

# A SPEECH-TO-TEXT ENABLED CHATBOT USING TRANSFORMER MODEL



DEPARTMENT OF COMPUTER ENGINEERING  
FACULTY OF ENGINEERING & TECHNOLOGY

## MINOR PROJECT

CEN-792

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# INTRODUCTION

- With the development of machine learning and deep learning algorithms, automated voice recognition has become a major study area.
- The use of online communication has resulted in a rapid rise in audio and visual information.
- It has been beneficial for the majority of people, those with special needs, such as the deaf, have few resources at their disposal.
- A speech-to-text Conversion programme is written.



# PROBLEM STATEMENT

- By integrating the transformer architecture into STT systems, the project aims to develop a more responsive and reliable solution, paving the way for enhanced voice-driven applications and more natural human-computer interactions.
- These systems are vital for applications like virtual assistants and transcription services. They enhance user experiences by accurately converting spoken words into text, enabling natural communication with technology.

# Literary review

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| Authors  | Paper Name & Publisher   | Model/<br>Architecture  | Datasets  | Remarks   |
|--|--|---|---|---|
| <b>Yunpeng Liu,<br/>Xukui Yang,<br/>Dan Qu</b> | Exploration of<br>Whisper Fine-<br>tuning<br>Strategies for<br>Low-resource<br>ASR<br>Springer<br>Nature<br>(2024) | Whisper<br>(OpenAI ASR<br>model), Fine-<br>tuned using<br>various<br>strategies | <b>Fleurs dataset<br/>(languages:<br/>Afrikaans,<br/>Belarusian,<br/>Icelandic,<br/>Kazakh, Marathi,<br/>Nepali, Swahili)</b> | This study explores fine-tuning strategies for Whisper in low-resource ASR tasks, including vanilla fine-tuning, specific parameter tuning, and additional modules. Fine-tuning improves performance, but different strategies have trade-offs. |

# Literary review

6

| Authors  | Paper Name & Publisher  | Model/Architecture                               | Datasets   | Remarks   |
|--|---|--|--|---|
| Alec Radford,<br>Jong Wook Kim, Tao Xu,<br>Greg Brockman,<br>Christine McLeavey,<br>Ilya Sutskever | Robust Speech Recognition via Large-Scale Weak Supervision.<br>Published by OpenAI (2022) | Whisper Model: Uses large-scale weak supervision | Diverse internet-based dataset covering 680,000 hours across multiple languages and environments | Highlights the effectiveness of scaling weakly supervised pre-training for ASR, demonstrating robustness in multilingual and multitask settings without fine-tuning |



# Literary review

7

| Authors  | Paper Name & Publisher                | Model/ Architecture  | Datasets  | Remarks  |
|--|---------------------------------------|--|---|--|
| Ashish Vaswani, Noam Shazeer, Niki Parmar, etol. | Attention is All You Need IEEE (2017) | Transformer Architecture: Introduced self-attention and multi-head attention mechanisms, eliminating the need for recurrent networks in sequence tasks | WMT 2014 English-to-German, WMT 2014 English-to-French datasets | This groundbreaking paper introduced the Transformer model, revolutionizing NLP by significantly improving training efficiency and parallelization for sequence tasks. It forms the foundation for many modern models like BERT, GPT, and BERT |

# RESEARCH GAP

**1**

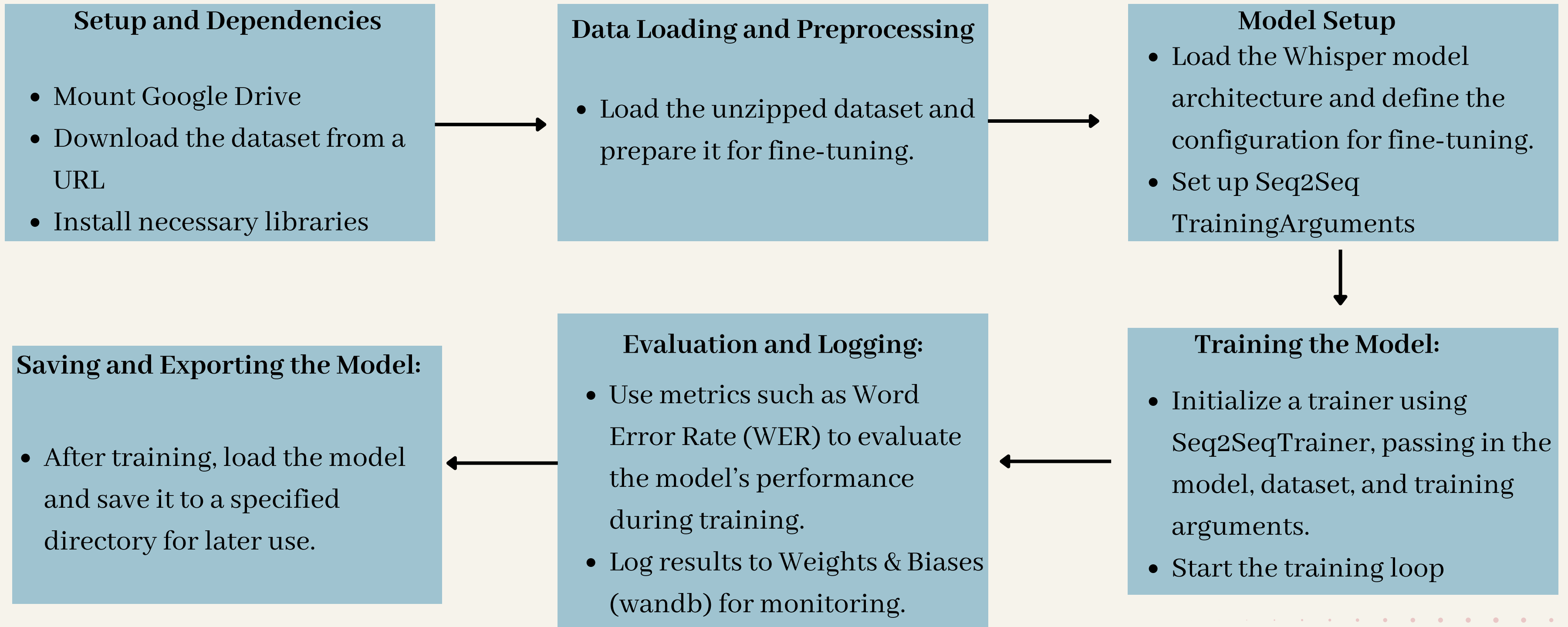
Current transformer-based models are often trained on general-purpose datasets, which may not perform optimally in specific domains. Developing domain-specific STT systems using transformers that are fine-tuned on specialized datasets could significantly improve accuracy and usability in these fields.

**2**

Transformer models tend to struggle in noisy environments or with speech that includes significant background noise. Developing robust STT systems that incorporate noise reduction techniques or that are trained on noisy datasets can improve performance in real-world conditions.



# WORKFLOW



# STAGES OF AUDIO PROCESSING

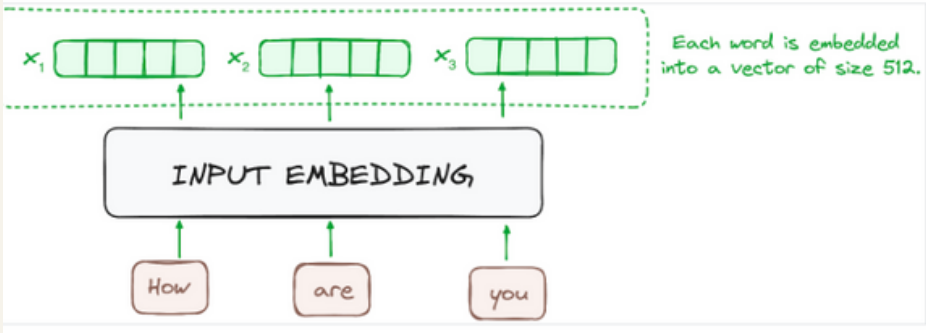
10

- **Raw Audio Input:** Audio input starts as raw waveform data (.wav/.mp3). Resampling to 16 kHz for consistency.
- **Feature Extraction:** Converts audio to log-mel spectrograms representing frequency distribution
- **Input Encoding:** Tokenizes, adds position embeddings, applies padding and masking.
- **Model Processing:** Passes through transformer encoder layers to capture temporal dependencies
- **Decoding:** The decoder predicts the text sequence in an autoregressive manner, generating one token at a time based on previously generated tokens and the encoder's output.
- **Post-processing:** Final text is cleaned by removing unnecessary tokens or padding.

# TRANSFORMER ARCHITECTURE

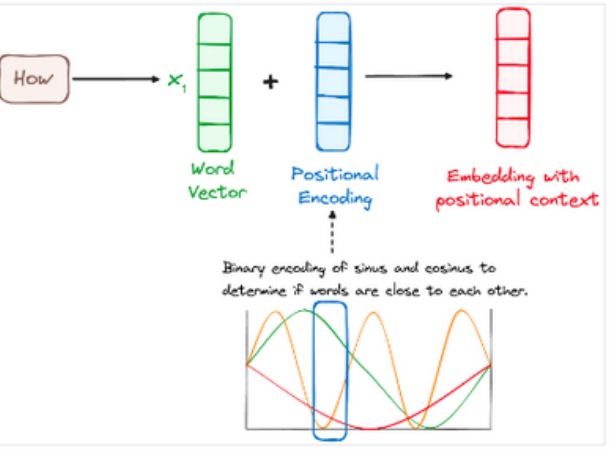
## The Encoder workflow:

STEP 1:



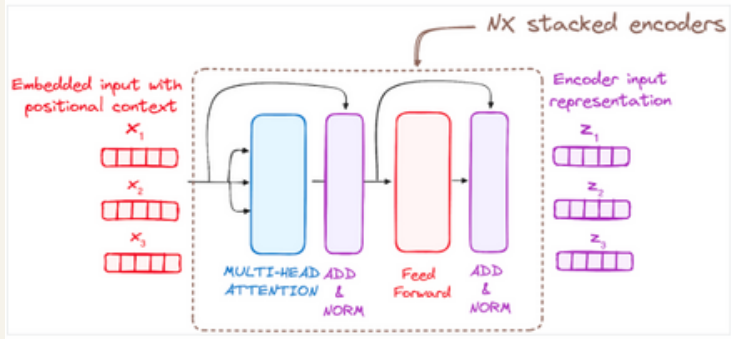
INPUT EMBEDDINGS

STEP 2:



POSITIONAL ENCODINGS

STEP 3:



STACK OF ENCODED LAYERS

STEP 4:

a set of vectors

OUTPUT OF ENCODER

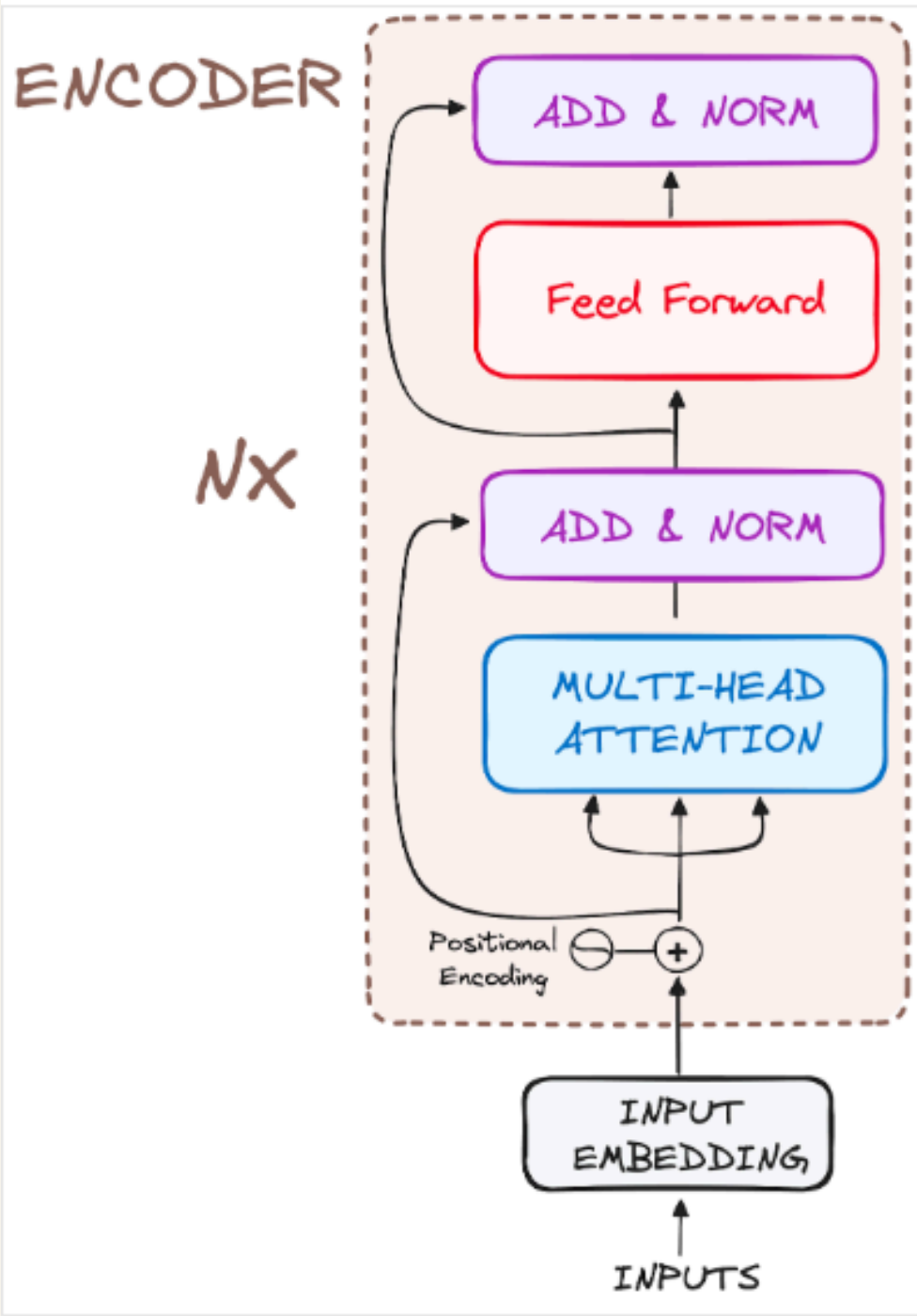


Fig: Encoder architecture

# TRANSFORMER ARCHITECTURE

The Decoder workflow:

- STEP 1: OUTPUT EMBEDDINGS
- ↓
- STEP 2: POSITIONAL ENCODING
- ↓
- STEP 3: STACK OF DECODED LAYERS
- ↓
- STEP 4: OUTPUT OF DECODER

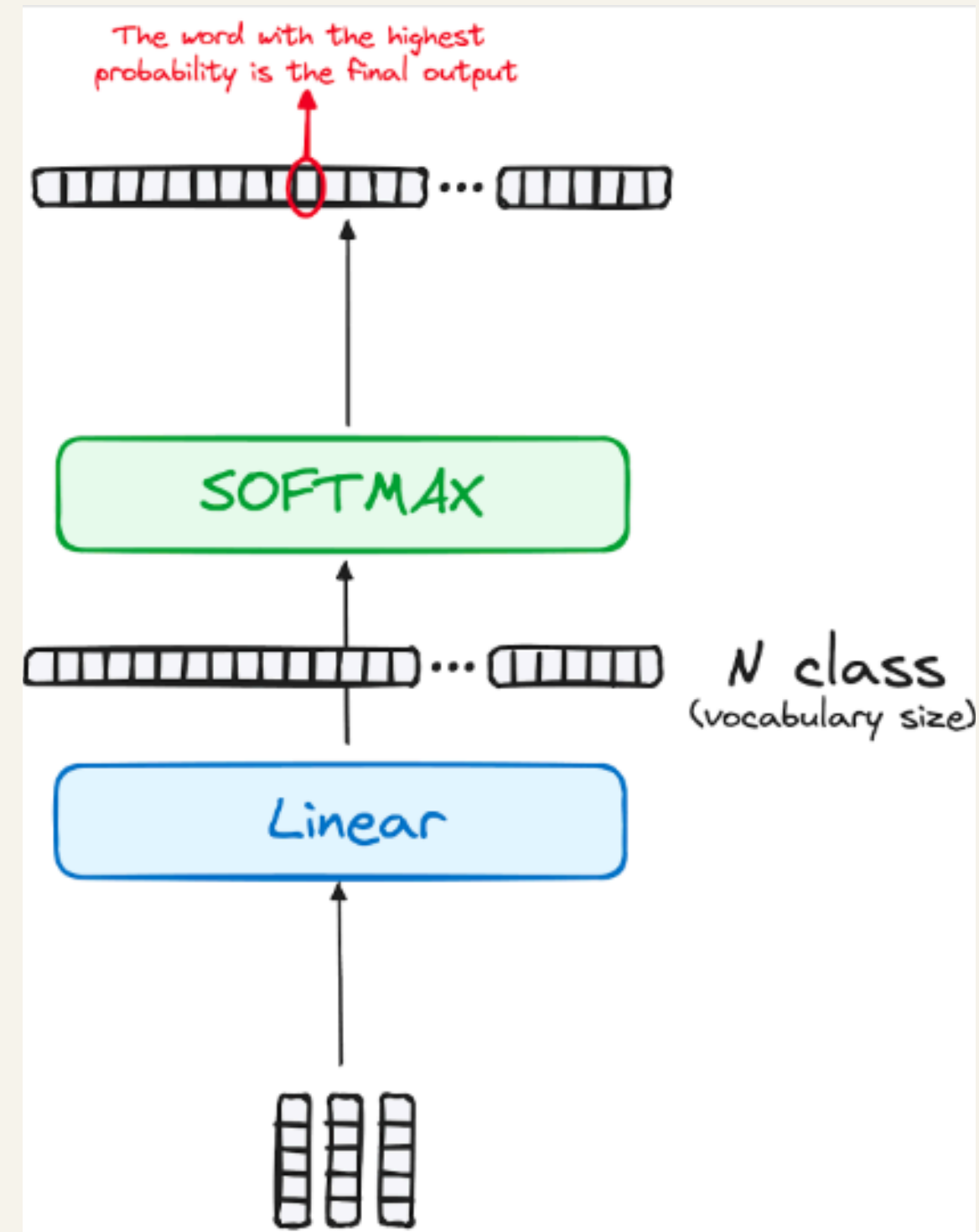


Fig: Transformer's Final Output

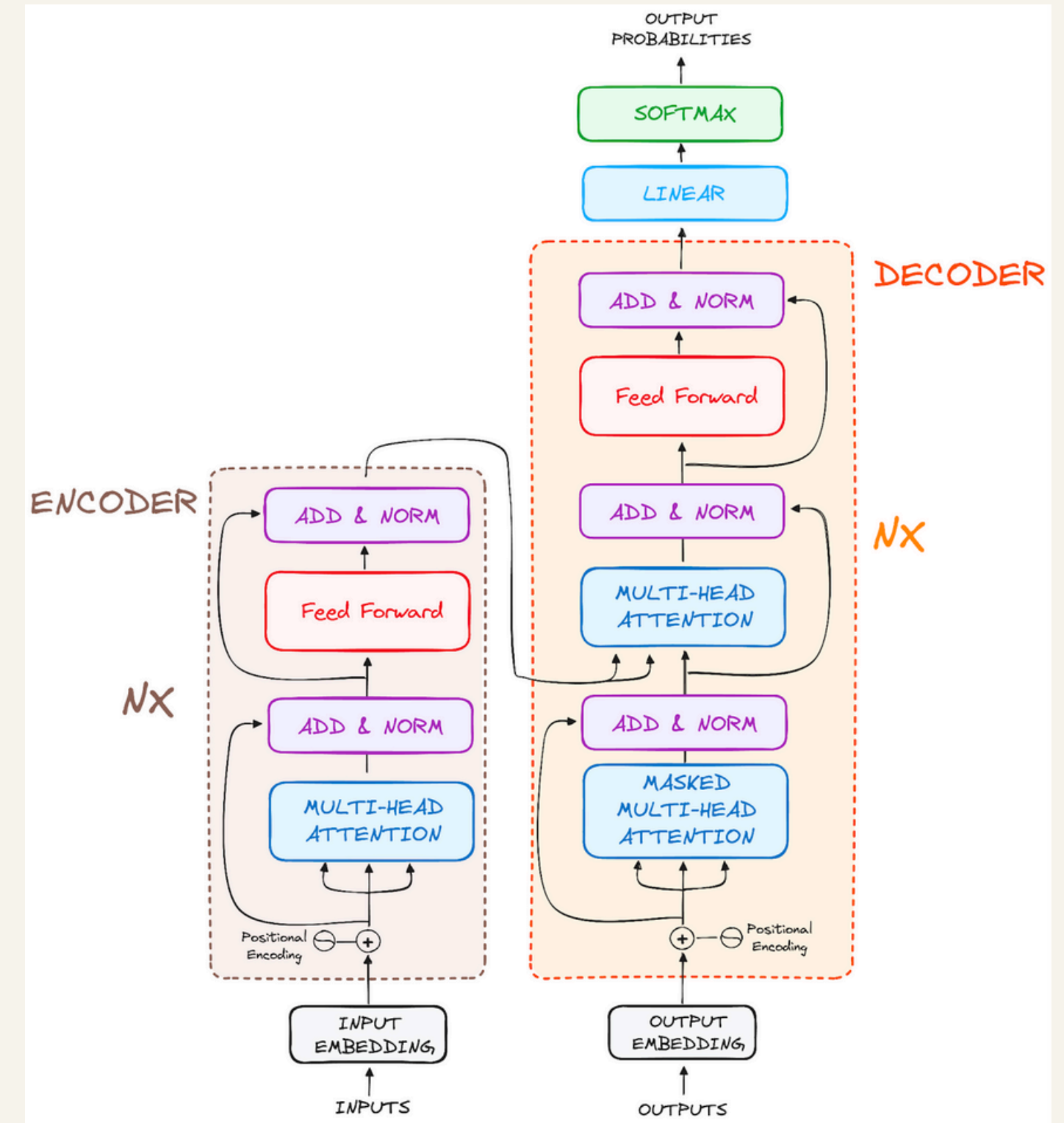


Fig: Transformer architecture

# PROGRAMMING ENVIRONMENT

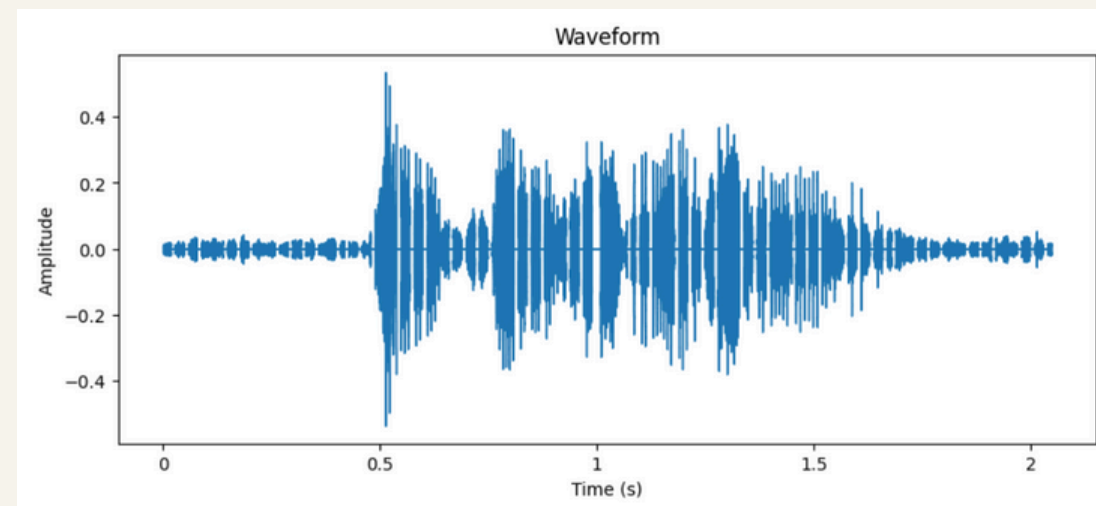
- **Platform:** Google Colab (Python 3.11) with GPU support
- **Dataset Source:** Kaggle
- **Libraries:** PyTorch, torchaudio, Hugging Face Transformers, and Datasets
- **Experiment Tracking:** wandb, integrated with Google Colab
- **Visualization:** matplotlib, seaborn



# DATASET USED

- **Medical Speech, Transcription, and Intent[5]**

- **Total Audio Clips:** 6,661
- **Total Duration:** Approximately 8.5 hours of audio
- **Symptom Categories:** 25 distinct medical symptom types, covering a wide variety of medical scenarios
- **Audio Format:** All files are in WAV format
- **Transcriptions:** Each audio clip has an associated transcription for accurate speech-to-text training
- **Intent Annotations:** In addition to transcriptions, each audio clip is labeled with intent, making this dataset suitable for intent classification tasks in medical speech applications

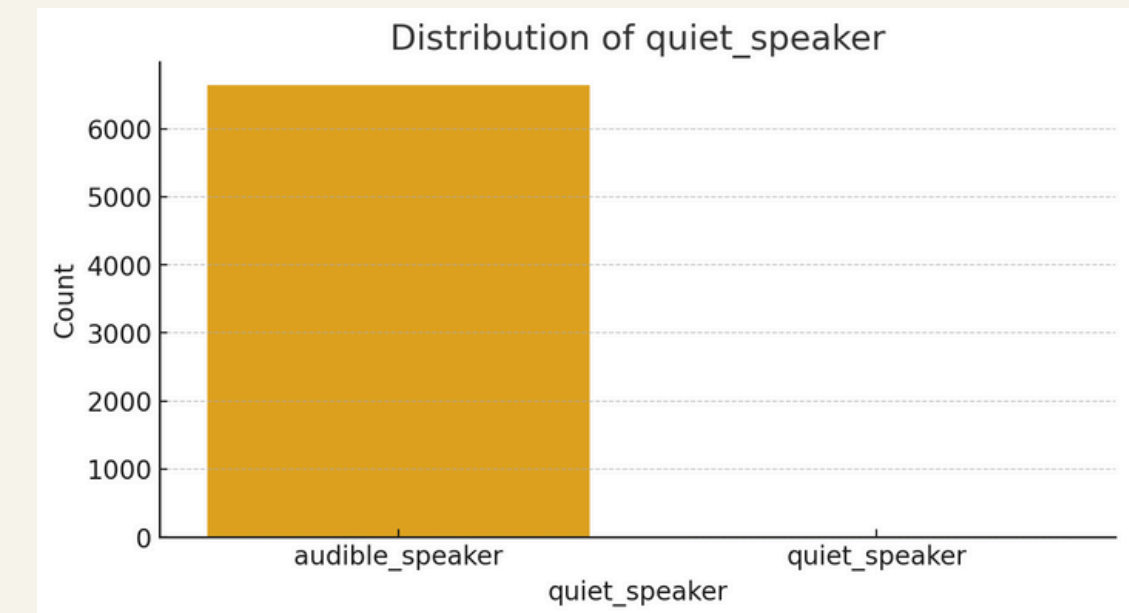
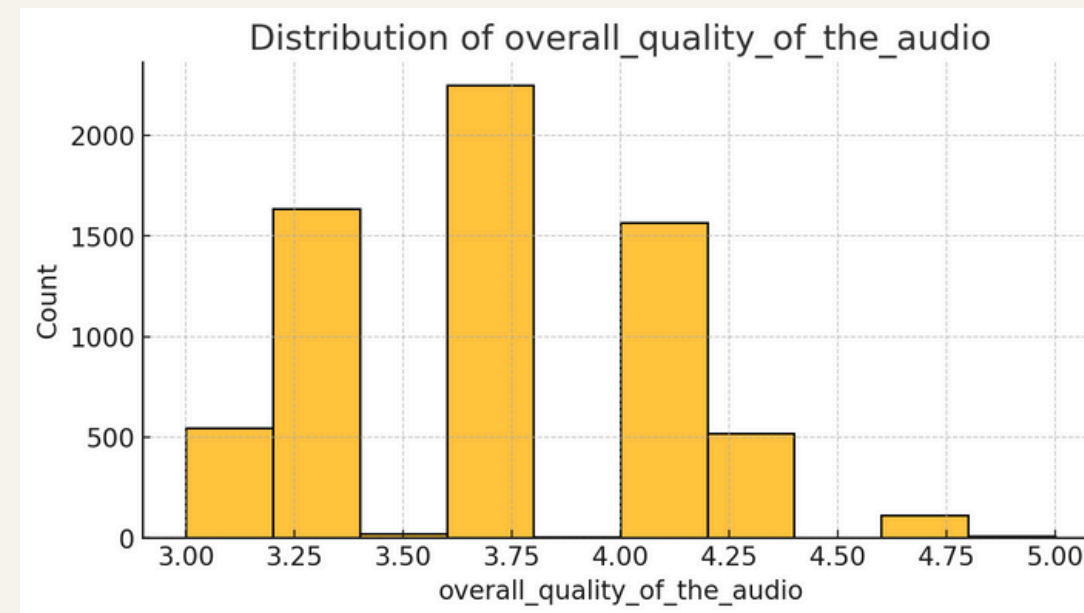
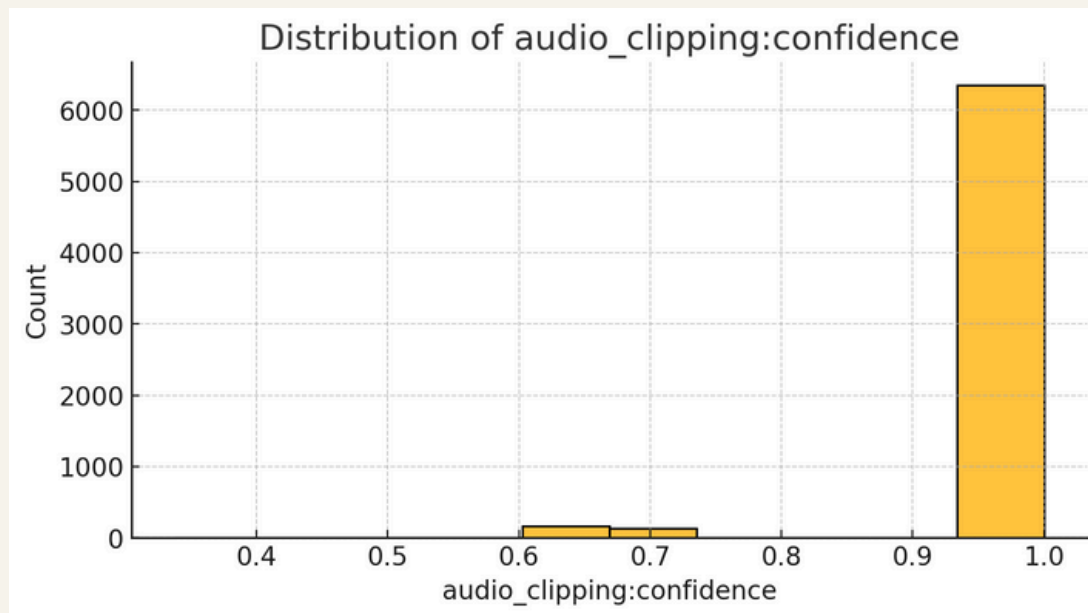
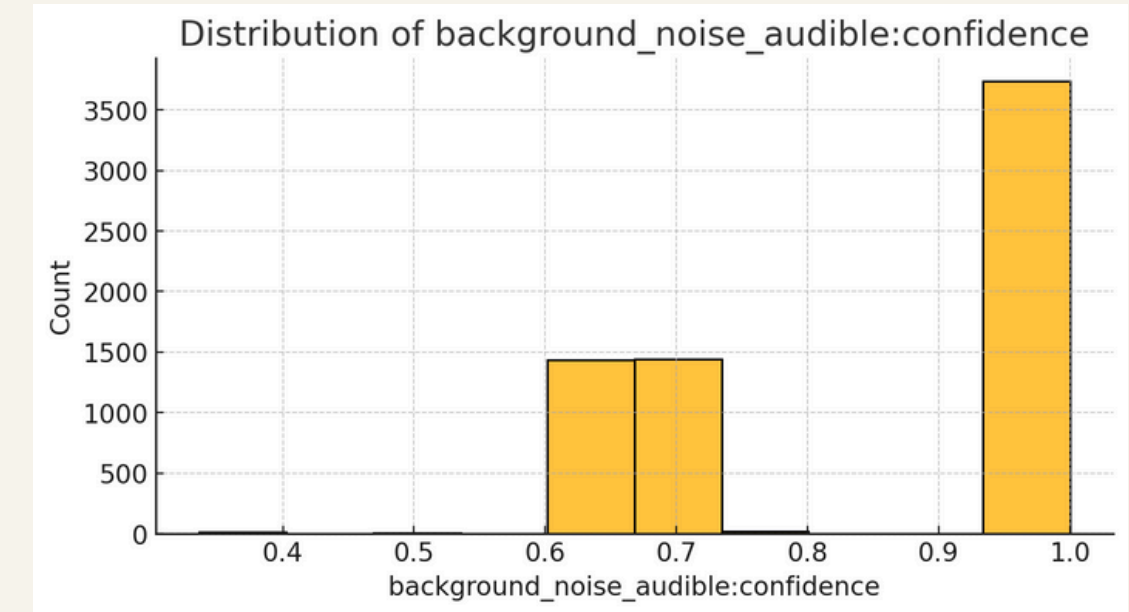
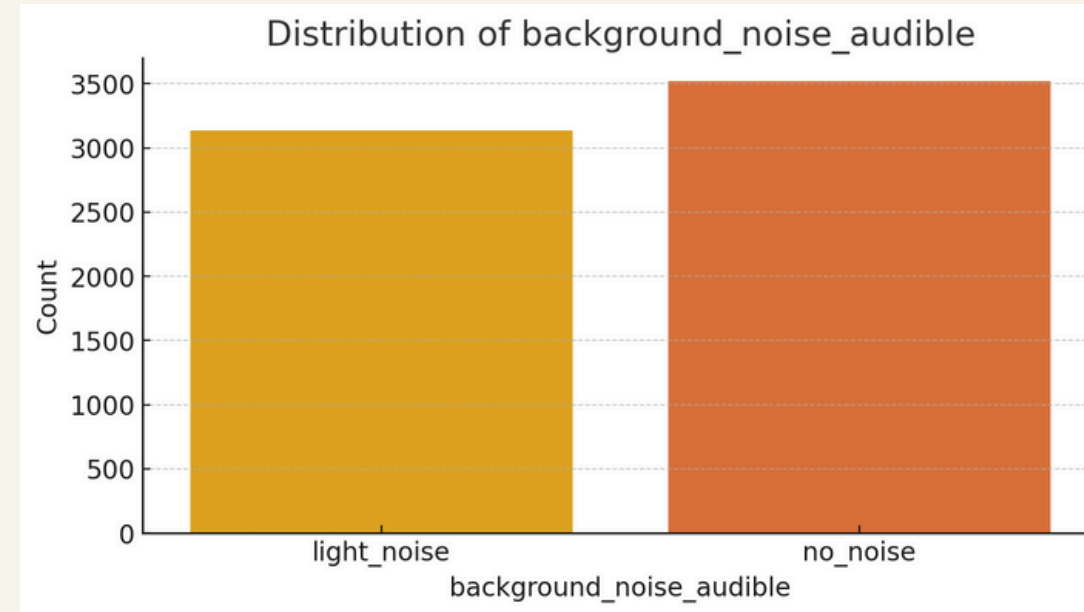
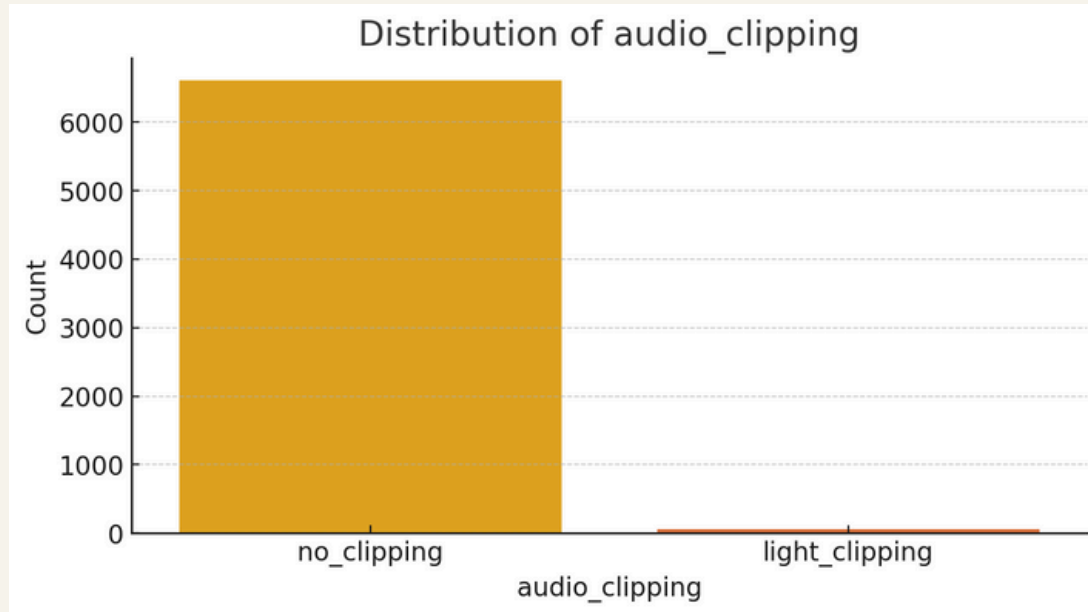


**Fig: Sample of Audio from Test Data**





# DATASET OVERVIEW





# EVALUATION METRICS

## WORD ERROR RATE (WER):

WER is calculated as the ratio of the minimum number of operations required to transform the model's predicted words into the reference words (ground truth) divided by the total number of words in the reference. The operations include insertions, deletions, and substitutions of words. Lower WER indicate better ASR model performance.

The formula for WER is:

$$\text{WER} = \frac{S + D + I}{N}$$

Where:

- **S:** Number of substitutions (incorrect words)
- **D:** Number of deletions (words in the reference that are missing in the prediction)
- **I:** Number of insertions (extra words in the prediction that are not in the reference)
- **N:** Total number of words in the reference sentence

# EVALUATION METRICES

## CHARACTER ERROR RATE (CER)

CER is similar to WER but works at the character level instead of the word level. It calculates the ratio of character-level edits required to transform the predicted transcription into the reference transcription, divided by the total number of characters in the reference.

Lower CER indicate better ASR model performance.

The formula for CER is:

$$\text{CER} = \frac{S + D + I}{N}$$

Where:

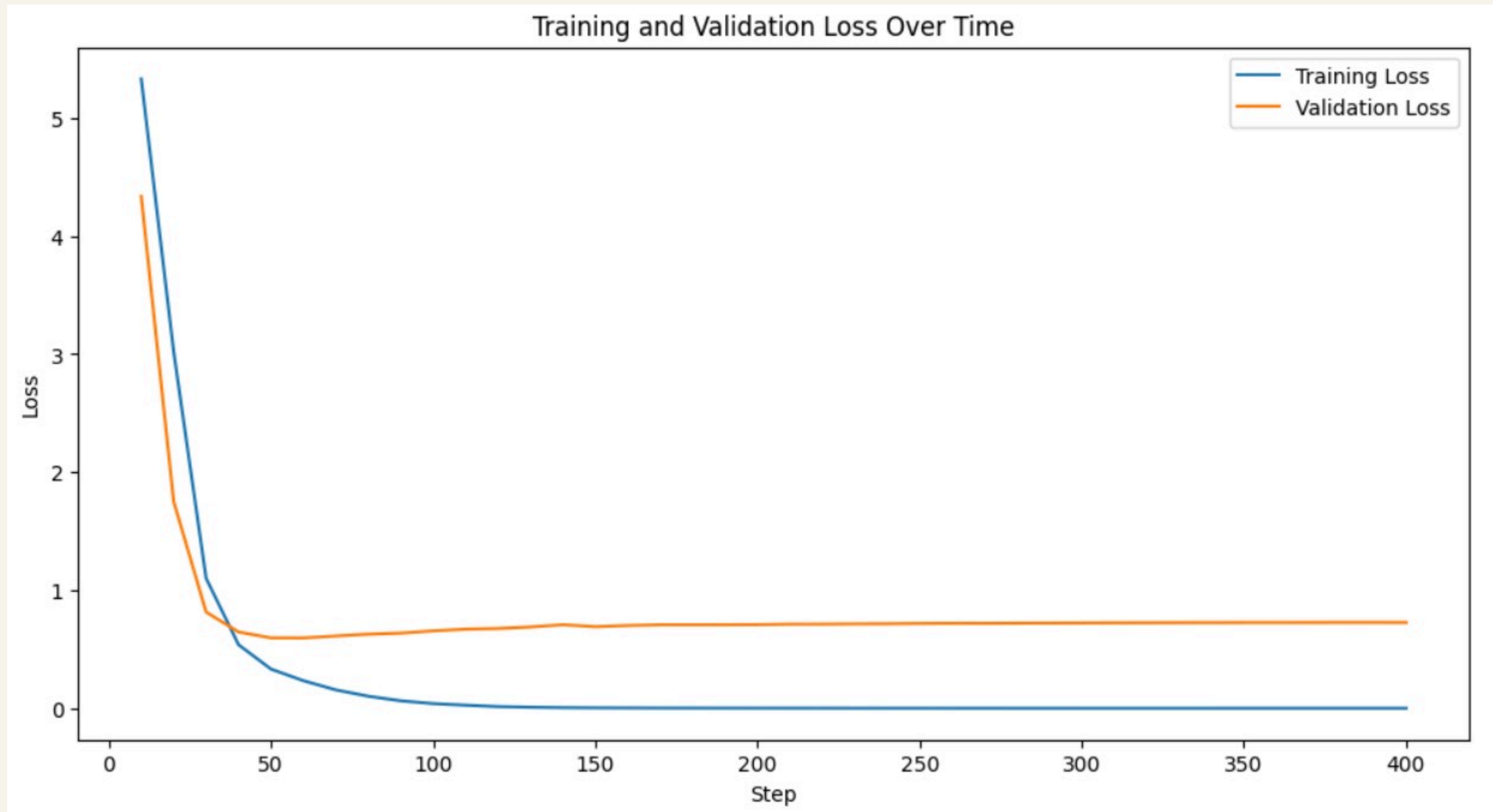
- S: Number of character substitutions
- D: Number of character deletions
- I: Number of character insertions
- N: Total number of characters in the reference sentence

# RESULTS

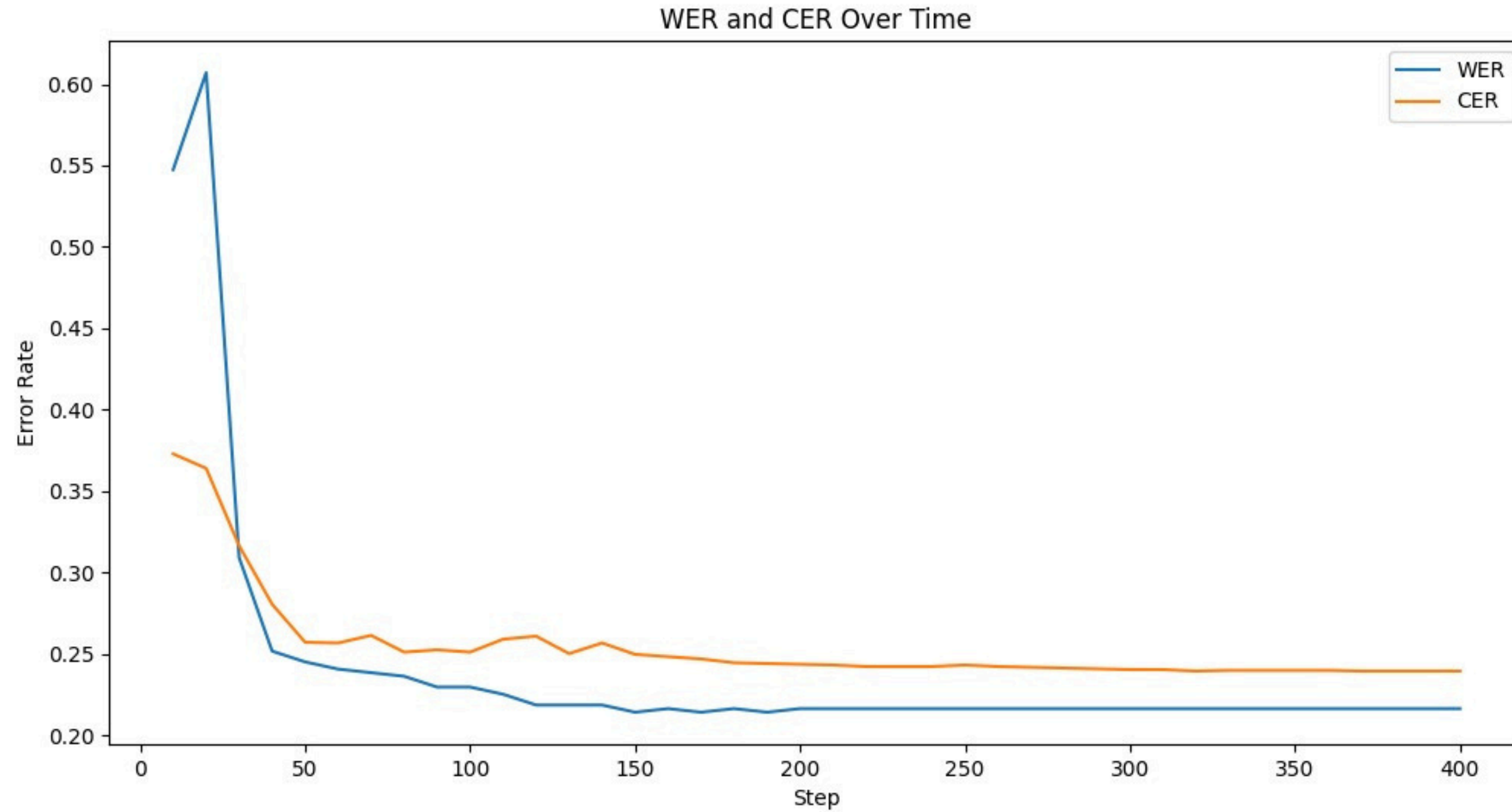
| Step | Training Loss |          | Validation Loss | Wer      | Cer      | Accuracy |
|------|---------------|----------|-----------------|----------|----------|----------|
| 10   |               | 5.331300 | 4.335788        | 0.689038 | 0.606005 | 0.651361 |
| 20   |               | 3.020900 | 1.751867        | 0.626398 | 0.554734 | 0.715986 |
| 30   |               | 1.101200 | 0.815523        | 0.387025 | 0.522864 | 0.823129 |
| 40   |               | 0.538600 | 0.646439        | 0.295302 | 0.502079 | 0.858844 |
| 50   |               | 0.332400 | 0.596712        | 0.275168 | 0.484527 | 0.867347 |
| 60   |               | 0.233900 | 0.596296        | 0.272931 | 0.478522 | 0.863946 |
| 70   |               | 0.156200 | 0.612399        | 0.270694 | 0.474365 | 0.863946 |
| 80   |               | 0.102500 | 0.627885        | 0.261745 | 0.488222 | 0.867347 |
| 90   |               | 0.063600 | 0.636856        | 0.268456 | 0.501155 | 0.858844 |
| 100  |               | 0.040000 | 0.655986        | 0.270694 | 0.495612 | 0.853741 |

"Fig: Training and Validation Loss with WER, CER, and Accuracy over Fine-tuning Steps"

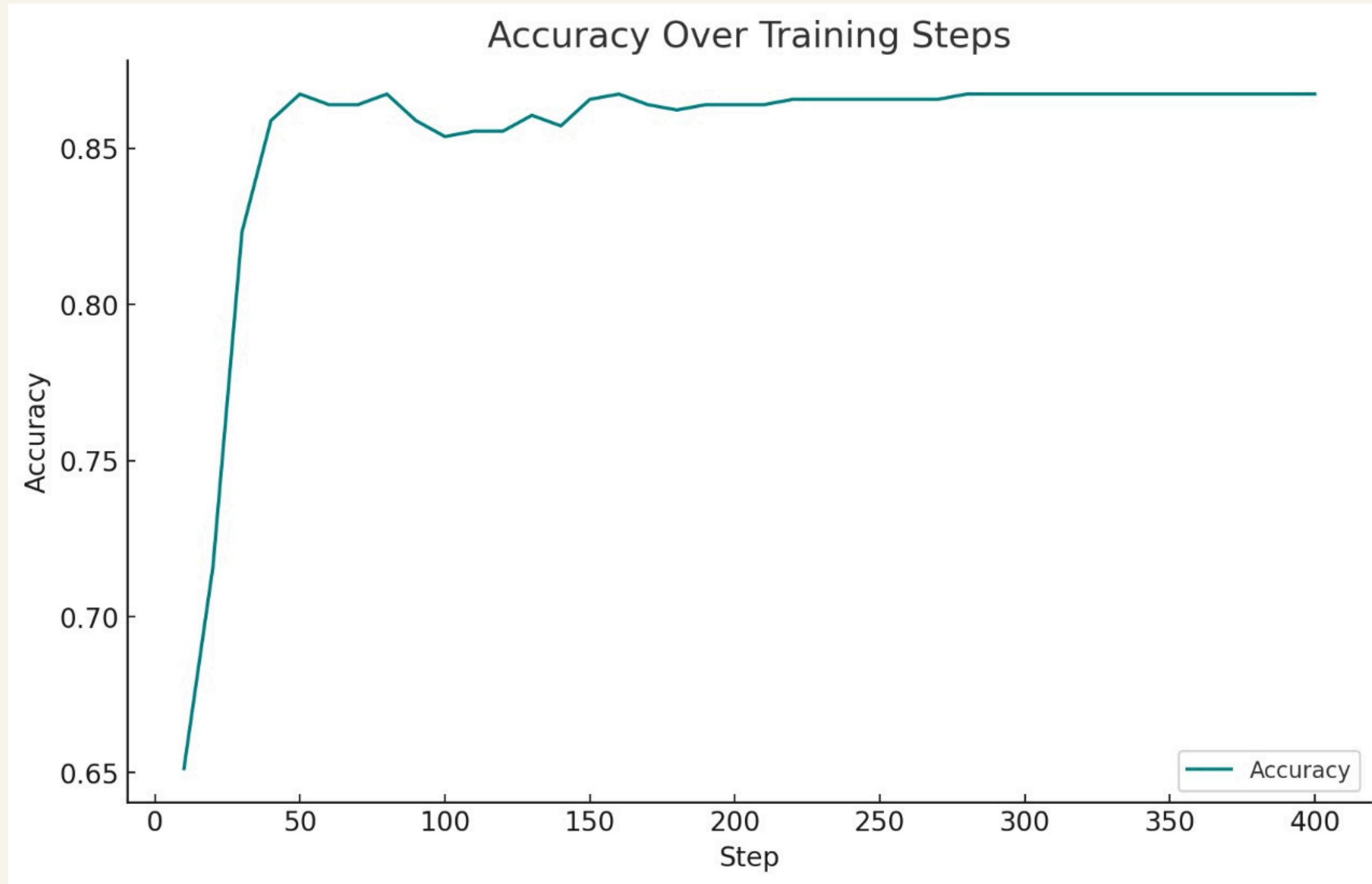
# RESULTS



# RESULTS



# RESULTS



# WHAT'S NEXT

- **Chatbot Integration:** Integrate the ASR model with a chatbot to provide interactive, conversational experiences. This will enable users to engage with the model's transcriptions and functionalities through natural language interactions.
- **User Interface Development:** Create a user-friendly interface that allows users to access the ASR functionalities seamlessly. This includes designing a clean, intuitive dashboard and controls for uploading audio files, viewing transcriptions, and interacting with the chatbot.



# REFERENCES

## **[1] Robust Speech Recognition via Large-Scale Weak Supervision.**

- Radford, A., Kim, J. W., Xu, T., Brockman, G., McLeavey, C., & Sutskever, I. (2022). Robust Speech Recognition via Large-Scale Weak Supervision. OpenAI. Retrieved from <https://cdn.openai.com/papers/whisper.pdf>

## **[2] Exploration of Whisper Fine-tuning Strategies for Low-resource ASR**

- Liu, Y., Yang, X., & Qu, D. (2024). Exploration of Whisper fine-tuning strategies for low-resource ASR. EURASIP Journal on Audio, Speech, and Music Processing, 2024(29). <https://doi.org/10.1186/s13636-024-00349-3>

## **[3] Attention is All You Need**

- Vaswani, A., Shazeer, N., Parmar, N., Uszkoreit, J., Jones, L., Gomez, A. N., Kaiser, Ł., & Polosukhin, I. (2017). Attention is all you need. Advances in Neural Information Processing Systems, 30 (NeurIPS 2017).

# REFERENCES

[4] <https://www.datacamp.com/tutorial/how-transformers-work>

[5] Dataset: <https://www.kaggle.com/datasets/paultimothymooney/medical-speech-transcription-and-intent>

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**THANK YOU**