

## **DBMS & SQL NOTES**

**Database:** A database is a collection of related data which represents some aspect of the real world. A database system is designed to be built and populated with data for a certain task.

**Database Management System (DBMS)** is a software for storing and retrieving users' data while considering appropriate security measures. It consists of a group of programs which manipulate the database. The DBMS accepts the request for data from an application and instructs the operating system to provide the specific data. In large systems, a DBMS helps users and other third-party software to store and retrieve data.

Database management systems were developed to handle the following difficulties of typical File-processing systems supported by conventional operating systems.

1. Data redundancy and inconsistency
2. Difficulty in accessing data
3. Data isolation – multiple files and formats
4. Integrity problems
5. Atomicity of updates
6. Concurrent access by multiple users
7. Security problems

### **ER diagram:**

- ER diagram or **Entity Relationship diagram** is a conceptual model that gives the graphical representation of the logical structure of the database.
- It shows all the constraints and relationships that exist among the different components.
- An ER diagram is mainly composed of following three components- Entity Sets, Attributes and Relationship Set.



- Roll\_no is a primary key that can identify each entity uniquely.

- Thus, by using a student's roll number, a student can be identified uniquely.

### **Entity Set:**

An entity set is a set of the same type of entities.

- **Strong Entity Set:**

- A strong entity set is an entity set that contains sufficient attributes to uniquely identify all its entities.
- In other words, a primary key exists for a strong entity set.
- Primary key of a strong entity set is represented by underlining it.

- **Weak Entity Set:**

- A weak entity set is an entity set that does not contain sufficient attributes to uniquely identify its entities.
- In other words, a primary key does not exist for a weak entity set.
- However, it contains a partial key called a discriminator.
- Discriminator can identify a group of entities from the entity set.
- Discriminator is represented by underlining with a dashed line.

### **Relationship:**

A relationship is defined as an association among several entities.

- **Unary Relationship Set** - Unary relationship set is a relationship set where only one entity set participates in a relationship set.
- **Binary Relationship Set** - Binary relationship set is a relationship set where two entity sets participate in a relationship set.
- **Ternary Relationship Set** - Ternary relationship set is a relationship set where three entity sets participate in a relationship set.
- **N-ary Relationship Set** - N-ary relationship set is a relationship set where 'n' entity sets participate in a relationship set.

### **Cardinality Constraint:**

Cardinality constraint defines the maximum number of relationship instances in which an entity can participate.

- **One-to-One Cardinality** - An entity in set A can be associated with at most one entity in set B. An entity in set B can be associated with at most one entity in set A.
- **One-to-Many Cardinality** - An entity in set A can be associated with any number (zero or

more) of entities in set B. An entity in set B can be associated with at most one entity in set A.

- **Many-to-One Cardinality** - An entity in set A can be associated with at most one entity in set B. An entity in set B can be associated with any number of entities in set A. •

**Many-to-Many Cardinality** - An entity in set A can be associated with any number (zero or more) of entities in set B. An entity in set B can be associated with any number (zero or more) of entities in set A.

### Attributes:

Attributes are the descriptive properties which are owned by each entity of an Entity Set.

#### **Types of Attributes:**

- **Simple Attributes** - Simple attributes are those attributes which cannot be divided further. Ex. Age
- **Composite Attributes** - Composite attributes are those attributes which are composed of many other simple attributes. Ex. Name, Address
- **Multi Valued Attributes** - Multi valued attributes are those attributes which can take more than one value for a given entity from an entity set. Ex. Mobile No, Email ID •
- **Derived Attributes** - Derived attributes are those attributes which can be derived from other attribute(s). Ex. Age can be derived from DOB.
- **Key Attributes** - Key attributes are those attributes which can identify an entity uniquely in an entity set. Ex. Roll No.

### Constraints:

Relational constraints are the restrictions imposed on the database contents and operations. They ensure the correctness of data in the database.

- **Domain Constraint** - Domain constraint defines the domain or set of values for an attribute. It specifies that the value taken by the attribute must be the atomic value from its domain.
- **Tuple Uniqueness Constraint** - Tuple Uniqueness constraint specifies that all the tuples must be necessarily unique in any relation.
- **Key Constraint** - All the values of the primary key must be unique. The value of the primary key must not be null.
- **Entity Integrity Constraint** - Entity integrity constraint specifies that no attribute of primary key must contain a null value in any relation.
- **Referential Integrity Constraint** - It specifies that all the values taken by the foreign key

must either be available in the relation of the primary key or be null.

### **Closure of an Attribute Set:**

The set of all those attributes which can be functionally determined from an attribute set is called a closure of that attribute set.

### **Keys:**

A key is a set of attributes that can identify each tuple uniquely in the given relation.

### **Types of Keys:**

- **Super Key** - A superkey is a set of attributes that can identify each tuple uniquely in the given relation. A super key may consist of any number of attributes.
- **Candidate Key** - A set of minimal attribute(s) that can identify each tuple uniquely in the given relation is called a candidate key.
- **Primary Key** - A primary key is a candidate key that the database designer selects while designing the database. Primary Keys are unique and NOT NULL.



- **Alternate Key** - Candidate keys that are left unimplemented or unused after implementing the primary key are called as alternate keys.
- **Foreign Key** - An attribute 'X' is called as a foreign key to some other attribute 'Y' when its values are dependent on the values of attribute 'Y'. The relation in which attribute 'Y' is present is called as the referenced relation. The relation in which attribute 'X' is present is called as the referencing relation.
- **Composite Key** - A primary key composed of multiple attributes and not just a single attribute is called a composite key.
- **Unique Key** - It is unique for all the records of the table. Once assigned, its value cannot be changed i.e. it is non-updatable. It may have a NULL value.

### **Functional Dependency:**

In any relation, a functional dependency  $\alpha \rightarrow \beta$  holds if- Two tuples having same value

of attribute  $\alpha$  also have same value for attribute  $\beta$ .

### Types of Functional Dependency:

- **Trivial Functional Dependencies –**

- A functional dependency  $X \rightarrow Y$  is said to be trivial if and only if  $Y \subseteq X$ .
- Thus, if RHS of a functional dependency is a subset of LHS, then it is called a trivial functional dependency.

- **Non-Trivial Functional Dependencies –**

- A functional dependency  $X \rightarrow Y$  is said to be non-trivial if and only if  $Y \not\subseteq X$ .
- Thus, if there exists at least one attribute in the RHS of a functional dependency that is not a part of LHS, then it is called a non-trivial functional dependency.

### Decomposition of a Relation:

The process of breaking up or dividing a single relation into two or more sub relations is called the decomposition of a relation.

### Properties of Decomposition:

- **Lossless Decomposition** - Lossless decomposition ensures

- No information is lost from the original relation during decomposition.
- When the sub relations are joined back, the same relation is obtained that was decomposed.

- **Dependency Preservation** - Dependency preservation ensures

- None of the functional dependencies that hold on the original relation are lost.
- The sub relations still hold or satisfy the functional dependencies of the original relation.

### Types of Decomposition:

- **Lossless Join Decomposition:**

- Consider there is a relation R which is decomposed into sub relations R1, R2, ..., Rn.
- This decomposition is called lossless join decomposition when the join of the sub relations results in the same relation R that was decomposed.
- For lossless join decomposition, we always have-  $R1 \bowtie R2 \bowtie R3 \dots \bowtie Rn = R$  where  $\bowtie$  is a natural join operator

- **Lossy Join Decomposition:**

- Consider there is a relation R which is decomposed into sub relations R1, R2, ..., Rn.
- This decomposition is called lossy join decomposition when the join of the sub

relations does not result in the same relation R that was decomposed.

- For lossy join decomposition, we always have-  $R1 \bowtie R2 \bowtie R3 \dots \bowtie Rn \supset R$   
where  $\bowtie$  is a natural join operator

### Normalization:

In DBMS, database normalization is a process of making the database consistent by-

- Reducing the redundancies
- Ensuring the integrity of data through lossless decomposition

### Normal Forms:

- **First Normal Form (1NF)** - A given relation is called in First Normal Form (1NF) if each cell of the table contains only an atomic value i.e. if the attribute of every tuple is either single valued or a null value.
- **Second Normal Form (2NF)** - A given relation is called in Second Normal Form (2NF) if and only if
  - Relation already exists in 1NF.
  - No partial dependency exists in the relation.  
 $A \rightarrow B$  is called a **partial dependency** if and only if- A is a subset of some candidate key and B is a non-prime attribute.
- **Third Normal Form (3NF)** - A given relation is called in Third Normal Form (3NF) if and only if
  - Relation already exists in 2NF.
  - No transitive dependency exists for non-prime attributes.  
 $A \rightarrow B$  is called a **transitive dependency** if and only if- A is not a super key and B is a non-prime attribute.
- **Boyce-Codd Normal Form** - A given relation is called in BCNF if and only if
  - Relation already exists in 3NF.
  - For each non-trivial functional dependency ' $A \rightarrow B$ ', A is a super key of the relation.



### Transaction:

Transaction is a single logical unit of work formed by a set of operations.

### Operations in Transaction:

- **Read Operation - Read(A)** instruction will read the value of 'A' from the database and will store it in the buffer in main memory.
- **Write Operation – Write(A)** will write the updated value of 'A' from the buffer to the database.

### Transaction States:



- **Active State –**
  - This is the first state in the life cycle of a transaction.
  - A transaction is called in an active state as long as its instructions are getting executed.
  - All the changes made by the transaction now are stored in the buffer in main memory.
- **Partially Committed State –**
  - After the last instruction of the transaction has been executed, it enters into a partially committed state.
  - After entering this state, the transaction is considered to be partially committed.
  - It is not considered fully committed because all the changes made by the transaction are still stored in the buffer in main memory.
- **Committed State –**
  - After all the changes made by the transaction have been successfully stored into the database, it enters into a committed state.
  - Now, the transaction is considered to be fully committed.
- **Failed State –**
  - When a transaction is getting executed in the active state or partially committed state and some failure occurs due to which it becomes impossible to continue the execution, it enters into a failed state.

- **Aborted State –**

- After the transaction has failed and entered into a failed state, all the changes made by it have to be undone.
- To undo the changes made by the transaction, it becomes necessary to roll back the transaction.
- After the transaction has rolled back completely, it enters into an aborted state.

- **Terminated State –**

- This is the last state in the life cycle of a transaction.
- After entering the committed state or aborted state, the transaction finally enters into a terminated state where its life cycle finally comes to an end.

### **ACID Properties:**

To ensure the consistency of the database, certain properties are followed by all the transactions occurring in the system. These properties are called as **ACID Properties** of a transaction.

- **Atomicity –**

- This property ensures that either the transaction occurs completely or it does not occur at all.
- In other words, it ensures that no transaction occurs partially.

- **Consistency –**

- This property ensures that integrity constraints are maintained.
- In other words, it ensures that the database remains consistent before and after the transaction.

- **Isolation –**

- This property ensures that multiple transactions can occur simultaneously without causing any inconsistency.
- The resultant state of the system after executing all the transactions is the same as the state that would be achieved if the transactions were executed serially one after the other.

- **Durability –**

- This property ensures that all the changes made by a transaction after its successful execution are written successfully to the disk.
- It also ensures that these changes exist permanently and are never lost even if there occurs a failure of any kind.

### **Schedules:**



The order in which the operations of multiple transactions appear for execution is called as a schedule.



● **Serial Schedules –**

- All the transactions execute serially one after the other.
- When one transaction executes, no other transaction is allowed to execute.
- Serial schedules are always- Consistent, Recoverable, Cascadeless and Strict. ●

**Non-Serial Schedules –**

- Multiple transactions execute concurrently.
- Operations of all the transactions are interleaved or mixed with each other.
- Non-serial schedules are **not** always- Consistent, Recoverable, Cascadeless and Strict.

**Serializability –**

- Some non-serial schedules may lead to inconsistency of the database. ● Serializability is a concept that helps to identify which non-serial schedules are correct and will maintain the consistency of the database.

● **Serializable Schedules –**

- If a given non-serial schedule of 'n' transactions is equivalent to some serial schedule of 'n' transactions, then it is called as a serializable schedule.
- Serializable schedules are always- Consistent, Recoverable, Cascadeless and Strict.

### Types of Serializability –

- **Conflict Serializability** - If a given non-serial schedule can be converted into a serial schedule by swapping its non-conflicting operations, then it is called a conflict serializable schedule.
- **View Serializability** - If a given schedule is found to be viewed as equivalent to some serial schedule, then it is called a view serializable schedule.

### Non-Serializable Schedules –

- A non-serial schedule which is not serializable is called a non-serializable schedule. ● A non-serializable schedule is not guaranteed to produce the same effect as produced by some serial schedule on any consistent database.
- Non-serializable schedules- may or may not be consistent, may or may not be recoverable.
- **Irrecoverable Schedules –**  
If in a schedule,
  - A transaction performs a dirty read operation from an uncommitted transaction
  - And commits before the transaction from which it has read the value then such a schedule is known as an Irrecoverable Schedule.
- **Recoverable Schedules –**  
If in a schedule,
  - A transaction performs a dirty read operation from an uncommitted transaction
  - And its commit operation is delayed till the uncommitted transaction either commits or roll backsthen such a schedule is known as a Recoverable Schedule.

### Types of Recoverable Schedules –

- **Cascading Schedule** - If in a schedule, failure of one transaction causes several other dependent transactions to rollback or abort, then such a schedule is called as a Cascading Schedule or Cascading Rollback or Cascading Abort.
- **Cascadeless Schedule** - If in a schedule, a transaction is not allowed to read a data item until the last transaction that has written it is committed or aborted, then such a schedule is called as a Cascadeless Schedule.
- **Strict Schedule** - If in a schedule, a transaction is neither allowed to read nor write a data item until the last transaction that has written it is committed or aborted, then such a schedule is called as a Strict Schedule.

### Relational Algebra:

Relational Algebra is a procedural query language which takes a relation as an input and generates a relation as an output.

Basic Operator	Semantic
$\sigma$ (Selection)	Select rows based on given condition
$\pi$ (Projection)	Project some columns
$\times$ (Cross Product)	Cross product of relations, returns <b><math>m \times n</math></b> rows where m and n are number of rows in R1 and R2 respectively.
$\cup$ (Union)	Return those tuples which are either in R1 or in R2. Max no. of rows returned = <b><math>m+n</math></b> and Min no. of rows returned = <b><math>\max(m,n)</math></b>
$-$ (Minus)	$R1-R2$ returns those tuples which are in R1 but not in R2. Max no. of rows returned = <b><math>m</math></b> and Min no. of rows returned = <b><math>m-n</math></b>
$\rho$ (Rename)	Renaming a relation to another relation.

Extended Operator	Semantic
$\cap$ (Intersection)	Returns those tuples which are in both R1 and R2. Max no. of rows returned = $\min(m,n)$ and Min no. of rows returned = 0
$\bowtie_c$ (Conditional Join)	Selection from two or more tables based on some condition (Cross product followed by selection)
$\bowtie$ (Equi Join)	It is a special case of conditional join when only equality conditions are applied between attributes.
$\bowtie$ (Natural Join)	In natural join, equality conditions on common attributes hold and duplicate attributes are removed by default. <b>Note:</b> Natural Join is equivalent to cross product if two relations have no attribute in common and natural join of a relation R with itself will return R only.

⋈(Left Outer Join)	When applying join on two relations R and S, some tuples of R or S do not appear in the result set which does not satisfy the join conditions. But Left Outer Joins gives all tuples of R in the result set. The tuples of R which do not satisfy the join condition will have values as NULL for attributes of S.
⋈(Right Outer Join)	When applying join on two relations R and S, some tuples of R or S do not appear in the result set which does not satisfy the join conditions. But Right Outer Joins gives all tuples of S in the result set. The tuples of S which do not satisfy the join condition will have values as NULL for attributes of R.
⋈(Full Outer Join)	When applying join on two relations R and S, some tuples of R or S do not appear in the result set which does not satisfy the join conditions. But Full Outer Joins gives all tuples of S and all tuples of R in the result set. The tuples of S which do not satisfy the join condition will have values as NULL for attributes of R and vice versa.
/ (Division Operator)	Division operator A/B will return those tuples in A which are associated with every tuple of B. <b>Note:</b> Attributes of B should be a proper subset of attributes of A. The attributes in A/B will be Attributes of A- Attribute of B.

### File Structures:

- **Primary Index:** A primary index is an ordered file, records of fixed length with two fields. First field is the same as the primary key as a data file and the second field is a pointer to the data block, where the key is available. The average number of block accesses using index =  $\log_2 B_i + 1$ , where  $B_i$  = number of index blocks.
- **Clustering Index:** Clustering index is created on data file whose records are physically ordered on a non-key field (called Clustering field).
- **Secondary Index:** Secondary index provides secondary means of accessing a file for which primary access already exists.

### B Trees

At every level, we have Key and Data Pointer and data pointer points to either block or record.

### **Properties of B-Trees:**

Root of B-tree can have children between **2** and **P**, where P is Order of tree.

**Order of tree** – Maximum number of children a node can have.

Internal node can have children between  $\lceil P/2 \rceil$  and  $P$

Internal node can have keys between  $\lceil P/2 \rceil - 1$  and  $P-1$

### **B+ Trees**

In B+ trees, the structure of leaf and non-leaf are different, so their order is. Order of non-leaf will be higher as compared to leaf nodes.

Searching time will be less in B+ trees, since it doesn't have record pointers in non-leaf because of which depth will decrease.

## **SQL**

### **DDL:**

DDL is short name of **Data Definition Language**, which deals with database schemas and descriptions, of how the data should reside in the database.

- CREATE - to create a database and its objects like (table, index, views, store procedure, function, and triggers)
- ALTER - alters the structure of the existing database
- DROP - delete objects from the database
- TRUNCATE - remove all records from a table, including all spaces allocated for the records are removed
- RENAME - rename an object

### **DML:**

DML is short name of **Data Manipulation Language** which deals with data manipulation and includes most common SQL statements such SELECT, INSERT, UPDATE, DELETE, etc., and it is used to store, modify, retrieve, delete and update data in a database.

- SELECT - retrieve data from a database
- INSERT - insert data into a table
- UPDATE - updates existing data within a table
- DELETE - Delete all records from a database table
- MERGE - UPSERT operation (insert or update)

### **DCL:**

DCL is short name of **Data Control Language** which includes commands such as GRANT and mostly concerned with rights, permissions and other controls of the database system.

- GRANT - allow users access privileges to the database
- REVOKE - withdraw users access privileges given by using the GRANT command

### **TCL:**

TCL is short name of Transaction Control Language which deals with a transaction within a database.

- COMMIT - commits a Transaction
- ROLLBACK - rollback a transaction in case of any error occurs
- SAVEPOINT - to roll back the transaction making points within groups

### **SQL:**

SQL is a standard language for storing, manipulating and retrieving data in databases.

### **SELECT:**

The SELECT statement is used to select data from a database.

#### **Syntax -**

- SELECT *column1, column2, ...*  
FROM *table\_name*;
- Here, column1, column2, ... are the field names of the table you want to select data from. If you want to select all the fields available in the table, use the following syntax: ●  
SELECT \* FROM *table\_name*;

#### **Ex –**

- SELECT CustomerName, City FROM Customers;

### **SELECT DISTINCT:**

The SELECT DISTINCT statement is used to return only distinct (different) values.

#### **Syntax –**

- SELECT DISTINCT *column1, column2, ...*  
FROM *table\_name*;

Ex –

- SELECT DISTINCT Country FROM Customers;

### **WHERE:**

The WHERE clause is used to filter records.

**Syntax –**

- SELECT *column1, column2, ...*  
FROM *table\_name*  
WHERE *condition*;

Ex –

- SELECT \* FROM Customers  
WHERE Country='Mexico';

Operator	Description
=	Equal
>	Greater than
<	Less than
>=	Greater than or equal
<=	Less than or equal
<>	Not equal. <b>Note:</b> In some versions of SQL this operator may be written as !=

### **AND, OR and NOT:**

The WHERE clause can be combined with AND, OR, and NOT operators.

The AND and OR operators are used to filter records based on more than one condition:

- The AND operator displays a record if all the conditions separated by AND are TRUE.
- The OR operator displays a record if any of the conditions separated by OR is TRUE.

The NOT operator displays a record if the condition(s) is NOT TRUE.

### Syntax –

- `SELECT column1, column2, ...  
FROM table_name  
WHERE condition1 AND condition2 AND condition3 ...;`
- `SELECT column1, column2, ...  
FROM table_name  
WHERE condition1 OR condition2 OR condition3 ...;`
- `SELECT column1, column2, ...  
FROM table_name  
WHERE NOT condition;`

### Ex –

- `SELECT * FROM Customers  
WHERE Country='Germany' AND City='Berlin';`
- `SELECT * FROM Customers  
WHERE Country='Germany' AND (City='Berlin' OR City='München');`

### **ORDER BY:**

The ORDER BY keyword is used to sort the result-set in ascending or descending order.

The ORDER BY keyword sorts the records in ascending order by default. To sort the records in descending order, use the DESC keyword.

### Syntax –

- `SELECT column1, column2, ...  
FROM table_name  
ORDER BY column1, column2, ... ASC|DESC;`

### Ex –

- `SELECT * FROM Customers  
ORDER BY Country;`
- `SELECT * FROM Customers  
ORDER BY Country ASC, CustomerName DESC;`

### **INSERT INTO:**

The INSERT INTO statement is used to insert new records in a table.



### Syntax –

- INSERT INTO *table\_name* (*column1, column2, column3, ...*)  
VALUES (*value1, value2, value3, ...*);
- INSERT INTO *table\_name*  
VALUES (*value1, value2, value3, ...*);

\*In the second syntax, make sure the order of the values is in the same order as the columns in the table.

### Ex –

- INSERT INTO Customers (CustomerName, ContactName, Address, City, PostalCode, Country)  
VALUES ('Cardinal', 'Tom B. Erichsen', 'Skagen 21', 'Stavanger', '4006', 'Norway');

### NULL Value:

It is not possible to test for NULL values with comparison operators, such as =, <, or <>. We will have to use the IS NULL and IS NOT NULL operators instead.

### Syntax –

- SELECT *column\_names*  
FROM *table\_name*  
WHERE *column\_name* IS NULL;
- SELECT *column\_names*  
FROM *table\_name*  
WHERE *column\_name* IS NOT NULL;

### Ex –

- SELECT CustomerName, ContactName, Address  
FROM Customers  
WHERE Address IS NULL;

### UPDATE:

The UPDATE statement is used to modify the existing records in a table.

### Syntax –

- UPDATE *table\_name*

SET *column1* = *value1*, *column2* = *value2*, ...  
WHERE *condition*;

Ex –

- UPDATE Customers  
SET ContactName = 'Alfred Schmidt', City= 'Frankfurt'  
WHERE CustomerID = 1;

### **DELETE:**

The DELETE statement is used to delete existing records in a table.

Syntax –

- DELETE FROM *table\_name* WHERE *condition*;
- DELETE FROM *table\_name*;

In 2<sup>nd</sup> syntax, all rows are deleted. The table structure, attributes, and indexes will be intact

Ex –

- DELETE FROM Customers WHERE CustomerName='Alfreds Futterkiste';

### **SELECT TOP:**

The SELECT TOP clause is used to specify the number of records to return.

Syntax –

- SELECT TOP *number*|*percent* *column\_name(s)*  
FROM *table\_name*  
WHERE *condition*;
- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *condition*  
LIMIT *number*;
- SELECT *column\_name(s)*  
FROM *table\_name*  
ORDER BY *column\_name(s)*  
FETCH FIRST *number* ROWS ONLY;
- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE ROWNUM <= *number*;

\*In case the interviewer asks other than the TOP, rest are also correct. (Diff. DB Systems)

**Ex –**

- SELECT TOP 3 \* FROM Customers;
- SELECT \* FROM Customers  
LIMIT 3;
- SELECT \* FROM Customers  
FETCH FIRST 3 ROWS ONLY;

### **Aggregate Functions:**

#### **MIN():**

The MIN() function returns the smallest value of the selected column.

**Syntax –**

- SELECT MIN(*column\_name*)  
FROM *table\_name*  
WHERE *condition*;

**Ex –**

- SELECT MIN(Price) AS SmallestPrice  
FROM Products;

#### **MAX():**

The MAX() function returns the largest value of the selected column.

**Syntax –**

- SELECT MAX(*column\_name*)  
FROM *table\_name*  
WHERE *condition*;

**Ex –**

- SELECT MAX(Price) AS LargestPrice  
FROM Products;

#### **COUNT():**

The COUNT() function returns the number of rows that matches a specified criterion.

**Syntax –**

- SELECT COUNT(*column\_name*)

```
FROM table_name
WHERE condition;
```

**Ex –**

- SELECT COUNT(ProductID)  
FROM Products;

### **AVG():**

The AVG() function returns the average value of a numeric column.

**Syntax –**

- SELECT AVG(*column\_name*)  
FROM *table\_name*  
WHERE *condition*;

**Ex –**

- SELECT AVG(Price)  
FROM Products;

### **SUM():**

The SUM() function returns the total sum of a numeric column.

**Syntax –**

- SELECT SUM(*column\_name*)  
FROM *table\_name*  
WHERE *condition*;

**Ex –**

- SELECT SUM(Quantity)  
FROM OrderDetails;

### **LIKE Operator:**

The LIKE operator is used in a WHERE clause to search for a specified pattern in a column.

There are two wildcards often used in conjunction with the LIKE operator:

- The percent sign (%) represents zero, one, or multiple characters
- The underscore sign (\_) represents one, single character

**Syntax –**

- SELECT *column1, column2, ...*  
FROM *table\_name*  
WHERE *columnN* LIKE *pattern*;

LIKE Operator	Description
WHERE CustomerName LIKE 'a%'	Finds any values that start with "a"
WHERE CustomerName LIKE '%a'	Finds any values that end with "a"
WHERE CustomerName LIKE '%or%'	Finds any values that have "or" in any position

WHERE CustomerName LIKE '_r%'	Finds any values that have "r" in the second position
WHERE CustomerName LIKE 'a_%'	Finds any values that start with "a" and are at least 2 characters in length
WHERE CustomerName LIKE 'a__%'	Finds any values that start with "a" and are at least 3 characters in length
WHERE ContactName LIKE 'a%o'	Finds any values that start with "a" and ends with "o"

## IN:

The IN operator allows you to specify multiple values in a WHERE clause.

The IN operator is a shorthand for multiple OR conditions.

## **Syntax –**

- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *column\_name* IN (*value1, value2, ...*);
- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *column\_name* IN (*SELECT STATEMENT*);

## **Ex –**

- SELECT \* FROM Customers  
WHERE Country IN ('Germany', 'France', 'UK');
- SELECT \* FROM Customers

WHERE Country IN (SELECT Country FROM Suppliers);

### **BETWEEN:**

The BETWEEN operator selects values within a given range. The values can be numbers, text, or dates.

The BETWEEN operator is inclusive: begin and end values are included.

#### **Syntax –**

- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *column\_name* BETWEEN *value1* AND *value2*;

#### **Ex –**

- SELECT \* FROM Products  
WHERE Price BETWEEN 10 AND 20;

### **Joins:**

A JOIN clause is used to combine rows from two or more tables, based on a related column between them.

#### **INNER JOIN:**

The INNER JOIN keyword selects records that have matching values in both tables.

#### **Syntax –**

- SELECT *column\_name(s)*  
FROM *table1*  
INNER JOIN *table2*  
ON *table1.column\_name* = *table2.column\_name*;

#### **Ex –**

- SELECT Orders.OrderID, Customers.CustomerName  
FROM Orders  
INNER JOIN Customers ON Orders.CustomerID = Customers.CustomerID;

#### **LEFT (OUTER) JOIN:**

The LEFT JOIN keyword returns all records from the left table (table1), and the matching records from the right table (table2). The result is 0 records from the right side, if there is no match.

**Syntax –**

- SELECT *column\_name(s)*  
FROM *table1*  
LEFT JOIN *table2*  
ON *table1.column\_name = table2.column\_name*;

**Ex –**

- SELECT Customers.CustomerName, Orders.OrderID  
FROM Customers  
LEFT JOIN Orders ON Customers.CustomerID = Orders.CustomerID  
ORDER BY Customers.CustomerName;

**RIGHT (OUTER) JOIN:**

The RIGHT JOIN keyword returns all records from the right table (table2), and the matching records from the left table (table1). The result is 0 records from the left side, if there is no match.

**Syntax –**

- SELECT *column\_name(s)*  
FROM *table1*  
RIGHT JOIN *table2*  
ON *table1.column\_name = table2.column\_name*;

**Ex –**

- SELECT Orders.OrderID, Employees.LastName, Employees.FirstName  
FROM Orders  
RIGHT JOIN Employees ON Orders.EmployeeID = Employees.EmployeeID  
ORDER BY Orders.OrderID;

**FULL (OUTER) JOIN:**

The FULL OUTER JOIN keyword returns all records when there is a match in left (table1) or right (table2) table records.

**Syntax:**

- SELECT *column\_name(s)*

```
FROM table1
FULL OUTER JOIN table2
ON table1.column_name = table2.column_name
WHERE condition;
```

**Ex –**

- SELECT Customers.CustomerName, Orders.OrderID  
FROM Customers  
FULL OUTER JOIN Orders ON Customers.CustomerID=Orders.CustomerID  
ORDER BY Customers.CustomerName;

### **UNION:**

The UNION operator is used to combine the result-set of two or more SELECT statements.

- Every SELECT statement within UNION must have the same number of columns
- The columns must also have similar data types
- The columns in every SELECT statement must also be in the same order

The UNION operator selects only distinct values by default. To allow duplicate values, use UNION ALL

**Syntax –**

- SELECT *column\_name(s)* FROM *table1*  
UNION  
SELECT *column\_name(s)* FROM *table2*;
- SELECT *column\_name(s)* FROM *table1*  
UNION ALL  
SELECT *column\_name(s)* FROM *table2*;

**Ex –**

- SELECT City FROM Customers  
UNION  
SELECT City FROM Suppliers  
ORDER BY City;

### **GROUP BY:**

The GROUP BY statement groups rows that have the same values into summary rows, like "find the number of customers in each country".



The GROUP BY statement is often used with aggregate functions (COUNT(), MAX(), MIN(), SUM(), AVG()) to group the result-set by one or more columns. **Syntax –**

- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *condition*  
GROUP BY *column\_name(s)*  
ORDER BY *column\_name(s)*;

**Ex –**

- SELECT COUNT(CustomerID), Country  
FROM Customers  
GROUP BY Country  
ORDER BY COUNT(CustomerID) DESC;

#### **HAVING:**

The HAVING clause was added to SQL because the WHERE keyword cannot be used with aggregate functions.

\*WHERE is given priority over HAVING.

**Syntax –**

- SELECT *column\_name(s)*  
FROM *table\_name*  
WHERE *condition*  
GROUP BY *column\_name(s)*  
HAVING *condition*  
ORDER BY *column\_name(s)*;

**Ex –**

- SELECT COUNT(CustomerID), Country  
FROM Customers  
GROUP BY Country  
HAVING COUNT(CustomerID) > 5;

#### **CREATE DATABASE:**

The CREATE DATABASE statement is used to create a new SQL database.

### Syntax –

- CREATE DATABASE *databasename*;

### **DROP DATABASE:**

The DROP DATABASE statement is used to drop an existing SQL database.

### Syntax –

- DROP DATABASE *databasename*;

### **CREATE TABLE:**

The CREATE TABLE statement is used to create a new table in a database.

### Syntax –

- CREATE TABLE *table\_name* (  
    *column1 datatype*,  
    *column2 datatype*,  
    *column3 datatype*,  
    ....  
);

### **DROP TABLE:**

The DROP TABLE statement is used to drop an existing table in a database.

### Syntax –

- DROP TABLE *table\_name*;

### **TRUNCATE TABLE:**

The TRUNCATE TABLE statement is used to delete the data inside a table, but not the table itself.

### Syntax –

- TRUNCATE TABLE *table\_name*;

### **ALTER TABLE:**

The ALTER TABLE statement is used to add, delete, or modify columns in an existing table.

The ALTER TABLE statement is also used to add and drop various constraints on an existing table.

**Syntax –**

- ALTER TABLE *table\_name*  
    ADD *column\_name datatype*;
- ALTER TABLE *table\_name*  
    DROP COLUMN *column\_name*;
- ALTER TABLE *table\_name*  
    MODIFY COLUMN *column\_name datatype*;

**Ex –**

- ALTER TABLE Customers  
    ADD Email varchar(255);
- ALTER TABLE Customers  
    DROP COLUMN Email;
- ALTER TABLE Persons  
    ALTER COLUMN DateOfBirth year;

# Operating Systems

- An **Operating System** can be defined as an **interface between user and hardware**. It is responsible for the execution of all the processes, Resource Allocation, CPU management, File Management and many other tasks. The purpose of an operating system is to provide an environment in which a user can execute programs in a convenient and efficient manner.

- **Types of Operating Systems :**

1. **Batch OS** – A set of similar jobs are stored in the main memory for execution. A job gets assigned to the CPU, only when the execution of the previous job completes.
  2. **Multiprogramming OS** – The main memory consists of jobs waiting for CPU time. The OS selects one of the processes and assigns it to the CPU. Whenever the executing process needs to wait for any other operation (like I/O), the OS selects another process from the job queue and assigns it to the CPU. **This way, the CPU is never kept idle** and the user gets the flavor of getting multiple tasks done at once.
  3. **Multitasking OS** – Multitasking OS combines the benefits of **Multiprogramming OS and CPU scheduling** to perform quick switches between jobs. The switch is so quick that the user can interact with each program as it runs.
  4. **Time Sharing OS** – Time-sharing systems require interaction with the user to instruct the OS to perform various tasks. The OS responds with an output. The instructions are usually given through an input device like the keyboard.
  5. **Real Time OS** – Real-Time OS are usually built for dedicated systems to accomplish a specific set of tasks within deadlines.
- **Process** : A process is a program under execution. **The value of the program counter (PC) indicates the address of the next instruction of the process being executed.** Each process is represented by a Process Control Block (PCB).

- **Process Scheduling:**

1. **Arrival Time** – Time at which the process arrives in the ready queue.
2. **Completion Time** – Time at which process completes its execution.
3. **Burst Time** – Time required by a process for CPU execution.
4. **Turn Around Time** – Time Difference between completion time and arrival time.

$$\text{Turn Around Time} = \text{Completion Time} - \text{Arrival Time}$$

5. **Waiting Time (WT)** – Time Difference between turn around time and burst time.

$$\text{Waiting Time} = \text{Turnaround Time} - \text{Burst Time}$$

- **Thread (Important)** : A thread is a lightweight process and forms the basic unit of CPU utilization. A process can perform more than one task at the same time by including multiple threads.
  - A thread has its own program counter, register set, and stack
  - A thread shares resources with other threads of the same process: the code section, the data section, files and signals.

**Note** : A new thread, or a child process of a given process, can be introduced by using the fork() system call. A process with n fork() system call generates  $2^n - 1$  child processes.

There are two types of threads:

- User threads (User threads are implemented by users)
- Kernel threads (Kernel threads are implemented by OS)

- **Scheduling Algorithms :**

1. **First Come First Serve (FCFS)** : Simplest scheduling algorithm that schedules according to arrival times of processes.
2. **Shortest Job First (SJF)**: Processes which have the shortest burst time are scheduled first.
3. **Shortest Remaining Time First (SRTF)**: It is a preemptive mode of SJF algorithm in which jobs are scheduled according to the shortest remaining time.
4. **Round Robin (RR) Scheduling**: Each process is assigned a fixed time, in a cyclic way.
5. **Priority Based scheduling (Non Preemptive)**: In this scheduling, processes are scheduled according to their priorities, i.e., highest priority process is scheduled first. If priorities of two processes match, then scheduling is according to the arrival time.
6. **Highest Response Ratio Next (HRRN)**: In this scheduling, processes with the highest response ratio are scheduled. This algorithm avoids starvation.  
$$\text{Response Ratio} = (\text{Waiting Time} + \text{Burst time}) / \text{Burst time}$$
7. **Multilevel Queue Scheduling (MLQ)**: According to the priority of the process, processes are placed in the different queues. Generally high priority processes are placed in the top level queue. Only after completion of processes from the top level queue, lower level queued processes are scheduled.
8. **Multilevel Feedback Queue (MLFQ) Scheduling**: It allows the process to move in between queues. The idea is to separate processes according to the characteristics of their CPU bursts. If a process uses too much CPU time, it is moved to a lower-priority queue.

- **The Critical Section Problem:**

1. **Critical Section** – The portion of the code in the program where shared variables are accessed and/or updated.
2. **Remainder Section** – The remaining portion of the program excluding the Critical Section.
3. **Race around Condition** – The final output of the code depends on the order in which the variables are accessed. This is termed as the race around condition.

A solution for the critical section problem must satisfy the following three conditions:

1. **Mutual Exclusion** – If a process  $P_i$  is executing in its critical section, then no other process is allowed to enter into the critical section.
2. **Progress** – If no process is executing in the critical section, then the decision of a process to enter a critical section cannot be made by any other process that is executing in its remainder section. The selection of the process cannot be postponed indefinitely.
3. **Bounded Waiting** – There exists a bound on the number of times other processes can enter into the critical section after a process has made a request to access the critical section and before the request is granted.

- **Synchronization Tools:**

1. **Semaphore**: Semaphore is a protected variable or abstract data type that is used to lock the resource being used. The value of the semaphore indicates the status of a common resource.

There are two types of semaphores:

**Binary semaphores** (Binary semaphores take only 0 and 1 as value and are used to implement mutual exclusion and synchronize concurrent processes.)

**Counting semaphores** (A counting semaphore is an integer variable whose value can range over an unrestricted domain.)

**Mutex** (A mutex provides mutual exclusion, either producer or consumer can have the key (mutex) and proceed with their work. As long as the buffer is filled by the producer, the consumer needs to wait, and vice versa.

At any point of time, only one thread can work with the entire buffer. The concept can be generalized using semaphore.)

- **Deadlocks (Important):**

A situation where a set of processes are blocked because each process is holding a resource and waiting for another resource acquired by some other process. Deadlock can arise if following four conditions hold simultaneously (Necessary Conditions):

1. **Mutual Exclusion** – One or more than one resource is non-sharable (Only one process can use at a time).
2. **Hold and Wait** – A process is holding at least one resource and waiting for resources.
3. **No Preemption** – A resource cannot be taken from a process unless the process releases the resource.
4. **Circular Wait** – A set of processes are waiting for each other in circular form.

- **Methods for handling deadlock:** There are three ways to handle deadlock

1. **Deadlock prevention or avoidance** : The idea is to not let the system into a deadlock state.
2. **Deadlock detection and recovery** : Let deadlock occur, then do preemption to handle it once occurred.
3. **Ignore the problem all together** : If deadlock is very rare, then let it happen and reboot the system. This is the approach that both Windows and UNIX take.



- **Banker's algorithm** is used to avoid deadlock. It is one of the deadlock-avoidance methods. It is named as Banker's algorithm on the banking system where a bank never allocates available cash in such a manner that it can no longer satisfy the requirements of all of its customers.
- **Memory Management**: These techniques allow the memory to be shared among multiple processes.
  - **Overlays** – The memory should contain only those instructions and data that are required at a given time.
  - **Swapping** – In multiprogramming, the instructions that have used the time slice are swapped out from the memory.
- **Techniques** :
  - (a) **Single Partition Allocation Schemes** – The memory is divided into two parts. One part is kept to be used by the OS and the other is kept to be used by the users.

(b) **Multiple Partition Schemes** –

1. **Fixed Partition** – The memory is divided into fixed size partitions.
2. **Variable Partition** – The memory is divided into variable sized partitions.

**Note** : Variable partition allocation schemes:

1. **First Fit** – The arriving process is allotted the first hole of memory in which it fits completely.
2. **Best Fit** – The arriving process is allotted the hole of memory in which it fits the best by leaving the minimum memory empty.
3. **Worst Fit** – The arriving process is allotted the hole of memory in which it leaves the maximum gap.

### **Note:**

- Best fit does not necessarily give the best results for memory allocation.
  - The cause of external fragmentation is the condition in Fixed partitioning and Variable partitioning saying that the entire process should be allocated in a contiguous memory location. Therefore **Paging** is used.
1. **Paging** – The physical memory is divided into equal sized frames. The main memory is divided into fixed size pages. The size of a physical memory frame is equal to the size of a virtual memory frame.
  2. **Segmentation** – Segmentation is implemented to give users a view of memory. The logical address space is a collection of segments. Segmentation can be implemented with or without the use of paging.

- **Page Fault:**

A page fault is a type of interrupt, raised by the hardware when a running program accesses a memory page that is mapped into the virtual address space, but not loaded in physical memory.

### **Page Replacement Algorithms (Important):**

1. **First In First Out (FIFO)** –

This is the simplest page replacement algorithm. In this algorithm, the operating system keeps track of all pages in the memory in a queue, the oldest page is in the front of the queue. When a page needs to be replaced, the page in the front of the queue is selected for removal.

For example, consider page reference string 1, 3, 0, 3, 5, 6 and 3 page slots. Initially, all slots are empty, so when 1, 3, 0 come they are allocated to the empty slots → 3 Page Faults. When 3 comes, it is already in memory so → 0 Page Faults. Then 5 comes, it is not available in memory so it replaces the oldest page slot i.e 1. → 1 Page Fault. Finally, 6 comes, it is also not available in memory so it replaces the oldest page slot i.e 3 → 1 Page Fault.

#### **Belady's anomaly:**

Belady's anomaly proves that it is possible to have more page faults when increasing the number of page frames while using the First in First Out (FIFO) page replacement algorithm. For example, if we consider reference string ( 3 2 1 0 3 2 4 3 2 1 0 4 ) and 3 slots, we get 9 total page faults, but if we increase slots to 4, we get 10 page faults.

## 2. Optimal Page replacement –

In this algorithm, pages are replaced which are not used for the longest duration of time in the future.

Let us consider page reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 and 4 page slots. Initially, all slots are empty, so when 7 0 1 2 are allocated to the empty slots → 4 Page faults. 0 is already there so → 0 Page fault. When 3 came it will take the place of 7 because it is not used for the longest duration of time in the future. → 1 Page fault. 0 is already there so → 0 Page fault. 4 will takes place of 1 → 1 Page Fault. Now for the further page reference string → 0 Page fault because they are already available in the memory.

Optimal page replacement is perfect, but not possible in practice as an operating system cannot know future requests. The use of Optimal Page replacement is to set up a benchmark so that other replacement algorithms can be analyzed against it.

## 3. Least Recently Used (LRU) –

In this algorithm, the page will be replaced with the one which is least recently used. Let say the page reference string 7 0 1 2 0 3 0 4 2 3 0 3 2 . Initially, we had 4-page slots empty. Initially, all slots are empty, so when 7 0 1 2 are allocated to the empty slots → 4 Page faults. 0 is already there so → 0 Page fault. When 3 comes it will take the place of 7 because it is least recently used → 1 Page fault. 0 is already in memory so → 0 Page fault. 4 will take place of 1 → 1 Page Fault. Now for the further page reference string → **0 Page fault** because they are already available in the memory.

- **Disk Scheduling:** Disk scheduling is done by operating systems to schedule I/O requests arriving for disk. Disk scheduling is also known as I/O scheduling.
  1. **Seek Time:** Seek time is the time taken to locate the disk arm to a specified track where the data is to be read or written.
  2. **Rotational Latency:** Rotational Latency is the time taken by the desired sector of disk to rotate into a position so that it can access the read/write heads.
  3. **Transfer Time:** Transfer time is the time to transfer the data. It depends on the rotating speed of the disk and number of bytes to be transferred.
  4. **Disk Access Time:** Seek Time + Rotational Latency + Transfer Time
  5. **Disk Response Time:** Response Time is the average of time spent by a request waiting to perform its I/O operation. Average Response time is the response time of all requests.

- **Disk Scheduling Algorithms (Important):**

1. **FCFS:** FCFS is the simplest of all the Disk Scheduling Algorithms. In FCFS, the requests are addressed in the order they arrive in the disk queue.
2. **SSTF:** In SSTF (Shortest Seek Time First), requests having the shortest seek time are executed first. So, the seek time of every request is calculated in advance in a queue and then they are scheduled according to their calculated seek time. As a result, the request near the disk arm will get executed first.
3. **SCAN:** In SCAN algorithm the disk arm moves into a particular direction and services the requests coming in its path and after reaching the end of the disk, it reverses its direction and again services the request arriving in its path. So, this algorithm works like an elevator and hence is also known as **elevator algorithm**.
4. **CSCAN:** In SCAN algorithm, the disk arm again scans the path that has been scanned, after reversing its direction. So, it may be possible that too many requests are waiting at the other end or there may be zero or few requests pending at the scanned area.

5. **LOOK**: It is similar to the SCAN disk scheduling algorithm except for the difference that the disk arm in spite of going to the end of the disk goes only to the last request to be serviced in front of the head and then reverses its direction from there only. Thus it prevents the extra delay which occurred due to unnecessary traversal to the end of the disk.
6. **CLOOK**: As LOOK is similar to SCAN algorithm, CLOOK is similar to CSCAN disk scheduling algorithm. In CLOOK, the disk arm in spite of going to the end goes only to the last request to be serviced in front of the head and then from there goes to the other end's last request. Thus, it also prevents the extra delay which occurred due to unnecessary traversal to the end of the disk.

## Key Terms

- **Real-time system** is used in the case when rigid-time requirements have been placed on the operation of a processor. It contains well defined and fixed time constraints.
- **A monolithic kernel** is a kernel which includes all operating system code in a single executable image.
- **Micro kernel:** Microkernel is the kernel which runs minimal performance affecting services for the operating system. In the microkernel operating system all other operations are performed by the processor.

**Macro Kernel:** Macro Kernel is a combination of micro and monolithic kernel.

- **Re-entrancy** : It is a very useful memory saving technique that is used for multi-programmed time sharing systems. It provides functionality that multiple users can share a single copy of a program during the same period. It has two key aspects: The program code cannot modify itself and the local data for each user process must be stored separately.
- **Demand paging** specifies that if an area of memory is not currently being used, it is swapped to disk to make room for an application's need.
- **Virtual memory (Imp)** is a very useful memory management technique which enables processes to execute outside of memory. This technique is especially used when an executing program cannot fit in the physical memory.
- **RAID** stands for Redundant Array of Independent Disks. It is used to store the same data redundantly to improve the overall performance. There are 7 RAID levels.
- **Logical address space** specifies the address that is generated by the CPU. On the other hand, **physical address space** specifies the address that is seen by the memory unit.

- **Fragmentation** is a phenomenon of memory wastage. It reduces the capacity and performance because space is used inefficiently.
  1. **Internal fragmentation:** It occurs when we deal with the systems that have fixed size allocation units.
  2. **External fragmentation:** It occurs when we deal with systems that have variable-size allocation units.
- **Spooling** is a process in which data is temporarily gathered to be used and executed by a device, program or the system. It is associated with printing. When different applications send output to the printer at the same time, spooling keeps these all jobs into a disk file and queues them accordingly to the printer.
- **Starvation** is Resource management problem. In this problem, a waiting process does not get the resources it needs for a long time because the resources are being allocated to other processes.
- **Aging** is a technique used to avoid starvation in the resource scheduling system.
- **Advantages of multithreaded programming:**
  1. Enhance the responsiveness to the users.
  2. Resource sharing within the process.
  3. Economical
  4. Completely utilize the multiprocessing architecture.
- **Thrashing** is a phenomenon in virtual memory schemes when the processor spends most of its time in swapping pages, rather than executing instructions.