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| SUMMARY |
| Shubham Sharma is an experienced Business/Data Analyst with fifteen years of experience across Business/Data Analyst, Quality Analyst roles in the Banking and Insurance Domain. In last project worked with Wellington Bank. Good experience in ETL Flow Testing using SQL, Oracle, PL/SQL, SQL Assistant, Stored Procedures. Involved in identifying Business/Data requirements and converting in to data models.  Extensive knowledge of Capital Market Domains/BFSI in Swaps, Collateral, Derivatives (Future and Options), Equity Trading and Front end/ Back Office Trading applications |

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| WORK EXPERIENCE: | | | | |
| **Publicis Sapient January 2007 to Present** | | | | |
| **Wellington-PAT- Business/Data Analysit** | | | | |
| **Description:** PAT (Portfolio Analysis Tool)- This tool is used for analysis of day-to-day securities (Equity, Debt, TRS, Bonds etc.) transactions. We can generate various reports based on data fetched through multiple sources (IVPS, Prism etc.). In wellington, it is used to create and maintain various OTC Products (TRS, IRS, CDS etc.) and for Portfolio risk analysis. | | | | |
| * **Roles and Responsibilities: -**Integration testing in PAT with (IVPS, Prism, IBOR etc. | | | | |
| * Led cross-functional teams and orchestrated the product development lifecycle to deliver high-quality software products for top-tier clients. * Demonstrated expertise in requirement gathering, business analysis, and product design to align customer needs with business goals and stakeholder expectations. * Work closely with the Data Warehouse and Business Intelligence teams to test data load processes. * Perform test regression scenarios to find unexpected issues for releases. * Work with Functional QA and Automate QA team members to achieve Automation goals * Background with UI/DB compatibility testing, data transaction and load test * Knowledge of schema changes, store procedure and database reporting * Identify and document data anomalies then work with development to resolve issues. * Consult with management to provide information regarding software readiness/jeopardy items. * Create and execute database queries in order to test the underlying data changes caused by interactions of software applications. * Use customer data & feeds to perform root cause analysis accurately reporting on results. * Enter and query defects in defect tracking database and generate test cases providing meaningful acceptance criteria in tracking database issues. | | | | |
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| **Skills/Domain:** Finance Domain, Business Analysis, DB Testing, API Testing | | | | |
| **Wellington-iCAMS (Integrated Corporate Actions Management Systems), Tester/Data Analyst:** | | | | |
| **Description:** iCAMS is a workflow base system which provides automated loading, parsing and tracking of Corporate Action Notices in form of MT564 swift messages. iCAMS provide support for all kinds of corporate actions as they get announced in the market. It is built to include all kind of business logics and flows in order to maintain all phases of corporate action life cycle. | | | | |
| My role was to perform below tasks- | | | | |
| • Creating various events through MT 564 Messages and Manually. | | | | |
| • Testing the Events end to end up to Accounting in Geneva. | | | | |
| • JMeter-Used the tool to do Performance Testing and API Testing on some sites. | | | | |
| • API Testing-Testing using POSTMAN and SOAP UI to generate flows-Such as Creating New Flows, Matching Upload and Cancel of Flows by Payload. | | | | |
| • Databases –Used PL/SQL and DreamBeaver | | | | |
| • AWS-To see logs and reports. | | | | |
| • Executing Automation script for Regression and Smoke. | | | | |
| • Functional, Integration and Regression testing of various applications. | | | | |
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| **Skills/Domain:** Manual Testing, SQL, JIRA, Functional Testing, Regression Testing | | | | |
| **Wellington-Derivatives Team, Business Analyst/Test Analyst** | | | | |
| * **Description:** DOS project is the back-office interface for clearing and settlement of OTC Derivatives at Wellington. I was also working on Calypso which is a tool used in Capital Markets for trading, create and maintain various security and risk Management. In wellington, It is used to create and maintain various OTC Products (TRS, IRS, CDS etc.) and for risk analysis. * Conducted analysis for systems used including various web and windows applications, reporting suites, and message handlers. * Created demo documents to showcase new release features and increase user understanding through diagrams and user stories. * Gathered requirements from stakeholders across regions and documented them, including BRD and FRD updates. * Published test plans for upcoming releases, specifying resource allocation, scope, approach, timelines, and activities, as * Developed complex test scenarios and scripts using JIRA. * Scope Management, Estimation, Analysis, Design and Improvement Processes * Involved in investigating production issues. | | | | |
| **Skills/Domain:** Finance Domain, Manual Testing, Functional Testing, Regression Testing, API Testing | | | | |
| **COLT (Goldman Sachs), Business Analyst / Test Analyst** | | | | |
| **Description:** COLT (Client Onboarding and Lending Technology) is a lending platform that will offer non-purpose bank lending to Clients. | | | | |
| * As a test Analyst and Test Engineer I am responsible for- | | | | |
| * Analyzing assigned stories and discuss with BAs and creating Test Cases and Test Data | | | | |
| * Doing Function Testing and creating defects in Jira | | | | |
| * Testing Business rules such as collateral rules for new and existing securities | | | | |
| * Job and Feeds Testing-Feed are configured to in-out data through FTP and Web services. | | | | |
| * End to End testing for Onboarding New Business Users such Fidelity and LPL | | | | |
| * Checking Security data if Price and other security details are flowing in COLT. | | | | |
| Skills/Domain: Manual Testing, | | | | |
| Database – Sybase, SQL | | | | |
| Tools-JIRA, Confluence, WINSCP | | | | |
| **Skills/Domain:** Manual Testing, SQL, JIRA, Testing | | | | |
| **Franklin Templeton-Security Master, USA, Senior QA L1** | | | | |
| **Description:** It’s a Reference Data Project to create a Security Master tool for Institution, Equity, Cash and other Instruments. The tool names as GAIN Security Master from AIM. Sapient is doing Configuration layer to setup Business rules while AIM Team is Delivering basic Functionality and making changed in Basic code of the Tool. | | | | |
| As a part of testing, I was responsible for analyzing requirement and creating Test Cases as Specflow and test both Configuration and Basic Tool Functionality. | | | | |
| Here are some basic testing activities which I did a part of Project- | | | | |
| * Analyzing assigned stories and discuss with AIM and Sapient and creating Specflows and Test Cases. | | | | |
| * Executing Specflows in Gain and Function Testing. | | | | |
| * Testing Business rules along with New Functionality implemented by AIM | | | | |
| * Feed Testing-Various in and out Feed testing which are configured to receive and sent data. | | | | |
| * Job Testing-Jobs are configured in Gain to execute at scheduled time and securities and Institution are updated/Created in Gain Security Master. | | | | |
| * Feeds Testing-Feed are configured to received data through FTP and Web services such as Bloomberg, IDC. | | | | |
| * Integration testing-To test as if Messages are flowing to downstream successfully and Gain is receiving ACK/Nack accordingly. | | | | |
| * Feeds Testing From-Bloomberg, IDC, LAM | | | | |
| * Gain receives and send data to another application name Onetis which is a Legacy system FT is using for reference Data. | | | | |
| * Daily reporting and defect logging in QC | | | | |
| * Performance Testing-As part of Performance testing for Load, Volume and Speed | | | | |
| **Tools Used-JIRA, QC, SVN, WINSCP** | | | | |
| **Skills/Domain:** Agile Methodology, SQL, Manual Testing, Capital Markets; | | | | |
| **Citi Group, USA, Test Analyst** | | | | |
| **Description:** It is a Middle office Application; trades from various clients come in for various securities which are processed then flow into Geneva. o CITI has its own Security master (SM) where all securities are saved. Securities are updated from SMC & Bloomberg o CITI has its own Price Marker (PM) where prices of securities are saved, Price at which security is last traded. o Geneva is used as accounting and reporting tool. | | | | |
| Responsibilities: | | | | |
| • Interacting with the client for the requirements. | | | | |
| • Requirement analysis, creating test cases in QC(Quality Center). | | | | |
| • Maintaining the core regression suite. | | | | |
| • Test case execution, test result documentation (using Quality Center) and review. | | | | |
| • Involved in writing SQL queries for the execution of test cases. | | | | |
| • Defect Reporting and tracking defect to closure. | | | | |
| • Status reporting to the client on the daily basis. | | | | |
| **Skills/Domain:** Functional Testing, UNIX, Investment Banking | | | | |
| **Sankaty Front Office Support, Tester** | | | | |
| **Description:** This project was related to working with Front Office Support Track of an Investment Bank. | | | | |
| **Responsibilities:** | | | | |
| • Gathering & analyzing requirements, creating user cases and designing test cases for various modules | | | | |
| • Doing smoke and detail testing and raising defects in Jira | | | | |
| • Performing daily prod validation and sending the report to the team | | | | |
| • Running some QTP scripts to get some report files and monitoring ETL Jobs and inform the team about status of ETL Jobs | | | | |
| • Verifying the changes in Application with database using SQL Queries | | | | |
| • Comparing various reports with Database to verify the data | | | | |
| • Working on technologies like SQL Server 2008R2, Synchronizer 9.5, QTP, Jira, RS3 | | | | |
| **Skills/Domain:** Manual Testing, Database Testing, Prod Support, ETL Monitoring | | | | |
| **Goldman Sachs, Tester** | | | | |
| **Description:** The Aim of the project is to Deliver an industry-leading external website to support the positioning of GSAM as a leading asset manager and create competitive advantage in the marketplace. | | | | |
| Responsibilities: | | | | |
| • Gathering & analyzing requirements, creating user cases and designing test cases for various modules | | | | |
| • Performing smoke and detail testing and raising defects in Jira | | | | |
| • Documentation, reporting, UI testing and coordinating with dev. team in fixing defects | | | | |
| • Performing Regression Testing | | | | |
| • Testing on Middle Office Monitor which for Middle office work on trade. | | | | |
| • Worked on Money Market Regulation Testing | | | | |
| • Testing for various type of STP Trade Parsing Testing | | | | |
| • Testing on Geneva which is a Trade Accounting System | | | | |
| • Checking Trade Price in Price Marker and Security Details on SMC, Bloomberg and Security Master | | | | |
| • Testing on Reports and DB. | | | | |
| **Skills/Domain:** QA | | | | |
| **TD Bank, Business Analyst, Business Support** | | | | |
| **Description:** TDS: Funding, Corp, Lending Support is a support project wherein support is provided to Wall Street Systems (WSS), eMarkets, TDFX Live and Cognotec applications. | | | | |
| Responsibilities: | | | | |
| • Business Requirement analysis, Design Process, Unlocking Deals, Running various reports e.g. Closure of Area, Traiana etc. | | | | |
| • Giving access to Modify Deals and providing support on access issues for Wall Street | | | | |
| • Monitoring different queues and alerts (e.g.- Print Queue, Deal Queue) | | | | |
| • Playing the role of Track lead in this project and incorporating Client Feedback | | | | |
| • Regular and Direct Client interaction onsite | | | | |
| • Providing support for requests related to Wall Street application (Back Office) which are in scope from offshore end | | | | |
| • Updating service desk for requests | | | | |
| • Understanding the working of the Wall Street System (WSS) | | | | |
| • Preparing various reports as per the requirements of the business | | | | |
| **Skills/Domain:** Business Analysis | | | | |
| **GM Support, IT Support** | | | | |
| **Description:** Global Support is responsible for providing Level 1 support to sapient people regarding their issues. | | | | |
| **Responsibilities:** | | | | |
| • Taking successful transition of all the Enterprise Applications including Oracle Applications, Stellent, Pyramid, Oracle HRMS, ResultSpace2, People Portal, Time Tracking, Vacation Tracker, Pivotal, Oracle Staffing & Remedy | | | | |
| • Taking care of all the issues related to IT & Enterprise Applications | | | | |
| • Creating & executing SQL Queries on the Production Server to manage Pyramid, Time Tracking, Pivotal, Vacation Tracker, Stellent & Remedy | | | | |
| • Giving access to the New Hires on all the required Sapient Applications, Sapient Applications to a Contractor, users on Helpdesk console (Remedy) & adding them to different groups in remedy. | | | | |
| • Helping user in resolving their Timecard Utilization & Timecard Adjustment issues | | | | |
| • Adding Sub-Tasks in Time Tracking for a Project and giving proxy access to a user in Oracle Application | | | | |
| • Mapping of users record in Oracle Application according to the geography (Localization) | | | | |
| • Updating Accrual Rate, Roll-over days, accrued days & resolving issues related to vacations in Vacation Tracker. | | | | |
| • Adding & modifying of description in Remedy for all the CTI and giving access to users on Stellent & documents in Stellent | | | | |
| • Giving responsibilities in Oracle Application to the users | | | | |
| • Managing all the Sapient Applications & provide Tier-1 support | | | | |
| **Skills/Domain:** Networking, IT support | | | | |

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| EDUCATION: |
| CFA level 1 candidate, Banking & Finance: CFA Institute, 2018 |
| B.Sc., Science: MJP Rohilkhand University Bareilly, 2013 |  |  |
| 'A' Level, Computer Application: DOEACC, Govt. of India, 2004 |  |  |
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| **SKILLS:** | |
| **Additional Skill(s):** | ETL, Data Warehouse Testing, Functional QA- Analysis |
| **Other Skills:** | • API Testing: - POSTMAN, JMETER | • Domain Experience: Commodities, Equity, Derivatives (Futures, Options, Swaps) , Hedge | Data base : SQL, P/L SQL,Oracle-8i,10g | Domain Tools Expertise : Calypso, AIM/GAIN | QA Management Tools : JIRA, HP ALM / QC |

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| ADDITIONAL DETAILS: |
| **Certification/Trainings** |
| Introduction to Agile and Scrum -- 2023 |
| "Microsoft AZ-900 Certification: Azure Fundamentals" -Udemy -- 2022 |
| [NEW] Ultimate AWS Certified Cloud Practitioner -- 2022 |
| FSI Business Academy | Financial Risk Management Program -- 2022 |
| Acumen training, multiple internal trainings-QBA Training, AGILE, Web Services -- 2020 |
| CFA Foundation exam – CLARITAS Investment Certificate -- 2017 |
| 6-SIGMA Training -- 2010 |
| Post Graduate Diploma in Computer Science |
| Bachelor of Science (B.Sc.) |
| **Awards** |
| Gladiator Award for Best Performance in Team |