900/900, reward: -0.0000, loss: 0.6678
Training episode finished. Total return: -2.271999999999856
episode 2 return: -2.2728

*** TRAINING EPISODE 3/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 0.0402
[Train] Step 600/900, reward: -0.0000, loss: 2.5761
[Train] Step 900/900, reward: -0.0000, loss: 0.1534
Training episode finished. Total return: -4.783999999999999
episode 3 return: -4.7848

*** TRAINING EPISODE 4/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 1.8683
[Train] Step 600/900, reward: -0.0000, loss: 0.4606
[Train] Step 900/900, reward: -0.0000, loss: 0.1152
Training episode finished. Total return: -5.989999999999846
episode 4 return: -5.9898

*** TRAINING EPISODE 5/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 0.3822
[Train] Step 600/900, reward: -0.0000, loss: 0.5799
[Train] Step 900/900, reward: -0.0000, loss: 0.2469
Training episode finished. Total return: -2.177999999999999
episode 5 return: -2.1778

*** TRAINING EPISODE 6/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 0.5395
[Train] Step 600/900, reward: -0.0000, loss: 0.7488
[Train] Step 900/900, reward: -0.0000, loss: 0.2913
Training episode finished. Total return: -19.37999999999999
episode 6 return: -19.3798

*** TRAINING EPISODE 7/50 ***
[Train] Step 300/900, reward: -0.0000, loss: 3.1191
[Train] Step 600/900, reward: -0.0000, loss: 17.7566
[Train] Step 900/900, reward: -0.0000, loss: 17.7565
```

selected.

Figure 4.8: Training episode returns of the GNN A2C agent across 50 episodes, illustrating variability in reward behaviour and checkpointing of the best-performing policy.

4.3 Evaluation Data Generation and Dashboard Outputs

Following execution of the traffic simulation, performance data were generated and recorded to support the evaluation of the fixed time baseline controller and the AI based traffic signal controller. This section describes the data outputs produced by the simulation framework and the dashboard interface used to visualise this output to detail the result analysis.

All simulations were executed for a fixed duration of 3600 simulation steps, which is equal to one hour of simulation traffic time. In each simulation run, the same network, same traffic demand, and simulation configuration were used; only the traffic signal control strategy was changed. This ensured that the resulting datasets are directly comparable between both controllers.

4.3.1 Dashboard based performance monitoring

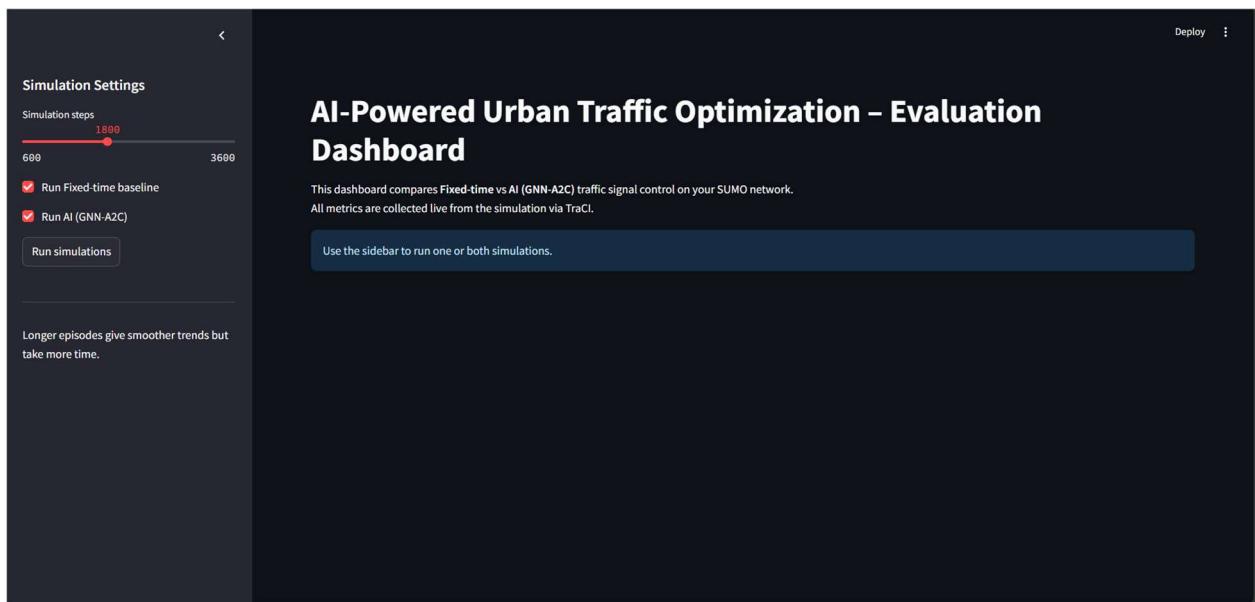


Figure 4.9: Evaluation dashboard interface showing controller selection, simulation step navigation.

An interactive dashboard was developed to visualise simulation outputs in real time and during post simulation analysis(*Streamlit, n.d.*). The dashboard provides an interface for checking global and intersection level performance metrics generated through the TraCI connection to SUMO. Key dashboard components include a Controller Selection Toggle (which is fixed-time or AI), a simulation step slider for temporal navigation, and multiple metrics visualisation panels.

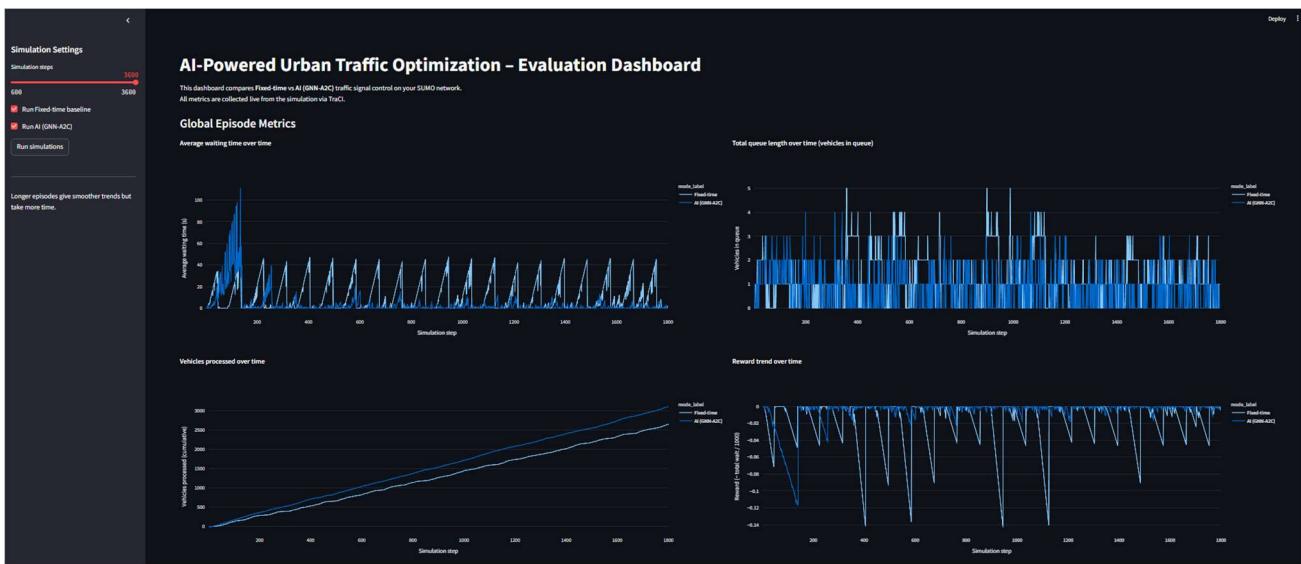


Figure 4.10: Global time series performance metrics displayed in the dashboard over the full 3600 step simulation.

The global metrics display time series plots for average waiting time, queue length, and vehicles processed, as well as the reward signal for the full simulation (*Plotly, n.d.*). These plots allow the user to observe how traffic conditions grow and develop over time under each control strategy without applying any filter.

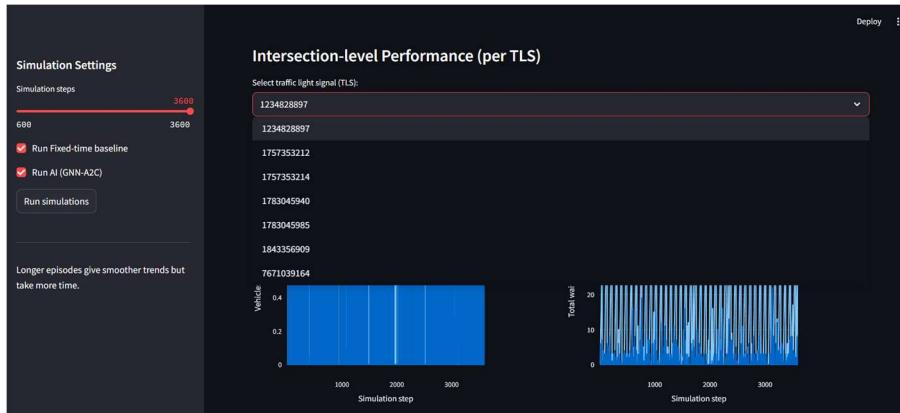


Figure 4.11: Intersection level performance visualisation using the traffic light system (TLS) selector.

The dashboard supports intersection level performance through a traffic light system selector that enables detailed analysis of individual signalised junctions using the same metrics.

4.3.2 Exported evaluation datasets

After completing the simulation run and reviewing the results the dashboard provides an export function to download recorded simulation metrics in CSV format. the exported data set were used to support structured reporting and reproducibility of the evaluation result such as follows:

- **Global_metrics_all_controllers.csv** contains network level metrics recorded across simulation steps for each controller, including average waiting time, total

	A	B	C	D	E	F	G	H	I
1	mode	step	reward	total_wait_time	avg_wait_time	total_queue	vehicles_processed_step	vehicles_processed_cum	mode_label
2	fixed	1	0	0	0	0	0	0	Fixed-time
3	fixed	2	0	0	0	0	0	0	Fixed-time
4	fixed	3	0	0	0	0	0	0	Fixed-time
5	fixed	4	0	0	0	1	1	1	Fixed-time
6	fixed	5	0	0	0	0	0	1	Fixed-time
7	fixed	6	0	0	0	1	0	1	Fixed-time
8	fixed	7	-0.001	1	1	1	1	2	Fixed-time
9	fixed	8	-0.002	2	2	1	0	2	Fixed-time
10	fixed	9	-0.003	3	3	1	0	2	Fixed-time
11	fixed	10	-0.004	4	4	1	1	3	Fixed-time
12	fixed	11	-0.005	5	2.5	1	1	4	Fixed-time
13	fixed	12	-0.006	6	3	1	1	5	Fixed-time
14	fixed	13	-0.007	7	3.5	1	1	6	Fixed-time
15	fixed	14	-0.009	9	4.5	2	1	7	Fixed-time
16	fixed	15	-0.011	11	5.5	2	0	7	Fixed-time
17	fixed	16	-0.013	13	4.333333333	2	1	8	Fixed-time
18	fixed	17	-0.015	15	7.5	2	0	8	Fixed-time
19	fixed	18	-0.017	17	8.5	2	1	9	Fixed-time
20	fixed	19	-0.019	19	9.5	2	1	10	Fixed-time
21	fixed	20	-0.021	21	10.5	2	0	10	Fixed-time
22	fixed	21	-0.023	23	11.5	2	1	11	Fixed-time
23	fixed	22	-0.025	25	12.5	2	1	12	Fixed-time
24	fixed	23	-0.027	27	13.5	2	1	13	Fixed-time
25	fixed	24	-0.029	29	14.5	2	0	13	Fixed-time
26	fixed	25	-0.031	31	15.5	2	2	15	Fixed-time
27	fixed	26	-0.033	33	16.5	2	0	15	Fixed-time
28	fixed	27	-0.035	35	11.66666667	2	2	17	Fixed-time

queue length, cumulative vehicles processed, and the reward signal.

Figure 4.12: Global_metrics_all_controllers evaluation metrics downloaded from the dashboard in CSV format.

- **tls_metrics_all_controllers.csv** contains intersection level metrics recorded across simulation steps for each signalised junction (TLS), enabling detailed per-junction comparison of waiting time and queue behaviour.

A	B	C	D	E	F	G	
1	mode	step	tls_id	queue	wait_time	vehicles_on_lanes	mode_label
2	fixed	1	1.23E+09	0	0	0	Fixed-time
3	fixed	1	1.76E+09	0	0	0	Fixed-time
4	fixed	1	1.76E+09	0	0	0	Fixed-time
5	fixed	1	1.78E+09	0	0	0	Fixed-time
6	fixed	1	1.78E+09	0	0	0	Fixed-time
7	fixed	1	1.84E+09	0	0	0	Fixed-time
8	fixed	1	7.67E+09	0	0	0	Fixed-time
9	fixed	2	1.23E+09	0	0	0	Fixed-time
10	fixed	2	1.76E+09	0	0	0	Fixed-time
11	fixed	2	1.76E+09	0	0	0	Fixed-time
12	fixed	2	1.78E+09	0	0	0	Fixed-time
13	fixed	2	1.78E+09	0	0	0	Fixed-time
14	fixed	2	1.84E+09	0	0	0	Fixed-time
15	fixed	2	7.67E+09	0	0	0	Fixed-time
16	fixed	3	1.23E+09	0	0	0	Fixed-time
17	fixed	3	1.76E+09	0	0	0	Fixed-time
18	fixed	3	1.76E+09	0	0	0	Fixed-time
19	fixed	3	1.78E+09	0	0	0	Fixed-time
20	fixed	3	1.78E+09	0	0	0	Fixed-time
21	fixed	3	1.84E+09	0	0	0	Fixed-time
22	fixed	3	7.67E+09	0	0	0	Fixed-time
23	fixed	4	1.23E+09	0	0	0	Fixed-time
24	fixed	4	1.76E+09	0	0	0	Fixed-time
25	fixed	4	1.76E+09	0	0	0	Fixed-time
26	fixed	4	1.78E+09	0	0	0	Fixed-time
27	fixed	4	1.78E+09	0	0	0	Fixed-time
28	fixed	4	1.84E+09	0	0	0	Fixed-time
29	fixed	4	7.67E+09	1	0	1	Fixed-time

Figure 4.13: tls_metrics_all_controllers evaluation metrics downloaded from the dashboard in CSV format.

4.4 Network Level Quantitative Results²⁴³

This section presents the network level quantitative results evaluation for the fixed-time baseline controller and the AI controller. The time series and summary plots were generated by the evaluation dashboard. All results relate to a single 3600 steps simulation episode with the same network configuration and traffic demands for both controllers.

4.4.1 Average Waiting Time

¹⁸⁴Figures 4.14 and 4.15 present the time-series trends in waiting time for the fixed-time and AI (GNN - A2C) controllers, respectively. Figure 4.16 summarises overall episode performance by comparing total accumulated waiting time over the full 3600 steps simulation.

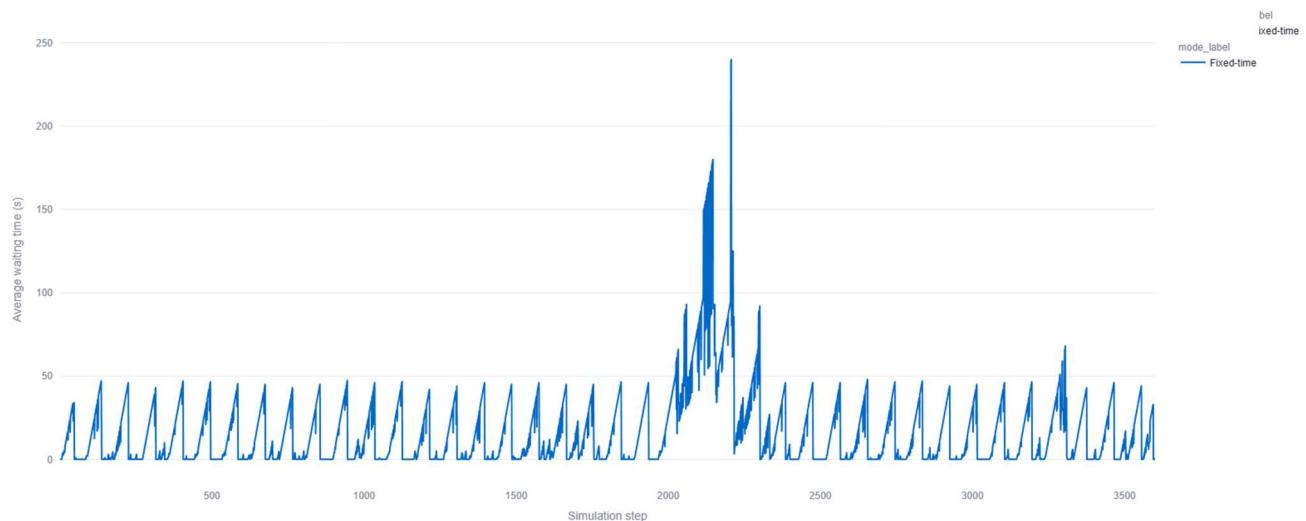


Figure 4.14: Average waiting time over simulation steps under fixed-time control.

³⁸In the fixed time controller (fig 4.14), the average waiting time follows the highly regular, repeating pattern in most simulation views. Waiting time increases at each signal cycle and drops fastly, producing a consistent saw edged structure in the episode. The structure shows traffic events clearly visible between approximately simulation steps at 2000 and 2200, and the average waiting time rises unexpectedly to highest observed values up to 200 seconds before recovering. This shows that the fixed time controller cannot handle short term increases in traffic demand effectively.

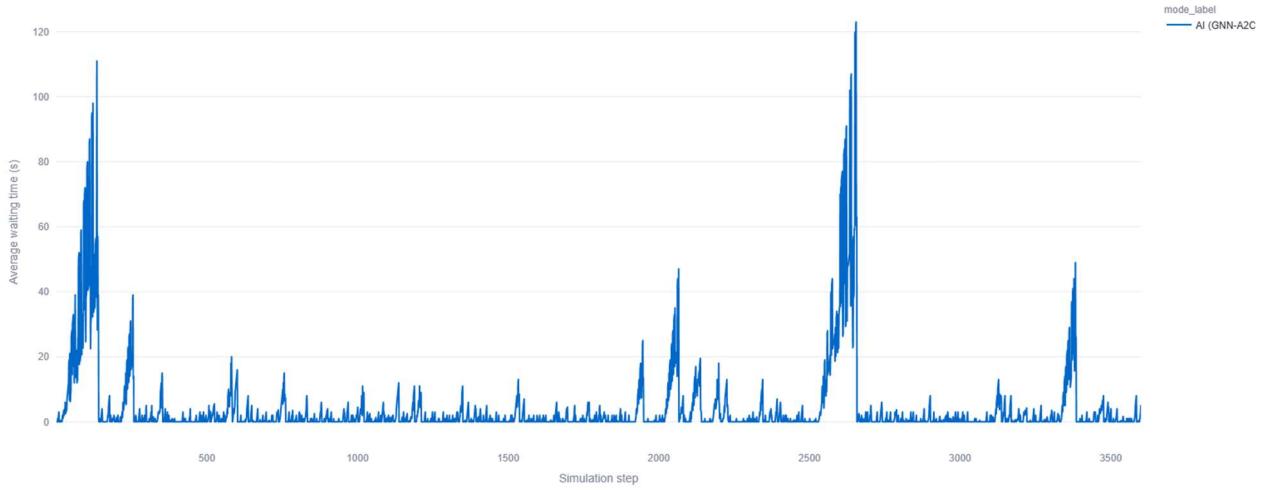


Figure 4.15: Average waiting time over simulation steps under GNN - A2C control.

In (Figure 4.15), the GNN-A2C controller maintains very low average waiting times for most of the 3600 steps of the simulation. Outside of a limited number of intervals, the waiting time remains close to zero with only short-lived increases. Noticeable congestion is visible: the first is an early spike during the initial simulation phase, and the second is a spike around simulation step 2500. In both cases, the maximum waiting time stays lower than the fixed time controller, with peaks of around 110 and 120 seconds. These spikes are shorter in duration, and the waiting time returns rapidly to low levels after each event.

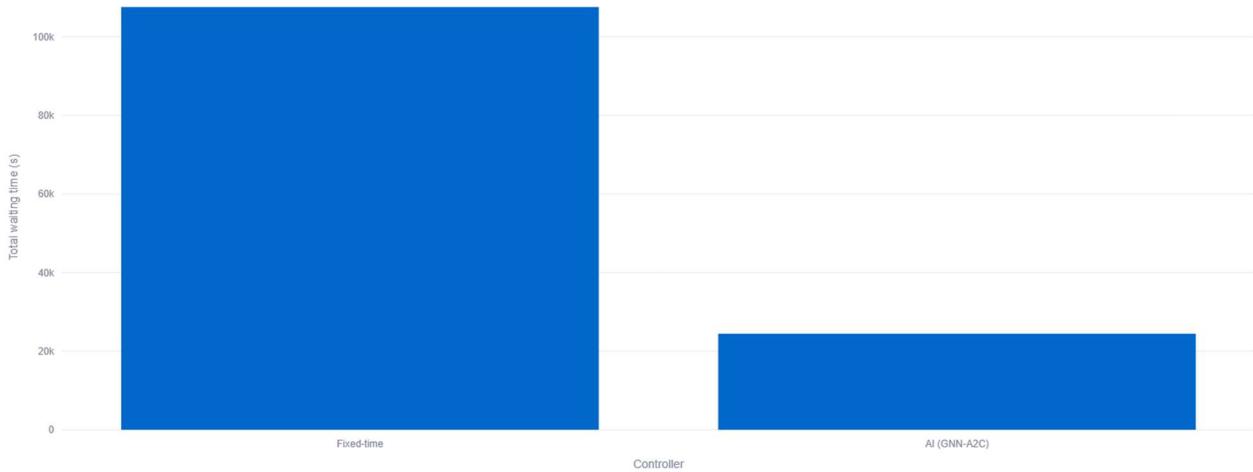


Figure 4.16: total accumulated waiting time (whole episode)

Figure 4.16 summarises overall performance by comparing total accumulated waiting time over the full 3600 steps simulation. The fixed time controller produces around **105,000 to 110,000 seconds**, while the AI (GNN - A2C) controller reduces this to approximately **24,000 to 25,000 seconds**. This is roughly a **75 to 80% reduction** in total waiting time under AI control. This shows that the improvements seen in the time series plots also lead to clear network-level benefits over the full simulation.

4.4.2 Queue Length Analysis

This section analyses the total queue length over the time for the ³⁸ fixed time controller and the AI controller using the dashboard outputs over the full simulation step which is 3600.

Figure 4.17 demonstrates that the total queue length remains within a small bounded range, with the Y axis spanning 0 to 5 vehicles. Both controllers show frequent step-to-step changes, with queue lengths usually between 0 and 3 vehicles and occasional peaks of 4 to 5 vehicles. The repetitive spikes indicate that short traffic buildups occur throughout the simulation rather than being limited to a single period.

While the queue dynamics appear broadly similar in Figure 4.17, the network efficiency differs when considering throughput.

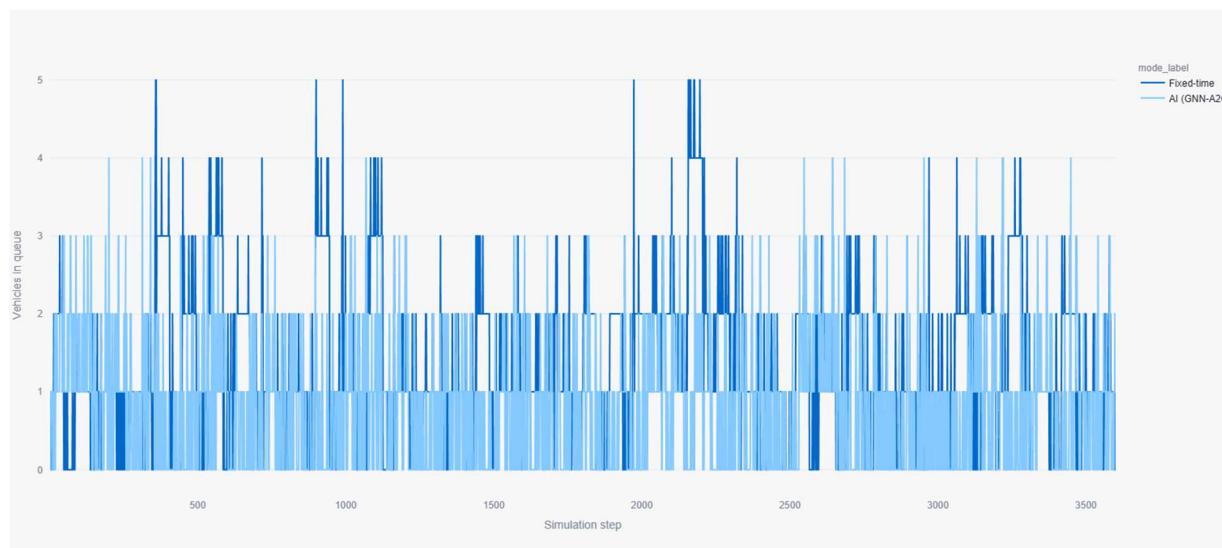


Figure 4.17: Total queue length over simulation steps (vehicles in queue) for fixed time and AI (GNN - A2C) traffic signal controllers.

4.4.3 Vehicle Movement Analysis

This section compares network level vehicles for the fixed time controller and the AI controller using dashboard outputs from the full 3600 step simulation. Movement is measured as the total number of vehicles processed, which¹⁹⁷ shows how efficiently traffic moves through the network.

Figure 4.18 shows the cumulative vehicles processed over time for both controllers. The GNN and A2C line stays above the fixed time line for almost the whole run, and the gap between them grows over time. This means the AI controller is processing vehicles at a higher rate than the simulation.

The fixed time controller processes a little over 5300 vehicles, while the GNN - A2C controller reaches around 6100 vehicles. This is also confirmed in Figure 4.19, which summarises the total vehicles processed using a bar chart and clearly shows the AI controller with the higher movement under the same network and traffic demand.

These results show that the AI controller improves movement in a clear way. This also matches the earlier queue length results, that the AI controller increases traffic flow without increasing congestion.

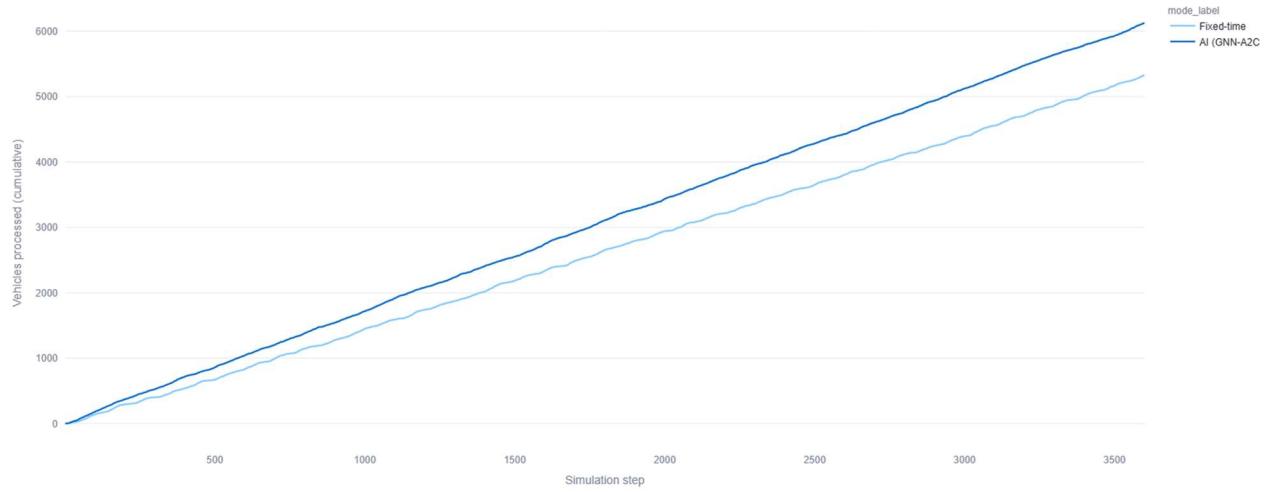


Figure 4.18: Cumulative vehicles processed over simulation steps for fixed-time and AI (GNN - A2C) traffic signal controllers.

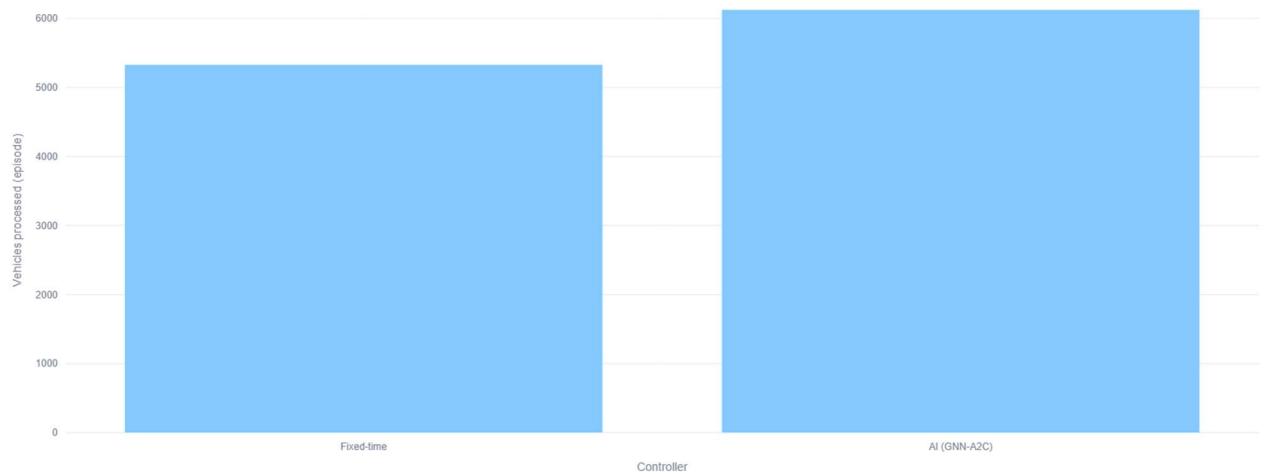


Figure 4.19: Total number of vehicles processed over the full 3600-step simulation episode under fixed-time and AI (GNN - A2C) control (higher is better).

4.4.4 Reward Trend During Evaluation

This section compares the reward signal during evaluation for the fixed time controller and the AI (GNN - A2C) controller over the full 3600 steps simulation. The reward is based on total waiting time, so it is always negative, and values closer to zero mean better performance.

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Figure 4.20 shows that both controllers usually stay close to zero, but there are regular negative drops when short congestion events happen. Under the fixed-time controller, these drops are deeper and more frequent. A clear example appears around steps 2100 to 2300, where the reward falls to about -0.4, showing a period of high accumulated waiting time. Also, Figure 4.21 shows the fixed-time reward trend, and Figure 4.22 shows the AI based Graph Neural Network and Actor - Critic reward trend.

In comparison, the GNN - A2C controller shows a more stable reward pattern, with most values staying above roughly -0.15, and the reward returns back near 0 more quickly.

The AI controller reduces both the size and frequency of negative reward spikes, which supports improved network performance during evaluation without causing unstable behaviour.

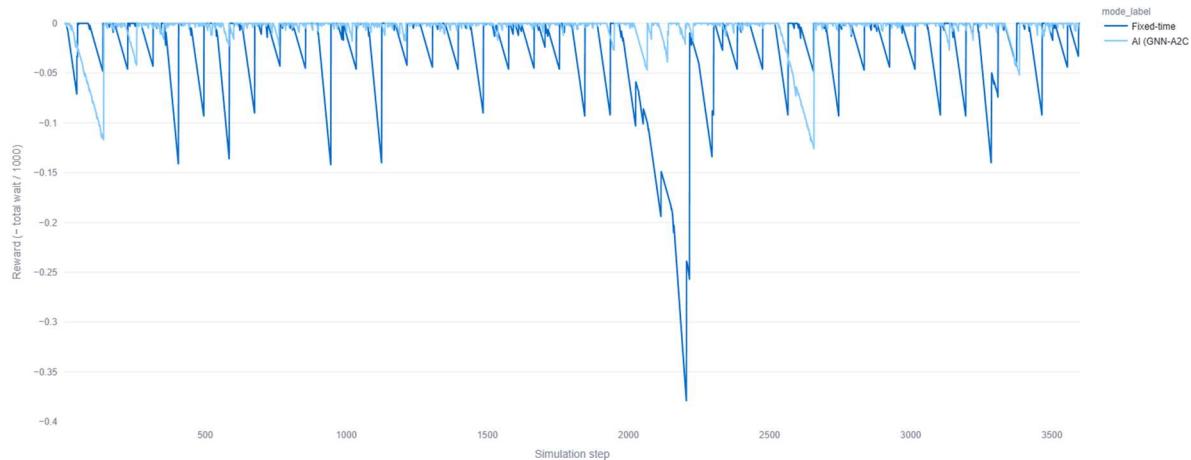


Figure 4.20: Reward signal over simulation steps for fixed time and AI (GNN - A2C) controllers during the 3600 steps evaluation episode.

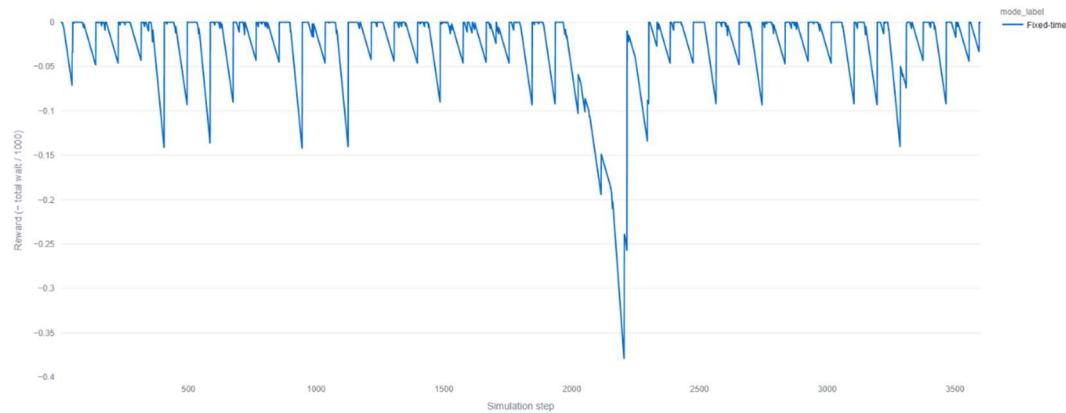


Figure 4.21: Reward trend over simulation steps for the fixed time traffic signal controller during the 3600 steps evaluation episode.

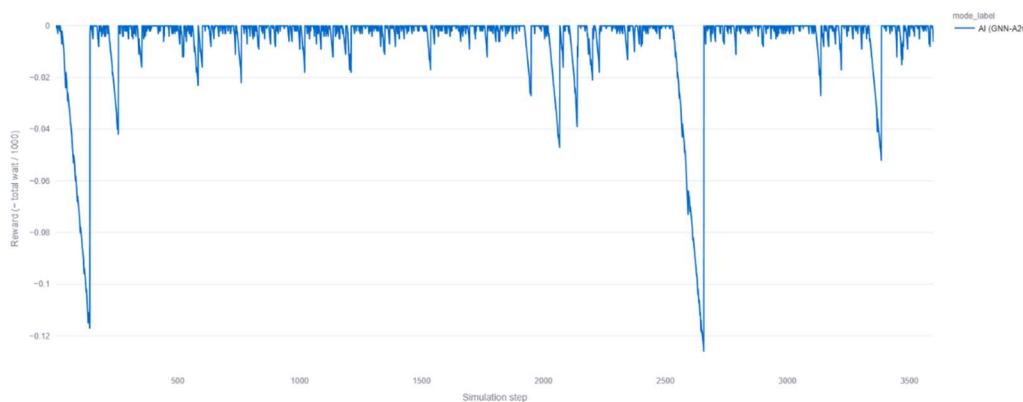


Figure 4.22: Reward trend over simulation steps for the AI(GNN - A2C) traffic signal controller during the 3600 steps evaluation episode.

4.5 Intersection Level (TLS) Performance Analysis

Network level results show the overall performance, but traffic conditions can be different at each signalised intersection. For this reason, intersection level analysis is included to check how queue length and waiting time change at individual traffic lights under fixed time and AI control. To keep this section clear and short, the analysis focuses on simple trend comparisons for selected traffic light systems (TLS).

4.5.1 Overview of Analysed Traffic Light Systems

The simulated Sheffield network includes seven signalised intersections; each treated as a traffic light system (TLS). Each TLS was evaluated separately using the same traffic demand and simulation settings, with the only change being the control strategy fixed-time vs AI (GNN - A2C). The following TLS IDs are analysed in this section:

- **TLS 1:** 1234828897
- **TLS 2:** 1757353212
- **TLS 3:** 1757353214
- **TLS 4:** 1783045940
- **TLS 5:** 1783045985
- **TLS 6:** 1843356909
- **TLS 7:** 7671039164

The analysis is comparative and qualitative, focusing on queue length stability and waiting-time behaviour.

4.5.2 Individual TLS Performance Analysis

4.5.2.1 TLS 1234828897

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

1234828897

Queue length over time – 1234828897

Waiting time over time – 1234828897

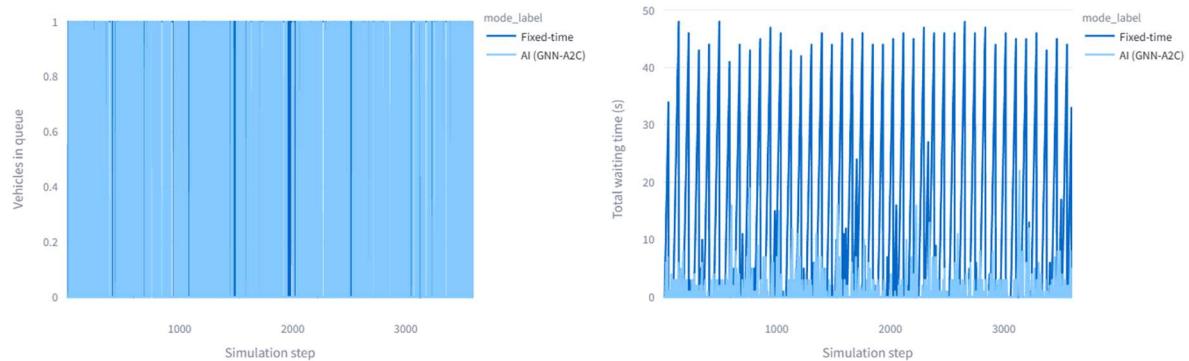


Figure 4.23: Intersection level queue length and waiting time over simulation steps for TLS 1234828897 under fixed time and AI (GNN - A2C) control.

Queue length behaviour:

At TLS 1234828897, queue length stays very low for both controllers, almost always between 0 and 1 vehicle. The AI (GNN - A2C) controller looks slightly smoother, but overall, the queue levels are similar.

Waiting time behaviour:

Waiting time shows clearer differences. With fixed time control, there are frequent spikes up to around 45 to 50 seconds, mainly due to rigid signal timing. With AI (GNN - A2C) control, waiting time is much lower for most of the simulation, staying below 10 seconds, with few bigger spikes.

4.5.2.2 TLS 1757353212

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

1757353212

Queue length over time – 1757353212

Waiting time over time – 1757353212

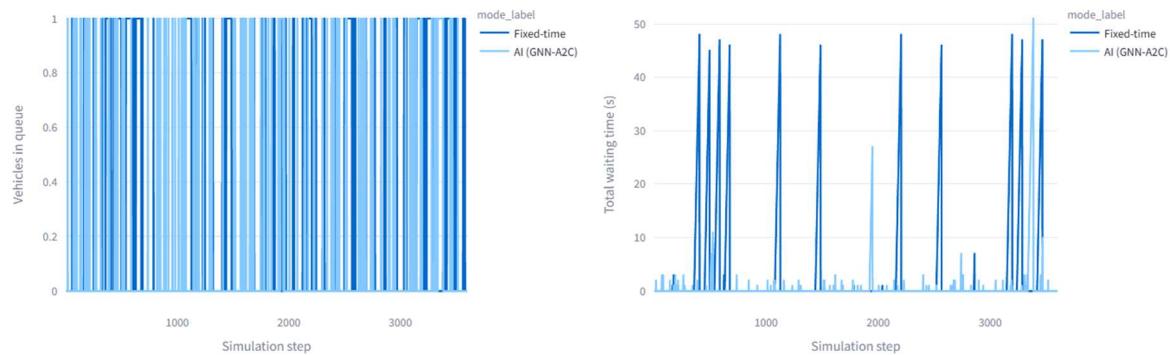


Figure 4.24: Intersection level queue length and waiting time over simulation steps for TLS 1757353212 under fixed time and AI(GNN - A2C) control.

Queue length behaviour:

Queue lengths again remain low, primarily within the 0 to 1 vehicle range. Both controllers prevent queues, and the fixed time controller shows short queue switching on compared to the AI controller.

Waiting time behaviour:

The fixed time controller sometimes shows large waiting time spikes close to 50 seconds. The AI (GNN - A2C) controller keeps waiting time mostly low, with only a few smaller and shorter peaks. The AI controller responds better to short term changes in traffic demand.

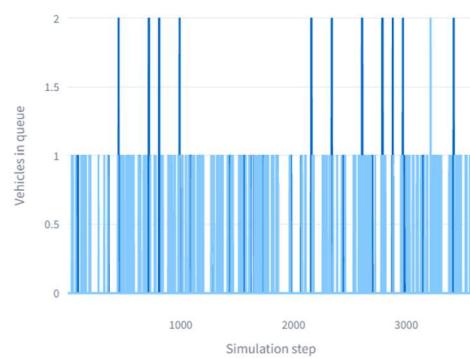
4.5.2.3 TLS 1757353214

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

1757353214

Queue length over time – 1757353214



Waiting time over time – 1757353214

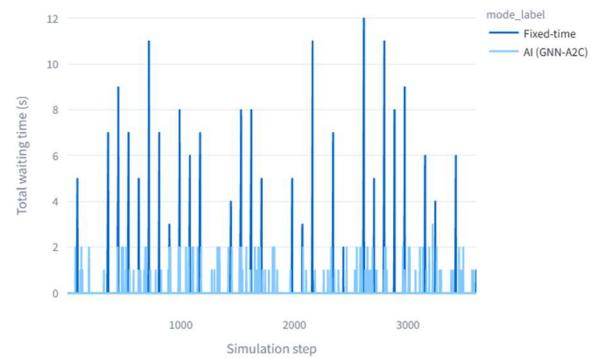


Figure 4.25: Intersection level queue length and waiting time over simulation steps for TLS 1757353214 under fixed time and AI (GNN - A2C) control.

Queue length behaviour:

This intersection shows a bit more variation. The fixed time control, the queue sometimes reaches 2 vehicles. The AI (GNN - A2C) controller limits both the frequency and duration of these increases.

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Waiting time behaviour:

The fixed time control, waiting time has repeated peaks of about 10 to 12 seconds. With the AI controller, waiting time stays much lower and does not go above 2 to 3 seconds.

4.5.2.4 TLS 1783045940

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

1783045940

Queue length over time – 1783045940

Waiting time over time – 1783045940

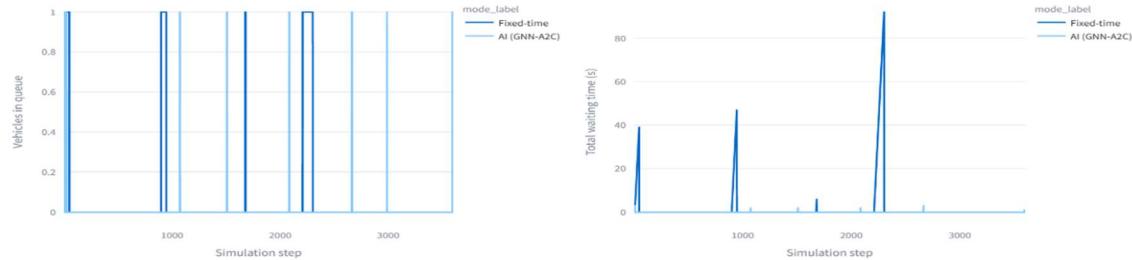


Figure 4.26: Intersection level queue length and waiting time over simulation steps for TLS 1783045940 under fixed time and AI(GNN - A2C) control.

Queue length behaviour:

The most simulation steps exhibiting zero queued vehicles. Both controllers perform similarly in terms of queue prevention.

Waiting time behaviour:

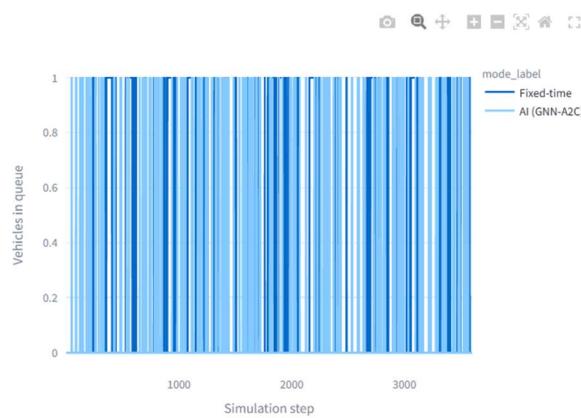
The fixed time control produces several large waiting time spikes, an extreme peak reaching 90 seconds. The AI(GNN - A2C) controller reduces both the magnitude and frequency of delays.

4.5.2.5 TLS 1783045985

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

Queue length over time – 1783045985



Waiting time over time – 1783045985

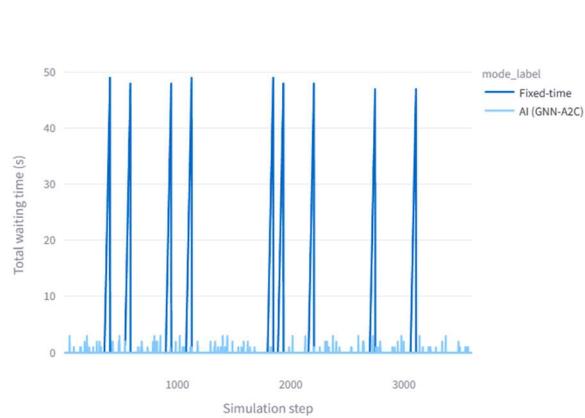


figure 4.27: Intersection level queue length and waiting time over simulation steps for TLS 1783045985 under fixed time and AI(GNN - A2C) control.

Queue length behaviour:

Queue lengths remain low but show frequent short lived activations. The AI (GNN - A2C) controller shows greater stability, with fewer queue transitions.

Waiting time behaviour:

The fixed time control again produces high waiting time spikes, often exceeding 45 seconds, while AI control maintains mostly low waiting times.

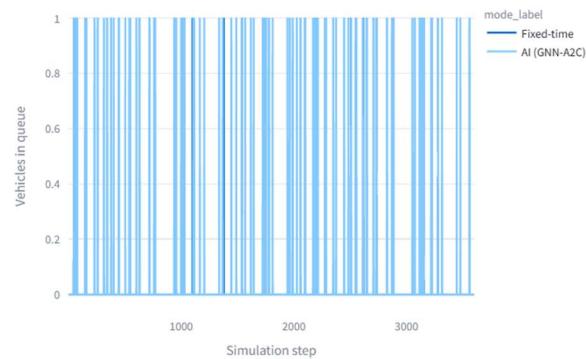
4.5.2.6 TLS 1843356909

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

1843356909

Queue length over time – 1843356909



Waiting time over time – 1843356909

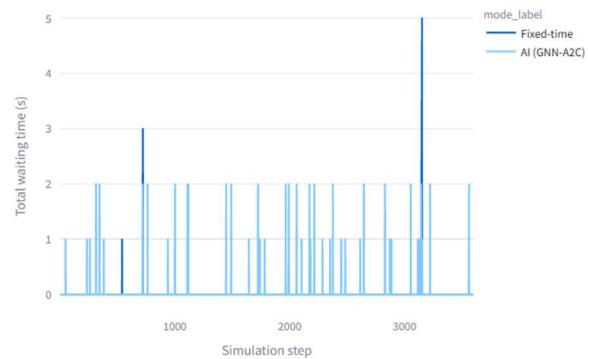


Figure 4.28: Intersection level queue length and waiting time over simulation steps for TLS 1843356909 under fixed time and AI(GNN - A2C) control.

Queue length behaviour:

This TLS operates under minimal congestion, with queues rarely exceeding 1 vehicle.

Waiting time behaviour:

Waiting times are generally low for both controllers. The fixed time control shows random spikes up to 5 seconds, on the other side the AI (GNN - A2C) controller maintains near zero waiting times for most of the simulation.

4.5.2.7 TLS 7671039164

Intersection-level Performance (per TLS)

Select traffic light signal (TLS):

7671039164

Queue length over time - 7671039164

Waiting time over time - 7671039164

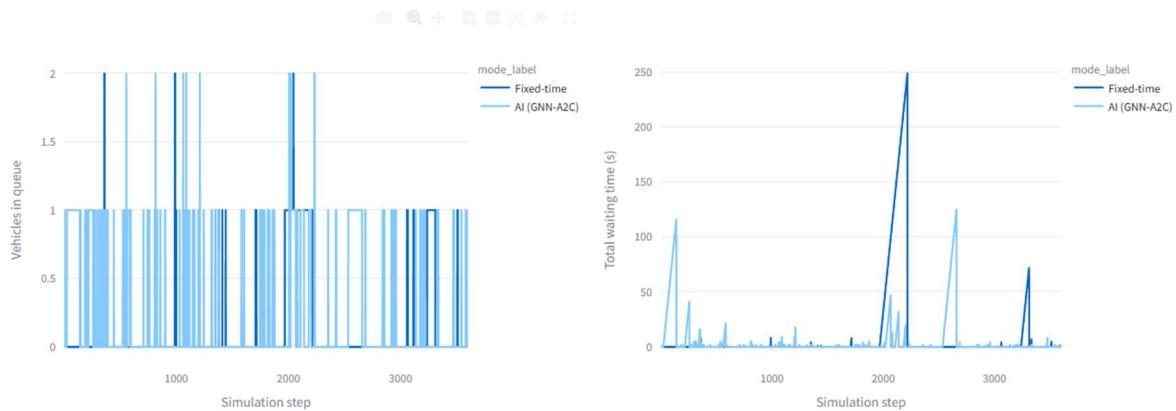


Figure 4.29: Intersection level queue length and waiting time over simulation steps for TLS 7671039164 under fixed time and AI (GNN - A2C) control.

Queue length behaviour:

Queue lengths fluctuate between 0 and 2 vehicles, with slightly higher variability than at other intersections.

Waiting time behaviour:

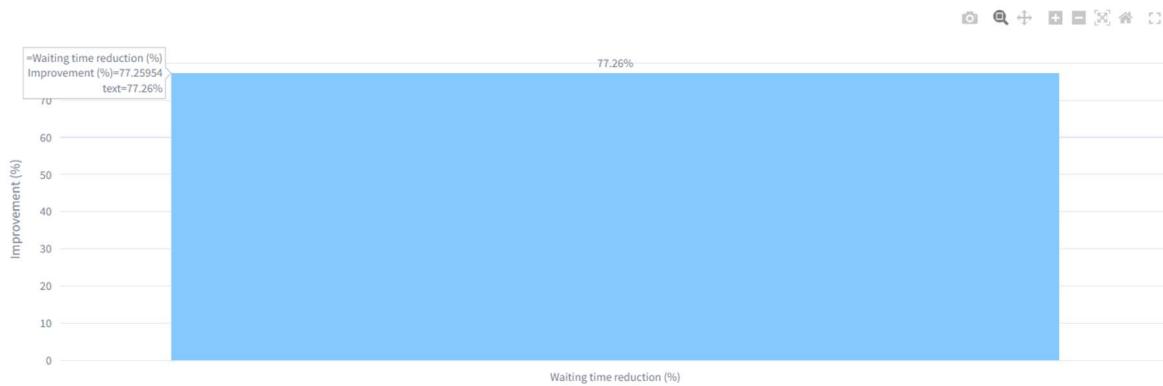
This junction shows the most extreme waiting time events under fixed time control, with peaks reaching approximately 250 seconds. The AI controller significantly reduces these extremes.

4.5.3 Network Wide Comparison of TLS Behaviour

At all seven intersections, queue lengths remain less under both controllers, and the traffic is primarily reflected in waiting time rather than queues. The AI controller consistently reduces waiting time, particularly at delay intersections. These results show that adaptive and graph based control improves local intersection performance.

4.6 Overall Performance Improvement Summary

Overall Improvement of AI over Fixed-time



Estimated waiting time reduction of AI vs Fixed-time: 77.26%

Figure 4.30: reduction in average waiting time achieved by the AI controller relative to fixed time control.

¹⁶⁹The performance difference between the fixed time controller and the AI controller, shown in Figure 4.24. The percentage reduction in waiting time reached by the AI controller. This improvement value is calculated using the total accumulated waiting time from both controllers.

In Figure 4.24, the AI controller reduces waiting time by about 77.26% compared to fixed time control. This matches the patterns already shown in the earlier network level graphs and the intersection level comparisons in this chapter.

CHAPTER 5: Discussion and User Evaluation

This chapter provides discussion and user evaluation for this dissertation. First, it discusses the main findings from chapter four by explaining what the results mean and why they happened, under the same sumo simulation network and same traffic demand conditions for both fixed time and AI controllers.⁹¹ The focus is on interpreting the behaviour seen in the evaluation outputs Like changing in waiting time queue length and vehicle processed as well as reward trends.

Secondly,²⁰⁶ this chapter presents the user evaluation of the dashboard and the system presentation. This includes a short methodology summary of how participants were shown the SUMO simulation and dashboard, followed by a structured analysis of the questionnaire results using descriptive quantitative summaries and qualitative thematic feedback from the open ended questions.

²⁰⁴ 5.1 Discussion of Network Level Results

This section discusses the network level behaviour of the ³⁸ fixed time controller and the AI controller under the same Sheffield City Centre SUMO network and the same traffic demand conditions. The finding from Chapter 4 is that the AI controller reduces overall delay and processes more vehicles compared to the fixed time controller, which shows more inflexible and repetitive traffic behaviour across the whole 3600 step episode.

5.1.1 Average waiting time:

At the network level, the fixed time controller shows a repeating rise and drop pattern in the waiting time. This happens because fixed time signals run on a preset cycle, so vehicles build up³ during the red phase and then move out during the green phase.

The AI controller keeps the waiting time lower for most of the simulation, with only a few short spikes that recover quickly³⁸ compared to the fixed time controller. This matches the goal of reinforcement learning²⁵⁵ in traffic signal control (Sutton & Barto, 2018; Wei et al.,²⁵⁴ 2019).

5.1.2 Queue length:

The queue length results show that fixed time and AI controllers keep network queues in a low range for most of the simulation. In this specific network and demand setting, traffic is expressed more through delay specifically waiting time rather than through long queues. This type of outcome is common in urban networks where short stop and go behaviour increases delay without producing long queues at the same time (Wei et al., 2019). This indicates that the strongest improvement signal is found in waiting time rather than queue length.

5.1.3 Vehicles processed:

The AI controller processes more vehicles compared to the fixed time controller over the full evaluation episode, meaning that traffic flows through the network more efficiently in Adaptive Control Mode. This result is consistent with the waiting time trends; the controller reduces unnecessary stops, allowing the network to handle more vehicles in the same simulated time. In Reinforcement Learning based traffic signal studies, reduced delay, improved flow, and increased vehicle processes occur together because better phase decisions increase how effectively junction capacity is used (Wei et al., 2019).

5.1.4 Reward trends:

The reward trends in this project are defined from the total network waiting time, and it becomes more negative when the delay increases. That means the model is learning very badly; when it comes to zero, traffic is flowing smoothly, meaning the model is learning well. This supports that the AI policy is not only better on average but is also better for responsiveness during short traffic jams, which is important because real traffic demand is rarely stable (Sutton & Barto, 2018).

5.1.5 Why the AI controller improved performance overall:

The improvement can be explained by how an AI controller is built and it follows an actor critic algorithm (A2C). The actor decides what signal action to take and a critic decides how good that decision is and that helps the learning stay more and more stable in changing traffic state and environment²⁴⁴ (Mnih et al., 2016; Sutton & Barto, 2018). The

model is trained using Network Level Reward And it is pushed to reduce total waiting time in the whole network as well as it is not only improving one junction on its own (Bouktif et al., 2023).

5.2 Discussion of Junction Level Performance

The Network Level Results in Chapter 4 show the overall improvement using the AI controller, but traffic jams are different at every signalised junction. This section discusses the junction level traffic light system patterns to explain where the AI controller helps out the most and why the fixed time controller shows delay spikes at every specific location.

5.2.1 Overall junction level pattern:

In the project, the queue length at the seven traffic light system intersections remains low for both controllers; it is around 0 to 1 vehicle, only sometimes rising to two vehicles at some junctions. This shows that in this Sheffield City Centre network and traffic demand, congestion is mainly as a waiting time delay rather than long physical queues. For this reason, the clearest difference between the AI controller and the fixed time controller is seen in the waiting time.

5.2.2 TLS where AI keeps performance consistently near zero:

At TLS 1757353214, the fix time shows repeated waiting time peaks and it is around 10 to 12 seconds as well as sometimes queue increases up to 2 vehicles. The AI controller waiting time is very low and it is up to 2 to 3 seconds in the same simulation episode. This shows the area is not only reducing major traffic jams peaks but it is reducing repeated small delays.

5.2.3 TLS where fixed time produces extreme delay events:

- At **TLS 1783045940**, fixed time produces an extreme waiting time peak reaching about 90 seconds, and the AI controller reduces both the size and frequency of these

peaks.

- At **TLS 7671039164**, fixed time produces the most waiting times, with peaks reaching approximately **250 seconds**, and the AI controller reduces these waiting times peaks.

5.2.4 Why improvements vary across junctions

This difference over the TLS is expected because each junction gets a different traffic flow and it is affected by nearby roads. Fixed time control follows the same preset signal in road traffic changes, and the AI controller uses the current traffic state, so it reduces both small repeated delays and very large waiting times (Sutton & Barto, 2018; Wei et al., 2019).

In the end of this discussion, the junction level discussion supports the same conclusion as the network level analysis: the AI controller consistently reduces waiting time, and the strongest benefit is at junctions where fixed time generates the highest delay.

5.3 User Evaluation

5.3.1 Purpose and scope:

This user evaluation was conducted to understand how clearly the traffic control dashboard presents the system outputs. It also examined how easy the dashboard is for users to understand and use when comparing the fixed time controller with the AI based controller. It focused on whether users found the dashboard layout and graphs easy to interpret. It is also evaluated whether users could understand which controller performed better based on the results shown in the dashboard. This evaluation focuses only on the usability and clarity of the dashboard and how the results are presented.

5.3.2 Participants and recruitment:

In my dissertation user evaluation, 10 participants were recruited through email. Out of these, 9 participants attended the user evaluation meeting, and I received 8 completed

responses from the participants, so the analysis is based on 8 responses. All participants were from an AI background, including one working professional, and overall, the group had a similar technical background that matches the topic of my project.

5.3.3 Ethics, consent, and anonymity:

Before starting the user evaluation, I clearly explained the ethics of my dissertation work to all participants.¹²⁵ Participation was completely voluntary, and everyone had the right to withdraw at any time. I also informed participants that their personal information¹⁸⁵ such as name and email address would not be shared with anyone, and the feedback would be used only for my dissertation user evaluation analysis. All responses were treated as anonymous in the reporting, and only the collected feedback was considered for writing.

5.3.4 Evaluation setup:

In my user evaluation, I conducted the session online using a Google Meet link. Because my whole system runs locally on my laptop and it requires high CPU usage, I did not create any public link for the dashboard. Instead, I shared my screen during the session so the participants could see the SUMO simulation and the dashboard outputs in real time.

5.3.5 Evaluation procedure:

In this user evaluation, I started by giving a short introduction about myself and my dissertation project. Once they understood the simulation environment, I moved to the dashboard part and explained how the dashboard is running using the Command Prompt (CMD) on my laptop.

After that, I executed the dashboard, and I showed the toggle button where the user can adjust the simulation step up to 360,0 and then I ran the dashboard. I showed the main output and graph, including the fixed time traffic controller AI controller comparison results, the intersection level performance and the improvement which is made by the AI controller. I answered their questions as well. At the end of the demonstration, I asked the users for their permissions and concerns. I shared the Google feedback form link and asked them to complete the user evaluation question. The¹⁵⁹ responses were collected anonymously for the analysis.

5.3.7 Data analysis method

This is evaluation of the output and feedback analyse³³ using both quantitative and qualitative methods. For the quantitative analysis Google Forms response summary charts and the statistical charts are used and according to the feedbacks which I got from the users it also reported the descriptive values such as¹⁸⁷ the mean median and mode for the rating scale questions and counts and percentage for the open ended or categorical questions this makes it easier to understand the overall trend in the feedback without using the separate tables.

For the qualitative analysis open ended responses revived and summarised them by grouping similar comments into the common points. So, this helps me to highlight what participants like about the dashboard and AI based control approach as well as the main improvement suggestion mentioned by the users.

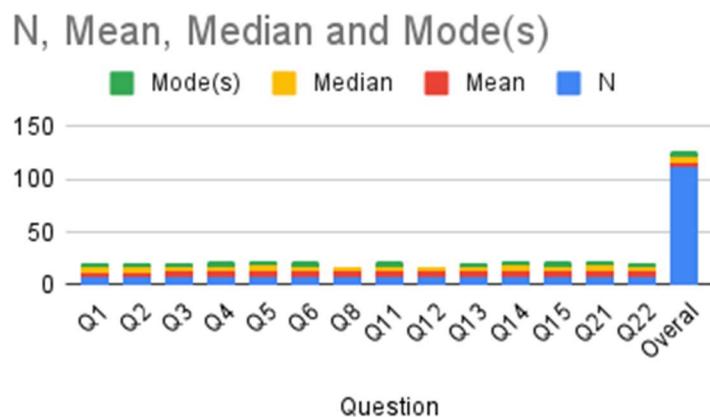


Figure 5.1: Summary of descriptive statistics for Likert scale questions (Q1 to Q6, Q8, Q11 to Q15, Q21 to Q22) showing N, mean, median, and mode, including overall average.

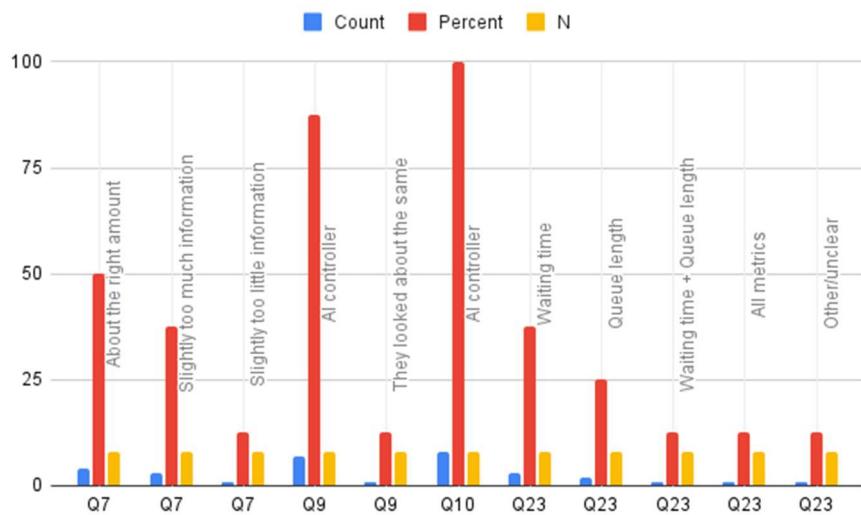


Figure 5.2: Categorical results summary showing counts and percentages for Q7 (information amount), Q9 and Q10 (controller preference), and Q23 (metric improved most).

Table 5.1: Descriptive Statistics of User Evaluation Responses

Evaluation Metric	Mean (μ)	Std. Dev (σ)	Positive Agreement (%)
Dashboard Usability			
Q5. Clarity of Main Graphs	4.75	0.46	100.0%

Evaluation Metric	Mean (μ)	Std. Dev (σ)	Positive Agreement (%)
Q6. Visibility of Performance Difference	4.62	0.74	87.5%
Q8. Intersection Level Clarity	4.50	0.53	100.0%
Q4. Clarity of Comparison (AI vs Fixed)	4.50	0.76	87.5%
Q3. Layout Ease of Understanding	4.25	0.71	87.5%
System & Concept Perception			
Q14. Support for Edge Computing	4.88	0.35	100.0%
Q21. Usefulness of SUMO Simulation	4.88	0.35	100.0%
Q11. Belief in RL Adaptability	4.62	0.52	100.0%
Q12. Clarity of RL Concept	4.50	0.53	100.0%
Q15. Feasibility of Edge Deployment	4.38	0.74	87.5%

Evaluation Metric	Mean (μ)	Std. Dev (σ)	Positive Agreement (%)
Q22. Realism of Simulation	4.38	0.52	100.0%

4.3.8 Qualitative Feedback

This thematic analysis of the open ended responses show three main points about the system.

Operational efficiency: Users supported the idea of this system and they described *adaptivity* as the biggest advantage. Many responses suggest that the actor - critic based controller looks more efficient²⁴⁶ compared to the fixed time controller, because it can react to traffic changes and reduce waiting time.

Deployment trust and safety: Participants said the SUMO simulation is realistic enough to understand the concept, but they also raised concerns about real world stability. The most common concern was the sim to real gap. This means the AI could act differently in a real city. These were mentioned as the main risk areas if the system is deployed outside simulation.

Dashboard refinements: The dashboard feedback mostly positive but some users suggest the screen should be more simplified and a few participants felt the amount of technical information. So, there might be too many non technical users and the key suggestion is to smooth queue length graphs to reduce visual noise and also add the status summary that explains what the AI is doing and why in simple words.

At the end the conclusion is the user evaluation suggests the age AI traffic control system is understandable as well as the dashboard is also usable for comparing the fixed³⁵ Traffic Light System and AI Traffic light system. The quantitative rating supports the user could follow the graphs and the simulation is useful and the qualitative feedback gives a clear direction for the AI improvement, the high quantitative scores for simulation usefulness (4.88) and graph clarity (4.75) validate the design choices and that makes the system

stronger for the age cases and simplify the dashboard so it can be understood by a large range of users.

Chapter 6: summary of this dissertation report

6.1 Conclusion summary

This dissertation investigated whether a traffic signal controller based on reinforcement learning, supported by a results dashboard, could improve signal performance and make the comparison with a fixed-time controller easier to understand. A simulation environment using SUMO was developed for the Sheffield City Centre subnetwork, and a GNN based A2C agent was trained to learn signal control decisions using network state information. The trained controller was evaluated against a fixed time baseline using standard traffic efficiency indicators. The results were shown through a dashboard that summarized performance.

The AI controller demonstrated an ability to respond dynamically for changing demand conditions in the Sumo Simulation Traffic System and the dashboard pads and accessible way to see the controller we have and outcomes from the AI controller. Additionally, a small user evaluation was carried out to assess how clearly users understood the interface and the results. This indicated that the dashboard structure and visual approach were generally understandable and helpful for comparing controller outputs. While the work is limited by the simulation setting and the focus on a single network, the study provides a practical process from scenario creation and training to evaluation and user presentation that can be expanded toward more realistic sensing and operational constraints.

7 6.2 Key contributions

This work makes the following contributions:

- **End to end simulation pipeline:** A complete workflow was developed in SUMO for scenario setup, controller training, evaluation, and reporting for the Sheffield City Centre subnetwork.
- **AI based signal control:** A GNN and A2C traffic signal controller was implemented and trained to use network state information to make adaptive phase decisions in the simulation.

- **Baseline comparison:** A structured comparison was produced against a fixed time controller using consistent evaluation metrics at both the network and junction level.
- **Results dashboard:** A dashboard was designed to present controller.
- **Initial usability evidence:** A small user evaluation was conducted to check the perceived clarity.

6.3 Limitations

This study had some several limitations and that should consider when explaining the results. Firstly, the AI Traffic signal Controller was trained and evaluated only on one road network which is the Sheffield City Centre Subcentre Network. The Learn Policy may be network specific and to other cities are any junction layouts may require for retraining or transferring the learning. Secondly The sumo simulation configuration in this project uses model car traffic only which is simplify the real world conditions where the signal timing must account for buses and two wheelers as well as for the delivery vehicles. In this evaluation everything is simulation based and SUMO supports control experimentation¹⁹⁴. It cannot fully reproduce the real world such as the sensor noise unexpected driver behaviour and road networks as well as undefined incidents and weather impacts. Finally, the system was not implemented with the physical sensing or edge deployment the controller did not receive a live data from any roadside sensors like cameras loop detectors and radar and this interface was not deployed on junction level its computing hardware so the results reflect the offline simulated performance rather than the real time field operation.

6.4 Future work

Future work can improve both the controller and the deployment readiness of the overall system:

- **Generalisation across networks:** Train and evaluate on multiple maps (additional Sheffield areas and other cities) and explore **transfer learning** to reduce retraining effort.

- **Multi modal traffic modelling:** Extend the environment and state features including two wheelers, buses and cyclist to reflect the traffic behaviour.
- **Sensors + real time perception:** Add more sensors like loop detectors CCTV and the computer feature as well as the radar for realistic and better estimate queue length and road occupancy, Vehicle speed accurately.
- **Edge computing architecture:** The system junction label edge set up so that can make decision faster and keep working safely even if the cloud or network goes down.
- **Emergency vehicle priority:** Add a detection and priority logic so the system can temporarily adjust the timing to help ambulances and emergency vehicle passed safely without any delay.
- **Fail-safe and manual override:** If the system fails and the situation manual handing the implemented control fall back where an authorised operator can switch to manual fix time control during the faults and safely return to AI control. In addition, system failure the pop-up window will be open saying that the system is not working. So, authorised person can handle manually ¹⁹⁰the traffic light system to decrease the traffic and delays.
- **Incident awareness:** Add indicator or accident awareness to support operational decisions like suggesting the timing changes or diversions. In real deployments alert should be operator due to governance and Privacy as well as safety requirements.

6.5 Closing statement

In summary this dissertation demonstrates a working SUMO simulation based framework for learning and evaluating an adaptive traffic light signal controller and presenting results through actionable dashboard. In addition, work on generalisation the multi model Traffic, sensing and edge deployment is the approach could be extended towards more realistic and strongly intelligent traffic management system.

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Appendices

Appendices A: Ethics approval



UREC2 RESEARCH ETHICS PROFORMA FOR STUDENTS UNDERTAKING LOW RISK PROJECTS WITH HUMAN PARTICIPANTS

This form is designed to help students and their supervisors to complete an ethical scrutiny of proposed research. The University Research Ethics Policy (www.shu.ac.uk/research/excellence/ethics-and-integrity/policies) should be consulted before completing this form. The initial questions are there to check that completion of the UREC 2 is appropriate for this study. The final responsibility for ensuring that ethical research practices are followed rests with the supervisor for student research.

Note that students and staff are responsible for making suitable arrangements to ensure compliance with the General Data Protection Act (GDPR). This involves informing participants about the legal basis for the research, including a link to the University research data privacy statement and providing details of who to complain to if participants have issues about how their data was handled or how they were treated (full details in module handbooks). In addition, the act requires data to be kept securely and the identity of participants to be anonymised. They are also responsible for following SHU guidelines about data encryption and research data management. Guidance can be found on the SHU Ethics Website www.shu.ac.uk/research/excellence/ethics-and-integrity

Please note that it is mandatory for all students to only store data on their allotted networked F drive space and not on individual hard drives or memory sticks etc.

The present form also enables the University and College to keep a record confirming that research conducted has been subjected to ethical scrutiny.

The UREC2 form must be completed by the student. Supervisors will review their students' completed UREC forms and, if necessary, inform students of any required changes. For UREC2* (Low Risk Research with Human Participants), the supervisor then signs off the form. Additional guidance can be obtained from your College Research Ethics Chair¹

* If the supervisor thinks that the project is likely to result in a publication then the UREC2 form **must** be reviewed by an independent reviewer, drawn from the module teaching team, before data collection begins.

Students should retain a copy for inclusion in their research project, and a copy should be uploaded to the relevant module Blackboard site.

Please note that it may be necessary to conduct a health and safety risk assessment for the proposed research. Further information can be obtained from the University's Health and Safety Website <https://sheffieldhallam.sharepoint.com/sites/3069/SitePages/Risk-Assessment.aspx>

¹ College of Social Sciences and Arts - Dr. Antonia Ypsilanti (a.ypsilanti@shu.ac.uk)
 College of Business, Technology and Engineering - Dr. Tony Lynn (t.lynn@shu.ac.uk)
 College of Health, Wellbeing and Life Sciences - Dr. Nikki Jordan-Mahy (n.jordan-mahy@shu.ac.uk)

SECTION A**1. Checklist questions to ensure that this is the correct form:**

Health Related Research within the NHS, or His Majesty's Prison and Probation Service (HMPPS), or with participants unable to provide informed consent check list.

Question	Yes/No
Does the research involve?	
• Patients recruited because of their past or present use of the NHS	NO
• Relatives/carers of patients recruited because of their past or present use of the NHS	NO
• Access to NHS staff, premises, or resources	NO
• Access to data, organs, or other bodily material of past or present NHS patients	NO
• Foetal material and IVF involving NHS patients	NO
• The recently dead in NHS premises	NO
• Prisoners or others within the criminal justice system recruited for health-related research	NO
• Police, court officials, prisoners, or others within the criminal justice system	NO
• Participants who are unable to provide informed consent due to their incapacity even if the project is not health related	NO
• Is this an NHS research project, service evaluation or audit? <i>For NHS definitions please see the following website</i> http://www.hra.nhs.uk/documents/2013/09/defining-research.pdf	NO

If you have answered **YES** to any of the above questions, then you **MUST consult with your supervisor** to obtain research ethics from the appropriate institution outside the university. This could be from the NHS or Her Majesty's Prison and Probation Service (HMPPS) under their independent Research Governance schemes. Further information is provided below.
<https://www.myresearchproject.org.uk/>

2. Checks for Research with Human Participants

Question	Yes/No
1. Will any of the participants be vulnerable? <i>Note: Vulnerable people include children and young people, people with learning disabilities, people who may be limited by age or sickness, pregnancy, people researched because of a condition they have, etc. See full definition on ethics website in the document Code of Practice for Researchers Working with Vulnerable Populations (under the Supplementary University Policies and Good Research Practice Guidance)</i>	NO
2. Are drugs, placebos, or other substances (e.g., food substances, vitamins) to be administered to the study participants or will the study involve invasive, intrusive, or potentially harmful procedures of any kind?	NO
3. Will tissue samples (including blood) be obtained from participants?	NO

Question	Yes/No
4. Is pain or more than mild discomfort likely to result from the study?	NO
5. Will the study involve prolonged or repetitive testing?	NO
6. Is there any reasonable and foreseeable risk of physical or emotional harm to any of the participants? <i>Note: Harm may be caused by distressing or intrusive interview questions, uncomfortable procedures involving the participant, invasion of privacy, topics relating to highly personal information, topics relating to illegal activity, or topics that are anxiety provoking, etc.</i>	
7. Will anyone be taking part without giving their informed consent?	NO
8. Is the research covert? <i>Note: 'Covert research' refers to research that is conducted without the knowledge of participants.</i>	NO
9. Will the research output allow identification of any individual who has not given their express consent to be identified?	NO

If you have answered **YES** to any of these questions you are **REQUIRED** to complete and submit a UREC3 or UREC4 form. Your supervisor will advise. If you have answered **NO** to all these questions, then proceed with this form (UREC2).

3. General Project Details

Details	
Name of student	Mandar Dnyaneshwar Satpute
SHU email address	MandarDnyaneshwar.D.Satpute@student.shu.ac.uk
Department/College	School of Computing and Digital Technologies
Name of supervisor	Domdouzis Konstantinos
Supervisor's email address	K.Domdouzis@shu.ac.uk
Title of proposed research	AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.
Proposed start date	07-11-2025
Proposed end date	07-01-2026
Background to the study and the rationale (reasons) for undertaking the research (500 words)	Urban traffic congestion represents a persistent and significant socio-environmental challenge across the United Kingdom, contributing heavily to air pollution, elevated commuter stress, and substantial economic losses due to delays (Department for Transport, 2023; INRIX, 2023). Conventional traffic management systems—which often rely on static, time-of-day programming—are fundamentally incapable of adapting to the unpredictable, minute-by-minute fluctuations of modern urban traffic flow (Chen & Wu, 2025). This failure necessitates a fundamental shift toward

Details	
	<p>intelligent, self-optimizing solutions.</p> <p>Existing academic research has widely established the potential of Deep Reinforcement Learning (DRL) as the most suitable methodology for dynamic signal control, as DRL agents learn optimal timing policies through trial-and-error in a simulated environment (Yang et al., 2025). Furthermore, to address the complexity of city-wide networks, cutting-edge studies confirm the necessity of incorporating Graph Neural Networks (GNNs) to model the intricate spatial relationships between intersections (Yang et al., 2025). Finally, to ensure real-world viability, the entire decision-making process must function in a low-latency environment, aligning with the principles of Edge Computing, as centralized cloud processing introduces significant delays (Chen & Wu, 2025; Yang et al., 2025).</p> <p>The rationale for this project is to bridge the implementation gap by creating a unified, functional system that integrates all these necessary components. This dissertation is a Proof-of-Concept focusing on the successful technical implementation and evaluation of this integrated architecture.</p> <p>The core of the research involves:</p> <ul style="list-style-type: none"> • Algorithmic Innovation (ADDRL + GNN): The project's primary contribution is the development of an Adaptive Decentralized Deep Reinforcement Learning (ADDRL) framework. This system is enhanced by integrating a Graph Neural Network (GNN) to model the road network and enable individual traffic signal agents to make coordinated decisions. This focus ensures the system optimizes traffic flow across an entire network, not just at isolated intersections. • Simulating Edge Responsiveness: The system will be built within the SUMO (Simulation of Urban Mobility) environment. The entire data processing pipeline is designed to be streamlined and efficient, utilizing a Python-based data streaming approach to accurately mimic the low-latency data input required by Edge Computing principles. This validates the framework's potential for real-time deployment. • Feasibility and Evaluation: This methodology is designed to be resource-efficient and feasible within the academic timeframe. The goal is to provide robust, quantitative evidence by comparing the performance of this integrated AI

Details	
	<p>system against standard fixed-timing management techniques. The project successfully fulfils the requirements of a Masters-level analytical dissertation by delivering a validated prototype and measurable results to contribute valuable insight for urban planners.</p> <ul style="list-style-type: none"> • In this dissertation, the practical experiments will focus on a Sheffield city-centre road network, which is used as a prototype case study for UK urban traffic optimisation. <p>References:</p> <p>Chen, H., & Wu, Y. (2025). Intelligent traffic signal optimization algorithm based on multi-source data fusion. <i>International Journal of Distributed Sensor Networks</i>, 21(2). https://doi.org/10.1177/18724981251369386</p> <p>Department for Transport. (2023). <i>Transport and environment statistics: 2023</i>. HMSO. https://www.gov.uk/government/statistics/transport-and-environment-statistics-2023</p> <p>INRIX. (2023). <i>2022 Global Traffic Scorecard</i>. https://inrix.com/scorecard/</p> <p>Yang, F., Liu, X. C., Lu, L., Wang, B., & Liu, C. (2025). A self-supervised multi-agent large language model framework for customized traffic mobility analysis using machine learning models. <i>Transportation Research Record</i>, 2679(7), 1–16. https://doi.org/10.1177/03611981251322468</p>
Aims & research question(s)	<p>Aim: To implement and quantitatively evaluate an ADDRL-GNN framework on a simulated Sheffield city centre road network, using UK traffic data filtered for the Sheffield area, to demonstrate superior, coordinated traffic flow optimisation compared to fixed-timing systems.</p> <p>Research Question: How can an AI powered traffic signal system, applied to a simulated Sheffield city centre network using filtered UK traffic data and edge-style control, dynamically optimise urban traffic signals to reduce congestion and improve traffic efficiency?</p>

Details	
<p>Methods to be used for:</p> <ol style="list-style-type: none"> 1. Recruitment of participants 2. Data collection 3. Data analysis 	<p>Recruitment of participants: A small sample of 5-10 participants (e.g., fellow students on the MSc course) will be recruited via convenience sampling (a direct email or in-person request).</p> <p>Data collection:</p> <ol style="list-style-type: none"> 1. Computational Data: Data is simulated in real-time within the SUMO environment, informed by publicly available DfT historical traffic flow data. <p>UK Department for Transport (DfT) Data</p> <p>Purpose: To provide realistic traffic flow numbers to build the simulation's demand.</p> <ul style="list-style-type: none"> • Dataset 1 (Primary): Average annual daily flow (578,217 records) <ul style="list-style-type: none"> ➤ Link: https://storage.googleapis.com/dft-statistics/road-traffic/downloads/data.gov-uk/dft_traffic_counts_aadf.zip • Dataset 2 (Supporting): Raw counts (5,113,740 records) <ul style="list-style-type: none"> ➤ Link: https://storage.googleapis.com/dft-statistics/road-traffic/downloads/data.gov-uk/dft_traffic_counts_raw_counts.zip • Dataset 3 (Supporting): Average annual daily flow by direction (1,062,881 records) <ul style="list-style-type: none"> ➤ Link: https://storage.googleapis.com/dft-statistics/road-traffic/downloads/data.gov-uk/dft_traffic_counts_aadf_by_direction.zip • License: Open Government Licence (OGL) v3.0 <ul style="list-style-type: none"> ➤ Link: https://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/ ➤ Permission: The license grants the freedom to:

Details	
	<p>"copy, publish, distribute... and adapt the Information... for both commercial and non-commercial purposes." This explicitly permits its use for this academic dissertation.</p> <p>2. OpenStreetMap (OSM) Data</p> <ul style="list-style-type: none"> ➤ Purpose: To provide the physical road network map for the SUMO environment. ➤ Source Link: https://www.openstreetmap.org ➤ License: Open Data Commons Open Database License (ODbL) ➤ Link: https://opendatacommons.org/licenses/odbl/1_0/ ➤ Permission: The license grants the freedom "To Share... To Create... To Adapt" the data. This explicitly permits the creation of a "derivative work" (the .net.xml file) for this project, provided attribution ("© OpenStreetMap contributors") is given in the final report. <p>3. Eclipse SUMO Software</p> <ul style="list-style-type: none"> ➤ Purpose: The core simulation engine. ➤ Source Link: https://eclipse.dev/sumo/ ➤ License: Eclipse Public License 2.0 (EPL-2.0) ➤ Link: https://www.eclipse.org/legal/epl-2.0/ ➤ Permission: This is a standard open-source license. Section 2(a) grants a "world-wide, non-exclusive, royalty-free" license to "reproduce, prepare derivative works of, publicly display, publicly perform, [and] distribute" the software, which fully permits its use for academic research. <p>2. Participant Data: Participants will be shown the final visualisation dashboard (a static report or HTML file generated by Python/Matplotlib) and asked to complete a short, anonymous usability questionnaire. Data collection will take approximately 10 minutes per participant.</p> <p>Data analysis:</p>

Details	
	<p>1. Quantitative Analysis: python (or R / RStudio) will be used to statistically compare performance metrics (e.g., average travel time) between the AI-controlled simulation and the standard fixed-timing simulation.</p> <p>2. Qualitative Analysis: Feedback from the anonymous questionnaires will be summarized to evaluate the dashboard's clarity and perceived usefulness.</p>
<p>Outline the nature of the data held, details of anonymisation, storage and disposal procedures as required.</p>	<p>Nature of Data: The project holds two distinct types of data:</p> <ol style="list-style-type: none"> 1. Participant Data: Anonymous opinions and ratings collected from the usability questionnaire. 2. Computational Data: Non-sensitive, numerical traffic flow statistics (DfT data) and simulation configuration files (SUMO data). 3. For this project, the UK-wide DfT traffic data will be filtered so that only records related to the Sheffield area are used in the simulations. <p>Anonymisation: All participant data will be collected fully anonymously. The questionnaire will not ask for any Personal Identifiable Information (PII) such as name or student ID. Anonymisation of the computational data is N/A as it consists of aggregated, non-identifiable public statistics.</p> <p>Data Licensing (Addressing Copyright): This project acknowledges that the DfT datasets are Crown Copyright (and not "public domain"). The data is used under the explicit permission granted by the Open Government Licence (OGL) v3.0, which allows for the re-use of this information in academic research. Open Government Licence</p> <p>Storage: All data will be stored exclusively on the student's allotted networked F drive space, as per mandatory SHU policy.</p> <p>Disposal: Data will be retained for the period mandated by the university/module policy and then deleted from the F drive.</p>

4. Research in External Organisations

Question	Yes/No
1. Will the research involve working with/within an external organisation (e.g., school, business, charity, museum, government department, international agency, etc.)?	NO
2. If you answered YES to question 1, do you have granted access to conduct the research from the external organisation? <i>If YES, students please show evidence to your supervisor. You should retain this evidence safely.</i>	NO
3. If you do not have permission for access is this because: A. you have not yet asked B. you have asked and not yet received an answer C. you have asked and been refused access	

Note: You will only be able to start the research when you have been granted access.

5. Research with Products and Artefacts

Question	Yes/No
1. Will the research involve working with copyrighted documents, films, broadcasts, photographs, artworks, designs, products, programs, databases, networks, processes, existing datasets, or secure data?	YES (Involves DfT data, OSM DATA and the SUMO software).

Question	Yes/No
2. If you answered YES to question 1, are the materials you intend to use in the public domain? Notes: 'In the public domain' does not mean the same thing as 'publicly accessible'. <ul style="list-style-type: none">• Information which is 'in the public domain' is no longer protected by copyright (i.e., copyright has either expired or been waived) and can be used without permission.• Information which is 'publicly accessible' (e.g., TV broadcasts, websites, artworks, newspapers) is available for anyone to consult/view. It is still protected by copyright even if there is no copyright notice. In UK law, copyright protection is automatic and does not require a copyright statement, although it is always good practice to provide one. It is necessary to check the terms and conditions of use to find out exactly how the material may be reused etc. If you answered YES to question 1, be aware that you may need to consider other ethics codes. For example, when conducting Internet research, consult the code of the Association of Internet Researchers; for educational research, consult the Code of Ethics of the British Educational Research Association.	NO
3. If you answered NO to question 2, do you have explicit permission to use these materials as data? If YES, please show evidence to your supervisor.	YES
4. If you answered NO to question 3, is it because: A. you have not yet asked permission B. you have asked and not yet received and answer C. you have asked and been refused access. Note: You will only be able to start the research when you have been granted permission to use the specified material.	N/A

SECTION B

HEALTH AND SAFETY RISK ASSESSMENT FOR THE RESEARCHER

1. Does this research project require a health and safety risk assessment for the procedures to be used? (Discuss this with your supervisor)

Yes
 No

If YES the completed Health and Safety Risk Assessment form should be attached. A standard risk assessment form can be generated through the Awaken system (<https://shu.awaken-be.com>). Alternatively if you require more specific risk assessment, e.g. a COSHH, attach that instead.

2. Will the data be collected fully online (no face-to-face contact with participants)?

- Yes (See the safety guidance for online research² and go to question 7b)
 No (Go to question 3)

3. Will the proposed data collection take place on campus?

- Yes (Please answer questions 5 to 8)
 No (Please complete all questions and consult with your supervisor)

4. Where will the data collection take place?

(Tick as many as apply if data collection will take place in multiple venues)

Location	Please specify
<input type="checkbox"/> Researcher's Residence	
<input type="checkbox"/> Participant's Residence	
<input type="checkbox"/> Education Establishment	
<input checked="" type="checkbox"/> Other e.g., business/voluntary organisation, public venue	fully Online via the Internet
<input type="checkbox"/> Outside UK	

5. How will you travel to and from the data collection venue?

- On foot By car Public Transport
 Other (Please specify) **N/A**

Please outline how you will ensure your personal safety when travelling to and from the data collection venue.

N/A no travel required

6. How will you ensure your own personal safety whilst at the research venue?

N/A

7. Are there any potential risks to your health and wellbeing associated with either (a) the venue where the research will take place and/or (b) the research topic itself?

- None that I am aware of
 Yes (Please outline below including steps taken to minimise risk)

² Safety guidance for online research includes information on how to set up online surveys and/or conduct online interviews/focus groups. These guidelines can be found in BB. Please check with your supervisor/module leader.

N/A

- 8. If you are carrying out research off-campus, you must ensure that each time you go out to collect data you ensure that someone you trust knows where you are going (without breaching the confidentiality of your participants), how you are getting there (preferably including your travel route), when you expect to get back, and what to do should you not return at the specified time.**

Please outline here the procedure you propose using to do this.

N/A

Insurance Check

The University's standard insurance cover will not automatically cover research involving any of the following:

- i) Participants under 5 years old
- ii) Pregnant women
- iii) 5000 or more participants
- iv) Research being conducted in an overseas country
- v) Research involving aircraft and offshore oil rigs
- vi) Nuclear research
- vii) Any trials/medical research into Covid 19

If your proposals do involve any of the above, please contact the Insurance Manager directly (fin-insurancequeries-mb@exchange.shu.ac.uk) to discuss this element of your project.

Adherence to SHU Policy and Procedures

Ethics sign-off	
Personal statement	
I can confirm that: <ul style="list-style-type: none">• I have read the Sheffield Hallam University Research Ethics Policy and Procedures• I agree to abide by its principles.	
Student	
Name: MANDAR DNYANESHWAR SATPUTE	Date: 07/11/2025
Signature: MANDAR DNYANESHWAR SATPUTE	
Supervisor ethical sign-off	
I can confirm that completion of this form has not identified the need for ethical approval by the TPREC/CREC or an NHS, Social Care, or other external REC. The research will not commence until any approvals required under Sections 4 & 5 have been received and any necessary health and safety measures are in place.	
Name: Konstantinos Domdouzis	Date: 11/12/2025
Signature: 	
Independent Reviewer ethical sign off	
Name:	Date:
Signature:	

Please ensure that you have attached all relevant documents. Your supervisor must approve them before you start data collection:

Documents	Yes	No	N/A
Research proposal if prepared previously	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Any recruitment materials (e.g., posters, letters, emails, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Participant information sheet ³	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Participant consent form ⁴	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Details of measures to be used (e.g., questionnaires, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Outline interview schedule / focus group schedule	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Debriefing materials	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Health and Safety Risk Assessment Form	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

³ It is mandatory to attach the Participant Information Sheet (PIS)

⁴ It is mandatory to attach a Participant Consent Form, unless it is embedded in an online survey, in which case your supervisor must approve it before you start data collection

Appendices B: Participant documents

12. Participant Information Sheet



Participant Information Sheet

Title of Project:¹⁴ AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

Student Researcher: Mandar Dnyaneshwar Satpute (MSc Big Data Analytics)

Supervisor: Domdouzis Konstantinos

6 Legal basis for research for studies: The University undertakes research as part of its function for the community under its legal status. Data protection allows us to use personal data for research with appropriate safeguards in place under the legal basis of public tasks that are in the public interest. A full statement of your rights can be found at: <http://www.shu.ac.uk/about-this-website/privacy-policy/privacy-notices/privacy-notice-for-research>.

However, all University research is reviewed to ensure that participants are treated appropriately and their rights respected. This study was approved by the University's Research Ethics Committee. Further information at:

<http://www.shu.ac.uk/research/excellence/ethics-and-integrity>

107 1. Invitation and purpose of research You are being invited to take part in a small research study for my MSc Big Data Analytics dissertation.⁹ The purpose of this study

is to evaluate the usability and clarity of a data visualisation dashboard I have created. This dashboard shows the performance¹⁷⁷ of an AI traffic control system compared to a standard fixed-timing system.

2. Why have you asked me to take part? You have been invited because you are a fellow student at Sheffield Hallam University. Your feedback on how complex data is presented is highly valuable for validating the final deliverable of this project.

⁶⁶ **3. Do I have to take part?** No, your participation is completely voluntary. You are free to withdraw at any time without giving a reason. Because the questionnaire is fully anonymous, it will not be possible to withdraw your data after you have submitted it, as I will not be able to identify which response is yours.

¹⁹ **4. What will I be required to do?** You will be asked to look at a single-page visualisation dashboard (sent as an image or file) which contains several charts.¹⁷³ You will then be asked to complete a short, anonymous online questionnaire (e.g., on Google Forms) to give your opinion on its clarity and usefulness.

¹¹⁴ **5. Where will this take place?** The study is conducted fully online. You can complete the questionnaire on your own computer at a time that is convenient for you.

6. How long will it take? The entire process of reviewing the dashboard and completing the questionnaire should take no more than 10 minutes of your time.⁵⁶

¹² **7. Are there any possible risks in taking part?** There are no identified risks in participating in this study.

8. What are the possible benefits of taking part? There are no direct benefits to you. However, your feedback will be a great help in validating this dissertation project and²¹⁴ will contribute to a better understanding of how to effectively present complex AI simulation results to non-expert stakeholders.

9⁹⁷. Will anyone be able to connect me with what is recorded and reported? No.

The study is 100% anonymous. The online questionnaire will **not** ask for your name, student ID, email address, or any other personal identifiable information.

10.⁹⁸ What will happen to the information when this study is over? The anonymous responses will be aggregated (grouped together) and stored securely on a university-managed, networked F drive. The raw anonymous data will be deleted after the dissertation has been successfully graded, as per university policy.

11. How will you use what you find out? The aggregated, anonymous feedback will be summarised and discussed in Chapter 5 (Results & Evaluation) of my final dissertation report. No individual responses will ever be published.

12.¹⁸ How can I find out about the results of the study? The final dissertation will be available through the Sheffield Hallam University library after it has been graded and published.

16². Who can I contact if I have concerns?

- **Researcher:** Mandar Dnyaneshwar Satpute
[¹⁷²](mailto:MandarDnyaneshwar.D.Satpute@student.shu.ac.uk)
- **Supervisor:** Domdouzis Konstantinos
[¹⁹](mailto:K.Domdouzis@shu.ac.uk)

You should contact the **Data Protection Officer** [**DPO@shu.ac.uk**](mailto:DPO@shu.ac.uk) if:

- you have a query about how your data is used by the University.
- you would like to report a data security breach.
- ²⁰you would like to complain about how the University has used your personal data.

You should contact the **Head of Research Ethics** <mailto:ethicssupport@shu.ac.uk> if:

- you have concerns with how the research was undertaken or how you were treated.

Postal address: Sheffield Hallam University, Howard Street, Sheffield S1 1WBT

Telephone: ²¹⁶ 0114 225 5555

2. Participant Consent Form



Participant Consent Form

¹⁴ **TITLE OF RESEARCH STUDY:** AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

Note: This study is conducted **fully online and is anonymous**. This form will be presented as the first page of the online questionnaire. Clicking "Yes, I agree" will serve as your consent and allow you to proceed to the questionnaire.

Please answer the following questions.

⁸ **1. I have read the Participant Information Sheet for this study and have had details of the study explained to me.**

- YES
- NO

2. My questions about the study have been answered to my satisfaction and I understand that I may ask further questions at any point.

- YES
- NO

3. I understand that my participation is voluntary and that I am free to withdraw at any time simply by closing this browser window.

- YES
- NO

4. I understand that my responses are fully anonymous and that no personal identifiable information (such as my name or email) will be collected.

- ⁸ YES
- NO

5. I agree to provide information to the researchers under the conditions of confidentiality set out in the Information Sheet.

- YES
- NO

6. I wish to participate in the study under the conditions set out in the Information Sheet.

- YES
- NO

7. I consent to the information I provide, once anonymised, to be used for any other research purposes (such as future publications).

- YES
- NO

(The fields for participant signature/name are not required as this is an anonymous online survey)

212 Researcher's Name (Printed): Mandar Dnyaneshwar Satpute **Researcher's contact details:** <mailto:MandarDyaneshwar.D.Satpute@student.shu.ac.uk>

3. Google Forms consent confirmation showing 8/8 participants agreed to take part (anonymous online consent).

Participant Information and consent

This questionnaire is part of my MSc dissertation at Sheffield Hallam University.

Consent statements

By taking part, you confirm that:

1. I have read the Participant Information Sheet for this study and I have had details of the study explained to me.
2. My questions about the study have been answered to my satisfaction and I understand that I may ask further questions at any point.
3. I understand that my participation is voluntary and that I am free to withdraw at any time simply by closing this browser window.
4. I understand that my responses are anonymous and that no personal identifiable information (such as my name or email address) will be collected.
5. I agree to provide information to the researcher under the conditions of confidentiality set out in the Information Sheet.
6. I wish to participate in the study under the conditions set out in the Information Sheet.
7. I consent for my anonymised responses to be used for this dissertation and may also be used in future research outputs (such as publications).

This study is conducted online and is anonymous. Please read the statements above. If you select "I agree to take part", this will be taken as your consent and you will proceed to the questionnaire. If you do not agree, please select "I do not agree" and close the form.

Researcher: Mandar Dnyaneshwar Satpute
Contact: MandarDnyaneshwar.D.Satpute@student.shu.ac.uk

8 responses



Response	Count	Percentage
Yes, I agree to take part	8	100%
No, I do not agree	0	0%

Appendices C: User evaluation instrument

1. User Evaluation feedback questions.¹³³

<https://docs.google.com/forms/d/e/1FAIpQLSeiksDqh44fzp2ZZm8a98YUUREscimYiWebQugHB4DzfhlMw/viewform?usp=dialog>

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

2. Q1. Before seeing this dashboard, how familiar were you with traffic control or transport systems (for example traffic lights and congestion management)? *

Mark only one oval.

1 2 3 4 5

Not Extremely familiar

3. Q2. Before seeing this dashboard, how familiar were you with AI or machine learning? *

Mark only one oval.

1 2 3 4 5

Not Extremely familiar

4. Q3. When I showed you the traffic dashboard, the way everything was arranged on the screen (controls on the left [manually adjust simulation step, max steps 3600], graphs in the middle) were easy to understand. *

Mark only one oval.

1 2 3 4 5

Strongly agree

5. Q4. When I showed you the dashboard, how clearly could you see the difference between the Fixed time controller and the AI controller on the graphs? *

Mark only one oval.

1 2 3 4 5

Not Extremely clear

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

6. **Q5. When I showed you the main graphs (overall waiting time, queue length, vehicles processed, the intersection performance for each TLS, and the “AI vs Fixed-time” comparison graph), how easy were they to understand? ***

Mark only one oval.

1 2 3 4 5

Very Very easy to understand

7. **Q6. Based on what I showed you on the dashboard, how easy was it to see * whether the Fixed-time controller or the AI controller was performing better overall?**

Mark only one oval.

1 2 3 4 5

Very Very easy to see

8. **Q7. When I showed you the dashboard, how would you rate the amount of * information on the screen?**

Mark only one oval.

- Far too little information
- Slightly too little information
- About the right amount
- Slightly too much information
- Far too much information

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

9. **Q8. When I showed you the intersection level performance (for one traffic light, showing its queue and waiting time for Fixed-time and AI), how easy was this part of the dashboard to understand?**

Mark only one oval.

1 2 3 4 5

Very Very easy to understand

10. **Q9. Based on what I showed you on the dashboard, which controller looked better overall in terms of traffic flow?**

Mark only one oval.

- Fixed-time controller
- AI controller
- They looked about the same
- I am not sure

11. **Q10. If this junction was in a real city and you had to choose one option, which controller would you prefer?**

Mark only one oval.

- Fixed-time controller
- AI controller
- I am not sure

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

12. **Q11. To what extent do you believe reinforcement learning based traffic signal control can adapt to changing traffic conditions in urban areas compared to fixed-time control? ***

Mark only one oval.

1 2 3 4 5

Not To a very large extent

13. **Q12. How clear is the idea of using reinforcement learning (A2C) to select traffic signal actions based on traffic state (queue, waiting time, phase)? ***

Mark only one oval.

1 2 3 4 5

Not Extremely clear

14. **Q13 How appropriate is a two-action signal control policy (0 = keep phase, 1 = switch to next phase) for adaptive urban traffic control? ***

Mark only one oval.

1 2 3 4 5

Not Extremely appropriate

15. **Q14. To what extent do you think edge computing (e.g., roadside units, sensors, cameras, and local processing) can support scalable and responsive AI-based traffic signal control in urban cities? ***

Mark only one oval.

1 2 3 4 5

Not To a very large extent

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

16. **15 How feasible is it to run AI-based signal control at the edge (e.g., roadside unit/local computer), using inputs from sensors/cameras, rather than relying on a central server?**

Mark only one oval.

1 2 3 4 5

Not Extremely feasible

17. **Q16. Based on what you saw, is there anything you would change or add to improve this AI traffic control system or its dashboard (for example, the way results are shown, extra graphs, different metrics, or other features)?**

18. **Q.17 In one sentence, what do you think is the main advantage of using reinforcement learning for urban traffic signal control? ***

19. **Q.18 what is your opinion on using the A2C algorithm for traffic signal optimization? ***

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

20. **Q.19 what do you think is the biggest challenge of applying reinforcement learning based traffic control in real UK cities?**

21. **Q20. In one sentence, what is your biggest concern about using RL (A2C) * for real world traffic signals (e.g., safety, stability, trust, data quality)?**

22. **Q21. Based on what you saw, how useful do you think the SUMO traffic simulation is for testing and comparing AI-based traffic signal control before real-world deployment? ***

Mark only one oval.

1 2 3 4 5

Not Extremely useful

23. **Q22. To what extent do you think the SUMO simulation results are realistic enough to understand how AI-based traffic signal control might perform in a real city? ***

Mark only one oval.

1 2 3 4 5

Not Extremely realistic

04/01/2026, 03:40

AI-Powered Urban Traffic Optimization in the UK using Reinforcement Learning and Edge Computing.

24. **Q23. which traffic measure (waiting time, queue length, or vehicles processed) did the AI controller improve the most, based on what you saw?** *
-
25. **Q24. Please provide one comment or suggestion regarding AI based urban traffic optimization and do like this project?** *
-

This content is neither created nor endorsed by Google.

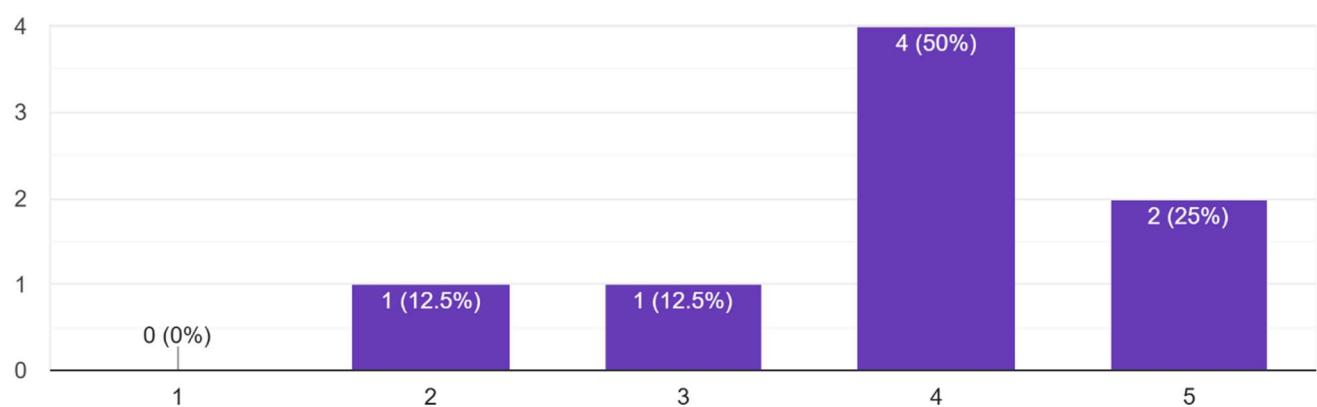
Google Forms

Appendices D: User evaluation responses

1. Evaluation responses

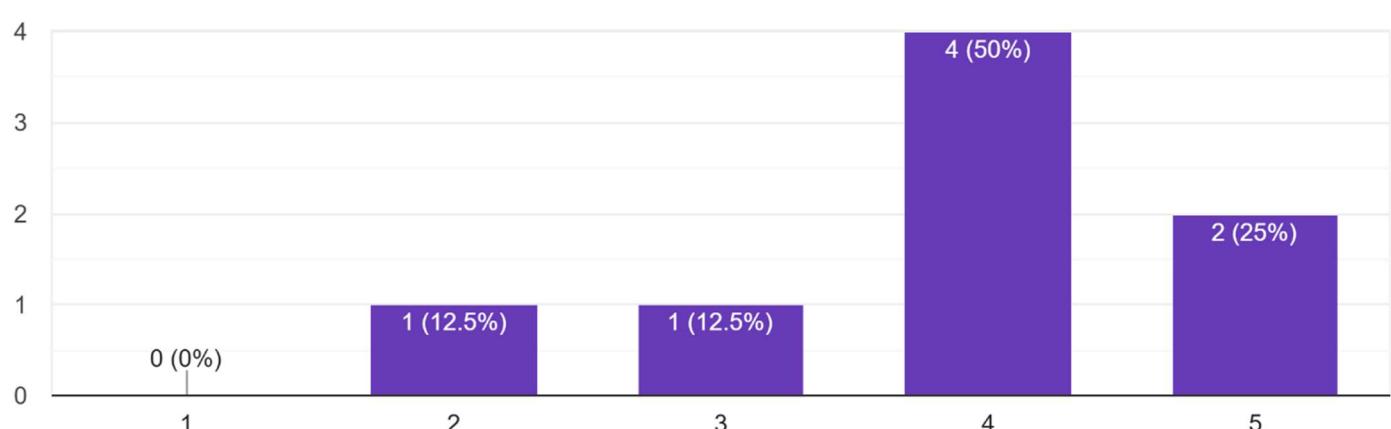
Q1. Before seeing this dashboard, how familiar were you with traffic control or transport systems (for example traffic lights and congestion management)?

8 responses

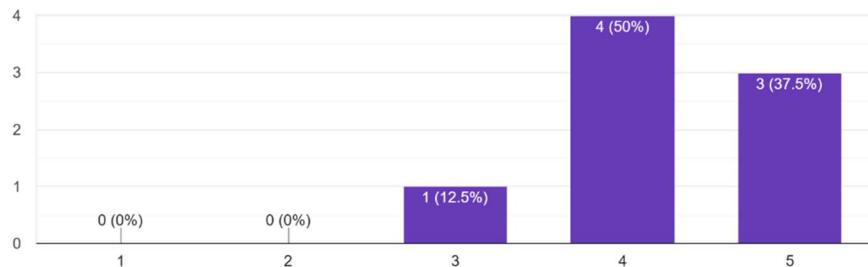


Q2. Before seeing this dashboard, how familiar were you with AI or machine learning?

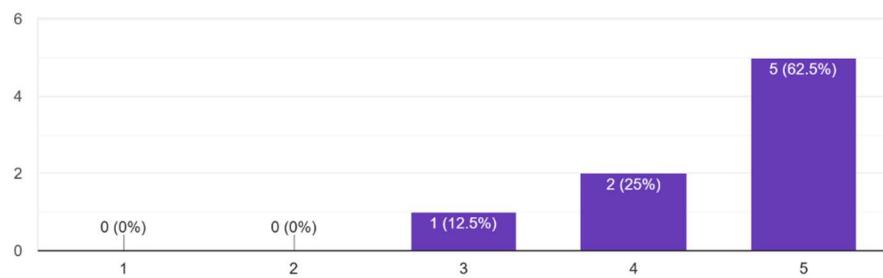
8 responses



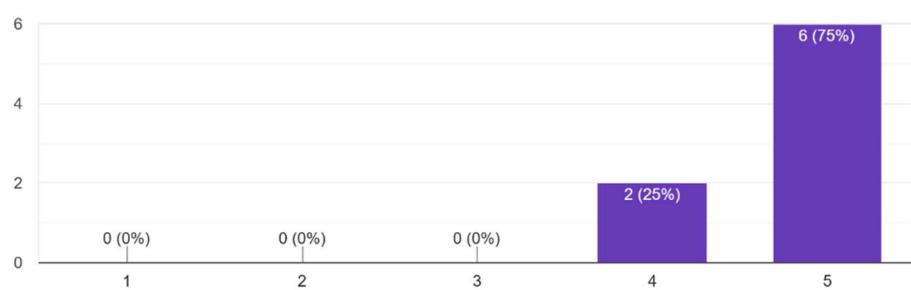
Q3. When I showed you the traffic dashboard, the way everything was arranged on the screen (controls on the left [manually adjust simulation s...0], graphs in the middle) were easy to understand.
8 responses



Q4. When I showed you the dashboard, how clearly could you see the difference between the Fixed time controller and the AI controller on the graphs?
8 responses

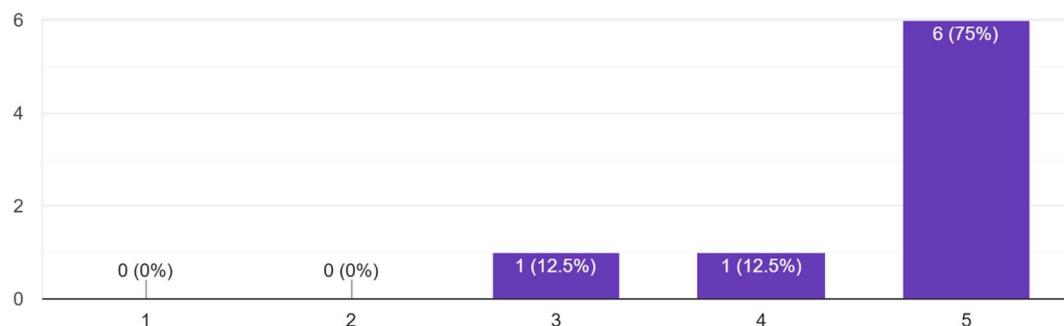


Q5. When I showed you the main graphs (overall waiting time, queue length, vehicles processed, the intersection performance for each TLS, and the "AI...parison graph), how easy were they to understand?
8 responses



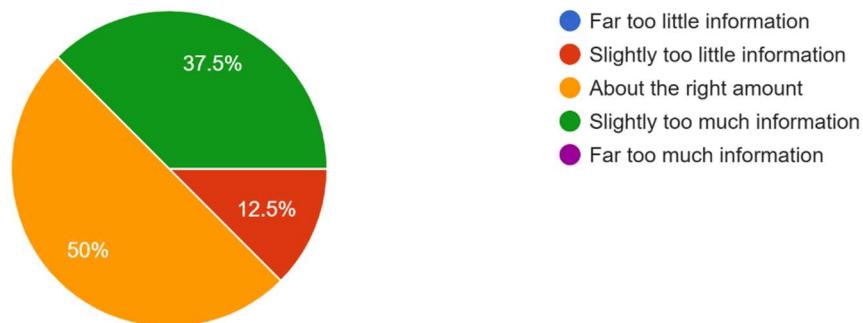
Q6. Based on what I showed you on the dashboard, how easy was it to see whether the Fixed-time controller or the AI controller was performing better overall?

8 responses



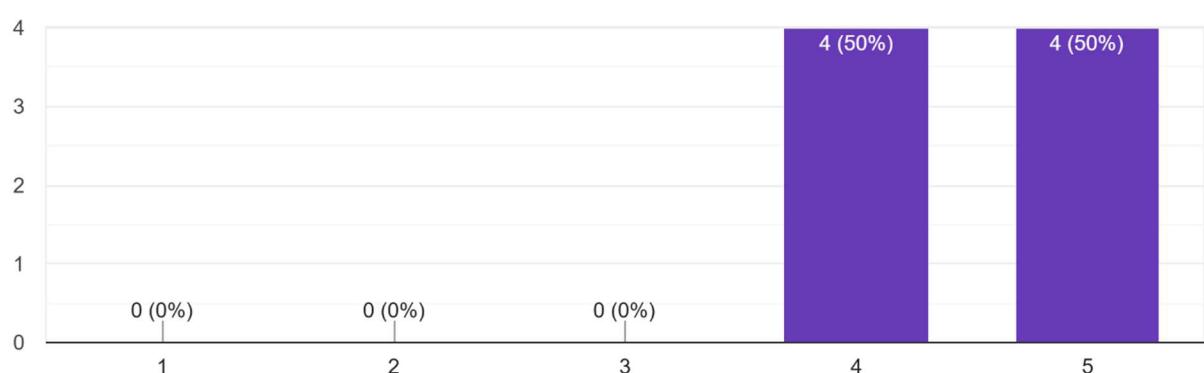
Q7. When I showed you the dashboard, how would you rate the amount of information on the screen?

8 responses



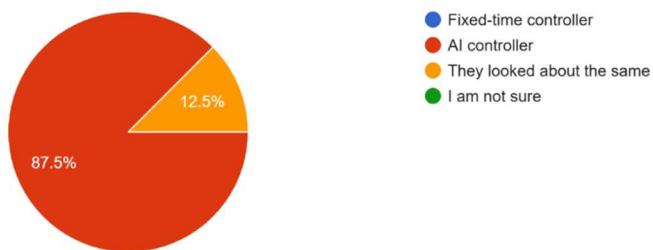
Q8. When I showed you the intersection level performance (for one traffic light, showing its queue and waiting time for Fixed-time and AI), how easy was this part of the dashboard to understand?

8 responses



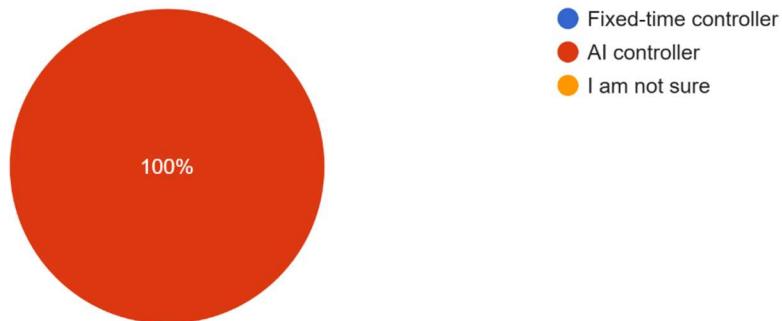
Q9. Based on what I showed you on the dashboard, which controller looked better overall in terms of traffic flow?

8 responses



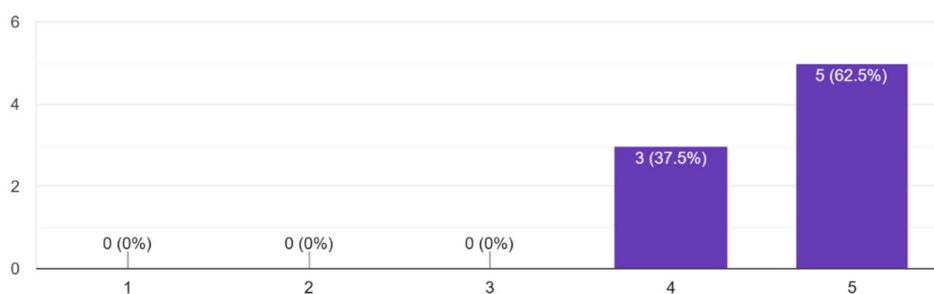
Q10. If this junction was in a real city and you had to choose one option, which controller would you prefer?

8 responses



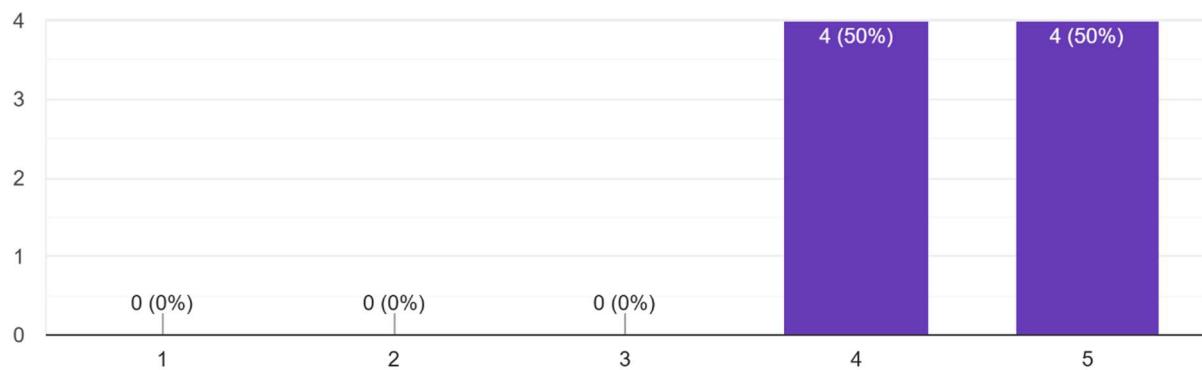
Q11. To what extent do you believe reinforcement learning based traffic signal control can adapt to changing traffic conditions in urban areas compared to fixed-time control?

8 responses



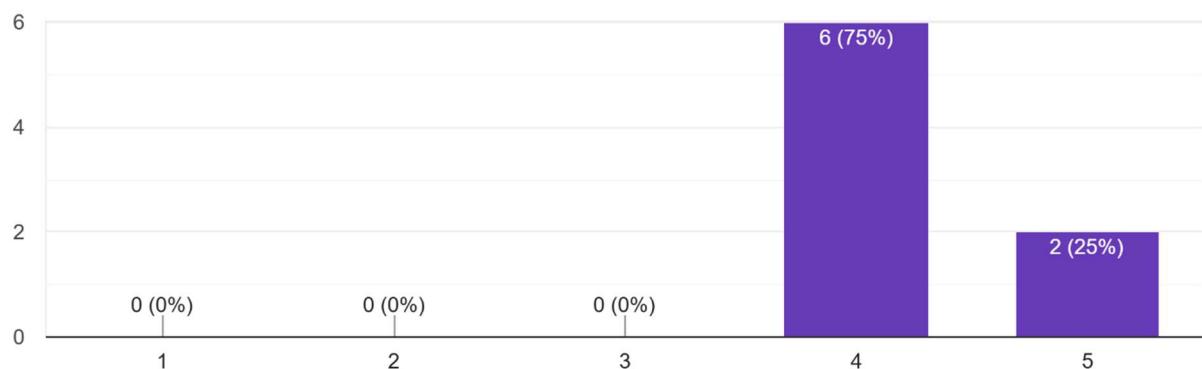
Q12. How clear is the idea of using reinforcement learning (A2C) to select traffic signal actions based on traffic state (queue, waiting time, phase)?

8 responses



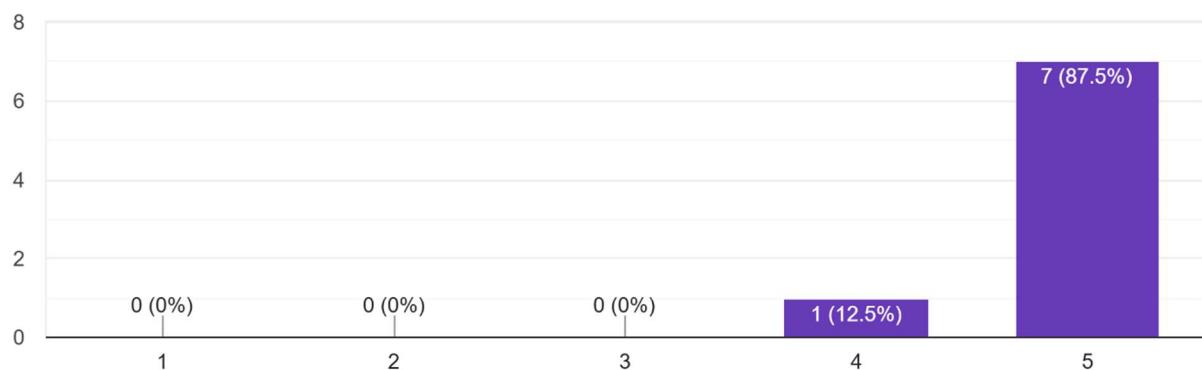
Q.13 How appropriate is a two-action signal control policy (0 = keep phase, 1 = switch to next phase) for adaptive urban traffic control?

8 responses



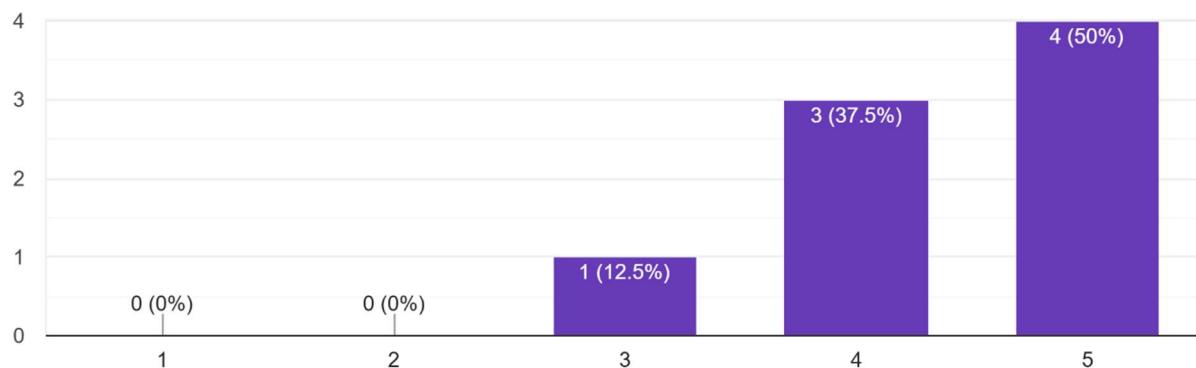
Q14. To what extent do you think edge computing (e.g., roadside units, sensors, cameras, and local processing) can support scalable and responsive AI-based traffic signal control in urban cities?

8 responses



15 How feasible is it to run AI-based signal control at the edge (e.g., roadside unit/local computer), using inputs from sensors/cameras, rather than relying on a central server?

8 responses



Q16. Based on what you saw, is there anything you would change or add to improve this AI traffic control system or its dashboard (for example, the way results are shown, extra graphs, different metrics, or other features)?

7 responses

just make the frontend for user intuitive and clean

I suggest improving the presentation of the 'Queue Length over Time' graph. Currently, the graph can be difficult to interpret due to visual congestion, likely caused by dense or overlapping data points/lines. To enhance readability, I recommend applying smoothing like moving average.

The Accuracy should be versatile enough to comply to any situation.

No.

It would be good to see simulation of real time traffic on the dashboard.

Using more simpler dashboard for analysis and evaluation

The accountability principle

Q.17 In one sentence, what do you think is the main advantage of using reinforcement learning for urban traffic signal control?

8 responses

better mobility which helps in connectivity trade and social aspects of a country

Reductions in average vehicle waiting times and overall congestion

Feedback will improve the ways of understanding the scenario

Better time and fuel management

Majorly for emergencies and traffic clearance

RL for traffic signal control is a boon keeping today's traffic situations of world wide in mind.

Efficient reward based learning is carried out and makes real time results more efficient

Efficient traffic signal controlling, without relying on any human based decision.

Q.18 what is your opinion on using the A2C algorithm for traffic signal optimization?

8 responses

good enough

A2C was chosen wisely to support main goal of this project.

A2C algorithm is working fine, but it would be preferable if the algorithm learns from real time data in step by step method.

Helps in regulating the increasing traffic.

Very useful

A2C would really help in reducing the congestions and they should be incorporated in existing systems.

Very thoughtful approach and a practical one too

The A2C algorithm is a strong and feasible option.

Q.19 what do you think is the biggest challenge of applying reinforcement learning based traffic control in real UK cities?

7 responses

real time mapping and response

Bridging the gap between Simulation and real world Application meaning, RL policies trained in simulations often suffer significant performance degradation when deployed in real-world traffic due to increased unpredictability.

Every scenario would bring a different feedback, making common feedback a possibility for 2 or more different scenarios, hence, hallucinating the model.

The management and efficient implementation in the real world.

Real challenge is integration with the edge devices such as cameras.

Uncertain traffic problems, emergency vehicles will be the biggest challenge here

Heterogeneous environment.

Q20. In one sentence, what is your biggest concern about using RL (A2C) for real world traffic signals (e.g., safety, stability, trust, data quality)?

8 responses

critical cases handling (eg.ambulance)

instability during learning or deployment could lead to unpredictable phase changes

Unstable for high volume data.

AI errors, and quality training for models.

safety, stability

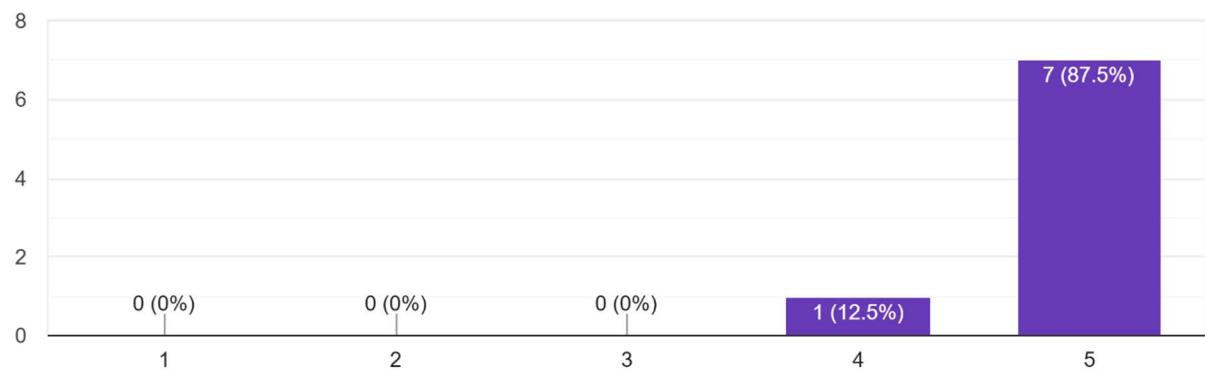
For now it is difficult to determine the reliability of this system.

stability and safety will be the main issue

Stability is main concern but, we can give a try to Ai based traffic signal controller.

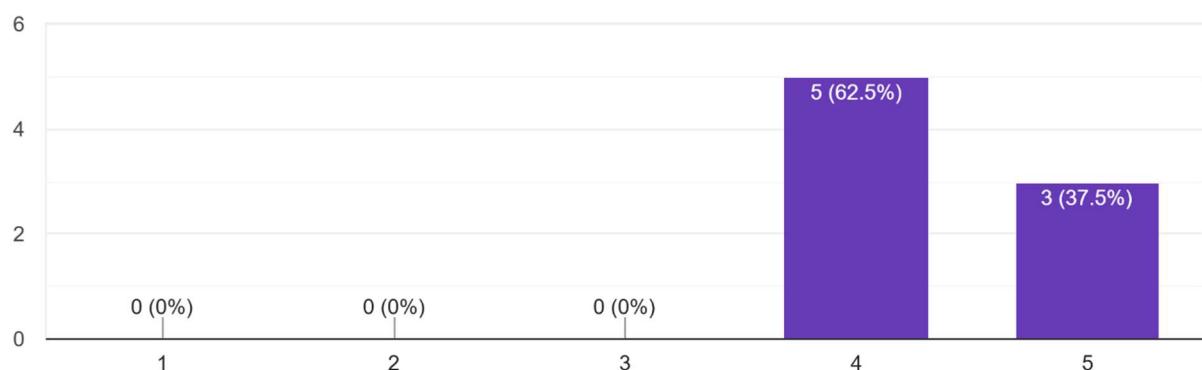
Q21. Based on what you saw, how useful do you think the SUMO traffic simulation is for testing and comparing AI-based traffic signal control before real-world deployment?

8 responses



Q22. To what extent do you think the SUMO simulation results are realistic enough to understand how AI-based traffic signal control might perform in a real city?

8 responses



Q23. which traffic measure (waiting time, queue length, or vehicles processed) did the AI controller improve the most, based on what you saw?

8 responses

wait time

waiting time, queue length

Queue length

All of the above

waiting time

Queue length is a very important metric and the model improved it.

Time will saved more by using this model

most significant and consistent improvement in reducing waiting time.

Q24. Please provide one comment or suggestion regarding AI based urban traffic optimization and do like this project?

8 responses

make it simple yet robust

I suggest using larger, more realistic urban maps for training and evaluation to better test scalability in complex scenarios. For the dashboard, improve chart design and interpretability for clearer insights. Overall, I really like this project—it shows strong potential for AI-based traffic optimization.

AI based traffic optimisation would also bring some of discipline for following traffic rules, as cameras will be tipping off fines for those not following traffic rules.

This can be scaled on a global scale and help save time.

We can implement it in real life

I would like to see this project incorporated in real world existing systems, this project is very impressive and made me very curious.

Yes this project is efficient and represents use of Artificial intelligence which solves the problem of time consuming traffic congestions and make traffic flow much smoother

Appendices E: Project code

1. Initial project setup and environment configuration for the SUMO-based traffic simulation pipeline.

```
# 01_baseline_and_data.ipynb

import os
from pathlib import Path
import subprocess
import pandas as pd
import numpy as np
import xml.etree.ElementTree as ET
SUMO_HOME = os.environ.get("SUMO_HOME")
if SUMO_HOME is None:
    raise EnvironmentError("SUMO_HOME is not set. Please set it in your system or in this notebook.")

print("Using SUMO_HOME:", SUMO_HOME)

PROJECT_DIR = Path.cwd()
print("Project directory:", PROJECT_DIR)

Using SUMO_HOME: C:\Program Files (x86)\Eclipse\Sumo
Project directory: C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter
```

2. Sheffield AADF data loading, cleaning, and peak hour demand calibration code used for SUMO route generation

```

def load_sheffield_data(df_sheff_path: Path, raw_dft_path: Path) -> pd.DataFrame:
    #Load AADF data for Sheffield.
    if df_sheff_path.exists():
        print("Loading cleaned Sheffield dataset:", df_sheff_path)
        df_sheff = pd.read_csv(df_sheff_path)
        return df_sheff

    if not raw_dft_path.exists():
        raise FileNotFoundError(
            "Neither df_sheff.csv nor raw dft_traffic_counts_aadf.csv found.\n"
            "Place at least one of them in the project folder."
        )

    print("Cleaned df_sheff.csv not found. Building it from raw DfT file...")
    df = pd.read_csv(raw_dft_path, low_memory=False)
    df.columns = [c.strip().lower() for c in df.columns]

    SHEFF_CODE = "E08000019"># Sheffield local authority code used by DfT
    keep_cols = [
        "count_point_id", "year", "local_authority_name", "local_authority_code",
        "road_name", "road_type", "road_category",
        "all_motor_vehicles", "latitude", "longitude"
    ] ## Keep only what we actually need for the dissertation + demand calibration
    df = df[[c for c in keep_cols if c in df.columns]].copy()

    df["count_point_id"] = df["count_point_id"].astype(str)
    if "year" in df:
        df["year"] = pd.to_numeric(df["year"], errors="coerce")

    df["all_motor_vehicles"] = pd.to_numeric(df["all_motor_vehicles"], errors="coerce")
    df = df.dropna(subset=["all_motor_vehicles"])

    df_sheff = df[
        (df["local_authority_code"] == SHEFF_CODE) | # Filter down to Sheffield rows (code + name check for safety)
        (df["local_authority_name"].astype(str).str.fullmatch(r"Sheffield", na=False))
    ].copy()

    if "road_type" in df_sheff.columns:
        df_sheff = df_sheff[~df_sheff["road_type"].str.contains("Minor", case=False, na=False)]

```

```

print("Sheffield filtered shape:", df_sheff.shape)
df_sheff.to_csv(df_sheff_path, index=False)
print("Saved cleaned Sheffield file to:", df_sheff_path)
return df_sheff

df_sheff = load_sheffield_data(DF_SHEFF_CSV, RAW_DFT_CSV)

print("CLEANED Sheffield AADF Data (first 10 rows)")
display(df_sheff.head(10))
print("Dataset size:", df_sheff.shape)

# Compute maximum all_motor_vehicles and period
max_vehicles = df_sheff["all_motor_vehicles"].max()
max_row = df_sheff[df_sheff["all_motor_vehicles"] == max_vehicles]

print("\nRow with max all_motor_vehicles:")
print(max_row.to_string(index=False))

peak_percentage = 0.10 # 10% peak hour assumption
period = 3600 / (max_vehicles * peak_percentage)

print(f"\nMax AADF (vehicles/day): {max_vehicles}")
print(f"Assumed peak fraction: {peak_percentage * 100:.0f}% of daily flow")
print(f"Computed PERIOD for randomTrips.py: {period:.3f} seconds between vehicles")

```

3. SUMO network generation from OpenStreetMap data using netconvert (OSM to my_network.net.xml)

```
def build_sumo_network(osm_file: Path, net_file: Path):
    """
    Convert a raw OSM file into a SUMO .net.xml file using
    safe, stable, UK-specific netconvert settings.
    """
    netconvert = Path(SUMO_HOME) / "bin" / "netconvert"

    if not netconvert.exists():
        raise FileNotFoundError(f"netconvert not found at: {netconvert}")

    cmd = [
        str(netconvert),
        "--lefthand",           # UK driving
        "--osm-files", str(osm_file),
        "-o", str(net_file),

        # Good SUMO conversion flags
        "--tls.guess",          # auto detect signals
        "--tls.discard-simple", # remove trivial lights
        "--ramps.guess",
        "--roundabouts.guess",
        "--junctions.join",      # fix broken intersections
        "--geometry.remove",     # remove redundant geometry
        "--no-turnarounds",
        "--keep-edges.min-speed", "1.0",
        "--remove-edges.isolated"
    ]

    print("Running netconvert with command:")
    print(" ".join(cmd))

    result = subprocess.run(cmd, capture_output=True, text=True)

    if result.returncode != 0:
        print("\nSTDERR:\n", result.stderr)
        raise RuntimeError("netconvert failed!\n" + result.stderr)

    print("Network successfully built:", net_file)

if not NETWORK_FILE.exists():
    print("Generating network file from OSM...")
    build_sumo_network(OSM_FILE, NETWORK_FILE)
else:
    print("Network file already exists:", NETWORK_FILE)
```

4. Synthetic traffic route generation using SUMO's randomTrips.py with AADF calibrated vehicle generation period.

```

def generate_random_routes(
    network_file: Path,
    route_file: Path,
    period: float,
    seed: int = 42,
    binomial: int = 10,
    prefix: str = "trip"
):
    """
    Call SUMO's randomTrips.py to generate a realistic route file
    based on the given network and vehicle spawn period.
    """

    tools_dir = Path(SUMO_HOME) / "tools"
    random_trips_py = tools_dir / "randomTrips.py"

    if not random_trips_py.exists():
        raise FileNotFoundError(f"randomTrips.py not found at: {random_trips_py}")

    print("Generating routes with randomTrips.py ...")
    cmd = [
        "python", str(random_trips_py),
        "-n", str(network_file),
        "-r", str(route_file),
        "--period", str(period),
        "--seed", str(seed),
        "--binomial", str(binomial),
        "--prefix", prefix,
        "--validate",
    ]

    print("Command:", " ".join(cmd))
    result = subprocess.run(cmd, capture_output=True, text=True)

    if result.returncode != 0:
        print("randomTrips.py STDERR:\n", result.stderr)
        raise RuntimeError(f"randomTrips.py failed with code {result.returncode}")

    print("Random Trips Generation: SUCCESS")
    print("Output routes file:", route_file)

    # Only regenerate if you want fresh routes
    if not ROUTE_FILE.exists():
        generate_random_routes(NETWORK_FILE, ROUTE_FILE, period)
    else:
        print("Route file already exists:", ROUTE_FILE)

```

5. Automatic generation of SUMO configuration file linking network, routes, and trip information output

```
def create_sumo_config(config_path: Path, net_file: Path, route_file: Path, tripinfo_path: Path):
    """
    Create a simple SUMO configuration file that:
        - loads the given network + route file
        - simulates 0-3600 seconds
        - writes tripinfo XML
    """
    config_xml = f"""<configuration>
        <input>
            <net-file value="{net_file.name}" />
            <route-files value="{route_file.name}" />
        </input>

        <time>
            <begin value="0"/>
            <end value="3600"/>
        </time>

        <report>
            <verbose value="true"/>
            <no-step-log value="true"/>
        </report>

        <output>
            <tripinfo-output value="{tripinfo_path.name}" />
        </output>
    </configuration>
    """
    config_path.write_text(config_xml, encoding="utf-8")
    print("Wrote SUMO config to:", config_path)

create_sumo_config(CONFIG_FILE, NETWORK_FILE, ROUTE_FILE, TRIPINFO_FILE)
```

6. Execution of fixed-time SUMO baseline simulation and generation of trip level performance metrics

```
def run_sumo_baseline(config_file: Path, tripinfo_file: Path, use_gui: bool = False):

    if tripinfo_file.exists():
        print("Deleting old tripinfo file:", tripinfo_file)
        tripinfo_file.unlink()

    sumo_bin = get_sumo_binary(gui=use_gui)
    print("Using SUMO binary:", sumo_bin)

    cmd = [
        sumo_bin,
        "-c", str(config_file),
        "--duration-log.statistics",
        "--log", "log_baseline.txt",
    ]
    print("Running SUMO baseline...")
    print("Command:", " ".join(cmd))

    result = subprocess.run(cmd, capture_output=True, text=True)

    if result.returncode != 0:
        print("\nSUMO STDERR:\n", result.stderr)
        raise RuntimeError(f"SUMO exited with code {result.returncode}")

    print("SUMO baseline run completed.")
    print("Tripinfo generated:", tripinfo_file.exists())
```

7. SUMO fixed-time baseline simulation log and performance statistics (log_baseline.txt)

Loading net-file from 'C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter\my_network²⁶¹.net.xml' ... done (19ms).

Loading route-files incrementally from 'C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter\my_routes.rou.xml'

Loading done.

² Simulation version 1.25.0 started with time: 0.00.

Warning: Teleporting vehicle 'trip28'; waited too long (wrong lane), lane='164073716#2_1', time=309.20.

Warning: Teleporting vehicle 'trip5'; waited too long (jam), lane='7671039164_0_1', time=319.00.

Warning: Teleporting vehicle 'trip35'; waited too long (yield), lane='15833384#1_0', time=321.00.

Warning: Vehicle 'trip5' ends teleporting on edge '324489280#0', time=324.00.

Warning: Vehicle 'trip35' teleports beyond arrival edge '107445428#1', time=328.00.

Warning: Teleporting vehicle 'trip51'; waited too long (jam), lane='7671039164_0_0', time=330.00.

Warning: Vehicle 'trip51' ends teleporting on edge '821520605', time=332.00.

Warning: Vehicle 'trip28' teleports beyond arrival edge '-974103232#0', time=338.00.

Warning: Teleporting vehicle 'trip76'; waited too long (jam), lane='7671039164_0_0', time=635.00.

Warning: Vehicle 'trip76' ends teleporting on edge '821520605', time=637.00.

Warning: Teleporting vehicle 'trip125'; waited too long (jam), lane='7671039164_0_1', time=663.00.

Warning: Vehicle 'trip125' ends teleporting on edge '822595543', time=680.00.

Warning: Teleporting vehicle 'trip167'; waited too long (yield), lane='15833384#1_0', time=846.00.

Warning: Vehicle 'trip167' ends teleporting on edge '107445428#1', time=864.00.

Warning: Teleporting vehicle 'trip114'; waited too long (jam), lane='7671039164_0_0', time=940.00.

Warning: Vehicle 'trip114' ends teleporting on edge '821520605', time=946.00.

Warning: Teleporting vehicle 'trip191'; waited too long (yield), lane='15833384#1_0', time=1151.00.

Warning: Vehicle 'trip191' ends teleporting on edge '107445428#0', time=1156.00.

Warning: Teleporting vehicle 'trip224'; waited too long (wrong lane), lane='164073716#2_1', time=1299.00.

Warning: Teleporting vehicle 'trip154'; waited too long (jam), lane=':7671039164_0_0', time=1301.11.0.

Warning: Vehicle 'trip224' teleports beyond arrival edge '107445426#0', time=1302.00.

Warning: Vehicle 'trip154' teleports beyond arrival edge '-974103232_45', time=1311.00.

Warning: Teleporting vehicle 'trip171'; waited too long (jam), lane=':7671039164_0_0', time=1705.00.

Warning: Teleporting vehicle 'trip1022'; waited too long (yield), lane='15833384#1_0', time=1710.00.

Warning: Teleporting vehicle 'trip366'; waited too long (wrong lane), lane='164073716#2_2', time=1711.00.

Warning: Teleporting vehicle 'trip647'; waited too long (jam), lane=':7671039164_0_1', time=1713.11.0.

Warning: Vehicle 'trip171' teleports beyond arrival edge '107445426#0', time=1715.00.

Warning: Vehicle 'trip366' teleports beyond arrival edge '107445426#1', time=1715.00.

Warning: Vehicle 'trip647' teleports beyond arrival edge '324489280#0', time=1721.00.

Warning: Vehicle 'trip1022' ends teleporting on edge '107445428#2', time=1729.2.0.

Warning: Teleporting vehicle 'trip1671'; waited too long (jam), lane='974103233_0', time=1745.00.

Warning: Vehicle 'trip1671' ends teleporting on edge '40349438#0', time=1769.00.

Warning: Teleporting vehicle 'trip1092'; waited too long (yield), lane='15833384#1_0', time=2016.00.

Warning: Vehicle 'trip1092' ends teleporting on edge '107445428#2', time=2035.00.

Warning: Teleporting vehicle 'trip1016'; waited too long (jam), lane='164073716#0_0', time=2043.00.

Warning: Vehicle 'trip1016' ends teleporting on edge '324489280#0', time=2047.00.

Warning: Teleporting vehicle 'trip1191'; waited too long (jam), lane='822595542_0', time=2065.00.

Warning: Vehicle 'trip1191' ends teleporting on edge '164073716#0', time=2065.00.

Warning: Teleporting vehicle 'trip406'; waited too long (wrong lane), lane='164073716#2_1', time=2257.2.0.

Warning: Vehicle 'trip406' teleports beyond arrival edge '107445426#0', time=2260.00.

Warning: Teleporting vehicle 'trip1098'; waited too long (yield), lane='15833384#1_0', time=2320.00.

Warning: Vehicle 'trip1098' ² teleports beyond arrival edge '107445428#1', time=2338.00.

Warning: Teleporting vehicle 'trip794'; waited too long (jam), lane='7671039164_0_1', time=2520.00.

Warning: Vehicle 'trip794' ends teleporting on edge '324489280#0', time=2522.00.

Warning: Teleporting vehicle 'trip414'; waited too long (wrong lane), lane='164073716#2_1', time=2558.00.

Warning: Vehicle 'trip414' teleports beyond arrival edge '107445426#0', time=2561.00.

Warning: Teleporting vehicle 'trip1159'; waited too long (yield), lane='15833384#1_0', time=2722.00.

Warning: Vehicle 'trip1159' ends teleporting on edge '107445428#2', time=2741.00.

Warning: Teleporting vehicle 'trip442'; waited too long (wrong lane), lane='164073716#2_1', time=2858.00.

Warning: Vehicle 'trip442' teleports beyond arrival edge '315129218#0', time=2860.00.

Warning: Teleporting vehicle 'trip1081'; waited too long (jam), lane='7671039164_0_2', time=2861.00.

Warning: Teleporting vehicle 'trip963'; waited too long (jam), lane='164073716#0_1', time=2863.00.

Warning: Vehicle 'trip963' ends teleporting on edge '324489280#0', time=2866.00.

Warning: Vehicle 'trip1081' ends teleporting on edge '821520605', time=2866.00.

Warning: Teleporting vehicle 'trip844'; waited too long (jam), lane='7671039164_0_1', time=2888.00.

Warning: Vehicle 'trip844' ends teleporting on edge '324489280#0', time=2893.00.

Warning: Teleporting vehicle 'trip1267'; waited too long (yield), lane='15833384#1_0', time=3069.00.

Warning: Vehicle 'trip1267' ends teleporting on edge '107445428#2', time=3088.00.

Warning: Teleporting vehicle 'trip1332' ², waited too long (yield), lane='15833384#1_0', time=3435.00.

Warning: Vehicle 'trip1332' ends teleporting on edge '107445428#2', time=3454.00.

Simulation ended at time: 3600.00.

110 Reason: The final simulation step has been reached.

Performance:

Duration: 5.29s

Real time factor: 681.173

UPS: 87749.101230

Vehicles:

Inserted: 5456 (Loaded: 13628)

Running: 129

Waiting: 8172

Teleports: 30 (Jam: 15, Yield: 11, Wrong Lane: 6)

Statistics (avg of 5327):

RouteLength: 103.58

Speed: 5.33

Duration: 66.43

WaitingTime: 49.38

TimeLoss: 59.03

DepartDelay: 293.38

8. Discovery of traffic light signal (TLS) IDs from the SUMO network using TraCI.

```
if traci.isLoaded():
    traci.close()

sumo_bin = get_sumo_binary(gui=False)
cmd = [sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"]

print("Starting SUMO for TLS discovery...")
traci.start(cmd)

tls_ids = traci.trafficlight.getIDList()
print("\nTotal Traffic Lights Found:", len(tls_ids))
print("TLS IDs:")
for t in tls_ids:
    print(" -", t)

traci.close()
```

9. Mapping of traffic light signals (TLS) to their controlled lanes using TraCI.

```
if traci.isLoaded():
    traci.close()

sumo_bin = get_sumo_binary(gui=False)
cmd = [sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"]

traci.start(cmd)

tls_lane_map = {}

for tls in tls_ids:
    lanes = traci.trafficlight.getControlledLanes(tls)
    # remove duplicates while preserving order
    lanes = list(dict.fromkeys(lanes))
    tls_lane_map[tls] = lanes

print("\nTLS Controlled Lanes mapping:")
for tls, lanes in tls_lane_map.items():
    print(f"{tls}: {lanes}")

traci.close()
```

10. Construction of the traffic light adjacency graph for GNN input using controlled link connectivity

```

from collections import defaultdict

if traci.isLoaded():
    traci.close()

sumo_bin = get_sumo_binary(gui=False)
cmd = [sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"]
traci.start(cmd)

# Start with empty neighbor sets (so isolated TLS still appear)
tls_adj = {tls: set() for tls in tls_ids}

for tls in tls_ids:
    controlled_links = traci.trafficlight.getControlledLinks(tls)
    # controlled_links is a list of lists of (inLane, outLane, via) tuples
    for link_group in controlled_links:
        for (incoming, outgoing, _) in link_group:
            for other_tls in tls_ids:
                if other_tls == tls:
                    continue
                if outgoing in tls_lane_map.get(other_tls, []):
                    tls_adj[tls].add(other_tls)
                    tls_adj[other_tls].add(tls) # undirected

traci.close()

# Fallback chain if we found no edges at all
edge_count = sum(len(neigh) for neigh in tls_adj.values())
if edge_count == 0 and len(tls_ids) > 1:
    print("No adjacency found from network; using simple chain graph.")
    tls_list = list(tls_ids)
    for i in range(len(tls_list) - 1):
        a, b = tls_list[i], tls_list[i+1]
        tls_adj[a].add(b)
        tls_adj[b].add(a)

print("\nTLS adjacency list:")
for tls, neigh in tls_adj.items():
    print(f"{tls}: {sorted(list(neigh))}")

# Build adjacency matrix
num_nodes = len(tls_ids)
tls_index = {tls_id: i for i, tls_id in enumerate(tls_ids)}
adj_matrix = np.zeros((num_nodes, num_nodes), dtype=int)

for tls, neighbors in tls_adj.items():
    i = tls_index[tls]
    for nb in neighbors:
        if nb in tls_index:
            j = tls_index[nb]
            adj_matrix[i, j] = 1
            adj_matrix[j, i] = 1

print("\nAdjacency matrix shape:", adj_matrix.shape)
print("Example row 0:", adj_matrix[0])

```

11. Definition and validation of the global reward function based on total lane waiting time.

```
def compute_global_reward(tls_lane_map: dict) -> float:

    total_wait = 0.0
    for tls, lanes in tls_lane_map.items():
        for lane in lanes:
            total_wait += traci.lane.getWaitingTime(lane)
    return -total_wait / 1000.0 # scaling factor

if traci.isLoaded():
    traci.close()

sumo_bin = get_sumo_binary(gui=False)
cmd = [sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"]
traci.start(cmd)

for _ in range(20):
    traci.simulationStep()

r = compute_global_reward(tls_lane_map)
print("Sample reward after 20 steps:", r)

traci.close()
```

12. Identification of traffic light signals (TLS) and construction of the TLS lane control mapping.

```
# Discover TLS IDs

if traci.isLoaded():
    traci.close()

sumo_bin = get_sumo_binary(gui=False)
cmd = [sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"]
traci.start(cmd)

tls_ids = traci.trafficlight.getIDList()
print("Total TLS:", len(tls_ids))
print(tls_ids)

traci.close()

# | Build tls_Lane_map

if traci.isLoaded():
    traci.close()

traci.start([sumo_bin, "-c", str(CONFIG_FILE), "--step-length", "1"])

tls_lane_map = {}
for tls in tls_ids:
    lanes = traci.trafficlight.getControlledLanes(tls)
    lanes = list(dict.fromkeys(lanes))
    tls_lane_map[tls] = lanes

print("\nTLS → lanes:")
for tls, lanes in tls_lane_map.items():
    print(tls, ":", lanes)

traci.close()
```

13. Simplified global reward function definition based on aggregated lane waiting time.

```
def compute_global_reward(tls_lane_map: dict) -> float:
    total_wait = 0.0
    for tls, lanes in tls_lane_map.items():
        for lane in lanes:
            total_wait += traci.lane.getWaitingTime(lane)
    return -total_wait / 1000.0
```

14. Graph Neural Network based Actor Critic (A2C) model architecture for decentralised traffic signal control

```
class GNNActorCritic(tf.keras.Model):
    def __init__(self, hidden_dim: int, num_actions: int):
        super().__init__()
        self.state_embed = tf.keras.layers.Dense(hidden_dim, activation="relu")
        self.post_gnn = tf.keras.layers.Dense(hidden_dim, activation="relu")
        self.policy_head = tf.keras.layers.Dense(num_actions)
        self.value_head = tf.keras.layers.Dense(1)

    def call(self, inputs, training=False):
        x, adj = inputs           # x: (B, N, F), adj: (B, N, N)

        h = self.state_embed(x)    # (B, N, H)
        h_neigh = tf.matmul(adj, h) # (B, N, H)

        h_cat = tf.concat([h, h_neigh], axis=-1) # (B, N, 2H)
        h_out = self.post_gnn(h_cat)               # (B, N, H)

        policy_logits = self.policy_head(h_out)    # (B, N, A)

        graph_embed = tf.reduce_mean(h_out, axis=1) # (B, H)
        value = self.value_head(graph_embed)       # (B, 1)

        return policy_logits, value

hidden_dim = 64
num_actions = 2    # 0 = keep phase, 1 = switch

gnn_model = GNNActorCritic(hidden_dim, num_actions)

adj_batch_tf = tf.convert_to_tensor(adj_matrix[None, ...], dtype=tf.float32)

# Build model with a dummy input
dummy_states = tf.random.uniform((1, num_nodes, feature_size), dtype=tf.float32)
logits_dummy, value_dummy = gnn_model((dummy_states, adj_batch_tf))

print("Policy logits shape:", logits_dummy.shape) # (1, N, 2)
print("Value shape:", value_dummy.shape)         # (1, 1)
```

15. Greedy action selection and application of GNN A2C traffic signal actions within the SUMO environment.

```

def select_actions_from_logits(policy_logits: tf.Tensor) -> np.ndarray:

    #Greedy action selection: argmax over actions per node.
    # policy_logits: (N, num_actions)

    if isinstance(policy_logits, tf.Tensor):
        policy_logits = policy_logits.numpy()
    return np.argmax(policy_logits, axis=-1) # (N,)

def apply_actions_to_sumo(actions: np.ndarray, tls_ids_list):

    # actions[i] in {0,1} for TLS tls_ids_list[i]
    # 0 = keep phase, 1 = switch to next phase

    for idx, tls in enumerate(tls_ids_list):
        a = int(actions[idx])
        if a == 0:
            continue
        elif a == 1:
            curr_phase = traci.trafficlight.getPhase(tls)
            logic = traci.trafficlight.getCompleteRedYellowGreenDefinition(tls)[0]
            num_phases = len(logic.phases)
            next_phase = (curr_phase + 1) % num_phases
            traci.trafficlight.setPhase(tls, next_phase)

```

16. One step inference and action execution using the trained GNN A2C traffic signal controller.

```

if traci.isLoaded():
    traci.close()

traci.start([get_sumo_binary(False), "-c", str(CONFIG_FILE), "--step-length", "1"])
traci.simulationStep()

# build padded states
all_states = []
for tls in tls_ids:
    s = get_tls_state(tls, tls_lane_map)
    s_padded = s + [0] * (feature_size - len(s))
    all_states.append(s_padded)

states_np = np.array(all_states, dtype=np.float32)[None, ...] # (1, N, F)
states_tf = tf.convert_to_tensor(states_np, dtype=tf.float32)

policy_logits_tf, value_tf = gnn_model((states_tf, adj_batch_tf))
policy_logits = policy_logits_tf[0] # (N, 2)

actions = select_actions_from_logits(policy_logits)
print("Actions:", actions)

apply_actions_to_sumo(actions, tls_ids)

traci.close()
print("One-step action test done.")

```

17. A2C hyperparameter configuration for stable training of the GNN-based traffic signal controller

```

# A2C Hyperparameters |
optimizer = tf.keras.optimizers.Adam(learning_rate=5e-6) # small LR for stability
gamma = 0.99 # discount factor
entropy_coef = 1e-3 # exploration bonus

```

18.training loop for the GNN A2C traffic signal controller using SUMO and TraCI.

```

def train_one_episode(max_steps=900) -> float:

    #Run one training episode using online A2C updates.
    # Returns total episode return (sum of rewards).

    if traci.isLoaded():
        traci.close()

    traci.start([get_sumo_binary(False), "-c", str(CONFIG_FILE), "--step-length", "1"])

    episode_return = 0.0

    for t in range(max_steps):
        # Build current state batch: (1, N, F)
        all_states = []
        for tls in tls_ids:
            s = get_tls_state(tls, tls_lane_map)
            s_padded = s + [0] * (feature_size - len(s))
            all_states.append(s_padded)

        states_np = np.array(all_states, dtype=np.float32)[None, ...]
        states_tf = tf.convert_to_tensor(states_np, dtype=tf.float32)

        with tf.GradientTape() as tape:
            #Forward pass
            policy_logits_tf, value_tf = gnn_model((states_tf, adj_batch_tf), training=True)
            policy_logits = policy_logits_tf[0]      # (N, num_actions)

            # Probabilities
            action_probs = tf.nn.softmax(policy_logits, axis=-1)      # (N, num_actions)

            # Sample actions (stochastic)
            actions_tf = tf.random.categorical(tf.math.log(action_probs), num_samples=1)
            actions_tf = tf.squeeze(actions_tf, axis=-1)                # (N,)
            actions_np = actions_tf.numpy()

            # Apply actions in SUMO
            apply_actions_to_sumo(actions_np, tls_ids)

        #Step SUMO
        traci.simulationStep()

        #Reward
        r = compute_global_reward(tls_lane_map)    # negative, scaled
        episode_return += r
    
```

```

# Next state for TD target
next_states_list = []
for tls in tls_ids:
    s_next = get_tls_state(tls, tls_lane_map)
    s_next_padded = s_next + [0] * (feature_size - len(s_next))
    next_states_list.append(s_next_padded)

next_states_np = np.array(next_states_list, dtype=np.float32)[None, ...]
next_states_tf = tf.convert_to_tensor(next_states_np, dtype=tf.float32)

_, next_value_tf = gnn_model((next_states_tf, adj_batch_tf), training=False)

# v and v_next are scalars (shape (1,1))
v = tf.squeeze(value_tf)
v_next = tf.squeeze(next_value_tf)

#TD target & advantage
v_next_detached = tf.stop_gradient(v_next)
td_target = r + gamma * v_next_detached
advantage = td_target - v

# Losses
log_probs = tf.nn.log_softmax(policy_logits, axis=-1)      # (N, num_actions)

idx = tf.stack([
    tf.range(tf.shape(actions_tf)[0], dtype=tf.int32),
    tf.cast(actions_tf, tf.int32)
], axis=1)                                              # (N, 2)

chosen_log_probs = tf.gather_nd(log_probs, idx)            # (N,)

actor_loss = -tf.reduce_mean(chosen_log_probs * advantage)
critic_loss = tf.reduce_mean(tf.square(td_target - v))

entropy = -tf.reduce_mean(action_probs * tf.math.log(action_probs + 1e-8))

loss = actor_loss + 0.5 * critic_loss - entropy_coef * entropy

# Backprop
grads = tape.gradient(loss, gnn_model.trainable_variables)
grads, _ = tf.clip_by_global_norm(grads, 0.5)
optimizer.apply_gradients(zip(grads, gnn_model.trainable_variables))
if (t + 1) % 300 == 0:
    print(
        f"[Train] Step {t+1}/{max_steps}, "
        f"reward: {r:.4f}, "
        f"loss: {loss.numpy():.4f}"
    )

traci.close()
print("Training episode finished. Total return:", episode_return)
return episode_return

```

19. Execution of multiple training episodes and logging of cumulative returns for the GNN A2C controller.

```

train_returns = []
num_train_episodes = 50

for ep in range(num_train_episodes):
    print(f"\n TRAINING EPISODE {ep+1}/{num_train_episodes} |")
    ep_ret = train_one_episode(max_steps=900)
    train_returns.append(ep_ret)
    print(f"Episode {ep+1} return: {ep_ret:.4f}")

print("\nAll training returns:", train_returns)

```

20. Saving the best performing GNN A2C model weights (gnn_a2c_best.weights.h5) based on highest episode return during training.

```

best_return = -1e9 # very small

for ep in range(10):
    print(f"\n TRAINING EPISODE {ep+1}/10 ")
    ep_ret = train_one_episode(max_steps=900)
    train_returns.append(ep_ret)
    print(f"Episode {ep+1} return: {ep_ret:.4f}")

    if ep_ret > best_return:
        best_return = ep_ret
        gnn_model.save_weights("gnn_a2c_best.weights.h5")
        print(f"--> New best model saved with return {best_return:.4f}")

```

21. Streamlit dashboard setup and user controlled simulation configuration for fixed-time and GNN A2C traffic signal evaluation.

```

import streamlit as st
import pandas as pd
import plotly.express as px

from evaluation_and_plot import run_controller_with_metrics

# StreamLit page config

st.set_page_config(
    page_title="Traffic Optimization Dashboard",
    layout="wide",
)

st.title("AI-Powered Urban Traffic Optimization - Evaluation Dashboard")

st.markdown(
)

#run simulations and cache results

@st.cache_data(show_spinner=True)
def run_episode_cached(mode: str, max_steps: int):
    global_df, tls_df = run_controller_with_metrics(mode=mode, max_steps=max_steps)
    return global_df, tls_df


# Sidebar controls

st.sidebar.header("Simulation Settings")

max_steps = st.sidebar.slider(
    "Simulation steps", min_value=600, max_value=3600, step=300, value=1800
)

run_fixed = st.sidebar.checkbox("Run Fixed-time baseline", value=True)
run_ai = st.sidebar.checkbox("Run AI (GNN-A2C)", value=True)

run_button = st.sidebar.button("Run simulations")

st.sidebar.markdown("----")
st.sidebar.markdown("Longer episodes give smoother trends but take more time.")

```

22. This code implements the episode level comparison logic used in the dashboard to quantify and visualise performance differences between fixed-time and GNN A2C traffic signal controllers.

```

#Fixed vs AI comparison
st.subheader("Fixed vs AI - Episode-level Comparison")

summary_rows = []
for label, data in results.items():
    gdf = data["global"]
    mode_label = "Fixed-time" if label.lower() == "fixed" else "AI (GNN-A2C)"

    total_reward = gdf["reward"].sum()
    total_wait = gdf["total_wait_time"].sum()
    mean_avg_wait = gdf["avg_wait_time"].mean()
    max_processed = gdf["vehicles_processed_cum"].max()

    summary_rows.append(
        {
            "mode_label": mode_label,
            "total_reward": total_reward,
            "total_wait_time": total_wait,
            "mean_avg_wait_time": mean_avg_wait,
            "vehicles_processed_total": max_processed,
        }
    )

summary_df = pd.DataFrame(summary_rows)

col_bar1, col_bar2 = st.columns(2)

with col_bar1:
    st.markdown("**Total waiting time (lower is better)**")
    fig_wait_bar = px.bar(
        summary_df,
        x="mode_label",
        y="total_wait_time",
        labels={"mode_label": "Controller", "total_wait_time": "Total waiting time (s)"})
    st.plotly_chart(fig_wait_bar, use_container_width=True)

with col_bar2:
    st.markdown("**Total vehicles processed (higher is better)**")
    fig_proc_bar = px.bar(
        summary_df,
        x="mode_label",
        y="vehicles_processed_total",
        labels={
            "mode_label": "Controller",
            "vehicles_processed_total": "Vehicles processed (episode)"})
    st.plotly_chart(fig_proc_bar, use_container_width=True)

```

```

if {"Fixed-time", "AI (GNN-A2C)"}.issubset(set(summary_df["mode_label"])):
    fixed_row = summary_df[summary_df["mode_label"] == "Fixed-time"].iloc[0]
    ai_row = summary_df[summary_df["mode_label"] == "AI (GNN-A2C)".iloc[0]

    improvement_wait = (
        (fixed_row["total_wait_time"] - ai_row["total_wait_time"])
        / fixed_row["total_wait_time"]
        * 100.0
    )

st.markdown("### Overall Improvement of AI over Fixed-time")

fig_improve = px.bar(
    x=[Waiting time reduction (%)],
    y=[improvement_wait],
    labels={"x": "", "y": "Improvement (%)"},  

    text=[f'{improvement_wait:.2f}%'],
)
fig_improve.update_traces(textposition="outside")
st.plotly_chart(fig_improve, use_container_width=True)

st.write(
    f"Estimated **waiting time reduction** of AI vs Fixed-time: "
    f"**{improvement_wait:.2f}%**"
)
)

```

23. Sample of the generated trips file (XML) showing departure time and OD definitions.

This XML file does not appear to have any style information associated with it. The document tree is shown below.

```

<!-- generated on 2025-11-29 12:19:52.745636 by Eclipse SUMO randomTrips.py v1_25_0+0000-ac6c5a6752d
<configuration>
  <net-file value="C:\Users\lmanda\OneDrive\Documents\AI Traffic - Jupyter\my_network.net.xml"/>
  <route-file value="C:\Users\lmanda\OneDrive\Documents\AI Traffic - Jupyter\my_routes.rou.xml"/>
  <prefix value="trip"/>
  <period value="0.26184293787776297"/>
  <binomial value="10"/>
</configuration>

<routes xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:noNamespaceSchemaLocation="http://sumo.dlr.de/xsd/routes_file.xsd">
  <trip id="trip0" depart="0.00" from="15833384#0" to="107445428#2"/>
  <trip id="trip1" depart="0.00" from="315129223#1" to="808595756#2"/>
  <trip id="trip2" depart="0.00" from="374103323#1" to="974103232#0"/>
  <trip id="trip3" depart="1.00" from="129142871" to="158052929#1"/>
  <trip id="trip4" depart="1.00" from="315129223#4" to="974103323#1"/>
  <trip id="trip5" depart="1.00" from="822595542" to="821520601#1"/>
  <trip id="trip6" depart="2.00" from="15833384#1" to="974103232#0"/>
  <trip id="trip7" depart="2.00" from="315129223#2" to="315129223#3"/>
  <trip id="trip8" depart="2.00" from="197445426#0" to="974103323#1"/>
  <trip id="trip9" depart="2.00" from="822595543" to="821520601#2"/>
  <trip id="trip10" depart="2.00" from="164073716#2" to="107445426#1"/>
  <trip id="trip11" depart="3.00" from="164073716#1" to="315129218#1"/>
  <trip id="trip12" depart="3.00" from="164073716#2" to="821520605#0"/>
  <trip id="trip13" depart="4.00" from="315129223#4" to="974103233#3"/>
  <trip id="trip14" depart="4.00" from="315129223#4" to="806859756#1"/>
  <trip id="trip15" depart="4.00" from="315129223#2" to="40349438#1"/>
  <trip id="trip16" depart="5.00" from="821520605" to="233051913#1"/>
  <trip id="trip17" depart="5.00" from="315129223#3" to="15833384#0"/>
  <trip id="trip18" depart="5.00" from="315129218#0" to="223051907#1"/>
  <trip id="trip19" depart="5.00" from="315129223#3" to="315129223#3"/>
  <trip id="trip20" depart="5.00" from="315129223#1" to="315129223#4#1"/>
  <trip id="trip21" depart="5.00" from="822595542" to="107445426#0"/>
  <trip id="trip22" depart="5.00" from="158052929#0" to="128142871#1"/>
  <trip id="trip23" depart="6.00" from="315129223#1" to="315129223#1#1"/>
  <trip id="trip24" depart="6.00" from="315129223#4" to="315129223#4#1"/>
  <trip id="trip25" depart="6.00" from="15833384#1" to="40349438#1"/>
  <trip id="trip26" depart="7.00" from="128142871" to="158052929#0#1"/>
  <trip id="trip27" depart="7.00" from="164073716#2" to="974103233#3"/>
  <trip id="trip28" depart="7.00" from="164073716#2" to="974103232#0#1"/>
  <trip id="trip29" depart="7.00" from="164073716#0" to="223051907#1"/>
  <trip id="trip30" depart="8.00" from="315129223#0" to="15833384#1#1"/>
  <trip id="trip31" depart="8.00" from="324489280#2" to="821520601#1#1"/>
  <trip id="trip32" depart="8.00" from="164073716#2" to="324489280#1#1"/>
  <trip id="trip33" depart="9.00" from="107445428#0" to="822595541#1#1"/>
  <trip id="trip34" depart="9.00" from="822595543" to="821520601#0#1"/>

```

```

<trip id="trip13581" depart="3589.00" from="315129223#4" to="-15833384#1"/>
<trip id="trip13582" depart="3589.00" from="164073716#0" to="821520601#1"/>
<trip id="trip13583" depart="3589.00" from="315129223#1" to="107445426#0"/>
<trip id="trip13584" depart="3589.00" from="164073716#1" to="821520601#0"/>
<trip id="trip13585" depart="3589.00" from="164073716#1" to="164073716#2"/>
<trip id="trip13586" depart="3589.00" from="315129223#0" to="800859756#0"/>
<trip id="trip13587" depart="3590.00" from="223051907" to="-974103232#1"/>
<trip id="trip13588" depart="3590.00" from="315129223#3" to="315129223#4"/>
<trip id="trip13589" depart="3591.00" from="822595542" to="821520605"/>
<trip id="trip13590" depart="3591.00" from="315129223#0" to="40349438#0"/>
<trip id="trip13591" depart="3591.00" from="315129218#1" to="-974103232#0"/>
<trip id="trip13592" depart="3592.00" from="822595542" to="164073716#0"/>
<trip id="trip13593" depart="3592.00" from="822595543" to="821520601#2"/>
<trip id="trip13594" depart="3592.00" from="164073716#2" to="223051907"/>
<trip id="trip13595" depart="3592.00" from="107445426#1" to="-15833384#1"/>
<trip id="trip13596" depart="3593.00" from="800859756#0" to="800859756#2"/>
<trip id="trip13597" depart="3593.00" from="800859756#2" to="800859756#2"/>
<trip id="trip13598" depart="3593.00" from="164073716#1" to="-974103233"/>
<trip id="trip13599" depart="3593.00" from="324489280#1" to="324489280#1"/>
<trip id="trip13600" depart="3593.00" from="1193988979" to="40349438#1"/>
<trip id="trip13601" depart="3594.00" from="223051907" to="-974103233"/>
<trip id="trip13602" depart="3594.00" from="164073716#2" to="164073716#2"/>
<trip id="trip13603" depart="3594.00" from="315129218#1" to="223051907"/>
<trip id="trip13604" depart="3595.00" from="164073716#0" to="223051907"/>
<trip id="trip13605" depart="3595.00" from="315129223#2" to="-974103232#1"/>
<trip id="trip13606" depart="3595.00" from="315129223#1" to="-974103233"/>
<trip id="trip13607" depart="3595.00" from="800859756#2" to="800859756#2"/>
<trip id="trip13608" depart="3595.00" from="15833384#1" to="1193988979"/>
<trip id="trip13609" depart="3596.00" from="1193988979" to="1193988979"/>
<trip id="trip13610" depart="3596.00" from="1193988979" to="107445428#1"/>
<trip id="trip13611" depart="3596.00" from="164073716#1" to="324489280#3"/>
<trip id="trip13612" depart="3596.00" from="821520605" to="223051913#0"/>
<trip id="trip13613" depart="3596.00" from="315129223#1" to="107445426#1"/>
<trip id="trip13614" depart="3597.00" from="164073716#0" to="821520601#1"/>
<trip id="trip13615" depart="3597.00" from="315129223#0" to="315129223#3"/>
<trip id="trip13616" depart="3597.00" from="1193988979" to="40349438#1"/>
<trip id="trip13617" depart="3597.00" from="315129223#4" to="40349438#0"/>
<trip id="trip13618" depart="3598.00" from="315129218#1" to="-15833384#0"/>
<trip id="trip13619" depart="3598.00" from="822595542" to="324489280#2"/>
<trip id="trip13620" depart="3598.00" from="324489280#3" to="821520601#1"/>
<trip id="trip13621" depart="3598.00" from="974103233" to="107445428#0"/>
<trip id="trip13622" depart="3598.00" from="315129223#3" to="800859756#2"/>
<trip id="trip13623" depart="3598.00" from="164073716#2" to="324489280#2"/>
<trip id="trip13624" depart="3598.00" from="164073716#0" to="223051913#0"/>
<trip id="trip13625" depart="3599.00" from="315129223#0" to="40349438#1"/>
<trip id="trip13626" depart="3599.00" from="315129223#3" to="-15833384#1"/>
<trip id="trip13627" depart="3599.00" from="164073716#0" to="164073716#1"/>
</routes>
```

24. Launching the Streamlit evaluation dashboard locally from the command line (directory setup and run command).

```
C:\WINDOWS\system32\cmd. > + 
Microsoft Windows [Version 10.0.26200.7462]
(c) Microsoft Corporation. All rights reserved.

(base) C:\Users\manda>cd C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter
(base) C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter>streamlit run dash_app.py
```

```
Microsoft Windows [Version 10.0.26200.7462]
(c) Microsoft Corporation. All rights reserved.

(base) C:\Users\manda>cd C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter
(base) C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter>streamlit run dash_app.py

You can now view your Streamlit app in your browser.

Local URL: http://localhost:8501
Network URL: http://10.132.76.18:8501

2026-01-05 09:16:15.327496: I tensorflow/core/util/port.cc:153] oneDNN custom operations are on. You may see slightly different numerical results due to floating-point round-off errors from different computation orders. To turn them off, set the environment variable 'TF_ENABLE_ONEDNN_OPTS=0'.
2026-01-05 09:16:20.102157: I tensorflow/core/util/port.cc:153] oneDNN custom operations are on. You may see slightly different numerical results due to floating-point round-off errors from different computation orders. To turn them off, set the environment variable 'TF_ENABLE_ONEDNN_OPTS=0'.
Working directory: C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter
Network exists? True
Routes exist? True
Config exists? True
Weights exist? True
SUMO_HOME: C:\Program Files (x86)\Eclipse\Sumo\
***Starting server on port 54592 ***
Loading net-file from 'C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter\my_network.net.xml' ... done (47ms).
Loading route-files incrementally from 'C:\Users\manda\OneDrive\Documents\AI Traffic - Jupyter\my_routes.rou.xml'
Loading done.
Simulation version 1.25.0 started with time: 0.00.
Total TLS: 7
```

Appendix F: Artificial Intelligence Transparency Statement (AITS 2)

⁴⁸ I used AI at AITS 2 (AI for Shaping) of the Artificial Intelligence Transparency Scale (AITS). I used ChatGPT as a support tool to generate section ideas, shape chapter structure.⁴⁸ I did not use AI to generate the final dissertation content or to produce original research contributions. All coding, simulation setup, experiments, result interpretation, and final writing decisions were completed by me.

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