

Relational DB Mysal

Metadata. \Rightarrow

Schema

Structure of Tables.

Relation

Table

Tuple/Row/State of DB

DBMS 2: Functional Dependencies + Anomalies + Normalization

Functional Dependency

in a table.

Functional Dependency is a set of constraints between two attributes in a relation.

Functional Dependency is represented by an arrow sign (\rightarrow) i.e. $X \rightarrow Y$, where X functionally determines Y. If the value of X is known we can uniquely identify the value of Y.

Here, X (left side) is **determinant** and Y (right side) is **dependent**.

$X \rightarrow Y$

Eg:

1. **Contact_Number \rightarrow User_Name**, if we know the contact number of a user then we can uniquely identify the user name.
2. **User_Id \rightarrow User_Name, Contact_Number, Email_Id** if we know the user id of a user then we can uniquely identify the user name, contact number & email id.
3. **Pincode \rightarrow City**, etc.

Rules for Functional Dependencies:

1. **Reflexive Rule:** If **X1** is a subset of **X** then **X \rightarrow X1**.

Eg: $X = \{\text{User_Name, Contact_Number, User_Email}\}$

$X \rightarrow \text{Contact_Number (X1)}$

$X \rightarrow \text{User_Name, Contact_Number (X1) ... and so on.}$

2. **Augmentation Rule:** If **X \rightarrow Y** then **XZ \rightarrow YZ** for any **Z**.

Eg: $X = \{\text{User_Id}\}$, $Y = \{\text{User_Name}\}$, $Z = \{\text{Contact_Number}\}$

If $\text{User_Id} \rightarrow \text{User_Name}$ then

$\text{User_Id, Contact_Number} \rightarrow \text{User_Name, Contact_Number}$

$X \rightarrow Y$

$Y \rightarrow Z$

3. **Transitive Rule:** If **X \rightarrow Y** & **Y \rightarrow Z** then **X \rightarrow Z**.

Eg: $X = \{\text{User_Id}\}$, $Y = \{\text{Contact_Number}\}$, $Z = \{\text{User_Name}\}$

If $\text{User_Id} \rightarrow \text{Contact_Number}$ & $\text{Contact_Number} \rightarrow \text{User_Name}$ then

$\text{User_Id} \rightarrow \text{User_Name}$.

$X \rightarrow Z$

4. **Union Rule:** If **X \rightarrow Y** and **X \rightarrow Z** then **X \rightarrow Y, Z**.

Eg: $X = \{\text{User_Id}\}$, $Y = \{\text{Contact_Number}\}$, $Z = \{\text{User_Name}\}$

If $\text{User_Id} \rightarrow \text{Contact_Number}$ & $\text{User_Id} \rightarrow \text{User_Name}$ then

User_Id \rightarrow Contact_Name, User_Name.

5. Decomposition Rule / Project Rule: (Reverse of Union Rule).

If $X \rightarrow Y, Z$ then $X \rightarrow Y$ and $X \rightarrow Z$.

Eg: $X = \{User_Id\}$, $Y = \{Contact_Number\}$, $Z = \{User_Name\}$

If $User_Id \rightarrow Contact_Number, User_Name$ then

$User_Id \rightarrow Contact_Number$ & $User_Id \rightarrow User_Name$.

6. Pseudo Transitive Rule: If $X \rightarrow Y$ and $YZ \rightarrow W$ then $XZ \rightarrow W$.

Eg: $X = \{User_Id\}$, $Y = \{Contact_Number\}$, $Z = \{User_Name\}$, $W = \{User_Email\}$

If $User_Id \rightarrow Contact_Number$ and

$Contact_Number, User_Name \rightarrow User_Email$ then

$User_Id, User_Name \rightarrow User_Email$.

$X \rightarrow Y$

$\Rightarrow Y$ is dependent on X .

Types of Functional Dependencies:

<p>Trivial: If in $X \rightarrow Y$, Y is a subset of X then it's called a trivial functional dependency.</p> <p>Eg: $User_Id, User_Name \rightarrow User_Name$.</p> <p>$X \cap Y = Y$</p>	<p>Non-Trivial: If in $X \rightarrow Y$, Y is not a subset of X then it's called a non-trivial functional dependency.</p> <p>Eg: $User_Id, User_Name \rightarrow User_Name, User_Email$.</p> <p>$X \cap Y \neq \emptyset$</p>	<p>Completely Non-Trivial: If in $X \rightarrow Y$, $X \cap Y = \emptyset$ (empty) then it's called completely non-trivial functional dependency.</p> <p>Eg: $User_Id \rightarrow User_Email, User_Name$.</p>
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$X \rightarrow Y$

$X \cap Y = \emptyset$

$Zip \rightarrow City$

$Id \rightarrow Name$

$Id \rightarrow Email$

$Id \rightarrow Name, Email$

Id	Name	Email
1	Radha	radha@gmail.com

$Id, Name \rightarrow Id, Email$

Id	Zip	City
1	127021	Bhimani
2	127021	Bhimani

$Zip \rightarrow City$

If a database design is not perfect, it may contain anomalies (problems), which are like a bad dream for any database administrator. Managing a database with anomalies is next to impossible.

$X \rightarrow Y$

$X \rightarrow X \rightarrow Y$

The Problem of redundancy in Database

If $t_1[X] = t_2[X]$
then $t_1[Y] = t_2[Y]$

Redundancy means having multiple copies of the same data in the database. This problem arises when a database is not normalized. Suppose in a college, a table of student details attributes are

$t_1[Cid] = t_2[Cid]$

$Cid \rightarrow PName$

$t_1[PName] = t_2[PName]$

$PId \rightarrow PName$

	Cid	PId	PName
t_1	1	1	Apple iPad
t_2	1	2	Inkone
t_3	2	3	Tree
t_4	3	1	Apple iPad

IRCTC

11th Apr

Part

Active DB
Passive DB. → soft delete

student Id, student name, contact number, college name, course opted & college rank.

SELECT * FROM M Tech WHERE course = 'B Tech'?

Student_ID	Name	Contact	College	Course	Rank
100	Himanshu	7300934851	GEU	Btech	1
101	Ankit	7900734858	GEU	Btech	1
102	Aysuh	7300936759	GEU	Btech	1
103	Ravi	7300901556	GEU	Btech	1

allows NULL

4 BT
0 MT

5 Total

104 Karthik — GEU — 1

As it can be observed that values of attribute college name, college rank, the course is being repeated which can lead to problems. **Problems caused due to redundancy are Insertion anomaly, Deletion anomaly, and Updation anomaly.**

1. Insertion Anomaly –

- If a student detail has to be inserted whose course is not being decided yet then insertion of complete data will not be possible till the time course is decided for the student.
- Although we have an option of inserting incomplete data, that can cause problems if the data is used before adding complete data.
- For eg: If we query to count the number of students who are in a particular course, this student will not be counted in any course but ideally, the sum of students in all courses should be equal to the total number of students in the college.
- This problem happens when the insertion of a data record is not possible without adding some additional unrelated data to the record, eg: adding NULL in the course column.

Student_ID	Name	Contact	College	Course	Rank
100	Himanshu	7300934851	GEU		1

2. Deletion Anomaly –

- If the current batch graduates and we delete all the data of the students then the details of college (GEU college has rank 1) will also get deleted which should not occur ideally.

- b. This anomaly happens when deletion of a data record results in losing some unrelated information that was stored as part of the record that was deleted from a table.

3. Update Anomaly –

- a. Suppose if the rank of the college changes then changes will have to be all over the database which will be time-consuming and computationally costly.
- b. If the update does not occur at all places then the database will be in an inconsistent state.

Student_ID	Name	Contact	College	Course	Rank
100	Himanshu	7300934851	GEU	Btech	2 nd
101	Ankit	7900734858	GEU	Btech	2 nd
102	Aysuh	7300936759	GEU	Btech	1 st
103	Ravi	7300901556	GEU	Btech	1 st

All places should be updated

Start at 10.30

Normalization

Why? → to reduce anomalies

→ redundancy.

What?

- Normalization is the process of organizing the data in the database.
- Normalization is used to minimize the redundancy from a relation or set of relations. It is a method to remove all the anomalies and bring the database to a consistent state.

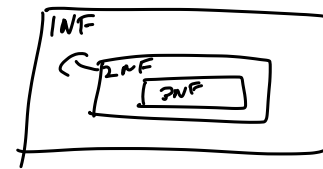
How?

↳ few normal forms.

1NF / 2NF / 3NF | BCNF

how?

$2NF = 1NF + \text{---}$
 $3NF = 2NF + \text{---}$
 $4NF = 3NF + \text{---}$



Everything in 2NF is in 1NF.

- Normalization divides the larger table into the smaller table and links them using relationships.
- Normalization is a stepwise process and it goes from First Normal Form → Second Normal Form → Third Normal form → BC Normal Form. → 4 NF ...

First Normal form (1NF):

1NF ⇒ No multi-valued attributes.

Each attribute should have an atomic value, multi-value attributes are not allowed.

If one user has multiple contact numbers then storing it in one column looks like

User_Id	User_Name	User_Contact
1234	Utkarsh	9876598765, 9898765765
2468	Karan	9876543210, 9898989898, 9897969594

What's the issue?

Query x
Insertion
Deletion
Update } calls

To convert this data in 1NF we have two options:

- Have multiple columns for contact numbers like:

User_Contact_1, User_Contact_2, and User_Contact_3.

User_Id	User_Name	User_Contact_1	User_Contact_2	User_Contact_3
1234	Utkarsh	9876598765	9898765765	NULL
2468	Karan	9876543210	9898989898	9897969594

Que: What are the problems that can happen due to this?

But this will:

- If there are multiple users who don't have 3 contact numbers then most of the cells will be marked NULL, wasting some space that could be avoided.
- Restricting the user who has more than 3 contact numbers as adding a new column for only a single user is not ideal because that column will have NULL for all other users.

Sufficient / 1NF

Can 2 people have the same phone num?

NO

2. Have multiple rows to store different contact numbers.

~~| User_Id | User_Name |
|---------|-----------|
| 1234 | Utkarsh |
| 2468 | Karan |~~

User_Id → User_Name

User_Id	User_Name	User Contact
1234	Utkarsh	9876598765
1234	Utkarsh	9898765765
2468	Karan	9876543210
2468	Karan	9898989898
2468	Karan	9897969594

~~| User_Id | Contact Num |
|---------|-------------|
| 1234 | 98-95 |
| 1234 | 98-65 |
| 2468 | |
| 2468 | |
| 2468 | |~~

Que: What are the problems that can happen due to this?

But this will increase the redundancy & waste some space as we are storing User_Id and User_Name multiple times - But this can be taken care of as we go to 2NF.

Second Normal Form (2NF):

Every non-prime attribute should be fully functionally dependent on the prime key attribute. That is, if $X \rightarrow A$ holds, then there should not be any proper subset Y of X, for which $Y \rightarrow A$ also holds true.

Prime key Attribute: Attributes that are part of one of the candidate keys.

2NF

No partial dependency

Student_Project

1NF

Stu_ID	Proj_ID	Stu_Name	Proj_Name
--------	---------	----------	-----------

1
2
1

2
2

Sahil
Jagsh
Sahil

DBMS
OS
OS

⇒ 1 student can be part of many projects
W ⇒ 1 project can have many students

We see here in Student_Project relation that the prime key attributes are Stu_ID and Proj_ID. According to the rule, non-key attributes, i.e. Stu_Name and Proj_Name

Proj_ID → Proj_Name
Stu_ID → Stu_Name

Partial Functional Dependency

No partial dep. \Rightarrow Both in 2NF.

<u>stu_id</u>	proj-id	stu_name
1	1	Anant
2	2	x
3	2	y

<u>proj-id</u>	projname
1	DBMS
2	OS
3	—

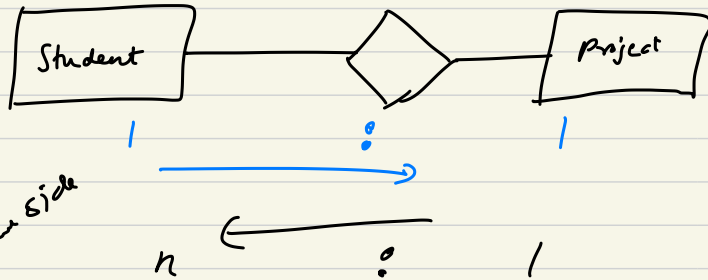
Rule of Thumb

Keep the id on the many side for other table.

One-to-many

1 : 1
on one side

1 : n
on other side



1 : n 1 student can do many projects
 m : 1 1 project can have many students

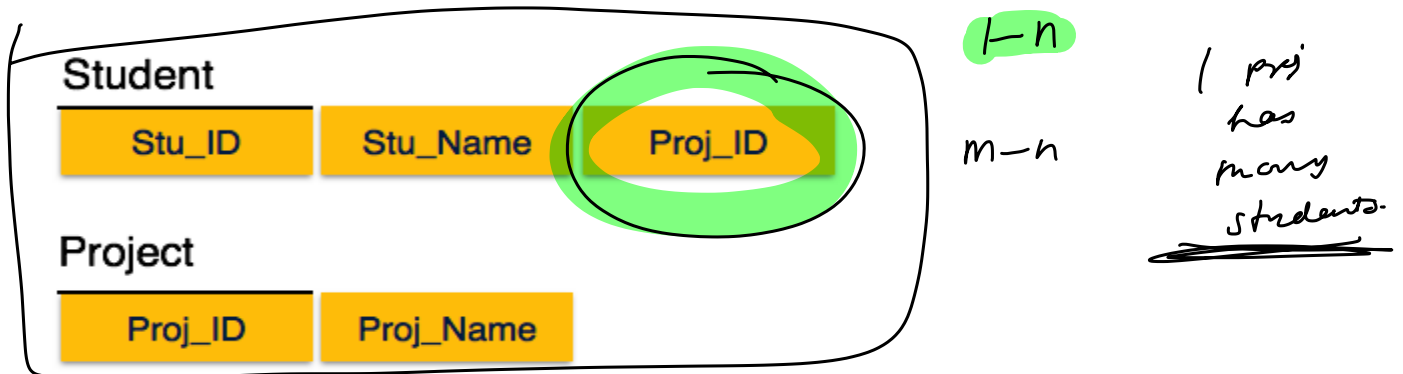
Many-to-many

1 : n on both sides

No non-prime attribute should depend on a subset/ part (not full) key.

must be dependent upon both and not on any of the prime key attributes individually. But we find that **Stu_Name** can be identified by **Stu_ID** and **Proj_Name** can be identified by **Proj_ID** independently. This is called **partial dependency**, which is not allowed in the Second Normal Form.

We broke the relation in two as depicted below. So there exists no partial dependency.



Que: What is the need for Proj_ID in the Student table?

Ans: Proj_ID is a foreign key in the Student table that is creating a link between the two tables.

Prime attributes
part of the CK

M₆N

<u>Student_Id</u>	<u>Project_Id</u>	Student_Name	Project_Name
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If we have **Many :: Many** → **Project :: Student** then having **Student_Id** in **Project Table** or having **Project_Id** in **Student table** will make it a **multi-valued attribute**, **hence we need 3 tables where the third table will be a relationship table.**

Student Table – [Student_Id, Student_Name]

Project Table – [Project_Id, Project_Name]

Student_Project Table – [Student_Id, Project_Id]

Relationship Table

Sid	Pid
1	1
1	2
2	1

NO nonprime

<u>Sid</u>	Sname
1	Sahil
2	Jitish

Sid → Sname

<u>Pid</u>	Pname
1	DBMS
2	OS

Pid → Pname

Third Normal Form (3NF):

No **non-prime** attribute is **transitively dependent** on the **prime** key attribute.

For every **non-trivial functional dependency**, $X \rightarrow Y$, either **X** is a super key or **Y** is a **prime attribute**.

In simple words, if we have a transitive dependency in the **User** table, where **User_Id** is the primary key, like:

UId	UZip	UCity
1	127021	Bhiwani
2	127021	Bhiwani

<u>User_Id</u>	User_Zip	User_City
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$$X \rightarrow Y, Y \rightarrow Z \Rightarrow X \rightarrow Z$$

1NF ✓

2NF ✓

3NF ✗

3NF

||

No

transitive

dependencies

Here, $User_Id \rightarrow User_Zip$ & $User_Zip \rightarrow User_City$ therefore, by Transitive Dependency $User_Id \rightarrow User_Zip \rightarrow User_City$.

In this case, **neither User_Zip is a super key** (as it cannot identify any row uniquely - there can be multiple users living in the same zip location) nor **User_City** is a prime attribute (not part of any candidate key). Hence, there is a transitive partial dependency that violates **3NF**.

To convert this into **3NF** keep all the user data in the **User** table except **User_City**, and have a separate table of [**Zip, City**] where Zip is a primary key.

User Table

<u>User_Id</u>	User_Zip
----------------	----------

City Table

<u>Zip</u>	City
------------	------

<u>UId</u>	UZip
1	127021
2	127021

$$X \rightarrow Y$$

$$Y \rightarrow Z$$

$$\Rightarrow \underline{\underline{X \rightarrow Z}}$$

127021 Bhiwani

Boyce-Codd Normal form (BCNF):

It is an **extension of 3NF** which states that for any **non-trivial** functional dependency, **$X \rightarrow Y$** , **X** must be a super key.

For eg: In the above example, **Zip** \rightarrow **City** where **Zip** was a super key, and **User_Id** \rightarrow **Zip** where **User_Id** was a super key, therefore it was in **BCNF** form as well.

Consider this table:

<u>User_Id</u>	User_Name	Country_Code	Country_Name
1234	Utkarsh	+91	India
5678	Abhishek	+91	India
2468	Karan	+1	US

In this table we have a functional dependency **Country_Code** \rightarrow **Country_Name** in which **Country_Code** is not a super key (as it cannot find a row uniquely) hence this table is not in **BCNF**.

To convert the above data into BCNF, have separate tables for User data that does not have Country_Name, and have a separate table for Country data.

<u>User_Id</u>	User_Name	Country_Code
1234	Utkarsh	+91
5678	Abhishek	+91
2468	Karan	+1

<u>Country_Code</u>	Country_Name
+91	India \rightarrow Bharat
+1	US

Summary:

1NF = No multi-valued attribute allowed.

In every non-trivial functional dependency, $X \rightarrow Y$:

2NF = No partial dependency

3NF = No transitive dependency

BCNF = X must be a super key.

= next class

Denormalization

From a purist point of view, you want to normalize your data as much as possible, but from a practical point of view you will find that you need to 'back out' of some of your normalizations for performance reasons. This is called "denormalization".

W

<u>Wed</u>	<u>Fri</u>
✓ 1 → 3NF + BCNF	Handwritten
✓ 2 → FD Rules	Notes
3 → ERD	on
4 → Cardinality	SQL.
• Transactions : ACID.	
• Indexing. (B-Tree)	

{ User
 => 1 number.
 => 1 email

name	Contact-num	email
Utkarsh	91--91 011--	
Utkarsh	91--96 012--	

CK = Contact-num
 or
 email

PK =

Summary:

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Denormalization

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