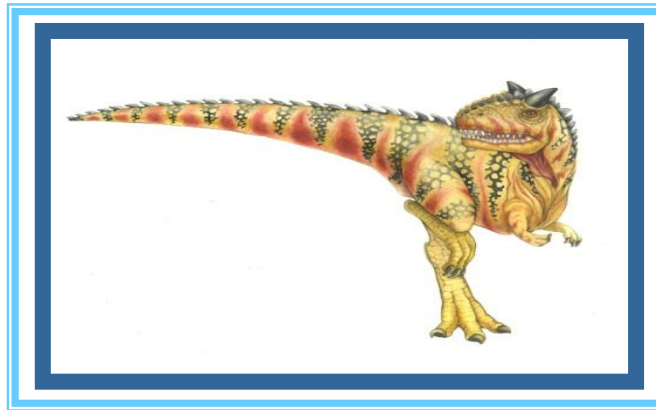


# Chapter 11:

# File-System Interface

---

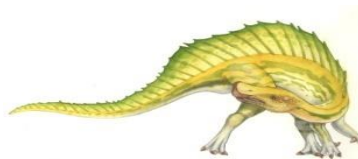




# Chapter 11: File-System Interface

---

- File Concept
- Access Methods
- Disk and Directory Structure
- File Sharing
- Protection





# Objectives

---

- ❑ To explain the function of file systems
- ❑ To describe the interfaces to file systems
- ❑ To discuss file-system design tradeoffs, including access methods, file sharing, file locking, and directory structures
- ❑ To explore file-system protection





# File Concept

---

- Contiguous logical address space
- Types:
  - Data
    - ▶ numeric
    - ▶ character
    - ▶ binary
  - Program
- Contents defined by file's creator
  - Many types
    - ▶ Consider **text file, source file, executable file**

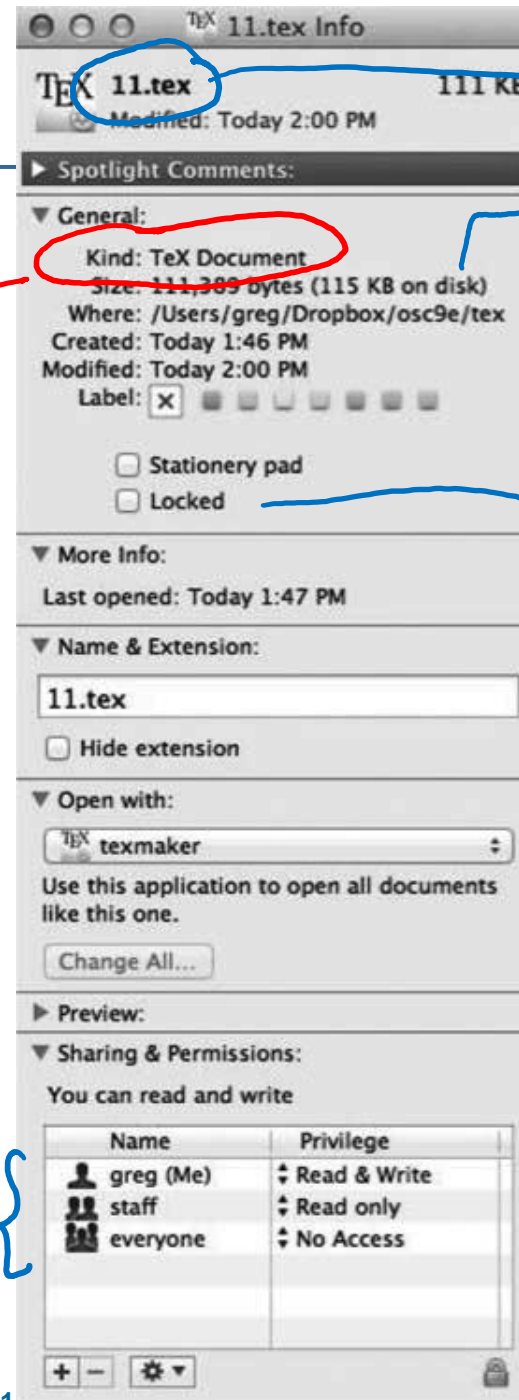




# File info Window on Mac OS X

file attributes

Type



name & extension

size

creation & modification date

status

Application

usernames

Privilege / Access rights  
Permissions





# File Attributes

- ❑ **Name** – only information kept in human-readable form
- ❑ **Identifier** – unique tag (number) identifies file within file system
- ❑ **Type** – needed for systems that support different types
- ❑ **Location** – pointer to file location on device
- ❑ **Size** – current file size
- ❑ **Protection** – controls who can do reading, writing, executing
- ❑ **Time, date, and user identification** – data for protection, security, and usage monitoring
- ❑ Information about files are kept in the directory structure, which is maintained on the disk
- ❑ Many variations, including extended file attributes such as file checksum
- ❑ Information kept in the directory structure





# File Operations

} Search  
Rename

- File is an **abstract data type**
- **Create**
- ✓ □ **Write** – at **write pointer** location
- ✓ □ **Read** – at **read pointer** location
- ✓ □ **Reposition within file - seek** (Random Access) ↗ Read  
↘ Write
- ✓ □ **Delete**
- ✓ □ **Truncate** (keep file, delete contents)
- **Open( $F_i$ )** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory
- **Close ( $F_i$ )** – move the content of entry  $F_i$  in memory to directory structure on disk





# Open Files

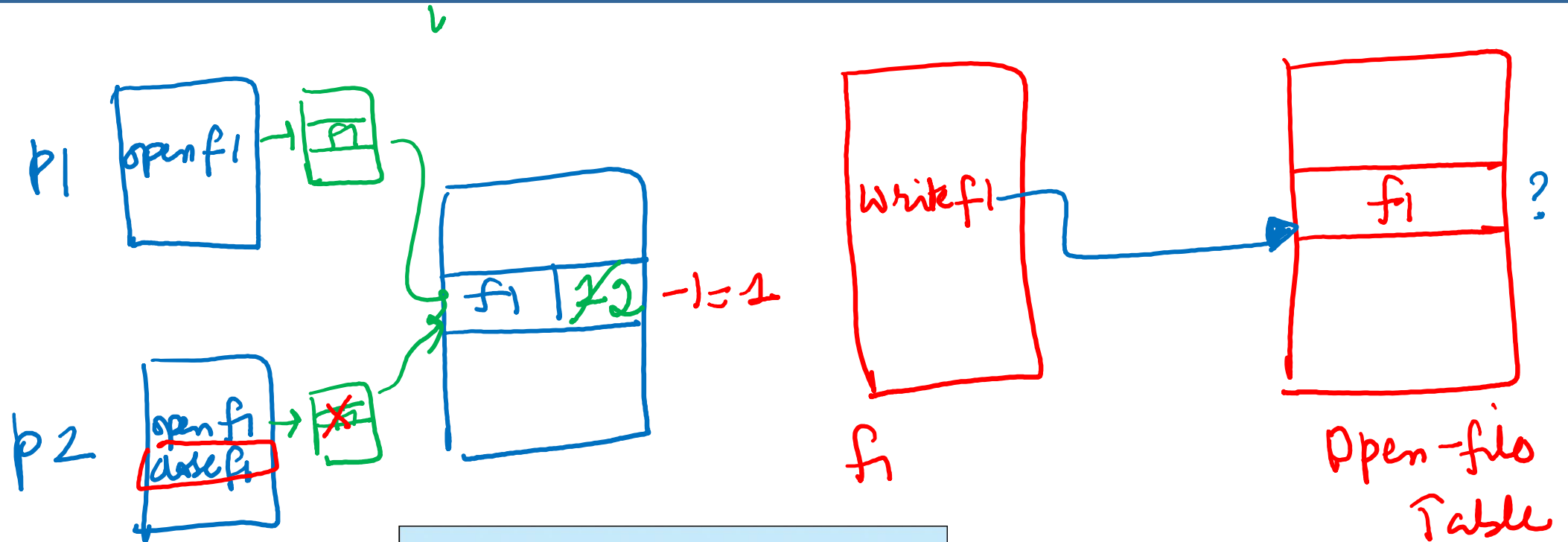
- Several pieces of data are needed to manage open files:
  - **Open-file table**: tracks open files
  - File pointer: pointer to last read/write location, per process that has the file open
  - **File-open count**: counter of number of times a file is open – to allow removal of data from open-file table when last processes closes it
  - Disk location of the file: cache of data access information
  - Access rights: per-process access mode information







# Open Files



file permissions
file dates (create, access, write)
file owner, group, ACL
file size
file data blocks or pointers to file data blocks





# Open File Locking

Mandatory

↓  
deadlock  
starvation

- ❑ Provided by some operating systems and file systems
  - ❑ Similar to reader-writer locks
  - ❑ **Shared lock** similar to reader lock – several processes can acquire concurrently
  - ❑ **Exclusive lock** similar to writer lock
  - ❑ Mediates access to a file
  - ❑ Mandatory or advisory:
    - ❑ **Mandatory** – access is denied depending on locks held and requested
    - ❑ **Advisory** – processes can find status of locks and decide what to do

(Windows)

(Linux)

↑ files  
P<sub>1</sub>, P<sub>2</sub>





# File Structure

cannot  
Support  
all file types  
OS  
Code

- ❑ None - sequence of words, bytes
- ❑ Simple record structure
  - ❑ Lines
  - ❑ Fixed length
  - ❑ Variable length
- ❑ Complex Structures
  - ❑ Formatted document
  - ❑ Relocatable load file
- ❑ Can simulate last two with first method by inserting appropriate control characters
- ❑ Who decides:
  - ❑ Operating system
  - ❑ Program ✓

file type	usual extension	function
executable	exe, com, bin or none	ready-to-run machine-language program
object	obj, o	compiled, machine language, not linked
source code	c, cc, java, pas, asm, a	source code in various languages
batch	bat, sh	commands to the command interpreter
text	txt, doc	textual data, documents
word processor	wp, tex, rtf, doc	various word-processor formats
library	lib, a, so, dll	libraries of routines for programmers
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing
archive	arc, zip, tar	related files grouped into one file, sometimes compressed, for archiving or storage
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information

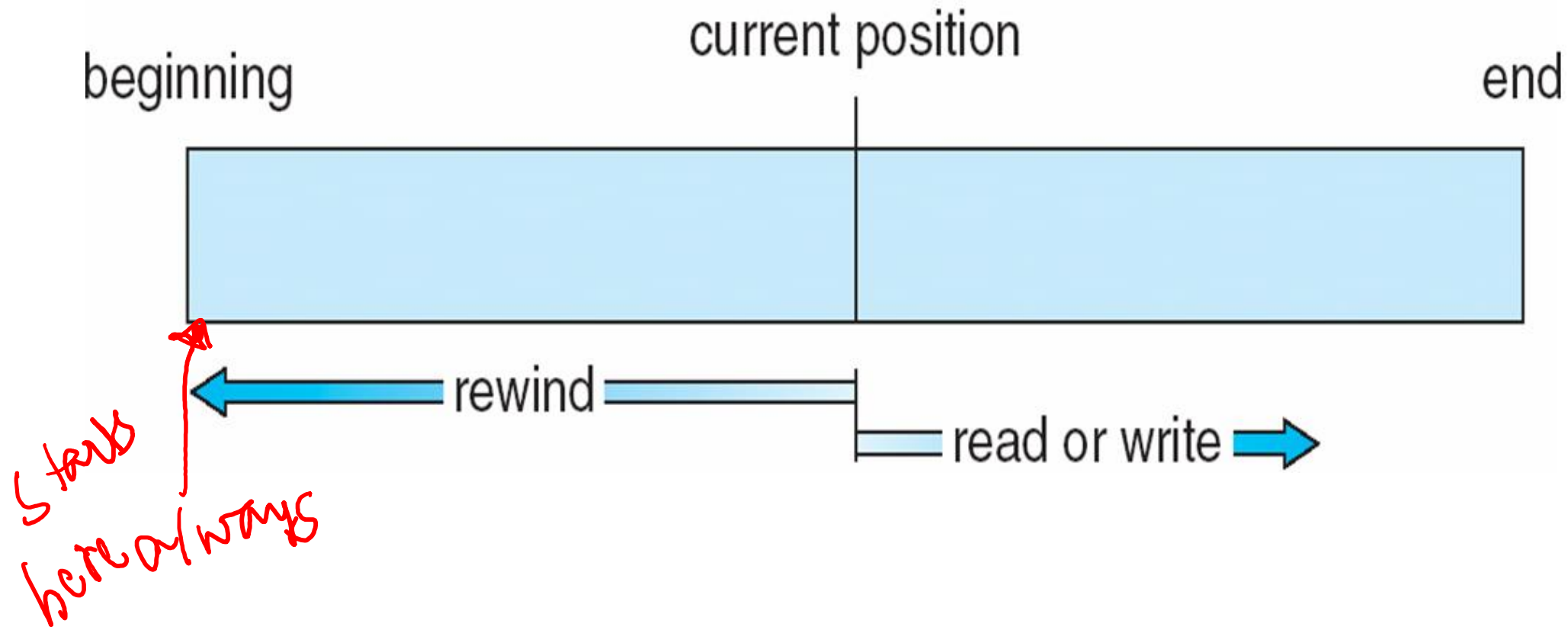
Application ✓





# Sequential-access File

*limitations?*





# Access Methods

## □ Sequential Access

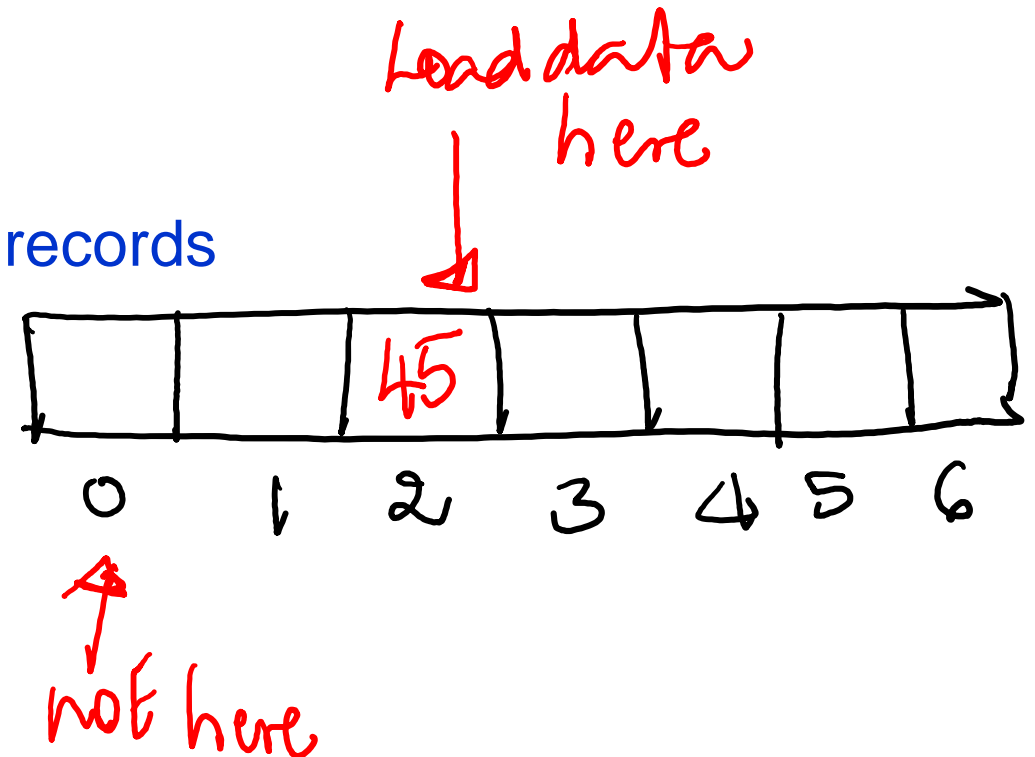
read next  
write next  
reset  
no read after last write  
(rewrite)

## □ Direct Access – file is fixed length **logical records**

read  $n$   
write  $n$   
position to  $n$   
    read next  
    write next  
rewrite  $n$

$n$  = **relative block number**

## □ Relative block numbers allow OS to decide where file should be placed





## Simulation of Sequential Access on Direct-access File

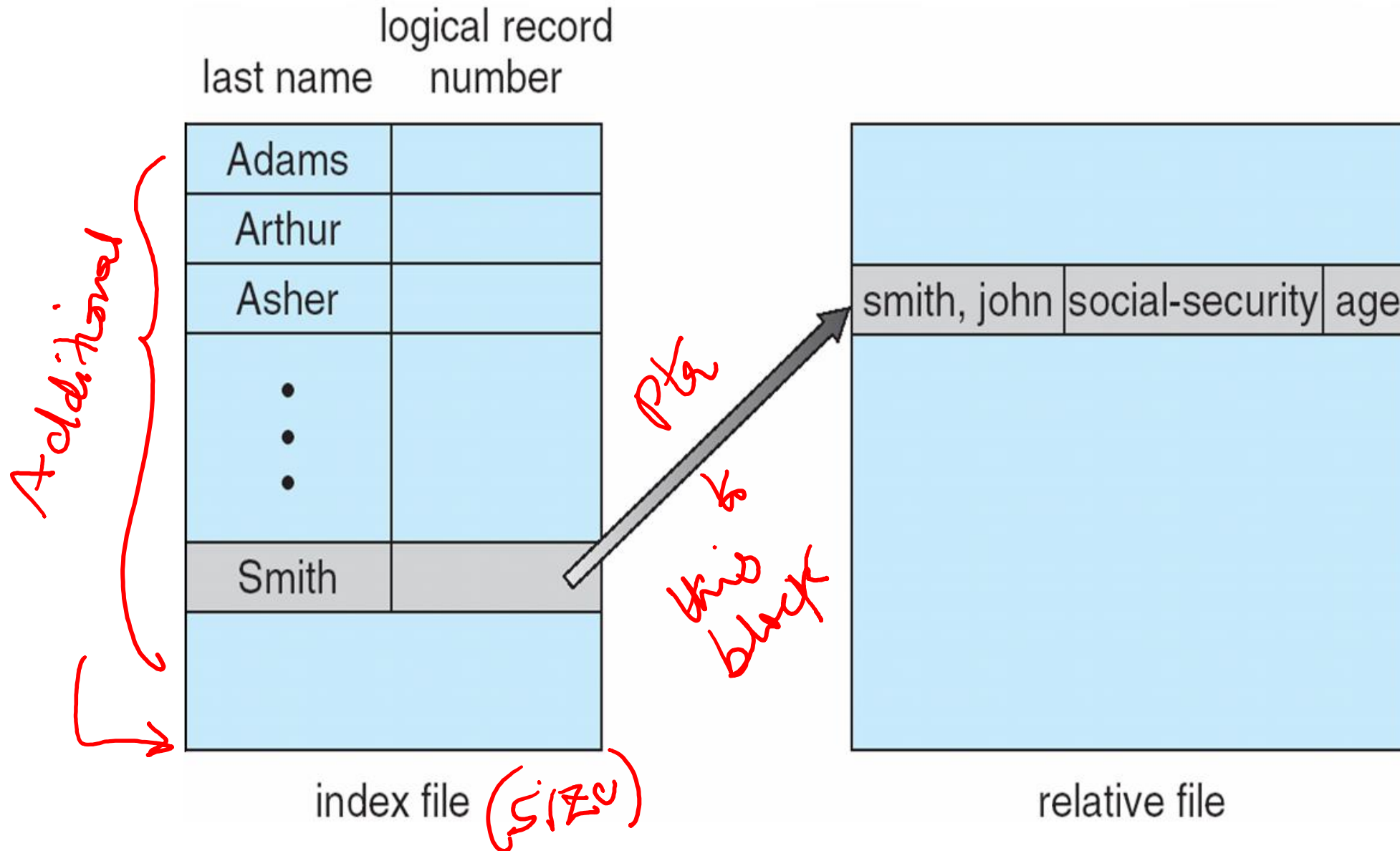
sequential access	implementation for direct access
<i>reset</i>	$cp = 0;$
<i>read next</i>	$read\ cp;$ $cp = cp + 1;$
<i>write next</i>	$write\ cp;$ $cp = cp + 1;$





# Example of Index and Relative Files

Another way to access files

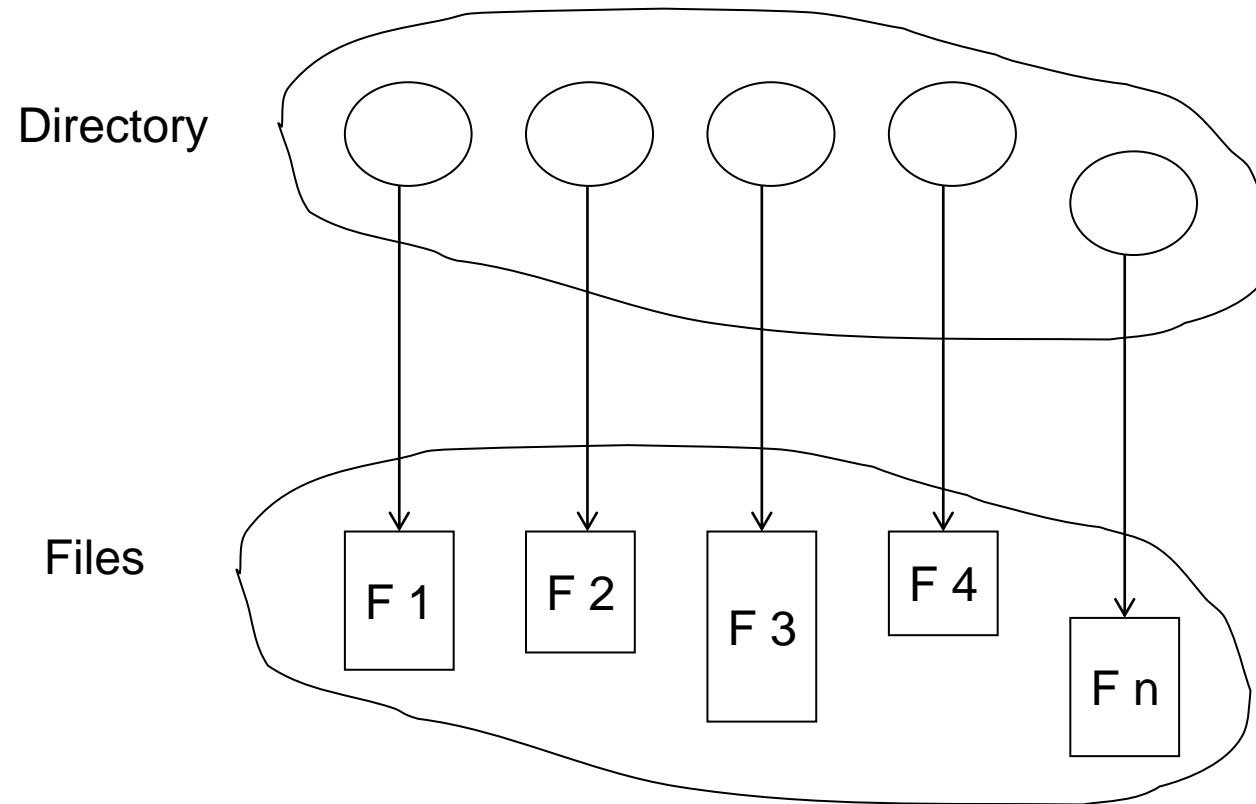






# Directory Structure

- A collection of nodes containing information about all files



Both the directory structure and the files reside on disk







# Disk Structure

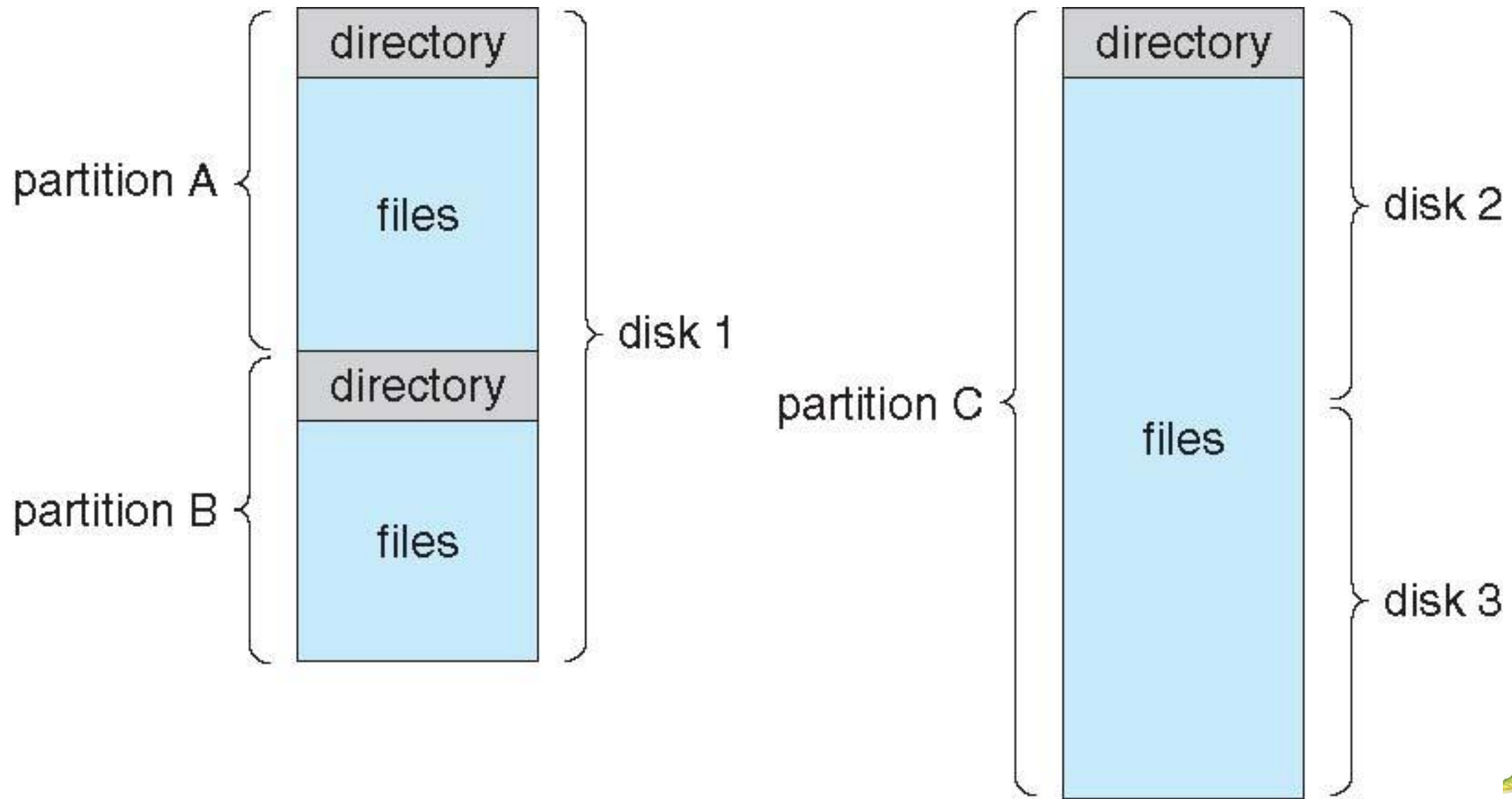
Redundant Array  
of Independent  
Disks

- ❑ Disk can be subdivided into **partitions**
- ❑ Disks or partitions can be **RAID** protected against failure
- ❑ Disk or partition can be used **raw** – without a file system, or **formatted** with a file system
- ❑ Partitions also known as minidisks, slices
- ❑ Entity containing file system known as a **volume**
- ❑ Each volume containing file system also tracks that file system's info in **device directory** or **volume table of contents**
- ❑ As well as **general-purpose file systems** there are many **special-purpose file systems**, frequently all within the same operating system or computer





# A Typical File-system Organization





# Operations Performed on **Directory** Backup!

- Search for a file
- Create a file (1) Position of files in directory changed / Reordering
- Delete a file (3) Gap in directory structure / defragmentation
- List a directory
- Rename a file (2)
- Traverse the file system (4) Search all files in all directories





# Directory Organization

---

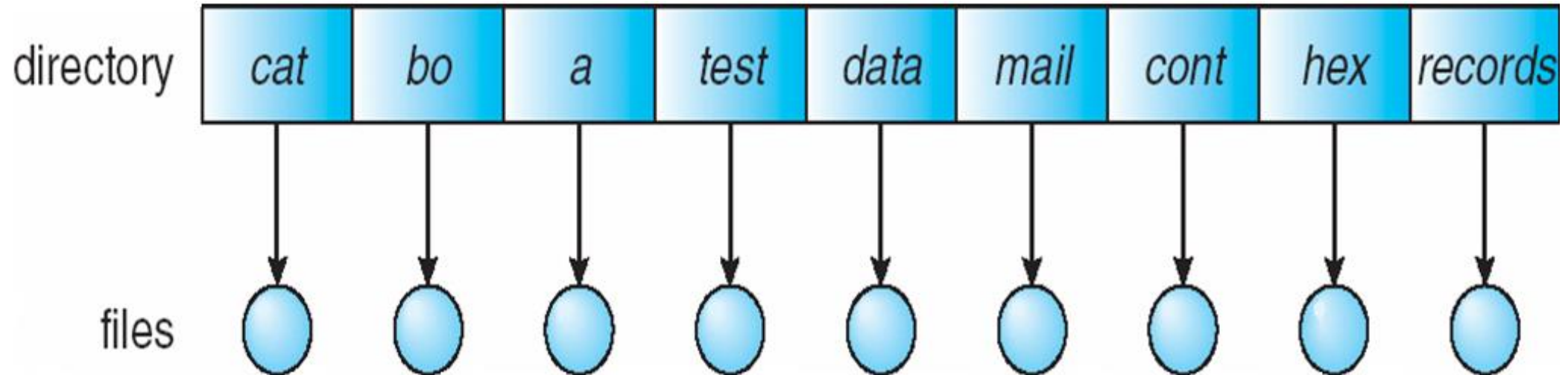
- The directory is organized logically to obtain
- Efficiency – locating a file quickly
- Naming – convenient to users
  - Two users can have same name for different files
  - The same file can have several different names
- Grouping – logical grouping of files by properties, (e.g., all Java programs, all games, ...)





# Single-Level Directory

- A single directory for all users



- Naming problem
- Grouping problem



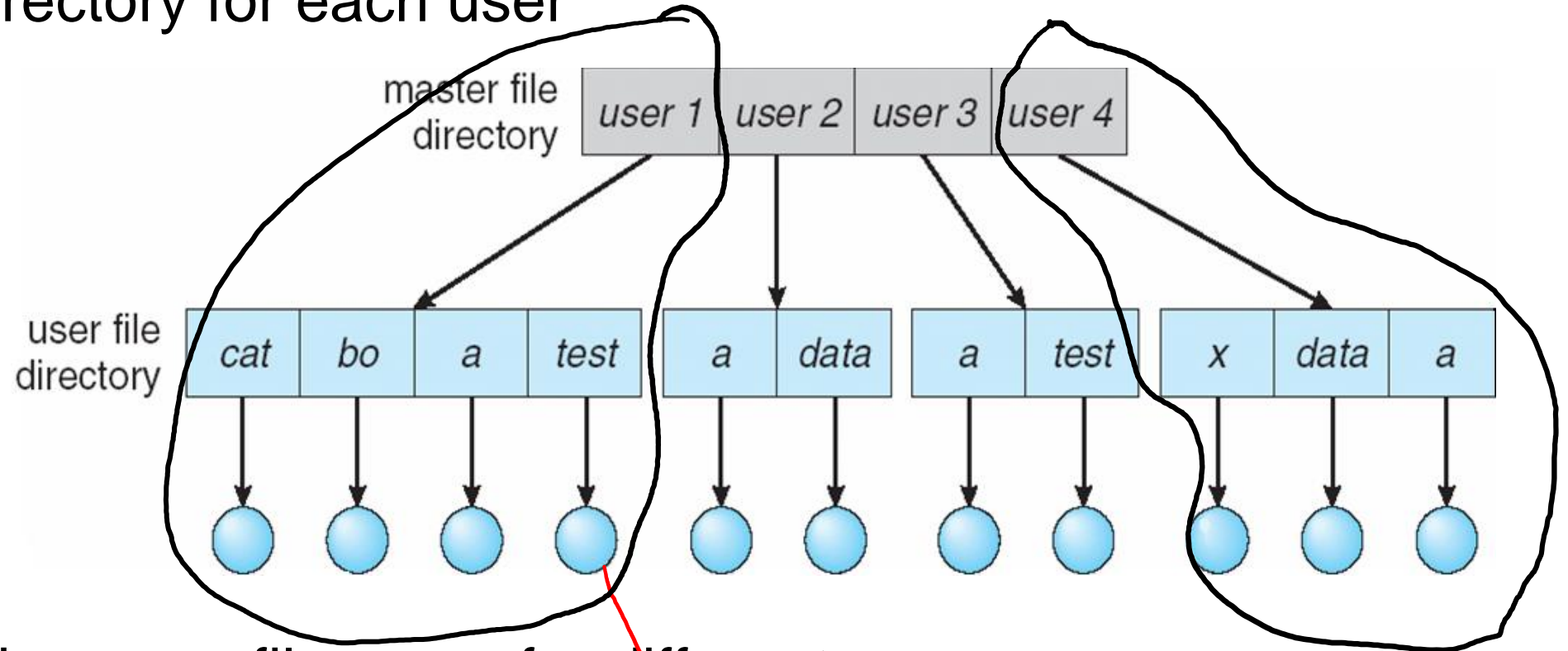


# Two-Level Directory

Drawbacks:

① User isolation

□ Separate directory for each user



□ Path name

□ Can have the same file name for different user

□ Efficient searching

□ No grouping capability

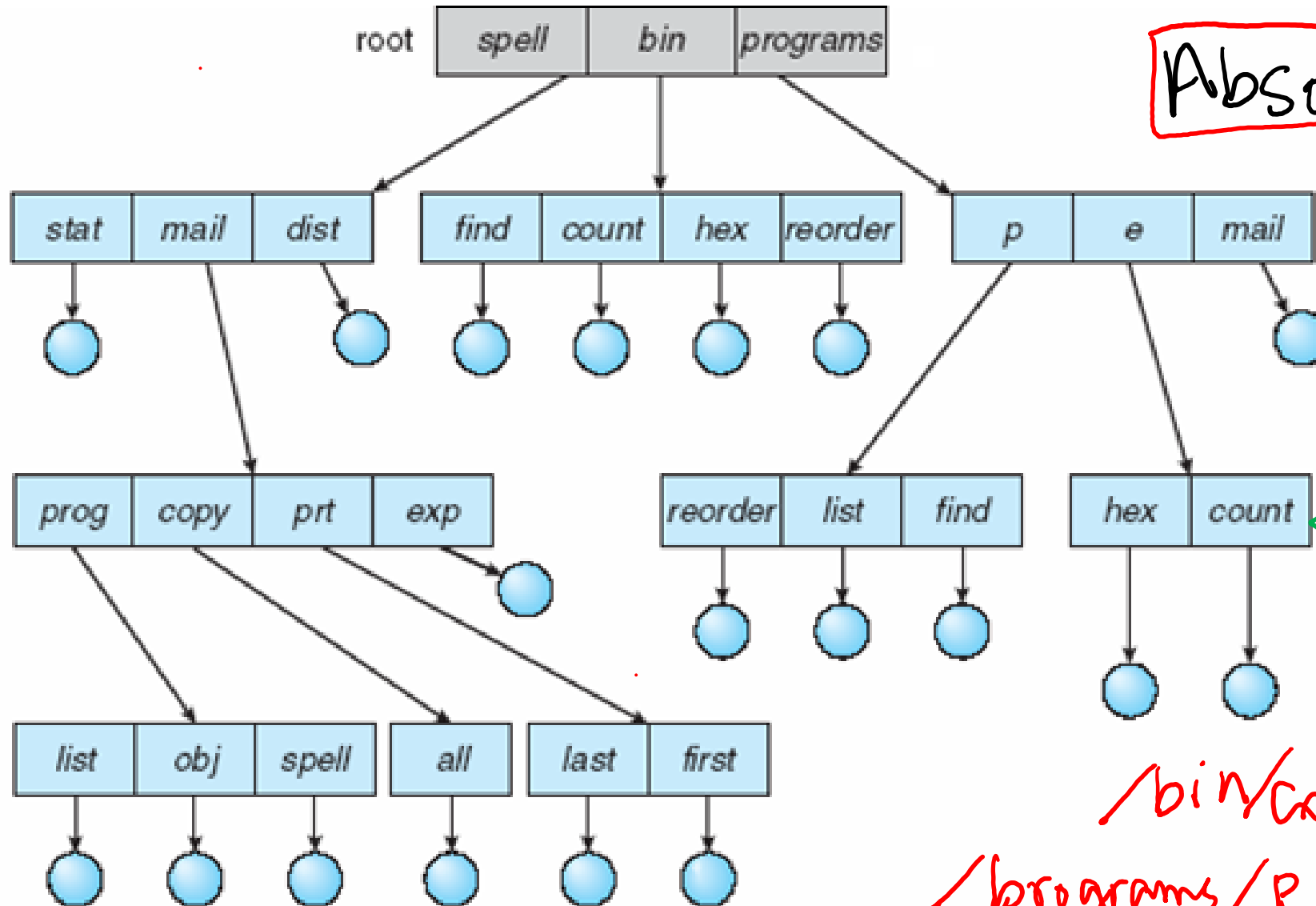
ls (executable)





# Tree-Structured Directories

*n-level tree*



**Absolute** vs  
**Relative**  
path

*../..../..../*

*/bin/count*  
*/programs/p/list*





# Tree-Structured Directories (Cont.)

---

- ❑ Efficient searching
- ❑ Grouping Capability
- ❑ Current directory (working directory)
  - ❑ `cd /spell/mail/prog`
  - ❑ `type list`







# Tree-Structured Directories (Cont)

- ❑ **Absolute** or **relative** path name
- ❑ Creating a new file is done in current directory
- ❑ Delete a file

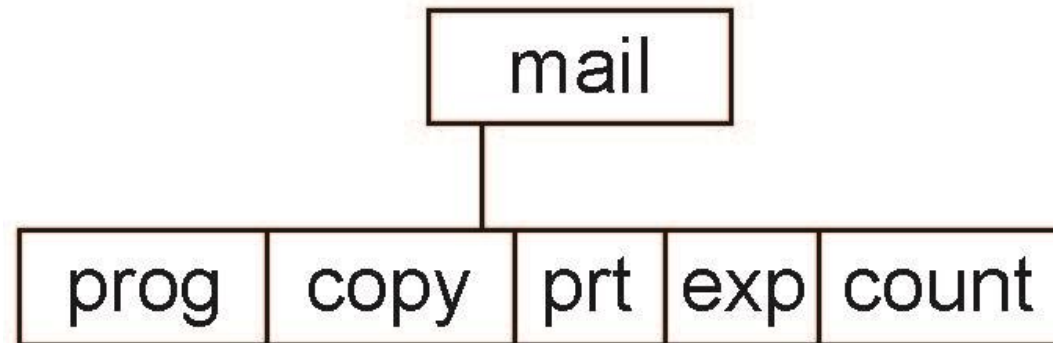
**rm <file-name>**

- ❑ Creating a new subdirectory is done in current directory

**mkdir <dir-name>**

Example: if in current directory **/mail**

**mkdir count**



Deleting “mail”  $\Rightarrow$  deleting the entire subtree rooted by “mail”





# Protection

---

- File owner/creator should be able to control:
  - what can be done
  - by whom
- Types of access
  - **Read**
  - **Write**
  - **Execute**
  - **Append**
  - **Delete**
  - **List**

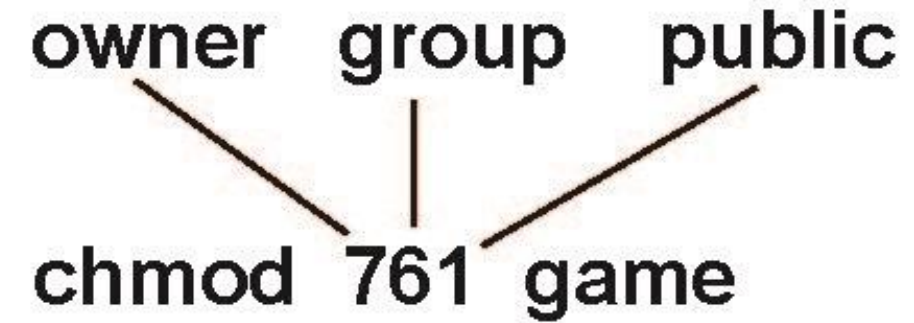




# Access Lists and Groups

- Mode of access: read, write, execute
- Three classes of users on Unix / Linux

			RWX
a) <b>owner access</b>	7	⇒	1 1 1
			RWX
b) <b>group access</b>	6	⇒	1 1 0
			RWX
c) <b>public access</b>	1	⇒	0 0 1



- Ask manager to create a group (unique name), say G, and add some users to the group.
- For a particular file (say *game*) or subdirectory, define an appropriate access.

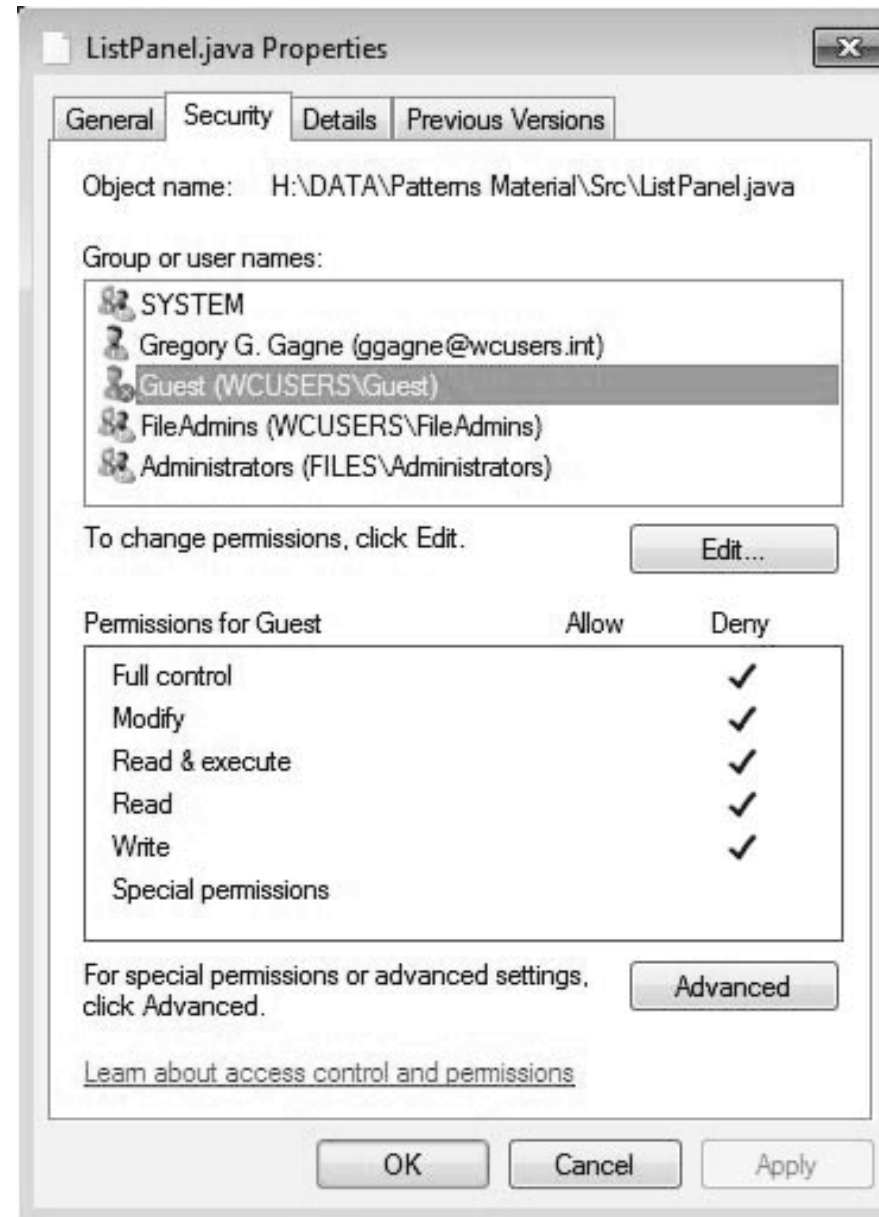
Attach a group to a file

chgrp      G      game





# Windows 7 Access-Control List Management





# A Sample UNIX Directory Listing

-rw-rw-r--	1 pbg	staff	31200	Sep 3 08:30	intro.ps
drwx-----	5 pbg	staff	512	Jul 8 09:33	private/
drwxrwxr-x	2 pbg	staff	512	Jul 8 09:35	doc/
drwxrwx---	2 pbg	student	512	Aug 3 14:13	student-proj/
-rw-r--r--	1 pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1 pbg	staff	20471	Feb 24 2003	program
drwx--x--x	4 pbg	faculty	512	Jul 31 10:31	lib/
drwx-----	3 pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3 pbg	staff	512	Jul 8 09:35	test/





# ADDITIONAL SLIDES

---





# Allocation Methods - Contiguous

- An allocation method refers to how disk blocks are allocated for files:
- **Contiguous allocation** – each file occupies set of contiguous blocks
  - Best performance in most cases
  - Simple – only starting location (block #) and length (number of blocks) are required
  - Problems include finding space for file, knowing file size, external fragmentation, need for **compaction off-line** (**downtime**) or **on-line**

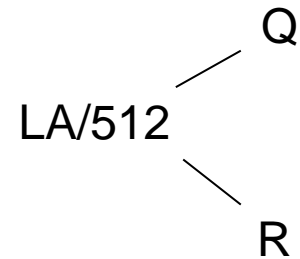




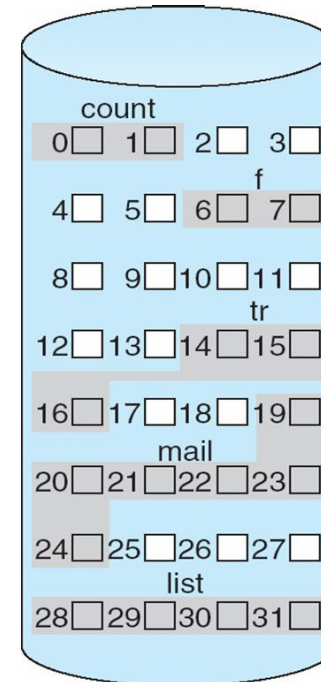


# Contiguous Allocation

- Mapping from logical to physical



Block to be accessed = Q +  
starting address  
Displacement into block = R



directory

file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2







# Allocation Methods - Linked

- ❑ **Linked allocation** – each file a linked list of blocks
  - ❑ File ends at nil pointer
  - ❑ No external fragmentation
  - ❑ Each block contains pointer to next block
  - ❑ No compaction, external fragmentation
  - ❑ Free space management system called when new block needed
  - ❑ Improve efficiency by clustering blocks into groups but increases internal fragmentation
  - ❑ Reliability can be a problem
  - ❑ Locating a block can take many I/Os and disk seeks





# Allocation Methods – Linked (Cont.)

---

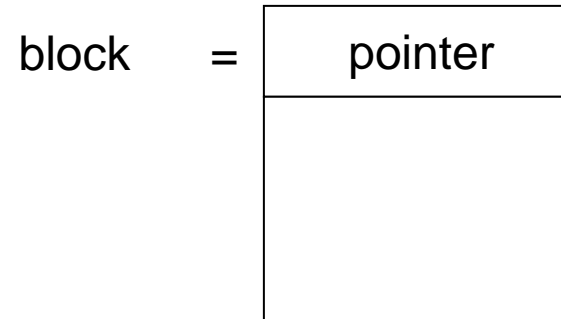
- FAT (File Allocation Table) variation
  - Beginning of volume has table, indexed by block number
  - Much like a linked list, but faster on disk and cacheable
  - New block allocation simple



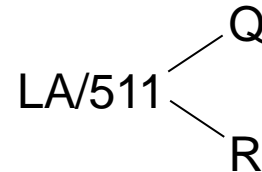


# Linked Allocation

- Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk



- Mapping



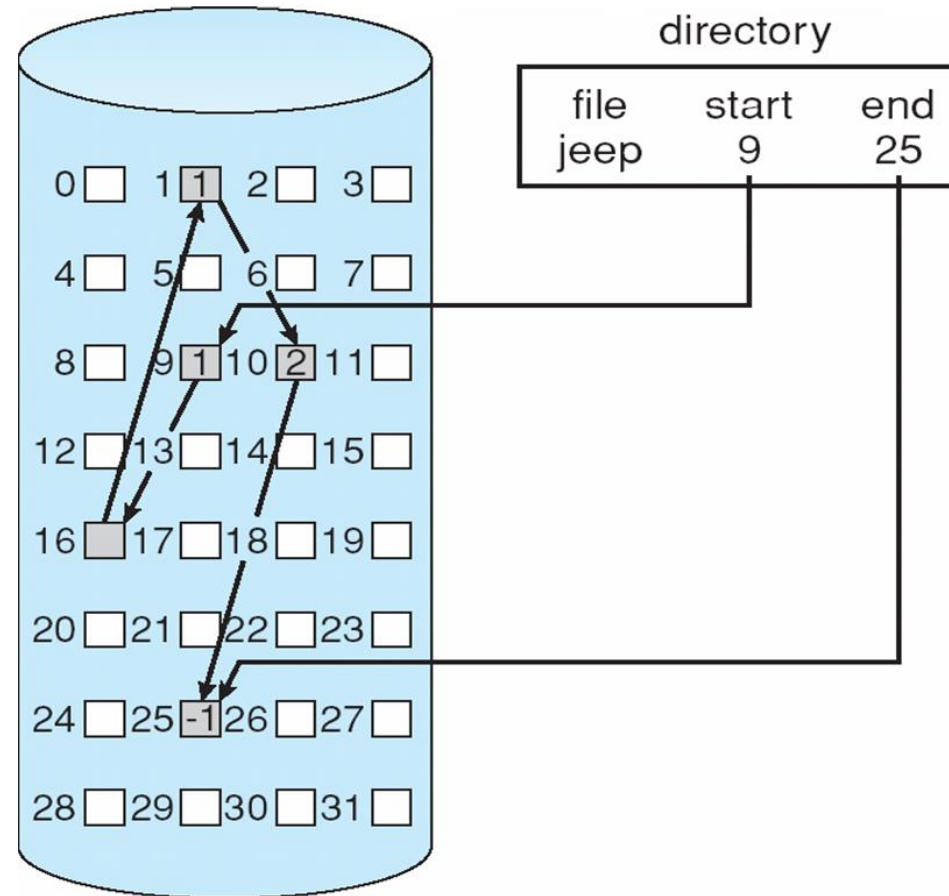
Block to be accessed is the Qth block in the linked chain of blocks representing the file.

Displacement into block =  $R + 1$



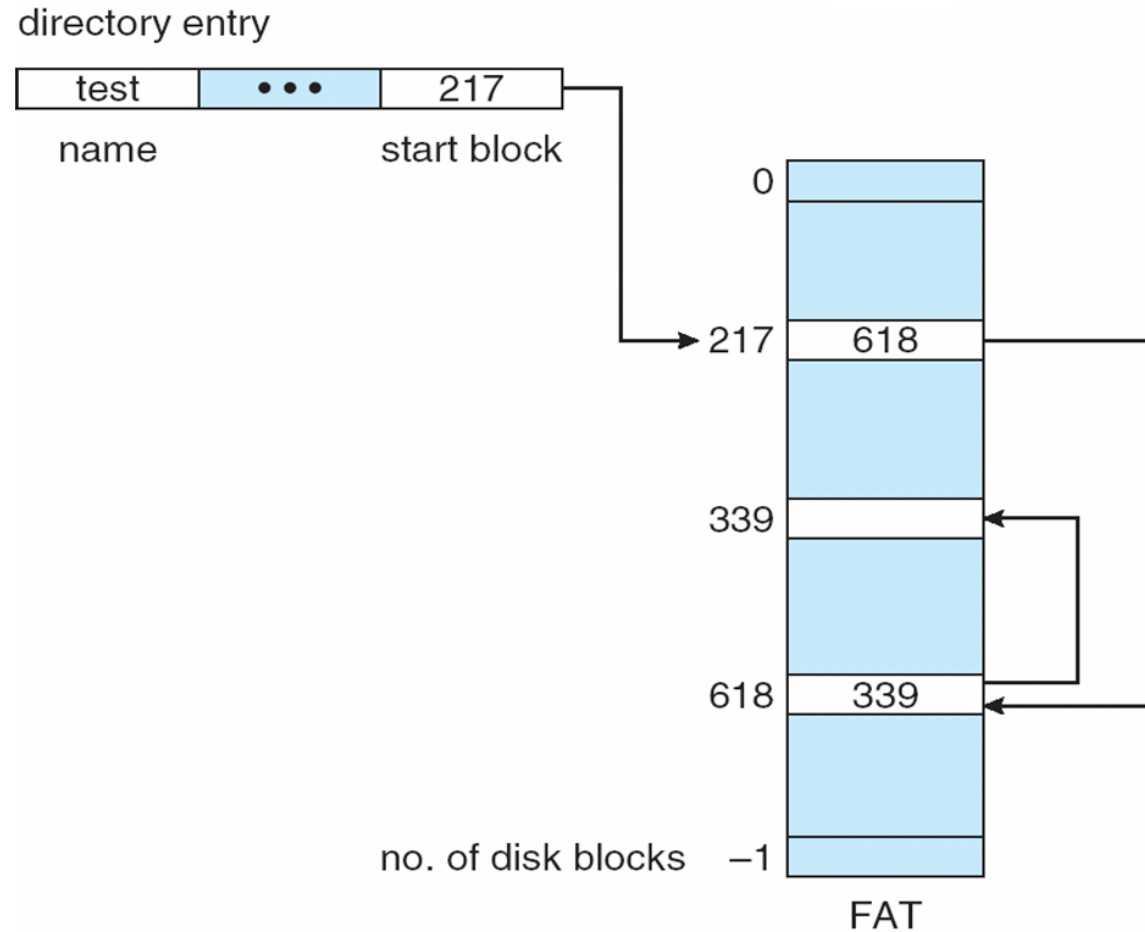


# Linked Allocation





# File-Allocation Table



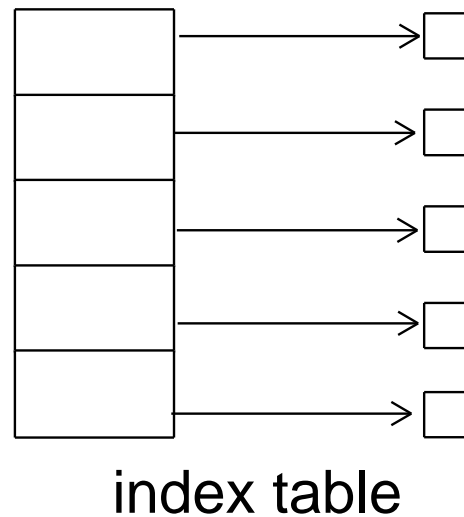


# Allocation Methods - Indexed

- Indexed allocation

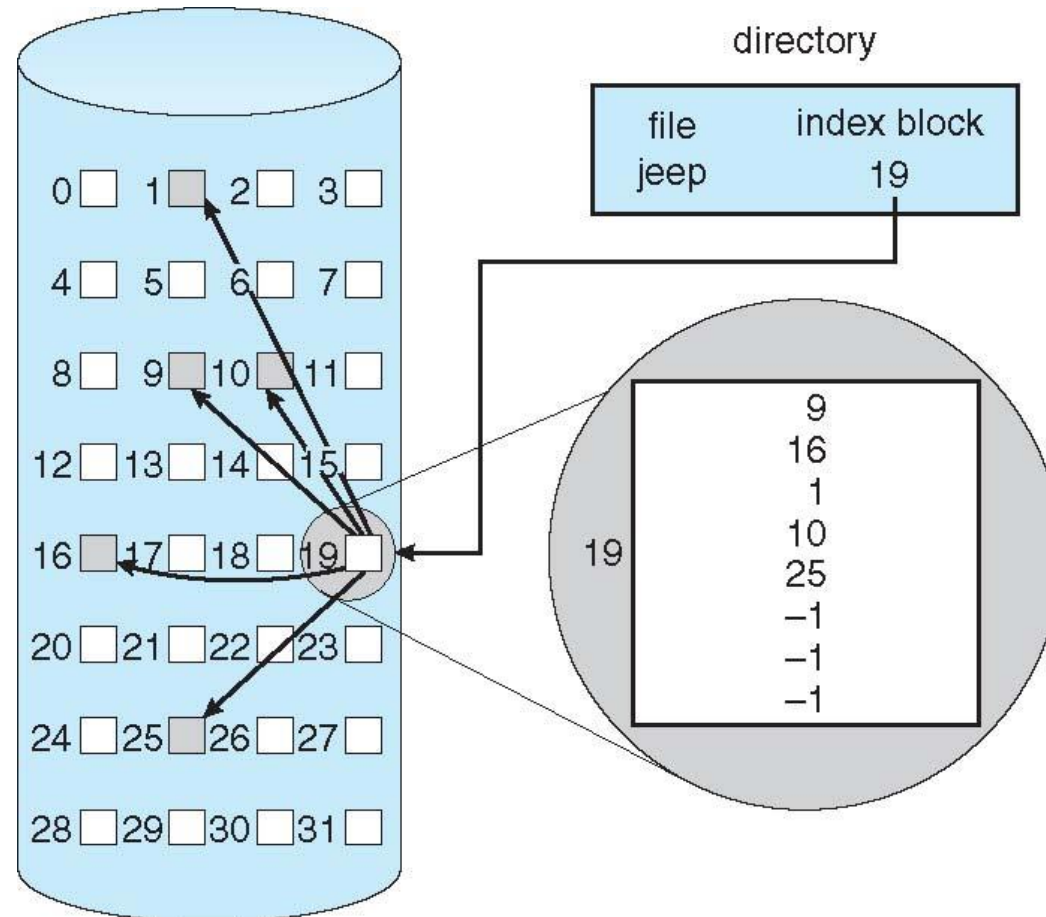
- Each file has its own **index block**(s) of pointers to its data blocks

- Logical view





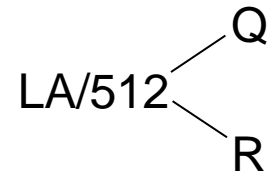
# Example of Indexed Allocation





# Indexed Allocation (Cont.)

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block
- Mapping from logical to physical in a file of maximum size of 256K bytes and block size of 512 bytes. We need only 1 block for index table



$Q$  = displacement into index table

$R$  = displacement into block







# Indexed Allocation – Mapping (Cont.)

- Mapping from logical to physical in a file of unbounded length (block size of 512 words)
- Linked scheme – Link blocks of index table (no limit on size)

$$LA / (512 \times 511) \begin{cases} Q_1 \\ R_1 \end{cases}$$

$Q_1$  = block of index table  
 $R_1$  is used as follows:

$$R_1 / 512 \begin{cases} Q_2 \\ R_2 \end{cases}$$

$Q_2$  = displacement into block of index table  
 $R_2$  displacement into block of file:





# Indexed Allocation – Mapping (Cont.)

- Two-level index (4K blocks could store 1,024 four-byte pointers in outer index -> 1,048,567 data blocks and file size of up to 4GB)

$$LA / (512 \times 512) \begin{cases} Q_1 \\ R_1 \end{cases}$$

$Q_1$  = displacement into outer-index

$R_1$  is used as follows:

$$R_1 / 512 \begin{cases} Q_2 \\ R_2 \end{cases}$$

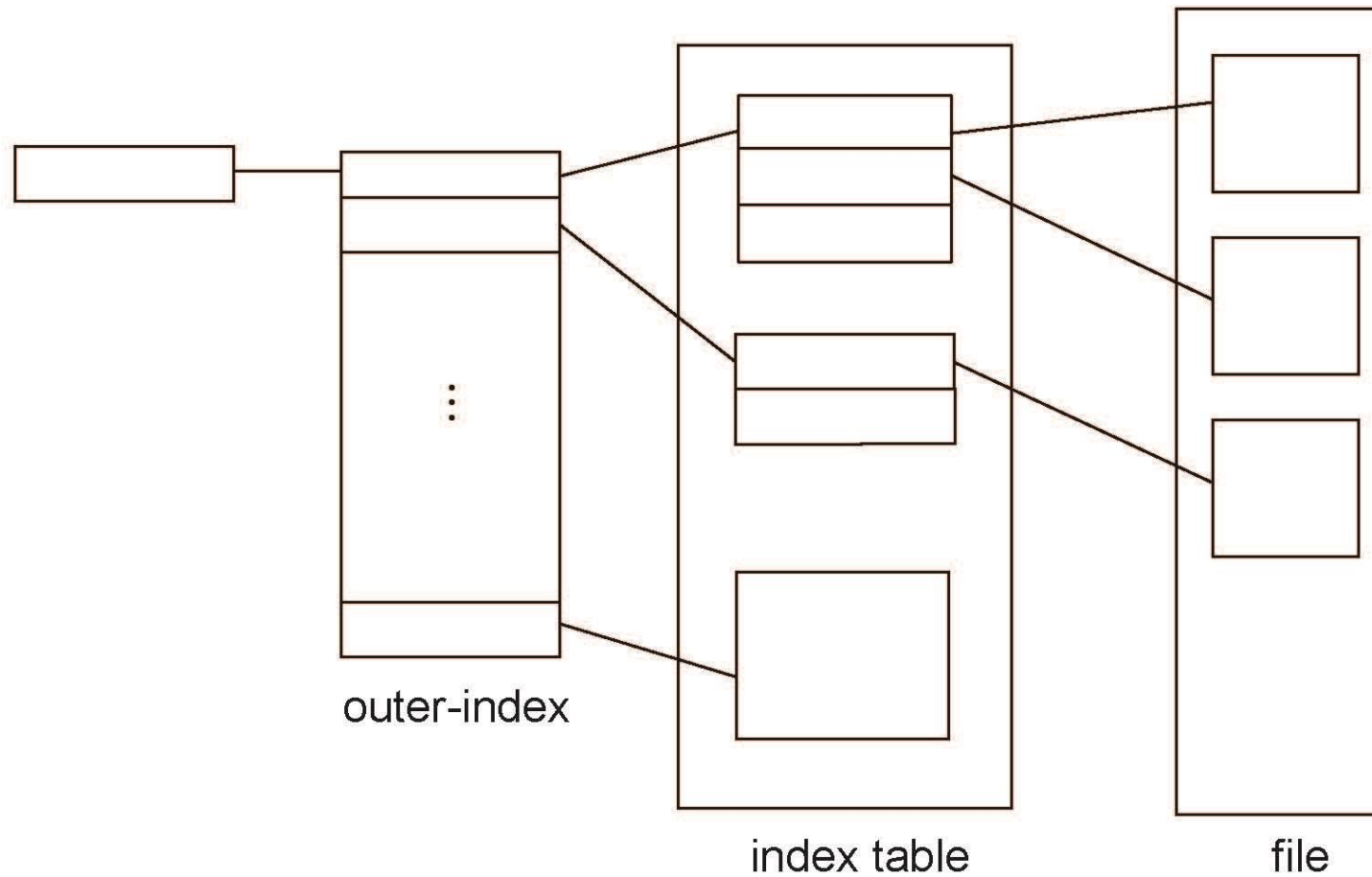
$Q_2$  = displacement into block of index table

$R_2$  displacement into block of file:





# Indexed Allocation – Mapping (Cont.)



# End of Chapter 11

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